



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: **Thursday, March 2, 2023**

Time: **6:00 p.m. – 7:30 p.m.**

Place: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Community Forum for Agenda Items Only****	John "Chip" Wright
	C.	Budget and Finance Committee	Sandy Martin
	D.	Consent Calendar*	John "Chip" Wright
		<ol style="list-style-type: none"> 1. Approval of Board of Directors' Minutes for January 12, 2023** 2. Budget and Finance Committee** <ol style="list-style-type: none"> a. Approval of Monthly Sufficiency of Allocation Report, December 2022** b. Approval of Monthly Sufficiency of Allocation Report, January 2023** c. Approval of Budget Amendment C-4, Fiscal Year 2021-22 d. Approval of Budget Amendment 	
	E.	Executive Director's Report	Larry Landauer
		<ol style="list-style-type: none"> 1. Recognition of Persons' Served Employment Longevity 2. Operations Report – December 2022** 3. Operations Report – January 2023** 4. Performance Contract Report – December 2022** 5. Performance Contract Report – January 2023** 6. Employment Update 7. Housing Update 8. Health and Wellness Update 	Arturo Cazares Jack Stanton Bonnie Ivers
	F.	Executive Committee	John "Chip" Wright
	G.	Board Recruitment and Training Committee**	John "Chip" Wright
		<ol style="list-style-type: none"> 1. Approval of Board Membership for Bruce Hall for a One-Year Term Commencing April 1, 2023 and Ending March 31, 2024** 2. Approval of Board Membership for Jacqueline Nguyen for a One-Year Term Commencing April 1, 2023 and Ending March 31, 2024** 	
	H.	Policies and Outcomes Committee**	Cliff Amsden
		<ol style="list-style-type: none"> 1. Approval of Revisions to the Executive Leadership Succession Policy** 2. Document Retention and Destruction Policy** 3. Policy on Background Check Requirements for Providers** 	
	I.	Vendor Advisory Committee**	Rick Perez
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee**	Liza Krassner
	L.	ARCA Report	Liza Krassner

	M.	Community Forum***	John “Chip” Wright
	N.	Chairperson’s Report	John “Chip” Wright
IV.		Adjournment	John “Chip” Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting Minutes
January 12, 2023**

Board Members Present: John "Chip" Wright, Chairperson
(Members in-person, unless otherwise noted) Cliff Amsden
Meena Chockalingam (*joined virtually*)
Sylvia Delgado
Frances Hernandez (*joined virtually*)
Amy Jessee
Yvonne Kluttz
Liza Krassner (*joined virtually*)
Chinh Nguyen
Fernando Peña
Rick Perez

Board Members Absent: Sandy Martin
Hilda Mendez

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 6:01 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Yvonne Kluttz read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

In Ms. Sandy Martin's absence, Mr. Cliff Amsden reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for November 3, 2022
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, October 2022

RCOC Board of Directors' Meeting Minutes
January 12, 2023

- b. Approval of Monthly Sufficiency of Allocation Report, November 2022
- c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 12, 2023

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored four persons served who have worked for the same employer for 22 or more years with a Certificate of Recognition.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the Governor's Budget for fiscal year 2023-24 includes \$14.2 billion for the regional center system, an increase of \$1.6 billion over the prior year.
- *Little Hoover Commission Hearings.* Mr. Landauer reported that the Little Hoover Commission has finalized their hearings on the California Developmental Disabilities system. The hearings were held on October 13, 2022, November 10, 2022, and December 8, 2022. An advisory committee roundtable was also held on October 27, 2022. The Commission is expected to release its report to the State Legislature.
- *Intermediate Care Facilities (ICF) Transitioning.* Mr. Landauer reported that ICF's are transitioning to managed care funding through CalOptima.
- *Home and Community-Based Services (HCBS) Final Rule.* Mr. Landauer reported that the HCBS Final Rule deadline is March 17, 2023. Providers must have supporting documentation to substantiate compliance with the Final Rule requirements included in California's Corrective Action Plan. California submitted a Corrective Action Plan to the Centers for Medicare & Medicaid Services (CMS) and requested additional time to fully comply with the following criteria:
 - *Ensuring individuals have full access to the broader community*
 - *Ensuring individuals have opportunities for employment*
 - *Ensuring individuals have the option for a private room and/or choice of roommate*
 - *Ensuring individuals have a choice of non-disability specific settings*
- *Purchase of Service (POS) Expenditures for Fiscal Year 2022-23.* Mr. Landauer reported that there is a projected surplus statewide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that approximately 97% of

RCOC Board of Directors' Meeting Minutes
January 12, 2023

the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format. RCOC is also providing PCT trainings for new staff and refresher courses for staff who were already trained in PCT.

- *Employment.* Mr. Arturo Cazares, RCOC's Director of Community Services, reported on preliminary employment data collected during IPP meetings. The purpose of the data is to identify persons served who are interested in obtaining employment and assisting them with skill development to improve the likelihood of employment. For those who are currently employed, the data collected is used to determine their satisfaction.
- *Housing.* In Mr. Jack Stanton's absence, Ms. Theresa Ta, RCOC's Manager of Safety Net and Resource Development, reported on preliminary housing data collected during IPP meetings. The data is used to determine person served satisfaction with their current living situation. If needed, RCOC will explore other living options to improve their satisfaction. Ms. Ta stated that over time, the data will determine which types and locations of housing are most preferred by persons served.
- *Legislative and Community Awareness (LCA).* Mr. Landauer reported that Exceptional Parent Magazine and Parents.com ran a holiday article by Dr. Bonnie Ivers, RCOC's Clinical Director, that shared tips about shopping for toys for children with developmental disabilities. He also reported that the Irvine Barclay Theatre held another successful holiday event for persons served by RCOC.
- *Health and Wellness.* Dr. Ivers reported on preliminary health and wellness satisfaction data collected during IPP meetings. This data will help RCOC determine what resources it can provide to help persons served improve their health and achieve their health and wellness goals.
- *RCOC News.* Mr. Landauer reported that vendor staffing shortages continue due to low vendor rates.

Mr. Cazares provided an update on Elwyn California's closure and Westview Services' decision to eliminate site-based services and provide only Tailored Day Services. RCOC continues to work with other day program vendors to ensure that persons served have a day program.

Mr. Cazares also reported that Alternative Services ended on December 31, 2022, and providers are transitioning back to pre-COVID Traditional Services. Service providers are trying to hire staff so that programs can return to traditional in-person services.

Mr. Landauer reported that he and his staff continue to work with the Hispanic families group to address their concerns. The first meeting with the Hispanic families group was held on November 10, 2022. A follow-up meeting will be scheduled for February 2023.

RCOC Board of Directors' Meeting Minutes
January 12, 2023

- *AB 637 Proposal.* Ms. Ta reported that RCOC will submit an AB 637 waiver request to allow families to use a Financial Management Service (FMS) instead of purchase reimbursement when a preferred social/recreation, camp or non-medical therapy provider is not vendored with RCOC. A public meeting to obtain community input is scheduled for January 25, 2023 at 1:00 p.m. via Zoom videoconference.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Advisory Committee last met on December 12, 2022.

9. 2022 Performance Contract Objectives Outcomes Review

Mr. Cazares presented the 2022 Performance Contract Objectives Outcomes Review.

10. Annual Sufficiency of Allocation Report (SOAR) for Fiscal Year 2022-2023

Ms. Marta Vasquez, RCOC's Director of Finance, presented the Annual Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23.

F. Executive Committee

Mr. Wright reported that the Committee met on November 21, 2022; the next meeting is scheduled for January 17, 2023.

G. Board Recruitment and Training Committee

Mr. Wright reported that the Committee met on October 3, 2022. The committee reviewed the Board composition and discussed recruitment efforts. The Committee also reviewed the Board trainings scheduled for Calendar Year 2023. The next Board training topic will be on *Legislative Advocacy* and will be held on February 2, 2023.

The next Committee meeting is scheduled for February 13, 2023.

H. Policies and Outcomes Committee

Mr. Cliff Amsden reported that the Committee last met on October 17, 2022; the next meeting is scheduled for February 21, 2023.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on January 10, 2023; the next Committee meeting is scheduled for February 14, 2023.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee last met on November 16, 2022. Ms. Kaitlynn Truong, RCOC's Cultural Specialist, reported on various holiday events including RCOC's Wish Tree Program, *Breakfast with Santa* sponsored by the OC

RCOC Board of Directors' Meeting Minutes
January 12, 2023

Autism Foundation and The Irvine Barclay Theatre's sensory-friendly performance of the *Nutcracker*.

The next Committee meeting is scheduled for January 18, 2023.

K. Legislative and Community Awareness Report

Ms. Krassner reported that the Committee met on January 10, 2023 and discussed community outreach programs.

The next Committee meeting is scheduled for April 11, 2023.

L. ARCA Report

Ms. Krassner reported that ARCA's next Board of Directors' meeting is scheduled for January 20, 2023.

M. Community Forum

RCOC received several comments and questions during community forum. Mr. Landauer said that staff will respond to the comments and questions.

N. Chairperson's Report

Mr. Wright thanked Mr. Landauer and RCOC's staff for their work on the presentations. Mr. Wright also reminded Board members that the next Board training is scheduled for February 2, 2023.

II. Adjournment

Mr. Wright adjourned the meeting at 7:22 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
January 12, 2023**

Committee Members Present: Cliff Amsden
(Members in person, unless otherwise noted) Amy Jessee
Fernando Peña
John “Chip” Wright

Committee Members Absent: Sandy Martin

Other Board Members Present: Sylvia Delgado
Yvonne Kluttz

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Nancy Franco, Accounting Manager – OPS/POS
Dr. Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Raudel Perez, Administrator
Christina Petteruto, General Counsel
Linda Pham, Accountant
Keli Radford, Director of Services and Supports (*joined
virtually*)
Marta Vasquez, Director of Finance
Stacy Wong, Human Resources Director (*arrived at 4:15 p.m.*)

The meeting was called to order at 4:02 p.m.

Ms. Marta Vasquez presented the Annual Sufficiency of Allocation Report for fiscal year 2022-23, which was submitted to the Department of Developmental Services in December.

1. Approval of Monthly Sufficiency of Allocation Reports, October and November 2022

The monthly reports for October and November were reviewed. RCOC is projecting a surplus of \$45.0 million. RCOC’s projected surplus will be \$52.7 million is all \$ 7.7 million of the State Plan Amendment receivables are paid.

2. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 12, 2023

Ms. Bette Baber reported that RCOC's salary schedule reflects an 8% increase in the maximum salary for the psychologist range. RCOC has been unable to fill two psychologist vacancies. The schedule also includes a 10% increase in the maximum salary for the Area Manager range, which eliminates compression between the two ranges. Only psychologists will receive an increase.

M/S/C to approve the monthly SOARs and CalPERS Requirement for a Publicly Available Pay Schedule.

The meeting adjourned at 4:41 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: March 2, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, December 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: February 23, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – December 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$49.7 million. RCOC's surplus will be \$57.4 million if all \$7.7 million of the State Plan Amendment receivables are paid.

As reported previously, RCOC's allocation of \$616.3 million is significantly higher than the \$474.8 million that RCOC expended last year. Most vendor received a 25% increase effective January 1, 2023. Some vendors received an increase due to the minimum wage increase effective January 1, 2023. The transition from Alternative Services, which ended on December 31, 2022, to traditional in-person services continues. It is too early to predict how successful that transition will be. The Department of Developmental Services (DDS) authorized remote services for those persons served who voluntarily choose remote delivery through December 31, 2023. With the elimination of the half-day billing rule, DDS did not specify any minimum level of service that vendors must provide in order to qualify for the daily rate.

RCOC's caseload increased by 708 persons for an annualized caseload growth of 5.1%; the regional center system increased by 11,776 persons for an annualized caseload growth of 5.3%. For the same period last fiscal year, RCOC's caseload increased by 672 persons and the regional center system increased by 11,343 persons.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of December 31, 2022**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	D-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(02/10/2023)	YEAR TO DATE	YEAR TO DATE	MO. REPORTED	
(1) Licensed Residential Care	\$191,021,390	\$84,515,722	\$170,068,948	\$187,189,382	2%	\$3,832,008	\$0	\$156,318,277
(2) Day Care	2,170,036	563,142	1,689,426	1,925,148	11%	\$244,888	\$0	1,476,590
(3) Day Training	99,060,248	29,491,696	60,305,333	81,692,304	18%	\$17,367,944	\$4,767,779	57,606,304
(4) Habilitation	11,028,158	3,993,834	8,330,527	9,279,755	16%	\$1,748,403	\$0	8,225,568
(5) Transportation	12,640,564	3,113,168	12,452,672	12,170,581	4%	\$469,983	\$0	7,239,300
(6) Respite	49,772,721	18,251,385	43,048,452	45,847,334	8%	\$3,925,387	\$0	42,195,039
(7) Supported Living	59,153,073	24,521,604	49,356,591	54,141,271	8%	\$5,011,802	\$0	48,180,440
(8) Non-medical	27,084,546	10,018,938	20,530,504	22,619,751	16%	\$4,464,795	\$0	17,799,489
(9) Medical	11,264,620	3,820,447	7,645,680	9,703,646	14%	\$1,560,974	\$0	6,501,276
(10) Other	109,922,751	46,956,017	96,680,954	104,030,916	5%	\$5,891,835	\$0	97,085,784
(11) Early Start (Age 0-3)	43,083,317	16,562,948	34,282,679	37,877,529	12%	\$5,205,788	\$0	32,211,177
(12) Community Placement Plan	100,000	0		0	n/a	n/a	n/a	
(13) Purchase of Service Total	616,301,424	241,808,901	504,391,764	566,477,617	8%	49,723,807	4,767,779	474,839,244
OPERATIONS						\$57,417,222	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	8,750,000	4,021,654	8,043,307	8,750,000	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-268,131	-536,261	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	8,600,000	3,753,523	7,507,046	8,600,000	0%	0	0	6,318,894
(17) Personal Services	55,046,962	21,555,396	43,110,791	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	96,655	193,310	269,299	0%	0	0	229,139
(19) Operations Total	63,916,261	25,405,574	50,811,148	63,916,261	0%	0	0	44,581,306
(20) Total	\$680,217,685	\$267,214,475	\$555,202,912	\$630,393,878	0%	\$49,723,807	\$4,767,779	\$519,420,550

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF DECEMBER 31, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	96,170,866.41	\$164,207.36
Savings	45,388.77	
Money market	0.00	
Payroll	347,215.66	
Donations	207,336.67	
Unemployment	837,346.55	
Certificate of deposit	0.00	
	-----	-----
Total current assets	97,608,454.06	164,207.36
	-----	-----
RECEIVABLES		
State claim	89,257,175.60	
Client support revenue	35,648.16	5,128.73
Due from State - prior years	9,582,561.48	
Due from ICF - ICF Supplemental Services	5,361,236.26	
	-----	-----
Total receivables	104,236,621.50	5,128.73
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$202,505,436.16	\$169,336.09
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$26,930,971.32	\$35,648.16
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	174,479,032.84	
Unemployment insurance	835,572.76	
	-----	-----
Total liabilities	202,298,099.49	35,648.16
	-----	-----
FUND BALANCES		
General		
Donations	207,336.67	
Custodial		133,687.93
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$202,505,436.16	\$169,336.09
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
DECEMBER 31, 2022

Beginning Balance \$205,767.56

Donations:

Atkinson, Carol - Wish Tree	\$40.00
Bebe, Deborah and Kenneth - Wish Tree	50.00
Fowler, Valentina	25.00
Hall, Bruce	500.00
Hankla, Patricia	25.00
Heidari, Mansour & Arefeh	1,000.00
Hoagland, Sheila	100.00
Kozlowski, James	500.00
Rogers, Charlene E.	20.00
Scauzillo, Paul L.	100.00
Shab, Sharon T. - Wish Tree	250.00
Sramek, Carl	300.00
Steele, Terri	50.00
	50.00

Subtotal Donations \$2,960.00

Loan Payments 1,250.00

Interest 106.70

Disbursements:

Co-pay, case transfer delayed start date for generic services in closed fiscal year	-2,602.00
Wish Tree expense	-145.59
	-145.59

Subtotal Disbursements -2,747.59

Net Increase (Decrease) 1,569.11

Ending Balance \$ 207,336.67

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: March 2, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, January 2023**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: February 23, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – January 2023 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$49.7 million. RCOC's surplus will be \$57.4 million if all \$7.7 million of the State Plan Amendment receivables are paid.

As reported previously, RCOC's allocation of \$616.3 million is significantly higher than the \$474.8 million that RCOC expended last year. Most vendor received a 25% increase effective January 1, 2023. Some vendors received an increase due to the minimum wage increase effective January 1, 2023. The transition from Alternative Services, which ended on December 31, 2022, to traditional in-person services continues. It is too early to predict how successful that transition will be. The Department of Developmental Services (DDS) authorized remote services for those persons served who voluntarily choose remote delivery through December 31, 2023. With the elimination of the half-day billing rule, DDS did not specify any minimum level of service that vendors must provide in order to qualify for the daily rate.

RCOC's caseload increased by 708 persons for an annualized caseload growth of 5.1%; the regional center system increased by 11,776 persons for an annualized caseload growth of 5.3%. For the same period last fiscal year, RCOC's caseload increased by 672 persons and the regional center system increased by 11,343 persons.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of January 31, 2023**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	D-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(02/10/2023)	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	\$191,021,390	\$97,285,543	\$170,233,582	\$187,189,382	2%	\$3,832,008	\$0	\$156,318,277
(2) Day Care	2,170,036	622,895	1,661,053	1,925,148	11%	\$244,888	\$0	1,476,590
(3) Day Training	99,060,248	32,833,247	60,743,511	81,692,304	18%	\$17,367,944	\$4,767,779	57,606,304
(4) Habilitation	11,028,158	3,993,834	8,312,668	9,279,755	16%	\$1,748,403	\$0	8,225,568
(5) Transportation	12,640,564	3,226,095	12,436,251	12,170,581	4%	\$469,983	\$0	7,239,300
(6) Respite	49,772,721	18,254,153	43,029,103	45,847,334	8%	\$3,925,387	\$0	42,195,039
(7) Supported Living	59,153,073	28,738,128	49,265,362	54,141,271	8%	\$5,011,802	\$0	48,180,440
(8) Non-medical	27,084,546	10,872,437	20,656,789	22,619,751	16%	\$4,464,795	\$0	17,799,489
(9) Medical	11,264,620	4,423,864	7,714,831	9,703,646	14%	\$1,560,974	\$0	6,501,276
(10) Other	109,922,751	47,215,397	97,072,821	104,030,916	5%	\$5,891,835	\$0	97,085,784
(11) Early Start (Age 0-3)	43,083,317	18,764,106	34,579,522	37,877,529	12%	\$5,205,788	\$0	32,211,177
(12) Community Placement Plan	100,000	0		0	n/a	n/a	n/a	
(13) Purchase of Service Total	616,301,424	266,229,699	505,705,494	566,477,617	8%	49,723,807	4,767,779	474,839,244
<u>OPERATIONS</u>						\$57,417,222	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	8,750,000	4,478,217	7,676,943	8,750,000	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-360,831	-618,568	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	8,600,000	4,117,385	7,058,375	8,600,000	0%	0	0	6,318,894
(17) Personal Services	55,046,962	24,677,400	42,304,115	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	109,549	187,799	269,299	0%	0	0	229,139
(19) Operations Total	63,916,261	28,904,335	49,550,288	63,916,261	0%	0	0	44,581,306
(20) Total	\$680,217,685	\$295,134,034	\$555,255,782	\$630,393,878	0%	\$49,723,807	\$4,767,779	\$519,420,550

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JANUARY 31, 2023

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	99,566,584.99	\$163,698.39
Savings	18,704.16	
Money market	0.00	
Payroll	347,417.64	
Donations	207,336.67	
Unemployment	837,530.07	
Certificate of deposit	0.00	
	-----	-----
Total current assets	100,977,873.53	163,698.39
	-----	-----
RECEIVABLES		
State claim	91,612,687.65	
Client support revenue	103,256.69	5,055.75
Due from State - prior years	4,695,583.45	
Due from ICF - ICF Supplemental Services	6,057,048.44	
	-----	-----
Total receivables	102,468,576.23	5,055.75
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$204,106,810.36	\$168,754.14
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$28,532,162.00	\$103,256.69
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	174,479,032.84	
Unemployment insurance	835,756.28	
	-----	-----
Total liabilities	203,899,473.69	103,256.69
	-----	-----
FUND BALANCES		
General		
Donations	207,336.67	
Custodial		65,497.45
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$204,106,810.36	\$168,754.14
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JANUARY 31, 2023

Beginning Balance		\$207,336.67
Donations	\$0.00	
Loan Payments	0.00	
Interest	0.00	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>0.00</u>
Ending Balance		<u><u>\$207,336.67</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION	<u> X </u>
ACTION/CONSENT	<u> </u>
DISCUSSION	<u> </u>
INFO ONLY	<u> </u>

DATE: March 2, 2023

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment C-4, Fiscal Year 2021-22**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has allocated additional funds for Operations and Purchase of Services, and reduced the allocation for the Community Placement Program (CPP).

FISCAL IMPACT:

Operations	\$326,805
Purchase of Services	7,661,680
Purchase of Services for CPP	(\$1,823,619)
 Total	 \$6,164,866

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.



