



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: Thursday, July 9, 2020

Time: 5:30 p.m. – 7:00 p.m.

Place: via electronic means

I.	Closed Session (Board Members Only)	
A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess	
III.	General Session	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
B.	Budget and Finance Committee	Mine Hagen
C.	Consent Calendar*	John "Chip" Wright
	<ol style="list-style-type: none"> 1. Approval of Board of Directors' Minutes for June 4, 2020** 2. Budget and Finance Committee** <ol style="list-style-type: none"> a. Approval of Monthly Sufficiency of Allocation Report, May 2020** b. Approval of Audited Financial Statements for the Year Ended June 30, 2019** c. Review Draft of Form 990, Return of Organization Exempt from Income Tax for the Year Ended June 30, 2019 d. Approval of Budget Amendment 	
D.	Executive Director's Report	Larry Landauer
	<ol style="list-style-type: none"> 1. Operations Report – May 2020** 2. Performance Contract – May 2020** 3. Employment Update 4. Hy-Lond Update 5. Housing Update <ol style="list-style-type: none"> a. Approval of Agreement with Chelsea Investment Corporation for Development of Ten (10) Affordable Rental Apartments (CRDP No. 1920-5) 	Arturo Cazares Keli Radford Jack Stanton
E.	Community Forum***	John "Chip" Wright
F.	Executive Committee	John "Chip" Wright
G.	Board Recruitment and Training Committee**	John "Chip" Wright
	<ol style="list-style-type: none"> 1. Approval of Board Membership for Chinh Tuong Nguyen for a One-Year Term Commencing September 1, 2020 and Ending August 31, 2021** 	
H.	Policies and Outcomes Committee	Cliff Amsden
I.	Vendor Advisory Committee**	Tiffany Bauer
	<ol style="list-style-type: none"> 1. VAC Member of the Board of Directors 	
J.	Peer Advisory Committee**	Sylvia Delgado
K.	Legislative and Community Awareness Committee	Liza Krassner
L.	ARCA Report	Liza Krassner
M.	Chairperson's Report	John "Chip" Wright
IV.	Adjournment	John "Chip" Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
June 4, 2020
Videoconference Minutes**

Board Members Present: John "Chip" Wright, Chairperson
Cliff Amsden
Marcell Bassett
Tiffany Bauer
Meena Chockalingam
Sylvia Delgado
Mine Hagen
Frances Hernandez
Liza Krassner
Alan Martin
Hilda Mendez
Fernando Peña

Board Member Absent: Amy Jessee

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 5:34 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado read RCOC's Mission and Vision Statement.

B. Budget and Finance Committee

Ms. Mine Hagen reported that the Committee met, reviewed and recommends for approval all of its items on the consent calendar. The Committee also discussed caseload ratios and the decline in the number of cases accepted for eligibility determination in April and May 2020.

C. Consent Calendar

1. Approval of Board of Directors' Minutes for May 7, 2020
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, April 2020
 - b. Approval of Contract and Preliminary Allocation, Fiscal Year 2020-21
 - c. Approval of Budget Amendment A-6, Fiscal Year 2019-20

- d. Approval of Budget Amendment E-5, Fiscal Year 2018-19

M/S/C to approve the consent calendar as presented

D. Executive Director's Report

Mr. Larry Landauer's report included the following highlights:

- *Coronavirus Disease 2019 (COVID-19)*. Mr. Landauer reported that since the stay-at-home order was issued, RCOC has authorized an additional \$12.6 million to address the needs of persons served and their families. Mr. Landauer also reported that Fairview Developmental Center (FDC), an alternate care site, will stop taking patients as of June 30, 2020.

Mr. Landauer reported that RCOC has sent weekly updates to its community and conducted weekly virtual meetings. Translation services are provided in Spanish and Vietnamese for the weekly virtual meetings. RCOC's website, www.rcocdd.com, continues to provide up-to-date COVID-19 information.

- *2020-21 May Revise*. Mr. Landauer reviewed the Legislative Analyst's Office's Overview of the Legislature's 2020-21 Budget Package. The Governor's and the Legislature's package use largely the same revenue assumptions. "The Governor's May Revision does not assume that more funding will be available. Under the May Revision, \$15 billion in spending-related reductions would take effect on July 1, 2020, but those reductions would be restored if sufficient federal funds were received." The "Legislative package assumes new federal funding will be available to offset baseline General Fund costs." The "Legislative package includes 'trigger reductions' if federal funds do not materialize, which would take effect on October 1, 2020."
- *National Core Indicators (NCI)*. Mr. Landauer shared the positive results for RCOC in NCI's Child Family Services survey for fiscal year 2018-19 that was completed last year.
- *Developmental Services (DS) Task Force*. Mr. Landauer reported that the DS Task Force workgroups continue to hold their monthly meetings, which are designed to look at ways to strengthen community services.
- *RCOC News*. Mr. Landauer reported that Mr. Andrew J. Imparato is the new Executive Director of Disability Rights California (DRC). Mr. Landauer shared that Ms. Catherine Blakemore, DRC's former Executive Director, was the guest speaker at RCOC's Board of Directors' Retreat in February 2018.
- *Person Centered Thinking (PCT)*. Mr. Landauer reported that a medical one-page description template is available for Service Coordinators to generate quickly when a person served is hospitalized due to COVID-19. Because visitation is restricted for COVID patients, this form will include vital information about health concerns, medications, and other important information that hospital staff may need.
- If the person served is non-verbal and requires full support, this medical one-page description will be even more important.

- *Employment.* Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that the Project Search program at Children's Hospital of Orange County (CHOC) held its graduation virtually on May 27, 2020. Among those in attendance virtually were Assemblymember Sharon Quirk-Silva, a representative from the office of Assemblymember Tyler Diep, RCOC's Board Chairperson John "Chip" Wright, and a video message from State Senator John Moorlach.

Mr. Cazares also provided information regarding six proposals which have been approved by the Department of Developmental Services to fund changes necessary for providers to come into compliance with the Final Rules for Home and Community-Based Services (HCBS).

- *Closure of Hy-Lond.* Ms. Keli Radford, RCOC's Director of Services and Supports, reported on the Hy-Lond residents who currently reside outside of Orange County until their new ResCare home is ready for occupancy.
- *Legislative and Community Awareness.* Mr. Landauer reviewed some media highlights and reported that RCOC's website is primarily devoted to providing information related to COVID-19 and updates on the state budget.
- *Health and Wellness.* Mr. Landauer reported that the third phase of *Healthy Life, Happy Life* program, which begin in January with 125 participants will end in June 2020.
- *Transportation.* Mr. Landauer reported that Western Transit Services and Yellow Cab terminated their services on May 31, 2020. RCOC continues to work with the Orange County Transit Authority (OCTA) and California Yellow Cab has applied to be a RCOC vendor.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Advisory Committee will meet on Monday, June 8, 2020 at 6:30 p.m. via video conference.

E. Community Forum

Mr. Landauer announced that each speaker is limited to a maximum of five minutes; thereafter, the speaker will be muted in order to provide everyone with an opportunity to speak.

Mr. Robert Olea thanked Mr. Landauer and his team for their hard work during the COVID-19 pandemic.

F. Executive Committee

Mr. Wright reported that the Committee last met on May 18, 2020; and, it is scheduled to meet again on Monday, June 15, 2020.

Mr. Wright presented the following agenda item from the Executive Committee for approval.

1. Approval of Revision to the Board of Directors' Meeting Schedule for Fiscal Year 2020-21

M/S/C to approve the Revision to the Board of Directors' Meeting Schedule for Fiscal Year 2020-21

G. Board Recruitment and Training

Mr. Wright reported that the Committee did not meet in May 2020. The next meeting is scheduled for Thursday, June 11, 2020.

H. Policies and Outcomes Committee

Mr. Cliff Amsden reported that the Committee reviewed the Ends Policy and the Whistleblower Policy; however, revisions were only made to the Whistleblower Policy.

Mr. Amsden presented revisions to the Whistleblower Policy, as recommended by the Policies and Outcomes Committee.

1. Approval of Revisions to the Whistleblower Policy

M/S/C to approve revisions to the Whistleblower Policy as recommended

The next Committee meeting is scheduled for Monday, July 20, 2020.

I. Vendor Advisory Committee

Ms. Tiffany Bauer reported that the Committee last met on May 11, 2020; and it is scheduled to meet again on Tuesday, June 8, 2020.

Ms. Bauer presented the following agenda item from the Vendor Advisory Committee for approval.

1. Approval of Vendor Advisory Committee Members

M/S/C to approve the Vendor Advisory Committee Members as recommended.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee did not meet last month; it is scheduled to meet on Wednesday, June 17, 2020.

K. Legislative and Community Awareness Report

Ms. Liza Krassner reported that the next Committee meeting is scheduled for July 14, 2020.

L. ARCA Report

Ms. Krassner reported that the ARCA Board Delegates met and held elections for their new Chair and Vice-Chair for the new fiscal year.

M. Chairperson's Report

Mr. Wright recognized and thanked Ms. Hilda Mendez for her leadership and services to the Board for the past seven years.

II. Adjournment

Mr. Wright adjourned the meeting at 6:25 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
June 4, 2020**

Committee Members Present: Mine Hagen, Chair
Cliff Amsden
Amy Jessee
Fernando Peña
John “Chip” Wright

Committee Members Absent: Marcell Bassett

Other Board Members Present: Sylvia Delgado

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Liliana Castillo, Accounting Manager, POS
Arturo Cazares, Associate Director of Employment
Nancy Franco, Accounting Manager, Operations
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel
Linda Pham, Fiscal Analyst
Keli Radford, Director of Services and Supports
Marta Vasquez, Associate Finance Director
Stacy Wong, Human Resources Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Report, April 2020

Ms. Marta Vasquez reported that RCOC’s projected deficit is \$2.4 million, an increase of \$1.6 million over the projection for March.

In addition, RCOC is projecting expenditures of \$12.6 million to meet the needs of persons served and their families during the State of Emergency due to COVID-19. As a result of the State of Emergency, the Department of Developmental Services (DDS) authorized regional centers to pay vendors for absences that are the direct result of COVID-19. DDS also delegated to regional center executive directors the authority to grant rate increases to protect consumer’s health and safety related to COVID-19.

M/S/C to approve the monthly SOAR.

2. Approval of Contract and Preliminary Allocation, Fiscal Year 2020-21

Ms. Bette Baber stated that RCOC expected to receive the contract for next fiscal year in a few weeks. It is critical that the Board authorize the Chairperson to execute it upon receipt and return it to DDS. Any delay in executing the contract could delay the cash advance payments. Without the cash advance, regional centers would not have sufficient funds to continue their operations.

M/S/C to approve the contract and preliminary allocation.

3. Approval of Budget Amendment A-6, Fiscal Year 2019-20

Ms. Bette Baber stated that DDS had not yet sent the contract amendment, but as with the contract, it was important to authorize the Chairperson to execute it upon receipt. The additional allocation will increase RCOC's spending authority and the amount that it can draw on its line of credit. Cash flow is difficult at this time of year for DDS and regional centers; COVID expenditures are exacerbating it.

M/S/C to approve the A-6 amendment.

4. Approval of Budget Amendment E-5, Fiscal Year 2018-19

Ms. Baber stated that DDS had not yet sent the contract amendment, but as with the A-6 amendment, it was important to authorize the Chairperson to execute it upon receipt.
M/S/C to approve the E-5 amendment.

5. Other

The Committee discussed caseload ratios and the number of persons accepted for eligibility determination, which has declined during the State of Emergency.

The meeting adjourned at 4:38 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: July 9, 2020
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, May 2020**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: July 2, 2020
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – May 2020 Sufficiency of Allocation Report (SOAR)

Purchase of Service (POS)

RCOC's projected deficit is \$7.9 million, an increase of \$5.4 million over the prior month's projection. RCOC will have a small surplus of \$646,398 if all \$8.5 million of the State Plan Amendment receivables are paid.

As reported last month, due to multiple extensions of the State of Emergency directives that DDS has issued in 30-day increments, the expenditure projections are changing. On March 4, 2020, Governor Newsom declared a State of Emergency for California due to COVID-19. As a result of the State of Emergency, the Department of Developmental Services (DDS) authorized regional centers to pay vendors for absences that are the direct result of COVID-19. DDS also delegated to regional center executive directors the authority to grant rate increases to protect consumer's health and safety related to COVID-19. At this time, RCOC's projected costs for COVID-19 are \$11.7 million.

As reported in the prior fiscal year, the increase in expenditures was due primarily to higher rates. The A-1 allocation included \$5.5 million in continuation costs for the minimum wage increase effective January 1, 2019; and, \$3.4 million for the California minimum wage increase effective January 1, 2020. RCOC estimates an increase in expenditures of \$14.2 million for the supplemental rate increases effective January 1, 2020. The time-limited funding to provide supplemental rate increases from January 1, 2020 through December 31, 2021, was contingent upon federal approval of matching federal funds; federal approval was obtained on December 19, 2019. Senate Bill 81 also states that the supplemental rate increases shall be suspended on December 31, 2021, unless the following applies:

If, in the determination of the Department of Finance, the estimates of General Fund revenues and expenditures determined pursuant to Section 12.5 of Article IV of the California Constitution that accompany the May Revision, which is required to be released by May 14, 2021, pursuant to Section 13308 of the Government Code, contain projected annual General Fund revenues that exceed projected annual General Fund expenditures in the 2021–22 and 2022–23 fiscal years by the sum total of General Fund moneys appropriated for all programs subject to suspension on December 31, 2021, pursuant to the Budget Act of 2019 and the bills providing for appropriations related to the Budget Act of 2019 within the meaning of subdivision (e) of Section 12 of Article IV of the California Constitution, then the implementation of this section shall not be suspended...

As in the prior five fiscal years, RCOC had a large number of persons served (525) who graduated from school to regional center-funded adult day programs.

The other factor in expenditure growth is the number of persons served in the community who resided previously at Fairview Developmental Center. The continuation cost of those services is approximately \$14 million.

RCOC's caseload growth in fiscal year 2018-19 was 3.9%, an increase of 822 persons. To date in the current fiscal year, RCOC's caseload grew by 357 persons, for an annualized caseload growth of 1.8%; the regional center system grew by 9,574 persons for an annualized caseload growth of 3.1%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of May 31, 2020**

	A	B	C	D	E	F	G	H
	A-6 PURCHASE OF SERVICE ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (6/10/2020)	(column A-D)/A %	(column A-D) AMOUNT YEAR TO DATE	CHANGE FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
(1) Licensed Residential Care	\$114,502,261	\$106,901,336	\$119,073,897	\$120,991,999	-6%	-\$6,489,738	\$4,836,662	\$89,692,670
(2) Day Care	3,137,019	2,486,376	2,751,496	3,137,019	0%	0	0	2,785,738
(3) Day Training	65,557,557	56,568,852	63,293,315	65,557,557	0%	0	0	59,665,859
(4) Habilitation	8,707,502	6,999,289	8,186,542	8,707,502	0%	0	0	8,757,222
(5) Transportation	20,365,978	11,333,801	17,663,066	20,365,978	0%	0	0	14,325,419
(6) Respite	36,259,229	27,042,619	33,863,488	36,259,229	0%	0	0	27,862,769
(7) Supported Living	41,189,572	37,246,852	40,824,877	41,189,572	0%	0	0	39,532,712
(8) Non-medical	14,061,621	11,955,976	13,689,866	14,061,621	0%	0	0	15,421,951
(9) Medical	5,338,053	4,993,029	5,648,198	5,338,053	0%	0	0	4,805,799
(10) Other	92,502,096	76,158,698	90,935,759	93,911,315	-2%	-1,409,219	591,442	81,234,153
(11) Early Start (Age 0-3)	30,410,414	24,876,755	28,284,179	30,410,414	0%	0	0	27,645,831
(12) Community Placement Plan	3,549,595	4,326,078	4,760,639	3,549,595	0%	0	0	3,349,563
(13) Purchase of Service Total	435,580,897	370,889,661	428,975,322	443,479,854	-2%	-7,898,957	5,428,104	375,079,686
OPERATIONS						\$646,398	** If all SPA receivables are paid.	
(14) Operating Expense (Gross)	7,600,000	6,159,272	6,719,206	7,600,000	0%	0	0	6,308,798
(15) Less Interest Income and SPA Fees	-300,000	-341,822	-372,897	-300,000	0%	0	0	-376,712
(16) Operating Expense (Net)	7,300,000	5,817,451	6,346,310	7,300,000	0%	0	0	5,932,085
(17) Personal Services	40,948,861	34,672,366	37,824,400	40,948,861	0%	0	0	35,488,913
(18) Family Resource Center/Services	269,299	173,227	188,975	269,299	0%	0	0	61,593
(20) Operations Total	48,518,160	40,663,044	44,359,685	48,518,160	0%	0	0	41,482,591
(21) Total	\$484,099,057	\$411,552,705	\$473,335,007	\$491,998,014	0%	-\$7,898,957	\$5,428,104	\$416,562,277

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.
 ** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF MAY 31, 2020

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	33,314,680.31	
Savings	11,406.33	\$430,365.22
Money market	0.00	
Payroll	222,774.87	
Donations	172,432.99	
Unemployment	586,280.60	
Certificate of deposit	0.00	
	-----	-----
Total current assets	34,307,875.10	430,365.22
	-----	-----
RECEIVABLES		
State claim	97,814,197.21	
Client support revenue	288,296.56	40,101.11
Due from State - prior years	770,045.39	
Due from ICF - ICF Supplemental Services	6,362,453.97	
	-----	-----
Total receivables	105,234,993.13	40,101.11
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	572,319.02	
Building acquisition	63,613.98	
	-----	-----
Total other assets	635,933.00	0.00
	-----	-----
TOTAL ASSETS	\$140,468,384.09	\$470,466.33
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$22,447,493.59	\$288,296.56
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	117,209,654.34	
Unemployment insurance	586,280.60	
	-----	-----
Total liabilities	140,295,951.10	288,296.56
	-----	-----
FUND BALANCES		
General	0.00	
Donations	172,432.99	
Custodial		182,169.77
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$140,468,384.09	\$470,466.33
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
MAY 31, 2020

Beginning Balance		\$173,014.99
Donations:		
Network for Good	\$100.00	
Orange County's United Way	<u>125.00</u>	
Subtotal Donations		\$225.00
Loan Payments		293.00
Interest		0.00
Disbursements:		
Moving expenses	<u>-1,100.00</u>	
Net Increase (Decrease)		<u>-582.00</u>
Ending Balance		<u><u>\$172,432.99</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: July 9, 2020

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Audited Financial Statements for the Year Ended June 30, 2019**

BACKGROUND:

Audited financial statements are required in California's Welfare and Institutions Code Section 4639, and Article III, section 8 of RCOC's contract with the Department of Developmental Services.

