



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: **Thursday, January 12, 2023**

Time: **6:00 p.m. – 7:30 p.m.**

Place: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)	
A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess	
III.	General Session	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
B.	Community Forum for Agenda Items Only***	John "Chip" Wright
C.	Budget and Finance Committee	Sandy Martin
D.	Consent Calendar*	John "Chip" Wright
	<ol style="list-style-type: none"> 1. Approval of Board of Directors' Minutes for November 3, 2022** 2. Budget and Finance Committee** <ol style="list-style-type: none"> a. Approval of Monthly Sufficiency of Allocation Report, October 2022** b. Approval of Monthly Sufficiency of Allocation Report, November 2022** c. Annual Sufficiency of Allocation Report, Fiscal Year 2022-23** d. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 12, 2023** e. Approval of Budget Amendment 	
E.	Executive Director's Report	Larry Landauer
	<ol style="list-style-type: none"> 1. Recognition of Persons' Served Employment Longevity 2. Operations Report – October 2022** 3. Operations Report – November 2022** 4. Performance Contract Report – October 2022** 5. Performance Contract Report – November 2022** 6. Employment Update 7. Housing Update 8. Health and Wellness Update 9. 2022 Performance Contract Objectives Outcomes Review 10. Annual Sufficiency of Allocation Report (SOAR) for Fiscal Year 2022-23 	Arturo Cazares Jack Stanton Bonnie Ivers Jack Stanton Marta Vasquez
F.	Executive Committee	John "Chip" Wright
G.	Board Recruitment and Training Committee**	John "Chip" Wright
H.	Policies and Outcomes Committee	Cliff Amsden
I.	Vendor Advisory Committee**	Rick Perez
J.	Peer Advisory Committee**	Sylvia Delgado
K.	Legislative and Community Awareness Committee	Liza Krassner
L.	ARCA Report	Liza Krassner
M.	Community Forum***	John "Chip" Wright
N.	Chairperson's Report	John "Chip" Wright
IV.	Adjournment	John "Chip" Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting Minutes
November 3, 2022**

Board Members Present: John “Chip” Wright, Chairperson
(Members in-person, unless otherwise noted) Cliff Amsden (*joined virtually*)
Meena Chockalingam
Sylvia Delgado
Frances Hernandez
Amy Jessee
Liza Krassner (*joined virtually*)
Sandy Martin
Hilda Mendez (*joined virtually*)
Chinh Nguyen
Fernando Peña
Rick Perez

Board Members Absent: Yvonne Kluttz

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 6:04 p.m.

A. Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado read RCOC’s Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Sandy Martin reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors’ Minutes for September 1, 2022
2. Budget and Finance Committee

RCOC Board of Directors' Meeting Minutes
November 3, 2022

- a. Approval of Monthly Sufficiency of Allocation Report, August 2022
- b. Approval of Monthly Sufficiency of Allocation Report, September 2022
- c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 26, 2022
- d. Approval of Independent Auditors for the Fiscal Year Ended June 30, 2022

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored four persons served who have worked for the same employer for 22 years or more with a Certificate of Recognition.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Little Hoover Commission Hearings.* Mr. Landauer reported that the Little Hoover Commission, formally known as the Milton Marks "Little Hoover" Commission on California State Government Organization and Economy, is an independent, state oversight agency created in 1962. The Commission's mission is to investigate state government operations and policy, and – through reports and legislative proposals – make recommendations to the Governor and Legislature to promote economy, efficiency and improved service in state operations. The Little Hoover Commission's current study will assess the extent of current disparities in service access within the state, identify the underlying causes of these disparities and the current state efforts to address them, and consider how state government can improve the consistency and timeliness of service delivery for the individuals and their families who rely on the state's developmental services programs. Hearings were or will be held on October 13, 2022, November 10, 2022, and December 8, 2022. There was also an advisory committee roundtable on October 27, 2022. Mr. Landauer stated that the Association of Regional Center Agencies (ARCA) will submit a letter with its recommendations and comments on vital issues affecting the system, such as low vendor rates.
- *Cultural and Linguistic Update.* Mr. Landauer reported that a small group of Spanish-speaking parents held two demonstrations in front of RCOC's Central office regarding Purchase of Service (POS) disparities. RCOC is working with this group of parents to address their concerns. Mr. Landauer introduced Ms. Jocelyn Escobar, RCOC's Resource Group Leader, Educational Resources and Ms. Kaitlynn Truong, RCOC's Cultural Specialist, to provide an update on their work within the community.

Ms. Escobar stated that her position was created in January 2022 to provide support and training to empower parents and families to advocate for their child's educational needs through the Individual Education Program (IEP) process. RCOC provides parents with the tools for understanding their parental rights in the special education

RCOC Board of Directors' Meeting Minutes
November 3, 2022

process. Ms. Escobar reported that from January to October 2022, she completed 262 consultations and attended 64 IEP meetings with families. Ms. Escobar is working with IEP teams and school district personnel to ensure that they are aware of the parental concerns and that those concerns are addressed in a timely matter. In September 2022, Ms. Escobar held an in-person training in Spanish; in 2023, RCOC will provide additional trainings for Vietnamese and English speaking families.

Ms. Truong stated that she has been an employee for almost six years working in RCOC's Comfort Connection Family Resource Center (CCFRC). Throughout the year, CCFRC has hosted many parent training workshops and *Coffee Chats* in English, Spanish and Vietnamese. RCOC created an internal Diversity and Inclusion Task Force that meets monthly to develop an environment based on trust, respect, collaboration and transparency, which promotes equal access to information and services to persons served by RCOC and their families. Ms. Truong reported that the Department of Developmental Disabilities (DDS) allocated Language Access and Cultural Competency funding (LACC) to RCOC. The LACC funding will be used to improve accessibility for non-English speakers on RCOC's website, translation of materials in Spanish, Vietnamese and Korean, and, access to interpretation services for hybrid or in-person meetings. With the LACC funding, RCOC will have a Spanish-speaking Community Outreach and Access Coordinator on staff.

- *Purchase of Service (POS) Expenditures for Fiscal Year 2021-22.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that about 97% of the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format. Additionally, RCOC will commence PCT trainings for new staff and refresher courses for staff previously trained.
- *Employment.* Mr. Arturo Cazares, RCOC's Director of Community Services, reported on preliminary employment data collected during IPP meetings. The purpose of the data is to identify persons served who are interested in obtaining employment and assisting them with skills development that will improve the likelihood of employment. For those who are currently employed, the data collected was in relation to their employment and if they had any requests for changes.
- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported on preliminary housing data collected during IPP meetings. The data will indicate whether persons served are satisfied or not with their current living situations. If someone is not, RCOC will explore other living options in an effort to ensure satisfaction. Mr. Stanton stated that over time, the data will determine which types and locations of housing are most preferred by persons served.
- *Legislative and Community Awareness (LCA).* Mr. Landauer reported that RCOC recognized outstanding Direct Support Professionals nominated by Service Coordinators and service providers during Direct Support Professionals week, which was September 11-17, 2022.

RCOC Board of Directors' Meeting Minutes
November 3, 2022

- *Health and Wellness.* Dr. Sam Ho, RCOC's Consulting Pharmacist, presented outcomes of the data collected from the *Healthy Life, Happy Life* Program from 2018 to 2022.
- *RCOC News.* Mr. Landauer reported that Westview, which is one of RCOC's largest day program providers, will discontinue its day programs and provide only tailored day services when Alternative Services end on December 31, 2022.

Mr. Cazares reported that with the closing of Elwyn and now Westview, RCOC is working with other day program vendors to ensure that persons served have a day program to attend.

Mr. Landauer also reported that vendor staffing shortages continue due to low rates. As a result, WIC §4731 complaints are on the rise due to alleged rights violation from parents whose children are not receiving their authorized services because vendors are unable to provide staff to cover the hours.

- *AB 637 Exception.* Ms. Theresa Ta, RCOC's Safety Net and Resource Development Manager, reported that RCOC has submitted a 637 proposal requesting DDS waive regulations and allow RCOC to fund social recreation, camp and non-medical therapy services directly through a Financial Management Service (FMS) without requiring parents to go through the vendorization process for reimbursement when paid out of pocket.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the next SDP Local Advisory Committee meeting is scheduled for December 12, 2022 at 6:00 p.m.

Mr. Landauer presented the following agenda item for approval:

2. Approval of the 2023-2024 Performance Contract

M/S/C to approve the 2023-2024 Performance Contract as presented

Ms. Christina Petteruto, RCOC's General Counsel, reported that DDS has made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH). The Board previously approved a property renovation agreement for \$350,000 with non-profit housing corporation Brilliant Corners on June 3, 2021. Due to rising construction costs, DDS has allocated \$38,000 (transferred from the acquisition allocation) and will allocate an additional \$75,560 in RCOC's CRDP funding, for a total increase of \$113,560 in renovation costs. The total DDS allocation for renovation costs will be \$463,560.

3. Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-4)

M/S/C to approve the Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-4) as presented

F. Executive Committee

Mr. Wright presented the following agenda item for approval:

1. Approval of Renewal of Board Membership for Meena Chockalingam for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025

M/S/C to approve the Renewal of Board Membership for Meena Chockalingam for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025 as presented

2. Approval of Renewal of Board Membership for Fernando Peña for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025

M/S/C to approve the Renewal of Board Membership for Fernando Peña for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025 as presented

G. Nominating Committee

Mr. Wright presented the following agenda item for approval:

1. Approval of Proposed Slate of Officers with Terms Commencing January 1, 2023 and Ending June 30, 2024

Chairperson:	John "Chip" Wright
Vice-Chairperson:	Clifford Amsden
Treasurer:	Sandy Martin
Secretary:	Sylvia Delgado

M/S/C to approve Proposed Slate of Officers with Terms Commencing January 1, 2023 and Ending June 30, 2024 as recommended

H. Board Recruitment and Training Committee

Mr. Wright reported that the Committee met on October 3, 2022. It reviewed the Board composition and discussed interviewing Board applicants to ensure continuing compliance with the composition requirements of the Lanterman Act. The Committee is also working on its Board training schedule for 2023.

The next Committee meeting is scheduled for November 14, 2022.

I. Policies and Outcomes Committee

RCOC Board of Directors' Meeting Minutes
November 3, 2022

Mr. Cliff Amsden reported that the Committee met and reviewed the Conflict of Interest Policy and the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve. No revisions were proposed for these policies.

The next Committee meeting is scheduled for February 21, 2023.

J. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on October 11, 2022, and discussed concerns about staffing shortages due to low rates and the end to Alternative Services on December 31, 2022.

The next Committee meeting is scheduled for November 8, 2022.

K. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee last met on October 19, 2022. At this meeting, Mr. Jack Stanton, RCOC's Associate Director of Housing, provided a presentation on housing for persons served.

The next Committee meeting is scheduled for November 16, 2022.

L. Legislative and Community Awareness Report

Ms. Krassner reported that the Committee met on October 11, 2022 and discussed community outreach programs and upcoming holiday events. The Committee also received updates on the visual refresh of RCOC's website.

The next Committee meeting is scheduled for January 10, 2023.

M. ARCA Report

Ms. Krassner reported that ARCA's Board of Directors held their Board retreat on October 20, 2022, and reviewed strategic planning essentials for the next three years.

ARCA's next Board of Directors' meeting is scheduled for January 20, 2023.

N. Community Forum

Ms. Nancy Canchola asked for more information regarding RCOC's 637 proposal.

Mr. Robert Olea asked if the Orange County Transportation Authority was still experiencing staffing shortages, which have caused delays for persons served.

O. Chairperson's Report

RCOC Board of Directors' Meeting Minutes
November 3, 2022

Mr. Wright thanked Board members for their service throughout the year along with Mr. Landauer and RCOC's staff. Mr. Wright also congratulated the five persons served that RCOC honored tonight for their work with the same employer for over 20 years and thanked everyone who attended tonight's meeting in-person.

II. Adjournment

Mr. Wright adjourned the meeting at 7:15 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
November 3, 2022**

Committee Members Present: Sandy Martin
(Members in person, unless otherwise noted) Cliff Amsden (*joined virtually*)
Amy Jessee
Fernando Peña
John “Chip” Wright

Other Board Members Present: Sylvia Delgado
Liza Krassner (*joined virtually*)

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Marta Vasquez, Finance Director
Liliana Castillo, Accounting Manager – Vendorization
Nancy Franco, Accounting Manager – OPS/POS
Arturo Cazares, Director of Community Services
Stacy Wong, Human Resources Director
Jennifer Montanez, Director of Case Management
Raudel Perez, Administrator
Linda Pham, Accountant
Jerrod Bonner, Director of Information Technology
Keli Radford, Director of Services and Supports (*joined virtually*)

The meeting was called to order at 4:13 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, August and September 2022

Ms. Marta Vasquez reported that RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. The Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23 is due to the Department of Developmental Services on December 10, 2022.

The allocation of \$616.3 million is much higher than the amount RCOC expended last year. RCOC has had a surplus for the past two years and will likely have a surplus again this year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume at the same level that they were prior to the pandemic and that RCOC will see significant expenditure growth in day programs and transportation.

Effective January 1, 2023, most vendors will receive rate increases under the Rate Model, another 25%, and some vendors will receive rate increases due to the minimum wage increase.

2. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 26, 2022

Ms. Vasquez reported that RCOC's salary schedule has been updated and reflects a 3.3% increase in most salary ranges. These increases are due to the 3.3% minimum wage increase from \$15.00 to \$15.50 per hour effective January 1, 2023. In addition, due to the compression created by five years of minimum exempt salary increases, RCOC is increasing the salary range for Lead Service Coordinators by 6.67%, the salary range for Area Supervisors by 10%, and the maximum salary for the Clerk to the Board and Chief Counselor's range by 10%.

3. Approval of Independent Accounting Firm for the Year Ended June 30, 2022

Ms. Vasquez reported that RCOC was asking the Board for approval to engage Windes as its independent auditor for the year ended June 30, 2022. Windes has decades of audit experience with regional centers; it has audited 16 of the 21 regional centers. Staff have found Windes' knowledge about the regional center system very helpful in preparing and completing the audit.

M/S/C to approve the monthly SOARs, CalPERS Requirement for a Publicly Available Pay Schedule and Independent Accounting Firm.

The meeting adjourned at 4:24 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 12, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, October 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 12, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – October 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted its first Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23 to the Department of Developmental Services (DDS) on December 10, 2022. RCOC is projecting a surplus of \$45.0 million. RCOC's surplus will be \$52.7 million if all \$7.7 million of the State Plan Amendment receivables are paid. As reported last month, RCOC's allocation of \$616.3 million is significantly higher than the \$474.8 million that RCOC expended last year.

The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of prior year minimum wage increases,
- the new minimum wage increase effective January 1, 2023,
- the continuation of the Rate Model increase effective April 1, 2022 (25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022), and
- the Rate Model increase effective January 1, 2023 (an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022).

One of the challenges affecting this year's projection is the end of Alternative Nonresidential Services effective December 31, 2022. It is unknown how many day programs will resume traditional services. Many vendors are still unable to compete with companies that pay their employees more. DDS authorized remote services for those persons served who voluntarily choose remote service delivery through December 31, 2023. With the elimination of the half-day billing rule, DDS did not specify any minimum level of service that vendors must provide in order to qualify for the daily rate.

Year to date, RCOC's caseload increased by 498 persons for an annualized caseload growth of 5%; the regional center system increased by 9,045 persons for an annualized caseload growth of 5.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of October 31, 2022**

	A	B	C	D	E	F	G	H
		ACTUAL	PROJECTED	SOAR	VARIANCE			
	D-1	SPENT	EXPENDITURES	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
				(12/10/2022)	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	\$191,021,390	\$56,830,323	\$172,944,615	\$187,793,252	2%	\$3,228,138	\$0	\$156,318,277
(2) Day Care	2,170,036	352,795	1,411,180	1,972,760	9%	\$197,276	0	1,476,590
(3) Day Training	99,060,248	20,095,715	60,986,906	83,149,695	16%	\$15,910,553	0	57,606,304
(4) Habilitation	11,028,158	2,763,281	8,579,354	9,299,117	16%	\$1,729,041	0	8,225,568
(5) Transportation	12,640,564	1,994,309	11,965,854	12,172,077	4%	\$468,487	0	7,239,300
(6) Respite	49,772,721	13,252,943	42,713,646	46,142,537	7%	\$3,630,184	0	42,195,039
(7) Supported Living	59,153,073	16,145,479	48,436,437	53,775,521	9%	\$5,377,552	0	48,180,440
(8) Non-medical	27,084,546	6,758,650	20,807,000	23,551,779	13%	\$3,532,767	0	17,799,489
(9) Medical	11,264,620	2,448,875	7,346,625	9,795,322	13%	\$1,469,298	0	6,501,276
(10) Other	109,922,751	30,868,076	94,484,934	105,715,807	4%	\$4,206,944	0	97,085,784
(11) Early Start (Age 0-3)	43,083,317	11,260,599	34,827,311	37,877,529	12%	\$5,205,788	0	32,211,177
(12) Community Placement Plan	100,000	0		0	n/a	n/a	0	
(13) Purchase of Service Total	616,301,424	162,771,045	504,503,861	571,245,396	7%	44,956,028	0	474,839,244
OPERATIONS						\$52,649,443	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	8,750,000	3,058,780	9,176,341	8,750,000	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-146,831	-440,492	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	8,600,000	2,911,949	8,735,848	8,600,000	0%	0	0	6,318,894
(17) Personal Services	55,046,962	13,952,071	41,856,214	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	55,297	165,890	269,299	0%	0	0	229,139
(19) Operations Total	63,916,261	16,919,318	50,757,953	63,916,261	0%	0	0	44,581,306
(20) Total	\$680,217,685	\$179,690,363	\$555,261,814	\$635,161,657	0%	\$44,956,028	\$0	\$519,420,550

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Operating Expense appears to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF OCTOBER 31, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	45,949,795.63	\$166,950.50
Savings	70,639.61	
Money market	0.00	
Payroll	344,862.19	
Donations	206,168.36	
Unemployment	837,039.24	
Certificate of deposit	0.00	
	-----	-----
Total current assets	47,408,805.03	166,950.50
	-----	-----
RECEIVABLES		
State claim	89,111,208.63	
Client support revenue	32,515.70	5,128.73
Due from State - prior years	13,673,308.48	
Due from ICF - ICF Supplemental Services	5,876,251.06	
	-----	-----
Total receivables	108,693,283.87	5,128.73
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$156,762,449.50	\$172,079.23
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$29,804,416.49	\$32,515.70
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	125,862,302.84	
Unemployment insurance	837,039.24	
	-----	-----
Total liabilities	156,556,281.14	32,515.70
	-----	-----
FUND BALANCES		
General		
Donations	206,168.36	
Custodial		139,563.53
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$156,762,449.50	\$172,079.23
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
OCTOBER 31, 2022

Beginning Balance		\$206,168.36
Donations	\$0.00	
Loan Payments	0.00	
Interest	0.00	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>0.00</u>
Ending Balance		<u><u>\$206,168.36</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: January 12, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, November 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: January 12, 2023

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – November 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted its first Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23 to the Department of Developmental Services (DDS) on December 10, 2022. RCOC is projecting a surplus of \$45.0 million. RCOC's surplus will be \$52.7 million if all \$7.7 million of the State Plan Amendment receivables are paid. As reported last month, RCOC's allocation of \$616.3 million is significantly higher than the \$474.8 million that RCOC expended last year.