Summary of Information About Persons Served - December 2022

NUMBER OF PERSONS SERVED	24,531	100%
Children - Birth to Age Three Receiving Early Start Services	3,463	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,651	31%
Adults - Ages 18 and Older Receiving Lanterman Services	12,957	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	515
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,582	60%
Epilepsy	2,871	14%
Cerebral Palsy	2,548	13%
Autism	9,216	44%
Fifth Category*	1,815	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	304
Early Start / Under Age Three / 45 days to complete determination	252 / 83%
Lanterman / Over Age Three / 120 days to complete determination	50 / 16%
Provisional / Up to Age Five / 90 days to complete determination	2 / 1%

NUMBER OF PERSONS DETERMINED ELIGIBLE	139
Children - Birth to Age Three Eligible for Early Start Services	42
Children and Adults - Ages Three and Older Eligible for Lanterman Services	55
<ul style="list-style-type: none"> • Number of children who received Early Start services 	27
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	14
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	1

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	122
Children - Age Three No Longer Eligible for Early Start Services	122
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

DECEMBER 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33	33	37
Unannounced	42	43	36	44	41	39
Total Number of Reviews	81	85	72	77	74	76

Provider Trainings	0	0	0	0	0	0
Technical Support	161	164	145	159	186	137
Corrective Action Plans	1	5	13	2	3	1
Special Incident Investigations*	9	20	27	39	16	21
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							220
Unannounced							245
Total Number of Reviews	0	0	0	0	0	0	465

Provider Trainings							0
Technical Support							952
Corrective Action Plans							25
Special Incident Investigations*							132

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

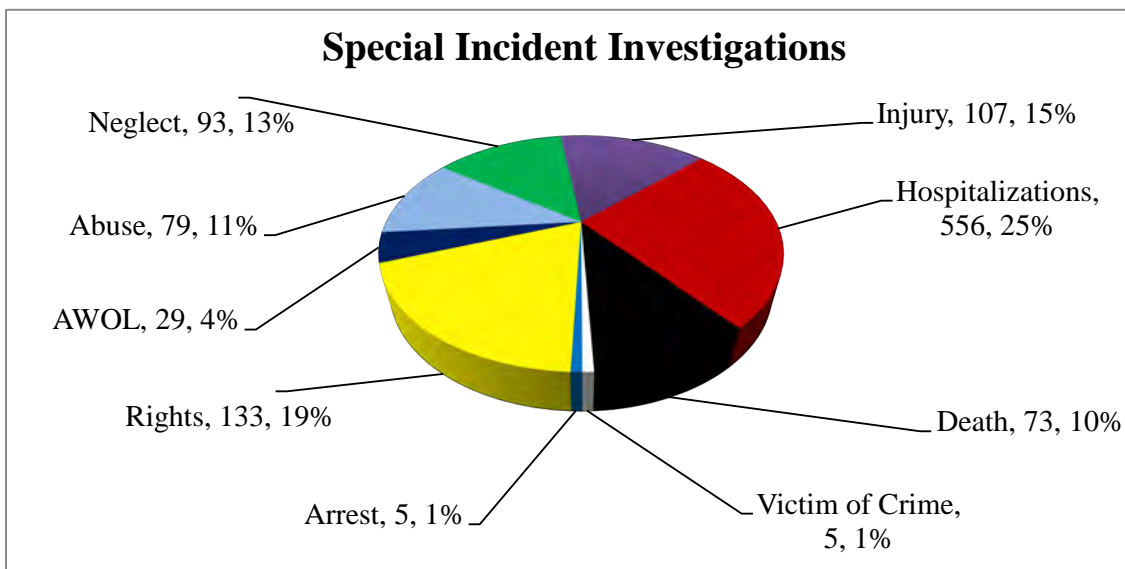
Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations

Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3	4	2
Abuse	8	16	11	13	22	9
Neglect	14	16	17	26	11	9
Injury	18	25	15	16	17	16
Hospitalizations - Total	34	29	27	32	28	33
<i>Psychiatric</i>	4	3	2	4	2	1
<i>Medical</i>	30	26	25	28	26	32
Death	7	11	16	12	14	13
Victim of crime	2	1	0	1	1	0
Arrest	2	0	0	0	1	2
Rights	27	7	31	29	13	26
Total	121	114	119	132	111	110

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							29
Abuse							79
Neglect							93
Injury							107
Hospitalizations - Total	0	0	0	0	0	0	183
<i>Psychiatric</i>							16
<i>Medical</i>							167
Death							73
Victim of Crime							5
Arrest							5
Rights							133
Total	0	0	0	0	0	0	707



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
---------------------------	--------	--------	--------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	1

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
---------------------------	--------	--------	--------	--------	--------	--------	---------------

FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70	77	78
Diapers - Family Member	7	6	6	6	5	5
Nursing Service - Family Member	62	59	61	60	60	61
Respite Service - Family Member	595	616	640	642	656	651
Transportation - Family Member	150	152	160	162	166	165
Total Number of Voucher Authorizations	882	901	940	940	964	960

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

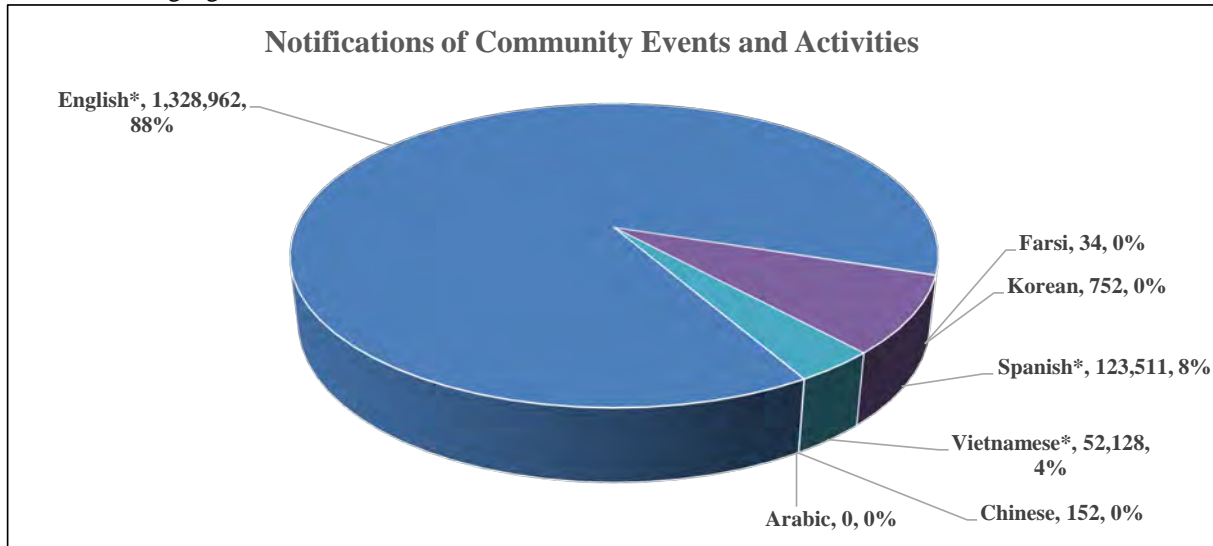
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,681	172,252	144,292
Farsi				34		
Korean		479	203		21	49
Spanish*	10,805	19,473	19,493	28,100	25,986	19,654
Vietnamese*	6,593	2,418	5,849	3,131	3,436	30,701
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	255,946	201,695	194,696

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							1,328,962
Farsi							34
Korean							752
Spanish*							123,511
Vietnamese*							52,128
Chinese							152
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	1,505,539

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7	8	6
Spanish	1	1	4	1	3	3
Vietnamese		2	3			4
Other Languages		1 (Korean)		1 (Korean)	1 (Korean)	
In Print						
English	3		3		4	3
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		1
Other Languages						
Total Number of Outreach Events	5	16	18	10	16	17

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							40
Spanish							13
Vietnamese							9
Other Languages							3
In Print							
English							13
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							3
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	82

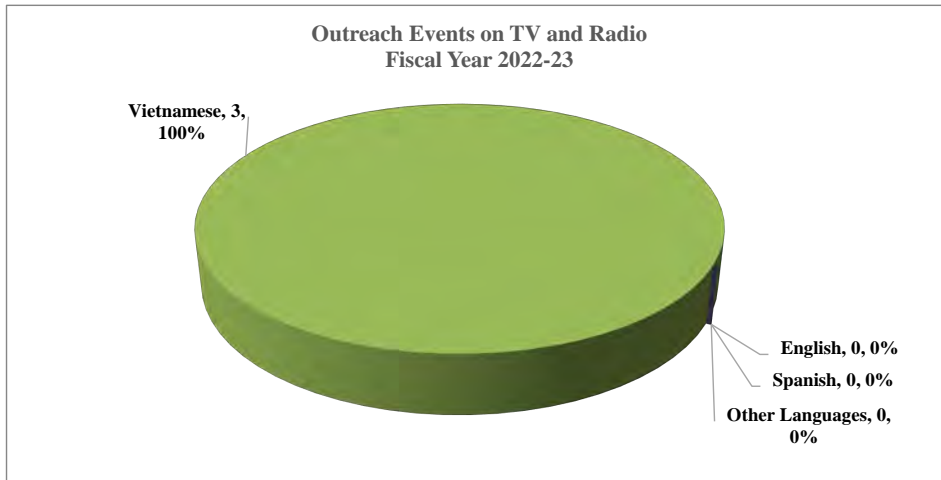
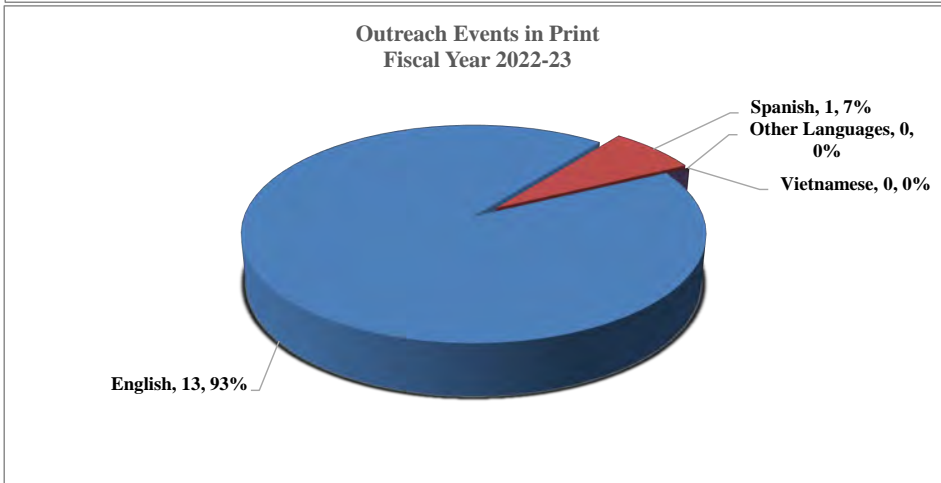
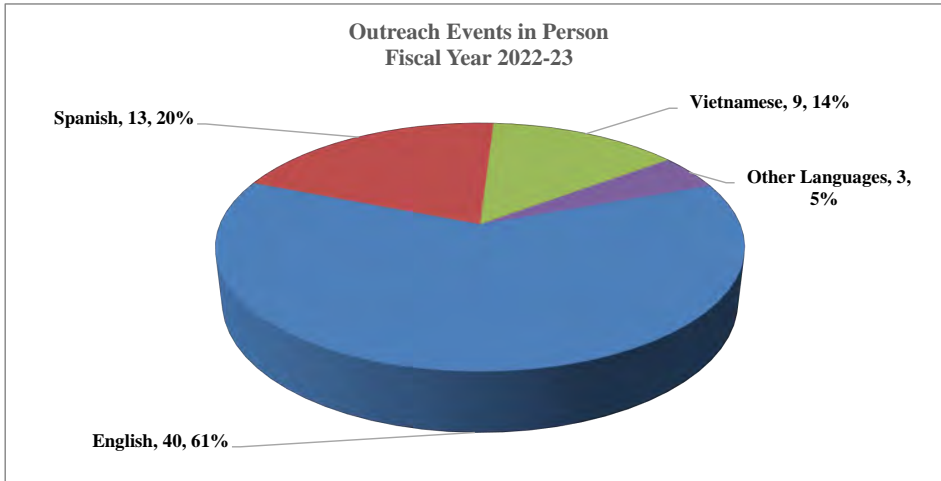
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

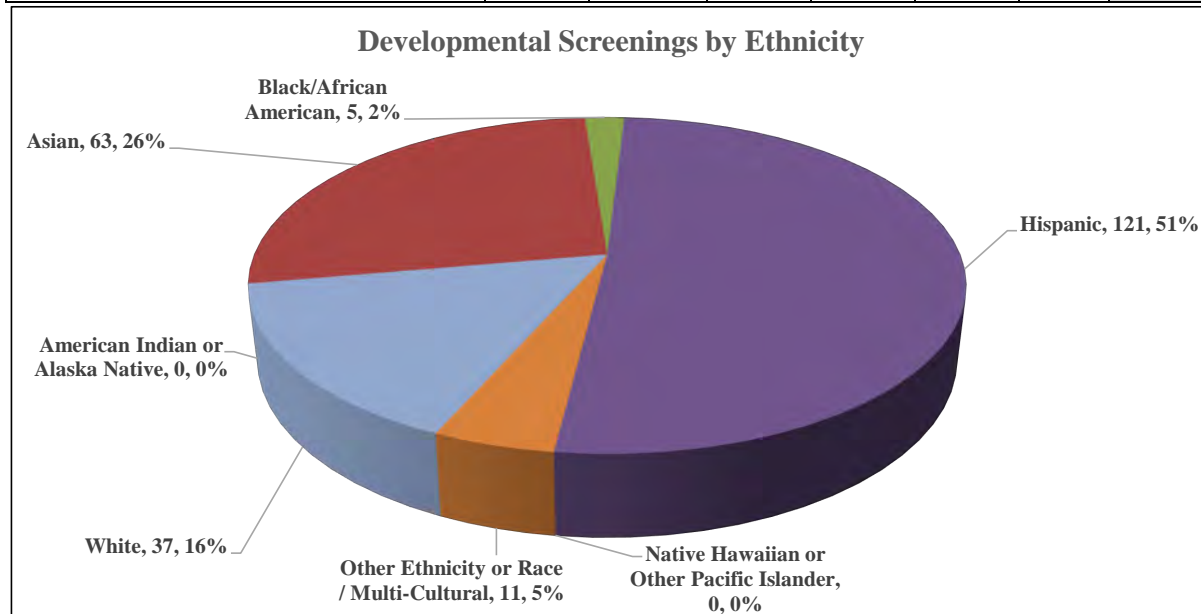
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	19	7	30	7	0	0
Black/African American	3	1	0	1	0	0
Hispanic	19	42	28	32	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	8	1	2	0	0	0
White	5	4	11	17	0	0
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOC	18	25	13	18	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							63
Black/African American							5
Hispanic							121
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							11
White							37
Total Number Screened	0	0	0	0	0	0	237
Total Number Referred to RCOC							74

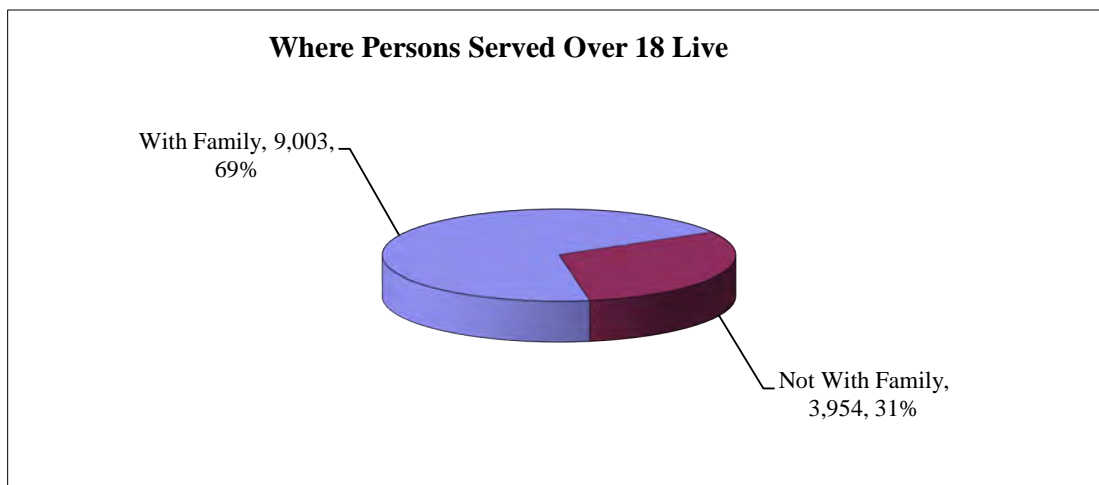
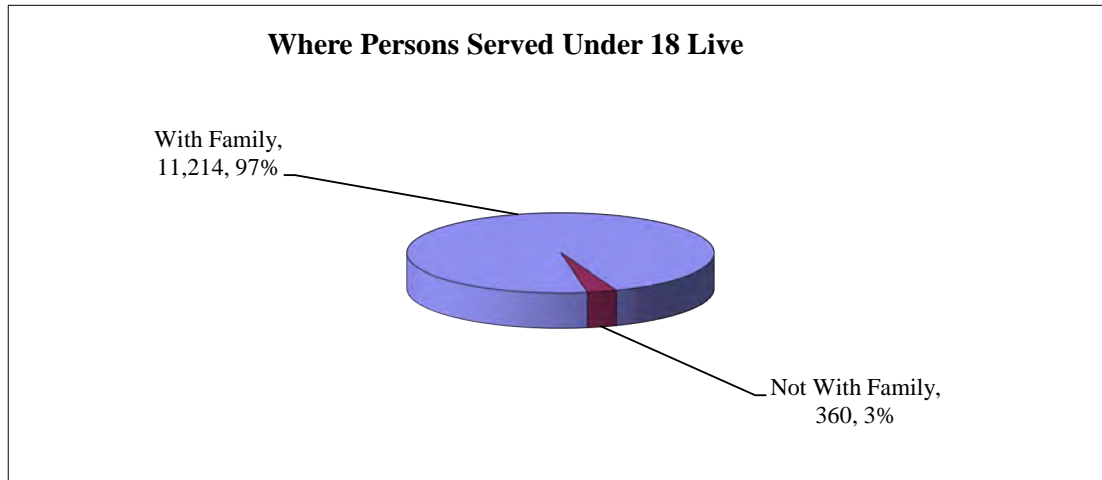


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

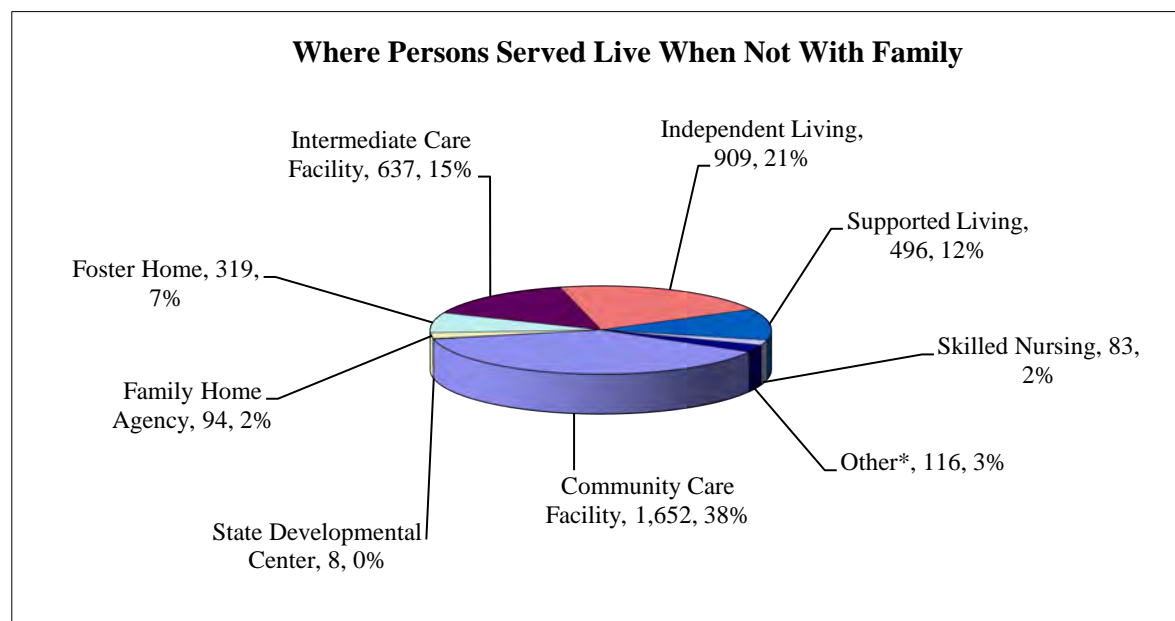
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,217	11,214	9,003
Not With Family	4,314	360	3,954
Totals	24,531	11,574	12,957



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,217	11,214	9,003
Community Care Facility	1,652	18	1,634
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	319	314	5
Intermediate Care Facility	637	3	634
Independent Living	909	0	909
Supported Living	496	0	496
Skilled Nursing	83	0	83
Other*	116	25	91
Total	24,531	11,574	12,957

Other*			
Acute General Hospital	3	0	3
California Youth Authority	1	1	0
Community Treatment	4	2	2
Correctional Institution	1	0	1
County Jail	3	1	2
Other	0	0	0
Out of State	2	1	1
Psychiatric Treatment	18	1	17
Rehabilitation Center	4	0	4
SDC / State Hospital	8	0	8
Sub-Acute	41	16	25
Transient / Homeless	21	1	20
Total, Other*	106	23	83



