



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: **Thursday, January 14, 2021**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Budget and Finance Committee	Mine Hagen
	C.	Consent Calendar*	John "Chip" Wright
		1. Approval of Board of Directors' Minutes for November 5, 2020** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, October 2020** b. Approval of Monthly Sufficiency of Allocation Report, November 2020** c. Annual Sufficiency of Allocation Report, Fiscal Year 2020-21** d. Approval of Budget Amendment	
	D.	Executive Director's Report	Larry Landauer
		1. Annual Sufficiency of Allocation Report for Fiscal Year 2020-21 2. Operations Report – October 2020** 3. Operations Report – November 2020** 4. Performance Contract – October 2020** 5. Performance Contract – November 2020** 6. Employment Update 7. Hy-Lond Update 8. Housing Update	Marta Vasquez Arturo Cazares Keli Radford Jack Stanton
	E.	Community Forum***	John "Chip" Wright
	F.	Executive Committee	John "Chip" Wright
	G.	Board Recruitment and Training Committee**	John "Chip" Wright
	H.	Policies and Outcomes Committee**	Cliff Amsden
	I.	Vendor Advisory Committee**	Tiffany Bauer
		1. Approval of Vendor Advisory Committee Member Mark Antenucci as Chair of the Adult Family Home Agency/Foster Family Agency (AFHA/FFA) Subcommittee**	
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	Chairperson's Report	John "Chip" Wright
IV.	Adjournment		John "Chip" Wright

*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.

**Attachments for Board members in Board packet.

***This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.

**Regional Center of Orange County
Board of Directors' Meeting
November 5, 2020
Videoconference Minutes**

Board Members Present: John "Chip" Wright, Chairperson
Cliff Amsden
Marcell Bassett
Tiffany Bauer
Meena Chockalingam
Sylvia Delgado
Mine Hagen
Frances Hernandez
Amy Jessee
Liza Krassner
Sandy Martin
Chinh Nguyen
Fernando Peña

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 6:09 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Amy Jessee read RCOC's Mission and Vision Statement.

B. Budget and Finance Committee

Ms. Mine Hagen reported that the Committee met, reviewed and recommends for approval all of its items on the consent calendar.

C. Consent Calendar

1. Approval of Board of Directors' Minutes for September 3, 2020
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, August 2020
 - b. Approval of Monthly Sufficiency of Allocation Report, September 2020
 - c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 1, 2021

M/S/C to approve the consent calendar as presented

D. Executive Director's Report

Mr. Larry Landauer's report included the following highlights:

- *Statewide News.* Mr. Landauer reported that California receives approximately \$3.9 billion of its \$9.7 billion from the Centers for Medicare & Medicaid.
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that the number of positive cases continues to rise in Orange County.

The Department of Developmental Services (DDS) has approved the Alternative Nonresidential Services during the COVID-19 State of Emergency.

- *2021 Performance Contract.* Mr. Landauer reported that the 2021 Performance Contract public meeting was held with 58 attendees from the community on August 19, 2020.

Mr. Jack Stanton, RCOC's Associate Director of Housing, presented the 2020 Performance Contract Year End Objective Review.

- *Caseload Ratio Plan of Correction.* Mr. Landauer reminded the Board that no regional center has been able to meet the caseload ratio requirements. RCOC's plan of correction is posted on its website; stakeholders may provide comment to RCOC until November 13, 2020.
- *National Core Indicators (NCI).* Mr. Landauer reported that RCOC's annual public meeting will be at 5:30 p.m. on December 1, 2020.
- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to look at ways to strengthen community services. At this time, the main topic for many of the meetings continues to be COVID-19 related.
- *Purchase of Services (POS) Expenditures for Fiscal Year 2019-20.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings virtually.
- *Employment.* Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that RCOC will host a virtual training on *Preparing for Employment*. The trainings are scheduled from 6:00 p.m. to 7:00 p.m. on October 13, 2020 and November 17, 2022. Mr. Cazares also reported that RCOC continues to host *Ask the OC Experts* videoconference conversations. The monthly conversations cover various topics such as

RCOC Board of Directors' Meeting Minutes
November 5, 2020

employment, affordable housing, transition into adult services and developing individual transition plans. For more information about these events and how to register, please visit www.rcocdd.com.

- *Closure of Hy-Lond.* Ms. Keli Radford, RCOC's Director of Services and Supports, reported on the Hy-Lond residents who currently reside outside of Orange County until their new ResCare home is ready for occupancy.
- *Housing.* Mr. Stanton reported that the Housing Community Advisory Committee is scheduled to meet on November 9, 2020, from 5:00 p.m. via videoconference.
- *2021 Spotlight Awards.* Mr. Landauer reported that RCOC will hold its annual Spotlight Awards 2021 ceremony via videoconference in March 2021. Ms. Michele Gile, a reporter with CBS 2 and KCAL 9 will be the host; she was also the host last year. For more information about this event and how to register, please visit www.rcocdd.com.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the *Healthy Life, Happy Life* program will begin virtually in January 2021.
- *Emergency Response Communication.* Mr. Jerrod Bonner, RCOC's Information Technology Director, showed how RCOC uses its technology to effectively communicate with the community during times of emergencies, most recently the Silverado and Blue Ridge fires.
- *The Association of Regional Center Agencies (ARCA).* Mr. Landauer reported that ARCA will host a webinar training titled *Working Together* at 1:00 p.m. on December 12, 2020. The webinar is available for all regional centers Board members.
- *RCOC News.* Mr. Landauer reported that RCOC conducts its virtual community meetings every other week with translation services available in Spanish and Vietnamese.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Advisory Committee will meet virtually at 6:30 p.m. on November 30, 2020.
- *Wish Tree, RCOC's Holiday Gift-Giving Program.* Mr. Landauer reported that RCOC's gift-giving program for persons served has successfully received a sponsor for every Wish Tree card program.

Mr. Landauer presented for approval the 2021 Performance Contract.

1. Approval of Calendar Year 2021 Performance Contract

M/S/C to approve the 2021 Performance Contract, as presented

E. Community Forum

Mr. Wright announced that each speaker is limited to a maximum of five minutes; thereafter, the speaker will be muted in order to provide everyone with an opportunity to speak.

Mr. Robert Olea asked how RCOC is ensuring that persons served continue to participate in the supported employment program.

F. Executive Committee

Mr. Wright reported that the Committee met on October 19, 2020; it recommends for approval the following agenda items:

1. Approval of Renewal of Board Membership for Frances Hernandez for a Three-Year Term Commencing January 1, 2021 and Ending December 31, 2024

M/S/C to approve the Renewal of Board Membership for Frances Hernandez for a Three-Year Term Commencing January 1, 2021 and Ending December 31, 2024, as presented

2. Approval of Renewal of Board Membership for Amy Jessee for a Three-Year Term Commencing January 11, 2021 and Ending January 10, 2024

M/S/C to approve the Renewal of Board Membership for Amy Jessee for a Three-Year Term Commencing January 11, 2021 and Ending January 10, 2024, as presented

3. Approval of Renewal of Board Membership for Liza Krassner for a Three-Year Term Commencing January 11, 2021 and Ending January 10, 2024

M/S/C to approve the Renewal of Board Membership for Liza Krassner for a Three-Year Term Commencing January 11, 2021 and Ending January 10, 2024, as presented

G. Board Recruitment and Training

Mr. Wright reported that the Committee met and discussed recruitment efforts on October 12, 2020.

Mr. Wright also reported that the next Board training topic will be *Transportation*. The training will be held virtually at 5:30 p.m. on February 4, 2021.

The next Committee meeting will be held at 5:00 p.m. on November 9, 2020.

H. Policies and Outcomes Committee

Mr. Cliff Amsden welcomed two new Committee members, Ms. Liza Krassner and Ms. Sandy Martin.

Mr. Amsden reported that the Committee met on October 19, 2020; it recommends for approval the following agenda items:

1. Approval of Revisions to the Conflict of Interest Policy

M/S/C to approve revisions to the Conflict of Interest Policy, as recommended

2. Approval of Revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve

M/S/C to approve revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve, as recommended

The Committee will meet to discuss and review RCOC's 2020 Strategic Plan on November 16, 2020.

I. Vendor Advisory Committee

Ms. Tiffany Bauer reported that the Committee met on October 13, 2020; it recommends for approval the following agenda items:

1. Approval of Vendor Advisory Committee Member David Santana as Chair of the Support Services/Allied Health Subcommittee

M/S/C to approve Vendor Advisory Committee Member David Santana as Chair of the Support Services/Allied Health Subcommittee

2. Approval of Telehealth Support Letter Requesting that the Department of Developmental Services Allow Telehealth Services to Continue after the Pandemic

M/S/C to approve the Telehealth Support Letter Requesting that the Department of Developmental Services Allow Telehealth Services to Continue after the Pandemic

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee met on September 16, 2020. A representative from the Orange County Registrar of Voters presented information on the November 2020 General Election.

The Committee also met on October 21, 2020. Mr. Cazares gave a presentation on the Alternative Nonresidential Services during COVID-19 and explained the difference between traditional and alternative service.

Ms. Delgado also reported that she had been approved to serve on DDS' Consumer Advisory Committee (CAC).

The next PAC meeting is scheduled for November 18, 2020.

K. Legislative and Community Awareness Report

Ms. Krassner reported that the Committee met on October 13, 2020, and discussed relationship building with the newly elected state legislative officials.

The next LCA meeting is scheduled for January 12, 2021.

L. ARCA Report

Ms. Krassner reported that ARCA's board delegates met on October 13, 2020, to discuss the ARCA Academy trainings for fiscal year 2020-21.

M. Chairperson's Report

Mr. Wright thanked Mr. Landauer and the entire RCOC team for their hard work and wished everyone Happy Holidays and a New Year.

II. Adjournment

Mr. Wright adjourned the meeting at 7:29 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
November 5, 2020**

Committee Members Present: Mine Hagen, Chair
Cliff Amsden
Marcell Bassett (*joined at 4:33 p.m.*)
Amy Jessee
Sandy Martin
Chinh Nguyen
Fernando Peña
John “Chip” Wright

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Arturo Cazares, Associate Director of Employment
Liliana Castillo, Accounting Manager, POS
Nancy Franco, Accounting Manager, Operations
Raudel Perez, Administrator
Linda Pham, Fiscal Analyst
Keli Radford, Director of Services and Supports
Marta Vasquez, Associate Finance Director
Stacy Wong, Human Resources Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, August and September 2020

Ms. Marta Vasquez reported that the annual Sufficiency of Allocation Report (SOAR) is due to the Department of Developmental Services (DDS) on December 10, 2020.

Factors that will increase expenditures significantly in this fiscal year are as follows:

- the continuation of minimum wage increases effective every year from January 1, 2016 to January 1, 2020,
- the new minimum wage increases effective January 1, 2021,
- the continuation of supplemental rate increases effective January 1, 2020, and
- the 492 persons served who will graduate from school to regional center-funded adult day program.

In addition, the biggest and somewhat unpredictable variable in projecting RCOC’s expenditures is COVID-19. As a result of the State of Emergency, DDS authorized regional centers to pay for absences that were the direct result of COVID-19 until

*RCOC Budget and Finance Committee Minutes
November 5, 2020*

August 3, 2021. Effective September 1, 2020, DDS authorized payment for Alternative Service, which is intended to meet the needs of the persons served, sustain the developmental services provider network and continue receipt of federal reimbursement.

M/S/C to approve the monthly SOARs.

2. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 1, 2021

Ms. Baber reported that the salary schedule was changed to reflect the minimum wage increase from \$13 to \$14 per hour and minimum exempt salary increase from \$54,080 to \$58,240 effective January 1, 2021.

The meeting adjourned at 4:57 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 14, 2021
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, October 2020**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 6, 2021

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – October 2020 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

The Department of Development Services (DDS) extended the deadline for submission of the annual Sufficiency of Allocation Report (SOAR) from December 10, 2020 to January 10, 2021. RCOC is projecting a deficit of \$10.7 million. RCOC's projected deficit will be \$2.2 million if all \$8.4 million of the State Plan Amendment receivables are paid.

The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of minimum wage increases effective every year from January 1, 2016 to January 1, 2020,
- the new minimum wage increase effective January 1, 2021, and
- the continuation of supplemental rate increases effective January 1, 2020.

It also includes the projected cost of 492 persons served who will graduate from school to regional center-funded adult day programs.

The challenge continues to be projecting the fiscal impact of COVID-19. As reported at the last Budget and Finance Committee meeting, DDS's authorization to pay vendors for absences that were the direct result of COVID-19 ended on August 31, 2020. Effective September 1, 2020, DDS authorized payment for Alternative Service, which is intended to meet the needs of the persons served, sustain the developmental services provider network and continue receipt of federal reimbursement.

It is unknown at this time how successful Alternative Service will be in meeting the needs of persons served and how long the Centers for Medicare & Medicaid will approve Alternative Service.

Year to date, RCOC's caseload has decreased by 20 persons for an annualized caseload decline of 0.2%; the regional center system increased by 590 persons for an annualized caseload growth of 0.4%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of October 31, 2020**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	B-1 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (1/10/2021)	VARIANCE			SPENT PRIOR YEAR
					(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$144,503,002	\$49,388,619	\$148,165,857	\$155,175,613	-7%	-\$10,672,611	\$0	\$123,187,413
(2) Day Care	3,099,487	918,490	2,755,470	3,099,487	0%	\$0	0	2,991,856
(3) Day Training	64,058,837	20,425,761	61,277,283	64,058,837	0%	\$0	0	64,544,654
(4) Habilitation	8,486,789	2,724,839	8,174,517	8,486,789	0%	\$0	0	8,588,066
(5) Transportation	16,397,167	2,666,042	15,996,252	16,397,167	0%	\$0	0	16,323,331
(6) Respite	44,142,900	14,261,633	42,784,899	44,142,900	0%	\$0	0	35,811,872
(7) Supported Living	45,782,867	14,758,865	44,276,595	45,782,867	0%	\$0	0	42,199,888
(8) Non-medical	16,522,410	4,941,790	14,825,370	16,522,410	0%	\$0	0	14,330,856
(9) Medical	8,558,053	2,594,296	7,782,888	8,558,053	0%	\$0	0	5,558,951
(10) Other	103,752,097	32,401,865	97,205,595	103,752,097	0%	\$0	0	94,473,554
(11) Early Start (Age 0-3)	22,415,310	7,259,543	21,778,629	22,415,310	0%	\$0	0	27,620,704
(12) Community Placement Plan	1,888,106	0	0	1,888,106	0%	0	0	5,446,002
(13) Purchase of Service Total	479,607,025	152,341,743	465,023,355	490,279,636	-2%	-10,672,611	0	441,077,147
<u>OPERATIONS</u>								
(14) Operating Expense (Gross)	7,600,000	2,614,988	7,844,963	7,600,000	0%	0	0	6,550,972
(15) Less Interest Income and SPA Fees	-300,000	-47,044	-141,133	-300,000	0%	0	0	-363,356
(16) Operating Expense (Net)	7,300,000	2,567,943	7,703,830	7,300,000	0%	0	0	6,187,616
(17) Personal Services	41,889,084	13,857,956	41,573,868	41,889,084	0%	0	0	37,466,345
(18) Family Resource Center/Services	269,299	4,426	13,278	269,299	0%	0	0	190,842
(19) Operations Total	49,458,383	16,430,325	49,290,976	49,458,383	0%	0	0	43,844,802
(20) Total	\$529,065,408	\$168,772,068	\$514,314,331	\$539,738,019	0%	-\$10,672,611	\$0	\$484,921,949

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.
 ** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.
 *** Operating Expense appears to exceed the allocation due to annual payments for insurance.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF OCTOBER 31, 2020

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	50,190,287.85	
Savings	25,681.50	\$420,550.59
Money market	0.00	
Payroll	241,867.79	
Donations	172,235.67	
Unemployment	571,290.21	
Certificate of deposit	0.00	
	-----	-----
Total current assets	51,201,663.02	420,550.59
	-----	-----
RECEIVABLES		
State claim	80,606,077.08	
Client support revenue	182,218.42	33,543.23
Due from State - prior years	100,227,834.40	
Due from ICF - ICF Supplemental Services	7,178,221.57	
	-----	-----
Total receivables	188,194,351.47	33,543.23
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	467,122.64	
Building acquisition	63,613.98	
	-----	-----
Total other assets	530,736.62	0.00
	-----	-----
TOTAL ASSETS	\$240,216,333.97	\$454,093.82
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$23,326,331.47	\$182,218.42
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	216,093,954.05	
Unemployment insurance	571,290.21	
	-----	-----
Total liabilities	240,044,098.30	182,218.42
	-----	-----
FUND BALANCES		
General	0.00	
Donations	172,235.67	
Custodial		271,875.40
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$240,216,333.97	\$454,093.82
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
OCTOBER 31, 2020

Beginning Balance		\$170,925.67
Donations	\$0.00	
Loan Payments	1,310.00	
Interest	0.00	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>1,310.00</u>
Ending Balance		<u><u>\$172,235.67</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 14, 2021
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, November 2020**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 6, 2021

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – November 2020 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

The Department of Development Services (DDS) extended the deadline for submission of the annual Sufficiency of Allocation Report (SOAR) from December 10, 2020 to January 10, 2021. RCOOC is projecting a deficit of \$10.7 million. RCOOC's projected deficit will be \$2.2 million if all \$8.4 million of the State Plan Amendment receivables are paid.

The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of minimum wage increases effective every year from January 1, 2016 to January 1, 2020,
- the new minimum wage increase effective January 1, 2021, and
- the continuation of supplemental rate increases effective January 1, 2020.

It also includes the projected cost of 492 persons served who will graduate from school to regional center-funded adult day programs.

The challenge continues to be projecting the fiscal impact of COVID-19. As reported at the last Budget and Finance Committee meeting, DDS's authorization to pay vendors for absences that were the direct result of COVID-19 ended on August 31, 2020. Effective September 1, 2020, DDS authorized payment for Alternative Service, which is intended to meet the needs of the persons served, sustain the developmental services provider network and continue receipt of federal reimbursement.

It is unknown at this time how successful Alternative Service will be in meeting the needs of persons served and how long the Centers for Medicare & Medicaid will approve Alternative Service.

Year to date, RCOOC's caseload has decreased by 20 persons for an annualized caseload decline of 0.2%; the regional center system increased by 590 persons for an annualized caseload growth of 0.4%.

Operations

RCOOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of November 30, 2020**

	A	B	C	D	E	F	G	H
				SOAR	VARIANCE			
	B-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(1/10/2021)	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	\$144,503,002	\$61,106,521	\$147,506,389	\$155,175,613	-7%	-\$10,672,611	\$0	\$123,187,413
(2) Day Care	3,099,487	1,051,167	2,760,394	3,099,487	0%	\$0	0	2,991,856
(3) Day Training	64,058,837	24,096,077	62,229,815	64,058,837	0%	\$0	0	64,544,654
(4) Habilitation	8,486,789	3,280,246	8,185,111	8,486,789	0%	\$0	0	8,588,066
(5) Transportation	16,397,167	3,029,598	16,157,856	16,397,167	0%	\$0	0	16,323,331
(6) Respite	44,142,900	15,846,293	42,900,969	44,142,900	0%	\$0	0	35,811,872
(7) Supported Living	45,782,867	17,933,640	44,070,419	45,782,867	0%	\$0	0	42,199,888
(8) Non-medical	16,522,410	5,785,937	14,594,707	16,522,410	0%	\$0	0	14,330,856
(9) Medical	8,558,053	3,479,813	8,508,282	8,558,053	0%	\$0	0	5,558,951
(10) Other	103,752,097	38,712,711	96,010,185	103,752,097	0%	\$0	0	94,473,554
(11) Early Start (Age 0-3)	22,415,310	8,683,639	21,914,781	22,415,310	0%	\$0	0	27,620,704
(12) Community Placement Plan	1,888,106	0	0	1,888,106	0%	0	0	5,446,002
(13) Purchase of Service Total	479,607,025	183,005,642	464,838,907	490,279,636	-2%	-10,672,611	0	441,077,147
OPERATIONS								
						-\$2,239,021	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	7,600,000	3,060,030	7,344,072	7,600,000	0%	0	0	6,550,972
(15) Less Interest Income and SPA Fees	-300,000	-58,862	-141,268	-300,000	0%	0	0	-363,356
(16) Operating Expense (Net)	7,300,000	3,001,169	7,202,804	7,300,000	0%	0	0	6,187,616
(17) Personal Services	41,889,084	16,544,047	39,705,713	41,889,084	0%	0	0	37,466,345
(18) Family Resource Center/Services	269,299	6,467	15,521	269,299	0%	0	0	190,842
(19) Operations Total	49,458,383	19,551,682	46,924,038	49,458,383	0%	0	0	43,844,802
(20) Total	\$529,065,408	\$202,557,324	\$511,762,945	\$539,738,019	0%	-\$10,672,611	\$0	\$484,921,949

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.
 ** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF NOVEMBER 30, 2020

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	49,104,593.04	
Savings	24,019.92	\$383,579.16
Money market	0.00	
Payroll	242,832.87	
Donations	173,316.67	
Unemployment	553,636.54	
Certificate of deposit	0.00	
	-----	-----
Total current assets	50,098,699.04	383,579.16
	-----	-----
RECEIVABLES		
State claim	81,058,735.05	
Client support revenue	139,104.50	35,428.08
Due from State - prior years	100,884,512.05	
Due from ICF - ICF Supplemental Services	6,463,049.52	
	-----	-----
Total receivables	188,545,401.12	35,428.08
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	467,122.64	
Building acquisition	63,613.98	
	-----	-----
Total other assets	530,736.62	0.00
	-----	-----
TOTAL ASSETS	\$239,464,419.64	\$419,007.24
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$22,590,989.81	\$139,104.50
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	216,093,954.05	
Unemployment insurance	553,636.54	
	-----	-----
Total liabilities	239,291,102.97	139,104.50
	-----	-----
FUND BALANCES		
General	0.00	
Donations	173,316.67	
Custodial		279,902.74
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$239,464,419.64	\$419,007.24
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
NOVEMBER 30, 2020

Beginning Balance		\$172,235.67
Donations:		
Asato, Carole	\$100.00	
CARRA Homes, Inc.	100.00	
Cockrell, Victor	10.00	
Rawlings, David	100.00	
Rogers, C.E.	15.00	
Thompson, Marie	50.00	
Whittingham, Tory & Peter	<u>200.00</u>	
Subtotal Donations	\$575.00	
Loan Payments	506.00	
Interest	0.00	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>1,081.00</u>
Ending Balance		<u><u>\$173,316.67</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION _____
ACTION/CONSENT _____
DISCUSSION X _____
INFO ONLY _____

DATE: January 14, 2021

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2020-21

BACKGROUND:

In accordance with the contract between regional centers and the Department of Developmental Services (Article III, Section 2, paragraph d.), RCOC is required to report on the sufficiency of the Purchase of Service (POS) allocation for fiscal year 2019-20.

