



REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: **Thursday, June 2, 2022**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

I.	Closed Session (Board Members Only)	
A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess	
III.	General Session	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
B.	Community Forum for Agenda Items Only***	John "Chip" Wright
C.	Budget and Finance Committee	Mine Hagen
D.	Consent Calendar*	John "Chip" Wright
	1. Approval of Board of Directors' Minutes for May 5, 2022** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, April 2022** b. Approval of Budget Amendment C-3, Fiscal Year 2021-22** c. Approval of Contract and Preliminary Allocation, Fiscal Year 2022-23 d. Approval of Audited Financial Statements for the Year Ended June 30, 2021 e. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective June 13, 2022** f. Approval of Revisions to the Personnel Policies for RCOC's Holiday Schedule and Definition of Immediate Family for Paid Sick Leave** g. Approval of Budget Amendment	
E.	Executive Director's Report	Larry Landauer
	1. Recognition of Persons' Served Employment Longevity 2. Operations Report – April 2022** 3. Performance Contract Report – April 2022** 4. Employment Update 5. Housing Update 6. Health and Wellness Update	Arturo Cazares Jack Stanton Bonnie Ivers
F.	Executive Committee	John "Chip" Wright
	1. Approval of Renewal of Board Membership for Sylvia Delgado for a One-Year Term Commencing August 1, 2022 and Ending July 31, 2023 2. Approval of Sandy Martin as Treasurer for a Term Commencing July 1, 2022 and Ending December 31, 2022 3. Approval of the Board of Directors' Meeting Schedule for Fiscal Year 2022-23**	
G.	Board Recruitment and Training Committee**	John "Chip" Wright
H.	Policies and Outcomes Committee**	Cliff Amsden
	1. Ends Policies** 2. Whistleblower Policy**	
I.	Vendor Advisory Committee**	Tiffany Bauer

		1. Approval of Vendor Advisory Committee Members	
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	Community Forum***	John “Chip” Wright
	N.	Chairperson’s Report	John “Chip” Wright
IV.		Adjournment	John “Chip” Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

**** This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
May 5, 2022
Videoconference Minutes**

Board Members Present: John “Chip” Wright, Chairperson
Cliff Amsden
Marcell Bassett
Tiffany Bauer
Meena Chockalingam
Sylvia Delgado
Mine Hagen
Sandy Martin
Hilda Mendez
Chinh Nguyen
Fernando Peña

Board Members Absent: Frances Hernandez
Amy Jessee
Liza Krassner

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 5:32 p.m.

A. Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement

Ms. Sylvia Delgado led attendees in a recitation of the Pledge of Allegiance.
Mr. Marcell Bassett read RCOC’s Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Mine Hagen reported that the Committee approved and recommended for approval all of its items on the consent calendar. The Committee also discussed the provider rate increases effective April 1, 2022, staffing shortages and caseload ratios.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for March 3, 2022
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, February 2022
 - b. Approval of Monthly Sufficiency of Allocation Report, March 2022
 - c. Approval of Budget Amendment A-9, Fiscal Year 2019-20
 - d. Approval of Budget Amendment B-5, Fiscal Year 2020-21
 - e. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective May 9, 2022

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored five persons served who have worked with the same employer for 28 years or more with a Certificate of Recognition.

2. Approval of Renewed Request of Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator

M/S/C to approve the Renewed Request of Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator

3. Information Regarding Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of a Specialized Residential Facility (CRDP No. 1920-2/2021-1 (Previously CRDP No. 1920-3))

Ms. Christina Petteruto, RCOC's General Counsel, reported that the amendment to the agreement is required because the Department of Developmental Services (DDS) increased the start-up funding by \$91,080.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the May Revise will be released on May 13, 2022. Mr. Landauer also reported on advocacy efforts to accelerate implementation of provider rate increases.
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that coronavirus cases have risen recently.

RCOC Board of Directors' Meeting Minutes
May 5, 2022

- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to explore ways to strengthen community services.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2021-22.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings. Additionally, about 91.08% of the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format.
- *Employment.* Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported on preliminary data collected during IPP meetings regarding employment. The purpose of the data is to identify persons served who are interested in obtaining employment and assisting them with skills development that will improve the likelihood of employment. For those currently employed, data collected was in relation to their employment and if they had any requests for changes.

Mr. Cazares also reported that the Project Search Program at the Children's Hospital Orange County (CHOC) is scheduled to resume in June 2022.

- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported on preliminary data collected during IPP meetings regarding types and locations of housing. The data will also indicate whether persons served are satisfied or not with their current living situations. If not, RCOC will explore other living options in an effort to ensure satisfaction. Mr. Stanton stated that over time, the data will determine which types and locations of housing are most preferred by persons served.
- *Legislative and Community Awareness.* Mr. Landauer reported that the Orange County Register interviewed him for a story about early intervention, which was posted on March 29, 2022. As part of Autism Acceptance Month (April), Marcell Bassett, Board member, told his employment success story in an online article.

Mr. Landauer also reported that RCOC held virtual meetings with State Legislators and their staff.

- On March 22, 2022, Mr. Landauer, Ms. Anh Nguyen, RCOC's Communications Consultant, and Ms. Frankie Hernandez, RCOC's Board member, met with Mr. Raymond Perez from Assemblymember Steven Choi's office.
- On March 23, 2022, Mr. Landauer, Ms. Nguyen and Ms. Chinh Nguyen, RCOC's Board member, met with Mr. Preston Romero from Assemblymember Janet Nguyen's office.

RCOC Board of Directors' Meeting Minutes
May 5, 2022

- On March 30, 2022, Mr. Landauer, Ms. Nguyen and Ms. Liza Krassner, RCOC's Board member, met with Senator Dave Min.
- On March 30, 2022, Mr. Landauer, Ms. Nguyen and Mr. Robert Olea, person served, met with Senator Josh Newman.
- On March 31, 2022, Mr. Landauer, Ms. Nguyen and Ms. Hilda Mendez, RCOC's Board member, met with staff members from Assemblymember Phillip Chen's office.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported on preliminary data collected during IPP meetings regarding persons' served satisfaction and happiness with their health and wellness. The data gathered will help RCOC determine what resources it can provide to help persons served improve their health and achieve their health and wellness goals.

Dr. Ivers, also reported that the new *Healthy Life, Happy Life* (HLHL) Program commenced January 2022. The curriculum focuses on the management and prevention of chronic health conditions.

- *RCOC News.* Mr. Landauer reported that staffing shortages continue due to low provider rates.
- *Self-Determination Program (SDP).* Mr. Landauer reported that two SDP Local Advisory Committee meetings were held virtually on March 7, 2022 and March 21, 2022.
- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the ARCA Academy's training webinar, *Self-Determination Program*, will be held on May 10, 2022. These trainings are available to all regional center Board members.
- *RCOC's Caseload Ratio Plan of Correction Public Meeting.* Mr. Landauer reported that RCOC's Caseload Ratio Plan of Correction public meeting will be held virtually on May 18, 2022.
- *2022 Spotlight Awards.* Mr. Landauer reported that RCOC hosted another successful virtual awards ceremony on May 2, 2022. Ms. Michele Gile, a reporter with CBS 2/KCAL 9, emceed the event from the CBS 2/KCAL 9 live news van.

F. Executive Committee

Mr. Wright reported that the Committee met on April 18, 2022; the next Committee meeting is scheduled for May 16, 2022.

G. Board Recruitment and Training

Mr. Wright reported that the Committee met on April 11, 2022; the next Committee meeting is scheduled for May 9, 2022.

Mr. Wright presented the following agenda item from the Board Recruitment and Training Committee for approval.

1. Approval of Board Membership for Yvonne Kluttz for a One-Year Term Commencing July 1, 2022 and Ending June 30, 2023

M/S/C to approve Board Membership for Yvonne Kluttz for a One-Year Term Commencing July 1, 2022 and Ending June 30, 2023, as recommended

H. Policies and Outcomes Committee

Mr. Cliff Amsden reported that the Committee met and reviewed the Policy on Notification to Persons Served and Families of Significant Service Deficits, the Document Retention and Destruction Policy, the Contract Policy, the Transportation Mobility Policy and the Employment First Policy. Revisions were proposed for the Policy on Notification to Persons Served and Families of Significant Service Deficits and the Document Retention and Destruction Policy; no revisions were proposed for the Contract Policy, the Transportation Mobility Policy or the Employment First Policy.

Mr. Amsden presented revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits and the Document Retention and Destruction Policy, as recommended by the Policies and Outcomes Committee.

1. Approval of Revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits

M/S/C to approve revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits as recommended

2. Approval of Revisions to the Document Retention and Destruction Policy

M/S/C to approve revisions to the Document Retention and Destruction Policy as recommended

The next Committee meeting is scheduled for May 16, 2022.

I. Vendor Advisory Committee

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on April 12, 2022, and discussed concerns about staffing shortages due to low rates.

The next Committee meeting is scheduled for May 10, 2022.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee met on April 20, 2022.

Ms. Scarlett VonThenen from the California State Council on Developmental Disabilities (SCDD), Orange County Regional Office, provided a presentation on the services and supports it provides for persons with developmental disabilities.

The next Committee meeting is scheduled for May 18, 2022.

K. Legislative and Community Awareness Report

In Ms. Krassner's absence, Mr. Landauer reported that the Committee met on April 19, 2022. The new design of RCOC's website and RCOC's outreach activities were discussed.

The next Committee meeting is scheduled for July 12, 2022.

L. ARCA Report

Mr. Landauer reported that the ARCA Board of Directors' annual meeting will be in Sacramento on June 16-17, 2022.

The next ARCA Board of Directors' meeting will be in Irvine on August 18-19, 2022.

M. Community Forum

Ms. Lulu Aguilar asked if a camping and recreation service is requested, it is RCOC's practice to exchange it with the respite services already in place?

N. Chairperson's Report

Mr. Wright reminded Board members that the next Board meeting will be held virtually on June 2, 2022. The Board will meet in-person at RCOC for the Board Retreat on Saturday, July 16, 2022.

Mr. Wright thanked Mr. Landauer and staff for their hard work on another successful Spotlight Awards.

II. Adjournment

Mr. Wright adjourned the meeting at 6:42 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
May 5, 2022**

Committee Members Present: Mine Hagen, Chair
Marcell Bassett (*joined at 4:06 p.m.*)
Amy Jesse (*joined at 4:04 p.m.*)
Sandy Martin
Fernando Peña
John “Chip” Wright

Committee Members Absent: Cliff Amsden

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Liliana Castillo, Accounting Manager – POS
Arturo Cazares, Associate Director of Employment
Nancy Franco, Accounting Manager – Operations
Bonnie Ivers, Clinical Director
Raudel Perez, Administrator
Christina Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Stacy Wong, Human Resources Director

The meeting was called to order at 4:03 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, February and March 2022

In Ms. Marta Vasquez’s absence, Ms. Bette Baber reported that RCOC is projecting a surplus of \$41 million, a decrease of \$4.3 million over the prior projection. RCOC’s projected surplus will be \$50 million if all \$9 million of the State Plan Amendment receivables are paid.

2. Approval of Budget Amendment A-9, Fiscal Year 2019-20

Ms. Bette Baber reported that the Department of Developmental Services had allocated an additional \$366,077 to RCOC.

RCOC Budget and Finance Committee Minutes
May 5, 2022

3. Approval of Budget Amendment B-5, Fiscal Year 2020-21

Ms. Baber reported that the Department of Developmental Services had reduced RCOC's Community Placement Plan / Community Resource Development Plan allocation by \$1,499,631.

4. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective May 9, 2022

Ms. Baber reported that RCOC had added titles in preparation for the retirement of Ms. Keli Radford and Ms. Baber next year.

M/S/C to approve the monthly SOARs, budget amendments and the pay schedule.

5. Review of Draft Form 990, Return of Organization Exempt from Income Tax for the Year Ended June 30, 2021

Ms. Baber said that a draft of the 990 will be sent to the Board prior to filing.

Vendor rates, Service Coordinator turnover and caseload ratios were also discussed.

The meeting adjourned at 5:00 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: June 2, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, April 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: May 26, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – April 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$37.2 million, a decrease of \$3.8 million over the prior projection. RCOC's surplus will be \$46.2 million if all \$9 million of the State Plan Amendment receivables are paid. This reduction in the surplus is due to an increasing projection for the cost of rate increases effective April 1, 2022, less the additional allocation in the C-3 budget amendment. This projection will continue to increase as more rates are calculated. DDS continues to send rate files and RCOC will calculate additional rates in the coming weeks.

As reported previously, the new rates are 25% of the difference between the rate calculated by Burns & Associates (the firm that did the rate study in 2019) and the rate as of March 31, 2022. The balance of the new rates will be effective in three increments as follows:

- July 1, 2023, vendors will receive an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 40% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 10% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022, based on a quality payment. It is not known at this time how vendors will qualify for the quality payment.

