



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

**Date: Thursday, June 4, 2026
Time: 6:00 p.m.**

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	Greg Simonian, Esq.
		1. Real Estate Negotiations, Welfare & Institutions Code Sections 4663(a)(1)	
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	Sandy Martin
	B.	Community Forum for Agenda Items Only***	Sandy Martin
	C.	Budget and Finance Committee	Jacqueline Nguyen
	D.	Consent Calendar*	Sandy Martin
		1. Approval of Board of Directors' Minutes for May 7, 2026** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, April 2026** b. Approval of Budget Amendment B-5 for Fiscal Year 2025-26 c. Approval of Contract and C-1 Allocation for Fiscal Year 2026-27 d. Review of the Department of Developmental Services' (DDS') Audit Report for Fiscal Years Ended June 30, 2024 and June 30, 2025** e. Approval of New West Area Office Lease f. Approval of Budget Amendment	
	E.	Executive Director's Report	Larry Landauer
		1. Recognition of Persons' Served Employment Longevity 2. Approval of the Performance Contract for Fiscal Year 2026-2027** 3. Operations Report – April 2026** 4. Performance Contract Report – April 2026** 5. Strategic Plan Update	
	F.	Executive Committee	Sandy Martin
		1. Approval of the 2026 Strategic Plan** 2. Approval of Board of Directors' Meeting Schedule for Fiscal Year 2026-27**	
	G.	Board Recruitment and Training Committee**	Sandy Martin
	H.	Policies and Outcomes Committee**	Chip Wright
		1. Ends Policies** 2. Approval of the Whistleblower Policy**	
	I.	Vendor Advisory Committee**	Rick Perez
	J.	Peer Advisory Committee**	Yvonne Kluttz
	K.	Legislative and Community Awareness Committee	Bruce Hall
	L.	ARCA Report	Sandy Martin

	M.	Community Forum***	Sandy Martin
	N.	Chairperson's Report	Sandy Martin
	O.	Fiscal Year 2024-2025 Performance Contract Outcomes Review	Jack Stanton Anita Kwon
IV.		Adjournment	Sandy Martin

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

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Board Members Present: (Members in-person, unless otherwise noted)	Sandy Martin, Chairperson Marcell Bassett Amy Jessee Bruce Hall Lorena Medina (<i>joined via Zoom</i>) Hilda Mendez Chinh Nguyen Jacqueline Nguyen Rick Perez Chip Wright
Board Members Absent:	Pankaj Bedekar Frances Hernandez Yvonne Kluttz Liza Krassner
Corporate Counsel Present:	Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:02 p.m.

I. General Session

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance.
Mr. Marcell Bassett read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Jacqueline Nguyen reported that the Committee approved and recommended for approval all its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for March 5, 2026
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, February 2026
 - b. Approval of Monthly Sufficiency of Allocation Report, March 2026
 - c. Approval of Budget Amendment E-5 for Fiscal Year 2023-24

- d. Draft Form 990, Return of Organizational Exempt from Income Tax for Year Ended June 30, 2025
- e. Approval of Line of Credit for Fiscal Year 2025-26
- f. Approval of Management Letter for Audited Financials for the Year Ended June 30, 2025

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

- 1. Recognition of Persons' Served from the Orange County Children's Therapeutic Arts Center (OCCTAC)

The Board of Directors honored six persons served for their outstanding ensemble performance at the 2026 Spotlight Awards.

- 2. Approval of Conflict Resolution Plan for Board Member Liza Krassner

Board member Liza Krassner's son is served by RCOC and entered the Self-Determination Program (SDP) in January 2026. He receives services from Dromen, Inc., a Financial Management Service (FMS) vendor funded by RCOC. As of January 2, 2026, Board member Krassner has been employed by Dromen, Inc. to provide services exclusively to her son, including transportation and some support hours. This appears to be a conflict of interest for Board member Krassner as an employee of a vendor receiving payments for providing services to her son.

RCOC has developed a Conflict Resolution Plan to address the potential conflict of interest. If approved by the Board, the Conflict Resolution Plan will be submitted to the State Council on Developmental Disabilities (SCDD) and the Department of Developmental Services (DDS) for review and approval. Board member Krassner will not receive payment from Dromen, Inc. for providing transportation and support hours for her son during the review period.

M/S/C to Approve the Conflict Resolution Plan for Board Member Liza Krassner as presented

- 3. Approval of Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2/ 2425-13) (Ethelbee)

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH) to serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting.

The Board previously approved a property renovation agreement for \$450,000 with non-profit housing corporation Brilliant Corners on September 1, 2022. On September 7, 2023, the Board approved Amendment No. 1 to the Property Renovation Agreement, which increased funding to \$547,008 for renovation costs identified after the property was acquired.

RCOC requested and DDS approved an additional \$49,250 in CRDP funding for nine months of holding costs due to delays in the permitting process, for a total of \$596,258 in renovation funds for this project.

M/S/C to Approve Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2/ 2425-13) (Ethelbee) as presented with One Abstention by VAC Board Representative, Rick Perez

4. Approval of Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3/ 2425-12) (Chapman)

DDS made a special allocation to RCOC's CRDP to support development of an EBSH for individuals transitioning from, or at risk of placement in, restrictive settings. The Board previously approved a \$400,000 Property Renovation Agreement with Brilliant Corners on June 1, 2023, which was later amended to increase renovation funding to \$624,141 for additional renovation and asbestos-related costs. DDS has now approved an additional \$60,069 in CRDP funding to for holding costs associated with permitting delays, increasing total renovation funding for the project to \$684,210.

M/S/C to Approve Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3/ 2425-12) (Chapman) as presented with One Abstention by VAC Board Representative, Rick Perez

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Senate Bill No. 882 (SB 882) Advisory Council on Improving Interactions Between People with Intellectual and Developmental Disabilities and Law Enforcement (Council)*. Ms. Christine Petteruto reported that as General Counsel to RCOC, she was appointed to the Council by Governor Gavin Newsom. Ms. Petteruto reported that SB 882 (2022) was a California law that established a nine-member Advisory Council to improve law enforcement interactions with individuals with intellectual or developmental disabilities and mental health conditions. The Council operated under the California Department of Justice and met from April 15, 2024 to April 14, 2026. The Council evaluated peace officer training programs, identified gaps in current practices and worked to reduce harmful encounters and police use-of-force incidents involving these vulnerable populations. The Council released its comprehensive report and

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recommendations to the California Legislature on April 14, 2026, aimed at enhancing public safety and building trust between law enforcement and community members.

Ms. Petteruto stated that the full report of the Council is available on RCOC's website at www.rcocdd.com.

- *Trailer Bill Language (TBL)*. Mr. Landauer reported that Governor Newsom's FY 2026-27 budget includes several proposed changes in Trailer Bill Language. If approved, Trailer Bill Language changes will take effect July 1, 2026.
- *Legislative Analyst Office (LAO)*. Mr. Landauer reported that LAO released its report on *Understanding \$100 Billion in Spending Growth: Causes and Fiscal Implications* on April 28, 2026. The report focuses on General Fund spending that has grown to just over \$100 billion from \$146 billion in 2019-20 to \$248 billion under the Governor's budget proposal for FY 2026-27. The report assesses the causes and fiscal implications of this growth in order to inform decision-making going forward. To review the fiscal perspective, visit www.lao.ca.gov.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2025-26*. Mr. Landauer reported that there is a projected surplus statewide.
- *Senate Subcommittee No. 3 on Health and Human Services*. Mr. Landauer reported that the Senate Budget and Fiscal Review Committee's Subcommittee No. 3 on Health and Human Services held a session today, May 7, 2026 as part of the FY 2026-27 California State Budget process of the May Revise. The purpose of the hearing is to review and discuss budget proposals and policy issues under the health and human services agencies, including DDS, before the Governor's budget is finalized.
- *RCOC News*. Mr. Landauer reported that Cultural, Linguistic and Disparity efforts are ongoing. He then presented data by ethnicity for Purchase of Services (POSs) of day programs, personal assistance, social recreation and independent living services. Mr. Landauer noted that this data helps RCOC better support persons served and their families. Mr. Landauer added that RCOC Service Coordinators are 72% bilingual and receive extensive ongoing training across all service areas.
- *Legislative and Community Awareness*. Mr. Landauer reported on the following highlights that fall under RCOC's Strategic Goal No. 3: *Strengthen RCOC's public image and relationships within the general Orange County community and with policymakers to advance the mission (including advocacy for competitive vendor rates of pay)*:
 - *Jeena Yahaan 2026*. Mr. Landauer attend the annual Jeena Yahaan Performance on March 7, 2026 at the Lake Forest Performing Arts Center. The event is an opportunity for young and adult performers to showcase individual talent and perseverance.

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- *Orange County Board of Supervisors.* The Orange County Board of Supervisors recognized RCOC with a proclamation during the Developmental Disabilities Awareness Month Board meeting for its work raising awareness and providing services to individuals with developmental disabilities in the community.
- *2026 Spotlight Awards.* Mr. Landauer reported that RCOC hosted a successful Spotlight Awards ceremony on April 17, 2026, with approximately 400 attendees, including DDS' Director Pete Cervinka and Chief Deputy Yang Lee. Ms. Michele Gile, a reporter with CBS 2/KCAL 9, was special guest emcee.
- *Legislative Tours.* Mr. Landauer reported that on April 24, 2026, he and his team, along with Board Member Chinh Nguyen, toured with Assemblyman Tri Ta (District 70) and his staff through a few RCOC programs, including the Intervention Center for Early Childhood (ICEC), Dreams of America and Edwards life Sciences.
- *Special Olympics of Southern California (SOSC).* Mr. Landauer reported that the Special Olympics of Southern California held a fun-filled event on April 25, 2026 at Garden Grove Park. Board members, Ms. Sandy Martin and Mr. Bruce Hall and their families were in attendance.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee last met on April 13, 2026.

F. Executive Committee

Ms. Martin reported that the Committee met on April 20, 2026, and the next meeting is scheduled for May 18, 2026.

Ms. Martin also presented the following agenda item from the Executive Committee for approval:

1. Approval of Renewal of Board Membership for John "Chip" Wright for a Three-Year Term Commencing June 15, 2026 and Ending June 14, 2029

M/S/C to Approve the Renewal of Board Membership for John "Chip" Wright for a Three-Year Term Commencing June 15, 2026 and Ending June 14, 2029 as presented

2. Approval of Renewal of Board Membership for Yvonne Klutz for a Three-Year Term Commencing July 1, 2026 and Ending June 30, 2029

M/S/C to Approve Approval of Renewal of Board Membership for Yvonne Klutz for a Three-Year Term Commencing July 1, 2026 and Ending June 30, 2029 as presented

G. Board Recruitment and Training Committee

Ms. Martin reported that the Committee met on April 13, 2026, and reviewed the Board composition and upcoming training topics.

Ms. Martin also presented the following agenda item from the Board Recruitment and Training Committee for approval:

1. Approval of Board Membership for Isabel Ibarra for a One-Year Term Commencing June 1, 2026 and Ending May 31, 2027

M/S/C to Approve Board Membership for Isabel Ibarra for a One-Year Term Commencing June 1, 2026 and Ending May 31, 2027 as presented

The next Committee meeting is scheduled for May 11, 2026.

H. Policies and Outcomes Committee

Mr. Chip Wright reported that the Committee met on April 20, 2026 and reviewed the Transportation Policy and the Employment First Policy. Revisions were proposed for the Employment First Policy.

1. Approval of the Employment First Policy

M/S/C to Approve the Employment First Policy as recommended

The next Committee meeting is scheduled for July 20, 2026.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on April 14, 2026 and is scheduled to meet next on May 12, 2026.

Mr. Perez presented the following agenda item for approval:

1. Approval of Vendor Advisory Committee Members

Adult Day Programs Subcommittee

Chair: Rick Perez, Abilities Unlimited

Co-Chair: *Pending*

Behavior Services Subcommittee

Chair: Cindy Hebert, Sage Behavior Services

Co-Chair: Junie Lazo-Pearson, Advanced Behavior Health, Inc.

Early Intervention Subcommittee

Chair: Junie Lazo-Pearson, Advanced Behavior Health, Inc.

Co-Chair: Pam Alexander, ICEF

Intermediate Care Facilities (ICF) Subcommittee

Chair: Rich Mraule, David's Home

Co-Chair: *Pending*

Supported Services/Allied Health Subcommittee

Chair: Kelly Araujo, BHH Respite Services

Co-Chair: Mary McFadden, Seniors Helping Seniors

M/S/C to approve the Vendor Advisory Committee Members as presented

J. Peer Advisory Committee

In Ms. Yvonne Kluttz's absence, Ms. Amy Jessee reported that the next Committee meeting is scheduled for May 20, 2026 with a presentation from Ms. Leah Saitz, PCT Coordinator, on Person-Centered Planning & DDS Guides.

K. Legislative and Community Awareness Report

Mr. Bruce Hall reported that the Committee met on April 14, 2026. The Committee received updates on legislative outreach efforts as well as community events, including disparity-focused activities.

The next Committee meeting is scheduled for July 14, 2026.

L. ARCA Report

Ms. Martin reported that the ARCA Board of Directors met on March 19, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for June 25, 2026.

M. Community Forum

There were no speakers for community forum.

N. Chairperson's Report

Ms. Martin again acknowledged and congratulated the six persons served recognized from the Orange County Children's Therapeutic Arts Center (OCCTAC) for their outstanding ensemble performance at the 2026 Spotlight Awards.

Ms. Martin encouraged everyone to visit RCOC's website and to learn more about available services and the many events occurring within our community.

O. Performance Contract Proposal for FY 2026 – 2027

Mr. Jack Stanton, Associate Director of Housing and Mr. Arturo Cazares, Associate Executive Director, presented the annual Performance Contract for Fiscal Year 2026-

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2027.

II. Adjournment

Ms. Martin adjourned the meeting at 7:21 p.m.

Yvonne Kluttz, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
May 7, 2026**

Committee Members Present: Jacqueline Nguyen, Chair *(joined via Zoom)*
Marcell Bassett *(arrived at 4:13 p.m.)*
Bruce Hall
Amy Jessee
Sandy Martin
John “Chip” Wright

Committee Members Absent: Liza Krassner
Yvonne Kluttz

Board Counsel Present: Greg E. Simonian

RCOC Staff Present: Larry Landauer, Executive Director
Alireza Arabian, Operations Coordinator
Bianca Borja, Accounting Supervisor
Arturo Cazares, Associate Executive Director
Valeria de los Angeles, Accounting Supervisor
Nancy Franco, Accounting Manager – Operations
Jennifer Montanez, Director, Case Management
Christina Petteruto, General Counsel
Linda Pham, Accounting Manager - Operations
Julie Rodriguez Accounting Supervisor
Marta Vasquez, Chief Financial Officer
Stacy Wong, HR Director

Guest: Tom Huey, CPA, Partner, Windes *(joined via Zoom)*

The meeting was called to order at 4:10 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), February 2026 and March 2026

Ms. Vasquez reported that due to the continued increase in workload resulting from the implementation of the Rate Models, submission of the Sufficiency of Allocation Report (SOAR) typically due to the Department of Developmental Services (DDS) on December 10, 2025, was postponed until further notice.

Ms. Vasquez also reported that factors affecting expenditures in fiscal year 2025-26 include:

- Continuation costs for higher provider rates (prior year’s rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation

- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

M/S/C to approve the monthly SOARs

2. Approval of Budget Amendment E-5 for Fiscal Year 2023-24

Ms. Vasquez reported that DDS will allocate additional funds for Purchase of Services and the Community Placement Plan (CPP) and requested Board approval to execute the contract upon receipt.

M/S/C to approve Budget Amendment

3. Draft Form 990, Return of Organization Exempt from Income Tax for Year Ended June 30, 2025

Ms. Vasquez reported that a draft of the 990 will be sent to the Board prior to filing.

4. Approval of Line of Credit for Fiscal Year 2025-26

Ms. Vasquez reported that U.S. Bank updated the pricing for the line of credit. The new pricing will result in a reduced cost if RCOC draws on the line. The credit line commitment from U.S. Bank remains at \$85 million, the new term is from May 11, 2026 to September 30, 2026.

M/S/C to approve the Line of Credit.

5. Approval of Management Letter for Audited Financials for the Year Ended June 30, 2025

Mr. Tom Huey, a partner with Windes, Inc. presented the management letter.

M/S/C to approve the Management Letter for Audited Financials for the Year Ended June 30, 2025

The meeting adjourned at 4:38 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, April 2026

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: June 4, 2026
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – April 2026 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

As previously reported, submission of the Sufficiency of Allocation Report (SOAR) typically due on December 10, 2025, was postponed until further notice. The postponement is a result of the continued increase in workload from the full implementation of the Rate Model increases and the transition to new service codes and subcodes.

Factors that will affect expenditures in this fiscal year:

- Continuation costs for higher provider rates (prior years' rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

Year to date, RCOC's caseload increased by 1,228 for an annualized caseload growth of 5.3%; the regional center system increased 25,919 persons for an annualized caseload growth of 6.6%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

**Monthly Sufficiency of Allocation Report
As of April 30, 2026**

	A	B	C	D	E	F	G	H
		ACTUAL	PROJECTED	SOAR	VARIANCE			
	B-4	SPENT	EXPENDITURES	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
				n/a	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	264,895,529	\$ 216,877,534	\$ 264,216,285	\$0	n/a	n/a	n/a	\$235,482,353
(2) Day Care	1,950,752	929,106	1,812,492	0	n/a	n/a	n/a	1,384,204
(3) Day Training	79,532,460	63,908,803	79,142,590	0	n/a	n/a	n/a	99,482,232
(4) Habilitation	9,891,592	7,552,727	9,831,972	0	n/a	n/a	n/a	8,766,557
(5) Transportation	30,743,796	17,275,508	30,044,362	0	n/a	n/a	n/a	19,400,986
(6) Respite	101,679,592	69,441,097	99,201,567	0	n/a	n/a	n/a	82,978,677
(7) Personal Assistance	87,597,520	61,869,069	85,238,671	0	n/a	n/a	n/a	71,848,009
(8) Supported Living	94,318,236	80,406,047	95,487,256	0	n/a	n/a	n/a	78,144,108
(9) Non-medical	93,004,404	76,488,476	92,340,212	0	n/a	n/a	n/a	41,587,868
(10) Medical	94,369,172	71,051,207	94,211,545	0	n/a	n/a	n/a	19,646,741
(11) Other	64,952,680	48,826,528	64,742,358	0	n/a	n/a	n/a	89,116,152
(12) Early Start (Age 0-3)	42,163,144	27,633,333	36,844,444	0	n/a	n/a	n/a	40,117,726
(13) Community Placement Plan	110,000	100,000	100,000	0	n/a	n/a	n/a	80,000
(14) Purchase of Service Total	965,208,877	742,359,435	953,213,755	0	0%	0	0	788,035,613
<u>OPERATIONS</u>						\$0 If all SPA receivables are paid.		
(15) Operating Expense (Gross)	14,029,590	8,382,802	10,059,362	14,029,590	0%	0	0	8,936,479
(16) Less Interest Income and SPA Fees	-600,000	-1,853,841	-2,224,610	-600,000	0%	0	0	-2,841,035
(17) Operating Expense (Net)	13,429,590	6,528,961	7,834,753	13,429,590	0%	0	0	6,095,443
(18) Personal Services	71,394,439	56,636,005	67,963,206	71,394,439	0%	0	0	58,081,996
(19) Family Resource Center/Services	295,515	176,687	212,024	295,515	0%	0	0	219,900
(20) Operations Total	85,119,544	63,341,652	76,009,982	85,119,544	0%	0	0	64,397,339
(21) Total	\$1,050,328,421	\$805,701,087	\$1,029,223,737	\$85,119,544	0%	\$0	\$0	\$852,432,952

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

***Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.

Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added .

