



REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: **Thursday, January 13, 2022**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Budget and Finance Committee	Mine Hagen
	C.	Consent Calendar*	John "Chip" Wright
		1. Approval of Board of Directors' Minutes for November 4, 2021** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, October 2021** b. Approval of Monthly Sufficiency of Allocation Report, November 2021** c. Approval of Budget Amendment C-2, Fiscal Year 2021-22 d. Annual Sufficiency of Allocation Report, Fiscal Year 2021-22** e. Approval of Budget Amendment	
	D.	Community Forum for Agenda Items Only***	John "Chip" Wright
	E.	Executive Director's Report	Larry Landauer
		1. Recognition of Persons' Served Employment Longevity 2. Annual Sufficiency of Allocation Report (SOAR) for Fiscal Year 2021-22 3. Operations Report – October 2021** 4. Operations Report – November 2021** 5. Performance Contract Report – October 2021** 6. Performance Contract Report – November 2021** 7. Employment Update 8. Housing Update	Marta Vasquez Arturo Cazares Jack Stanton
	F.	Executive Committee	John "Chip" Wright
	G.	Board Recruitment and Training Committee**	John "Chip" Wright
	H.	Policies and Outcomes Committee	Cliff Amsden
	I.	Vendor Advisory Committee**	Tiffany Bauer
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	Community Forum***	John "Chip" Wright
	N.	Chairperson's Report	John "Chip" Wright
IV.	Adjournment		John "Chip" Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
November 4, 2021
Videoconference Minutes**

Board Members Present: John "Chip" Wright, Chairperson
Marcell Bassett
Tiffany Bauer
Sylvia Delgado
Frances Hernandez
Amy Jessee
Sandy Martin
Chinh Nguyen
Fernando Peña

Board Members Absent: Cliff Amsden
Mine Hagen
Meena Chockalingam
Liza Krassner

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 5:35 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Ms. Sylvia Delgado led attendees in a recitation of the Pledge of Allegiance.
Mr. Marcell Bassett read RCOC's Mission and Vision Statement.

B. Budget and Finance Committee

In Ms. Mine Hagen's absence, Mr. Wright reported that the Committee met and discussed the vendor rate increases effective April 1, 2022. Mr. Wright stated that the Committee recommended for approval all of its items on the consent calendar.

C. Consent Calendar

1. Approval of Board of Directors' Minutes for September 2, 2021

Mr. Landauer reported a correction on the Board of Directors' minutes for September 2, 2021. Under the Chairperson's Report, the date on for the next Board of Directors' meeting should have been November 4, 2021 and not

September 2, 2021. Mr. Landauer asked the Board to approve the Consent Calendar with this change.

2. Budget and Finance Committee

- a. Approval of Monthly Sufficiency of Allocation Report, August 2021
- b. Approval of Monthly Sufficiency of Allocation Report, September 2021
- c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 1, 2022

M/S/C to approve the consent calendar, as presented

D. Community Forum for Agenda Items Only

Mr. David Lester, General Counsel to the Board of Directors, stated that the agenda includes two Community Forums. The first Community Forum is to ask questions or provide comments related to action items. The second Community Forum will be at the end of the meeting. This will ensure that the Board has time to address the action items on the agenda before other items are presented and discussed.

There were no speakers for community forum.

E. Executive Director's Report

1. Leadership Survey

Dr. Audri Gomez from Chapman University's Thompson Policy Institute presented the 2021 Executive Director Leadership Survey results.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that Assembly Bill 136 codifies all the changes needed in developmental services to implement the budget for Fiscal Year 2021-22. Some of the highlights include, Group Homes for Children with Special Health Care Needs (GHCSHNs).
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that as of September 28, 2021, California's public health order mandates full vaccination for specified workers by November 30, 2021. The order does not include a mandate for the booster shot, although it is now available and recommended.
- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to explore ways to strengthen community services.

RCOC Board of Directors' Meeting Minutes
November 4, 2021

- *Purchase of Service (POS) Expenditures for Fiscal Year 2020-21.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings. Additionally, about 81% of the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format.
- *Employment.* Mr. Cazares, RCOC's Associate Director of Employment, reported that he hopes the increase in incentives for Competitive Integrated Employment (CIE) and the Paid Internship Program (PIP) programs will improve the staffing problems.

Mr. Cazares also reported that a group of community stakeholders who were involved in an event for National Disability Awareness Month focused on the Project SEARCH Program. A video of this event, which includes RCOC's Project SEARCH Program at Children's Hospital of Orange County (CHOC), is available on RCOC's website at www.rcocdd.com.

- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that he is working with California's Section 811 Project Rental Assistance Demonstration Program and a housing complex in Costa Mesa that has 24 units available for persons served.
- *Legislative and Community Awareness.* Mr. Landauer reviewed media highlights that included an interview with Mr. Landauer about RCOC's services on the *Ability Beast Talk* podcast on October 24, 2021.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the current *Healthy Life, Happy Life* (HLHL) Program will end in December 2021. The curriculum focuses on the management and prevention of chronic health conditions. The next HLHL Program will commence in January 2022.
- *RCOC News.* Mr. Landauer presented data from RCOC's Service Provider Hiring Challenges Survey. The data is available to view on RCOC's website at www.rcocdd.com.
- *Performance Contract 2022.* Mr. Landauer reported that RCOC held its 2022 Performance Contract public meeting virtually on August 18, 2021. The meeting had over 53 attendees, including three Board members. Additionally, a stakeholder meeting was held on September 1, 2021; four individuals registered for the virtual meeting however, no one attended. The Performance Contract was also presented at the Board of Directors' meeting on September 2, 2021, with no additional comments from the public.

Mr. Stanton and Mr. Cazares reviewed the outcomes for the Calendar Year 2021 Performance Contract and provided an opportunity for community comments and questions.

- *Self-Determination Program (SDP).* Mr. Landauer reported that a SDP Local Advisory Committee meeting was held virtually on September 27, 2021 at 6:30 p.m.
- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the next ARCA Academy training webinar, which will focus on *Services for School-Age Individuals*, will be on November 9, 2021. The trainings are available to all regional center Board members.
- *Wish Tree Program.* Mr. Landauer reported that Wish Tree Program cards are still available for sponsorship.

Mr. Landauer presented the following agenda item for approval:

2. Approval of Calendar Year 2022 Performance Contract

M/S/C to approve the Calendar Year 2022 Performance Contract, as presented

F. Executive Committee

Mr. Wright presented the following agenda item for approval:

1. Approval of Revision to the 2021-22 Meeting Schedule – Moving the Biennial Board Retreat from February 2022 to July 2022

M/S/C to approve the Revision to the 2021-22 Meeting Schedule – Moving the Biennial Board Retreat from February 2022 to July 2022, as presented

Mr. Wright reported that the next Committee meeting is scheduled for November 15, 2021.

G. Board Recruitment and Training

Mr. Wright reported that the Committee is finalizing its Board Training report, which is due to the Department of Developmental Services on December 15, 2021. The next Committee meeting is scheduled for November 8, 2021.

H. Policies and Outcomes Committee

In Mr. Cliff Amsden's absence, Ms. Sandy Martin reported that the Committee met on October 18, 2021, and reviewed the Conflict of Interest Policy, the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve, and Policies on the Board-Executive

Relationship. The Committee did not propose any changes to the Policies on the Board-Executive Relationship.

Ms. Martin presented revisions to the Conflict of Interest Policy and Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve, as recommended by the Committee.

1. Approval of Revisions to the Conflict of Interest Policy

M/S/C to approve revisions to the Conflict of Interest Policy, as recommended

2. Approval of Revisions to Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve

M/S/C to approve revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve, as recommended

The next Committee meeting is scheduled for February 22, 2022.

I. Vendor Advisory Committee

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on October 12, 2021, and discussed concerns about staffing shortages due to the low rates for vendors.

Ms. Bauer also presented the following agenda item for approval:

1. Approval of Vendor Advisory Committee (VAC) Member, Junie Lazo-Pearson, as VAC Co-Chair for a Term Commencing November 4, 2021 and Ending June 30, 2022

M/S/C to approve Vendor Advisory Committee (VAC) Member, Junie Lazo-Pearson, as VAC Co-Chair for a Term Commencing November 4, 2021 and Ending June 30, 2022

The next Committee meeting is scheduled for November 9, 2021.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee met on September 15, 2021.

Ms. Keli Radford, RCOC's Director of Support and Services, gave a presentation on the Self-Determination Program. Ms. Delgado added that the Committee did not meet in October 2021.

The next Peer Advisory Committee meeting is scheduled for November 17, 2021.

K. Legislative and Community Awareness Report

Ms. Liza Krassner reported that the next Committee meeting is scheduled for January 11, 2022.

L. ARCA Report

Ms. Krassner reported that the ARCA Board Delegates met on October 19, 2021. Representatives from the Department of Developmental Services attended to answer any questions from Board Delegates.

Ms. Krassner also reported that she is participating in a new ARCA project designed to provide webinars for the public about regional center services. The inaugural topic for the first webinar will focus on employment for persons served. More information will be provided once the training date has been confirmed.

The next ARCA Board Delegates meeting is scheduled for February 2022.

M. National Core Indicators (NCI) Data Presentation

Mr. Jerrod Bonner, RCOC's Information Technology Director, presented the NCI Child and Family Survey results for fiscal year 2018-2019.

N. Community Forum

Ms. Laurie Hall commented that she would like assistance with the application process for vendorization.

Mr. Robert Olea commented on Orange County Transportation Authority's (OCTA's) staff shortages, which have caused delays for persons served.

Ms. Evelyn Rodriguez asked when RCOC will hold a public meeting to review its policies on recreational services, which is due to the Department of Developmental Services on December 15, 2021. Ms. Rodriguez also commented that she would like RCOC to send email reminders to the public for all Self-Determination Program Local Advisory Committee meetings.

The Board of Directors read comments posted by the public in the videoconference questions and answers queue regarding recreational services, the Self-Determination Program (SDP) and services that RCOC is not providing to persons served and families.

Mr. Ilya Tseglin commented that he would like to receive a copy of tonight's presentations. Mr. Tseglin stated that he feels that many families and clients are not satisfied with RCOC services and leadership.

O. Chairperson's Report

Mr. Wright thanked Mr. Landauer and staff for their work on tonight's presentations and throughout the year.

II. Adjournment

Mr. Wright adjourned the meeting at 7:34 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
November 4, 2021**

Committee Members Present: John “Chip” Wright
Fernando Peña
Amy Jesse
Sandy Martin
Marcell Bassett

Committee Members Absent: Mine Hagen, Chair
Cliff Amsden

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Liliana Castillo, Accounting Manager – POS
Arturo Cazares, Associate Director of Employment
Nancy Franco, Accounting Manager - OPS
Raudel Perez, SPA Manager
Linda Pham, Fiscal Coordinator (*joined at 4:03 p.m.*)
Christina Petteruto, General Counsel
Marta Vasquez, Associate Finance Director
Stacy Wong, Human Resources Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, August and September 2021

Ms. Marta Vasquez reported that RCOC received the planning allocation for fiscal year 2021-22, and will submit the Sufficiency of Allocation Report (SOAR) to the Department of Developmental Services (DDS) on December 10, 2021.

Ms. Vasquez also reported that some vendors will receive rate increases due to the minimum wage increase effective January 1, 2022. Most vendors will also receive a rate increase based on the DDS Vendor Rate Study effective April 1, 2022.

2. Approval of CalPERS Requirements for a Publicly available Pay Schedule Effective January 1, 2022

Ms. Bette Baber reported that the salary schedule reflects a 7.14% increase to the minimum hourly rate for the salary ranges of Peer Advocate and Service Coordinator. These increases are due to the 7.14% increase in the California minimum wage from \$14 to \$15 per hour effective January 1, 2022, which increases the minimum exempt salary

RCOC Budget and Finance Committee Minutes
November 4, 2021

from \$58,240 to \$62,400. RCOC is also increasing the maximum rate for Service Coordinators by 7.14%. In addition, RCOC is increasing the other salary ranges, with the exception of certain clinical specialists, to remain competitive with other Southern California regional centers.

Two titles were added to the schedule: Emergency Coordinator and HR Manager.

3. Other

The committee discussed caseload growth and provisional eligibility.

The meeting adjourned at 4:46 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 13, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, October 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 6, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – October 2021 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted its first Sufficiency of Allocation Report (SOAR) for fiscal year 2021-22 to the Department of Developmental Services (DDS) on December 10, 2021. RCOC is projecting a surplus of \$42.5 million. RCOC's surplus will be \$51.6 million if all \$9 million of the State Plan Amendment receivables are paid.

As reported last month, RCOC's allocation of \$528.5 million is significantly higher than the \$459 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume soon and that we will see expenditure growth in day programs and transportation. Some vendors will receive an increase due to the minimum wage increase effective January 1, 2022. Most vendor rates will increase effective April 1, 2022. The cost of these rate increases is not included in the SOAR. DDS has engaged Burns & Associates (the firm that did the rate study in 2019) to calculate the new rates. The new rates will be effective in four increments as follows:

- April 1, 2022, vendors will receive 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2023, vendors will receive an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 40% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 10% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022, based on a quality payment. It is not known at this time how vendors will qualify for the quality payment.

RCOC's caseload increased by 574 persons for an annualized caseload growth 6.1%; the regional center system increased by 9,724 persons for an annualized caseload growth of 6.5%. For the same period last fiscal year, RCOC's caseload increased by 82 persons and the regional center system increased by 1,968 persons.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of October 31, 2021**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	C-2	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
		YEAR TO DATE	AT "RUN RATE"	(12/10/2021)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1) Licensed Residential Care	\$169,876,048	\$49,745,603	\$149,236,809	\$151,931,102	11%	\$17,944,946	\$0	\$149,732,139
(2) Day Care	2,554,882	447,878	1,343,634	1,705,571	33%	849,311	0	2,081,139
(3) Day Training	65,364,852	18,827,142	56,481,426	63,760,162	2%	1,604,690	0	57,877,637
(4) Habilitation	8,003,689	2,670,924	8,012,772	7,920,237	1%	83,452	0	8,003,689
(5) Transportation	13,516,053	1,714,324	5,142,972	7,328,135	46%	6,187,918	0	6,226,465
(6) Respite	48,094,897	13,204,196	39,612,588	46,635,697	3%	1,459,200	0	42,094,897
(7) Supported Living	49,654,963	15,206,920	45,620,760	45,461,742	8%	4,193,221	0	45,596,214
(8) Non-medical	17,107,255	5,369,560	16,108,680	16,319,924	5%	787,331	0	14,915,733
(9) Medical	7,054,334	1,830,564	5,491,692	6,097,923	14%	956,411	0	6,056,899
(10) Other	111,256,984	32,514,641	97,543,923	105,409,108	5%	5,847,876	0	96,534,754
(11) Early Start (Age 0-3)	35,972,568	10,526,745	31,580,235	33,362,282	7%	2,610,286	0	23,960,195
(12) Community Placement Plan	548,728	0		548,728	0%	0	0	33,456
(13) Purchase of Service Total	529,005,254	152,058,497	456,175,491	486,480,611	8%	42,524,643	0	453,113,217
OPERATIONS						\$51,564,484	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	8,750,000	2,788,338	8,365,015	8,750,000	0%	0	0	5,988,657
(15) Less Interest Income and SPA Fees	-150,000	-44,694	-134,083	-150,000	0%	0	0	-154,602
(16) Operating Expense (Net)	8,600,000	2,743,644	8,230,932	8,600,000	0%	0	0	5,834,055
(17) Personal Services	45,265,041	12,204,582	36,613,747	45,265,041	0%	0	0	37,167,592
(18) Family Resource Center/Services	269,299	6,692	20,076	269,299	0%	0	0	154,739
(19) Operations Total	54,134,340	14,954,919	44,864,756	54,134,340	0%	0	0	43,156,386
(20) Total	\$583,139,594	\$167,013,416	\$501,040,247	\$540,614,951	0%	\$42,524,643	\$0	\$496,269,603

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF OCTOBER 31, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	29,047,147.87	376,643.71
Savings	12,119.37	
Money market	0.00	
Payroll	276,161.56	
Donations	178,720.65	
Unemployment	517,905.18	
Certificate of deposit	0.00	
	-----	-----
Total current assets	30,032,354.63	376,643.71
	-----	-----
RECEIVABLES		
State claim	80,573,239.02	
Client support revenue	108,237.71	36,057.85
Due from State - prior years	16,526,301.12	
Due from ICF - ICF Supplemental Services	9,522,139.19	
	-----	-----
Total receivables	106,729,917.04	36,057.85
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$137,492,380.86	\$412,701.56
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$23,867,260.16	\$108,237.71
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	112,875,972.30	
Unemployment insurance	517,905.18	
	-----	-----
Total liabilities	137,313,660.21	108,237.71
	-----	-----
FUND BALANCES		
General		
Donations	178,720.65	
Custodial		304,463.85
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$137,492,380.86	\$412,701.56
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
OCTOBER 31, 2021

Beginning Balance		\$175,257.65
Donations	\$0.00	
Loan Payments	3,463.00	
Interest	0.00	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>3,463.00</u>
Ending Balance		<u><u>\$178,720.65</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 13, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, November 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 6, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – November 2021 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted its first Sufficiency of Allocation Report (SOAR) for fiscal year 2021-22 to the Department of Developmental Services (DDS) on December 10, 2021. RCOC is projecting a surplus of \$42.5 million. RCOC's surplus will be \$51.6 million if all \$9 million of the State Plan Amendment receivables are paid.