RCOC's caseload increased by 947 persons for an annualized caseload growth of 5%; the regional center system increased by 18,771 persons for an annualized caseload growth of 6.3%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of April 30, 2022

		A	B	C	D	E	F	G	H
					SOAR	VARIANCE			
		C-3	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
		ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
PURCHASE OF SERVICE			YEAR TO DATE	AT "RUN RATE"	(5/10/2022)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1)	Licensed Residential Care	\$169,876,048	\$123,979,103	\$150,497,416	\$156,239,187	8%	\$13,636,861	\$0	\$151,480,041
(2)	Day Care	2,554,882	1,010,041	1,235,992	1,705,571	33%	849,311	0	2,180,320
(3)	Day Training	65,364,852	46,396,673	56,706,623	63,760,162	2%	1,604,690	0	58,155,629
(4)	Habilitation	8,003,689	6,107,709	8,008,584	7,920,237	1%	83,452	0	8,031,555
(5)	Transportation	13,516,053	4,407,923	6,611,885	7,328,135	46%	6,187,918	0	6,532,973
(6)	Respite	48,094,897	27,565,233	39,033,548	46,635,697	3%	1,459,200	0	46,159,516
(7)	Supported Living	50,350,506	38,775,025	47,174,965	50,005,506	1%	345,000	3,848,221	46,394,434
(8)	Non-medical	17,107,255	12,976,434	16,457,989	16,319,924	5%	787,331	0	15,224,592
(9)	Medical	7,054,334	5,262,290	6,314,748	6,097,923	14%	956,411	0	5,897,660
(10)	Other	111,256,984	70,585,173	94,113,564	102,597,733	8%	8,659,251	0	98,650,499
(11)	Early Start (Age 0-3)	35,972,568	25,376,141	31,313,862	33,362,282	7%	2,610,286	0	24,382,721
(12)	Community Placement Plan	2,298,728	0	0	2,298,728	0%	0	0	190,061
(13)	Purchase of Service Total	531,450,797	362,441,745	457,469,178	494,271,085	7%	37,179,712	3,848,221	463,280,001
OPERATIONS					0		\$46,219,553	* If all SPA receivables are paid.	
(14)	Operating Expense (Gross)	8,750,000	5,731,772	6,878,127	8,750,000	0%	0	0	5,988,657
(15)	Less Interest Income and SPA Fees	-150,000	-121,974	-146,369	-150,000	0%	0	0	-154,602
(16)	Operating Expense (Net)	8,600,000	5,609,798	6,731,758	8,600,000	0%	0	0	5,834,055
(17)	Personal Services	46,756,204	31,222,245	37,466,695	46,756,204	0%	0	0	37,167,592
(18)	Family Resource Center/Services	269,299	160,489	192,586	269,299	0%	0	0	154,739
(19)	Operations Total	55,625,503	36,992,532	44,391,039	55,625,503	0%	0	0	43,156,386
(20)	Total	\$587,076,300	\$399,434,277	\$501,860,216	\$549,896,588	0%	\$37,179,712	\$3,848,221	\$496,269,603

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF APRIL 30, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	74,407,605.00	\$295,896.89
Savings	56,961.94	
Money market	0.00	
Payroll	307,565.03	
Donations	203,495.49	
Unemployment	836,828.44	
Certificate of deposit	0.00	
	-----	-----
Total current assets	75,812,755.90	295,896.89
	-----	-----
RECEIVABLES		
State claim	88,966,671.75	
Client support revenue	38,561.14	6,422.77
Due from State - prior years	1,295,499.04	
Due from ICF - ICF Supplemental Services	9,392,001.01	
	-----	-----
Total receivables	99,692,732.94	6,422.77
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$176,239,598.03	\$302,319.66
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$27,611,676.79	\$38,561.14
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	147,535,074.74	
Unemployment insurance	836,828.44	
	-----	-----
Total liabilities	176,036,102.54	38,561.14
	-----	-----
FUND BALANCES		
General		
Donations	203,495.49	
Custodial		263,758.52
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$176,239,598.03	\$302,319.66
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
April 30, 2022

Beginning Balance		\$206,370.49
Donations	\$0.00	
Loan Payments	330.00	
Interest	0.00	
Disbursements:		
Rent and Security Deposit	<u>-3,205.00</u>	
Net Increase (Decrease)		<u>-2,875.00</u>
Ending Balance		<u><u>\$203,495.49</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: June 2, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment C-3, Fiscal Year 2021-22**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has allocated additional funds for Operations and the Purchase of Services Community Placement Program (CPP).

FISCAL IMPACT:

Operations	\$1,491,163
Purchase of Services	695,543
Purchase of Services for CPP	\$1,750,000
Total	\$3,936,706

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.

STANDARD AGREEMENT - AMENDMENT

STD 213A (Rev. 4/2020)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 74 PAGES

AGREEMENT NUMBER

HD199014C

AMENDMENT NUMBER

3

Purchasing Authority Number

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Developmental Services

CONTRACTOR NAME

Regional Center of Orange County

2. The term of this Agreement is:

START DATE

July 1, 2019

THROUGH END DATE

June 30, 2026

3. The maximum amount of this Agreement after this Amendment is:

\$587,076,300.00

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

a. Replaced by this amendment is Exhibit A--Regional Center Contract Language Fiscal Year 2021-2022, in its entirety, which is attached hereto and made part of this contract.

b. This amendment replaces in its entirety Exhibit A of Regional Center Contract Language Fiscal Year 2021-2022--Contract Budget Summary, C-2, with the Exhibit A of Regional Center Contract Language Fiscal Year 2021-2022--Contract Budget Summary, C-3, attached hereto.

c. This amendment increases the total contract dollar amount by \$3,936,706.00. New total contract dollar amount not to exceed \$587,076,300.00.

Fiscal Year funds identified above may not be used for any other fiscal year than the fiscal year specified unless authorized by the Department to do so.

All other terms and conditions shall remain the same.

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

Regional Center of Orange County

CONTRACTOR BUSINESS ADDRESS

1525 N. Tustin Avenue

CITY

Santa Ana

STATE

CA

ZIP

92705

PRINTED NAME OF PERSON SIGNING

John Wright

TITLE

Board Chair

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STD 213A (Rev. 4/2020)

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AGREEMENT NUMBER HD199014C	AMENDMENT NUMBER 3	Purchasing Authority Number
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STATE OF CALIFORNIA

CONTRACTING AGENCY NAME Department of Developmental Services			
CONTRACTING AGENCY ADDRESS 1215 O Street, 10th Floor, MS 10-10	CITY Sacramento	STATE CA	ZIP 95814
PRINTED NAME OF PERSON SIGNING Greg Trahey	TITLE Contracts Manager		
CONTRACTING AGENCY AUTHORIZED SIGNATURE	DATE SIGNED		
CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL	EXEMPTION (If Applicable)		

FY 2021-22 Through C-3 Contract Budget Summary
Attachment A, Claimable Categories by Program (Ops, POS, EIP, FRS)

Contracting Agency: Regional Center of Orange County, Inc.

Contract Number

HD199014

LEAVE DATE BLANK FOR PASS TO FILL

TOTAL OPERATIONS (Ops) which include the line items listed below:	\$55,213,347
<i>Less Separately Claimed Items:</i>	<i>-\$1,940,114</i>
Total Ops Regular Monthly Claim Authority:	\$53,273,233
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:</i>	
Mental Health Services Fund	0
Total HCBSW ARPA Ops	1,582,886
Language Access and Cultural Competency	906,593
Service Provider Rate Reform	676,293
Total Foster Grandparent Sr Companion Program ^{b/}	0
<i>FGP, Total Federal ^{a/}</i>	<i>0</i>
FGP, Federal Support	0
FGP, Federal Volunteer	0
FGP, Federal Stipend	0
<i>FGP & Sr. Companion, Total General State Funds ^{b/}</i>	<i>0</i>
FGP, General State Fund, Support	0
FGP, General State Fund, Volunteer	0
Sr Compan, State Funds, Support	0
Sr Compan, State Funds, Volunteer	0
Service Access & Equity (SAE) Grant Disparities	120,000
Total Regular Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) Ops	0
DC Closure/Ongoing Workload	237,228
START Training, Ops	0

TOTAL PURCHASE OF SERVICES (POS) which include the line items listed below:	\$531,450,797
<i>Less Separately Claimed Items</i>	<i>-\$3,662,176</i>
Total POS Regular Monthly Claim Authority	\$527,788,621
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:</i>	
Part C, POS ^{c/}	667,905
HCBSW Compliance	695,543
Total Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) POS	2,298,728
COVID Start-Up	0
CPP/CRDP Start-Up	1,998,728
Regular CPP Assessment	0
Regular CPP Placement	300,000
START Training, POS	0

Total Early Intervention Program (EIP, Other Agency)	283,254
Early Intervention Program, Family Resource Centers Network	140,397
Early Intervention Program, Part C ARPA	142,857

Total Family Resource Services (FRS)	128,902
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TOTAL BUDGET	\$587,076,300
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^{a/} FGP: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No.19SFPCA002, Performance Period 07/01/19-06/30/22 for the three year grant.

^{b/} Senior Companion (GF) Program Title: Senior Companion Program, CFDA # 94.016, Award No.19SCPCA002, Performance Period 07/01/19-06/30/22 for the three year grant.

^{c/} Part C: CFDA Title: Infant and Toddler/Families (Part C) Program Title: Special Education-Grants for Infants and Families with Disabilities.

CFDA Number: 84.181A, Award No: H181A210037. Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education
Percent of Part C to Total Contract Funding: 0.11%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: June 2, 2022
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Contract and Preliminary Allocation for Fiscal Year 2022-23**

BACKGROUND:

Each year, ARCA and the Department of Developmental Services (DDS) negotiate a standard contract for adoption by regional centers and DDS. The preliminary allocation is a placeholder budget.

Regional centers usually receive the planning allocation in August. The planning allocation is used to determine the sufficiency or deficiency of the allocation.

REASON FOR CURRENT ITEM:

The preliminary allocation allows regional centers to make cash advance requests for operating funds in the new fiscal year. In order to prevent any disruption in cash flow as well as the expense of drawing on its line of credit, RCOC must return the signed contract and submit the cash advance requests to DDS as soon as the contract is received in June.

FISCAL IMPACT:

Although RCOC does not know at this time what the preliminary allocation will be for fiscal year 2022-23; the preliminary allocation for fiscal year 2021-22 was:

Purchase of Service.....	\$399,025,474
Operations	\$43,594,859
Total Preliminary Allocation	\$442,620,333

RECOMMENDATION:

That the Board authorize the Chairperson to execute the contract upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: June 2, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Audited Financial Statements for the Year Ended June 30, 2021**

BACKGROUND:

Audited financial statements are required in California's Welfare and Institutions Code Section 4639, and Article III, section 8 of RCOC's contract with the Department of Developmental Services.

REASON FOR CURRENT ITEM:

Compliance with the requirements as stated above.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board approve the independent audit firm's financial statements as presented.

Agenda Item III.D.-2.d.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: June 2, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective June 13, 2022**

BACKGROUND:

Since August 10, 2011, the California Code of Regulations, Title 2, Section 570.5, has required California Public Employees' Retirement System's (CalPERS's) employers to have pay rates approved by the employer's governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on May 5, 2022.

REASON FOR CURRENT ITEM:

The following three titles were added: Associate Director, Risk Management, Manager, Employment and Community Navigator. The title of Associate Director, Employment was removed.

FISCAL IMPACT:

The positions of Associate Director, Risk Management and Manager, Employment are promotional opportunities. The Community Navigator positions will be funded by a grant. All the Family Resource Centers in California are receiving grant funds for Community Navigators. The annual salary for Community Navigators is between \$49,801 and \$60,372.

The two promotions will be approximately\$26,000 plus benefits

RECOMMENDATION:

That the Board approve the salary schedule for RCOC's employees effective June 13, 2022.