REASON FOR CURRENT ITEM:

To provide additional information on the analysis of the sufficiency of the Purchase of Service (POS) allocation.

FISCAL IMPACT:

None

RECOMMENDATION:

For discussion.

Enter Number of Months Claimed (5 for Jan 10th report, once 12 is reached leave at 12)	5
Date of POS Payments Cut-Off:	November 30, 2020

REPORT DATE:

REGIONAL CENTER OF ORANGE COUNTY
POS EXPENDITURE PROJECTION (PEP) SUMMARY
Fiscal Year 2020-2021
Actual Expenditures through November
NON-CPP EXPENDITURES (Regular POS Monthly Claims)

	CURRENT MONTH	Enter Prior Month	CHANGES
	High Estimate	High Estimate	High Estimate
Estimated Cost of Current Services	\$476,254,277	\$0	476,254,277
Estimated Growth	\$7,008,148	\$0	7,008,148
Enter Other Items as necessary, which are not part of the YTD or estimated expenditures which may include but			
1. Deduct allocation for HCBSW Compliance		\$0	0
2. Deduct estimated receipts from ICFs for SPA services.	-8,433,590	0	(8,433,590)
3. Rate increase effective 1/1/2021 for 520 and 805	414,210	0	414,210
4. Adjustment for SSI CCF Rate	0	0	0
5. SSI/SSP Restoration (Not Yet Paid)	0	0	0
6. Supplemental Rate Increase	0	0	0
7. State of Emergency (SOE) / COVID	0	0	0
8. FEMA, CARES	0	0	0
9. Overtime	600,000	0	600,000
10. Minimum wage effective 1/1/2021	4,114,895	0	4,114,895
TOTAL ESTIMATED EXPENDITURES	\$479,957,940	\$0	\$479,957,940

REGIONAL CENTER:
 FISCAL YEAR:
 NO. OF MONTHS CLAIMED:

Fiscal Year 2020-2021
 5

REGIONAL CENTER OF ORANGE COUNTY

NON-CPP EXPENDITURES

<u>Column 1</u> Enter YTD Expenditures Jul-Nov	<u>Column 2</u> Late Bills	<u>Column 3</u> Enter Avg # of Consumers per Month (Status 1 & 2)	<u>Column 4</u> # of Mos/Days to End Of Year (EOY)	<u>Column 5</u> Rate	<u>Column 6</u> Projected Costs for Current Consumers Dec-Jun	<u>Column 7</u> Estimated Cost of Current Services
OUT-OF-HOME CARE						
Community Care Facilities	\$60,279,946	\$630,829	2,442	7	\$5,052	\$86,358,888 \$147,269,663
ICF/SNF Facilities	\$826,575	\$238,946	19	7	\$11,217	\$1,491,861 \$2,557,382
Total Out-of-Home Care	\$61,106,521	\$869,775				\$87,850,749 \$149,827,045
DAY PROGRAMS						
Day Care	\$1,051,167	\$191,780	178	7	\$1,490	\$1,856,540 \$3,099,487
Day Training	\$31,283,332	\$4,345,838	6,572	7	\$1,110	\$51,064,440 \$86,693,610
Supported Employment						
950-SEP Group	\$2,122,638	\$91,916	275	7	\$1,620	\$3,118,500 \$5,333,054
952-SEP Placement	\$1,093,699	\$0	456	7	\$591	\$1,886,472 \$2,980,171
Work Activity Program						
954-WAP	\$63,909	\$0	25	7	\$627	\$109,655 \$173,564
Total Day Programs	\$35,614,745	\$4,629,534				\$58,035,607 \$98,279,886
OTHER SERVICES						
Non-Medical: Professional	\$2,766,500	\$283,364	1,099	7	\$561	\$4,315,773 \$7,365,637
Non-Medical: Programs	\$3,322,305	410,203	365	7	2,123	5,424,265 9,156,773
Home Care: Programs	\$12,076	0	1	7	4,103	28,721 40,797
Transportation	\$3,026,612	3,575,465	5,545	7	252	9,781,380 16,383,457
Transportation Contracts	\$2,986	0	2	7	766	10,724 13,710
Prevention	\$3,533	0	2	7	450	6,300 9,833
Other-Comm. Activity Services 063	\$13,172,239	0	1,008	7	2,658	18,754,848 31,927,087
Other-SSI/SSP Restoration 065	\$138,852	0	407	7	57	162,393 301,245
Other-SLS 896	\$17,933,640	0	503	7	7,607	26,784,247 44,717,887
Other Authorized Services	\$25,411,991	2,840,805	1,230	7	4,132	35,576,520 63,829,316
Personal and Incidentals	\$247,030	0	207	7	206	298,494 545,524
Hospital Care	\$2,294,700	0	16	7	31,838	3,565,856 5,860,556
Medical Equipment	\$9,486	0	39	7	49	13,280 22,766
Medical Service: Professional	\$1,259,118	59,082	761	7	359	1,912,393 3,230,593
Medical Service: Programs	\$834,633	0	120	7	1,363	1,144,920 1,979,553
Respite: In Own Home	\$15,835,592	2,256,591	3,427	7	1,027	24,636,703 42,728,886
Respite: Out of Home	\$13,083	0	3	7	983	20,643 33,726
Camps	\$0	0	0	7		
Total Other Services	\$86,284,376	\$9,425,510				\$132,437,460 \$228,147,346
TOTAL POS	\$183,005,642	\$14,924,819	39,586,092			\$278,323,816 \$476,254,277

REGIONAL CENTER:
 FISCAL YEAR: 2020-21
 NO. OF MONTHS CLAIMED: 5

DAY PROGRAM EXPENDITURES: NON-CPP

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Day Programs^{1/}	1		1		2	2	1				1		8
Number of Consumers	6,581	6,572	5,627	5,477	4,711								
POS Cost	\$7,308,639	\$6,971,536	\$6,066,966	\$6,091,822	\$4,844,369								\$31,283,332
POS Avg Cost	\$1,110.57	\$1,060.79	\$1,078.19	\$1,112.26	\$1,028.31								
Program Days	22	21	21	22	19	21	20	20	23	22	20	22	253
Avg Daily Cost	\$50.48	\$50.51	\$51.34	\$50.56	\$54.12	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$50.50	\$50.78	\$50.72	\$51.40								
Supported Employment Program, Group Services- 950^{1/}													
Number of Consumers	275	275	275	275	275								
POS Cost	\$438,962	\$426,778	\$405,164	\$445,517	\$406,217								\$2,122,638
POS Avg Cost	\$1,596.23	\$1,551.92	\$1,473.32	\$1,620.06	\$1,477.15								
Program Days	22	21	21	22	19	21	20	20	23	22	20	22	253
Avg Daily Cost	\$72.56	\$73.90	\$70.16	\$73.64	\$77.74	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$73.23	\$72.20	\$72.56	\$73.60								
Supported Employment Program Individual Services- 952^{1/}													
Number of Consumers	437	455	400	426	298								
POS Cost	\$258,286	\$248,779	\$219,056	\$229,394	\$138,184								\$1,093,699
POS Avg Cost	\$591.04	\$546.77	\$547.64	\$538.48	\$463.70								
Program Days	22	21	21	22	19	21	20	20	23	22	20	22	253
Avg Daily Cost	\$26.87	\$26.04	\$26.08	\$24.48	\$24.41	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$26.45	\$26.33	\$25.86	\$25.57								
Work Activity Program-954^{2/}													
Number of Consumers	25	25	18	19	19								
POS Cost	\$15,665	\$14,821	\$10,871	\$11,546	\$11,006								\$63,909
POS Avg Cost	\$626.60	\$592.84	\$603.94	\$607.68	\$579.26								
Program Days, Max Billing Days	22	22	20	22	19	21	21	19	22	22	20	22	252
Avg Daily Cost	\$28.48	\$26.95	\$30.20	\$27.62	\$30.49	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$27.71	\$28.54	\$28.31	\$28.75								
Transportation 65050													
Number of Consumers	3,562	3,659	1,784	1,698	1,503								
POS Cost	\$900,174	\$903,529	\$423,310	\$436,043	\$363,556								\$3,026,612
POS Avg Cost	\$252.72	\$246.93	\$237.28	\$256.80	\$241.89								
Program Days	22	21	21	22	19	21	20	20	23	22	20	22	253
Avg Daily Cost	\$11.49	\$11.76	\$11.30	\$11.67	\$12.73	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$11.62	\$11.51	\$11.55	\$11.79								
Transportation Contracts 65051													
Number of Consumers	2	2	0	0	0								
POS Cost	\$1,533	\$1,453	\$0	\$0	\$0								\$2,986
POS Avg Cost	\$766.50	\$726.50											
Program Days	22	21	21	22	19	21	20	20	23	22	20	22	253
Avg Daily Cost	\$34.84	\$34.60			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$34.72	\$34.72	\$34.72	\$23.15								

^{2/}Work Activity Program (WAP) Days displays the number of the maximum billing days noted on the WAP Billable days letter from the Department. Title 17, section 58880 permits vendors to vary from this schedule as long as the vendors do not exceed the maximum of 251 billing days and the vendor sends a revised schedule to the Regional Center(s).

REGIONAL CENTER OF ORANGE COUNTY

Enter Estimated Late Bills

Jul-Nov

Enter the estimated late bills either on this worksheet or directly on the Non-CPP
 The data entered on the Late Bills worksheet automatically posts on the Non-CPP and (

NON-CPP

OUT-OF-HOME CARE

Community Care Facilities	\$630,829
ICF/SNF Facilities	238,946
Total Out-of-Home Care	\$869,775

DAY PROGRAMS

Day Care	191,780
Day Training	4,345,838
Supported Employment	
950-SEP Group	91,916
952-SEP Placement	
Work Activity Program	
954-WAP	
Total Day Programs	\$4,629,534

OTHER SERVICES

Non-Medical: Professional	283,364
Non-Medical: Programs	410,203
Home Care: Programs	
Transportation	3,575,465
Transportation Contracts	
Prevention	
Other-Comm. Activity Services 063	
Other-SSI/SSP Restoration 065	
Other-SLS 896	
Other Services	2,840,805
Personal and Incidentals	
Hospital Care	
Medical Equipment	
Medical Service: Professional	59,082
Medical Service: Programs	
Respite: In Own Home	2,256,591
Respite: Out of Home	
Camps	
Total Other Services	\$9,425,510

TOTAL LATE BILLS **\$14,924,819**

REGIONAL CENTER OF ORANGE COUNTY

Actual Expenditures through November

Projection Summary: Explanation	2020-21	Prior Year 2019-20	Prior Year 2018-19	Prior Year 2017-18
<u>Other Items: Non CPP</u>				
Growth in Lanterman and Early Start				
Caseload Growth				
	4.50% 2014-15	813		
	3.90% 2015-16	736		
	4.40% 2016-17	866		
	4.00% 2017-18	821		
	3.90% 2018-19	822		
	1.20% 2019-20	258		
	-0.20% 2020-21	-20 year-to-date		
Number of Consumers Leaving Education Funding at Age 22				
Large number of consumers leaving education funding and receiving adult day services				
	492	525	491	491
Employment First				
Cost of expanding consumer employment				
		Prior Year 2019-20	Prior Year 2018-19	Prior Year 2017-18
POS Expenditures July to October				
POS paid at time of SOAR	\$146,166,914	\$131,192,118	\$119,980,827	\$112,858,201
Late bills	\$11,951,151	\$7,563,382	\$6,145,420	\$5,637,863
Total Base	\$158,118,065	\$138,755,500	\$126,126,247	\$118,496,064
Prior year POS paid actual			\$126,886,631	\$119,236,402
Difference in expenditures July to October	\$19,362,565	\$12,629,253	\$7,630,183	\$7,414,611
Annual difference (4 months x 3 = 12)	\$58,087,695	\$37,887,759	\$22,890,549	\$22,243,833



Summary of Information About Persons Served - October 2020

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,146	15%
Children - Ages Three to 17 Receiving Lanterman Services	7,182	35%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	449
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,831	62%
Epilepsy	2,897	15%
Cerebral Palsy	2,600	14%
Autism	8,028	42%
Fifth Category*	1,556	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	347
Early Start / Under Age Three / 45 days to complete determination	317 91%
Lanterman / Over Age Three / 120 days to complete determination	30 9%

NUMBER OF PERSONS DETERMINED ELIGIBLE	22
Children - Birth to Age Three Eligible for Early Start Services	1
Children and Adults - Ages Three and Older Eligible for Lanterman Services	21
<ul style="list-style-type: none"> • Number of children who received Early Start services 	37
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	31
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	235
Children - Age Three No Longer Eligible for Early Start Services	172
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

OCTOBER 2020 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2020-21

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	0	0	1	5		
Unannounced	0	0	8	4		
Total Number of Reviews	0	0	9	9		

Provider Trainings	0	0	0	0		
Technical Support	79	73	36	62		
Corrective Action Plans	2	1	1	4		
Special Incident Investigations*	23	15	24	13		
COVID-19 Checklist	101	85	71	81		

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							6
Unannounced							12
Total Number of Reviews							18

Provider Trainings							0
Technical Support							250
Corrective Action Plans							8
Special Incident Investigations*							75

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

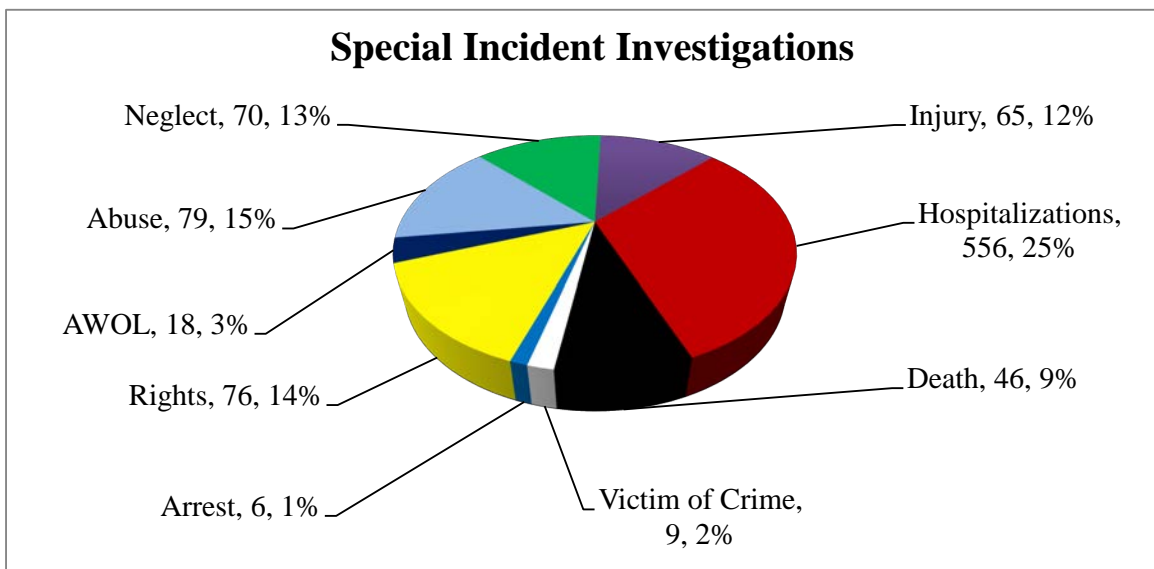
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2020-21

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	4	0	9		
Abuse	21	29	14	15		
Neglect	23	18	12	17		
Injury	8	20	21	16		
Hospitalizations - Total	54	40	36	37		
<i>Psychiatric</i>	10	8	7	8		
<i>Medical</i>	44	32	29	29		
Death	12	15	6	13		
Victim of crime	2	2	0	5		
Arrest	4	1	1	0		
Rights	11	5	46	14		
Total	140	134	136	114		

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							18
Abuse							79
Neglect							70
Injury							65
Hospitalizations - Total							167
<i>Psychiatric</i>							33
<i>Medical</i>							134
Death							46
Victim of Crime							9
Arrest							6
Rights							76
Total							536



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2020-21

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	1		
Staffing	0	0	0	0		
Level 4I Consultant	0	0	0	0		
P&I (consumer funds)	0	0	0	0		
Total Number of Audits	0	0	0	1		

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0		
Recovery	0	0	0	1		

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$342.48		
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							1
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits							1

Number of Appeals / Recoveries

State Appeal							0
Recovery							1

Audit Findings (Dollar Amount)

Amount of Recovery							\$342.48
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FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2020-21

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	118	123	134	126		
Diapers - Family Member	1	0	0	0		
Nursing Service - Family Member	64	66	65	63		
Respite Service - Family Member	539	579	584	583		
Transportation - Family Member	144	141	130	123		
Total Number of Voucher Authorizations	866	909	913	895	0	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2020-21

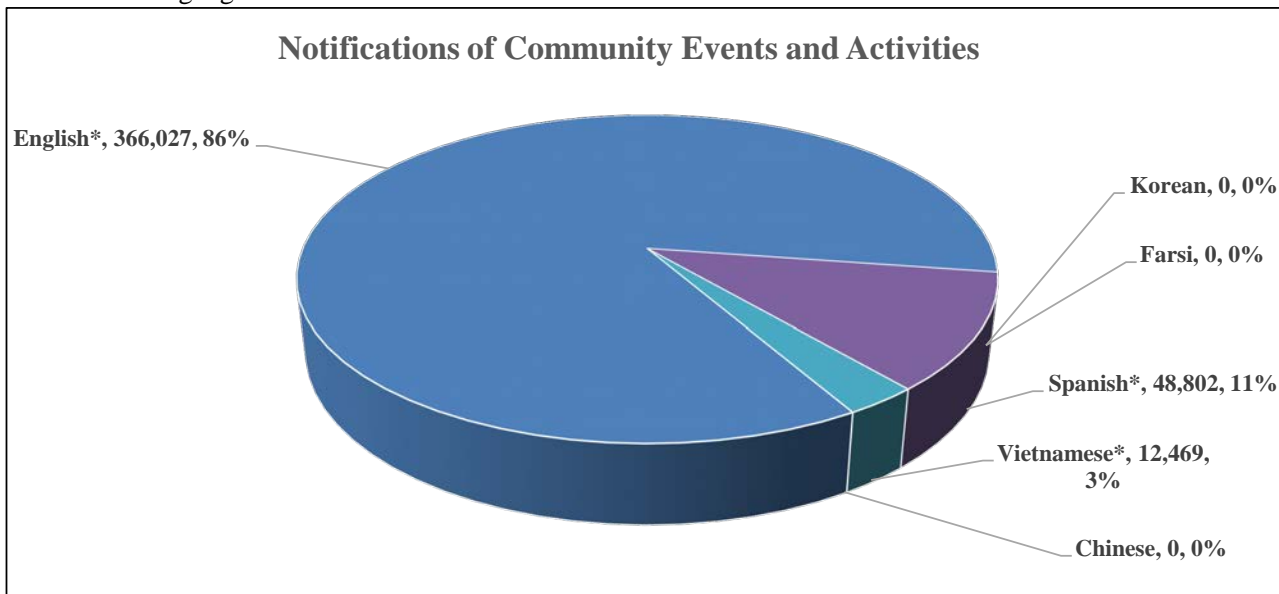
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	366,027	No Data Available	No Data Available	No Data Available		
Farsi						
Korean						
Spanish*	48,802					
Vietnamese*	12,469					
Total Number of Notifications	427,298					

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							366,027
Farsi							0
Korean							0
Spanish*							48,802
Vietnamese*							12,469
Chinese							0
Total Number of Notifications	0	0	0	0	0	0	427,298

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2020-21

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		3	6	6		
Spanish	1	4	1	1		
Vietnamese	2	2				
Other Languages						
In Print						
English	1		1	1		
Spanish						
Vietnamese				1		
Other Languages						
TV / Radio						
English			1			
Spanish						
Vietnamese						
Other Languages						
Total Number of Outreach Events	4	9	8	9	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							15
Spanish							7
Vietnamese							4
Other Languages							0
In Print							
English							3
Spanish							0
Vietnamese							1
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							0
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	30