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF APRIL 30, 2026

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	113,022,239.46	\$54,960.81
Savings	44,875.26	
Money market	0.00	
Payroll	431,393.98	
Donations	224,714.22	
Unemployment	774,627.21	
Certificate of deposit	0.00	
	-----	-----
Total current assets	114,498,150.13	54,960.81
	-----	-----
RECEIVABLES		
State claim	179,174,351.04	
Client support revenue	4,304.20	291.00
Due from State - prior years	6,617,157.01	
Due from ICF - ICF Supplemental Services	4,572,686.83	
	-----	-----
Total receivables	190,368,499.08	291.00
	-----	-----
PREPAID ITEMS		
Deposits	343,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	343,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	81,917.99	
Building acquisition	63,613.98	
	-----	-----
Total other assets	145,531.97	0.00
	-----	-----
TOTAL ASSETS	\$305,355,764.04	\$55,251.81
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$54,206,540.04	\$4,304.20
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	250,219,805.19	
Unemployment insurance	704,704.59	
	-----	-----
Total liabilities	305,131,049.82	4,304.20
	-----	-----
FUND BALANCES		
General	0.00	
Donations	224,714.22	
Custodial	0.00	50,947.61
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$305,355,764.04	\$55,251.81
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
APRIL 30, 2026

Beginning Balance		\$224,604.99
Donations	\$0.00	
Loan Payments	100.00	
Interest	9.23	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>109.23</u>
Ending Balance		<u><u>\$224,714.22</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Budget Amendment B-5 for Fiscal Year 2025-26

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., B-1, B-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services will allocate additional funds for Operations and the Community Placement Program (CPP)/Community Resource Developmental Plan (CRDP) funds.

FISCAL IMPACT:

Operations	\$193,806
CPP/CRDP Start-Up.....	\$2,800,000
Total	\$2,993,806

RECOMMENDATION:

That the Board authorize the Chairperson to execute the contract upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Contract and C-1 Allocation for Fiscal Year 2026-27

BACKGROUND:

Each year, the Association of Regional Centers (ARCA) and the Department of Developmental Services (DDS) negotiate a standard contract for adoption by regional centers and DDS. The planning allocation is the placeholder budget that will be used to determine the sufficiency or deficiency of the allocation.

REASON FOR CURRENT ITEM:

The C-1 allocation allows regional centers to make cash advance requests for operating funds in the new fiscal year. In order to prevent any disruption in cash flow as well as the expense of drawing on its line of credit, RCOC must return the signed contract and submit the cash advance requests to DDS as soon as the contract is received.

FISCAL IMPACT:

DDS has informed regional centers that they will send the C-1 allocation numbers in June 2026. For reference, the planning allocation for 2025-26 was:

Purchase of Service.....	\$690,604,252
Operations	\$79,640,279
Total	\$770,244,531

RECOMMENDATION:

That the Board authorize the Chairperson to execute the contract upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Review of the Department of Developmental Services' (DDS') Audit Report for the Fiscal Years Ended June 30, 2024 and June 30, 2025

BACKGROUND:

The Department of Developmental Services (DDS) conducted an audit under the authority of the Welfare and Institutions Code, Section 4780.5.

REASON FOR CURRENT ITEM:

The Board reviews RCOC's compliance with federal and state requirements.

FISCAL IMPACT:

None

RECOMMENDATION:

This is an information item; no action is required.



PETE CERVINKA
DIRECTOR

CALIFORNIA HEALTH & HUMAN SERVICES AGENCY
DEPARTMENT OF DEVELOPMENTAL SERVICES

1215 O Street, Sacramento, CA 95814 • www.dds.ca.gov



GAVIN NEWSOM
GOVERNOR

May 20, 2026

Sandy Martin, Board Chairperson
Regional Center of Orange County, Inc.
P.O. Box 22010
Santa Ana, CA 92702-2010

Dear Sandy Martin:

The Department of Developmental Services' (Department) Audit Services Branch has completed the audit of the Regional Center of Orange County (RCOC). The period of review was from July 1, 2023, through June 30, 2025, with follow-up as needed into prior and subsequent periods. The enclosed report discusses the areas reviewed along with the findings and recommendations and includes the response submitted by RCOC in Appendix B.

If there is a disagreement with the audit findings, a written "Statement of Disputed Issues" may be filed with the Department's Audit Appeals Unit, pursuant to California Code of Regulations, Title 17, Section 50730, Request for Administrative Review (excerpt enclosed). The "Statement of Disputed Issues" must be filed and submitted within 30 days of receipt of this audit report to the address below:

Office of Legal Affairs
Department of Developmental Services
P.O. Box 944202
Sacramento, CA 94299-9974

The cooperation of RCOC's staff in completing the audit is appreciated.

Sandy Martin, Board Chairperson
May 20, 2026
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If you have any questions regarding the audit report, please contact Edward Yan,
Branch Chief, Audit Services Branch, at (916) 651-8207, or via email at
Ed.Yan@dds.ca.gov.

Sincerely,

Jim Knight

JIM KNIGHT
Deputy Director
Administration Division

Attachment(s)

cc: See next page

Sandy Martin, Board Chairperson
May 20, 2026
Page 3

cc: Larry Landauer, Regional Center of Orange County
Marta Vasquez, Regional Center of Orange County
Carla Castañeda, Department of Developmental Services
Dr. Michi A. Gates, Department of Developmental Services
Hiren Patel, Department of Developmental Services
Ernie Cruz, Department of Developmental Services
Jacqueline Gaytan, Department of Developmental Services
Edward Yan, Department of Developmental Services
Luciah Ellen Nzima, Department of Developmental Services
Dong Le, Department of Developmental Services

California Code of Regulations
Title 17, Division 2
Chapter 1 - General Provisions
Subchapter 7 - Fiscal Audit Appeals
Article 2 - Administrative Review

§50730. Request for Administrative Review.

a) An individual, entity, or organization which disagrees with any portion or aspect of an audit report issued by the Department or regional center may request an administrative review. The appellant's written request shall be submitted to the Department within 30 days after the receipt of the audit report. The request may be amended at any time during the 30-day period.

(b) If the appellant does not submit the written request within the 30-day period, the appeals review officer shall deny such request, and all audit exceptions or findings in the report shall be deemed final unless the appellant establishes good cause for late filing.

(c) The request shall be known as a "Statement of Disputed Issues." It shall be in writing, signed by the appellant or his/her authorized agent, and shall state the address of the appellant and of the agent, if any agent has been designated. An appellant shall specify the name and address of the individual authorized on behalf of the appellant to receive any and all documents, including the final decision of the Director, relating to proceedings conducted pursuant to this subchapter. The Statement of Disputed Issues need not be formal, but it shall be both complete and specific as to each audit exception or finding being protested. In addition, it shall set forth all of the appellant's contentions as to those exceptions or findings, and the estimated dollar amount of each exception or finding being appealed.

(d) If the appeals review officer determines that a Statement of Disputed Issues fails to state the grounds upon which objections to the audit report are based, with sufficient completeness and specificity for full resolution of the issues presented, he/she shall notify the appellant, in writing, that it does not comply with the requirements of this subchapter.

(e) The appellant has 15 days after the date of mailing of such notice within which to file an amended Statement of Disputed Issues. If the appellant does not amend his/her appeal to correct the stated deficiencies within the time permitted, all audit exceptions or findings affected shall be dismissed from the appeal, unless good cause is shown for the noncompliance.

(f) The appellant shall attach to the Statement of Disputed Issues all documents which he/she intends to introduce into evidence in support of stated contentions. An appellant that is unable to locate, prepare, or compile such documents within the appeal period specified in Subsection (a) above, shall include a statement to this effect in the Statement of Disputed Issues. The appellant shall have an additional 30 days after the expiration of the initial 30-day period in which to submit the documents. Documents that are not submitted within this period shall not be accepted into evidence at any stage of the appeal process unless good cause is shown for the failure to present the documents within the prescribed period.



PETE CERVINKA
DIRECTOR

CALIFORNIA HEALTH & HUMAN SERVICES AGENCY
DEPARTMENT OF DEVELOPMENTAL SERVICES
1215 O Street, Sacramento, CA 95814 • www.dds.ca.gov



GAVIN NEWSOM
GOVERNOR

AUDIT OF THE REGIONAL CENTER OF ORANGE COUNTY FOR FISCAL YEARS 2023-24 AND 2024-25

May 20, 2026

DEPARTMENT TEAM

Jim Knight, Deputy Director, Administration
Edward Yan, Branch Chief, Audit Services Branch
Luciah Ellen Nzima, Section Chief, Regional Center Audit Services Section
Dong Le, Supervisor, Regional Center Audit Services Section
Audit Staff: Meera Ramakrishnan, Rajiv Raman, and Shoua Vue

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RESTRICTED USE

This audit report is solely for the information and use of the Department of Developmental Services (Department), the Centers of Medicare and Medicaid Services, the Department of Health Care Services, and the Regional Center. This restriction does not limit distribution of this audit report, which is a matter of public record.

EXECUTIVE SUMMARY

The Department conducted a fiscal compliance audit of Regional Center of Orange County (RCOC) to assess compliance with the requirements set forth in the Lanterman Developmental Disabilities Services Act and Related Laws/Welfare and Institutions Code (WIC); the Home and Community-based Services (HCBS) Waiver for the Developmentally Disabled; California Code of Regulations (CCR), Title 17; Federal Office of Management and Budget (OMB) Circulars A-122 and A-133; and the contract with the Department. Overall, the audit indicated that the Regional Center maintains accounting records and supporting documentation for transactions in an organized manner.

The audit period was July 1, 2023, through June 30, 2025, with follow-up, as needed, into prior and subsequent periods. This report identifies some areas where the Regional Center's administrative and operational controls could be strengthened, but none of the findings were of a nature that would indicate systemic issues or constitute major concerns regarding the Regional Center's operations.

A follow-up review was performed to determine whether the Regional Center has taken corrective action to resolve the finding identified in the prior Department audit report. The results of the follow-up can be found in the Conclusions section.

Finding that needs to be addressed:

Finding 1: Financial Statement Audit Reports Not Submitted Timely – RCOC did not submit the reports for fiscal years 2022-23 and 2023-24 to the Department before the April 1st deadline.

Findings that have been corrected:

Finding 2: Overstated Claims – RCOC overpaid three vendors a total of \$25,005.76 due to either duplicate payments or overlapping authorizations. RCOC has since recovered the overpaid amount from the vendors.

Finding 3: Rate Reform Implementation Issue – RCOC needs to adjust the rate paid to one vendor. However, RCOC subsequently adjusted the rate for the vendor after audit fieldwork.

BACKGROUND

The Department and Regional Center of Orange County, Inc. entered into State Contract HD199014, effective July 1, 2019, through June 30, 2026. This contract specifies that Regional Center of Orange County, Inc. will operate an agency known as RCOC to provide services to individuals with intellectual and developmental disabilities and their families. The contract is funded by State and federal funds that are dependent upon the Regional Center performing certain tasks, providing services to eligible individuals, and submitting billings to the Department.

This audit was conducted from October 14, 2025, through November 20, 2025, by the Audit Services Branch of the Department.

AUTHORITY

The audit was conducted under the authority of the WIC, Section 4780.5 and the State Contract between the Department and the Regional Center.

CRITERIA

The following criteria were used for this audit:

- WIC,
- Approved Application for the HCBS Waiver for the Developmentally Disabled,
- CCR, Title 17,
- OMB Circulars A-122 and A-133, and
- The State Contract between the Department and the Regional Center, effective July 1, 2019.

VIEWS OF RESPONSIBLE OFFICIALS

The Department issued the draft audit report on March 26, 2026. The findings in the draft audit report were discussed at a formal exit conference on April 7, 2026. The views of responsible officials are included in this final audit report.

CONCLUSIONS

Based upon the audit procedures performed, the Department has determined that except for the items identified in the Findings and Recommendations section, the Regional Center was in compliance with applicable audit criteria.

The costs claimed during the audit period were for program purposes and adequately supported.

From our review of the prior Department audit finding, it has been determined that the Regional Center has taken appropriate corrective action to resolve the finding.

FINDINGS AND RECOMMENDATIONS

Finding that needs to be addressed.

Finding 1: Financial Statement Audit Reports Not Submitted Timely

The review of RCOC's financial statement audit reports for fiscal years 2022-23 and 2023-24 revealed RCOC did not submit the reports to the Department before the April 1st deadline. The fiscal years 2022-23 and 2023-24 financial statement audit reports were submitted to the Department on June 4, 2024, and June 11, 2025, respectively.

W&I Code, Section 4639(a) states:

- (a) "The governing board of a regional center shall annually contract with an independent accounting firm for an audited financial statement. The audit report and accompanying management letter shall be reviewed and approved by the regional center board and submitted to the department within 60 days of completion and before April 1 of each year. Upon submission to the department, the audit report and accompanying management letter shall be made available to the public by the regional center. It is the intent of the Legislature that no additional funds be appropriated for this purpose."

Recommendation:

RCOC must submit all financial statement audit reports to the Department within sixty days of completion and before April 1st of each year.

Findings that have been corrected.

Finding 2: Overstated Claims

The review of the Operational Indicator Reports revealed three instances in which RCOC overpaid three vendors a total of \$25,005.76 due to either duplicate payments or overlapping authorizations. RCOC stated that Operational Indicator Reports are reviewed on a quarterly basis but was unable to provide written procedures documenting this process. RCOC indicated this was an oversight on its part that its staff did not identify the erroneous payments. RCOC has since recovered the full amount from the vendors.

CCR, Title 17, Section 57300(c)(2) states:

“(c) Regional Centers shall not reimburse vendors:
(2) For services in an amount greater than the rate established pursuant to these regulations.”

Recommendation:

RCOC should continue to monitor Operational Indicator Reports for any erroneous payments that may have occurred while doing business with its vendors. In addition, RCOC should develop written procedures documenting its Operational Indicator Reports review process.

Finding 3: Rate Reform Implementation Issue

The rate for one transportation vendor, additional component (service code 880), Vendor Number HL0760, was found to have been calculated assuming more than two trips per day for each individual. This was due in part because the rate reform workbooks and accompanying instructions issued by the Department did not identify the maximum number of trips per day. RCOC has subsequently adjusted the rate, assuming the maximum number of two one-way trips per day per individual for the vendor.

The Department has since issued a revised directive on October 28, 2025, providing additional guidance to regional centers regarding the rate reform implementation for transportation services which states in part:

“Transportation – Additional Component (service code 880)

New Components

- Billing: Providers will bill services for each individual, per one-way trip associated with transporting the individual to or from the day service program, for a maximum of two one-way trips per day per individual.”

Recommendation:

RCOC must review all transportation, additional component, service code 880 vendors and verify the rates are calculated based on the maximum of two trips per day per revised directive.

EVALUATION OF RESPONSE

The Regional Center reviewed the draft audit report and agreed with all findings and recommendations (see Appendix B). In its response, RCOC provided documents indicating that Findings 2 and 3 have been resolved. The Department will confirm that the appropriate corrective action has been taken to resolve Finding 1 during the next scheduled audit.

APPENDIX A

SCOPE, OBJECTIVES, AND METHODOLOGY

The Department is responsible, under the WIC, for ensuring that persons with intellectual and developmental disabilities receive the services and supports they need to lead more independent, productive, and integrated lives. To secure these services and supports, the Department contracts with 21 private, nonprofit community agencies/corporations that provide fixed points of contact in the community for serving eligible individuals and their families in California. These fixed points of contact are referred to as Regional Centers. The Regional Centers are responsible under State law to help ensure that such persons receive access to the programs and services that are best suited to them throughout their lifetime.

The Department also is responsible for providing assurance to the federal Department of Health and Human Services, Centers for Medicare, and Medicaid Services, that services billed under California's HCBS Waiver program are provided and that criteria set forth for receiving funds have been met. As part of providing this assurance, the Audit Services Section conducts fiscal compliance audits of each Regional Center no less than every two years and completes follow-up reviews in alternate years.

In addition to the fiscal compliance audit, each Regional Center is monitored by the Department's Federal Programs Branch to assess overall programmatic compliance with HCBS Waiver requirements. The HCBS Waiver compliance monitoring review has its own criteria and processes. These audits and program reviews are an essential part of an overall Department monitoring system that provides information on the Regional Centers' fiscal, administrative, and program operations.

This audit was conducted as part of the overall Department monitoring system that provides information on the Regional Centers' fiscal, administrative, and program operations. The objectives of this audit were:

- To determine compliance with the WIC,
- To determine compliance with the provisions of the HCBS Waiver Program for the Developmentally Disabled,
- To determine compliance with CCR, Title 17 regulations,
- To determine compliance with OMB Circulars A-122 and A-133, and
- To determine that costs claimed were in compliance with the provisions of the State Contract between the Department and the Regional Center.

The audit was conducted in accordance with the Generally Accepted Government Auditing Standards issued by the Comptroller General of the United States. However, the procedures do not constitute an audit of the Regional Center's financial statements. The Department limited the scope to planning and performing audit procedures necessary to obtain reasonable assurance that the Regional Center was in compliance with the objectives identified above.

The Department review of the Regional Center's internal control structure was conducted to gain an understanding of the transaction flow and the policies and procedures, as necessary, to develop appropriate auditing procedures.

The Department reviewed available annual audit report(s) that were conducted by an independent Certified Public Accounting firm. This review was performed to determine the impact, if any, upon the Department audit and, as necessary, develop appropriate audit procedures.

The audit procedures performed included the following:

I. Purchase of Service

The Department selected a sample of Purchase of Service (POS) claims billed to the Department. The sample included individual services and vendor rates. The sample also included individuals who were eligible for the HCBS Waiver Program. For POS claims, the following procedures were performed:

- The Department tested the sample items to determine if the payments made to service providers were properly claimed and could be supported by appropriate documentation.
- The Department selected a sample of invoices for service providers with daily and hourly rates, standard monthly rates, and mileage rates to determine if supporting attendance documentation was maintained by the Regional Center. The rates charged for the services provided to individuals were reviewed to ensure compliance with the provision of the WIC; the HCBS Waiver for the Developmentally Disabled; CCR, Title 17, OMB Circulars A-122 and A-133; and the State Contract between the Department and the Regional Center.
- If applicable to this audit, the Department selected a sample of Individual Trust Accounts to determine if there were any unusual activities and whether any account balances exceeded \$2,000, as prohibited by the Social Security Administration. In addition, the Department determined if any retroactive Social Security benefit payments received exceeded the \$2,000 resource limit for longer than nine months. The Department also reviewed these accounts to ensure that the interest earnings were distributed quarterly, personal and incidental funds were paid before the 10th of each month, and proper documentation for expenditures was maintained.
- The Department analyzed all bank accounts to determine whether the Department had signatory authority, as required by the State Contract with the Department.

- The Department selected a sample of bank reconciliations for Operations (OPS) accounts and Individual Trust bank accounts to determine if the reconciliations were properly completed on a monthly basis.