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

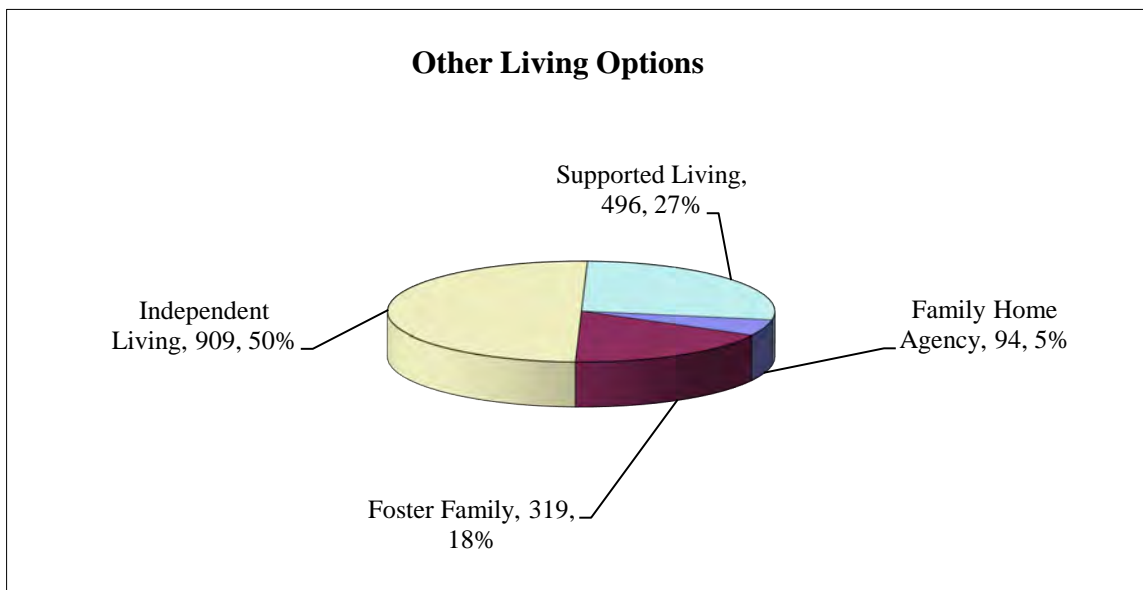
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	94	0	94
Foster Family	319	314	5
Independent Living	909	0	909
Supported Living	496	0	496
Total	1,818	314	1,504



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2022-23

Licensed Facilities	Total	Over 18	Under 18
Level 2	194	194	0
Level 3	270	270	0
Level 4A	35	35	0
Level 4B	4	4	0
Level 4C	53	53	0
Level 4D	37	37	0
Level 4E	20	20	0
Level 4F	60	60	0
Level 4G	31	31	0
Level 4H	1	1	0
Level 4I	306	305	1
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,017	1,016	1

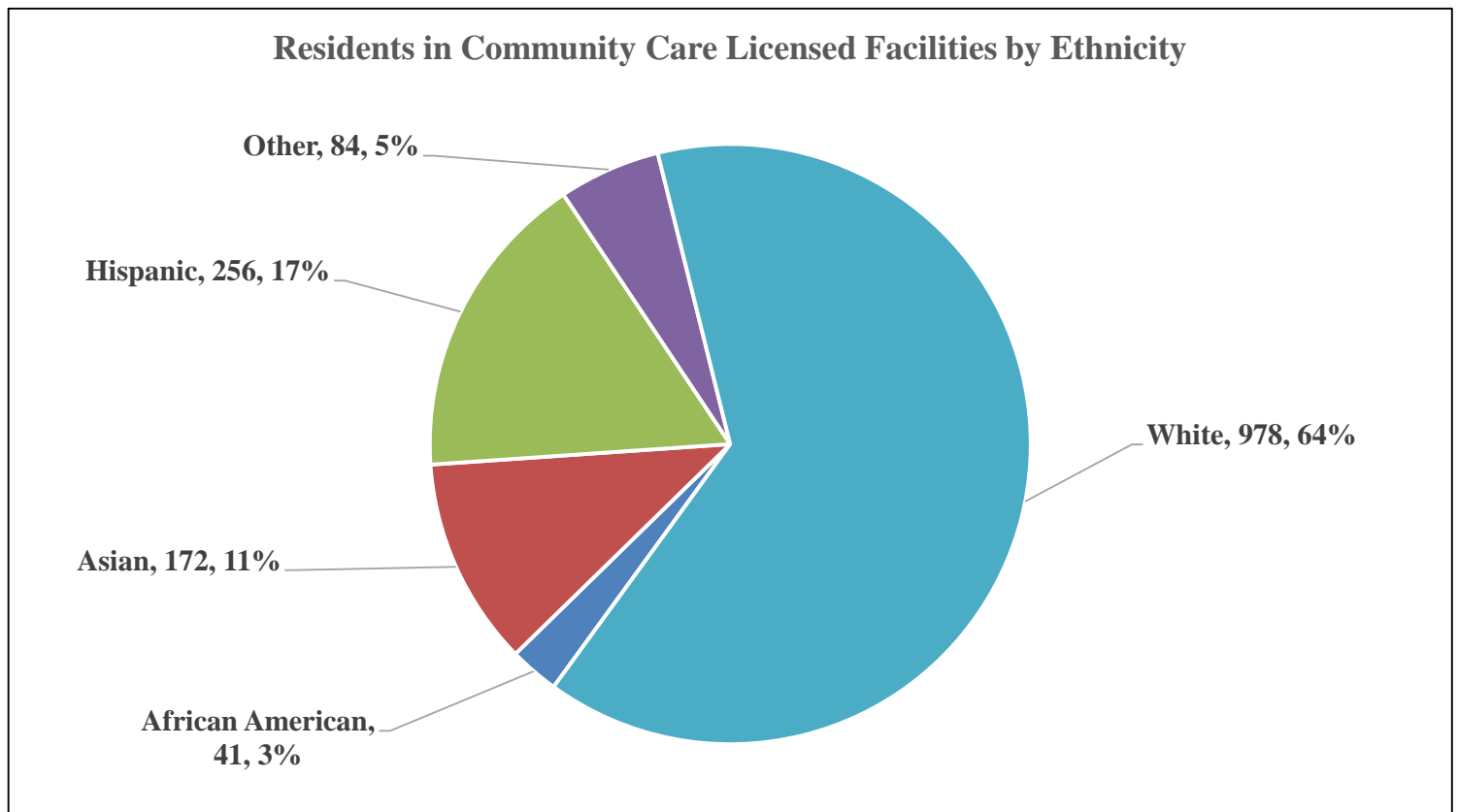
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	194	194	0
Level 3	270	270	0
Level 4	547	546	1
ICF/DD-H	2	2	0
ICF/DD-N	3	3	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,017	1,016	1

LIVING OPTIONS, continued

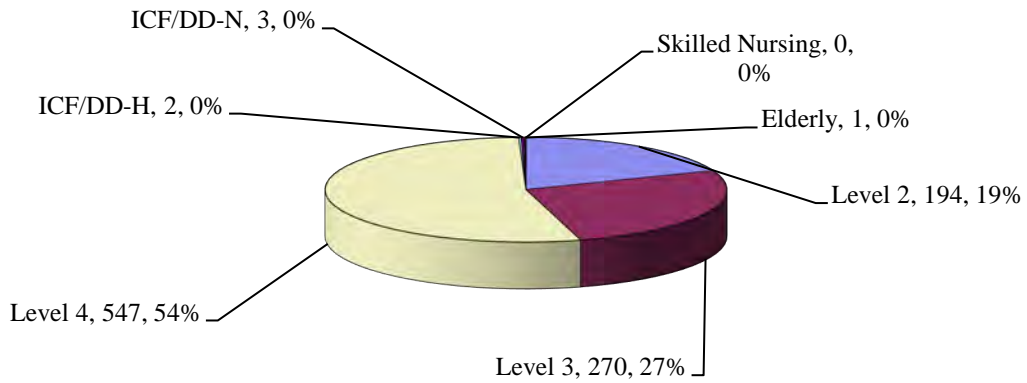
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

Fiscal Year 2022-23

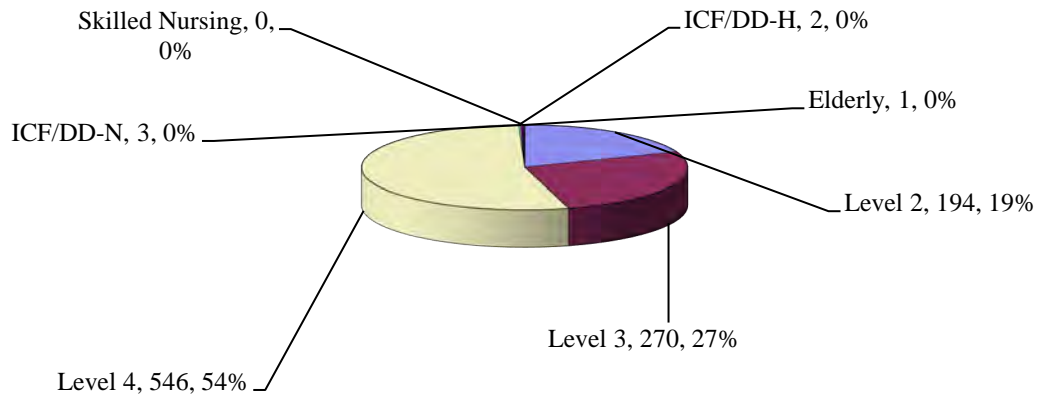
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	25	51	12	212	310
Level 3	10	31	66	22	240	369
Level 4A	1	5	7	2	24	39
Level 4B	0	1	0	0	7	8
Level 4C	2	11	11	1	59	84
Level 4D	0	10	5	2	29	46
Level 4E	0	3	9	3	20	35
Level 4F	3	14	9	2	40	68
Level 4G	0	8	4	2	39	53
Level 4H	0	0	2	0	1	3
Level 4I	15	64	92	38	307	516
Total	41	172	256	84	978	1,531



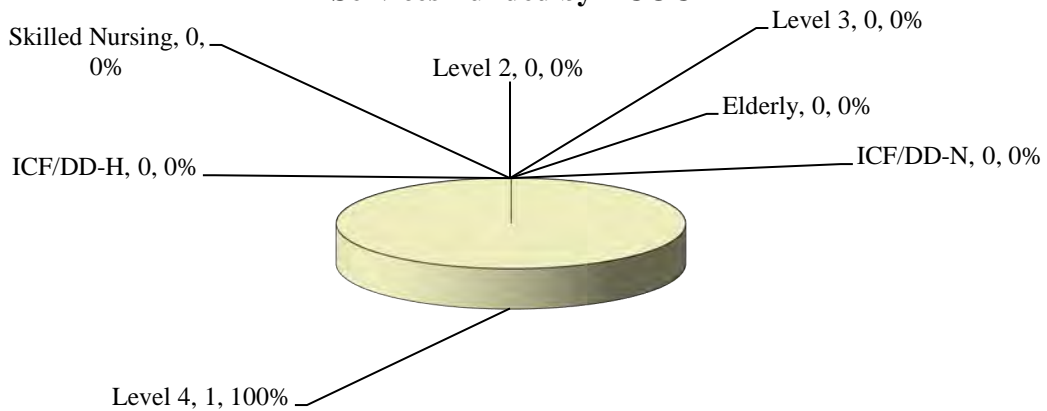
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**

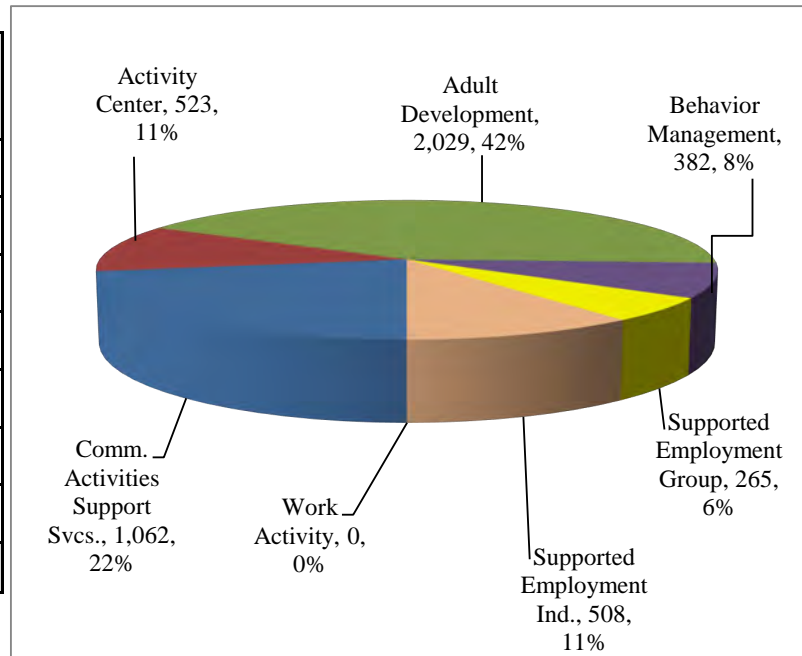


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,062
Activity Center	523
Adult Development	2,029
Behavior Management	382
Supported Employment Group	265
Supported Employment Ind.	508
Work Activity	0
Total	4,769



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4	341.4	337.4
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9	314.9	310.9
Number of Intake SCs	25.7	26.7	26.7	26.7	26.7	26.7
Number of Active Persons Served	23,980	24,028	24,161	24,276	24,367	24,488
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1	77.4	78.8

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15	20	23						
Eligibility - Lanterman	3	4	4	2	2	3						
Behavioral services	1	1	1	2	2	5						
Respite	3	2	1	2	4	6						
Day Care			1	1	1	1						
ILS/SLS												
Personal Assistance	2	1	1	1		2						
Other**	10	9	7	7	11	12						

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5	3	8	8	13						
Eligibility - Lanterman	3	2		1	2	1						
Eligibility - Early Start												
Behavioral services				2		3						
Respite	1			1	2	3						
Day Care			1									
Social/Recreational						2						
Personal Assistance		1				2						
Other**	5	2	2	4	4	2						

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6	6	3	3	9						
Number of Informal Meetings Held	7	2	5	3	3	7						
Number of Mediations Held		4				2						
Number of SLFHs Held			1									

Number of Requests in Scheduling*	4	9	5	9	17	8						
--	----------	----------	----------	----------	-----------	----------	--	--	--	--	--	--

* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0						
------------------------------------	----------	----------	----------	----------	----------	----------	--	--	--	--	--	--

* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2	4	3	0	6						
Withdrawn by Person Served/Family				2		1						
Settled in Informal	4	1	3	1		2						
Settled after further follow-up by RCOC						1						
Settled in Mediation		1				2						
SLFH Decision			1									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1									
Split												

ADMINISTRATION AND GOVERNANCE

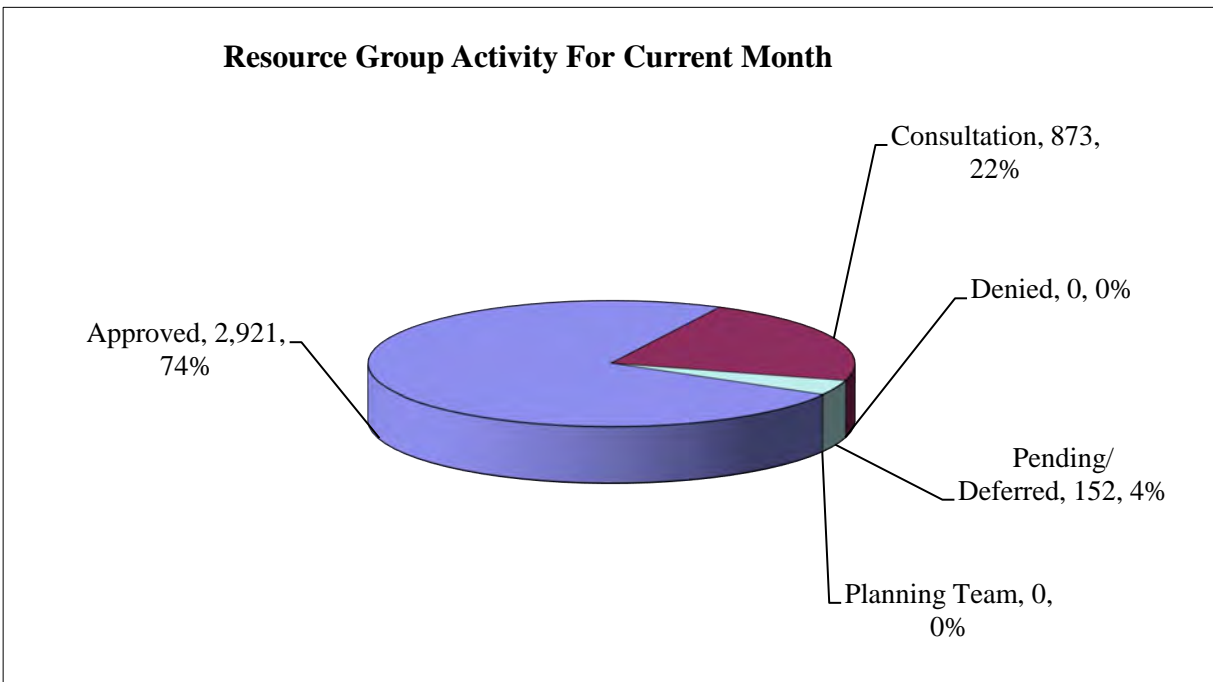
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for December 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	582	247	0	41	0	870
Behavioral	78	68	0	12	0	158
Education	0	0	0	0	0	0
Eligibility/Health	50	2	0	2	0	54
Early Start	568	104	0	31	0	703
Living Options	204	141	0	2	0	347
Supported/Ind.	242	105	0	12	0	359
All Others	1197	206	0	52	0	1,455
Monthly Total	2,921	873	0	152	0	3,946

FY 2022-23 Total to Date	19,133	7,902	0	1,247	0	28,282
-------------------------------------	--------	-------	---	-------	---	---------------



Operations Report Summary - December 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,463	8,639	11,878	8	23,988	11,574	12,957
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>48%</i>	<i>54%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,217	11,214	9,003
Community Care Facility	1,652	18	1,634
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	319	314	5
Intermediate Care Facility	637	3	634
Independent Living	909	0	909
Supported Living	496	0	496
Skilled Nursing	83	0	83
Other	116	25	91
Total	24,531	11,574	12,957

Special Incident Investigations	Year to Date
AWOL	29
Abuse	79
Neglect	93
Injury	107
Hospitalizations - Total	183
Death	73
Victim of crime	5
Arrest	5
Rights	133
Total	707

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	75	0	75
Level 3	83	0	83
Level 4	192	12	180
Total Community Care Facilities	350	12	338

Licensed Facility Monitoring	Year to Date
Annual Review	220
Unannounced	245
Total Number of Reviews	465
Provider Trainings	0
Technical Support	952
Corrective Action Plans	25

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	75
ICF-DD/Nursing	39
Total ICF Facilities	114

Number of Audits	1
Amount of Recovery from Audits	\$0

Total Licensed Facilities	464
----------------------------------	------------



Summary of Information About Persons Served - January 2023

NUMBER OF PERSONS SERVED	24,531	100%
Children - Birth to Age Three Receiving Early Start Services	3,450	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,664	31%
Adults - Ages 18 and Older Receiving Lanterman Services	12,957	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	508
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,578	60%
Epilepsy	2,862	14%
Cerebral Palsy	2,542	13%
Autism	9,274	44%
Fifth Category*	1,823	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	447
Early Start / Under Age Three / 45 days to complete determination	368 82%
Lanterman / Over Age Three / 120 days to complete determination	75 17%
Provisional / Up to Age Five / 90 days to complete determination	4 1%

NUMBER OF PERSONS DETERMINED ELIGIBLE	101
Children - Birth to Age Three Eligible for Early Start Services	42
Children and Adults - Ages Three and Older Eligible for Lanterman Services	57
<ul style="list-style-type: none"> • Number of children who received Early Start services 	1
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	1
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	3
Children - Age Three No Longer Eligible for Early Start Services	3
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JANUARY 2023 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33	33	37
Unannounced	42	43	36	44	41	39
Total Number of Reviews	81	85	72	77	74	76

Provider Trainings	0	0	0	0	0	0
Technical Support	161	164	145	159	186	137
Corrective Action Plans	1	5	13	2	3	1
Special Incident Investigations*	9	20	27	39	16	21
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	39						259
Unannounced	45						290
Total Number of Reviews	84	0	0	0	0	0	549

Provider Trainings	0						0
Technical Support	131						1,083
Corrective Action Plans	2						27
Special Incident Investigations*	18						150