		Minimum	Midpoint	Maximum
EXECUTIVE DIRECTOR	HR	101.00	112.55	124.09
	BW	8,080.18	9,003.72	9,927.26
	MO	17,507.05	19,508.06	21,509.07
	YR	210,084.64	234,096.74	258,108.83
MEDICAL DIRECTOR	HR	70.06	90.21	110.36
PHYSICIAN	BW	5,604.95	7,216.78	8,828.62
	MO	12,144.06	15,636.36	19,128.67
	YR	145,728.68	187,636.36	229,544.03
CHIEF FINANCIAL OFFICER	HR	70.06	85.81	101.56
GENERAL COUNSEL	BW	5,604.95	6,865.04	8,125.13
	MO	12,144.06	14,874.25	17,604.44
	YR	145,728.68	178,491.00	211,253.32
CONSULTING PHARMACIST	HR	59.55	70.15	80.74
DIRECTOR: Case Management, Community	BW	4,764.06	5,611.82	6,459.58
Services, Clinical, Finance, HR, IT	MO	10,322.14	12,158.95	13,995.76
Services and Supports	YR	123,865.66	145,907.39	167,949.12
MANAGER, AREA	HR	44.65	52.05	59.45
ASSOCIATE DIRECTOR: Finance, Housing,	BW	3,572.18	4,163.97	4,755.76
IT, Risk Management	MO	7,739.72	9,021.93	10,304.14
	YR	92,876.69	108,263.18	123,649.67
MANAGER: Nursing	HR	41.57	48.97	56.36
PSYCHOLOGIST,	BW	3,325.45	3,917.20	4,508.96
THERAPIST: Occupational, Physical	MO	7,205.14	8,487.27	9,769.41
Speech	YR	86,461.65	101,847.29	117,232.93
CHIEF COUNSELOR, CONTROLLER	HR	36.16	42.02	47.87
ADMINISTRATOR, MANAGER:	BW	2,893.14	3,361.25	3,829.35
Custodian of Records, Employment , Fair	MO	6,268.46	7,282.70	8,296.93
HR, Risk, Safety Net and Resource Development	YR	75,221.55	87,392.37	99,563.19
CLERK TO THE BOARD, NURSE CONSULT.	HR	37.64	42.28	46.93
MANAGER: Family Support/Comm. Outreach	BW	3,011.31	3,382.69	3,754.07
COORDINATOR: PCT, Self-Determination	MO	6,524.49	7,329.15	8,133.82
SPECIALIST: Federal Programs and Benefits	YR	78,293.93	87,949.86	97,605.78
BCBA Masters	HR	35.60	40.01	44.41
	BW	2,848.28	3,200.67	3,553.07
	MO	6,171.27	6,934.79	7,698.32
	YR	74,055.25	83,217.52	92,379.78
AREA SUPERVISOR, RESOURCE GROUP	HR	30.00	37.78	45.57
LEADER, MANAGER: Accounting, IT	BW	2,400.00	3,022.61	3,645.23
PROGRAMMER ANALYST, TRAINING	MO	5,200.00	6,549.00	7,898.00
COORDINATOR	YR	62,400.00	78,587.98	94,775.97
COORDINATOR: Lead Service	HR	30.00	37.45	42.66
Federal Programs and Benefits	BW	2,400.00	2,996.20	3,412.41
Deaf and Hard of Hearing Coordinator	MO	5,200.00	6,491.78	7,393.55
	YR	62,400.00	77,901.32	88,722.64
SERVICE COORDINATOR, HCBS COOR.	HR	30.00	34.84	39.68
ACCOUNTANT, ACCOUNTING SUP.	BW	2,400.00	2,787.17	3,174.33
CULTURAL SPECIALIST/COMM. OUT.	MO	5,200.00	6,038.86	6,877.72
EXECUTIVE LIAISON; HR SPECIALIST	YR	62,400.00	72,466.35	82,532.69
ASSOCIATE PROGRAMMER ANALYST	HR	25.02	30.16	35.29
COORDINATOR:	BW	2,001.94	2,412.53	2,823.12
Emergency, IT, Quality Assurance, Systems	MO	4,337.53	5,227.15	6,116.77
	YR	52,050.40	62,725.81	73,401.22
COORDINATOR: Fiscal, SIR, Vendor	HR	23.94	26.48	29.03
SPECIALIST: HRG, Intake	BW	1,915.44	2,118.72	2,322.01
Community Navigator	MO	4,150.11	4,590.57	5,031.03
	YR	49,801.32	55,086.84	60,372.37
COORDINATOR: Community Resources,	HR	22.28	24.15	26.03
Fair Hearing, Imaging, Operations, Payables	BW	1,782.14	1,932.15	2,082.17
Support Services	MO	3,861.30	4,186.33	4,511.36
SPECIALIST: IT	YR	46,335.62	50,235.99	54,136.35
TECHNICIAN: Accounting, Area, IT, Intake	HR	18.34	20.97	23.61
Scanning, Technical Assistant, Receptionist	BW	1,466.82	1,677.91	1,889.00
Account Clerk	MO	3,178.11	3,635.47	4,092.82
	YR	38,137.30	43,625.60	49,113.90
PEER ADVOCATE	HR	15.00	15.54	16.07
Office Aide	BW	1,200.00	1,242.84	1,285.68
	MO	2,600.00	2,692.82	2,785.64
	YR	31,200.00	32,313.84	33,427.68

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: June 2, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Revisions to the Personnel Policies for RCOC's Holiday
Schedule and Definition of Immediate Family for Paid Sick Leave**

BACKGROUND:

The Personnel Policies are updated as required for compliance with state and federal laws as well as changes at RCOC.

REASON FOR CURRENT ITEM:

RCOC has observed 12 holidays for almost forty years. RCOC is proposing the addition of two holidays that are both annual federal holidays: Juneteenth National Independence Day and Columbus Day / Indigenous People's Day. RCOC is also updating the definition of immediate family under the Sick Leave section to comply with California law.

FISCAL IMPACT:

RCOC's payroll cost for two workdays is approximately \$324,000. There is no fiscal impact for the definition change in immediate family; RCOC has administered its sick leave usage in compliance with California law.

RECOMMENDATION:

That the Board approve the changes to the two sections of the Personnel Policies as presented.

Agenda Item III.D.-2.f.

A. HOLIDAYS

1. RCOC observes the following ~~annual federal~~ holidays **plus the day after Thanksgiving, Christmas Eve day and New Year's Eve day:**

New Year's Day
Martin Luther King, Jr. Day
President's Day
Memorial Day
Juneteenth National Independence Day
Independence Day
Labor Day
Columbus Day / Indigenous People's Day
Veterans Day
Thanksgiving Day
Day after Thanksgiving
Christmas Eve Day
Christmas Day
New Year's Eve Day

2. The dates of observation of these holidays will be distributed each December for the following year.

3. To receive holiday pay, an eligible employee must work the regularly scheduled workdays immediately before and after the holiday, unless the employee has received prior written authorization for a paid absence to miss work.

4. Only full time employees are eligible for all paid holidays.

5. Part time employees shall receive holiday pay on a prorated basis according to hours worked.

6. Temporary employees and those on unpaid leaves of absence or layoff status are not eligible to receive holiday pay.

7. If an observed holiday occurs during an employee's vacation period, the employee will receive the holiday in lieu of a vacation day.

8. Some employees may wish to observe other religious holidays than those recognized by RCOC. An employee, who wishes a day off for this reason, will be permitted to do so if at least five (5) working days prior notice is given to the supervisor and the absence does not result in undue hardship for RCOC. Employees may use accrued vacation or take such time off as an unpaid, excused absence.

C. PAID SICK LEAVE

1. Full-time employees earn paid sick leave benefits at the rate of one day per month of work beginning with the date of hire and prorated for any unpaid time.

2. Part-time employees who are regularly scheduled to work 20 hours or more per week earn paid sick leave on a prorated basis. The amount of sick leave earned is based on the number of hours the part time employee works.

3. Temporary employees and part time employees regularly scheduled to work less than 20 hours per week are not eligible to earn paid sick leave benefits.

4. Paid sick leave may be used by eligible employees when they are unable to work because of their own illness or temporary disability or because of the illness or temporary disability of a member of the employee's immediate family, which includes an employee's child, parent, spouse, **registered** domestic partner, **grandchild**, **grandparent** or **sibling**.

5. In order to receive paid sick leave for an absence, the employee must personally notify the supervisor at the beginning of the workday, or at the time of departure from work, if the employee leaves work during the work day, and state the reason for the absence. Failure to properly notify RCOC of an absence will result in the absence being considered unauthorized and loss of pay for the employee.

6. Employees who miss work for medical reasons may be asked to supply physician's release before returning to work. These employees may also be required to have a physical examination by a physician selected by RCOC to determine the employee's capability to perform satisfactorily.

7. Paid sick leave may not be taken for absences paid by Workers' Compensation benefits, or for unauthorized absences. Paid sick leave may be used to make up the difference between State Disability Insurance and an employee's regular rate of pay.

D. BEREAVEMENT

1. Up to three days off with pay may be granted to an employee upon a death in the immediate family. The immediate family is defined as a spouse, parent, child, sibling, grandparent, or grandchild, or parent of spouse. Prior approval must be obtained from the supervisor.

E. JURY DUTY

1. Employees who are summoned for jury or witness duty will continue to receive full pay for up to ten (10) days per year. Thereafter, the employee's job will be held for the

Summary of Information About Persons Served - April 2022

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,351	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,977	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	485
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,655	60%
Epilepsy	2,876	14%
Cerebral Palsy	2,575	13%
Autism	8,834	44%
Fifth Category*	1,729	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	387
Early Start / Under Age Three / 45 days to complete determination	324
Lanterman / Over Age Three / 120 days to complete determination	63

NUMBER OF PERSONS DETERMINED ELIGIBLE	397
Children - Birth to Age Three Eligible for Early Start Services	297
Children and Adults - Ages Three and Older Eligible for Lanterman Services	42
• Number of children who received Early Start services	33
• Number of children who received Early Start services and had a diagnosis of autism	21
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	155
Children - Age Three No Longer Eligible for Early Start Services	151
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	4

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

APRIL 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36	38	34	39
Unannounced	59	40	38	38	35	43
Total Number of Reviews	92	75	74	76	69	82

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169	131	117	148
Corrective Action Plans	0	0	9	6	2	9
Special Incident Investigations*	26	30	28	24	21	15
COVID-19 Checklist	0	0	0	0	0	1

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	26	41	50	42			374
Unannounced	30	46	54	45			428
Total Number of Reviews	56	87	104	87	0	0	802

Provider Trainings	0	0	0	0	0	0	0
Technical Support	88	141	148	181			1,416
Corrective Action Plans	0	4	5	1			36
Special Incident Investigations*	5	25	32	22			228

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

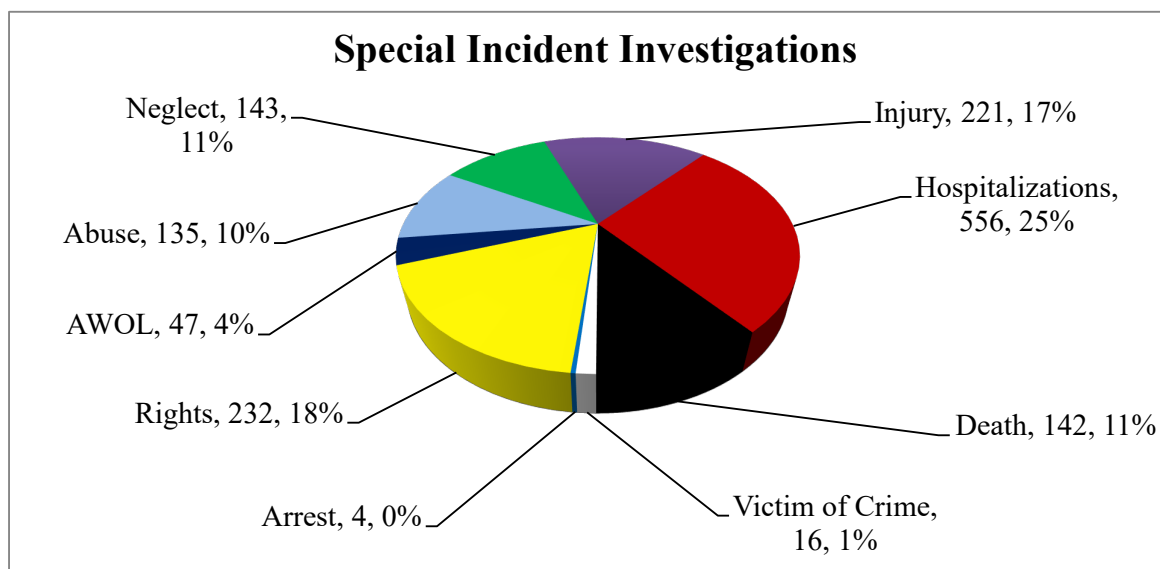
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2021-22

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5	4	5	5
Abuse	14	23	19	10	13	14
Neglect	18	16	15	13	15	2
Injury	14	23	26	24	26	17
Hospitalizations - Total	33	40	43	33	35	34
<i>Psychiatric</i>	<i>11</i>	<i>8</i>	<i>11</i>	<i>4</i>	<i>8</i>	<i>6</i>
<i>Medical</i>	<i>22</i>	<i>32</i>	<i>32</i>	<i>29</i>	<i>27</i>	<i>28</i>
Death	15	18	10	13	10	20
Victim of crime	3	2	1	5	3	1
Arrest	2	0	0	0	0	1
Rights	16	14	14	64	14	6
Total	120	146	133	166	121	100

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	5	5	0	3			47
Abuse	4	4	12	22			135
Neglect	4	22	19	19			143
Injury	23	25	28	15			221
Hospitalizations - Total	46	27	40	32			363
<i>Psychiatric</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>3</i>			<i>57</i>
<i>Medical</i>	<i>45</i>	<i>25</i>	<i>37</i>	<i>29</i>			<i>306</i>
Death	13	17	17	9			142
Victim of Crime	0	0	0	1			16
Arrest	0	1	0	0			4
Rights	2	10	48	44			232
Total	97	111	164	145	0	0	1,303



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2021-22

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	0	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0	2	0			2
Staffing	0	0	0	0			0
Level 4I Consultant	0	0	0	0			0
P&I (consumer funds)	0	0	0	0			0
Total Number of Audits	0	0	2	0	0	0	2

Number of Appeals / Recoveries

State Appeal	0	0	0	0			0
Recovery	0	0	0	0			0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2021-22

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80	79	84	84
Diapers - Family Member	10	10	10	11	11	11
Nursing Service - Family Member	62	62	63	62	61	62
Respite Service - Family Member	603	587	577	591	603	596
Transportation - Family Member	115	129	127	129	145	142
Total Number of Voucher Authorizations	871	869	857	872	904	895

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	77	79	79	82		
Diapers - Family Member	10	9	10	9		
Nursing Service - Family Member	62	62	60	61		
Respite Service - Family Member	599	603	612	604		
Transportation - Family Member	140	144	145	142		
Total Number of Voucher Authorizations	888	897	906	898	0	0