REASON FOR CURRENT ITEM:

Compliance with the requirements as stated above.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board approve the independent audit firm's financial statements as presented.

Agenda Item III.C.-2.b.



**REGIONAL CENTER
OF ORANGE COUNTY**

FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION
FOR THE YEAR ENDED JUNE 30, 2019
(WITH SUMMARIZED COMPARATIVE FINANCIAL INFORMATION FOR 2018)

REGIONAL CENTER OF ORANGE COUNTY, INC.

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INDEPENDENT AUDITORS' REPORT

To the Board of Directors of
Regional Center of Orange County, Inc.

Report on the Financial Statements

We have audited the accompanying financial statements of Regional Center of Orange County, Inc. (the "Center"), which comprise the statement of financial position as of June 30, 2019, and the related statements of activities and changes in net assets, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Regional Center of Orange County, Inc. as of June 30, 2019, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying Schedule of Expenditures of Federal Awards, as required by Title 2 U.S., *Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Report on Summarized Comparative Information

We have previously audited the Center's 2018 financial statements and we expressed an unmodified audit opinion on those audited financial statements in our report dated August 23, 2019. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2018, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated **OPEN XX, 2020**, on our consideration of the Center's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Center's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Center's internal control over financial reporting and compliance.

San Francisco, California

OPEN XX, 2020

REGIONAL CENTER OF ORANGE COUNTY, INC.

STATEMENT OF FINANCIAL POSITION

JUNE 30, 2019
(WITH SUMMARIZED COMPARATIVE FINANCIAL INFORMATION
AS OF JUNE 30, 2018)

	2019	2018
Assets		
Cash	\$ 11,170,138	\$ 1,614,644
Cash held for clients	453,299	634,096
Contract receivables - State of California	130,753,946	86,205,131
Intermediate care facilities vendor receivables	5,097,857	5,276,408
Receivables held for clients	20,971	239,553
Deposits and prepaid expenses	619,550	612,815
Other assets	630,487	779,437
Unbilled reimbursable contract costs receivables - State of California	<u>95,502,233</u>	<u>71,049,990</u>
Total Assets	<u>\$ 244,248,481</u>	<u>\$ 166,412,074</u>
Liabilities and Net Assets		
Liabilities		
Accounts payable	\$ 42,679,815	\$ 37,366,769
Contract advance - State of California	105,392,831	57,057,590
Due to State of California	52,523	52,523
Net assets held for clients	444,701	838,706
Accrued vacation and other leave benefits	1,931,849	1,763,144
Deferred rent liability	4,898,449	1,745,203
Unfunded pension benefit obligations	<u>88,671,935</u>	<u>67,541,643</u>
Total Liabilities	244,072,103	166,365,578
Net Assets Without Restrictions	<u>176,378</u>	<u>46,496</u>
Total Liabilities and Net Assets	<u>\$ 244,248,481</u>	<u>\$ 166,412,074</u>

The accompanying notes are an integral part of these financial statements.

REGIONAL CENTER OF ORANGE COUNTY, INC.

STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS

**FOR THE YEAR ENDED JUNE 30, 2019
(WITH SUMMARIZED COMPARATIVE FINANCIAL INFORMATION
FOR THE YEAR ENDED JUNE 30, 2018)**

	2019	2018
Support and Revenue		
Contracts - state of California	\$ 444,164,203	403,585,431
Federal awards	1,141,456	916,502
Intermediate care facilities	11,101,141	11,989,080
Interest	231,848	154,022
Contributions	154,161	11,595
Total Support and Revenue	<u>456,792,809</u>	<u>416,656,630</u>
Expenses		
Program services	425,026,877	406,956,532
Management and general	12,389,927	9,120,574
Total Expenses	<u>437,416,804</u>	<u>416,077,106</u>
Change in Net Asset Before Changes in Pension Benefit Obligations	19,376,005	579,524
Change in Pension Benefit Other Than Periodic Benefit Costs	<u>(19,246,123)</u>	<u>(578,239)</u>
Change in Net Assets	129,882	1,285
Net Assets Without Restrictions - Beginning	<u>46,496</u>	<u>45,211</u>
Net Assets Without Restrictions - Ending	<u>\$ 176,378</u>	<u>\$ 46,496</u>

The accompanying notes are an integral part of these financial statements.

REGIONAL CENTER OF ORANGE COUNTY, INC.

STATEMENT OF FUNCTIONAL EXPENSES

**FOR THE YEAR ENDED JUNE 30, 2019
(WITH SUMMARIZED COMPARATIVE FINANCIAL INFORMATION
FOR THE YEAR ENDED JUNE 30, 2018)**

	Program Services	Management and General	2019 Total	2018 Total
Purchase of Services				
Community and intermediate care facilities	\$ 100,580,353	\$ --	\$ 100,580,353	\$ 82,545,257
Day training and day program services	103,575,009	--	103,575,009	92,567,608
Transportation services	17,110,280	--	17,110,280	15,575,967
Respite care services	34,238,714	--	34,238,714	24,461,192
Medical care services	9,683,148	--	9,683,148	8,463,752
Nonmedical services	20,048,633	--	20,048,633	27,761,622
Other purchased services	<u>103,815,813</u>	<u>--</u>	<u>103,815,813</u>	<u>121,821,510</u>
Total Purchase of Services	<u>389,051,950</u>	<u>--</u>	<u>389,051,950</u>	<u>373,196,908</u>
Operating				
Salaries and related expenses	35,926,508	3,840,823	39,767,331	37,293,606
Office occupancy	--	3,186,673	3,186,673	2,840,096
Other operating expenses	<u>48,419</u>	<u>5,362,431</u>	<u>5,410,850</u>	<u>2,746,496</u>
Total Operating	<u>35,974,927</u>	<u>12,389,927</u>	<u>48,364,854</u>	<u>42,880,198</u>
Total Expenses	<u>\$ 425,026,877</u>	<u>\$ 12,389,927</u>	<u>\$ 437,416,804</u>	<u>\$ 416,077,106</u>

The accompanying notes are an integral part of these financial statements.

REGIONAL CENTER OF ORANGE COUNTY, INC.

STATEMENT OF CASH FLOWS

FOR THE YEAR ENDED JUNE 30, 2019
(WITH SUMMARIZED COMPARATIVE FINANCIAL INFORMATION
FOR THE YEAR ENDED JUNE 30, 2018)

	2019	2018
Cash Flows From Operating Activities		
Change in net assets	\$ 129,882	\$ 1,285
Adjustments to reconcile changes in net assets to net cash provided by (used in) operating activities:		
Changes in operating assets and liabilities:		
Contract receivables - State of California	(44,548,815)	(60,775,083)
Intermediate care facilities vendor receivables	178,551	1,342,847
Receivables held for clients	218,582	(24,990)
Deposits and prepaid expenses	(6,735)	21,509
Other assets	148,950	150,142
Accounts payable	5,313,046	555,988
Contract advance - State of California	48,335,241	48,568,864
Net assets held for clients	(394,005)	(348,890)
Net Cash Provided by (Used in) Operating Activities	<u>9,374,697</u>	<u>(10,508,328)</u>
Cash - Beginning	<u>2,248,740</u>	<u>12,757,068</u>
Cash - Ending	<u>\$ 11,623,437</u>	<u>\$ 2,248,740</u>
Statement of Financial Position Presentation		
Cash	\$ 11,170,138	\$ 1,614,644
Cash held for clients	<u>453,299</u>	<u>634,096</u>
	<u>\$ 11,623,437</u>	<u>\$ 2,248,740</u>

The accompanying notes are an integral part of these financial statements.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

PURPOSE AND ORGANIZATION

Organization

Regional Center of Orange County, Inc. (the “Center”), a California nonprofit public benefit corporation under contract with the State of California Department of Developmental Services (“DDS”), was formed in 1977 to administer programs for individuals with developmental disabilities and their families, which includes diagnosis, counseling, education services, and dissemination of information on developmental disabilities to the public. The Center is one of 21 regional centers within California and serves Orange County.

Governance

The Center was organized in accordance with the provision of the Lanterman Developmental Disabilities Services Act (the “Lanterman Act”) of the Welfare and Institutions Code of the State of California. The Lanterman Act includes governance provisions regarding the composition of the Center’s Board of Directors. The Lanterman Act states that the Board shall be comprised of individuals with demonstrated interest in, or knowledge of, developmental disabilities, and other relevant characteristics, and requires that a minimum of 50 percent of the governing board be persons with developmental disabilities or their parents or legal guardians; and that no less than 25 percent of the members of the governing board shall be persons with developmental disabilities. In addition, a member of a required advisory committee composed of persons representing the various categories of providers from which the Center purchases client services, shall serve as a member of the Board. To comply with the Lanterman Act, the Board of Directors includes persons with developmental disabilities, or their parents or legal guardians, who receive services through the Center and a client service provider of the Center.

STATE OF CALIFORNIA CONTRACT

The Center operates under an annual cost-reimbursement contract with DDS under the Lanterman Act. The maximum expenditures under the contract are limited to the contract amount plus interest earned. The Center is required to maintain accounting records in accordance with the Regional Center Fiscal Manual, issued by DDS, and is required to have DDS approval for certain expenses. In the event of termination or nonrenewal of the contract, the State of California maintains the right to assume control of the Center’s operation and the obligation of its liabilities.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

BASIS OF ACCOUNTING

The Center prepares its financial statements in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP"), which involves the application of the accrual method of accounting; consequently, revenues and gains are recognized when earned, and expenses and losses are recognized when incurred regardless of the timing of cash flows.

CLASSIFICATION OF NET ASSETS

U.S. GAAP requires that the Center report information regarding its financial position and activities according to two classes of net assets: without donor restrictions and with donor restrictions. Accordingly, the net assets of the Center are classified and reported as follows:

Without Donor Restrictions

Those net assets and activities which represent expendable funds for operations related to the DDS contract. These accounts also record the activities of a federally-funded program.

With Donor Restrictions

Those net assets and activities which are donor-restricted for holdings of (a) support of specific operating activities; (b) investment for a specified term; (c) use in a specified future period; (d) the acquisition of long-lived assets; (e) assets donated with stipulations that they be used for a specified purpose, be preserved, and not be sold; or (f) assets donated with stipulations that they be invested to provide a permanent source of income.

As of June 30, 2019, and for the year then ended, the Center did not have any net assets or activities with donor restrictions.

FAIR VALUE OF FINANCIAL INSTRUMENTS

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The carrying amounts of receivables and accounts payable approximate fair value because of the short maturity of these instruments.

ESTIMATES

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

CASH

Cash is defined as cash in demand deposit accounts as well as cash on hand. The Center considers all financial instruments with a maturity of three months or less when purchased to be cash equivalents. As of June 30, 2019, and for the year then ended, the Center did not have any cash equivalents.

CONTRACT RECEIVABLES

Contract receivables represent claims billed according to the terms of the contract for costs incurred through the end of the year. Management believes that these receivables are fully collectible and, therefore, has not provided an allowance for doubtful accounts.

STATE EQUIPMENT

Pursuant to the terms of the contract with DDS, equipment purchases become the property of the State of California and, accordingly, are charged as expenses when incurred. The Center tracks items, which cost more than \$5,000 and have an estimated useful life of more than one year. For the year ended June 30, 2019, there were no equipment purchases, and the equipment disposals were \$35,293. The aggregate equipment costs at June 30, 2019, totaled \$2,165,238.

LEASEHOLD IMPROVEMENTS

Leasehold improvements not reimbursed by DDS are capitalized and are amortized over the shorter of the asset's life or the term of the lease. As of June 30, 2019, leasehold improvements net of amortization were \$630,487 and are included in other assets on the statement of financial position.

CLIENT TRUST ASSETS AND LIABILITIES

The Center serves as a representative payee for a portion of its clients. In this fiduciary capacity, it receives social security benefits and other sources of income and makes payments on behalf of certain developmentally disabled clients who are deemed unable to administer the funds themselves. Client Trust transactions are not considered revenue or expenses of the Center. The cash that is received and outstanding receivables, net of inter-fund liabilities, are reported as a liability, net assets held for others, until it is distributed to the respective clients.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

ACCRUED VACATION AND OTHER LEAVE BENEFITS

The Center has accrued a liability for vacation and sick leave benefits earned which are reimbursable costs under the contract with DDS; however, such benefits are reimbursed only when actually paid, therefore, these deferred costs are recognized as unbilled reimbursable contract costs receivables - State of California on the statement of financial position. The Center accrues earned vacation up to 320 hours. When the employee separates from service, the employee will receive the unused vacation.

DEFERRED RENT

The Center leases office facilities under lease agreements that are subject to scheduled acceleration of rental payments. The scheduled rent increases are amortized evenly over the term of the lease in accordance with U.S. GAAP. The deferred rent liability of \$4,898,449 at June 30, 2019, represents the accumulated difference between the cash payments made and the amount expensed since inception of the lease. The DDS reimburses the Center for rent cost after it is paid. Therefore, deferred rent is recognized on the statement of financial position as part of the unbilled reimbursable contract costs receivables - State of California.

REVENUE RECOGNITION – STATE OF CALIFORNIA GRANTS

Revenue and expenses are recognized based upon costs incurred. Depending on the date of the service, claims are classified and charged to the appropriate contract as follows:

- Current year
- Prior year
- Second prior year

CONTRIBUTIONS

The Center recognizes all contributions when they are received or unconditionally promised, regardless of compliance with restrictions. Contributions without donor-imposed restrictions are reported as support without donor restrictions. Contributions with donor-imposed restrictions are reported as support with donor restrictions, which may be expandable or are required to be held in perpetuity.

The satisfaction of a donor-imposed restriction on a contribution is recognized in the period in which the restriction expires. This occurs by increasing one class of net assets and decreasing another in the statement of activities and changes in net assets. These transactions are reported as net assets released from restrictions in the statement of activities and changes in net assets and are reported separately from other transactions.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

FEDERAL GRANTS

The Center is a sub-recipient to DDS with regard to the following grant:

U.S. Department of Education

The Special Education Grants for Infants and Families, which provides funding for early intervention services for infants and toddlers, through age three, as authorized by Public Law 102-119.

INCOME TAXES

The Center is a qualified organization exempt from federal income taxes under §501(c)(3) of the Internal Revenue Code (“IRC”) and franchise taxes under §23701d of the California Revenue and Taxation Code. Accordingly, it is exempt from federal and California income taxes and is not liable for federal unemployment taxes.

Management evaluated the Center’s tax positions and concluded that they maintained their tax exempt status and had taken no uncertain tax positions that would require adjustment to the financial statements.

Therefore, no provision or liability for income taxes has been included in the financial statements. The 2015 through 2018 tax returns of the Center remain subject to examination by the Internal Revenue Service. In addition, the 2014 through 2018 tax returns remain subject to examination by the California Franchise Tax Board. However, there are currently no examinations pending or in progress.

DEFINED BENEFIT PENSION PLAN

The Center records the unfunded liability of its defined benefit pension plan with California Public Employees’ Retirement System (“CalPERS”) on the statement of financial position and recognizes the changes in the funded status on the statement of activities and changes in net assets in the year in which the change occurs. The Center’s share in the unfunded projected pension benefits obligations is determined in accordance with the provisions of FASB Standards Codification (ASC) 715, *Defined Benefit Plans - Pension*. The Center recognized the incurred but unpaid pension benefits costs as part of the unbilled reimbursable contract cost receivables - State of California on the statement of financial position. Pension benefits costs are billed to DDS when paid by the Center to CalPERS.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

FUNCTIONAL EXPENSES ALLOCATION

The costs of providing the various programs and activities have been summarized on a functional basis in the statements of activities and changes in net assets and functional expenses. Purchase of services, salaries and related expenses are allocated to the program services or general and administrative classes on a direct-cost basis. All other operating expenses are allocated to general and administrative service.

CONCENTRATIONS OF RISKS

Credit Risk

Financial instruments, which potentially subject the Center to a concentration of credit risk, principally consist of cash, contract receivable, and receivables from vendors. The Center places cash in deposit accounts, which may at times, exceed the federally-insured limit. Through its contract with DDS, the Center is reimbursed for its expenses. The ability of DDS to honor its obligations and to continue funding is dependent upon the overall economic well-being of the State of California. The Center has not experienced any losses in these accounts and believes it is not exposed to any significant credit risk.

SUMMARIZED COMPARATIVE FINANCIAL INFORMATION

The financial statements include certain prior year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with U.S. GAAP. Accordingly, such information should be read in conjunction with the Center's financial statements as of June 30, 2018, and for the year then ended, from which the summarized information was derived.