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

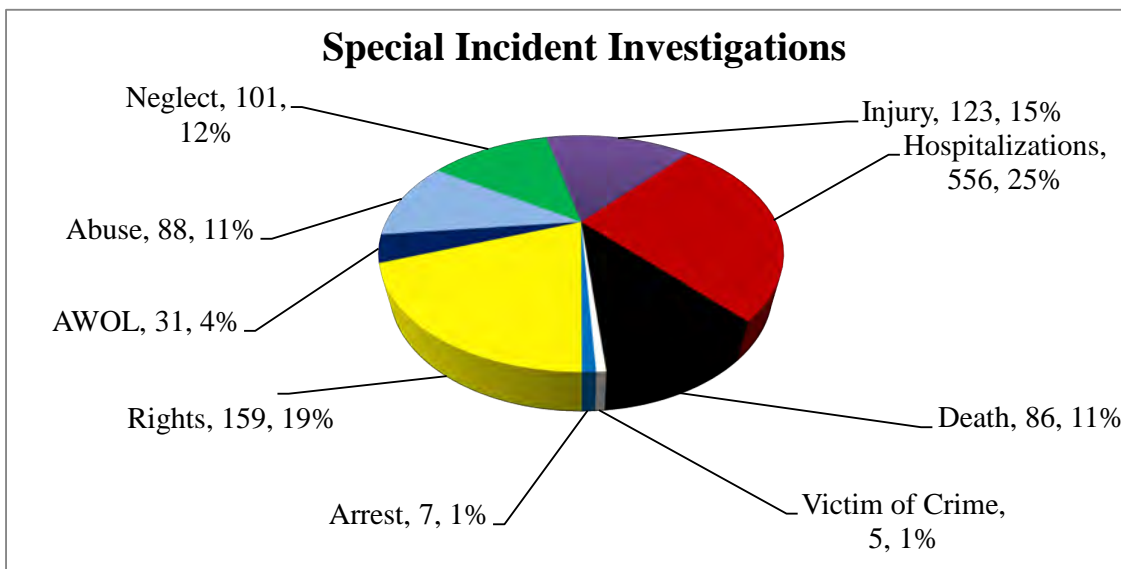
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3	4	2
Abuse	8	16	11	13	22	9
Neglect	14	16	17	26	11	9
Injury	18	25	15	16	17	16
Hospitalizations - Total	34	29	27	32	28	33
<i>Psychiatric</i>	4	3	2	4	2	1
<i>Medical</i>	30	26	25	28	26	32
Death	7	11	16	12	14	13
Victim of crime	2	1	0	1	1	0
Arrest	2	0	0	0	1	2
Rights	27	7	31	29	13	26
Total	121	114	119	132	111	110

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2						31
Abuse	9						88
Neglect	8						101
Injury	16						123
Hospitalizations - Total	33	0	0	0	0	0	216
<i>Psychiatric</i>	1						17
<i>Medical</i>	30						197
Death	13						86
Victim of Crime	0						5
Arrest	2						7
Rights	26						159
Total	109	0	0	0	0	0	816



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0						0
Staffing	0						0
Level 4I Consultant	0						0
P&I (consumer funds)	0						0
Total Number of Audits	0	0	0	0	0	0	1

Number of Appeals / Recoveries

State Appeal	0						0
Recovery	0						0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	--------	--------	--------	--------	---------------

FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70	77	78
Diapers - Family Member	7	6	6	6	5	5
Nursing Service - Family Member	62	59	61	60	60	61
Respite Service - Family Member	595	616	640	642	656	651
Transportation - Family Member	150	152	160	162	166	165
<i>Total Number of Voucher Authorizations</i>	882	901	940	940	964	960

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	190					
Diapers - Family Member	5					
Nursing Service - Family Member	62					
Respite Service - Family Member	658					
Transportation - Family Member	165					
<i>Total Number of Voucher Authorizations</i>	1,080	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

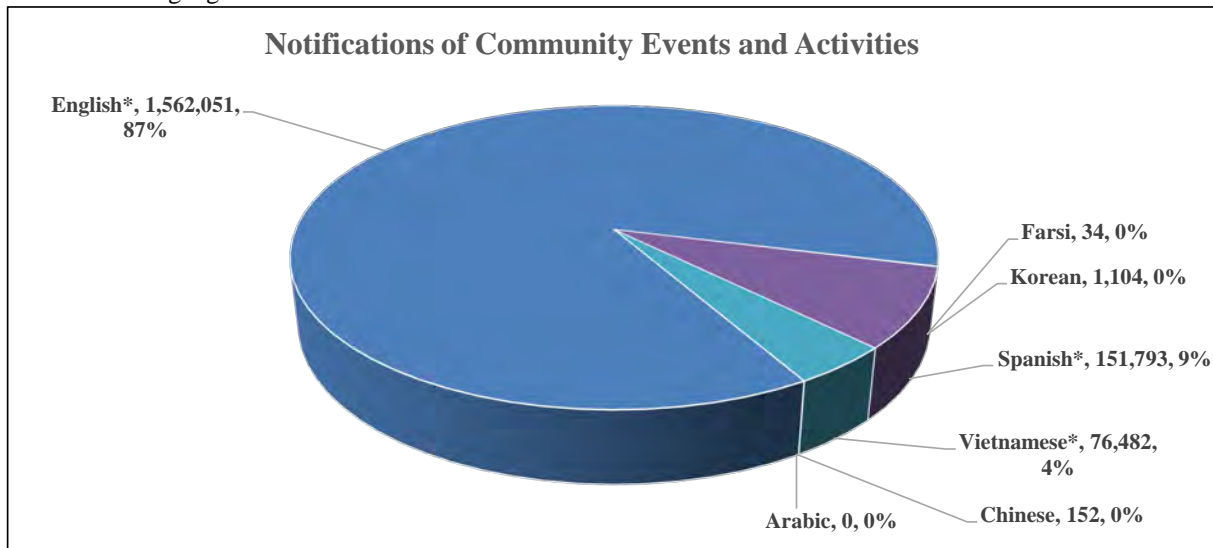
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,681	172,252	144,292
Farsi				34		
Korean		479	203		21	49
Spanish*	10,805	19,473	19,493	28,100	25,986	19,654
Vietnamese*	6,593	2,418	5,849	3,131	3,436	30,701
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	255,946	201,695	194,696

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	233,089						1,562,051
Farsi							34
Korean	352						1,104
Spanish*	28,282						151,793
Vietnamese*	24,354						76,482
Chinese							152
Arabic							0
Total Number of Notifications	286,077	0	0	0	0	0	1,791,616

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7	8	6
Spanish	1	1	4	1	3	3
Vietnamese		2	3			4
Other Languages		1 (Korean)		1 (Korean)	1 (Korean)	
In Print						
English	3		3		4	3
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		1
Other Languages						
Total Number of Outreach Events	5	16	18	10	16	17

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5						45
Spanish	1						14
Vietnamese							9
Other Languages							3
In Print							
English							13
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese	5						8
Other Languages							0
Total Number of Outreach Events	11	0	0	0	0	0	93

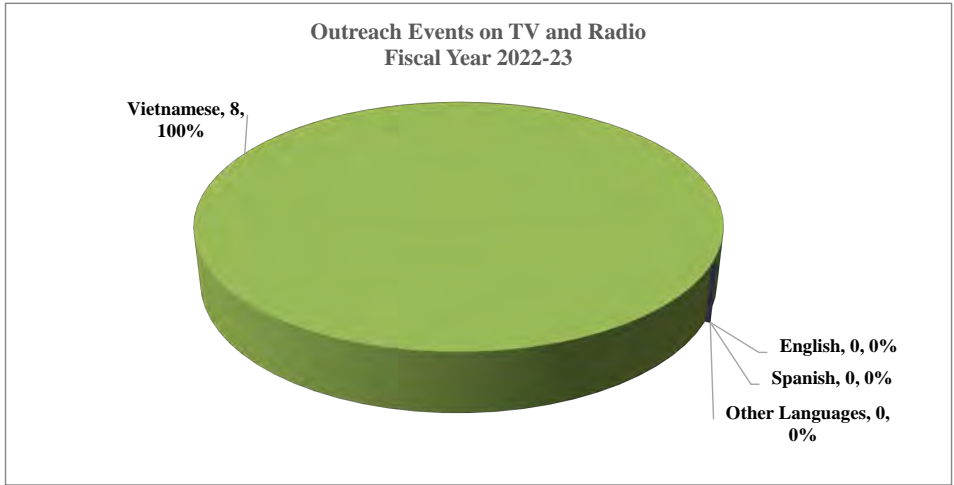
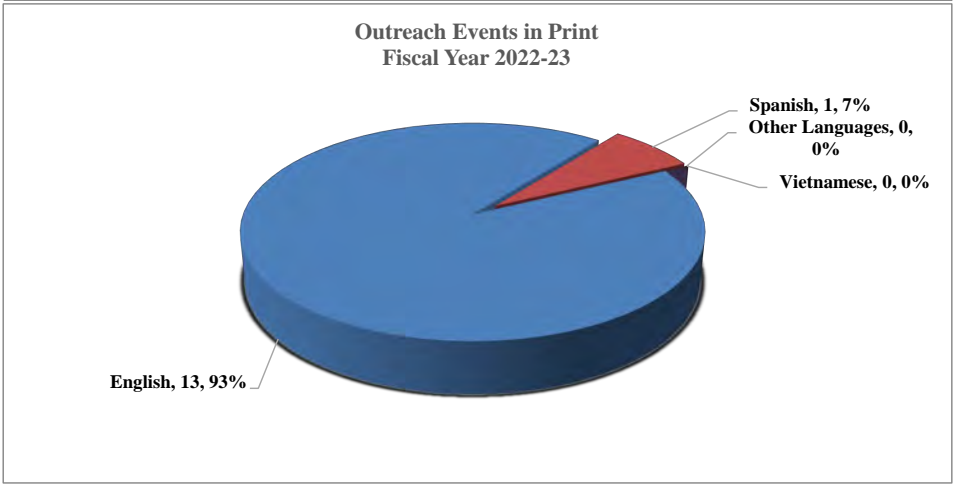
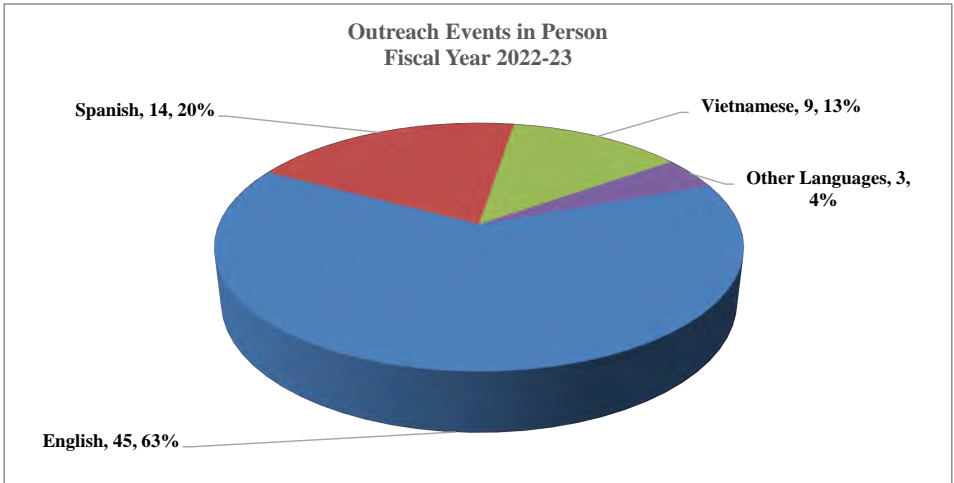
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

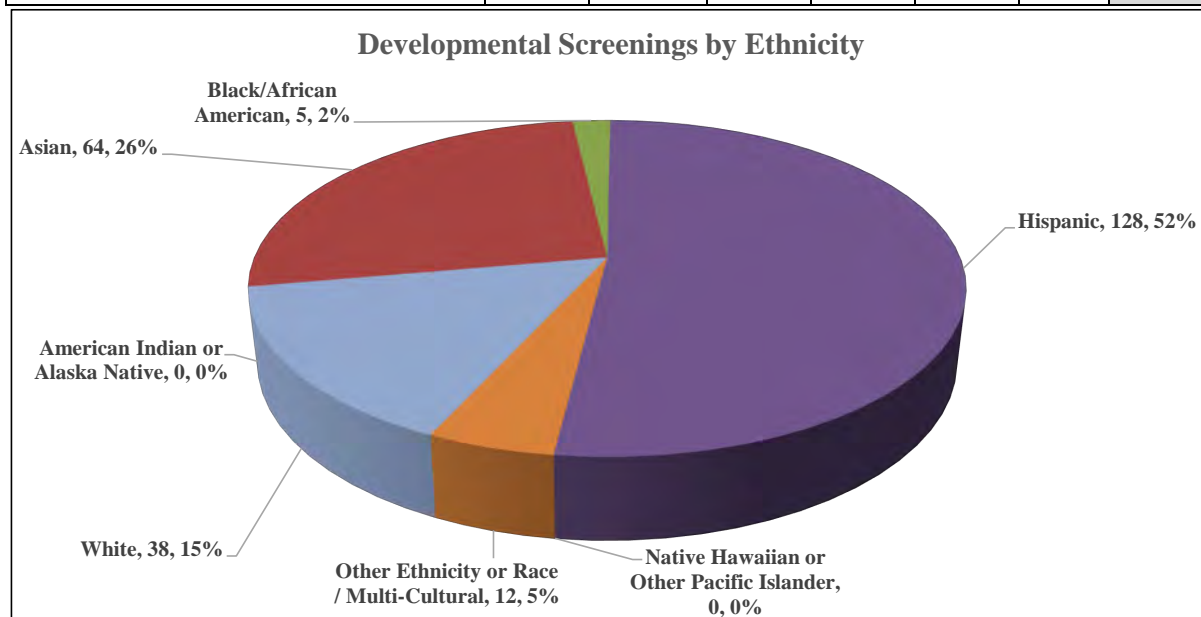
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	19	7	30	7	0	0
Black/African American	3	1	0	1	0	0
Hispanic	19	42	28	32	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	8	1	2	0	0	0
White	5	4	11	17	0	0
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOC	18	25	13	18	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0						0
Asian	1						64
Black/African American	0						5
Hispanic	7						128
Native Hawaiian or Other Pacific Islander	0						0
Other Ethnicity or Race / Multi-Cultural	1						12
White	1						38
Total Number Screened	10	0	0	0	0	0	247
Total Number Referred to RCOC	3						77

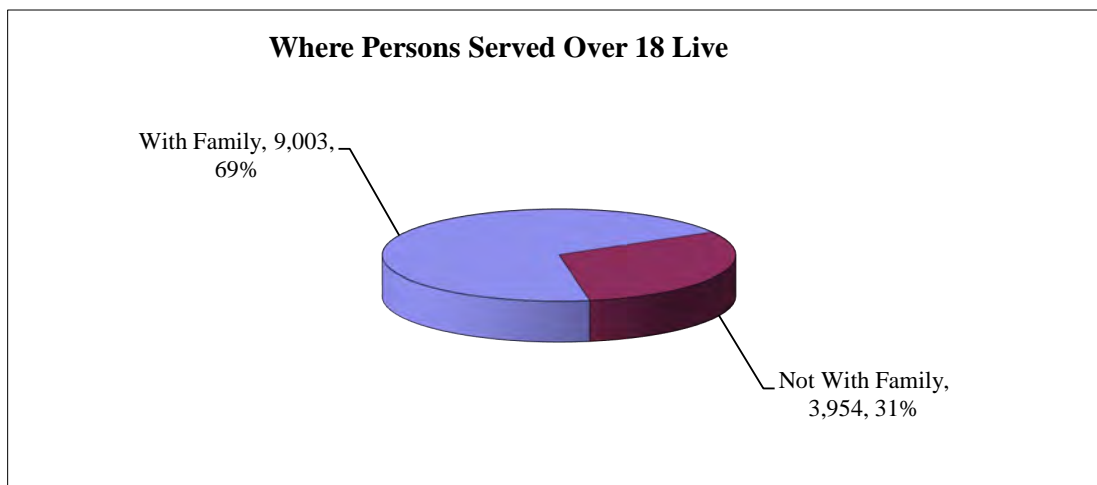
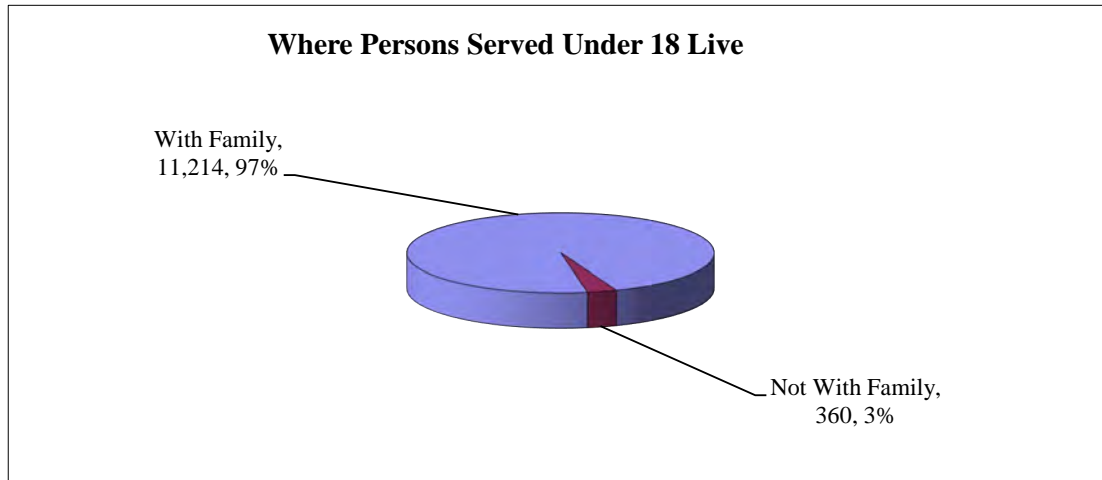


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

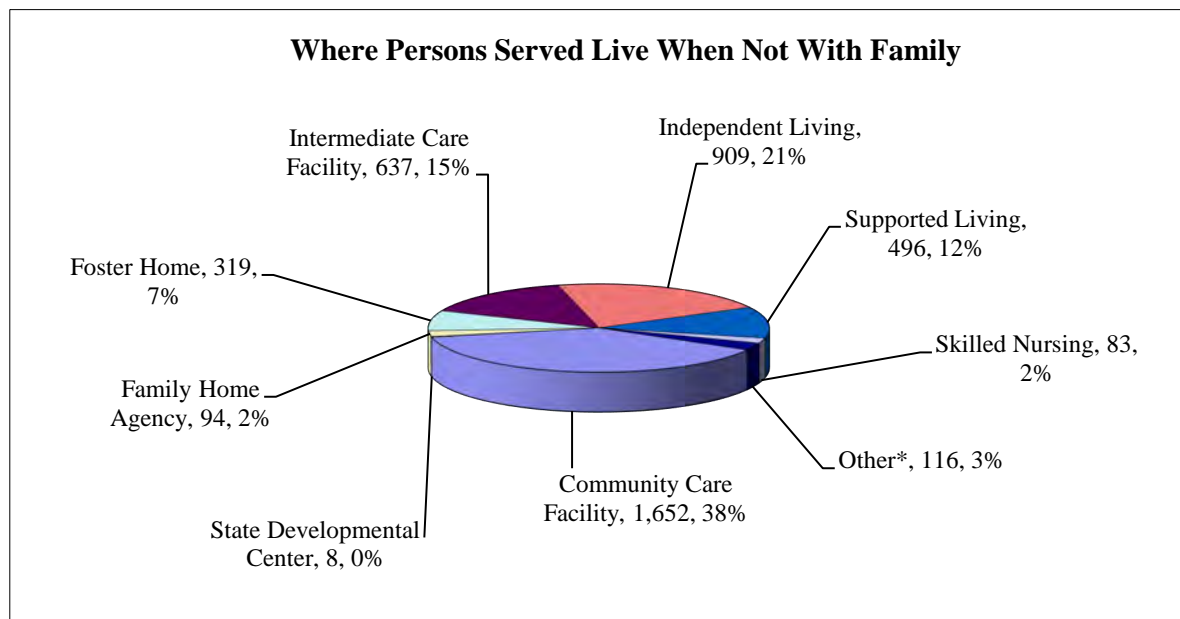
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,217	11,214	9,003
Not With Family	4,314	360	3,954
Totals	24,531	11,574	12,957



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,217	11,214	9,003
Community Care Facility	1,652	18	1,634
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	319	314	5
Intermediate Care Facility	637	3	634
Independent Living	909	0	909
Supported Living	496	0	496
Skilled Nursing	83	0	83
Other*	116	25	91
Total	24,531	11,574	12,957

Other*			
Acute General Hospital	3	0	3
California Youth Authority	1	1	0
Community Treatment	4	2	2
Correctional Institution	1	0	1
County Jail	3	1	2
Other	0	0	0
Out of State	2	1	1
Psychiatric Treatment	18	1	17
Rehabilitation Center	4	0	4
SDC / State Hospital	8	0	8
Sub-Acute	41	16	25
Transient / Homeless	21	1	20
Total, Other*	106	23	83



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

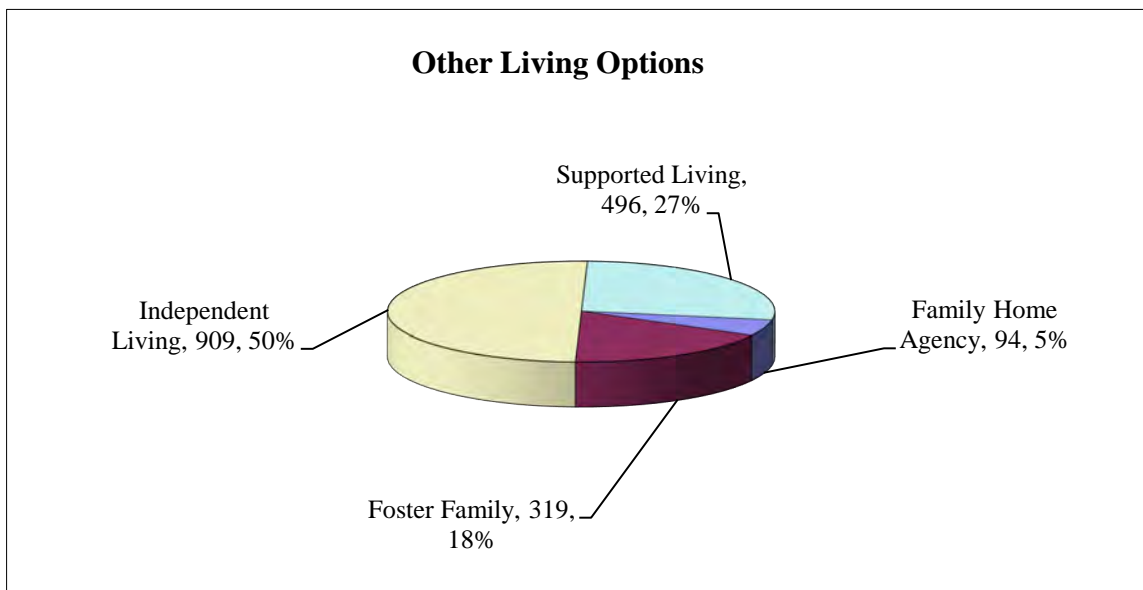
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	94	0	94
Foster Family	319	314	5
Independent Living	909	0	909
Supported Living	496	0	496
Total	1,818	314	1,504