As reported last month, RCOC's allocation of \$528.5 million is significantly higher than the \$459 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume soon and that we will see expenditure growth in day programs and transportation. Some vendors will receive an increase due to the minimum wage increase effective January 1, 2022. Most vendor rates will increase effective April 1, 2022. The cost of these rate increases is not included in the SOAR. DDS has engaged Burns & Associates (the firm that did the rate study in 2019) to calculate the new rates. The new rates will be effective in four increments as follows:

- April 1, 2022, vendors will receive 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2023, vendors will receive an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 40% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 10% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022, based on a quality payment. It is not known at this time how vendors will qualify for the quality payment.

RCOC's caseload increased by 574 persons for an annualized caseload growth 6.1%; the regional center system increased by 9,724 persons for an annualized caseload growth of 6.5%. For the same period last fiscal year, RCOC's caseload increased by 82 persons and the regional center system increased by 1,968 persons.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of November 30, 2021

		A	B	C	D	E	F	G	H
					SOAR	VARIANCE			
		C-2	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
		ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
PURCHASE OF SERVICE			YEAR TO DATE	AT "RUN RATE"	(12/10/2021)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1)	Licensed Residential Care	\$169,876,048	\$61,450,594	\$149,086,780	\$151,931,102	11%	\$17,944,946	\$0	\$149,732,139
(2)	Day Care	2,554,882	518,956	1,339,053	1,705,571	33%	849,311	0	2,081,139
(3)	Day Training	65,364,852	22,768,504	56,537,489	63,760,162	2%	1,604,690	0	57,877,637
(4)	Habilitation	8,003,689	3,229,957	7,996,507	7,920,237	1%	83,452	0	8,003,689
(5)	Transportation	13,516,053	2,100,094	5,132,731	7,328,135	46%	6,187,918	0	6,226,465
(6)	Respite	48,094,897	14,559,579	39,247,335	46,635,697	3%	1,459,200	0	42,094,897
(7)	Supported Living	49,654,963	18,526,313	45,619,726	45,461,742	8%	4,193,221	0	45,596,214
(8)	Non-medical	17,107,255	6,410,244	16,128,885	16,319,924	5%	787,331	0	14,915,733
(9)	Medical	7,054,334	2,330,416	5,649,654	6,097,923	14%	956,411	0	6,056,899
(10)	Other	111,256,984	39,054,540	97,654,518	105,409,108	5%	5,847,876	0	96,534,754
(11)	Early Start (Age 0-3)	35,972,568	12,769,953	31,494,094	33,362,282	7%	2,610,286	0	23,960,195
(12)	Community Placement Plan	548,728	852	2,556	548,728	0%	0	0	33,456
(13)	Purchase of Service Total	529,005,254	183,720,002	455,889,329	486,480,611	8%	42,524,643	0	453,113,217
OPERATIONS							\$51,564,484	* If all SPA receivables are paid.	
(14)	Operating Expense (Gross)	8,750,000	3,258,552	7,820,524	8,750,000	0%	0	0	5,988,657
(15)	Less Interest Income and SPA Fees	-150,000	-56,619	-135,886	-150,000	0%	0	0	-154,602
(16)	Operating Expense (Net)	8,600,000	3,201,932	7,684,638	8,600,000	0%	0	0	5,834,055
(17)	Personal Services	45,265,041	15,167,689	36,402,452	45,265,041	0%	0	0	37,167,592
(18)	Family Resource Center/Services	269,299	9,861	23,666	269,299	0%	0	0	154,739
(19)	Operations Total	54,134,340	18,379,482	44,110,756	54,134,340	0%	0	0	43,156,386
(20)	Total	\$583,139,594	\$202,099,484	\$500,000,085	\$540,614,951	0%	\$42,524,643	\$0	\$496,269,603

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF NOVEMBER 30, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	25,645,086.51	351,719.92
Savings	58,340.66	
Money market	0.00	
Payroll	279,298.89	
Donations	179,950.65	
Unemployment	489,390.60	
Certificate of deposit	0.00	
	-----	-----
Total current assets	26,652,367.31	351,719.92
	-----	-----
RECEIVABLES		
State claim	82,125,667.63	
Client support revenue	142,703.44	35,156.28
Due from State - prior years	18,561,572.87	
Due from ICF - ICF Supplemental Services	10,009,602.01	
	-----	-----
Total receivables	110,839,545.95	35,156.28
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$138,226,022.45	\$386,876.20
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$24,628,186.33	\$142,703.44
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	112,875,972.30	
Unemployment insurance	489,390.60	
	-----	-----
Total liabilities	138,046,071.80	142,703.44
	-----	-----
FUND BALANCES		
General		
Donations	179,950.65	
Custodial		244,172.76
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$138,226,022.45	\$386,876.20
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
NOVEMBER 30, 2021

Beginning Balance		\$178,720.65
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Donations:

Harris, Susan & Gardner	\$75.00
Heidari, Mansour & Arefeh	1,000.00
Shloub, Kimberly	50.00
Stipe, Barbara	<u>100.00</u>

Subtotal Donations	\$1,225.00
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Loan Payments	5.00
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Interest	0.00
----------	------

Disbursements	<u>0.00</u>
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Net Increase (Decrease)	<u>1,230.00</u>
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Ending Balance	<u><u>179,950.65</u></u>
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: January 13, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment C-2, Fiscal Year 2021-22**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has allocated additional funds for Operations and the Purchase of Services Community Placement Program (CPP).

FISCAL IMPACT:

Operations	\$816,586
Purchase of Services for CPP	\$448,728
Total	\$1,265,314

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION _____
ACTION/CONSENT _____
DISCUSSION X _____
INFO ONLY _____

DATE: January 13, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2021-22**

BACKGROUND:

In accordance with the contract between regional centers and the Department of Developmental Services (Article III, Section 2, paragraph e.), RCOC is required to report on the sufficiency of the Purchase of Service (POS) allocation for fiscal year 2021-22.

REASON FOR CURRENT ITEM:

To provide additional information on the analysis of the sufficiency of the Purchase of Service (POS) allocation.

FISCAL IMPACT:

None

RECOMMENDATION:

For discussion.

Enter Number of Months Claimed 4 for Dec 10th report, once 12 is reached leave at 12)	4
Date of POS Payments Cut-Off:	October 31, 2021

REPORT DATE: December 10, 2021

REGIONAL CENTER OF ORANGE COUNTY
POS EXPENDITURE PROJECTION (PEP) SUMMARY
Fiscal Year 2021-2022
Actual Expenditures through October
NON-CPP EXPENDITURES (Regular POS Monthly Claims)

	CURRENT MONTH	Enter Prior Month	CHANGES
	High Estimate	High Estimate	High Estimate
Estimated Cost of Current Services	\$471,292,534	\$0	471,292,534
Estimated Growth	\$6,768,534	\$0	6,768,534
Enter Other Items as necessary, which are not part of the YTD or estimated expenditures which may include but are not limited to:			
1. Deduct allocation for HCBSW Compliance	\$0		0
2. Deduct estimated receipts from ICFs for SPA services.	-9,039,841		(9,039,841)
3. One time adjustment of base	0		0
4. Adjustment for SSI CCF Rate	-192,377		0
5. SSI/SSP Restoration (Not Yet Paid)	0		0
6. Supplemental Rate Increase	0		0
7. State of Emergency (SOE) / COVID	0		0
8. FEEMA, CARES	0		0
9. Minimum wage increases effective 1/1/2022, DDS sets rate	4,483,192		4,483,192
10 Minimum wage increases effective 1/1/2022, RC sets rate	3,580,000		0
TOTAL ESTIMATED EXPENDITURES	\$476,892,042	\$0	\$473,504,419

REGIONAL CENTER:
FISCAL YEAR:
NO. OF MONTHS CLAIMED:

ical Year 2021-2022
4

REGIONAL CENTER OF ORANGE COUNTY

Number of remaining Months 8

NON-CPP EXPENDITURES

Regional Centers may opt to use a different reasonable methodology to calculate Estimated Growth

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Enter YTD Expenditures	Late Bills	Enter Avg # of Consumers per Month (Status 1 & 2)	# of Mos/Days to End Of Year (EOY)	Rate	Projected Costs for Current Consumers	Estimated Cost of Current Services	Enter Consumer Growth/Mo.	Enter Growth Variance, Enter % of	Estimated Growth
Jul-Oct					Nov-Jun				HIGH

OUT-OF-HOME CARE

Community Care Facilities	\$47,970,763	\$1,445,292	2,379	8	\$5,220	\$99,347,040	\$148,763,095	0.0	0.0%	\$0
ICF/SNF Facilities	\$389,877	\$276,533	8	8	\$13,868	\$887,552	\$1,553,962		0.0%	\$0
Total Out-of-Home Care	\$48,360,640	\$1,721,825				\$100,234,592	\$150,317,057			\$0

DAY PROGRAMS

Day Care	\$394,811	\$256,320	101	8	\$1,305	\$1,054,440	\$1,705,571		0.0%	\$0
Day Training	\$26,545,659	\$1,486,721	6,191	8	\$1,132	\$56,064,760	\$84,097,140		0.0%	\$0
Supported Employment										
950-SEP Group	\$1,863,656	\$0	275	8	\$1,694	\$3,727,312	\$5,590,968		0.0%	\$0
952-SEP Placement	\$696,927	\$79,496	414	8	\$469	\$1,552,846	\$2,329,269		0.0%	\$0
Work Activity Program										
954-WAP	\$0	\$0	0	8		\$0	\$0		0.0%	\$0
Total Day Programs	\$29,501,053	\$1,822,537				\$62,399,358	\$93,722,948	0.0	0.0%	\$0

OTHER SERVICES

Non-Medical: Professional	\$2,052,550	\$146,167	1,135	8	\$501	\$4,549,080	\$6,747,797		0.0%	\$0
Non-Medical: Programs	\$3,086,760	103,949	381	8	2,094	6,381,418	9,572,127		0.0%	0
Home Care: Programs	\$0	0	0	8		0	0		0.0%	0
Transportation	\$1,631,889	2,243,702	1,776	8	243	3,452,544	7,328,135		0.0%	0
Transportation Contracts	\$0	0	0	8					0.0%	0
Prevention	\$900	0	1	8	225	1,800	2,700		0.0%	0
Other-Comm. Activity Services 063	\$11,260,071	0	1,073	8	2,655	22,790,520	34,050,591	25.00	0.0%	2,389,500
Other-SSI/SSP Restoration 065	\$109,698	0	383	8	58	177,712	287,410		0.0%	0
Other-SLS 896	\$14,483,662	0	476	8	8,135	30,978,080	45,461,742		0.0%	0
Other Authorized Services	\$20,004,294	2,837,724	1,525	8	3,745	45,684,036	68,526,054	25.00	0.0%	3,370,134
Personal and Incidentals	\$198,589	0	186	8	220	327,360	525,949		0.0%	0
Hospital Care	\$1,747,660	0	15	8	29,128	3,495,320	5,242,980		0.0%	0
Medical Equipment	\$1,400	0	28	8	13	2,800	4,200		0.0%	0
Medical Service: Professional	\$1,260,502	18,671	948	8	337	2,558,346	3,837,519		0.0%	0
Medical Service: Programs	\$9,488	0	5	8	726	29,040	38,528		0.0%	0
Respite: In Own Home	\$11,347,798	2,910,548	3,486	8	1,121	31,262,448	45,520,794	25.00	0.0%	1,008,900
Respite: Out of Home	\$27,363	0	10	8	983	78,640	106,003		0.0%	0
Camps	\$0	0	0	8					0.0%	0
Total Other Services	\$67,222,624	\$8,260,761				\$151,769,144	\$227,252,529	75.0	0.0%	\$6,768,534

TOTAL POS

	\$145,084,317	\$11,805,123				\$314,403,094	\$471,292,534	75.0	0.0%	\$6,768,534
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REGIONAL CENTER: **ORANGE**
FISCAL YEAR: **2021-22**
NO. OF MONTHS CLAIMED: **4**

DAY PROGRAM EXPENDITURES: NC

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Day Programs^{1/}	1		1		2	2	1				1		8
Number of Consumers	6,191	6,188	5,748	5,119									
POS Cost	\$6,856,315	\$6,997,884	\$6,654,984	\$6,036,476									\$26,545,659
POS Avg Cost	\$1,107.46	\$1,130.88	\$1,157.79	\$1,179.23									
Program Days	21	22	21	21	20	21	20	20	23	21	21	22	253
Avg Daily Cost	\$52.74	\$51.40	\$55.13	\$56.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$52.07	\$53.09	\$53.86									
Supported Employment Program, Group Services- 950^{1/}													
Number of Consumers	275	275	275	275									
POS Cost	\$471,911	\$467,153	\$463,009	\$461,583									\$1,863,656
POS Avg Cost	\$1,716.04	\$1,698.74	\$1,683.67	\$1,678.48									
Program Days	21	22	22	21	20	23	21	20	23	21	21	22	257
Avg Daily Cost	\$81.72	\$77.22	\$76.53	\$79.93	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$79.47	\$78.49	\$78.85									
Supported Employment Program Individual Services- 952^{1/}													
Number of Consumers	414	410	409	281									
POS Cost	\$205,538	\$192,606	\$189,839	\$108,944									\$696,927
POS Avg Cost	\$496.47	\$469.77	\$464.15	\$387.70									
Program Days	21	22	22	21	20	23	21	20	23	21	21	22	257
Avg Daily Cost	\$23.64	\$21.35	\$21.10	\$18.46	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
YTD Avg Cost		\$22.50	\$22.03	\$21.14									
Work Activity Program-954^{2/}													
Number of Consumers													
POS Cost													\$0
POS Avg Cost													
Program Days, Max Billing Days	21	22	22	21	20	23	21	20	23	21	21	22	257

REGIONAL CENTER OF ORANGE COUNTY

Enter Estimated Late Bills

Jul-Oct

Enter the estimated late bills either on this worksheet or directly on the Non-CPP

The data entered on the Late Bills worksheet automatically posts on the Non-CPP and t

NON-CPP

OUT-OF-HOME CARE

Community Care Facilities	\$1,445,292
ICF/SNF Facilities	276,533
Total Out-of-Home Care	\$1,721,825

DAY PROGRAMS

Day Care	256,320
Day Training	1,486,721
Supported Employment	
950-SEP Group	
952-SEP Placement	79,496
Work Activity Program	
954-WAP	
Total Day Programs	\$1,822,537

OTHER SERVICES

Non-Medical: Professional	146,167
Non-Medical: Programs	103,949
Home Care: Programs	
Transportation	2,243,702
Transportation Contracts	
Prevention	
Other-Comm. Activity Services 063	
Other-SSI/SSP Restoration 065	
Other-SLS 896	
Other Services	2,837,724
Personal and Incidentals	
Hospital Care	
Medical Equipment	
Medical Service: Professional	18,671
Medical Service: Programs	
Respite: In Own Home	2,910,548
Respite: Out of Home	
Camps	
Total Other Services	\$8,260,761

TOTAL LATE BILLS	\$11,805,123
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Summary of Information About Persons Served - October 2021

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,394	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,934	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	504
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,695	60%
Epilepsy	2,882	15%
Cerebral Palsy	2,584	13%
Autism	8,584	43%
Fifth Category*	1,668	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	316
Early Start / Under Age Three / 45 days to complete determination	261 83%
Lanterman / Over Age Three / 120 days to complete determination	55 17%

NUMBER OF PERSONS DETERMINED ELIGIBLE	44
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	38
<ul style="list-style-type: none"> Number of children who received Early Start services 	38
<ul style="list-style-type: none"> Number of children who received Early Start services and had a diagnosis of autism 	24
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	6

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	148
Children - Age Three No Longer Eligible for Early Start Services	154
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	2

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

OCTOBER 2021 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36	38		
Unannounced	59	40	38	38		
Total Number of Reviews	92	75	74	76	0	0

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169	131		
Corrective Action Plans	0	0	9	6		
Special Incident Investigations*	26	30	28	24		
COVID-19 Checklist	0	0	0	0		

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							142
Unannounced							175
Total Number of Reviews	0	0	0	0	0	0	317

Provider Trainings	0	0	0	0			0
Technical Support							593
Corrective Action Plans							15
Special Incident Investigations*							108

