



REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: **Thursday, May 3, 2018**

Time: **6:00 – 8:00 p.m.**

Place: RCOC Board Room, 1525 North Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess		
III.	General Session		
	B.	Budget and Finance Committee	John “Chip” Wright
	C.	Consent Calendar*	Alan Martin
		1. Approval of Board of Directors’ Minutes for March 1, 2018** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, February 2018** b. Approval of Monthly Sufficiency of Allocation Report, March 2018** c. Approval of Budget Amendment C-5, Fiscal Year 2016-17** d. Review of Draft of Form 990, Return of Organization Exempt from Income Tax for the Year Ended June 30, 2017 e. Approval of Budget Amendment	
	D.	Executive Director’s Report	Larry Landauer
		1. Operations Report – February 2018** 2. Operations Report – March 2018** 3. Performance Contract – February 2018** 4. Performance Contract – March 2018** 5. Employment Update 6. Fairview Developmental Center Update 7. Housing Update	Arturo Cazares Mary Carlson Jack Stanton
	E.	Community Forum***	Alan Martin
	F.	Executive Committee	Alan Martin
		1. Approval of Renewal of Board Membership for Clifford Amsden for a Three-Year Term Commencing July 1, 2018 and Ending June 30, 2021** 2. Approval of Board of Directors’ Proposed Meeting Schedule, FY 2018-2019**	
	G.	Policies and Outcomes Committee**	Cliff Amsden
		1. Approval of Revisions to the Employment First Policy** 2. Approval of Revisions to the Transportation Mobility Policy** 3. Approval of Revisions to the Start-Up, Gap and Lag Funding Policy**	
	H.	Vendor Advisory Committee**	Tiffany Bauer
		1. Approval of Vendor Advisory Committee Members**	
	I.	Board Recruitment and Training Committee**	Alan Martin
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee **	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	Chairperson’s Report	Alan Martin
IV.	Adjournment		Alan Martin

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
March 1, 2018
Minutes**

Board Members Present: Alan Martin, Chairperson
Cristina Alba (*departed at 6:39 p.m.*)
Cliff Amsden
Marcell Bassett
Tiffany Bauer
Maritza Bravo
Sylvia Delgado
Amy Jessee
Liza Krassner
Peter Kuo
Hilda Mendez
John "Chip" Wright

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Alan Martin called the meeting to order at 6:03 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado then read RCOC's Mission and Vision Statement.

B. Budget and Finance Committee

Mr. Chip Wright reported that the Committee met this afternoon and discussed the Purchase of Service Expenditures (POS) for December 2017 and January 2018, along with the projected expenditure reports for other regional centers. Mr. Wright stated that the Committee discussed recent hiring, turnover rate and caseload ratios. The Committee also discussed the first lease amendment for the Santa Ana office. Mr. Wright reported that the Committee recommends for approval all items on the consent calendar.

C. Consent Calendar

1. Approval of Board of Directors' Minutes for January 11, 2018

2. Budget and Finance Committee

- a. Approval of Monthly Sufficiency of Allocation Report, December 2017
- b. Approval of Monthly Sufficiency of Allocation Report, January 2018
- c. Approval of Budget Amendment B-5, Fiscal Year 2015-16
- d. Approval of First Amendment to Lease for Santa Ana Office
- e. Approval of Budget Amendment

M/S/C to approve the consent calendar as presented.

D. Executive Director's Report

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Statewide News.* Mr. Landauer stated that the Department of Developmental Services' (DDS) Vendor Rate Study by Burns & Associates will be released in March 2019, according to DDS.

Mr. Landauer reported that all regional centers are required to hold annual public meetings regarding their Purchase of Service (POS) expenditure data and RCOC has scheduled its public meeting on Wednesday, March 28, 2018, at 6:30 p.m. To publicize the meeting, flyers in English, Spanish and Vietnamese were sent via e-mail on Tuesday, February 26, 2018. The flyers were posted on RCOC's website as well.

Mr. Landauer then reported that the Centers for Medicaid & Medicare Services (CMS) granted California initial approval of its Statewide Transition Plan (STP), which outlines the steps that California will take to comply with the Home and Community Based Services (HCBS) Final Rule. CMS has extended the deadline for compliance to March 17, 2022.

Mr. Arturo Cazares, RCOC's Associate Director of Employment, shared that Board members received training last year on the HCBS Final Rule. Mr. Cazares reported on the progress thus far, and the continued efforts to comply on or before the deadline.

Mr. Cazares also shared news that six service providers were selected to receive HCBS grants last year, and DDS should release the names of the new award recipients very soon.

- *Purchase of Service (POS) Expenditures for Fiscal Year 2017-18.* Mr. Landauer reported that the projected deficit for the regional center system in the current fiscal year ranges from a low of approximately \$13 million to a high of approximately \$47.1 million. Mr. Landauer added that RCOC's caseload has grown by 441 individuals for an annualized caseload growth of 4.4%.

- *Person Centered Thinking (PCT).* Mr. Patrick Ruppe, Director of Services, Supports and Community Development, announced that PCT training began approximately one year ago. Plans for the next year of training include expanding the Coaches Group, and inviting all Supervisors to become PCT Coaches by providing additional mentorship. Several training events and workshops are already scheduled. Mr. Ruppe stated that each person served will have a One Page Description (OPD) integrated into his/her Individual Program Plan (IPP).
- *Employment.* Mr. Landauer reported that the number of persons served in sheltered workshops continues to decrease, and the number of persons served in integrated competitive employment continues to increase. Mr. Landauer stated that currently 2,415 persons served are in integrated employment, and as of February 28, 2018, 296 persons served are in sheltered workshops. Mr. Landauer then reported that Goodwill plans to transition all persons served out of workshops by the end of 2018, or sooner.
- *Housing.* Mr. Jack Stanton, Associate Director of Housing, reported on the new properties that have been acquired as part of the Community Placement Plan, and the progress on renovating these homes.
- *Fairview Developmental Center.* Ms. Mary Carlson, RCOC's Area Supervisor - Community Placement Plan, provided an update on the number of persons served who have transitioned into the community from Fairview Developmental Center (FDC) and steps that staff and providers have taken to ensure successful transitions.
- *Legislative and Community Awareness.* Mr. Landauer and Ms. Anh Nguyen, RCOC's Legislative Consultant, reported that social media continues to be a successful tool for reaching out to the community, sharing activities and promoting RCOC in general. Mr. Landauer also reviewed media highlights featuring RCOC Board members and persons served.
- *RCOC News.* Mr. Landauer reported on RCOC's community outreach efforts, such as the annual self-determination conferences, which are created, organized and presented entirely by persons served. Mr. Landauer shared that RCOC staff have attended several support group meetings in the community, including "Padres Unidos Por el Autismo" (PUPA), Fiesta Educativa and Chinese Parents Association for the Disabled (CPAD).

Mr. Landauer also reported that the Self-Determination Program Local Advisory Committee continues to hold informational meetings; the Committee has held a total of 14 meetings since September 2015. More information is available on RCOC's website: www.rcocdd.com.

Mr. Landauer shared that the Board retreat in February 2018, was a success. He reminded attendees that the 21st Annual Spotlight Awards Ceremony will

take place tomorrow night, on Friday, March 2, 2018.

E. Community Forum

There were no speakers for community forum.

F. Executive Committee

Mr. Martin reported that the Committee met this past Monday, February 26, 2018, and the next meeting is scheduled for March 19, 2018. He mentioned that they reviewed the results of the Board retreat survey. Mr. Martin also presented the following agenda item from the Executive Committee for approval.

1. Approval of Renewal of Board Membership for John “Chip” Wright for a Three-Year Term Commencing May 1, 2018 and Ending April 30, 2021

M/S/C to approve the Renewal of Board Membership for John “Chip” Wright for a Three-Year Term Commencing May 1, 2018 and Ending April 30, 2021, as presented.

G. Policies and Outcomes Committee

Mr. Cliff Amsden presented revisions to three policies as recommended by the Policies and Outcomes Committee.

1. Approval of Revisions to the Document Retention and Destruction Policy

M/S/C to approve revisions to the Document Retention and Destruction Policy as recommended.

2. Approval of Revisions to the Policy on Notification to Consumers and Families of Significant Service Deficits

M/S/C to approve revisions to the Policy on Notification to Consumers and Families of Significant Service Deficits as recommended.

3. Approval of Revisions to the Contract Policy

M/S/C to approve revisions to the Contract Policy as recommended.

The next meeting is scheduled for Monday, April 16, 2018.

H. Vendor Advisory Committee

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on February 12, 2018, and is scheduled to meet again on March 13, 2018. She mentioned that the Committee discussed the DDS Vendor Rate Survey; the transition of behavioral health treatment services from RCOC to CalOptima for those persons

who receive ABA service and do not have a diagnosis of Autism Spectrum Disorder; and, the Committee addressed concerns with regards to Social Security benefits when the payeeship is transferred.

I. Board Recruitment and Training Committee

Mr. Martin reported that the Committee met on February 12, 2018, and the next meeting is scheduled for April 9, 2018. The Committee discussed recruitment efforts and confirmed that the Board of Directors continues to be in compliance with the state requirements. The Committee is also actively working to recruit and acquire new applicants for the Board.

Mr. Martin reminded Board members that the Board training for April 18, 2018, has been cancelled. As an alternative, members can attend other Board committee meetings in March/April 2018, or the public meeting in March regarding Purchase of Service expenditure data.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that Mr. Jyusse Corey provided updates on the Self-Determination Program and the California Achieving a Better Life Experience (CalABLE) program. The Committee received updates on the Healthy Initiative Project, Spotlight Awards and a presentation about various summer activities from RCOC's Family Resource Center.

The next meeting is scheduled on Wednesday, March 21, 2018.

K. Legislative and Community Awareness Committee

Ms. Liza Krassner reported on the Committee's continuing efforts to inform the community about RCOC services.

The next Committee meeting is scheduled for April 10, 2018.

L. ARCA Report

Ms. Krassner reported that she and Mr. Landauer attended the ARCA meeting in January 2018. DDS reported on the overall increase in caseloads, the transition of behavioral health treatment services from RCOC to CalOptima for those persons who receive ABA service and do not have a diagnosis of Autism Spectrum Disorder; the Uniform Holiday Schedule for fiscal year 2018-19, federal health funding and its effects on the Developmental Centers; and, the disparity funds for community-based organizations.

The next ARCA meeting is scheduled for March 15, 2018.

M. Chairperson's Report

Mr. Martin commented on the great amount of information provided each by committee tonight. He reminded Board members about the Spotlight Awards on Friday, March 2nd. Mr. Martin thanked the Board and RCOC staff for their continued efforts of support for committee meetings, the Board retreat and the Spotlight Awards.

II. Adjournment

Mr. Martin adjourned the meeting at 7:10 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget and Finance Committee
Minutes
March 1, 2018**

Committee Members Present: John “Chip” Wright, Chair
Cliff Amsden
Marcell Bassett (arrived at 4:21 p.m.)
Amy Jessee

Other Board Members Present: Sylvia Delgado
Peter Kuo

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Veronica Flores, Accounting Manager
Bonnie Ivers, Clinical Director
Raudel Perez, Administrator
Linda Pham, Fiscal Analyst
Patrick Ruppe, Director of Services, Supports and
Community Development

The meeting was called to order at 4:11 p.m.

. Monthly Sufficiency of Allocation Reports (SOAR) for December 2017 and January 2018

In Ms. Marta Vasquez’ absence, Ms. Bette Baber presented the monthly Sufficiency of Allocation Reports (SOARs). RCOC is projecting a deficit of \$26.6 million. The projected deficit will be \$16.6 million if all \$9.9 million of the State Plan Amendment receivables are paid. The various factors that led to greater projected expenditures are: the continuation costs of higher rates for services, the large number of graduates from school to regional-center funded adult day programs, the minimum wage increase from \$10.50 to \$11.00 which was effective January 1, 2018, and the increase in the number of persons served.

M/S/C to approve the monthly SOARs.

. Approval of Budget Amendment B-5, Fiscal Year 2015-16

Ms. Baber reported that the Department of Developmental Services had allocated an additional \$50,000 for RCOC’s Community Placement Plan.

RCOC Budget and Finance Committee Minutes
March 1, 2018

M/S/C to approve the amendment.

3. Approval of First Amendment to Lease for Santa Ana Office

Ms. Baber presented the terms in the first amendment and all the elements that had been evaluated in negotiating the amendment.

M/S/C to approve the amendment.

Other discussion items included the caseload ratio, the number of Service Coordinators who had been hired or had resigned recently.

The meeting adjourned at 4:52 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Budget and Finance Committee

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, February 2018

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: April 25, 2018

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – February 2018 Sufficiency of Allocation Report (SOAR)

Purchase of Service (POS)

RCOC is projecting a deficit of \$23.9 million, a decrease of \$2.7 million from the previous month. The projected deficit will be \$14 million if all \$9.9 million of the State Plan Amendment receivables are paid.

As reported in the prior fiscal year, the significant increase in expenditures is due primarily to higher rates for services. The planning allocation for the current fiscal year included \$38.1 million in continuation costs for those rate increases:

- \$25.7 million for continuation of the rate increases included in the Special Session language (ABX2 1 also known as MCO),
- \$2.1 million for continuation of the rate increase when providers reduce the capacity of their facilities from six beds to four beds, and
- \$10.4 million for continuation of the minimum wage increases effective January 1, 2016 and 2017, overtime and paid sick leave.

As in the prior four fiscal years, RCOC has a large number of persons served who will graduate from school to regional center-funded adult day programs.

The California minimum wage increased on January 1, 2018, from \$10.50 to \$11.00; RCOC has estimated that rates will increase by approximately \$1.7 million.

RCOC's caseload growth in fiscal year 2016-17 was 4.4%, an increase of 866 persons. To date in the current fiscal year, RCOC's caseload grew by 578 persons, for an annualized caseload growth of 3.8%. The regional center system grew by 10,263 persons for an annualized caseload growth of 4.4%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of February 28, 2018

	A	B	C	D	E	F	G	H
		ACTUAL	PROJECTED	SOAR	VARIANCE			
	D-2	SPENT	EXPENDITURES	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	EXPENDITURES (4/10/2018)	%	AMOUNT	FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
(1) Licensed Residential Care	\$79,898,850	\$53,473,382	\$80,210,073	\$79,898,850	0%	0	\$0	\$75,076,231
(2) Day Care	3,094,327	1,925,421	2,888,132	3,094,327	0%	0	0	2,803,066
(3) Day Training	49,612,728	37,421,842	56,132,763	60,586,431	-22%	(10,973,703)	(703,218)	55,513,732
(4) Habilitation	10,084,673	6,488,284	9,732,426	10,084,673	0%	0	0	9,805,003
(5) Transportation	12,079,947	9,232,693	15,827,474	15,680,104	-30%	(3,600,157)	0	12,079,947
(6) Respite	25,100,192	14,705,573	25,209,554	25,100,192	0%	0	0	20,351,424
(7) Supported Living	37,505,832	25,798,847	38,698,271	37,505,832	0%	0	0	37,193,259
(8) Non-medical	29,987,721	17,304,416	25,956,624	29,987,721	0%	0	0	29,016,655
(9) Medical	4,577,087	2,879,459	4,319,189	4,577,087	0%	0	0	5,112,887
(10) Other	57,282,597	44,173,664	66,916,658	66,617,697	-16%	(9,335,100)	(1,956,224)	57,282,597
(11) Early Start (Age 0-3)	27,066,190	17,466,176	26,199,264	27,066,190	0%	0	0	25,972,232
(12) Community Placement Plan	2,634,500	94,713	142,070	2,634,500	0%		0	938,704
(13) Purchase of Service Total	338,924,644	230,964,470	352,232,495	362,833,604	-7%	(23,908,960)	(2,659,442)	331,145,737
OPERATIONS						(\$13,981,236) ** If all SPA receivables are paid.		
(14) Operating Expense (Gross)	7,600,000	4,561,965	6,842,947	7,600,000	0%	0	0	6,270,064
(15) Less Interest Income and SPA Fees	(200,000)	(196,845)	(295,268)	(200,000)	-0%	0	0	(132,129)
(16) Operating Expense (Net)**	7,400,000	4,365,119	6,547,679	7,400,000	0%	0	0	6,137,935
(17) Personal Services**	36,732,252	22,491,031	33,736,547	36,732,252	0%	0	0	29,614,648
(18) Family Resource Center	140,397	27,730	41,595	140,397	0%	0	0	140,397
(20) Operations Total	44,272,649	26,883,881	40,325,821	44,272,649	0%	0	0	35,892,981
(21) Total	\$383,197,293	\$257,848,351	\$392,558,317	\$407,106,253	0%	(\$23,908,960)	(\$2,659,442)	\$367,038,718

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for consumers who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF FEBRUARY 28, 2018

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	39,721,859.68	
Savings	30,441.45	\$801,894.85
Money market	0.00	
Payroll	150,817.45	
Donations	45,069.29	
Unemployment	364,873.36	
Certificate of deposit	0.00	
Total current assets	40,313,361.23	801,894.85
RECEIVABLES		
State claim	63,321,319.58	
Client support revenue	309,155.21	18,379.81
Due from State - prior years	8,307,655.75	
Due from ICF - ICF Supplemental Services	8,134,327.19	
Total receivables	80,072,457.73	18,379.81
PREPAID ITEMS		
Deposits	342,375.88	
Prepaid expense	0.00	
Total prepaid items	342,375.88	0.00
OTHER ASSETS		
Tenant improvements	715,823.45	
Building acquisition	63,613.98	
Total other assets	779,437.43	0.00
TOTAL ASSETS	\$121,507,632.27	\$820,274.66
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$18,060,642.05	\$309,155.21
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	102,984,525.00	
Unemployment insurance	364,873.36	
Total liabilities	121,462,562.98	309,155.21
FUND BALANCES		
General	0.00	
Donations	45,069.29	
Custodial		511,119.45
TOTAL LIABILITIES AND FUND BALANCES	\$121,507,632.27	\$820,274.66

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
FEBRUARY 28, 2018

Beginning Balance			\$44,754.91
Donations		\$0.00	
Loan Payments		425.00	
Interest		0.00	
Disbursements:			
Loan for living expenses	\$913.43		
Southern California Edison bill	-684.05		
Rent	-340.00		
Subtotal Disbursements		-110.62	.
Net Increase (Decrease)			<u>314.38</u>
Ending Balance			<u><u>\$45,069.29</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Budget and Finance Committee

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, March 2018

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: April 25, 2018

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – March 2018 Sufficiency of Allocation Report (SOAR)

Purchase of Service (POS)

RCOC is projecting a deficit of \$23.9 million, a decrease of \$2.7 million from the projection in January 2018. The projected deficit will be \$14 million if all \$9.9 million of the State Plan Amendment receivables are paid.

As reported in the prior fiscal year, the significant increase in expenditures is due primarily to higher rates for services. The planning allocation for the current fiscal year included \$38.1 million in continuation costs for those rate increases:

- \$25.7 million for continuation of the rate increases included in the Special Session language (ABX2 1 also known as MCO),
- \$2.1 million for continuation of the rate increase when providers reduce the capacity of their facilities from six beds to four beds, and
- \$10.4 million for continuation of the minimum wage increases effective January 1, 2016 and 2017, overtime and paid sick leave.

As in the prior four fiscal years, RCOC has a large number of persons served who will graduate from school to regional center-funded adult day programs.

The California minimum wage increased on January 1, 2018, from \$10.50 to \$11.00; RCOC has estimated that rates will increase by approximately \$1.7 million.

RCOC's caseload growth in fiscal year 2016-17 was 4.4%, an increase of 866 persons. To date in the current fiscal year, RCOC's caseload grew by 578 persons, for an annualized caseload growth of 3.8%. The regional center system grew by 10,263 persons for an annualized caseload growth of 4.4%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of March 31, 2018

	A	B	C	D	E	F	G	H
		ACTUAL	PROJECTED	SOAR	VARIANCE			
	D-2	SPENT	EXPENDITURES	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	EXPENDITURES (4/10/2018)	%	AMOUNT	FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
(1) Licensed Residential Care	\$79,898,850	\$59,654,926	\$80,362,165	\$79,898,850	0%	0	\$0	\$75,076,231
(2) Day Care	3,094,327	2,095,836	2,895,528	3,094,327	0%	0	0	2,803,066
(3) Day Training	49,612,728	41,424,709	56,343,204	60,586,431	-22%	(10,973,703)	(703,218)	55,513,732
(4) Habilitation	10,084,673	6,706,877	9,739,613	10,084,673	0%	0	0	9,805,003
(5) Transportation	12,079,947	9,330,460	15,995,074	15,680,104	-30%	(3,600,157)	0	12,079,947
(6) Respite	25,100,192	14,705,573	23,547,817	25,100,192	0%	0	0	20,351,424
(7) Supported Living	37,505,832	28,809,523	38,808,039	37,505,832	0%	0	0	37,193,259
(8) Non-medical	29,987,721	17,880,307	27,511,012	29,987,721	0%	0	0	29,016,655
(9) Medical	4,577,087	3,261,506	4,348,675	4,577,087	0%	0	0	5,112,887
(10) Other	57,282,597	44,258,611	66,387,917	66,617,697	-16%	(9,335,100)	(1,956,224)	57,282,597
(11) Early Start (Age 0-3)	27,066,190	19,216,734	26,279,174	27,066,190	0%	0	0	25,972,232
(12) Community Placement Plan	2,634,500	118,463	177,695	2,634,500	0%		0	938,704
(13) Purchase of Service Total	338,924,644	247,463,525	352,395,912	362,833,604	-7%	(23,908,960)	(2,659,442)	331,145,737
OPERATIONS						(\$13,981,236) ** If all SPA receivables are paid.		
(14) Operating Expense (Gross)	7,600,000	4,826,719	6,435,626	7,600,000	0%	0	0	6,270,064
(15) Less Interest Income and SPA Fees	(200,000)	(230,024)	(306,698)	(200,000)	-0%	0	0	(132,129)
(16) Operating Expense (Net)**	7,400,000	4,596,696	6,128,927	7,400,000	0%	0	0	6,137,935
(17) Personal Services**	36,732,252	24,867,378	33,156,504	36,732,252	0%	0	0	29,614,648
(18) Family Resource Center	140,397	28,935	38,579	140,397	0%	0	0	140,397
(20) Operations Total	44,272,649	29,493,008	39,324,011	44,272,649	0%	0	0	35,892,981
(21) Total	\$383,197,293	\$276,956,533	\$391,719,923	\$407,106,253	0%	(\$23,908,960)	(\$2,659,442)	\$367,038,718

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for consumers who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF MARCH 31, 2018

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	39,114,817.41	
Savings	11,491.68	\$727,254.90
Money market	0.00	
Payroll	152,780.90	
Donations	44,414.92	
Unemployment	364,888.33	
Certificate of deposit	0.00	
Total current assets	39,688,693.24	727,254.90
RECEIVABLES		
State claim	64,374,233.93	
Client support revenue	270,727.69	17,887.14
Due from State - prior years	7,858,092.59	
Due from ICF - ICF Supplemental Services	9,107,162.74	
Total receivables	81,610,216.95	17,887.14
PREPAID ITEMS		
Deposits	342,375.88	
Prepaid expense	0.00	
Total prepaid items	342,375.88	0.00
OTHER ASSETS		
Tenant improvements	715,823.45	
Building acquisition	63,613.98	
Total other assets	779,437.43	0.00
TOTAL ASSETS	\$122,420,723.50	\$745,142.04
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$18,974,372.68	\$270,727.69
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	102,984,525.00	
Unemployment insurance	364,888.33	
Total liabilities	122,376,308.58	270,727.69
FUND BALANCES		
General	0.00	
Donations	44,414.92	
Custodial		474,414.35
TOTAL LIABILITIES AND FUND BALANCES	\$122,420,723.50	\$745,142.04

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
MARCH 31, 2018

Beginning Balance		\$45,069.29
Donations:		
Network for Good	\$50.00	
STAX	200.00	
Your Cause	<u>500.00</u>	
Subtotal Donations		\$750.00
Loan Payments		270.00
Interest		5.63
Disbursements:		
Loan for moving costs, rent, security deposit		-1,680.00
Net Increase (Decrease)		<u>-654.37</u>
Ending Balance		<u><u>\$44,414.92</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: May 3, 2018
TO: RCOC Board of Directors
FROM: Budget and Finance Committee
SUBJECT: Approval of Budget Amendment C-5, Fiscal Year 2016-17

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services (DDS) has allocated additional funds to RCOC's Community Placement Plan.