The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of prior year minimum wage increases,
- the new minimum wage increase effective January 1, 2023,
- the continuation of the Rate Model increase effective April 1, 2022 (25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022), and
- the Rate Model increase effective January 1, 2023 (an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022).

One of the challenges affecting this year's projection is the end of Alternative Nonresidential Services effective December 31, 2022. It is unknown how many day programs will resume traditional services. Many vendors are still unable to compete with companies that pay their employees more. DDS authorized remote services for those persons served who voluntarily choose remote service delivery through December 31, 2023. With the elimination of the half-day billing rule, DDS did not specify any minimum level of service that vendors must provide in order to qualify for the daily rate.

Year to date, RCOC's caseload increased by 498 persons for an annualized caseload growth of 5%; the regional center system increased by 9,045 persons for an annualized caseload growth of 5.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of November 30, 2022**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	D-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(12/10/2022)	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	\$191,021,390	\$69,814,854	\$171,517,241	\$187,793,252	2%	\$3,228,138	\$0	\$156,318,277
(2) Day Care	2,170,036	424,488	1,697,952	1,972,760	9%	\$197,276	0	1,476,590
(3) Day Training	99,060,248	24,182,039	60,767,104	83,149,695	16%	\$15,910,553	0	57,606,304
(4) Habilitation	11,028,158	3,296,483	8,389,211	9,299,117	16%	\$1,729,041	0	8,225,568
(5) Transportation	12,640,564	2,443,705	11,729,784	12,172,077	4%	\$468,487	0	7,239,300
(6) Respite	49,772,721	14,619,463	42,885,562	46,142,537	7%	\$3,630,184	0	42,195,039
(7) Supported Living	59,153,073	19,935,448	48,538,415	53,775,521	9%	\$5,377,552	0	48,180,440
(8) Non-medical	27,084,546	8,122,383	20,502,603	23,551,779	13%	\$3,532,767	0	17,799,489
(9) Medical	11,264,620	3,041,989	7,436,063	9,795,322	13%	\$1,469,298	0	6,501,276
(10) Other	109,922,751	37,264,341	93,428,007	105,715,807	4%	\$4,206,944	0	97,085,784
(11) Early Start (Age 0-3)	43,083,317	13,603,559	34,289,402	37,877,529	12%	\$5,205,788	0	32,211,177
(12) Community Placement Plan	100,000	0		0	n/a	n/a	0	
(13) Purchase of Service Total	<u>616,301,424</u>	<u>196,748,752</u>	<u>501,181,343</u>	<u>571,245,396</u>	<u>7%</u>	<u>44,956,028</u>	<u>0</u>	<u>474,839,244</u>
OPERATIONS						\$52,649,443 * If all SPA receivables are paid.		
(14) Operating Expense (Gross)	8,750,000	3,556,874	8,536,498	8,750,000	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-204,194	-490,067	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	<u>8,600,000</u>	<u>3,352,680</u>	<u>8,046,431</u>	<u>8,600,000</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>6,318,894</u>
(17) Personal Services	55,046,962	17,183,396	41,240,151	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	71,853	172,447	269,299	0%	0	0	229,139
(19) Operations Total	<u>63,916,261</u>	<u>20,607,929</u>	<u>49,459,029</u>	<u>63,916,261</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>44,581,306</u>
(20) Total	<u>\$680,217,685</u>	<u>\$217,356,681</u>	<u>\$550,640,371</u>	<u>\$635,161,657</u>	<u>0%</u>	<u>\$44,956,028</u>	<u>\$0</u>	<u>\$519,420,550</u>

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF NOVEMBER 30, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	45,622,024.16	\$167,401.38
Savings	56,703.00	
Money market	0.00	
Payroll	345,847.44	
Donations	205,767.56	
Unemployment	837,174.53	
Certificate of deposit	0.00	
	-----	-----
Total current assets	47,067,816.69	167,401.38
	-----	-----
RECEIVABLES		
State claim	90,558,412.32	
Client support revenue	34,922.18	5,128.73
Due from State - prior years	9,519,765.67	
Due from ICF - ICF Supplemental Services	6,574,011.45	
	-----	-----
Total receivables	106,687,111.62	5,128.73
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$154,415,288.91	\$172,530.11
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$26,783,002.20	\$34,922.18
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	126,538,595.84	
Unemployment insurance	835,400.74	
	-----	-----
Total liabilities	154,209,521.35	34,922.18
	-----	-----
FUND BALANCES		
General		
Donations	205,767.56	
Custodial		137,607.93
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$154,415,288.91	\$172,530.11
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
NOVEMBER 30, 2022

Beginning Balance		\$206,168.36
Donations:		
Hankla, Patricia	\$40.00	
Rawlings, Leslie	50.00	
JD Property Management, Inc.	100.00	
No Ordinary Moments, Inc.	250.00	
Shim, Sook J.	<u>300.00</u>	
Subtotal Donations	\$740.00	
Loan Payments	250.00	
Interest	0.00	
Disbursements:		
Moving Expenses	<u>-1,390.80</u>	
Net Increase (Decrease)		<u>-400.80</u>
Ending Balance		<u><u>\$205,767.56</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION _____
ACTION/CONSENT _____
DISCUSSION X _____
INFO ONLY _____

DATE: January 12, 2023

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2022-23**

BACKGROUND:

In accordance with the contract between regional centers and the Department of Developmental Services (Article III, Section 2, paragraph e.), RCOC is required to report on the sufficiency of the Purchase of Service (POS) allocation for fiscal year 2022-23.

REASON FOR CURRENT ITEM:

To provide additional information on the analysis of the sufficiency of the Purchase of Service (POS) allocation.

FISCAL IMPACT:

None

RECOMMENDATION:

For discussion.

Enter Number of Months Claimed 4 for Dec 10th report, once 12 is reached leave at 12)	4
Date of POS Payments Cut-Off:	October 31, 2022

REPORT DATE: December 10, 2022

REGIONAL CENTER OF ORANGE COUNTY

POS EXPENDITURE PROJECTION (PEP) SUMMARY

Fiscal Year 2022-2023

Actual Expenditures through October

NON-CPP EXPENDITURES (Regular POS Monthly Claims)

	CURRENT MONTH	Enter Prior Month	CHANGES
	High Estimate	High Estimate	High Estimate
Estimated Cost of Current Services	\$525,289,542	\$0	525,289,542
Estimated Growth	\$10,892,700	\$0	10,892,700

Enter Other Items as necessary, which are not part of the YTD or estimated expenditures which may include but are not limited to:

1. Deduct allocation for HCBSW Compliance	-936,003	\$0	(936,003)
2. Deduct estimated receipts from ICFs for SPA services.	-7,693,415	0	(7,693,415)
3. One time adjustment of base			0
4. Adjustment for SSI CCF Rate			0
5. SSI/SSP Restoration (Not Yet Paid)			0
6. Supplemental Rate Increase			0
7. State of Emergency (SOE) / COVID			0
8. FEEMA, CARES			0
9. Rate Model Increases Effective 1/1/23	30,572,592	0	30,572,592
10 Minimum wage increase effective 1/1/23, DDS sets rate	2,700,562	0	2,700,562
11 Minimum wage increase effective 1/1/23, RC sets rate	1,790,000	0	1,790,000
TOTAL ESTIMATED EXPENDITURES	\$562,615,978	\$0	\$562,615,978

REGIONAL CENTER:
 FISCAL YEAR:
 NO. OF MONTHS CLAIMED:

ical Year 2022-2023
 4

REGIONAL CENTER OF ORANGE COUNTY

Number of remaining Months 8

NON-CPP EXPENDITURES

Regional Centers may opt to use a different reasonable methodology to calculate Estimated Growth

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>	<u>Column 10</u>	
Enter YTD Expenditures Jul-Oct	Late Bills	Enter Avg # of Consumers per Month (Status 1 & 2)	# of Mos/Days to End Of Year (EOY)	Rate	Projected Costs for Current Consumers Nov-Jun	Estimated Cost of Current Services	Enter Consumer Growth/Mo.	Enter Growth Variance, Enter % of	Estimated Growth HIGH	
OUT-OF-HOME CARE										
Community Care Facilities	\$55,634,831	\$1,221,208	2,371	8	\$6,235	\$118,265,480	\$175,121,519	0.0	0.0%	\$0
ICF/SNF Facilities	\$336,001	\$251,207	9	8	\$19,547	\$1,407,384	\$1,994,592		0.0%	\$0
Total Out-of-Home Care	\$55,970,832	\$1,472,415				\$119,672,864	\$177,116,111			\$0
DAY PROGRAMS										
Day Care	\$289,588	\$340,276	101	8	\$1,662	\$1,342,896	\$1,972,760		0.0%	\$0
Day Training	\$28,771,355	\$1,313,392	6,229	8	\$1,259	\$62,738,488	\$92,823,235	25.0	0.0%	\$1,133,100
Supported Employment										
950-SEP Group	\$1,865,995	\$0	275	8	\$1,971	\$4,336,200	\$6,202,195		0.0%	\$0
952-SEP Placement	\$756,836	\$129,616	423	8	\$524	\$1,772,904	\$2,659,356		0.0%	\$0
Work Activity Program										
954-WAP	\$0	\$0	0	8	\$0	\$0	\$0		0.0%	\$0
Total Day Programs	\$31,683,774	\$1,783,284				\$70,190,488	\$103,657,546	25.0	0.0%	\$1,133,100
OTHER SERVICES										
Non-Medical: Professional	\$2,559,890	\$186,027	1,178	8	\$605	\$5,701,520	\$8,447,437		0.0%	\$0
Non-Medical: Programs	\$4,191,935	238,070	471	8	2,414	9,095,952	13,525,957	25.0	0.0%	2,172,600
Home Care: Programs	\$9,912	0	2	8	1,239	19,824	29,736		0.0%	0
Transportation	\$1,921,408	2,238,590	2,598	8	310	6,443,040	10,603,038	75.0	0.0%	837,000
Transportation Contracts	\$0	0	0	8	0	0	0		0.0%	0
Prevention	\$0	0	1	8	420	3,360	3,360		0.0%	0
Other-Comm. Activity Services 063	\$11,814,461	0	1,031	8	2,952	24,348,096	36,162,557	25.0	0.0%	2,656,800
Other-SSI/SSP Restoration 065	\$105,310	0	371	8	57	169,176	274,486		0.0%	0
Other-SLS 896	\$15,888,533	0	427	8	9,603	32,803,848	48,692,381		0.0%	0
Other Authorized Services	\$17,866,371	5,645,455	1,671	8	3,500	46,788,000	70,299,826	25.0	0.0%	3,150,000
Personal and Incidentals	\$195,534	0	20	8	234	37,440	232,974		0.0%	0
Hospital Care	\$2,045,520	203,750	18	8	31,801	4,579,344	6,828,614		0.0%	0
Medical Equipment	\$6,235	0	31	8	55	13,640	19,875		0.0%	0
Medical Service: Professional	\$1,335,640	13,469	907	8	388	2,815,328	4,164,437		0.0%	0
Medical Service: Programs	\$204,236	0	45	8	1,606	578,160	782,396		0.0%	0
Respite: In Own Home	\$11,247,068	3,427,152	3,534	8	1,048	29,629,056	44,303,276	25.0	0.0%	943,200
Respite: Out of Home	\$33,503	0	6	8	2,334	112,032	145,535		0.0%	0
Camps		0	0	8	0	0	0		0.0%	0
Total Other Services	\$69,425,556	\$11,952,513				\$163,137,816	\$244,515,885	175.0	0.0%	\$9,759,600
TOTAL POS	\$157,080,162	\$15,208,212				\$353,001,168	\$525,289,542	200.0	0.0%	\$10,892,700

REGIONAL CENTER: **ORANGE**
 FISCAL YEAR: **2022-23**
 NO. OF MONTHS CLAIMED: **4**

DAY PROGRAM EXPEN

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Day Programs^{1/}	1		1		2	2	1				1		8
Number of Consumers	6,229	6,165	5,993	5,245	4								
POS Cost	\$7,034,089	\$7,656,448	\$7,545,853	\$6,531,187	\$3,778								\$28,771,355
POS Avg Cost	\$1,129.25	\$1,241.92	\$1,259.11	\$1,245.22	\$944.50								
Program Days	20	23	21	21	20	20	21	19	23	20	22	22	252
Avg Daily Cost	\$56.46	\$54.00	\$59.96	\$59.30	\$47.23								
YTD Avg Cost		\$55.23	\$56.81	\$57.43	\$55.39								
Supported Employment Program, Group Services- 950^{1/}													
Number of Consumers	275	275	275	275									
POS Cost	\$460,517	\$503,231	\$439,036	\$463,211									\$1,865,995
POS Avg Cost	\$1,674.61	\$1,829.93	\$1,596.49	\$1,684.40									
Program Days	20	23	21	21	20	20	21	19	23	20	22	22	252
Avg Daily Cost	\$83.73	\$79.56	\$76.02	\$80.21									
YTD Avg Cost		\$81.65	\$79.77	\$79.88									
Supported Employment Program Individual Services- 952^{1/}													
Number of Consumers	395	423	414	260									
POS Cost	\$197,278	\$233,478	\$205,170	\$120,910									\$756,836
POS Avg Cost	\$499.44	\$551.96	\$495.58	\$465.04									
Program Days	20	23	21	21	20	20	21	19	23	20	22	22	252
Avg Daily Cost	\$24.97	\$24.00	\$23.60	\$22.14									
YTD Avg Cost		\$24.49	\$24.19	\$23.68									
Work Activity Program-954^{2/}													
Number of Consumers													
POS Cost													\$0
POS Avg Cost													
Program Days, Max Billing Days	20	23	21	20	20	21	20	19	23	20	22	21	250
Avg Daily Cost													
YTD Avg Cost													
Transportation 65050													
Number of Consumers	1,054	1,202	1,078	1,037									
POS Cost	\$436,253	\$540,875	\$479,600	\$464,680									\$1,921,408
POS Avg Cost	\$413.90	\$449.98	\$444.90	\$448.10									
Program Days	20	23	21	21	20	20	21	19	23	20	22	22	252
Avg Daily Cost	\$20.70	\$19.56	\$21.19	\$21.34					\$0.00	\$0.00	\$0.00		
YTD Avg Cost		\$20.13	\$20.48	\$20.70									
Transportation Contracts 65051													
Number of Consumers													
POS Cost													\$0
POS Avg Cost													
Program Days	20	23	21	21	20	20	21	19	23	20	22	22	252
Avg Daily Cost													
YTD Avg Cost													

^{2/}Work Activity Program (WAP) Days displays the number of the maximum billing days noted on the WAP Billable days letter from the Department. Title 17, section 58880 permits vendors to vary from this schedule as long as the vendors do not exceed the maximum of 251 billing days and the vendor sends a revised schedule to the Regional Center(s).

REGIONAL CENTER OF ORANGE COUNTY

Enter Estimated Late Bills

Jul-Oct

Enter the estimated late bills either on this worksheet or directly on the Non-CPP
The data entered on the Late Bills worksheet automatically posts on the Non-CPP and (

NON-CPP

OUT-OF-HOME CARE

Community Care Facilities	\$1,221,208
ICF/SNF Facilities	251,207
Total Out-of-Home Care	\$1,472,415

DAY PROGRAMS

Day Care	340,276
Day Training	1,313,392
Supported Employment	
950-SEP Group	
952-SEP Placement	129,616
Work Activity Program	
954-WAP	
Total Day Programs	\$1,783,284

OTHER SERVICES

Non-Medical: Professional	186,027
Non-Medical: Programs	238,070
Home Care: Programs	
Transportation	2,238,590
Transportation Contracts	
Prevention	
Other-Comm. Activity Services 063	
Other-SSI/SSP Restoration 065	
Other-SLS 896	
Other Services	5,645,455
Personal and Incidentals	
Hospital Care	203,750
Medical Equipment	
Medical Service: Professional	13,469
Medical Service: Programs	
Respite: In Own Home	3,427,152
Respite: Out of Home	
Camps	
Total Other Services	\$11,952,513

TOTAL LATE BILLS **\$15,208,212**

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: January 12, 2023

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 12, 2023**

BACKGROUND:

Since August 10, 2011, the California Code of Regulations, Title 2, Section 570.5, has required California Public Employees’ Retirement System’s (CalPERS’s) employers to have pay rates approved by the employer’s governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on November 3, 2022.

REASON FOR CURRENT ITEM:

One of regional centers’ primary responsibilities is eligibility determination. The California Code of Regulations, Title 17, Section 54001, specifies that the group that determines Lanterman eligibility shall include, at a minimum, the service coordinator, physician and psychologist.

Patients can now receive telehealth services, including audio-only services, for mental/behavioral health care in their homes. Psychologists and other mental health professionals can now work where and when they want.

Two psychologists left RCOC about six months ago and RCOC has been unable to fill those positions. The attached salary schedule reflects an 8% increase in the maximum salary for the Psychologist range. The schedule also includes a 10% increase in the maximum salary for the Area Manager range, which eliminates compression between the two ranges. Only psychologists will receive an increase. This is in addition to the 3.3% that employees received at the end of December.

FISCAL IMPACT:

Salary increase for two psychologistapproximately \$19,000 plus benefits

RECOMMENDATION:

That the Board approve the salary schedule for RCOC’s employees effective January 12, 2023.