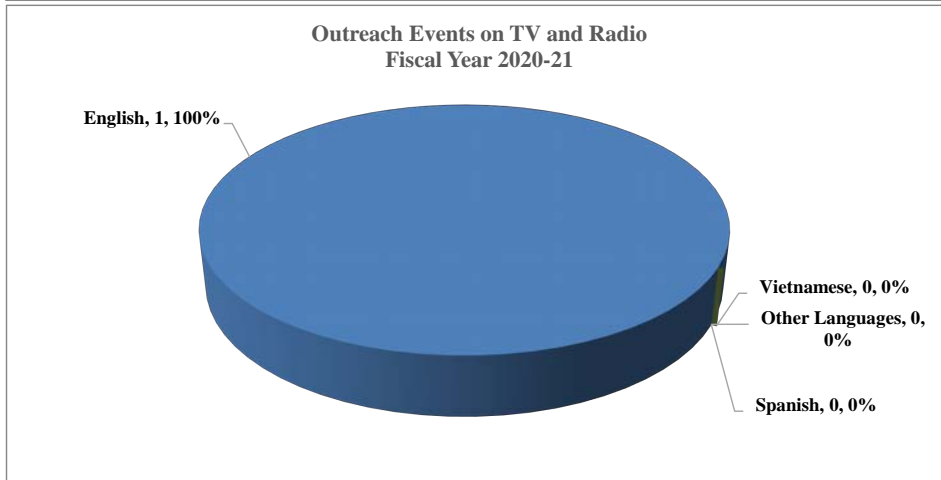
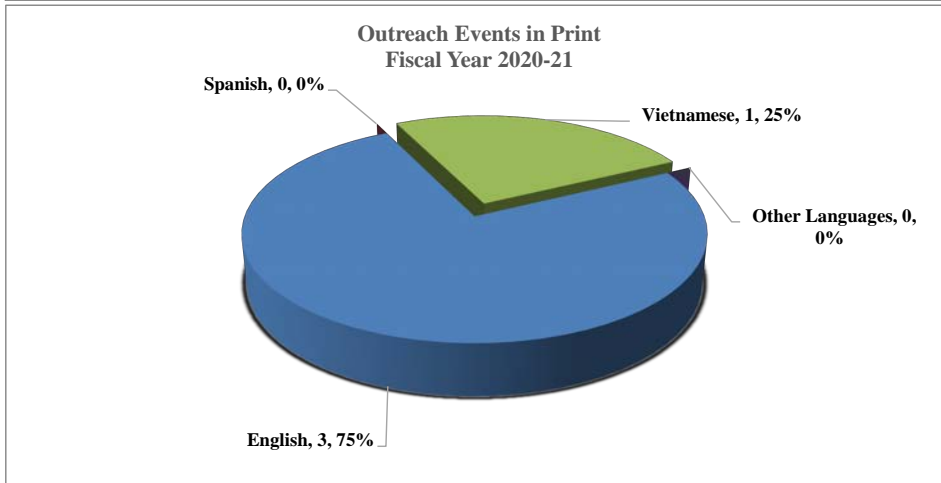
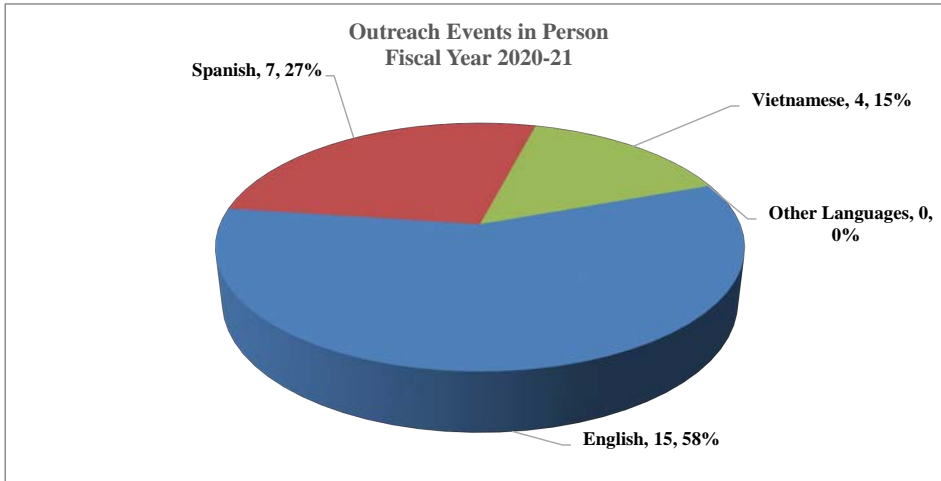
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2020-21



EARLY INTERVENTION / PREVENTION

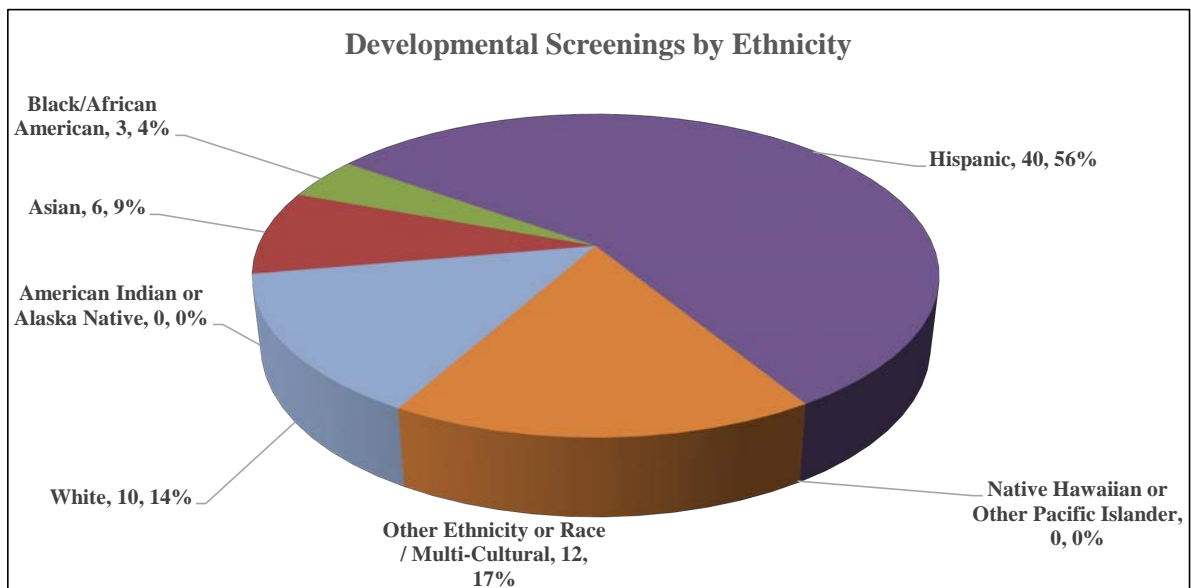
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2020-21

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0		
Asian	1	4	0	1		
Black/African American	0	0	0	3		
Hispanic	5	14	0	21		
Native Hawaiian or Other Pacific Islander	0	0	0	0		
Other Ethnicity or Race / Multi-Cultural	2	2	0	8		
White	1	6	0	3		
Total Number Screened	9	26	0	36		
Total Number Referred to RCOC	6	15	0	14		

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							6
Black/African American							3
Hispanic							40
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							12
White							10
Total Number Screened							71
Total Number Referred to RCOC							35

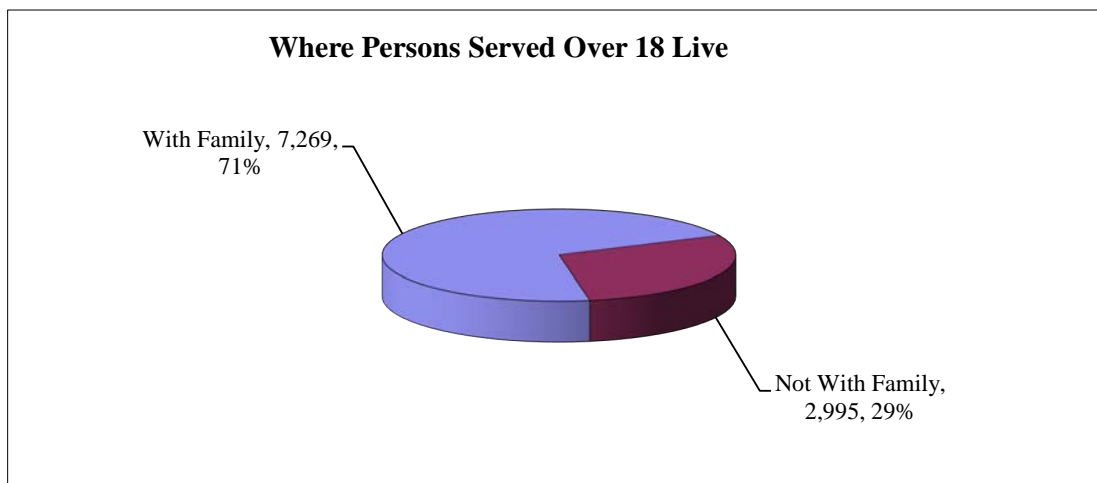
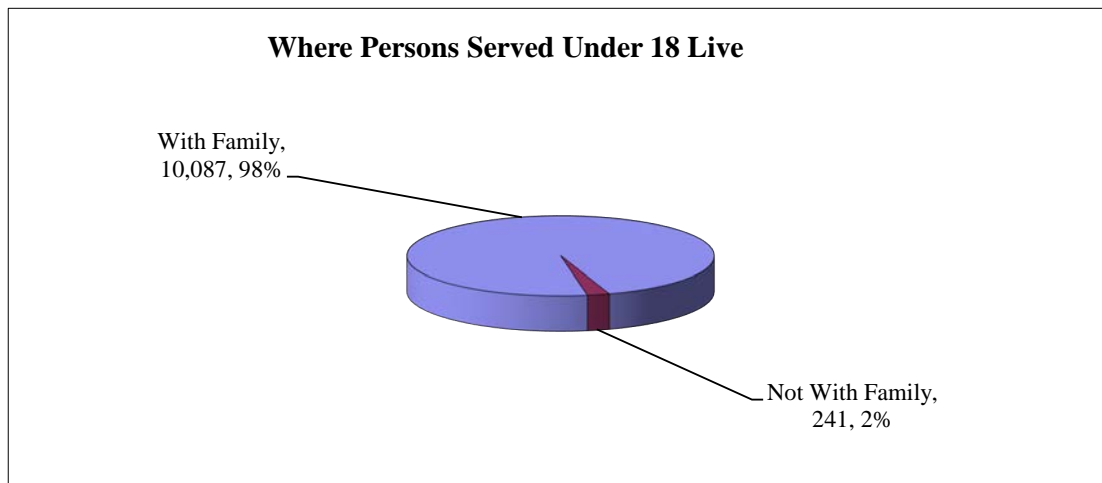


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

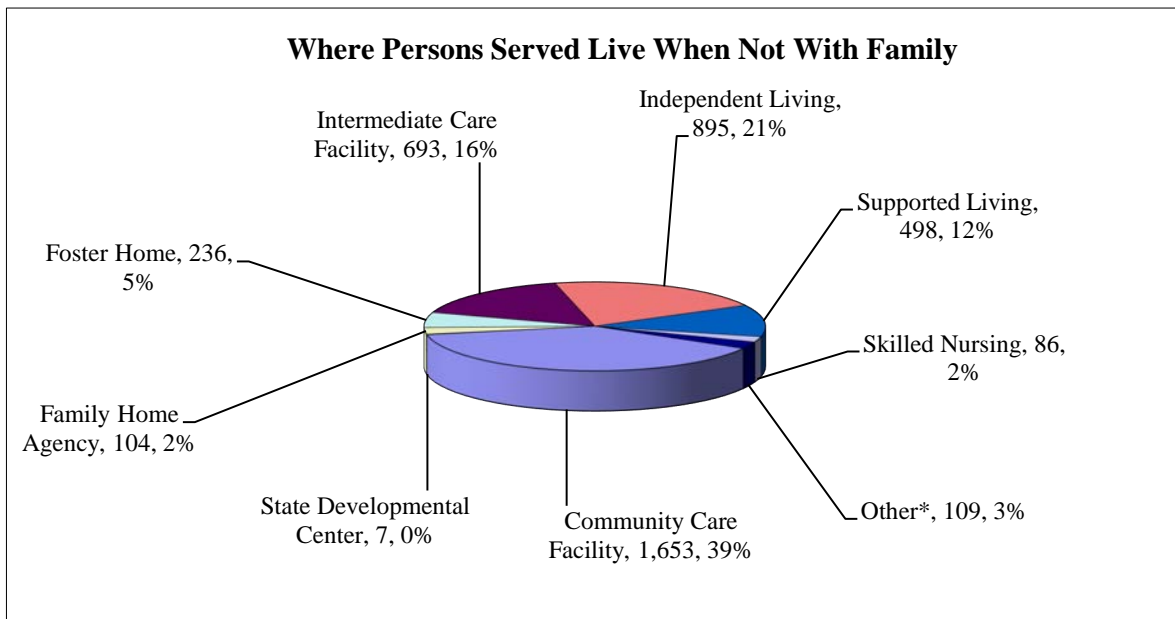
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,108	10,063	8,045
Community Care Facility	1,653	31	1,622
State Developmental Center	7	0	7
Family Home Agency	104	0	104
Foster Home	236	230	6
Intermediate Care Facility	693	5	688
Independent Living	895	0	895
Supported Living	498	0	498
Skilled Nursing	86	0	86
Other*	109	26	77
Total	22,389	10,355	12,028

Other*			
Acute General Hospital	4	0	4
California Youth Authority	0	0	0
Community Treatment	4	1	3
Correctional Institution	0	0	0
County Jail	4	0	4
Other	0	0	0
Out of State	2	0	2
Psychiatric Treatment	13	1	12
Rehabilitation Center	3	1	2
SDC / State Hospital	1	0	1
Sub-Acute	51	23	28
Transient / Homeless	16	0	16
Total, Other*	98	26	72



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

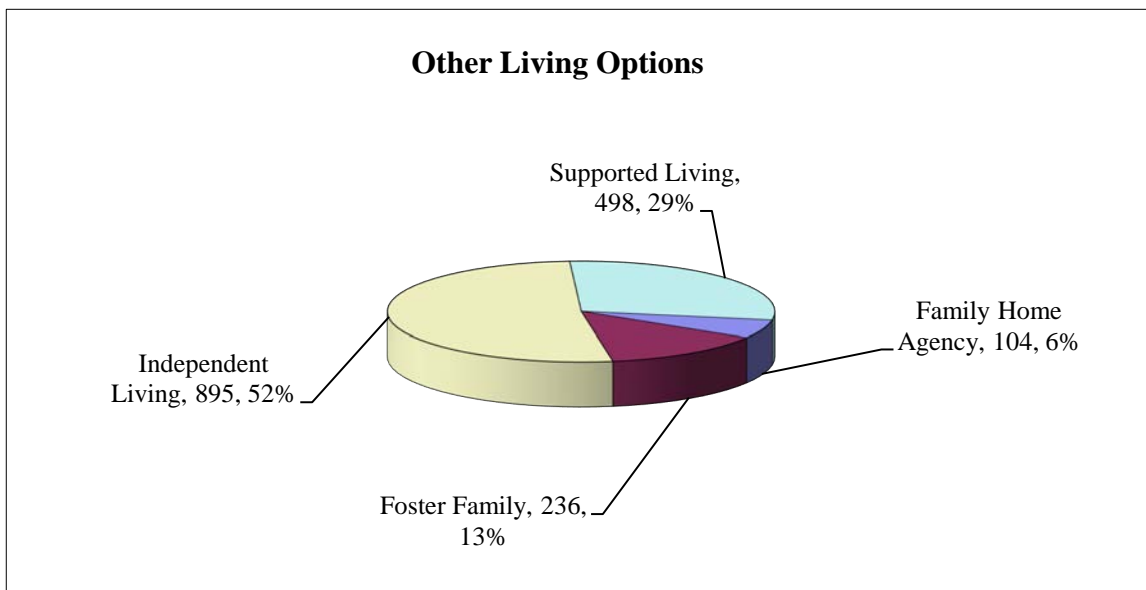
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	104	0	104
Foster Family	236	230	6
Independent Living	895	0	895
Supported Living	498	0	498
Total	1,733	230	1,503



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2020-21

Licensed Facilities	Total	Over 18	Under 18
Level 2	254	254	0
Level 3	313	313	0
Level 4A	36	36	0
Level 4B	4	4	0
Level 4C	48	48	0
Level 4D	35	35	0
Level 4E	27	27	0
Level 4F	63	63	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	361	354	7
Elderly	1	1	0
ICF/DD-H	8	8	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,188	1,181	7

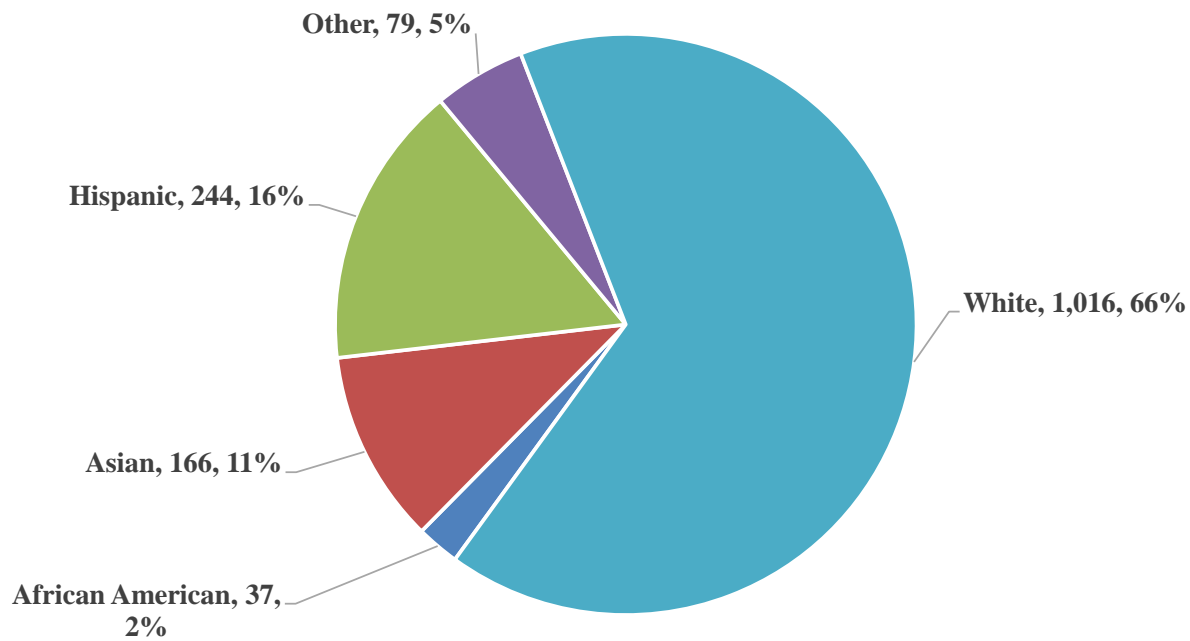
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	254	254	0
Level 3	313	313	0
Level 4	609	602	7
ICF/DD-H	8	8	0
ICF/DD-N	3	3	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,188	1,181	7

LIVING OPTIONS, continued

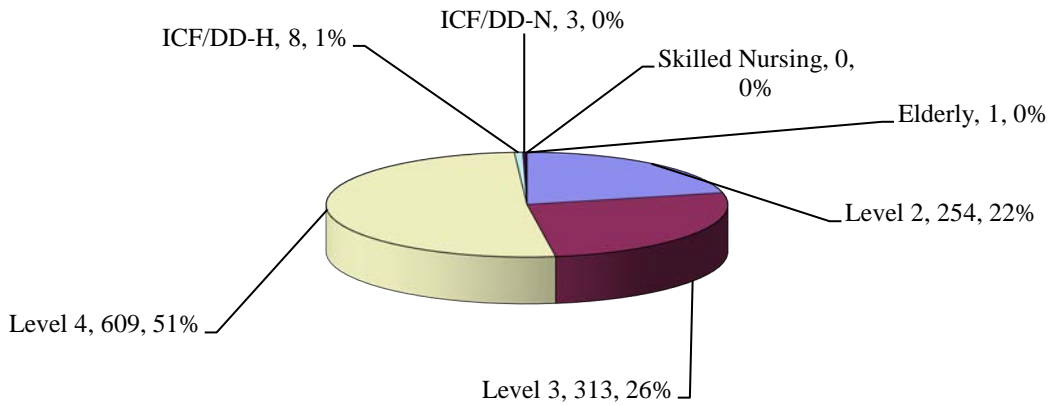
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2020-21

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	7	28	53	14	258	360
Level 3	12	32	60	18	267	389
Level 4A	0	5	5	2	25	37
Level 4B	0	1	0	0	7	8
Level 4C	1	9	7	2	46	65
Level 4D	0	7	6	3	30	46
Level 4E	0	5	7	2	20	34
Level 4F	3	12	9	1	46	71
Level 4G	0	7	5	2	28	42
Level 4H	0	0	3	1	2	6
Level 4I	14	60	89	34	287	484
Total	37	166	244	79	1,016	1,542

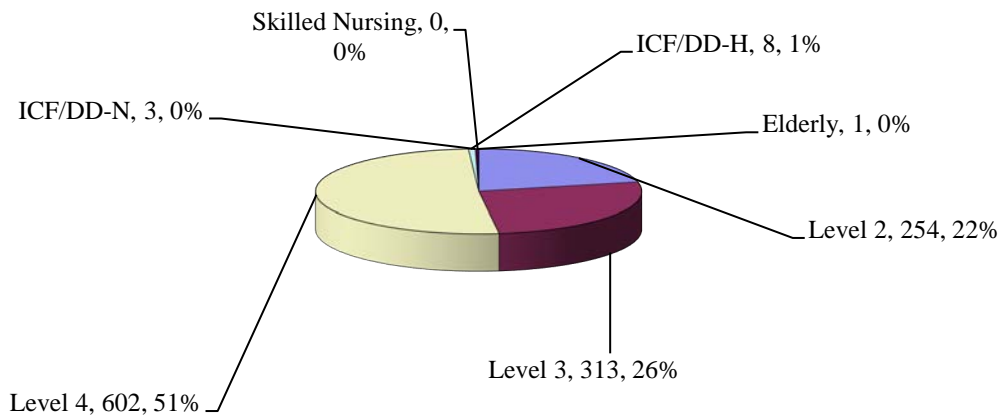
Residents in Community Care Licensed Facilities by Ethnicity



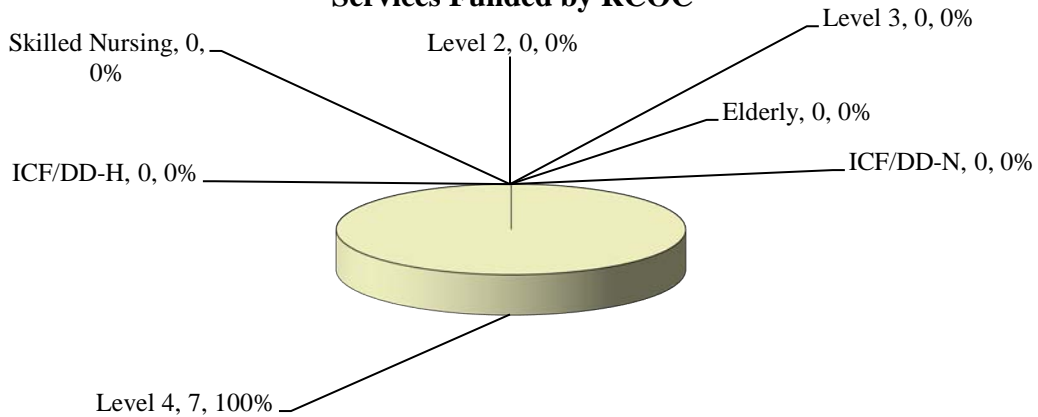
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