RECENTLY ADOPTED ACCOUNTING PRONOUNCEMENTS

On August 18, 2016, the Financial Accounting Standards Board ("FASB") issued Accounting Standards Update ("ASU") 2016-14, *Not-for-Profit Entities (Topic 958): Presentation of Financial Statements of Not-for-Profit Entities*. The update addresses the classification of net assets, information about liquidity, availability of resources, information about the types of expenses, and investment return for nonprofit organizations. The Center adopted ASU 2016-14 and presented, as new key information, liquidity disclosure and presented two classes of net assets instead of three classes.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

RECENT ACCOUNTING PRONOUNCEMENTS

In May 2014, the FASB issued ASU 2014-09, *Revenue from Contracts with Customers (Topic 606)* related to revenue recognition.

Subsequent to the issuance of ASUs 2014-09, the FASB issued the following ASUs to clarify certain matters related to FASB ASC 606 and related FASB ASC Topics:

- 2016-08, *Revenue from Contracts with Customers (Topic 606): Principal versus Agent Considerations (Reporting Revenue Gross versus Net)*
- 2016-10, *Revenue from Contracts with Customers (Topic 606): Identifying Performance Obligations and Licensing*
- 2016-12, *Revenue from Contracts with Customers (Topic 606): Narrow-Scope Improvements and Practical Expedients*
- 2016-20, *Technical Corrections and Improvements to Topic 606, Revenue from Contracts with Customers*
- 2017-05, *Other Income - Gains and Losses from the Derecognition of Nonfinancial Assets (Subtopic 610-20)*

These updates introduce ASC Topic 606, *Revenue from Contracts with Customers*, and supersedes the revenue recognition requirements in Accounting Standards Codification (“ASC”) Topic 605, *Revenue Recognition*. In 2016, the FASB issued final amendments clarifying implementation guidance for principal versus agent considerations, identifying performance obligations, assessing collectability, presenting sales taxes, measuring noncash consideration, and certain other transition matters. ASC 606 is effective as of July 1, 2019. Management is evaluating the impact of this new guidance.

On June 21, 2018, the FASB issued ASU 2018-08, *Not-for-Profit Entities (Topic 958) Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*. ASU 2018-08 applies to all entities, including business entities that receive or make contributions of cash and other assets (except for transfers of assets from government entities to business entities).

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

RECENT ACCOUNTING PRONOUNCEMENTS (CONTINUED)

ASU 2018-08 provides guidance on determining whether a transaction should be accounted for as a contribution or as an exchange transaction. A primary aspect of this determination is whether the two parties receive and sacrifice commensurate value. Making this determination is important because distinguishing between contributions and exchange transactions determines which guidance is applied. For contributions, an entity should follow the guidance in FASB ASC 958-605, *Not-for-Profit Entities—Revenue Recognition*, whereas, for exchange transactions, an entity should follow other guidance (for example, FASB ASC 606, *Revenue from Contracts with Customers*).

ASU 2018-08 could result in more grants and contracts being accounted for as contributions (often conditional contributions) than under current U.S. GAAP. Accounting for the grant or contract as a contribution is expected to be less costly than applying FASB ASC 606 (including the additional disclosure requirements). ASU 2018-08 also provides guidance on determining whether a contribution is conditional, helping entities better distinguish a donor-imposed condition from a donor-imposed restriction. The ASU requires entities to determine whether a contribution is conditional on the basis of whether an agreement includes a barrier that must be overcome and either a right of return of assets transferred or a right of release of a promisor's obligation to transfer assets.

Additionally, ASU 2018-08 modifies the simultaneous release option currently in U.S. GAAP, which allows a not-for-profit organization to recognize a restricted contribution directly in net assets without donor restrictions, if the restriction is met in the same period that the revenue is recognized. This election may now be made for all restricted contributions that were initially classified as conditional without having to elect it for all other restricted contributions and investment returns. ASU 2018-08 is effective as of July 1, 2019. Management is evaluating the impact of this new guidance.

In February 2016, the FASB issued ASU 2016-02, *Leases (Topic 842)*. ASU 2016-02 will require lessees to recognize for all leases (with terms of more than 12 months) at the commencement date the following: a) a lease liability, which is a lessee's obligation to make lease payments arising from a lease, measured on a discounted basis, and b) a right-of-use asset, which is an asset that represents the lessee's right to use, or control the use of, a specified asset for the lease term.

The new lease guidance also simplified the accounting for sale and leaseback transactions primarily because lessees must recognize lease assets and lease liabilities. On July 17, 2019, FASB voted to issue proposals to delay the effective date of this ASU by at least one year. ASU 2016-02 will be effective for years beginning after December 15, 2020 under the proposed delay. Early application is permitted. Management is evaluating the impact of this new guidance.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 2 - CONTRACT RECEIVABLES – STATE OF CALIFORNIA

Under the terms of DDS contracts, funded expenditures are not to exceed \$429,388,063, \$401,053,328, and \$383,640,115 for the fiscal years 2018/19, 2017/18, and 2016/17, respectively. As of June 30, 2019, actual net expenditures under the 2018/19, 2017/18, and 2016/17 contracts were \$413,053,448, \$392,463,700, and \$381,193,052, respectively.

Contract receivables as of June 30, 2019, consist of the following:

Claims submitted:	
Current year	\$ 128,553,481
Prior year and second prior year	<u>2,200,465</u>
Total	<u>\$ 130,753,946</u>

NOTE 3 - UNBILLED REIMBURSABLE CONTRACT COSTS RECEIVABLES – STATE OF CALIFORNIA

The contract with DDS stipulates that if the contract is terminated with approval from DDS, the DDS shall pay the accrued benefits and existing contracts. Accrued benefits shall include vacation, sick leave, and any other benefits. The Center’s management, based on the fact that DDS has paid all claims of such benefits and costs that have been claimed by the Center since its inception of operation, believes that the entire balance of the receivables is collectible.

Unbilled reimbursable contract costs receivables as of June 30, 2019, consist of the following:

Unfunded defined benefit plan obligations	\$ 88,671,935
Deferred costs for rent liability	4,898,449
Deferred costs for accrued vacation and other leave benefits	<u>1,931,849</u>
Total	<u>\$ 95,502,233</u>

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 4 - INTERMEDIATE CARE FACILITIES – STATE PLAN AMENDMENT

During the year ended June 30, 2011, various legislative changes were made to the California Welfare and Institutions Code retroactive to July 1, 2007, making Intermediate Care Facility (“ICF”) providers responsible for billing and receiving payment for day programs and transportation services; and ultimately, making such services eligible for reimbursement under California’s Home and Community Based Services Program, which is funded by the Medicaid Waiver grant (“Medicaid”).

Previously, such services provided to the residents were not reimbursable by Medicaid because the funds were not directly billed and received by the ICFs. The legislative changes allow for DDS to bill these services to Medicaid and capture federal funds.

DDS directs the Center to prepare billings for these services on behalf of the ICFs. The billings include a 5.5% Quality Assurance fee for the State of California Department of Health Care Services, a 1.5% administrative fee for the ICFs and a 1.5% administration fee for the Center.

Effective July 1, 2012, DDS directed the Center to prepare billings for these services on behalf of the ICFs and submit a separate state claim report for these services in addition to paying the ICFs directly for their services. The Center was directed to reduce the amount of their regular state claim to DDS by the dollar amount of these services. Reimbursement for these services will be received from the ICFs. DDS advances the amount according to the state claim to the ICFs. The ICFs are then required to pass on the payments received, as well as the Center’s administrative fee to the Center within 30 days of receipt of funds from the State Controller’s Office.

The receivable from ICFs in the amount of \$5,097,857 represents the amount DDS paid or will pay to the ICFs net of the ICF’s administrative fee and Quality Assurance fee in relation to the billings prepared beginning July 1, 2012, and the payable to DDS of \$52,523, represents the amount expected to be paid net of the Center’s fee in relation to the billings prepared on or before June 30, 2012. Revenue from ICFs for the year ended June 30, 2019, was \$11,101,141.

NOTE 5 - CONTRACT ADVANCE – STATE OF CALIFORNIA

Contract advance represents in advance payments from DDS to the Center at the beginning of each fiscal year to provide interest-free working capital. DDS uses its discretion in determining the balance on a month-to-month basis. It is DDS’ sole discretion whether the advance will be paid by off-setting the contract receivable partially or in full. Due to the lack of mutuality of offsetting rights, the contract advance is presented separately from the contract receivables on the statement of financial position. As of June 30, 2019, contract advance balance was \$105,392,831.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 6 - LINE OF CREDIT

The Center had a revolving line of credit with MUFG Union Bank, N.A. whereby it may borrow up to \$44,500,000 through the maturity date, September 30, 2019. Subsequent to the year ended June 30, 2019, the line of credit was renewed in April 2020. The line of credit is secured by substantially all of the Center's assets with interest at the bank's reference rate. At the closing of business on June 30, 2019, the interest rate was 5.50%. During the year ended June 30, 2019, the Center had not drawn on the line of credit.

NOTE 7 - NET ASSETS HELD FOR CLIENTS

The changes in net assets held for clients for the year consist of the following:

Beginning Balance	\$ 838,706
Client support received	1,878,045
Less: purchase of services and disbursements	<u>(2,272,050)</u>
Ending Balance	<u>\$ 444,701</u>

NOTE 8 - DEFINED BENEFIT PENSION PLAN

The Center contributes to CalPERS for retirement benefits. CalPERS is an agent multiple-employer public employee retirement system that acts as a common investment and administrative agent for participating public entities within California. Substantially all of the Center's employees participate in CalPERS.

FASB ASC 715-30, *Defined Benefit Plans – Pension*, requires the Center to recognize the funded status of a defined benefit retirement plan as an asset or liability in its statement of financial position and to recognize changes in that funded status in net assets without donor-imposed restrictions in the year in which the change occurs.

The Center has two retirement plans with CalPERS. The first plan is a 2%-at-age-55 formula, which closed as of December 31, 2012. All eligible employees hired prior to January 1, 2013, participate in this plan. The second plan is a 2%-at-age-62 formula, which was established by the Public Employees' Pension Reform Act of 2013; and, all eligible employees hired on or after January 1, 2013 participate in this plan. The total required employee contributions are 7% of earnings for the 2%-at-age-55 plan and 6.25% of earnings for the 2%-at-age-62 plan. The Center is required to contribute the remaining amounts necessary to fund the benefits for its employees, using the actuarial basis adopted by the CalPERS Board of Administration.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 8 - DEFINED BENEFIT PENSION PLAN (CONTINUED)

The CalPERS Board of Administration adopted changes to the demographic assumptions based on the most recent experience study. The most significant of these is acknowledgement that members are living longer and that this trend will continue. The actuarial assumptions and methods used in CalPERS public agency valuation are approved by the Board of Administration upon the recommendation of the Chief Actuary.

The excess of the total actuarial accrued liability over the market value of plan assets is the unfunded actuarial accrued liability. Funding requirements are determined by adding the normal cost and an amortization of the unfunded liability as a level percentage of assumed future payoffs.

Net periodic benefit cost for the year ended June 30, 2019, is as follows:

Service cost	\$ 8,594,856
Interest cost	6,310,143
Expected return on plan assets	<u>(5,962,597)</u>
Net Periodic Benefit Cost	<u>\$ 8,942,402</u>

Net periodic benefit cost is included in salaries and benefits expenses on the statement of activities and changes in net assets.

Pension benefit changes other than net periodic benefit costs during the year ended June 30, 2019, are as follows:

Assumption changes	\$ 18,909,982
Investment experience	<u>336,141</u>
Changes Other Than Net Periodic Benefit Costs	<u>\$ 19,246,123</u>

Benefit obligation and funded status as of June 30, 2019, are as follows:

Benefit obligation	\$ 181,737,206
Market value of assets	<u>(93,065,271)</u>
Unfunded Liability	<u>\$ 88,671,935</u>

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 8 - DEFINED BENEFIT PENSION PLAN (CONTINUED)

Changes in benefit obligation and funded status during the year ended June 30, 2019, are as follows:

Change in Benefit Obligation

Benefit Obligation - Beginning	\$ 150,462,728
Service cost	8,594,856
Interest cost	6,310,143
Actuarial (gain) loss	18,909,982
Benefits and expenses paid	<u>(2,540,503)</u>
Benefit Obligation - Ending	<u>181,737,206</u>

Change in Fair Value of Plan Assets

Fair Value of Plan Assets - Beginning	82,921,085
Actual return on plan assets	5,626,456
Total contributions	7,058,233
Benefit payments	<u>(2,540,503)</u>
Fair Value of Plan Assets - Ending	<u>93,065,271</u>

Unfunded Pension Benefit Obligations \$ 88,671,935

The changes in the projected benefit obligation as of June 30, 2019, due to assumptions different than those used in this valuation are as follows:

Change in Assumption	Projected Benefit Obligation
Current discount rate falls 1% to 2.70%	\$ 228,214,146
Current discount rate rises 1% to 4.70%	\$ 147,594,028

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 8 - DEFINED BENEFIT PENSION PLAN (CONTINUED)

The assumptions used in the measurement of the benefit obligations at June 30, 2019, are as follows:

Discount Rate	3.70%
Long-term rate of return	7.00%
Salary scale	4.00%
Maximum benefit and annual compensation limit increases	2.50%

The discount rate was derived from the Above Median FTSE Pension Discount Curve as of June 30, 2019, using the expected payouts from the plan. The rate used as of the beginning of the fiscal year (used to calculate the expense for the year) was 3.70%.

CalPERS long-term rate of return on plan assets is 7% which is determined in consultation with CalPERS investment staff and advisors. The annual pension expense under ASC 715 is based on the expected return on plan assets during the fiscal year.

For the mortality rate, the actuary used the male and female, Pri-2012 Total Dataset Mortality Tables projected forward using Mortality Improvement Scale MP-2019 on a generation basis. This assumption is expected to be a best estimate of future mortality experience, being based on the latest published study by the Society of Actuaries, which was finalized in October 2019.

The Plan is reported as a pension trust fund, and is accounted for using the accrual basis of accounting. Contributions to the plan are recognized in the period in which the contributions are due pursuant to legal requirements. Member contribution rates are defined by law and depend on the respective employer's benefit formulas. Member and employer contribution rates are determined by periodic actuarial valuations. Actuarial valuations are based on the benefit provisions and employee groups of each employer. Benefits and refunds are recognized when currently due and payable in accordance with the terms of each rate plan.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 8 - DEFINED BENEFIT PENSION PLAN (CONTINUED)

The actual allocations for the pension assets and target allocations by asset class as of June 30, 2019, are as follows:

Asset Class	Percentage of Plan Assets	Target Allocation
Global equity	48.8%	49.0%
Private equity	7.7%	8.0%
Global fixed income	22.5%	22.0%
Liquidity	3.4%	3.0%
Real assets	10.8%	12.0%
Inflation sensitive assets	5.9%	6.0%
Other	0.9%	0.0%
Total	100%	100%

U.S. GAAP establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value.

The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1

Inputs are unadjusted quoted prices for identical assets or liabilities in active markets that the Center has the ability to access.

Level 2

Inputs other than quoted prices included in Level 1 that are observable for the asset or liability either directly or indirectly.

Level 3

Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 8 - DEFINED BENEFIT PENSION PLAN (CONTINUED)

The fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Plan assets of \$93,065,271 are held in a pooled investment account managed by CalPERS and are considered Level 3 investments.

The following benefit payments, which reflect expected future service, as appropriate, are expected to be paid in the fiscal years ending June 30:

Fiscal Year	Expected Payment
2020	\$ 3,136,116
2021	3,506,088
2022	3,809,093
2023	4,161,557
2024	4,521,684
2024-2029 Aggregate	<u>29,133,320</u>
Total	<u><u>\$ 48,267,858</u></u>

NOTE 9 - COMMITMENTS AND CONTINGENCIES

LEASE COMMITMENTS

The Center is obligated under certain non-cancellable operating leases for office equipment, field and main office facilities. The leases' terms expire in various years through December 2030. The terms of the leases provide for payment of minimum annual rentals, insurance, and property taxes. All leases are classified as operating leases. Rental expense was \$3,064,618 for the year ended June 30, 2019.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 9 - COMMITMENTS AND CONTINGENCIES (CONTINUED)

LEASE COMMITMENTS (CONTINUED)

Future minimum lease payments for leases in effect at June 30, 2019, are as follows:

For the Years Ending June 30,	Amount
2020	\$ 3,097,265
2021	3,110,015
2022	3,190,290
2023	3,285,998
2024	3,384,578
Thereafter	<u>22,414,471</u>
Total	<u><u>\$ 38,482,617</u></u>

CONTINGENCIES

The majority of the Center's funding is provided under an annual contract with DDS. If a significant reduction in the level of funding provided by DDS were to occur, it may have an effect on the Center's programs and activities.

The Center's contract with DDS provides funding for services under the Lanterman Act. In the event that the operations of the Center result in a deficit position at the end of the contract year, DDS may reallocate surplus funds within the State of California system to supplement the Center's funding. If a system-wide deficit occurs, DDS is required to report to the Governor of California and the appropriate fiscal committee of the State Legislature and recommend actions to secure additional funds or reduce expenditures. DDS' recommendations are subsequently reviewed by the Governor and the Legislature and a decision is made with regard to specific actions, including the possible suspension of the entitlement.

The Center's revenue, which is derived from restricted funding provided by DDS, is subject to audit by DDS. According to the terms of the contract with the DDS, an audit may be performed by authorized DDS representatives. Should such an audit disclose any unallowable costs, the Center may be liable to DDS for reimbursement of such costs. In the opinion of the Center's management, the potential effect of any disallowed costs would be immaterial to the financial statements as of June 30, 2019.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 9 - COMMITMENTS AND CONTINGENCIES (CONTINUED)

CONTINGENCIES (CONTINUED)

The Center has elected to self-insure its unemployment insurance using the prorated cost-of-benefits method. Under this method, the Center is required to reimburse the State of California Employment Development Department for the benefits paid to certain former employees. As of June 30, 2019, the Center had \$362,471 in a reserve cash account to pay any potential unemployment claims.