		Minimum	Midpoint	Maximum	Increase in Range	
					Minimum	Maximum
EXECUTIVE DIRECTOR	HR	104.37	116.29	128.22	0.00%	0.00%
	BW	8,349.25	9,303.54	10,257.84		
	MO	18,090.04	20,157.68	22,225.32		
	YR	217,080.46	241,892.16	266,703.86		
MEDICAL DIRECTOR PHYSICIAN	HR	72.39	93.21	114.03	0.00%	0.00%
	BW	5,791.59	7,457.10	9,122.61		
	MO	12,548.45	16,157.05	19,765.65		
	YR	150,581.44	193,884.65	237,187.85		
CHIEF FINANCIAL OFFICER GENERAL COUNSEL	HR	72.39	88.67	104.95	0.00%	0.00%
	BW	5,791.59	7,093.64	8,395.69		
	MO	12,548.45	15,369.56	18,190.67		
	YR	150,581.44	184,434.75	218,288.05		
CONSULTING PHARMACIST DIRECTOR: Case Management, Community Services, Clinical, Finance, HR, IT Services and Supports	HR	61.53	72.48	83.43	0.00%	0.00%
	BW	4,922.71	5,798.70	6,674.69		
	MO	10,665.87	12,563.84	14,461.82		
	YR	127,990.38	150,766.11	173,541.83		
MANAGER, AREA ASSOCIATE DIRECTOR: Finance, Housing, IT, Risk Management	HR	46.14	56.85	67.57	0.00%	10.00%
	BW	3,691.13	4,548.33	5,405.54		
	MO	7,997.46	9,854.73	11,711.99		
	YR	95,969.49	118,256.71	140,543.93		
PSYCHOLOGIST	HR	42.95	52.93	62.90	0.00%	8.00%
	BW	3,436.19	4,234.01	5,031.84		
	MO	7,445.07	9,173.69	10,902.31		
	YR	89,340.82	110,084.27	130,827.73		
MANAGER: Nursing THERAPIST: Occupational, Physical Speech	HR	42.95	50.60	58.24	0.00%	0.00%
	BW	3,436.19	4,047.65	4,659.11		
	MO	7,445.07	8,769.90	10,094.73		
	YR	89,340.82	105,238.80	121,136.78		
CHIEF COUNSELOR, ADMINISTRATOR MANAGER: Custodian of Records, Employment Fair Hearings, HR, Network, Risk, Safety Net	HR	37.37	45.01	52.65	0.00%	0.00%
	BW	2,989.48	3,600.88	4,212.29		
	MO	6,477.20	7,801.91	9,126.63		
	YR	77,726.43	93,622.97	109,519.51		
CLERK TO THE BOARD, NURSE CONSULT. MANAGER: Family Support/Comm. Outreach COORDINATOR: PCT, Self-Determination SPECIALIST: Federal Programs and Benefits	HR	38.89	45.26	51.62	0.00%	0.00%
	BW	3,111.58	3,620.53	4,129.48		
	MO	6,741.76	7,844.48	8,947.20		
	YR	80,901.12	94,133.74	107,366.36		
BCBA Masters	HR	36.79	41.34	45.89	0.00%	0.00%
	BW	2,943.13	3,307.26	3,671.39		
	MO	6,376.77	7,165.72	7,954.67		
	YR	76,521.29	85,988.66	95,456.03		
AREA and QA SUPERVISOR, RESOURCE GROUP LEADER, TRAINING PROGRAMMER ANALYST, MANAGER Accounting and IT, ASST. MAN. Fair Hearings	HR	33.00	41.56	50.12	0.00%	0.00%
	BW	2,640.00	3,324.88	4,009.75		
	MO	5,720.00	7,203.90	8,687.80		
	YR	68,640.00	86,446.78	104,253.57		
COORDINATOR: Lead Service Federal Programs and Benefits Deaf and Hard of Hearing Coordinator	HR	32.00	38.70	45.50	0.00%	0.00%
	BW	2,560.00	3,096.02	3,640.09		
	MO	5,546.67	6,708.05	7,886.87		
	YR	66,560.00	80,496.55	94,642.40		
SERVICE COORDINATOR, HCBS COOR. ACCOUNTANT, ACCOUNTING SUP. CULTURAL SPECIALIST/COMM. OUT. EXECUTIVE LIAISON; HR SPECIALIST	HR	31.00	36.00	41.00	0.00%	0.00%
	BW	2,480.00	2,880.02	3,280.04		
	MO	5,373.33	6,240.04	7,106.75		
	YR	64,480.00	74,880.51	85,281.03		
ASSOCIATE PROGRAMMER ANALYST COORDINATOR: Emergency, IT, Quality Assurance, Systems	HR	25.86	31.16	36.46	0.00%	0.00%
	BW	2,068.60	2,492.87	2,917.13		
	MO	4,481.97	5,401.21	6,320.46		
	YR	53,783.68	64,814.58	75,845.48		
COORDINATOR: Fiscal, SIR, Vendor SPECIALIST: HRG, Intake Community Navigator	HR	24.74	27.37	29.99	0.00%	0.00%
	BW	1,979.22	2,189.28	2,399.34		
	MO	4,288.31	4,743.44	5,198.56		
	YR	51,459.70	56,921.23	62,382.77		
COORDINATOR: Community Resources, Fair Hearing, Imaging, Operations, Payables Support Services SPECIALIST: IT	HR	23.02	24.96	26.89	0.00%	0.00%
	BW	1,841.48	1,996.49	2,151.50		
	MO	3,989.88	4,325.74	4,661.59		
	YR	47,878.59	51,908.84	55,939.09		
TECHNICIAN: Accounting, Area, IT, Intake Scanning, Technical Assistant, Receptionist Account Clerk	HR	18.95	21.67	24.40	0.00%	0.00%
	BW	1,515.66	1,733.78	1,951.90		
	MO	3,283.94	3,756.53	4,229.12		
	YR	39,407.27	45,078.33	50,749.39		
PEER ADVOCATE Office Aide	HR	15.50	16.05	16.61	0.00%	0.00%
	BW	1,240.00	1,284.25	1,328.49		
	MO	2,686.67	2,782.53	2,878.40		
	YR	32,240.00	33,390.41	34,540.82		



Summary of Information About Persons Served - October 2022

NUMBER OF PERSONS SERVED	24,219	100%
Children - Birth to Age Three Receiving Early Start Services	3,432	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,544	31%
Adults - Ages 18 and Older Receiving Lanterman Services	12,783	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	521
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,604	60%
Epilepsy	2,889	14%
Cerebral Palsy	2,557	13%
Autism	9,095	44%
Fifth Category*	1,792	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	360
Early Start / Under Age Three / 45 days to complete determination	293 81%
Lanterman / Over Age Three / 120 days to complete determination	66 18%
Provisional / Up to Age Five / 90 days to complete determination	1 0%

NUMBER OF PERSONS DETERMINED ELIGIBLE	155
Children - Birth to Age Three Eligible for Early Start Services	57
Children and Adults - Ages Three and Older Eligible for Lanterman Services	37
<ul style="list-style-type: none"> • Number of children who received Early Start services 	35
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	22
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	154
Children - Age Three No Longer Eligible for Early Start Services	153
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	1

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

OCTOBER 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33		
Unannounced	42	43	36	44		
Total Number of Reviews	81	85	72	77	0	0

Provider Trainings	0	0	0	0		
Technical Support	161	164	145	159		
Corrective Action Plans	1	5	13	2		
Special Incident Investigations*	9	20	27	39		
COVID-19 Checklist	0	0	0	0		

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							150
Unannounced							165
Total Number of Reviews	0	0	0	0	0	0	315

Provider Trainings							0
Technical Support							629
Corrective Action Plans							21
Special Incident Investigations*							95

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

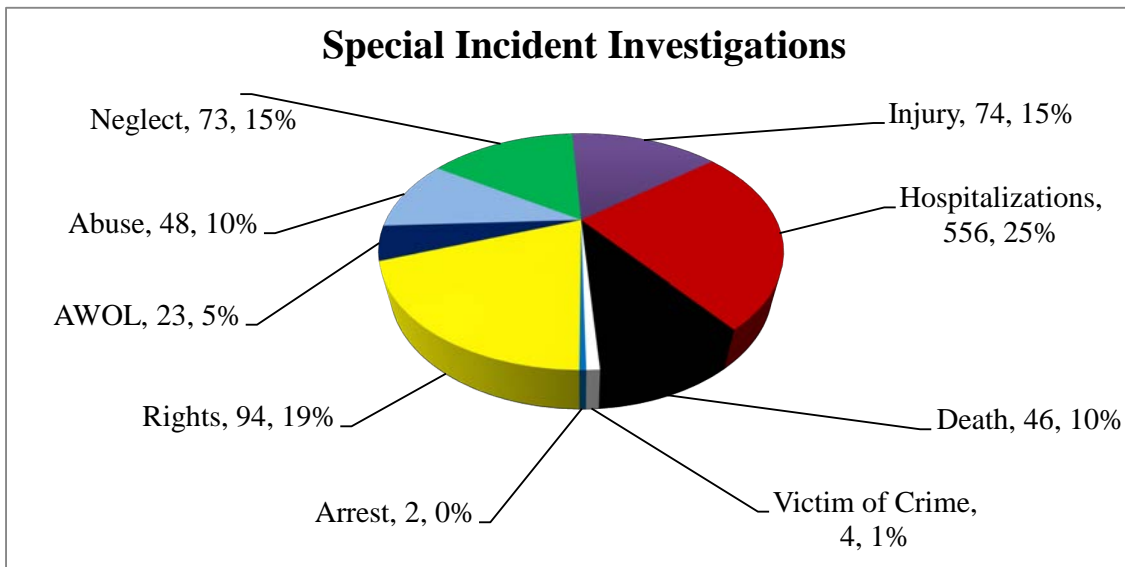
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3		
Abuse	8	16	11	13		
Neglect	14	16	17	26		
Injury	18	25	15	16		
Hospitalizations - Total	34	29	27	32		
<i>Psychiatric</i>	4	3	2	4		
<i>Medical</i>	30	26	25	28		
Death	7	11	16	12		
Victim of crime	2	1	0	1		
Arrest	2	0	0	0		
Rights	27	7	31	29		
Total	121	114	119	132	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							23
Abuse							48
Neglect							73
Injury							74
Hospitalizations - Total	0	0	0	0	0	0	122
<i>Psychiatric</i>							13
<i>Medical</i>							109
Death							46
Victim of Crime							4
Arrest							2
Rights							94
Total	0	0	0	0	0	0	486



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0		
Staffing	0	0	0	0		
Level 4I Consultant	0	0	0	0		
P&I (consumer funds)	0	0	0	0		
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0		
Recovery	0	0	0	0		

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------	---------------

FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70		
Diapers - Family Member	7	6	6	6		
Nursing Service - Family Member	62	59	61	60		
Respite Service - Family Member	595	616	640	642		
Transportation - Family Member	150	152	160	162		
Total Number of Voucher Authorizations	882	901	940	940	0	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

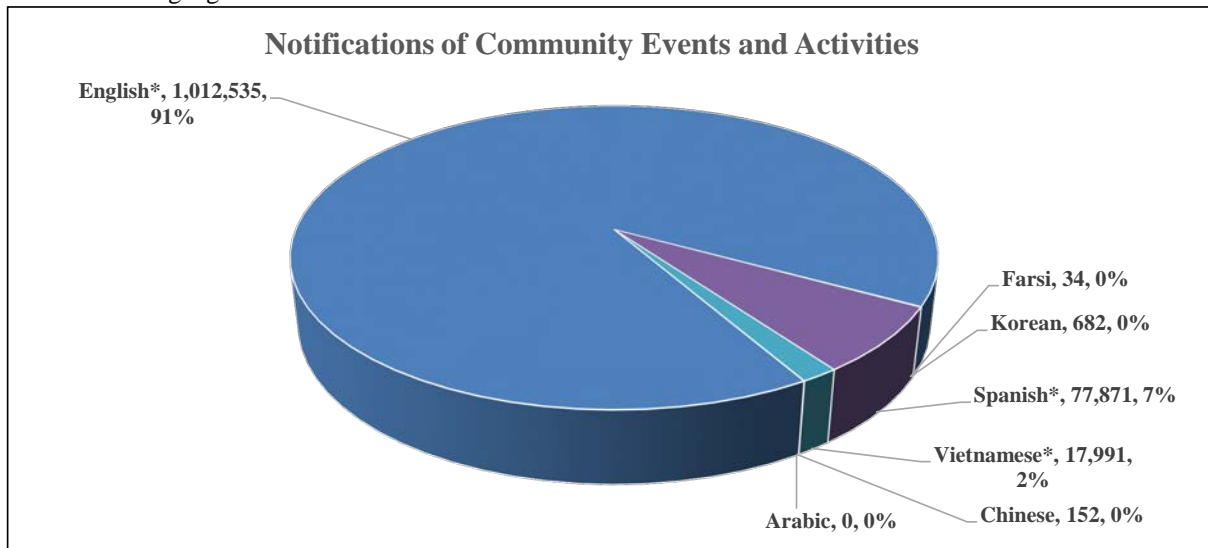
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,798		
Farsi				34		
Korean		479	203			
Spanish*	10,805	19,473	19,493	28,100		
Vietnamese*	6,593	2,418	5,849	3,131		
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	256,063	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							1,012,535
Farsi							34
Korean							682
Spanish*							77,871
Vietnamese*							17,991
Chinese							152
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	1,109,265

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7		
Spanish	1	1	4	1		
Vietnamese		2	3			
Other Languages		1 (Korean)		1 (Korean)		
In Print						
English	3		3			
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		
Other Languages						
Total Number of Outreach Events	5	16	18	10	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							26
Spanish							7
Vietnamese							5
Other Languages							2
In Print							
English							6
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							2
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	49

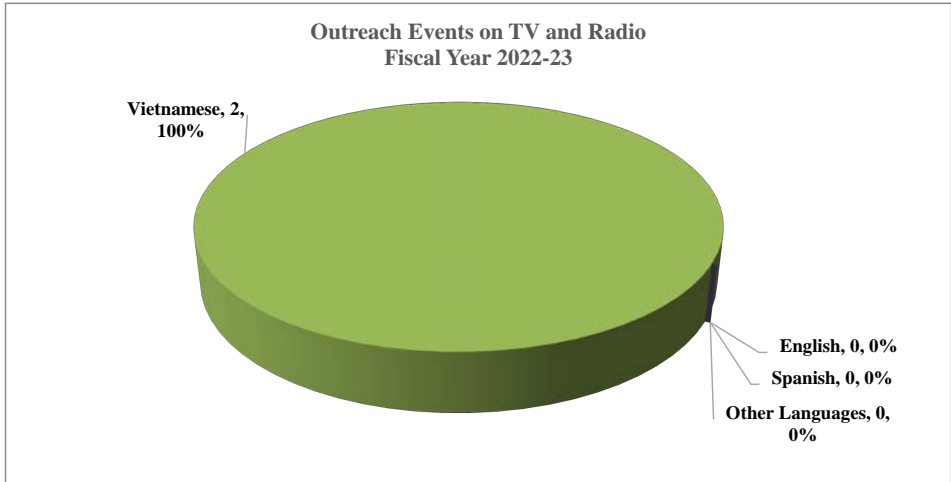
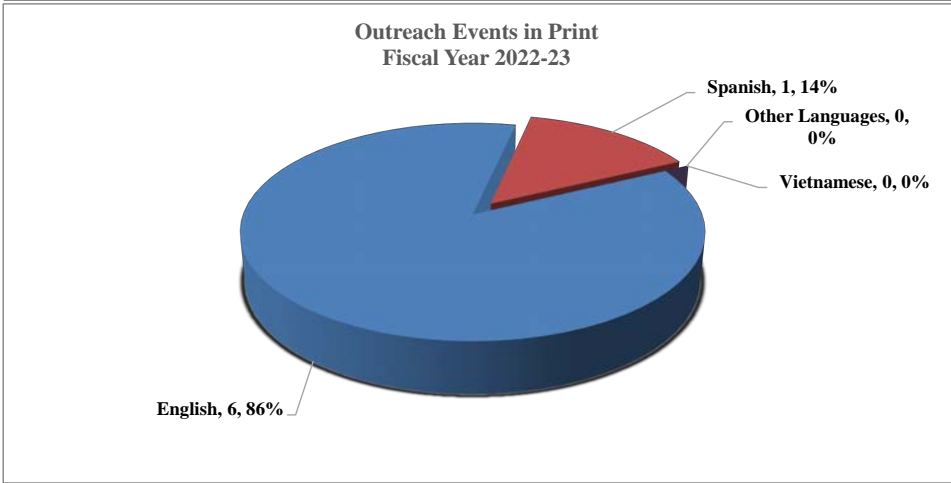
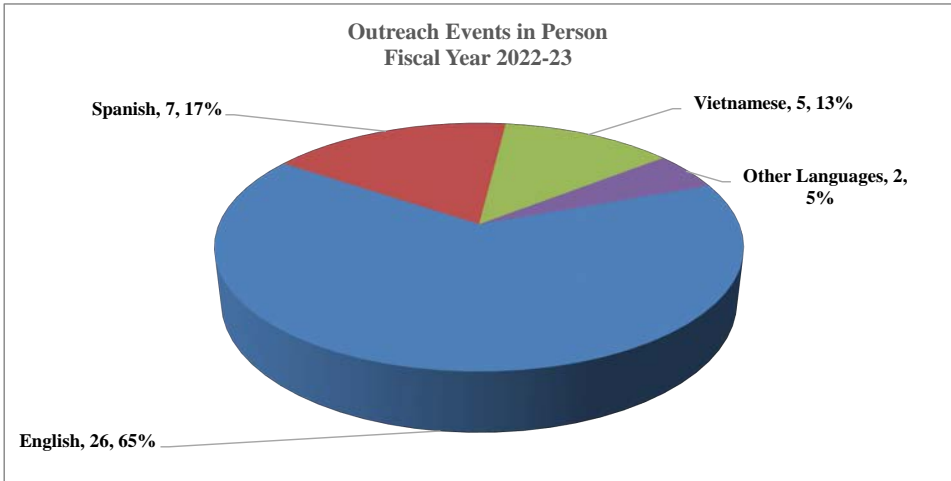
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

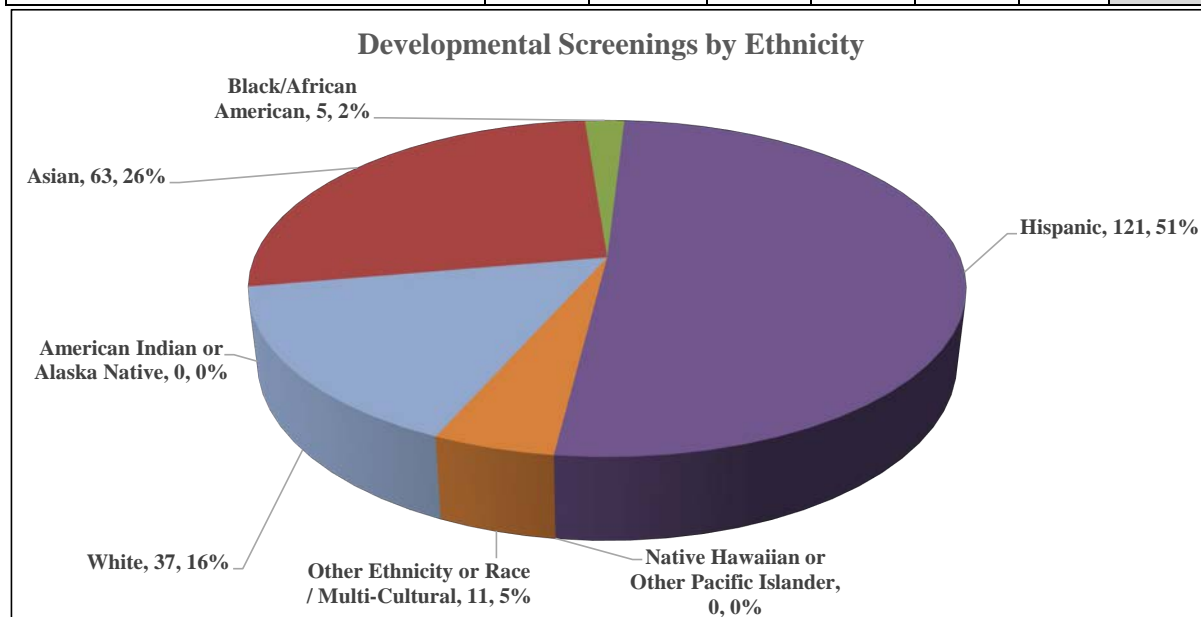
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0		
Asian	19	7	30	7		
Black/African American	3	1	0	1		
Hispanic	19	42	28	32		
Native Hawaiian or Other Pacific Islander	0	0	0	0		
Other Ethnicity or Race / Multi-Cultural	8	1	2	0		
White	5	4	11	17		
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOC	18	25	13	18		

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							63
Black/African American							5
Hispanic							121
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							11
White							37
Total Number Screened	0	0	0	0	0	0	237
Total Number Referred to RCOC							74