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2021-22

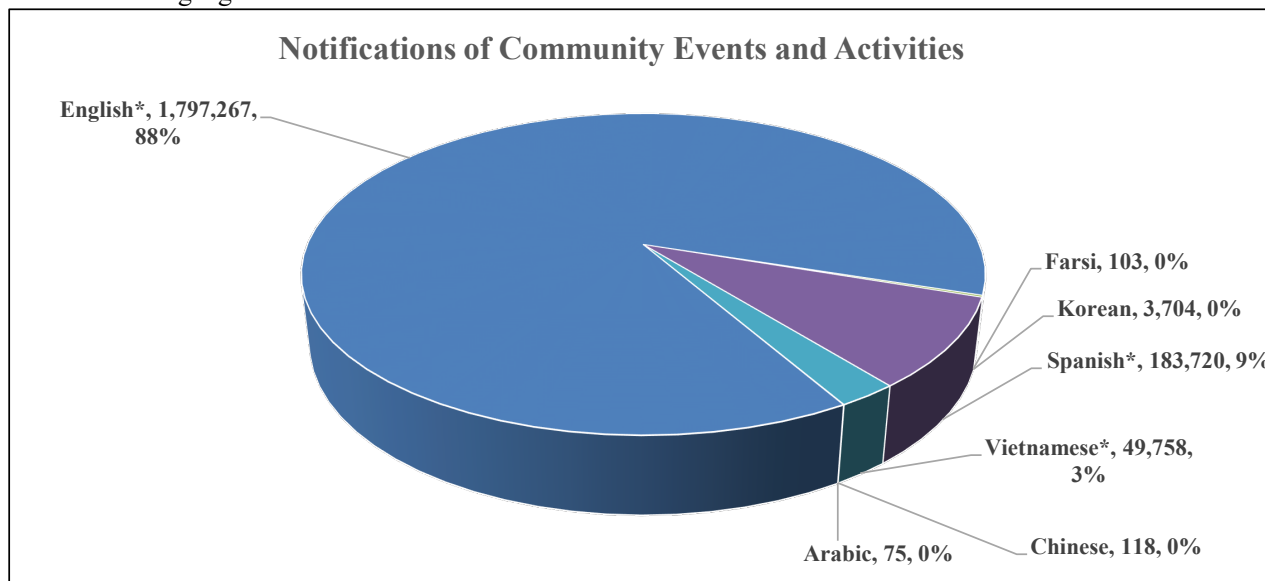
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610	16,667	135,337	153,167
Farsi						
Korean		454			529	522
Spanish*	16,214	26,390	22,843	1,887	8,808	9,632
Vietnamese*	3,368	6,015	4,729	296	3,636	3,611
Total Number of Notifications	201,808	166,035	187,182	18,850	148,310	166,932

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	178,305	279,247	218,310	341,222			1,797,267
Farsi	103						103
Korean	1,069		196	934			3,704
Spanish*	19,783	29,494	24,559	24,110			183,720
Vietnamese*	3,692	7,062	11,917	5,432			49,758
Chinese	118			87			118
Arabic	75						75
Total Number of Notifications	203,145	315,803	254,982	371,785	0	0	2,034,745

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2021-22

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	
In Person							
English	6	9	10	13	7	5	
Spanish	3			2	1	1	
Vietnamese	1		4	2	1		
Other Languages					1	2	(Korean)
In Print							
English				1	1	1	
Spanish	1	3	3		1		
Vietnamese		3			1		
Other Languages							
TV / Radio							
English	1	1					
Spanish							
Vietnamese	4	6	4	5	4	4	
Other Languages							
Total Number of Outreach Events	16	22	21	23	17	13	

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	4	6	13	10			83
Spanish		2	2	1			12
Vietnamese	1	5		2			16
Other Languages				1			4
In Print							
English	1	1	2	3			10
Spanish							8
Vietnamese							4
Other Languages							0
TV / Radio							
English (Blog)	1			1			4
Spanish							0
Vietnamese	5	4	4	4			44
Other Languages							0
Total Number of Outreach Events	12	18	21	22	0	0	185

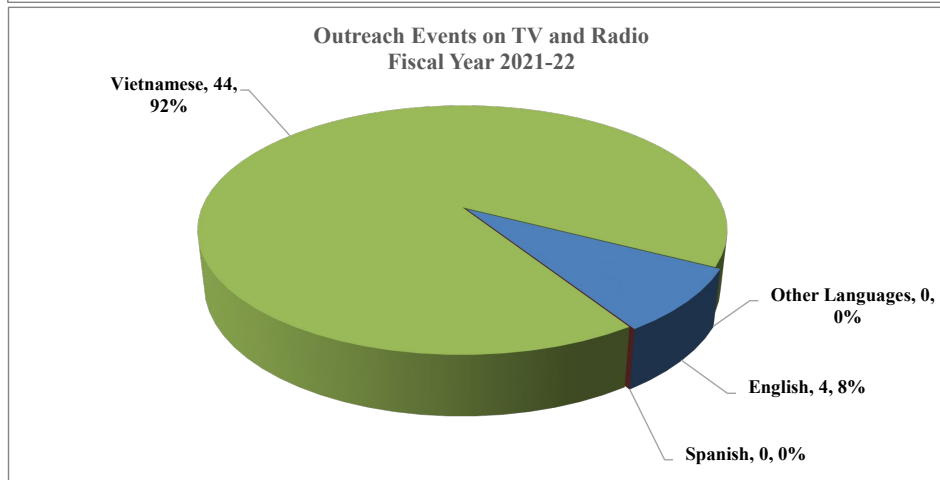
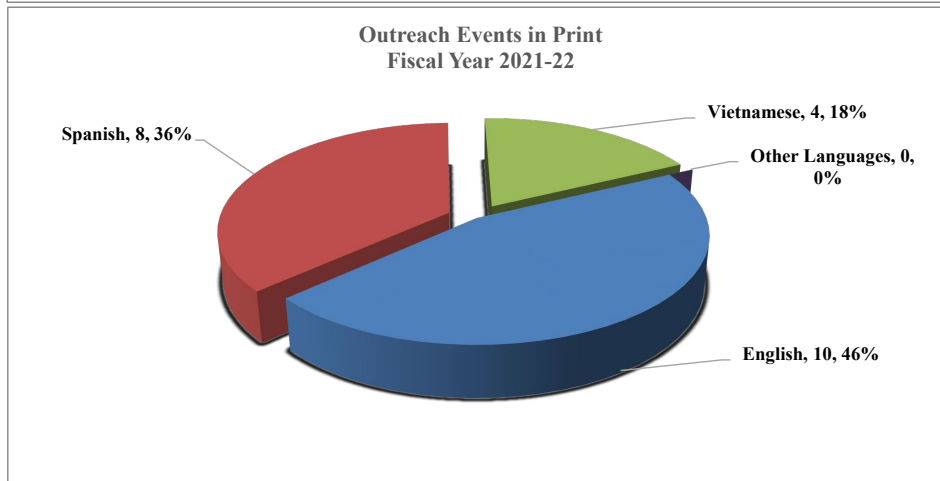
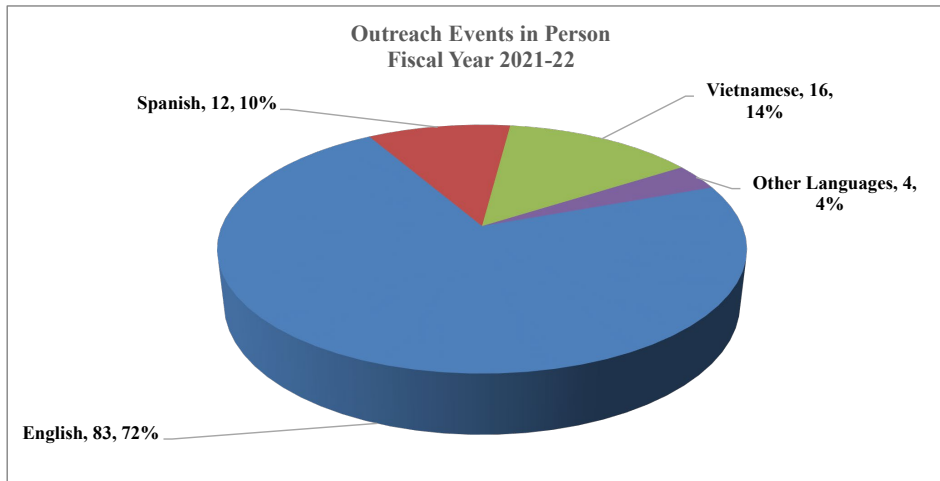
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22



EARLY INTERVENTION / PREVENTION

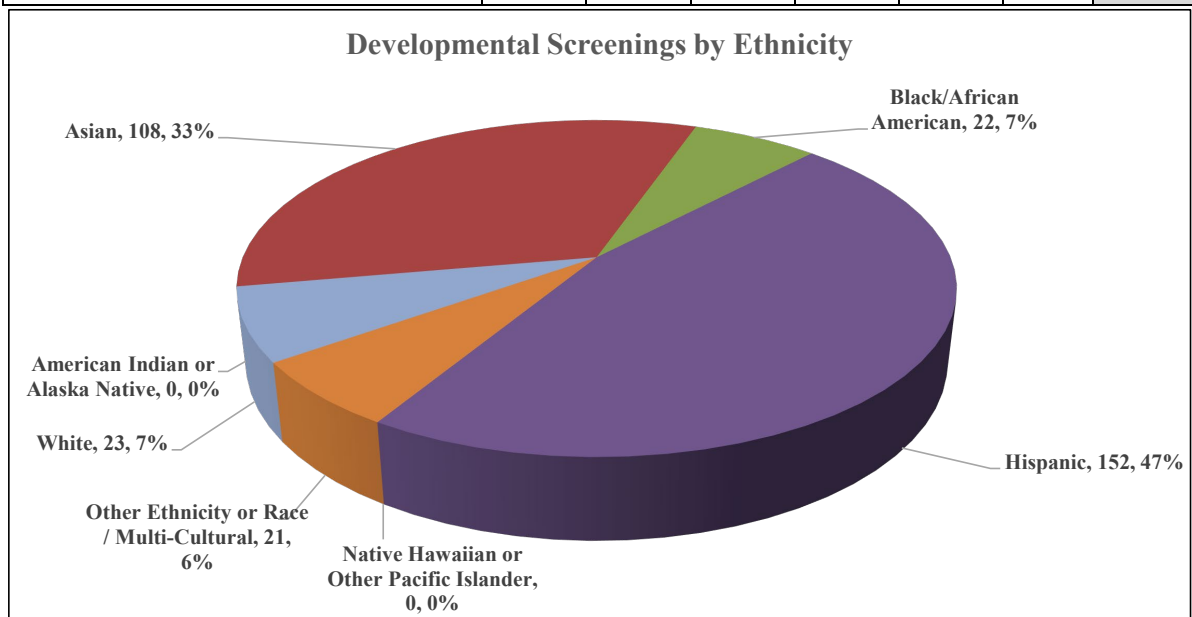
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	13	37	37	2	0
Black/African American	0	2	4	1	0	0
Hispanic	0	10	12	11	22	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	1	9	1	3	0
White	0	9	1	1	2	0
Total Number Screened	0	35	63	51	29	0
Total Number Referred to RCOC	0	16	11	7	15	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0			0
Asian	0	0	14	5			108
Black/African American	5	1	0	9			22
Hispanic	0	27	35	35			152
Native Hawaiian or Other Pacific Islander	0	0	0	0			0
Other Ethnicity or Race / Multi-Cultural	1	1	1	4			21
White	0	3	1	6			23
Total Number Screened	6	32	51	59	0	0	326
Total Number Referred to RCOC	1	9	10	14			83



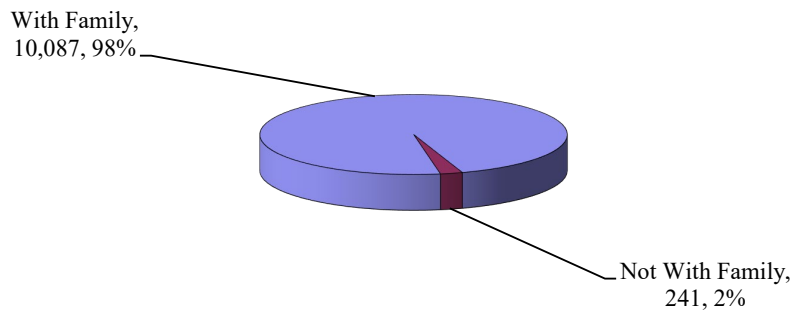
LIVING OPTIONS

Related Guiding Principles

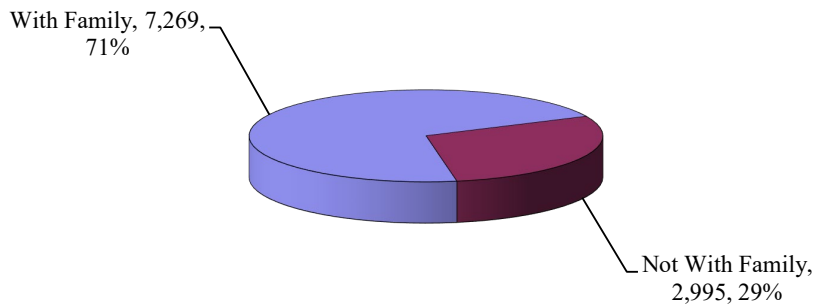
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264