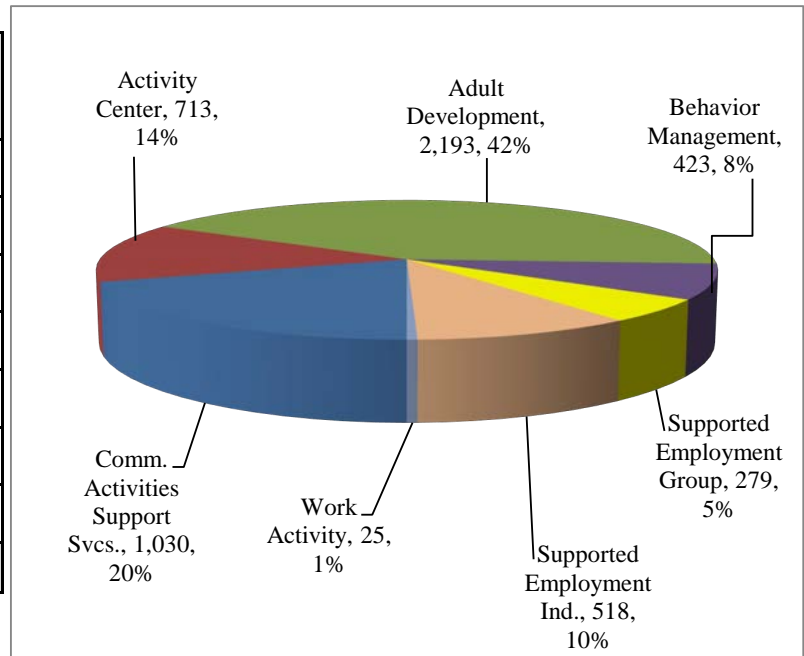


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,030
Activity Center	713
Adult Development	2,193
Behavior Management	423
Supported Employment Group	279
Supported Employment Ind.	518
Work Activity	25
Total	5,181



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2020-21

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	307.0	305.0	304.0	301.0		
Number of Case-Carrying SCs	277.3	275.3	274.3	271.3		
Number of Intake SCs	29.7	29.7	29.7	29.7		
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0		
Number of Active Persons Served	22,336	22,330	22,335	22,354		
Caseload Ratio, # of Active Persons Served/SCs	80.5	81.1	81.4	82.4		

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2020-21

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	20	27	28								
Eligibility - Lanterman	8	7	8	6								
Behavioral services	2	1	1	2								
Respite	4	6	9	9								
Day Care	1	1	1	0								
ILS/SLS	0	0	0	0								
Personal Assistance	0	1	1	1								
Other**	3	4	7	10								

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	10	6	11	7								
Eligibility - Lanterman	4	1	3	0								
Eligibility - Early Start	0	0	0	0								
Behavioral services	2	0	1	1								
Respite	2	1	4	2								
Day Care	0	0	1	0								
Social/Recreational	0	0	0	0								
Personal Assistance	0	1	0	0								
Other**	2	3	2	4								

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	2	8	5	6								
Number of Informal Meetings Held	2	4	3	4								
Number of Mediations Held	0	2	1	1								
Number of SLFHs Held	0	2	1	1								

Number of Requests in Scheduling*	3	7	16	18								
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	2	0	1								
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	3	3	6	3								
Withdrawn by Person Served/Family	0	0	0	0								
Settled in Informal	2	2	1	0								
Settled after further follow-up by RCOC	1	0	2	2								
Settled in Mediation	0	1	0	1								
SLFH Decision	0	0	3	0								

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	1	0								
RCOC	0	0	2	0								
Split	0	0	0	0								

ADMINISTRATION AND GOVERNANCE

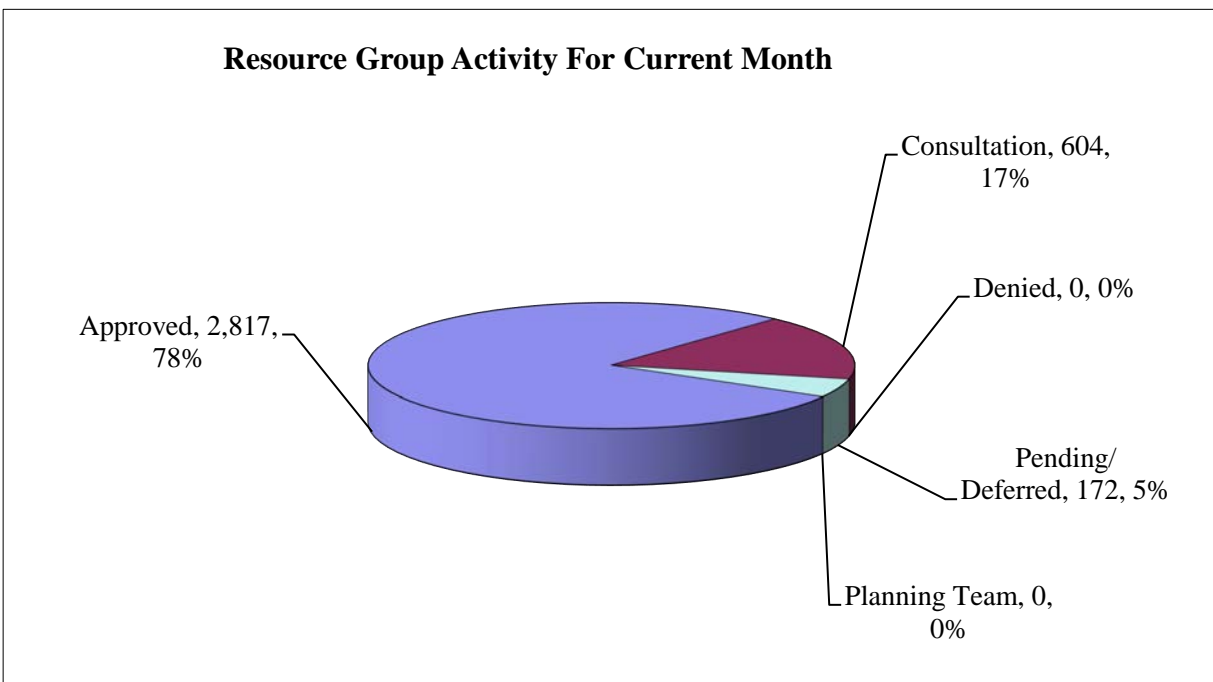
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for October 2020 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	283	134	0	30	0	447
Behavioral	123	49	0	14	0	186
Education	0	5	0	0	0	5
Eligibility/Health	76	1	0	8	0	85
Early Start	644	129	0	48	0	866
Living Options	194	120	0	0	0	314
Supported/Ind.	271	82	0	26	0	379
All Others	1226	84	0	46	0	1,356
Monthly Total	2,817	604	0	172	0	3,638

FY 2020-21 Total to Date	3,327	545	0	206	0	4,110
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Operations Report Summary - October 2020

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,146	8,330	9,109	7	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>15%</i>	<i>40%</i>	<i>44%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,108	10,063	8,045
Community Care Facility	1,653	31	1,622
State Developmental Center	7	0	7
Family Home Agency	104	0	104
Foster Home	236	230	6
Intermediate Care Facility	693	5	688
Independent Living	895	0	895
Supported Living	498	0	498
Skilled Nursing	86	0	86
Other	103	26	77
Total	22,383	10,355	12,028

Special Incident Investigations	Year to Date
AWOL	18
Abuse	79
Neglect	70
Injury	65
Hospitalizations - Total	167
Death	46
Victim of crime	9
Arrest	6
Rights	76
Total	536

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	79	0	79
Level 4	172	12	160
Total Community Care Facilities	331	12	319

Licensed Facility Monitoring	Year to Date
Annual Review	6
Unannounced	12
Total Number of Reviews	18
Provider Trainings	0
Technical Support	250
Corrective Action Plans	8

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
Total ICF Facilities	123

Number of Audits	1
Amount of Recovery from Audits	\$342

Total Licensed Facilities	454
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Summary of Information About Persons Served - November 2020

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,144	15%
Children - Ages Three to 17 Receiving Lanterman Services	7,184	35%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	457
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,817	62%
Epilepsy	2,893	15%
Cerebral Palsy	2,592	14%
Autism	8,069	42%
Fifth Category*	1,565	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	280	
Early Start / Under Age Three / 45 days to complete determination	239	85%
Lanterman / Over Age Three / 120 days to complete determination	41	15%

NUMBER OF PERSONS DETERMINED ELIGIBLE	22	
Children - Birth to Age Three Eligible for Early Start Services	1	
Children and Adults - Ages Three and Older Eligible for Lanterman Services	21	
<ul style="list-style-type: none"> • Number of children who received Early Start services 	37	
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	31	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0	

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	235	
Children - Age Three No Longer Eligible for Early Start Services	172	
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0	

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

NOVEMBER 2020 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2020-21

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	0	0	1	5	3	
Unannounced	0	0	8	4	2	
Total Number of Reviews	0	0	9	9	5	

Provider Trainings	0	0	0	0	0	
Technical Support	79	73	36	62	52	
Corrective Action Plans	2	1	1	4	0	
Special Incident Investigations*	23	15	24	13	15	
COVID-19 Checklist	101	85	71	81	62	

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							9
Unannounced							14
Total Number of Reviews							23

Provider Trainings							0
Technical Support							302
Corrective Action Plans							8
Special Incident Investigations*							90

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

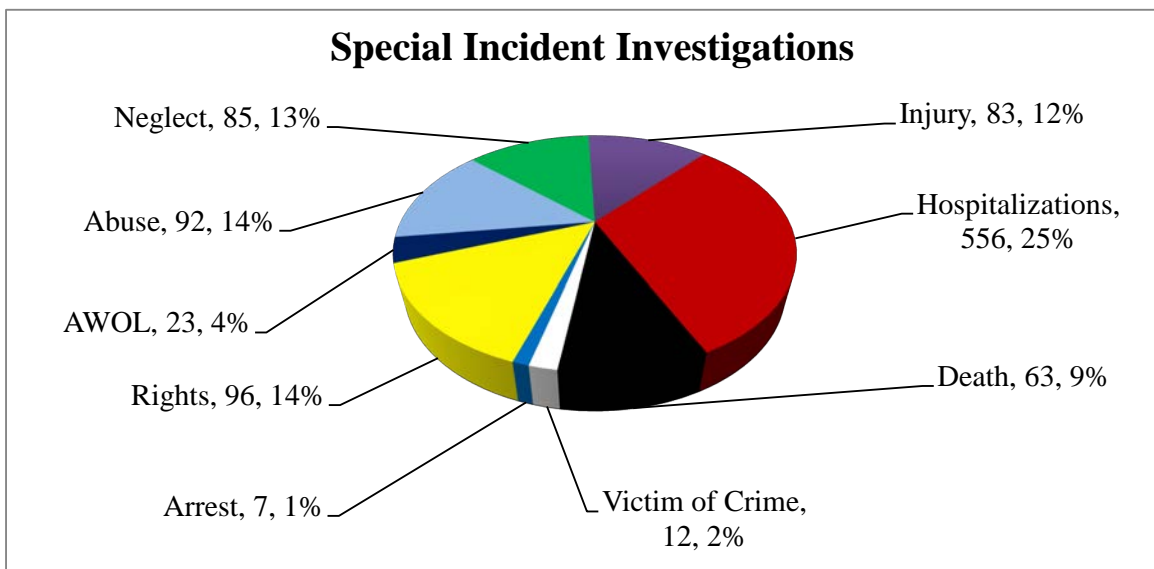
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2020-21

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	4	0	9	5	
Abuse	21	29	14	15	13	
Neglect	23	18	12	17	15	
Injury	8	20	21	16	18	
Hospitalizations - Total	54	40	36	37	41	
<i>Psychiatric</i>	10	8	7	8	6	
<i>Medical</i>	44	32	29	29	35	
Death	12	15	6	13	17	
Victim of crime	2	2	0	5	3	
Arrest	4	1	1	0	1	
Rights	11	5	46	14	20	
Total	140	134	136	114	124	

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							23
Abuse							92
Neglect							85
Injury							83
Hospitalizations - Total							208
<i>Psychiatric</i>							39
<i>Medical</i>							169
Death							63
Victim of Crime							12
Arrest							7
Rights							96
Total							669



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2020-21

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	1	0	
Staffing	0	0	0	0	0	
Level 4I Consultant	0	0	0	0	0	
P&I (consumer funds)	0	0	0	0	0	
Total Number of Audits	0	0	0	1	0	

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	
Recovery	0	0	0	1	0	

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$342.48	\$0.00	
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							1
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits							1

Number of Appeals / Recoveries

State Appeal							0
Recovery							1

Audit Findings (Dollar Amount)

Amount of Recovery							\$342.48
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2020-21

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	118	123	134	126	124	
Diapers - Family Member	1	0	0	0	0	
Nursing Service - Family Member	64	66	65	63	65	
Respite Service - Family Member	539	579	584	583	599	
Transportation - Family Member	144	141	130	123	118	
<i>Total Number of Voucher Authorizations</i>	<i>866</i>	<i>909</i>	<i>913</i>	<i>895</i>	<i>906</i>	<i>0</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
<i>Total Number of Voucher Authorizations</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2020-21

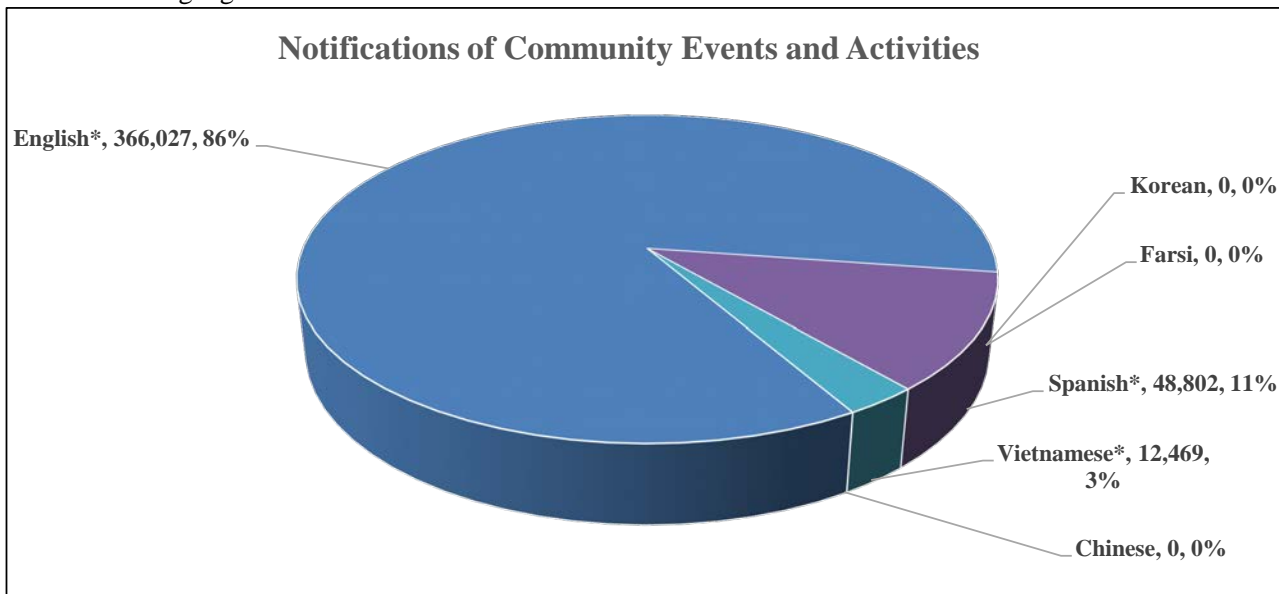
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	366,027	No Data Available	No Data Available	No Data Available	No Data Available	
Farsi						
Korean						
Spanish*	48,802					
Vietnamese*	12,469					
Total Number of Notifications	427,298					0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							366,027
Farsi							0
Korean							0
Spanish*							48,802
Vietnamese*							12,469
Chinese							0
Total Number of Notifications	0	0	0	0	0	0	427,298

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2020-21

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		3	6	6	5	
Spanish	1	4	1	1		
Vietnamese	2	2				
Other Languages						
In Print						
English	1		1	1	1	
Spanish					1	
Vietnamese				1	1	
Other Languages						
TV / Radio						
English			1			
Spanish						
Vietnamese						
Other Languages						
Total Number of Outreach Events	4	9	8	9	8	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							20
Spanish							7
Vietnamese							4
Other Languages							0
In Print							
English							4
Spanish							1
Vietnamese							2
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							0
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	38

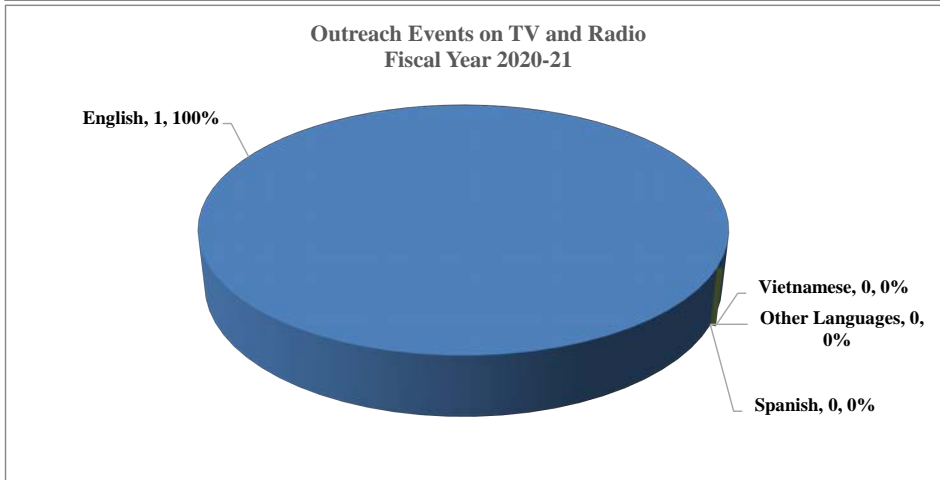
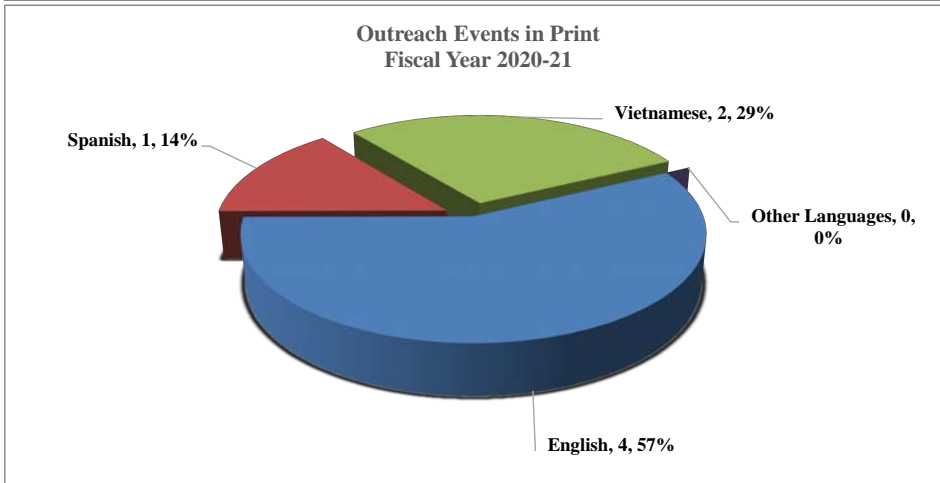
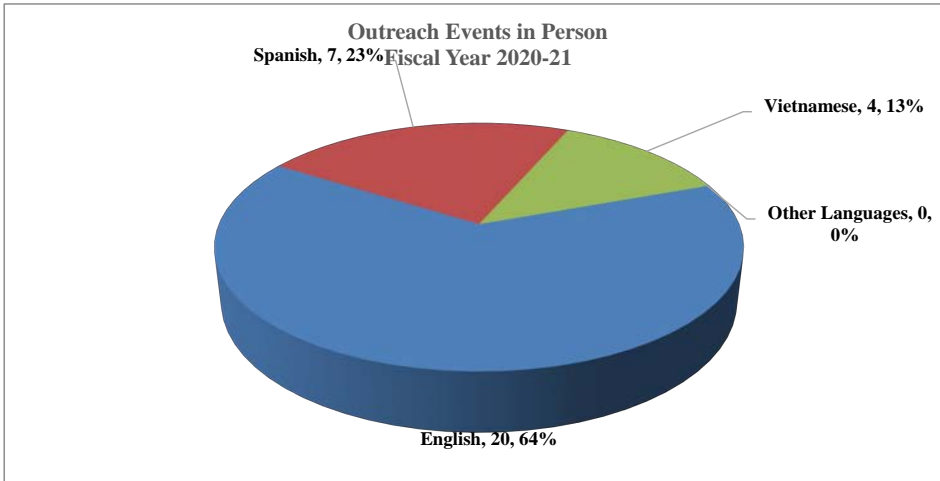
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2020-21



EARLY INTERVENTION / PREVENTION

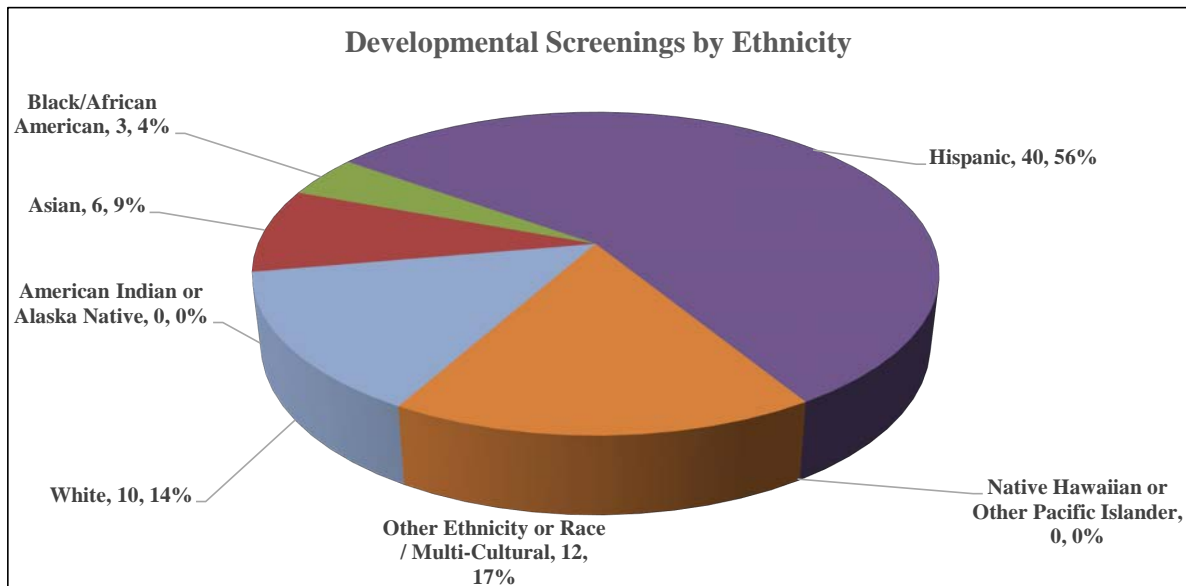
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2020-21