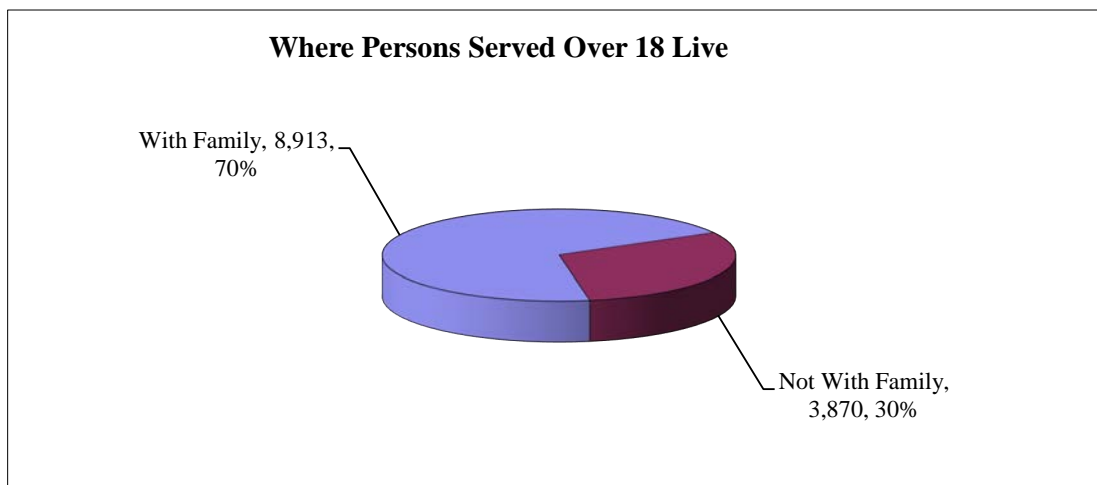
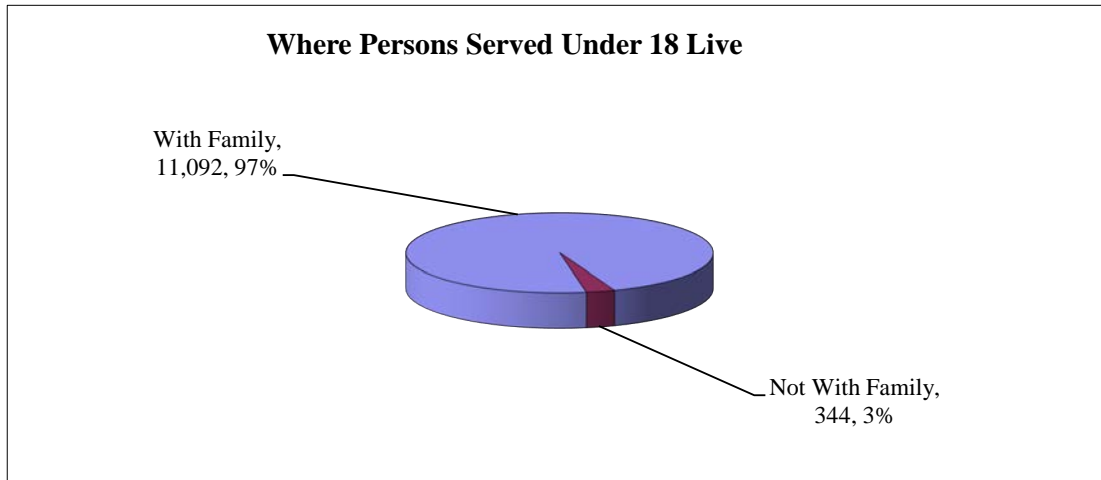


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

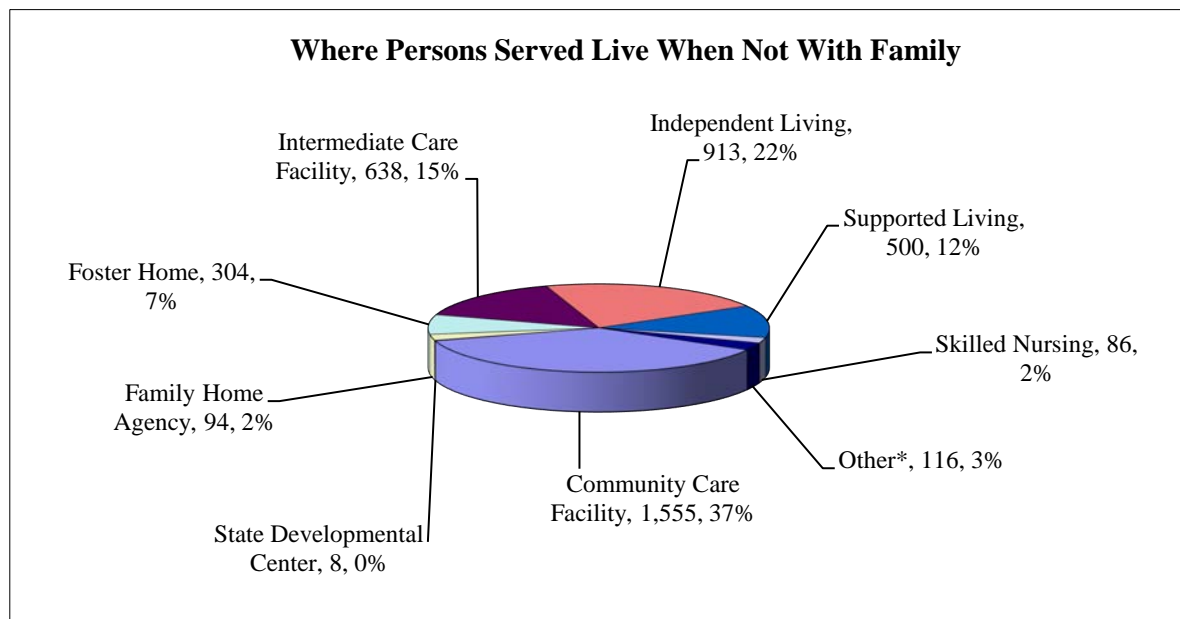
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,005	11,092	8,913
Not With Family	4,214	344	3,870
Totals	24,219	11,436	12,783



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,005	11,092	8,913
Community Care Facility	1,555	16	1,539
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	304	299	5
Intermediate Care Facility	638	4	634
Independent Living	913	0	913
Supported Living	500	0	500
Skilled Nursing	86	0	86
Other*	116	25	91
Total	24,219	11,436	12,783

Other*			
Acute General Hospital	4	1	3
California Youth Authority	1	1	0
Community Treatment	4	2	2
Correctional Institution	1	0	1
County Jail	1	0	1
Other	0	0	0
Out of State	4	1	3
Psychiatric Treatment	15	1	14
Rehabilitation Center	5	0	5
SDC / State Hospital	8	0	8
Sub-Acute	42	17	25
Transient / Homeless	21	1	20
Total, Other*	106	24	82



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

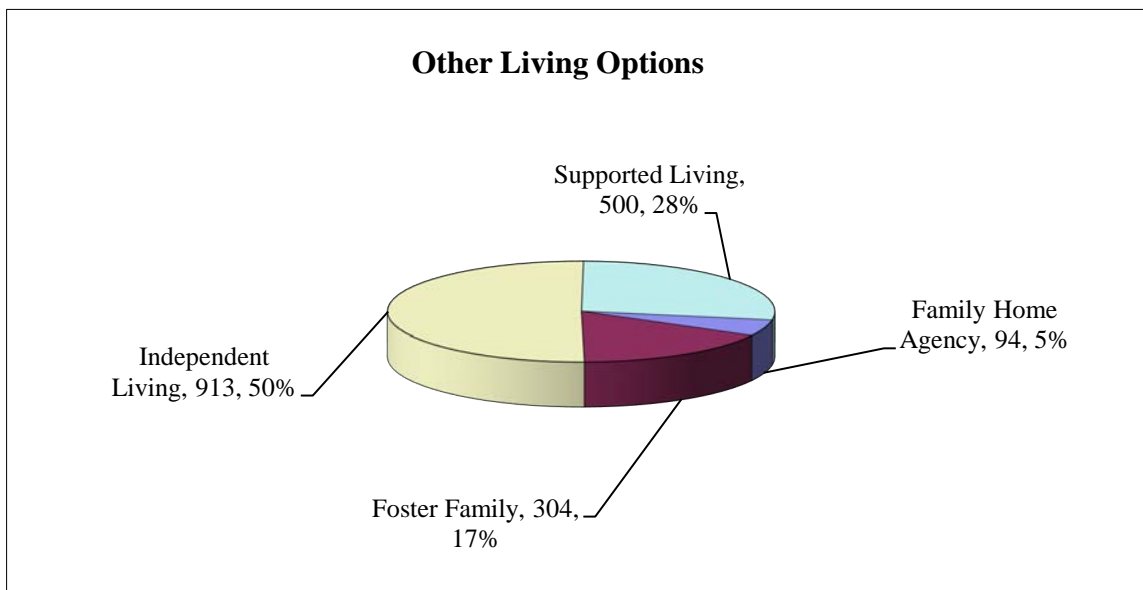
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	94	0	94
Foster Family	304	299	5
Independent Living	913	0	913
Supported Living	500	0	500
Total	1,811	299	1,512



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOG
Fiscal Year 2022-23

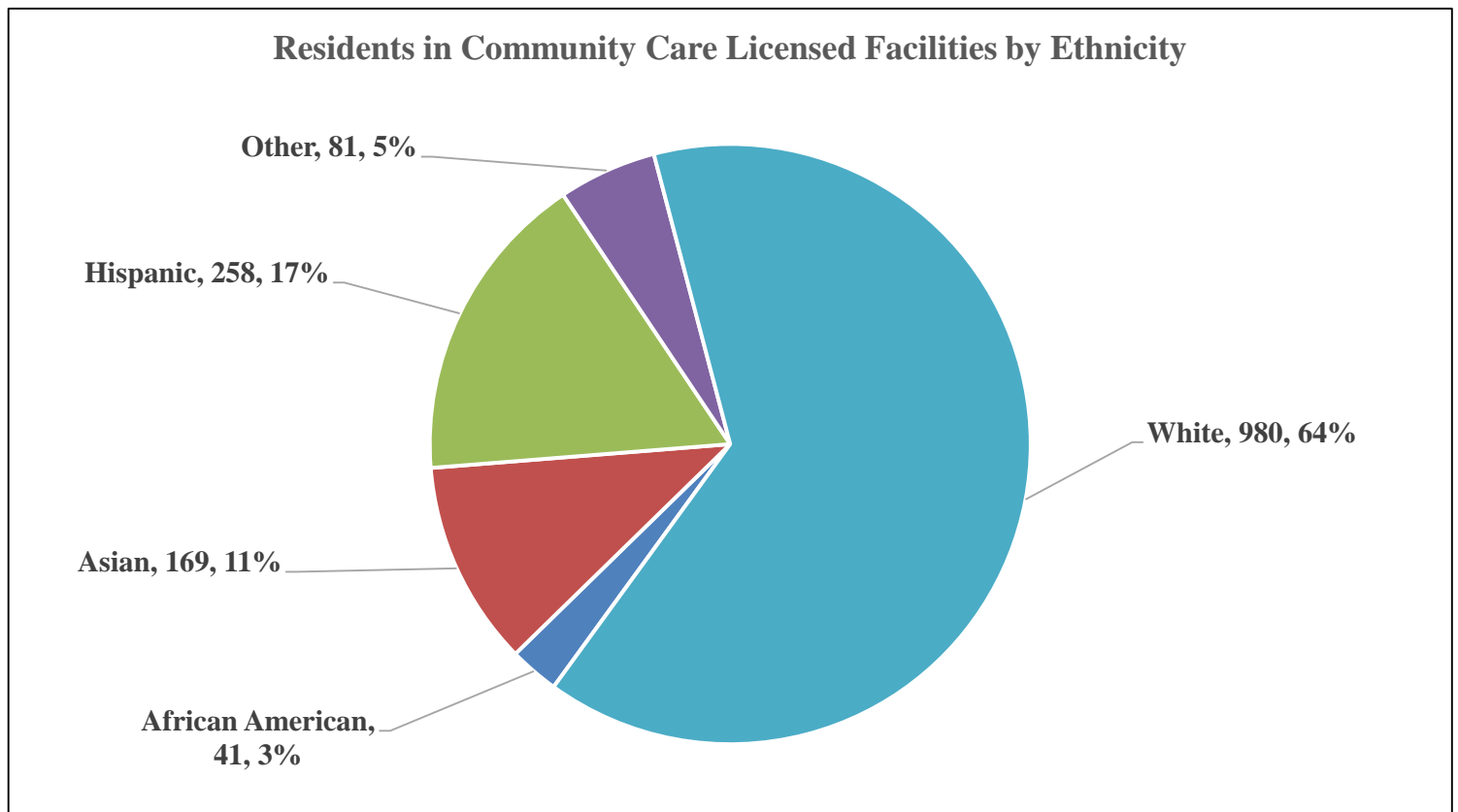
Licensed Facilities	Total	Over 18	Under 18
Level 2	201	201	0
Level 3	274	274	0
Level 4A	34	34	0
Level 4B	4	4	0
Level 4C	48	48	0
Level 4D	37	37	0
Level 4E	21	21	0
Level 4F	60	60	0
Level 4G	28	28	0
Level 4H	1	1	0
Level 4I	302	301	1
Elderly	1	1	0
ICF/DD-H	1	1	0
ICF/DD-N	5	5	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,017	1,016	1

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	201	201	0
Level 3	274	274	0
Level 4	535	534	1
ICF/DD-H	1	1	0
ICF/DD-N	5	5	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,017	1,016	1

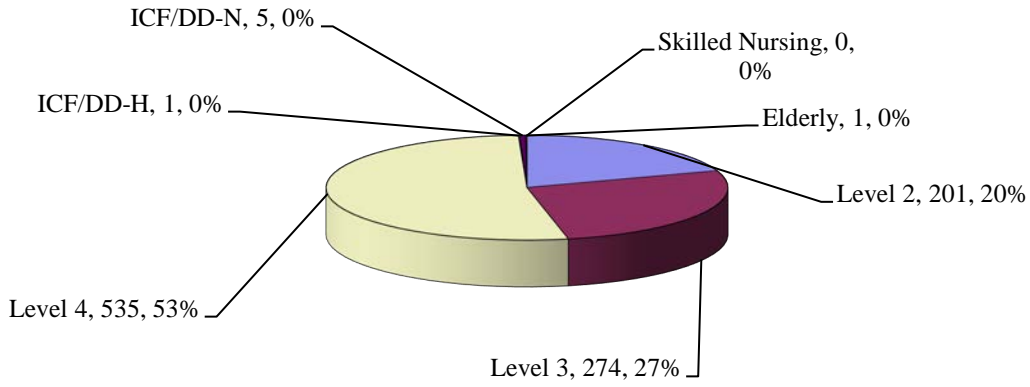
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2022-23

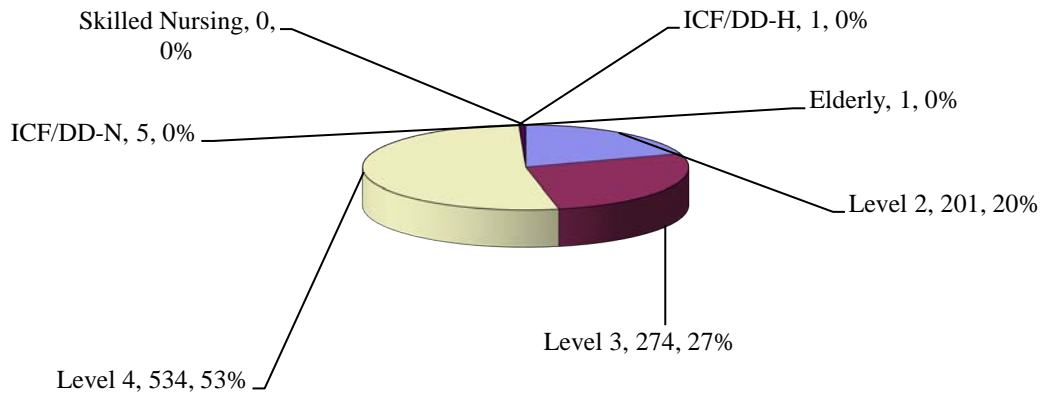
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	25	53	11	217	316
Level 3	10	30	66	21	244	371
Level 4A	1	5	6	2	24	38
Level 4B	0	1	0	0	8	9
Level 4C	2	11	11	1	57	82
Level 4D	0	9	6	2	29	46
Level 4E	0	3	9	3	21	36
Level 4F	3	14	9	2	40	68
Level 4G	0	7	4	2	36	49
Level 4H	0	0	2	0	1	3
Level 4I	15	64	92	37	303	511
Total	41	169	258	81	980	1,529



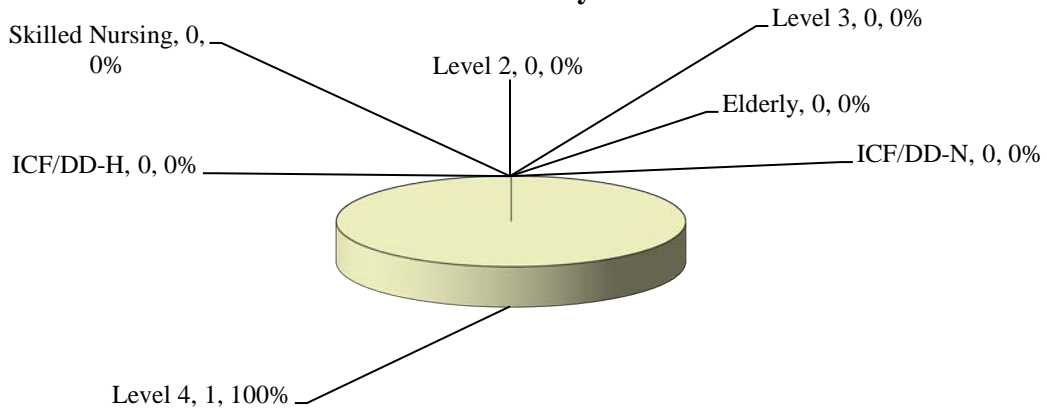
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

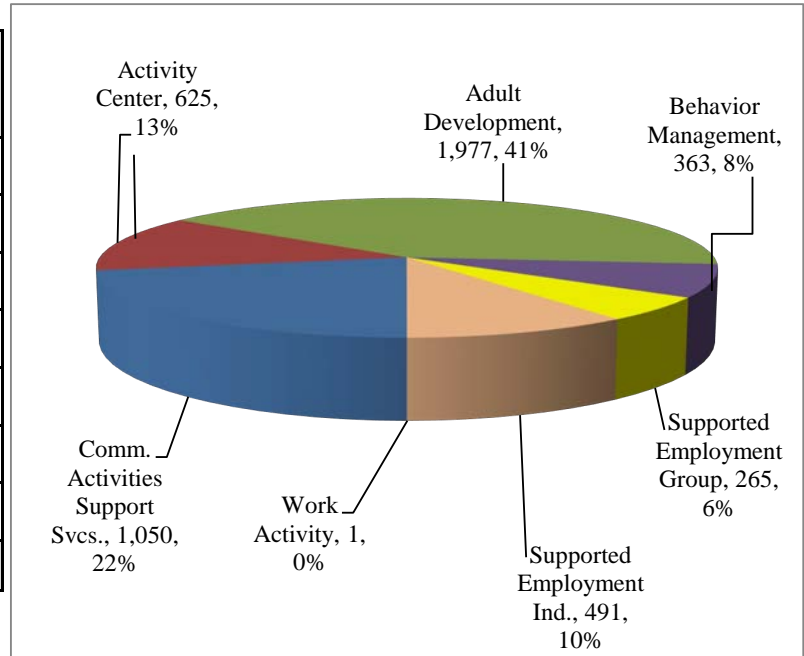


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,050
Activity Center	625
Adult Development	1,977
Behavior Management	363
Supported Employment Group	265
Supported Employment Ind.	491
Work Activity	1
Total	4,772