FISCAL IMPACT:

Purchase of Service\$19,203

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment.

Agenda Item III.C.-2.c.

STANDARD AGREEMENT AMENDMENT

STD. 213 A (Rev 9/01)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 1 Pages

AGREEMENT NUMBER

AMENDMENT NUMBER

HD149014

C-5

1. This Agreement is entered into between the State Agency and Contractor named below:

STATE AGENCY'S NAME

Department of Developmental Services

CONTRACTOR'S NAME

Regional Center of Orange County, Inc.

2. The term of this Agreement is: July 1, 2014, through June 30, 2021

3. The maximum amount of this Agreement after this amendment is: \$382,152,019

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

- a. The effective date of this amendment is April 11, 2018.
- b. Section 8 of article I is amended and reads as follows: "8. The total amount payable to Contractor under this contract agreement shall not exceed \$382,152,019 Year 2016/2017 as reflected in Exhibit A, Page 1 of this contract".

Fiscal Year funds identified above may not be used for any other fiscal year, than the fiscal year specified unless authorized by the Department to do so.

- c. Replaced by this amendment is Exhibit A, Page 1, which is attached hereto and made a part of this contract.
- d. All other terms and conditions remain the same.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.)

Regional Center of Orange County, Inc.

BY (Authorized Signature)



DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Alan Martin, Chairperson

ADDRESS

1525 N. Tustin Avenue
Santa Ana, CA 92705**STATE OF CALIFORNIA**

AGENCY NAME

Department of Developmental Services

BY (Authorized Signature)



DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Pamela S. Robison, Chief, Customer Support Section

ADDRESS

1600 9th Street, Room 300, MS 3-18
Sacramento, CA 95814CALIFORNIA
Department of General Services
Use Only☐ Exempt per:

**CONTRACT BUDGET SUMMARY
2016-17 FISCAL YEAR**

Regional Center of Orange County, Inc.
Contracting Agency

Contract Number

HD149014
Total C-5 Contract
April 11, 2018

EXHIBIT A

TOTAL OPERATIONS

\$43,225,033

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:

Mental Health Services Fund	0
Foster Grandparent Program (federal portion) ^{1/}	0
Agnews Ongoing Workload (Non-CPP)	0
Lanterman DC Closure (Non-CPP)	0

Total CPP Items	534,750
<i>Sonoma DC Closure</i>	0
<i>Fairview Closure</i>	259,700
<i>Porterville Closure</i>	0
<i>Regular CPP</i>	275,050

TOTAL PURCHASE OF SERVICES

\$338,786,589

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:

Part C ^{2/}	874,243
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Total CPP Items	9,524,180
<i>Sonoma DC Closure</i>	0
<i>Start-Up</i>	0
<i>Placement</i>	0
<i>Fairview Closure</i>	8,841,102
<i>Start-Up</i>	8,439,502
<i>Placement</i>	401,600
<i>Porterville Closure</i>	0
<i>Start-Up</i>	0
<i>Placement</i>	0
<i>Regular CPP</i>	683,078
<i>Start-Up</i>	0
<i>Assessment</i>	1,803
<i>Placement</i>	681,275
<i>Deflection</i>	0

TOTAL EARLY INTERVENTION

Family Resource Centers/Network	\$140,397
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TOTAL FAMILY RESOURCE SERVICES

\$0

TOTAL BUDGET

\$382,152,019

1/ FGP: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No. : 16SFPCA002.

Senior Companion (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No. : 16SCPCA002.

2/ Part C: CFDA Title: Infant and Toddlers with Disabilities, Program Title: Special Education-Grants for Infants and Families with Disabilities.
CFDA Number: 84.181A, Award No: H181A160037.

Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education

Part C Percent of Contract Funding:

0.23%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION_____

ACTION/CONSENT_____

DISCUSSION_____

INFO ONLY___X___

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Budget and Finance Committee

SUBJECT: Review Draft of Form 990, Return of Organization Exempt from Income
Tax for the Year Ended June 30, 2017

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax exempt organizations to receive a draft of Form 990 prior to filing. Staff do not anticipate having a draft available for the Board meeting on May 3rd; however, a draft will be sent to the Board prior to the filing deadline on May 15, 2018.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board review the draft of Form 990 when they receive it.

Summary of Information About Persons Served - February 2018

NUMBER OF PERSONS SERVED	20,960	100%
Children - Birth to Age Three Receiving Early Start Services	3,354	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,691	32%
Adults - Ages 18 and Older Receiving Lanterman Services	10,915	52%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	375
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,820	69%
Epilepsy	2,883	17%
Cerebral Palsy	2,616	15%
Autism	6,490	36%
Fifth Category*	1,235	7%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	394
Early Start / Under Age Three / 45 days to complete determination	348
Lanterman / Over Age Three / 120 days to complete determination	46

NUMBER OF PERSONS DETERMINED ELIGIBLE	28
Children - Birth to Age Three Eligible for Early Start Services	4
Children and Adults - Ages Three and Older Eligible for Lanterman Services	24
<ul style="list-style-type: none"> Number of children who received Early Start services 	14
<ul style="list-style-type: none"> Number of children who received Early Start services and had a diagnosis of autism 	7
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	151
Children - Age Three No Longer Eligible for Early Start Services	146
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	5

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

FEBRUARY 2018 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2017-18

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	35	40	33	34	23	30
Unannounced	35	59	53	52	45	42
Total Number of Reviews	70	99	86	86	68	72

Provider Trainings	1	0	0	0	0	0
Technical Support	105	228	193	179	175	173
Corrective Action Plans	11	16	14	4	8	1
Special Incident Investigations*	29	30	25	26	31	21

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	35	32					262
Unannounced	47	57					390
Total Number of Reviews	82	89	0	0	0	0	652

Provider Trainings	0	0					1
Technical Support	156	145					1,354
Corrective Action Plans	8	10					72
Special Incident Investigations*	43	53					258

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;

(B) Reasonably suspected abuse/exploitation including:

1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.

(C) Reasonably suspected neglect including failure to:

1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.

(D) A serious injury/accident including:

1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.

(E) Any unplanned or unscheduled hospitalization due to the following conditions:

1. Respiratory illness, including but not limited to, asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubitus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;

(2) The following special incidents regardless of when or where they occurred:

(A) The death of any consumer, regardless of cause;

(B) The consumer is the victim of a crime including the following:

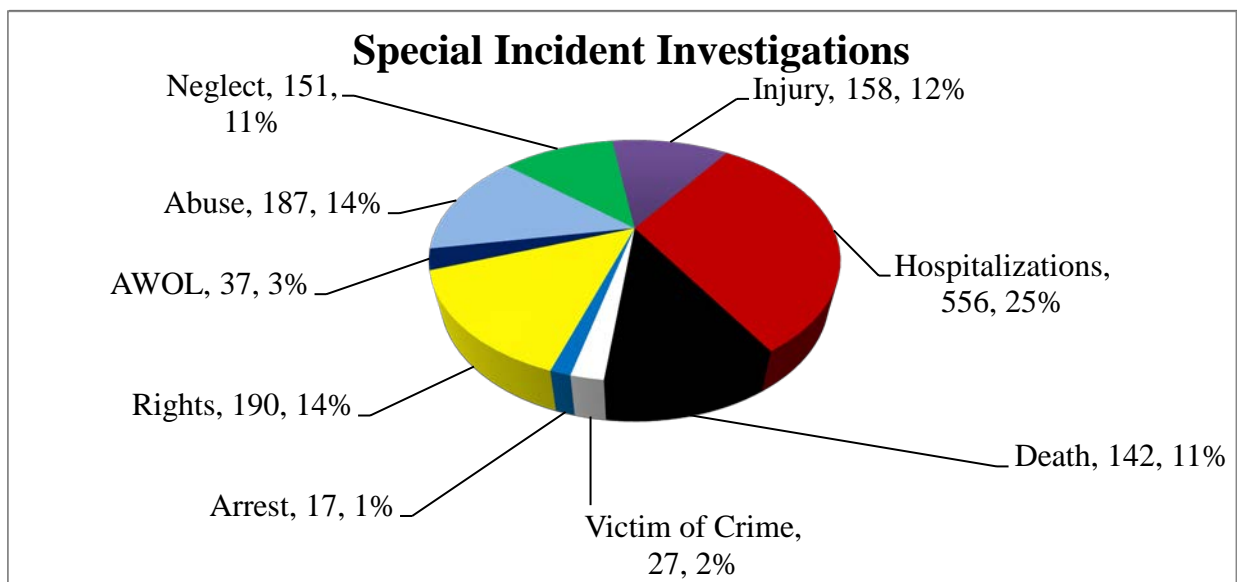
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2017-18

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	6	6	5	5	4	4
Abuse	27	18	16	25	20	16
Neglect	16	21	16	36	9	15
Injury	15	24	18	36	17	11
Hospitalizations - Total	40	40	54	61	38	49
<i>Psychiatric</i>	8	10	17	14	6	10
<i>Medical</i>	32	30	37	47	32	39
Death	12	21	10	14	14	20
Victim of crime	3	1	3	7	1	3
Arrest	0	6	2	1	1	6
Rights	8	17	23	32	13	25
Total	127	154	147	217	117	149

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	5	2					37
Abuse	42	23					187
Neglect	14	24					151
Injury	14	23					158
Hospitalizations - Total	77	59					418
<i>Psychiatric</i>	19	17					101
<i>Medical</i>	58	42					317
Death	28	23					142
Victim of Crime	6	3					27
Arrest	0	1					17
Rights	12	60					190
Total	198	218	0	0	0	0	1,327



COMMUNITY LIFE continued

Provider Audits

Fiscal Year 2017-18

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	1	1	0	0	1	2
Staffing	3	1	0	0	3	0
Level 4I Consultant	2	0	0	0	0	0
P&I (consumer funds)	0	0	1	1	0	0
Total Number of Audits	6	2	1	1	4	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	1	0	1	0	0	0
Recovery	2	1	1	1	4	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$25,217.10	\$555.00	\$1,908.00	\$3,412.00	\$47,273.80	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0					5
Staffing	0	2					9
Level 4I Consultant	2	2					6
P&I (consumer funds)	0	2					4
Total Number of Audits	2	6	0	0	0	0	24

Number of Appeals / Recoveries

State Appeal	0	1					3
Recovery	0	1					10

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$166.89					\$78,532.79
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Vouchers - Families Procure Their Own Services

Fiscal Year 2017-18

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	107	115	116	114	116	114
Diapers - Family Member	5	5	5	4	7	7
Nursing Service - Family Member	48	50	50	48	51	55
Respite Service - Family Member	323	334	340	357	366	373
Transportation - Family Member	186	189	187	178	174	189
<i>Total Number of Voucher Authorizations</i>	669	693	698	701	714	738

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	112	114				
Diapers - Family Member	8	8				
Nursing Service - Family Member	53	52				
Respite Service - Family Member	384	392				
Transportation - Family Member	179	185				
<i>Total Number of Voucher Authorizations</i>	736	751	0	0	0	0

EARLY INTERVENTION / PREVENTION

Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Developmental Screenings

Fiscal Year 2017-18

Referrals to:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Regional Center of Orange County	0	43	31	35	23	13
School District	0	24	29	21	23	14
Physician	0	39	28	36	38	8
Mental Health	0	17	20	8	16	14
Rescreen	0	4	1	8	9	1
Dental	0	15	16	20	12	8
Optometrist	0	19	15	7	7	9
Insurance	0	0	0	0	0	0
Other	0	0	0	0	0	0
<i>Total Number of Referrals</i>	0	161	140	135	128	67

<i>Number of Children Screened</i>	95	117	95	102	76	37
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Referrals to:	Jan.	Feb.	Mar.	Apr.	May	June	Total
Regional Center of Orange County	50	27					222
School District	22	12					145
Physician	24	10					183
Mental Health	18	7					100
Rescreen	6	0					29
Dental	9	4					84
Optometrist	20	4					81
Insurance	0	0					0
Other	0	0					0
<i>Total Number of Referrals</i>	149	64	0	0	0	0	844

<i>Number of Children Screened</i>	115	45					682
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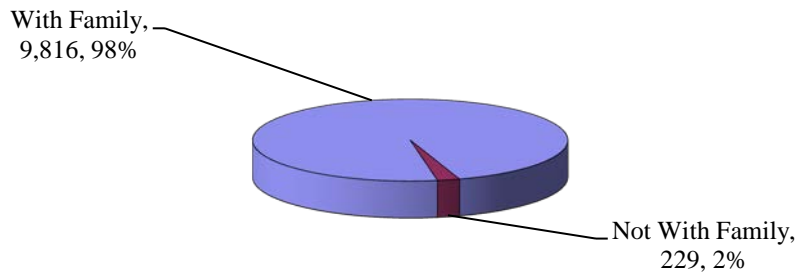
LIVING OPTIONS

Related Guiding Principles

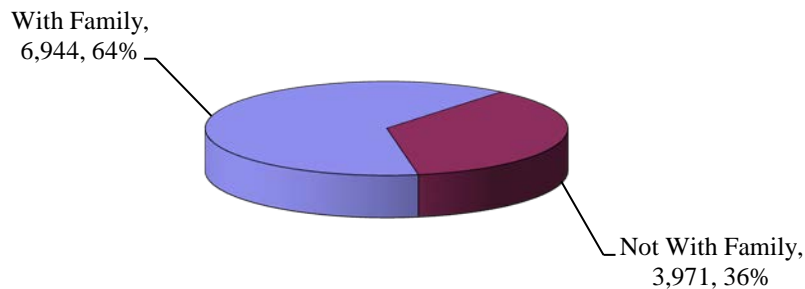
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	16,760	9,816	6,944
Not With Family	4,200	229	3,971
Total	20,960	10,045	10,915

Where Persons Served Under 18 Live



Where Persons Served Over 18 Live

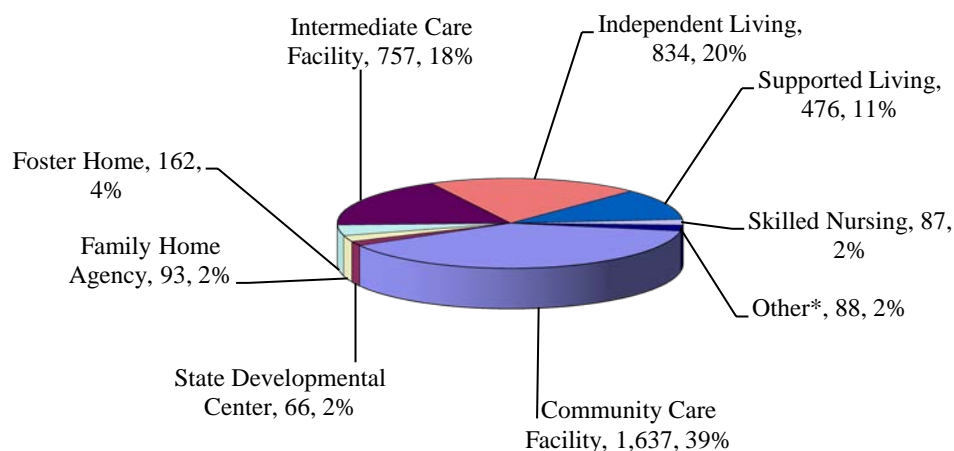


LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	16,760	9,816	6,944
Community Care Facility	1,637	47	1,590
State Developmental Center	66	0	66
Family Home Agency	93	0	93
Foster Home	162	151	11
Intermediate Care Facility	757	4	753
Independent Living	834	0	834
Supported Living	476	0	476
Skilled Nursing	87	0	87
Other*	88	27	61
Total	20,960	10,045	10,915

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	1	0	1
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	6	1	5
Rehabilitation Center	4	1	3
SDC / State Hospital	0	0	0
Sub-Acute	51	24	27
Transient / Homeless	18	0	18
Total, Other*	88	27	61

Where Persons Served Live When Not With Family



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

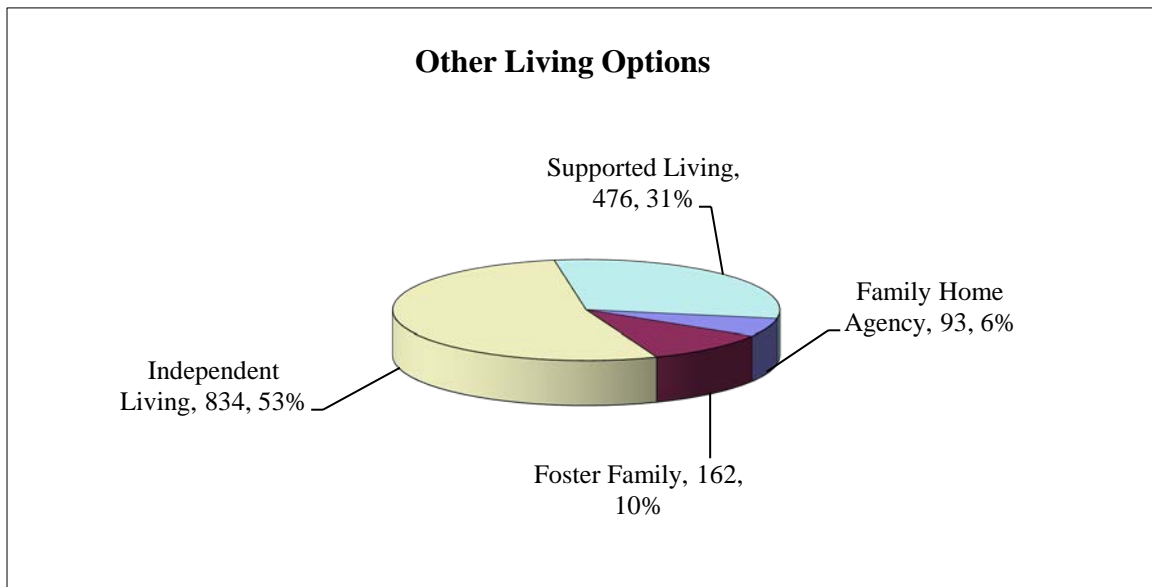
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	93	0	93
Foster Family	162	151	11
Independent Living	834	0	834
Supported Living	476	0	476
Total	1,565	151	1,414



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

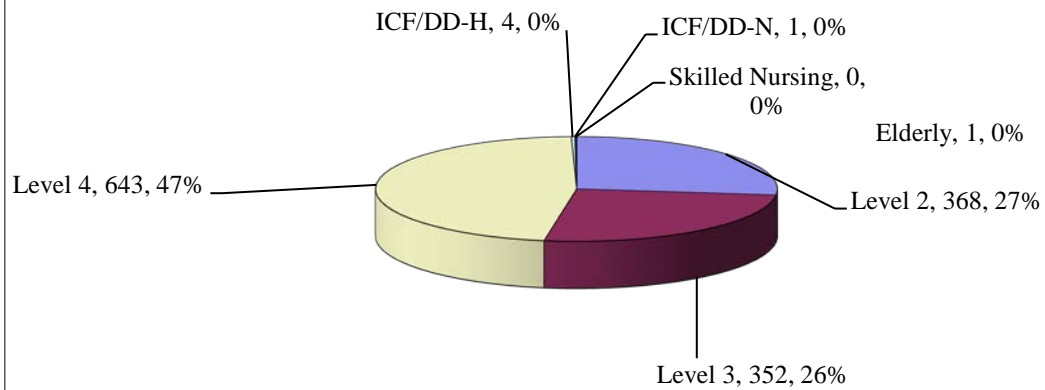
Persons Served Who Reside in Licensed Facilities Funded by RCOC