Where Persons Served Under 18 Live



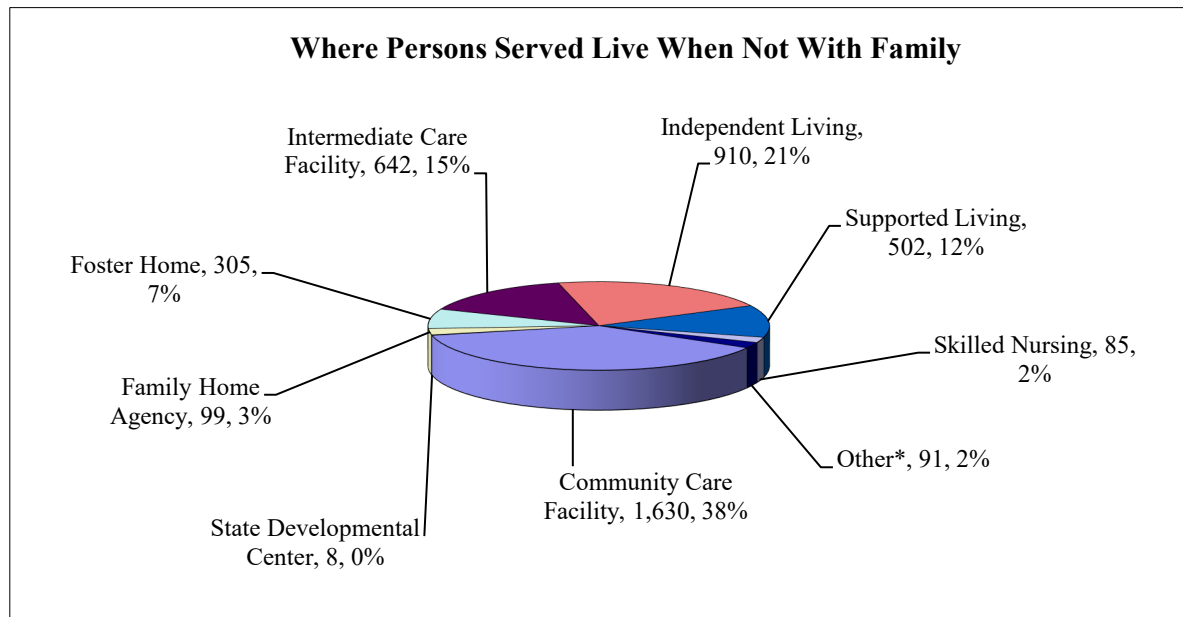
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,412	10,729	8,683
Community Care Facility	1,630	23	1,607
State Developmental Center	8	0	8
Family Home Agency	99	0	99
Foster Home	305	298	7
Intermediate Care Facility	642	4	638
Independent Living	910	0	910
Supported Living	502	0	502
Skilled Nursing	85	0	85
Other*	91	24	67
Total	23,684	11,078	12,606

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	3	1	2
Psychiatric Treatment	18	0	18
Rehabilitation Center	5	0	5
SDC / State Hospital	0	0	8
Sub-Acute	49	19	30
Transient / Homeless	22	0	22
Total, Other*	105	22	91



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

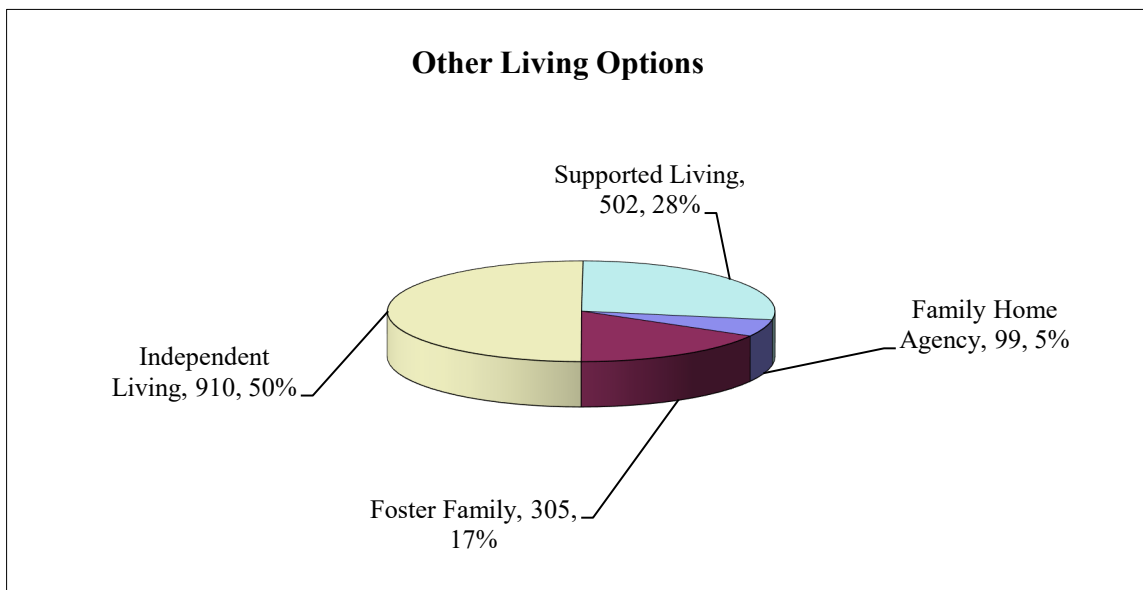
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	99	0	99
Foster Family	305	298	7
Independent Living	910	0	910
Supported Living	502	0	502
Total	1,816	298	1,518



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2021-22*

Licensed Facilities	Total	Over 18	Under 18
Level 2	210	210	0
Level 3	274	274	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	49	49	0
Level 4D	35	35	0
Level 4E	22	22	0
Level 4F	60	60	0
Level 4G	30	30	0
Level 4H	4	1	0
Level 4I	324	321	3
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	6	6	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,056	1,050	3

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	210	210	0
Level 3	274	274	0
Level 4	563	557	3
ICF/DD-H	2	2	0
ICF/DD-N	6	6	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,056	1,050	3

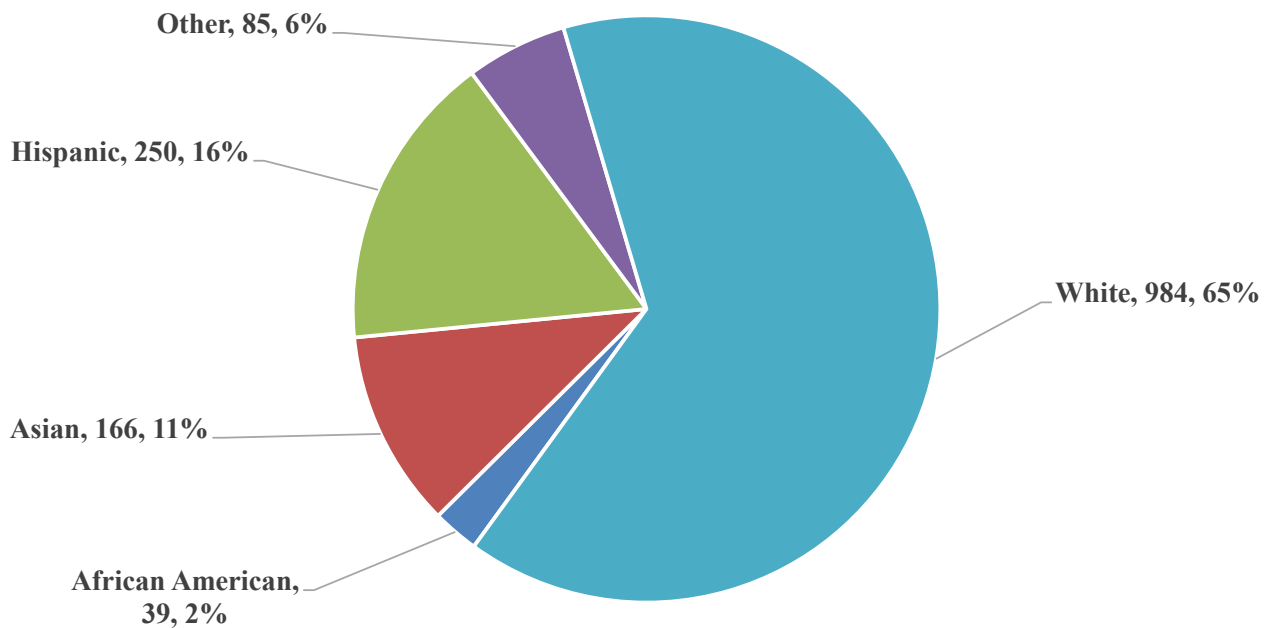
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

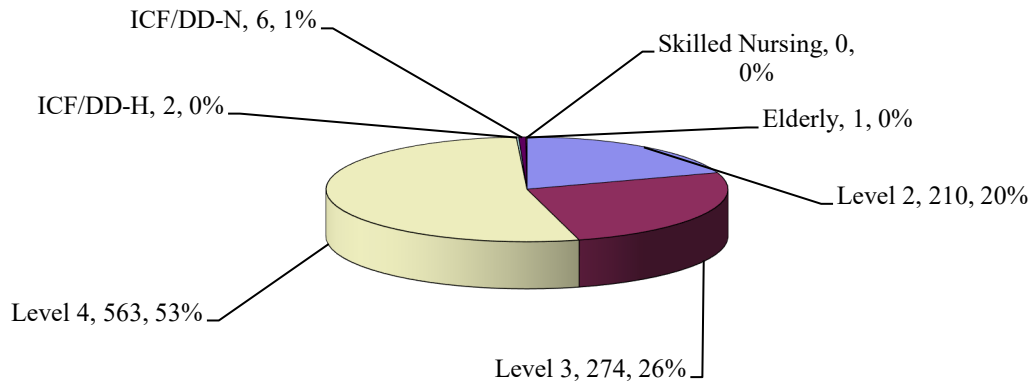
Fiscal Year 2021-22

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	8	26	53	11	225	323
Level 3	10	30	61	23	243	367
Level 4A	0	5	6	2	24	37
Level 4B	0	1	0	0	7	8
Level 4C	2	11	11	1	55	80
Level 4D	0	8	6	2	28	44
Level 4E	0	3	9	3	22	37
Level 4F	3	14	9	2	40	68
Level 4G	0	7	5	2	32	46
Level 4H	0	0	2	0	1	3
Level 4I	16	61	88	39	307	511
Total	39	166	250	85	984	1,524

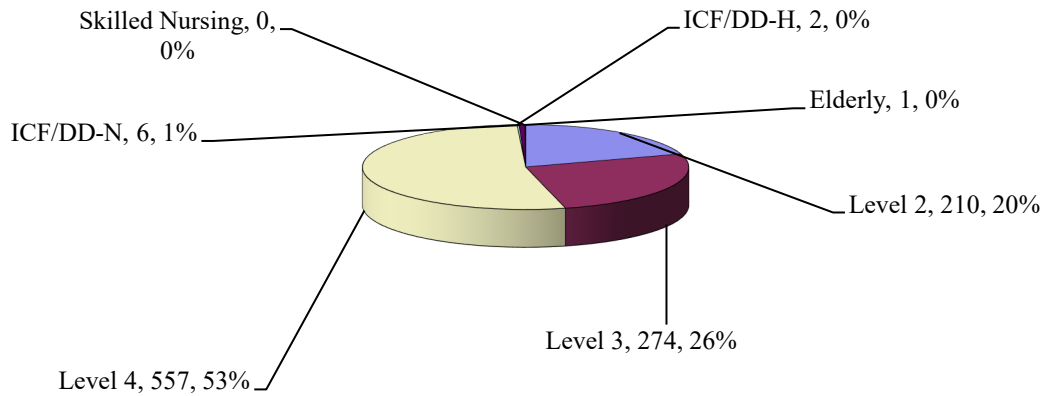
Residents in Community Care Licensed Facilities by Ethnicity



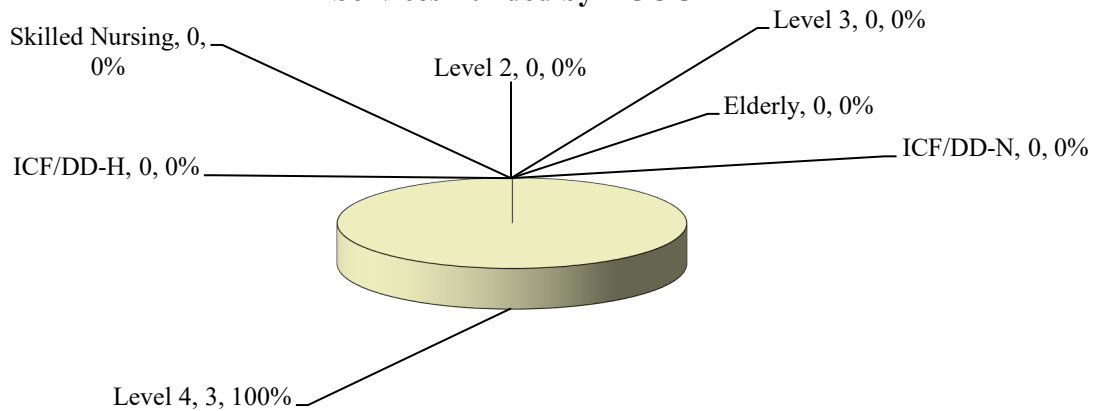
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