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

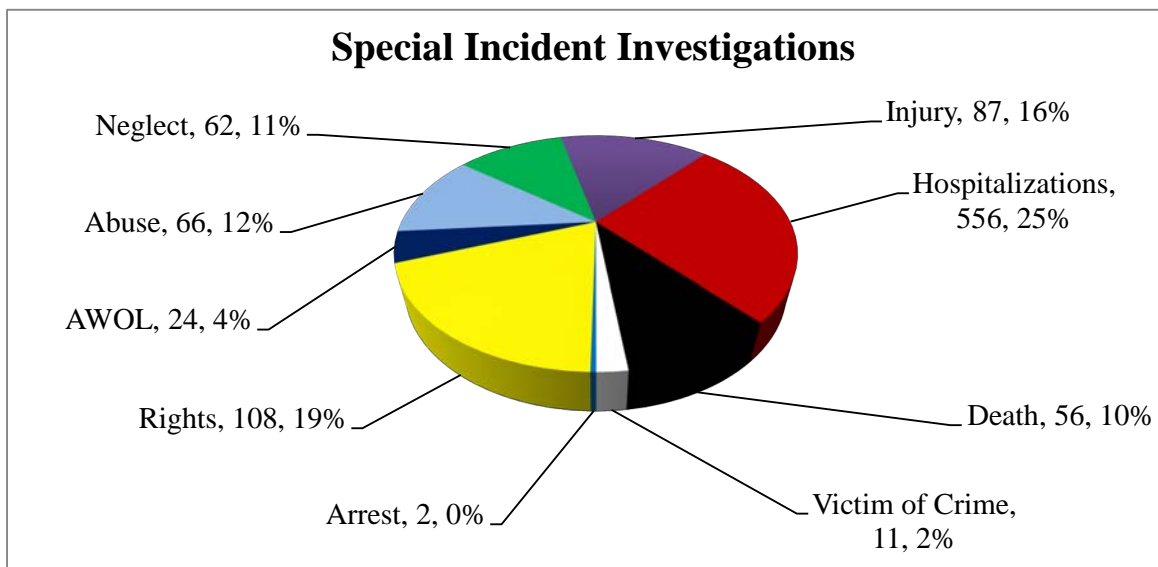
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2021-22

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5	4		
Abuse	14	23	19	10		
Neglect	18	16	15	13		
Injury	14	23	26	24		
Hospitalizations - Total	33	40	43	33		
<i>Psychiatric</i>	11	8	11	4		
<i>Medical</i>	22	32	32	29		
Death	15	18	10	13		
Victim of crime	3	2	1	5		
Arrest	2	0	0	0		
Rights	16	14	14	64		
Total	120	146	133	166	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							24
Abuse							66
Neglect							62
Injury							87
Hospitalizations - Total							149
<i>Psychiatric</i>							34
<i>Medical</i>							115
Death							56
Victim of Crime							11
Arrest							2
Rights							108
Total	0	0	0	0	0	0	565



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2021-22

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0		
Staffing	0	0	0	0		
Level 4I Consultant	0	0	0	0		
P&I (consumer funds)	0	0	0	0		
Total Number of Audits	0	0	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0		
Recovery	0	0	0	0		

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

Amount of Recovery							\$0.00
--------------------	--	--	--	--	--	--	---------------

FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2021-22

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80	79		
Diapers - Family Member	10	10	10	11		
Nursing Service - Family Member	62	62	63	62		
Respite Service - Family Member	603	587	577	591		
Transportation - Family Member	115	129	127	129		
Total Number of Voucher Authorizations	871	869	857	872	0	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2021-22

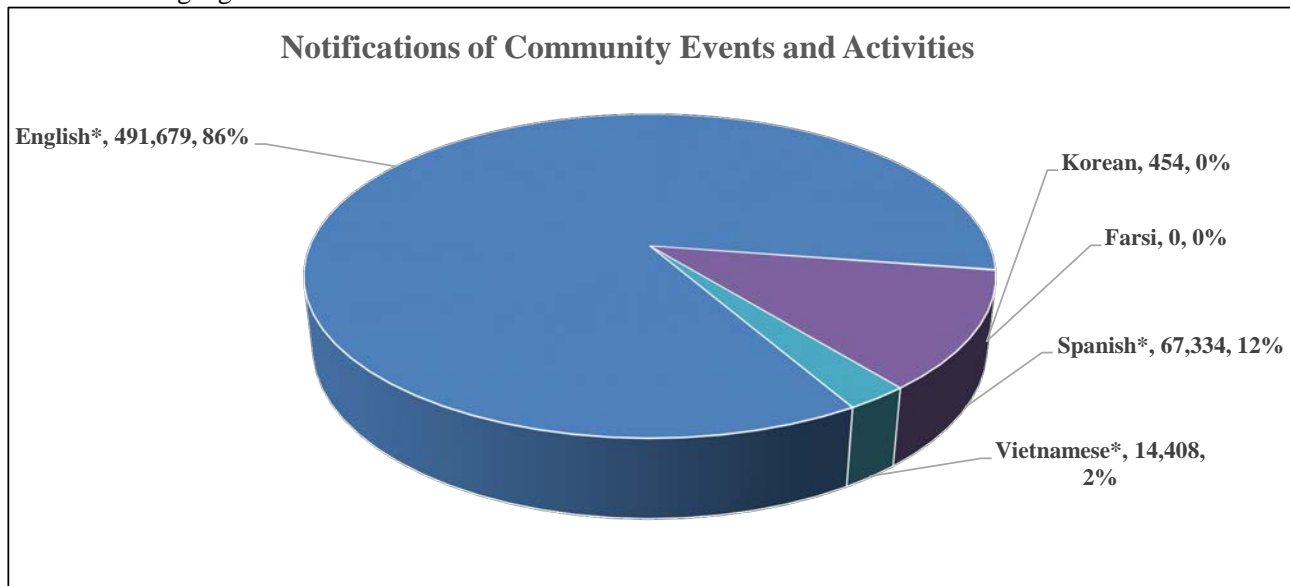
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610	16,667		
Farsi						
Korean		454				
Spanish*	16,214	26,390	22,843	1,887		
Vietnamese*	3,368	6,015	4,729	296		
Total Number of Notifications	201,808	166,035	187,182	18,850	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							491,679
Farsi							0
Korean							454
Spanish*							67,334
Vietnamese*							14,408
Chinese							
Total Number of Notifications	0	0	0	0	0	0	573,875

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2021-22

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English	6	9	10	13		
Spanish	3			2		
Vietnamese	1		4	2		
Other Languages						
In Print						
English				1		
Spanish	1	3	3			
Vietnamese		3				
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	6	4	5		
Other Languages						
Total Number of Outreach Events	15	22	21	23	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							38
Spanish							5
Vietnamese							7
Other Languages							0
In Print							
English							1
Spanish							7
Vietnamese							3
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							19
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	81

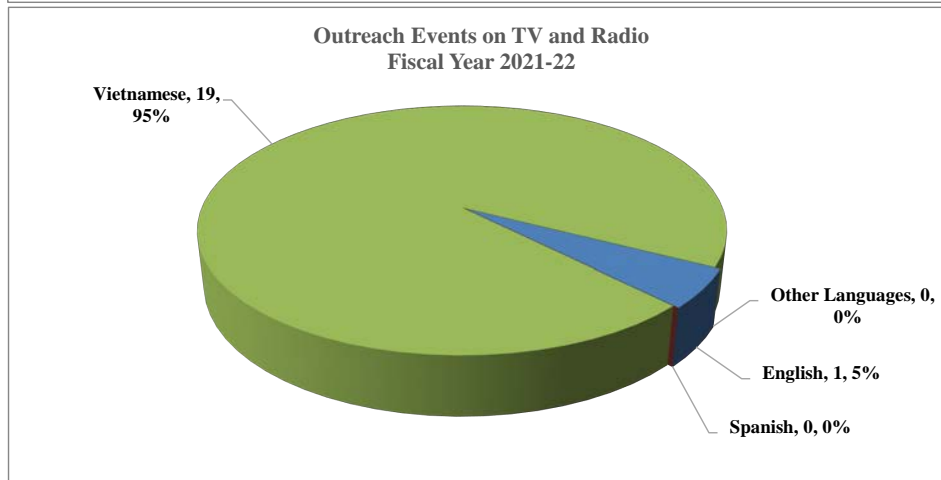
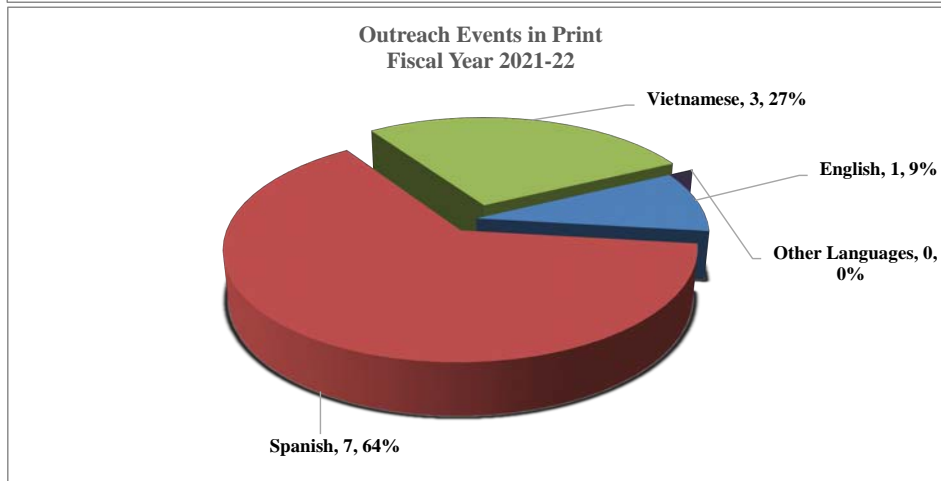
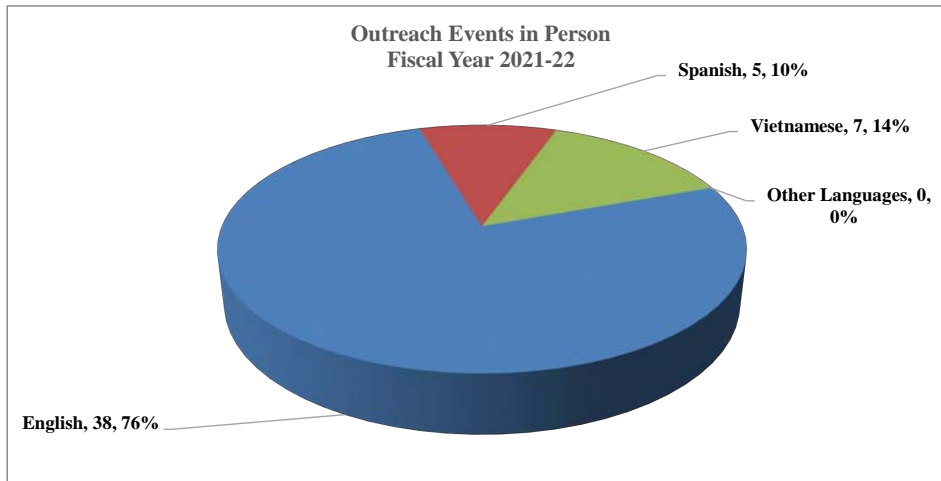
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22



EARLY INTERVENTION / PREVENTION

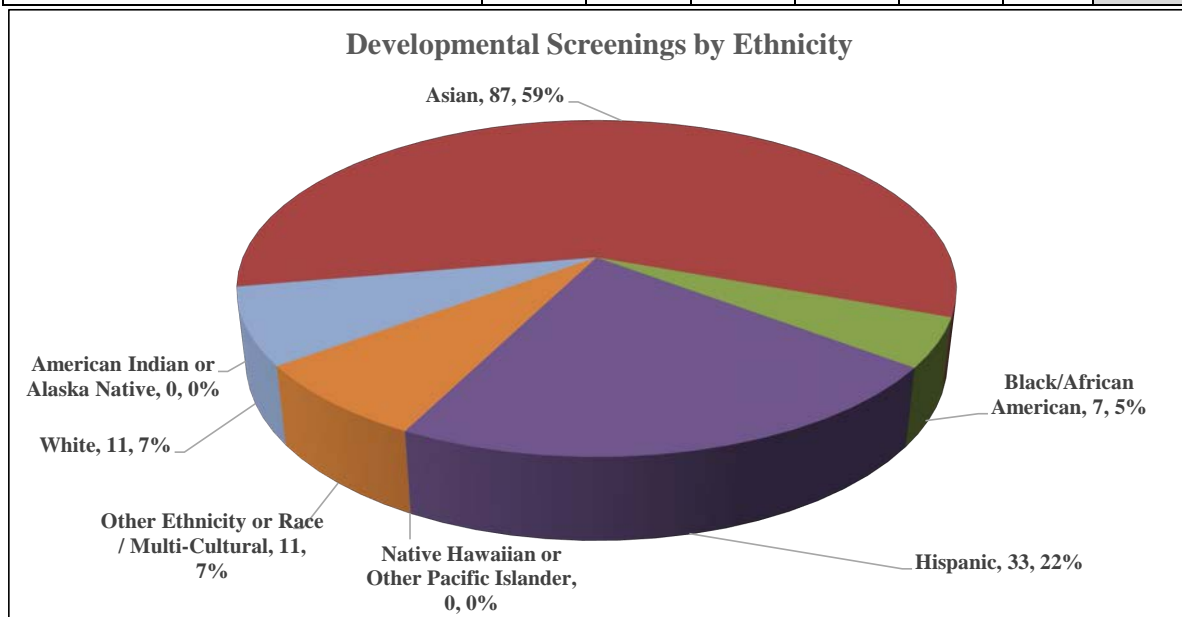
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0		
Asian	0	13	37	37		
Black/African American	0	2	4	1		
Hispanic	0	10	12	11		
Native Hawaiian or Other Pacific Islander	0	0	0	0		
Other Ethnicity or Race / Multi-Cultural	0	1	9	1		
White	0	9	1	1		
Total Number Screened	0	35	63	51	0	0
Total Number Referred to RCOC	0	16	11	7		

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							87
Black/African American							7
Hispanic							33
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							11
White							11
Total Number Screened	0	0	0	0	0	0	149
Total Number Referred to RCOC							34



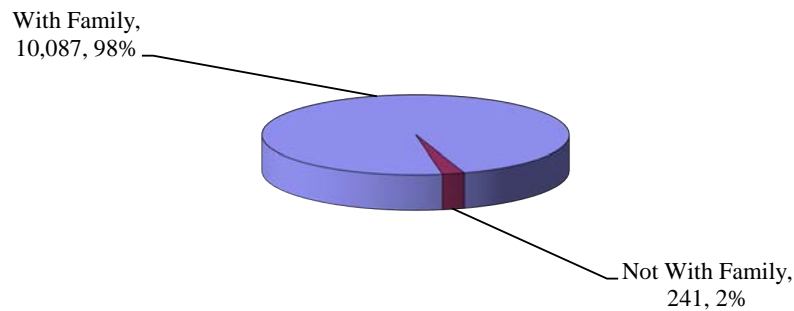
LIVING OPTIONS

Related Guiding Principles

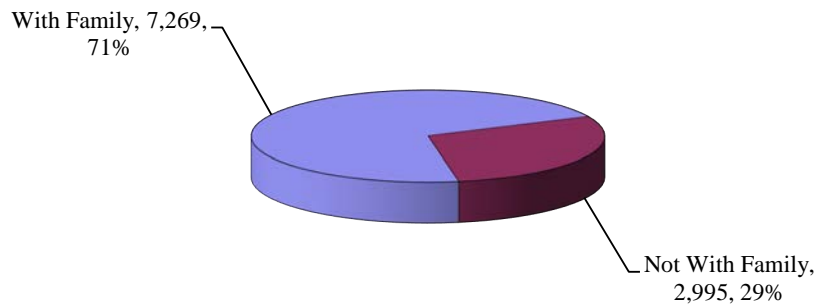
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264

Where Persons Served Under 18 Live



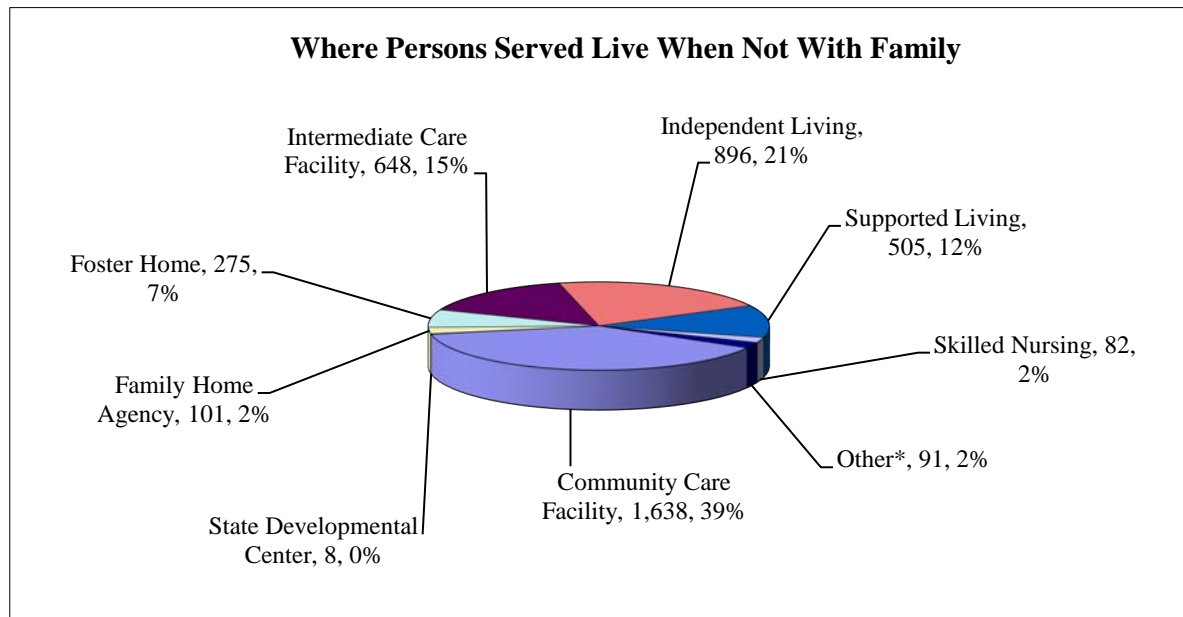
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,027	10,532	8,495
Community Care Facility	1,638	28	1,610
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	275	267	8
Intermediate Care Facility	648	4	644
Independent Living	896	0	896
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	91	24	67
Total	23,271	10,855	12,416