Fiscal Year 2017-18

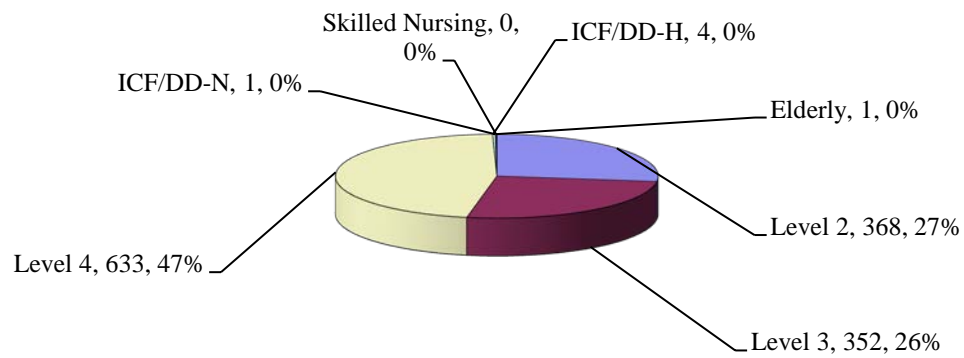
Licensed Facilities	Total	Over 18	Under 18
Level 2	368	368	0
Level 3	352	352	0
Level 4A	45	45	0
Level 4B	7	7	0
Level 4C	71	71	0
Level 4D	43	43	0
Level 4E	34	34	0
Level 4F	69	69	0
Level 4G	27	27	0
Level 4H	5	5	0
Level 4I	342	332	10
Elderly	1	1	0
ICF/DD-H	4	4	0
ICF/DD-N	1	1	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,369	1,359	10

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	368	368	0
Level 3	352	352	0
Level 4	643	633	10
ICF/DD-H	4	4	0
ICF/DD-N	1	1	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,369	1,359	10

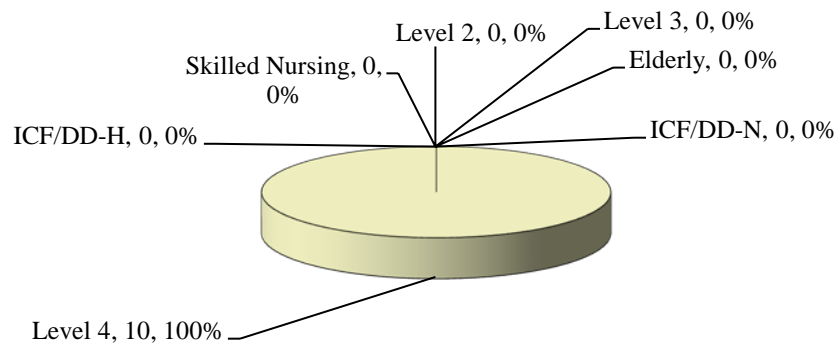
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

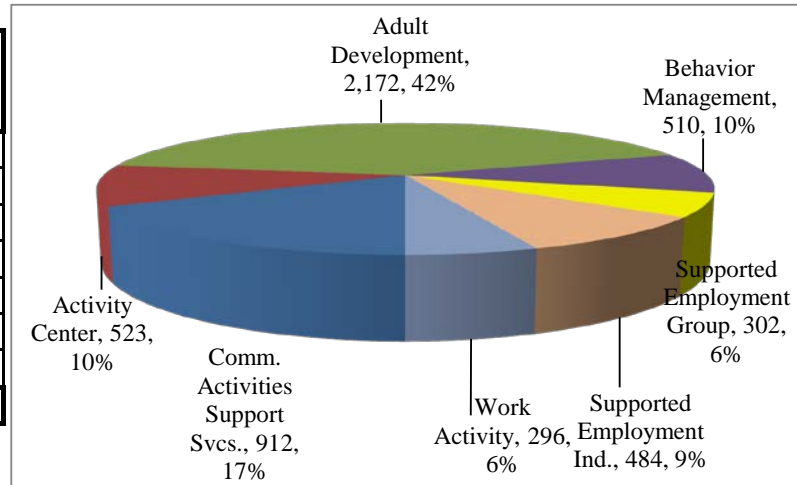


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	912
Activity Center	523
Adult Development	2,172
Behavior Management	510
Supported Employment Group	302
Supported Employment Ind.	484
Work Activity	296
Total	5,199



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2017-18

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	285.0	285.0	290.0	288.0	288.0	288.0
Number of Case-Carrying SCs	258.0	258.0	263.0	264.0	260.0	260.0
Number of Intake SCs	27.0	27.0	27.0	28.0	28.0	28.0
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	20,607	20,669	20,644	20,753	20,836	20,884
Caseload Ratio, # of Active Persons Served/SCs	79.9	80.1	78.5	78.6	80.1	80.3

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	294.0	305.0				
Number of Case-Carrying SCs	28.0	28.0				
Number of Intake SCs	266.0	277.0				
Number of State Developmental Center SCs	0.0	0.0				
Number of Active Persons Served	20,878	20,877				
Caseload Ratio, # of Active Persons Served/SCs	78.5	75.4				

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2017-18

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	20	22	13	11	20	13	11	13				
Eligibility - Lanterman	12	12	7	4	13	7	7	8				
Behavioral services	1	1	2	3	2	0	0	0				
Respite	3	3	0	0	1	1	0	0				
Day Care	1	1	1	1	0	0	0	1				
ILS/SLS	0	0	0	0	0	0	0	0				
Personal Assistance	0	0	1	0	0	1	0	1				
Other**	3	5	2	3	4	4	4	3				

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	8	3	8	1	5	2	5	8				
Eligibility - Lanterman	6	2	3	1	4	0	0	4				
Eligibility - Early Start	0	0	0	0	0	0	4	0				
Behavioral services	0	0	2	0	0	0	0	0				
Respite	1	0	0	0	0	0	0	0				
Day Care	0	0	1	0	0	0	0	1				
Social/Recreational	0	0	0	0	0	0	0	0				
Personal Assistance	0	0	1	0	0	1	0	1				
Other**	1	1	1	0	1	1	1	2				

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	4	12	6	3	4	5	2	5	0	0	0	0
Number of Informal Meetings Held	4	8	4	3	4	2	2	5				
Number of Mediations Held	0	3	1	0	0	2	0	0				
Number of SLFHs Held	0	1	1	0	0	1	0	0				

Number of Requests in Scheduling*	15	2	3	5	7	3	3	1				
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* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0	0	0	0	0	1	0	0				
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* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	1	8	4	3	9	4	6	7	0	0	0	0
Withdrawn by Person Served/Family	1	4	1	0	5	2	0	5				
Settled in Informal	0	1	1	1	1	2	1	2				
Settled after further follow-up by RCOC	0	0	0	2	3	0	4	0				
Settled in Mediation	0	2	1	0	0	0	0	0				
SLFH Decision	0	1	1	0	0	0	1	0				

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0	0	0	0	0				
RCOC	0	1	1	0	0	0	0	0				
Split	0	0	0	0	0	0	0	0				

ADMINISTRATION AND GOVERNANCE

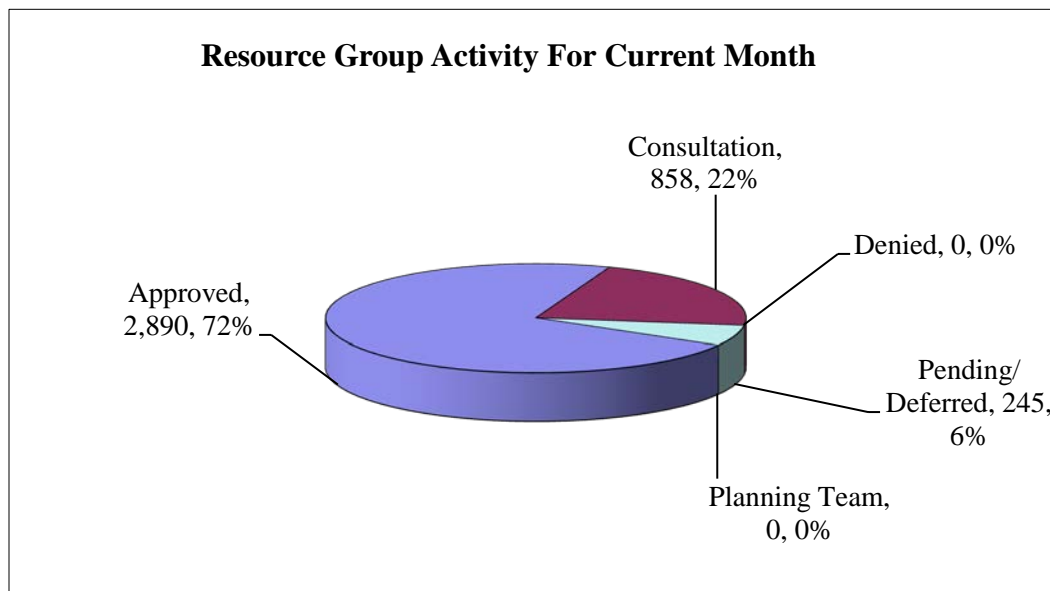
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for February 2018 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	409	295	0	49	0	753
Behavioral	300	127	0	26	0	453
Education	0	18	0	0	0	18
Eligibility/Health	103	0	0	5	0	108
Early Start	994	156	0	102	0	1,252
Living Options	179	158	0	2	0	339
Supported/Ind.	218	73	0	17	0	308
All Others	687	31	0	44	0	762
Monthly Total	2,890	858	0	245	0	3,993

FY 2017-18 Total to Date	19,235	6,618	0	1,360	0	27,213
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Operations Report Summary - February 2018

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,354	7,826	9,714	66	20,960	10,045	10,915
Percentage of Total	16%	37%	46%	0%	100%	48%	52%

Children served in Prevention Resource and Referral Services	375
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Persons Served by Residence Status	All	Under 18	Over 18	Special Incident Investigations	Year to Date
Family Home	16,760	9,816	6,944	AWOL	37
Community Care Facility	1,637	47	1,590	Abuse	187
State Developmental Center	66	0	66	Neglect	151
Family Home Agency	93	0	93	Injury	158
Foster Home	162	151	11	Hospitalizations - Total	418
Intermediate Care Facility	757	4	753	Death	142
Independent Living	834	0	834	Victim of crime	27
Supported Living	476	0	476	Arrest	17
Skilled Nursing	87	0	87	Rights	190
Other	88	27	61		
Total	20,960	10,045	10,915	Total	1,327

Number of Licensed Facilities	Total	Under 18	Over 18	Licensed Facility Monitoring	Year to Date
Community Care Facilities				Annual Review	262
Level 2	82	0	82	Unannounced	390
Level 3	71	1	70	Total Number of Reviews	652
Level 4	156	13	143	Provider Trainings	1
Total Community Care Facilities	309	14	295	Technical Support	1,354
				Corrective Action Plans	72
Intermediate Care Facilities (ICF)					
ICF-DD	1			Number of Audits	24
ICF-DD/Habilitation	84			Amount of Recovery from Audits	\$78,533
ICF-DD/Nursing	39				
Total ICF Facilities	124				
Total Licensed Facilities	433				

Summary of Information About Persons Served - March 2018

NUMBER OF PERSONS SERVED	21,090	100%
Children - Birth to Age Three Receiving Early Start Services	3,428	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,704	32%
Adults - Ages 18 and Older Receiving Lanterman Services	10,958	52%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	369
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,835	69%
Epilepsy	2,880	17%
Cerebral Palsy	2,609	15%
Autism	6,548	36%
Fifth Category*	1,245	7%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	484
Early Start / Under Age Three / 45 days to complete determination	411
Lanterman / Over Age Three / 120 days to complete determination	73

NUMBER OF PERSONS DETERMINED ELIGIBLE	51
Children - Birth to Age Three Eligible for Early Start Services	9
Children and Adults - Ages Three and Older Eligible for Lanterman Services	41
<ul style="list-style-type: none"> Number of children who received Early Start services 	23
<ul style="list-style-type: none"> Number of children who received Early Start services and had a diagnosis of autism 	15
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	1

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	198
Children - Age Three No Longer Eligible for Early Start Services	198
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

MARCH 2018 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2017-18

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	35	40	33	34	23	30
Unannounced	35	59	53	52	45	42
Total Number of Reviews	70	99	86	86	68	72

Provider Trainings	1	0	0	0	0	0
Technical Support	105	228	193	179	175	173
Corrective Action Plans	11	16	14	4	8	1
Special Incident Investigations*	29	30	25	26	31	21

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	35	32	47				309
Unannounced	47	57	49				439
Total Number of Reviews	82	89	96	0	0	0	748

Provider Trainings	0	0	0				1
Technical Support	156	145	211				1,565
Corrective Action Plans	8	10	10				82
Special Incident Investigations*	43	53	56				314

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;

(B) Reasonably suspected abuse/exploitation including:

1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.

(C) Reasonably suspected neglect including failure to:

1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.

(D) A serious injury/accident including:

1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.

(E) Any unplanned or unscheduled hospitalization due to the following conditions:

1. Respiratory illness, including but not limited to, asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubitus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;

(2) The following special incidents regardless of when or where they occurred:

(A) The death of any consumer, regardless of cause;

(B) The consumer is the victim of a crime including the following:

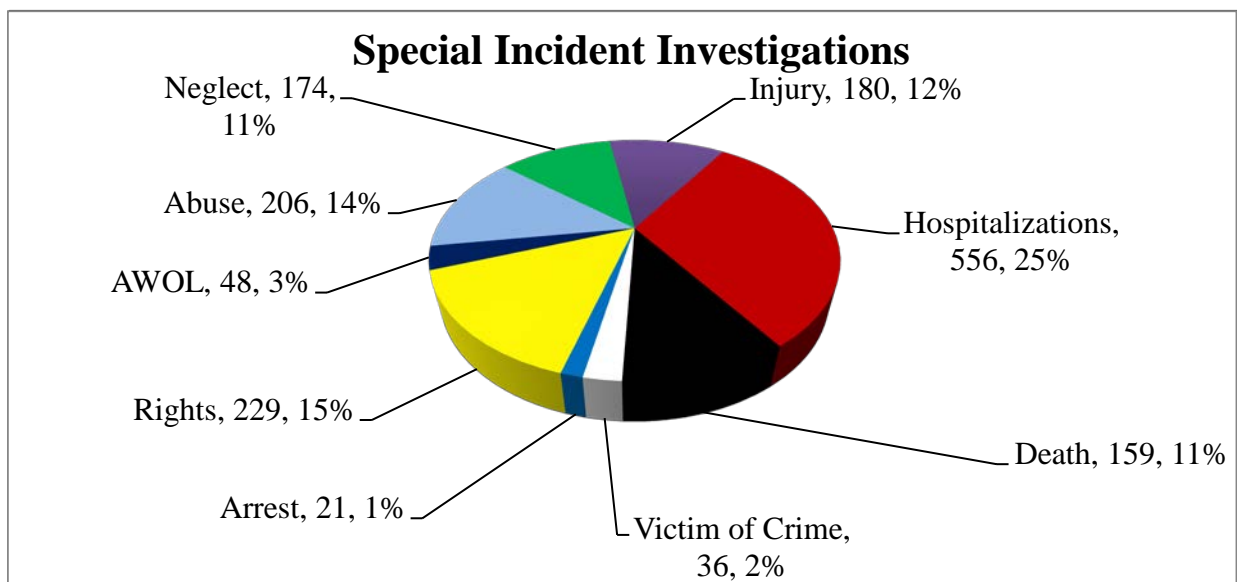
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2017-18

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	6	6	5	5	4	4
Abuse	27	18	16	25	20	16
Neglect	16	21	16	36	9	15
Injury	15	24	18	36	17	11
Hospitalizations - Total	40	40	54	61	38	49
<i>Psychiatric</i>	8	10	17	14	6	10
<i>Medical</i>	32	30	37	47	32	39
Death	12	21	10	14	14	20
Victim of crime	3	1	3	7	1	3
Arrest	0	6	2	1	1	6
Rights	8	17	23	32	13	25
Total	127	154	147	217	117	149

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	5	2	11				48
Abuse	42	23	19				206
Neglect	14	24	23				174
Injury	14	23	22				180
Hospitalizations - Total	77	59	58				476
<i>Psychiatric</i>	19	17	16				117
<i>Medical</i>	58	42	42				359
Death	28	23	17				159
Victim of Crime	6	3	9				36
Arrest	0	1	4				21
Rights	12	60	39				229
Total	198	218	202	0	0	0	1,529



COMMUNITY LIFE continued

Provider Audits

Fiscal Year 2017-18

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	1	1	0	0	1	2
Staffing	3	1	0	0	3	0
Level 4I Consultant	2	0	0	0	0	0
P&I (consumer funds)	0	0	1	1	0	0
Total Number of Audits	6	2	1	1	4	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	1	0	1	0	0	0
Recovery	2	1	1	1	4	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$25,217.10	\$555.00	\$1,908.00	\$3,412.00	\$47,273.80	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0	0				5
Staffing	0	2	0				9
Level 4I Consultant	2	2	0				6
P&I (consumer funds)	0	2	3				7
Total Number of Audits	2	6	3	0	0	0	27

Number of Appeals / Recoveries

State Appeal	0	1	0				3
Recovery	0	1	0				10

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$166.89	\$3,639.00				\$82,171.79
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Vouchers - Families Procure Their Own Services

Fiscal Year 2017-18

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	107	115	116	114	116	114
Diapers - Family Member	5	5	5	4	7	7
Nursing Service - Family Member	48	50	50	48	51	55
Respite Service - Family Member	323	334	340	357	366	373
Transportation - Family Member	186	189	187	178	174	189
<i>Total Number of Voucher Authorizations</i>	669	693	698	701	714	738

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	112	114	117			
Diapers - Family Member	8	8	7			
Nursing Service - Family Member	53	52	51			
Respite Service - Family Member	384	392	397			
Transportation - Family Member	179	185	187			
<i>Total Number of Voucher Authorizations</i>	736	751	759	0	0	0

EARLY INTERVENTION / PREVENTION

Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Developmental Screenings

Fiscal Year 2017-18

Referrals to:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Regional Center of Orange County	0	43	31	35	23	13
School District	0	24	29	21	23	14
Physician	0	39	28	36	38	8
Mental Health	0	17	20	8	16	14
Rescreen	0	4	1	8	9	1
Dental	0	15	16	20	12	8
Optometrist	0	19	15	7	7	9
Insurance	0	0	0	0	0	0
Other	0	0	0	0	0	0
<i>Total Number of Referrals</i>	0	161	140	135	128	67

<i>Number of Children Screened</i>	95	117	95	102	76	37
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Referrals to:	Jan.	Feb.	Mar.	Apr.	May	June	Total
Regional Center of Orange County	50	27	No Data Available				222
School District	22	12					145
Physician	24	10					183
Mental Health	18	7					100
Rescreen	6	0					29
Dental	9	4					84
Optometrist	20	4					81
Insurance	0	0					0
Other	0	0					0
<i>Total Number of Referrals</i>	149	64	0	0	0	0	844

<i>Number of Children Screened</i>	115	45					682
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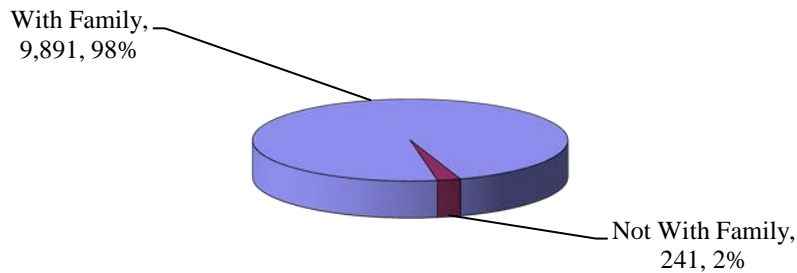
LIVING OPTIONS

Related Guiding Principles

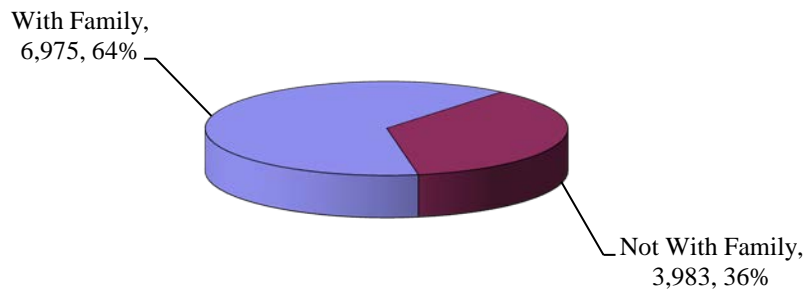
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	16,866	9,891	6,975
Not With Family	4,224	241	3,983
Total	21,090	10,132	10,958

Where Persons Served Under 18 Live



Where Persons Served Over 18 Live

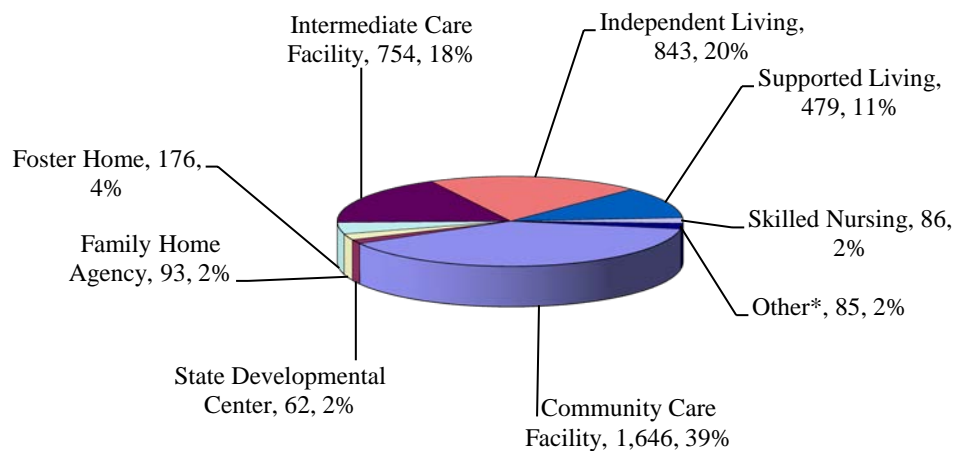


LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	16,866	9,891	6,975
Community Care Facility	1,646	46	1,600
State Developmental Center	62	0	62
Family Home Agency	93	0	93
Foster Home	176	165	11
Intermediate Care Facility	754	4	750
Independent Living	843	0	843
Supported Living	479	0	479
Skilled Nursing	86	0	86
Other*	85	26	59
Total	21,090	10,132	10,958