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4		
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9		
Number of Intake SCs	25.7	26.7	26.7	26.7		
Number of Active Persons Served	23,980	24,028	24,161	24,276		
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1		

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15								
Eligibility - Lanterman	3	4	4	2								
Behavioral services	1	1	1	2								
Respite	3	2	1	2								
Day Care			1	1								
ILS/SLS												
Personal Assistance	2	1	1	1								
Other**	10	9	7	7								

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	9	5	3	8								
Eligibility - Lanterman	3	2		1								
Eligibility - Early Start												
Behavioral services				2								
Respite	1			1								
Day Care			1									
Social/Recreational												
Personal Assistance		1										
Other**	5	2	2	4								

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	7	6	6	3								
Number of Informal Meetings Held	7	2	5	3								
Number of Mediations Held		4										
Number of SLFHs Held			1									

Number of Requests in Scheduling*	4	9	5	9								
--	----------	----------	----------	----------	--	--	--	--	--	--	--	--

* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0	0	0	0								
------------------------------------	----------	----------	----------	----------	--	--	--	--	--	--	--	--

* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	4	2	4	3								
Withdrawn by Person Served/Family				2								
Settled in Informal	4	1	3	1								
Settled after further follow-up by RCOC												
Settled in Mediation		1										
SLFH Decision			1									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1									
Split												

ADMINISTRATION AND GOVERNANCE

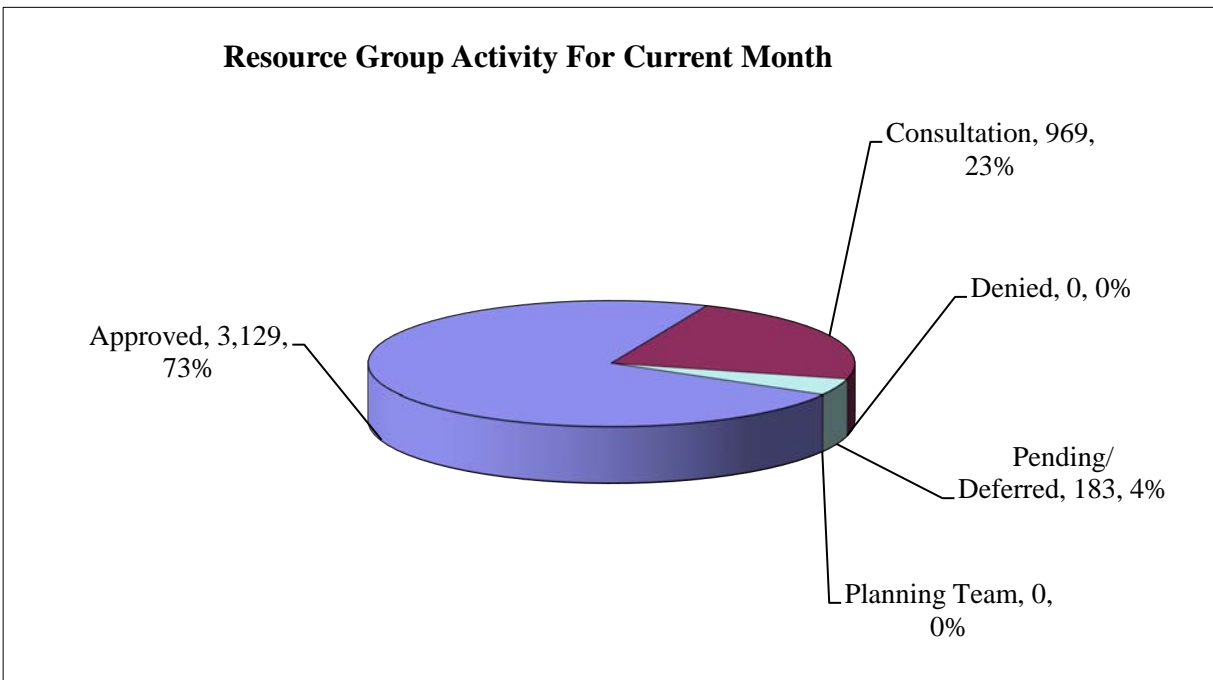
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for October 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	713	366	0	59	0	1,138
Behavioral	103	80	0	18	0	201
Education	0	0	0	0	0	0
Eligibility/Health	53	3	0	7	0	63
Early Start	562	112	0	30	0	704
Living Options	161	143	0	6	0	310
Supported/Ind.	253	121	0	13	0	387
All Others	1284	144	0	50	0	1,478
Monthly Total	3,129	969	0	183	0	4,281

FY 2022-23 Total to Date	13,048	5,414	0	876	0	19,338
-------------------------------------	--------	-------	---	-----	---	---------------



Operations Report Summary - October 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,432	8,623	11,925	8	23,988	11,436	12,783
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>48%</i>	<i>53%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,005	11,092	8,913
Community Care Facility	1,555	16	1,539
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	304	299	5
Intermediate Care Facility	638	4	634
Independent Living	913	0	913
Supported Living	500	0	500
Skilled Nursing	86	0	86
Other	116	25	91
Total	24,219	11,436	12,783

Special Incident Investigations	Year to Date
AWOL	23
Abuse	48
Neglect	73
Injury	74
Hospitalizations - Total	122
Death	46
Victim of crime	4
Arrest	2
Rights	94
Total	486

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	75	0	75
Level 3	80	0	80
Level 4	189	12	177
Total Community Care Facilities	344	12	332

Licensed Facility Monitoring	Year to Date
Annual Review	150
Unannounced	165
Total Number of Reviews	315
Provider Trainings	0
Technical Support	629
Corrective Action Plans	21

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	76
ICF-DD/Nursing	39
Total ICF Facilities	115

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	459
----------------------------------	------------



Summary of Information About Persons Served - November 2022

NUMBER OF PERSONS SERVED	24,401	100%
Children - Birth to Age Three Receiving Early Start Services	3,448	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,580	31%
Adults - Ages 18 and Older Receiving Lanterman Services	12,913	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	519
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,593	60%
Epilepsy	2,880	14%
Cerebral Palsy	2,553	13%
Autism	9,141	44%
Fifth Category*	1,804	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	341
Early Start / Under Age Three / 45 days to complete determination	273 80%
Lanterman / Over Age Three / 120 days to complete determination	67 20%
Provisional / Up to Age Five / 90 days to complete determination	1 0%

NUMBER OF PERSONS DETERMINED ELIGIBLE	136
Children - Birth to Age Three Eligible for Early Start Services	47
Children and Adults - Ages Three and Older Eligible for Lanterman Services	42
<ul style="list-style-type: none"> • Number of children who received Early Start services 	30
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	17
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	164
Children - Age Three No Longer Eligible for Early Start Services	162
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	2

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

NOVEMBER 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33	33	
Unannounced	42	43	36	44	41	
Total Number of Reviews	81	85	72	77	74	0

Provider Trainings	0	0	0	0	0	
Technical Support	161	164	145	159	186	
Corrective Action Plans	1	5	13	2	3	
Special Incident Investigations*	9	20	27	39	16	
COVID-19 Checklist	0	0	0	0	0	

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							183
Unannounced							206
Total Number of Reviews	0	0	0	0	0	0	389

Provider Trainings							0
Technical Support							815
Corrective Action Plans							24
Special Incident Investigations*							111

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

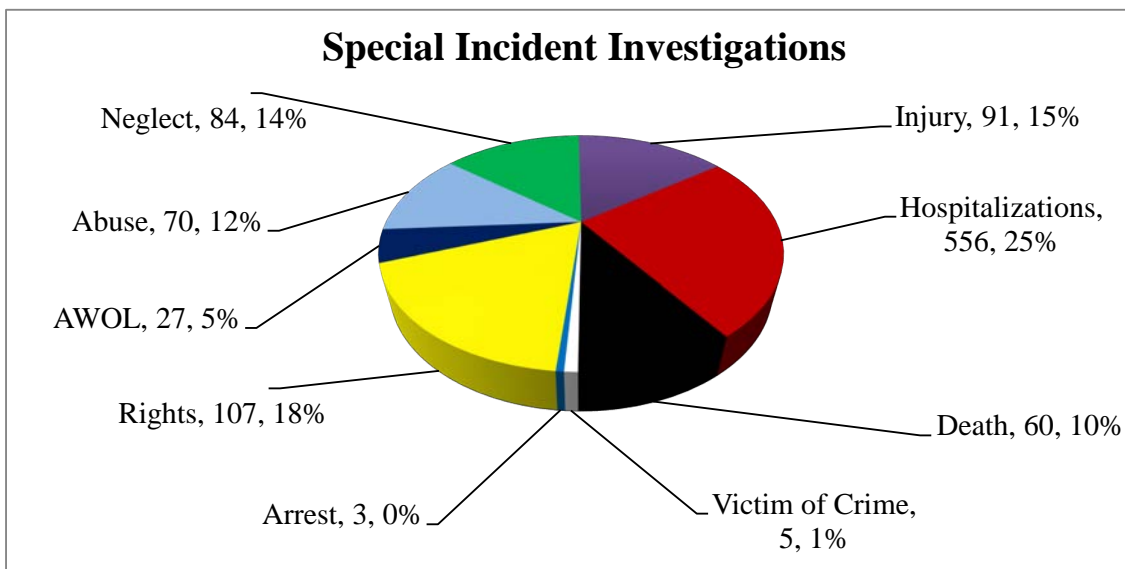
Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations

Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3	4	
Abuse	8	16	11	13	22	
Neglect	14	16	17	26	11	
Injury	18	25	15	16	17	
Hospitalizations - Total	34	29	27	32	28	
<i>Psychiatric</i>	4	3	2	4	2	
<i>Medical</i>	30	26	25	28	26	
Death	7	11	16	12	14	
Victim of crime	2	1	0	1	1	
Arrest	2	0	0	0	1	
Rights	27	7	31	29	13	
Total	121	114	119	132	111	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							27
Abuse							70
Neglect							84
Injury							91
Hospitalizations - Total	0	0	0	0	0	0	150
<i>Psychiatric</i>							15
<i>Medical</i>							135
Death							60
Victim of Crime							5
Arrest							3
Rights							107
Total	0	0	0	0	0	0	597



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0	0	
Staffing	0	0	0	0	0	
Level 4I Consultant	0	0	0	0	0	
P&I (consumer funds)	0	0	0	0	0	
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	
Recovery	0	0	0	0	0	

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------	---------------

FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70	77	
Diapers - Family Member	7	6	6	6	5	
Nursing Service - Family Member	62	59	61	60	60	
Respite Service - Family Member	595	616	640	642	656	
Transportation - Family Member	150	152	160	162	166	
Total Number of Voucher Authorizations	882	901	940	940	964	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

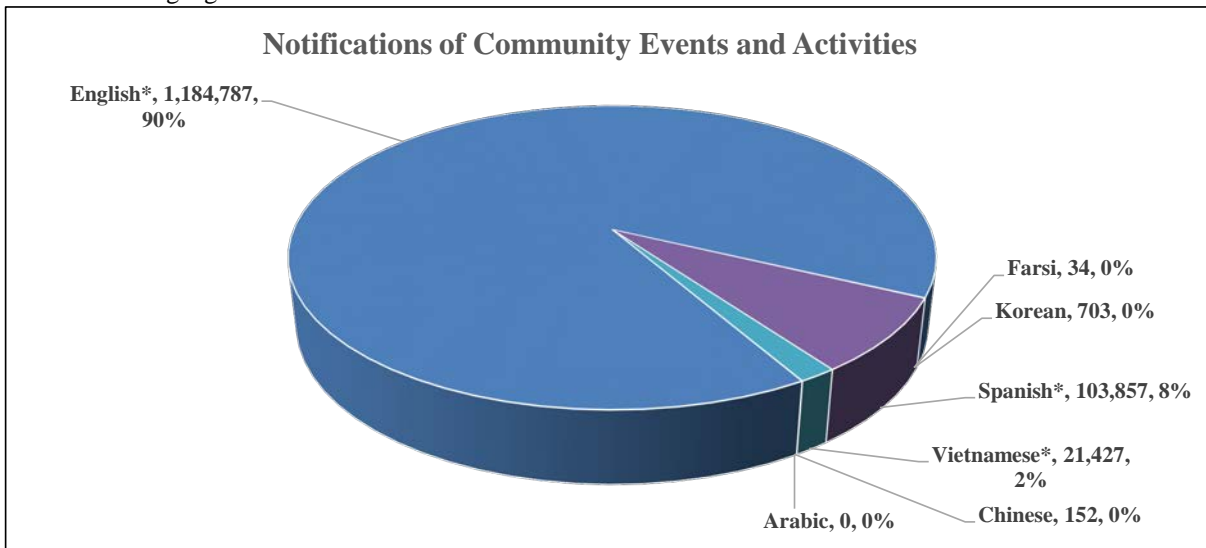
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,798	172,252	
Farsi				34		
Korean		479	203		21	
Spanish*	10,805	19,473	19,493	28,100	25,986	
Vietnamese*	6,593	2,418	5,849	3,131	3,436	
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	256,063	201,695	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							1,184,787
Farsi							34
Korean							703
Spanish*							103,857
Vietnamese*							21,427
Chinese							152
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	1,310,960

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7	8	
Spanish	1	1	4	1	3	
Vietnamese		2	3			
Other Languages		1 (Korean)		1 (Korean)	1 (Korean)	
In Print						
English	3		3		4	
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		
Other Languages						
Total Number of Outreach Events	5	16	18	10	16	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							34
Spanish							10
Vietnamese							5
Other Languages							3
In Print							
English							10
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							2
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	65

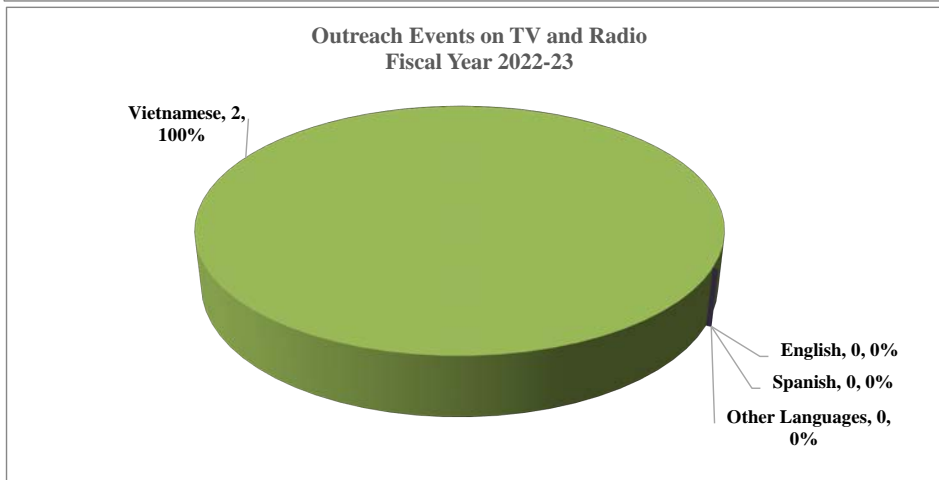
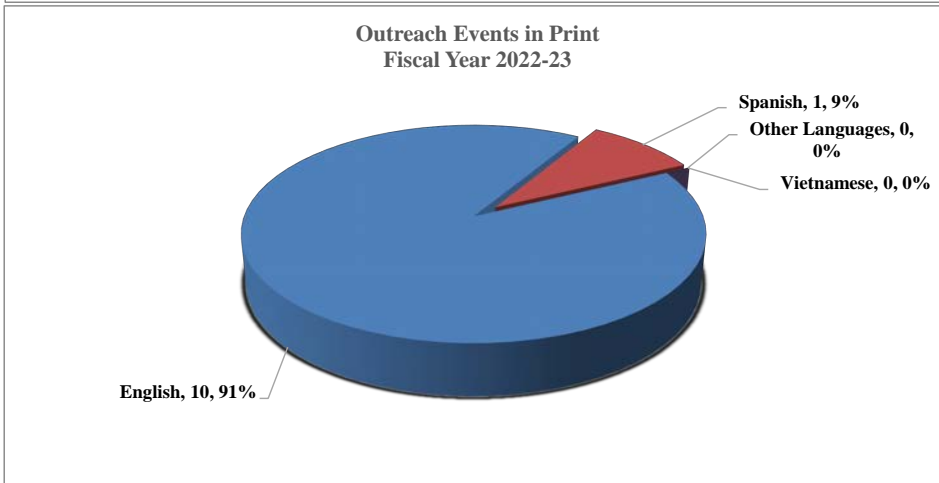
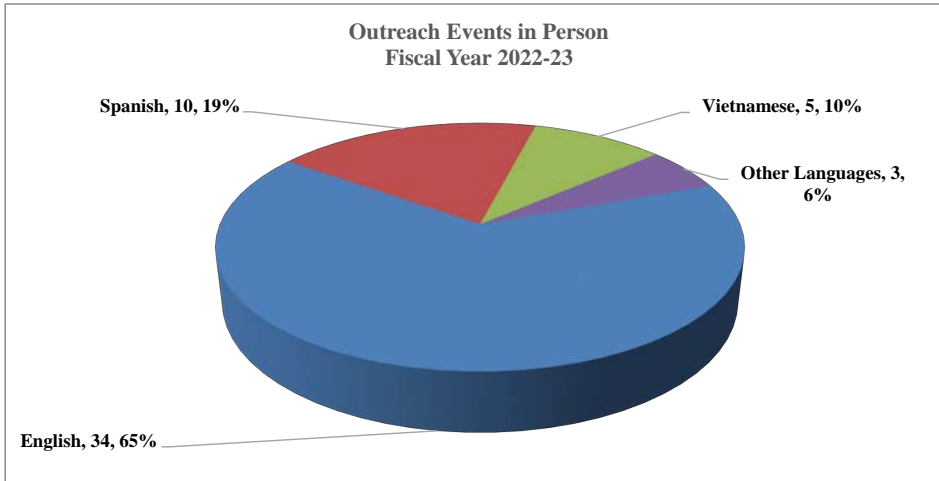
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