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2022-23

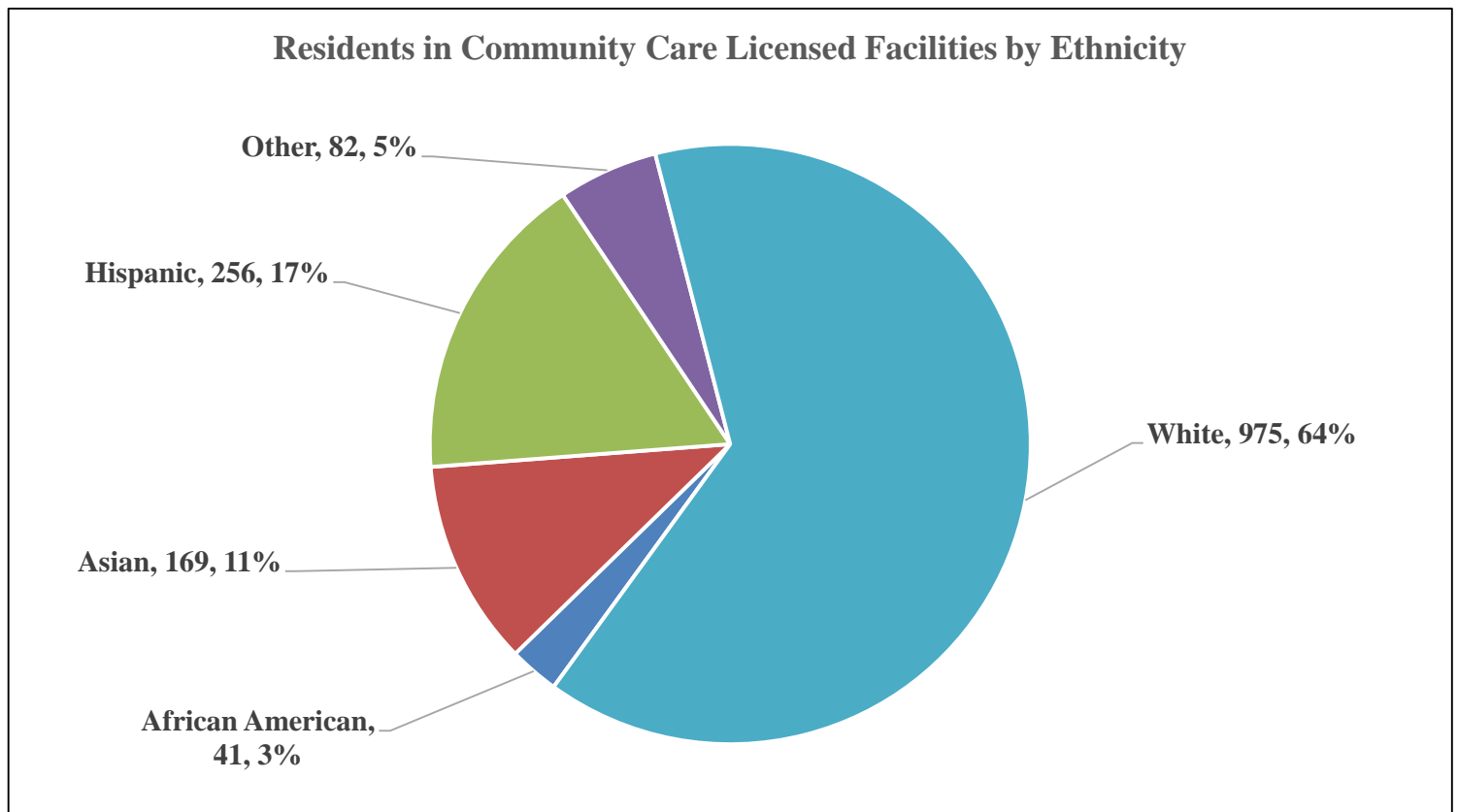
Licensed Facilities	Total	Over 18	Under 18
Level 2	190	190	0
Level 3	267	267	0
Level 4A	33	33	0
Level 4B	4	4	0
Level 4C	53	53	0
Level 4D	37	37	0
Level 4E	19	19	0
Level 4F	61	61	0
Level 4G	31	31	0
Level 4H	1	1	0
Level 4I	305	304	1
Elderly	1	1	0
ICF/DD-H	1	1	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,006	1,005	1

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	190	190	0
Level 3	267	267	0
Level 4	544	543	1
ICF/DD-H	1	1	0
ICF/DD-N	3	3	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,006	1,005	1

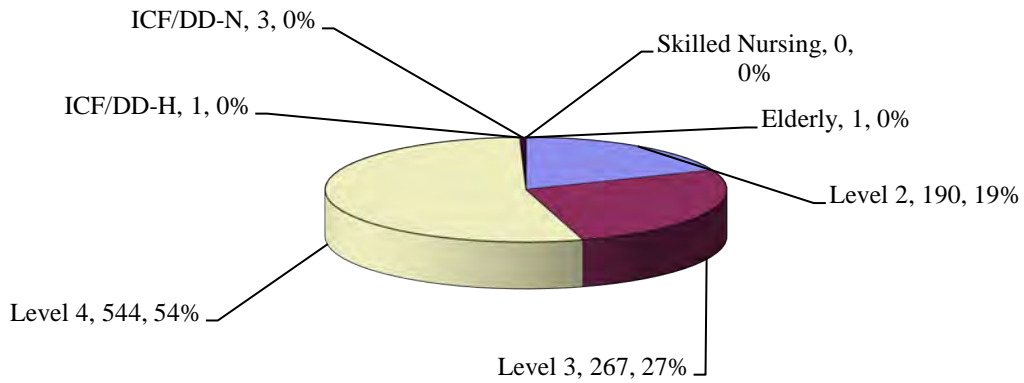
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2022-23

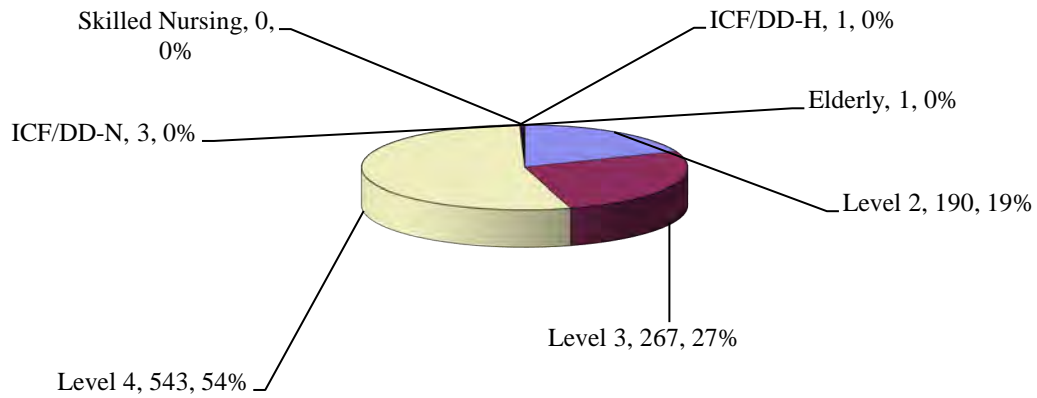
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	23	53	12	210	308
Level 3	11	30	65	22	237	365
Level 4A	0	5	6	2	24	37
Level 4B	0	1	0	0	7	8
Level 4C	2	11	10	1	59	83
Level 4D	0	10	5	2	29	46
Level 4E	0	4	9	2	20	35
Level 4F	3	14	9	2	41	69
Level 4G	0	8	4	2	38	52
Level 4H	0	0	2	0	1	3
Level 4I	15	63	93	37	309	517
Total	41	169	256	82	975	1,523



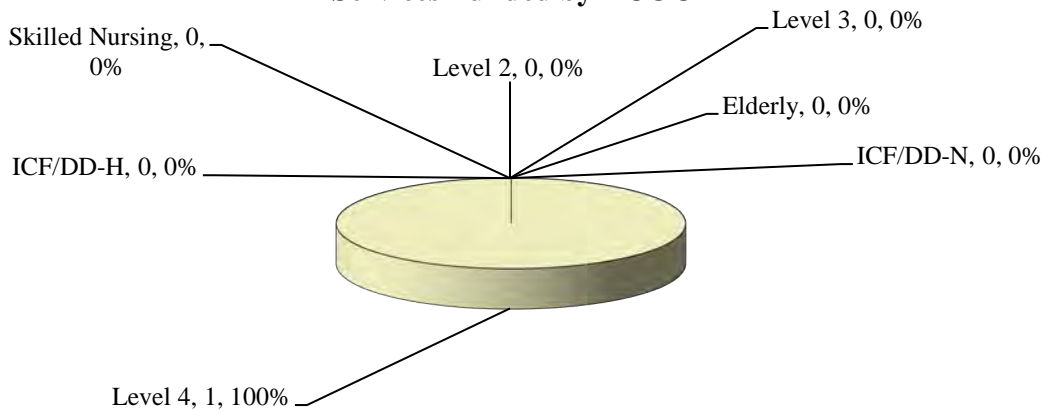
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

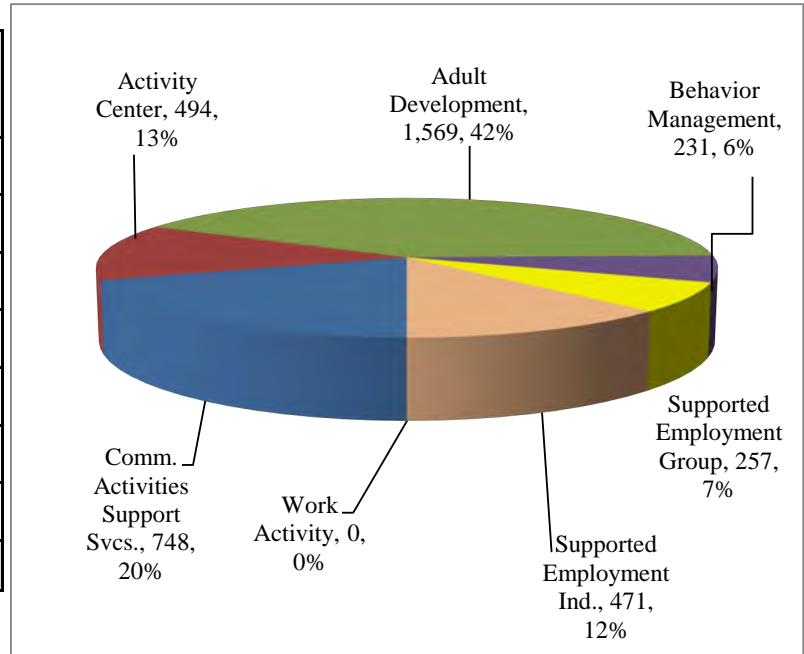


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	748
Activity Center	494
Adult Development	1,569
Behavior Management	231
Supported Employment Group	257
Supported Employment Ind.	471
Work Activity	0
Total	3,770



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4	341.4	337.4
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9	314.9	310.9
Number of Intake SCs	25.7	26.7	26.7	26.7	26.7	26.7
Number of Active Persons Served	23,980	24,028	24,161	24,276	24,367	24,488
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1	77.4	78.8

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	339.4					
Number of Case-Carrying SCs	312.9					
Number of Intake SCs	26.7					
Number of Active Persons Served	24,550					
Caseload Ratio, # of Active Persons Served/SCs	78.5					

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15	20	23	23					
Eligibility - Lanterman	3	4	4	2	2	3	3					
Behavioral services	1	1	1	2	2	5	7					
Respite	3	2	1	2	4	6	6					
Day Care			1	1	1	1	1					
ILS/SLS												
Personal Assistance	2	1	1	1		2	5					
Other**	10	9	7	7	11	12	12					

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5	3	8	8	13	10					
Eligibility - Lanterman	3	2		1	2	1	1					
Eligibility - Early Start												
Behavioral services				2		3	2					
Respite	1			1	2	3						
Day Care			1									
Social/Recreational						2	2					
Personal Assistance		1				2	3					
Other**	5	2	2	4	4	2	2					

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6	6	3	3	9	5					
Number of Informal Meetings Held	7	2	5	3	3	7	4					
Number of Mediations Held		4				2	1					
Number of SLFHs Held			1									

Number of Requests in Scheduling*	4	9	5	9	17	8	10					
--	----------	----------	----------	----------	-----------	----------	-----------	--	--	--	--	--

* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0	0					
------------------------------------	----------	----------	----------	----------	----------	----------	----------	--	--	--	--	--

* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2	4	3	0	6	8					
Withdrawn by Person Served/Family				2		1	0					
Settled in Informal	4	1	3	1		2	5					
Settled after further follow-up by RCOC						1	2					
Settled in Mediation		1				2	1					
SLFH Decision			1									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1									
Split												

ADMINISTRATION AND GOVERNANCE

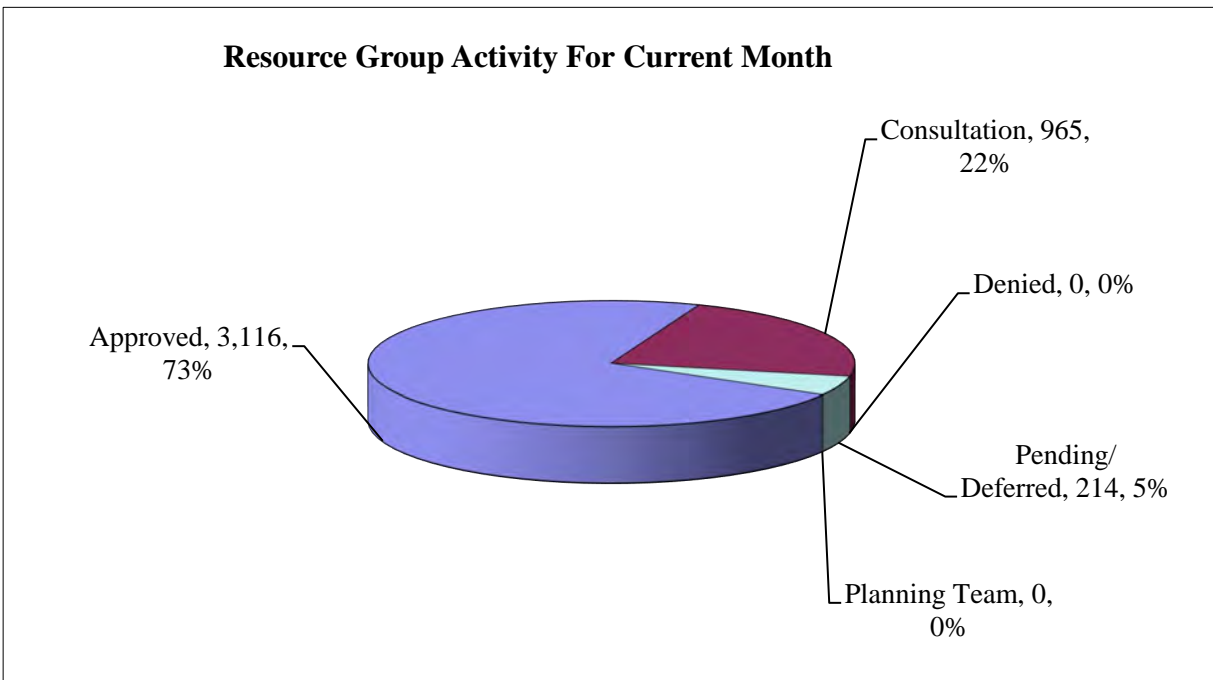
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for January 2023 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	867	323	0	113	0	1,303
Behavioral	102	65	0	12	0	179
Education	0	0	0	0	0	0
Eligibility/Health	59	2	0	7	0	68
Early Start	561	102	0	25	0	688
Living Options	177	150	0	1	0	328
Supported/Ind.	328	134	0	10	0	472
All Others	1022	189	0	46	0	1,257
Monthly Total	3,116	965	0	214	0	4,295

FY 2022-23 Total to Date	22,351	9,207	0	1,461	0	33,019
-------------------------------------	--------	-------	---	-------	---	---------------



Operations Report Summary - January 2023

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,450	8,642	11,888	8	23,988	11,574	12,957
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>48%</i>	<i>54%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,217	11,214	9,003
Community Care Facility	1,652	18	1,634
State Developmental Center	8	0	8
Family Home Agency	94	0	94
Foster Home	319	314	5
Intermediate Care Facility	637	3	634
Independent Living	909	0	909
Supported Living	496	0	496
Skilled Nursing	83	0	83
Other	116	25	91
Total	24,531	11,574	12,957

Special Incident Investigations	Year to Date
AWOL	31
Abuse	88
Neglect	101
Injury	123
Hospitalizations - Total	216
Death	86
Victim of crime	5
Arrest	7
Rights	159
Total	816

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	74	0	74
Level 3	80	0	80
Level 4	194	12	182
Total Community Care Facilities	348	12	336

Licensed Facility Monitoring	Year to Date
Annual Review	259
Unannounced	290
Total Number of Reviews	549
Provider Trainings	0
Technical Support	1,083
Corrective Action Plans	27

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	75
ICF-DD/Nursing	39
Total ICF Facilities	114

Number of Audits	1
Amount of Recovery from Audits	\$0

Total Licensed Facilities	462
----------------------------------	------------

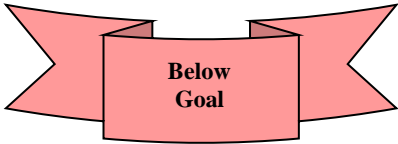
Performance Contract Summary

RCOC as of 01/01/2023	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,480	10	0	0.03%	-10
Children in Foster Homes (FH)	11,546	313	315	2.71%	-2
Children Own Home Parent/Guardian	11,546	11,192	11,300	96.93%	-108
Total # Children (FH,Parent/Guardian)	11,546	11,505	11,615	99.64%	-110
Adult FHA	12,924	94	110	0.73%	-16
Independent Living (IL)	12,924	907	924	7.02%	-17
Adults Residing Own Home - Parent	12,924	9,007	9,150	69.69%	-143
Supported Living (SL)	12,924	490	512	3.79%	-22
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,924	10,498	10,696	81.23%	-198
Children Residing in a CCF (7+ beds)	11,546	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	11,546	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,546	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,546	0	0	0.00%	-1
Adults Residing in a CCF (7+ beds)	12,924	120	114	0.93%	-6
Adults Residing in a ICF (7+ beds)	12,924	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,924	82	74	0.63%	-8
Total Adults Residing in 7+ bed facilities	12,924	216	194	1.67%	-22
Total Individuals Over Age 3 with <=120 days	262	259	100%	98.85%	98.85%
Total Individuals Over Age 3 with 121-240 days	262	1	0%	0.38%	0.38%
Total Individuals Over Age 3 Over 240 days	262	2	0%	0.76%	0.76%
Adults with Integrated Employment Goal	12,924	48%	65%		
Total Number of Incentive Payments Made	12,924	207			
Avg. Wage per Hour After Incentive Payment	12,924	\$14.40			
Number of Persons Served with Earned Income	12,924	1,726			
Percentage of 16-64 Earned Income	12,924	22%			
Annual Earnings of 16-64	12,924	\$7,656			
Number of Adults in CIE After Paid Intern	12,924	0			
Percentage Adults Transitioned Internship to CIE	12,924	0%			
Total Annual Expenditures Race/Ethnicity	24,480				

Performance Contract 2022 Cover Sheet



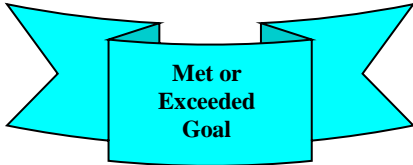
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.17
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2022

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 1/01/23	0.04%	24,480	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22	23,765	0	9	0.04%	-9
Jun-22	23,861	0	8	0.03%	-8
Jul-22	23,960	0	8	0.03%	-8
Aug-22	24,025	0	8	0.03%	-8
Sep-22	24,161	0	9	0.04%	-9
Oct-22	24,264	0	9	0.04%	-9
Nov-22	24,369	0	10	0.04%	-10
Dec-22	24,480	0	10	0.04%	-10

Performance Contract 2022

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

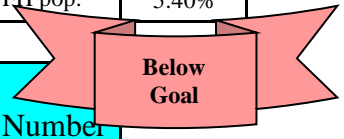
Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 1/01/23	2.71%	11,546	313	315	2.71%	-2
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22	11,127	273	301	2.71%	28
Jun-22	11,191	273	295	2.64%	22
Jul-22	11,242	273	281	2.50%	8
Aug-22	11,262	273	288	2.56%	15
Sep-22	11,359	273	299	2.63%	26
Oct-22	11,425	273	300	2.63%	27
Nov-22	11,481	315	305	2.66%	-10
Dec-22	11,546	315	313	2.71%	-2

Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 1/01/23	97.01%	11,546	11,192	11,300	96.93%	-108
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22	10,390	10,850	10,591	96.90%	-259
Feb-22	10,949	10,850	10,604	96.85%	-246
Mar-22	10,994	10,850	10,655	96.92%	-195
Apr-22	11,065	10,850	10,720	96.88%	-130
May-22	11,127	10,850	10,783	96.91%	-67
Jun-22	11,191	10,850	10,853	96.98%	3
Jul-22	11,242	10,850	10,916	97.10%	66
Aug-22	11,269	10,850	10,940	97.08%	90
Sep-22	11,359	10,850	11,019	97.01%	169
Oct-22	11,425	10,850	11,082	97.00%	232
Nov-22	11,481	11,300	11,134	96.98%	-166
Dec-22	11,546	11,300	11,192	96.93%	-108

Below Goal

Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	Goal	%	# Attained
RCOC 1/01/23	99.64%	11,546	11,505	11,615	99.64%	-110
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		93.08%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22	11,127	11,123	11,084	99.61%	-39
Jun-22	11,191	11,123	11,148	99.62%	25
Jul-22	11,242	11,123	11,197	99.60%	74
Aug-22	11,269	11,123	11,228	99.64%	105
Sep-22	11,359	11,123	11,318	99.64%	195
Oct-22	11,425	11,123	11,382	99.62%	259
Nov-22	11,481	11,615	11,439	99.63%	-176
Dec-22	11,546	11,615	11,505	99.64%	-110



Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99			
RCOC 1/01/23	0.73%	12,924	94	112	0.73%	-18
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%

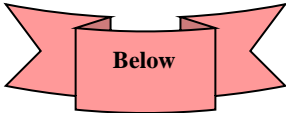


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22	12,629	112	99	0.78%	-13
Jun-22	12,662	112	99	0.78%	-13
Jul-22	12,710	112	98	0.77%	-14
Aug-22	12,748	112	96	0.75%	-16
Sep-22	12,793	112	95	0.74%	-17
Oct-22	12,830	112	94	0.74%	-18
Nov-22	12,878	112	94	0.73%	-18
Dec-22	12,924	112	94	0.73%	-18

Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903			
RCOC 1/01/23	7.02%	12,924	907	924	7.02%	-17
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22	12,629	902	907	7.18%	5
Jun-22	12,662	902	905	7.15%	3
Jul-22	12,710	902	902	7.10%	0
Aug-22	12,748	902	907	7.11%	5
Sep-22	12,793	902	912	7.13%	10
Oct-22	12,830	902	909	7.08%	7
Nov-22	12,878	924	907	7.04%	-17
Dec-22	12,924	924	907	7.02%	-17

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719			
RCOC 1/01/23	69.69%	12,924	9,007	9,150	69.69%	
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22	12,629	8,575	8,698	68.87%	123
Jun-22	12,662	8,575	8,740	69.03%	165
Jul-22	12,710	8,575	8,778	69.06%	203
Aug-22	12,748	8,575	8,816	69.15%	241
Sep-22	12,793	8,575	8,861	69.26%	286
Oct-22	12,830	8,575	8,906	69.42%	331
Nov-22	12,878	9,150	8,954	69.53%	-196
Dec-22	12,924	9,150	9,007	69.69%	-143



Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living	Goal	%	# Attained
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	512	3.79%	-22
RCOC 1/01/23	3.89%	12,924	490			
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop	5.30%	



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22	12,629	509	500	3.96%	-9
Jun-22	12,710	509	498	3.93%	-11
Jul-22	12,710	509	498	3.92%	-11
Aug-22	12,748	509	498	3.91%	-11
Sep-22	12,793	509	498	3.89%	-11
Oct-22	12,830	509	495	3.86%	-14
Nov-22	12,878	512	494	3.84%	-18
Dec-22	12,924	512	490	3.79%	-22



Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.75%	186,242	154,119			
RCOC Public Heaing 8/17/22	80.97%	12,634	10,217			
RCOC 1/01/23	81.23%	12,924	10,498	10,696	81.23%	-198
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22	12,629	10,098	10,204	80.80%	106
Jun-22	12,662	10,098	10,242	80.89%	144
Jul-22	12,710	10,098	10,276	80.85%	178
Aug-22	12,748	10,098	10,317	80.93%	219
Sep-22	12,793	10,098	10,366	81.03%	268
Oct-22	12,830	10,098	10,404	81.09%	306
Nov-22	12,878	10,696	10,449	81.14%	-247
Dec-22	12,924	10,696	10,498	81.23%	-198

Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 1/01/23	0.01%	11,546	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-22	10,390	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	1	0.01%	-1
Dec-22	11,546	0	1	0.01%	-1

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 1/01/23	0.00%	11,546	0			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



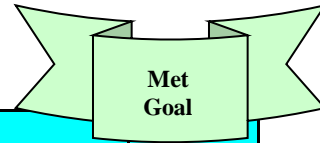
	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-22	10,994	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	0	0.00%	0
Dec-22	11,546	0	0	0.00%	0



Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 1/01/23	0.00%	11,546	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	0	0.00%	0
Dec-22	11,546	0	0	0.00%	0

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (this is a total of sections A, B, and C above).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 1/01/23	0.01%	11,546	1			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22	11,425	0	0	0.00%	0
Nov-22	11,481	0	1	0.01%	-1
Dec-22	11,546	0	1	0.01%	-1

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122			
RCOC 1/01/23	0.93%	12,924	120	114	0.93%	-6
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22	12,629	115	123	0.98%	-8
Jun-22	12,662	115	121	0.96%	-6
Jul-22	12,710	115	122	0.96%	-7
Aug-22	12,748	115	120	0.94%	-5
Sep-22	12,793	115	119	0.93%	-4
Oct-22	12,830	115	118	0.92%	-3
Nov-22	12,878	114	119	0.92%	-5
Dec-22	12,924	114	120	0.93%	-6



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 1/01/23	0.11%	12,924	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,506	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22	12,595	6	13	0.10%	-7
May-22	12,629	6	13	0.10%	-7
Jun-22	12,662	6	13	0.10%	-7
Jul-22	12,710	6	14	0.11%	-8
Aug-22	12,748	6	14	0.11%	-8
Sep-22	12,793	6	14	0.11%	-8
Oct-22	12,830	6	14	0.11%	-8
Nov-22	12,878	6	14	0.11%	-8
Dec-22	12,924	6	14	0.11%	-8



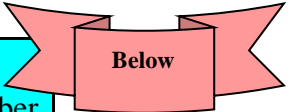


Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78			
RCOC 1/01/23	0.63%	12,924	82	74	0.63%	-8
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22	12,629	75	81	0.64%	-6
Jun-22	12,662	75	78	0.62%	-3
Jul-22	12,710	75	81	0.64%	-6
Aug-22	12,748	75	86	0.67%	-11
Sep-22	12,793	75	85	0.66%	-10
Oct-22	12,830	75	85	0.66%	-10
Nov-22	12,878	74	83	0.64%	-9
Dec-22	12,924	74	82	0.64%	-8



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	194	1.67%	-22
RCOC 1/01/23	1.67%	12,924	216			
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22	12,629	196	217	1.72%	-21
Jun-22	12,662	196	212	1.67%	-16
Jul-22	12,710	196	217	1.71%	-21
Aug-22	12,748	196	220	1.73%	-24
Sep-22	12,793	196	218	1.70%	-22
Oct-22	12,830	196	217	1.69%	-21
Nov-22	12,878	194	216	1.68%	-22
Dec-22	12,924	194	216	1.67%	-22



Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days		
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222	Goal	% Attained
RCOC 1/01/23	98.85%	262	259	100.00%	98.85%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22	207	100%	206	99.52%
Jun-22	233	100%	233	100.00%
Jul-22	256	100%	255	99.61%
Aug-22	277	100%	275	99.28%
Sep-22	272	100%	269	98.90%
Oct-22	293	100%	288	98.29%
Nov-22	286	100%	281	98.25%
Dec-22	262	100%	259	98.85%



Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individual s Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4	Goal	% Attained
RCOC 1/01/23	0.38%	262	1	0.00%	0.38%



	Total Number of Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 With 121-240 Days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22	207	0.00%	1	0.48%
Jun-22	233	0.00%	0	0.00%
Jul-22	256	0.00%	1	0.39%
Aug-22	277	0.00%	2	0.72%
Sep-22	272	0.00%	2	0.74%
Oct-22	293	0.00%	5	1.71%
Nov-22	286	0.00%	5	1.75%
Dec-22	262	0.00%	1	0.38%



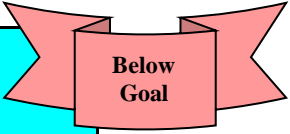
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0		
RCOC 1/01/23	0.76%	262	2	0.00%	0.76%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-22	201	0%	0	0.00%
Feb-22	216	0%	0	0.00%
Mar-22	198	0%	0	0.00%
Apr-22	207	0%	1	0.48%
May-22	207	0%	0	0.00%
Jun-22	233	0%	0	0.00%
Jul-22	256	0%	0	0.00%
Aug-22	277	0%	0	0.00%
Sep-22	272	0%	1	0.37%
Oct-22	293	0%	0	0.00%
Nov-22	286	0%	0	0.00%
Dec-22	262	0%	2	0.76%





Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98



Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	

Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174 ↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%

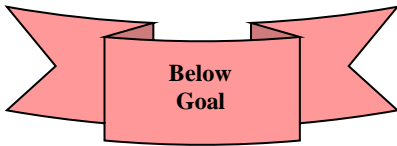
Performance Contract Summary

RCOC as of 02/01/2023	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,544	10	0	0.03%	-10
Children in Foster Homes (FH)	11,574	316	315	2.73%	1
Children Own Home Parent/Guardian	11,574	11,220	11,300	96.94%	-80
Total # Children (FH,Parent/Guardian)	11,574	11,536	11,615	99.67%	-79
Adult FHA	12,970	91	110	0.70%	-19
Independent Living (IL)	12,970	907	924	6.99%	-17
Adults Residing Own Home - Parent	12,970	9,052	9,150	69.79%	-98
Supported Living (SL)	12,970	492	512	3.79%	-20
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,970	10,542	10,696	81.28%	-154
Children Residing in a CCF (7+ beds)	11,574	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	11,574	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,574	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,574	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,970	120	114	0.93%	-6
Adults Residing in a ICF (7+ beds)	12,970	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,970	79	74	0.61%	-5
Total Adults Residing in 7+ bed facilities	12,970	213	194	1.64%	-19
Total Individuals Over Age 3 with <=120 days	242	240	100%	100.00%	99.17%
Total Individuals Over Age 3 with 121-240 days	242	1	0%	0.41%	0.41%
Total Individuals Over Age 3 Over 240 days	242	1	0%	0.41%	0.41%
Adults with Integrated Employment Goal	12,970	48%	65%		
Total Number of Incentive Payments Made	12,970	207			
Avg. Wage per Hour After Incentive Payment	12,970	\$14.40			
Number of Persons Served with Earned Income	12,970	1,726			
Percentage of 16-64 Earned Income	12,970	22%			
Annual Earnings of 16-64	12,970	\$7,656			
Number of Adults in CIE After Paid Intern	12,970	0			
Percentage Adults Transitioned Internship to CIE	12,970	0%			
Total Annual Expenditures Race/Ethnicity	24,544				

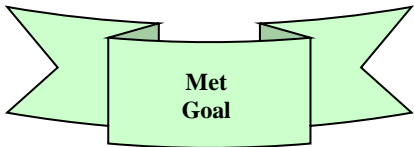
Performance Contract 2023 Cover Sheet



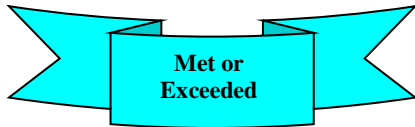
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2023

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.13
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2023

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 02/01/23	0.04%	24,554	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-23	24,544	0	10	0.04%	-10
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			

Performance Contract 2023

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

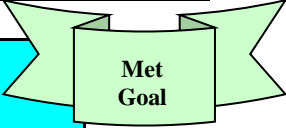
Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 02/01/23	2.73%	11,574	316	315	2.73%	1
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-23	11,574	315	316	2.73%	1
Feb-23		315			
Mar-23		315			
Apr-23		315			
May-23		315			
Jun-23		315			
Jul-23		315			
Aug-23		315			
Sep-23		315			
Oct-23		315			
Nov-23		315			
Dec-23		315			
Jan-24		315			
Feb-24		315			
Mar-24		315			
Apr-24		315			
May-24		315			
Jun-24		315			



Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guadian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 02/01/23	96.94%	11,574	11,220	11,300	96.94%	-80
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children	Children in own home Parent/Guardian	Children in Own Home Parent/Guardian	%	Number Attained
Jan-23	11,574	11,300	11,220	96.94%	-80
Feb-23		11,300			
Mar-23		11,300			
Apr-23		11,300			
May-23		11,300			
Jun-23		11,300			
Jul-23		11,300			
Aug-23		11,300			
Sep-23		11,300			
Oct-23		11,300			
Nov-23		11,300			
Dec-23		11,300			
Jan-24		11,300			
Feb-24		11,300			
Mar-24		11,300			
Apr-24		11,300			
May-24		11,300			
Jun-24		11,300			





Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709			
RCOC 02/01/23	99.67%	11,574	11,536	11,615	99.67%	-79
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		92.83%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-23	11,574	11,615	11,536	99.67%	-79
Feb-23		11,615			
Mar-23		11,615			
Apr-23		11,615			
May-23		11,615			
Jun-23		11,615			
Jul-23		11,615			
Aug-23		11,615			
Sep-23		11,615			
Oct-23		11,615			
Nov-23		11,615			
Dec-23		11,615			
Jan-24		11,615			
Feb-24		11,615			
Mar-24		11,615			
Apr-24		11,615			
May-24		11,615			
Jun-24		11,615			



Performance Contract 2023

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	Goal	%	# Attained
RCOC 02/01/23	0.74%	12,970	91	110	0.70%	-19
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-23	12,970	110	91	0.70%	-19
Feb-23		110			
Mar-23		110			
Apr-23		110			
May-23		110			
Jun-23		110			
Jul-23		110			
Aug-23		110			
Sep-23		110			
Oct-23		110			
Nov-23		110			
Dec-23		110			
Jan-24		110			
Feb-24		110			
Mar-24		110			
Apr-24		110			
May-24		110			
Jun-24		110			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	924	6.99%	-17
RCOC 02/01/23	6.99%	12,970	907	924	6.99%	-17
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-23	12,970	924	907	6.99%	-17
Feb-23		924			
Mar-23		924			
Apr-23		924			
May-23		924			
Jun-23		924			
Jul-23		924			
Aug-23		924			
Sep-23		924			
Oct-23		924			
Nov-23		924			
Dec-23		924			
Jan-24		924			
Feb-24		924			
Mar-24		924			
Apr-24		924			
May-24		924			
Jun-24		924			

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent	Goal	%	# Attained
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719			
RCOC 02/01/23	69.79%	12,970	9,052	9,150	69.79%	-98
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-23	12,970	9,150	9,052	69.79%	-98
Feb-23		9,150			
Mar-23		9,150			
Apr-23		9,150			
May-23		9,150			
Jun-23		9,150			
Jul-23		9,150			
Aug-23		9,150			
Sep-23		9,150			
Oct-23		9,150			
Nov-23		9,150			
Dec-23		9,150			
Jan-24		9,150			
Feb-24		9,150			
Mar-24		9,150			
Apr-24		9,150			
May-24		9,150			
Jun-24		9,150			



Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 02/01/23	3.79%	12,970	492	512	3.79%	-20
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-23	12,970	512	492	3.79%	-20
Feb-23		512			
Mar-23		512			
Apr-23		512			
May-23		512			
Jun-23		512			
Jul-23		512			
Aug-23		512			
Sep-23		512			
Oct-23		512			
Nov-23		512			
Dec-23		512			
Jan-24		512			
Feb-24		512			
Mar-24		512			
Apr-24		512			
May-24		512			
Jun-24		512			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.75%	186,242	154,119			
RCOC Public Heaing 8/17/22	80.97%	12,634	10,217	10,696	81.28%	-154
RCOC 02/01/23	81.28%	12,970	10,542			
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-23	12,970	10,696	10,542	81.28%	-154
Feb-23		10,696			
Mar-23		10,696			
Apr-23		10,696			
May-23		10,696			
Jun-23		10,696			
Jul-23		10,696			
Aug-23		10,696			
Sep-23		10,696			
Oct-23		10,696			
Nov-23		10,696			
Dec-23		10,696			
Jan-24		10,696			
Feb-24		10,696			
Mar-24		10,696			
Apr-24		10,696			
May-24		10,696			
Jun-24		10,696			



Performance Contract 2023

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	-1
RCOC 02/01/23	0.01%	11,574	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 02/01/23	0.00%	11,574	0			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 02/01/23	0.00%	11,574	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (this is a total of sections A, B, and C above).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 02/01/23	0.00%	11,574	1			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 02/01/23	0.93%	12,970	120	114	0.93%	-6
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-23	12,970	114	120	0.93%	-6
Feb-23		114			
Mar-23		114			
Apr-23		114			
May-23		114			
Jun-23		114			
Jul-23		114			
Aug-23		114			
Sep-23		114			
Oct-23		114			
Nov-23		114			
Dec-23		114			
Jan-24		114			
Feb-24		114			
Mar-24		114			
Apr-24		114			
May-24		114			
Jun-24		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 02/01/23	0.11%	12,970	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,970	6	14	0.11%	-8
Feb-23		6			
Mar-23		6			
Apr-23		6			
May-23		6			
Jun-23		6			
Jul-23		6			
Aug-23		6			
Sep-23		6			
Oct-23		6			
Nov-23		6			
Dec-23		6			
Jan-24		6			
Feb-24		6			
Mar-24		6			
Apr-24		6			
May-24		6			
Jun-24		6			





Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78			
RCOC 02/01/23	0.61%	12,970	79	74	0.61%	-5
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-23	12,970	74	79	0.61%	-5
Feb-23		74			
Mar-23		74			
Apr-23		74			
May-23		74			
Jun-23		74			
Jul-23		74			
Aug-23		74			
Sep-23		74			
Oct-23		74			
Nov-23		74			
Dec-23		74			
Jan-24		74			
Feb-24		74			
Mar-24		74			
Apr-24		74			
May-24		74			
Jun-24		74			



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 02/01/23	1.64%	12,970	213	194	1.64%	-19
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-23	12,970	194	194	1.64%	-19
Feb-23		194			
Mar-23		194			
Apr-23		194			
May-23		194			
Jun-23		194			
Jul-23		194			
Aug-23		194			
Sep-23		194			
Oct-23		194			
Nov-23		194			
Dec-23		194			
Jan-24		194			
Feb-24		194			
Mar-24		194			
Apr-24		194			
May-24		194			
Jun-24		194			



Performance Contract 2023

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 02/01/23	99.17%	242	240	100.00%	99.17%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-23	242	100%	240	99.17%
Feb-23		100%		
Mar-23		100%		
Apr-23		100%		
May-23		100%		
Jun-23		100%		
Jul-23		100%		
Aug-23		100%		
Sep-23		100%		
Oct-23		100%		
Nov-23		100%		
Dec-23		100%		
Jan-24		100%		
Feb-24		100%		
Mar-24		100%		
Apr-24		100%		
May-24		100%		
Jun-24		100%		

Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individual s Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4		
RCOC 02/01/23	0.41%	242	1	0.00%	0.41%



	Total Number of Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 With 121-240 Days	%	Below Goal
Jan-23	242	0.00%	1	0.41%	
Feb-23		0.00%			
Mar-23		0.00%			
Apr-23		0.00%			
May-23		0.00%			
Jun-23		0.00%			
Jul-23		0.00%			
Aug-23		0.00%			
Sep-23		0.00%			
Oct-23		0.00%			
Nov-23		0.00%			
Dec-23		0.00%			
Jan-24		0.00%			
Feb-24		0.00%			
Mar-24		0.00%			
Apr-24		0.00%			
May-24		0.00%			
Jun-24		0.00%			

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0	Goal	% Attained
RCOC 02/01/23	0.00%	242	1	0.00%	0.41%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-23	242	0%	1	0.41%
Feb-23		0%		
Mar-23		0%		
Apr-23		0%		
May-23		0%		
Jun-23		0%		
Jul-23		0%		
Aug-23		0%		
Sep-23		0%		
Oct-23		0%		
Nov-23		0%		
Dec-23		0%		
Jan-24		0%		
Feb-24		0%		
Mar-24		0%		
Apr-24		0%		
May-24		0%		
Jun-24		0%		





Performance Contract 2023

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

Performance Contract 2023

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98



Performance Contract 2023

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	

Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174 ↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%

**Regional Center of Orange County
Board Recruitment and Training Committee
January 9, 2023
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Frances Hernandez (*joined at 5:03 p.m.*)
Chinh Nguyen
Fernando Peña

Committee Members Absent: Hilda Mendez
Sandy Martin

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Keli Radford, Director of Services and Supports
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Mr. Chip Wright called the videoconference meeting to order at 5:02 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts to ensure continuing compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts and contacting two Board applicants to schedule an interview for February 2022.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed the outline for the upcoming Board of Directors’ training titled *Legislative Advocacy Learning Session* scheduled for Thursday, February 2, 2023.

*Board Recruitment and Training Committee Minutes
January 9, 2023*

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:21 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Board Recruitment and Training Committee
February 13, 2023
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Hilda Mendez
Sandy Martin
Chinh Nguyen
Fernando Peña

Committee Member Absent: Frances Hernandez

Board Member Present: Yvonne Kluttz (*joined at 5:07 p.m.*)

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Informational Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Keli Radford, Director of Services and Supports
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Mr. Chip Wright called the videoconference meeting to order at 5:04 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs – Review of Board Member Applications

Mr. Bruce Hall and Ms. Jacqueline Nguyen were interviewed via videoconference on February 7, 2023. The Committee discussed both applications within the context of the Board’s current composition and its needs and decided to recommend both Mr. Hall and Ms. Nguyen for Board membership for a one-year term.