II. Regional Center Operations

The Department selected a sample of OPS claims billed to the Department to determine compliance with the State Contract. The sample included various expenditures claimed for administration that were reviewed to assure that accounting staff properly input data, transactions were recorded on a timely basis, and expenditures charged to various operating areas were valid and reasonable. The following procedures were performed:

- A sample of the personnel files, timesheets, payroll ledgers, and other support documents were selected to determine if there were any overpayments or errors in the payroll or the payroll deductions.
- A sample of OPS expenses, including, but not limited to, purchases of office supplies, consultant contracts, insurance expenses, and lease agreements were tested to determine compliance with CCR, Title 17, and the State Contract.
- A sample of equipment was selected and physically inspected to determine compliance with requirements of the State Contract.
- The Department reviewed the Regional Center's policies and procedures for compliance with the Department Conflict of Interest regulations, and the Department selected a sample of personnel files to determine if the policies and procedures were followed.

III. Targeted Case Management (TCM) and Regional Center Rate Study

The TCM Rate Study determines the Department rate of reimbursement from the federal government. The following procedures were performed upon the study:

- The Department examined the two TCM Rate Studies submitted to the Department during the audit period and traced the reported information to source documents.
- A review of the recent Case Management Time Study (required to be submitted every three years) is conducted if the study was not reviewed during the prior audit. The Department selected a sample of the Case Management Time Study Forms (DS 1916) for examination and reconciled them to the corresponding payroll timesheets to ensure that the forms were properly completed and supported.

IV. Service Coordinator Caseload Survey

Under the WIC, Section 4640.6(e), Regional Centers are required to provide service coordinator caseload data to the Department. The Department verified that the documentation was maintained to support the service coordinator caseload survey ratios.

V. Early Intervention Program (EIP; Part C Funding)

For the EIP, there are several sections contained in the Early Start Plan. However, only the Part C section was applicable for this review.

VI. Parental Fee Program (PFP)

The PFP was created for the purpose of prescribing financial responsibility to parents of children under the age of 18 years who are receiving 24-hour, out-of-home care services through a Regional Center or who are residents of a state hospital or on leave from a state hospital. Parents shall be required to pay a fee depending upon their ability to pay, but not to exceed (1) the cost of caring for a child without DD at home, as determined by the Director of the Department, or (2) the cost of services provided, whichever is less. To determine compliance with the WIC Section 4784, the Department requested a list of PFP assessments and verified the following:

- Identified all children with DD who are receiving the following services:
 - (a) All 24-hour, out-of-home community care received through a Regional Center for children under the age of 18 years;
 - (b) 24-hour care for such minor children in state hospitals;
 - (c) provided, however, that no ability to pay determination may be made for services required by state or federal law, or both, to be provided to children without charge to their parents.
- Provided the Department with a listing of new placements, terminated cases, and client deaths for those clients. Such listings must be provided not later than the 20th day of the month following the month of such occurrence.
- Informed parents of children who will be receiving services that the Department is required to determine parents' ability to pay and to assess, bill, and collect parental fees.

- Provided parents a package containing an informational letter, a Family Financial Statement, and a return envelope within 10 working days after placement of a minor child.
- Provided the Department a copy of each informational letter given or sent to parents, indicating the addressee and the date given or mailed.

VII. Procurement

The Request for Proposal (RFP) process was implemented so that Regional Centers outline the vendor selection process when using the RFP process to address individual service needs. As of January 1, 2011, the Department requires Regional Centers to document their contracting practices, as well as how particular vendors are selected to provide individual services. By implementing a procurement process, Regional Centers will ensure that the most cost-effective service providers, amongst comparable service providers, are selected, as required by the Lanterman Act and the State Contract. To determine whether the Regional Center implemented the required RFP process, the Department performed the following procedures during the audit review:

- Reviewed the Regional Center's contracting process to ensure the existence of a Board-approved procurement policy and to verify that the RFP process ensures competitive bidding, as required by Article II of the State Contract, as amended.
- Reviewed the RFP contracting policy to determine whether the protocols in place included applicable dollar thresholds and comply with Article II of the State Contract, as amended.
- Reviewed the RFP notification process to verify that it is open to the public and clearly communicated to all vendors. All submitted proposals are evaluated by a team of individuals to determine whether proposals are properly documented, recorded, and authorized by appropriate officials at the Regional Center. The process was reviewed to ensure that the vendor selection process is transparent and impartial and avoids the appearance of favoritism. Additionally, the Department verified that supporting documentation is retained for the selection process and, in instances where a vendor with a higher bid is selected, written documentation is retained as justification for such a selection.

The Department performed the following procedures to determine compliance with the State Contract:

- Selected a sample of Operations, Community Placement Plan, and negotiated POS contracts subject to competitive bidding to ensure the

Regional Center notified the vendor community and the public of contracting opportunities available.

- Reviewed the contracts to ensure that the Regional Center has adequate and detailed documentation for the selection and evaluation process of vendor proposals and written justification for final vendor selection decisions and that those contracts were properly signed and executed by both parties to the contract.

In addition, the Department performed the following procedures:

- To determine compliance with the WIC, Section 4625.5: Reviewed to verify that the Regional Center has a written policy requiring the Board to review and approve any of its contracts of two hundred fifty thousand dollars (\$250,000) or more before entering into a contract with the vendor.
- Reviewed the Regional Center Board-approved Operations, Start-Up, and POS vendor contracts of \$250,000 or more, to verify that the inclusion of a provision for fair and equitable recoupment of funds for vendors that cease to provide services to individuals; verified that the funds provided were specifically used to establish new or additional services to individuals, the usage of funds is of direct benefit to individuals, and the contracts are supported with sufficiently detailed and measurable performance expectations and results.

The process above was conducted in order to assess the current RFP process and Board approval for contracts of \$250,000 or more, as well as to determine whether the process in place satisfies the WIC and State Contract requirements.

VIII. Statewide/Regional Center Median Rates

The Statewide and Regional Center Median Rates were implemented on July 1, 2008, and amended on December 15, 2011, July 1, 2016, and April 1, 2022. Regional Centers may not negotiate rates higher than the set median rates for services. Despite the median rate requirement, rate increases can be obtained from the Department under health and safety exemptions where Regional Centers demonstrate the exemption is necessary for the health and safety of the individuals.

To determine compliance with the Lanterman Act, the Department performed the following procedures during the audit review:

- Reviewed sample vendor files to determine whether the Regional Center is using appropriately vendorized service providers and correct service codes and is paying authorized contract rates and complying with the median rate requirements of WIC Section 4691.9.

- Reviewed vendor contracts to verify that the Regional Center is reimbursing vendors using authorized contract median rates and verified that rates paid represented the lower of the statewide or Regional Center median rate set after June 30, 2008. Additionally, the Department verified that providers vendorized before June 30, 2008, did not receive any unauthorized rate increases, except in situations where required by regulation, or health and safety exemptions were granted by the Department.
- Reviewed vendor contracts to verify that the Regional Center did not negotiate rates with new service providers for services which are higher than the Regional Center's median rate for the same service code and unit of service, or the statewide median rate for the same service code and unit of service, whichever is lower. The Department also verified that units of service designations conformed with existing Regional Center designations or, if none exists, checked that units of service conformed to a designation used to calculate the statewide median rate for the same service code.

IX. Other Sources of Funding from the Department

Regional Centers may receive other sources of funding from the Department. The Department performed sample tests on identified sources of funds from the Department to ensure the Regional Center's accounting staff were inputting data properly, and that transactions were properly recorded and claimed. In addition, tests were performed to determine if the expenditures were reasonable and supported by documentation. The sources of funding from the Department identified in this audit may include:

- Community Placement Plan;
- Part C – Early Start Program;
- Family Resource Center;
- Foster Grandparent;
- Senior Companion;
- Mental Health Services Act;
- HCBS Compliance;
- Language Access and Cultural Competency Program; and
- Enhanced Community Integration for Children and Adolescents.

X. Follow-up Review on Prior Department Audit Finding(s)

As an essential part of the overall Department monitoring system, a follow-up review of prior Department audit finding(s) was conducted, if applicable. The Department identified prior audit finding(s) and reviewed supporting documentation to determine the degree of completeness of implementation of corrective actions.

APPENDIX B

REGIONAL CENTER OF ORANGE COUNTY'S RESPONSE TO THE AUDIT FINDINGS

(Certain documents provided by the Regional Center of Orange County as attachments to its response are not included in this report due to the detailed and sometimes confidential nature of the information).



May 6, 2026

Mr. Edward Yan, Manager
Audit Branch
Department of Developmental Services
1215 O Street, MS 9-20
Sacramento, CA 95814

Dear Ed:

The Regional Center of Orange County's (RCOC's) response to the draft report of the audit conducted by the Department of Developmental Services (DDS) for fiscal years 2023-24 and 2024-25, is as follows.

There were no findings or exceptions noted in the following areas:

- POS payment rates
- Consumer trust accounts
- Uniform Fiscal Systems reconciliations
- Signatory authority
- Bank reconciliations
- Regional Center Operations, including
 - Personnel files,
 - Time sheets,
 - Payroll ledgers,
 - Operating expenses,
 - Equipment inventory and
 - Conflict of Interest.
- Targeted Case Management and Regional Center Rate Study
- Service Coordinator Caseload Survey calculations
- Early Intervention Program (Part C funding)
- Parental Fee Program (PFP)
- Procurement
- Board approval of contracts over \$250,000
- Statewide/Regional Center Median rates, and
- Other Sources of Funding from DDS, including Community Placement Plan, Part C – Early Start Program and Family Resource Center.

May 6, 2026

RCOC's Response to DDS' Draft Audit Report for Fiscal Years 2023-24 and 2024-25

There were findings in the following areas.

DDS Finding 1: Financial Statement Audit Reports Not Submitted Timely

“The review of RCOC’s financial statement audit reports for fiscal years 2022-23 and 2023-24 revealed RCOC did not submit the reports to the Department before the April 1st deadline. The fiscal years 2022-23 and 2023-24 financial statement audit reports were submitted to the Department on June 4, 2024, and June 11, 2025, respectively.

W&I Code, Section 4639(a) states:

(a) “The governing board of a regional center shall annually contract with an independent accounting firm for an audited financial statement. The audit report and accompanying management letter shall be reviewed and approved by the regional center board and submitted to the department within 60 days of completion and before April 1 of each year. Upon submission to the department, the audit report and accompanying management letter shall be made available to the public by the regional center. It is the intent of the Legislature that no additional funds be appropriated for this purpose.” “

RCOC's Response to Finding 1

RCOC is in agreement with this finding. RCOC will submit reports before April 1st of each year.

DDS Finding 2: Overstated Claims

“The review of the Operational Indicator Reports revealed three instances where RCOC overpaid three vendors a total of \$25,005.76 due to either duplicate payments or overlapping authorizations. RCOC stated that Operational Indicator Reports are reviewed on a quarterly basis but was unable to provide written procedures documenting this process. RCOC indicated this was an oversight on its part that its staff did not identify the erroneous payments. RCOC has since recovered the full amount from the vendors.

CCR, Title 17, Section 57300(c)(2) states: “(c) Regional Centers shall not reimburse vendors: (2) For services in an amount greater than the rate established pursuant to these regulations.”

RCOC's Response to Finding 2

RCOC agrees that it did not identify the erroneous payments and has recovered the funds from the vendors. Although RCOC did have written procedures, due to turnover the procedures were not readily available. RCOC took the opportunity to revise the procedures. The updated Operational Indicator Reports Procedure is attached.

DDS Finding 3 : Rate Reform Implementation Issues

“The rate for one transportation vendor, additional component (service code 880), Vendor Number HL0760, was found to have been calculated assuming more than two trips per day for each individual. This was due in part because the rate reform workbooks and accompanying instructions issued by the

May 6, 2026

RCOC's Response to DDS' Draft Audit Report for Fiscal Years 2023-24 and 2024-25

Department did not identify the maximum number of trips per day. RCOC has subsequently adjusted the rate, assuming the maximum number of two one-way trips per day per individual for the vendor.

The Department has since issued a revised directive on October 28, 2025, providing additional guidance to regional centers regarding the rate reform implementation for transportation services which states in part:

“Transportation – Additional Component (service code 880)

New Components

- Billing: Providers will bill services for each individual, per one-way trip associated with transporting the individual to or from the day service program, for a maximum of two one-way trips per day per individual.” “

RCOC's Response to Finding 3

The transportation vendor, HL0760, is vendored by another regional center. When RCOC noted a potential discrepancy in the number of trips, RCOC reached out to the vendoring regional center for verification. The vendoring regional center confirmed the error that resulted in the overpayment. RCOC made the necessary rate correction and continues to recover the overpaid funds from the vendor.

Attached are the recoveries completed. As of today, the balance due to RCOC is \$5,614.82

Please call me if you have any questions at (714) 796-5230.

Sincerely,



Marta Vasquez
CFO

c: Larry Landauer
Linda Pham
Julie Rodriguez
Valeria De Los Angeles
Nancy Franco
Binca Borja Rios

Encl: Operational Indicator Reports Procedure
HL0760 Recovery Documentation

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of New West Area Office Lease

BACKGROUND:

RCOC's West Area office is located in the City of Cypress, and its lease expires on July 31, 2027. In anticipation of the lease expiration, RCOC looked at other locations to accommodate staff growth as a result of caseload growth and to temporarily accommodate Department of Developmental Services staff. RCOC has identified an office near the existing office. RCOC would share the building with one other tenant and occupy a portion of the first floor, and the entire third and fourth floors.

REASON FOR CURRENT ITEM:

In accordance with Welfare and Institutions Code Section 4625.5(a), the governing Board approves contracts of \$250,000 or more.

FISCAL IMPACT:

DDS has approved lease funding for the proposed location.

RECOMMENDATION:

That the Board approve the lease as presented.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Performance Contract for Fiscal Year 2026-2027

BACKGROUND:

The Welfare and Institutions Code §4629, requires the development of an annual Performance Contract between the Department of Developmental Services (DDS) and regional centers.

REASON FOR CURRENT ITEM:

Each regional center's Performance Contract must be approved by the center's Board of Directors prior to submission to DDS (see attachment).

FISCAL IMPACT:

To the extent that a proposed contract objective requires additional Operations or Purchase of Service funds, RCOC must reallocate resources within its existing budget. DDS does not allocate additional funds when Performance Contract objectives are met.

RECOMMENDATIONS:

That the Board approve the Performance Contract for Fiscal Year 2026-2027 as presented.



Regional Center of Orange County Fiscal Year 2026-27 Performance Contract Plan *Draft*

Performance Measure <i>Community Integration</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Number and percent of adults living independently with or without supports	New	Baseline Year	Providing training for service coordination to assist individuals select from appropriate options.
Number and percent of adults residing in Family Home Agency homes	New	Baseline Year	Review and revise services on an ongoing basis to ensure needs are met during IPP and planning meetings.
Number and percent of adults residing in family homes (home of parent or guardian): 18 to 35 years; 36 to 50 years; 51+ years	New	Baseline Year	Ensure that individuals have opportunities to provide feedback (IPP, NCI survey, planning meetings).
Number and percent of adults residing in home settings.	84.65%	82.63%	Continue to develop new resources within the community.
Number and percent of minors living in facilities serving > 6.	0.03%	0.02%	Adhere to regulations regarding the use of larger residential facilities to ensure the least restrictive environment is supported.
Number and percent of adults living in facilities serving > 6.	1.39%	1.49%	

Performance Measure <i>Early Start - Childhood</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
<p>Timely Access to Early Start Services - Percent of Individualized Family Service Plans (IFSP) completed within the federally required 45-day timeframe from receipt of referral for all children under the age of three.</p>	<p>New</p>	<p>Baseline Year</p>	<p>Ensure that Early Start service coordinators meet with all families to develop a transition Individualized Family Service Plan (IFSP) prior to 90 days of the child's 3rd birthday</p>
<p>Provisional Eligibility - Number of children who turn age 5 and continue regional center services through provisional eligibility.</p>	<p>New</p>	<p>Baseline Year</p>	<p>RCOC will determine Lanterman eligibility for provisionally eligible children prior to the 5th birthday.</p>
<p>Submission of Completed Early Start Report (ESR): Percentage of completed ESR submitted to DDS for children exiting Early Start, inclusive of all required fields.</p>	<p>Incentive Measure</p>	<p>Baseline Year</p>	<p>Ensure that service coordinators meet with families to develop the initial IFSP within 45 days of the initial referral date.</p>
<p>Planning for Services After Early Start:</p> <ol style="list-style-type: none"> 1. Percentage of children who receive a timely transition meeting at least 90 days prior to their third birthday. 2. Percentage of children transitioning from Early Start to Lanterman Act Services or Provisional Eligibility, who have a completed Individual Program Plan (IPP) no more than 60 days following their third birthday. 	<p>Incentive Measure</p>		<p>Ensure that Early Start service coordinators submit a completed Early Start Report (ESR) for all children exiting Early Start.</p>

Performance Measure <i>Employment</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Number and percentage of individuals ages 16-64 with earned income.	1,583 15.2%	2,269 15.5%	Implement RCOC's Employment First policy, encouraging Competitive Integrated Employment as the first option to be considered by all adults served.
Average annual wages for individuals ages 16-64.	\$14,256	\$13,256	Provide training and printed material for persons served, families, and service coordinators about employment services and resources.
Number of adults who entered competitive integrated employment following participation in a Paid Internship Program.	256 total	8	Partner with local businesses, service providers, and education agencies to develop paid internships and employment opportunities.
Percentage of adults who entered competitive integrated employment following participation in a Paid Internship Program.	10%	9%	Develop employment service providers to meet the needs of persons served.
Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year.	14.5 hours \$15.96	11.7 hours \$15.91	Gather and analyze employment related data for persons served.

<p>Average wages and hours worked for adults engaged in competitive integrated employment on behalf of whom incentive payments have been made.</p>	<p>\$16.51 20.6 hours</p>	<p>\$16.11 11.6 hours</p>	
<p>Total number of 30-day, 6-month and 12-month incentive payments made for the fiscal year.</p>	<p>72</p>	<p>137</p>	
<p>Percentage of adults having competitive, integrated employment as a goal/outcome in their IPP and have a job with reportable wages</p>	<p>Incentive Measure</p>	<p>Baseline Year</p>	
<p>Data Updates and Reporting: Percentage of individuals ages 16 and older who have updated employment-related information documented in the Client Development Evaluation Report (CDER).</p>	<p>Incentive Measure</p>	<p>Baseline Year</p>	
<p>Development and Outreach: Implementation of the Employment Development and Outreach Plan to increase employment opportunities and outcomes for individuals.</p>	<p>Incentive Measure</p>	<p>Due Date July 31, 2027</p>	

Performance Measure: <i>Equity and Cultural Competency</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Expenditures: In-Home Purchase of Services (POS) - Comparison of the POS expenditure amounts for individuals living at home to identify any differences across race, ethnicity and/or language when compared to the per capita average.	New Measure	Baseline Year	Continue to expand and simplify translation services and documents into multiple languages to ensure that all families can access information on services and supports.
Expenditures: In-Home Respite POS - Comparison of POS expenditure amounts for all respite services delivered to people living in family homes, across race, ethnicity and language, when compared to the per capita average.	New Measure	Baseline Year	Engage in community outreach to connect with families. Collaborate with Community Based Organizations (CBOs) to provide information on educational events for families and increase awareness of regional center services.
Service Utilization: Early Start - Per capita Early Start Expenditures, separated and compared by race, ethnicity and language preference.	New Measure	Baseline Year	Conduct community surveys to understand family needs and gaps in services.
Linguistic Diversity - Increase number of bilingual staff, including service coordinators, intake staff and first line supervisors, over FY 2025-26 in one of the RC's top 5 languages spoken.	Incentive Measure	Baseline Year	Implementation of the Standardized Respite tool when available.