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	4	2	2
Other	0	0	0
Out of State	4	1	4
Psychiatric Treatment	15	1	14
Rehabilitation Center	5	0	5
SDC / State Hospital	0	0	8
Sub-Acute	50	18	32
Transient / Homeless	13	1	12
Total, Other*	96	24	81



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

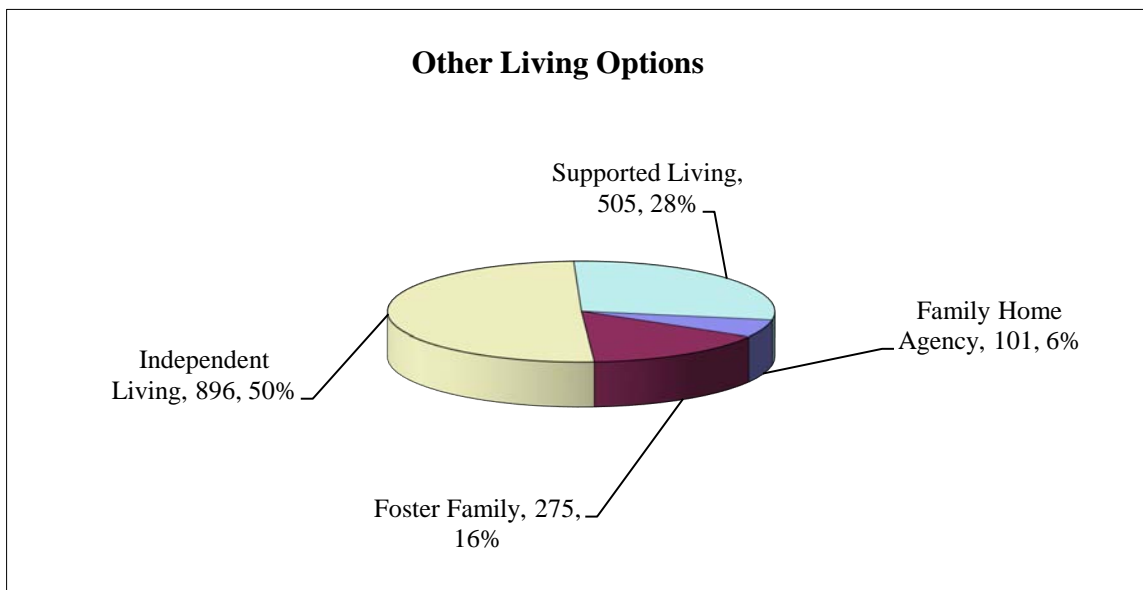
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	101	0	101
Foster Family	275	267	8
Independent Living	896	0	896
Supported Living	505	0	505
Total	1,777	267	1,510



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2021-22*

Licensed Facilities	Total	Over 18	Under 18
Level 2	220	220	0
Level 3	286	286	0
Level 4A	35	35	0
Level 4B	5	5	0
Level 4C	52	52	0
Level 4D	36	36	0
Level 4E	25	25	0
Level 4F	61	61	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	341	338	3
Elderly	1	1	0
ICF/DD-H	1	1	0
ICF/DD-N	6	6	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,104	1,101	3

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	220	220	0
Level 3	286	286	0
Level 4	590	587	3
ICF/DD-H	1	1	0
ICF/DD-N	6	6	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,104	1,101	3

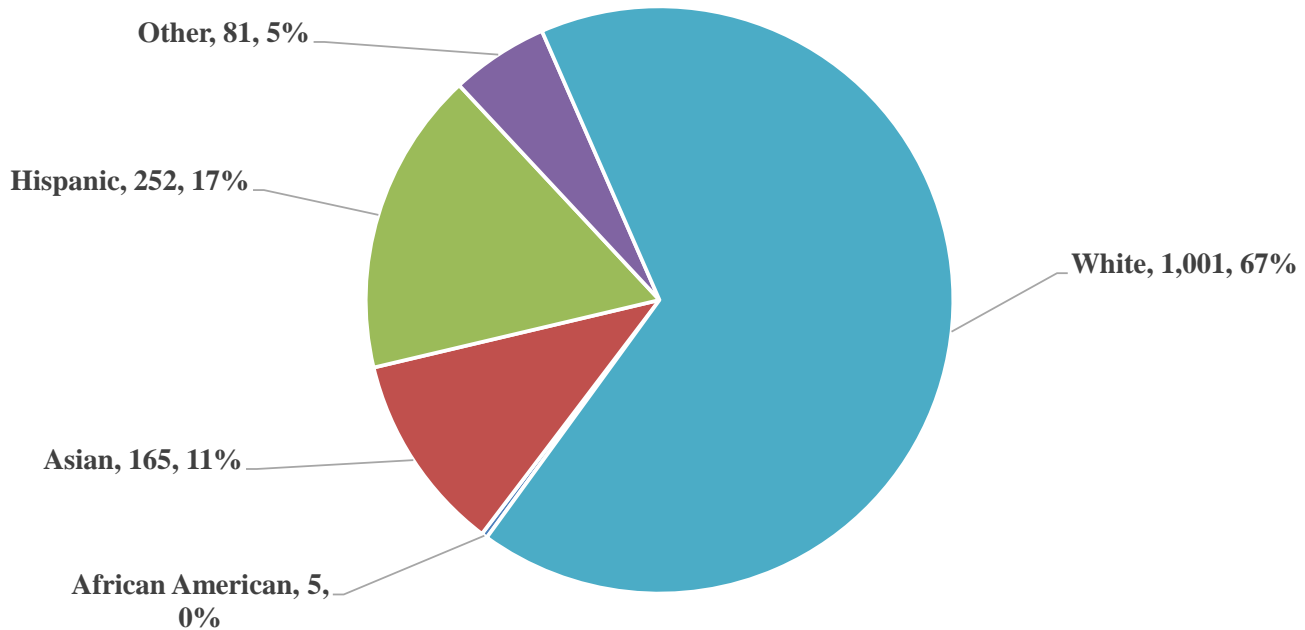
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

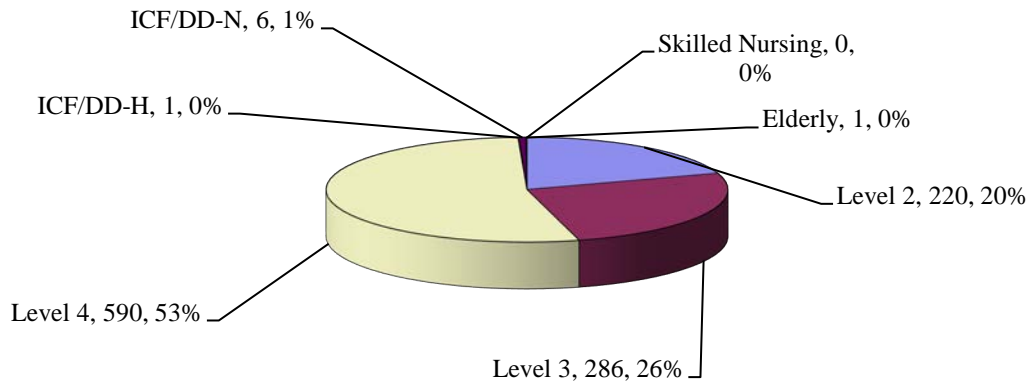
Fiscal Year 2021-22

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	0	28	51	11	237	327
Level 3	1	31	63	19	250	364
Level 4A	1	5	7	2	23	38
Level 4B	0	1	0	0	7	8
Level 4C	0	9	11	1	57	78
Level 4D	1	8	6	2	29	46
Level 4E	0	4	8	3	22	37
Level 4F	0	12	9	2	43	66
Level 4G	0	7	5	2	33	47
Level 4H	0	0	3	1	2	6
Level 4I	2	60	89	38	298	487
Total	5	165	252	81	1,001	1,504

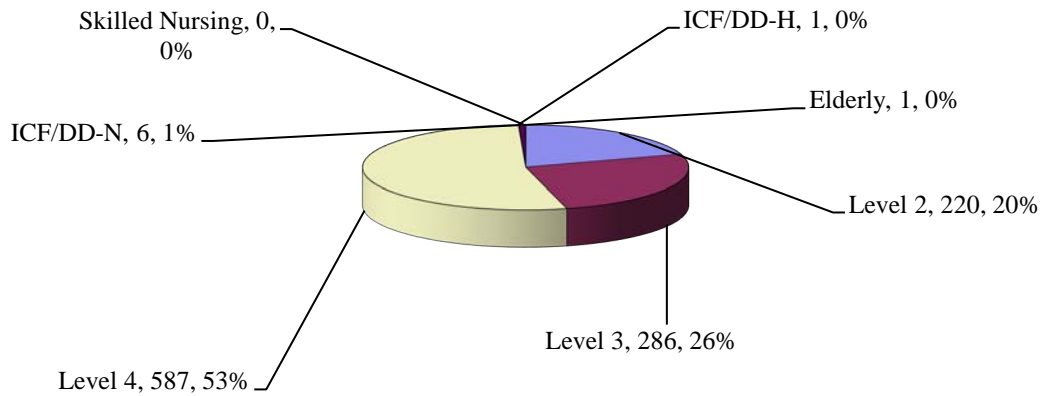
Residents in Community Care Licensed Facilities by Ethnicity



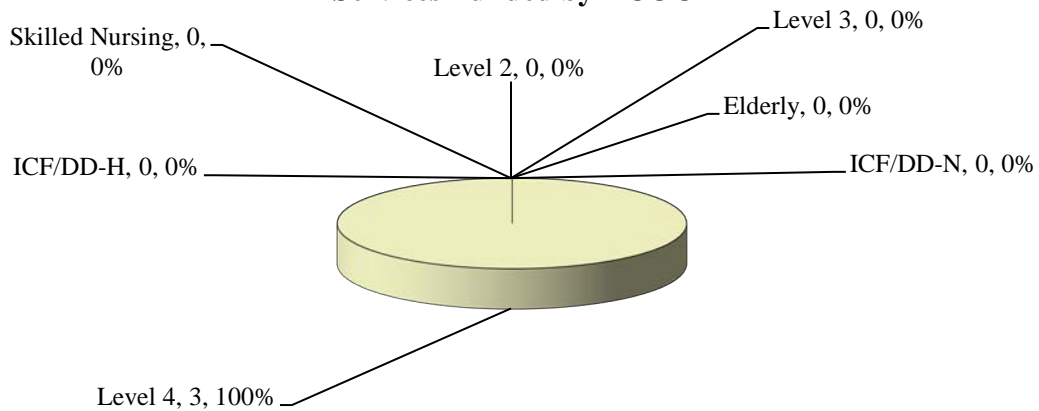
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

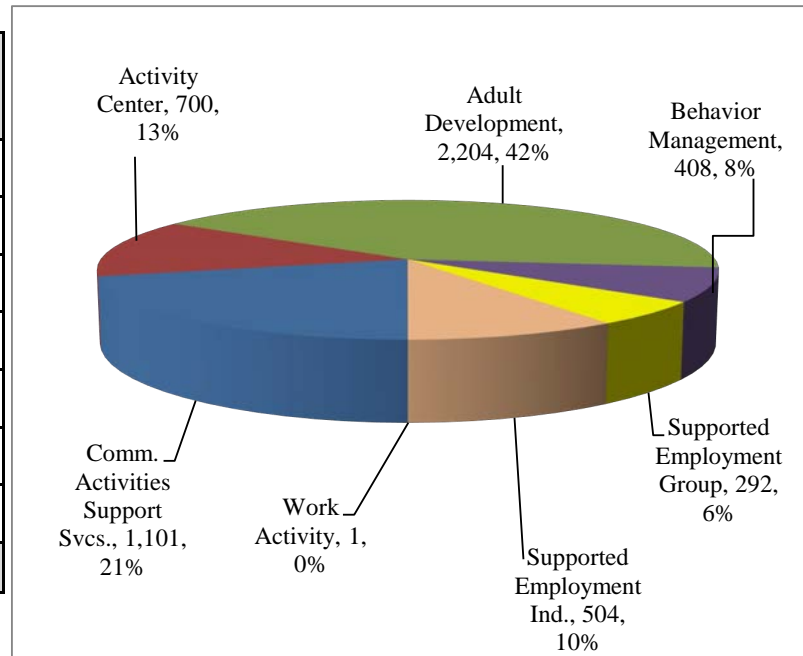


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,101
Activity Center	700
Adult Development	2,204
Behavior Management	408
Supported Employment Group	292
Supported Employment Ind.	504
Work Activity	1
Total	5,210



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2021-22

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5	302.5	299.5	310.4		
Number of Case-Carrying SCs	280.8	275.8	273.9	284.7		
Number of Intake SCs	25.7	25.7	25.7	25.7		
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0		
Number of Active Persons Served	22,943	23,016	23,145	23,251		
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5	81.7		

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	9	6	14								
Eligibility - Lanterman	2	1	1	0								
Behavioral services	5	2	2	2								
Respite	2	2	2	5								
Day Care	0	0	0	0								
ILS/SLS	0	0	0	0								
Personal Assistance	2	1	1	3								
Other**	7	3	0	4								

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	3	2	0	2								
Eligibility - Lanterman	1	0	0	0								
Eligibility - Early Start	0	0	0	0								
Behavioral services	0	0	0	0								
Respite	1	1	0	1								
Day Care	0	0	0	0								
Social/Recreational	0	0	0	0								
Personal Assistance	0	0	0	1								
Other**	1	1	0	0								

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	8	3	2	4								
Number of Informal Meetings Held	4	3	2	3								
Number of Mediations Held	1	0	0	0								
Number of SLFHs Held	3	0	0	1								

Number of Requests in Scheduling*	0	3	4	7								
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	1								
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	10	3	0	2								
Withdrawn by Person Served/Family	5	2	0	0								
Settled in Informal	1	1	0	2								
Settled after further follow-up by RCOC	0	0	0	0								
Settled in Mediation	1	0	0	0								
SLFH Decision	3	0	0	0								

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0								
RCOC	3	0	0	0								
Split	0	0	0	0								

ADMINISTRATION AND GOVERNANCE

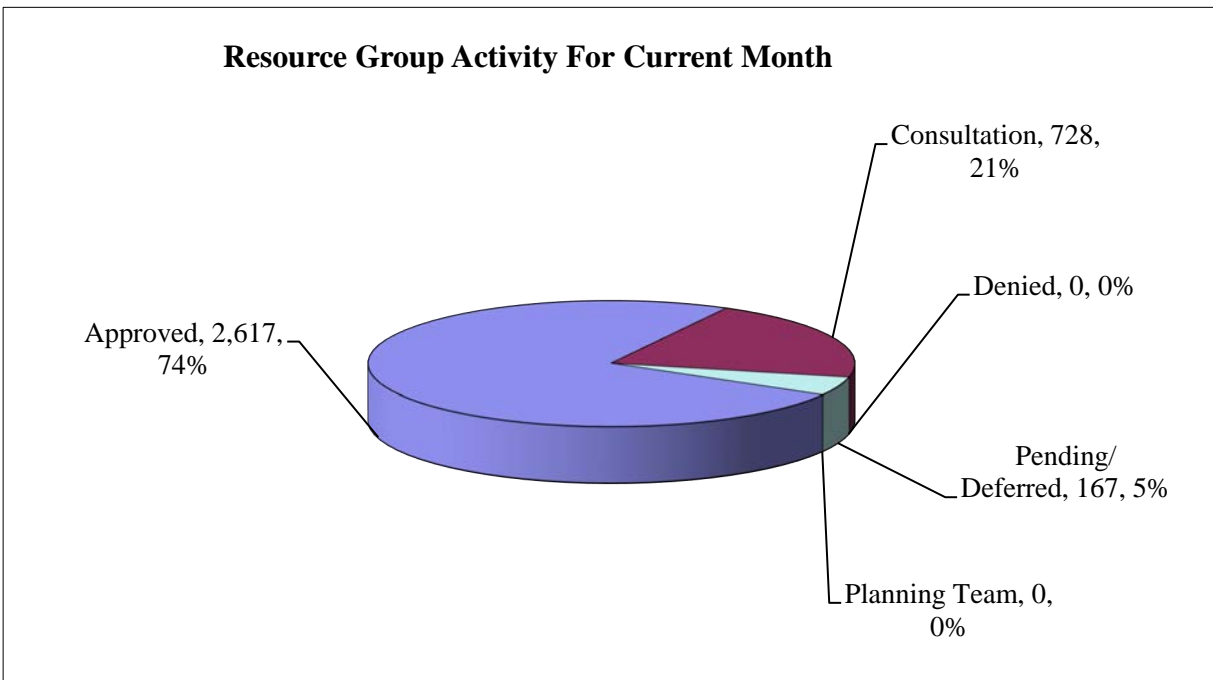
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for October 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	652	247	0	35	0	934
Behavioral	124	67	0	10	0	201
Education	0	3	0	0	0	3
Eligibility/Health	68	0	0	3	0	71
Early Start	613	108	0	46	0	866
Living Options	177	126	0	3	0	306
Supported/Ind.	313	87	0	29	0	429
All Others	670	90	0	41	0	801
Monthly Total	2,617	728	0	167	0	3,611