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	
Asian	1	4	0	1	0	
Black/African American	0	0	0	3	0	
Hispanic	5	14	0	21	0	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	2	2	0	8	0	
White	1	6	0	3	0	
Total Number Screened	9	26	0	36	0	
Total Number Referred to RCOC	6	15	0	14	0	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							6
Black/African American							3
Hispanic							40
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							12
White							10
Total Number Screened							71
Total Number Referred to RCOC							35

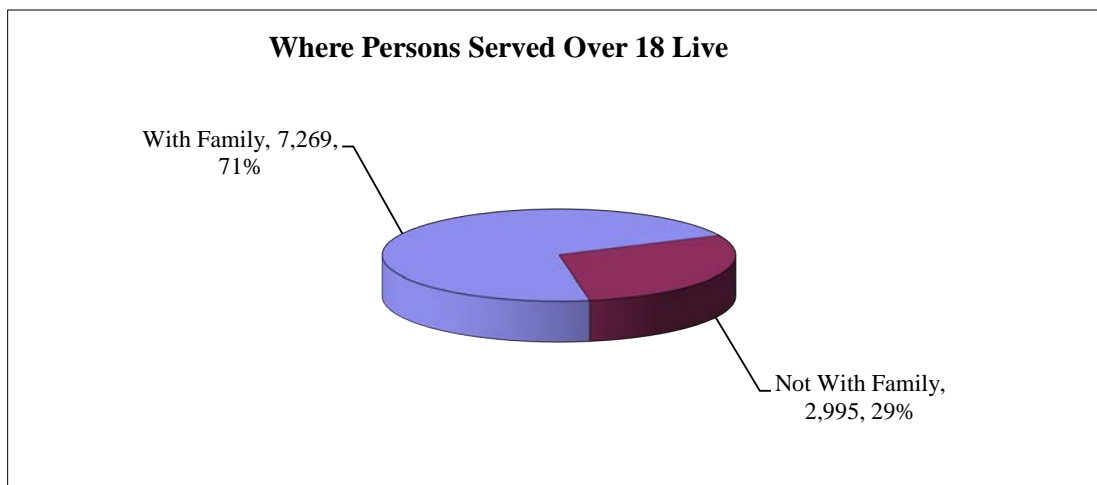
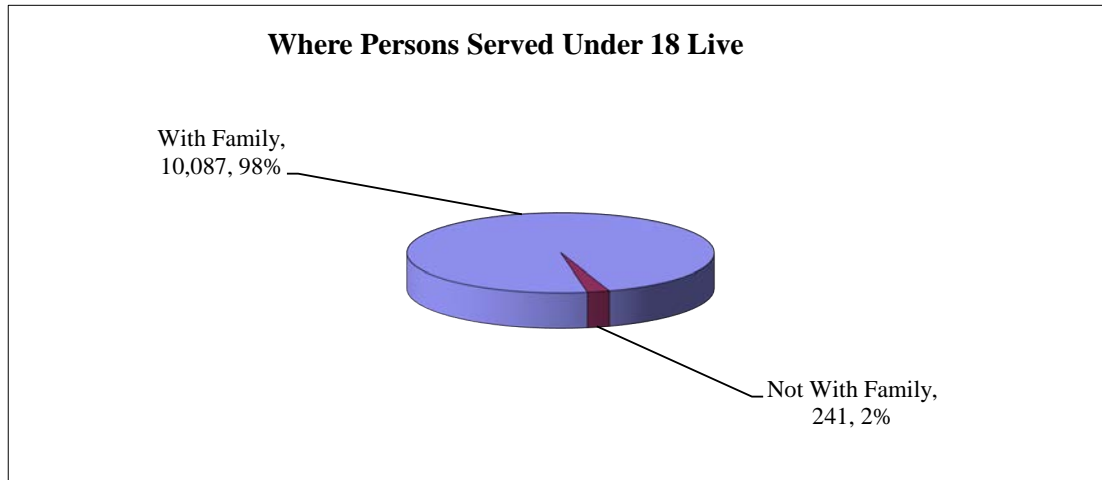


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

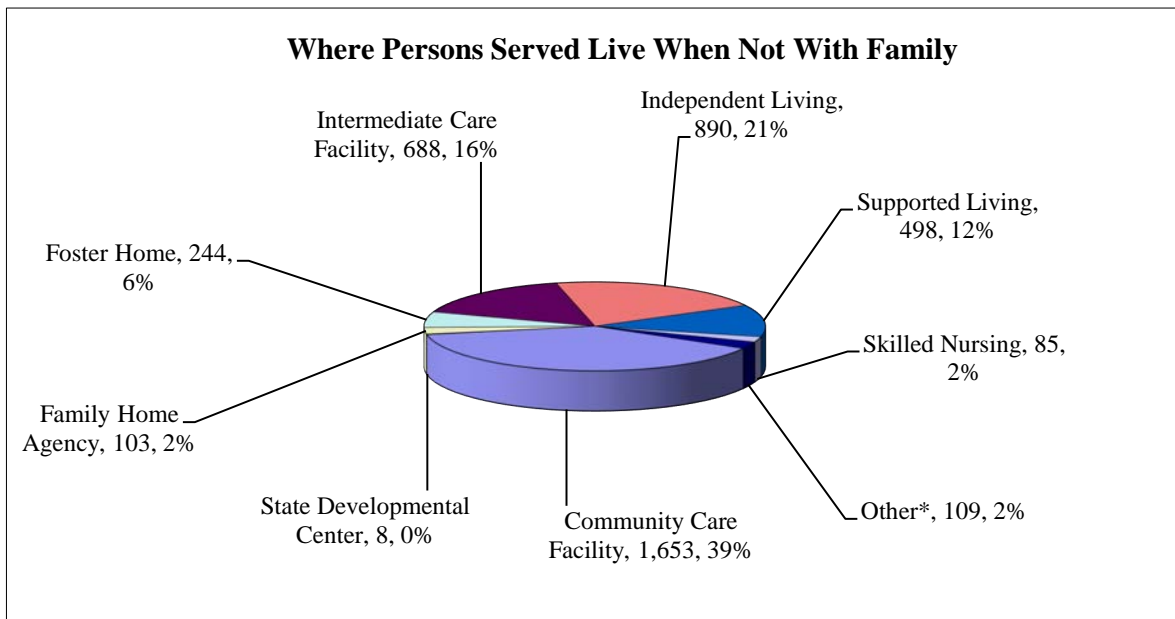
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,138	10,049	8,089
Community Care Facility	1,653	30	1,623
State Developmental Center	8	0	8
Family Home Agency	103	0	103
Foster Home	244	238	6
Intermediate Care Facility	688	5	683
Independent Living	890	0	890
Supported Living	498	0	498
Skilled Nursing	85	0	85
Other*	109	26	77
Total	22,416	10,348	12,062

Other*			
Acute General Hospital	4	0	4
California Youth Authority	0	0	0
Community Treatment	4	1	3
Correctional Institution	0	0	0
County Jail	3	0	3
Other	0	0	0
Out of State	2	0	2
Psychiatric Treatment	12	1	11
Rehabilitation Center	3	1	2
SDC / State Hospital	1	0	1
Sub-Acute	49	23	26
Transient / Homeless	18	0	18
Total, Other*	96	26	70



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

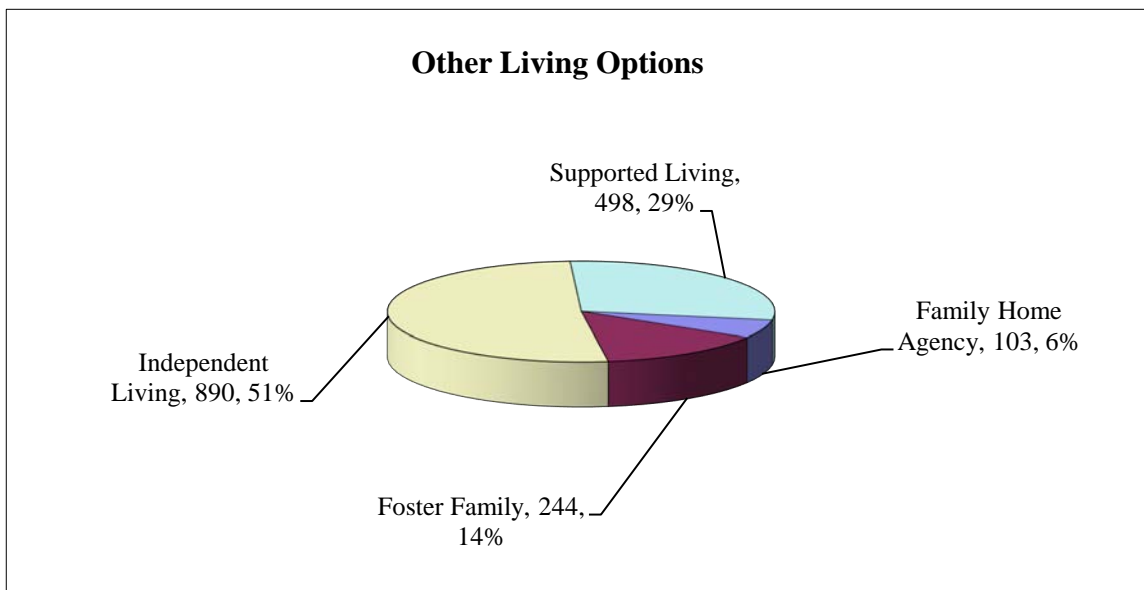
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	103	0	103
Foster Family	244	238	6
Independent Living	890	0	890
Supported Living	498	0	498
Total	1,735	238	1,497



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2020-21

Licensed Facilities	Total	Over 18	Under 18
Level 2	245	245	0
Level 3	309	309	0
Level 4A	36	36	0
Level 4B	4	4	0
Level 4C	48	48	0
Level 4D	35	35	0
Level 4E	27	27	0
Level 4F	66	66	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	360	354	6
Elderly	1	1	0
ICF/DD-H	8	8	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,178	1,172	6

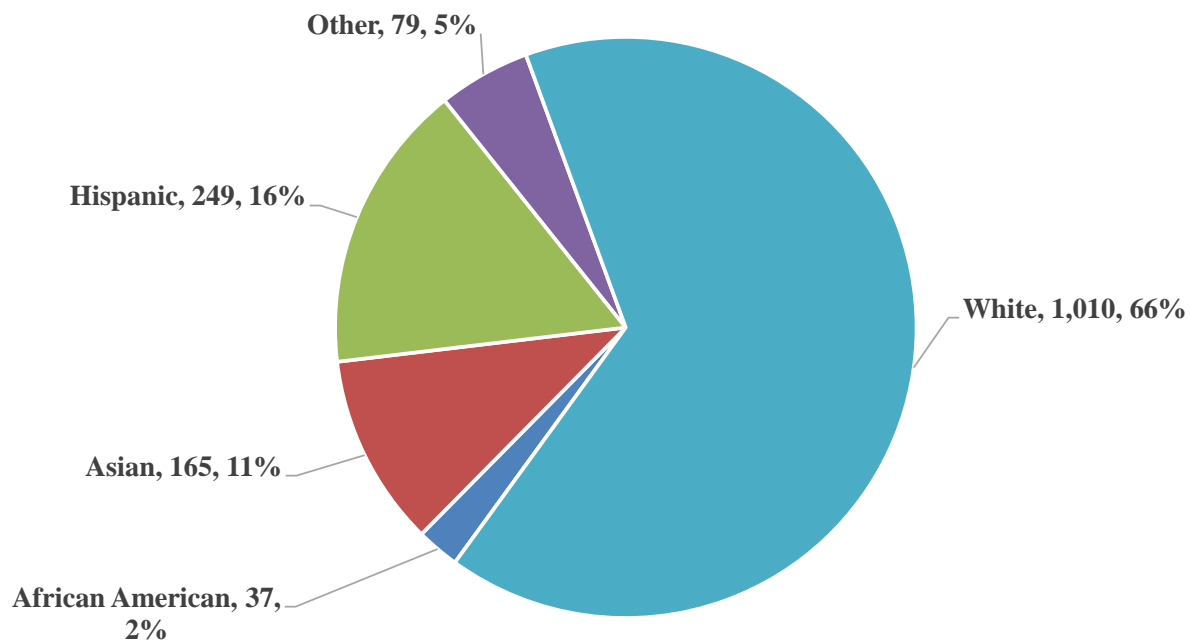
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	245	245	0
Level 3	309	309	0
Level 4	611	605	6
ICF/DD-H	8	8	0
ICF/DD-N	4	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,178	1,172	6

LIVING OPTIONS, continued

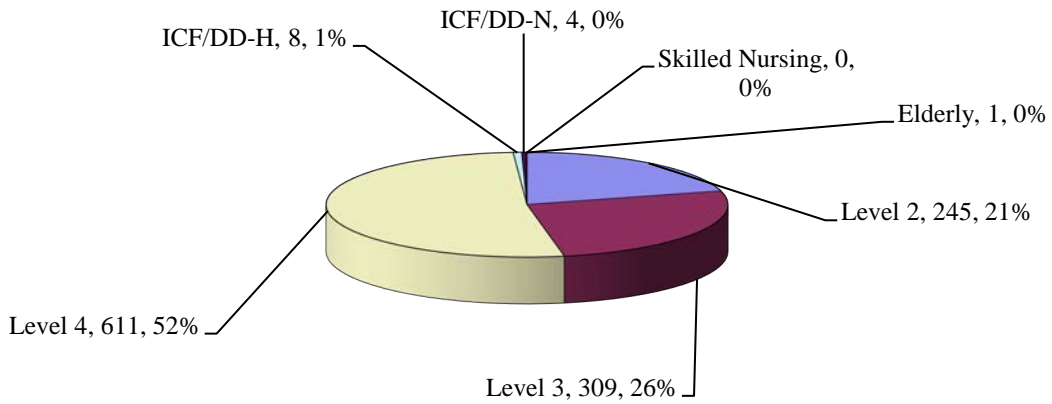
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2020-21

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	7	28	53	14	253	355
Level 3	12	31	60	18	264	385
Level 4A	0	5	6	2	25	38
Level 4B	0	1	0	0	7	8
Level 4C	1	9	10	2	46	68
Level 4D	0	7	6	3	30	46
Level 4E	0	5	8	2	20	35
Level 4F	3	12	10	1	48	74
Level 4G	0	7	5	2	28	42
Level 4H	0	0	3	1	1	5
Level 4I	14	60	88	34	288	484
Total	37	165	249	79	1,010	1,540

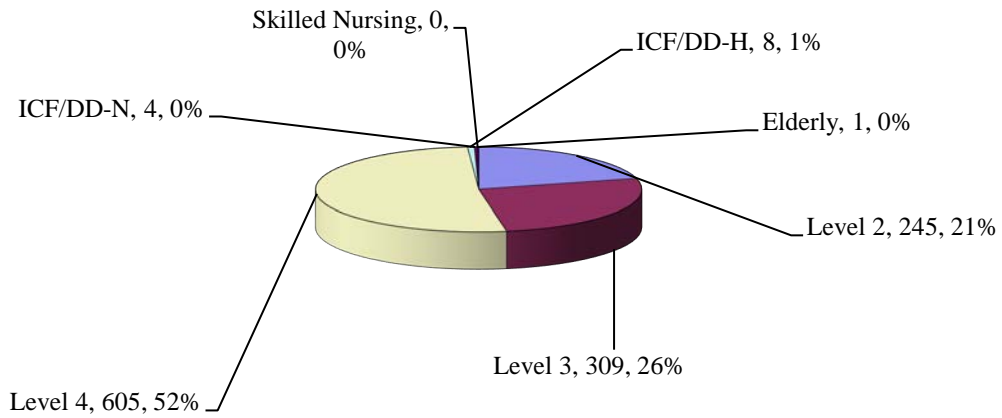
Residents in Community Care Licensed Facilities by Ethnicity



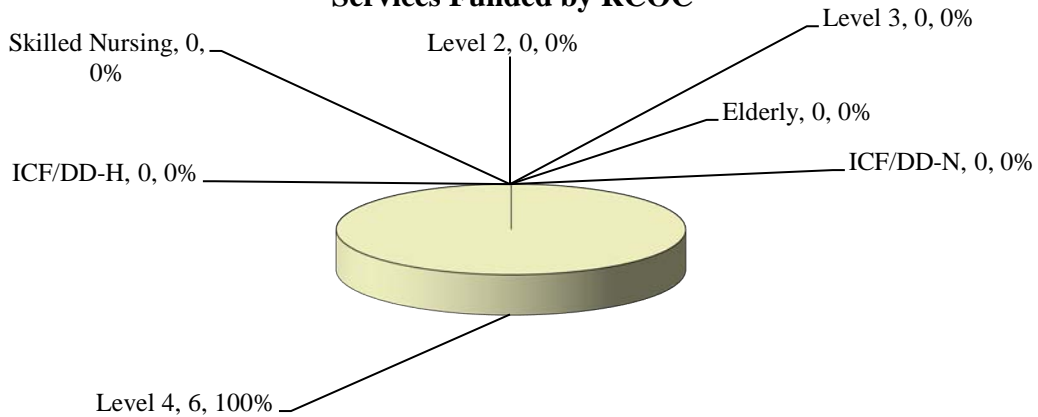
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

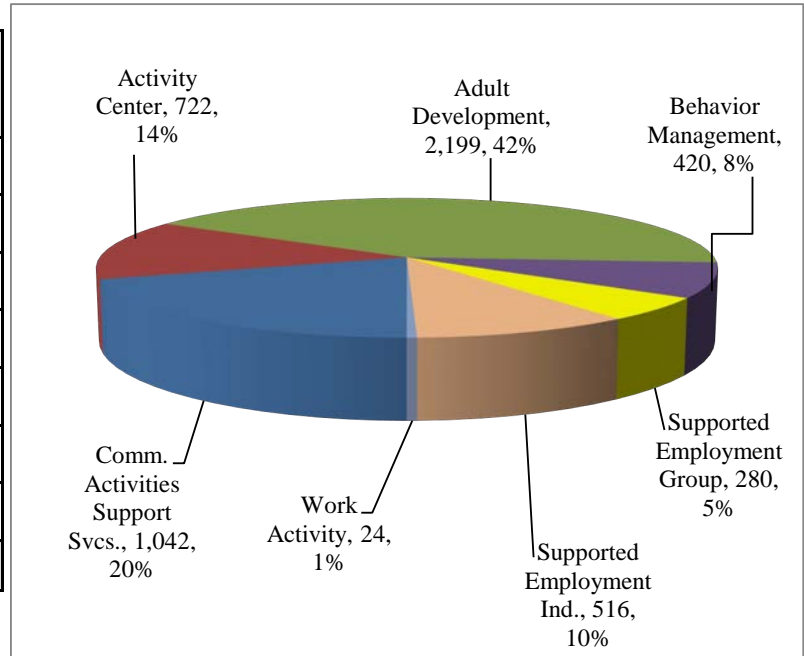


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,042
Activity Center	722
Adult Development	2,199
Behavior Management	420
Supported Employment Group	280
Supported Employment Ind.	516
Work Activity	24
Total	5,203



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2020-21

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	307.0	305.0	304.0	301.0	300.0	
Number of Case-Carrying SCs	277.3	275.3	274.3	271.3	270.3	
Number of Intake SCs	29.7	29.7	29.7	29.7	29.7	
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	
Number of Active Persons Served	22,336	22,330	22,335	22,354	22,381	
Caseload Ratio, # of Active Persons Served/SCs	80.5	81.1	81.4	82.4	82.8	

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings
Fiscal Year 2020-21

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	20	27	28	28							
Eligibility - Lanterman	8	7	8	6	6							
Behavioral services	2	1	1	2	3							
Respite	4	6	9	9	7							
Day Care	1	1	1	0	0							
ILS/SLS	0	0	0	0	0							
Personal Assistance	0	1	1	1	2							
Other**	3	4	7	10	10							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	10	6	11	7	4							
Eligibility - Lanterman	4	1	3	0	1							
Eligibility - Early Start	0	0	0	0	0							
Behavioral services	2	0	1	1	1							
Respite	2	1	4	2	1							
Day Care	0	0	1	0	0							
Social/Recreational	0	0	0	0	0							
Personal Assistance	0	1	0	0	1							
Other**	2	3	2	4	0							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	2	8	5	6	7							
Number of Informal Meetings Held	2	4	3	4	2							
Number of Mediations Held	0	2	1	1	4							
Number of SLFHs Held	0	2	1	1	1							

Number of Requests in Scheduling*	3	7	16	18	17							
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	2	0	1	0							
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	3	3	6	3	4							
Withdrawn by Person Served/Family	0	0	0	0	1							
Settled in Informal	2	2	1	0	0							
Settled after further follow-up by RCOC	1	0	2	2	2							
Settled in Mediation	0	1	0	1	0							
SLFH Decision	0	0	3	0	1							

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	1	0	0							
RCOC	0	0	2	0	1							
Split	0	0	0	0	0							