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	1	1	0
Correctional Institution	0	0	0
County Jail	1	0	1
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	6	1	5
Rehabilitation Center	4	1	3
SDC / State Hospital	0	0	0
Sub-Acute	50	23	27
Transient / Homeless	17	0	17
Total, Other*	85	26	59

Where Persons Served Live When Not With Family



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

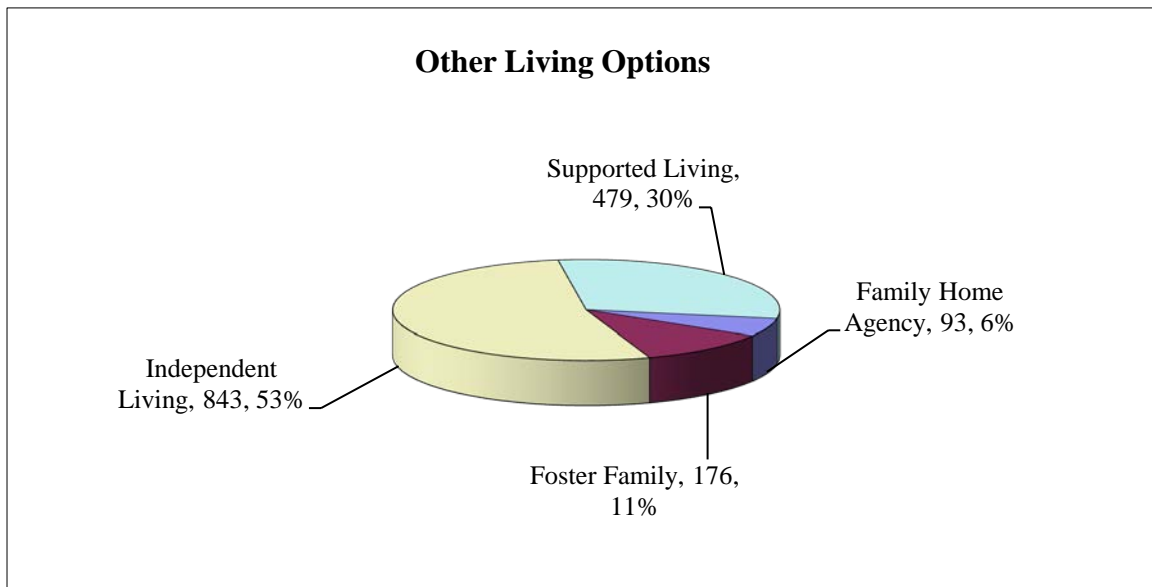
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	93	0	93
Foster Family	176	165	11
Independent Living	843	0	843
Supported Living	479	0	479
Total	1,591	165	1,426



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

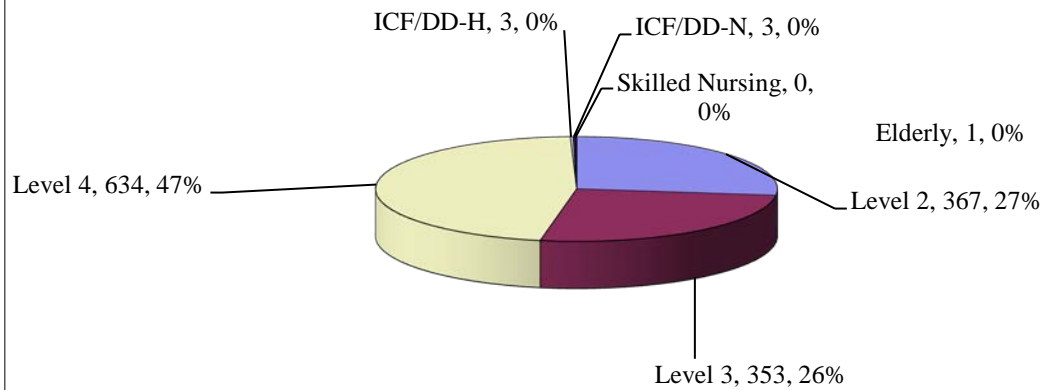
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2017-18

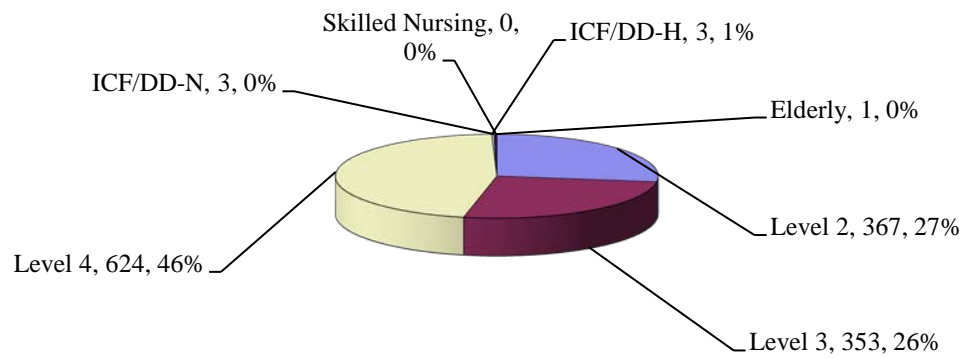
Licensed Facilities	Total	Over 18	Under 18
Level 2	367	367	0
Level 3	353	353	0
Level 4A	45	45	0
Level 4B	6	6	0
Level 4C	68	68	0
Level 4D	43	43	0
Level 4E	33	33	0
Level 4F	65	65	0
Level 4G	27	27	0
Level 4H	5	5	0
Level 4I	342	332	10
Elderly	1	1	0
ICF/DD-H	3	3	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,361	1,351	10

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	367	367	0
Level 3	353	353	0
Level 4	634	624	10
ICF/DD-H	3	3	0
ICF/DD-N	3	3	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,361	1,351	10

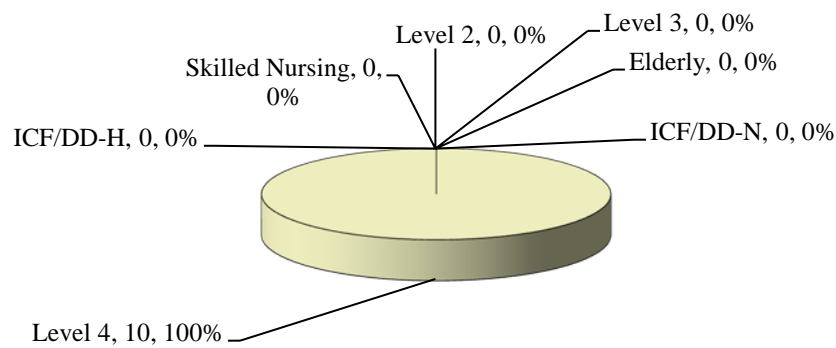
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

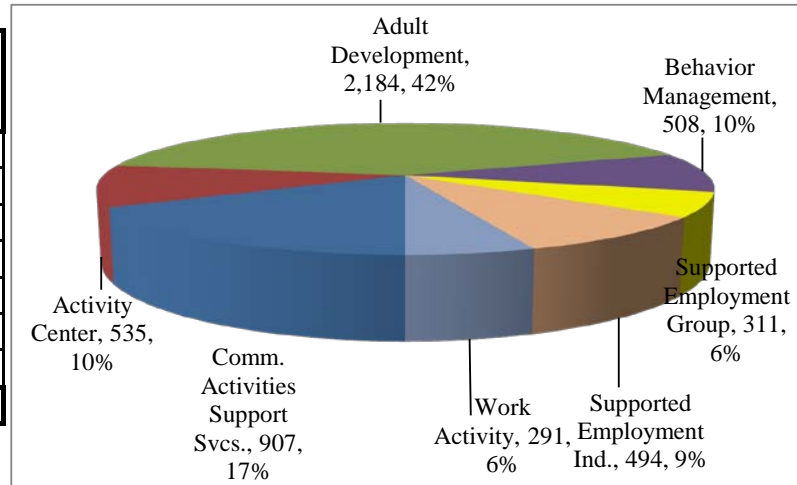


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	907
Activity Center	535
Adult Development	2,184
Behavior Management	508
Supported Employment Group	311
Supported Employment Ind.	494
Work Activity	291
Total	5,230



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2017-18

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	285.0	285.0	290.0	288.0	288.0	288.0
Number of Case-Carrying SCs	258.0	258.0	263.0	264.0	260.0	260.0
Number of Intake SCs	27.0	27.0	27.0	28.0	28.0	28.0
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	20,607	20,669	20,644	20,753	20,836	20,884
Caseload Ratio, # of Active Persons Served/SCs	79.9	80.1	78.5	78.6	80.1	80.3

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	294.0	305.0	304.0			
Number of Case-Carrying SCs	28.0	28.0	28.0			
Number of Intake SCs	266.0	277.0	276.0			
Number of State Developmental Center SCs	0.0	0.0	0.0			
Number of Active Persons Served	20,878	20,877	21,013			
Caseload Ratio, # of Active Persons Served/SCs	78.5	75.4	76.1			

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2017-18

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	20	22	13	11	20	13	11	13	13			
Eligibility - Lanterman	12	12	7	4	13	7	7	8	6			
Behavioral services	1	1	2	3	2	0	0	0	0			
Respite	3	3	0	0	1	1	0	0	1			
Day Care	1	1	1	1	0	0	0	1	1			
ILS/SLS	0	0	0	0	0	0	0	0	0			
Personal Assistance	0	0	1	0	0	1	0	1	2			
Other**	3	5	2	3	4	4	4	3	3			

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	8	3	8	1	5	2	5	8	8			
Eligibility - Lanterman	6	2	3	1	4	0	0	4	5			
Eligibility - Early Start	0	0	0	0	0	0	4	0	0			
Behavioral services	0	0	2	0	0	0	0	0	0			
Respite	1	0	0	0	0	0	0	0	0			
Day Care	0	0	1	0	0	0	0	1	0			
Social/Recreational	0	0	0	0	0	0	0	0	0			
Personal Assistance	0	0	1	0	0	1	0	1	1			
Other**	1	1	1	0	1	1	1	2	2			

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	4	12	6	3	4	5	2	5	7	0	0	0
Number of Informal Meetings Held	4	8	4	3	4	2	2	5	4			
Number of Mediations Held	0	3	1	0	0	2	0	0	2			
Number of SLFHs Held	0	1	1	0	0	1	0	0	1			

Number of Requests in Scheduling*	15	2	3	5	7	3	3	1	0			
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* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0	0	0	0	0	1	0	0	0			
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* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	1	8	4	3	9	4	6	7	7	0	0	0
Withdrawn by Person Served/Family	1	4	1	0	5	2	0	5	0			
Settled in Informal	0	1	1	1	1	2	1	2	2			
Settled after further follow-up by RCO	0	0	0	2	3	0	4	0	3			
Settled in Mediation	0	2	1	0	0	0	0	0	1			
SLFH Decision	0	1	1	0	0	0	1	0	1			

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0	0	0	0	0	0			
RCOC	0	1	1	0	0	0	0	0	1			
Split	0	0	0	0	0	0	0	0	0			

ADMINISTRATION AND GOVERNANCE

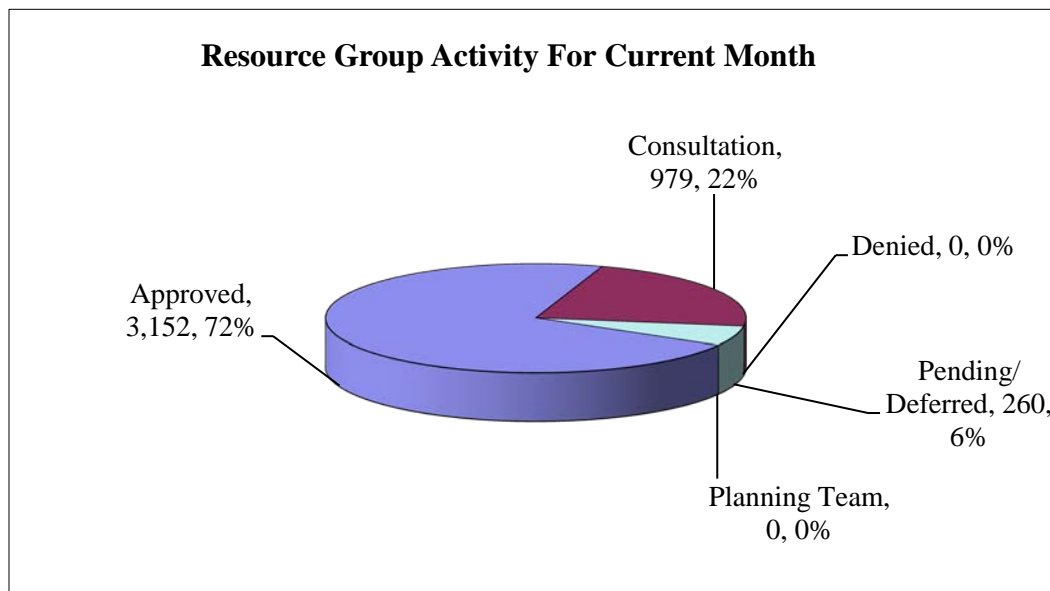
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for March 2018 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/ Deferred	Planning Team	Total
Adult Day	483	326	0	52	0	861
Behavioral	301	139	0	31	0	471
Education	0	12	0	1	0	13
Eligibility/Health	103	1	0	4	0	108
Early Start	1,074	196	0	97	0	1,367
Living Options	187	175	0	1	0	363
Supported/Ind.	203	74	0	18	0	295
All Others	801	56	0	56	0	913
Monthly Total	3,152	979	0	260	0	4,391

FY 2017-18 Total to Date	19,235	6,618	0	1,360	0	27,213
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Operations Report Summary - March 2018

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,428	7,936	9,664	62	21,090	10,132	10,958
Percentage of Total	16%	38%	46%	0%	100%	48%	52%

Children served in Prevention Resource and Referral Services	369
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Persons Served by Residence Status	All	Under 18	Over 18	Special Incident Investigations	Year to Date
Family Home	16,866	9,891	6,975	AWOL	48
Community Care Facility	1,646	46	1,600	Abuse	206
State Developmental Center	62	0	62	Neglect	174
Family Home Agency	93	0	93	Injury	180
Foster Home	176	165	11	Hospitalizations - Total	476
Intermediate Care Facility	754	4	750	Death	159
Independent Living	843	0	843	Victim of crime	36
Supported Living	479	0	479	Arrest	21
Skilled Nursing	86	0	86	Rights	229
Other	85	26	59		
Total	21,090	10,132	10,958	Total	1,529

Number of Licensed Facilities	Total	Under 18	Over 18	Licensed Facility Monitoring	Year to Date
Community Care Facilities				Annual Review	309
Level 2	81	0	81	Unannounced	439
Level 3	71	1	70	Total Number of Reviews	748
Level 4	156	13	143	Provider Trainings	1
Total Community Care Facilities	308	14	294	Technical Support	1,565
				Corrective Action Plans	82
Intermediate Care Facilities (ICF)					
ICF-DD	1			Number of Audits	27
ICF-DD/Habilitation	83			Amount of Recovery from Audits	\$82,172
ICF-DD/Nursing	39				
Total ICF Facilities	123				
Total Licensed Facilities	431				



Performance Contract Summary

RCOC 3/01/18	All	RCOC #	Goal	Percentage	#Attained
Developmental Center (DC)	20,943	66	56	0.31%	-10
Children in Foster Homes (FH)	10,041	151	175	1.50%	-24
Children Own Home Parent/Guardian	10,041	9,813	9,800	97.73%	13
Total # Children (FH,Parent/Guardian)	10,041	9,964	9,975	99.23%	-11
Adult FHA	10,836	93	100	0.86%	-7
Independent Living (IL)	10,836	830	850	7.66%	-20
Adults Residing Own Home - Parent	10,836	6,947	6,985	64.11%	-38
Supported Living (SL)	10,836	472	471	4.36%	1
Total # Adults (FHA, IL,Parent/Guardian, SL)	10,836	8,342	8,406	76.98%	-64
Children Residing in a CCF (7+ beds)	10,041	2	0	0.02%	-2
Children Residing in a ICF (7+ beds)	10,041	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,041	0	0	0.00%	0
Total Children Residing in 7+ bed facilities	10,041	4	0	0.04%	-4
Adults Residing in a CCF (7+ beds)	10,836	156	149	1.44%	-7
Adults Residing in a ICF (7+ beds)	10,836	81	88	0.75%	7
Adults Residing in a Nursing Facility (7+ beds)	10,836	85	83	0.78%	-2
Total Adults Residing in 7+ bed facilities	10,836	322	320	2.97%	-2
Total Individuals Over Age 3 with <=120 days	179	179	100.00%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	179	0	0.00%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	179	0	0.00%	0.00%	0.00%
Adults with Integrated Employment Goal	10,836	47%	50%		
Total Number of Incentive Payments Made	10,836				
Avg. Wage and Hour After Incentive Payment	10,836				
Number of 16-64 Earned Income	10,836	1,754			
Percentage of 16-64 Earned Income	10,836	17.90%			
Annual Earnings of 16-64	10,836	\$6,086			
Number of Adults in CIE After Paid Intern	10,836				
Percentage Adults Transitioned Internship to CIE	10,836				
Total Annual Expenditures Residence/Ethnicity	20,943				
Number and Percent Race/Ethnicity Satisfied with Services	20,943				
Number and Percent Families by Race/Ethnicity IPP/IFSP Includes Needed	20,943				

Performance Contract 2018 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2018

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.92
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2018

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.26%	312,488	809			
RCOC Public Hearing 8/16 /17	0.35%	20,523	72	Goal	%	# Attained
RCOC 3/01/18	0.32%	20,943	66	56	0.32%	-10
Analysis as of Public Hearing	RCOC % of DD population		6.57%	RCOC % of DC population		8.90%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a state developmental center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2016-2017 and 2017-2018.

Progress: In FY 2016-2017, RCOC has moved 8 consumers from the Developmental Centers into the community. During Public Meetings in August 2017, RCOC had 72 or 0.35% in Developmental Centers. During this period RCOC's overall caseload grew as a result of families and residents requesting a case transfer to continue to reside in Orange County.

Number of Consumers Residing DCs

	Total Active Caseload	Goal	DC	%	Number Attained
Jan-18	20,936	56	67	0.32%	-11
Feb-18	20,943	56	66	0.32%	-10
Mar-18		56			
Apr-18		56			
May-18		56			
Jun-18		56			
Jul-18		56			
Aug-18		56			
Sep-18		56			
Oct-18		56			
Nov-18		56			
Dec-18		56			

Below



Performance Contract 2018

II. Children Residing with Families (Child is defined as under 18 years of age).

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports for consumers including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, training and services that are designed to provide equal access to child care for children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that consumers are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the consumer and services available.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.



Progress: A. During public meetings, RCOC had 160, or 1.62%, children caseload in foster homes.

A. Number and % of regional center children caseload in foster homes

	Percentage	All children consumers	Consumers in FH			
Statewide Average	2.63%	153,091	4,033			
RCOC Public Hearing 8/16 /17	1.62%	9,880	160	Goal	%	# Attained
RCOC 3/01/18	1.50%	10,041	151	175	1.50%	-24
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		3.97%

	Total Children Status 1&2	Goal	Child Consumers in Foster Homes	%	Number Attained
Jan-18	10,052	175	144	1.43%	-31
Feb-18	10,041	175	151	1.50%	-24
Mar-18		175			
Apr-18		175			
May-18		175			
Jun-18		175			
Jul-18		175			
Aug-18		175			
Sep-18		175			
Oct-18		175			
Nov-18		175			
Dec-18		175			





Progress: B. During public meetings, RCOC had 9,643, or 97.60%, of children caseload in own home-parent/guardian.

Number and % of regional center children caseload in own home-parent/guardian

	Percentage	All children consumers	Consumers in own home Parent/Guardian			
Statewide Average	96.65%	153,091	147,958			
RCOC Public Hearing 8/16 /17	97.60%	9,880	9,643	Goal	%	# Attained
RCOC 3/01/18	97.73%	10,041	9,813	9,800	97.73%	13
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		6.52%



	Total Children Status 1&2	Goal	Consumers in own home Parent/Guardian	%	Number Attained
Jan-18	10,052	9,800	9,832	97.81%	32
Feb-18	10,041	9,800	9,813	97.73%	13
Mar-18		9,800			
Apr-18		9,800			
May-18		9,800			
Jun-18		9,800			
Jul-18		9,800			
Aug-18		9,800			
Sep-18		9,800			
Oct-18		9,800			
Nov-18		9,800			
Dec-18		9,800			





Progress: C. During public meetings, RCOC had 9,803, or 99.22%, of children caseload in homes.

Total number and % of regional center children caseload in homes
(This is a total of sections A and B above).

	Percentage	All children consumers	Total Number Children Consumers in Homes			
Statewide Average	99.28%	153,091	151,991			
RCOC Public Hearing 8/16 /17	99.22%	9,880	9,803	Goal	%	# Attained
RCOC 3/01/18	99.23%	10,041	9,964	9,975	99.23%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		6.45%

	Total Children Status 1&2	Goal	Total Number Children Consumers in Homes	%	Number Attained
Jan-18	10,052	9,975	9,976	99.24%	1
Feb-18	10,041	9,975	9,964	99.23%	-11
Mar-18		9,975			
Apr-18		9,975			
May-18		9,975			
Jun-18		9,975			
Jul-18		9,975			
Aug-18		9,975			
Sep-18		9,975			
Oct-18		9,975			
Nov-18		9,975			
Dec-18		9,975			





Performance Contract 2018

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with consumers and their caregivers and advocates to empower and enable them to assert the consumer's rights to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the consumer resides.

Objective: Using the Person Centered Individual Program Planning process, Service Coordinators will continue to identify regional center adult consumers who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their Program Design.
- RCOC will ensure that consumers are provided opportunities for safety awareness training on a regular and as needed basis.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.