FY 2020-21 Total to Date	13,201	4,396	0	751	0	18,348
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Operations Report Summary - October 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,394	8,471	8,719	8	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>42%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,027	10,532	8,495
Community Care Facility	1,638	28	1,610
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	275	267	8
Intermediate Care Facility	648	4	644
Independent Living	896	0	896
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	91	24	67
Total	23,271	10,855	12,416

Special Incident Investigations	Year to Date
AWOL	24
Abuse	66
Neglect	62
Injury	87
Hospitalizations - Total	149
Death	56
Victim of crime	11
Arrest	2
Rights	108
Total	565

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	81	0	81
Level 3	80	0	80
Level 4	179	12	167
Total Community Care Facilities	340	12	328

Licensed Facility Monitoring	Year to Date
Annual Review	142
Unannounced	175
Total Number of Reviews	317
Provider Trainings	0
Technical Support	593
Corrective Action Plans	15

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
Total ICF Facilities	123

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	463
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Summary of Information About Persons Served - November 2021

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,422	17%
Children - Ages Three to 17 Receiving Lanterman Services	6,906	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	499
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,673	60%
Epilepsy	2,877	15%
Cerebral Palsy	2,585	13%
Autism	8,615	43%
Fifth Category*	1,676	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	334
Early Start / Under Age Three / 45 days to complete determination	282
Lanterman / Over Age Three / 120 days to complete determination	52

NUMBER OF PERSONS DETERMINED ELIGIBLE	24
Children - Birth to Age Three Eligible for Early Start Services	1
Children and Adults - Ages Three and Older Eligible for Lanterman Services	20
<ul style="list-style-type: none"> Number of children who received Early Start services 	21
<ul style="list-style-type: none"> Number of children who received Early Start services and had a diagnosis of autism 	13
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	3

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	148
Children - Age Three No Longer Eligible for Early Start Services	147
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	3

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

NOVEMBER 2021 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36	38	34	
Unannounced	59	40	38	38	35	
Total Number of Reviews	92	75	74	76	69	0

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169	131	117	
Corrective Action Plans	0	0	9	6	2	
Special Incident Investigations*	26	30	28	24	21	
COVID-19 Checklist	0	0	0	0	0	

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							176
Unannounced							210
Total Number of Reviews	0	0	0	0	0	0	386

Provider Trainings	0	0	0	0			0
Technical Support							710
Corrective Action Plans							17
Special Incident Investigations*							129

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

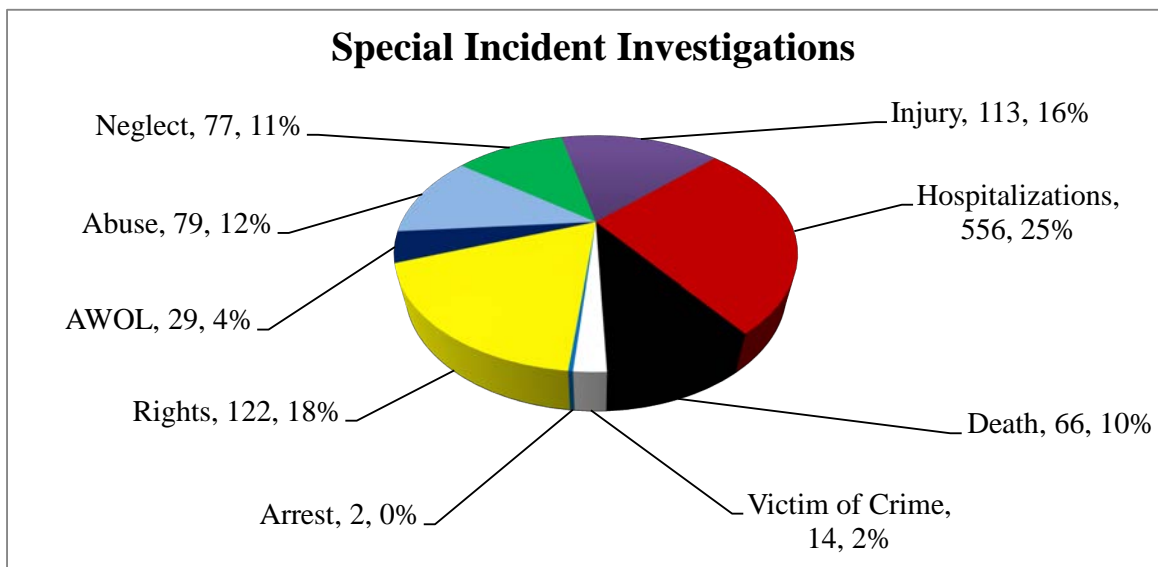
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2021-22

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5	4	5	
Abuse	14	23	19	10	13	
Neglect	18	16	15	13	15	
Injury	14	23	26	24	26	
Hospitalizations - Total	33	40	43	33	35	
<i>Psychiatric</i>	11	8	11	4	8	
<i>Medical</i>	22	32	32	29	27	
Death	15	18	10	13	10	
Victim of crime	3	2	1	5	3	
Arrest	2	0	0	0	0	
Rights	16	14	14	64	14	
Total	120	146	133	166	121	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							29
Abuse							79
Neglect							77
Injury							113
Hospitalizations - Total							184
<i>Psychiatric</i>							42
<i>Medical</i>							142
Death							66
Victim of Crime							14
Arrest							2
Rights							122
Total	0	0	0	0	0	0	686



COMMUNITY LIFE continued

Provider Audits

Fiscal Year 2021-22

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	
Staffing	0	0	0	0	0	
Level 4I Consultant	0	0	0	0	0	
P&I (consumer funds)	0	0	0	0	0	
Total Number of Audits	0	0	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	
Recovery	0	0	0	0	0	

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

Amount of Recovery							\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2021-22

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80	79	84	
Diapers - Family Member	10	10	10	11	11	
Nursing Service - Family Member	62	62	63	62	61	
Respite Service - Family Member	603	587	577	591	603	
Transportation - Family Member	115	129	127	129	145	
Total Number of Voucher Authorizations	871	869	857	872	904	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2021-22

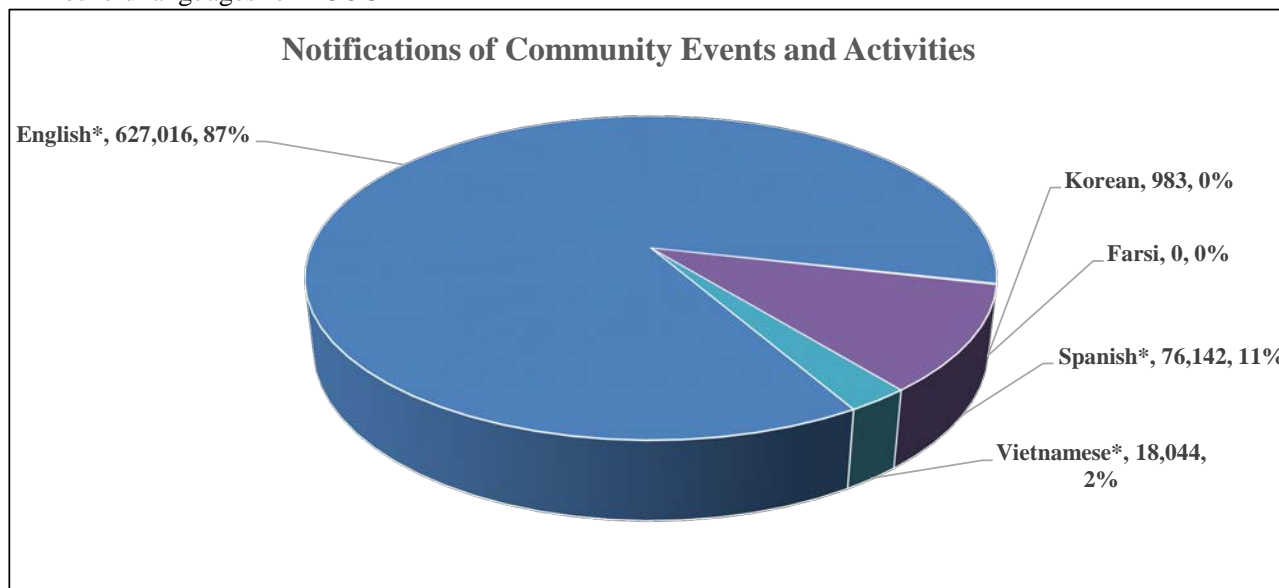
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610	16,667	135,337	
Farsi						
Korean		454			529	
Spanish*	16,214	26,390	22,843	1,887	8,808	
Vietnamese*	3,368	6,015	4,729	296	3,636	
Total Number of Notifications	201,808	166,035	187,182	18,850	148,310	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							627,016
Farsi							0
Korean							983
Spanish*							76,142
Vietnamese*							18,044
Chinese							
Total Number of Notifications	0	0	0	0	0	0	722,185

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2021-22

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English	6	9	10	13	7	
Spanish	3			2	1	
Vietnamese	1		4	2	1	
Other Languages					1	
In Print						
English				1	1	
Spanish	1	3	3		1	
Vietnamese		3			1	
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	6	4	5	4	
Other Languages						
Total Number of Outreach Events	15	22	21	23	17	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							45
Spanish							6
Vietnamese							8
Other Languages							1
In Print							
English							2
Spanish							8
Vietnamese							4
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							23
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	98

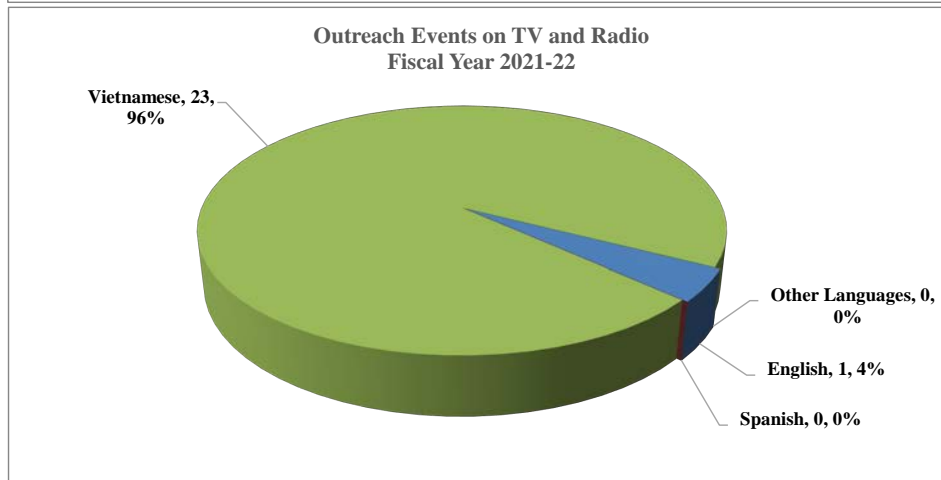
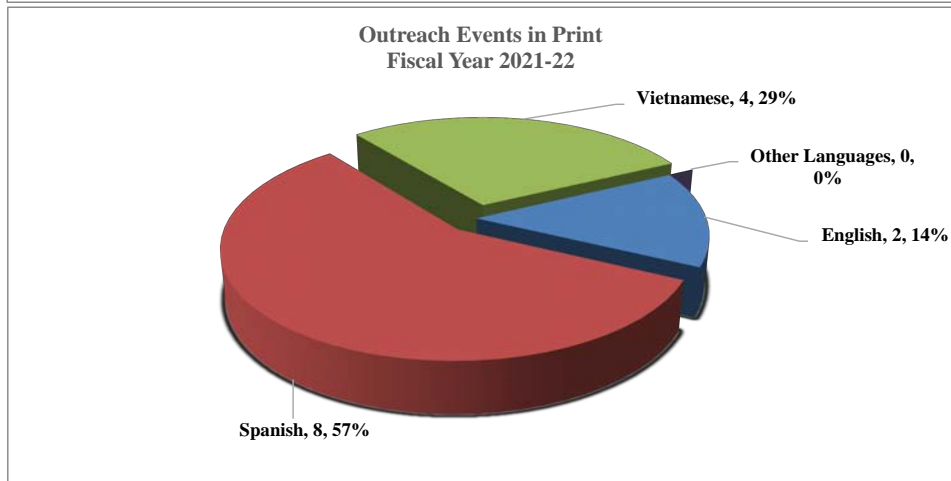
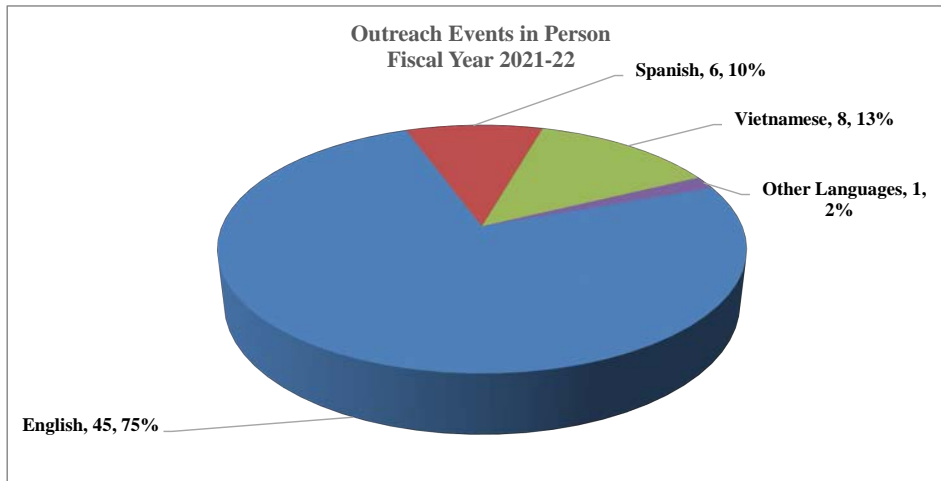
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22



EARLY INTERVENTION / PREVENTION

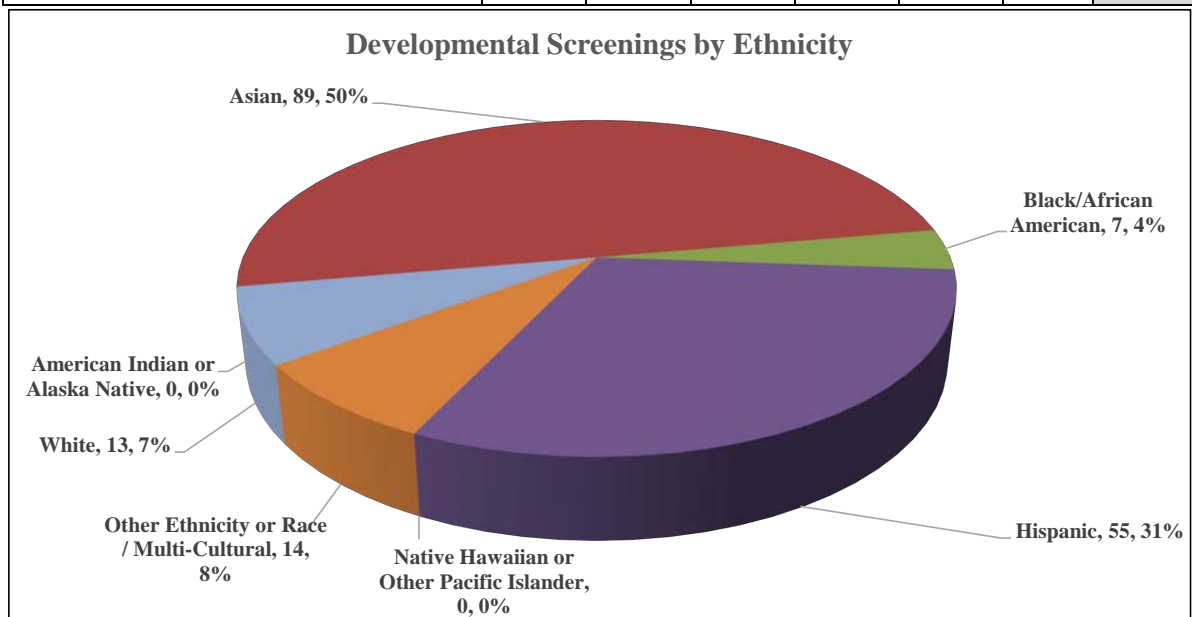
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	
Asian	0	13	37	37	2	
Black/African American	0	2	4	1	0	
Hispanic	0	10	12	11	22	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	0	1	9	1	3	
White	0	9	1	1	2	
Total Number Screened	0	35	63	51	29	0
Total Number Referred to RCOC	0	16	11	7	15	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							89
Black/African American							7
Hispanic							55
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							14
White							13
Total Number Screened	0	0	0	0	0	0	178
Total Number Referred to RCOC							49