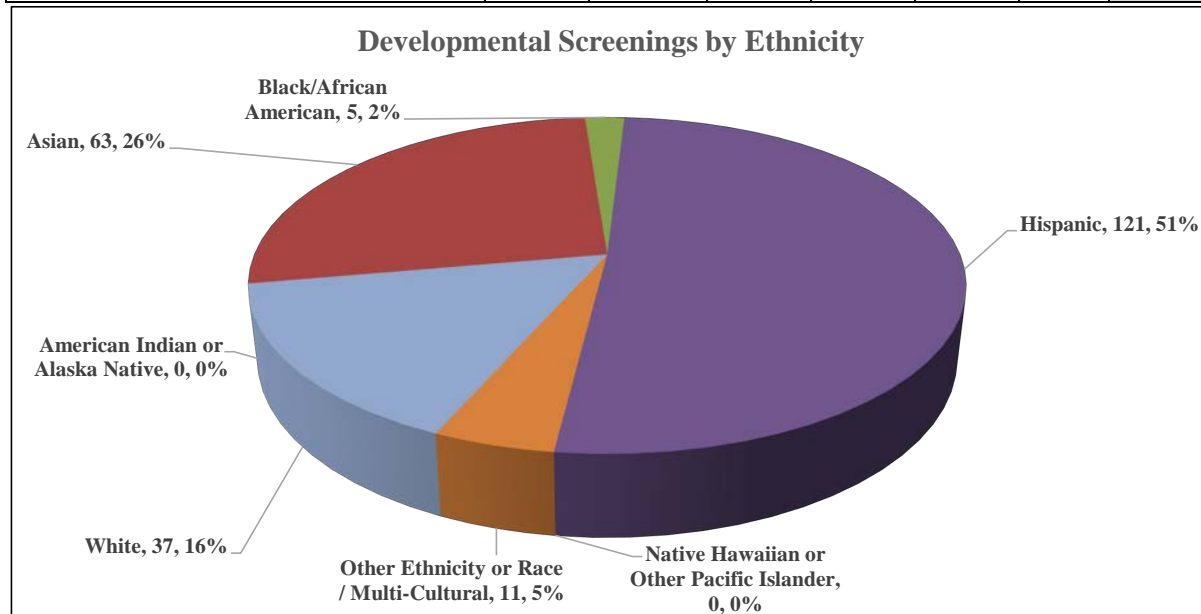
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	
Asian	19	7	30	7	0	
Black/African American	3	1	0	1	0	
Hispanic	19	42	28	32	0	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	8	1	2	0	0	
White	5	4	11	17	0	
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOG	18	25	13	18	0	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							63
Black/African American							5
Hispanic							121
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							11
White							37
Total Number Screened	0	0	0	0	0	0	237
Total Number Referred to RCOG							74

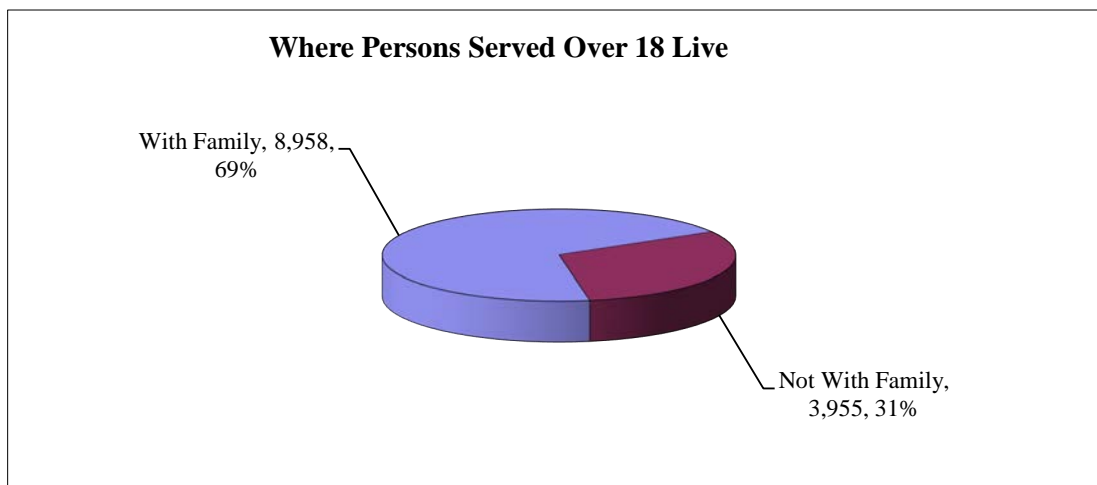
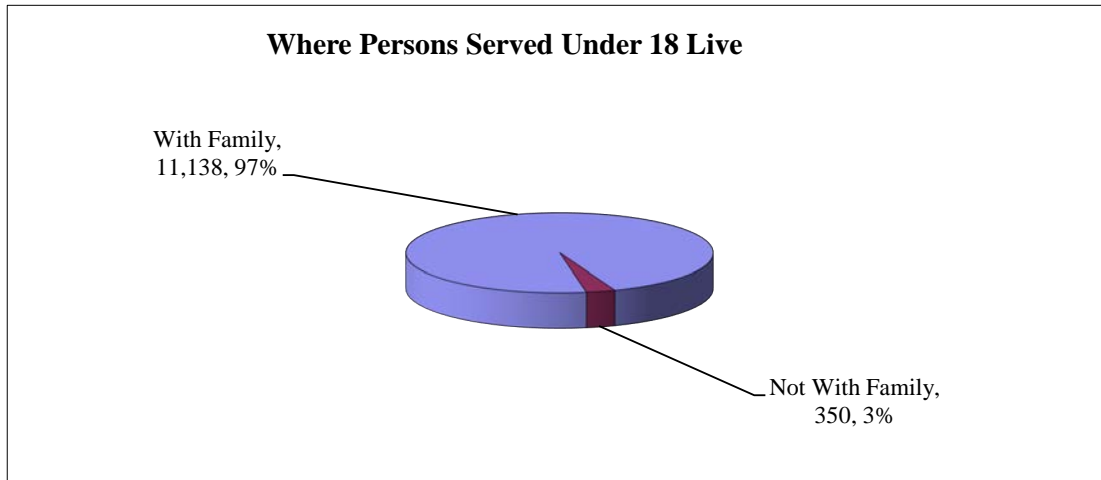


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

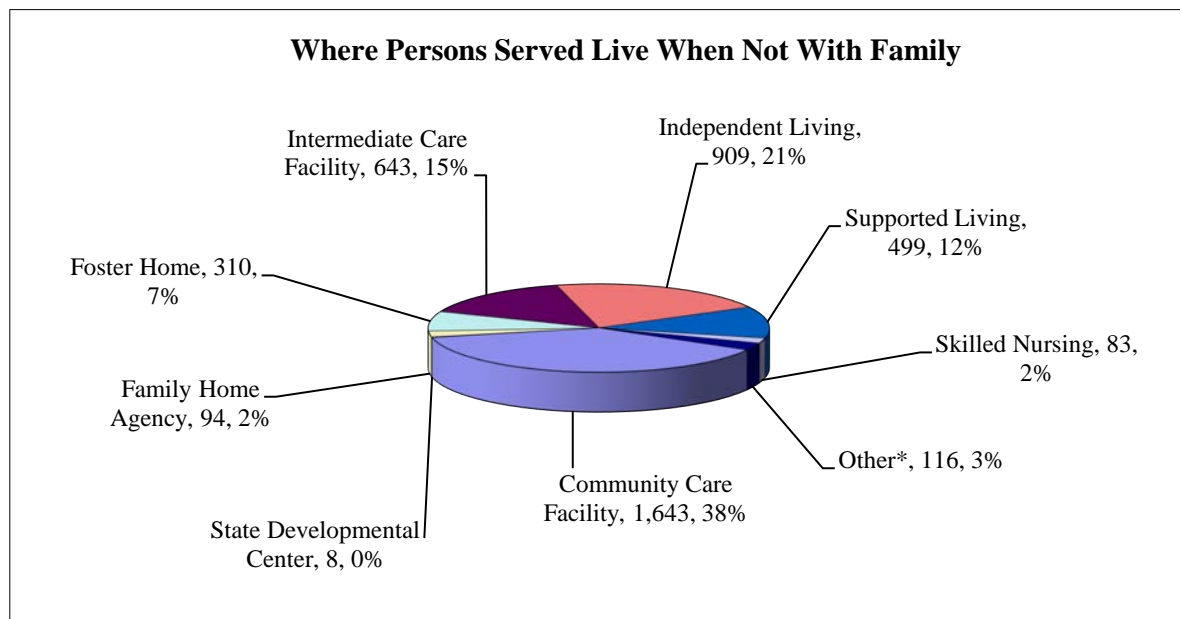
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,096	11,138	8,958
Not With Family	4,305	350	3,955
Totals	24,401	11,488	12,913



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,096	11,138	8,958
Community Care Facility	1,643	17	1,626
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	310	305	5
Intermediate Care Facility	643	3	640
Independent Living	909	0	909
Supported Living	499	0	499
Skilled Nursing	83	0	83
Other*	116	25	91
Total	24,401	11,488	12,913

Other*			
Acute General Hospital	2	0	2
California Youth Authority	1	1	0
Community Treatment	4	2	2
Correctional Institution	1	0	1
County Jail	2	1	1
Other	0	0	0
Out of State	2	1	1
Psychiatric Treatment	20	1	19
Rehabilitation Center	5	0	5
SDC / State Hospital	8	0	8
Sub-Acute	41	16	25
Transient / Homeless	21	1	20
Total, Other*	107	23	84



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

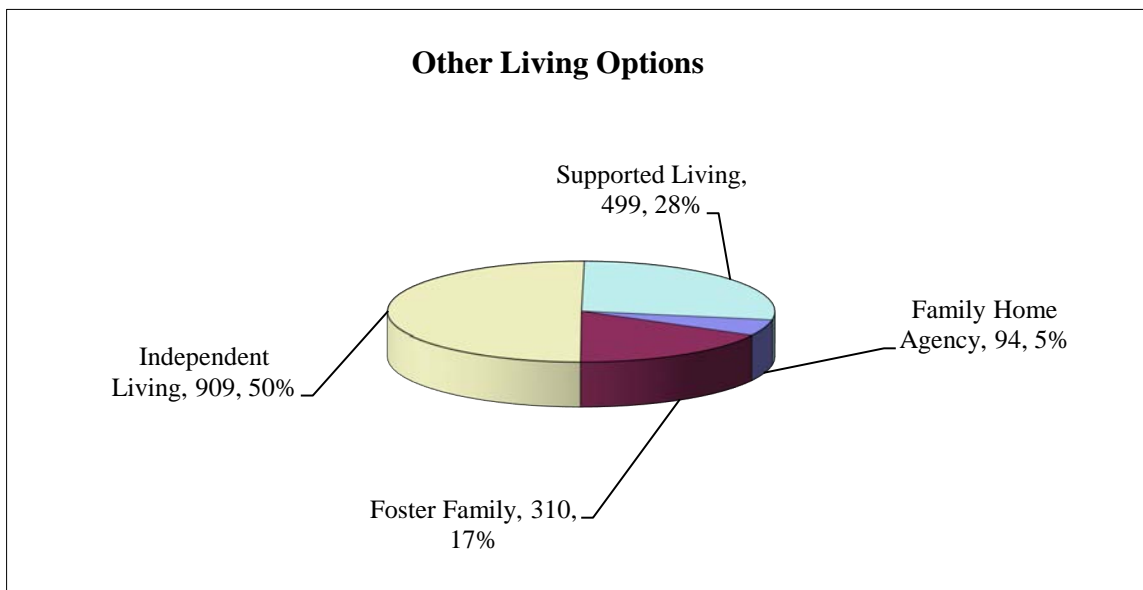
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	94	0	94
Foster Family	310	305	5
Independent Living	909	0	909
Supported Living	499	0	499
Total	1,812	305	1,507



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2022-23

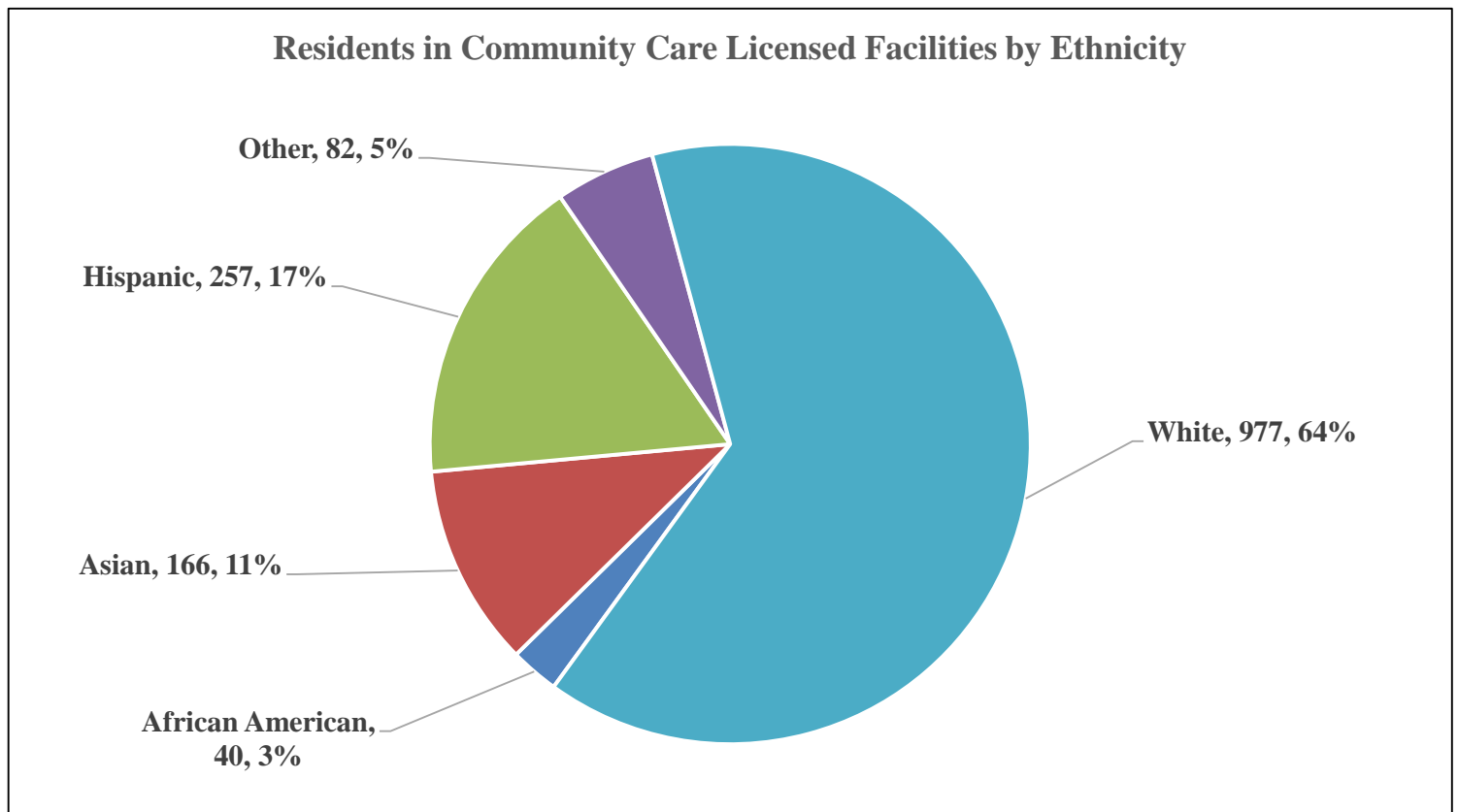
Licensed Facilities	Total	Over 18	Under 18
Level 2	198	198	0
Level 3	276	276	0
Level 4A	35	35	0
Level 4B	5	5	0
Level 4C	53	53	0
Level 4D	38	38	0
Level 4E	20	20	0
Level 4F	59	59	0
Level 4G	30	30	0
Level 4H	1	1	0
Level 4I	307	306	1
Elderly	1	1	0
ICF/DD-H	3	3	0
ICF/DD-N	10	10	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,036	1,035	1

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	198	198	0
Level 3	276	276	0
Level 4	548	547	1
ICF/DD-H	3	3	0
ICF/DD-N	10	10	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,036	1,035	1

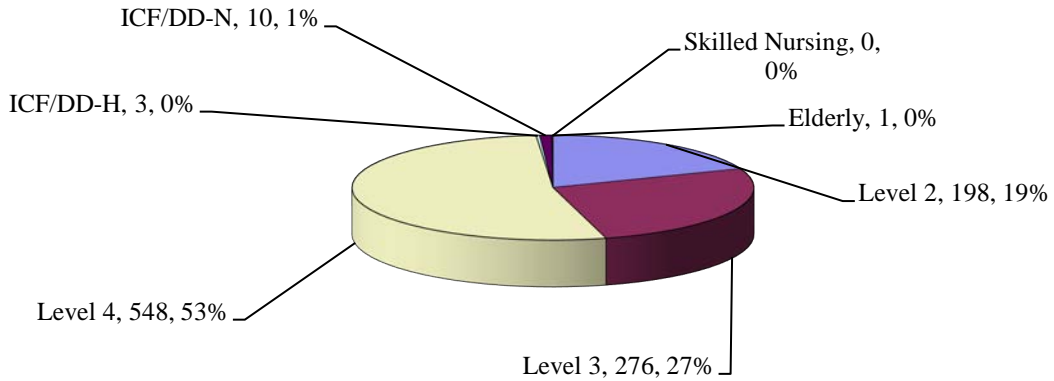
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2022-23

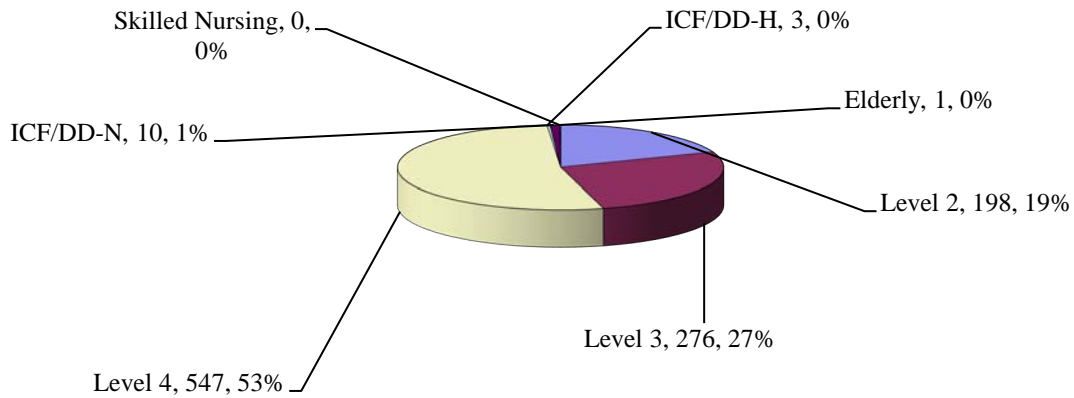
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	25	51	12	213	311
Level 3	9	29	68	22	242	370
Level 4A	1	4	6	2	23	36
Level 4B	0	1	0	0	8	9
Level 4C	2	11	11	1	59	84
Level 4D	0	9	5	2	29	45
Level 4E	0	3	9	3	20	35
Level 4F	3	14	9	2	39	67
Level 4G	0	7	4	2	39	52
Level 4H	0	0	2	0	0	2
Level 4I	15	63	92	36	305	511
Total	40	166	257	82	977	1,522