Performance Measure: <i>Innovation in Service Accountability, Delivery, and Technology</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
<p>Website Accessibility: 1. Regional center website meets 100% of Web Contents Accessibility Guidelines 2.1 (WCAG 2.1). 2. Regional center's website meets WCAG 2.2 Guidelines.</p>	Incentive Measure	Due Date July 31, 2027	Maintain all website requirements by ensuring necessary revisions are completed.

Performance Measure: <i>Individual/Family Experience and Satisfaction</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
<p>Number and percent of individuals, by race/ethnicity, who are satisfied with the services and supports received by the family and family member.</p>	New Measure	Baseline Year	Provide the Individual Program Plan (IPP) survey QR code at all IPP meetings and encourage families to share feedback on experiences.
<p>Number and percent of individuals, by race/ethnicity, whose IPP/IFSP includes all of the services and supports needed.</p>	New Measure	Baseline Year	Ensure that service coordinators continue to discuss satisfaction with all families during IPP and planning meetings.
<p>Number and percent of individuals who feel that services and supports have made a positive difference in the life of their family member.</p>	New Measure	Baseline Year	Distribute information and encourage families to participate in the National Core Indicators (NCI) survey when circulated within the community.

Individual Program Plan experience: Percent of IPP surveys received by the Department compared to total number of IPP's completed per quarter (locked/ distributed)	New Measure	Baseline Year	
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Performance Measure: <i>Person Centered Planning</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Person Centered Facilitation Skills - Regional centers have one certified person-centered plan facilitation trainer employed for every 10,000 people enrolled in services.	New Measure	Due Date July 31, 2027	Continue to increase overall number of certified person-centered plan facilitation trainers. Continue to work with person centered thinking training organizations to expand opportunities for staff and community.
Informational Outreach to Individuals and Families - Implementation of the informational outreach to individuals and families about person-centered practices.	New Measure	Due Date July 31, 2027	RCOC will continue to offer community trainings on person centered practices for vendor, persons served, and families.

Performance Measure: <i>Service Coordination and Regional Center Operations</i>	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
The regional center achieves an unqualified independent audit with no material finding(s).	Compliance	Yes	RCOC will contract with an independent firm to conduct audits of records.

The regional center achieves substantial compliance with the Department fiscal audit.	Compliance	Yes	RCOC will monitor staff to ensure that records are maintained in compliance with applicable laws, regulations, and DDS contract.
The regional center operates within operations budget.	Compliance	Yes	RCOC will monitor expenditures to keep costs within allocated operations budget.
Compliance with Vendor Audit Requirements per contract, Article III, Section 9: The number of vendor audits completed compared to the number of vendor audits required per Article III, Section 9 of the Regional Center/Department Contract.	Compliance	Met	RCOC staff will conduct the required number of audits each fiscal year to ensure providers maintain appropriate documentation for services billed to RCOC.
Percentage of status 2 and U clients who have a Client Development Evaluation Report (CDER) updated or reviewed within the past 365 days.	95%	99.50%	RCOC will ensure that all staff completed required training through learning management systems within required timeframes.
Intake/assessment timelines for individuals ages 3 and older : The percentage of Intake/assessments completed on time compared to the total number of intake and assessments completed by the regional center within the reporting period.	61.50%	99.50%	RCOC will work with all vendors to ensure HCBS settings compliance on a yearly basis.

<p>Percentage of Individual Program Plan's for individuals enrolled in a federal waiver that meet requirements outlined in WIC 4646 and 4646.5</p>	<p>New Measure</p>	<p>Baseline Year</p>	<p>RCOC staff will monitor the provider directory on a daily basis to ensure the 45 day vendorization timeline is met.</p>
<p>Vendorization: Percentage of vendorizations that met the regulatory 45 day timeline in the Decision Stage. Average number of days from application submissions to final decision on vendorization approval.</p>	<p>New Measure</p>	<p>Baseline Year</p>	<p>RCOC will continue to work with the community to develop vendors across all service models throughout the catchment area.</p>
<p>Substantial compliance with HCBS Final Settings Rule: Community Settings requirements: The number of HCBS settings vendor audits completed compared to the number of HCBS vendors required to demonstrate compliance with the settings rules.</p>	<p>New Measure</p>	<p>Baseline Year</p>	<p>RCOC will work with vendors and service agencies to provide training and support to ensure compliance with SIR reporting requirements.</p>
<p>Medicaid Waiver Enrollment: Of the total number of regional center individuals who meet 1915c eligibility, the percentage of those who are enrolled in a federal waiver, separated by waiver type.</p>	<p>New Measure</p>	<p>Baseline Year</p>	<p>RCOC will maintain a dedicated SIR email and phone line for submissions of incident reports from the community.</p>

Special Incident Reports (SIRs) are submitted within the required timeframes: the percentage of SIR reports submitted by the vendor and regional center within the required timeframes.	Compliance	Baseline Year	RCOC will monitor all individuals served for eligibility under all federal waiver programs, adding and removing as appropriate.
Choice of Services within Regional Centers: Number of vendors for each core service type, delivering services within the regional center catchment area, reported by zip code	Incentive Measure	Baseline Year	RCOC will continue to support service coordinators and individuals served to implement all service requests in a timely manner.
Timely Authorizations: Number of days between individual program plan (IPP) review and service authorization, reported as an average and range.	Incentive Measure	Baseline Year	
Service Coordinator Competency: Number of new service coordinators who completed all requirements within the training standards and competencies.	Incentive Measure	Baseline Year	
Benefits: Medical Insurance Information	Incentive Measure	Baseline Year	



Summary of Information About Persons Served - April 2026

NUMBER OF PERSONS SERVED	28,866	100%
Children - Birth to Age Three Receiving Early Start Services	3,346	12%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	10,539	37%
Adults - Ages 18 and Older Receiving Lanterman Services	14,521	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	296
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,509	47%
Epilepsy	2,890	12%
Cerebral Palsy	2,514	10%
Autism	13,344	54%
Fifth Category*	2,260	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	387
Early Start / Under Age Three / 45 days to complete determination	334 / 86%
Lanterman / Over Age Three / 120 days to complete determination	167 / 43%
Provisional / Up to Age Five / 90 days to complete determination	11 / 3%

NUMBER OF PERSONS DETERMINED ELIGIBLE	108
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	69
<ul style="list-style-type: none"> • Number of children who received Early Start services 	21
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	18
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	123
Children - Age Three No Longer Eligible for Early Start Services	123
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

APRIL 2026 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2025-26

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	42	50	40	32	40	63
Unannounced	86	92	73	46	50	74
Total Number of Reviews	128	142	113	78	90	137

Provider Trainings	0	0	0	0	0	0
Technical Support	304	327	333	264	261	429
Corrective Action Plans	3	8	5	11	6	2
Special Incident Investigations*	33	75	76	42	41	55

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	44	50	46	41			448
Unannounced	84	75	102	55			737
Total Number of Reviews	128	125	148	96	0	0	941

Provider Trainings	0	0	0	0			0
Technical Support	276	344	389	266			3,193
Corrective Action Plans	3	3	1	5			47
Special Incident Investigations*	73	61	55	40			551

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

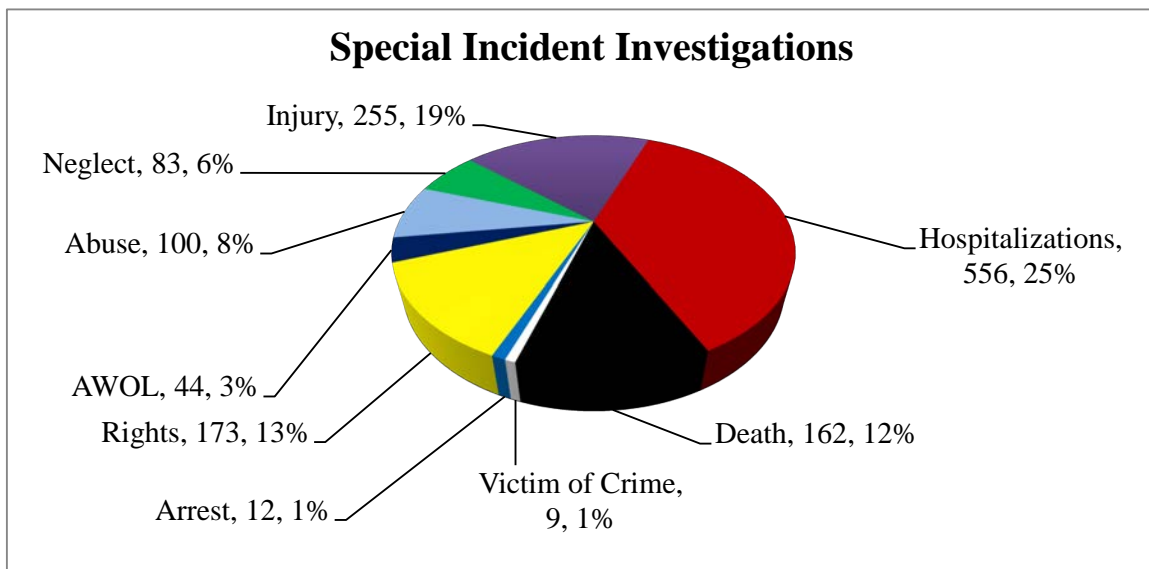
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2025-26

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	4	1	5	4	2
Abuse	3	9	9	10	15	7
Neglect	9	8	5	11	4	8
Injury	32	36	25	28	23	20
Hospitalizations - Total	50	37	52	52	49	33
<i>Psychiatric</i>	8	5	7	7	9	2
<i>Medical</i>	42	32	45	45	40	31
Death	10	12	11	17	11	13
Victim of crime	0	0	1	0	1	1
Arrest	0	2	0	0	0	1
Rights	24	27	12	12	11	42
Total	132	135	116	135	118	127

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2	5	4	13			44
Abuse	8	14	15	10			100
Neglect	8	12	11	7			83
Injury	31	22	21	17			255
Hospitalizations - Total	60	54	57	46			490
<i>Psychiatric</i>	7	8	15	14			82
<i>Medical</i>	53	46	42	32			408
Death	44	16	14	14			162
Victim of Crime	2	1	2	1			9
Arrest	2	1	2	4			12
Rights	8	13	13	11			173
Total	165	138	139	123	0	0	1,328



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2025-26

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	1	1
Staffing	0	0	0	0	1	0
Level 4I Consultant	0	0	0	0	0	1
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	0	0	0	2	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	2	1

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$75,083.88	\$594.40
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	1	0	1	1			5
Staffing	1	0	0	3			0
Level 4I Consultant		0	0	0			0
P&I (consumer funds)		0	3	2			0
Total Number of Audits	2	0	4	6			16

Number of Appeals / Recoveries

State Appeal		0	0	4			4
Recovery	2	0	4	6			15

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$35,018.86	\$0.00	\$5,299.85	\$235,020.70	\$0.00	\$0.00	\$351,017.69
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FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2025-26

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	76	70	86	87	73
Diapers - Family Member	3	2	1	3	3	1
Nursing Service - Family Member	55	42	51	50	41	No Data Available
Respite Service - Family Member	697	725	729	719	713	737
Transportation - Family Member	222	312	325	305	304	367
Total Number of Voucher Authorizations	1,070	1,157	1,176	1,163	1,148	1,178

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	91	85	74	75		
Diapers - Family Member	3	4	3	2		
Nursing Service - Family Member	No Data Available	No Data Available	No Data Available	No Data Available		
Respite Service - Family Member	723	723	728	671		
Transportation - Family Member	307	314	322	388		
Total Number of Voucher Authorizations	1,124	1,126	1,127	1,136	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2025-26

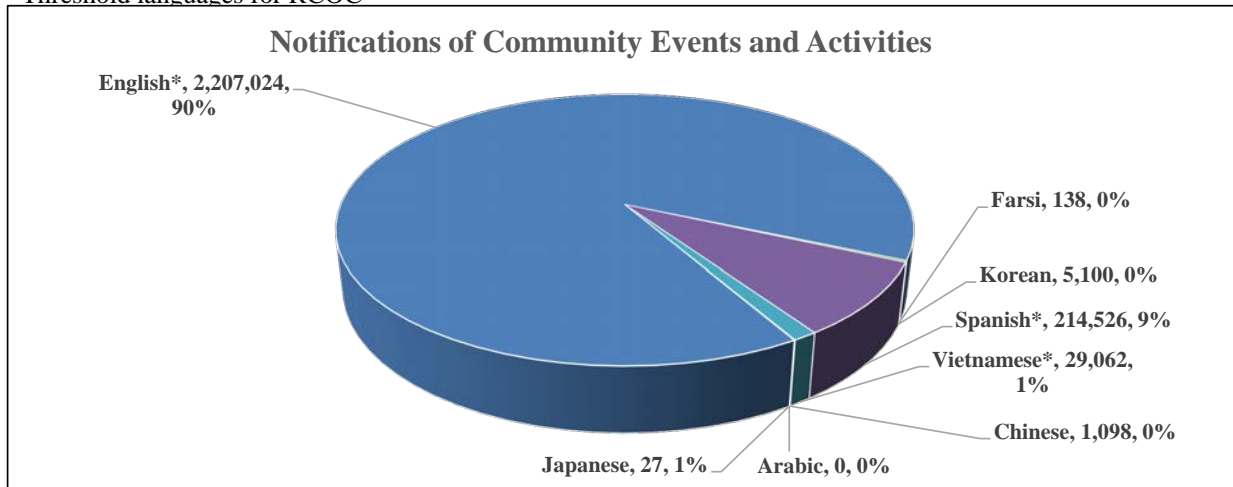
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	151,271	118,786	245,656	166,331	169,186	124,961
Farsi	0	0	0	0	0	0
Korean	778	136	662	157	1,102	301
Spanish*	16,264	19,523	35,018	28,313	14,552	7,868
Vietnamese*	2,124	538	2,518	1,270	2,006	2,295
Chinese	104	0	0	0	363	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
Total Number of Notifications	170,541	138,983	283,854	196,071	187,209	135,425

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	276,787	253,071	285,314	415,661			2,207,024
Farsi	0	0	138	0			138
Korean	404	29	674	857			5,100
Spanish*	27,367	14,794	25,587	25,240			214,526
Vietnamese*	2,868	1,571	8,748	5,124			29,062
Chinese	230	0	270	131			1,098
Japanese	0	0	0	0			0
Arabic	0	0	0	0			0
Total Number of Notifications	307,656	269,465	320,731	447,013	0	0	2,456,948

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2025-26

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	4	4	8	3	5	2
Spanish	0	5	3	5	4	1
Vietnamese	1	1	0	3	1	3
Other Languages**	1	1	2	1	2	
In Print						
English	2	1				2
Spanish						
Vietnamese	1		1			
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese	4	5	4	4	5	4
Other Languages						
Total Number of Outreach Events	13	17	18	16	17	12

** Korean, Chinese or other Languages

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	2	4	3	3			38
Spanish	2	2	4	6			32
Vietnamese		1	1	1			12
Other Languages**		3	2	2			14
In Print							
English		2		1			8
Spanish							0
Vietnamese		2	1				5
Other Languages							0
TV / Radio							
English				2			2
Spanish							0
Vietnamese	4	4	5	4			43
Other Languages							0
Total Number of Outreach Events	8	18	16	19	0	0	154

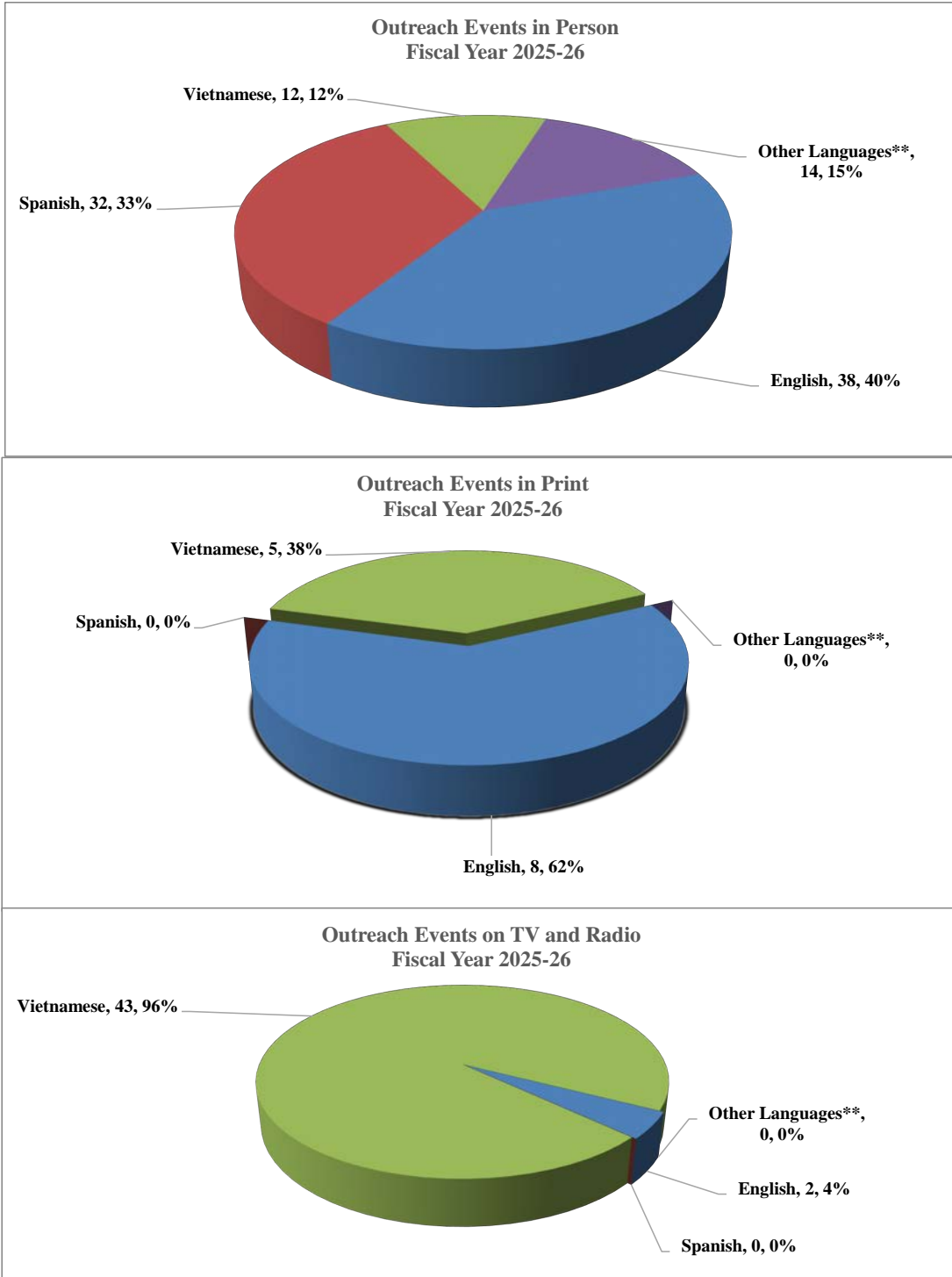
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2025-26