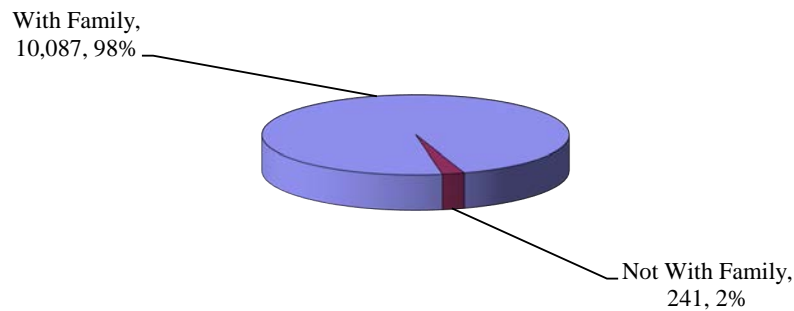
LIVING OPTIONS

Related Guiding Principles

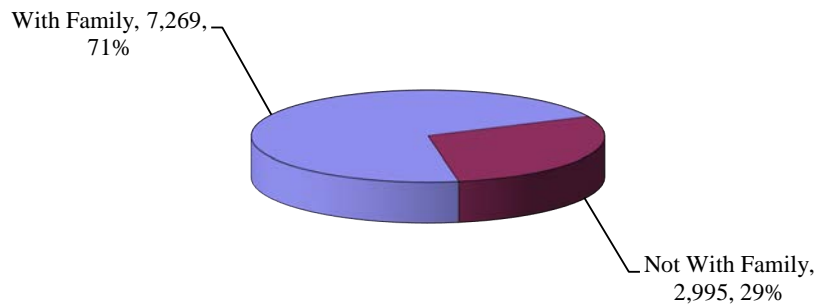
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264

Where Persons Served Under 18 Live



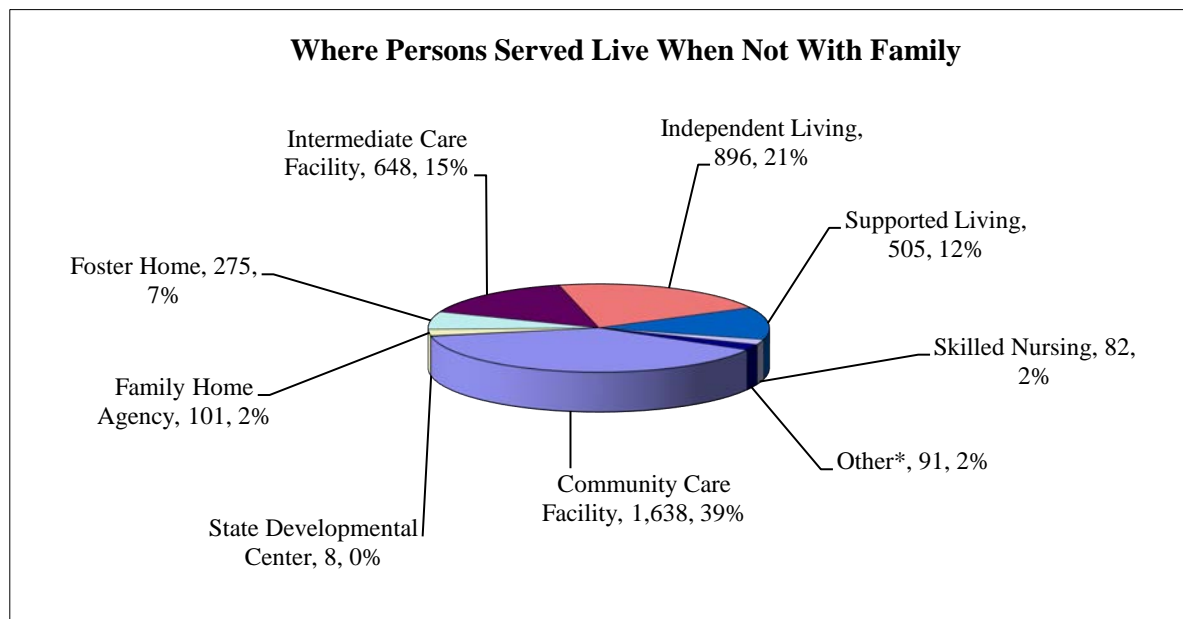
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,027	10,532	8,495
Community Care Facility	1,638	28	1,610
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	275	267	8
Intermediate Care Facility	648	4	644
Independent Living	896	0	896
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	91	24	67
Total	23,271	10,855	12,416

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	4	2	2
Other	0	0	0
Out of State	4	1	4
Psychiatric Treatment	15	1	14
Rehabilitation Center	5	0	5
SDC / State Hospital	0	0	8
Sub-Acute	50	18	32
Transient / Homeless	13	1	12
Total, Other*	96	24	81



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

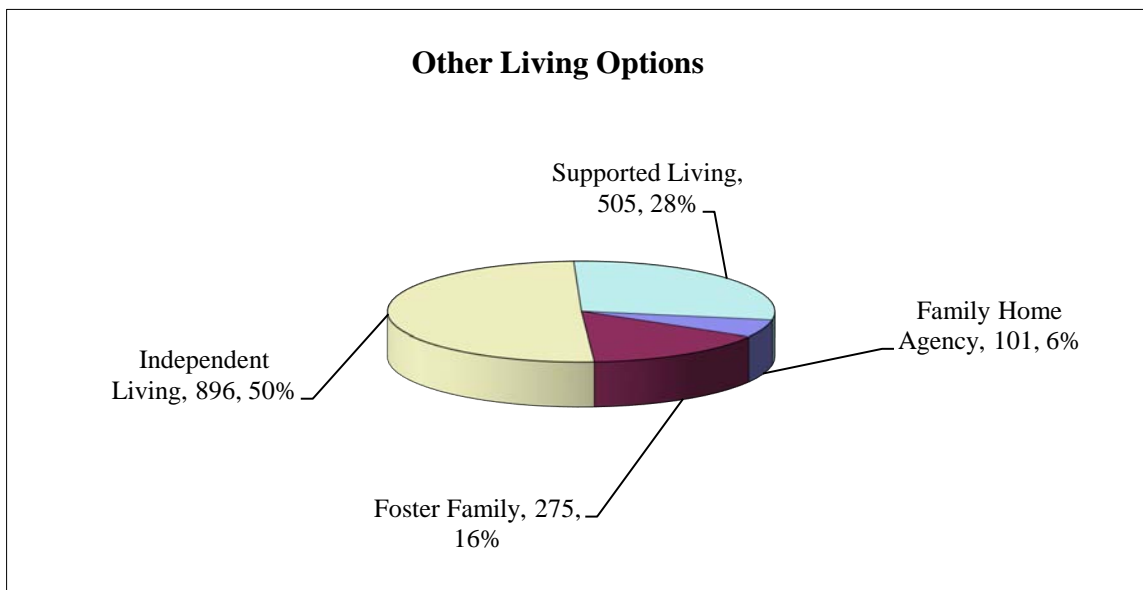
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	101	0	101
Foster Family	275	267	8
Independent Living	896	0	896
Supported Living	505	0	505
Total	1,777	267	1,510



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2021-22*

Licensed Facilities	Total	Over 18	Under 18
Level 2	213	213	0
Level 3	282	282	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	52	52	0
Level 4D	36	36	0
Level 4E	25	25	0
Level 4F	62	62	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	339	336	3
Elderly	1	1	0
ICF/DD-H	1	1	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,089	1,086	3

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	213	213	0
Level 3	282	282	0
Level 4	588	585	3
ICF/DD-H	1	1	0
ICF/DD-N	4	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,089	1,086	3

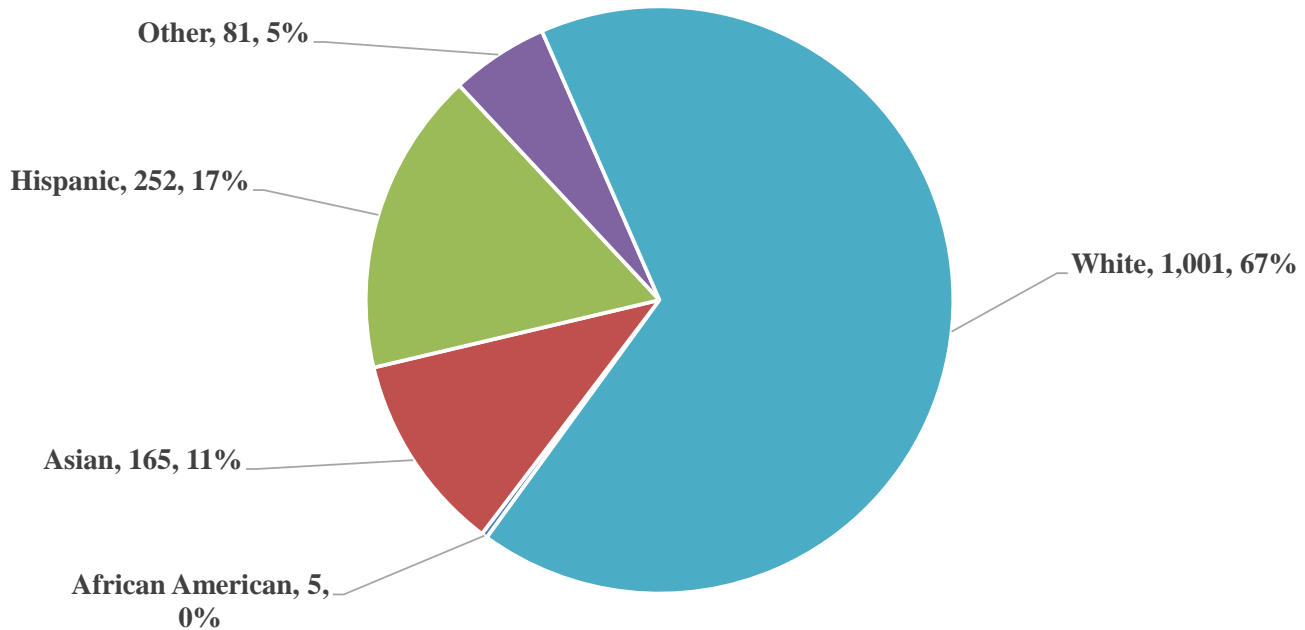
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

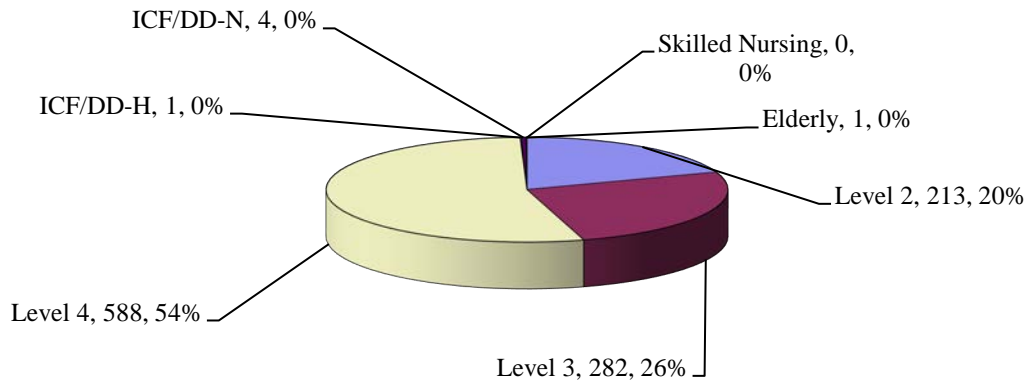
Fiscal Year 2021-22

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	0	28	51	11	237	327
Level 3	1	31	63	19	250	364
Level 4A	1	5	7	2	23	38
Level 4B	0	1	0	0	7	8
Level 4C	0	9	11	1	57	78
Level 4D	1	8	6	2	29	46
Level 4E	0	4	8	3	22	37
Level 4F	0	12	9	2	43	66
Level 4G	0	7	5	2	33	47
Level 4H	0	0	3	1	2	6
Level 4I	2	60	89	38	298	487
Total	5	165	252	81	1,001	1,504

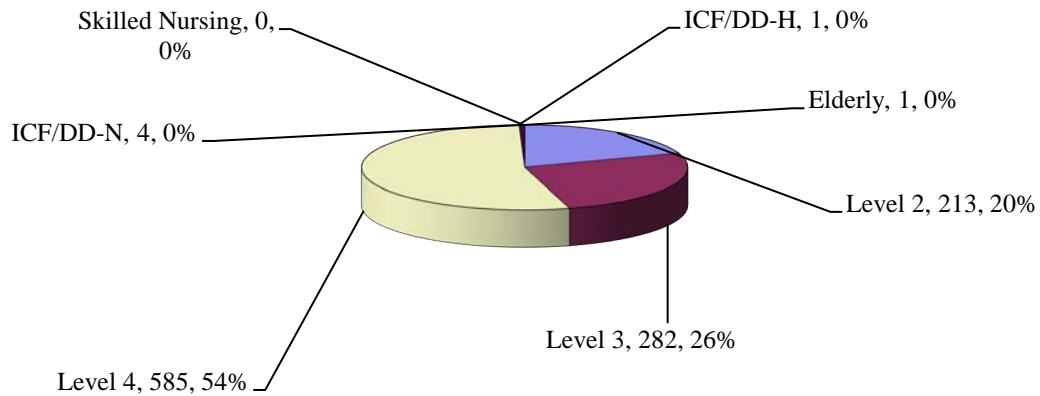
Residents in Community Care Licensed Facilities by Ethnicity



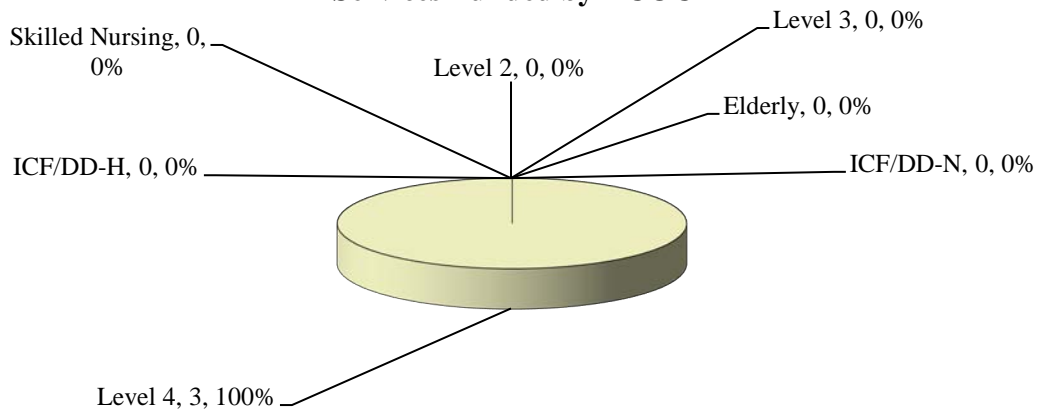
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

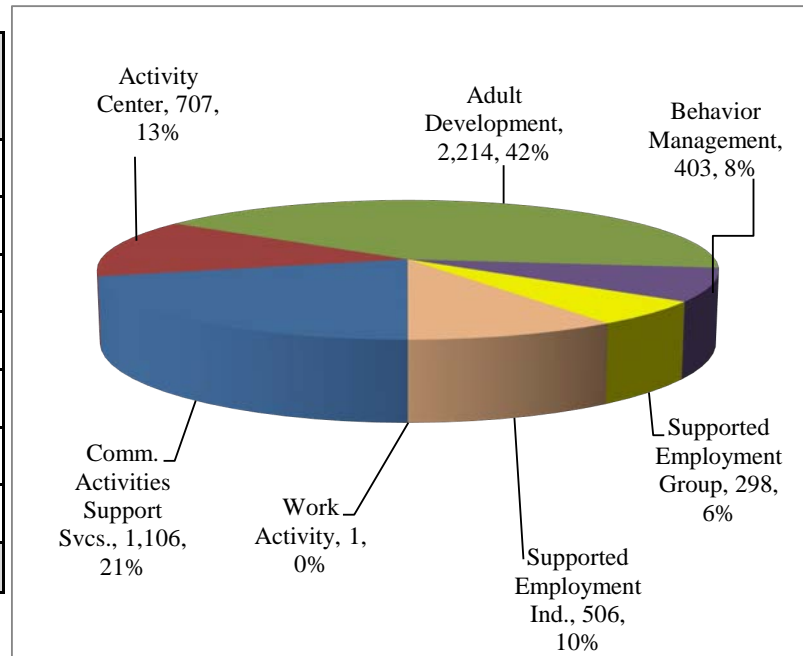


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,106
Activity Center	707
Adult Development	2,214
Behavior Management	403
Supported Employment Group	298
Supported Employment Ind.	506
Work Activity	1
Total	5,235



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2021-22

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5	302.5	299.5	310.4	320.6	
Number of Case-Carrying SCs	280.8	275.8	273.9	284.7	295.0	
Number of Intake SCs	25.7	25.7	25.7	25.7	25.7	
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	
Number of Active Persons Served	22,943	23,016	23,145	23,251	23,318	
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5	81.7	79.1	

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	9	6	14	10							
Eligibility - Lanterman	2	1	1	0	1							
Behavioral services	5	2	2	2	1							
Respite	2	2	2	5	4							
Day Care	0	0	0	0	0							
ILS/SLS	0	0	0	0	0							
Personal Assistance	2	1	1	3	3							
Other**	7	3	0	4	1							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	3	2	0	2	1							
Eligibility - Lanterman	1	0	0	0	1							
Eligibility - Early Start	0	0	0	0	0							
Behavioral services	0	0	0	0	0							
Respite	1	1	0	1	0							
Day Care	0	0	0	0	0							
Social/Recreational	0	0	0	0	0							
Personal Assistance	0	0	0	1	0							
Other**	1	1	0	0	0							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	8	3	2	4	5							
Number of Informal Meetings Held	4	3	2	3	3							
Number of Mediations Held	1	0	0	0	2							
Number of SLFHs Held	3	0	0	1	0							