ADMINISTRATION AND GOVERNANCE

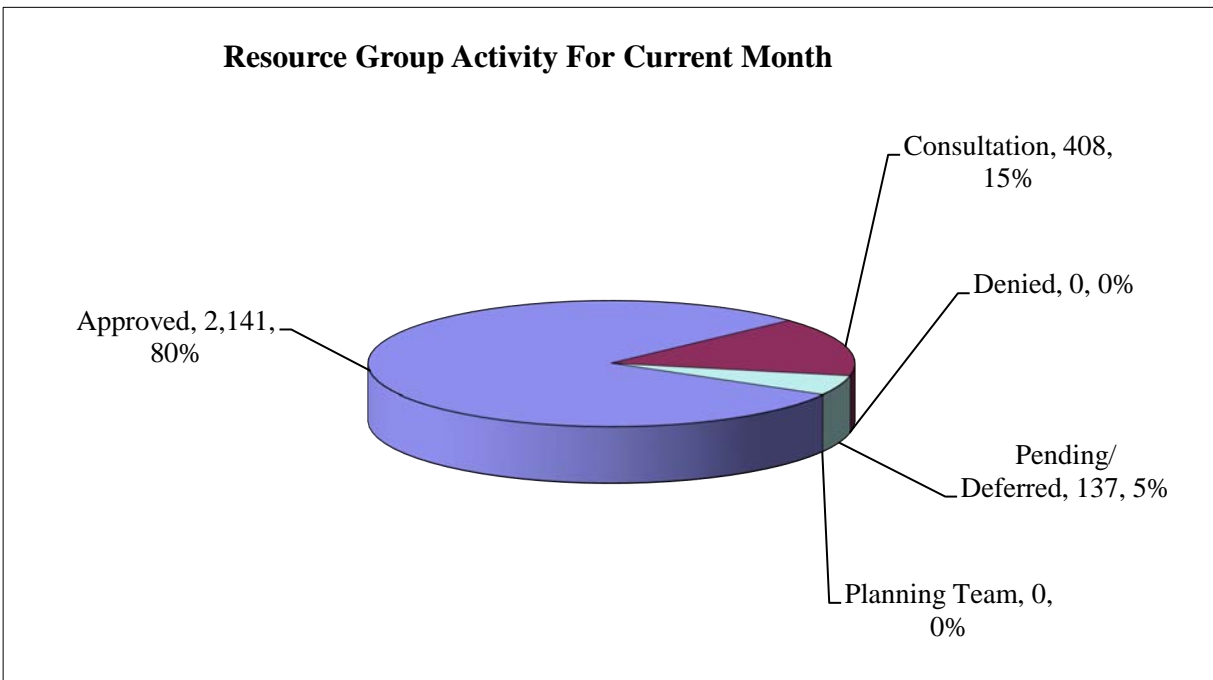
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for November 2020 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	309	108	0	30	0	447
Behavioral	85	31	0	9	0	125
Education	0	0	0	0	0	0
Eligibility/Health	68	0	0	3	0	71
Early Start	501	87	0	51	0	866
Living Options	193	76	0	0	0	269
Supported/Ind.	260	58	0	21	0	339
All Others	725	48	0	23	0	796
Monthly Total	2,141	408	0	137	0	2,913

FY 2020-21 Total to Date	3,327	545	0	206	0	4,110
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Operations Report Summary - November 2020

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,144	8,330	9,110	8	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>15%</i>	<i>40%</i>	<i>44%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,138	10,049	8,089
Community Care Facility	1,653	30	1,623
State Developmental Center	8	0	8
Family Home Agency	103	0	103
Foster Home	244	238	6
Intermediate Care Facility	688	5	683
Independent Living	890	0	890
Supported Living	498	0	498
Skilled Nursing	85	0	85
Other	103	26	77
Total	22,410	10,348	12,062

Special Incident Investigations	Year to Date
AWOL	23
Abuse	92
Neglect	85
Injury	83
Hospitalizations - Total	208
Death	63
Victim of crime	12
Arrest	7
Rights	96
Total	669

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	79	0	79
Level 4	172	12	160
Total Community Care Facilities	331	12	319

Licensed Facility Monitoring	Year to Date
Annual Review	9
Unannounced	14
Total Number of Reviews	23
Provider Trainings	0
Technical Support	302
Corrective Action Plans	8

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
Total ICF Facilities	123

Number of Audits	1
Amount of Recovery from Audits	\$342

Total Licensed Facilities	454
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Performance Contract Summary

RCOC as of 11/01/2020	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,370	8	0	0.03%	-8
Children in Foster Homes (FH)	10,367	229	220	2.21%	9
Children Own Home Parent/Guardian	10,367	10,077	10,500	97.20%	-423
Total # Children (FH,Parent/Guardian)	10,367	10,306	10,720	99.41%	-414
Adult FHA	11,995	104	103	0.87%	1
Independent Living (IL)	11,995	891	895	7.43%	-4
Adults Residing Own Home - Parent	11,995	8,040	7,650	67.03%	390
Supported Living (SL)	11,995	491	481	4.09%	10
Total # Adults (FHA, IL,Parent/Guardian, SL)	11,995	9,526	9,129	79.42%	397
Children Residing in a CCF (7+ beds)	10,367	3	0	0.03%	-3
Children Residing in a ICF (7+ beds)	10,367	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,367	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,367	5	0	0.05%	-5
Adults Residing in a CCF (7+ beds)	11,995	130	130	1.08%	0
Adults Residing in a ICF (7+ beds)	11,995	15	30	0.13%	15
Adults Residing in a Nursing Facility (7+ beds)	11,995	85	80	0.71%	-5
Total Adults Residing in 7+ bed facilities	11,995	230	240	1.92%	10
Total Individuals Over Age 3 with <=120 days	161	159	100%	98.71%	98.71%
Total Individuals Over Age 3 with 121-240 days	161	1	0%	0.62%	0.62%
Total Individuals Over Age 3 Over 240 days	161	1	0%	0.62%	0.62%
Adults with Integrated Employment Goal	11,995	47%	50%		
Total Number of Incentive Payments Made	11,995	330			
Avg. Wage per Hour After Incentive Payment	11,995	\$11.31			
Number of 16-64 Earned Income	11,995	2,341			
Percentage of 16-64 Earned Income	11,995	21%			
Annual Earnings of 16-64	11,995	\$7,580			
Number of Adults in CIE After Paid Intern	11,995	1			
Percentage Adults Transitioned Internship to CIE	11,995	13%			
Total Annual Expenditures Race/Ethnicity	22,370				

Performance Contract 2020 Cover Sheet



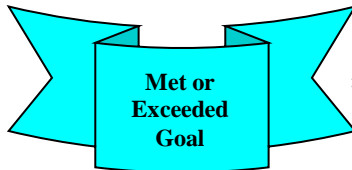
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2020

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.98
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2020

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.10%	343,636	327			
RCOC Public Hearing 8/14/19	0.09%	22,085	20	Goal	%	# Attained
RCOC 11/01/20	0.04%	22,329	8	0	0.04%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.43%	RCOC % of DC population			6.12%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2017-2018 and 2018-2019.

Progress: In FY 2018-2019, RCOC moved 39 persons served from Developmental Centers into the community. During Public Meetings in August 2019, RCOC had 20 persons served, or 0.09%, in Developmental Centers. RCOC is developing homes for all residents in developmental centers in anticipation of the pending closure dates no later than December 31, 2019.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-20	22,486	0	8	0.04%	-8
Feb-20	22,541	0	7	0.03%	-7
Mar-20	22,597	0	7	0.03%	-7
Apr-20	22,595	0	7	0.03%	-7
May-20	22,482	0	7	0.03%	-7
Jun-20	22,385	0	7	0.03%	-7
Jul-20	22,344	0	7	0.03%	-7
Aug-20	22,327	0	8	0.04%	-8
Sep-20	22,329	0	8	0.04%	-8
Oct-20	22,370	0	8	0.03%	-8
Nov-20		0			
Dec-20		0			

Below Goal

Performance Contract 2020

II. Children Residing with Families (Child is defined as under 18 years of age)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 208, or 1.96%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.67%	173,363	4,634			
RCOC Public Hearing 8/14/19	1.96%	10,631	208			
RCOC 11/01/20	2.21%	10,367	229	220	2.21%	9

Analysis as of Public Hearing RCOC % of DD population 6.13% RCOC % of FH population 4.49%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-20	10,753	220	231	2.15%	11
Feb-20	10,779	220	230	2.13%	10
Mar-20	10,806	220	229	2.12%	9
Apr-20	10,787	220	233	2.16%	13
May-20	10,645	220	236	2.22%	16
Jun-20	10,498	220	235	2.24%	15
Jul-20	10,420	220	229	2.20%	9
Aug-20	10,390	220	228	2.19%	8
Sep-20	10,357	220	236	2.28%	16
Oct-20	10,367	220	229	2.21%	9
Nov-20		220			
Dec-20		220			

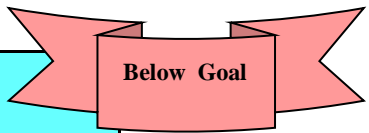
Progress: B. During public meetings, RCOC had 10,355, or 97.40%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.75%	173,363	167,729			
RCOC Public Hearing 8/14/19	97.40%	10,631	10,355			
RCOC 11/01/20	97.20%	10,367	10,077	10,500	97.20%	-423
Analysis as of Public Hearing	RCOC % of DD population	6.13%	RCOC % of FH population	6.17%		



	Total Children Status 1&2	Goal	in own home Parent/Guardian	%	Number Attained
Jan-20	10,753	10,500	10,451	97.19%	-49
Feb-20	10,779	10,500	10,484	97.26%	-16
Mar-20	10,806	10,500	10,510	97.26%	10
Apr-20	10,787	10,500	10,484	97.19%	-16
May-20	10,645	10,500	10,339	97.13%	-161
Jun-20	10,498	10,500	10,197	97.13%	-303
Jul-20	10,420	10,500	10,122	97.14%	-378
Aug-20	10,390	10,500	10,094	97.15%	-406
Sep-20	10,357	10,500	10,059	97.12%	-441
Oct-20	10,367	10,500	10,077	97.20%	-423
Nov-20		10,500			
Dec-20		10,500			





Progress: C. During public meetings, RCOC had 10,563, or 99.36%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.42%	173,363	172,363			
RCOC Public Hearing 8/14/19	99.36%	10,631	10,563			
RCOC 11/01/20	99.41%	10,367	10,306	10,720	99.41%	-414
Analysis as of Public Hearing	RCOC % of DD population	6.13%	RCOC % of FH population	6.13%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-20	10,753	10,720	10,682	99.34%	-38
Feb-20	10,779	10,720	10,714	99.40%	-6
Mar-20	10,806	10,720	10,739	99.38%	19
Apr-20	10,787	10,720	10,717	99.35%	-3
May-20	10,645	10,720	10,575	99.34%	-145
Jun-20	10,498	10,720	10,432	99.37%	-288
Jul-20	10,420	10,720	10,351	99.34%	-369
Aug-20	10,390	10,720	10,322	99.35%	-398
Sep-20	10,357	10,720	10,295	99.40%	-425
Oct-20	1-367	10,720	10,306	99.41%	-414
Nov-20		10,720			
Dec-20		10,720			



Performance Contract 2020

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.


Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 94, or 0.82%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.96%	169,946	1,630			
RCOC Public Hearing 8/14/19	0.82%	11,434	94			
RCOC 11/01/20	0.87%	11,995	104	103	0.87%	1
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	5.77%		



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-20	11,725	103	98	0.84%	-5
Feb-20	11,755	103	100	0.85%	-3
Mar-20	11,784	103	99	0.84%	-4
Apr-20	11,801	103	100	0.85%	-3
May-20	11,830	103	101	0.85%	-2
Jun-20	11,880	103	102	0.86%	-1
Jul-20	11,917	103	102	0.86%	-1
Aug-20	11,929	103	103	0.86%	0
Sep-20	11,964	103	104	0.87%	1
Oct-20	11,995	103	104	0.87%	1
Nov-20		103			
Dec-20		103			

Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.36%	169,946	17,605			
RCOC Public Hearing 8/14/19	7.55%	11,434	863			
RCOC 11/01/20	7.43%	11,995	891	895	7.43%	-4
Analysis as of Public Hearing		RCOC % of DD population	6.73%	RCOC % of FH population		4.90%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-20	11,725	895	885	7.55%	-10
Feb-20	11,755	895	889	7.56%	-6
Mar-20	11,784	895	888	7.54%	-7
Apr-20	11,801	895	891	7.55%	-4
May-20	11,830	895	897	7.58%	2
Jun-20	11,880	895	901	7.58%	6
Jul-20	11,917	895	899	7.54%	4
Aug-20	11,929	895	895	7.50%	0
Sep-20	11,964	895	888	7.42%	-7
Oct-20	11,995	895	891	7.43%	-4
Nov-20		895			
Dec-20		895			

Progress: C. During public meetings, RCOC had 7,477, or 65.39%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	63.89%	169,946	108,576			
RCOC Public Hearing 8/14/19	65.39%	11,434	7,477			
RCOC 11/01/20	67.03%	11,995	8,040	7,650	67.03%	390
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	6.89%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-20	11,725	7,650	7,734	65.96%	84
Feb-20	11,755	7,650	7,750	65.93%	100
Mar-20	11,784	7,650	7,787	66.08%	137
Apr-20	11,801	7,650	7,811	66.19%	161
May-20	11,830	7,650	7,837	66.25%	187
Jun-20	11,880	7,650	7,897	66.47%	247
Jul-20	11,917	7,650	7,935	66.59%	285
Aug-20	11,929	7,650	7,965	66.77%	315
Sep-20	11,964	7,650	8,004	66.90%	354
Oct-20	11,995	7,650	8,040	67.03%	390
Nov-20		7,650			
Dec-20		7,650			



Progress: D. During public meetings, RCOC had 467, or 4.08%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.33%	169,946	9,065			
RCOC Public Hearing 8/14/19	4.08%	11,434	467			
RCOC 11/01/20	4.09%	11,995	491	481	4.09%	10
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	5.15%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-20	11,725	481	463	3.95%	-18
Feb-20	11,755	481	467	3.97%	-14
Mar-20	11,784	481	467	3.96%	-14
Apr-20	11,801	481	489	4.14%	8
May-20	11,830	481	491	4.15%	10
Jun-20	11,880	481	488	4.11%	7
Jul-20	11,917	481	494	4.15%	13
Aug-20	11,929	481	491	4.12%	10
Sep-20	11,964	481	494	4.13%	13
Oct-20	11,995	481	491	4.09%	10
Nov-20		481			
Dec-20		481			



Progress: E. During public meetings, RCOC had 8,901, or 77.85%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	80.54%	169,946	136,876			
RCOC Public Hearing 8/14/19	77.85%	11,434	8,901			
RCOC 11/01/20	79.42%	11,995	9,526	9,129	79.42%	397
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	6.50%		



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-20	11,725	9,129	9,129	78.29%	51
Feb-20	11,755	9,129	9,206	78.23%	77
Mar-20	11,784	9,129	9,241	78.42%	112
Apr-20	11,801	9,129	9,291	78.73%	162
May-20	11,830	9,129	9,326	78.83%	197
Jun-20	11,880	9,129	9,388	79.02%	259
Jul-20	11,917	9,129	9,340	79.13%	301
Aug-20	11,929	9,129	9,454	79.25%	325
Sep-20	11,964	9,129	9,490	79.32%	361
Oct-20	11,995	9,129	9,526	79.42%	397
Nov-20		9,129			
Dec-20		9,129			



Performance Contract 2020

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

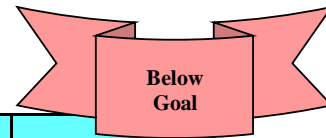
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.01%	171,363	26			
RCOC Public Hearing 8/14/19	0.00%	10,631	0			
RCOC 11/01/20	0.03%	10,367	3	0	0.03%	-3
Analysis as of Public Hearing	RCOC % of DD population		6.20%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20	10,498	0	2	0.02%	-2
Jul-20	10,420	0	3	0.02%	-3
Aug-20	10,390	0	3	0.03%	-3
Sep-20	10,357	0	2	0.02%	-2
Oct-20	10,367	0	3	0.03%	-3
Nov-20		0			
Dec-20		0			

Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	173,363	33			
RCOC Public Hearing 8/14/19	0.02%	10,631	2			
RCOC 11/01/20	0.02%	10,367	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population	6.13%	RCOC % of FH population	6.06%		



	Total Children status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20	10,498	0	2	0.02%	-2
Jul-20	10,420	0	2	0.02%	-2
Aug-20	10,390	0	2	0.02%	-2
Sep-20	10,357	0	2	0.02%	-2
Oct-20	10,367	0	2	0.02%	-2
Nov-20		0			
Dec-20		0			

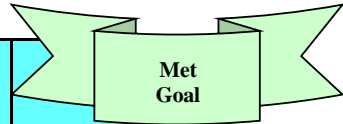
Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	171,363	7			
RCOC Public Hearing 8/14/19	0.00%	10,631	0			
RCOC 11/01/20	0.00%	10,367	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	6.20%	RCOC % of FH population	0.00%		



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	10,753	0	0	0.00%	0
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	0	0.00%	0
May-20	10,645	0	0	0.00%	0
Jun-20	10,498	0	0	0.00%	0
Jul-20	10,420	0	0	0.00%	0
Aug-20	10,390	0	0	0.00%	0
Sep-20	10,357	0	0	0.00%	0
Oct-20	10,367	0	0	0.00%	0
Nov-20		0			
Dec-20		0			





Progress: D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	171,363	66			
RCOC Public Hearing 8/14/19	0.02%	10,631	2			
RCOC 11/01/20	0.05%	10,367	5	0	0.05%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.20%	RCOC % of FH population	3.03%		

	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-20	10,753	0	4	0.04%	-4
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	4	0.04%	-4
May-20	10,645	0	4	0.04%	-4
Jun-20	10,498	0	4	0.04%	-4
Jul-20	10,420	0	5	0.05%	-5
Aug-20	10,390	0	5	0.05%	-5
Sep-20	10,357	0	4	0.04%	-4
Oct-20	10,367	0	5	0.05%	-5
Nov-20		0			
Dec-20		0			



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V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 168, or 1.47%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	1.03%	169,946	1,745			
RCOC Public Hearing 8/14/19	1.47%	11,434	168			
RCOC 11/01/20	1.08%	11,995	130	130	1.08%	0
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	9.63%		

	Total Adults Status 2	Goal	Residing in a CCF (7+ beds)	%	Number Attained	Met Goal
Jan-20	11,725	130	163	1.40%	-33	
Feb-20	11,755	130	163	1.40%	-33	
Mar-20	11,784	130	161	1.40%	-31	
Apr-20	11,801	130	142	1.20%	-12	
May-20	11,830	130	140	1.18%	-10	
Jun-20	11,880	130	139	1.17%	-9	
Jul-20	11,917	130	133	1.12%	-3	
Aug-20	11,929	130	134	1.12%	-4	
Sep-20	11,964	130	134	1.12%	-4	
Oct-20	11,995	130	130	1.08%	0	
Nov-20		130				
Dec-20		130				

Progress: B. During public meetings, RCOC had 64, or 0.56%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.57%	169,946	975			
RCOC Public Hearing 8/14/19	0.56%	11,434	64			
RCOC 11/01/20	0.13%	11,995	15	30	0.13%	15
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	6.56%		



	Total Adults Status 2	Goal	Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	11,725	30	20	0.17%	10
Feb-20	11,755	30	20	0.17%	10
Mar-20	11,784	30	19	0.16%	11
Apr-20	11,801	30	19	0.16%	11
May-20	11,830	30	19	0.16%	11
Jun-20	11,880	30	21	0.18%	9
Jul-20	11,917	30	18	0.15%	12
Aug-20	11,929	30	16	0.13%	14
Sep-20	11,964	30	15	0.13%	15
Oct-20	11,995	30	15	0.13%	15
Nov-20		30			
Dec-20		30			



Progress: C. During public meetings, RCOC had 89, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults Status 2	Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.63%	169,946	1,079			
RCOC Public Hearing 8/14/19	0.78%	11,434	89			
RCOC 11/01/20	0.71%	11,995	85	80	0.71%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	8.25%		

	Total Adults Status 2	Goal	Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	11,725	80	87	0.74%	-7
Feb-20	11,755	80	86	0.73%	-6
Mar-20	11,784	80	89	0.76%	-9
Apr-20	11,801	80	90	0.76%	-10
May-20	11,830	80	95	0.80%	-15
Jun-20	11,880	80	92	0.77%	-12
Jul-20	11,917	80	88	0.74%	-8
Aug-20	11,929	80	84	0.70%	-4
Sep-20	11,964	80	83	0.69%	-3
Oct-20	11,995	80	85	0.71%	-5
Nov-20		80			
Dec-20		80			



Progress: D. During public meetings, RCOC had 321, or 2.81%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Adults Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	2.24%	169,946	3,799			
RCOC Public Hearing 8/14/19	2.81%	11,434	321			
RCOC 11/01/20	1.92%	11,995	230	240	1.92%	10
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	8.45%		



	Total Adults Status 2	Goal	Adults Residing in 7+ bed facilities	%	Number Attained
Jan-20	11,725	240	270	2.30%	-30
Feb-20	11,755	240	269	2.29%	-29
Mar-20	11,784	240	269	2.28%	-29
Apr-20	11,801	240	251	2.13%	-11
May-20	11,830	240	254	2.15%	-14
Jun-20	11,880	240	252	2.12%	-12
Jul-20	11,917	240	239	2.01%	1
Aug-20	11,929	240	234	1.96%	6
Sep-20	11,964	240	232	1.94%	8
Oct-20	11,995	240	230	1.92%	10
Nov-20		240			
Dec-20		240			



Performance Contract 2020

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

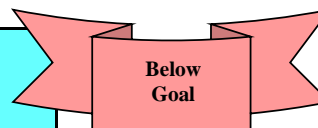
Progress: A. During public meetings, RCOC had 230, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	89.84%	7,291	6,550		
RCOC Public Hearing 8/14/1	100.00%	230	230		
RCOC 11/01/20	98.71%	161	159	100.00%	98.76%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-20	194	100%	194	100%
Feb-20	200	100%	198	99%
Mar-20	208	100%	205	98.56%
Apr-20	192	100%	191	99.48%
May-20	168	100%	167	99.40%
Jun-20	120	100%	119	99.17%
Jul-20	104	100%	101	97.12%
Aug-20	123	100%	122	99.19%
Sep-20	155	100%	153	98.71%
Oct-20	161	100%	159	98.76%
Nov-20		100%		
Dec-20		100%		