M/S/C to recommend appointment of Bruce Hall and Jacqueline Nguyen to RCOC’s Board of Directors for a one-year term

***Board Recruitment and Training Committee Minutes
February 13, 2023***

Mr. Wright stated that a third Board applicant will be scheduled for an interview later this month.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

Mr. Wright reported that the Board of Directors' training titled *Service Eligibility and Fair Hearing Process* is scheduled for Thursday, April 6, 2023.

The Committee agreed to begin exploring potential training topics for the calendar year 2024 Board Training report that is due to the Department of Developmental Services (DDS) in December 2023.

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:52 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 2, 2023

TO: RCOC Board of Directors

FROM: John “Chip” Wright, Chair
Board Recruitment and Training Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Board Membership for Bruce Hall for a One-Year Term Commencing April 1, 2023 and Ending March 31, 2024**

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code (WIC), §4622). WIC §4622(c) requires that a minimum of 50% of the members of the governing board are persons with developmental disabilities or their parents or legal guardians and no less than 25% of the members of the governing board are persons with developmental disabilities.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Mr. Bruce Hall (see attached). The Committee has reviewed his application and completed an interview with Mr.Hall. The Committee determined that Mr. Hall’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for Bruce Hall for a one-year term commencing April 1, 2023 and ending March 31, 2024.

Regional Center of Orange County

P.O. Box 22010

Santa Ana, CA 92702-2010

Board Member Application

Date: November 29, 2022 updated

Name: Bruce P. Hall

Occupation: Retired teacher / photographer, publishing, advocate Employer: Self

Work Phone: _____ Email Address _____

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

As a former schoolteacher, 1993-2003, I assisted a special day teacher with technology projects in a class of about 10 students with a wide range of challenges. This SDC teacher had high expectation for all her students regardless of their challenges. These early experiences helped to shape my views about what people with developmental disabilities can achieve. With early intervention and a quality education, all persons with disabilities can reach their potential. Born legally blind, I have a lifetime of experience dealing with assumptions about what a disabled person can accomplish. Our twin boys, Jack and James were born in 2001, and diagnosed with autism / PDD at 3 years of age and at 4 became persons served by the RCOC. Since 2001, I've been involved with the disability rights movement, as an advocate for my non-speaking sons, as well as work with the Federation Fighting Blindness, The Center for Autism in OC, and parent groups. My wife and I have completed a long term book project about our son's severe autism and our family's journey. My photographs have been exhibited around the world and several are in the permanent collection of the Library of Congress. In May 2016, I presented at the Canadian Museum for Human Rights in Winnipeg, Canada about issues related to the blind, as well as the challenges of persons with developmental disabilities. I had the opportunity to talk about California's Lanterman Act and the work of the RCOC.

Please describe your current or past membership in community and/or professional organizations.

2011 to present, I have attended nearly every RCOC BOD meeting either in person or via zoom.

2015 until 2020, I attended all SDLAC meetings, and in November 2020 became a member of board until March of 2022.

Member of the Abuse Awareness LAC since 2020.

Member Newport Mesa Federation of Teachers, active member, 1993-2003

Federation Fighting Blindness, Sacramento, CA., fundraising, 2012, 2013

Lighthouse for the Blind and Visually Impaired, Exhibition, fundraising, 2005, 2006, 2007, 2009.

Children's Discovery Museum, San Jose, CA, Photography workshop with blind and visually impaired children age 6-17, 2007

Second Sense, formerly Guild for the Blind, Chicago, IL, exhibition, fundraiser, 2005, 2006, 2009.

Art Ability, Malvern, PA 2007, exhibition, fundraiser for Byrn Mawr Rehab Hospital.

City of Costa Mesa, English teacher, Costa Mesa Job Center, 1987

Laubach Literacy, Costa Mesa chapter, Volunteer reading tutor, 1987-1988

Please describe your current or past membership and offices (if any) held on other boards.

R. Morgan corporation, board member. 2015 - 2016

Please describe your hobbies and interests.

Reading, publishing, assistive technology, photography, underwater photography, art, politics, public relations, documentary films, swimming, SCUBA diving, RCOC, education, disability issues, aircraft, science, travel. I am currently an ambassador for a company called Ikelite. Ikelite is an industry leading US manufacturer of underwater imaging equipment. Woman-led, family-owned. They make underwater camera housings for just about any camera ever produced.

Do you have any of the following special skills? If so, please check and describe more fully below.

Business/Management

Financial Analysis

Marketing/Public

Relations

Political Activism

Other: _____

Public relations, background, teaching, public school, and UCI extension. Working on fundraising with various disability related, and photography groups and speaking about disability issues and my work.

Public speaking.

Former technology Mentor teacher, Newport Mesa USD

UCI Department of Education, and UCI Extension, technology instructor
Various positions, Product trainer, Former store manager of Long Beach, University Stereo
location 1976-1983 Basic knowledge of business management, bookkeeping, inventory control
etc.

Are you currently employed by an organization providing services to people with developmental disabilities? **No** If yes, please explain: _____

Are you a member of the governing board of any organization providing service to people with developmental disabilities? **No** If yes, please explain: _____

Are you a: (Please check one)

Person with a developmental disability

Parent or family member of a person with a developmental disability

Representative of the general public

Ethnic Background (optional):

African-American Asian/Pacific Islander Caucasian

Hispanic Native American/Indian Other (please specify): _____

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other). Autism, Intellectual Disability, seizure, behavior disorder. Twins

Please provide the following information for two people who know you well and can provide a personal and/or professional reference.

Name: [REDACTED] Relationship: [REDACTED]

Complete Address: [REDACTED]
Phone Number: [REDACTED]

Name: [REDACTED] Relationship: [REDACTED]

Complete Address [REDACTED]
Phone Number: [REDACTED]

- After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as an RCOC Board Member.
- I am willing to serve and have attached a statement saying why I wish to serve on the RCOC Board of Directors and why I believe I am qualified.
- After reviewing the RCOC Conflict of Interest Governance Policy and the Title 17 Conflict of Interest Standards and Procedures, I assert that I do not have a potential conflict of interest.

Signature: Bruce P. Hall

Date: 11/29/2022

Please return completed form to: Executive Office
Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

Personal Statement

**Please describe why you wish to serve on the RCOC Board of Directors
and why you believe you are qualified**

My goal is to assist the RCOC in it's mission to serve persons with developmental disabilities live self determined lives. Work to educate the public about issues related to the mission of RCOC. To support the work of the RCOC executive director, management and board to advocate for fiscally sustainable services, based on need, for persons served by the RCOC To meet with policymakers, lawmakers, anyone necessary to further the goals and mission of RCOC when asked to do so.

To learn everything I can related to how the DD system works, both in CA and nationally and be a positive force for positive change that is responsible and sustainable.

To learn from persons served, and others, to gain a deep understanding of this critical safety net for persons served, the DD population and their families. To consider and strive to understand all points of view on matters related to the work of the RCOC Board.

To improve public relations for RCOC, DDS, and the entire social services system.

Use my skills to further the work of RCOC in any way I can. I believe that my life and work experiences have prepared me well to contribute to the mission and goals of the RCOC BOD, as well as my years of attendance at BOD meetings and participation and contributions as a member of the Self Determination Local Advisory Committee and the Abuse Awareness LAC.

Thank you for your consideration, Sincerely, Bruce Hall

Bruce P. Hall 11/29/22

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 2, 2023
TO: RCOC Board of Directors
FROM: John “Chip” Wright, Chair
Board Recruitment and Training Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Board Membership for Jacqueline Nguyen for a One-Year Term Commencing April 1, 2023 and Ending March 31, 2024**

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code (WIC), §4622). WIC §4622(c) requires that a minimum of 50% of the members of the governing board are persons with developmental disabilities or their parents or legal guardians and no less than 25% of the members of the governing board are persons with developmental disabilities.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Ms. Jacqueline Nguyen (see attached). The Committee has reviewed her application and completed an interview with Ms. Nguyen. The Committee determined that Ms. Nguyen’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for Jacqueline Nguyen for a one-year term commencing April 1, 2023 and ending March 31, 2024.

Regional Center of Orange County

P.O. Box 22010

Santa Ana, CA 92702-2010

Board Member Application

Date: 10/22/2022

Name: Jacqueline Nguyen

Home Phone: [REDACTED]

Home Address: [REDACTED]

City: Stanton

Zip: [REDACTED]

Occupation: [REDACTED]

Employer: [REDACTED]

Work Phone: [REDACTED]

Email Address: [REDACTED]

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

From my son's speech delayed, lack of communication, social skills, and the diagnosis of Autism, I learned the developmental disabilities world.

Since 2006 to present, I've been employed with agencies and non-profit organizations servicing our diverse populations with developmental disabilities and their families with obtaining services as well as representation on the State Council on Developmental Disabilities, the Center for Autism & Neurodevelopmental & United Cerebral Palsy, etc.

Please describe your current or past membership in community and/or professional organizations.

My past membership in the community includes serving as a council woman for the State Council on Developmental Disabilities where I was chair of the Administrative Committee, member of the Executive Committee and a member of the California Governor's Office of Emergency Services for the Active Shooter Guidance for People with Disabilities. I've also served as Chair of the Access & Whole Community Inclusion for the International Association of Emergency Managers. I was the subject matter expert for people with disabilities with FEMA. Please describe your current or past membership and offices (if any) held on other boards.

Currently, I am not serving on any boards. Recently, I served as a Steering Committee member for the Federal Executive Board of Greater Los Angeles for emergency management professionals as the representative for people with developmental disabilities. From 2017 - 2021, I served as Vice Chair and then Chair with the International Association of Emergency Manager with the Access & Whole Community Inclusion Caucus.

Please describe your hobbies and interests.

I enjoy the arts. I host art workshops to children and adults with disabilities using variety of medium to create greeting cards, paintings and seasonal projects.

OCT 31 2022

Do you have any of the following special skills? If so, please check and describe more fully below.

- | | | |
|--|--|---------------------------------|
| <input checked="" type="checkbox"/> Business/Management | <input checked="" type="checkbox"/> Financial Analysis | <input type="checkbox"/> Legal |
| <input checked="" type="checkbox"/> Marketing/Public Relations | <input type="checkbox"/> Political Activism | <input type="checkbox"/> Other: |

My profession includes financial analysis, real estate expansion for a health care corporation servicing the seniors and adults with developmental disabilities. I've managed staff from the size of 2 employees to 200+ employees. On the business skills, I'm a project manager with projects ranging from medium to large capacity for my employers.

Are you currently employed by an organization providing services to people with developmental disabilities? Yes No If yes, please explain:

My current employer services the Medicare and Medi-Caid populations of our diverse communities.

Are you a member of the governing board of any organization providing service to people with developmental disabilities? Yes No If yes, please explain:

Not at this time.

Are you a: (Please check one)

- Person with a developmental disability
- Parent or family member of a person with a developmental disability
- Representative of the general public

Ethnic Background (optional):

- | | | |
|---|--|--|
| <input type="checkbox"/> African-American | <input checked="" type="checkbox"/> Asian/Pacific Islander | <input type="checkbox"/> Caucasian |
| <input type="checkbox"/> Hispanic | <input type="checkbox"/> Native American/Indian | <input type="checkbox"/> Other (please specify: _____) |

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other).

My adult son is diagnosed with Autism and severe auditory processing disorders.

Please provide the following information for two people who know you well and can provide a personal and/or professional reference.

Name: [REDACTED] Relationship: [REDACTED]

Complete Address: email:

Phone Number: [REDACTED] Best Time to Call: anytime

Name: [REDACTED] Relationship: [REDACTED]

Complete Address: [REDACTED]

Phone Number: [REDACTED] Best Time to Call: M - F 8 - 5

- After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as an RCOC Board Member.
- I am willing to serve and have attached a statement saying why I wish to serve on the RCOC Board of Directors and why I believe I am qualified.
- After reviewing the RCOC Conflict of Interest Governance Policy and the Title 17 Conflict of Interest Standards and Procedures, I assert that I do not have a potential conflict of interest.

Signature: *Jacqueline Nguyen*

Date: 10/25/2022

Please return completed form to: Executive Office
Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

If you have questions, please call: 714-796-5205

Personal Statement

**Please describe why you wish to serve on the RCOC Board of Directors
and why you believe you are qualified**

October 22, 2022

Dear RCOC Board of Directors,

As an established and dedicated professional with a passion for servicing people with developmental disabilities, I bring the following skills to the RCOC Board of Directors:

- * Knowledge of policies and regulations addressing the rights of people with disabilities
- * Experience with developing and maintaining relationships with the local agencies and families with developmental disabilities
- * Familiar with legislation involving our diverse populations with developmental disabilities

Throughout my career, I have prioritized the needs of our consumers to drive efficient and effective solutions across a range of projects. Comfortable in collaborative role, I offer refined analytical and critical thinking skills. I am active and connected in our community to understand the needs with the desire to be responsive and to produce positive impacts.

I look forward to discussing my background and this role, as I am confident that my experience will help meet your immediate and future objectives. Thank you for your considerations. I look forward to hearing from you.

Signature *jacqueline nguyen*

Date 10/22/2022

**Regional Center of Orange County
Policies and Outcomes Committee
February 21, 2023
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Sandy Martin
Chip Wright

Committee Members Absent: Liza Krassner

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Corporate Counsel Present: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 6:01 p.m.

I. Governance Policies

A. Review of the Document Retention and Destruction Policy

The Committee reviewed and did not propose any revisions to the policy.

B. Review of the Executive Leadership Succession Policy

The Committee reviewed and proposed revisions to the policy.

C. Review of the Policy on Background Check Requirements for Providers

The Committee reviewed and did not propose any revisions to the policy.

II. Outcomes

Mr. Amsden reported that updates on Person Centered Thinking, Health and Wellness, Employment, Housing and National Core Indicators will be provided at the Board of Directors' meeting on March 2, 2023.

*RCOC Policies and Outcomes Committee Minutes
February 21, 2023*

III. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 6:27 p.m.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 2, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Executive Leadership Succession Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 21, 2023, the Policies and Outcomes Committee reviewed the Executive Leadership Succession Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to Executive Leadership Succession Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Executive Leadership Succession Policy as presented.

XXII. EXECUTIVE LEADERSHIP SUCCESSION POLICY

BACKGROUND

A change in executive leadership is inevitable for all organizations and can be a very challenging time. A succession plan policy is a tool to help an organization be prepared for planned or unplanned absences of the Executive Director; clarifying authority and decision-making, and thereby maintaining accountability and ensuring stability.

POLICY

It is the policy of the Regional Center of Orange County (RCOC) to be prepared for a change in leadership – either planned or unplanned – which will ensure the stability and accountability of the organization until such time as new leadership is identified. The RCOC Board of Directors (Board) shall be responsible for implementing this policy and its related procedures.

It is also the policy of the Board to assess the leadership needs of the organization to help ensure the selection of a qualified and capable leader who is representative of the community, a good fit for the organization's mission, vision, values and guiding principles, and who has the necessary skills for the organization. To ensure the organization's operations are not interrupted while the Board assesses the leadership needs and recruits an Executive Director, the Board will appoint interim executive leadership as described below. The interim Executive Director shall ensure that the organization continues to operate without disruption and that all organizational commitments previously made are adequately executed as outlined in other relevant RCOC Board Governance Policies (e.g., Policies on the Board-Executive Relationship, Executive Limitations Policies).

It is also the policy of RCOC to develop a diverse pool of candidates and consider at least three finalists for its Executive Director position. RCOC shall implement an external recruitment and selection process, while at the same time encouraging the professional development and advancement of current employees. The interim Executive Director and other interested internal candidates are encouraged to submit their qualifications for review and consideration by the Board according to the guidelines established for the search and recruitment process.

Procedures for Succession

For a temporary change in executive leadership (e.g., illness or leave of absence), the Executive Director and the Executive Committee will work together to develop a plan that ensures accountability and stability for the organization (e.g., assign specific duties to other key members of the executive leadership team).

In the event the Executive Director of RCOC is no longer able to serve in this position (i.e., position is vacant), the Executive Committee of the Board shall do the following:

1. Within five (5) business days appoint an interim Executive Director.
2. Within fifteen (15) business days appoint an Executive Search Committee. This Committee will be chaired by the Board Chairperson or his/her designee. This Committee shall be comprised of at least one (1) member of the Executive Committee and four (4) members of the Board of Directors, which shall include a person served and the vendor representative.~~two (2) members of the Board of Directors. Committee members may also include individuals receiving services from RCOC and vendors of RCOC.~~ It shall be the responsibility of this Committee to implement the following transition plan:
 - a. Communicate with key stakeholders regarding actions taken by the Board in naming an interim Executive Director.
 - b. Appoint a Transition Committee.
 - c. Consider the need for consulting assistance (e.g., transition management or executive search consultant) based on the circumstances of the transition.
 - d. Review RCOC's Strategic Plan and conduct a brief assessment of the organization's strengths, weaknesses, opportunities and threats to identify priority issues that may need to be addressed during the transition process and to identify attributes and characteristics that are important to consider in the selection of the next Executive Director.
 - e. Establish a time frame in the selection of the next Executive Director.
 - f. Refer to RCOC's Board Governance Policies for additional procedures.

GUIDING PRINCIPLES

- RCOC's staffing pattern reflects and is sensitive to the cultural and ethnic characteristics of its service areas.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community input in decision making.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.
- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 2, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee
SUBJECT: **Document Retention and Destruction Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 21, 2023, the Policies and Outcomes Committee reviewed the Document Retention and Destruction Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Document Retention and Destruction Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XV. DOCUMENT RETENTION AND DESTRUCTION POLICY

BACKGROUND

The corporate records of the Regional Center of Orange County (RCOC) are important assets. The purpose of this policy is to establish retention and destruction policies and schedules for specific categories of records in order to ensure legal compliance, and also to accomplish other objectives, such as preserving intellectual property and cost management.

POLICY

A. Definition

Corporate records include essentially all records produced in the course of business as a member of the Board or an employee, whether paper or electronic, including but not limited to the specific categories of records identified in section B, subsections 1 through 10 below. A record may be as obvious as a memorandum, an e-mail, a contract or a case study, or something not as obvious, such as a computerized desk calendar, an appointment book or an expense record.

B. Maintenance of Records

Various laws require RCOC to maintain certain types of corporate records, usually for a specified period of time. Failure to retain these records for the prescribed periods could subject a Board member or an employee and RCOC to penalties and fines, cause the loss of rights, obstruct justice, spoil potential evidence in a lawsuit, place RCOC in contempt of court, or seriously disadvantage RCOC in litigation.

In compliance with RCOC's contract with the Department of Developmental Services (DDS), RCOC shall maintain books, records, documents, case files, and other evidence pertaining to the budget, revenues, expenditures, and persons served under this contract (herein collectively called "records") in accordance with mutually agreed to procedures and Generally Accepted Accounting Principles (GAAP).

Several categories of documents that bear special consideration are identified below. While minimum retention periods are identified and records should not be destroyed prior to the expiration of the retention period, the retention of the documents identified below and of documents not included in the identified categories should be determined primarily by the application of the general guidelines affecting document retention identified above, as well as any other pertinent factors.

1. Financial Records. The following financial records shall be retained for a minimum of seven (7) years from the end of the applicable fiscal year:

- (i) Payroll records

- (ii) Documents concerning expenses and revenues
- (iii) Books of account
- (iv) Check registers
- (v) Canceled checks
- (vi) Internal reports
- (vii) Bank statements
- (viii) Operations purchase orders
- (ix) Invoices
- (x) Invoice documentation
- (xi) Accounting procedures

The following records shall be retained permanently:

- External audits of RCOC
 - General ledgers and subsidiary ledgers
 - Financial statements
 - Tax filings
 - Insurance policies
2. Persons Served Records. Persons served records include documents evidencing the provision of services to persons with developmental disabilities. Persons served records shall be maintained in accordance with RCOC's Services and Supports Records Retaining Guidelines, but at a minimum for a period of seven (7) years.
 3. Employment Records/Personnel Records. State and federal statutes require RCOC to keep certain recruitment, employment and personnel information. All personnel records pertaining to an individual employee, including all final memoranda and correspondence reflecting performance reviews and actions taken by or against personnel, shall be maintained in the employee's personnel file. Employment and personnel records shall be retained for the duration of employment plus seven (7) years, except as follows:
 - (i) Employment Eligibility Verification Forms (I-9 Forms) shall be kept until the later of five (5) years from hire date, or one (1) year after termination.
 - (ii) Workers Compensation files shall be kept for ten (10) years.
 - (iii) Chemical safety and toxic exposure records shall be kept for the duration of employment plus thirty (30) years.
 4. Board and Board Committee Materials. Meeting minutes shall be retained permanently. A copy of all Board and Board Committee materials, including Board membership applications, shall be kept for no less than five (5) years by RCOC.
 5. Corporate Documents. Corporate Articles of Incorporation, IRS Determination Letter, Tax Exempt Application (Form 1023), Bylaws and the like, including

amendments, shall be retained permanently (and also readily available for public disclosure).

6. Press Releases/Public Filings. RCOC should retain permanent copies of all press releases and publicly filed documents.
7. Litigation Files. Legal counsel should be consulted to determine the retention period of particular documents, but litigation documents should generally be maintained for a period of ten (10) years. This excludes Fair Hearing and Due Process Hearing files, unless a hearing resulted in an appeal to the Superior Court. Fair Hearing and Due Process documents (excluding exhibits which are not specific to a person served) are maintained as part of persons served records during the normal course of business. Legal counsel shall be consulted prior to the destruction of any files related to legal proceedings to which RCOC is a party.
8. Development/Intellectual Property and Trade Secrets. Development documents are often subject to intellectual property protection in their final form (e.g., patents and copyrights). The documents detailing the development process are often also of value to RCOC and are protected as a trade secret where RCOC:
 - a. derives independent economic value from the secrecy of the information, and the information not being generally known to the public or to other persons who can obtain economic value from its disclosure or use; and
 - b. has taken affirmative steps to keep the information confidential.