EARLY INTERVENTION / PREVENTION

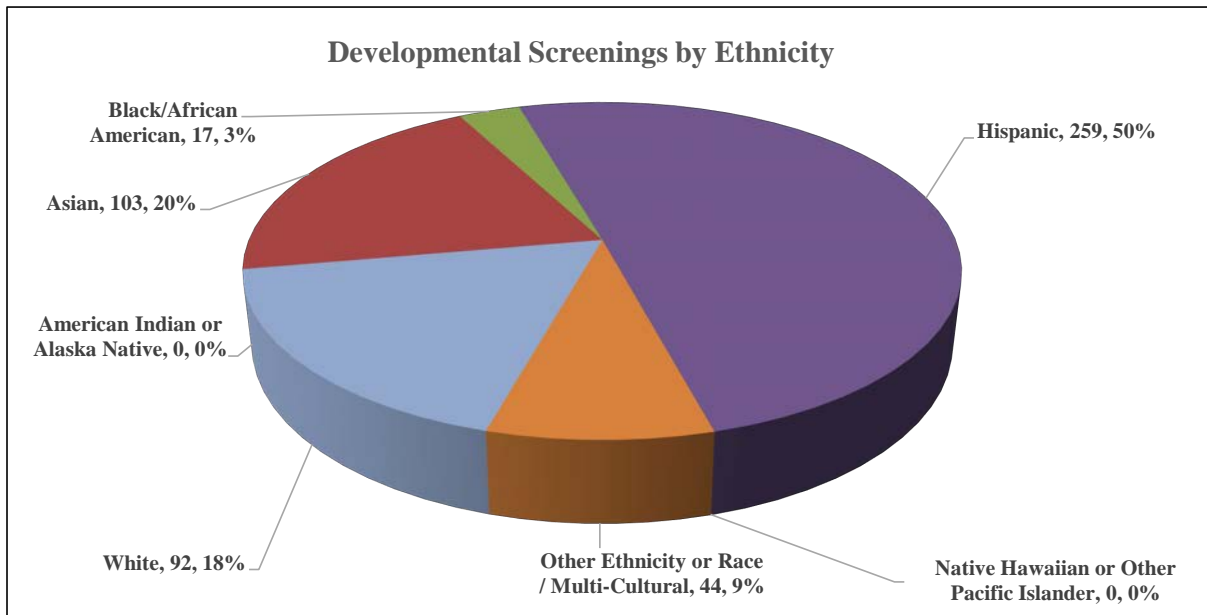
Related Guiding Principles

- *Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.*
- *Persons served are provided with needed services and supports in a family-focused and collaborative fashion.*

Fiscal Year 2025-26

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	12	16	24	6	3
Black/African American	0	0	4	1	2	1
Hispanic	0	51	34	48	32	18
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	5	10	4	2	3
White	0	7	9	15	6	3
Total Number Screened	0	75	73	92	48	28
Total Number Referred to RCOC	0	43	27	35	22	8

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0			0
Asian	10	7	20	5			103
Black/African American	2	0	3	4			17
Hispanic	18	31	19	8			259
Native Hawaiian or Other Pacific Islander	0	0	0	0			0
Other Ethnicity or Race / Multi-Cultural	1	6	10	3			44
White	4	25	17	6			92
Total Number Screened	35	69	69	26			515
Total Number Referred to RCOC	21	37	36	17			246

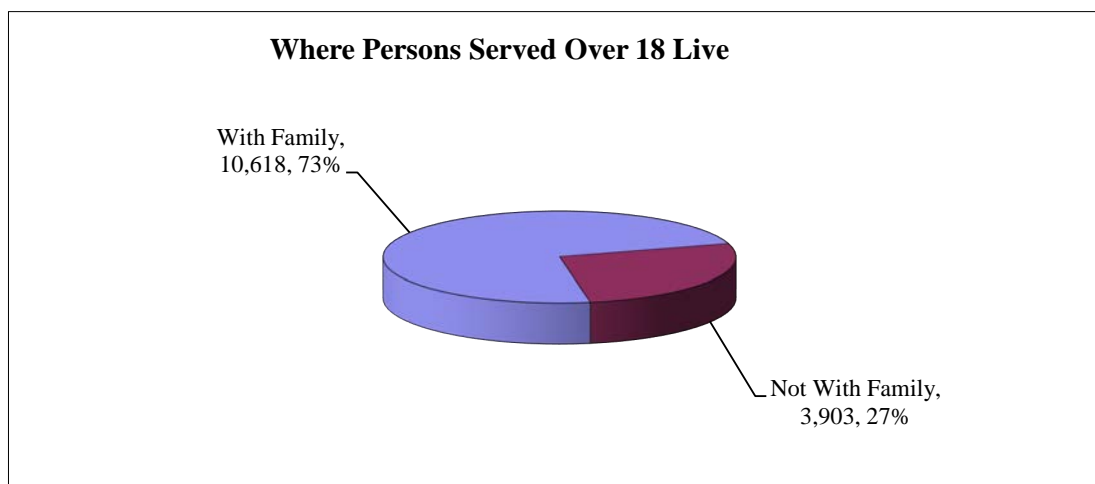
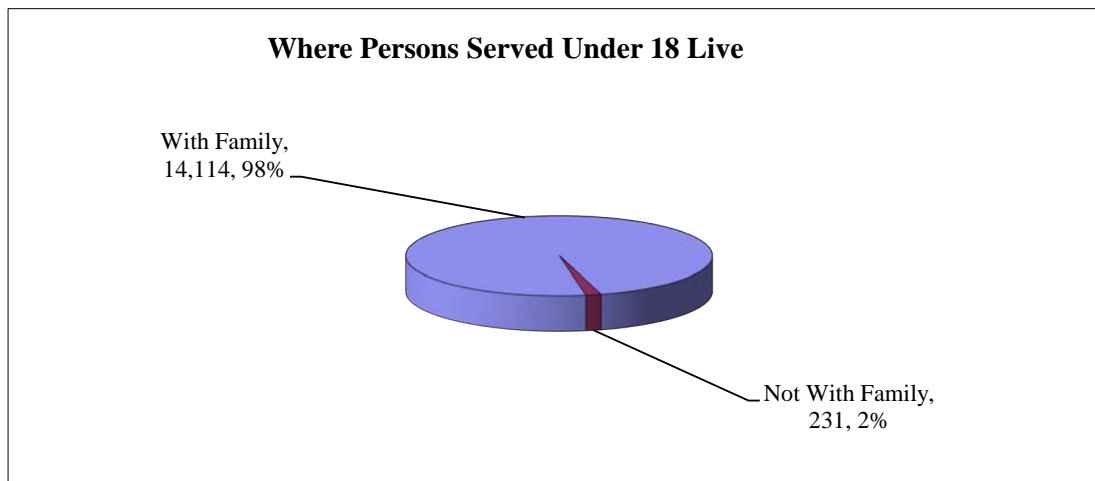


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

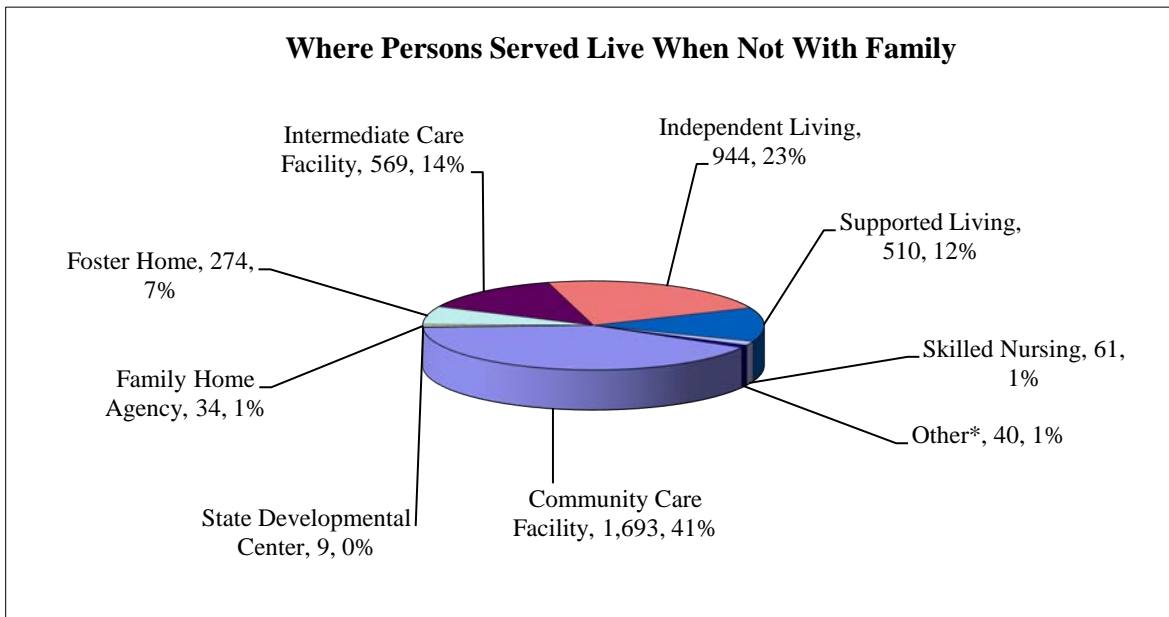
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	24,732	14,114	10,618
Not With Family	4,134	231	3,903
Totals	28,866	14,345	14,521



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	24,732	14,114	10,618
Community Care Facility	1,693	15	1,678
State Developmental Center	9	0	9
Family Home Agency	34	0	34
Foster Home	274	207	67
Intermediate Care Facility	569	0	569
Independent Living	944	0	944
Supported Living	510	0	510
Skilled Nursing	61	0	61
Other*	40	9	31
Total	28,866	14,345	14,521

Other*			
Acute General Hospital	4	0	4
California Youth Authority	0	0	0
Community Treatment	1	0	1
Correctional Institution	0	0	0
County Jail	0	0	0
Other	0	0	0
Out of State	1	1	0
Psychiatric Treatment	24	3	21
Rehabilitation Center	4	0	4
SDC / State Hospital	8	1	7
Sub-Acute	39	9	30
Transient / Homeless	9	2	7
Total, Other*	90	16	74



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

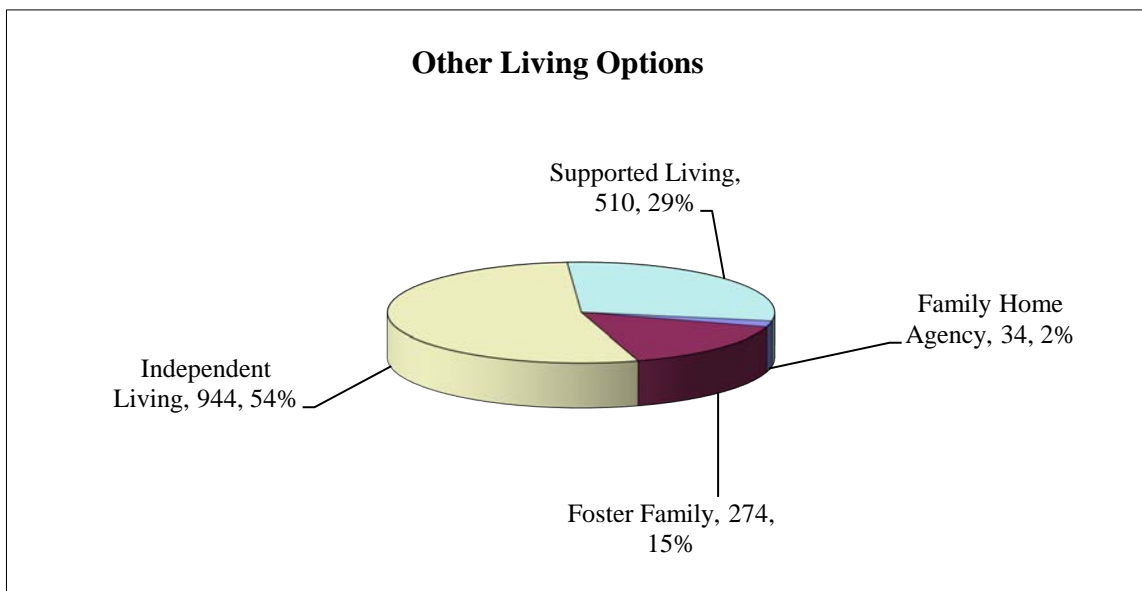
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	34	0	34
Foster Family	274	207	67
Independent Living	944	0	944
Supported Living	510	0	510
Total	1,762	207	1,555



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2025-26

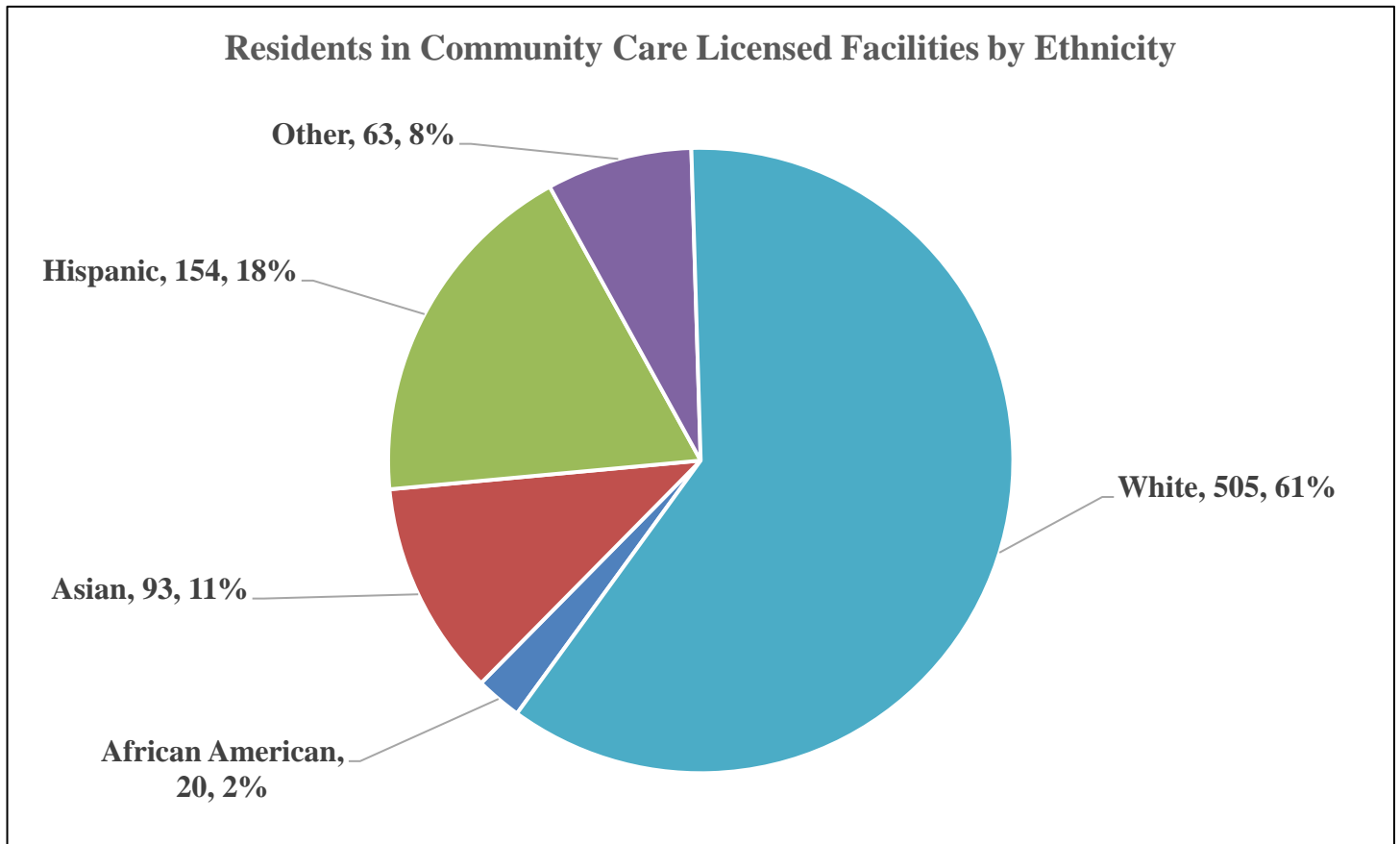
Licensed Facilities	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4A	0	0	0
Level 4B	0	0	0
Level 4C	0	0	0
Level 4D	0	0	0
Level 4E	0	0	0
Level 4F	0	0	0
Level 4G	0	0	0
Level 4H	0	0	0
Level 4I	0	0	0
Elderly	20	20	0
ICF/DD-H	1	1	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<i>Total</i>	<i>24</i>	<i>24</i>	<i>0</i>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4	0	0	0
ICF/DD-H	1	1	0
ICF/DD-N	3	5	0
Elderly	20	20	0
Skilled Nursing	0	0	0
<i>Total</i>	<i>24</i>	<i>26</i>	<i>0</i>

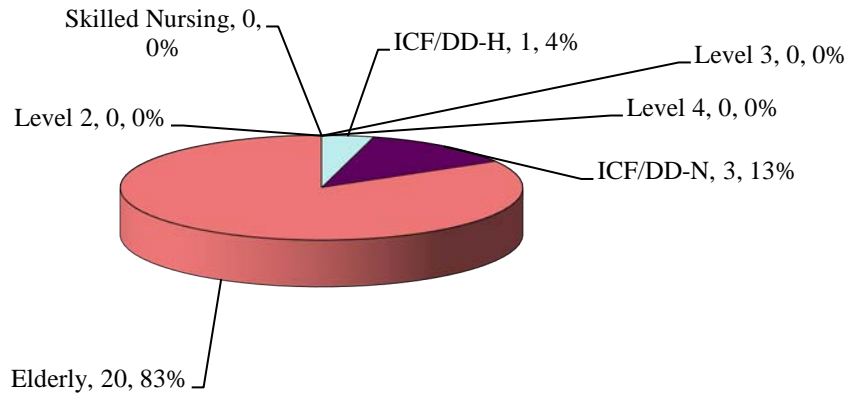
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2025-26

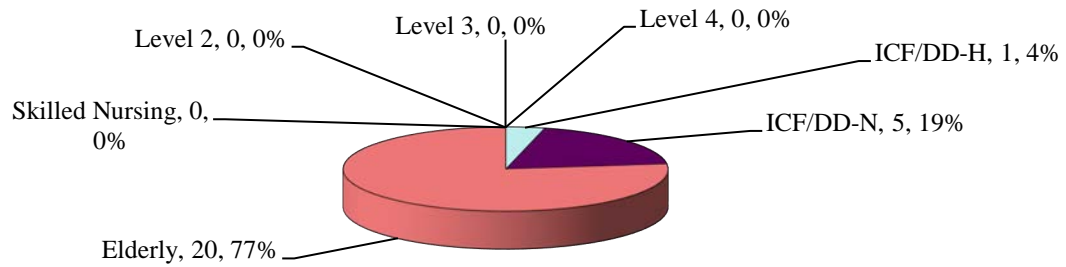
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	22	55	17	173	273
Level 3	7	47	73	39	230	396
Level 4A	7	24	26	7	102	166
Level 4B	0	0	0	0	0	0
Level 4C	0	0	0	0	0	0
Level 4D	0	0	0	0	0	0
Level 4E	0	0	0	0	0	0
Level 4F	0	0	0	0	0	0
Level 4G	0	0	0	0	0	0
Level 4H	0	0	0	0	0	0
Level 4I	0	0	0	0	0	0
Total	20	93	154	63	505	835