Progress: A. During public meetings, RCOC had 81, or 0.86%, of adult caseload residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in Adult FHA.

	Percentage	Total Adult consumers status 2	Consumers in Adult FHA	Goal	%	# Attained
Statewide Average	0.98%	158,588	1,554			
RCOC Public Hearing 8/16 /17	0.86%	10,571	91			
RCOC 3/01/18	0.86%	10,836	93	100	0.86%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		5.86%

	Total Adults Status 2	Goal	Consumers in Adult FHA	%	Number Attained
Jan-18	10,817	100	94	0.87%	-6
Feb-18	10,836	100	99	0.86%	-7
Mar-18		100			
Apr-18		100			
May-18		100			
Jun-18		100			
Jul-18		100			
Aug-18		100			
Sep-18		100			
Oct-18		100			
Nov-18		100			
Dec-18		100			

Below Goal



Progress: B. During public meetings, RCOC had 838, or 7.93%, of adult caseload residing in independent living.

Total number and % of regional center adult caseload residing in independent living.

	Percentage	Total Adult consumers status 2	Consumers in Independent Living	Goal	%	# Attained
Statewide Average	10.89%	158,588	17,267			
RCOC Public Hearing 8/16 /17	7.93%	10,571	838			
RCOC 3/01/18	7.66%	10,836	830	850	7.66%	-20
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		4.85%

	Total Adults Status 2	Goal	Consumers in Independent Living	%	Number Attained
Jan-18	10,817	850	835	7.72%	-15
Feb-18	10,836	850	830	7.66%	-20
Mar-18		850			
Apr-18		850			
May-18		850			
Jun-18		850			
Jul-18		850			
Aug-18		850			
Sep-18		850			
Oct-18		850			
Nov-18		850			
Dec-18		850			

Below Goal

Progress: C. During public meetings, RCOC had 6,676, or 63.15%, of adult caseload residing in own home-parent.

Total number and % of regional center adult caseload residing in own home-parent

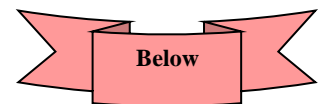
Statewide Average

RCOC Public Hearing 8/16 /17

RCOC 3/01/18

Analysis as of Public Hearing

Percentage	Total Adult consumers status 2	Consumers Residing Own Home - Parent	Goal	%	# Attained
61.92%	158,588	98,190			
63.15%	10,571	6,676			
64.11%	10,836	6,947	6,985	64.11%	-38
RCOC % of DD population		6.67%	RCOC % of FH population		6.80%



	Total Adults Status 2	Goal	Consumers Residing Own Home -Parent	%	Number Attained
Jan-18	10,817	6,985	6,924	64.01%	-61
Feb-18	10,836	6,985	6,947	64.11%	-38
Mar-18		6,985			
Apr-18		6,985			
May-18		6,985			
Jun-18		6,985			
Jul-18		6,985			
Aug-18		6,985			
Sep-18		6,985			
Oct-18		6,985			
Nov-18		6,985			
Dec-18		6,985			

Progress: D. During public meetings, RCOC had 464, or 4.39%, of adult caseload residing in supported living.

Total number and % of regional center adult caseload residing in supported living

Statewide Average

RCOC Public Hearing 8/16 /17

RCOC 3/01/18

Analysis as of Public Hearing

Percentage	Total Adult consumers status 2	Consumers Residing Supported Living			
5.49%	158,588	8,701			
4.39%	10,571	464	Goal	%	# Attained
4.36%	10,836	472	471	4.36%	1
RCOC % of DD population			6.67%	RCOC % of FH population	
				5.33%	

	Total Adults Status 2	Goal	Consumers Residing Supported Living	%	Number Attained
Jan-18	10,817	471	471	4.35%	0
Feb-18	10,836	471	472	4.36%	1
Mar-18		471			
Apr-18		471			
May-18		471			
Jun-18		471			
Jul-18		471			
Aug-18		471			
Sep-18		471			
Oct-18		471			
Nov-18		471			
Dec-18		471			

Met Goal



Progress: E. During public meetings, RCOC had 8,069, or 76.33%, of adult caseload residing in home-settings.

Total number and % of regional center adults in home settings
(This is a total of sections A, B, C and D above).

	Percentage	Total Adult consumers status 2	Total Number Consumers Home Settings			
Statewide Average	79.27%	158,588	125,712			
RCOC Public Hearing 8/16 /17	76.33%	10,571	8,069	Goal	%	# Attained
RCOC 3/01/18	76.98%	10,836	8,342	8,406	76.98%	-64
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		6.42%

	Total Adults Status 2	Goal	Total Number Consumers Home Settings	%	Number Attained
Jan-18	10,817	8,406	8,324	76.95%	-82
Feb-18	10,836	8,406	8,342	76.98%	-64
Mar-18		8,406			
Apr-18		8,406			
May-18		8,406			
Jun-18		8,406			
Jul-18		8,406			
Aug-18		8,406			
Sep-18		8,406			
Oct-18		8,406			
Nov-18		8,406			
Dec-18		8,406			





Performance Contract 2018

IV. Children Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for time limited periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to insure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 1, or 0.01%, of children caseload residing in a CCF (7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children caseload residing in a CCF (7+ beds)

	Percentage	Total Children consumers status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	153,091	35			
RCOC Public Hearing 8/16 /17	0.01%	9,880	1			
RCOC 3/01/18	0.02%	10,041	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		2.86%



	Total Children consumers status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-18	10,052	0	3	0.03%	-3
Feb-18	10,041	0	2	0.02%	-2
Mar-18		0			
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			



Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an ICF (7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in an ICF (7+ beds)

	Percentage	Total Children consumers status 1 & 2	Children Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	153,091	27			
RCOC Public Hearing 8/16 /17	0.02%	9,880	2			
RCOC 3/01/18	0.02%	10,041	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		7.41%



	Total Children consumers status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-18	10,052	0	2	0.02%	-2
Feb-18	10,041	0	2	0.02%	-2
Mar-18		0			
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			



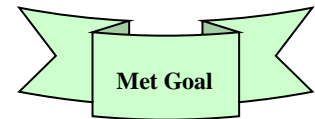
Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a nursing facility

	Percentage	Total Children consumers status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	153,091	4			
RCOC Public Hearing 8/16 /17	0.00%	9,880	0			
RCOC 3/01/18	0.00%	10,041	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		0.00%



	Total Children consumers status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-18	10,052	0	0	0.00%	0
Feb-18	10,041	0	0	0.00%	0
Mar-18		0			
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			



Progress: D. During public meetings, RCOC had 3, or 0.03%, of children caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a facility with 7+ beds
(This is a total of sections A, B and C above).

	Percentage	Total Children consumers status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	153,091	66			
RCOC Public Hearing 8/16 /17	0.03%	9,880	3			
RCOC 3/01/18	0.04%	10,041	4	0	0.04%	-4
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		4.55%

	Total Children consumers status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-18	10,052	0	5	0.05%	-5
Feb-18	10,041	0	4	0.04%	-4
Mar-18		0			
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			





Performance Contract 2018

V. Adults Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disability.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these consumers and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to insure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 158, or 1.49%, of adult caseload residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a CCF (7+ beds)

	Percentage	Total Adult consumers status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	1.19%	158,858	1,895			
RCOC Public Hearing 8/16 /17	1.49%	10,571	158			
RCOC 3/01/18	1.44%	10,836	156	149	1.44%	-7
Analysis as of Public Hearing		RCOC % of DD population	6.65%	RCOC % of FH population		8.34%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-18	10,817	149	158	1.46%	-9
Feb-18	10,836	149	156	1.44%	-7
Mar-18		149			
Apr-18		149			
May-18		149			
Jun-18		149			
Jul-18		149			
Aug-18		149			
Sep-18		149			
Oct-18		149			
Nov-18		149			
Dec-18		149			





Progress: B. During public meetings, RCOC had 94, or 0.89%, of adult caseload residing in an ICF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in an ICF (7+ beds)

	Percentage	Total Adult consumers status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.65%	158,858	1,027			
RCOC Public Hearing 8/16 /17	0.89%	10,571	94			
RCOC 3/01/18	0.75%	10,836	81	88	0.75%	7
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		9.15%

	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-18	10,817	88	80	0.74%	8
Feb-18	10,836	88	81	0.75%	7
Mar-18		88			
Apr-18		88			
May-18		88			
Jun-18		88			
Jul-18		88			
Aug-18		88			
Sep-18		88			
Oct-18		88			
Nov-18		88			
Dec-18		88			





Progress: C. During public meetings, RCOC had 85, or .80%, of adult caseload residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a nursing facility

	Percentage	Total Adult consumers status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.71%	158,858	1,121			
RCOC Public Hearing 8/16 /17	0.80%	10,571	85	Goal	%	# Attained
RCOC 3/01/18	0.78%	10,836	85	83	0.78%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		7.58%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-18	10,817	83	92	0.85%	-9
Feb-18	10,836	83	85	0.78%	-2
Mar-18		83			
Apr-18		83			
May-18		83			
Jun-18		83			
Jul-18		83			
Aug-18		83			
Sep-18		83			
Oct-18		83			
Nov-18		83			
Dec-18		83			





Progress: D. During public meetings, RCOC had 337, or 3.19%, of adult caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a facility with 7+ beds
(This is a total of sections A, B and C above).

	Percentage	Total Adult consumers status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	2.55%	158,858	4,043			
RCOC Public Hearing 8/16 /17	3.19%	10,571	337	Goal	%	# Attained
RCOC 3/01/18	2.97%	10,836	322	320	2.97%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		8.34%

	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-18	10,817	320	330	3.05%	-10
Feb-18	10,836	320	322	2.97%	-2
Mar-18		320			
Apr-18		320			
May-18		320			
Jun-18		320			
Jul-18		320			
Aug-18		320			
Sep-18		320			
Oct-18		320			
Nov-18		320			
Dec-18		320			





Performance Contract 2018

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration individuals ages 3 and over are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide consumers and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 259, or 99.62%, of regional center individuals over age 3 with ≤ 120 days.

A. Total number and % of regional center individuals over age 3 with ≤ 120 days

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with ≤ 120 days	Goal	% Attained
Statewide Average	97.91%	5,511	5,396		
RCOC Public Hearing 8/16/17	99.62%	260	259		
RCOC 3/01/18	100.00%	179	179	100.00%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with ≤ 120 days	% Attained
Jan-18	178	100.00%	178	100.00%
Feb-18	179	100.00%	179	100.00%
Mar-18		100.00%		
Apr-18		100.00%		
May-18		100.00%		
Jun-18		100.00%		
Jul-18		100.00%		
Aug-18		100.00%		
Sep-18		100.00%		
Oct-18		100.00%		
Nov-18		100.00%		
Dec-18		100.00%		



Progress: B. During public meetings, RCOC had 1, or 0.38%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days

Statewide Average

RCOC Public Hearing 8/16/17

RCOC 3/01/18

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
1.85%	5,511	102		
0.38%	260	1		
0.00%	179	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-18	178	0.00%	0	0.00%
Feb-18	179	0.00%	0	0.00%
Mar-18		0.00%		
Apr-18		0.00%		
May-18		0.00%		
Jun-18		0.00%		
Jul-18		0.00%		
Aug-18		0.00%		
Sep-18		0.00%		
Oct-18		0.00%		
Nov-18		0.00%		
Dec-18		0.00%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days

Statewide Average

RCOC Public Hearing 8/16/17

RCOC 3/01/18

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
0.24%	5,511	13		
0.00%	260	0		
0.00%	179	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-18	178	0.00%	0	0.00%
Feb-18	179	0.00%	0	0.00%
Mar-18		0.00%		
Apr-18		0.00%		
May-18		0.00%		
Jun-18		0.00%		
Jul-18		0.00%		
Aug-18		0.00%		
Sep-18		0.00%		
Oct-18		0.00%		
Nov-18		0.00%		
Dec-18		0.00%		





Performance Contract 2018

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing people served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15*	47%	50%

*NOTE: Surveys are being conducted again in FY 2017-18



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1000, \$1250, and \$1500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018			

*NOTE: Incentive payments started in FY 2016-17, partial year data



Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018		



Performance Contract 2018

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for people with intellectual disabilities.

Objective: RCOC service coordinators will implement the Employment First Policy by providing people served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2015 indicate that 2,175 consumers ages 16-64 had earned income. In 2016, 2,085 consumers ages 16-64 had earned income.

A. Number of consumers ages 16-64 with earned income.

	RCOC	Statewide Avg.
2013	1,207	839
2014	1,754	906
2015	2,175	962
2016	2,085	1,033

Progress: B. Results from the Employment Development Department in 2015 indicate that 27% of people ages 16-64 reported having earned income. In 2016, the percentage of people ages 16-64 reporting earned income was 19.8%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	19.80%	14.20%



Progress: C. Results from the Employment Development Department in 2015 indicate that average annual wages for people ages 16-64 were \$5,681. In 2016, the average annual wages for people ages 16-64 were \$5,975.

C. Annual earnings of age group 16-64 as compared with all people with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$5,975	\$7,631



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. RCOC currently has 5 individuals within a paid internship.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	

*Note: Individuals have participated in PIP for less than 12 months total.



Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17 and has been in place less than 12 months total.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	

*Note: PIP has been in place less than 12 months, no transitions have currently been made.



Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for people who participated in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018		

Performance Contract 2018

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to insure that support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with consumers and families to develop IPP goals and objectives to address their choice of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of consumers and family members to insure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for consumers when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful consumer and family participation and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations, educational agencies, and providing information via e-mail in the primary language of the family

Progress: A. Review of fiscal year 2015-2016 purchase of service data and client master file (CMF) . Initial data generation will be compared to subsequent FY information.

Progress: A. Review of fiscal year 2015-2016 purchase of service data and client master file (CMF) . Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age:
Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	719	19.93%	\$6,297,111	66.30%
Black/African American	56	1.79%	\$564,963	62.30%
Filipino	62	1.55%	\$489,944	60.50%
Hispanic	1,819	33.10%	10,459,545	61.20%
Native American	7	0.11%	\$34,855	46.40%
Other	993	23.13%	\$7,309,267	62.20%
Polynesian	7	0.12%	\$38,154	55.50%
White	1,001	20.27%	\$6,406,818	61.00%
		100.00%	\$31,600,657	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	1,417	20.16%	\$20,068,764	67.50%
Black/African-American	165	3.22%	\$3,207,943	70.70%
Filipino	196	1.91%	\$1,902,950	67.30%
Hispanic	3,458	34.60%	\$34,444,272	65.70%
Native American	7	0.04%	\$34,855	46.40%
Other	993	7.34%	\$7,309,267	62.20%
Polynesian	7	0.04%	\$38,154	58.70%
White	2,290	32.69%	\$32,546,533	67.60%
		100.00%	\$99,552,738	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	932	8.68%	\$20,447,051	83.70%
Black/African-American	197	2.31%	\$5,441,913	84.00%
Filipino	143	1.58%	\$3,719,368	84.90%
Hispanic	2,022	18.35%	\$43,217,311	81.70%
Native American	15	0.30%	\$718,146	93%
Other	642	7.66%	\$18,052,715	85.50%
Polynesian	7	0.07%	\$175,576	78.80%
White	4,356	61.04%	\$143,800,987	85.70%
		100.00%	\$235,573,067	



Progress: B. Review of fiscal year 2015-2016 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	719	23	3.20%
Black/African-American	56	1	1.80%
Filipino	62	2	3.20%
Hispanic	1,819	111	6.10%
Native American	7	0	0.00%
Other	993	39	3.90%
Polynesian	7	0	0.00%
White	1,001	50	5.00%

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	1,417	257	18.10%
Black/African-American	165	48	29.10%
Filipino	196	62	31.60%
Hispanic	3,458	1,164	33.70%
Native American	13	2	15.40%
Other	1,496	355	23.70%
Polynesian	8	3	37.50%
White	2,290	538	23.50%

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	932	192	20.60%
Black/African-American	197	33	16.80%
Filipino	143	11	7.70%
Hispanic	2,022	457	22.60%
Native American	15	3	20%
Other	642	105	16.40%
Polynesian	7	0	0.00%
White	4,356	498	11.40%



Progress: B. Review of fiscal year 2015-2016 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percent Utilized
English	16,474	\$18,699	78.10%
Spanish	4,177	\$11,567	73.40%
Mandarin Chinese	45	\$17,881	73.10%
Vietnamese	1,012	\$13,309	76%
Korean	117	\$23,093	79.80%
Tagalog	30	\$20,762	83.70%
Arabic	30	\$14,973	71.30%

Performance Contract Summary

RCOC 4/01/18	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	21,070	62	56	0.31%	-6
Children in Foster Homes (FH)	10,128	165	175	1.63%	-10
Children Own Home Parent/Guardian	10,128	9,889	9,800	97.64%	89
Total # Children (FH,Parent/Guardian)	10,128	10,054	9,975	99.27%	79
Adult FHA	10,880	92	100	0.85%	-8
Independent Living (IL)	10,880	839	850	7.71%	-11
Adults Residing Own Home - Parent	10,880	6,977	6,985	64.13%	-8
Supported Living (SL)	10,880	474	471	4.36%	3
Total # Adults (FHA, IL,Parent/Guardian, SL)	10,880	8,382	8,406	77.04%	-24
Children Residing in a CCF (7+ beds)	10,128	2	0	0.02%	-2
Children Residing in a ICF (7+ beds)	10,128	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,128	0	0	0.00%	0
Total Children Residing in 7+ bed facilities	10,128	4	0	0.04%	-4
Adults Residing in a CCF (7+ beds)	10,880	156	149	1.43%	-7
Adults Residing in a ICF (7+ beds)	10,836	81	88	0.75%	7
Adults Residing in a Nursing Facility (7+ beds)	10,836	84	83	0.78%	-1
Total Adults Residing in 7+ bed facilities	10,836	321	320	2.96%	-1
Total Individuals Over Age 3 with <=120 days	196	196	100.00%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	196	0	0.00%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	196	0	0.00%	0.00%	0.00%
Adults with Integrated Employment Goal	10,880	47%	50%		
Total Number of Incentive Payments Made	10,880				
Avg. Wage and Hour After Incentive Payment	10,880				
Number of 16-64 Earned Income	10,880	1,754			
Percentage of 16-64 Earned Income	10,880	17.90%			
Annual Earnings of 16-64	10,880	\$6,086			
Number of Adults in CIE After Paid Intern	10,880				
Percentage Adults Transitioned Internship to CIE	10,880				
Total Annual Expenditures Residence/Ethnicity	21,070				
Number and Percent Race/Ethnicity Satisfied with Services	21,070				
Number and Percent Families by Race/Ethnicity					
IPP/IFSP Includes Needed Services	21,070				

Performance Contract 2018 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2018

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.92
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2018

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.26%	312,488	809			
RCOC Public Hearing 8/16 /17	0.35%	20,523	72	Goal	%	# Attained
RCOC 4/01/18	0.29%	21,070	62	56	0.29%	-6
Analysis as of Public Hearing	RCOC % of DD population		6.57%	RCOC % of DC population		8.90%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a state developmental center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2016-2017 and 2017-2018.

Progress: In FY 2016-2017, RCOC has moved 8 consumer from the Developmental Centers into the community. During Public Meetings in August 2017, RCOC had 72 or 0.35% in Developmental Centers. During this period RCOC's overall caseload grew as a result of families and residents requesting a case transfer to continue to reside in Orange County.

Number of Consumers Residing DCs

	Total Active Caseload	Goal	DC	%	Number Attained
Jan-18	20,936	56	67	0.32%	-11
Feb-18	20,943	56	66	0.32%	-10
Mar-18	21,070	56	62	0.29%	-6
Apr-18		56			
May-18		56			
Jun-18		56			
Jul-18		56			
Aug-18		56			
Sep-18		56			
Oct-18		56			
Nov-18		56			
Dec-18		56			





Performance Contract 2018

II. Children Residing with Families (Child is defined as under 18 years of age).

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports for consumers including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, training and services that are designed to provide equal access to child care for children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that consumers are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the consumer and services available.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.



Progress: A. During public meetings, RCOC had 160, or 1.62%, children caseload in foster homes.

A. Number and % of regional center children caseload in foster homes

	Percentage	All children consumers	Consumers in FH			
Statewide Average	2.63%	153,091	4,033			
RCOC Public Hearing 8/16 /17	1.62%	9,880	160	Goal	%	# Attained
RCOC 4/01/18	1.63%	10,128	165	175	1.63%	-10
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		3.97%



	Total Children Status 1&2	Goal	Child Consumers in Foster Homes	%	Number Attained
Jan-18	10,052	175	144	1.43%	-31
Feb-18	10,041	175	151	1.50%	-24
Mar-18	10,128	175	165	1.63%	-10
Apr-18		175			
May-18		175			
Jun-18		175			
Jul-18		175			
Aug-18		175			
Sep-18		175			
Oct-18		175			
Nov-18		175			
Dec-18		175			



Progress: B. During public meetings, RCOC had 9,643, or 97.60%, of children caseload in own home-parent/guardian.

Number and % of regional center children caseload in own home-parent/guardian

	Percentage	All children consumers	Consumers in own home Parent/Guardian			
Statewide Average	96.65%	153,091	147,958			
RCOC Public Hearing 8/16 /17	97.60%	9,880	9,643	Goal	%	# Attained
RCOC 4/01/18	97.64%	10,128	9,889	9,800	97.64%	89
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		6.52%



	Total Children Status 1&2	Goal	Consumers in own home Parent/Guardian	%	Number Attained
Jan-18	10,052	9,800	9,832	97.81%	32
Feb-18	10,041	9,800	9,813	97.73%	13
Mar-18	10,128	9,800	9,889	97.64%	89
Apr-18		9,800			
May-18		9,800			
Jun-18		9,800			
Jul-18		9,800			
Aug-18		9,800			
Sep-18		9,800			
Oct-18		9,800			
Nov-18		9,800			
Dec-18		9,800			

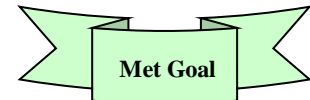


Progress: C. During public meetings, RCOC had 9,803, or 99.22%, of children caseload in homes.