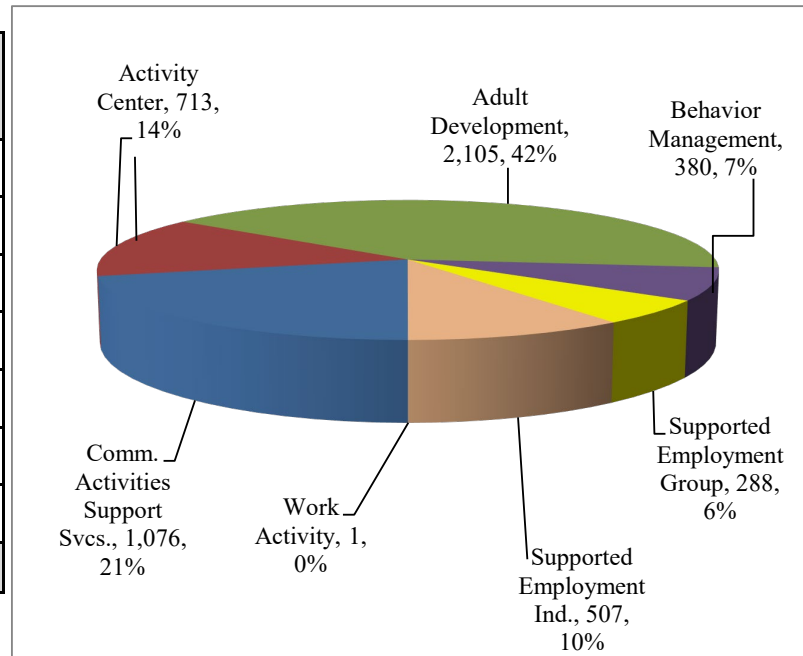


WORK

Related Guiding Principle

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,076
Activity Center	713
Adult Development	2,105
Behavior Management	380
Supported Employment Group	288
Supported Employment Ind.	507
Work Activity	1
Total	5,070



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2021-22

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5	302.5	299.5	310.4	320.6	313.0
Number of Case-Carrying SCs	280.8	275.8	273.9	284.7	295.0	287.3
Number of Intake SCs	25.7	25.7	25.7	25.7	25.7	25.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,943	23,016	23,145	23,251	23,318	23,448
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5	81.7	79.1	81.6

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	304.0	316.0	323.3	330.3		
Number of Case-Carrying SCs	278.4	290.4	297.7	304.7		
Number of Intake SCs	25.7	25.7	25.7	25.7		
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0		
Number of Active Persons Served	23,439	23,462	23,564	23,673		
Caseload Ratio, # of Active Persons Served/SCs	84.2	80.8	79.2	77.7		

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	9	6	14	10	13	13	7	12	17		
Eligibility - Lanterman	2	1	1	0	1	3	2	1	2	3		
Behavioral services	5	2	2	2	1	1	1	0	1	1		
Respite	2	2	2	5	4	2	2	1	2	4		
Day Care	0	0	0	0	0	0	0	0	0	0		
ILS/SLS	0	0	0	0	0	0	0	0	0	0		
Personal Assistance	2	1	1	3	3	2	2	1	3	3		
Other**	7	3	0	4	1	5	6	4	4	6		

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	3	2	0	2	1	6	6	2	8	8		
Eligibility - Lanterman	1	0	0	0	1	3	1	1	1	2		
Eligibility - Early Start	0	0	0	0	0	0	0	0	0	0		
Behavioral services	0	0	0	0	0	0	0	0	1	0		
Respite	1	1	0	1	0	0	1	0	2	2		
Day Care	0	0	0	0	0	0	0	0	0	0		
Social/Recreational	0	0	0	0	0	0	0	0	0	0		
Personal Assistance	0	0	0	1	0	0	1	0	3	2		
Other**	1	1	0	0	0	3	3	1	1	2		

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	8	3	2	4	5	3	6	3	5	9		
Number of Informal Meetings Held	4	3	2	3	3	2	4	0	4	9		
Number of Mediations Held	1	0	0	0	2	0	0	2	1	0		
Number of SLFHs Held	3	0	0	1	0	1	2	1	0	0		

Number of Requests in Scheduling*	0	3	4	7	1	9	6	3	2	1		
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	1	0	0	1	0	0	0		
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	10	3	0	2	4	1	0	1	4	7		
Withdrawn by Person Served/Family	5	2	0	0	0	0	0	0	0	0		
Settled in Informal	1	1	0	2	2	1	0	0	0	6		
Settled after further follow-up by RCOC	0	0	0	0	0	0	0	0	4	1		
Settled in Mediation	1	0	0	0	1	0	0	0	0	0		
SLFH Decision	3	0	0	0	1	0	0	1	0	0		

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	0	0	0	0	0	0	0	0		
RCOC	3	0	0	0	1	0	0	1	0	0		
Split	0	0	0	0	0	0	0	0	0	0		

ADMINISTRATION AND GOVERNANCE

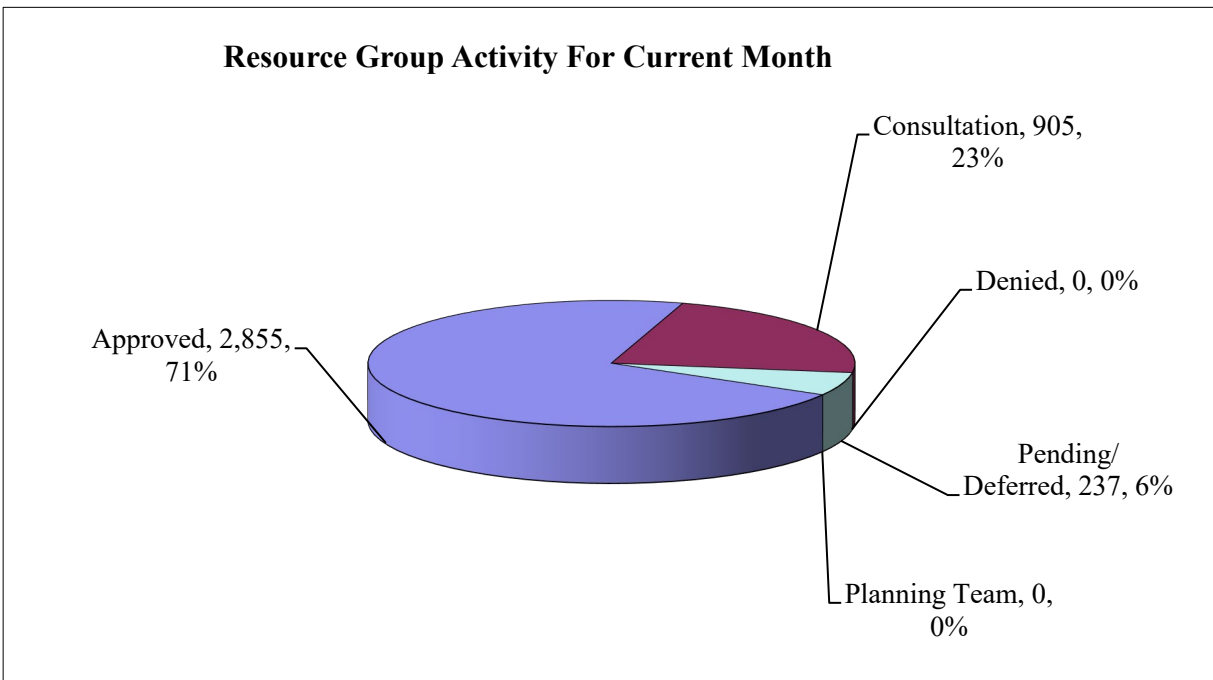
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for April 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	802	296	0	101	0	1,199
Behavioral	112	65	0	8	0	185
Education	0	0	0	0	0	0
Eligibility/Health	61	3	0	2	0	66
Early Start	599	115	0	42	0	866
Living Options	148	138	0	1	0	287
Supported/Ind.	316	139	0	30	0	485
All Others	817	149	0	53	0	1,019
Monthly Total	2,855	905	0	237	0	4,107

FY 2020-21 Total to Date	31,087	10,974	0	2,002	0	44,063
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Operations Report Summary - April 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,351	8,476	8,757	8	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,412	10,729	8,683
Community Care Facility	1,630	23	1,607
State Developmental Center	8	0	8
Family Home Agency	99	0	99
Foster Home	305	298	7
Intermediate Care Facility	642	4	638
Independent Living	910	0	910
Supported Living	502	0	502
Skilled Nursing	85	0	85
Other	91	24	67
Total	23,684	11,078	12,606

Special Incident Investigations	Year to Date
AWOL	47
Abuse	135
Neglect	143
Injury	221
Hospitalizations - Total	363
Death	142
Victim of crime	16
Arrest	4
Rights	232
Total	1,303

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	78	0	78
Level 3	79	0	79
Level 4	179	12	174
Total Community Care Facilities	336	12	331

Licensed Facility Monitoring	Year to Date
Annual Review	374
Unannounced	428
Total Number of Reviews	802
Provider Trainings	0
Technical Support	1,416
Corrective Action Plans	36

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
Total ICF Facilities	123

Number of Audits	2
Amount of Recovery from Audits	\$0

Total Licensed Facilities	459
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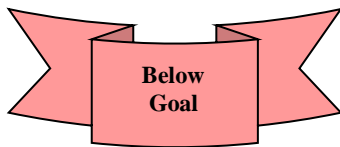
Performance Contract Summary

RCOC as of 5/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,669	9	0	0.03%	-9
Children in Foster Homes (FH)	11,065	298	273	2.69%	25
Children Own Home Parent/Guardian	11,065	10,720	10,850	96.88%	-130
Total # Children (FH,Parent/Guardian)	11,065	11,018	11,123	99.58%	-105
Adult FHA	12,595	99	112	0.79%	-13
Independent Living (IL)	12,595	909	902	7.22%	7
Adults Residing Own Home - Parent	12,595	8,678	8,575	68.90%	103
Supported Living (SL)	12,595	497	509	3.95%	-12
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,595	10,183	10,098	80.85%	85
Children Residing in a CCF (7+ beds)	11,065	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	11,065	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,065	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,065	0	0	0.00%	0
Adults Residing in a CCF (7+ beds)	12,595	122	115	0.97%	-7
Adults Residing in a ICF (7+ beds)	12,595	13	6	0.10%	-7
Adults Residing in a Nursing Facility (7+ beds)	12,595	80	75	0.64%	-5
Total Adults Residing in 7+ bed facilities	12,595	215	196	1.71%	-19
Total Individuals Over Age 3 with <=120 days	207	204	100%	98.55%	98.55%
Total Individuals Over Age 3 with 121-240 days	207	2	0%	0.97%	0.97%
Total Individuals Over Age 3 Over 240 days	207	1	0%	0.48%	0.48%
Adults with Integrated Employment Goal	12,595	57%	65%		
Total Number of Incentive Payments Made	12,595	336			
Avg. Wage per Hour After Incentive Payment	12,595	\$13.06			
Number of Persons Served with Earned Income	12,595	2,335			
Percentage of 16-64 Earned Income	12,595	20%			
Annual Earnings of 16-64	12,595	\$9,578			
Number of Adults in CIE After Paid Intern	12,595	11			
Percentage Adults Transitioned Internship to CIE	12,595	14%			
Total Annual Expenditures Race/Ethnicity	23,669				

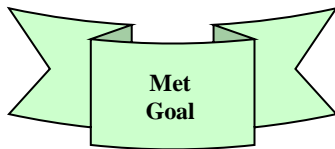
Performance Contract 2022 Cover Sheet



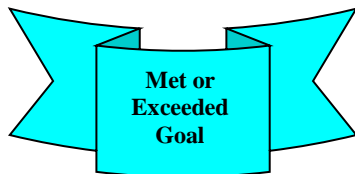
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	98.52
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2022

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 5/01/22	0.04%	23,669	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population			3.14%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2019-2020 and 2020-2021.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Below
Goal

Performance Contract 2022

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	Goal	%	# Attained
RCOC 5/01/22	2.69%	11,065	298	273	2.69%	25
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.80%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22		273			
Jun-22		273			
Jul-22		273			
Aug-22		273			
Sep-22		273			
Oct-22		273			
Nov-22		273			
Dec-22		273			

Exceeded Goal

Progress: B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 5/01/22	96.88%	11,065	10,720	10,850	96.88%	-130
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.75%

	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained	Below Goal
Jan-22	10,930	10,850	10,591	96.90%	-259	
Feb-22	10,949	10,850	10,604	96.85%	-246	
Mar-22	10,994	10,850	10,655	96.92%	-195	
Apr-22	11,065	10,850	10,720	96.88%	-130	
May-22		10,850				
Jun-22		10,850				
Jul-22		10,850				
Aug-22		10,850				
Sep-22		10,850				
Oct-22		10,850				
Nov-22		10,850				
Dec-22		10,850				

Progress: C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423	Goal	%	# Attained
RCOC 5/01/22	99.58%	11,065	11,018	11,123	99.58%	-105
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.72%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22		11,123			
Jun-22		11,123			
Jul-22		11,123			
Aug-22		11,123			
Sep-22		11,123			
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			

Below Goal

Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	Goal	%	# Attained
RCOC 5/01/22	0.79%	12,595	99	112	0.79%	-13
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.46%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22		112			
Jun-22		112			
Jul-22		112			
Aug-22		112			
Sep-22		112			
Oct-22		112			
Nov-22		112			
Dec-22		112			