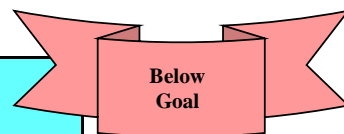
Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Over Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
Statewide Average	6.05%	7,291	441		
RCOC Public Hearing 8/14/19	0.00%	230	0		
RCOC 11/01/20	0.62%	161	1	0.00%	0.62%



	Total Number Individuals Age 3 or Over	Goal	Number Individuals Over Age 3 with 121-240 days	%
Jan-20	194	0%	0	0%
Feb-20	200	0%	1	1%
Mar-20	208	0%	3	1.44%
Apr-20	192	0%	1	0.52%
May-20	168	0%	0	0%
Jun-20	120	0%	1	0.83%
Jul-20	104	0%	3	2.88%
Aug-20	123	0%	1	0.81%
Sep-20	155	0%	2	1.29%
Oct-20	161	0%	1	0.62%
Nov-20		0%		
Dec-20		0%		



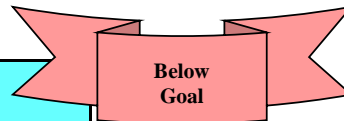
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	4.11%	7,291	300		
RCOC Public Hearing 8/14/1	0.00%	223	0	Goal	%
RCOC 11/01/20	0.62%	161	1	0.00%	0.62%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-20	194	0%	0	0%
Feb-20	200	0%	0	0%
Mar-20	208	0%	0	0%
Apr-20	192	0%	0	0%
May-20	168	0%	1	0.60%
Jun-20	120	0%	0	0%
Jul-20	104	0%	0	0%
Aug-20	123	0%	0	0%
Sep-20	155	0%	0	0%
Oct-20	161	0%	0	0%
Nov-20		0%		
Dec-20		0%		





Performance Contract 2020

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. Results of recent interviews have not been submitted to regional centers.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15*	47%	50%

*NOTE: Surveys are being conducted again in FY 2017-18, results unavailable



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78

*NOTE: Incentive payments started in FY 2016-17, partial year data



Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31

Progress: B. Results from the Employment Development Department in 2016 indicate that 22% of persons served ages 16-64 reported having earned income. In 2017, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%

Progress: C. Results from the Employment Development Department in 2016 indicate that average annual wage for persons served ages 16-64 was \$6,613. In 2017, the average annual wage for persons served ages 16-64 was \$7,580. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033



Performance Contract 2020

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2017, 2,341 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2018 RCOC had 1 individual within a paid internship that resulted in employment.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1



Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	13%



Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018	14.25	\$11.25

Performance Contract 2020

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	10 ↑	0.2% ↑	\$59,684 ↑	66.4% ↑
Asian	977 ↑	21.9% ↓	\$8,598,999 ↑	66.5%
Black/African American	66 ↓	1% ↓	\$404,984 ↓	59.7% ↓
Hispanic	2,051 ↑	33.5% ↑	\$13,139,181 ↑	60.3% ↓
Native Hawaiian or Other Pacific Islander	5 ↑	0.0%	\$14,669 ↑	60.3% ↓
Other Ethnicity or Race / Multi-Cultural	1,368 ↓	23.8% ↑	\$9,336,444 ↑	60.5% ↑
White	1,083 ↑	19.6% ↑	\$7,681,140 ↑	61.6% ↑
Totals	5,560 ↑	100.0%	\$39,235,099 ↑	62% ↑

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	14 ↓	0.1% ↓	\$131,305 ↓	66.9% ↓
Asian	1,680 ↑	17.3% ↓	\$16,992,859 ↓	68.1% ↑
Black/African American	178 ↑	2.3% ↑	\$2,310,611 ↓	66.5% ↓
Hispanic	3,637 ↑	31% ↓	\$30,509,833 ↓	64.5% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0.0%	\$33,228 ↑	54.3% ↑
Other Ethnicity or Race / Multi-Cultural	1,716 ↑	18.5% ↑	\$18,177,715 ↑	64.4% ↓
White	2,196 ↓	30.7% ↑	\$30,248,367 ↑	72.5% ↑
Totals	9,217	100.0%	\$101,045,979	65.7%

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	20	0.3%	\$1,024,276 ↑	88.5% ↑
Asian	1,231 ↑	11.2% ↑	\$34,537,997 ↑	83.3% ↑
Black/African American	225 ↑	2.9% ↑	\$9,056,696 ↑	84.8% ↑
Hispanic	2,314 ↑	18.3% ↑	\$56,732,844 ↑	82.2% ↑
Native Hawaiian or Other Pacific Islander	5	0.1%	\$205,670 ↑	85% ↑
Other Ethnicity or Race / Multi-Cultural	764 ↑	7.9% ↑	\$24,433,171 ↑	84.4%
White	4,480 ↑	59.3% ↓	\$183,531,064 ↑	85.8% ↑
Totals	9,039 ↑	100.0%	\$309,521,717 ↑	84.8% ↑

Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	10 ↑	0	0.0%
Asian	977 ↑	32 ↑	3.3% ↑
Black/African American	66 ↓	4 ↑	6.1% ↑
Hispanic	2,051 ↑	75 ↓	3.7% ↓
Native Hawaiian or Other Pacific Islander	5 ↑	1	20% ↓
Other Ethnicity or Race / Multi-Cultural	1,368 ↑	52 ↑	3.8% ↑
White	1,083 ↑	48 ↓	4.4% ↓
Totals	5,560 ↑	212 ↓	3.8% ↓

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	14 ↓	2 ↓	14.3% ↓
Asian	1,680 ↑	429 ↓	25.5% ↓
Black/African American	178 ↑	60 ↑	33.7% ↑
Hispanic	3,637 ↑	1,455 ↑	40% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	3 ↑	37.5% ↑
Other Ethnicity or Race / Multi-Cultural	1,716 ↑	480 ↑	28% ↑
White	2,196 ↓	543 ↑	24.7% ↑
Totals	9,429 ↑	2,972 ↑	31.5% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	20 ↑	2	10% ↓
Asian	1,231 ↑	242 ↑	19.7% ↑
Black/African American	225 ↑	39 ↑	17.3% ↓
Hispanic	2,314 ↑	525 ↑	22.7% ↑
Native Hawaiian or Other Pacific Islander	5	0	0.0%
Other Ethnicity or Race / Multi-Cultural	764 ↑	118 ↓	15.5% ↓
White	4,480 ↑	511 ↓	11.4% ↓
Totals	9,039 ↑	1,437	15.9%



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,071 ↑	\$20,498 ↑	79.85% ↑
Spanish	4,394 ↑	\$12,008 ↑	73.34% ↑
Mandarin Chinese	66 ↑	\$14,923 ↓	68.05% ↓
Vietnamese	1,122 ↑	\$13,033 ↓	76.61% ↑
Korean	148 ↑	\$22,728 ↑	79.47% ↑
Tagalog	29 ↓	\$24,774 ↑	79.88% ↑
Arabic	40	\$13,345 ↓	66% ↓



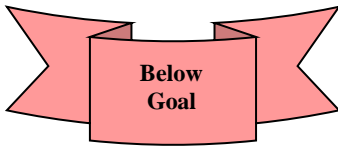
Performance Contract Summary

RCOC as of 12/01/2020	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,382	9	0	0.03%	-9
Children in Foster Homes (FH)	10,339	237	245	2.29%	-8
Children Own Home Parent/Guardian	10,339	10,042	10,350	97.13%	-308
Total # Children (FH,Parent/Guardian)	10,339	10,279	10,595	99.42%	-316
Adult FHA	12,034	103	109	0.86%	-6
Independent Living (IL)	12,034	889	899	7.39%	-10
Adults Residing Own Home - Parent	12,034	8,087	8,250	67.20%	-163
Supported Living (SL)	12,034	494	508	4.11%	-14
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,034	9,573	9,766	79.55%	-193
Children Residing in a CCF (7+ beds)	10,339	3	0	0.03%	-3
Children Residing in a ICF (7+ beds)	10,339	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,339	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,339	5	0	0.05%	-5
Adults Residing in a CCF (7+ beds)	12,034	125	120	1.04%	-5
Adults Residing in a ICF (7+ beds)	12,034	15	14	0.12%	-1
Adults Residing in a Nursing Facility (7+ beds)	12,034	84	78	0.70%	-6
Total Adults Residing in 7+ bed facilities	12,034	224	212	1.86%	-12
Total Individuals Over Age 3 with <=120 days	162	160	100%	98.71%	98.71%
Total Individuals Over Age 3 with 121-240 days	162	1	0%	0.62%	0.62%
Total Individuals Over Age 3 Over 240 days	162	1	0%	0.62%	0.62%
Adults with Integrated Employment Goal	12,034	57%	65%		
Total Number of Incentive Payments Made	12,034	362			
Avg. Wage per Hour After Incentive Payment	12,034	\$12.06			
Number of Persons Served with Earned Income	12,034	3,336			
Percentage of 16-64 Earned Income	12,034	21%			
Annual Earnings of 16-64	12,034	\$8,806			
Number of Adults in CIE After Paid Intern	12,034	7			
Percentage Adults Transitioned Internship to CIE	12,034	21%			
Total Annual Expenditures Race/Ethnicity	22,382				

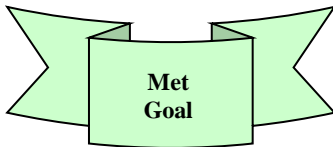
Performance Contract 2020 Cover Sheet



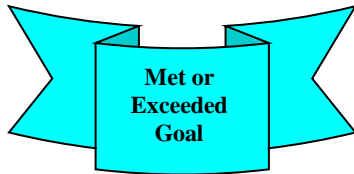
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2020

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.98
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2020

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.08%	353,922	266			
RCOC Public Hearing 8/19/20	0.03%	22,370	7	0	0.04%	-9
RCOC 12/01/20	0.04%	22,382	9			
Analysis as of Public Hearing	RCOC % of DD population		6.32%	RCOC % of DC population		2.63%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: During Public Meetings in August 2019, RCOC had 20 persons served, or 0.09%, in Developmental Centers. In 2020, this number decreased to 7 residents overall. The Fairview Developmental Center has now closed, and RCOC will continue to actively develop critical resources through the Community Resource Development Plan (CRDP) as allocated through DDS.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-20	22,486	0	8	0.04%	-8
Feb-20	22,541	0	7	0.03%	-7
Mar-20	22,597	0	7	0.03%	-7
Apr-20	22,595	0	7	0.03%	-7
May-20	22,482	0	7	0.03%	-7
Jun-20	22,385	0	7	0.03%	-7
Jul-20	22,344	0	7	0.03%	-7
Aug-20	22,327	0	8	0.04%	-8
Sep-20	22,329	0	8	0.04%	-8
Oct-20	22,370	0	8	0.03%	-8
Nov-20	22,382	0	9	0.04%	-9
Dec-20		0			

Below Goal

Performance Contract 2020

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

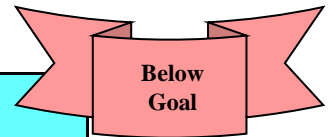
- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 234, or 2.23%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.77%	178,127	4,938			
RCOC Public Hearing 8/19/20	2.23%	10,502	234			
RCOC 12/01/20	2.29%	10,339	237	245	2.29%	-8
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	4.74%		

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-20	10,753	220	231	2.15%	11
Feb-20	10,779	220	230	2.13%	10
Mar-20	10,806	220	229	2.12%	9
Apr-20	10,787	220	233	2.16%	13
May-20	10,645	220	236	2.22%	16
Jun-20	10,498	220	235	2.24%	15
Jul-20	10,420	220	229	2.20%	9
Aug-20	10,390	220	228	2.19%	8
Sep-20	10,357	220	236	2.28%	16
Oct-20	10,367	220	229	2.21%	9
Nov-20	10,339	245	237	2.29%	-8
Dec-20		245			



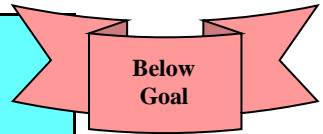
Progress: B. During public meetings, RCOC had 10,202, or 97.14%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.71%	178,127	172,258			
RCOC Public Hearing 8/19/20	97.14%	10,502	10,202			
RCOC 12/01/20	97.13%	10,339	10,042	10,350	97.13%	-308
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.92%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-20	10,753	10,500	10,451	97.19%	-49
Feb-20	10,779	10,500	10,484	97.26%	-16
Mar-20	10,806	10,500	10,510	97.26%	10
Apr-20	10,787	10,500	10,484	97.19%	-16
May-20	10,645	10,500	10,339	97.13%	-161
Jun-20	10,498	10,500	10,197	97.13%	-303
Jul-20	10,420	10,500	10,122	97.14%	-378
Aug-20	10,390	10,500	10,094	97.15%	-406
Sep-20	10,357	10,500	10,059	97.12%	-441
Oct-20	10,367	10,500	10,077	97.20%	-423
Nov-20	10,339	10,350	10,042	97.13%	-308
Dec-20		10,350			




Progress: C. During public meetings, RCOC had 10,436, or 99.37%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.48%	178,127	177,196			
RCOC Public Hearing 8/19/20	99.37%	10,502	10,436			
RCOC 12/01/20	99.42%	10,339	10,279	10,595	99.42%	-316
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.89%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-20	10,753	10,720	10,682	99.34%	-38
Feb-20	10,779	10,720	10,714	99.40%	-6
Mar-20	10,806	10,720	10,739	99.38%	19
Apr-20	10,787	10,720	10,717	99.35%	-3
May-20	10,645	10,720	10,575	99.34%	-145
Jun-20	10,498	10,720	10,432	99.37%	-288
Jul-20	10,420	10,720	10,351	99.34%	-369
Aug-20	10,390	10,720	10,322	99.35%	-398
Sep-20	10,357	10,720	10,295	99.40%	-425
Oct-20	10,367	10,720	10,306	99.41%	-414
Nov-20	10,339	10,595	10,279	99.42%	-316
Dec-20		10,595			



Below Goal

Performance Contract 2020

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

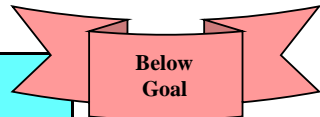
- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 94, or 0.82%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.93%	175,529	1,638			
RCOC Public Hearing 8/19/20	0.85%	11,861	101			
RCOC 12/01/20	0.86%	12,034	103	109	0.86%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.17%		

	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-20	11,725	103	98	0.84%	-5
Feb-20	11,755	103	100	0.85%	-3
Mar-20	11,784	103	99	0.84%	-4
Apr-20	11,801	103	100	0.85%	-3
May-20	11,830	103	101	0.85%	-2
Jun-20	11,880	103	102	0.86%	-1
Jul-20	11,917	103	102	0.86%	-1
Aug-20	11,929	103	103	0.86%	0
Sep-20	11,964	103	104	0.87%	1
Oct-20	11,995	103	104	0.87%	1
Nov-20	12,034	109	103	0.86%	-6
Dec-20		109			

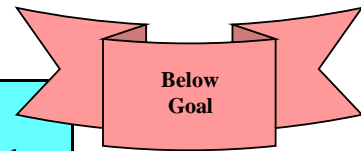


Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.06%	175,529	17,660			
RCOC Public Hearing 8/19/20	7.55%	11,434	863			
RCOC 12/01/20	7.39%	12,034	889	899	7.39%	-10
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	4.89%		

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-20	11,725	895	885	7.55%	-10
Feb-20	11,755	895	889	7.56%	-6
Mar-20	11,784	895	888	7.54%	-7
Apr-20	11,801	895	891	7.55%	-4
May-20	11,830	895	897	7.58%	2
Jun-20	11,880	895	901	7.58%	6
Jul-20	11,917	895	899	7.54%	4
Aug-20	11,929	895	895	7.50%	0
Sep-20	11,964	895	888	7.42%	-7
Oct-20	11,995	895	891	7.43%	-4
Nov-20	12,034	899	889	7.39%	-10
Dec-20		899			



Progress: C. During public meetings, RCOC had 7,477, or 63.04%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	64.98%	175,529	114,052			
RCOC Public Hearing 8/19/20	63.04%	11,861	7,477	Goal	%	# Attained
RCOC 12/01/20	67.20%	12,034	8,087	8,250	67.20%	-163
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.56%		




	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-20	11,725	7,650	7,734	65.96%	84
Feb-20	11,755	7,650	7,750	65.93%	100
Mar-20	11,784	7,650	7,787	66.08%	137
Apr-20	11,801	7,650	7,811	66.19%	161
May-20	11,830	7,650	7,837	66.25%	187
Jun-20	11,880	7,650	7,897	66.47%	247
Jul-20	11,917	7,650	7,935	66.59%	285
Aug-20	11,929	7,650	7,965	66.77%	315
Sep-20	11,964	7,650	8,004	66.90%	354
Oct-20	11,995	7,650	8,040	67.03%	390
Nov-20	12,034	8,250	8,087	67.20%	-163
Dec-20		8,250			

Progress: D. During public meetings, RCOC had 488, or 4.27%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.28%	175,529	9,260			
RCOC Public Hearing 8/19/20	4.27%	11,434	488			
RCOC 12/01/20	4.11%	12,034	494	508	4.11%	-14
Analysis as of Public Hearing		RCOC % of DD population	6.51%	RCOC % of FH population		5.27%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-20	11,725	481	463	3.95%	-18
Feb-20	11,755	481	467	3.97%	-14
Mar-20	11,784	481	467	3.96%	-14
Apr-20	11,801	481	489	4.14%	8
May-20	11,830	481	491	4.15%	10
Jun-20	11,880	481	488	4.11%	7
Jul-20	11,917	481	494	4.15%	13
Aug-20	11,929	481	491	4.12%	10
Sep-20	11,964	481	494	4.13%	13
Oct-20	11,995	481	491	4.09%	10
Nov-20	12,034	508	494	4.10%	-14
Dec-20		508			



Progress: E. During public meetings, RCOC had 9,936, or 83.77%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	81.25%	175,529	142,610			
RCOC Public Hearing 8/19/20	83.77%	11,861	9,936			
RCOC 12/01/20	79.55%	12,034	9,573	9,766	79.55%	-193
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.97%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-20	11,725	9,129	9,129	78.29%	51
Feb-20	11,755	9,129	9,206	78.23%	77
Mar-20	11,784	9,129	9,241	78.42%	112
Apr-20	11,801	9,129	9,291	78.73%	162
May-20	11,830	9,129	9,326	78.83%	197
Jun-20	11,880	9,129	9,388	79.02%	259
Jul-20	11,917	9,129	9,340	79.13%	301
Aug-20	11,929	9,129	9,454	79.25%	325
Sep-20	11,964	9,129	9,490	79.32%	361
Oct-20	11,995	9,129	9,526	79.42%	397
Nov-20	12,034	9,766	9,573	79.55%	-193
Dec-20		9,766			

Below Goal



Performance Contract 2020

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

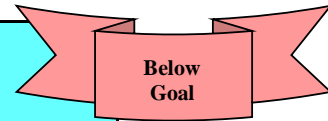


Progress: A. During public meetings, RCOC had 2, or 0.02%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	28			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 12/01/20	0.03%	10,339	3	0	0.03%	-3
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	7.14%		

	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20	10,498	0	2	0.02%	-2
Jul-20	10,420	0	3	0.02%	-3
Aug-20	10,390	0	3	0.03%	-3
Sep-20	10,357	0	2	0.02%	-2
Oct-20	10,367	0	3	0.03%	-3
Nov-20	10,339	0	3	0.03%	-3
Dec-20		0			





Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	35			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 12/01/20	0.02%	10,339	2	0	0.02%	-2
Analysis as of Public Hearing		RCOC % of DD population	5.90%	RCOC % of FH population	5.71%	



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20	10,498	0	2	0.02%	-2
Jul-20	10,420	0	2	0.02%	-2
Aug-20	10,390	0	2	0.02%	-2
Sep-20	10,357	0	2	0.02%	-2
Oct-20	10,367	0	2	0.02%	-2
Nov-20	10,339	0	2	0.02%	-2
Dec-20		0			


Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	178,127	5			
RCOC Public Hearing 8/19/20	0.00%	10,502	0			
RCOC 12/01/20	0.00%	10,339	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	0.00%		



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	10,753	0	0	0.00%	0
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	0	0.00%	0
May-20	10,645	0	0	0.00%	0
Jun-20	10,498	0	0	0.00%	0
Jul-20	10,420	0	0	0.00%	0
Aug-20	10,390	0	0	0.00%	0
Sep-20	10,357	0	0	0.00%	0
Oct-20	10,367	0	0	0.00%	0
Nov-20	10,339	0	0	0.00%	0
Dec-20		0			



Met Goal



Progress: D. During public meetings, RCOC had 4, or 0.04%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	178,127	68			
RCOC Public Hearing 8/19/20	0.04%	10,502	4			
RCOC 12/01/20	0.05%	10,339	5	0	0.05%	-5
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.88%		

	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-20	10,753	0	4	0.04%	-4
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	4	0.04%	-4
May-20	10,645	0	4	0.04%	-4
Jun-20	10,498	0	4	0.04%	-4
Jul-20	10,420	0	5	0.05%	-5
Aug-20	10,390	0	5	0.05%	-5
Sep-20	10,357	0	4	0.04%	-4
Oct-20	10,367	0	5	0.05%	-5
Nov-20	10,339	0	5	0.05%	-5
Dec-20		0			

Below Goal

Performance Contract 2020

V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

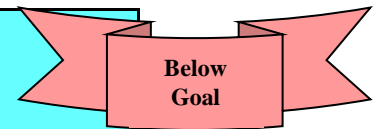
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 140, or 1.18%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.94%	175,529	1,646			
RCOC Public Hearing 8/19/20	1.18%	11,861	140			
RCOC 12/01/20	1.04%	12,034	125	120	1.04%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.51%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-20	11,725	130	163	1.40%	-33
Feb-20	11,755	130	163	1.40%	-33
Mar-20	11,784	130	161	1.40%	-31
Apr-20	11,801	130	142	1.20%	-12
May-20	11,830	130	140	1.18%	-10
Jun-20	11,880	130	139	1.17%	-9
Jul-20	11,917	130	133	1.12%	-3
Aug-20	11,929	130	134	1.12%	-4
Sep-20	11,964	130	134	1.12%	-4
Oct-20	11,995	130	130	1.08%	0
Nov-20	12,034	120	125	1.04%	-5
Dec-20		120			