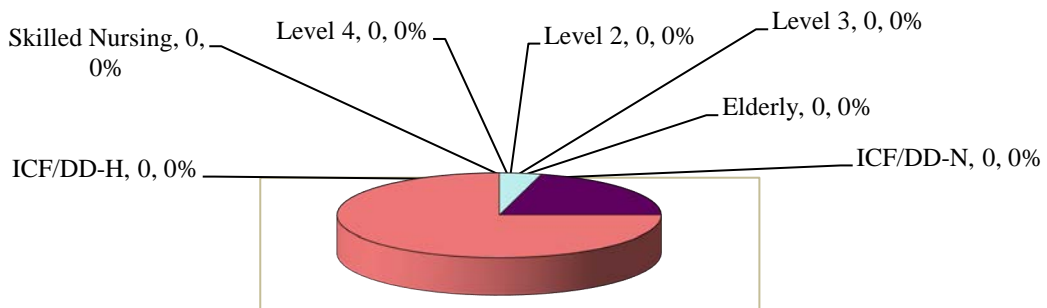
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

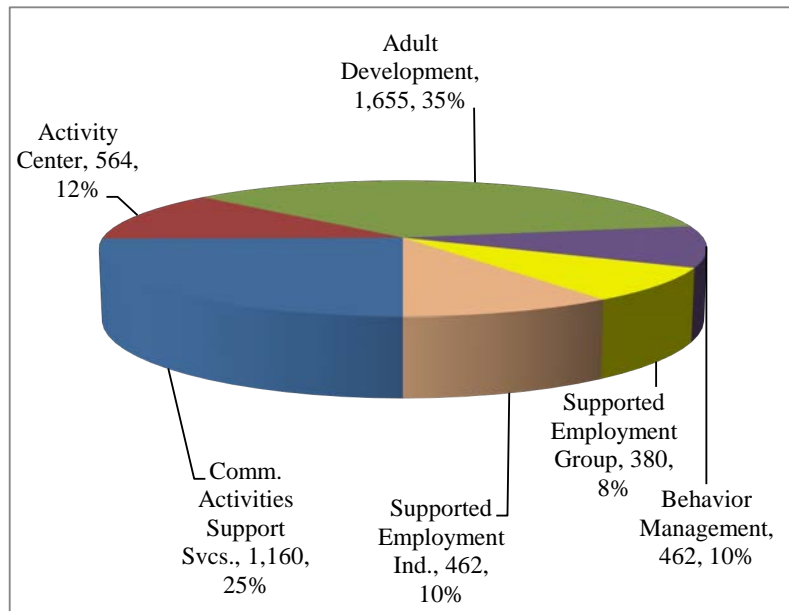


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,160
Activity Center	564
Adult Development	1,655
Behavior Management	462
Supported Employment Group	380
Supported Employment Ind.	462
Total	4,683



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2025-26

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	469.1	481.2	479.3	504.4	502.0	499.3
Number of Case-Carrying SCs	416.8	429.0	429.0	452.1	446.5	442.4
Number of Intake SCs	43.0	43.0	43.0	45.0	45.0	45.0
Number of Active Persons Served	27,926	28,006	28,174	28,291	28,458	28,611
Caseload Ratio, # of Active Persons Served/SCs	67.0	65.3	65.7	62.6	63.7	64.7

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	496.3	528.2	549.2	540.2		
Number of Case-Carrying SCs	440.3	471.3	492.3	483.9		
Number of Intake SCs	44.0	43.0	45.0	44.0		
Number of Active Persons Served	28,745	28,768	28,901	28,998		
Caseload Ratio, # of Active Persons Served/SCs	65.3	61.0	58.7	59.9		

SERVICE PLANNING AND COORDINATION continued

Fair Hearings
Fiscal Year 2025-26

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	28	27	23	27	24	27	27	32	34	42		
Eligibility - Lanterman	9	10	8	9	11	13	10	14	11	11		
Behavioral services				2	2	2	2	1	3	1		
Respite	2	3	3	4	2	1	1		2			
Day Care												
Self Determination Budget	10	4	6	6	5	7	8	12	7	12		
Personal Assistance	2	2	2	1				1	4	4		
Other**	7	9	6	6	5	5	7	5	13			

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	10	8	7	14	7	14	12	13	15	19		
Eligibility - Lanterman	3	6	5	5	6	7	7	6	7	5		
Eligibility - Early Start												
Behavioral services				2					1			
Respite	1	1		1								
Day Care												
Social/Recreational	1					1			1	1		
Social Skills Training												
SDP	2		2	3		4	5	4		6		
Personal Assistance	1								1			
Other**	2	1		1	1	1			5	6		

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	14	10	12	10	9	14	8	18	10	16		
Number of Informal Meetings Held	9	8	6	10	7	12	8	12	8	10		
Number of Mediations Held	3		2		1	2		4	2	4		
Number of SLFHs Held	2	2	2		1			2		2		

Number of Requests in Scheduling*	7	7	4	6	4	2	12	0	14	17		
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	1	2	0	1	1	0	0	2	0	0		
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	6	8	9	10	10	11	7	13	10	9		
Withdrawn by Person Served/Family			1	2	5	1	1	1	1	6		
Settled in Informal	4	7	5	7	5	9	6	11	6	3		
Settled after further follow-up by RCOC				1								
Settled in Mediation	1		1					1	1			
SLFH Decision	1	1	2			1			2			

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC	1	1	2			1			2			
Split												

ADMINISTRATION AND GOVERNANCE

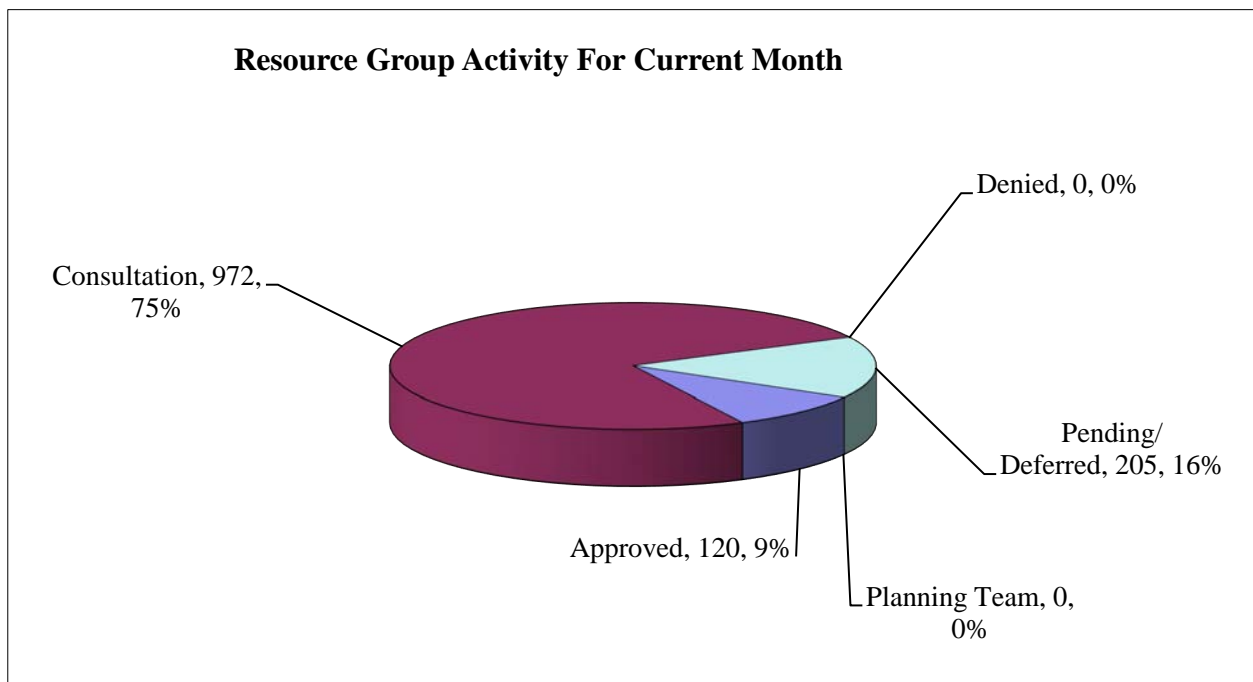
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for March 2026 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	8	313	0	70	0	391
Behavioral	30	62	0	12	0	104
Education	0	0	0	0	0	0
Eligibility/Health	1	0	0	3	0	4
Early Start	12	112	0	19	0	143
Living Options	13	122	0	6	0	141
Supported/Ind.	10	146	0	27	0	183
All Others	46	217	0	68	0	331
Monthly Total	120	972	0	205	0	1,297

FY 2025-26 Total to Date	1,189	10,221	193	1,607	0	13,210
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Operations Report Summary - April 2026

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,346	8,824	11,809	9	23,988	14,345	14,521
<i>Percentage of Total</i>	<i>14%</i>	<i>37%</i>	<i>49%</i>	<i>0%</i>	<i>100%</i>	<i>60%</i>	<i>61%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	24,732	14,114	10,618
Community Care Facility	1,693	15	1,678
State Developmental Center	9	0	9
Family Home Agency	34	0	34
Foster Home	274	207	67
Intermediate Care Facility	569	0	569
Independent Living	944	0	944
Supported Living	510	0	510
Skilled Nursing	61	0	61
Other	40	9	31
Total	28,866	14,345	14,521

Special Incident Investigations	Year to Date
AWOL	44
Abuse	100
Neglect	83
Injury	255
Hospitalizations - Total	490
Death	162
Victim of crime	9
Arrest	12
Rights	173
Total	1,328

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	74	0	74
Level 3	87	0	106
Level 4	211	8	211
Total Community Care Facilities	372	8	391

Licensed Facility Monitoring	Year to Date
Annual Review	448
Unannounced	737
Total Number of Reviews	941
Provider Trainings	0
Technical Support	3,193
Corrective Action Plans	47

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	65
ICF-DD/Nursing	41
Total ICF Facilities	106

Number of Audits	16
Amount of Recovery from Audits	\$351,018

Total Licensed Facilities	478
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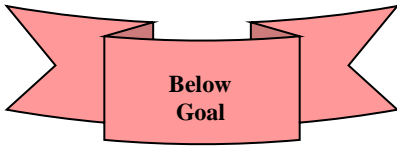
Performance Contract Summary

RCOC as of 5/01/2026	All	RCOC #	Goal	Percentage	# Attained
Children in Foster Homes (FH)	14,358	192	220	1.34%	-28
Children Own Home Parent/Guardian	14,358	14,105	13,720	98.24%	385
Total # Children (FH,Parent/Guardian)	14,358	14,297	13,940	99.58%	357
Adult FHA	14,621	58	90	0.40%	-32
Independent Living (IL)	14,621	937	982	6.41%	-45
Adults Residing Own Home - Parent	14,621	10,617	10,510	72.61%	107
Supported Living (SL)	14,621	504	505	106.00%	-1
Total # Adults (FHA, IL,Parent/Guardian, SL)	14,621	12,116	12,087	185.42%	29
Children Residing in a CCF (7+ beds)	14,358	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	14,358	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	14,358	0	0	0%	0
Total Children Residing in 7+ bed facilities	14,358	0	0	99.67%	0
Adults Residing in a CCF (7+ beds)	14,621	99	106	0.68%	7
Adults Residing in a ICF (7+ beds)	14,621	4	13	0.03%	9
Adults Residing in a Nursing Facility (7+ beds)	14,621	61	72	0.42%	11
Total Adults Residing in 7+ bed facilities	14,621	164	191	1.12%	27
Total Individuals Over Age 3 with <=120 days	456	456	100%	100.00%	100%
Total Individuals Over Age 3 with 121-240 days	456	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	456	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	14,621	43%	65%		
Total Number of Incentive Payments Made	14,621	342			
Avg. Wage per Hour After Incentive Payment	14,621	\$16.11			
Number of Persons Served with Earned Income	14,621	2,269			
Percentage of 16-64 Earned Income	14,621	15.5%			
Annual Earnings of 16-64	14,621	\$13,920			
Number of Adults in CIE After Paid Intern	14,621	8			
Percentage Adults Transitioned Internship to CIE	14,621	9%			
Total Annual Expenditures Race/Ethnicity	28,987				

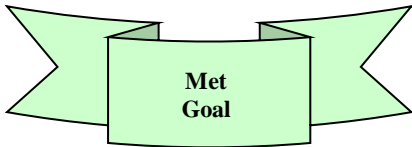
Performance Contract 2025-2026



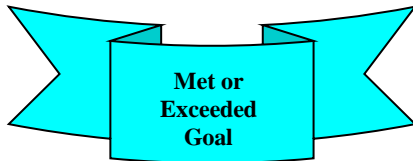
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2025-2026

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	No
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.25%
Intake/Assessment and IFSP (0-2)	99.82%
IPP development biennial	Annual, 99.46
IFSP development	84.70%



Performance Contract 2025-2026

I. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 242, or 1.8%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.20%	252,861	5,676			
RCOC Public Hearing 4/23/25	1.80%	13,137	242			
RCOC 5/01/26	1.34%	14,358	192	220	1.34%	-28
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%		RCOC % of FH pop.	4.26%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-25	13,730	220	193	1.41%	-27
Aug-25	13,744	220	200	1.46%	-20
Sep-25	13,860	220	198	1.43%	-22
Oct-25	13,912	220	202	1.45%	-18
Nov-25	14,017	220	200	1.43%	-20
Dec-25	14,130	220	204	1.44%	-16
Jan-26	14,193	220	203	1.43%	-17
Feb-26	14,196	220	196	1.38%	-24
Mar-26	14,278	220	192	1.34%	-28
Apr-26	14,358	220	192	1.34%	-28
May-26		220			
Jun-26		220			



Progress: B. During public meetings, RCOC had 12,854 or 97.90%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.47%	252,861	246,467			
RCOC Public Hearing 4/23/25	97.85%	13,137	12,854	Goal	%	# Attained
RCOC 5/01/26	98.24%	14,358	14,105	13,720	98.24%	385
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%	RCOC % of Home		97.85%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-25	13,730	13,720	13,482	98.19%	-238
Aug-25	13,744	13,720	13,486	98.12%	-234
Sep-25	13,860	13,720	13,599	98.12%	-121
Oct-25	13,912	13,720	13,649	98.11%	-71
Nov-25	14,017	13,720	13,758	98.15%	38
Dec-25	14,130	13,720	13,868	98.15%	148
Jan-26	14,193	13,720	13,931	98.15%	211
Feb-26	14,196	13,720	13,938	98.18%	218
Mar-26	14,278	13,720	14,026	98.24%	306
Apr-26	14,358	13,720	14,105	98.24%	385
May-26		13,720			
Jun-26		13,720			





Progress: C. During public meetings, RCOC had 13,096, or 99.70%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.70%	252,861	252,143			
RCOC Public Hearing 4/23/25	99.70%	13,137	13,096	Goal	%	# Attained
RCOC 5/01/26	99.58%	14,358	14,297	13,940	99.58%	357
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % Homes		91.60%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-25	13,730	13,940	13,675	99.60%	-265
Aug-25	13,744	13,940	13,686	99.58%	-254
Sep-25	13,860	13,940	13,797	99.55%	-143
Oct-25	13,912	13,940	13,851	99.56%	-89
Nov-25	14,017	13,940	13,958	99.58%	18
Dec-25	14,130	13,940	14,072	99.59%	132
Jan-26	14,193	13,940	14,134	99.58%	194
Feb-26	14,196	13,940	14,134	99.56%	194
Mar-26	14,278	13,940	14,218	99.58%	278
Apr-26	14,358	13,940	14,297	99.58%	357
May-26		13,940			
Jun-26		13,940			



Performance Contract 2025-2026

II. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 91, or 0.7%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.70%	203,915	1,484			
RCOC Public Hearing 4/23/25	0.70%	13,898	91	90	0.40%	-32
RCOC 5/01/26	0.40%	14,621	58			
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		6.13%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-25	14,172	90	70	0.49%	-20
Aug-25	14,207	90	66	0.46%	-24
Sep-25	14,278	90	64	0.45%	-26
Oct-25	14,346	90	64	0.45%	-26
Nov-25	14,413	90	64	0.44%	-26
Dec-25	14,163	90	63	0.44%	-27
Jan-26	14,517	90	63	0.43%	-27
Feb-26	14,537	90	61	0.42%	-29
Mar-26	14,604	90	59	0.40%	-31
Apr-26	14,621	90	58	0.40%	-32
May-26		90			
Jun-26		90			



Progress: B. During public meetings, RCOC had 976, or 7.0%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	8.90%	203,915	18,216			
RCOC Public Hearing 4/23/25	7.00%	13,898	976	Goal	%	# Attained
RCOC 5/01/26	6.41%	14,621	937	982	6.41%	-45
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.36%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-25	14,172	982	948	6.69%	-34
Aug-25	14,207	982	950	6.69%	-32
Sep-25	14,278	982	947	6.63%	-35
Oct-25	14,346	982	944	6.58%	-38
Nov-25	14,413	982	945	6.56%	-37
Dec-25	14,463	982	947	6.57%	-35
Jan-26	14,517	982	943	6.50%	-39
Feb-26	14,537	982	934	6.42%	-48
Mar-26	14,604	982	941	6.44%	-41
Apr-26	14,621	982	937	6.41%	-45
May-26		982			
Jun-26		982			

Progress: C. During public meetings, RCOC had 9,890, or 71.2%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	69.90%	203,915	142,439			
RCOC Public Hearing 4/23/25	71.20%	13,898	9,890			
RCOC 5/01/26	72.61%	14,621	10,617	10,510	72.61%	107
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-25	14,172	10,510	10,173	71.78%	-337
Aug-25	14,207	10,510	10,207	71.84%	-303
Sep-25	14,278	10,510	10,271	71.94%	-239
Oct-25	14,346	10,510	10,338	72.06%	-172
Nov-25	14,413	10,510	10,408	72.21%	-102
Dec-25	14,463	10,510	10,449	72.25%	-61
Jan-26	14,517	10,510	10,507	72.38%	-3
Feb-26	14,537	10,510	10,543	72.53%	33
Mar-26	14,604	10,510	10,598	72.57%	88
Apr-26	14,621	10,510	10,617	72.61%	107
May-26		10,510			
Jun-26		10,510			



Progress: D. During public meetings, RCOC had 489, or 3.5%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	4.70%	203,915	9,477			
RCOC Public Hearing 4/23/25	3.50%	13,898	489	Goal	%	# Attained
RCOC 5/01/26	3.45%	14,621	504	505	3.45%	-1
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.16%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-25	14,172	505	492	3.47%	-13
Aug-25	14,207	505	492	3.46%	-13
Sep-25	14,278	505	495	3.47%	-10
Oct-25	14,346	505	497	3.46%	-8
Nov-25	14,413	505	490	3.40%	-15
Dec-25	14,463	505	491	3.39%	-14
Jan-26	14,517	505	496	3.42%	-9
Feb-26	14,537	505	498	3.43%	-7
Mar-26	14,604	505	499	3.42%	-6
Apr-26	14,621	505	504	3.45%	-1
May-26		505			
Jun-26		505			