The Center is involved in various claims and lawsuits arising in the normal conduct of its operations. The Center's management believes it has adequate defenses and insurance coverage for these actions and, thus, has made no provision in the financial statements for any costs relating to the settlement of such claims.

NOTE 10 - LIQUIDITY AND FINANCIAL RESOURCES

The Center has various sources of liquidity at its disposal, including cash and contract receivables which are available for general expenditures, liabilities and other obligations as they come due. Management regularly reviews the cash flow needs and focuses on maintaining sufficient liquidity throughout the year. To help manage unexpected liquidity needs, the Center has obtained a line of credit of \$44,500,000.

The Center's financial assets available within one year of the statement of financial position date for general expenditures at June 30, 2019, were as follows:

Financial Assets	
Cash	\$ 11,170,138
Contract reimbursement receivable, net of contract advance	25,361,115
Receivable from Intermediate Care Facilities	<u>5,097,857</u>
Financial Assets Available to Meet General Expenditure Within One Year	<u><u>\$ 41,629,110</u></u>

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 11 - SUBSEQUENT EVENTS

The Center has evaluated all subsequent events through **OPEN XX, 2020**, the date the financial statements were available to be issued. The Center did not note any subsequent events requiring recognition or disclosure except for the matters noted in Note 6 and below.

Subsequent to June 30, 2019, the global economy has been impacted by a pandemic outbreak of the COVID-19 virus, including the United States of America beginning in March 2020. This has resulted in many businesses temporarily closing or working in remote environments. We do not yet know the full extent of the potential impact, if any, this may have on the Center's performance; however, as of the date the financial statements were available to be issued, no specific material adverse matters have been identified or are estimable. We will continue to monitor the COVID-19 situation closely and revise our estimates in future periods, as necessary.

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Directors of
Regional Center of Orange County Inc.

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Regional Center of Orange County, Inc. (the "Center"), which comprise the statement of financial position as of June 30, 2019, and the related statements of activities and changes in net assets, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated **OPEN XX, 2020**.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Center's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Center’s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Center’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Center’s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

San Francisco, California

OPEN XX, 2020

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR
EACH MAJOR PROGRAM AND REPORT ON INTERNAL CONTROL
OVER COMPLIANCE REQUIRED BY THE UNIFORM GUIDANCE**

To the Board of Directors of
Regional Center of Orange County, Inc.

Report on Compliance for Each Major Federal Program

We have audited Regional Center of Orange County Inc.'s (the "Center") compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on the Center's major federal program for the year ended June 30, 2019. The Center's major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for the Center's major federal program based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S., *Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Award* ("Uniform Guidance"). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Center's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for the major federal program. However, our audit does not provide a legal determination of the Center's compliance.

Opinion on Each Major Federal Program

In our opinion, the Center complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on its major federal program for the year ended June 30, 2019.

Report on Internal Control Over Compliance

Management of the Center is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Center's internal control over compliance with the types of requirements that could have a direct and material effect on the major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for the major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

REGIONAL CENTER OF ORANGE COUNTY, INC.

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

FOR THE YEAR ENDED JUNE 30, 2019

Federal Grantor/ Pass-Through Grantor/ Program or Cluster Title	Federal CFDA Number	Pass-Through Entity Identifying Number	Passed Through to Subrecipients	Total Federal Expenditures
Office of Special Education and Rehabilitative Services of the U.S. Department of Education passed-through the State of California Department of Developmental Services:				
Early Intervention Services:				
Special Education- Grants for Infants and Families with Disabilities	84.181	HD149014	\$ --	<u>\$ 1,141,456</u>
Total Expenditures of Federal Awards			<u>\$ --</u>	<u>\$ 1,141,456</u>

See independent auditors' report and notes to the Schedule of Expenditures of Federal Awards.

REGIONAL CENTER OF ORANGE COUNTY, INC.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

FOR THE YEAR ENDED JUNE 30, 2019

NOTE 1 - BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activities of Regional Center of Orange County, Inc. The information in this Schedule is presented in accordance with the requirements of Title 2 U.S., *Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* ("Uniform Guidance"). Because the Schedule presents only a selected portion of the operations of the Center, it is not intended to, and does not present the financial position, changes in net assets, or cash flows of the Center.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as a reimbursement.

NOTE 3 - INDIRECT COST RATE

The Center has elected not to use the 10% de minimis indirect cost rate.

REGIONAL CENTER OF ORANGE COUNTY, INC.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

FOR THE YEAR ENDED JUNE 30, 2019

SECTION I - SUMMARY OF THE AUDITORS' RESULTS

Financial Statements

Type of auditors' report issued on whether the financial statements audited were prepared in accordance with U.S. GAAP:

Unmodified

Internal control over financial reporting:

Material weaknesses identified:

No

Significant deficiencies identified:

None reported

Noncompliance material to financial statements:

No

Federal Awards

Internal control over major programs:

Material weaknesses identified:

No

Significant deficiencies identified:

None reported

Type of auditors' report issued on compliance for major programs:

Unmodified

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?

No

Identification of major federal programs:

CFDA Number

Name of Federal Program/Cluster

84.181

Special Education - Grants for Infants and Families

Dollar threshold used to distinguish between Type A and Type B programs:

\$750,000

Auditee qualified as low-risk auditee:

No

REGIONAL CENTER OF ORANGE COUNTY, INC.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)

FOR THE YEAR ENDED JUNE 30, 2019

SECTION II - FINANCIAL STATEMENT FINDINGS

No matters reported.

SECTION III - FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

No matters reported.

SECTION IV - STATUS OF PRIOR YEAR AUDIT FINDINGS

2018-001 – UNTIMELY FILING OF DATA COLLECTION FORM (SIGNIFICANT DEFICIENCY IN INTERNAL CONTROL)

Remediated. The Center will submit the data collection form before the extended due date.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION _____
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY X

DATE: July 9, 2020

TO: RCOC Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Review Draft of Form 990, Return of Organization Exempt from
Income Tax for the Year Ended June 30, 2019**

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax-exempt organizations to receive a draft of Form 990 prior to filing. Staff anticipate having a draft available for the Board meeting on July 9th. The filing deadline is July 15, 2020.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board review the draft of Form 990.



Summary of Information About Persons Served - May 2020

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,487	17%
Children - Ages Three to 17 Receiving Lanterman Services	6,841	33%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	473
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,849	62%
Epilepsy	2,900	15%
Cerebral Palsy	2,607	14%
Autism	7,817	41%
Fifth Category*	1,520	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	106
Early Start / Under Age Three / 45 days to complete determination	89
Lanterman / Over Age Three / 120 days to complete determination	17

NUMBER OF PERSONS DETERMINED ELIGIBLE	25
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	25
<ul style="list-style-type: none"> • Number of children who received Early Start services 	43
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	28
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	235
Children - Age Three No Longer Eligible for Early Start Services	180
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

MAY 2020 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2019-20

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	47	38	33	27	36	49
Unannounced	52	47	42	33	33	44
Total Number of Reviews	99	85	75	60	69	93

Provider Trainings	0	0	0	0	0	0
Technical Support	148	113	109	105	95	112
Corrective Action Plans	13	20	11	5	13	6
Special Incident Investigations*	73	42	30	60	30	39

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	44	49	12	0	41		376
Unannounced	43	57	96	0	41		488
Total Number of Reviews	87	61	108	0	82		864

Provider Trainings	0	0	0	0	0		0
Technical Support	105	130	142	102	161		1,322
Corrective Action Plans	8	10	0	0	2		88
Special Incident Investigations*	41	45	15	11	35		421

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

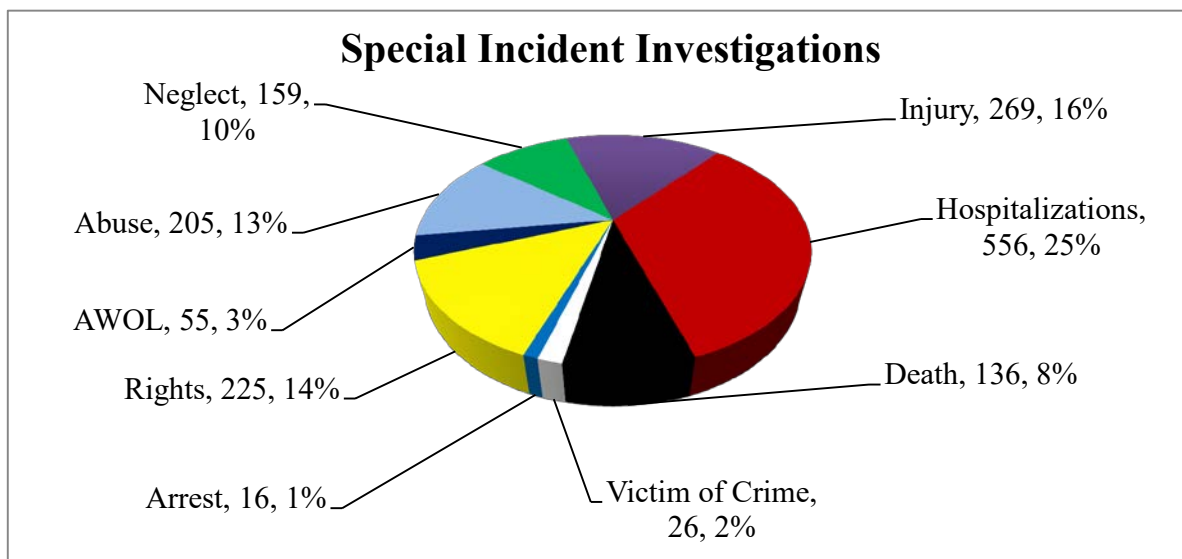
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2019-20

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	5	6	6	3	2
Abuse	25	25	21	33	16	18
Neglect	22	23	16	12	24	17
Injury	36	34	19	24	21	30
Hospitalizations - Total	57	62	38	60	35	52
<i>Psychiatric</i>	14	14	12	17	8	11
<i>Medical</i>	43	48	26	43	27	41
Death	8	8	14	9	13	9
Victim of crime	4	1	0	4	3	2
Arrest	1	3	0	5	2	0
Rights	29	32	17	37	29	16
Total	191	193	131	190	146	146

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	6	7	6	2	3		55
Abuse	10	19	11	19	8		205
Neglect	15	19	6	2	3		159
Injury	25	25	31	11	13		269
Hospitalizations - Total	70	53	42	40	35		544
<i>Psychiatric</i>	21	13	10	7	5		132
<i>Medical</i>	49	40	32	33	30		412
Death	22	8	15	14	16		136
Victim of Crime	1	6	2	1	2		26
Arrest	1	0	1	3	0		16
Rights	7	17	13	12	16		225
Total	157	154	127	104	96		1,635



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2019-20

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	1	1	0	1
Staffing	0	0	2	0	0	0
Level 4I Consultant	5	3	0	0	0	0
P&I (consumer funds)	1	0	0	0	0	0
Total Number of Audits	6	3	3	1	0	1

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	5	0	0	0	0	0
Recovery	6	3	3	1	0	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$26,277.47	\$5,287.50	\$7,667.50	\$90.50	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	1	2	1	0		7
Staffing	0	2	0	0	0		4
Level 4I Consultant	0	0	0	0	0		8
P&I (consumer funds)	0	4	3	0	0		8
Total Number of Audits	0	7	5	1	0		27

Number of Appeals / Recoveries

State Appeal	0	0	0	0	0		5
Recovery	0	2	2	1	0		18

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$2,834.60	\$1,452.00	\$859.93	\$0.00		\$44,469.50
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2019-20

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	106	108	107	104	108	112
Diapers - Family Member	4	2	2	2	2	2
Nursing Service - Family Member	59	55	57	54	52	52
Respite Service - Family Member	418	469	473	477	480	477
Transportation - Family Member	193	192	205	197	194	198
<i>Total Number of Voucher Authorizations</i>	<i>780</i>	<i>826</i>	<i>844</i>	<i>834</i>	<i>836</i>	<i>841</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	110	110	125	143	144	
Diapers - Family Member	2	2	2	2	2	
Nursing Service - Family Member	53	59	62	62	63	
Respite Service - Family Member	478	491	499	520	527	
Transportation - Family Member	192	197	189	192	186	
<i>Total Number of Voucher Authorizations</i>	<i>835</i>	<i>859</i>	<i>877</i>	<i>919</i>	<i>922</i>	

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2019-20

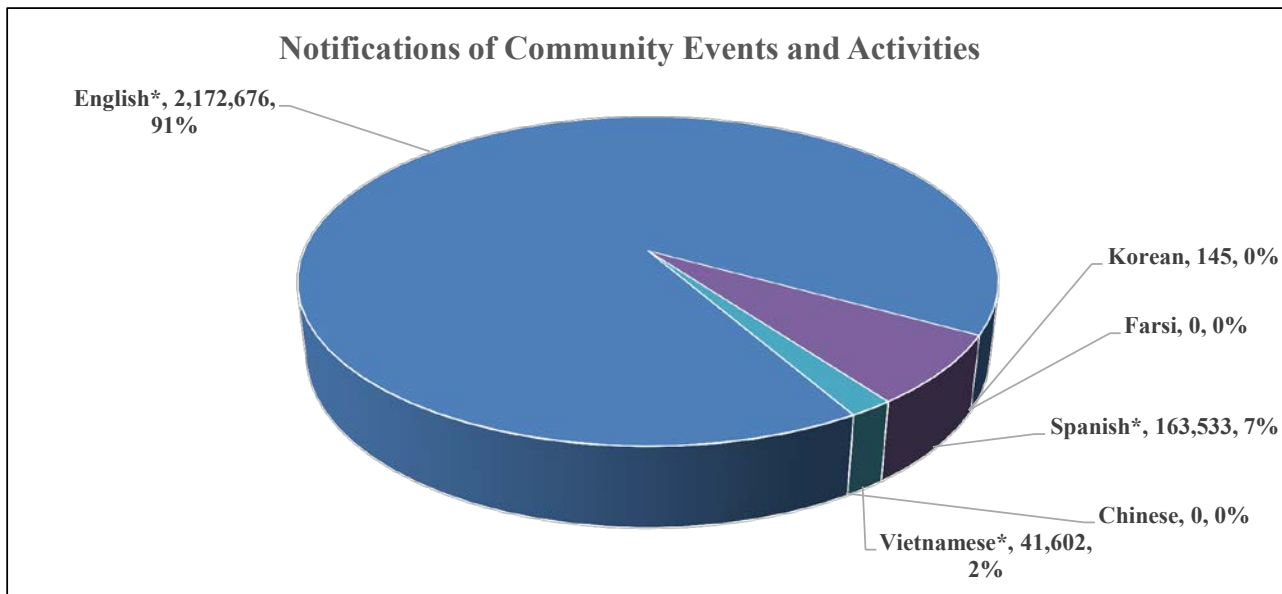
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	87,081	151,031	152,392	202,376	127,924	101,029
Farsi	0	0	0	0	0	
Korean	145	0	0	0	0	
Spanish*	4,190	12,181	10,276	3,430	12,194	8,190
Vietnamese*	137	1,416	1,344	323	4,372	5,531
Total Number of Notifications	91,553	164,628	164,012	206,129	144,490	114,750

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	205,097	193,884	264,193	278,973	408,696		2,172,676
Farsi	0	0	0	0	0		0
Korean	0	0	0	0	0		145
Spanish*	15,597	8,791	18,979	21,728	47,977		163,533
Vietnamese*	3,281	2,171	4,964	3,965	14,098		41,602
Chinese	0	0	0	0	0		0
Total Number of Notifications	223,975	204,846	288,136	304,666	470,771		2,377,956

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2019-20

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English	9	15	19	16	12	3
Spanish	5	6	6	3	5	5
Vietnamese	2	2	4	3	5	
Other Languages	1	0	0	1	0	2
In Print						
English	1	1	0	0	0	1
Spanish	0	0	0	0	0	1
Vietnamese	0	1	0	0	0	0
Other Languages	0	0	0	0	0	0
TV / Radio						
English	0	2	0	0	0	2
Spanish	0	0	0	0	0	1
Vietnamese	4	7	3	0	8	8
Other Languages	0	0	0	0	0	0
Total Number of Outreach Events	22	34	32	23	30	26

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May	June	Total
In Person							
English	8	13	7	8	6		116
Spanish	3	5	1	2	2		43
Vietnamese	3	4	0	1	0		24
Other Languages	0	0	0	0	0		4
In Print							
English	0	4	0	0	0		7
Spanish	0	2	0	0	0		3
Vietnamese	0	1	0	0	0		2
Other Languages	0	0	0	0	0		0
TV / Radio							
English	0	0	0	0	0		4
Spanish	0	0	0	0	0		1
Vietnamese	8	8	8	4	6		64
Other Languages	0	0	0	0	0		0
Total Number of Outreach Events	22	37	16	15	14		242