Progress: B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	Goal	%	# Attained
RCOC 5/01/22	7.22%	12,595	909	902	7.22%	7
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.01%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22		902			
Jun-22		902			
Jul-22		902			
Aug-22		902			
Sep-22		902			
Oct-22		902			
Nov-22		902			
Dec-22		902			

Exceeded
Goal

Progress: C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 5/01/22	68.90%	12,595	8,678	8,575	68.90%	103
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22		8,575			
Jun-22		8,575			
Jul-22		8,575			
Aug-22		8,575			
Sep-22		8,575			
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			

Exceeded Goal

Progress: D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499	Goal	%	# Attained
RCOC 5/01/22	3.95%	12,595	497	509	3.95%	-12
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.34%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22		509			
Jun-22		509			
Jul-22		509			
Aug-22		509			
Sep-22		509			
Oct-22		509			
Nov-22		509			
Dec-22		509			

Progress: E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795	Goal	%	# Attained
RCOC 5/01/22	80.85%	12,595	10,183	10,098	80.85%	85
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.61%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22		10,098			
Jun-22		10,098			
Jul-22		10,098			
Aug-22		10,098			
Sep-22		10,098			
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			





Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 5/01/22	0.00%	11,065	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.55%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 5/01/22	0.00%	11,065	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0	Goal	%	# Attained
RCOC 5/01/22	0.00%	11,065	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 5/01/22	0.00%	11,065	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.39%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 5/01/22	0.97%	12,595	122	115	0.97%	-7
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		8.13%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22		115			
Jun-22		115			
Jul-22		115			
Aug-22		115			
Sep-22		115			
Oct-22		115			
Nov-22		115			
Dec-22		115			

Below Goal

Progress: B. During public meetings, RCOC had 10, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10	Goal	%	# Attained
RCOC 5/01/22	0.10%	12,595	13	6	0.10%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,504	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22	12,595	6	13	0.10%	-7
May-22		6			
Jun-22		6			
Jul-22		6			
Aug-22		6			
Sep-22		6			
Oct-22		6			
Nov-22		6			
Dec-22		6			

Below Goal

Progress: C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77	Goal	%	# Attained
RCOC 5/01/22	0.64%	12,595	80	75	0.64%	-5
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		7.82%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22		75			
Jun-22		75			
Jul-22		75			
Aug-22		75			
Sep-22		75			
Oct-22		75			
Nov-22		75			
Dec-22		75			

Below Goal

Progress: D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 5/01/22	1.71%	12,595	215	196	1.71%	-19
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.35%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22		196			
Jun-22		196			
Jul-22		196			
Aug-22		196			
Sep-22		196			
Oct-22		196			
Nov-22		196			
Dec-22		196			

Below
Goal

Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

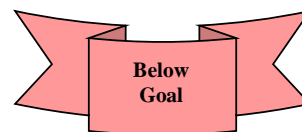
Progress: A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227	Goal	% Attained
RCOC 5/01/22	99.49%	207	204	100%	98.55%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22		100%		
Jun-22		100%		
Jul-22		100%		
Aug-22		100%		
Sep-22		100%		
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		

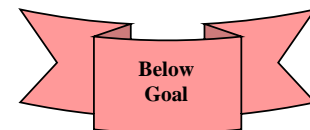


Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 5/01/22	0.97%	207	2	0%	0.97%

	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22		0.00%		
Jun-22		0.00%		
Jul-22		0.00%		
Aug-22		0.00%		
Sep-22		0.00%		
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		



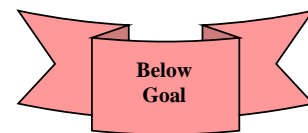
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	%
RCOC 5/01/22	0.48%	207	1	0%	0.48%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22	201	0%	0	0%
Feb-22	216	0%	0	0%
Mar-22	198	0%	0	0%
Apr-22	207	0%	1	0%
May-22		0%		
Jun-22		0%		
Jul-22		0%		
Aug-22		0%		
Sep-22		0%		
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		



Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 months, and 12 month, incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



Progress: B. Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

Progress: C. Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

Progress: A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
Totals	5,311 ↓	100.0%	\$40,687,467 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
Totals	10,047 ↑	100.0%	\$89,219,156 ↑	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
Totals	9,805 ↑	100.0%	\$416,789,360 ↑	



Progress: B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
Totals	5,311 ↓	202 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
Totals	10,047 ↑	3,594 ↑	35.8% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
Totals	9,805 ↑	1,665 ↑	17% ↑

Progress: C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: Board of Directors

FROM: John “Chip” Wright
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Sylvia Delgado for a One-Year Term Commencing August 1, 2022 and Ending July 31, 2023**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Ms. Sylvia Delgado will complete her second three-year term on July 31, 2022. The Executive Committee recommends that the Board renew Ms. Delgado’s membership for a one-year term, commencing August 1, 2022 and ending July 31, 2023.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Sylvia Delgado’s Board membership for a one-year term, commencing August 1, 2022 and ending July 31, 2023.

REGIONAL CENTER OF ORANGE
COUNTY BOARD OF DIRECTORS
AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: RCOC Board of Directors

FROM: John “Chip” Wright
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Sandy Martin as Treasurer for a Term Commencing July 1, 2022 and Ending December 31, 2022**

BACKGROUND:

RCOC’s Bylaws describe the Term of Office and Vacancies in Section 3.03, “An officer’s term shall be for eighteen months and shall commence January 1 or July 1, whichever date first follows the election of the officer. In the event an office becomes vacant then the Board shall elect a successor who shall serve the unexpired portion of the term.”

REASON FOR CURRENT ITEM:

On June 3, 2021, the Board approved the slate of officers for terms commencing July 1, 2021 and ending December 31, 2022, which included Mine Hagen as Treasurer. Ms. Hagen has submitted her resignation effective June 30, 2022. The Executive Committee has proposed that Sandy Martin fill the remaining six months of Ms. Hagen’s term. Ms. Martin’s term would commence July 1, 2022 and end December 31, 2022.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Martin as Treasurer.

AGENDA ITEM: III.F.2.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: Board of Directors

FROM: John “Chip” Wright
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Board of Directors’ Meeting Schedule for Fiscal Year 2022-23**

BACKGROUND:

The Board of Directors approves its meeting schedule annually.

REASON FOR CURRENT ITEM:

The proposed meeting schedule is attached.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve its meeting schedule for fiscal year 2022-23 as presented.

FY 2021-22 Meeting Schedule for RCOC Board of Directors

Revised May 26, 2022

Board of Directors Meeting 5-8 p.m. <i>Board Rooms</i>	Board of Directors Training 5-8 p.m. <i>Board Room C</i>	Board Packet Review 3-4 p.m. <i>Executive Board/Closed Session Room</i>	Budget & Finance 4-5 p.m. <i>Board Room C</i>	Peer Advisory 4-5:30 p.m. <i>Board Room C</i>	Executive 5-6 p.m. <i>Executive Board/Closed Session Room</i>	Legislative & Community Awareness 5-6 p.m. <i>Executive Board/Closed Session Room</i>	Policies & Outcomes 6-7 p.m. <i>Executive Board/Closed Session Room</i>	Board Recruitment & Training 5-6 p.m. <i>Executive Board/Closed Session Room</i>	Vendor Advisory 2-4 p.m. <i>Board Room C</i>
<i>Minimum of Six 1st Thursdays</i>	<i>1st Thursday</i>	<i>1st Thursday</i>	<i>1st Thursday</i>	<i>3rd Wednesday</i>	<i>3rd Monday</i>	<i>2nd Tuesday</i>	<i>3rd Monday</i>	<i>2nd Monday</i>	<i>2nd Tuesday</i>
Dark	7/16/23~	Dark	Dark	Dark	7/18/22	7/12/22	7/18/22	7/11/22	7/12/22
Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark
9/1/22	Dark	9/1/22	9/1/22	9/21/22	9/19/22	Dark	Dark	9/12/22	9/13/22
Dark	10/6/22	Dark	Dark	10/19/22	10/17/22	10/11/22	10/17/22	10/10/22	10/11/22
11/3/22	Dark	11/3/22	11/3/22	11/16/22	11/21/22	Dark	Dark	11/14/22	11/8/22
Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark
1/12/23*	Dark	1/12/23*	1/12/23*	1/18/23	1/17/23**	1/10/23	Dark	1/9/23	1/10/23
Dark	2/2/23	Dark	Dark	2/15/23	2/21/23**	Dark	2/21/23**	2/13/23	2/14/23
3/2/23	Dark	3/2/23	3/2/23	3/15/23	3/20/23	Dark	Dark	Dark	3/14/23
Dark	4/6/23	Dark	Dark	Dark	4/17/23	4/11/23	4/17/23	4/10/23	4/11/23
5/4/23	Dark	5/4/23	5/4/23	5/17/23	5/15/23	Dark	5/15/23	5/8/23	5/9/23
6/1/23	Dark	6/1/23	6/1/23	6/21/23	6/20/23**	Dark	Dark	Dark	6/13/23

*Scheduled on second Thursday due to holiday

**Scheduled for Tuesday due to Monday holiday

~Board Retreat

**Regional Center of Orange County
Board Recruitment and Training Committee
May 9, 2022
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Hilda Mendez (*joined at 5:09 p.m.*)
Chinh Nguyen
Sandy Martin (*joined at 5:08 p.m.*)
Fernando Peña

Committee Members Absent: Frances Hernandez

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technologies Director
Bonnie Ivers, Clinical Director
Keli Radford, Services and Supports Director

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure continuing compliance with the composition requirements of the Lanterman Act.

1. Review Board Applicant – B. Walker

Mr. Wright reported that the interview scheduled with Ms. Barbara Walker on May 17, 2022, will be rescheduled due to a scheduling conflict.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

Mr. Wright reported that the next Board training will be on October 6, 2022. The topic is *Vendor/Service Provider Overview*.

Board Recruitment and Training Committee Minutes
May 9, 2022

The Committee also reviewed the educational training topics for the upcoming Board trainings in February and April 2023. The Committee determined that the training topic for February 2023 will be on *Employment* and the April 2023 training will be on *Quality Assurance Compliance Audits and Process*.

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:48 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Policies and Outcomes Committee
May 16, 2022
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Mine Hagen
Sandy Martin
Chip Wright

Committee Member Absent: Meena Chockalingam
Liza Krassner

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Stacy Wong, Director of Human Resources

Corporate Counsel Present: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:34 p.m.

I. Governance Policies

A. Review of Ends Policies

The Committee reviewed and proposed format revisions to the policy.

B. Review of the Contract Policy

The Committee reviewed and proposed format revisions to the policy.

II. Outcomes

A. Person Centered Thinking (PCT) Update

Mr. Landauer reported RCOC will provide PCT training for new employees.

B. Health and Wellness Project Update

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that there were no changes to the information that was provided at the Board meeting on May 5, 2022.

C. Employment Update

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that there were no changes to the information that was provided at the Board meeting on May 5, 2022.

D. Housing Update

Mr. Jack Stanton reported that RCOC has not been able to compete with other buyers given the rapidly rising prices of homes in Orange County. The Department of Developmental Services recently authorized a higher purchase price, which will help.

E. National Core Indicators (NCI) Update

Mr. Landauer reported that DDS is now accepting public comments on its proposed quality measures and incentives for service providers.

III. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 5:39 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

SUBJECT: **Ends Policies**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on May 16, 2022, the Policies and Outcomes Committee reviewed the Ends Policies.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee proposed only formatting changes to the Ends Policies.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

I. ENDS POLICIES

A. Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

B. Vision Statement

Every person served by RCOC is a valued member of the community and achieves his or her individual potential.

C. Values Statements

1. RCOC is a conscientious steward of public funds and recognizes the importance of transparency and accountability to its stakeholders and the community it serves.
2. RCOC abides by the laws of local, state and federal governments at all times and also strives to comply with its own Policies, Procedures and Guiding Principles.
3. RCOC utilizes a person-centered planning framework that demonstrates an awareness of, and sensitivity to, the lifestyle and cultural background of the persons served and their families.
4. All information and records obtained by RCOC in the course of intake, assessment and ongoing delivery of services are confidential and disclosed only according to the conditions mandated by the Lanterman Developmental Disabilities Services Act.

D. Guiding Principles

Within the context of its mission, vision and values statements, RCOC shall use best efforts to follow the Guiding Principles set forth below.

1. Community Life

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize

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recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.

- Persons served have access to information about ways to live longer, experience better health, and practice health-promoting behaviors such as exercising regularly, refraining from smoking, and obtaining timely health checkups and screenings.
- Persons served and their families have knowledge of, access to and opportunity for participation in community activities and resources of their choosing.

2. Self-Advocacy/Self-Determination Supports

- Persons served make decisions about all aspects of their lives.
- Persons served choose the members of their Circle of Support.
- Persons served have the opportunity and choice to have relationships, get married and have a family.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with persons not paid to be with them.

3. Family Supports

- Families are informed advocates for their loved ones with developmental disabilities.
- Parents/Guardians are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- When preferred by the person served, RCOC supports the ongoing involvement of family members of adult children.

4. Early Intervention and Prevention

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.

- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

5. Living Options

- Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.
- Families whose minor or adult children choose to remain in the family home are supported through available resources.
- Persons served live in homes where they receive quality care and can form relationships.

6. Education/Lifelong Learning

- Persons served have the opportunity to be educated with their peers without disabilities in classrooms at their neighborhood schools.
- RCOC advocates for appropriate educational opportunities for the school-aged persons it serves.
- Persons served have lifelong learning opportunities to enhance and ensure full participation in community life.