Progress: E. During public meetings, RCOC had 11,446, or 82.4%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	84.20%	203,915	171,616			
RCOC Public Hearing 4/23/25	82.40%	13,898	11,446	Goal	%	# Attained
RCOC 5/01/26	82.87%	14,621	12,116	12,087	82.87%	29
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-25	14,172	12,087	11,683	82.44%	-404
Aug-25	14,207	12,087	11,715	82.46%	-372
Sep-25	14,278	12,087	11,777	82.48%	-310
Oct-25	14,346	12,087	11,843	82.55%	-244
Nov-25	14,413	12,087	11,907	82.61%	-180
Dec-25	14,463	12,087	11,950	82.62%	-137
Jan-26	14,517	12,087	12,009	82.72%	-78
Feb-26	14,537	12,087	12,036	82.80%	-51
Mar-26	14,604	12,087	12,097	82.83%	10
Apr-26	14,621	12,087	12,116	82.87%	29
May-26		12,087			
Jun-26		12,087			



Performance Contract 2025-2026

III. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	252,861	14			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 5/01/26	0.00%	14,358	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26	14,358	0	0	0.00%	0
May-26		0			
Jun-26		0			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	252,861	32			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 5/01/26	0.00%	14,358	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,192	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26	14,358	0	0	0.00%	0
May-26		0			
Jun-26		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	*	252,861	*			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 5/01/26	0.00%	14,358	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of NF		0.00%

**in accordance with CA Health and Human Services de-identification guidelines, counts of 1-10 have been suppressed*



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26	14,358	0	0	0.00%	0
May-26		0			
Jun-26		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	252,861	49			
RCOC Public Hearing 4/23/25	0.00%	13,137	0			
RCOC 5/01/26	0.01%	14,358	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26	14,358	0	0	0.00%	0
May-26		0			
Jun-26		0			



Performance Contract 2025-2026

IV. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

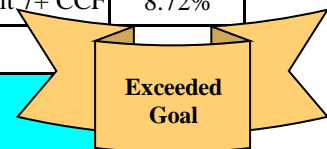
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 112, or 0.80%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.67%	203,915	1,284			
RCOC Public Hearing 4/23/25	0.80%	13,898	112			
RCOC 5/01/26	0.68%	14,621	99	106	0.68%	7
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.72%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-25	14,172	106	98	0.69%	8
Aug-25	14,207	106	98	0.69%	8
Sep-25	14,278	106	99	0.69%	7
Oct-25	14,346	106	99	0.69%	7
Nov-25	14,413	106	100	0.69%	6
Dec-25	14,463	106	100	0.69%	6
Jan-26	14,517	106	97	0.67%	9
Feb-26	14,537	106	98	0.67%	8
Mar-26	14,604	106	99	0.68%	7
Apr-26	14,621	106	99	0.68%	7
May-26		106			
Jun-26		106			



Progress: B. During public meetings, RCOC had 15, or 0.10%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	203,915	705			
RCOC Public Hearing 4/23/25	0.10%	13,898	15			
RCOC 5/01/26	0.03%	14,621	4	13	0.03%	9
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		2.13%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-25	14,172	13	14	0.10%	-1
Aug-25	14,172	13	14	0.10%	-1
Sep-25	14,278	13	14	0.10%	-1
Oct-25	14,346	13	15	0.10%	-2
Nov-25	14,413	13	15	0.10%	-2
Dec-25	14,463	13	14	0.10%	-1
Jan-26	14,517	13	13	0.09%	0
Feb-26	14,517	13	7	0.05%	6
Mar-26	14,604	13	5	0.03%	8
Apr-26	14,621	13	4	0.03%	9
May-26		13			
Jun-26		13			



Progress: C. During public meetings, RCOC had 77, or 0.60%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.40%	203,915	874			
RCOC Public Hearing 4/23/25	0.60%	13,898	77			
RCOC 5/01/26	0.42%	14,621	61	72	0.42%	11
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		8.81%



	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-25	14,172	72	70	0.49%	2
Aug-25	14,207	72	69	0.49%	3
Sep-25	14,278	72	69	0.48%	3
Oct-25	14,346	72	65	0.45%	7
Nov-25	14,413	72	61	0.42%	11
Dec-25	14,463	72	66	0.46%	6
Jan-26	14,517	72	64	0.44%	8
Feb-26	14,537	72	65	0.45%	7
Mar-26	14,608	72	65	0.45%	7
Apr-26	14,621	72	61	0.42%	11
May-26		72			
Jun-26		72			

Progress: D. During public meetings, RCOC had 204, or 1.5%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.40%	203,915	2,863			
RCOC Public Hearing 4/23/25	1.50%	13,898	205			
RCOC 5/01/26	1.12%	14,621	164	191	1.12%	27
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		7.16%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-25	14,172	191	182	1.28%	9
Aug-25	14,207	191	181	1.27%	10
Sep-25	14,278	191	182	1.27%	9
Oct-25	14,278	191	191	1.25%	12
Nov-25	14,413	191	176	1.22%	15
Dec-25	14,463	191	180	1.24%	11
Jan-26	14,517	191	174	1.20%	17
Feb-26	14,537	191	170	1.17%	21
Mar-26	14,604	191	169	1.16%	22
Apr-26	14,621	191	164	1.12%	27
May-26		191			
Jun-26		191			



Performance Contract 2025-2026

V. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 330, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	100%	330	300		
RCOC 5/01/26	100.00%	456	456	100%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained	Met Goal
Jul-25	537	100%	537	100%	
Aug-25	507	100%	504	99.41%	
Sep-25	480	100%	480	100%	
Oct-25	468	100%	467	99.79%	
Nov-25	430	100%	430	100%	
Dec-25	388	100%	387	99.74%	
Jan-26	332	100%	331	99.70%	
Feb-26	336	100%	336	100%	
Mar-26	389	100%	389	100%	
Apr-26	456	100%	456	100%	
May-26		100%			
Jun-26		100%			

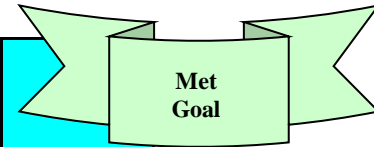
Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0.00%	330	0	0%	0.00%
RCOC 5/01/26	0.00%	456	0	0%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	3	0.59%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	1	0.21%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	1	0.26%
Jan-26	332	0%	0	0.00%
Feb-26	336	0%	0	0.00%
Mar-26	389	0%	0	0.00%
Apr-26	456	0%	0	0.00%
May-26		0%		
Jun-26		0%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0%	330	0		
RCOC 5/01/26	0.42%	456	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	0	0.00%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	0	0.00%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	0	0.00%
Jan-26	332	0%	1	0.30%
Feb-26	336	0%	0	0.00%
Mar-26	389	0%	0	0.00%
Apr-26	456	0%	0	0.00%
May-26		0%		
Jun-26		0%		



Performance Contract 2025-2026

VI. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2017-18, 43% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	30%	Goal
RCOC FY 2014-15	33%	50%
RCOC FY 2017-18	43%	65%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$2,000, \$2,500, and \$3,000 incentive payments made within the fiscal year.

Fiscal Year	\$2,000	\$2,500	\$3,000
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
2022-2023	124	113	105

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
2022-2023	16.9	\$16.11

Performance Contract 2025-2026

VII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2022 indicate that 1,964 persons served ages 16-64 had earned income. In 2023, 2,269 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	2,588	1,477
2019	2,607	1,520
2020	2,503	1,417
2021	1,839	1,414
2022	1,964	1,423
2023	2,269	1,583

Progress: B. Results from the EDD in 2022 indicate that 14.8% of persons served ages 16-64 reported having earned income. In 2023, the percentage of persons served ages 16-64 reporting earned income was 15.5%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2019	20%	16.6%
2020	18.8%	15.2%
2021	13.6%	13.9%
2022	14.8%	15.4%
2023	15.5%	15.2%

Progress: C. Results from the EDD in 2022 indicate that average annual wages for persons served ages 16-64 was \$12,900. In 2023, the average annual wage for persons served ages 16-64 was \$13,920. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,956	\$8,820
2020	\$6,936	\$8,952
2021	\$11,076	\$11,892
2022	\$12,900	\$13,200
2023	\$13,920	\$14,256



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2022-23 RCOC had 8 individuals within a PIP that resulted in employment.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2018-19	7
2019-20	11
2020-21	0
2022-23	8

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2022-23, 9% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2019-20	14%
2020-21	0%
2022-23	9%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2019-20	13	\$13.43
2020-21	13	\$13.98
2022-23	11.7	\$15.91



Performance Contract 2025-2026

VIII. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2024-2025 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	6	\$38,621	\$3,582	55.7%
Asian	931	\$11,417,518	\$7,681	62.6%
Black/African American	94	\$1,298,775	\$6,920	50.1%
Hispanic	2,254	\$23,935,532	\$6,255	58.9%
Native Hawaiian or Other Pacific Islander	7	\$72,402	\$6,973	67.4%
White	1,229	\$11,144,260	\$5,159	56.9%
Race/Multi-Cultural	1,130	\$12,180,816	\$6,487	60.2%
Totals	5,651	\$60,087,924	\$6,307	59.3%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	16	\$521,799	\$4,618	14.2%
Asian	2,469	\$27,579,138	\$5,252	47.0%
Black/African American	261	\$4,375,491	\$7,853	46.8%
Hispanic	4,817	\$45,908,919	\$4,137	43.4%
Native Hawaiian or Other Pacific Islander	30	\$329,453	\$4,028	36.7%
White	2,370	\$36,737,651	\$8,549	55.1%
Race/Multi-Cultural	2,370	\$31,014,006	\$6,457	49.2%
Totals	12,324	\$146,466,457	\$5,732	48.2%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	22	\$1,752,140	\$54,697	70.9%
Asian	1721	\$95,857,290	\$37,522	67.4%
Black/African American	285	\$21,133,070	\$51,204	69.1%
Hispanic	3251	\$142,949,249	\$29,417	66.9%
Native Hawaiian or Other Pacific Islander	14	\$627,684	\$32,812	73.2%
White	4877	\$392,461,532	\$58,681	72.9%
Other Ethnicity or Race/Multi-Cultural	1051	\$61,370,970	\$40,106	68.7%
Totals	1122	\$7,161,515,936	\$44,991	70.5%



Progress: B. Review of fiscal year 2023-24 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	6	2	33.3%
Asian	931	44	4.7%
Black/African American	94	4	4.3%
Hispanic	2,254	127	5.6%
Native Hawaiian or Other Pacific Islander	7	1	14.3%
White	1,229	82	6.7%
Other Race/Ethnicity or Multi-Cultural	1,130	68	6%
Totals	5,651	328	5.8%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	16	3	18.8%
Asian	2,469	696	28.2%
Black/African American	261	89	34.1%
Hispanic	4,817	1,975	41%
Native Hawaiian or Other Pacific Islander	30	9	30%
White	2,370	690	29.1%
Other Race/Ethnicity or Multi-Cultural	2,361	730	30.9%
Totals	12,234	4,192	34%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	22	4	18.2%
Asian	1,721	414	24.1%
Black/African American	285	57	20%
Hispanic	3,251	861	26.5%
Native Hawaiian or Other Pacific Islander	14	4	28.6%
White	4,877	793	16.3%
Other Race/Ethnicity or Multi-Cultural	1,051	262	24.9%
Totals	11,221	2395	21.3%





Progress: C. Review of fiscal year 2023-24 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Fiscal Year 2023-2024 Primary Language All Ages	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
Chinese	89	\$1,871,887	\$14,234	67.7%
English	22,915	\$789,858,234	\$23,259	67.5%
Spanish	4,861	\$91,768,371	\$10,930	55.8%
Vietnamese	1,078	\$20,887,583	\$11,974	61.8%
All Other Languages	433	\$18,320,241	\$29,607	70.0%
Totals	29,196	\$922,706,316	\$20,932	66.2%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Sandy Martin
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of the 2026 Strategic Plan

BACKGROUND:

RCOC’s Board of Directors maintains a Strategic Plan to guide the work of RCOC in fulfilling its mission. The Board reviews and updates the Strategic Plan periodically to ensure it is focused on the current and anticipated future needs of the organization and the individuals it serves. The Policies and Outcomes Committee was charged with preparing updates to the Strategic Plan, then presenting it to the Executive Committee for its review, approval and recommendation to the Board for final approval.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee reviewed the Strategic Plan and proposed updates. The Executive Committee reviewed and approved the proposed Strategic Plan at its meeting on May 18, 2026, and recommends it to the Board for approval. The updated Strategic Plan includes seven strategic goals in the areas of employment, housing, public image, Self-Determination Program, health and wellness, executive leadership and board development.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the 2026 Strategic Plan as presented.

Regional Center of Orange County 2026 Strategic Plan

Introduction

Within the spirit of the Regional Center of Orange County's (RCOC's) Board of Directors' Policy Governance Model (Carver Model), the strategic goals and suggested plans in this document are meant to guide the work of RCOC in fulfilling its mission. The development of this strategic plan focuses on RCOC's priorities in response to the current and anticipated future needs of the organization and the persons and families served by RCOC. Its aim is also to ensure that RCOC's Board and Executive Management Team are working toward the same goals.

The goals in this document are set forth in response to input from the community through public meetings, National Core Indicators survey results, as well as through Board assessments and trends in the field of developmental disabilities on the state and national level. After the Board adopts this document, RCOC's management team will provide quarterly updates at a minimum, with regular updates at the Policy and Outcomes Committee meetings and higher-level updates at Board meetings.

RCOC's Mission and Vision Statements

Mission

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

Vision

Every person served by RCOC is a valued member of the community and achieves their individual potential.

RCOC's Strategic Goals

1. Increase the number of adult persons served in competitive integrated employment (CIE) and in appropriate adult day services, including transportation to CIE and adult day services.
2. Increase the supply of safe, appropriate and affordable housing options for persons served by RCOC through stakeholder engagement.
3. Strengthen RCOC's public image and relationships within the Orange County community and with policymakers to advance the mission.
4. Expand awareness of and access to the Self-Determination Program (SDP) through sustained outreach efforts, educational initiatives, and individualized support.
5. Foster healthier lifestyle options to reduce health risk factors and improve the overall well-being of those served by RCOC.
6. Cultivate executive leadership growth and sustainability through training, succession planning and leadership development.
7. Build, train and maintain a strong, effective Board of Directors.

Strategic Goal 1: Increase the number of adult persons served in competitive integrated employment (CIE) and in appropriate adult day services, including transportation to CIE and adult day services

Objectives:

- Educate and support families and persons served by RCOC, service coordinators, school district staff, and vendors on the benefits of competitive integrated employment.
- Expand employment opportunities for working-age persons served by RCOC, including the development of needed employment as an option. Gather and analyze meaningful employment data to determine progress in increased competitive integrated employment and transportation and identify reasons persons served and families may not be considering employment as an option.
- Continue to advocate at the State level for funding and resources needed to make available the most appropriate employment service options that can support all adults interested in CIE in a manner that is consistent with RCOC's and the State's Employment First policy.
- Educate and support families and persons served by RCOC, service coordinators, and school district staff about available adult day service options through RCOC.
- Expand appropriate adult day services options for adults served by RCOC, including transportation options that will provide meaningful and person-centered supports.

- Gather and analyze meaningful data on adult day services to determine progress in increased access to appropriate adult day service options and identify reasons persons served and families may not be utilizing adult day services.

Strategic Goal 2: Increase the supply of safe, appropriate and affordable housing options for persons served by RCOC through stakeholder engagement

Objectives:

- Educate families and persons served, service coordinators, and community partners about housing options and related services.
- Provide families and persons served with support to help them explore, prepare for and identify future housing options and related services.
- Expand housing opportunities for persons served through advocacy and relationships with community partners.
- Actively seek new funding opportunities and resources, including Community Resource Development Program (CRDP) through the Department of Developmental Services, for housing projects and developments for persons served.

Strategic Goal 3: Strengthen RCOC's public image and relationships within the Orange County community and with policymakers to advance the mission

Objectives:

- Increase awareness of RCOC and available services.
- Establish and/or maintain working partnerships with businesses, employers, schools, legislators, news media and community service leaders and organizations.
- Position RCOC as a positive, vital force in the community.
- Increase positive recognition, enthusiasm and support for RCOC as a leader in advocacy and ensuring quality services for individuals with developmental disabilities and their families.
- Maintain a high level of transparency and accountability.

Strategic Goal 4: Expand awareness of and access to the Self-Determination Program (SDP) through sustained outreach efforts, educational initiatives, and individualized support

Objectives:

- Utilize Person-Centered Planning to ensure each participant has access to a structured individualized planning process that reflects their goals, preferences and needs.
- Provide individuals and families with training on self-determination principles, budgets and spending plans.
- Offer coaching, monitoring and provide feedback to ensure individuals feel confident and supported in their choices.
- Utilize technology to streamline processes, improve access to information, and enhance communication for individuals in the program.

Strategic Goal 5: Foster healthier lifestyle options to reduce health risk factors and improve the overall well-being of those served by RCOC

Objectives:

- Maintain a Board-adopted Health, Wellness and Safety Initiative that addresses the physical health, emotional health and safety needs of those we serve.
- Create and establish a Health, Wellness and Safety Community Advisory Council that will meet at least annually
- Expand healthy lifestyle opportunities for RCOC individuals served through staff, vendor and community partnerships.
- Maintain current and accurate health and wellness data, including NCI survey results, and use data to determine progress.
- Use health satisfaction outcomes data and social-recreation goals to expand the wellness opportunities and resources for persons served.

Strategic Goal 6: Cultivate executive leadership growth and sustainability through training, succession planning and leadership development

Objectives:

- Utilize the organization's mission to identify the leadership and managerial skills needed to carry out the organization's mission and vision.
- Recruit, develop and retain talented individuals who have or can develop the identified leadership and managerial skills needed to carry out the organization's mission and vision. Provide the necessary internal and external tools and resources, along with the appropriate training and mentoring, for Executive leadership to carry out the organization's mission and vision consistent with its values and guiding principles.

Strategic Goal 7: Build, train and maintain a strong effective Board of Directors

Objectives:

- Continually assess RCOC's Board leadership needs.
- Establish a process to identify, contact and secure commitments from the best-qualified individuals to fill Board leadership needs.
- Implement a long-range plan for Board leadership recruitment and development.
- Orient new Board members to RCOC and provide ongoing training and support.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Sandy Martin
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Board of Directors' Meeting Schedule for Fiscal Year 2026-27

BACKGROUND:

The Board of Directors approves its meeting schedule annually.

REASON FOR CURRENT ITEM:

The proposed meeting schedule is attached.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board its meeting schedule for Fiscal Year 2026-2027 as presented.