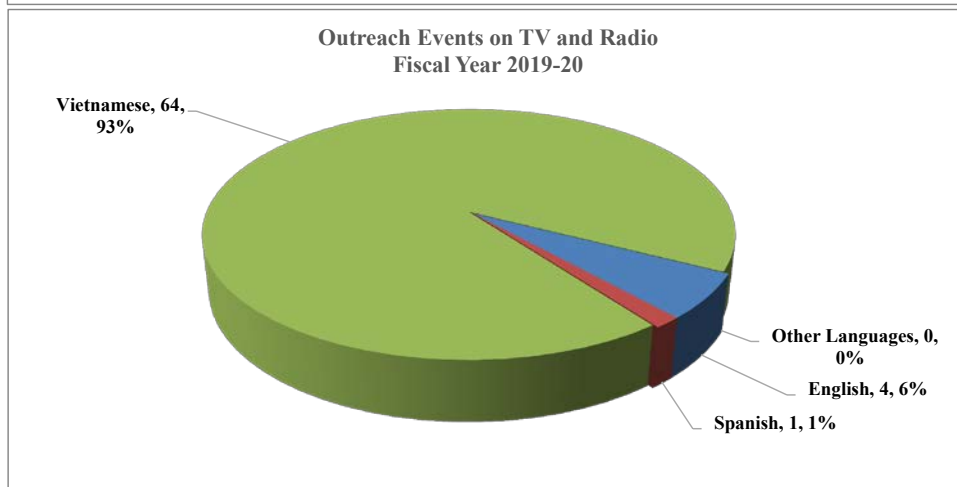
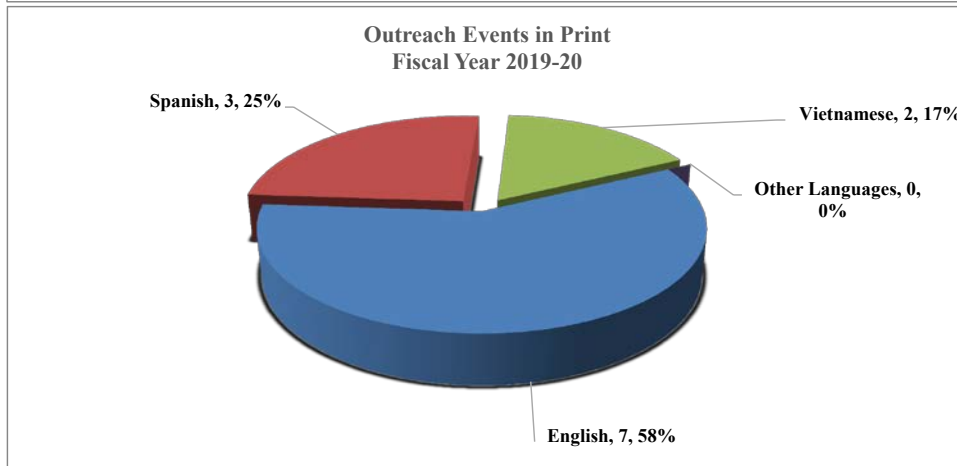
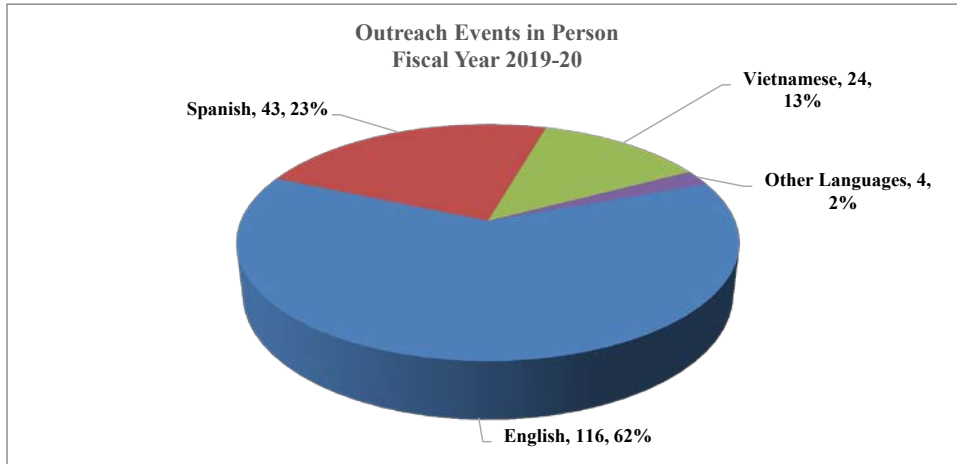
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2019-20



EARLY INTERVENTION / PREVENTION

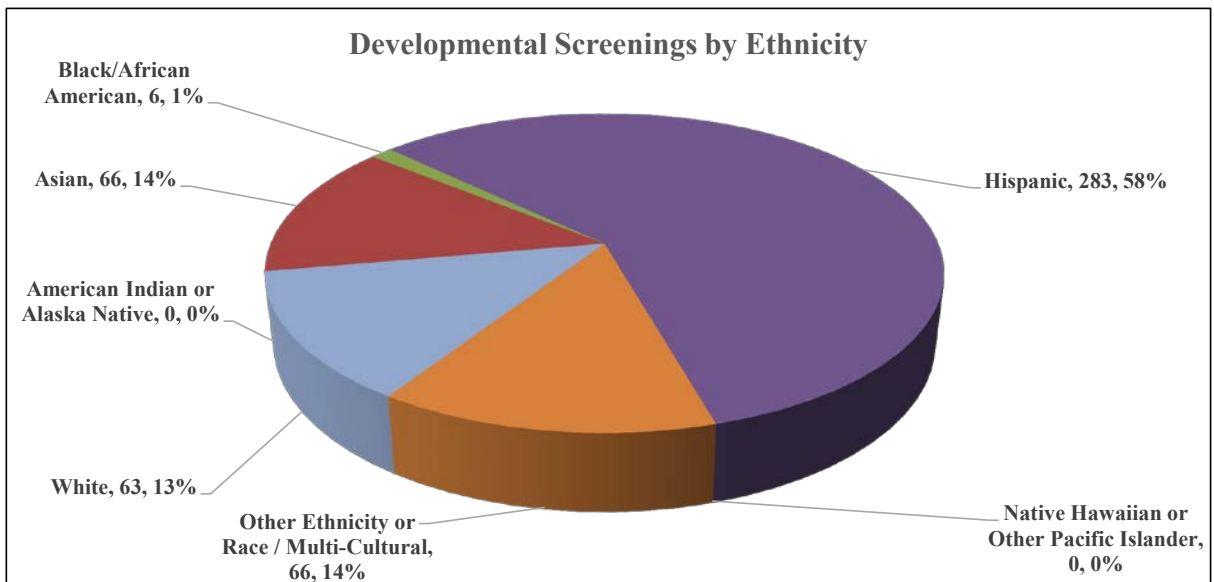
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2019-20

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	4	3	3	12	15	0
Black/African American	0	0	0	1	1	0
Hispanic	12	18	23	19	30	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	9	4	1	4	25	0
White	0	2	2	5	37	0
Total Number Screened	25	27	29	41	108	0
Total Number Referred to RCOC	16	13	14	11	16	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0	0		0
Asian	10	17	2	0	0		66
Black/African American	1	1	2	0	0		6
Hispanic	76	67	33	0	5		283
Native Hawaiian or Other Pacific Islander	0	0	0	0	0		0
Other Ethnicity or Race / Multi-Cultural	8	10	5	0	0		66
White	4	1	9	0	3		63
Total Number Screened	99	96	51	0	8		484
Total Number Referred to RCOC	37	57	20	0	1		185

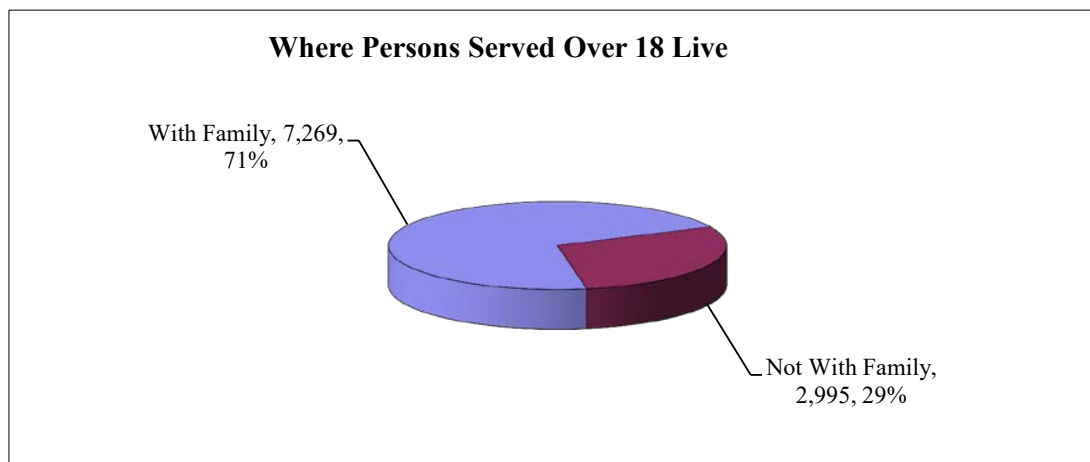
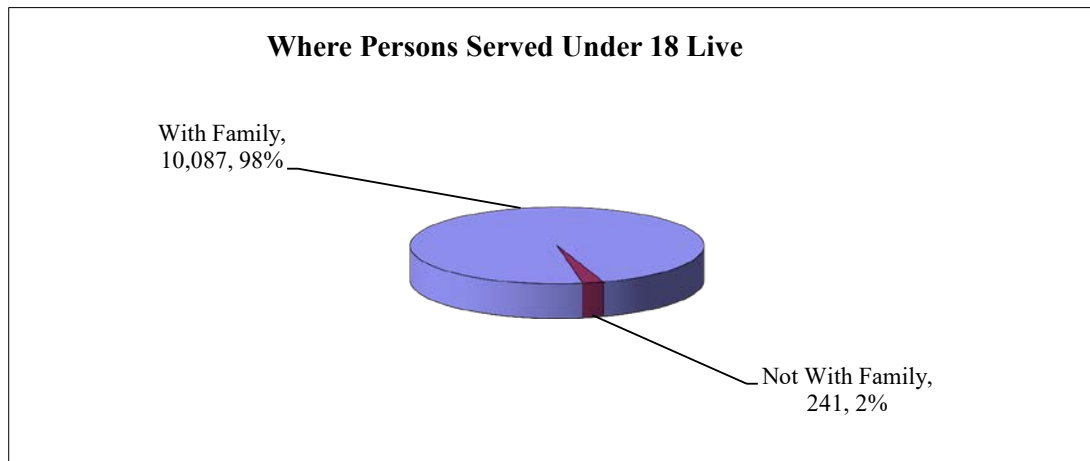


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

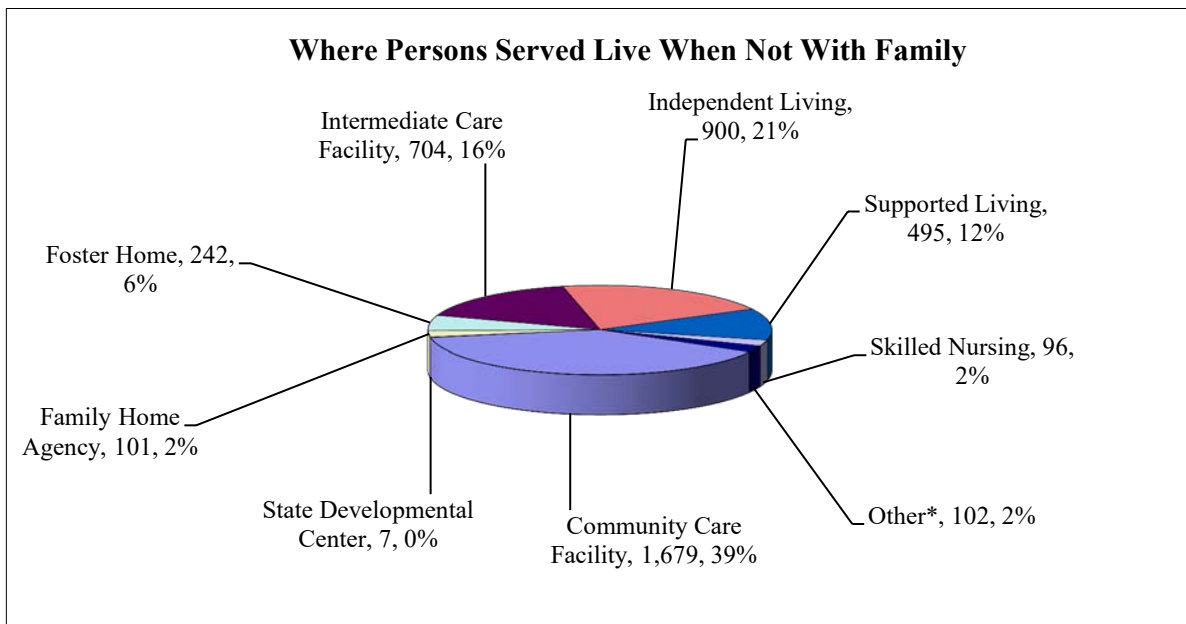
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,184	10,344	7,840
Community Care Facility	1,679	36	1,643
State Developmental Center	7	0	7
Family Home Agency	101	0	101
Foster Home	242	237	5
Intermediate Care Facility	704	5	699
Independent Living	900	0	900
Supported Living	495	0	495
Skilled Nursing	96	0	96
Other*	102	30	72
Total	22,510	10,652	11,858

Other*			
Acute General Hospital	2	0	2
California Youth Authority	0	0	0
Community Treatment	5	1	4
Correctional Institution	0	0	0
County Jail	3	0	3
Other	0	0	0
Out of State	3	1	2
Psychiatric Treatment	9	1	8
Rehabilitation Center	5	2	3
SDC / State Hospital	7	0	7
Sub-Acute	49	24	25
Transient / Homeless	19	1	18
Total, Other*	102	30	72



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

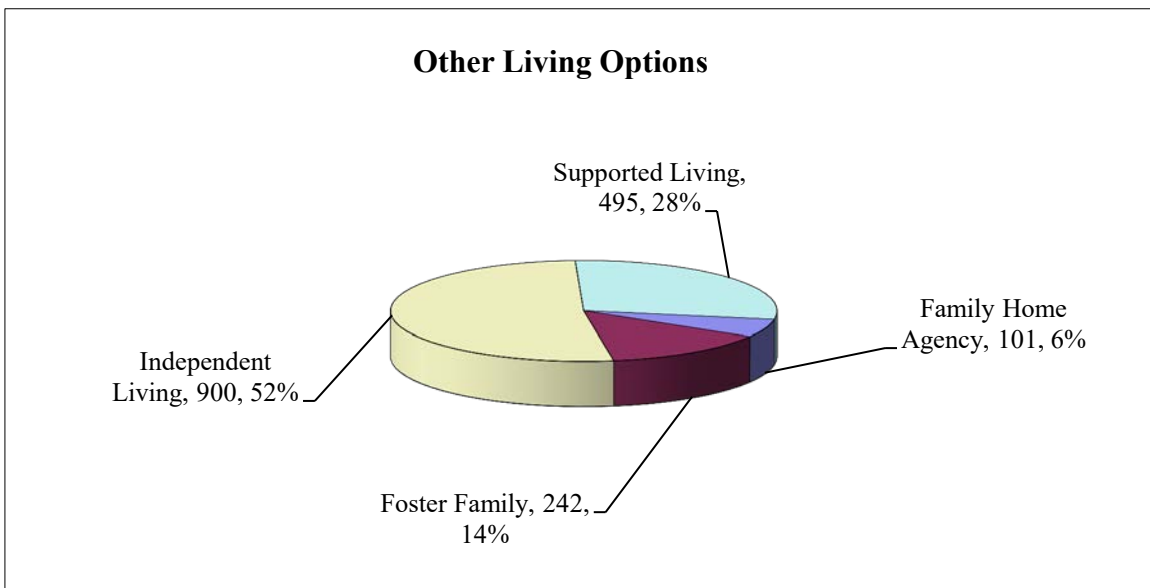
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	101	0	101
Foster Family	242	237	5
Independent Living	900	0	900
Supported Living	495	0	495
Total	1,738	237	1,501



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOG
Fiscal Year 2019-20

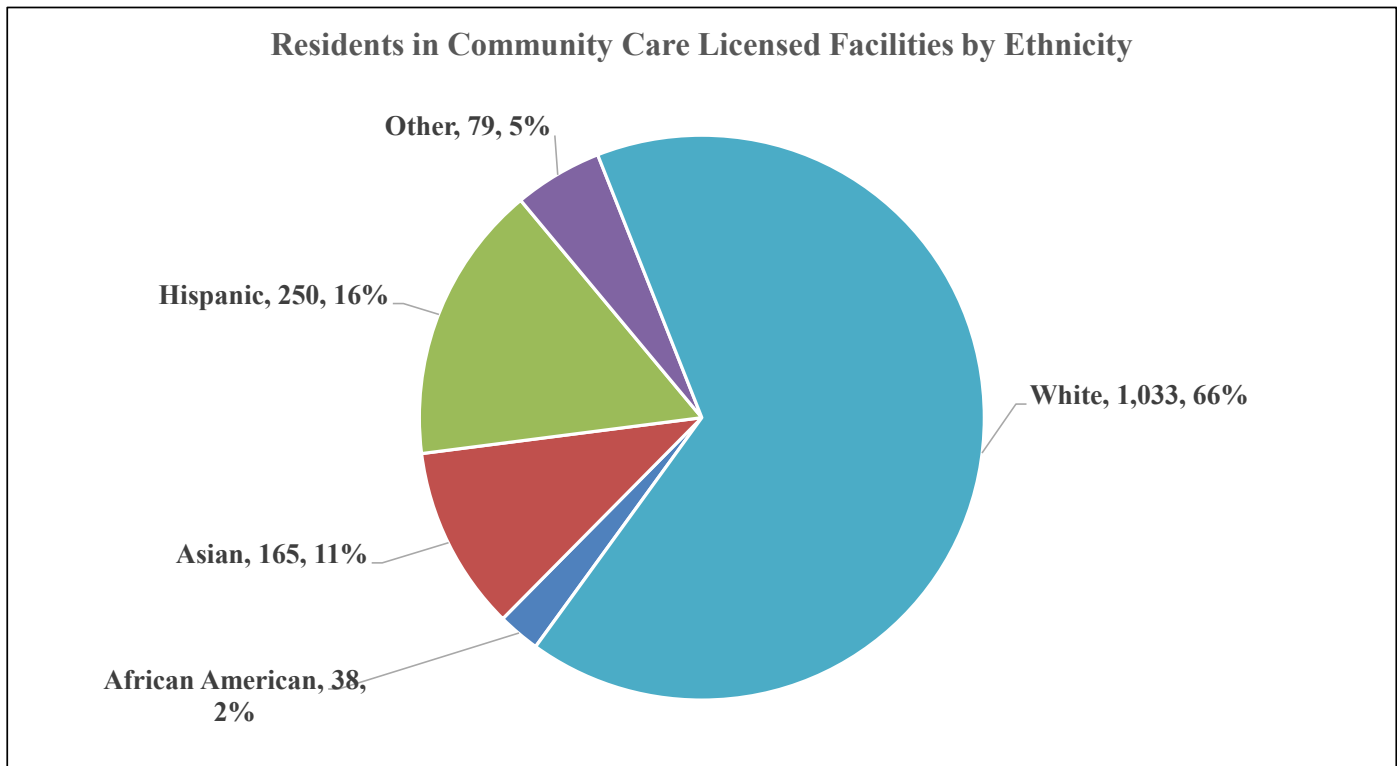
Licensed Facilities	Total	Over 18	Under 18
Level 2	258	258	0
Level 3	323	323	0
Level 4A	40	40	0
Level 4B	4	4	0
Level 4C	51	51	0
Level 4D	35	35	0
Level 4E	31	31	0
Level 4F	63	63	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	360	352	8
Elderly	1	1	0
ICF/DD-H	10	10	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,215	1,207	8