Progress: B. During public meetings, RCOC had 21, or 0.12%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.56%	175,529	975			
RCOC Public Hearing 8/19/20	0.18%	11,861	21			
RCOC 12/01/20	0.12%	12,034	15	14	0.12%	-1
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	2.15%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	11,725	30	20	0.17%	10
Feb-20	11,755	30	20	0.17%	10
Mar-20	11,784	30	19	0.16%	11
Apr-20	11,801	30	19	0.16%	11
May-20	11,830	30	19	0.16%	11
Jun-20	11,880	30	21	0.18%	9
Jul-20	11,917	30	18	0.15%	12
Aug-20	11,929	30	16	0.13%	14
Sep-20	11,964	30	15	0.13%	15
Oct-20	11,995	30	15	0.13%	15
Nov-20	12,034	14	15	0.12%	-1
Dec-20		14			



Progress: C. During public meetings, RCOC had 93, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	175,529	1,068			
RCOC Public Hearing 8/19/20	0.78%	11,861	93	Goal		
RCOC 12/01/20	0.70%	12,034	84	78	0.70%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.71%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	11,725	80	87	0.74%	-7
Feb-20	11,755	80	86	0.73%	-6
Mar-20	11,784	80	89	0.76%	-9
Apr-20	11,801	80	90	0.76%	-10
May-20	11,830	80	95	0.80%	-15
Jun-20	11,880	80	92	0.77%	-12
Jul-20	11,917	80	88	0.74%	-8
Aug-20	11,929	80	84	0.70%	-4
Sep-20	11,964	80	83	0.69%	-3
Oct-20	11,995	80	85	0.71%	-5
Nov-20	12,034	78	84	0.70%	-6
Dec-20		78			

Below Goal

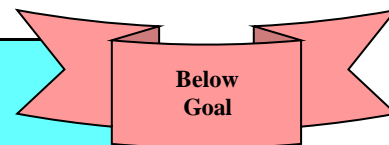
Progress: D. During public meetings, RCOC had 254, or 2.14%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	2.06%	175,529	3,618			
RCOC Public Hearing 8/19/20	2.14%	11,861	254	Goal	%	# Attained
RCOC 12/01/20	1.86%	12,034	224	212	1.86%	-12
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.02%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-20	11,725	240	270	2.30%	-30
Feb-20	11,755	240	269	2.29%	-29
Mar-20	11,784	240	269	2.28%	-29
Apr-20	11,801	240	251	2.13%	-11
May-20	11,830	240	254	2.15%	-14
Jun-20	11,880	240	252	2.12%	-12
Jul-20	11,917	240	239	2.01%	1
Aug-20	11,929	240	234	1.96%	6
Sep-20	11,964	240	232	1.94%	8
Oct-20	11,995	240	230	1.92%	10
Nov-20	12,034	212	224	1.86%	-12
Dec-20		212			



Performance Contract 2020

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

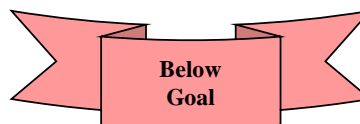
Progress: A. During public meetings, RCOC had 123, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	91.44%	4,648	4,250		
RCOC Public Hearing 8/19/20	100%	123	123		
RCOC 12/01/20	98.71%	162	160	100%	98.77%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-20	194	100%	194	100%
Feb-20	200	100%	198	99%
Mar-20	208	100%	205	98.56%
Apr-20	192	100%	191	99.48%
May-20	168	100%	167	99.40%
Jun-20	120	100%	119	99.17%
Jul-20	104	100%	101	97.12%
Aug-20	123	100%	122	99.19%
Sep-20	155	100%	153	98.71%
Oct-20	161	100%	159	98.76%
Nov-20	162	100%	160	98.77%
Dec-20		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	7.42%	4,648	345		
RCOC Public Hearing 8/19/20	0%	123	0	Goal	% Attained
RCOC 12/01/20	0.62%	162	1	0%	0.62%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-20	194	0.00%	0	0.00%
Feb-20	200	0.00%	1	1.00%
Mar-20	208	0.00%	3	1.44%
Apr-20	192	0.00%	1	0.52%
May-20	168	0.00%	0	0.00%
Jun-20	120	0.00%	1	0.83%
Jul-20	104	0.00%	3	2.88%
Aug-20	123	0.00%	1	0.81%
Sep-20	155	0.00%	2	1.29%
Oct-20	161	0.00%	1	0.62%
Nov-20	162	0.00%	1	0.62%
Dec-20		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	1.14%	4,648	53		
RCOC Public Hearing 8/19/20	0%	123	0	Goal	%
RCOC 12/01/20	0.62%	162	1	0%	0.62%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-20	194	0%	0	0%
Feb-20	200	0%	0	0%
Mar-20	208	0%	0	0%
Apr-20	192	0%	0	0%
May-20	168	0%	1	0.60%
Jun-20	120	0%	0	0%
Jul-20	104	0%	0	0%
Aug-20	123	0%	0	0%
Sep-20	155	0%	0	0%
Oct-20	161	0%	0	0%
Nov-20	162	0%	0	0.62%
Dec-20		0%		



Progress: B. RCOOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06

Performance Contract 2020

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. Results of recent interviews have not been submitted to regional centers.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individuals within a paid internship that resulted in employment.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	13%
2018-2019	21%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018	14.25	\$11.25



Progress: B. Results from the Employment Development Department in 2016 indicate that 22% of persons served ages 16-64 reported having earned income. In 2018, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%

Progress: C. Results from the Employment Development Department in 2016 indicate that average annual wage for persons served ages 16-64 was \$6,613. In 2018, the average annual wage for persons served ages 16-64 was \$8,806. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317



Performance Contract 2020

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2018, 3,336 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311



Performance Contract 2020

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	8 ↓	0.3% ↑	\$106,6804 ↑	74.8% ↑
Asian	923 ↓	19.1% ↓	\$7,862,556 ↑	63.5% ↓
Black/African American	72 ↑	1.1% ↑↑	\$463,399 ↑	56.9% ↓
Hispanic	2204 ↑	34.1% ↓	\$14,029,406 ↑	58.5% ↓
Native Hawaiian or Other Pacific Islander	7↑	0.0%	\$13,315 ↓	41.8% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	26.9% ↑	\$11,063,412 ↑	60.6% ↑
White	1,146 ↑	18.4% ↓	\$7,546,391 ↓	60.7% ↓
Totals	5,874 ↑	100.0%	\$41,085,159 ↑	60.5% ↓

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	13 ↓	0.1% ↔	\$119,773 ↓	68.4% ↑
Asian	1,804 ↑	17.5% ↓	\$15,208,333 ↓	66.6% ↓
Black/African American	167 ↓ ↑	1.7% ↓	\$1,476,695 ↓	66.8% ↑
Hispanic	3,796 ↑	28.3% ↓	\$24,672,656 ↓	62.7% ↓
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$78,995 ↑	67.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	18.3% ↓	\$15,925,185 ↓	63% ↓
White	2,304 ↑	34% ↑	\$29,615,939 ↓	71.9% ↓
Totals	9,757 ↑	100.0%	\$87,097,575 ↓	66.7% ↑

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	21 ↑	0.3% ↔	\$1,044,925 ↑	89% ↑
Asian	1,309 ↑	11.1% ↓	\$38,395,565 ↑	83.2% ↓
Black/African American	237 ↑	3% ↑	\$10,535,654 ↑	85.2% ↑
Hispanic	2,455 ↑	18.9% ↑	\$65,155,229 ↑	82.2% ↑
Native Hawaiian or Other Pacific Islander	10 ↑	0.1%	\$249,373 ↑	81.1% ↓
Other Ethnicity or Race / Multi-Cultural	717 ↓	7.1% ↓	\$24,503,779 ↑	83.2% ↓
White	4610 ↑	59.5% ↑	\$205,617,093 ↑	85.6% ↓
Totals	9,359 ↑	100.0%	\$345,501,617 ↑	84.3% ↓



Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	8 ↓	1 ↑	12.5% ↑
Asian	923 ↓	32 ↔	3.5% ↑
Black/African American	72 ↑	2 ↓	2.8% ↓
Hispanic	2,204 ↑	108 ↑	4.9% ↑
Native Hawaiian or Other Pacific Islander	7 ↑	1 ↔	14.3% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	59 ↑	3.9% ↑
White	1,146 ↑	43 ↓	3.8% ↓
Totals	5,874 ↑	246 ↑	4.2% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	13 ↓	2 ↔	15.4% ↑
Asian	1,804 ↑	466 ↑	25.8% ↑
Black/African American	167 ↓	67 ↑	40.1% ↑
Hispanic	3,796 ↑	1,564 ↑	41.2% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	10 ↑	52.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	411 ↓	24.9% ↓
White	2,304 ↑	522 ↓	22.7% ↓
Totals	9,757 ↑	3,042 ↑	31.2% ↓

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	21 ↑	1 ↓	4.8% ↓
Asian	1,309 ↑	258 ↑	19.7% ↔
Black/African American	237 ↑	39 ↔	19.7% ↑
Hispanic	2,455 ↑	526 ↑	21.4% ↓
Native Hawaiian or Other Pacific Islander	10 ↑	3 ↑	30% ↑
Other Ethnicity or Race / Multi-Cultural	717 ↓	125 ↑	17.4% ↓
White	4,610 ↑	503 ↓	10.9% ↓
Totals	9,359 ↑	1,455 ↑	15.5% ↓



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,838 ↑	\$21,155 ↑	79.94% ↑
Spanish	4,527 ↑	\$11,257 ↓	71.78% ↓
Mandarin Chinese	74 ↑	\$11,539 ↓	71.62% ↑
Vietnamese	1,166 ↑	\$12,436 ↓	77.40% ↑
Korean	156 ↑	\$22,686 ↓	82.81% ↑
Tagalog	26 ↓	\$26,022 ↑	81.11% ↑
Arabic	42 ↑	\$11,639 ↓	67.68% ↑

**Regional Center of Orange County
Board Recruitment and Training Committee
November 9, 2020
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Frances Hernandez (*joined at 5:04 p.m.*)
Sandy Martin
Chinh Nguyen
Fernando Peña (*joined at 5:06 p.m.*)

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel (*left at 5:38 p.m.*)
Stacy Wong, Human Resources Director

Guest: Hilda Mendez (*left at 5:45 p.m.*)

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Larry Landauer reported that there were no changes to Board members’ terms since the last meeting.

Mr. Wright reviewed the Board members’ terms and the Committee discussed members whose terms will end in this fiscal year.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act. Much discussion ensued about the possibility of acquiring new Board member applicants.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

Mr. Wright reported that the next Board training session will be at 5:30 p.m. on February 4, 2021. The training topic will be *Abuse Awareness* instead of *Transportation*. Mr. Wright requested that the training agenda be provided to the Committee in January 2021.

***Board Recruitment and Training Committee Minutes
November 9, 2020***

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:54 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Policies and Outcomes Committee
November 16, 2020
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Liza Krassner
Sandy Martin
Chip Wright

Committee Members Absent: Mine Hagen

RCOC Staff Members Present: Larry Landauer, Executive Director

Corporate Counsel Present: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:28 p.m.

I. Strategic Plan

The Committee reviewed, commented and proposed changes to the Strategic Plan. The Committee agreed to schedule a special meeting at 5:30 p.m. on December 10, 2020, to review the revised Strategic Plan with the Committee's proposed changes.

II. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 6:35 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Policies and Outcomes Committee
December 10, 2020
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Mine Hagen (*left at 6:27 p.m.*)
Liza Krassner
Sandy Martin
Chip Wright (*joined at 5:58 p.m.*)

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Arturo Cazares, Associate Director of Employment
Bonnie Ivers, Clinical Director (*left at 6:45 p.m.*)
Christina Petteruto, General Counsel
Keli Radford, Services and Supports Director
Jack Stanton, Associate Director of Housing
Stacy Wong, Human Resources Director

Corporate Counsel Present: David Lester, Esq.

Guest: Anh Nguyen, Consultant

Mr. Cliff Amsden called the videoconference meeting to order at 5:39 p.m.

I. Strategic Plan

RCOC's staff members presented their newly proposed changes to the Strategic Plan as Committee members reviewed and offered new recommendations.

Mr. Amsden stated that he will contact Mr. Landauer and Mr. Wright to discuss how the Committee should proceed with finalizing the Strategic Plan.

II. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 7:04 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
November 10, 2020
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, present

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Member Pending
Co-Chair, Mark Antenucci, present (*joined at 2:10 p.m.*)

Behavior Services

Chair, Junie Lazo-Pearson, absent
Co-Chair, Cindy Hebert, present

Community Care Facilities

Chair, Rebekah Hayes, absent
Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present
Co-Chair, Junie Lazo-Pearson, absent

Habilitation

Chair, Roland Fernandez, present
Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Janice Retz, present
Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, David Santana, present
Co-Chair, Member Pending

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, IT Director
Liliana Castillo, Accounting Manager

Vendor Advisory Committee Minutes
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Arturo Cazares, Associate Director of Employment
Jyusse Corey, Peer Advocate
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Sean Watson, Risk Management, QA Manager
Marta Vasquez, Associate Finance Director

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

II. RCOC Update

Mr. Larry Landauer reported on the following items:

- *COVID-19* – Mr. Landauer reported that the number of positive cases for COVID-19 are increasing throughout Orange County and the entire state.
- *Caseload Ratio Plan* – Mr. Landauer stated that RCOC’s plan is available on its website at www.rcocdd.com. To learn more, or if vendors would like to comment or provide any feedback about the plan, they may do so on the website.
- *National Core Indicators (NCI)* – The next NCI teleconference meeting will be at 5:30 p.m. on December 1, 2020. Those who wish to register for the meeting, may do so by going to RCOC’s calendar for December 2020 and clicking on National Core Indicators Presentation.
- *Self-Determination Program (SDP)* – The next SDP meeting will be at 6:30 p.m. on November 30, 2020.
- *Alternative Nonresidential Services* – Ms. Marta Vasquez, RCOC’s Associate Director of Finance, reported that all vendors providing Alternative Nonresidential Services during the pandemic will need to complete the following surveys for DDS:
 - By November 25, 2020, vendors must submit their reports on Alternative Services provided from September 1, 2020 through October 31, 2020 at <https://www.surveymonkey.com/r/AlternativeNonresidentialServicesSurvey>.
 - By December 5, 2020, vendors must submit their reports on Alternative Services provided in November 2020 at <https://www.surveymonkey.com/r/OngoingAlternativeServicesSurvey>.

III. Board Report

Ms. Bauer reported that at the Board of Directors meeting on November 5, 2020, the Board approved Mr. David Santana as Chair of the Support Services/Allied Health Subcommittee and

Vendor Advisory Committee Minutes
November 10, 2020

the telehealth support letter requesting that DDS allow telehealth services to continue after the COVID-19 pandemic.

IV. Community Forum

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD) stated that they have partnered with Illumination Institute to host a workshop on *Mindfulness Matters: Practicing Self-Care* on November 17, 2020 from 2:00 p.m. to 4:00 p.m. Anyone interested in participating in the workshop can register at <http://bit.ly/mindful11-17>.

V. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, reported that he and Ms. Sylvia Delgado will be contributing to the Winter issue of the *Dialogue*. Their focus will be on advocacy and the overall health of persons served during COVID-19.

The next PAC meeting is scheduled for January 20, 2021.

VI. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia stated that OCTA continues to offer free virtual transit workshops and participants will receive two (2) free one-day mobile bus passes after submitting a feedback survey upon completion of training.

Ms. Mungia also reported that OCTA continues to clean vehicles daily with a chemical approved by the Centers for Disease Control and Prevention (CDC), and has updated its buses with driver-plexiglass shields. Face covering dispensers have now been installed on all buses and OCTA has issued over 95,000 face coverings to the general public.

VII. Other (out of agenda order)

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that VAC will need to elect a new co-chair now that Ms. Marie Sañudo is no longer with My Day Counts. Mr. Stanton stated that VAC will hold a vote for this position at its meeting on January 12, 2021. If any VAC member is interested in filling this role, please contact Mr. Stanton or Ms. Tiffany Bauer, prior to the VAC meeting in January 2021.

VIII. Member Reports

- A. Adult Behavior Management – Hector Navarro (absent)**
- B. Adult Day Programs – Rick Perez (present)**

Mr. Rick Perez reported that the two subcommittees met virtually to discuss traditional versus alternative service. They also had a presentation on RCOC's *Healthy Life, Happy Life* by Dr. Sam Ho, RCOC's Consulting Pharmacist. The subcommittees also discussed their virtual open house event where families could learn about different services and meet the program directors from various providers.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Member Pending

Mr. Mark Antenucci reported that the subcommittee met virtually to discuss and review the DDS audit results and corrective action plan. They also discussed the AB 637 proposal that San Gabriel/Pomona Regional Center is preparing, which would increase rates for Adult Family Home Agencies.

Mr. Stanton reported that with the departure of Ms. Marie Sañudo, the subcommittee nominated Mr. Mark Antenucci as the new subcommittee chair.

D. Behavior Services – Junie Lazo-Pearson (absent)

In Ms. Junie Lazo-Pearson's absence, Ms. Cindy Hebert reported that their subcommittee will begin meeting on a quarterly basis starting in January 2021.

E. Community Care Facilities (CCF) – Rebekah Hayes (absent)

In Ms. Rebekah Hayes absence, Mr. Stanton reported that the subcommittee did not meet.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that their subcommittee met on October 15, 2020, and discussed concerns about the decrease in Early Start referrals. Ms. Bauer also reported that their subcommittee will begin meeting on a quarterly basis starting in January 2021.

G. Habilitation – Roland Fernandez (present)

Mr. Roland Fernandez reported that the subcommittee met and discussed DDS's directive on alternative service, and new authorizations for the paid internship program which had been on hold due to COVID-19.

H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)

Ms. Janice Retz reported that the subcommittee will meet later today. Providers are networking through the stress and tribulations of providing services during the pandemic. It is very hard to find new staff and providers are concerned about the minimum wage increase effective January 1, 2021. Ms. Retz said that she did not know how to explain the frustration that providers have with rates.

Vendor Advisory Committee Minutes
November 10, 2020

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – David Santana (present)

Mr. David Santana reported that the subcommittee met and discussed the 21st Century Cures Act and the mandate that all states implement the Electronic Visit Verification (EVV) for all Medicaid personal care services and home health services that require an in-home visit by a provider.

The Committee also discussed reporting employees who test positive for COVID-19 to RCOC and their workers' compensation carriers.

IX. Adjournment

Ms. Bauer adjourned the meeting at 2:42 p.m.

The next VAC meeting is scheduled for January 12, 2021.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: January 14, 2021

TO: Board of Directors

FROM: Tiffany Bauer
Chair, Vendor Advisory Committee

SUBJECT: **Approval of Vendor Advisory Committee Member Mark Antenucci as Chair of the Adult Family Home Agency (AFHA) / Foster Family Agency (FFA) Subcommittee**

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

BACKGROUND:

RCOC's Board of Directors appoints the members of the Vendor Advisory Committee (VAC), pursuant to Welfare and Institutions Code §4622(i), which states:

“The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.”

REASON FOR CURRENT ITEM:

The Adult Family Home Agency (AFHA) / Foster Family Agency (FFA) subcommittee nominated Mr. Mark Antenucci as its Chair to fill the vacancy left by Ms. Marie Sañudo's departure.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Mr. Mark Antenucci as Chair of the AFHA/FFA subcommittee for the remainder of Ms. Sañudo's term. Mr. Antenucci's term would begin on January 15, 2021 and end on June 30, 2021.

Attachment for Agenda Item III.I.1.

VENDOR ADVISORY COMMITTEE

NOMINATION FOR MEMBERSHIP

ADULT FAMILY HOME AGENCY (AFHA) / FOSTER FAMILY AGENCY (FFA)

Mark Antenucci, Chair
Independent Options

Mr. Antenucci is the Director of Development for Independent Options and is responsible for the development and growth of new services both in Orange and San Diego counties. He has been responsible for the development of Adult Family Home services and the overall implementation of this program within Independent Options, as well as overseeing the recent developments related to the Fairview closure for Adult Residential Facilities for People with Special Health Needs. Mr. Antenucci started his career working as direct care staff in both vocational and residential programs; he has been employed by multiple vendor agencies as well as the Regional Center of Orange County. He is an active volunteer within his community, serving as a board member on several non-profit boards. Mr. Antenucci lives by the philosophy that serving people with developmental disabilities is to do everything within his power to assist people in their pursuit to live, work, and enjoy the community in which they live.

**Regional Center of Orange County
Peer Advisory Committee
November 18, 2020
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Jyusse Corey, Peer Advocate
Steven Gersten
Amy Jessee
Peter Kuo
Fernando Peña

Committee Members Absent: Marcell Bassett
Yvonne Kluttz

Board Member Present: Chinh Nguyen

RCOC Staff Members Present: Larry Landauer, Executive Director (*left at 3:50 p.m.*)
Peter Himber, Medical Director (*left at 3:50 p.m.*)
Cristina Mercado, PCT Coordinator
Stacy Wong, Director of Human Resources

Guests: Kerri Adamic
Cheryl Day (*joined at 3:13 p.m.*)

Ms. Sylvia Delgado called the meeting to order at 3:02 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

Mr. Jyusse Corey, RCOC's Peer Advocate, reported that he and Ms. Delgado are contributing to the Winter issue of the *Dialogue*. Their focus will be on the overall health of persons served during COVID-19. Mr. Corey also provided up-to-date information on employment and COVID-19 resources specifically for persons served.

III. Staying Safe & Healthy During COVID-19

Dr. Peter Himber, RCOC's Medical Director, gave a presentation on how to stay safe and healthy during the pandemic and the holiday season.

IV. PAC Membership and Recruiting Process

Ms. Cristina Mercado, RCOC's Person Centered Thinking Coordinator, reviewed the final draft of the revised application that PAC member applicants will complete. To obtain a

PAC Member application, please email the Executive Office at executiveoffice@rcocdd.com.

V. Other

Mr. Corey reported that the Dayle McIntosh Center is hosting virtual disability chat group meetings every 2nd and 4th Thursday of the month. The chat group topics vary and are determined by the group.

VI. Community Forum

No community members were present at the meeting.

VII. Next Scheduled Meeting

The next PAC meeting is scheduled for January 20, 2021.

The meeting was adjourned at 4:06 p.m.

Recorder: Sandra Lomelí