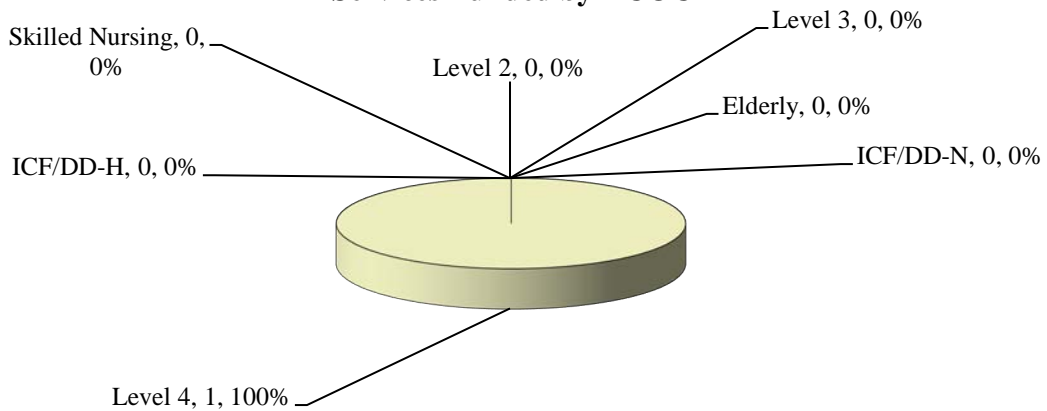
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**

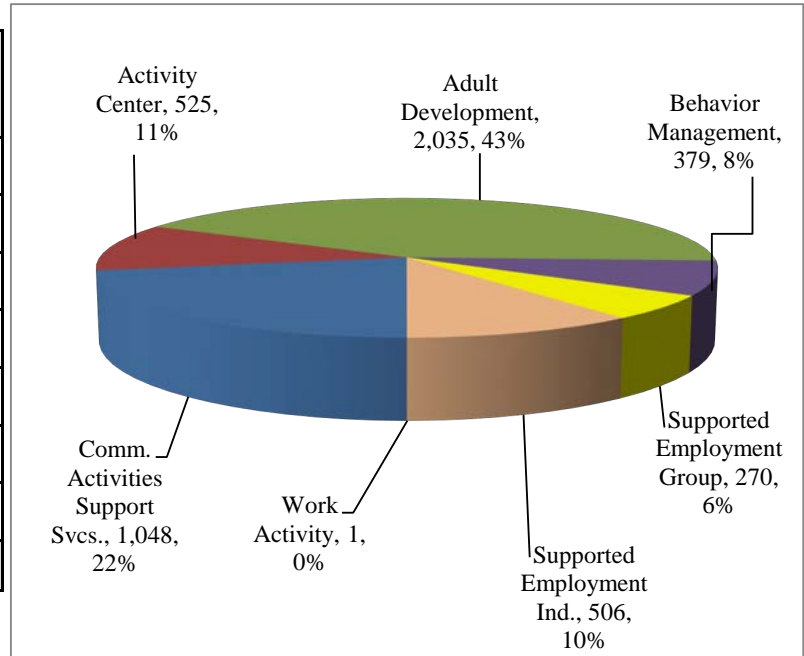


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,048
Activity Center	525
Adult Development	2,035
Behavior Management	379
Supported Employment Group	270
Supported Employment Ind.	506
Work Activity	1
Total	4,764



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4	341.4	
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9	314.9	
Number of Intake SCs	25.7	26.7	26.7	26.7	26.7	
Number of Active Persons Served	23,980	24,028	24,161	24,276	24,367	
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1	77.4	

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings
Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15	20							
Eligibility - Lanterman	3	4	4	2	2							
Behavioral services	1	1	1	2	2							
Respite	3	2	1	2	4							
Day Care			1	1	1							
ILS/SLS												
Personal Assistance	2	1	1	1								
Other**	10	9	7	7	11							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5	3	8	8							
Eligibility - Lanterman	3	2		1	2							
Eligibility - Early Start												
Behavioral services				2								
Respite	1			1	2							
Day Care			1									
Social/Recreational												
Personal Assistance		1										
Other**	5	2	2	4	4							

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6	6	3	3							
Number of Informal Meetings Held	7	2	5	3	3							
Number of Mediations Held		4										
Number of SLFHs Held			1									

Number of Requests in Scheduling*	4	9	5	9	17							
--	----------	----------	----------	----------	-----------	--	--	--	--	--	--	--

* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0							
------------------------------------	----------	----------	----------	----------	----------	--	--	--	--	--	--	--

* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2	4	3	0							
Withdrawn by Person Served/Family				2								
Settled in Informal	4	1	3	1								
Settled after further follow-up by RCOC												
Settled in Mediation		1										
SLFH Decision			1									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1									
Split												

ADMINISTRATION AND GOVERNANCE

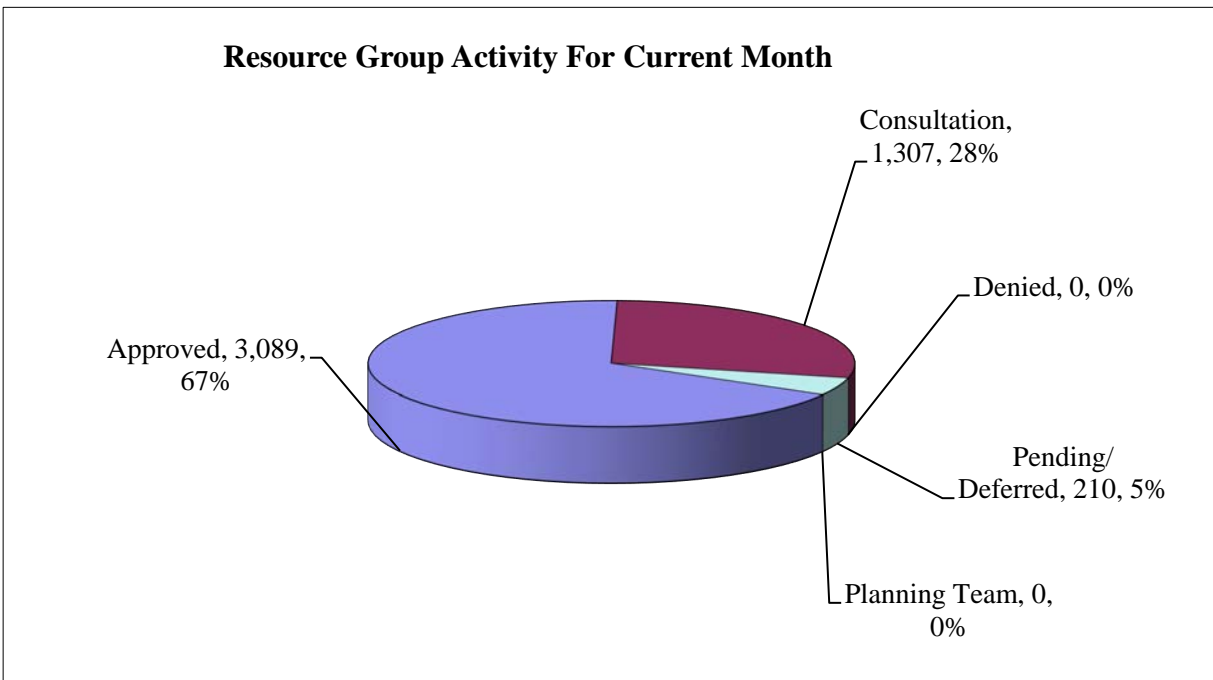
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for November 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	776	427	0	83	0	1,286
Behavioral	92	65	0	5	0	162
Education	0	0	0	0	0	0
Eligibility/Health	65	1	0	5	0	71
Early Start	517	85	0	16	0	618
Living Options	216	177	0	5	0	398
Supported/Ind.	282	97	0	18	0	397
All Others	1033	173	0	71	0	1,277
Monthly Total	3,089	1,307	0	210	0	4,209

FY 2022-23 Total to Date	13,048	5,414	0	876	0	19,338
-------------------------------------	--------	-------	---	-----	---	---------------



Operations Report Summary - November 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,448	8,635	11,897	8	23,988	11,488	12,913
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>48%</i>	<i>54%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,096	11,138	8,958
Community Care Facility	1,643	17	1,626
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	310	305	5
Intermediate Care Facility	643	3	640
Independent Living	909	0	909
Supported Living	499	0	499
Skilled Nursing	83	0	83
Other	116	25	91
Total	24,401	11,488	12,913

Special Incident Investigations	Year to Date
AWOL	27
Abuse	70
Neglect	84
Injury	91
Hospitalizations - Total	150
Death	60
Victim of crime	5
Arrest	3
Rights	107
Total	597

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	75	0	75
Level 3	80	0	80
Level 4	191	12	179
Total Community Care Facilities	346	12	334

Licensed Facility Monitoring	Year to Date
Annual Review	183
Unannounced	206
Total Number of Reviews	389
Provider Trainings	0
Technical Support	815
Corrective Action Plans	24

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	76
ICF-DD/Nursing	39
Total ICF Facilities	115

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	461
----------------------------------	------------



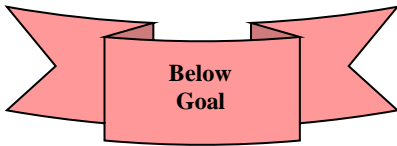
Performance Contract Summary

RCOC as of 11/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,264	9	0	0.03%	-9
Children in Foster Homes (FH)	11,425	300	273	2.63%	27
Children Own Home Parent/Guardian	11,425	11,082	10,850	97.00%	232
Total # Children (FH,Parent/Guardian)	11,425	11,382	11,123	99.62%	259
Adult FHA	12,830	94	112	0.73%	-18
Independent Living (IL)	12,830	909	902	7.08%	7
Adults Residing Own Home - Parent	12,830	8,906	8,575	69.42%	331
Supported Living (SL)	12,830	495	509	3.86%	-14
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,830	10,404	10,098	81.09%	306
Children Residing in a CCF (7+ beds)	11,425	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	11,425	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,425	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,425	0	0	0.00%	0
Adults Residing in a CCF (7+ beds)	12,830	118	115	0.92%	-3
Adults Residing in a ICF (7+ beds)	12,830	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,830	85	75	0.66%	-10
Total Adults Residing in 7+ bed facilities	12,830	217	196	1.69%	-21
Total Individuals Over Age 3 with <=120 days	293	288	100%	98.29%	98.29%
Total Individuals Over Age 3 with 121-240 days	293	5	0%	1.71%	1.71%
Total Individuals Over Age 3 Over 240 days	293	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,830	48%	65%		
Total Number of Incentive Payments Made	12,830	207			
Avg. Wage per Hour After Incentive Payment	12,830	\$14.40			
Number of Persons Served with Earned Income	12,830	1,726			
Percentage of 16-64 Earned Income	12,830	22%			
Annual Earnings of 16-64	12,830	\$7,656			
Number of Adults in CIE After Paid Intern	12,830	0			
Percentage Adults Transitioned Internship to CIE	12,830	0%			
Total Annual Expenditures Race/Ethnicity	24,264				

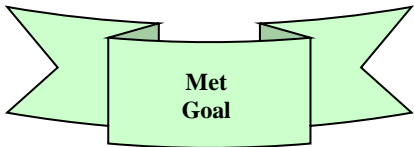
Performance Contract 2022 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.65
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2022

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 11/01/22	0.04%	24,264	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22	23,765	0	9	0.04%	-9
Jun-22	23,861	0	8	0.03%	-8
Jul-22	23,960	0	8	0.03%	-8
Aug-22	24,025	0	8	0.03%	-8
Sep-22	24,161	0	9	0.04%	-9
Oct-22	24,264	0	9	0.04%	-9
Nov-22		0			
Dec-22		0			



Performance Contract 2022

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 11/01/22	2.63%	11,425	300	273	2.63	27
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%		RCOC % of FH pop.	5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22	11,127	273	301	2.71%	28
Jun-22	11,191	273	295	2.64%	22
Jul-22	11,242	273	281	2.50%	8
Aug-22	11,262	273	288	2.56%	15
Sep-22	11,359	273	299	2.63%	26
Oct-22	11,425	273	300	2.63%	27
Nov-22		315			
Dec-22		315			



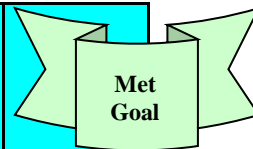
Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 11/01/22	97.01%	11,425	11,082	10,850	97.00%	232
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22	10,390	10,850	10,591	96.90%	-259
Feb-22	10,949	10,850	10,604	96.85%	-246
Mar-22	10,994	10,850	10,655	96.92%	-195
Apr-22	11,065	10,850	10,720	96.88%	-130
May-22	11,127	10,850	10,783	96.91%	-67
Jun-22	11,191	10,850	10,853	96.98%	3
Jul-22	11,242	10,850	10,916	97.10%	66
Aug-22	11,269	10,850	10,940	97.08%	90
Sep-22	11,359	10,850	11,019	97.01%	169
Oct-22	11,425	10,850	11,082	97.00%	232
Nov-22		11,300			
Dec-22		11,300			



Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709			
RCOC 10/01/22	99.64%	11,425	11,382	11,123	99.62%	259
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		94.09%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22	11,127	11,123	11,084	99.61%	-39
Jun-22	11,191	11,123	11,148	99.62%	25
Jul-22	11,242	11,123	11,197	99.60%	74
Aug-22	11,269	11,123	11,228	99.64%	105
Sep-22	11,359	11,123	11,318	99.64%	195
Oct-22	11,425	11,123	11,382	99.62%	259
Nov-22		11,615			
Dec-22		11,615			



Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	Goal	%	# Attained
RCOC 11/01/22	0.74%	12,830	94	112	0.73%	-18
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22	12,629	112	99	0.78%	-13
Jun-22	12,662	112	99	0.78%	-13
Jul-22	12,710	112	98	0.77%	-14
Aug-22	12,748	112	96	0.75%	-16
Sep-22	12,793	112	95	0.74%	-17
Oct-22	12,830	112	94	0.74%	-18
Nov-22		112			
Dec-22		112			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	Goal	%	# Attained
RCOC 11/01/22	7.13%	12,830	909	902	7.08%	7
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22	12,629	902	907	7.18%	5
Jun-22	12,662	902	905	7.15%	3
Jul-22	12,710	902	902	7.10%	0
Aug-22	12,748	902	907	7.11%	5
Sep-22	12,793	902	912	7.13%	10
Oct-22	12,830	902	909	7.08%	7
Nov-22		924			
Dec-22		924			

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent			
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	Goal	%	# Attained
RCOC 11/01/22	69.26%	12,830	8,906	8,575	69.42%	331
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22	12,629	8,575	8,698	68.87%	123
Jun-22	12,662	8,575	8,740	69.03%	165
Jul-22	12,710	8,575	8,778	69.06%	203
Aug-22	12,748	8,575	8,816	69.15%	241
Sep-22	12,793	8,575	8,861	69.26%	286
Oct-22	12,830	8,575	8,906	69.42%	331
Nov-22		9,150			
Dec-22		9,150			



Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 11/01/22	3.89%	12,830	495	509	3.86%	-14
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22	12,629	509	500	3.96%	-9
Jun-22	12,710	509	498	3.93%	-11
Jul-22	12,710	509	498	3.92%	-11
Aug-22	12,748	509	498	3.91%	-11
Sep-22	12,793	509	498	3.89%	-11
Oct-22	12,830	509	495	3.86%	-14
Nov-22		512			
Dec-22		512			

Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.97%	12,634	10,217			
RCOC 11/01/22	81.03%	12,830	10,404	10,098	81.09%	306
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22	12,629	10,098	10,204	80.80%	106
Jun-22	12,662	10,098	10,242	80.89%	144
Jul-22	12,710	10,098	10,276	80.85%	178
Aug-22	12,748	10,098	10,317	80.93%	219
Sep-22	12,793	10,098	10,366	81.03%	268
Oct-22	12,830	10,098	10,404	81.09%	306
Nov-22		10,696			
Dec-22		10,696			

Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 11/01/22	0.00%	11,425	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-22	10,390	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22		0	0		
Dec-22		0	0		

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0			
RCOC 11/01/22	0.00%	11,425	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%

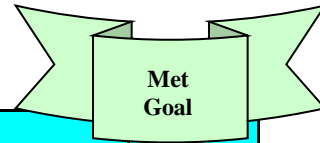


	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-22	10,994	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22		0			
Dec-22		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility	Goal	%	# Attained
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 11/01/22	0.00%	11,425	0			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22		0			
Dec-22		0			

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 11/01/22	0.00%	11,425	0			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22		0			
Dec-22		0			

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122			
RCOC 11/01/22	0.92%	12,830	118	115	0.92%	-3
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22	12,629	115	123	0.98%	-8
Jun-22	12,662	115	121	0.96%	-6
Jul-22	12,710	115	122	0.96%	-7
Aug-22	12,748	115	120	0.94%	-5
Sep-22	12,793	115	119	0.93%	-4
Oct-22	12,830	115	118	0.92%	-3
Nov-22		114			
Dec-22		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 11/01/22	0.11%	12,830	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,506	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22	12,595	6	13	0.10%	-7
May-22	12,629	6	13	0.10%	-7
Jun-22	12,662	6	13	0.10%	-7
Jul-22	12,710	6	14	0.11%	-8
Aug-22	12,748	6	14	0.11%	-8
Sep-22	12,793	6	14	0.11%	-8
Oct-22	12,830	6	14	0.11%	-8
Nov-22		6			
Dec-22		6			





Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78			
RCOC 11/01/22	0.66%	12,830	85	75	0.66%	-10
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%



	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22	12,629	75	81	0.64%	-6
Jun-22	12,662	75	78	0.62%	-3
Jul-22	12,710	75	81	0.64%	-6
Aug-22	12,748	75	86	0.67%	-11
Sep-22	12,793	75	85	0.66%	-10
Oct-22	12,830	75	85	0.66%	-10
Nov-22		74			
Dec-22		74			

Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212			
RCOC 11/01/22	1.69%	12,830	217	196	1.69%	-21
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22	12,629	196	217	1.72%	-21
Jun-22	12,662	196	212	1.67%	-16
Jul-22	12,710	196	217	1.71%	-21
Aug-22	12,748	196	220	1.73%	-24
Sep-22	12,793	196	218	1.70%	-22
Oct-22	12,830	196	217	1.69%	-21
Nov-22		194			
Dec-22		194			



Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 11/01/22	98.29%	293	288	100.00%	98.29%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22	207	100%	206	99.52%
Jun-22	233	100%	233	100.00%
Jul-22	256	100%	255	99.61%
Aug-22	277	100%	275	99.28%
Sep-22	272	100%	269	98.90%
Oct-22	293	100%	288	98.29%
Nov-22		100%		
Dec-22		100%		



Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4		
RCOC 11/01/22	1.71%	293	5	0.00%	1.71%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22	207	0.00%	1	0.48%
Jun-22	233	0.00%	0	0.00%
Jul-22	256	0.00%	1	0.39%
Aug-22	277	0.00%	2	0.72%
Sep-22	272	0.00%	2	0.74%
Oct-22	293	0.00%	5	1.71%
Nov-22		0.00%		
Dec-22		0.00%		



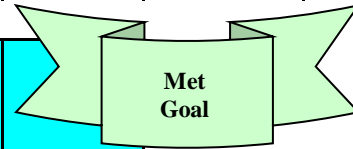
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0		
RCOC 11/01/22	0.00%	293	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-22	201	0%	0	0.00%
Feb-22	216	0%	0	0.00%
Mar-22	198	0%	0	0.00%
Apr-22	207	0%	1	0.48%
May-22	207	0%	0	0.00%
Jun-22	233	0%	0	0.00%
Jul-22	256	0%	0	0.00%
Aug-22	277	0%	0	0.00%
Sep-22	272	0%	1	0.37%
Oct-22	293	0%	0	0.00%
Nov-22		0%		
Dec-22		0%		



Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%



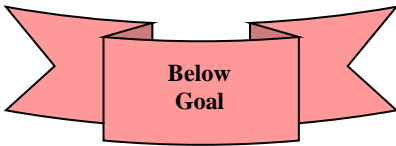
Performance Contract Summary

RCOC as of 12/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,369	10	0	0.03%	-10
Children in Foster Homes (FH)	11,481	305	315	2.66%	-10
Children Own Home Parent/Guardian	11,481	11,134	11,300	96.98%	-166
Total # Children (FH,Parent/Guardian)	11,481	11,439	11,615	99.63%	-176
Adult FHA	12,878	94	110	0.73%	-16
Independent Living (IL)	12,878	907	924	7.04%	-17
Adults Residing Own Home - Parent	12,878	8,954	9,150	69.53%	-196
Supported Living (SL)	12,878	494	512	3.84%	-18
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,878	10,449	10,696	81.14%	-247
Children Residing in a CCF (7+ beds)	11,481	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	11,481	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,481	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,481	0	0	0.00%	-1
Adults Residing in a CCF (7+ beds)	12,878	119	114	0.92%	-5
Adults Residing in a ICF (7+ beds)	12,878	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,878	83	74	0.64%	-9
Total Adults Residing in 7+ bed facilities	12,878	216	194	1.68%	-22
Total Individuals Over Age 3 with <=120 days	286	281	100%	98.25%	98.25%
Total Individuals Over Age 3 with 121-240 days	286	5	0%	1.75%	1.75%
Total Individuals Over Age 3 Over 240 days	286	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,878	48%	65%		
Total Number of Incentive Payments Made	12,878	207			
Avg. Wage per Hour After Incentive Payment	12,878	\$14.40			
Number of Persons Served with Earned Income	12,878	1,726			
Percentage of 16-64 Earned Income	12,878	22%			
Annual Earnings of 16-64	12,878	\$7,656			
Number of Adults in CIE After Paid Intern	12,878	0			
Percentage Adults Transitioned Internship to CIE	12,878	0%			
Total Annual Expenditures Race/Ethnicity	24,369				

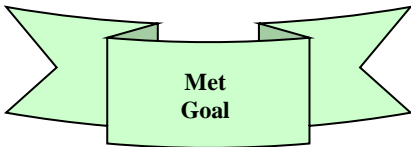
Performance Contract 2022 Cover Sheet



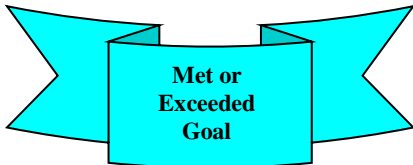
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.34
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2022

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 12/01/22	0.04%	24,369	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22	23,765	0	9	0.04%	-9
Jun-22	23,861	0	8	0.03%	-8
Jul-22	23,960	0	8	0.03%	-8
Aug-22	24,025	0	8	0.03%	-8
Sep-22	24,161	0	9	0.04%	-9
Oct-22	24,264	0	9	0.04%	-9
Nov-22	24,369	0	10	0.04%	-10
Dec-22		0			



Performance Contract 2022

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and thier caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 12/01/22	2.66%	11,481	305	315	2.66%	-10
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22	11,127	273	301	2.71%	28
Jun-22	11,191	273	295	2.64%	22
Jul-22	11,242	273	281	2.50%	8
Aug-22	11,262	273	288	2.56%	15
Sep-22	11,359	273	299	2.63%	26
Oct-22	11,425	273	300	2.63%	27
Nov-22	11,481	315	305	2.66%	-10
Dec-22		315			



Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 12/01/22	97.01%	11,481	11,134	11,300	96.98%	-166
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22	10,390	10,850	10,591	96.90%	-259
Feb-22	10,949	10,850	10,604	96.85%	-246
Mar-22	10,994	10,850	10,655	96.92%	-195
Apr-22	11,065	10,850	10,720	96.88%	-130
May-22	11,127	10,850	10,783	96.91%	-67
Jun-22	11,191	10,850	10,853	96.98%	3
Jul-22	11,242	10,850	10,916	97.10%	66
Aug-22	11,269	10,850	10,940	97.08%	90
Sep-22	11,359	10,850	11,019	97.01%	169
Oct-22	11,425	10,850	11,082	97.00%	232
Nov-22	11481	11,300	11134	96.98%	-166
Dec-22		11,300			



Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	Goal	%	# Attained
RCOC 12/01/22	99.64%	11,481	11,439	11,615	99.63%	-176
Analysis of Public Hearing	RCOC % of DD pop	5.44%		RCOC % Homes		93.62%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22	11,127	11,123	11,084	99.61%	-39
Jun-22	11,191	11,123	11,148	99.62%	25
Jul-22	11,242	11,123	11,197	99.60%	74
Aug-22	11,269	11,123	11,228	99.64%	105
Sep-22	11,359	11,123	11,318	99.64%	195
Oct-22	11,425	11,123	11,382	99.62%	259
Nov-22	11,481	11,615	11,439	99.63%	-176
Dec-22		11,615			



Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

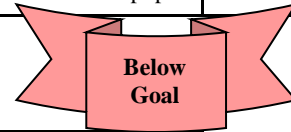
- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	112	0.73%	-18
RCOC 12/01/22	0.73%	12,878	94			
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22	12,629	112	99	0.78%	-13
Jun-22	12,662	112	99	0.78%	-13
Jul-22	12,710	112	98	0.77%	-14
Aug-22	12,748	112	96	0.75%	-16
Sep-22	12,793	112	95	0.74%	-17
Oct-22	12,830	112	94	0.74%	-18
Nov-22	12,878	112	94	0.73%	-18
Dec-22		112			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	Goal	%	# Attained
RCOC 12/01/22	7.04%	12,878	907	924	7.04%	-17
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22	12,629	902	907	7.18%	5
Jun-22	12,662	902	905	7.15%	3
Jul-22	12,710	902	902	7.10%	0
Aug-22	12,748	902	907	7.11%	5
Sep-22	12,793	902	912	7.13%	10
Oct-22	12,830	902	909	7.08%	7
Nov-22	12,878	924	924	7.04%	-17
Dec-22		924			



Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent			
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	Goal	%	# Attained
RCOC 12/01/22	69.53%	12,878	8,954	9,150	69.53%	-196
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



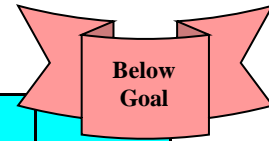
	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22	12,629	8,575	8,698	68.87%	123
Jun-22	12,662	8,575	8,740	69.03%	165
Jul-22	12,710	8,575	8,778	69.06%	203
Aug-22	12,748	8,575	8,816	69.15%	241
Sep-22	12,793	8,575	8,861	69.26%	286
Oct-22	12,830	8,575	8,906	69.42%	331
Nov-22	12,878	9,150	8,954	69.53%	-196
Dec-22		9,150			



Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living	Goal	%	# Attained
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496			
RCOC 12/01/22	3.89%	12,878	494	512	3.84%	-18
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%



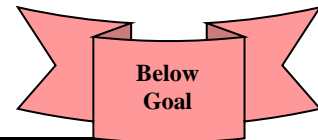
	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22	12,629	509	500	3.96%	-9
Jun-22	12,710	509	498	3.93%	-11
Jul-22	12,710	509	498	3.92%	-11
Aug-22	12,748	509	498	3.91%	-11
Sep-22	12,793	509	498	3.89%	-11
Oct-22	12,830	509	495	3.86%	-14
Nov-22	12,878	512	494	3.84%	-18
Dec-22		512			



Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.97%	12,634	10,217	Goal	%	# Attained
RCOC 12/01/22	81.14%	12,878	10,449	10,696	81.14%	-247
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22	12,629	10,098	10,204	80.80%	106
Jun-22	12,662	10,098	10,242	80.89%	144
Jul-22	12,710	10,098	10,276	80.85%	178
Aug-22	12,748	10,098	10,317	80.93%	219
Sep-22	12,793	10,098	10,366	81.03%	268
Oct-22	12,830	10,098	10,404	81.09%	306
Nov-22	12,878	10,696	10,449	81.14%	-247
Dec-22		10,696			

Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 12/01/22	0.01%	11,481	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-22	10,390	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	1	0.01%	-1
Dec-22		0			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0			
RCOC 12/01/22	0.00%	11,481	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%

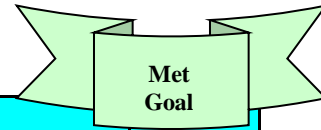


	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-22	10,994	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	0	0.00%	0
Dec-22		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility	Goal	%	# Attained
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0			
RCOC 12/01/22	0.00%	11,481	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	0	0.00%	0
Dec-22		0			

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 12/01/22	0.01%	11,481	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	1	0.01%	-1
Dec-22		0			

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122			
RCOC 12/01/22	0.92%	12,878	119	114	0.92%	-5
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22	12,629	115	123	0.98%	-8
Jun-22	12,662	115	121	0.96%	-6
Jul-22	12,710	115	122	0.96%	-7
Aug-22	12,748	115	120	0.94%	-5
Sep-22	12,793	115	119	0.93%	-4
Oct-22	12,830	115	118	0.92%	-3
Nov-22	12,878	114	119	0.92%	-5
Dec-22		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds			
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12	Goal	%	# Attained
RCOC 12/01/22	0.11%	12,878	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,506	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22	12,595	6	13	0.10%	-7
May-22	12,629	6	13	0.10%	-7
Jun-22	12,662	6	13	0.10%	-7
Jul-22	12,710	6	14	0.11%	-8
Aug-22	12,748	6	14	0.11%	-8
Sep-22	12,793	6	14	0.11%	-8
Oct-22	12,830	6	14	0.11%	-8
Nov-22	12,878	6	14	0.11%	-8
Dec-22		6			



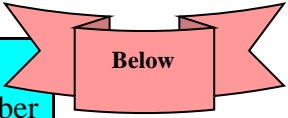


Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78			
RCOC 12/01/22	0.64%	12,878	83	74	0.64%	-9
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22	12,629	75	81	0.64%	-6
Jun-22	12,662	75	78	0.62%	-3
Jul-22	12,710	75	81	0.64%	-6
Aug-22	12,748	75	86	0.67%	-11
Sep-22	12,793	75	85	0.66%	-10
Oct-22	12,830	75	85	0.66%	-10
Nov-22	12,878	74	83	0.64%	-9
Dec-22		74			



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212			
RCOC 12/01/22	1.68%	12,878	216	194	1.68%	-22
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22	12,629	196	217	1.72%	-21
Jun-22	12,662	196	212	1.67%	-16
Jul-22	12,710	196	217	1.71%	-21
Aug-22	12,748	196	220	1.73%	-24
Sep-22	12,793	196	218	1.70%	-22
Oct-22	12,830	196	217	1.69%	-21
Nov-22	12,878	194	216	1.68%	-22
Dec-22		194			



Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 12/01/22	98.25%	286	281	100.00%	98.25%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22	207	100%	206	99.52%
Jun-22	233	100%	233	100.00%
Jul-22	256	100%	255	99.61%
Aug-22	277	100%	275	99.28%
Sep-22	272	100%	269	98.90%
Oct-22	293	100%	288	98.29%
Nov-22	286	100%	281	98.25%
Dec-22		100%		



Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4	Goal	% Attained
RCOC 12/01/22	1.75%	286	5	0.00%	1.75%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22	207	0.00%	1	0.48%
Jun-22	233	0.00%	0	0.00%
Jul-22	256	0.00%	1	0.39%
Aug-22	277	0.00%	2	0.72%
Sep-22	272	0.00%	2	0.74%
Oct-22	293	0.00%	5	1.71%
Nov-22	286	0.00%	5	1.75%
Dec-22		0.00%		



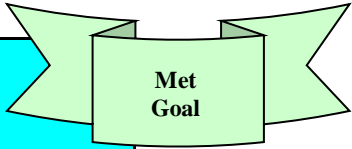
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0		
RCOC 12/01/22	0.00%	286	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-22	201	0%	0	0.00%
Feb-22	216	0%	0	0.00%
Mar-22	198	0%	0	0.00%
Apr-22	207	0%	1	0.48%
May-22	207	0%	0	0.00%
Jun-22	233	0%	0	0.00%
Jul-22	256	0%	0	0.00%
Aug-22	277	0%	0	0.00%
Sep-22	272	0%	1	0.37%
Oct-22	293	0%	0	0.00%
Nov-22	286	0%	0	0.00%
Dec-22		0%		





Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%

**Regional Center of Orange County
Board Recruitment and Training Committee
November 14, 2022
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Frances Hernandez (*joined at 5:02 p.m.*)
Hilda Mendez
Chinh Nguyen
Sandy Martin
Fernando Peña

RCOC Staff Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Keli Radford, Director of Services and Supports
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

Mr. Landauer reported that after surveying the Board of Directors, RCOC resubmitted its 2022 Board Composition Survey to the Department of Developmental Services (DDS) on November 10, 2022; it is in full compliance with composition requirements of the Lanterman Act.

The Committee also reviewed two new Board applications and agreed to schedule virtual interviews for December 2022.

II. Board Development and Training

***Board Recruitment and Training Committee Minutes
November 14, 2022***

A. Discuss Board Training Schedule and Topics

Mr. Landauer reported that the Board of Directors' Training Plan for calendar year 2023 is due to DDS by December 15, 2022. Mr. Landauer also reported that regional centers must provide annual training to all board members on the governing board's approved Conflict of Interest and Whistleblower Policies and on issues related to linguistic and cultural competency.

The Committee reviewed and discussed potential training topics and completed the training plan for calendar year 2023.

III. Community Forum

No community members were present at the meeting.

IV. Other

Mr. Landauer mentioned that he and staff met with a group of Latino parents on November 10, 2022. The meeting consisted of listening to each of the parent's complaints and concerns. The group agreed to meet again in January 2023.

Mr. Wright adjourned the meeting at 5:49 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Vendor Advisory Committee
November 8, 2022
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present
Co-Chair, Mark Antenucci, absent

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, absent

Community Care Facilities

Chair, Member Pending
Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, absent
Co-Chair, Pam Alexander, absent

Habilitation

Chair, Jodean Hudson, absent
Co-Chair, Member Pending

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, absent
Co-Chair, Alex Saldana, absent

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Jerrod Bonner, Information Technology Director
Liliana Castillo, Accounting Manager (*joined at 2:08 p.m.*)

Vendor Advisory Committee Minutes
November 8, 2022

Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Sean Watson, Associate Director of Risk Management
Marta Vasquez, Finance Director

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:04 p.m.

I. RCOC Update

Mr. Larry Landauer reported that all regional centers reported a surplus for the year ended June 30, 2022.

Mr. Landauer shared that providers must be in compliance with the Home and Community-Based Services (HCBS) Final Rule by March 17, 2023.

Mr. Landauer also shared that the National Core Indicators (NCI) In-Person Survey will be conducted by the Department of Developmental Services' (DDS') staff in face-to-face interviews with approximately 400 adults served.

A. Vendor Updates

Ms. Marta Vasquez reported that, as required by California Code of Regulations, Title 17, RCOC will be conducting biennial vendor file audits to ensure compliance. Vendors will receive notices requesting updated documentation and must respond in order to keep their vendorizations active.

Ms. Vasquez also shared that independent audits or independent reviews for fiscal year 2020-2021 are overdue and a second notice will be sent on November 18, 2022.

Ms. Vasquez noted that the audits were due within nine (9) months of the end of the fiscal year for the entity.

II. Board Report

Mr. Perez reported that the Board of Directors' met on November 3, 2022.

III. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA continues to work closely with First Transit, OCTA's ACCESS subcontractor, to manage on-time performance. Ridership has increased and First Transit has not been able to recruit enough drivers. The morning and afternoon peak-travel times are the most difficult to manage.

V. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Perez reported that the subcommittees met and had a presentation from Mr. Arturo Cazares, RCOC's Director of Community Services, regarding the history of Alternative Nonresidential Services due to COVID-19 and where we are now that Alternative Nonresidential Services will end on December 31, 2022. The subcommittee also discussed Tailored Day Services and the Self-Determination Program.

Mr. Perez reported that the next subcommittee meeting will be held in January 2023.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that the subcommittee met and discussed holding future meetings for both in-person and virtual attendance. The subcommittee also had the opportunity to meet Ms. Marianne Kelly, RCOC's new Quality Assurance Supervisor.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee did not meet.

E. Community Care Facilities (CCF) – Member Pending

Mr. Jack Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (absent)

In Ms. Junie Lazo-Pearson's absence, Mr. Stanton reported that the subcommittee met and discussed concerns regarding Early Start staff qualifications for tier service that will be implemented as a result of the Rate Models.

Vendor Advisory Committee Minutes
November 8, 2022

G. Habilitation – Jodean Hudson (absent)

In Ms. Jodean Hudson’s absence, Mr. Stanton reported that the subcommittee is scheduled to meet later this month.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met today and encouraged vendors to share their experiences on how they have been negatively impacted by the low vendor rates with the Little Hoover Commission. Ms. Molina also reported that their subcommittee will not meet in December 2022.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule’s absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (absent)

In Mr. Andrew Velasco’s absence, Mr. Stanton reported that the subcommittee reviewed and discussed the July changes to sibling contracts and how they are now written and paid.

VI. Community Forum

There were no speakers for community forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:21 p.m.

The next VAC meeting is scheduled for January 10, 2023.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Peer Advisory Committee
November 16, 2022
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Steven Gersten
Amy Jessee
Yvonne Kluttz
Peter Kuo
Fernando Peña

Committee Members Absent: Marcell Bassett
Cheryl Day
Jyusse Corey, Peer Advocate

Board Member Present: Chinh Nguyen (*joined at 3:12 p.m.*)

RCOC Staff Members Present: Larry Landauer, Executive Director
Jennifer Montanez, Director of Case Management

Ms. Sylvia Delgado called the meeting to order at 3:01 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

Ms. Delgado reported that CalABLE began issuing debit cards in October that account holders can use for purchases, withdrawals and deposits

Ms. Delgado also reported that the Department of Developmental Services' (DDS) Consumer Advisory Committee (CAC) meet earlier today and held elections for the new term. The Committee also had two presentations on Denti-Cal and Safety and Crisis assistance.

III. RCOC and Comfort Connection Family Resource Center (FRC) Community Holiday Events

Ms. Kaitlynn Truong, RCOC's Cultural Specialist, reported on various holiday events: RCOC's Wish Tree Program, *Breakfast with Santa* sponsored by the OC Autism

RCOC Peer Advisory Committee Minutes
November 16, 2022

Foundation, The Irvine Barclay Theatre's sensory-friendly performance of the *Nutcracker* and the Anaheim Library's holiday programs for the month of December. Ms. Truong also shared resources such as The Salvation Army's Angel Tree Application for Christmas assistance and Orange County's Social Services Agency (OCSSA) Senior Santa & Friends Program that provides gifts and special request items to low-income seniors and severely disabled adults who are clients of the County of Orange Social Services Agency (SSA) and/or the OC Health Care Agency.

IV. Community Forum

No community members were present at the meeting.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for January 18, 2023.

Ms. Delagdo wished everyone Happy Holidays and a Happy New Year and adjourned the meeting at 3:25 p.m.

Recorder: Sandra Lomeli