Number of Requests in Scheduling*	0	3	4	7	1							
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	1	0							
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	10	3	0	2	4							
Withdrawn by Person Served/Family	5	2	0	0	0							
Settled in Informal	1	1	0	2	2							
Settled after further follow-up by RCOC	0	0	0	0	0							
Settled in Mediation	1	0	0	0	1							
SLFH Decision	3	0	0	0	1							

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0	0							
RCOC	3	0	0	0	1							
Split	0	0	0	0	0							

ADMINISTRATION AND GOVERNANCE

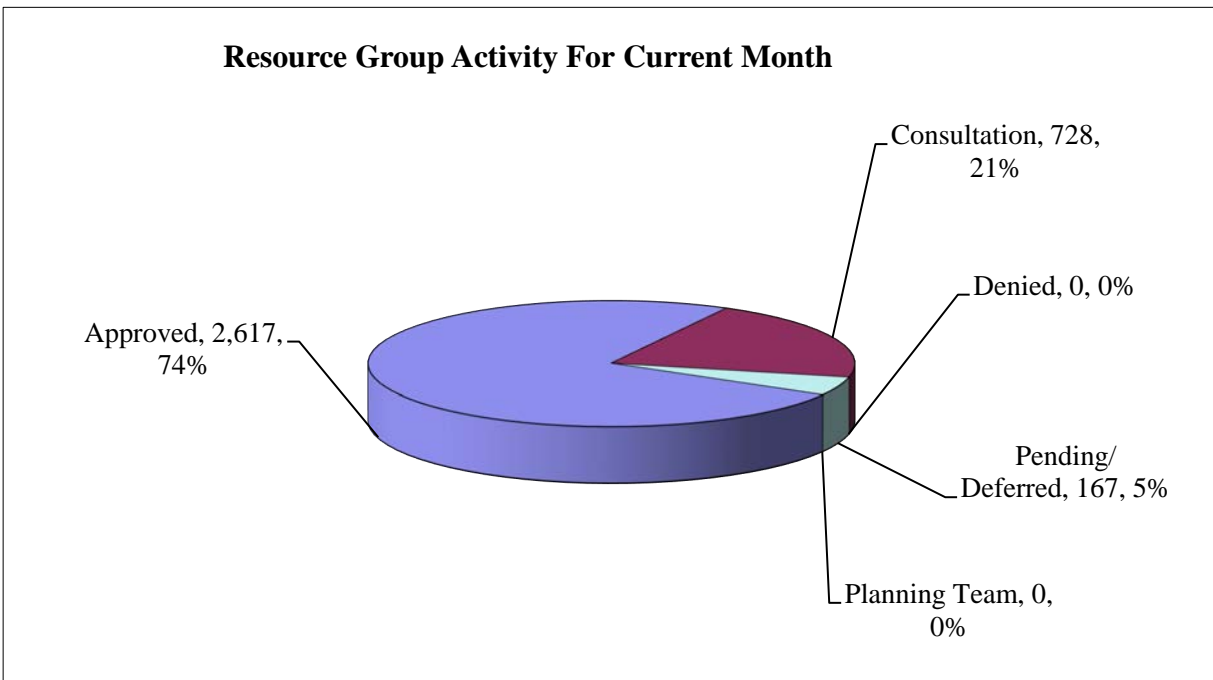
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for November 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	652	247	0	35	0	934
Behavioral	124	67	0	10	0	201
Education	0	3	0	0	0	3
Eligibility/Health	68	0	0	3	0	71
Early Start	613	108	0	46	0	866
Living Options	177	126	0	3	0	306
Supported/Ind.	313	87	0	29	0	429
All Others	670	90	0	41	0	801
Monthly Total	2,617	728	0	167	0	3,611

FY 2020-21 Total to Date	13,201	4,396	0	751	0	18,348
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Operations Report Summary - November 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,422	8,471	8,691	8	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>17%</i>	<i>41%</i>	<i>42%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,027	10,532	8,495
Community Care Facility	1,638	28	1,610
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	275	267	8
Intermediate Care Facility	648	4	644
Independent Living	896	0	896
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	91	24	67
Total	23,271	10,855	12,416

Special Incident Investigations	Year to Date
AWOL	29
Abuse	79
Neglect	77
Injury	113
Hospitalizations - Total	184
Death	66
Victim of crime	14
Arrest	2
Rights	122
Total	686

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	81	0	81
Level 3	80	0	79
Level 4	179	12	167
Total Community Care Facilities	340	12	327

Licensed Facility Monitoring	Year to Date
Annual Review	176
Unannounced	210
Total Number of Reviews	386
Provider Trainings	0
Technical Support	710
Corrective Action Plans	17

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
Total ICF Facilities	123

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	463
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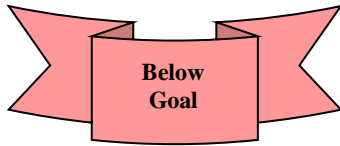
Performance Contract Summary

RCOC as of 11/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,242	8	0	0.03%	-8
Children in Foster Homes (FH)	10,833	273	273	2.52%	0
Children Own Home Parent/Guardian	10,833	10,513	10,850	97.05%	-337
Total # Children (FH,Parent/Guardian)	10,833	10,786	11,123	99.57%	-337
Adult FHA	12,401	112	112	0.90%	0
Independent Living (IL)	12,401	902	902	7.27%	0
Adults Residing Own Home - Parent	12,401	8,575	8,575	69.15%	0
Supported Living (SL)	12,401	503	509	4.06%	-6
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,401	10,092	10,098	81.38%	-6
Children Residing in a CCF (7+ beds)	10,833	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,833	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,833	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,833	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,401	115	115	0.93%	0
Adults Residing in a ICF (7+ beds)	12,401	6	6	0.05%	0
Adults Residing in a Nursing Facility (7+ beds)	12,401	75	75	0.60%	0
Total Adults Residing in 7+ bed facilities	12,401	196	196	1.58%	0
Total Individuals Over Age 3 with <=120 days	206	205	100%	98.73%	99.51%
Total Individuals Over Age 3 with 121-240 days	206	1	0%	0.49%	0.49%
Total Individuals Over Age 3 Over 240 days	206	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,401	57%	65%		
Total Number of Incentive Payments Made	12,401	336			
Avg. Wage per Hour After Incentive Payment	12,401	\$13.06			
Number of Persons Served with Earned Income	12,401	2,335			
Percentage of 16-64 Earned Income	12,401	20%			
Annual Earnings of 16-64	12,401	\$9,578			
Number of Adults in CIE After Paid Intern	12,401	11			
Percentage Adults Transitioned Internship to CIE	12,401	14%			
Total Annual Expenditures Race/Ethnicity	23,242				

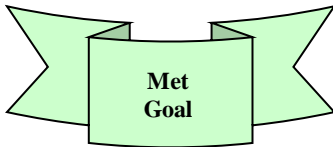
Performance Contract 2021 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2021

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.64
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2021

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 11/01/21	0.03%	23,242	8	0	0.03%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population	3.14%		

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21	22,941	0	8	0.03%	-8
Aug-21	23,008	0	8	0.03%	-8
Sep-21	23,143	0	8	0.03%	-8
Oct-21	23,242	0	8	0.03%	-8
Nov-21		0			
Dec-21		0			

Below Goal

Performance Contract 2021

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	Goal	%	# Attained
RCOC 11/01/21	2.46%	10,833	266	273	2.46%	-7
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.80%		

**Below
Goal**

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21	10,656	245	256	2.40%	11
Aug-21	10,673	245	257	2.41%	12
Sep-21	10,778	245	266	2.47%	21
Oct-21	10,833	273	266	2.46%	-7
Nov-21		273			
Dec-21		273			

Progress: B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 11/01/21	97.05%	10,833	10,513	10,850	97.05%	-337
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.75%		

	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21	10,537	10,500	10,350	97.08%	-121
Jul-21	10,656	10,500	10,341	97.04%	-9
Aug-21	10,673	10,500	10,360	97.07%	10
Sep-21	10,778	10,500	10,456	97.01%	106
Oct-21	10,833	10,850	10,513	97.05%	-337
Nov-21		10,850			
Dec-21		10,850			

Below Goal

Progress: C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes *(This is a total of sections A and B above).*

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423	Goal	%	# Attained
RCOC 11/01/21	99.50%	10,833	10,779	11,123	99.50%	-344
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.72%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21	10,656	10,720	10,597	99.45%	2
Aug-21	10,673	10,720	10,617	99.48%	22
Sep-21	10,778	10,720	10,722	99.48%	2
Oct-21	10,833	11,123	10,779	99.50%	-344
Nov-21		10,595			
Dec-21		10,595			

Below Goal

Performance Contract 2021

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

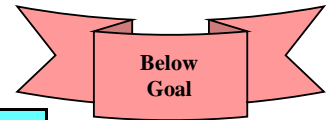
Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	Goal	%	# Attained
RCOC 11/01/21	0.81%	12,401	101	112	0.81%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.46%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21	12,277	109	103	0.84%	-6
Aug-21	12,327	109	104	0.84%	-5
Sep-21	12,357	109	102	0.83%	-7
Oct-21	12,401	112	101	0.81%	-11
Nov-21		112			
Dec-21		112			

Progress: B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	Goal	%	# Attained
RCOC 11/01/21	7.22%	12,401	895	902	7.22%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.01%		

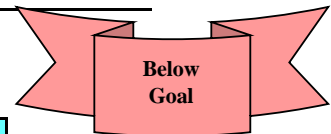
	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21	12,277	899	886	7.22%	-13
Aug-21	12,327	899	887	7.20%	-12
Sep-21	12,357	899	895	7.24%	-4
Oct-21	12,401	902	895	7.22%	-7
Nov-21		902			
Dec-21		902			

**Below
Goal**

Progress: C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 11/01/21	68.47%	12,401	8,491	8,575	68.47%	-84
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21	12,277	8,250	8,379	68.25%	129
Aug-21	12,327	8,250	8,423	68.33%	173
Sep-21	12,357	8,250	8,445	63.84%	195
Oct-21	12,401	8,575	8,575	68.47%	-84
Nov-21		8,575			
Dec-21		8,575			

Progress: D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499	Goal	%	# Attained
RCOC 11/01/21	4.05%	12,401	502	509	4.05%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.34%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21	12,277	508	502	4.09%	-6
Aug-21	12,327	508	506	4.10%	-2
Sep-21	12,357	508	503	4.07%	-5
Oct-21	12,401	509	502	4.05%	-7
Nov-21		509			
Dec-21		509			

Progress: E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795	Goal	%	# Attained
RCOC 11/01/21	80.55%	12,401	9,989	10,098	80.55%	-109
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.61%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21	12,277	9,766	9,870	80.20%	104
Aug-21	12,327	9,766	9,920	80.47%	154
Sep-21	12,357	9,766	9,945	80.48%	179
Oct-21	12,401	9,766	9,989	80.55%	-109
Nov-21		9,766			
Dec-21		9,766			





Performance Contract 2021

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 11/01/21	0.00%	10,833	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.55%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21	10,833	0	0	0.00%	0
Nov-21		0			
Dec-21		0			

Met Goal

Progress: B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 11/01/21	0.01%	10,833	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21	10,833	0	1	0.01%	-1
Nov-21		0			
Dec-21		0			

Below Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0	Goal	%	# Attained
RCOC 11/01/21	0.00%	10,833	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21	10,833	0	0	0.00%	0
Nov-21		0			
Dec-21		0			

Met Goal

Progress: D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 11/01/21	0.01%	10,833	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.39%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21	10,833	0	1	0.01%	-1
Nov-21		0			
Dec-21		0			

Below Goal

Performance Contract 2021

V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 11/01/21	0.98%	12,401	122	115	0.98%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		8.13%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21	12,277	120	124	1.01%	-4
Aug-21	12,327	120	124	1.01%	-4
Sep-21	12,357	120	123	1.00%	-3
Oct-21	12,401	115	122	0.98%	-7
Nov-21		115			
Dec-21		115			

**Below
Goal**

Progress: B. During public meetings, RCOC had 11, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10	Goal	%	# Attained
RCOC 11/01/21	0.09%	12,401	11	6	0.09%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21	12,277	14	11	0.09%	3
Aug-21	12,327	14	11	0.09%	3
Sep-21	12,357	14	11	0.09%	3
Oct-21	12,401	6	11	0.09%	-5
Nov-21		6			
Dec-21		6			

Below Goal

Progress: C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77	Goal	%	# Attained
RCOC 11/01/21	0.63%	12,401	78	75	0.63%	-3
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		7.82%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained	Below Goal
Jan-21	12,047	78	78	0.65%	0	
Feb-21	12,075	78	79	0.65%	-1	
Mar-21	12,099	78	80	0.66%	-2	
Apr-21	12,146	78	78	0.64%	0	
May-21	12,193	78	79	0.65%	-1	
Jun-21	12,229	78	78	0.64%	0	
Jul-21	12,277	78	81	0.66%	-3	
Aug-21	12,327	78	80	0.65%	-2	
Sep-21	12,357	78	78	0.63%	0	
Oct-21	12,401	75	78	0.63%	-3	
Nov-21		75				
Dec-21		75				

Progress: D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 11/01/21	1.70%	12,401	211	196	1.70%	-15
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.35%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21	12,277	212	216	1.76%	-4
Aug-21	12,327	212	215	1.74%	-3
Sep-21	12,357	212	212	1.72%	0
Oct-21	12,401	196	211	1.70%	-15
Nov-21		196			
Dec-21		196			

Below Goal

Performance Contract 2021

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

Statewide Average

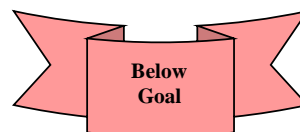
RCOC Public Hearing 8/18/21

RCOC 11/01/21

Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
98.34%	5,911	5,813		
100%	227	227	Goal	% Attained
98.73%	206	205	100%	99.51%



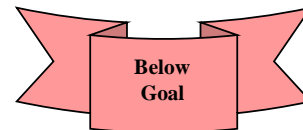
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21	222	100%	222	100.00%
Aug-21	227	100%	223	98.24%
Sep-21	158	100%	156	98.73%
Oct-21	206	100%	205	99.51%
Nov-21		100%		
Dec-21		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 11/01/21	0.49%	206	1	0%	0.49%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21	222	0.00%	0	0.00%
Aug-21	227	0.00%	4	1.76%
Sep-21	158	0.00%	1	0.63%
Oct-21	206	0.00%	1	0.49%
Nov-21		0.00%		
Dec-21		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

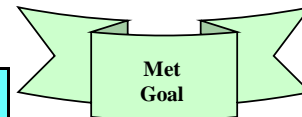
C. Total number and % of regional center individuals over age 3 with over 240 days.