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	258	258	0
Level 3	323	323	0
Level 4	619	611	8
ICF/DD-H	10	10	0
ICF/DD-N	4	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,215	1,207	8

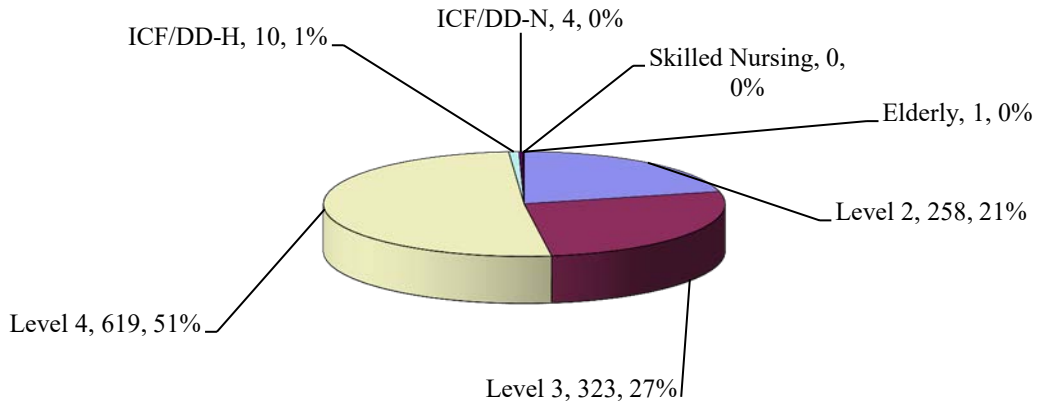
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2019-20

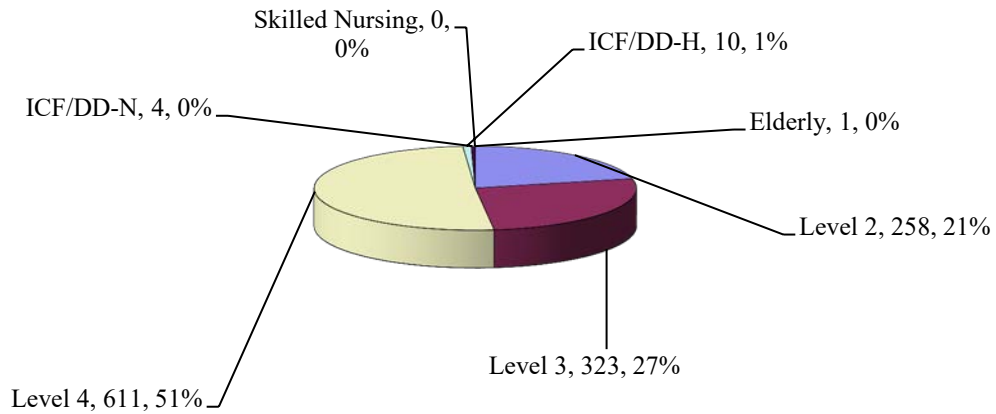
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	7	28	56	15	258	364
Level 3	12	34	60	16	271	393
Level 4A	1	4	6	2	29	42
Level 4B	0	1	0	0	7	8
Level 4C	1	9	10	2	46	68
Level 4D	0	6	6	2	31	45
Level 4E	0	6	6	2	23	37
Level 4F	3	13	9	1	46	72
Level 4G	0	7	4	3	28	42
Level 4H	0	0	3	1	2	6
Level 4I	14	57	90	35	292	488
Total	38	165	250	79	1,033	1,565



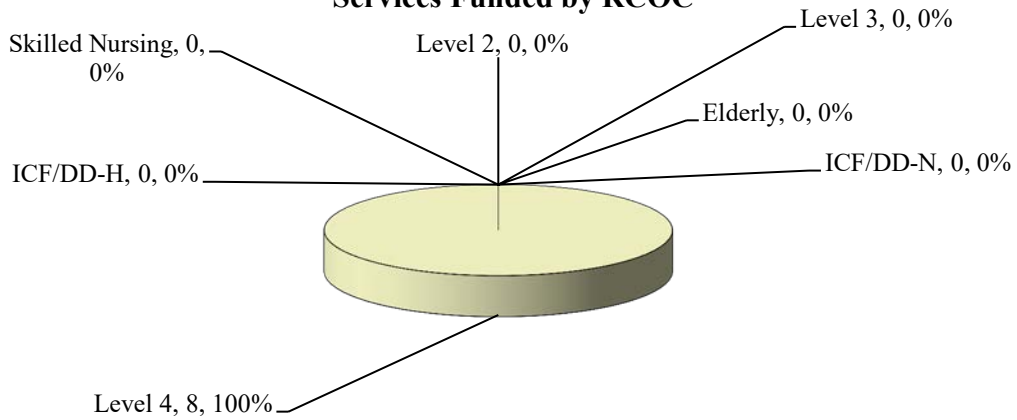
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

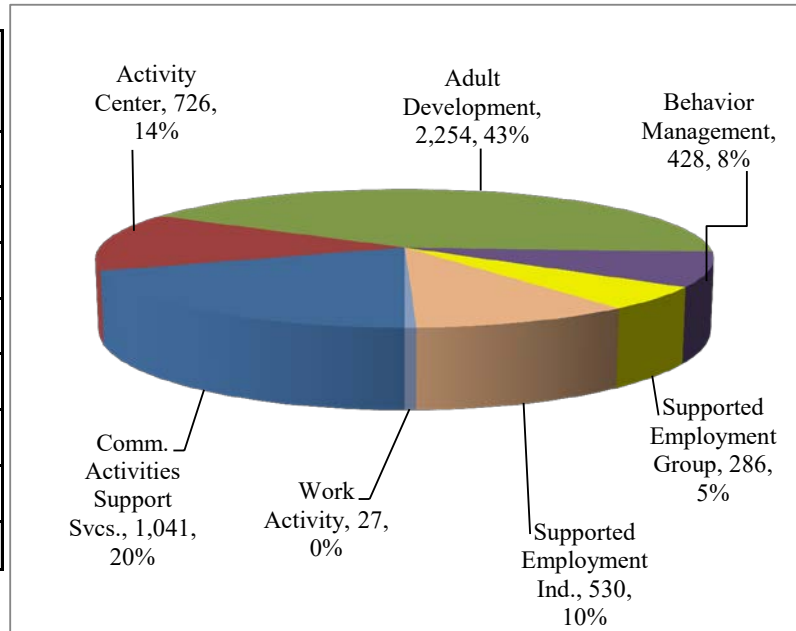


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,041
Activity Center	726
Adult Development	2,254
Behavior Management	428
Supported Employment Group	286
Supported Employment Ind.	530
Work Activity	27
Total	5,292



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2019-20

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	309.6	308.6	316.6	314.6	311.6	312.6
Number of Case-Carrying SCs	280.0	279.0	287.0	285.0	282.0	283.0
Number of Intake SCs	28.7	29.7	29.7	29.7	29.7	29.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,156	22,221	22,295	22,339	22,418	22,479
Caseload Ratio, # of Active Persons Served/SCs	79.1	79.7	77.7	78.4	79.5	79.4

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	311.6	315.6	313.0	313.0	311.0	
Number of Case-Carrying SCs	282.0	286.0	283.3	283.3	281.3	
Number of Intake SCs	29.7	29.7	29.7	29.7	29.7	
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	
Number of Active Persons Served	22,450	22,527	22,593	22,597	22,485	
Caseload Ratio, # of Active Persons Served/SCs	79.6	78.8	79.7	79.8	79.9	

SERVICE PLANNING AND COORDINATION continued

Fair Hearings
Fiscal Year 2019-20

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	16	12	9	16	15	12	12	13	17	12	11	
Eligibility - Lanterman	8	6	1	4	7	7	4	7	6	5	5	
Behavioral services	0	0	2	0	3	2	2	0	0	1	2	
Respite	0	0	1	2	1	1	2	2	2	1	1	
Day Care	0	0	0	2	0	0	0	0	2	1	1	
ILS/SLS	0	0	0	0	0	0	0	0	0	0	0	
Personal Assistance	1	1	0	0	0	1	2	1	2	2	1	
Other**	7	5	5	8	4	1	2	3	5	2	1	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	8	2	1	7	6	3	6	7	8	2	3	
Eligibility - Lanterman	5	2	0	2	3	2	1	5	2	2	1	
Eligibility - Early Start	0	0	0	1	0	0	0	0	0	0	0	
Behavioral services	0	0	0	1	0	0	1	0	0	0	1	
Respite	0	0	1	0	1	0	1	0	1	0	0	
Day Care	0	0	0	0	0	0	0	0	1	0	0	
Social/Recreational	0	0	0	0	0	0	0	0	0	0	0	
Personal Assistance	1	0	0	0	0	1	1	0	1	0	0	
Other**	2	0	0	3	2	0	2	2	3	0	1	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	4	7	2	6	6	4	4	4	5	2	3	
Number of Informal Meetings Held	3	5	1	2	6	0	4	3	3	2	3	
Number of Mediations Held	1	1	0	1	0	0	0	1	2	0	0	
Number of SLFHs Held	0	1	1	3	0	0	0	0	0	0	0	

Number of Requests in Scheduling*	7	1	7	1	0	4	3	3	8	6	4	
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	1	1	0	0	0	0	0	0	0	
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	5	6	1	6	9	4	5	6	4	3	4	
Withdrawn by Person Served/Family	3	2	0	1	1	0	1	1	1	0	0	
Settled in Informal	2	3	1	3	4	3	3	2	1	2	2	
Settled after further follow-up by RCO	0	0	0	0	3	0	0	3	1	1	2	
Settled in Mediation	0	1	0	0	0	1	0	0	1	0	0	
SLFH Decision	0	0	0	2	1	0	1	0	0	0	0	

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0	0	0	0	0	0	0	0	
RCOC	0	0	0	2	1	0	1	0	0	0	0	
Split	0	0	0	0	0	0	0	0	0	0	0	

ADMINISTRATION AND GOVERNANCE

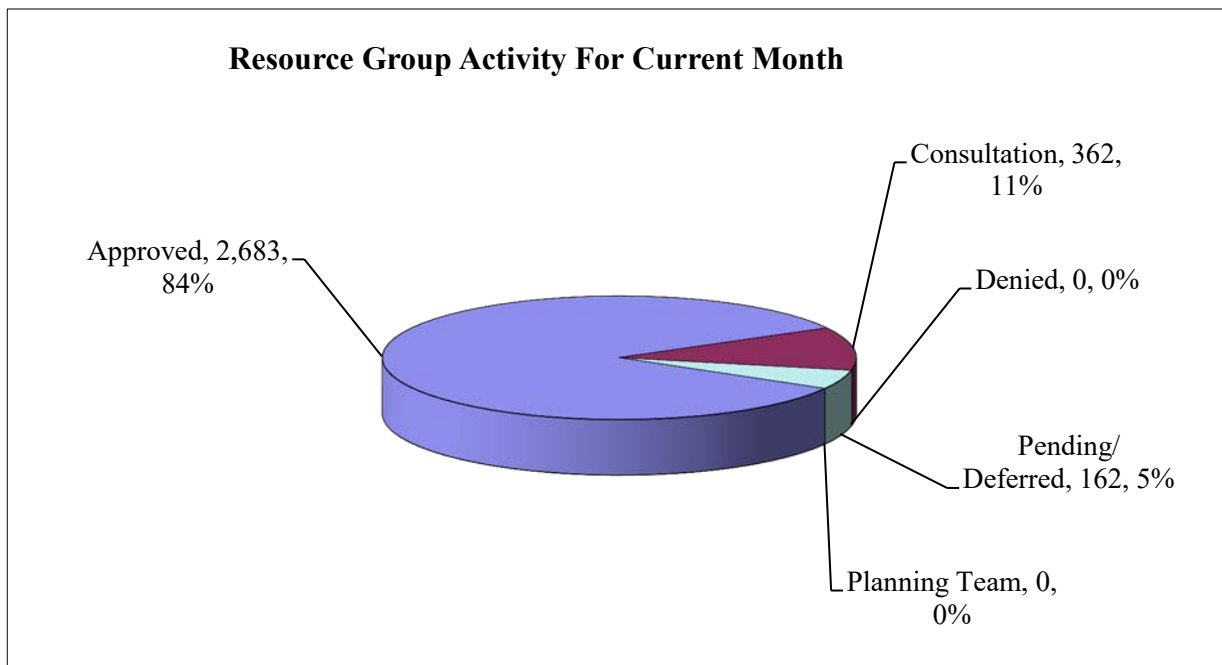
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for May 2020 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	360	69	0	43	0	472
Behavioral	108	39	0	6	0	153
Education	0	0	0	0	0	0
Eligibility/Health	93	2	0	0	0	95
Early Start	391	77	0	31	0	866
Living Options	423	60	0	0	0	483
Supported/Ind.	354	63	0	36	0	453
All Others	954	52	0	37	0	1,043
Monthly Total	2,683	362	0	162	0	3,565

FY 2019-20 Total to Date	31,949	10,398	0	2,358	0	44,705
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Operations Report Summary - May 2020

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,487	8,013	9,085	7	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>17%</i>	<i>39%</i>	<i>44%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	473
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,184	10,344	7,840
Community Care Facility	1,679	36	1,643
State Developmental Center	7	0	7
Family Home Agency	101	0	101
Foster Home	242	237	5
Intermediate Care Facility	704	5	699
Independent Living	900	0	900
Supported Living	495	0	495
Skilled Nursing	96	0	96
Other	102	30	72
Total	22,510	10,652	11,858

Special Incident Investigations	Year to Date
AWOL	55
Abuse	205
Neglect	159
Injury	269
Hospitalizations - Total	544
Death	136
Victim of crime	26
Arrest	16
Rights	225
Total	1,635

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	75	1	75
Level 4	154	11	157
Total Community Care Facilities	309	12	312

Licensed Facility Monitoring	Year to Date
Annual Review	376
Unannounced	488
Total Number of Reviews	864
Provider Trainings	0
Technical Support	1,322
Corrective Action Plans	88

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	39
Total ICF Facilities	121

Number of Audits	27
Amount of Recovery from Audits	\$44,470

Total Licensed Facilities	430
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Performance Contract Summary

RCOC as of 6/01/2020	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,482	7	0	0.03%	-7
Children in Foster Homes (FH)	10,645	236	220	2.22%	16
Children Own Home Parent/Guardian	10,645	10,339	10,500	97.13%	-161
Total # Children (FH,Parent/Guardian)	10,645	10,575	10,720	99.34%	-145
Adult FHA	11,830	101	103	0.85%	-2
Independent Living (IL)	11,830	897	895	7.58%	2
Adults Residing Own Home - Parent	11,830	7,837	7,650	66.25%	187
Supported Living (SL)	11,830	491	481	4.15%	10
Total # Adults (FHA, IL,Parent/Guardian, SL)	11,830	9,326	9,129	78.83%	197
Children Residing in a CCF (7+ beds)	10,645	2	0	0.02%	-2
Children Residing in a ICF (7+ beds)	10,645	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,645	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,645	4	0	0.04%	-4
Adults Residing in a CCF (7+ beds)	11,830	140	130	1.18%	-10
Adults Residing in a ICF (7+ beds)	11,830	19	30	0.16%	11
Adults Residing in a Nursing Facility (7+ beds)	11,830	95	80	0.80%	-15
Total Adults Residing in 7+ bed facilities	11,830	254	240	2.15%	-14
Total Individuals Over Age 3 with <=120 days	168	167	100%	99.40%	99.40%
Total Individuals Over Age 3 with 121-240 days	168	0	0%	0%	0%
Total Individuals Over Age 3 Over 240 days	168	1	0%	0.60%	0.60%
Adults with Integrated Employment Goal	11,830	47%	50%		
Total Number of Incentive Payments Made	11,830	330			
Avg. Wage and Hour After Incentive Payment	11,830	\$11.31			
Number of 16-64 Earned Income	11,830	2,341			
Percentage of 16-64 Earned Income	11,830	21%			
Annual Earnings of 16-64	11,830	\$7,580			
Number of Adults in CIE After Paid Intern	11,830	1			
Percentage Adults Transitioned Internship to CIE	11,830	13%			
Total Annual Expenditures Residence/Ethnicity	22,482				
Number and Percent Race/Ethnicity Satisfied with Services	22,482				
Number and Percent Families by Race/Ethnicity IPP/IFSP Includes Needed Services	22,482				

Performance Contract 2020 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2020

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.98
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2020

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.10%	343,636	327			
RCOC Public Hearing 8/14/19	0.09%	22,085	20	Goal	%	# Attained
RCOC 6/01/20	0.03%	22,482	7	0	0.03%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.43%	RCOC % of DC population			6.12%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2017-2018 and 2018-2019.