Total number and % of regional center children caseload in homes

	Percentage	All children consumers	Total Number Children Consumers in Homes			
Statewide Average	99.28%	153,091	151,991			
RCOC Public Hearing 8/16 /17	99.22%	9,880	9,803	Goal	%	# Attained
RCOC 4/01/18	99.27%	10,128	10,054	9,975	99.27%	79
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		6.45%

	Total Children Status 1&2	Goal	Total Number Children Consumers in Homes	%	Number Attained
Jan-18	10,052	9,975	9,976	99.24%	1
Feb-18	10,041	9,975	9,964	99.23%	-11
Mar-18	10,128	9,975	10,054	99.27%	79
Apr-18		9,975			
May-18		9,975			
Jun-18		9,975			
Jul-18		9,975			
Aug-18		9,975			
Sep-18		9,975			
Oct-18		9,975			
Nov-18		9,975			
Dec-18		9,975			





Performance Contract 2018

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with consumers and their caregivers and advocates to empower and enable them to assert the consumer's rights to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the consumer resides.

Objective: Using the Person Centered Individual Program Planning process, Service Coordinators will continue to identify regional center adult consumers who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their Program Design.
- RCOC will ensure that consumers are provided opportunities for safety awareness training on a regular and as needed basis.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.



Progress: A. During public meetings, RCOC had 81, or 0.86%, of adult caseload residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in Adult FHA.

	Percentage	Total Adult consumers status 2	Consumers in Adult FHA			
Statewide Average	0.98%	158,588	1,554			
RCOC Public Hearing 8/16 /17	0.86%	10,571	91	Goal	%	# Attained
RCOC 4/01/18	0.85%	10,880	92	100	0.85%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		5.86%



	Total Adults Status 2	Goal	Consumers in Adult FHA	%	Number Attained
Jan-18	10,817	100	94	0.87%	-6
Feb-18	10,836	100	99	0.86%	-7
Mar-18	10,880	100	92	0.85%	-8
Apr-18		100			
May-18		100			
Jun-18		100			
Jul-18		100			
Aug-18		100			
Sep-18		100			
Oct-18		100			
Nov-18		100			
Dec-18		100			



Progress: B. During public meetings, RCOC had 838, or 7.93%, of adult caseload residing in independent living.

Total number and % of regional center adult caseload residing in independent living.

	Percentage	Total Adult consumers status 2	Consumers in Independent Living			
Statewide Average	10.89%	158,588	17,267			
RCOC Public Hearing 8/16 /17	7.93%	10,571	838	Goal	%	# Attained
RCOC 4/01/18	7.71%	10,880	839	850	7.71%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		4.85%



	Total Adults Status 2	Goal	Consumers in Independent Living	%	Number Attained
Jan-18	10,817	850	835	7.72%	-15
Feb-18	10,836	850	830	7.66%	-20
Mar-18	10,880	850	839	7.71%	-11
Apr-18		850			
May-18		850			
Jun-18		850			
Jul-18		850			
Aug-18		850			
Sep-18		850			
Oct-18		850			
Nov-18		850			
Dec-18		850			

Progress: C. During public meetings, RCOC had 6,676, or 63.15%, of adult caseload residing in own home-parent.

Total number and % of regional center adult caseload residing in own home-parent

	Percentage	Total Adult consumers status 2	Consumers Residing Own Home - Parent			
Statewide Average	61.92%	158,588	98,190			
RCOC Public Hearing 8/16 /17	63.15%	10,571	6,676	Goal	%	# Attained
RCOC 4/01/18	64.13%	10,880	6,977	6,985	64.13%	-8
Analysis as of Public Hearing		RCOC % of DD population	6.67%	RCOC % of FH population		6.80%



	Total Adults Status 2	Goal	Consumers Residing Own Home - Parent	%	Number Attained
Jan-18	10,817	6,985	6,924	64.01%	-61
Feb-18	10,836	6,985	6,947	64.11%	-38
Mar-18	10,880	6,985	6,977	64.13%	-8
Apr-18		6,985			
May-18		6,985			
Jun-18		6,985			
Jul-18		6,985			
Aug-18		6,985			
Sep-18		6,985			
Oct-18		6,985			
Nov-18		6,985			
Dec-18		6,985			



Progress: D. During public meetings, RCOC had 464, or 4.39%, of adult caseload residing in supported living.

Total number and % of regional center adult caseload residing in supported living

	Percentage	Total Adult consumers status 2	Consumers Residing Supported Living			
Statewide Average	5.49%	158,588	8,701			
RCOC Public Hearing 8/16 /17	4.39%	10,571	464	Goal	%	# Attained
RCOC 4/01/18	4.36%	10,880	474	471	4.36%	3
Analysis as of Public Hearing		RCOC % of DD population	6.67%	RCOC % of FH population		5.33%



	Total Adults Status 2	Goal	Consumers Residing Supported Living	%	Number Attained
Jan-18	10,817	471	471	4.35%	0
Feb-18	10,836	471	472	4.36%	1
Mar-18	10,880	471	474	4.36%	3
Apr-18		471			
May-18		471			
Jun-18		471			
Jul-18		471			
Aug-18		471			
Sep-18		471			
Oct-18		471			
Nov-18		471			
Dec-18		471			



Progress: E. During public meetings, RCOC had 8,069, or 76.33%, of adult caseload residing in home-settings.

Total number and % of regional center adults in home settings
(This is a total of sections A, B, C and D above).

	Percentage	Total Adult consumers status 2	Total Number Consumers Home Settings			
Statewide Average	79.27%	158,588	125,712			
RCOC Public Hearing 8/16 /17	76.33%	10,571	8,069	Goal	%	# Attained
RCOC 4/01/18	77.04%	10,880	8,382	8,406	77.04%	-24
Analysis as of Public Hearing	RCOC % of DD population		6.67%	RCOC % of FH population		6.42%



	Total Adults Status 2	Goal	Total Number Consumers Home Settings	%	Number Attained
Jan-18	10,817	8,406	8,324	76.95%	-82
Feb-18	10,836	8,406	8,342	76.98%	-64
Mar-18	10,880	8,406	8,382	77.04%	-24
Apr-18		8,406			
May-18		8,406			
Jun-18		8,406			
Jul-18		8,406			
Aug-18		8,406			
Sep-18		8,406			
Oct-18		8,406			
Nov-18		8,406			
Dec-18		8,406			



Performance Contract 2018

IV. Children Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for time limited periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to insure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 1, or 0.01%, of children caseload residing in a CCF (7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children caseload residing in a CCF (7+ beds)

	Percentage	Total Children consumers status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.02%	153,091	35			
RCOC Public Hearing 8/16 /17	0.01%	9,880	1	Goal	%	# Attained
RCOC 4/01/18	0.02%	10,128	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		2.86%



	Total Children consumers status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-18	10,052	0	3	0.03%	-3
Feb-18	10,041	0	2	0.02%	-2
Mar-18	10,128	0	2	0.02%	-2
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			

Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an ICF (7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in an ICF (7+ beds)

	Percentage	Total Children consumers status 1 & 2	Children Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	153,091	27			
RCOC Public Hearing 8/16 /17	0.02%	9,880	2			
RCOC 4/01/18	0.02%	10,128	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		7.41%



	Total Children consumers status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-18	10,052	0	2	0.02%	-2
Feb-18	10,041	0	2	0.02%	-2
Mar-18	10,128	0	2	0.02%	-2
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a nursing facility

	Percentage	Total Children consumers status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	153,091	4			
RCOC Public Hearing 8/16 /17	0.00%	9,880	0	Goal	%	# Attained
RCOC 4/01/18	0.00%	10,128	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		0.00%



	Total Children consumers status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-18	10,052	0	0	0.00%	0
Feb-18	10,041	0	0	0.00%	0
Mar-18	10,128	0	0	0.00%	0
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			



Progress: D. During public meetings, RCOC had 3, or 0.03%, of children caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a facility with 7+ beds
(This is a total of sections A, B and C above).

	Percentage	Total Children consumers status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	153,091	66			
RCOC Public Hearing 8/16 /17	0.03%	9,880	3			
RCOC 4/01/18	0.04%	10,128	4	0	0.04%	-4
Analysis as of Public Hearing	RCOC % of DD population		6.45%	RCOC % of FH population		4.55%



	Total Children consumers status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-18	10,052	0	5	0.05%	-5
Feb-18	10,041	0	4	0.04%	-4
Mar-18	10,128	0	4	0.04%	-4
Apr-18		0			
May-18		0			
Jun-18		0			
Jul-18		0			
Aug-18		0			
Sep-18		0			
Oct-18		0			
Nov-18		0			
Dec-18		0			



Performance Contract 2018

V. Adults Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disability.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these consumers and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to insure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 158, or 1.49%, of adult caseload residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a CCF (7+ beds)

	Percentage	Total Adult consumers status 2	Adults Residing in a CCF (7+ beds)			
Statewide Average	1.19%	158,858	1,895			
RCOC Public Hearing 8/16 /17	1.49%	10,571	158	Goal	%	# Attained
RCOC 4/01/18	1.43%	10,880	156	149	1.43%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		8.34%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-18	10,817	149	158	1.46%	-9
Feb-18	10,836	149	156	1.44%	-7
Mar-18	10,880	149	156	1.43%	-7
Apr-18		149			
May-18		149			
Jun-18		149			
Jul-18		149			
Aug-18		149			
Sep-18		149			
Oct-18		149			
Nov-18		149			
Dec-18		149			



Progress: B. During public meetings, RCOC had 94, or 0.89%, of adult caseload residing in an ICF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in an ICF (7+ beds)

	Percentage	Total Adult consumers status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.65%	158,858	1,027			
RCOC Public Hearing 8/16 /17	0.89%	10,571	94			
RCOC 4/01/18	0.74%	10,880	81	88	0.74%	7
Analysis as of Public Hearing		RCOC % of DD population	6.65%	RCOC % of FH population		9.15%

	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-18	10,817	88	80	0.74%	8
Feb-18	10,836	88	81	0.75%	7
Mar-18	10,880	88	81	74.00%	7
Apr-18		88			
May-18		88			
Jun-18		88			
Jul-18		88			
Aug-18		88			
Sep-18		88			
Oct-18		88			
Nov-18		88			
Dec-18		88			

Exceeded Goal



Progress: C. During public meetings, RCOC had 85, or .80%, of adult caseload residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a nursing facility

	Percentage	Total Adult consumers status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.71%	158,858	1,121			
RCOC Public Hearing 8/16 /17	0.80%	10,571	85			
RCOC 4/01/18	0.77%	10,880	84	83	0.77%	-1
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		7.58%



	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-18	10,817	83	92	0.85%	-9
Feb-18	10,836	83	85	0.78%	-2
Mar-18	10,880	83	84	0.77%	-1
Apr-18		83			
May-18		83			
Jun-18		83			
Jul-18		83			
Aug-18		83			
Sep-18		83			
Oct-18		83			
Nov-18		83			
Dec-18		83			



Progress: D. During public meetings, RCOC had 337, or 3.19%, of adult caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a facility with 7+ beds

	Percentage	Total Adult consumers status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	2.55%	158,858	4,043			
RCOC Public Hearing 8/16 /17	3.19%	10,571	337	Goal	%	# Attained
RCOC 4/01/18	2.95%	10,880	321	320	2.95%	-1
Analysis as of Public Hearing	RCOC % of DD population		6.65%	RCOC % of FH population		8.34%

	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-18	10,817	320	330	3.05%	-10
Feb-18	10,836	320	322	2.97%	-2
Mar-18	10,880	320	321	2.95%	-1
Apr-18		320			
May-18		320			
Jun-18		320			
Jul-18		320			
Aug-18		320			
Sep-18		320			
Oct-18		320			
Nov-18		320			
Dec-18		320			



Performance Contract 2018

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration individuals ages 3 and over are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide consumers and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 259, or 99.62%, of regional center individuals over age 3 with ≤ 120 days.

A. Total number and % of regional center individuals over age 3 with ≤ 120 days

Statewide Average

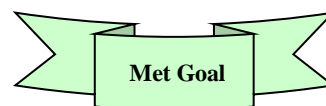
RCOC Public Hearing 8/16/17

RCOC 4/01/18

Percentage	Total # Age 3 or Over	Total # Over Age 3 with ≤ 120 days	Goal	% Attained
97.91%	5,511	5,396		
99.62%	260	259		
100.00%	196	196	100.00%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with ≤ 120 days	% Attained
Jan-18	178	100.00%	178	100.00%
Feb-18	179	100.00%	179	100.00%
Mar-18	196	100.00%	196	100.00%
Apr-18		100.00%		
May-18		100.00%		
Jun-18		100.00%		
Jul-18		100.00%		
Aug-18		100.00%		
Sep-18		100.00%		
Oct-18		100.00%		
Nov-18		100.00%		
Dec-18		100.00%		



Progress: B. During public meetings, RCOC had 1, or 0.38%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days

Statewide Average

RCOC Public Hearing 8/16/17

RCOC 4/01/18

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
1.85%	5,511	102		
0.38%	260	1		
0.00%	196	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-18	178	0.00%	0	0.00%
Feb-18	179	0.00%	0	0.00%
Mar-18	196	0.00%	0	0.00%
Apr-18		0.00%		
May-18		0.00%		
Jun-18		0.00%		
Jul-18		0.00%		
Aug-18		0.00%		
Sep-18		0.00%		
Oct-18		0.00%		
Nov-18		0.00%		
Dec-18		0.00%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days

Statewide Average

RCOC Public Hearing 8/16/17

RCOC 4/01/18

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
0.24%	5,511	13		
0.00%	260	0	Goal	%
0.00%	196	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-18	178	0.00%	0	0.00%
Feb-18	179	0.00%	0	0.00%
Mar-18	196	0.00%	0	0.00%
Apr-18		0.00%		
May-18		0.00%		
Jun-18		0.00%		
Jul-18		0.00%		
Aug-18		0.00%		
Sep-18		0.00%		
Oct-18		0.00%		
Nov-18		0.00%		
Dec-18		0.00%		



Performance Contract 2018

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing people served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15*	47%	50%

*NOTE: Surveys are being conducted again in FY 2017-18



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1000, \$1250, and \$1500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018			

*NOTE: Incentive payments started in FY 2016-17, partial year data



Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018		



Performance Contract 2018

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for people with intellectual disabilities.

Objective: RCOC service coordinators will implement the Employment First Policy by providing people served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2015 indicate that 2,175 consumers ages 16-64 had earned income. In 2016, 2,085 consumers ages 16-64 had earned income.

A. Number of consumers ages 16-64 with earned income.

	RCOC	Statewide Avg.
2013	1,207	839
2014	1,754	906
2015	2,175	962
2016	2,085	1,033

Progress: B. Results from the Employment Development Department in 2015 indicate that 27% of people ages 16-64 reported having earned income. In 2016, the percentage of people ages 16-64 reporting earned income was 19.8%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	19.80%	14.20%



Progress: C. Results from the Employment Development Department in 2015 indicate that average annual wages for people ages 16-64 were \$5,681. In 2016, the average annual wages for people ages 16-64 were \$5,975.

C. Annual earnings of age group 16-64 as compared with all people with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$5,975	\$7,631



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. RCOC currently has 5 individuals within a paid internship.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	

*Note: Individuals have participated in PIP for less than 12 months total.



Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 16/17 and has been in place less than 12 months total.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	

*Note: PIP has been in place less than 12 months, no transitions have currently been made.



Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for people who participated in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018		

Performance Contract 2018

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to insure that support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with consumers and families to develop IPP goals and objectives to address their choice of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of consumers and family members to insure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for consumers when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful consumer and family participation and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations, educational agencies, and providing information via e-mail in the primary language of the family

Progress: A. Review of fiscal year 2015-2016 purchase of service data and client master file (CMF) . Initial data generation will be compared to subsequent FY information.

Progress: A. Review of fiscal year 2015-2016 purchase of service data and client master file (CMF) . Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age:
Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	719	19.93%	\$6,297,111	66.30%
Black/African American	56	1.79%	\$564,963	62.30%
Filipino	62	1.55%	\$489,944	60.50%
Hispanic	1,819	33.10%	10,459,545	61.20%
Native American	7	0.11%	\$34,855	46.40%
Other	993	23.13%	\$7,309,267	62.20%
Polynesian	7	0.12%	\$38,154	55.50%
White	1,001	20.27%	\$6,406,818	61.00%
		100.00%	\$31,600,657	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	1,417	20.16%	\$20,068,764	67.50%
Black/African-American	165	3.22%	\$3,207,943	70.70%
Filipino	196	1.91%	\$1,902,950	67.30%
Hispanic	3,458	34.60%	\$34,444,272	65.70%
Native American	7	0.04%	\$34,855	46.40%
Other	993	7.34%	\$7,309,267	62.20%
Polynesian	7	0.04%	\$38,154	58.70%
White	2,290	32.69%	\$32,546,533	67.60%
		100.00%	\$99,552,738	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total POS (authorized)	Percent Utilized
Asian	932	8.68%	\$20,447,051	83.70%
Black/African-American	197	2.31%	\$5,441,913	84.00%
Filipino	143	1.58%	\$3,719,368	84.90%
Hispanic	2,022	18.35%	\$43,217,311	81.70%
Native American	15	0.30%	\$718,146	93%
Other	642	7.66%	\$18,052,715	85.50%
Polynesian	7	0.07%	\$175,576	78.80%
White	4,356	61.04%	\$143,800,987	85.70%
		100.00%	\$235,573,067	



Progress: B. Review of fiscal year 2015-2016 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	719	23	3.20%
Black/African-American	56	1	1.80%
Filipino	62	2	3.20%
Hispanic	1,819	111	6.10%
Native American	7	0	0.00%
Other	993	39	3.90%
Polynesian	7	0	0.00%
White	1,001	50	5.00%

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	1,417	257	18.10%
Black/African-American	165	48	29.10%
Filipino	196	62	31.60%
Hispanic	3,458	1,164	33.70%
Native American	13	2	15.40%
Other	1,496	355	23.70%
Polynesian	8	3	37.50%
White	2,290	538	23.50%

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	% of Total Caseload
Asian	932	192	20.60%
Black/African-American	197	33	16.80%
Filipino	143	11	7.70%
Hispanic	2,022	457	22.60%
Native American	15	3	20%
Other	642	105	16.40%
Polynesian	7	0	0.00%
White	4,356	498	11.40%



Progress: B. Review of fiscal year 2015-2016 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percent Utilized
English	16,474	\$18,699	78.10%
Spanish	4,177	\$11,567	73.40%
Mandarin Chinese	45	\$17,881	73.10%
Vietnamese	1,012	\$13,309	76%
Korean	117	\$23,093	79.80%
Tagalog	30	\$20,762	83.70%
Arabic	30	\$14,973	71.30%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Alan Martin
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Clifford Amsden for a Three-Year Term Commencing July 1, 2018 and Ending June 30, 2021**

BACKGROUND:

The Board's policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In order to achieve balance and diversity, and comply with the mandates of the Lanterman Act, the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

The policy also states that when a Board member is eligible for and desires to be considered for Board approval for another term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for another term of office, and will discuss its decision with the Board member prior to expiration of his or her term of office.

REASON FOR CURRENT ITEM:

Mr. Clifford Amsden will complete his first year on the Board on June 30, 2018. The Executive Committee recommends that Mr. Amsden's Board membership be renewed for a new three-year term, commencing July 1, 2018 and ending June 30, 2021.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Clifford Amsden's Board membership for a three-year term, commencing July 1, 2018 and ending June 30, 2021.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Alan Martin
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of RCOC Board of Directors' Proposed Meeting Schedule for Fiscal Year 2018-19**

BACKGROUND:

The RCOC Board of Directors approves its meeting schedule annually. The Board meets at 5:00 p.m. for its Executive (closed to the public) session, followed at 6:00 p.m. by its General (open to the public) session.

REASON FOR CURRENT ITEM:

The Board needs to approve its meeting schedule for fiscal year 2018-19. Please see attachment of the proposed meeting schedule.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the RCOC Board of Directors' meeting schedule for fiscal year 2018-19 as presented.

**Regional Center of Orange County
Board of Directors' Meeting Schedule*
Fiscal Year 2018-19**

Meeting Location: Regional Center of Orange County
 1525 North Tustin Ave.
 Santa Ana, CA 92705

Meeting Time: 6:00 p.m.

Dates: Thursday, September 6, 2018

 Thursday, November 1, 2018

 Thursday, January 10, 2019**

 Thursday, March 7, 2019

 Thursday, May 2, 2019

 Thursday, June 6, 2019

**As per Section 2.08(A) of the Regional Center of Orange County's Bylaws, "The Board shall hold at least six (6) regular meetings each year. Meetings of the Board shall be open and public. The Secretary of the corporation shall deliver notice of the time and place of regular meetings, as well as an agenda thereof, to each Director personally, by electronic mail if the individual Board member has consented to notice by electronic mail or by first class mail at least seven (7) days prior to any such meeting." Additional Board meetings may be scheduled if needed, with appropriate notice, during dark months.*

**** Changed to second Thursday due to New Year's holiday schedules.**

**Regional Center of Orange County
Policies and Outcomes Committee
April 16, 2018
Teleconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Alan Martin
Cristina Alba (*joined at 5:41 p.m.*)

Other Board Members Present: John “Chip” Wright

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Christina Petteruto, General Counsel
Patrick Ruppe, Director of Services, Supports
and Community Development

Corporate Counsel: David Lester, Esq.

Mr. Cliff Amsden called the meeting to order at 5:32 p.m.

I. Governance Policies

A. Biennial Review of Employment First Policy

The Committee reviewed the policy and revisions were recommended.

M/S/C to recommend that the Board approve revisions to the Employment First Policy as discussed.

B. Biennial Review of Transportation Mobility Policy

The Committee reviewed the policy and revisions were recommended.

M/S/C to recommend that the Board approve revisions to the Transportation Mobility Policy as discussed.

C. Biennial Review of Start-Up, Gap and Lag Funding Policy

The Committee reviewed the policy and revisions were recommended.

M/S/C to recommend that the Board approve revisions to the Start-Up, Gap and Lag Funding Policy as discussed.