7. Work

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

8. Socialization, Recreation and Leisure

- Leisure skills and socialization opportunities are important aspects of life and are considered essential in the development of the Person-Centered Plan.
- Persons served have the opportunity to participate in personally meaningful leisure activities of their choosing.
- Families provide and encourage the development of socialization skills and opportunities for their children.
- Integrated recreation and leisure activities in the community are a valuable part of developing socialization skills and building social networks.

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9. Service Planning and Coordination

- Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.
- Service coordinators inform families of their rights and the services and supports available to them.
- Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.
- Person-centered planning takes into account the choices and preferences of the persons served and their families, and the identification of generic resources and natural supports.
- Services and supports assist persons served and their families to develop support networks leading to reduced dependence on paid supports.
- Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.

10. Administration and Governance

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- RCOC's staffing pattern reflects and is sensitive to the cultural and ethnic characteristics of its service areas.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community input in decision making.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.
- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

SUBJECT: **Whistleblower Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on May 16, 2022, the Policies and Outcomes Committee reviewed the Whistleblower Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee proposed only formatting changes to the Whistleblower Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XIV. WHISTLEBLOWER POLICY

POLICY

It is the policy of the Regional Center of Orange County (RCOC) that employees, Board members, persons served, their families, and the vendor community have notice of and the opportunity to report alleged improper RCOC and alleged improper vendor/contractor activity through a whistleblower complaint process.

RCOC is contractually required to have a Board approved Whistleblower Policy, in accordance with Article I, Section 18, of RCOC's contract with the Department of Developmental Services (DDS). Improper regional center activity and improper vendor/contractor activity is defined in the contract as:

- *An "improper regional center activity" means an activity by a regional center, or an employee, officer, or board member of a regional center, in the conduct of regional center business, that is a violation of a state or federal law or regulation; violation of contract provisions; fraud or fiscal malfeasance; misuse of government property; or constitutes gross misconduct, incompetency, or inefficiency.*
- *An "improper vendor/contractor activity" means an activity by a vendor/contractor, or an employee, officer, or board member of a vendor/contractor, in the provision of DDS funded services, that is a violation of a state or federal law or regulation; violation of contract provisions; fraud or fiscal malfeasance; misuse of government property; or constitutes gross misconduct, incompetency, or inefficiency.*

RCOC and DDS have a variety of complaint and appeal processes available to vendor/contractors, agencies, facilities, parents, and persons served. These include: Consumer Rights Complaints, Early Start Complaints, Due Process Requests, Mediation Conference Requests, Lanterman Act, Fair Hearing Requests, Title 17 Complaints, Citizen Complaints and Comments, and Vendor Appeals. Each of these complaint and appeal processes has separate and distinct procedures for resolution. This separate policy relates only to RCOC and Vendor/Contractor Whistleblower Complaints as described above.

Nothing in this policy shall dissuade or prohibit complaints of alleged improper activity to be made using the foregoing complaint or appeal processes or other less formal means to make such complaints known.

Confidentiality

The investigating party will make every effort to maintain the confidentiality of a complainant making a whistleblower complaint if the complainant requests confidentiality. However, in the rare circumstances where the investigating party is unable to maintain confidentiality due to its statutory responsibilities (including ensuring the health and safety of persons served and RCOC contract compliance and

legally required disclosures), the investigating party will attempt to inform the complainant of its need to disclose certain information prior to releasing identifying information. Additionally, the identity of the complainant may be revealed to appropriate law enforcement agencies conducting a criminal investigation.

No Retaliation

This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns about alleged improper RCOC and/or vendor/contractor activities and to permit an investigating party the opportunity to investigate and take appropriate action.

No person who in good faith reports a violation of this policy shall suffer harassment, retaliation or adverse employment consequences from RCOC. RCOC's Executive Committee or its designee will investigate complaints of retaliation following an established process. An RCOC employee who harasses or retaliates against someone who has reported a violation in good faith is subject to discipline up to and including immediate termination of employment.

Notification Requirements

RCOC's Whistleblower Policy, along with the DDS Whistleblower Complaint Process are posted on RCOC's website. Notification of both RCOC's policy and DDS' Whistleblower Complaint Process will be provided annually to employees, Board members, persons served, their families and the vendor community.

PROCEDURE

A Whistleblower Complaint about alleged improper RCOC and/or vendor/contractor activity may be filed by contacting any of the following:

- RCOC's Senior Management which includes: Executive Director; Director of Services and Supports; Chief Financial Officer; Clinical Director; General Counsel; Human Resources Director and Information Technology Director.
- RCOC's Board of Directors Executive Committee which includes: RCOC Board Chairperson, Vice Chair, Secretary and Treasurer. Counsel to the Board may also be contacted.
- Members of RCOC's Board of Directors may be contacted by phone or e-mail through the RCOC executive assistants to the Board, or attendance at a Board meeting.
- Department of Developmental Services Community Operations Division or the Community Services and Supports Division (for Early Start program services).

However, to ensure proper and timely logging and investigation, it is recommended that whistleblower complaints be submitted to RCOC's Custodian of Records.

RCOC will utilize an established process to investigate and take appropriate action on complaints, including complaints of retaliation. An initial review process will determine the appropriate venue for the complaint which could result in referral to another entity or process as described in the "Policy" section.

A Whistleblower Complaint shall contain a clear and concise statement of the alleged improper activity and any evidence to support the allegation. If a name, or other information (e.g., witness or document), is not provided that clearly identifies the person alleged to have acted improperly, and where that person works, the investigating party may not have sufficient information to investigate. Original documents should be provided when submitting written information. The submitting party should keep copies of all submitted documents for their records.

Although complaints may be filed anonymously, if insufficient information is provided and the investigating party has no means to contact the complainant, it may not be possible to investigate the allegation.

RCOC employees with questions about this policy should contact the Human Resources Director or a member of the Executive Committee.

GUIDING PRINCIPLES

- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect careful stewardship.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

**Regional Center of Orange County
Vendor Advisory Committee
May 10, 2022
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent

Co-Chair, Atrem Behmanesh, present *(joined at 2:05 p.m.)*

Adult Day Programs

Chair, Rick Perez, present *(left at 2:08 p.m.)*

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present

Co-Chair, Mark Antenucci, present

Behavior Services

Chair, Junie Lazo-Pearson, present

Co-Chair, Cindy Hebert, present

Community Care Facilities

Chair, Member Pending

Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present

Co-Chair, Junie Lazo-Pearson, present

Habilitation

Chair, Jodean Hudson, present

Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Christine Molina, present *(joined at 2:03 p.m.)*

Co-Chair, Ana Sandoval, absent

Intermediate Care Facilities

Chair, Rich Mraule, absent

Co-Chair, Member Pending

Support Services/Allied Health

Chair, David Santana, absent

Co-Chair, Andrew Velasco, present

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Melissa Mungia, present

Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director

Vendor Advisory Committee Minutes
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Bette Baber, Chief Financial Officer
Liliana Castillo, Accounting Manager
Arturo Cazares, Associate Director of Employment
Keli Radford, Services and Supports Director
Jack Stanton, Associate Director of Housing
Marta Vasquez, Associate Finance Director
Sean Watson, Risk Management, QA Manager

Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

I. RCOC Update

Mr. Larry Landauer reported on the statewide surplus, caseload and the advocacy efforts to accelerate implementation of provider rate increases. Mr. Landauer also reported that the Department of Developmental Services (DDS) is now accepting public comments on its proposed quality measures and incentives for service providers.

Mr. Landauer mentioned that the May Revise is set to be released on May 13, 2022.

Mr. Mark Antenucci asked Mr. Landauer about the statewide surplus. Given such a large surplus, Mr. Antenucci asked how many IPP goals are unmet? Can we quantify how long persons served are waiting for services? This would be important information for the legislature.

A. Traditional Rate Increases Effective April 1, 2022

Ms. Marta Vasquez, RCOC's Director of Finance, reported that most of the rates are done. Rates for the following services are pending: Supported Living, Specialized Residential Facilities, Enhanced Behavioral Supports Homes and Adult Family Agencies.

Ms. Vasquez added that if a provider thinks that there is an error in the rate calculation, they may request a rate review.

B. Funding to Support Compliance with the HCBS Final Rule

Mr. Arturo Cazares, RCOC's Director of Community Services, reported that DDS will allocate the same amount for each regional center, from the remaining balance of funds available, to support compliance with the Home and Community-Based Services (HCBS) Final Rule.. After working with VAC to draft the plan, RCOC must submit it to DDS for approval by August 30, 2022.

II. Subcommittee Chair and Co-Chair Selections

Mr. Jack Stanton, RCOC's Associate Director of Housing, reminded the VAC subcommittees that the VAC Chair will present the subcommittee's nominees for chair and co-chair to the Board of Directors for approval; if approved, their terms will commence in June, in accordance with the VAC Commission. *[VAC Commission 5.4.2 Annually at the April VAC meeting, the representatives elected from each vendor category shall be reviewed and recommendations for appointments to the VAC shall be made to the RCOC Board of Directors at their May Board meeting. Terms of newly appointed VAC members shall commence in June.]*

Mr. Stanton also stated that the election of the new VAC Chair and Co-Chair will take place at the next VAC meeting on June 14, 2022.

III. Board Report

Mr. Landauer reported that there was a Board of Directors' meeting on May 5, 2022.

IV. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

V. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA's ACCESS ridership is up by 50% since January 2022. First Transit, OCTA's ACCESS subcontractor, is struggling to recruit and retain drivers, which has affected on-time performance.

VI. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Rick Perez reported that the subcommittee met and discussed rate increases and the difficulty of returning to traditional services. Mr. Perez also reported that they had a presentation by representatives from UCLA regarding the Self-Determined Learning Model of Instruction (SDLMI), which is designed to enable teachers and support personnel to teach students to self-direct their own learning in a variety of

Vendor Advisory Committee Minutes
May 10, 2022

situations to support positive student outcomes. Mr. Navarro will remain the Chair of Adult Behavior Management.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Mr. Mark Antenucci reported that their subcommittee met to discuss vendor rates.

D. Behavior Services – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that their subcommittee met and elected Ms. Cindy Hebert as Chair and Ms. Lazo-Pearson as Co-Chair. The subcommittee also discussed staffing shortages and concerns over the telehealth directives set to expire on June 30, 2022.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee did not meet; they continue to share information via email.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that their subcommittee met and discussed rates. The subcommittee also held their elections and nominated Ms. Junie Lazo-Pearson as the Chair and Ms. Pam Alexander, as Co-Chair.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that their subcommittee met to discuss staff shortages, pay for job coaches and referrals.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee will meet later in the day.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – David Santana (absent)

Mr. Andrew Velasco, Co-Chair, reported that the subcommittee met and discussed staffing challenges.

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VII. Community Forum

No community members were present at the meeting.

VIII. Adjournment

Ms. Bauer adjourned the meeting at 2:46 p.m.

The next VAC meeting is scheduled for June 14, 2022.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 2, 2022

TO: Board of Directors

FROM: Tiffany M. Bauer
Chair, Vendor Advisory Committee

SUBJECT: **Approval of Vendor Advisory Committee Members**

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

BACKGROUND:

RCOC's Board of Directors appoints the members of the Vendor Advisory Committee, pursuant to Welfare and Institutions Code §4622(i), which states:

"The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board."

REASON FOR CURRENT ITEM:

The following subcommittees of RCOC's Vendor Advisory Committee have met and submitted their nominations for committee membership. Terms of membership are for two years as follows:

Membership Category	Term of Membership
Adult Day Programs Rick Perez, Chair; no Co-Chair was nominated	June 2, 2022 to May 31, 2024
Behavior Services Cindy Hebert, Chair; Co-Chair Junie Lazo-Pearson	June 2, 2022 to May 31, 2024
Early Intervention Junie Lazo-Pearson, Chair; Co-Chair Pam Alexander	June 2, 2022 to May 31, 2024
Intermediate Care Facilities (ICF) Rich Mraule, Chair; no Co-Chair was nominated	June 2, 2022 to May 31, 2024
Respite – Allied Health Andrew Velasco, Chair; Alex Saldana, Co-Chair	June 2, 2022 to May 31, 2024

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Vendor Advisory Committee members as presented.

**Regional Center of Orange County
Peer Advisory Committee
May 18, 2022
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Cheryl Day
Steven Gersten
Amy Jessee
Yvonne Kluttz
Peter Kuo
Fernando Peña

Committee Members Absent: Marcell Bassett
Jyusse Corey, Peer Advocate
Kerri Adamic

Board Member Present: Chinh Nguyen

RCOC Staff Members Present: Larry Landauer, Executive Director

Ms. Sylvia Delgado called the meeting to order at 3:00 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

In Mr. Corey's absence, Ms. Delgado reported that the Supported Life Institute (SLI) will host a two-day conference on May 27th and 28th. The conference will be held in-person with limited space and will also be available virtually. For more information about the event topics, speakers and pricing, visit the SLI website at www.supportedlife.org.

III. RCOC's Caseload Ratio Plan of Correction FY 2021-22

Mr. Larry Landauer, RCOC's Executive Director, gave a presentation on RCOC's Caseload Ratio Plan of Correction for fiscal year 2021-22.

IV. Community Forum

No community members were present at the meeting.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for June 15, 2022.

The meeting was adjourned at 3:56 p.m.

Recorder: Sandra Lomeli