Progress: In FY 2018-2019, RCOC moved 39 persons served from Developmental Centers into the community. During Public Meetings in August 2019, RCOC had 20 persons served, or 0.09%, in Developmental Centers. RCOC is developing homes for all residents in developmental centers in anticipation of the pending closure dates no later than December 31, 2019.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-20	22,486	0	8	0.04%	-8
Feb-20	22,541	0	7	0.03%	-7
Mar-20	22,597	0	7	0.03%	-7
Apr-20	22,595	0	7	0.03%	-7
May-20	22,482	0	7	0.03%	-7
Jun-20		0			
Jul-20		0			
Aug-20		0			
Sep-20		0			
Oct-20		0			
Nov-20		0			
Dec-20		0			

Below Goal

Performance Contract 2020

II. Children Residing with Families (*Child is defined as under 18 years of age*).

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 208, or 1.96%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.67%	173,363	4,634			
RCOC Public Hearing 8/14/19	1.96%	10,631	208	Goal	%	# Attained
RCOC 6/01/20	2.22%	10,645	236	220	2.22%	16
Analysis as of Public Hearing	RCOC % of DD population		6.13%	RCOC % of FH population		4.49%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-20	10,753	220	231	2.15%	11
Feb-20	10,779	220	230	2.13%	10
Mar-20	10,806	220	229	2.12%	9
Apr-20	10,787	220	233	2.16%	13
May-20	10,645	220	236	2.22%	16
Jun-20		220			
Jul-20		220			
Aug-20		220			
Sep-20		220			
Oct-20		220			
Nov-20		220			
Dec-20		220			

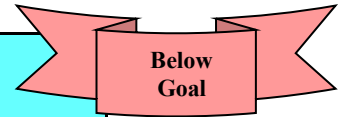
Progress: B. During public meetings, RCOC had 10,355, or 97.40%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	96.75%	173,363	167,729			
RCOC Public Hearing 8/14/19	97.40%	10,631	10,355	Goal	%	# Attained
RCOC 6/01/20	97.13%	10,645	10,339	10,500	97.13%	-161
Analysis as of Public Hearing	RCOC % of DD population		6.13%	RCOC % of FH population		6.17%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-20	10,753	10,500	10,451	97.19%	-49
Feb-20	10,779	10,500	10,484	97.26%	-16
Mar-20	10,806	10,500	10,510	97.26%	10
Apr-20	10,787	10,500	10,484	97.19%	-16
May-20	10,645	10,500	10,339	97.13%	-161
Jun-20		10,500			
Jul-20		10,500			
Aug-20		10,500			
Sep-20		10,500			
Oct-20		10,500			
Nov-20		10,500			
Dec-20		10,500			





Progress: C. During public meetings, RCOC had 10,563, or 99.36%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.42%	173,363	172,363			
RCOC Public Hearing 8/14/19	99.36%	10,631	10,563	Goal	%	# Attained
RCOC 6/01/20	99.34%	10,645	10,575	10,720	99.34%	-145
Analysis as of Public Hearing	RCOC % of DD population		6.13%	RCOC % of FH population		6.13%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-20	10,753	10,720	10,682	99.34%	-38
Feb-20	10,779	10,720	10,714	99.40%	-6
Mar-20	10,806	10,720	10,739	99.38%	19
Apr-20	10,787	10,720	10,717	99.35%	-3
May-20	10,645	10,720	10,720	99.34%	-145
Jun-20		10,720			
Jul-20		10,720			
Aug-20		10,720			
Sep-20		10,720			
Oct-20		10,720			
Nov-20		10,720			
Dec-20		10,720			



Performance Contract 2020

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

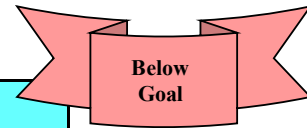
Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 94, or 0.82%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.96%	169,946	1,630			
RCOC Public Hearing 8/14/19	0.82%	11,434	94	Goal	%	# Attained
RCOC 6/01/20	0.85%	11,830	101	103	0.85%	-2
Analysis as of Public Hearing	RCOC % of DD population 6.73%		RCOC % of FH population 5.77%			



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-20	11,725	103	98	0.84%	-5
Feb-20	11,755	103	100	0.85%	-3
Mar-20	11,784	103	99	0.84%	-4
Apr-20	11,801	103	100	0.85%	-3
May-20	11,830	103	101	0.85%	-2
Jun-20		103			
Jul-20		103			
Aug-20		103			
Sep-20		103			
Oct-20		103			
Nov-20		103			
Dec-20		103			

Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.36%	169,946	17,605			
RCOC Public Hearing 8/14/19	7.55%	11,434	863			
RCOC 6/01/20	7.58%	11,830	897	895	7.58%	2
Analysis as of Public Hearing	RCOC % of DD population		6.73%	RCOC % of FH population		4.90%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-20	11,725	895	885	7.55%	-10
Feb-20	11,755	895	889	7.56%	-6
Mar-20	11,784	895	888	7.54%	-7
Apr-20	11,801	895	891	7.55%	-4
May-20	11,830	895	897	7.58%	2
Jun-20		895			
Jul-20		895			
Aug-20		895			
Sep-20		895			
Oct-20		895			
Nov-20		895			
Dec-20		895			

Progress: C. During public meetings, RCOC had 7,477, or 65.39%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home/Parent			
Statewide Average	63.89%	169,946	108,576			
RCOC Public Hearing 8/14/19	65.39%	11,434	7,477	Goal	%	# Attained
RCOC 6/01/20	66.25%	11,830	7,837	7,650	66.25%	187
Analysis as of Public Hearing	RCOC % of DD population 6.73%		RCOC % of FH population 6.89%			



	Total Adults Status 2	Goal	Adults Residing Own Home /Parent	%	Number Attained
Jan-20	11,725	7,650	7,734	65.96%	84
Feb-20	11,755	7,650	7,750	65.93%	100
Mar-20	11,784	7,650	7,787	66.08%	137
Apr-20	11,801	7,650	7,811	66.19%	161
May-20	11,830	7,650	7,837	66.25%	187
Jun-20		7,650			
Jul-20		7,650			
Aug-20		7,650			
Sep-20		7,650			
Oct-20		7,650			
Nov-20		7,650			
Dec-20		7,650			

Progress: D. During public meetings, RCOC had 467, or 4.08%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing Supported Living			
Statewide Average	5.33%	169,946	9,065			
RCOC Public Hearing 8/14/19	4.08%	11,434	467	Goal	%	# Attained
RCOC 6/01/20	4.15%	11,830	491	481	4.15%	10
Analysis as of Public Hearing	RCOC % of DD population		6.73%	RCOC % of FH population		5.15%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-20	11,725	481	463	3.95%	-18
Feb-20	11,755	481	467	3.97%	-14
Mar-20	11,784	481	467	3.96%	-14
Apr-20	11,801	481	489	4.14%	8
May-20	11,830	481	491	4.15%	10
Jun-20		481			
Jul-20		481			
Aug-20		481			
Sep-20		481			
Oct-20		481			
Nov-20		481			
Dec-20		481			

Progress: E. During public meetings, RCOC had 8,901, or 77.85%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings *(This is a total of sections A, B, C and D above).*

	Percentage	Total Adult Status 2	Total Number Adults in Home Settings			
Statewide Average	80.54%	169,946	136,876			
RCOC Public Hearing 8/14/19	77.85%	11,434	8,901	Goal	%	# Attained
RCOC 6/01/20	78.83%	11,830	9,326	9,129	78.83%	197
Analysis as of Public Hearing	RCOC % of DD population		6.73%	RCOC % of FH population		6.50%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-20	11,725	9,129	9,129	78.29%	51
Feb-20	11,755	9,129	9,206	78.23%	77
Mar-20	11,784	9,129	9,241	78.42%	112
Apr-20	11,801	9,129	9,291	78.73%	162
May-20	11,830	9,129	9,326	78.83%	197
Jun-20		9,129			
Jul-20		9,129			
Aug-20		9,129			
Sep-20		9,129			
Oct-20		9,129			
Nov-20		9,129			
Dec-20		9,129			

Performance Contract 2020

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	171,363	26			
RCOC Public Hearing 8/14/19	0.00%	10,631	0	Goal	%	# Attained
RCOC 6/01/20	0.02%	10,645	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population	6.20%	RCOC % of FH population	0.00%		



	Total Children Status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20		0			
Jul-20		0			
Aug-20		0			
Sep-20		0			
Oct-20		0			
Nov-20		0			
Dec-20		0			

Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	173,363	33			
RCOC Public Hearing 8/14/19	0.02%	10,631	2	Goal	%	# Attained
RCOC 6/01/20	0.02%	10,645	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population	6.13%	RCOC % of FH population	6.06%		

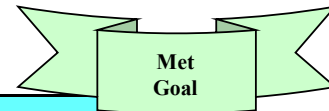


	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	10,753	0	2	0.02%	-2
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	2	0.02%	-2
May-20	10,645	0	2	0.02%	-2
Jun-20		0			
Jul-20		0			
Aug-20		0			
Sep-20		0			
Oct-20		0			
Nov-20		0			
Dec-20		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	171,363	7			
RCOC Public Hearing 8/14/19	0.00%	10,631	0	Goal	%	# Attained
RCOC 6/01/20	0.00%	10,645	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	6.20%	RCOC % of FH population	0.00%		

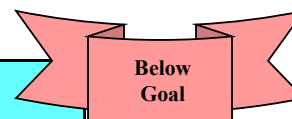


	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	10,753	0	0	0.00%	0
Feb-20	10,779	0	0	0.00%	0
Mar-20	10,806	0	0	0.00%	0
Apr-20	10,787	0	0	0.00%	0
May-20	10,645	0	0	0.00%	0
Jun-20		0			
Jul-20		0			
Aug-20		0			
Sep-20		0			
Oct-20		0			
Nov-20		0			
Dec-20		0			

Progress: D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.04%	171,363	66			
RCOC Public Hearing 8/14/19	0.02%	10,631	2	Goal	%	# Attained
RCOC 6/01/20	0.04%	10,645	4	0	0.04%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.20%	RCOC % of FH population			3.03%



	Total Children status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-20	10,753	0	4	0.04%	-4
Feb-20	10,779	0	2	0.02%	-2
Mar-20	10,806	0	2	0.02%	-2
Apr-20	10,787	0	4	0.04%	-4
May-20	10,645	0	4	0.04%	-4
Jun-20		0			
Jul-20		0			
Aug-20		0			
Sep-20		0			
Oct-20		0			
Nov-20		0			
Dec-20		0			

Performance Contract 2020

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 168, or 1.47%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	1.03%	169,946	1,745			
RCOC Public Hearing 8/14/19	1.47%	11,434	168			
RCOC 6/01/20	1.18%	11,830	140	130	1.18%	-10
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	9.63%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-20	11,725	130	163	1.40%	-33
Feb-20	11,755	130	163	1.40%	-33
Mar-20	11,784	130	161	1.40%	-31
Apr-20	11,801	130	142	1.20%	-12
May-20	11,830	130	140	1.18%	-10
Jun-20		130			
Jul-20		130			
Aug-20		130			
Sep-20		130			
Oct-20		130			
Nov-20		130			
Dec-20		130			



Progress: B. During public meetings, RCOC had 64, or 0.56%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.57%	169,946	975			
RCOC Public Hearing 8/14/19	0.56%	11,434	64	Goal	%	# Attained
RCOC 6/01/20	0.16%	11,830	19	30	0.16%	11
Analysis as of Public Hearing	RCOC % of DD population	6.73%	RCOC % of FH population	6.56%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-20	11,725	30	20	0.17%	10
Feb-20	11,755	30	20	0.17%	10
Mar-20	11,784	30	19	0.16%	11
Apr-20	11,801	30	19	0.16%	11
May-20	11,830	30	19	0.16%	11
Jun-20		30			
Jul-20		30			
Aug-20		30			
Sep-20		30			
Oct-20		30			
Nov-20		30			
Dec-20		30			

Progress: C. During public meetings, RCOC had 89, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.63%	169,946	1,079			
RCOC Public Hearing 8/14/19	0.78%	11,434	89	Goal	%	# Attained
RCOC 6/01/20	0.80%	11,830	95	80	0.80%	-15
Analysis as of Public Hearing	RCOC % of DD population		6.73%	RCOC % of FH population		8.25%



	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-20	11,725	80	87	0.74%	-7
Feb-20	11,755	80	86	0.73%	-6
Mar-20	11,784	80	89	0.76%	-9
Apr-20	11,801	80	90	0.76%	-10
May-20	11,830	80	95	0.80%	-15
Jun-20		80			
Jul-20		80			
Aug-20		80			
Sep-20		80			
Oct-20		80			
Nov-20		80			
Dec-20		80			

Progress: D. During public meetings, RCOC had 321, or 2.81%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	2.24%	169,946	3,799			
RCOC Public Hearing 8/14/19	2.81%	11,434	321			
RCOC 6/01/20	2.15%	11,830	254	240	2.15%	-14
Analysis as of Public Hearing	RCOC % of DD population		6.73%	RCOC % of FH population		8.45%

	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-20	11,725	240	270	2.30%	-30
Feb-20	11,755	240	269	2.29%	-29
Mar-20	11,784	240	269	2.28%	-29
Apr-20	11,801	240	251	2.13%	-11
May-20	11,830	240	254	2.15%	-14
Jun-20		240			
Jul-20		240			
Aug-20		240			
Sep-20		240			
Oct-20		240			
Nov-20		240			
Dec-20		240			



Performance Contract 2020

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.
 • RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 230, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	89.84%	7,291	6,550		
RCOC Public Hearing 8/14/19	100%	230	230		
RCOC 6/01/20	99.48%	168	167	100%	99.40%



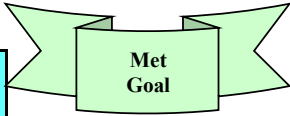
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-20	194	100%	194	100%
Feb-20	200	100%	198	99%
Mar-20	208	100%	205	98.56%
Apr-20	192	100%	191	99.48%
May-20	168	100%	167	99.40%
Jun-20		100%		
Jul-20		100%		
Aug-20		100%		
Sep-20		100%		
Oct-20		100%		
Nov-20		100%		
Dec-20		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
Statewide Average	6.05%	7,291	441		
RCOC Public Hearing 8/14/19	0%	230	0		
RCOC 6/01/20	0%	168	0	0%	0%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-20	194	0.00%	0	0.00%
Feb-20	200	0.00%	1	1.00%
Mar-20	208	0.00%	3	1.44%
Apr-20	192	0.00%	1	0.52%
May-20	168	0.00%	0	0.00%
Jun-20		0.00%		
Jul-20		0.00%		
Aug-20		0.00%		
Sep-20		0.00%		
Oct-20		0.00%		
Nov-20		0.00%		
Dec-20		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	4.11%	7,291	300		
RCOC Public Hearing 8/14/19	0.00%	223	0		
RCOC 6/01/20	0.60%	168	1	0.00%	0.60%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-20	194	0.00%	0	0.00%
Feb-20	200	0.00%	0	0.00%
Mar-20	208	0.00%	0	0.00%
Apr-20	192	0.00%	0	0.00%
May-20	168	0.00%	1	0.60%
Jun-20		0.00%		
Jul-20		0.00%		
Aug-20		0.00%		
Sep-20		0.00%		
Oct-20		0.00%		
Nov-20		0.00%		
Dec-20		0.00%		



Performance Contract 2020

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. Results of recent interviews have not been submitted to regional centers.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15*	47%	50%

*NOTE: Surveys are being conducted again in FY 2017-18, results unavailable



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78

*NOTE: Incentive payments started in FY 2016-17, partial year data



Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31



Performance Contract 2020

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2017, 2,341 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294



Progress: B. Results from the Employment Development Department in 2016 indicate that 22% of persons served ages 16-64 reported having earned income. In 2017, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%



Progress: C. Results from the Employment Development Department in 2016 indicate that average annual wage for persons served ages 16-64 was \$6,613. In 2017, the average annual wage for persons served ages 16-64 was \$7,580. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2018 RCOC had 1 individual within a paid internship that resulted in employment.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1



Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	13%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018	14.25	\$11.25

Performance Contract 2020

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized
American Indian or Alaska Native	10 ↑	0.2% ↑	\$59,684 ↑
Asian	977 ↑	21.9% ↓	\$8,598,999 ↑
Black/African American	66 ↓	1% ↓	\$404,984 ↓
Hispanic	2,051 ↑	33.5% ↑	\$13,139,181 ↑
Native Hawaiian or Other Pacific Islander	5 ↑	0.0%	\$14,669 ↑
Other Ethnicity or Race / Multi-Cultural	1,368 ↓	23.8% ↑	\$9,336,444 ↑
White	1,083 ↑	19.6% ↑	\$7,681,140 ↑
Totals	5,560 ↑	100.0%	\$39,235,099 ↑

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized
American Indian or Alaska Native	14 ↓	0.1% ↓	\$131,305 ↓
Asian	1,680 ↑	17.3% ↓	\$16,992,859 ↓
Black/African American	178 ↑	2.3% ↑	\$2,310,611 ↓
Hispanic	3,637 ↑	31% ↓	\$30,509,833 ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0.0%	\$33,228 ↑
Other Ethnicity or Race / Multi-Cultural	1,716 ↑	18.5% ↑	\$18,177,715 ↑
White	2,196 ↓	30.7% ↑	\$30,248,367 ↑
Totals	9,217	100.0%	\$101,045,979