RCOC Policies and Outcomes Committee Minutes
April 16, 2018

II. Outcomes

A. Person Centered Thinking (PCT) Update

Mr. Patrick Ruppe shared that RCOC has completed year one of the Person Centered Thinking (PCT) training. All employees have had basic PCT training except new hires, who will receive training within the next few months. The contract for year two is in place with Support Development Associates. RCOC is also in negotiations with Pathways for additional PCT training for RCOC staff. The Pathways training will focus on rollout of PCT to the vendor community and supplemental staff trainings.

Mr. Ruppe also shared that RCOC's PCT Coordinator, Ms. Cristina Mercado, presented a brief overview of PCT to the Vendor Advisory Committee on April 10, 2018. There was high interest from the Committee; rollout to the vendor community will begin in late July. Rollout to families is expected to begin in August.

B. Health, Wellness and Safety Project Update

Ms. Christina Petteruto shared an update on the Health, Wellness and Safety Project provided by Dr. Sam Ho. Seven vendors were selected for the pilot project and are at various stages of the 13-week curriculum. RCOC has not received any data yet, but, the vendors will submit their data at the conclusion of the program. RCOC has been receiving feedback on the curriculum from the participating vendors and will be making changes based on their input. A graduation party for the participants in the pilot program will be held in late May or early June.

C. National Core Indicators (NCI) Update

Mr. Larry Landauer stated that he and Mr. Jerrod Bonner will attend a meeting at the Department of Developmental Services on April 27, 2018, to review the findings from the NCI Adult Family Survey and Family Guardian Survey for fiscal year 2016-2017.

III. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 5:46 p.m.

Recorder: Christina Petteruto

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Employment First Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 16, 2018, the Policies and Outcomes Committee reviewed the Employment First Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Employment First Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Employment First Policy as presented.

XX. EMPLOYMENT FIRST POLICY

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes the importance of meaningful employment for the working age adults we serve. Assembly Bill 1041, also known as Employment First Policy, an act to amend Sections 4646.5 and 4868 of the Welfare and Institutions Code (WIC), and to add Section 4869 to WIC, was effective January 1, 2014. These statutory changes, in part, are as follows:

4646.5 (a) (4) When developing an individual program plan for a transition age youth or working age adult, the planning team shall consider the Employment First Policy...

4869. (a) (1) In furtherance of the purposes of this division to make services and supports available to enable persons with developmental disabilities to approximate the pattern of everyday living available to people without disabilities of the same age, to support the integration of persons with developmental disabilities into the mainstream life of the community, and to bring about more independent, productive, and normal lives for the persons served, it is the policy of the state that opportunities for integrated, competitive employment shall be given the highest priority for working age individuals with developmental disabilities, regardless of the severity of their disabilities. This policy shall be known as the Employment First Policy.

(3) Integrated competitive employment is intended to be the first option considered by planning teams for working age individuals, but individuals may choose goals other than integrated competitive employment.

(c) Regional centers shall provide consumers 16 years of age or older, and, when appropriate, their parents, legal guardians, conservators, or authorized representative with information, in an understandable form, about the Employment First Policy, options for integrated competitive employment, and services and supports, including postsecondary education, that are available to enable the consumer to transition from school to work, and to achieve the outcomes of obtaining and maintaining integrated competitive employment.

4868. (d)(1), “Competitive employment” means work in the competitive labor market that is performed on a full–time or part–time basis in an integrated setting and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled.

(2) “Integrated employment” means “integrated work” as defined in subdivision (o) of Section 4851.

4851. (o) “Integrated work” means the engagement of an employee with a disability in work in a setting typically found in the community in which individuals interact with individuals without disabilities other than those who are providing services to those individuals, to the same extent that individuals without disabilities in comparable positions interact with other persons.

POLICY

Integrated competitive employment will be the first option considered by planning teams for every working age adult we serve.

GUIDING PRINCIPLES

- ~~Consumers~~ Persons served have the opportunity and support to work in integrated employment settings that are meaningful ~~to them~~, ~~that are~~ valued by the community, and in which they are appropriately compensated and respected.
- ~~Consumers have the right to~~ Persons served make decisions about all aspects of their lives.
- ~~Consumers~~ Persons served live, work, learn and ~~recreate~~ socialize in settings ~~which~~ that maximize opportunity ~~ies~~ to be with persons not paid to be with them.

Last Policy Review Date: March 28, 2016

No Revisions Recommended to Board: May 5, 2016

Date of Next Review: on or before May 5, 2018

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Transportation Mobility Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 16, 2018, the Policies and Outcomes Committee reviewed the Transportation Mobility Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Transportation Mobility Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Transportation Mobility Policy as presented.

VII. TRANSPORTATION MOBILITY POLICY

BACKGROUND

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring that the services and supports provided to those we serve maximize opportunities and choices, utilize all possible sources of funding, including generic resources, and result in the maximum benefit possible.

POLICY

RCOC will serve the mobility needs of ~~its consumers~~ the individuals it serves by assuring an effective and efficient mix of accessible, safe, courteous, responsive, and customer-oriented transportation services to and from an eligible ~~consumer's individual's~~ primary day service/activity. These services shall enhance opportunities for ~~consumers-persons~~ served to access supports, services, and other community resources.

In meeting the mobility needs of those we serve, RCOC will:

- Use generic resources before expending regional center funds.
- Advocate for the maximum access to and utilization of public transit services for people with developmental disabilities.
- Encourage measurable improvement in the efficiency and effectiveness of transportation services by gathering feedback from ~~consumers-persons served~~ who use public and specialized transportation services.
- Improve access to services by improving communication about and coordination of available transportation resources.
- Promote the efficient use of resources by eliminating duplication wherever possible.
- Promote the consideration of transportation issues and costs in all decisions.
- Establish joint purchasing arrangements where feasible and cost-effective.

SERVICE CRITERIA

The individual transportation needs of the ~~consumer~~person served will be determined by the Multidisciplinary/Planning Team.

The following criteria will be applied when authorizing transportation service. Exceptions may be made on a case-by-case basis.

1. Whenever feasible, public transportation will be utilized first.
2. All adults requesting transportation will be assessed for mobility training.
3. RCOC may purchase transportation services to the closest appropriate primary day service/activity identified in the Individual Program Plan/Person Centered Plan (IPP/PCP) that is able to meet the ~~consumer's~~individual's needs when the day service/activity does not have a transportation component and public transportation is not available.
4. Vendored private transportation (e.g., Western Transit) will be funded when a person:
 - Has been assessed and found inappropriate for mobility training; or
 - Is awaiting mobility training; or
 - When there is no appropriate or viable public transportation.
5. Transportation services are typically purchased for one primary day service/activity (e.g., place of employment, day program). However, additional transportation may be purchased on an individual basis, but not as part of the primary program's transportation obligation (e.g., day program and/or college classes).
6. Those served by RCOC, parents of minor children and residential service providers are expected to provide routine transportation to medical, dental, or other appointments or functions. RCOC may fund incidental transportation on an exception basis in the following circumstances:
 - An individual is not financially capable of independently funding their own or their child's transportation.
 - The parent or surrogate has a disability which prevents them from transporting the person served by RCOC.
 - The individual's medical and/or physical condition requires the use of specialized transportation to accommodate transport.

CHILDREN UNDER AGE THREE

To maximize safety and quality assurance, parents and residential service providers are expected to transport infants to the primary day service/activity. Transportation for infants may be funded when there is documented exceptional need and the parent/residential service provider cannot accompany the child.

Authorized infant transportation will be reviewed every six months.

CONTRACT TRANSPORTATION STANDARDS

RCOC strives to meet the following minimum standards in all modes of transportation:

Travel Time: RCOC-funded trips shall not exceed 90 minutes one-way. Exceptions to this time limit may occur only when the ~~consumer~~person served, and, as appropriate, parents/conservator agree.

Pick-up/Drop-off Times: Those transported will not be dropped off more than 30 minutes prior to and 15 minutes after day service/activity start times. They will not be picked up prior to end of day service/activity and will be picked up within 30 minutes of the end of day service/activity.

Transportation to Employment: It is the expectation that those working competitively or in individual supported employment will get to their job independently. Transportation implications should be considered in all day service/activity and employment decisions to assure that such settings can be successfully supported by cost-effective, reliable, safe, and timely transportation.

Transportation Safety, Quality Assurance and Driver Training: RCOC strives for a safe, comfortable transportation system for all served, and as a consequence, RCOC will:

- Require transportation service providers to adhere to Title 17 of the California Code of Regulations, §58520 with regard to standards for drivers and transportation aides.
- Require transportation service providers to maintain a minimum of \$1 million liability insurance and shall name RCOC as additional interested party.
- Require that contracts with transportation service providers outline, in the scope of work, details about the employment and training of drivers, aides, dispatchers and administrative personnel necessary to provide the required levels of services, including, but not limited to:
 - Full compliance with all applicable State and Federal laws and regulations, including those addressing driver and vehicle licensing
 - Pre-employment screenings that include, but are not limited to, criminal background checks, drug testing, driving records, operator safety performance histories and motor vehicle records
 - Attainment of at least annual DMV reports on all drivers.

- Require transportation service providers to assure that all drivers possess valid, legally required certificates, including a certificate for transportation of individuals with developmental disabilities (i.e., Vehicles for Developmentally Disabled Persons (VDDP)) issued by the DMV.
- Require transportation service providers' drivers to successfully complete regular training in sensitivity to the needs of people with developmental disabilities and emergency procedures.
- Require operating air conditioning and heat on all contracted vehicles used to transport individuals RCOC serves-consumers.
- Incorporate vehicle inspection requirements into all contracts.

RCOC will periodically review transportation rates in an effort to support providers in maintaining the above standards and will make adjustments as funds permit.

GUIDING PRINCIPLES

- **Persons served and their families have knowledge of, access to and opportunity for participation in any community activities and resources of their choosing.**
- **Persons served live, work, learn and socialize in settings that maximize opportunity to be with persons not paid to be with them.**
- **Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.**
- **RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.**

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Last Policy Review Date: March 28, 2016

Date Revisions Approved by Board: May 5, 2016

Date of Next Review: on or before May 5, 2018

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Start-Up, Gap and Lag Funding Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 16, 2018, the Policies and Outcomes Committee reviewed the Start-Up, Gap and Lag Funding Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Start-Up, Gap and Lag Funding Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Start-Up, Gap and Lag Funding Policy as presented.

VIII. START-UP, GAP AND LAG FUNDING POLICY

BACKGROUND

The Regional Center of Orange County (RCOC) is responsible for coordinating services for ~~its consumers who reside in Orange County~~ the individuals we serve. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring that the services and supports provided to those we serve utilize all possible sources of funding, including generic resources.

POLICY

Start-Up

The contract between ~~regional centers (RC)~~ RCOC and the Department of Developmental Services (DDS) requires regional centers to have a start-up policy approved by the Board of Directors. The RCOC/DDS contract states that the policy must ensure that the use of purchase of service funds is:

- 1) Necessary for establishing a new or additional program, project or resource for providing services and support to consumers.
- 2) Of direct benefit to consumers.
- 3) Supported by contracts with sufficient detail and measurable performance expectations and results.
- 4) Not used for routine maintenance of a provider's plant or facility or for the purchase, lease or maintenance of a provider's vehicle.
- 5) Not used for construction, renovation, alteration, improvement, or repair of real property that is not of direct medical or remedial benefit to the consumer.

The RCOC recognizes that, under certain circumstances, it may be in the best interest of individuals served by RCOC ~~consumers~~ to fund start-up. RCOC is under no obligation to fund start-up and the developers of new or additional programs should include these costs as part of its business plan.

Gap and Lag Funding

The RCOC recognizes that, under certain circumstances, it may be in the best interest of individuals served by RCOC ~~consumers~~ for RCOC to fund the period between licensing and Medi-Cal certification, which is known as Gap, for Department of Health licensed facilities, e.g., Intermediate Care Facility – Developmentally Disabled, Habilitative (ICF-DD/H). RCOC is under no obligation to fund Gap and the developer of a Health licensed facility should include these costs as part of its business plan. RCOC does not fund the period between Medi-Cal certification and receipt of Medi-Cal payments which is known as Lag.

GUIDING PRINCIPLES

- ~~Consumers are in safe settings.~~ Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- ~~Consumers~~ Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.
- ~~The Regional Center~~ RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect ~~careful stewardship~~ RCOC's Guiding Principles and diligent stewardship.

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Last Policy Review Date: March 28, 2016
No Revisions Recommended to Board: May 5, 2016
Date of Next Review: on or before May 5, 2018

**Regional Center of Orange County
Vendor Advisory Committee
March 13, 2018
Minutes**

Members:

Adult Behavior Management Programs

Chair, Maryam Abedi, absent

Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, present

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Mark Antenucci, present

Co-Chair, Marie Sañudo, present

Behavior Services

Chair, Kristin Prior, present

Co-Chair, Andrew Patterson, absent

Community Care Facilities

Chair, Noel Villegas, Gilbert Care Homes, absent

Co-Chair, Boyd Bradshaw, HCDD, Inc., present

Early Intervention

Chair, Tiffany Bauer, present

Co-Chair, Tina Russi, absent

Habilitation

Chair, Roland Fernandez, absent

Co-Chair, Member Pending

Independent/Supported Living

Chair, Janice Retz, present

Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, present

Co-Chair, Member Pending

Support Services/Allied Health

Chair, April Stewart, present

Co-Chair, Yvette Staggs, present

Liaisons:

CalOptima

Michael German, absent

Fairview Developmental Center

Terri Smith-Morse, present

Orange County Transit Authority

Jack Garate, present

Christina Blanco, present

RCOC Staff Present:

Larry Landauer, Executive Director

Bette Baber, Chief Financial Officer

Arturo Cazares, Associate Director of Employment

Jyusse Corey, Peer Advocate
Bonnie Ivers, Clinical Director
Patrick Ruppe, Director of Services, Supports and Community
Development
Jack Stanton, Associate Director of Housing
Marta Vasquez, Associate Finance Director

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the meeting to order at 2:04 p.m. She then asked all Committee Members to introduce themselves.

II. RCOC Update

Mr. Larry Landauer provided an update on the Department of Developmental Services (DDS) Vendor Rate Study by Burns & Associates, which should be completed by March 2019. He also stated that all regional centers will conduct public meetings regarding the Purchase of Service (POS) expenditure date and RCOC will hold its POS meeting on Wednesday, March 28, 2018, at 6:30 p.m.

Mr. Landauer mentioned that the Centers for Medicaid & Medicare Services (CMS) granted California the initial approval of its Statewide Transition Plan (STP), which outlines the steps that California will take to comply with the Home and Community Based Services (HCBS) Final Rule. All states have until March 17, 2022, to comply.

Mr. Arturo Cazares reported on the progress and the continued efforts in complying with the HCSB Final Rule by the March 2022, deadline. Mr. Cazares mentioned that six service providers were selected in California to receive the HCBS grant and DDS is due to name the new award recipients for the upcoming year.

Mr. Landauer reported that California is in a state deficit. For the 2017 Fiscal Year, he stated that we are now looking at a surplus of approximately \$51.8 million best case scenario, and an approximately surplus \$49 million worst case. For the 2018 Fiscal Year, the projected deficit is approximately \$13 million best case scenario, and an approximate deficit of \$47.1 million worst case.

Mr. Landauer discussed intakes for the month of February 2018. He informed the Committee that Lanterman is down 1; Early Start is down 21; and, the net caseload decreased by 22. For the 2017-18 Fiscal Year, Lanterman is up by 342; Early Start up 77; and, the net caseload increased by 419.

Mr. Patrick Ruppe, RCOC Director, Services, Supports and Community Development, provided an update on the Person Centered Thinking (PCT), as it is ending its first year. He indicated that the next step is to begin a discussion for vendor roll out of PCT training and expectations. Mr. Ruppe mentioned that Ms. Cristina Mercado, RCOC PCT Coordinator, will be presenting at the next

VAC meeting on April 10, 2018, and will provide more information about the vendor training.

Mr. Landauer reported that integrated employment continues to increase. Currently, there are 2,415 individuals that are part of the integrated employment; and, the sheltered workshops currently have 296 individuals with the numbers of workshops declining.

Mr. Jack Stanton, Associate Director of Housing, reported on the new homes and the progress on the renovations for all the homes that have been acquired.

Mr. Landauer also reported that there is a total of 66 persons left in the Fairview Developmental Center for RCOC. He stated that RCOC continues to host meetings with DDS to discuss the closing of Fairview and the challenges of obtaining new housing due to high cost of homes right now.

III. Vendor Chair Selections

Mr. Jack Stanton informed the Committee that new Chairs will need to be identified by April 2018, and approved by the Board of Directors for commencement by July 1, 2018.

IV. Uniform Holiday Schedule for 2018-19

Ms. Marta Vasquez informed the Committee that there are no updates from the Department of Developmental Services (DDS).

V. Board Report

Ms. Tiffany Bauer reported the Board approved the renewal of Board membership for John “Chip” Wright. Also approved were the monthly budget reports, the Santa Ana office amendment lease, and revised policies of the Board Governance Policies from both the Budget and Finance and Policies and Outcomes Committees.

The next Board of Directors meeting is scheduled for May 3, 2018.

VI. Community Forum

Dr. Bonnie Ivers, RCOC Clinical Director, answered questions from the Committee regarding the anticipated transition of behavioral health treatment (BHT) services from Regional Centers to Medi-Cal fee-for-service and Medi-Cal managed care effective July 1, 2018. This transition is for persons who receive ABA services and do not have a diagnosis of Autism Spectrum Disorder (ASD). BHT services for persons who received ABA services and had a diagnosis of Autism Spectrum Disorder have already transitioned from Regional Center to Medi-Cal fee-for-service and Medi-Cal managed care.

Dr. Ivers added that as additional information becomes available, she will provide it to the Committee and to RCOC’s vendors who provide behavioral services.

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD), Orange County Office, informed the Committee that Integrity House will be hosting a presentation on “Bullying & Safe Social Media” by Planned Parenthood. SCDD Orange County office will be holding various workshops on Regional Center Eligibility, Getting Regional Center Services through Individual Program Plan (IPP)/Person Centered Plan (PCP), RCOC Person-Centered Planning, and an overview on In-Home Supportive Services (IHSS).

VII. Peer Advisory Committee Report

Mr. Jyusse Corey updated the Committee on the California Achieving a Better Life Experience (CalABLE) program. He stated that the launch of the program has been delayed indefinitely.

Mr. Corey also informed the Committee that the Self-Determination program waiver was submitted to the Centers for Medicare & Medicaid Services (CMS) on March 13, 2018. CMS has 90 days to approve the application, deny it or request additional information. He mentioned that the Peer Advisory Committee has been reviewing numerous community related topics.

The next scheduled Peer Advisory Committee meeting will be held on Wednesday, March 21, 2018.

VIII. Liaison Reports

A. CalOptima – Michael German (absent)

Mr. Michael German was not present and no report was provided.

B. Fairview Developmental Center (FDC) – Terri Smith-Morse

Ms. Terri Smith-Morse reported that the state staff program is going well and they are continuing their training sessions.

C. Orange County Transportation Authority (OCTA) – Mr. Jack Garate

Mr. Jack Garate, OCTA Section Manager II, informed the Committee that he along with Ms. Christina Blanco, OCTA Field Administrator, have been appointed the new OCTA Liaisons’ for the VAC. Mr. Garate reported that new changes are underway for the OC ACCESS Same Day Taxi along with the OC Bus 360 programs.

IX. Member Reports

A. Adult Behavior Management – Maryam Abedi (absent)

In Dr. Maryam Abedi’s absence, Mr. Rick Perez reported that they discussed the DDS Vendor Rate Study by Burns & Associates and the adult transition centers’ referral packets.

B. Adult Day Programs – Rick Perez (present)

Mr. Rick Perez reported that their group met and discussed the difficulty of hiring qualified staff at the minimum wage rate.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Mark Antenucci (present)

Ms. Marie Sañudo reported that the group met and are in the process of finalizing the Pre-Placement Form developed for vendors to submit to the service coordinators. They also discussed the Uniform Holiday Schedule, the FAHE Payee, and Spotlight Awards.

D. Behavior Services – Kristin Prior (present)

Ms. Kristin Prior reported that they met and discussed the transitioning of persons served to CalOptima.

E. Community Care Facilities (CCF) – Noel Villegas (absent)

In Mr. Noel Villegas' absence, Mr. Boyd Bradshaw reported that they are in the process of reviewing and recommending changes to the auditing process. They also discussed the Vendor Rate Study by Burns & Associates.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that her group did not meet. Their next scheduled meeting is set for April 10, 2018.

G. Habilitation – Roland Fernandez (absent)

In Mr. Roland Fernandez' absence, Mr. Chris Stoner, Client Services Manager for Goodwill of Orange County, reported that the group discussed issues regarding referrals when persons served are more involved or may have behaviors and require more support. Some referrals may be declined.

H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)

Ms. Janice Retz reported that her group met this morning and they are still reviewing companies' handbooks as a guide.

I. Intermediate Care Facilities (ICF) – Rich Mraule (present)

Mr. Mraule reported that his group has not met.

J. Respite and Allied Health – April Stewart (present)

Ms. April Stewart reported that the group met prior to this meeting and nominated their new Chair, Ms. Lizette Ceja and Co-Chair, Ms. Yvette Staggs, for their

sub-committee. They also reviewed new vendor fair dates along with potential topic presentations for the new fiscal year.

X. Other

Mr. Boyd Bradshaw informed the Committee that he is currently part of the Vendor Rate Study by Burns & Associates. He also shared details on a non-released Burns & Associates report that indicated what recommendations were made and implemented by states from past vendor rate studies.

XI. Adjournment

Ms. Bauer adjourned the meeting at 3:05 p.m.