Statewide Average

RCOC Public Hearing 8/18/21

RCOC 11/01/21

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
0.81%	5,911	48		
0%	227	0	Goal	%
0.00%	206	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21	222	0%	0	0%
Aug-21	227	0%	0	0%
Sep-21	158	0%	1	1%
Oct-21	206	0%	0	0%
Nov-21		0%		
Dec-21		0%		

Performance Contract 2021

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



Performance Contract 2021

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



Progress: B. Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

Progress: C. Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



Performance Contract 2021

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

Progress: A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
Totals	5,311 ↓	100.0%	\$40,687,467 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
Totals	10,047 ↑	100.0%	\$89,219,156 ↑	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
Totals	9,805 ↑	100.0%	\$416,789,360 ↑	



Progress: B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
Totals	5,311 ↓	202 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
Totals	10,047 ↑	3,594 ↑	35.8% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
Totals	9,805 ↑	1,665 ↑	17% ↑

Progress: C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

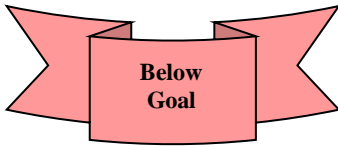
Performance Contract Summary

RCOC as of 12/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,317	8	0	0.03%	-8
Children in Foster Homes (FH)	10,886	276	273	2.54%	3
Children Own Home Parent/Guardian	10,886	10,555	10,850	96.96%	-295
Total # Children (FH,Parent/Guardian)	10,886	10,831	11,123	99.49%	-292
Adult FHA	12,423	101	112	0.81%	-11
Independent Living (IL)	12,423	893	902	7.19%	-9
Adults Residing Own Home - Parent	12,423	8,513	8,575	68.53%	-62
Supported Living (SL)	12,423	504	509	4.06%	-5
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,423	10,011	10,098	80.58%	-87
Children Residing in a CCF (7+ beds)	10,886	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,886	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,886	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,886	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,423	122	115	0.98%	-7
Adults Residing in a ICF (7+ beds)	12,423	12	6	0.10%	-6
Adults Residing in a Nursing Facility (7+ beds)	12,423	76	75	0.61%	-1
Total Adults Residing in 7+ bed facilities	12,423	210	196	1.69%	-14
Total Individuals Over Age 3 with <=120 days	212	212	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	212	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	212	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,423	57%	65%		
Total Number of Incentive Payments Made	12,423	336			
Avg. Wage per Hour After Incentive Payment	12,423	\$13.06			
Number of Persons Served with Earned Income	12,423	2,335			
Percentage of 16-64 Earned Income	12,423	20%			
Annual Earnings of 16-64	12,423	\$9,578			
Number of Adults in CIE After Paid Intern	12,423	11			
Percentage Adults Transitioned Internship to CIE	12,423	14%			
Total Annual Expenditures Race/Ethnicity	23,317				

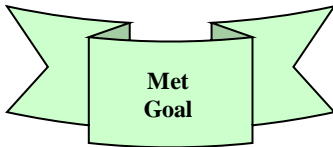
Performance Contract 2021 Cover Sheet



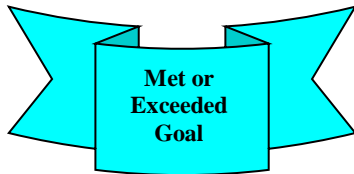
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2021

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.16
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2021

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 12/01/21	0.03%	23,317	8	0	0.03%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population	3.14%		

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21	22,941	0	8	0.03%	-8
Aug-21	23,008	0	8	0.03%	-8
Sep-21	23,143	0	8	0.03%	-8
Oct-21	23,242	0	8	0.03%	-8
Nov-21	23,317	0	8	0.03%	-8
Dec-21		0			

Below Goal

Performance Contract 2021

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	Goal	%	# Attained
RCOC 12/01/21	2.54%	10,886	276	273	2.54%	3
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.80%		

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21	10,656	245	256	2.40%	11
Aug-21	10,673	245	257	2.41%	12
Sep-21	10,778	245	266	2.47%	21
Oct-21	10,833	273	266	2.46%	-7
Nov-21	10,886	273	276	2.54%	3
Dec-21		273			

Met Goal

Progress: B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 12/01/21	96.96%	10,886	10,555	10,850	96.96%	-295
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.75%		

	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21	10,537	10,500	10,350	97.08%	-121
Jul-21	10,656	10,500	10,341	97.04%	-9
Aug-21	10,673	10,500	10,360	97.07%	10
Sep-21	10,778	10,500	10,456	97.01%	106
Oct-21	10,833	10,850	10,513	97.05%	-337
Nov-21	10,886	10,850	10,555	96.96%	-295
Dec-21		10,850			

Below Goal

Progress: C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes *(This is a total of sections A and B above).*

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423	Goal	%	# Attained
RCOC 12/01/21	99.49%	10,886	10,831	11,123	99.49%	-292
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.72%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21	10,656	10,720	10,597	99.45%	2
Aug-21	10,673	10,720	10,617	99.48%	22
Sep-21	10,778	10,720	10,722	99.48%	2
Oct-21	10,833	11,123	10,779	99.50%	-344
Nov-21	10,886	10,595	10,831	99.49%	-292
Dec-21		10,595			

Below Goal

Performance Contract 2021

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

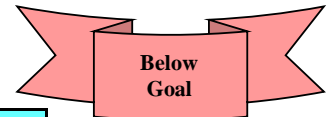
Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	Goal	%	# Attained
RCOC 12/01/21	0.81%	12,423	101	112	0.81%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.46%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21	12,277	109	103	0.84%	-6
Aug-21	12,327	109	104	0.84%	-5
Sep-21	12,357	109	102	0.83%	-7
Oct-21	12,401	112	101	0.81%	-11
Nov-21	12,423	112	101	0.81%	-11
Dec-21		112			

Progress: B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	Goal	%	# Attained
RCOC 12/01/21	7.19%	12,423	893	902	7.19%	-9
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.01%

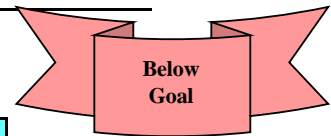
	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21	12,277	899	886	7.22%	-13
Aug-21	12,327	899	887	7.20%	-12
Sep-21	12,357	899	895	7.24%	-4
Oct-21	12,401	902	895	7.22%	-7
Nov-21	12,423	902	893	7.19%	-9
Dec-21		902			

**Below
Goal**

Progress: C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 12/01/21	68.53%	12,423	8,513	8,575	68.53%	-62
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21	12,277	8,250	8,379	68.25%	129
Aug-21	12,327	8,250	8,423	68.33%	173
Sep-21	12,357	8,250	8,445	63.84%	195
Oct-21	12,401	8,575	8,575	68.47%	-84
Nov-21	12,423	8,575	8,513	68.53%	-62
Dec-21		8,575			

Progress: D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499	Goal	%	# Attained
RCOC 12/01/21	4.06%	12,423	504	509	4.06%	-5
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.34%

**Below
Goal**

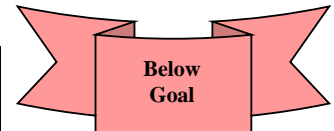
	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21	12,277	508	502	4.09%	-6
Aug-21	12,327	508	506	4.10%	-2
Sep-21	12,357	508	503	4.07%	-5
Oct-21	12,401	509	502	4.05%	-7
Nov-21	12,423	509	504	4.06%	-5
Dec-21		509			

Progress: E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795	Goal	%	# Attained
RCOC 12/01/21	80.58%	12,423	10,011	10,098	80.58%	-87
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.61%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21	12,277	9,766	9,870	80.20%	104
Aug-21	12,327	9,766	9,920	80.47%	154
Sep-21	12,357	9,766	9,945	80.48%	179
Oct-21	12,401	9,766	9,989	80.55%	-109
Nov-21	12,423	9,766	10,011	80.58%	-87
Dec-21		9,766			





Performance Contract 2021

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 12/01/21	0.00%	10,886	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.55%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21	10,833	0	0	0.00%	0
Nov-21	10,886	0	0	0.00%	0
Dec-21		0			

Met Goal

Progress: B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 12/01/21	0.01%	10,886	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21	10,833	0	1	0.01%	-1
Nov-21	10,886	0	1	0.01%	-1
Dec-21		0			

Below Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0	Goal	%	# Attained
RCOC 12/01/21	0.00%	10,886	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21	10,833	0	0	0.00%	0
Nov-21	10,886	0	0	0.00%	0
Dec-21		0			

Met Goal

Progress: D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 12/01/21	0.01%	10,886	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.39%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21	10,833	0	1	0.01%	-1
Nov-21	10,886	0	1	0.01%	-1
Dec-21		0			

Below Goal

Performance Contract 2021

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 12/01/21	0.98%	12,423	122	115	0.98%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		8.13%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21	12,277	120	124	1.01%	-4
Aug-21	12,327	120	124	1.01%	-4
Sep-21	12,357	120	123	1.00%	-3
Oct-21	12,401	115	122	0.98%	-7
Nov-21	12,423	115	122	0.98%	-7
Dec-21		115			

**Below
Goal**

Progress: B. During public meetings, RCOC had 11, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10	Goal	%	# Attained
RCOC 12/01/21	0.10%	12,423	12	6	0.10%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21	12,277	14	11	0.09%	3
Aug-21	12,327	14	11	0.09%	3
Sep-21	12,357	14	11	0.09%	3
Oct-21	12,401	6	11	0.09%	-5
Nov-21	12,423	6	12	0.10%	-6
Dec-21		6			

Below Goal

Progress: C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77	Goal	%	# Attained
RCOC 12/01/21	0.61%	12,423	76	75	0.61%	-1
Analysis as of Public Hearing	RCOC % of DD population 6.76%		RCOC % of FH population 7.82%			

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	12,047	78	78	0.65%	0
Feb-21	12,075	78	79	0.65%	-1
Mar-21	12,099	78	80	0.66%	-2
Apr-21	12,146	78	78	0.64%	0
May-21	12,193	78	79	0.65%	-1
Jun-21	12,229	78	78	0.64%	0
Jul-21	12,277	78	81	0.66%	-3
Aug-21	12,327	78	80	0.65%	-2
Sep-21	12,357	78	78	0.63%	0
Oct-21	12,401	75	78	0.63%	-3
Nov-21	12,423	75	76	0.61%	-1
Dec-21		75			

Below Goal

Progress: D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 12/01/21	1.69%	12,423	210	196	1.69%	-14
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.35%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21	12,277	212	216	1.76%	-4
Aug-21	12,327	212	215	1.74%	-3
Sep-21	12,357	212	212	1.72%	0
Oct-21	12,401	196	211	1.70%	-15
Nov-21	12,423	196	210	1.69%	-14
Dec-21		196			

Below Goal

Performance Contract 2021

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

Statewide Average

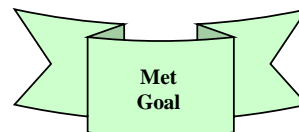
RCOC Public Hearing 8/18/21

RCOC 12/01/21

Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
98.34%	5,911	5,813		
100%	227	227	Goal	% Attained
100.00%	212	212	100%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21	222	100%	222	100.00%
Aug-21	227	100%	223	98.24%
Sep-21	158	100%	156	98.73%
Oct-21	206	100%	205	99.51%
Nov-21	212	100%	212	100.00%
Dec-21		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 12/01/21	0.00%	212	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21	222	0.00%	0	0.00%
Aug-21	227	0.00%	4	1.76%
Sep-21	158	0.00%	1	0.63%
Oct-21	206	0.00%	1	0.49%
Nov-21	212	0.00%	0	0.00%
Dec-21		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

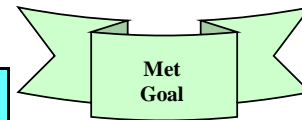
C. Total number and % of regional center individuals over age 3 with over 240 days.

Statewide Average

RCOC Public Hearing 8/18/21

RCOC 12/01/21

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	%
RCOC 12/01/21	0.00%	212	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21	222	0%	0	0%
Aug-21	227	0%	0	0%
Sep-21	158	0%	1	1%
Oct-21	206	0%	0	0%
Nov-21	212	0%	0	0.00%
Dec-21		0%		

Performance Contract 2021

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 Day, 6 Month, and 12 Month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



Performance Contract 2021

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



Progress: B. Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

Progress: C. Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



Performance Contract 2021

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

Progress: A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
Totals	5,311 ↓	100.0%	\$40,687,467 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
Totals	10,047 ↑	100.0%	\$89,219,156 ↑	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
Totals	9,805 ↑	100.0%	\$416,789,360 ↑	



Progress: B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
Totals	5,311 ↓	202 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
Totals	10,047 ↑	3,594 ↑	35.8% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
Totals	9,805 ↑	1,665 ↑	17% ↑



Progress: C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

**Regional Center of Orange County
Board Recruitment and Training Committee
November 8, 2021
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Frances Hernandez
Chinh Nguyen
Fernando Peña

Committee Members Absent: Sandy Martin
Hilda Mendez

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technologies Director
Bonnie Ivers, Clinical Director
Keli Radford, Services and Supports Director
Stacy Wong, Human Resources Director

Mr. Chip Wright called the videoconference meeting to order at 5:02 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure continuing compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts and contacting two potential Board applicants to schedule in-person interviews for January or February 2022.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed topics for the upcoming Board trainings. The Committee agreed to reschedule the Board retreat from February 2022 to July 2022, to allow for an in-person retreat.

***Board Recruitment and Training Committee Minutes
November 8, 2021***

Mr. Wright requested that staff poll the Board to determine their preference for a retreat on Saturday, July 9th or Saturday, July 16th.

B. Regional Centers Board of Directors' Training Plans to DDS

The Committee reviewed and discussed potential training topics and completed the training plan that is due to the Department of Developmental Disabilities (DDS) by December 15, 2021, per WIC 4522(g).

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:26 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Vendor Advisory Committee
November 9, 2021
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present
Co-Chair, Mark Antenucci, absent

Behavior Services

Chair, Junie Lazo-Pearson, present
Co-Chair, Cindy Hebert, present

Community Care Facilities

Chair, Member Pending
Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present
Co-Chair, Junie Lazo-Pearson, present

Habilitation

Chair, Jodean Hudson, absent
Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, David Santana, present
Co-Chair, Andrew Velasco, present

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

Board Members Present:

Chinh Nguyen

Vendor Advisory Committee Minutes
November 9, 2021

RCOC Staff Present:

Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Liliana Castillo, Accounting Manager
Arturo Cazares, Associate Director of Employment
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Keli Radford, Services and Supports Director
Marta Vasquez, Associate Finance Director
Sean Watson, Risk Management, QA Manager

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

II. RCOC Update

Mr. Larry Landauer reported on some preliminary data from RCOC's Service Provider Hiring Challenges Survey. Mr. Landauer stated that the report was presented at RCOC's Board of Directors' meeting on November 4, 2021, and is available on the website at www.rcocdd.com.

Ms. Marta Vasquez, RCOC's Associate of Finance, provided the following updates:

- *Electronic Visit Verification (EVV).* Ms. Vasquez reminded vendors that EVV will be required January 1, 2022. All vendors should register for EVV updates, even if they are using a third party solution, at evv@dds.ca.gov.
- *Independent Audits or Independent Review Reports.* Ms. Vasquez stated that vendors who did not submit their 2018 independent audits or independent review reports with accompanying management letters are now on Do Not Refer. To be removed from the Do Not Refer list, vendors must submit the independent audits or independent review reports along with accompanying management letters to RCOC.

The 2019 and 2020 independent audit or independent review reports are also due by December 31, 2021. Vendors who do not submit their reports will be placed on Do Not Refer.

- *Applicant/Vendor Disclosure Statement Form DS 1891.* Ms. Vasquez reported that the forms were sent to vendors this year via DocuSign. For those forms that could not be delivered due to an invalid email address, RCOC will contact vendors for the correct email address for the owner or officer who has authority to sign the disclosure form.

III. Board Report

Ms. Bauer reported that there was a Board of Directors' meeting held on November 4, 2021.

IV. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

V. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA is struggling to meet the increase in demand for OCTA's services. OCTA is actively recruiting more drivers.

Ms. Mungia also reported that the new operator for ACCESS is First Transit, effective January 1, 2022.

Ms. Mungia reminded the Committee that effective January 1, 2022, OCTA will discontinue their Do Not Leave Alone courtesy service.

VI. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Rick Perez reported that they discussed the hiring challenges that vendors have due to low rates. Some vendors, after increasing their pay rates, continue to report having no applicants at all.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that the subcommittee met and discussed the COVID-19 health and safety waivers. The subcommittee also discussed staff shortages due to the COVID vaccine requirements.

D. Behavior Services – Junie Lazo-Pearson (present)

Ms. Cindy Hebert reported that their subcommittee is scheduled to meet in December 2021, and they will discuss the impact of staffing shortages on families.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee has not met, but they continue to share information via email.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that their subcommittee met on September 10, 2021, and discussed the possibility of making up missed service sessions. The next subcommittee meeting is scheduled for January 2022.

G. Habilitation – Jodean Hudson (absent)

In Ms. Jodean Hudson's absence, Mr. Tim Chervenak reported that their subcommittee met yesterday to discuss staffing issues, referrals and COVID-19 vaccine exemptions. Mr. Chervenak reported that vendors are still confused about what qualifies as an acceptable exemption for the vaccine requirements.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met and discussed hiring challenges due to low rates. Some vendors have had to increase their hourly rates to help secure direct-care staff. Ms. Molina also reported that the subcommittee meets later today and will discuss strategies to address the need for more support hours while dealing with a staffing shortage.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee has not met, but they continue to share information via email.

J. Support Services/Allied Health – David Santana (present)

Mr. Andrew Velasco reported that the subcommittee met and discussed vendor rates.

VII. Community Forum

Ms. Christine Tolbert, from the State Council on Developmental Disabilities (SCDD) Orange County Regional Office, reported on SCDD's upcoming workshops in December 2021. For more information on the workshops, visit their website at www.scdd.ca.gov.

Mr. Robert Olea asked when RCOC will re-open its lobby doors.

VIII. Adjournment

Ms. Bauer adjourned the meeting at 2:54 p.m.

The next VAC meeting is scheduled for January 11, 2022.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Peer Advisory Committee
November 17, 2021
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Steven Gersten
Amy Jessee
Peter Kuo
Fernando Peña

Committee Members Absent: Marcell Bassett
Jyusse Corey, Peer Advocate
Cheryl Day
Yvonne Kluttz

RCOC Staff Members Present: Larry Landauer, Executive Director
Peter Himber, Medical Director

Ms. Sylvia Delgado called the meeting to order at 3:11 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

In Mr. Corey's absence, Ms. Delgado reported that the California State Treasury introduced the CalABLE Visa Prepaid Card that is now available to CalABLE account holders. The prepaid card can be used everywhere VISA debit cards are accepted. The maximum balance on the prepaid cards cannot exceed \$9,999.00 and purchases are limited to \$2,500.00 a day. There is a \$1.25 monthly fee for the card and additional fees may apply.

CalABLE is also offering *Be Money Smart* resources on their website at www.calable.ca.gov/financially-able.

Ms. Delgado also reported that the Supported Life Institute (SLI) will host a one-day conference on Thursday, February 3, 2022. The conference will be held in-person with limited space and will also be available virtually. For more information about the event topics, speakers and pricing, visit the SLI website at www.supportedlife.org.

III. COVID-19 Vaccine

Dr. Peter Himber, RCOC's Medical Director, provided up-to-date information on the COVID-19 vaccine booster and flu shot.

IV. Community Forum

No community members were present at the meeting.

V. Other

Committee members discussed potential topics for upcoming PAC meetings. Topics of interest included information on the Fair Hearing process, OC Rescue Mission, safety and protection from online bullying, employment and updates on the *Healthy Life, Happy Life* Program.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for January 19, 2022.

The meeting was adjourned at 3:50 p.m.

Recorder: Sandra Lomelí