RCOC should keep all documents designated as containing trade secret information for at least the life of the trade secret.

9. Contracts. RCOC shall retain copies of all final executed contracts not included in other categories of records within this policy for at least five (5) years beyond the life of the agreement. All contracts involving construction or property improvements shall be retained permanently.
10. Electronic Mail. Email shall be maintained in RCOC's email archive system for a minimum of seven (7) years. Emails that need to be kept longer than 7 years (for example, emails containing information necessary for the performance of job duties) should be either:
 - a. printed in hard copy and kept in the appropriate file; or
 - b. downloaded to a computer file and kept electronically.

C. Storage of Records

Files for persons served, provider, and administrative records are maintained at RCOC in a secure environment. At the discretion of the Custodian of Records, files may be sent to an off-site storage location operated by a provider under contract with RCOC. Files are sent to or retrieved from offsite storage by RCOC using a process developed by the provider.

In compliance with RCOC's contract with DDS, RCOC shall comply with the most current version of DDS' 'Requirements for Electronic Storage of Records' as developed by DDS and the Association of Regional Center Agencies (ARCA).

D. Destruction

All physical documents referred to in this policy which are to be destroyed shall be shredded. All electronic documents referred to in this policy which are to be destroyed shall be permanently deleted using methods which regularly in the course of business verify complete destruction. Employees shall obtain permission prior to the destruction of any records. Authority to destroy documents is as follows: for persons served records, the General Counsel; for service provider and financial records, the Chief Financial Officer; for employment records, the Human Resources Director; and for all other records either the Executive Director or his/her designee.

E. Litigation or Claims

In the event any RCOC Board member or employee becomes aware of litigation or potential litigation (i.e., a dispute that could result in litigation) involving RCOC, the Board member or employee shall immediately inform the Executive Director or his/her designee, and any further disposal of records, including records in electronic form, shall be suspended until such time as the Executive Director, with the advice of legal counsel, determines otherwise. This shall include a suspension of the transferring of original records to electronic retention. In addition, no alterations or markings shall be made on an original document. Upon receiving notice of litigation or potential litigation, the Executive Director or his/her designee shall immediately take such steps as necessary to promptly inform all staff of the suspension of disposal of records.

F. Compliance

RCOC expects all Board members and employees to fully comply with this Document Retention and Destruction Policy.

Failure to comply with this Document Retention and Destruction Policy may result in disciplinary action against the employee, including suspension or termination. Questions about this policy should be referred to the General Counsel, who is responsible for administering, enforcing and recommending updates to this policy.

GUIDING PRINCIPLES

- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to, its stakeholders and the community it serves.
- RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 2, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Policy on Background Check Requirements for Providers**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 21, 2023, the Policies and Outcomes Committee reviewed the Policy on Background Check Requirements for Providers.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Background Check Requirements for Providers.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XXIII. POLICY ON BACKGROUND CHECK REQUIREMENT FOR PROVIDERS

BACKGROUND

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

POLICY

All RCOC vendors shall obtain criminal background checks prior to hire for all employees who provide direct services to RCOC persons served. The background checks shall include, at a minimum, a nationwide criminal history search covering felonies and misdemeanors, as well a sex offender registry search. Background checks mandated by California Code of Regulations Title 17 or Title 22 and conducted through the California Department of Justice shall satisfy this requirement. Vendors shall comply with all applicable federal, state, and local laws and regulations regarding background checks and the use of information obtained through background checks.

GUIDING PRINCIPALS

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served live in homes where they receive quality care and can form relationships.

**Regional Center of Orange County
Vendor Advisory Committee
January 10, 2023
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, present

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present
Co-Chair, Mark Antenucci, absent

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Member Pending
Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, absent

Habilitation

Chair, Jodean Hudson, present
Co-Chair, Member Pending

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present (*joined at 2:04 p.m.*)

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, present
Co-Chair, Alex Saldana, present

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director

Arturo Cazares, Director of Community Services
Bonnie Ivers, Clinical Director
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Sean Watson, Associate Director of Risk Management
Marta Vasquez, Finance Director

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

I. RCOC Update

Mr. Larry Landauer reported that the Governor's Budget for fiscal year 2023-24 includes \$14.2 billion for the regional center system, an increase of \$1.6 billion over the prior year.

Mr. Landauer also reported that there is projected statewide surplus for fiscal year 2022-23.

A. Vendor Updates

Ms. Marta Vasquez provided the following updates:

- *Alternative Nonresidential Services.* Alternative Nonresidential Services ended on December 31, 2022. Vendors may submit a list of persons served who will receive traditional or remote services effective January 1, 2023, to RCOC for processing. Vendors providing tailored day services will need to obtain an authorization from the service coordinator.
- *Remote Services.* Remote services may be provided from January 1, 2023 to December 31, 2023. Vendors are required to notify RCOC of the persons served preference and provide documentation on the amount of remote services each person will receive on a monthly basis. Vendors will be required to submit a Remote Services Monthly Attendance Sheets with the monthly invoice. Vendors may only bill for hours or days when services are provided. Remote services are for day programs and independent living services only; they are not for transportation or program support services.
- *Transportation.* The Department of Developmental Services (DDS) issued the Transportation Services Monthly Reimbursement Rate Directive on January 6, 2023. Monthly rates can be billed from January 1, 2023 to December 31, 2023. Vendors must complete and submit the Certification of Transportation Services Monthly Reimbursement Rate and Enclosure B Reimbursement for Alternative Transportation Services forms to RCOC before the monthly rate can be calculated. The monthly rates will be based on average pre-pandemic rates calculated by DDS, minus fuel costs. Vendors may only bill for

Vendor Advisory Committee Minutes
January 10, 2023

days when services are provided. Vendors may also submit claims for actual fuel expenses that will be reimbursed at the pre-pandemic mileage rate.

- *Rate Model Implementation.* Effective January 1, 2023, vendors will receive an additional 25%, which added to the increases effective April 1, 2022, will equal one-half of the difference between rates in effect March 31, 2022, and the fully funded rate model for each provider. Vendors will see the new rates on the invoices generated on January 27, 2023. Vendors must comply with Welfare and Institutions Code, Section 4691.10 (a) (1) “*Notwithstanding any other law or regulation, and to the extent funds are appropriated in the annual Budget Act for this purpose, the department shall provide a rate increase for the purpose of enhancing wages and benefits for staff who spend a minimum of 75 percent of their time providing direct services to consumers.*” In addition, vendors must be prepared to complete DDS’ vendor surveys asking how the Rate Model increases were used to enhance direct care staff wages and benefits.
- *Minimum Wage Increase Effective January 1, 2023.* Effective January 1, 2023, the minimum wage increased to \$15.50 for all employers. Vendors whose rates are set by DDS must complete and submit their workbook to DDS via the DDS website at www.dds.ca.gov. Vendors, who have negotiated/median rates, must complete and submit their workbooks with supporting documentation to RCOC by March 1, 2023. Missing information and documentation will delay the review and approval process.
- *Quality Incentive Program Payment.* DDS sent regional centers the second list of validated Quality Incentive Program surveys; RCOC will issue payment on January 30, 2023. DDS is still reconciling the remaining 191 surveys for the final list.
- *Independent Audits or Independent Reviews.* Pursuant to WIC Section 4652.5, independent audits or reviews are due “within nine months of the end of the fiscal year for the entity.” For those vendors who had not submitted their reports within the specified timeline, a third notice was mailed January 5, 2023. Vendors who do not comply will be placed on Do Not Refer status.
- *Applicant/Vendor Disclosure Statements Form DS 1891.* Vendors who have not completed the form have been placed on Do Not Refer status. A 30-day vendorization termination notice will be issued.

II. Board Report

Mr. Perez reported that the Board of Directors’ meeting is scheduled for January 12, 2023.

III. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC’s Peer Advocate, was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA continues to work closely with First Transit, OCTA's ACCESS subcontractor, to manage on-time performance as ridership continues to increase.

V. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

In Mr. Hector Navarro's absence, Ms. Atrem Behmanesh reported that the subcommittees met and discussed various topics including transportation, Tailored Day Services, remote and traditional services. The subcommittee also discussed current staffing shortages.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that the subcommittee met briefly to discuss DDS audit trends.

The next Committee meeting is scheduled for January 26, 2023.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met and discussed behavior services funded by CalOptima. The subcommittee also discussed hiring and retention.

E. Community Care Facilities (CCF) – Member Pending

Mr. Jack Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee will meet next week to discuss the quality incentive payments for completing the Direct Support Professional (DSP) Workforce Data Collection survey.

Ms. Lazo-Pearson also reported that hiring continues to be an issue.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that the subcommittee rescheduled the meeting due to low attendance. The subcommittee is considering meeting quarterly to improve attendance.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met and discussed ways to identify and assist persons served who experience mental health issues. They discussed how to train staff to identify depression and provide support, especially during the holidays.

Ms. Molina also reported that she and other subcommittee members provided public comments to the Little Hoover Commission regarding the impact of low rates on services.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (present)

Mr. Andrew Velasco reported that the subcommittee met today and discussed the rate increases effective January 2023, the Direct Support Professional Workforce Data Collection survey and confusion about Electronic Visit Verification requirements.

VI. Community Forum

There were no speakers for community forum.

VII. Other

Ms. Behmanesh inquired if vendors are still required to submit a Special Incident Report (SIR) when a person served tests positive for COVID-19. Mr. Stanton responded that vendors are still required to submit a SIR when a person served tests positive for COVID-19.

VIII. Adjournment

Mr. Perez adjourned the meeting at 2:46 p.m.

The next VAC meeting is scheduled for February 14, 2023.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
February 14, 2023
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent

Co-Chair, Atrem Behmanesh, present (*joined at 2:22 p.m.*)

Adult Day Programs

Chair, Rick Perez, present

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, absent

Co-Chair, Mark Antenucci, present

Behavior Services

Chair, Cindy Hebert, present

Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Member Pending

Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, present

Co-Chair, Pam Alexander, absent

Habilitation

Chair, Jodean Hudson, absent

Co-Chair, Member Pending

Independent/Supported Living

Chair, Christine Molina, present

Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent

Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, present

Co-Chair, Alex Saldana, present (*joined at 2:06 p.m.*)

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present

Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director

Bette Baber, Chief Financial Officer

Arturo Cazares, Director of Community Services

Vendor Advisory Committee Minutes
February 14, 2023

Liliana Castillo, Accounting Manager
Bonnie Ivers, Clinical Director
Marianne Kelly, Quality Assurance Supervisor
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Sean Watson, Associate Director of Risk Management
Marta Vasquez, Finance Director

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

I. RCOC Update

Mr. Larry Landauer reported that pursuant to Welfare and Institutions Code (WIC), Section 4688.06, the Department of Developmental Services (DDS) established the Coordinated Family Support (CFS) Services Pilot Program that began statewide on January 2023. Per DDS, CFS is a new service option specifically designed for adults served by a regional center who choose to live in their family home. The CFS Services Pilot Program focuses on improving equitable access to services and supports and reducing ethnic and racial disparities in the purchase of services. For more information, visit: <https://www.dds.ca.gov/services/coordinated-family-support-service/>.

Ms. Marta Vasquez, RCOC's Director of Finance, reported that the CFS rate per billable hour is \$60.02 and encouraged vendors to obtain additional information.

Mr. Landauer also reported that there is projected statewide surplus for fiscal year 2022-23.

A. Vendor Updates

Ms. Vasquez provided the following updates:

- *Quality Incentive Program Payment.* DDS sent regional centers the third list of validated Quality Incentive Program surveys; RCOC will issue payment on February 28, 2023.
- *Independent Audits or Independent Reviews.* Pursuant to WIC Section 4652.5, independent audits or reviews are due "within nine months of the end of the fiscal year for the entity." RCOC mailed a Do Not Refer notice to vendors who had not submitted their 2021 reports within the specified timeline on February 10, 2023. RCOC will remove vendors from Do Not Refer status upon receipt of the required independent audit/review. Vendors, who submit an independent audit/review without issues, may request a two-year exemption from RCOC.

B. HCBS Funding and Career Initiative Project

Mr. Arturo Cazares, RCOC's Director of Community Services, provided the following updates:

- *HCBS Funding.* RCOC is contracting with Helen Sanderson and Associates to provide Person-centered Thinking (PCT) training for employees of residential facilities, Adult Family Home Agencies, and adult day programs. The contract will include the opportunity for 14 employees of the vendor categories mentioned above to become certified PCT trainers. Service providers who are interested in PCT training should contact Mr. Cazares. For those interested in applying for the certified trainer program, there will be an application process and an information session on February 27, 2023.
- *Career Initiative Project.* RCOC will work with stakeholders to improve awareness of the different jobs and career opportunities throughout the service delivery system. Any vendor staff interested in participating should contact Mr. Cazares.

II. Board Report

Mr. Perez reported that the Board of Directors' met on January 12, 2023; and, it held a training on February 2, 2023. The next Board meeting is scheduled for March 2, 2023.

III. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that on February 12, 2023, OCTA implemented some route and timing changes on OC Bus to improve the frequency of service on some routes. OC Bus changes affect OC ACCESS service, which is provided within ¾ mile of, and during the same hours as, the OC Bus service. There will be more changes to OC Bus service this year.

V. Member Reports

- A. Adult Behavior Management – Hector Navarro (absent)**
- B. Adult Day Programs – Rick Perez (present)**

In Mr. Hector Navarro's and Ms. Atrem Behmanesh's absence, Mr. Cazares reported that the subcommittees met today and discussed various topics including remote and traditional services, workforce issues and the Home Community-Based Services (HCBS) Final Rule requirements. The subcommittees were also informed that RCOOC's Spotlight Awards ceremony will be in-person on April 20, 2023.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (absent)

In Ms. Katherine Gurney's absence, Mr. Mark Antenucci reported that the subcommittee met to discuss the new AFHA tiered rates. AFHAs statewide want to find out how the rates were calculated and bring attention to the low rates.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee is scheduled to meet on March 2, 2023.

E. Community Care Facilities (CCF) – Member Pending

Mr. Jack Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee met to discuss the quality incentive payments for completing the Direct Support Professional (DSP) Workforce Data Collection survey. The subcommittee also restructured its agenda to ensure that enough time is allotted for all service groups during meetings.

G. Habilitation – Jodean Hudson (absent)

In Ms. Jodean Hudson's absence, Mr. Stanton reported that the subcommittee did not meet.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met and discussed transportation rates.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

Vendor Advisory Committee Minutes
February 14, 2023

J. Support Services/Allied Health – Andrew Velasco (present)

Mr. Andrew Velasco reported that the subcommittee met today and discussed the rate increases effective January 1, 2023.

VI. Community Forum

There were no speakers for community forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:33 p.m.

The next VAC meeting is scheduled for March 14, 2023.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Peer Advisory Committee
February 21, 2023
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Steven Gersten
Amy Jessee
Yvonne Kluttz

Committee Members Absent: Marcell Bassett
Cheryl Day
Jyusse Corey, Peer Advocate
Peter Kuo
Fernando Peña

Board Member Present: Chinh Nguyen

RCOC Staff Members Present: Sandra Lomelí, Clerk to the Board of Directors
Jennifer Montanez, Director of Case Management
Theresa Ta, Safety Net and Resource Development
Manager

Ms. Sylvia Delgado called the meeting to order at 3:03 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

In Mr. Corey's absence, Ms. Delgado reported that the Department of Developmental Services' (DDS') Consumer Advisory Committee (CAC) met earlier today.

Ms. Nancy Bargmann, the DDS Director, presented information regarding DDS' budget for fiscal year 2023-24 and the Home and Community-Based Services (HCBS) Final Rule.

Ms. Delgado also reported that she will be attending and presenting at this year's Supported Life Institute (SLI) conference on May 12th and 13th. For information about the event topics, speakers and pricing, visit the SLI website at www.supportedlife.org.

III. AB 637 Proposal for Social Recreational Funding

Ms. Theresa Ta, RCOC's Safety Net and Resource Development Manager, presented the AB 637 Proposal submitted to DDS on February 3, 2023. The proposal, if approved, would allow families to use a Financial Management Service (FMS) instead of purchase reimbursement when a preferred social/recreation, camp or non-medical therapy provider is not vendored with RCOC.

IV. Community Forum

No community members were present at the meeting.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for March 15, 2023.

Ms. Delagdo adjourned the meeting at 3:29 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Legislative and Community Awareness Committee
January 10, 2023
Videoconference Minutes**

Committee Members Present: Liza Krassner, Chairperson
Meena Chockalingam
Chinh Nguyen
John “Chip” Wright (*joined at 5:05 p.m.*)

Committee Member Absent: Hilda Mendez
Sandy Martin

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Kathleen McFarlin, Family Support and Community Outreach
Manager
Keli Radford, Director of Services and Supports
Kaitlynn Truong, Cultural Specialist
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:02 p.m.

I. Public Relations

A. News Media Outreach

Ms. Blankenship reported that some of the media coverage included a news release via PR Newswire written by Dr. Bonnie Ivers, RCOC’s Director of Clinical Services, in which she shared tips on holiday shopping for children with developmental disabilities. The news release was also included in Exceptional Parent Magazine, Huntington Beach News and Aliso Laguna News. Dr. Ivers was interviewed by Parents.com; she was quoted extensively in their section on toys for babies.

B. Dialogue

Ms. Blankenship reported that the winter issue of the *Dialogue* will focus on Mr. Jeffrey Baek, a person served by RCOC, and his Self-Determination Program (SDP) success story. Mr. Landauer’s column will be about SDP as a great option for some families and persons served.

In addition, there will be a column on the Affordable Connectivity Program (ACP) aimed at helping low-income individuals pay for phone and internet services. There will also be a thank you to all of RCOC's Wish Tree Program supporters and ABC7's Spark of Love for providing low-income families and persons served with nearly 800 gifts this past holiday season.

C. Website Update

Ms. Anh Nguyen, RCOC's Consultant, reported that the visual refresh and redesign of RCOC's website has made great progress and should launch in fall 2023.

D. Social Media

Ms. Nguyen shared that RCOC's social media platforms continue to feature information on events, public meetings and trainings.

II. Legislative Outreach

A. ARCA Update

Ms. Krassner reported that the last couple of months ARCA's focus has been on the Little Hoover Commission hearings. ARCA's Board of Directors met on December 1, 2022, and discussed having their meetings in-person starting January 20, 2023. They also plan to host the spring ARCA Academy Board of Directors' trainings in-person in north and south locations.

B. Budget Update and Delegation Relationships

Ms. Nguyen reported that she maintains relationships with RCOC's delegation virtually. State legislators are returning from break and Ms. Nguyen will connect with newly appointed state legislators and their staff at their district offices to inform them about RCOC's services.

III. Community Outreach

A. Disparity-Focused Activities and Analytics

Ms. Keli Radford, RCOC's Director of Services and Supports, reported that Mr. Landauer and his staff continue to work with a group of Hispanic families to address their concerns. The first meeting with the group was held on November 10, 2022. A follow-up meeting will be scheduled for February 2023.

Ms. Radford reported that Ms. Jocelyn Escobar, RCOC's Resource Group Leader, Educational Resources, has completed 262 consultations and attended 64 IEP meetings with families. Ms. Escobar is working with IEP teams and school district personnel to ensure that they are aware of parents' concerns and that those concerns are addressed in a timely matter.

Ms. Radford also reported that RCOC's internal Diversity and Inclusion Task Force continues to meet monthly and will include stakeholders from diverse backgrounds to create a more collaborative and transparent task force.

Ms. Kathleen McFarlin, RCOC's Family Support and Community Outreach Manager, reported that RCOC used funding allocated for Language Access and Cultural Competency (LACC) to hire Ms. Ingrid Jbeili as the new Spanish-speaking Community Outreach and Access Coordinator. RCOC will also use LACC funds to survey Spanish, Vietnamese and Korean-speaking families. RCOC's goal in conducting this survey is to obtain information from families on whether their experiences in accessing services were similar to those of native English speakers, as well as information regarding any real or perceived barriers to obtaining services. These funds will also be utilized to improve accessibility for non-English speakers on RCOC's website, translation of materials in Spanish, Vietnamese and Korean, and, access to interpretation services for hybrid or in-person meetings. In addition, a list of services, and how regional center can assist families obtain services, has been translated into eight languages. This list will be provided to families by their Service Coordinator.

Ms. Chockalingam suggested access to the list of services on RCOC's website.

Ms. McFarlin also reported that in-person developmental screenings continue in under-served communities.

B. Holiday Programs: Barclay Performance & Wish Tree

Ms. McFarlin reported that the Irvine Barclay Theatre event with the Orange County Philharmonic was very successful. She mentioned that families purchased tickets this year and attendance improved. There were more than 300 tickets sold. They also had monolingual staff and guide dogs available to assist families and persons served in attendance.

Ms. Kaitlynn Truong, RCOC's Cultural Specialist, reported that the Wish Tree Program secured over 400 sponsors. Thank you letters along with a collage of pictures of persons served receiving their gifts will be sent to all the program sponsors.

C. Developmental Services Careers/Vendor Support

Mr. Cazares reported that RCOC is working with its vendors and community partners to provide more employment and career related events for persons served and their families.

Mr. Cazares stated that this project is in its initial phase and more information will follow.

IV. Community Forum

No community members were present at the meeting.

Ms. Krassner adjourned the meeting at 6:00 p.m.

Recorder: Sandra Lomeli