The next VAC meeting is scheduled for Tuesday, April 10, 2018.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
April 10, 2018
Minutes**

Members:

Adult Behavior Management Programs

Chair, Maryam Abedi, present

Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, absent

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Mark Antenucci, present

Co-Chair, Marie Sañudo, present

Behavior Services

Chair, Kristin Prior, present

Co-Chair, Andrew Patterson, absent

Community Care Facilities

Chair, Noel Villegas, Gilbert Care Homes, absent

Co-Chair, Boyd Bradshaw, HCDD, Inc., present (*left after PCT presentation; did not make CCF report*)

Early Intervention

Chair, Tiffany Bauer, present

Co-Chair, Tina Russi, absent

Habilitation

Chair, Roland Fernandez, present (*arrived at 2:16 p.m.*)

Co-Chair, Member Pending

Independent/Supported Living

Chair, Janice Retz, present

Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, present

Co-Chair, Member Pending

Support Services/Allied Health

Chair, April Stewart, absent

Co-Chair, Yvette Staggs, present

Liaisons:

CalOptima

Michael German, absent

Fairview Developmental Center

Terri Smith-Morse, absent

Orange County Transit Authority

Jack Garate, present

Christina Blanco, present

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Arturo Cazares, Associate Director of Employment
Jyusse Corey, Peer Advocate
Bonnie Ivers, Clinical Director
Cristina Mercado, PCT Coordinator
Patrick Ruppe, Director of Services, Supports and
Community Development
Jack Stanton, Associate Director of Housing
Sean Watson, Manager, Risk Management, QA
Stacy Wong, Interim HR Director

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the meeting to order at 2:04 p.m. She then asked all Committee members and guests to introduce themselves.

II. RCOC Update

Mr. Larry Landauer shared that the Uniform Holiday Schedule for fiscal year 2018-19 is scheduled for release on May 15, 2018. Mr. Landauer mentioned how opposed vendors are to resurrection of the Uniform Holiday Schedule.

Mr. Landauer reported that the regional center system is projecting a deficit in the current fiscal year from \$13 million in the best case scenario to \$47.1 million in the worst case scenario. The regional center system is projecting a surplus of approximately \$50 million for the prior fiscal year.

Mr. Landauer mentioned the total number of people served has increased by 419 in the current fiscal year.

III. Vendor Chair Selections

Mr. Jack Stanton reminded the Committee that new subcommittee Chairs must be identified by the end of April 2018, and approved by the Board of Directors for commencement by July 1, 2018. He stated that currently three out of the five subcommittees have identified new Chairs and Co-Chairs.

IV. Board Report

Ms. Tiffany Bauer reported the next Board of Directors meeting is scheduled for May 3, 2018.

V. Community Forum

Mr. Boyd Bradshaw updated the Committee on the DDS Vendor Rate Study.

VI. Peer Advisory Committee Report

Mr. Jyusse Corey reported that although the California Achieving a Better Life Experience (CalABLE) program has been delayed indefinitely, there are readily available informational videos about CalABLE in various languages and in sign-language. He encouraged the Committee to become more informed about CalABLE and its' benefits.

Mr. Corey also informed the Committee that he has identified sites for informational webinar workshops for persons served which encourage them to work and how they can be employed. There are also informational webinars for employers who can learn about the benefits of hiring persons served.

Mr. Corey mentioned that if anyone in the Committee is interested in more information about CalABLE and/or the webinar workshops, to please contact him.

The next scheduled Peer Advisory Committee meeting will be held on Wednesday, April 18, 2018.

VII. Person Centered Thinking (PCT)

Mr. Patrick Ruppe reported that RCOC has completed year one of the Person Centered Thinking (PCT) training for its employees. Mr. Ruppe expressed the importance of PCT training in compliance with the Home and Community Based Services (HCBS) Final Rule in March 2022.

Mr. Arturo Cazares reported on the progress and the continued efforts in complying with the HCSB Final Rule. Mr. Cazares mentioned that six of RCOC's providers were selected to receive the HCBS grants last year and that DDS was due to name the new award recipients in March 2018.

Ms. Cristina Mercado, RCOC's PCT Coordinator, presented a brief overview of PCT to the Committee and also distributed a survey to assess how much interest the vendors had in PCT training.

VIII. Liaison Reports

A. CalOptima – Michael German (absent)

Mr. Michael German was not present and no report was provided.

B. Fairview Developmental Center (FDC) – Terri Smith-Morse (absent)

Ms. Terri Smith-Morse was not present and no report was provided.

C. Orange County Transportation Authority (OCTA) – Mr. Jack Garate

Mr. Jack Garate, OCTA Section Manager II, informed the Committee that OCTA would be visiting the Adult Day Health Care Centers, looking at challenges and issues related to transportation.

IX. Member Reports

A. Adult Behavior Management – Maryam Abedi (present)

Dr. Maryam Abedi reported that her group discussed the DDS Vendor Rate Study by Burns & Associates, PCT, the difficulty of hiring qualified staff, and referrals.

B. Adult Day Programs – Rick Perez (present)

Mr. Rick Perez was not present and no report was provided.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Mark Antenucci (present)

Mr. Mark Antenucci reported that the group met and discussed how to prepare for the upcoming Department of Developmental Services' (DDS) audit.

D. Behavior Services – Kristin Prior (present)

Ms. Kristin Prior reported that her group will be discussing the transition of behavioral health treatment (BHT) services for those who do not have an Autism Spectrum Disorder (ASD) diagnosis from Regional Centers to Medi-Cal fee-for-service and Medi-Cal managed care at their next scheduled meeting.

E. Community Care Facilities (CCF) – Noel Villegas (absent)

In Mr. Noel Villegas' and Mr. Bradshaw's absence, Mr. Jack Stanton reported that they discussed the DDS Vendor Rate Study by Burns & Associates and addressed quality assurance concerns.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that her group will meet next week.

G. Habilitation – Roland Fernandez (present)

Mr. Roland Fernandez reported that his subcommittee had not met.

H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)

Ms. Janice Retz reported that her group discussed the break-in at DDS' offices in Sacramento and reviewed how to keep confidential information in records, notebooks and laptops secure.

I. Intermediate Care Facilities (ICF) – Rich Mraule (present)

Mr. Mraule reported that his group discussed the new life safety code requirements for health care facilities.

J. Respite and Allied Health – April Stewart (absent)

In Ms. April Stewart's absence, Ms. Yvette Staggs reported that their group is in the processing of organizing vendor fairs at RCOC's West and Central offices in April and May.

X. Other

A. Resource Email Preferences

Ms. Bauer mentioned the large number of informational emails that she had been forwarding to the Committee and asked if the Committee was overburdened with this information or wished her to continue. The Committee expressed their interest in receiving the information and there was a discussion about how this could be done more efficiently.

XI. Adjournment

Mr. Bauer adjourned the meeting at 3:14 p.m.

The next VAC meeting is scheduled for Tuesday, May 8, 2018.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 3, 2018

TO: RCOC Board of Directors

FROM: Tiffany M. Bauer
Chair, Vendor Advisory Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Vendor Advisory Committee Members**

BACKGROUND:

RCOC's Board of Directors appoints the members of the Vendor Advisory Committee, pursuant to Welfare and Institutions Code §4622(i), which states:

"The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board."

REASON FOR CURRENT ITEM:

The following subcommittees of RCOC's Vendor Advisory Committee have met and submitted their nominations for Committee membership (see attachment). Terms of membership are for two years as follows:

Membership Category	Term of Membership
Adult Day Programs Rick Perez, Chair	June 1, 2018 to May 30, 2020
Behavior Services Junie Lazo-Pearson, Chair; Cindy Hebert, Co-Chair	June 1, 2018 to May 30, 2020
Early Intervention Tiffany Bauer, Chair ; Tina Russi, Co-Chair	June 1, 2018 to May 30, 2020
Intermediate Care Facilities Rich Mraule, Chair	June 1, 2018 to May 30, 2020
Respite and Allied Health Lizette Ceja, Chair; Yvette Staggs, Co-Chair	June 1, 2018 to May 30, 2020

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Vendor Advisory Committee membership as presented.

Attachment for Agenda Item III.H.1.

VENDOR ADVISORY COMMITTEE

NOMINATIONS FOR MEMBERSHIP

ADULT DAY PROGRAMS

Rick Perez, Chair Abilities Unlimited	Mr. Perez has worked with individuals with intellectual disabilities for over 16 years. At the age of 9, his parents opened their family home to develop a residential group home. Sharing a small 3 bedroom home in Los Angeles, Mr. Perez was unknowingly shaped into a vital advocate for his newfound house-mates. He has continued to work in the field as the Owner and Director of Abilities Unlimited day program for the past 9 years. Mr. Perez holds a master's degree in counseling with an emphasis in Applied Behavior Analysis from CSULA, and is also a Board Certified Behavior Analyst (BCBA).
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BEHAVIOR SERVICES

Junie Lazo-Pearson, Chair Advanced Behavior Health, Inc.	Ms. Lazo-Pearson is currently serving as the Executive Advisor to Advanced Behavior Health, Inc.
Cindy Hebert, Co-Chair Sage Behavior Services	Ms. Hebert has been in the field working with adults and children since 1998, and is currently serving as the Clinical Director for Sage Behavioral Services, a program which she co-founded. She obtained a master's degree from CSULB and went on to become a Board Certified Behavior Analyst (BCBA) in 2005. Ms. Hebert has been providing services to our community ever since. She approaches each day from the perspective of looking for ways to improve people's lives and skills that were once thought unachievable, an approach that she gathered working with an adult population many years ago.

EARLY INTERVENTION

Tiffany Bauer, Chair Coyne & Associates	Ms. Bauer is currently serving as the Orange County Regional Director of Coyne & Associates, a position she has held for the past 11 years. Her agency is providing ABA services to children with Autism and other disabilities, and her focus has been in the area of early intervention services. Ms. Bauer currently manages staff in both clinical and administrative areas, and understands how to be organized and efficient when working with large groups of individuals to achieve results. She brings in a history of providing direct services with regards to teaching, training, supervising, report completion, and case management. Ms. Bauer believes that there is nothing more rewarding than seeing someone learn new skills and ultimately leading an individual to a more independent and fulfilled life.
Tina Russi, Co-Chair Sunny Days of California, Inc.	Ms. Russi works as the Program Manager with Sunny Days of California, overseeing both the Orange County and Tri-Counties offices. She has been with this agency for the past five years, and previously coordinated the Early Start center based program at Casa Colina in Pomona. Ms. Russi has a background in education, spending over 15 years teaching children from kindergarten through second grade (K-2) in both classroom and in-home settings. She has a family member with special needs, and has spent her life volunteering and helping others, specifically within the visually impaired community. She strives to address each child's needs as well as embrace them for their individuality beyond their diagnosis.

INTERMEDIATE CARE FACILITIES

Rich Mraule, Chair David's House	Mr. Mraule and his wife adopted four children, one of whom is disabled. This became the motivation behind his business model. As their son became older, they explored various options, but were unable to locate one that they felt met all of his individual needs while also matching their personal philosophies. Coming from a special education background himself, and with his wife being a nurse with over 30 years of experience, they decided to move forward and opened their own home, aptly named <i>David's House</i> , in August of 2011. He looks forward to learning from others and being a positive influence to his peers while providing leadership to the ICF Vendor Group.
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SUPPORT SERVICES/ALLIED HEALTH

Lizette Ceja, Chair 24HR HomeCare, LLC	Ms. Ceja is the Program Manager at 24hr HomeCare, working in this agency for the past 4 years. After graduating from UC Santa Barbara, she took her passion for helping others into the community where she continued her work providing care and support for families and those in need. Ms. Ceja also brings a wealth of knowledge to the group with her connection to both the California Respite Association and CAHSAH advocacy groups. She hopes to continue to ensure that her agency provides the best services possible to those who receive them.
Yvette Staggs, Co-Chair UCP	Ms. Staggs is currently employed as the Director of Respite and Childcare with UCP-OC, and has worked within this agency for the past 10 years. Ms. Staggs is looking to continue to work as part of a collaborative effort to promote the value of the services provided, as well as pushing the bar to enhance the overall quality of life for those receiving services. Ms. Staggs embraces the idea that every individual – regardless of ability – should be offered the opportunity to live his or her life to its fullest potential; a life without limits.

**Regional Center of Orange County
Board Recruitment and Training Committee
Monday, April 9, 2018**

Teleconference Minutes

Committee Members Present: Alan Martin, Chair
Sylvia Delgado
Hilda Mendez

Committee Member Absent: Cristina Alba

Board Member Present: Tiffany Bauer

RCOC Staff Present: Larry Landauer, Executive Director
Bonnie Ivers, Clinical Director
Patrick Ruppe, Director of Services, Supports and
Community Development

Mr. Alan Martin called the teleconference meeting to order at 5:05 p.m.

I. Community Forum

No community members were present at the meeting.

II. Board Recruitment

A. Review Board Members' Terms of Office and Upcoming Turnover

Mr. Alan Martin reviewed the current Terms of Office and the Committee discussed upcoming turnover.

Mr. Larry Landauer announced that Mr. Cliff Amsden term expires on June 30, 2018. This will be discussed at the next Executive Committee meeting.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and confirmed that the Board continues to be in compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts by individual Board members and the possibility of acquiring new Board applicants.

III. Board Development and Training

A. Discuss Board Training Schedule and Topics for Fiscal Year 2018-19

Board Recruitment and Training Committee Minutes
April 9, 2018

Mr. Landauer suggested an update on Virtual Chart and case management.
Mr. Patrick Ruppe stated that the Individual Program Plan (IPP) section should be updated with the Person-Centered Thinking (PCT) portion.

IV. Other

Ms. Hilda Mendez announced that she is working with her parish in coordinating a prom for persons served and will provide more information at the next Committee meeting.

Mr. Martin adjourned the meeting at 5:17 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Peer Advisory Committee
March 21, 2018
Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Marcell Bassett (*arrived at 4:40 p.m.*)
Jyusse Corey, Peer Advocate
John Godlasky
Amy Jessee
Peter Kuo
Steven Mesinas
Fernando Peña

Committee Members Absent: Steven Gersten
Yvonne Kluttz
Sean Sullivan

RCOC Staff Members Present: Larry Landauer, Executive Director

Guests: Ms. Christine Blanco, OCTA
Mr. Jack Garate, OCTA
Ms. Laura Soto, OCTA

Ms. Sylvia Delgado called the meeting to order at 4:06 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC Peer Advocate Report

Mr. Jyusse Corey updated the Committee on the California Achieving a Better Life Experience (CalABLE) program. He stated that the launch of the program has been delayed indefinitely.

Mr. Corey also informed the Committee that the Self-Determination Program (SDP) waiver was submitted to the Centers for Medicare & Medicaid Services (CMS) on March 13, 2018. CMS has 90 days to approve the application, deny it, or request additional information.

III. OCTA/ACCESS – Questions & Answers

Mr. Jack Garate, OCTA Section Manager II, Contracted Services Department, along with Ms. Christina Blanco, OCTA Field Administrator, and Ms. Laura Soto, OCTA/ACCESS Contract Services, addressed Committee members' questions and concerns about OCTA and ACCESS transportation services.

RCOC Peer Advisory Committee Minutes
March 21, 2018

IV. Community Forum

No community members were present at the meeting.

V. Next Scheduled Meeting: April 18, 2018

The next PAC meeting is scheduled for Wednesday, April 18, 2018, from 4:00 p.m. to 5:30 p.m.

The meeting was adjourned at 4:55 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Peer Advisory Committee
April 18, 2018
Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Marcell Bassett
Jyusse Corey, Peer Advocate
Sam Durbin
John Godlasky
Amy Jessee
Peter Kuo (*arrived at 4:40 p.m.*)
Fernando Peña

Committee Members Absent: Steven Gersten
Yvonne Kluttz
Steven Mesinas
Sean Sullivan

RCOC Staff Members Present: Larry Landauer, Executive Director
Cristina Mercado, PCT Coordinator

Guests: Ms. Lauren Chong, RAD Camp
Mr. Matt Woo, RAD Camp

Ms. Sylvia Delgado called the meeting to order at 4:11 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) Meeting and asked members and guests to introduce themselves.

II. Rising Above Disability (RAD) Camp

Ms. Laurie Chong and Mr. Matt Woo gave a video presentation about RAD Camp, an organization offering residential summer camp for individuals of all ages with developmental disabilities. RAD Camp is one of the largest overnight camps in Southern California created specifically for individuals with developmental disabilities. Ms. Chong shared that the camp is run entirely by volunteers, and has become so popular that there is currently a waitlist of more than 400 persons. For those interested in participating or volunteering, please visit www.RadCamp.org to complete the on-line application.

III. RCOC Peer Advocate Report

Mr. Jyusse Corey reported that he and Ms. Delgado will travel to Sacramento in early May to attend the 23rd Annual Self-Advocacy Conference presented by Supported Life Institute. The theme this year is “Advocacy Rocks!” Mr. Corey shared that he has pamphlets available should anyone be interested in attending. The deadline to register is April 23rd, so there is still time to register for this event.

Mr. Corey also reported that the ABLE National Resource Center (ANRC) has launched an ABLE Advisory Group. The Advisors represent a diverse selection of ABLE programs, types of disabilities, geographic locations, ages, genders and ethnicities. The ABLE Advisory Group account holders and Advisors will assume the role of national spokespersons and impart knowledge of successful strategies for utilizing ABLE accounts so that ABLE-eligible individuals can achieve personal goals.

Mr. Corey reported that he has contacted the Orange County Sheriff’s Department in an effort to schedule a representative to give a presentation to the Committee on bike riding safety.

Mr. Corey ended his report with a reminder to the Committee that RCOC is hosting a tabletop workshop on Thursday, April 19, 2018 at 6:00 p.m.
“Transition Planning...Creating a Life Full of Possibilities” is focused on employment and post-secondary education.

IV. Other

Ms. Cristina Mercado requested from Committee members topics of discussion for upcoming PAC meetings. The Committee expressed interest in an updated presentation from Dr. Sam Ho, RCOC’s Clinical Pharmacist, on the Health Wellness 5-week pilot program, a presentation by Ms. Mercado on the rework of the IPP document, and creating a summer food drive in partnership with a food pantry organization as an activity for kids on summer break. The Committee also shared an interest in viewing a joint presentation from In-Home Supportive Services (IHSS), and Suzanne Butler, RCOC’s Benefits Specialist.

V. Community Forum

No community members were present at the meeting.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for Wednesday, May 16, 2018, from 4:00 p.m. to 5:30 p.m.

The meeting was adjourned at 5:00 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Legislative and Community Awareness Committee
April 10, 2018
Minutes**

Committee Members Present: Liza Krassner, Chairperson
Tiffany Bauer
Alan Martin
Hilda Mendez

Committee Members Absent: Maritza Bravo

Guest Board Member: Cliff Amsden (*arrived at 5:10 p.m.*)

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Kathleen McFarlin, Manager, Family Support and
Community Outreach
Patrick Ruppe, Director, Services, Supports and
Community Development
Stacy Wong, Interim Human Resources Director

Guests: Linda Blankenship, RCOC Consultant
Anh Nguyen, RCOC Consultant

Ms. Liza Krassner called the meeting to order at 5:03 p.m.

Mr. Larry Landauer introduced Ms. Stacy Wong as RCOC's Interim Human Resources (HR) Director. Ms. Wong has been RCOC's HR Manager for nine years.

I. Public Relations

A. News Media Outreach

Ms. Linda Blankenship reviewed RCOC's strategic plan regarding public relations and goals such as: cost-effectively leveraging RCOC's investment in media content and combining legislative and community outreach efforts through social media. The result should be a positive reflection on the variety of services provided by RCOC when stories of success are shared throughout social media.

B. Social Media

Ms. Anh Nguyen reported that RCOC's social media strategy supports its strategic goals and positions as a credible source of relevant and timely information for stakeholders, and the community. Ms. Nguyen invited Committee members to share the impact of RCOC within the community, as well as through their respective social media networks and platforms (e.g., *Facebook*, *Twitter* or *Instagram*).

C. Dialogue – AutFest Column

Ms. Krassner reported on her plans to attend two separate film festivals in late April. *AutFest Film Festival* is presented by the Autism Society of America and organized by Matt Asner, son of actor Ed Asner. The festival is a means of providing encouragement for individuals with autism who wish to pursue a career in acting, directing or other areas of filmmaking. A multi-genre filmmaking competition, the Newport Beach Film Festival gathers films created by local college students, which often leads to educational opportunities and cultural understanding. Ms. Krassner shared that she will write an article after attending both events, and requested suggestions from the Committee regarding topics for the article.

II. Legislation

A. Relationships with RCOC Legislative Delegation

Mr. Landauer recommended the Committee log on and review The Association of Regional Center Agencies (ARCA) website: www.arcnet.org, and navigate to the "Legislation & Advocacy" section to review information about legislative bills and ARCA's position on each one. This portion of the website is continuously updated, so the information is always current.

B. Legislation Affecting People with Developmental Disabilities

Ms. Nguyen reported on the variety of legislative bills for Committee members to consider for support, opposition or to be monitored. She also discussed budget priorities and regulatory issues.

III. Community Outreach

A. Developmental Screenings

Mr. Patrick Ruppe and Ms. Kathleen McFarlin provided the Committee with an update on developmental screenings. Screenings have already occurred in Vietnamese and Korean languages, with 27 out of 39 children being referred to RCOC. Another screening is scheduled for May 2018, in Farsi.

RCOC Legislative and Community Awareness Committee Minutes
April 10, 2018

Ms. McFarlin also reported that efforts will continue to reach a variety of agencies in Spanish, Korean, Vietnamese and Farsi speaking communities.

B. Disparity – Focused Activities

Mr. Patrick Ruppe and Ms. Kathleen McFarlin provided an update on various community outreach activities, collaborating to reach out to underserved communities, and developing more ways to help address disparity issues.

Ms. Krassner requested details regarding the dates and times for those community events where RCOC will be present, in case Board members wish to attend.

IV. Outreach: Developing Metrics to Assess Impact

Ms. Krassner reported that she gave a presentation on RCOC's Board of Directors at the ARCA meeting in March. She received a wide variety of positive feedback and ARCA is interested in knowing how effective RCOC is within the community.

Ms. Krassner proposed developing simple and understandable metrics regarding the effectiveness and impact of RCOC's outreach activities. She requested the Committee propose ideas to her, and to Ms. Tiffany Bauer, who will then prepare and present the ideas to RCOC Board members and will eventually present them to ARCA.

V. Community Forum

No community members were present at the meeting.

Mr. Krassner adjourned the meeting at 6:15 p.m.

Recorder: Sandra Lomeli