FY 2026-27 Meeting Schedule for RCOC Board of Directors

Board of Directors Meeting 5-8 p.m. <i>Board Rooms</i>	Board of Directors Training 5:30-7 p.m. <i>Videoconference</i>	Board Packet Review 3-4 p.m. <i>Board Rooms</i>	Budget & Finance 4-5 p.m. <i>Board Rooms</i>	Peer Advisory 3-4 p.m. <i>Videoconference</i>	Executive 5-6 p.m. <i>Videoconference</i>	Legislative & Community Awareness 5-6 p.m. <i>Videoconference</i>	Policies & Outcomes 6-7 p.m. <i>Videoconference</i>	Board Recruitment & Training 5-6 p.m. <i>Videoconference</i>	Vendor Advisory 2-4 p.m. <i>Videoconference</i>
<i>Minimum of Six 1st Thursdays</i>	<i>1st Thursday</i>	<i>1st Thursday</i>	<i>1st Thursday</i>	<i>3rd Wednesday</i>	<i>3rd Monday</i>	<i>2nd Tuesday</i>	<i>3rd Monday</i>	<i>2nd Monday</i>	<i>2nd Tuesday</i>
Dark	7/18/26 [^]	Dark	Dark	Dark	7/20/26	7/14/26	7/20/26	7/13/26	7/14/26
Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark
9/3/26	Dark	9/3/26	9/3/26	9/16/26	9/21/26	Dark	Dark	9/14/26	9/8/26
Dark	10/1/26	Dark	Dark	10/21/26	10/19/26	10/13/26	10/19/26	Dark	10/13/26
11/5/26	Dark	11/5/26	11/5/26	Dark	11/16/26	Dark	Dark	11/9/26	11/10/26
Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark	Dark
1/14/27*	Dark	1/14/27*	1/14/27*	1/20/27	1/19/27**	1/12/27	Dark	1/11/27	1/12/27
Dark	2/4/27	Dark	Dark	2/17/27	2/16/27**	Dark	2/16/27**	2/8/27	2/9/27
3/4/27	Dark	3/4/27	3/4/27	3/17/27	3/15/27	Dark	Dark	Dark	3/9/27
Dark	4/1/27	Dark	Dark	Dark	4/19/27	4/13/27	4/19/27	4/12/27	4/13/27
5/6/27	Dark	5/6/27	5/6/27	5/19/27	5/17/26	Dark	5/17/27	5/10/27	5/11/27
6/3/27	Dark	6/3/27	6/3/27	6/16/27	6/21/26	Dark	Dark	Dark	6/8/27

*Scheduled on second Thursday due to holiday

**Scheduled for Tuesday due to Monday holiday

[^] Board Retreat

**Regional Center of Orange County
Board Recruitment and Training Committee
May 11, 2026
Videoconference Minutes**

Committee Members Present: Sandy Martin, Chair
Bruce Hall
Chinh Nguyen
Jacqueline Nguyen
Hilda Mendez
Chip Wright

Committee Member Absent: Frances Hernandez

RCOC Staff Present: Larry Landauer, Executive Director
Arturo Cazares, Associate Executive Director
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Ms. Sandy Martin called the videoconference meeting to order at 5:00 p.m.

I. Board Recruitment

A. Review Board Members' Terms of Office and Upcoming Turnover

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed a draft agenda for the upcoming Board of Directors' Retreat scheduled for July 18, 2026.

***Board Recruitment and Training Committee Minutes
May 11, 2026***

III. Community Forum

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:24 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Policies and Outcomes Committee
May 18, 2026
Videoconference Minutes**

Committee Members Present: Chip Wright, Chairperson
Bruce Hall
Liza Krassner
Sandy Martin

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrod Bonner, Chief Information Officer
Arturo Cazares, Associate Executive Director
Bonnie Ivers, Director of Clinical Services
Christy Petteruto, General Counsel
Stacy Wong, Director of Human Resources
Marta Vasquez, Chief Financial Officer

Corporate Counsel Present: Greg Simonian, Esq.

Mr. Chip Wright called the videoconference meeting to order at 5:52 p.m.

I. Governance Policies

A. Review of the Ends Policies

The Committee reviewed and did not propose any revisions to the policy.

B. Review of the Whistleblower Policy

The Committee reviewed and proposed revisions to the policy.

M/S/C to recommend that the Board approve the Whistleblower Policy as proposed

II. Outcomes

A. Person Centered Thinking (PCT) Update

In Ms. Jennifer Montanez's absence, Mr. Landauer reported that there are no updates.

B. Health and Wellness Project Update

Dr. Bonnie Ivers reported that there are no updates.

C. Employment Update

Mr. Arturo Cazares reported that there are no updates.

D. Housing Update

In Mr. Jack Stanton's absence, Mr. Landauer reported that there are no updates.

E. National Core Indicators (NCI) Update

Mr. Landauer reported that there are no updates.

III. Community Forum

No community members were present.

Mr. Wright adjourned the meeting at 5:58 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Chip Wright
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Ends Policies

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on May 18, 2026, the Policies and Outcomes Committee reviewed the Ends Policies.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Ends Policies.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

I. ENDS POLICIES

A. Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assists them in realizing their full potential.

B. Vision Statement

Every person served by RCOC is a valued member of the community and achieves their individual potential.

C. Value Statements

1. RCOC is a conscientious steward of public funds and recognizes the importance of transparency and accountability to its stakeholders and the community it serves.
2. RCOC abides by the laws of local, state and federal governments at all times and also strives to comply with its own Policies, Procedures and Guiding Principles.
3. RCOC utilizes a person-centered planning framework that demonstrates an awareness of, and sensitivity to, the lifestyle and cultural background of persons served and their families.
4. All information and records obtained by RCOC in the course of intake, assessment and ongoing delivery of services are confidential and disclosed only according to the conditions mandated by the Lanterman Developmental Disabilities Services Act.

D. Guiding Principles

Within the context of its mission, vision and values statements, RCOC shall use best efforts to follow the Guiding Principles set forth below.

1. Community Life

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize

recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.

- Persons served have access to information about ways to live longer, experience better health, and practice health-promoting behaviors such as exercising regularly, refraining from smoking, and obtaining timely health checkups and screenings.
- Persons served and their families have knowledge of, access to and opportunity for participation in community activities and resources of their choosing.

2. Self-Advocacy/Self-Determination Supports

- Persons served make decisions about all aspects of their lives.
- Persons served choose the members of their Circle of Support.
- Persons served have the opportunity and choice to have relationships, get married and have a family.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with persons not paid to be with them.

3. Family Supports

- Families are informed advocates for their loved ones with developmental disabilities.
- Parents/Guardians are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- When preferred by the person served, RCOC supports the ongoing involvement of family members of adult children.

4. Early Intervention and Prevention

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.

- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

5. Living Options

- Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.
- Families whose minor or adult children choose to remain in the family home are supported through available resources.
- Persons served live in homes where they receive quality care and can form relationships.

6. Education/Lifelong Learning

- Persons served have the opportunity to be educated with their peers without disabilities in classrooms at their neighborhood schools.
- RCOC advocates for appropriate educational opportunities for the school-aged persons it serves.
- Persons served have lifelong learning opportunities to enhance and ensure full participation in community life.

7. Work

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

8. Socialization, Recreation and Leisure

- Leisure skills and socialization opportunities are important aspects of life and are considered essential in the development of the Person-Centered Plan.
- Persons served have the opportunity to participate in personally meaningful leisure activities of their choosing.
- Families provide and encourage the development of socialization skills and opportunities for their children.
- Integrated recreation and leisure activities in the community are a valuable part of developing socialization skills and building social networks.

9. Service Planning and Coordination

- Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.
- Service coordinators inform families of their rights and the services and supports available to them.
- Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.
- Person-centered planning takes into account the choices and preferences of the persons served and their families, and the identification of generic resources and natural supports.
- Services and supports assist persons served and their families to develop support networks leading to reduced dependence on paid supports.
- Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.

10. Administration and Governance

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- RCOC's staffing pattern reflects and is sensitive to the cultural and ethnic characteristics of its service areas.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community input in decision making.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.
- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: June 4, 2026
TO: Board of Directors
FROM: Chip Wright
Chair, Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of the Whistleblower Policy

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on May 18, 2026, the Policies and Outcomes Committee reviewed the Whistleblower Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Whistleblower Policy, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Whistleblower Policy, as presented.

XIV. WHISTLEBLOWER POLICY

POLICY

It is the policy of the Regional Center of Orange County (RCOC) that employees, Board members, persons served, their families, and the vendor community have notice of and the opportunity to report alleged improper RCOC and alleged improper vendor/contractor activity through a whistleblower complaint process.

RCOC is required to have a Board-approved Whistleblower Policy pursuant to RCOC's contract with the Department of Developmental Services (DDS). Improper regional center activity and improper vendor/contractor activity is defined in the contract as:

- *An "improper regional center activity" means an activity by a regional center, or an employee, officer, or board member of a regional center, in the conduct of regional center business, that is a violation of a state or federal law or regulation; violation of contract provisions; fraud or fiscal malfeasance; misuse of government property; or constitutes gross misconduct, incompetency, or inefficiency.*
- *An "improper vendor/contractor activity" means an activity by a vendor/contractor, or an employee, officer, or board member of a vendor/contractor, in the provision of State funded services, that is a violation of a state or federal law or regulation; violation of contract provisions; fraud or fiscal malfeasance; misuse of government property; or constitutes gross misconduct, incompetency, or inefficiency.*

RCOC and DDS have a variety of complaint and appeal processes available to vendors/contractors, agencies, facilities, parents, and persons served. These include: Consumer Rights Complaints, Early Start Complaints, Due Process Requests, Mediation Conference Requests, Lanterman Act Appeals Requests, Title 17 Complaints, Citizen Complaints and Comments, and Vendor Appeals. Each of these complaint and appeal processes has separate and distinct procedures for resolution. This separate policy relates only to RCOC and Vendor/Contractor Whistleblower Complaints as described above.

Nothing in this policy shall dissuade or prohibit complaints of alleged improper activity to be made using the foregoing complaint or appeal processes or other less formal means to make such complaints known.

Confidentiality

The investigating party will make every effort to maintain the confidentiality of a complainant making a whistleblower complaint if the complainant requests confidentiality. However, in the rare circumstances where the investigating party is unable to maintain confidentiality due to its statutory responsibilities (including ensuring the health and safety of persons served and RCOC contract compliance and legally required disclosures), the investigating party will attempt to inform the complainant of its need to disclose certain information prior to releasing identifying

information. Additionally, the identity of the complainant may be revealed to appropriate law enforcement agencies conducting a criminal investigation.

No Retaliation

This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns about alleged improper RCOC and/or vendor/contractor activities and to permit an investigating party the opportunity to investigate and take appropriate action.

No person who in good faith reports a violation of this policy shall suffer harassment, retaliation or adverse employment consequences from RCOC. RCOC's Executive Committee or its designee will investigate complaints of retaliation following an established process. An RCOC employee who harasses or retaliates against someone who has reported a violation in good faith is subject to discipline up to and including immediate termination of employment.

Notification Requirements

RCOC's Whistleblower Policy, along with the DDS Whistleblower Complaint Process are posted on RCOC's website. Notification of both RCOC's policy and DDS' Whistleblower Complaint Process will be provided annually to employees, Board members, persons served, their families and the vendor community.

PROCEDURE

A Whistleblower Complaint about alleged improper RCOC and/or vendor/contractor activity may be filed by contacting any of the following:

- RCOC's Senior Management which includes: Executive Director; Associate Executive Director; Case Management Director; Chief Financial Officer; Clinical Director; Community Services Director; General Counsel; Human Resources Director and Chief Information Officer~~Technology Director~~.
- RCOC's Board of Directors Executive Committee which includes: RCOC Board Chairperson, Vice Chair, Secretary and Treasurer. Counsel to the Board may also be contacted.
- Members of RCOC's Board of Directors may be contacted by phone or e-mail through the Clerk to the Board, or attendance at a Board meeting.
- Department of Developmental Services Community Operations Division or the Community Services and Supports Division (for Early Start program services).

However, to ensure proper and timely logging and investigation, it is recommended that whistleblower complaints be submitted to RCOC's Custodian of Records.

RCOC will utilize an established process to investigate and take appropriate action on complaints, including complaints of retaliation. An initial review process will determine the appropriate venue for the complaint which could result in referral to another entity or process as described in the “Policy” section.

A Whistleblower Complaint shall contain a clear and concise statement of the alleged improper activity and any evidence to support the allegation. If a name, or other information (e.g., witness or document), is not provided that clearly identifies the person alleged to have acted improperly, and where that person works, the investigating party may not have sufficient information to investigate. Original documents should be provided when submitting written information. The submitting party should keep copies of all submitted documents for their records.

Although complaints may be filed anonymously, if insufficient information is provided and the investigating party has no means to contact the complainant, it may not be possible to investigate the allegation.

RCOC employees with questions about this policy should contact the Human Resources Director or a member of the Executive Committee.

GUIDING PRINCIPLES

- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect careful stewardship.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC’s business.

**Regional Center of Orange County
Vendor Advisory Committee
May 12, 2026
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Ryan Perez, present
Co-Chair, Katie Bruellet, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency

Chair, Janeth McDonough, absent
Co-Chair, Alexandra Rasey-Smith, present

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Jorge Lozano, absent
Co-Chair, Omar Tawfik, absent

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, absent

Habilitation

Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, absent

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, absent

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health

Chair, Kelly Araujo, present
Co-Chair, Michael Toliver, present

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Ariana Maldonado, absent
Garrett Rodriguez, present

RCOC Staff Present:

Bianca Borja Rios, Accounting Supervisor – Vendorization
Arturo Cazares, Associate Executive Director
Valeria De Los Angeles, Accounting Supervisor – Payables

Nancy Franco, Support Services Manager
Bonnie Ivers, Clinical Director
Araceli Mendez, Associate Director of Early Start
Jennifer Montanez, Director of Case Management
Julie Rodriguez, Accounting Supervisor – Systems
Jack Stanton, Associate Director of Housing
Marta Vasquez, Chief Financial Officer
Laurel Warren, Quality Assurance Coordinator
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

I. RCOC Update

A. Vendorization Update

Ms. Marta Vasquez provided the following updates:

- *eBilling*. Ms. Vasquez reported that there is a new field on the eBilling form that must be completed when a vendor provides remote services. Vendors requiring assistance with the new form may send an email to ebilling@rcocdd.com.
- *Applicant/Vendor Disclosure Statement Form DS1891*. Ms. Vasquez stated that vendors submitting the Disclosure Statement Form via DocuSign will receive automatic confirmation once the document is completed. RCOC will then review the form for accuracy and completeness. If corrections or resubmissions are required, an email will be sent to the contact identified on the most recent DS1891 form submitted to RCOC. Due to the high volume of pending forms, reminder notices continue to be emailed until the document is completed accurately.
- *Insurance & Licenses*. Ms. Vasquez reported that RCOC will continue to send reminder notices for insurance and license updates until the information provided has been verified. Vendors who receive a notice and have not received an email confirming their vendor file has been updated should contact RCOC via email for assistance at vendorization@rcocdd.com.
- *Minimum Wage*. Ms. Vasquez also reported that all minimum wage payments have been processed. Vendors may submit questions regarding payment via email to accounting@rcocdd.com.

B. Quality Incentive Program (QIP) Update

Mr. Arturo Cazares reported that the Department of Developmental Disabilities (DDS) has not released the updated QIP list. RCOC will provide updates as they are received from DDS.

C. OCTA's Wave Card Update

Mr. Cazares reported that effective May 1, 2026, OCTA has transitioned from the paper bus pass to the new Wave Card or Wave Mobile App. RCOC mailed Wave Cards on April 23, 2026 to persons served who ride the bus. Recipients should note that RCOC has added funds to the cards, but individuals may not be able to view a balance yet. Mr. Cazares encouraged providers to assist persons served with use of the new cards or contact RCOC for assistance.

II. Board Report

In Mr. Larry Landauer's absence, Mr. Cazares reported that the Board of Directors held a meeting on May 7, 2026, and that RCOC is awaiting the release of the Governor's May Revise and its impact on the regional center system. Mr. Cazares also reported that caseload growth continues across the regional center system and that expenditures are increasing because of ongoing Rate Reform implementation.

III. VAC Chair and Co-Chair Election

Mr. Jack Stanton reported that the Board of Directors approved the recommendations for new Chair and Co-Chair for the following subcommittees: Adult Day Programs, Behavior Services, Early Intervention, Intermediate Care Facilities (ICF) and Support Services/Allied Health. The term for each Chair and Co-Chair of these subcommittees will commence on June 1, 2026, in accordance with the VAC Commission.

Mr. Stanton requested that committee members attend the June 9, 2026, VAC meeting to ensure quorum for the election of the VAC Chair and Co-Chair for fiscal year 2026-2027.

IV. Peer Advisory Committee (PAC) Report

In Mr. Wayed Kabir's absence, Mr. Cazares reported that the next Committee meeting is scheduled for May 20, 2026. Ms. Leah Saitz, RCOC's PCT Coordinator, will present on *Person-Centered Planning and DDS Guidelines*.

V. Liaison Reports

A. CalOptima – Liaison Pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (absent)

In Ms. Arianna Maldonado's absence, Mr. Garrett Rodriguez reported that ridership has been consistent and schedule changes for drivers continue to improve performance.

VI. Member Reports

A. Adult Behavior Management – Ryan Perez (present)

B. Adult Day Programs – Rick Perez (present)

Mr. Ryan Perez reported that the two subcommittees met today. Ms. Crystal Chavez, RCOC's SDP Coordinator, provided an overview of the Self-Determination Program (SDP) and the differences between traditional services and SDP. Mr. Sean Watson reminded vendors to exercise caution when sharing confidential information.

C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (absent)

In Ms. Janeth McDonough's absence, Ms. Alexandra Rasey-Smith reported that the subcommittee met and discussed DDS' Family Home Agency (FHA) audits.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee did not meet.

E. Community Care Facilities (CCF) – Jorge Lozano (absent)

In Mr. Jorge Lozano's absence, Mr. Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Lazo-Pearson reported that the subcommittee did not meet.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee did not meet.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met today and discussed workers' compensation issues.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Jack Stanton reported that there were no updates.

J. Support Services/Allied Health – Kelly Araujo (present)

Ms. Kelly Araujo reported that the subcommittee met today and reviewed the updated Special Incident Reporting form and the Standardized Vendorization online portal. Ms. Araujo also reported that the respite vendor Meet and Greet is scheduled for May 20, 2026.

VII. Community Forum

There were no speakers for community forum.

VIII. Adjournment

Mr. Perez adjourned the meeting at 2:20 p.m.

The next VAC meeting is scheduled for June 9, 2026.

Recorder: Marta Vasquez

**Regional Center of Orange County
Peer Advisory Committee
May 20, 2026
Videoconference Minutes**

- Committee Members Present:** Yvonne Kluttz, Chairperson
Wayed Kabir, RCOC's Peer Advocate
Sylvia Delgado
Stephen Gersten
Peter Kuo
Fernando Peña
- Committee Members Absent:** Amy Jessee, Co-Chair
Kerri Adamic
Marcell Bassett
Cheryl Day
Mark Henry
- RCOC Staff Members Present:** Larry Landauer, Executive Director
Arturo Cazares, Associate Executive Director
Jennifer Montanez, Director of Case Management
Leah Saitz, PCT Coordinator
- Guest(s):** Yesenia Salcedo, Parent

Mr. Wayed Kabir called the meeting to order at 3:10 p.m.

I. Welcome and Introductions

Mr. Kabir welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Kabir reported that there were no updates.

III. Person-Centered Planning and DDS Guides

Ms. Leah Saitz provided the Committee with a presentation on *Person-Centered Planning & DDS Guides*.

IV. Community Forum

There were no speakers for community forum.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for June 17, 2026.

Mr. Kabir adjourned the meeting at 3:40 p.m.

Recorder: Sandra Lomelí