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized
American Indian or Alaska Native	20	0.3%	\$1,024,276 ↑
Asian	1,231 ↑	11.2% ↑	\$34,537,997 ↑
Black/African American	225 ↑	2.9% ↑	\$9,056,696 ↑
Hispanic	2,314 ↑	18.3% ↑	\$56,732,844 ↑
Native Hawaiian or Other Pacific Islander	5	0.1%	\$205,670 ↑
Other Ethnicity or Race / Multi-Cultural	764 ↑	7.9% ↑	\$24,433,171 ↑
White	4,480 ↑	59.3% ↓	\$183,531,064 ↑
Totals	9,039 ↑	100.0%	\$309,521,717 ↑



Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	10 ↑	0	0.0%
Asian	977 ↑	32 ↑	3.3% ↑
Black/African American	66 ↓	4 ↑	6.1% ↑
Hispanic	2,051 ↑	75 ↓	3.7% ↓
Native Hawaiian or Other Pacific Islander	5 ↑	1	20% ↓
Other Ethnicity or Race / Multi-Cultural	1,368 ↑	52 ↑	3.8% ↑
White	1,083 ↑	48 ↓	4.4% ↓
Totals	5,560 ↑	212 ↓	3.8% ↓

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	14 ↓	2 ↓	14.3% ↓
Asian	1,680 ↑	429 ↓	25.5% ↓
Black/African American	178 ↑	60 ↑	33.7% ↑
Hispanic	3,637 ↑	1,455 ↑	40% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	3 ↑	37.5% ↑
Other Ethnicity or Race / Multi-Cultural	1,716 ↑	480 ↑	28% ↑
White	2,196 ↓	543 ↑	24.7% ↑
Totals	9,429 ↑	2,972 ↑	31.5% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	20 ↑	2	10% ↓
Asian	1,231 ↑	242 ↑	19.7% ↑
Black/African American	225 ↑	39 ↑	17.3% ↓
Hispanic	2,314 ↑	525 ↑	22.7% ↑
Native Hawaiian or Other Pacific Islander	5	0	0.0%
Other Ethnicity or Race / Multi-Cultural	764 ↑	118 ↓	15.5% ↓
White	4,480 ↑	511 ↓	11.4% ↓
Totals	9,039 ↑	1,437	15.9%



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,071 ↑	\$20,498 ↑	79.85% ↑
Spanish	4,394 ↑	\$12,008 ↑	73.34% ↑
Mandarin Chinese	66 ↑	\$14,923 ↓	68.05% ↓
Vietnamese	1,122 ↑	\$13,033 ↓	76.61% ↑
Korean	148 ↑	\$22,728 ↑	79.47% ↑
Tagalog	29 ↓	\$24,774 ↑	79.88% ↑
Arabic	40	\$13,345 ↓	66% ↓

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: July 9, 2020
TO: Board of Directors
FROM: Larry Landauer, Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Agreement with Chelsea Investment Corporation for Development of Ten (10) Affordable Rental Apartments (CRDP No. 1920-5)**

BACKGROUND:

The Department of Developmental Services (DDS) has made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of ten (10) affordable rental apartments in the City of Irvine.

REASON FOR CURRENT ITEM:

DDS has allocated \$1,000,000 in CRDP start-up funding for the development of ten affordable rental apartments within the 80-unit Salerno development in the City of Irvine. DDS approved this specific project by Chelsea Investment Corporation as part of RCOC's CRDP for fiscal year 2019/2020; a request for proposals (RFP) was not required. Chelsea Investment Corporation will own the apartments and lease them to individuals served by RCOC. Monthly rent will be thirty percent of the area median income (AMI) for the City of Irvine.

FISCAL IMPACT:

DDS has approved \$1,000,000 in CRDP start-up funds for the restricted use as defined above.

RECOMMENDATION:

That the Board approve the Agreement when presented.

**Regional Center of Orange County
Board Recruitment and Training Committee
June 11, 2020
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Alan Martin (*departed at 3:00 p.m.*)
Hilda Mendez

RCOC Staff Present: Larry Landauer, Executive Director

Mr. Chip Wright called the videoconference meeting to order at 2:53 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Larry Landauer reported that there were no changes and the Board continues to be in compliance with the composition requirements of the Lanterman Act. Mr. Wright reviewed the Board members’ terms and the Committee discussed members whose terms will end in this fiscal year.

B. Review of New Board Member Application

Ms. Chinh Tuong Nguyen was interviewed via videoconference on June 11, 2020. The Committee discussed Ms. Nguyen’s application within the context of the Board’s current composition and its needs and decided to recommend the applicant for Board membership for a one-year term commencing September 1, 2020 and ending August 31, 2021.

M/S/C to recommend appointment of Chinh Tuong Nguyen to RCOC’s Board of Directors for a one-year term commencing September 1, 2020 and ending August 31, 2021

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

Mr. Wright reported that the next Board training will be held on Thursday, October 1, 2020. The Committee agreed to determine the training topic at a later time.

***Board Recruitment and Training Committee Minutes
June 11, 2020***

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 3:16 p.m.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: July 9, 2020

TO: Board of Directors

FROM: John “Chip” Wright, Chair
Board Recruitment and Training Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Board Membership for Chinh Tuong Nguyen for a One-Year Term Commencing September 1, 2020 and Ending August 31, 2021**

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In order to achieve balance and diversity, and comply with the mandates of the Lanterman Act, RCOC’s Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include education, community service, public health, and others as appropriate to the needs of its constituencies.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Ms. Chinh Tuong Nguyen (see attached). The Committee has reviewed Ms. Nguyen’s application and completed an interview with Ms. Nguyen. The Committee feels that Ms. Nguyen’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for Chinh Tuong Nguyen for a one-year term commencing September 1, 2020 and ending August 31, 2021.

Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

Board Member Application

Date: March 16, 2020.

Name: CHINH TUONG NGUYEN Home Phone: [Redacted]

Home Address: [Redacted] City: [Redacted] Zip: [Redacted]

Occupation: Autism Advocate Employer: Full time mom.

Work Phone: (Vietnamese community) Email Address: [Redacted]

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

I am a budding bilingual activist for special-needs children. As a mother to children diagnosed with ADHD & on the autism spectrum. I am a former reporter, I have a communications degree from Cal State Fullerton and a Master's in organizational leadership from Vanguard University.

Please describe your current or past membership in community and/or professional organizations. As a mother of kids with special needs, I discovered that in my immigrant community, few services existed to help families with daily challenges and that the social stigma of illness prevented many parents from going public with their youngsters' situations. privately, I coach families on how to access health & educational resources

Please describe your current or past membership and offices (if any) held on other boards.

NA.

Please describe your hobbies and interests.

My passion is reading, learning new things and my hobbies & interests in swimming, watching "Dateline" and taking classes about child development & ^{working with} kids with special needs.

Do you have any of the following special skills? If so, please check and describe more fully below.

- | | | |
|--|--|--|
| <input type="checkbox"/> Business/Management | <input type="checkbox"/> Financial Analysis | <input type="checkbox"/> Legal |
| <input checked="" type="checkbox"/> Marketing/Public Relations | <input checked="" type="checkbox"/> Political Activism | <input checked="" type="checkbox"/> Other: |

Autism Advocacy for my Vietnamese community as well as other communities. I helped kids with special needs with all my heart & passion. I have a great sympathy for families & kids with special needs, because through them I see my kids & myself!

Are you currently employed by an organization providing services to people with developmental disabilities? Yes No If yes, please explain:

I have been helping families & kids with special needs privately.

Are you a member of the governing board of any organization providing service to people with developmental disabilities? Yes No If yes, please explain:

Are you a: (Please check one)

- Person with a developmental disability
- Parent or family member of a person with a developmental disability
- Representative of the general public

Ethnic Background (optional):

- | | | |
|---|--|--|
| <input type="checkbox"/> African-American | <input checked="" type="checkbox"/> Asian/Pacific Islander | <input type="checkbox"/> Caucasian |
| <input type="checkbox"/> Hispanic | <input type="checkbox"/> Native American/Indian | <input type="checkbox"/> Other (please specify: _____) |

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other).

Please provide the following information for two people who know you well and can provide a personal and/or professional reference.

Name: [Redacted] Relationship: [Redacted]

Complete Address: [Redacted]

Phone Number: [Redacted] Best Time to Call: 8 - 10 am.

Name: [Redacted] Relationship: [Redacted]

Complete Address: [Redacted]

Phone Number: [Redacted] Best Time to Call: [Redacted]

- After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as an RCOC Board Member.
- I am willing to serve and have attached a statement saying why I wish to serve on the RCOC Board of Directors and why I believe I am qualified.
- After reviewing the RCOC Conflict of Interest Governance Policy and the Title 17 Conflict of Interest Standards and Procedures, I assert that I do not have a potential conflict of interest.

Signature: 

Date: 3/16/2020

Please return completed form to: Executive Office
Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

If you have questions, please call: 714-796-5205

Personal Statement

Please describe why you wish to serve on the RCOC Board of Directors
and why you believe you are qualified

"Once you choose hope, anything's possible." I love this quote from Christopher Reeve. After I decided to take both of my kids to be diagnosed with autism & ADHD, I have changed the way that I think about my son, Patrick's condition. I believe that God has given me a wonderful son and a lovely daughter, Sydney who has ADHD and that both of them are very smart & unique. They are blessings from God, but He also wanted to test my patience & my love. Therefore, I must be patient & love my kids unconditionally. God only tested people who have talents and abilities to overcome obstacles. He truly sees in me that I have the strength and ability to change things for the better. I never expected to have an opportunity to be a member of the RCOC Board of Directors before, but things happen for a reason and I believe this is the way God has connected me to fulfill my goal & dream as an autism advocate, who would be able to help out my children and many other kids with special needs in our communities. I would love to have an opportunity to serve on the RCOC Board of Directors, and TOGETHER we will help to make a difference in other people's lives.

Signature



Date

3/16/2020

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Clear Form

**Regional Center of Orange County
Vendor Advisory Committee
June 9, 2020
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, present
Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Marie Sañudo, present
Co-Chair, Mark Antenucci, present

Behavior Services

Chair, Junie Lazo-Pearson, present
Co-Chair, Cindy Hebert, present

Community Care Facilities

Chair, Rebekah Hayes, present
Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present
Co-Chair, Junie Lazo-Pearson, present

Habilitation

Chair, Roland Fernandez, present (*joined at 2:06 p.m.*)
Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Janice Retz, present
Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, present
Co-Chair, Member Pending

Support Services/Allied Health

Chair, Yvette Staggs, present
Co-Chair, David Santana, present

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Jack Garate, absent
Christina Blanco, present

Board Member Present:

Mine Hagen

RCOC Staff Present:

Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer

Vendor Advisory Committee Minutes
June 9, 2020

Lilliana Castillo, Accounting Manager
Arturo Cazares, Associate Director of Employment
Jyusse Corey, Peer Advocate
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Marta Vasquez, Associate Finance Director
Sean Watson, Risk Management, QA Manager

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:01 p.m.

II. Vendor Chair and Co-Chair Selections

The Committee re-elected Ms. Tiffany Bauer as VAC Chairperson and Ms. Marie Sañudo as Co-Chairperson for terms commencing July 1, 2020 and ending June 30, 2021.

III. RCOC Update

Mr. Larry Landauer reported on the following highlights:

- *Coronavirus Disease 2019 (COVID-19)* - RCOC has provided weekly updates to its community and conducted weekly virtual meetings. Translation services are provided in Spanish and Vietnamese for the weekly virtual meetings. RCOC's website, www.rcocdd.com, continues to provide up-to-date COVID-19 information.
- *2020-21 May Revise*. Mr. Landauer reviewed the Legislative Analyst's Office's Overview of the Legislature's 2020-21 Budget Package. The Governor's and the Legislature's package use largely the same revenue assumptions. "The Governor's May Revision does not assume that more funding will be available. Under the May Revision, \$15 billion in spending-related reductions would take effect on July 1, 2020, but those reductions would be restored if sufficient federal funds were received." The "Legislative package assumes new federal funding will be available to offset baseline General Fund costs." The "Legislative package includes 'trigger reductions' if federal funds do not materialize, which would take effect on October 1, 2020."
- *National Core Indicators (NCI)*. Mr. Landauer shared the positive results for RCOC in NCI's Child Family Services survey for fiscal year 2018-19 that was completed last year.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2019-20*. Mr. Landauer reported that there is a projected deficit systemwide in the Purchase of Service allocation for fiscal year 2019-20
- *Transportation*. Mr. Landauer reported that Western Transit Services and Yellow Cab terminated their services on May 31, 2020. California Yellow Cab has applied to be a RCOC vendor.

IV. Board Report

Ms. Bauer reported that the Board of Directors met on June 4, 2020, and approved the VAC membership recommendations for subcommittee chairs and co-chairs; their terms begin on June 1, 2020 and end on May 30, 2022.

V. Comfort Connection Family Resource Center (CCFRC) Emails

Ms. Bauer reported that VAC subcommittee chairs currently receive messages from CCFRC about events and resources in the community. Ms. Bauer requested feedback as to whether this current system works or if an alternative method should be developed to share events and resources for the community.

VI. Community Forum

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD), Orange County Regional Office, had asked Ms. Bauer to share information about an upcoming webinar on *Managing Behaviors in an Uncertain and Unstructured Time*.

VII. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, reported that he has provided persons served and Service Coordinators with up-to-date information on CalABLE accounts and COVID-19 resources specifically for persons with developmental disabilities.

VIII. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Mr. Jack Garate (absent)

Ms. Christina Blanco stated that OCTA continues to follow health guidance from federal, state and local agencies to keep the safety of riders and employees as the top priority, while helping stop the spread of COVID-19.

Ms. Blanco reported the following changes to OCTA services:

- Big bus (fixed route) service has been adjusted to modified Saturday service levels, with added trips on busier routes to accommodate social distancing.
- Keolis Transit America ended their contract effective May 31, 2020, MV Transportation is providing all the ACCESS services.
- California Yellow Cab is providing the same-day taxi service.

Ms. Blanco also reported that OCTA continues to clean vehicles daily with a chemical approved by the Centers for Disease Control and Prevention (CDC).

IX. Member Reports

- A. Adult Behavior Management – Hector Navarro (present)**
- B. Adult Day Programs – Rick Perez (present)**

Mr. Hector Navarro reported that the two subcommittees are meeting virtually and being creative about how to provide services. The subcommittees also discussed liability issues for providers when providing transportation to persons served.

Mr. Navarro also reported that they are awaiting DDS directives and guidelines for the reopening of programs.

- C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Marie Sañudo (present)**

Ms. Marie Sañudo reported that the subcommittee met virtually to discuss and review a draft of the new FHA preplacement guidelines due to COVID-19.

Ms. Sañudo also reported that subcommittee members discussed a request for personal assistance services while the person served is at home.

- D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. June Lazo-Pearson reported that they will not meet until next week.

- E. Community Care Facilities (CCF) – Rebekah Hayes (present)**

Ms. Rebekah Hayes reported that the subcommittee met virtually, discussed the HCBS survey extension and how to assist residents to best utilize their federal stimulus checks.

- F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee did not meet.

- G. Habilitation – Roland Fernandez (present)**

Mr. Roland Fernandez reported that the subcommittee did not meet.

- H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)**

Ms. Janice Retz reported that the subcommittee has been meeting virtually on a weekly basis to discuss COVID-19 safety and sharing resources for obtaining PPE supplies.

Vendor Advisory Committee Minutes
June 9, 2020

I. Intermediate Care Facilities (ICF) – Rich Mraule (present)

Mr. Rich Mraule reported that the subcommittee did not meet.

J. Support Services/Allied Health – Yvette Staggs (present)

Ms. Yvette Staggs reported that their subcommittee met and discussed the potential for vendor rate reductions in fiscal year 2020-21, Paycheck Protection Program loan forgiveness and absence billing.

X. Adjournment

Ms. Bauer adjourned the meeting at 3:11 p.m.

The next VAC meeting is scheduled for Tuesday, July 14, 2020.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: July 9, 2020

TO: Board of Directors

FROM: Tiffany Bauer, Chair
Vendor Advisory Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Vendor Advisory Committee Member of the Board of Directors**

BACKGROUND:

In accordance with the Lanterman Act, Section 4266(i), the Vendor Advisory Committee (VAC) “shall designate one of its members to serve as a member of the regional center board.”

REASON FOR CURRENT ITEM:

At the VAC meeting on June 9, 2020, Ms. Tiffany Bauer was elected to serve as the VAC Chairperson and member of the Board of Directors from July 1, 2020 to June 30, 2021.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This item is presented to the Board of Directors as information.

**Regional Center of Orange County
Peer Advisory Committee
June 17, 2020
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Jyusse Corey, Peer Advocate
Steven Gersten (*departed at 3:18 p.m.*)
Amy Jessee
Peter Kuo
Fernando Peña

Committee Members Absent: Marcell Bassett
Sam Durbin
John Godlasky
Yvonne Klutz

RCOC Staff Members Present: Larry Landauer, Executive Director
Cristina Mercado, PCT Coordinator

Ms. Sylvia Delgado called the meeting to order at 3:05 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

Mr. Jyusse Corey, RCOC's Peer Advocate, reported that he has provided persons served and Service Coordinators with up-to-date information on CalABLE accounts and COVID-19 resources specifically for persons with developmental disabilities.

III. Coronavirus Disease 2019 (COVID-19): Next Steps

Ms. Delgado provided information on COVID-19 for people with developmental disabilities, including how to stay safe as California began its 4-stage modification on the statewide stay-at-home order.

IV. Community Forum

No community members were present at the meeting.

V. Other

Mr. Landauer led a discussion about case assignments and the importance that families and persons served place on keeping their Service Coordinators. Some Committee members reported that they have had the same Service Coordinator for over ten years. The trust and understanding that is built up in that relationship over many years is invaluable.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for Wednesday, September 16, 2020.

The meeting was adjourned at 4:01 p.m.

Recorder: Sandra Lomelí