



**REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS' MEETING  
AGENDA**

**Date: Thursday, March 7, 2024**

**Time: 6:00 p.m.**

Place: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

|             |  |   |  |
|-------------|--|---|--|
| <b>I.</b>   | <b>Closed Session (Board Members Only)</b> |   |  |
|             | A.   | W&I Code §4663 and §4664  | Greg Simonian, Esq.                            |
|             |  | 1. Pending Litigation, WIC 4663(a)(5) and WIC 4664(a)   |  |
| <b>II.</b>  | <b>Recess</b>                              |   |  |
| <b>III.</b> | <b>General Session</b>                     |   |  |
|             | A.   | Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement   | John "Chip" Wright                             |
|             | B.   | Community Forum for Agenda Items Only***  | John "Chip" Wright                             |
|             | C.   | Budget and Finance Committee  | Sandy Martin                                   |
|             | D.   | Consent Calendar*   | John "Chip" Wright                             |
|             |  | 1. Approval of Board of Directors' Minutes for January 11, 2024**<br>2. Budget and Finance Committee**<br>a. Approval of Monthly Sufficiency of Allocation Report, December 2023**<br>b. Approval of Monthly Sufficiency of Allocation Report, January 2024**<br>c. Approval of Budget Amendment  |  |
|             | E.   | Executive Director's Report   | Larry Landauer                                 |
|             |  | 1. Recognition of Persons' Served Employment Longevity<br>2. Operations Report – December 2023**<br>3. Operations Report – January 2024**<br>4. Performance Contract Report – December 2023**<br>5. Performance Contract Report – January 2024**<br>6. Employment Update<br>7. Housing Update<br>8. Health and Wellness Update  | Arturo Cazares<br>Jack Stanton<br>Bonnie Ivers |
|             | F.   | Executive Committee   | John "Chip" Wright                             |
|             |  | 1. Approval of Renewal of Board Membership for Bruce Hall for a Three-Year Term Commencing April 1, 2024 and Ending March 31, 2027**<br>2. Approval of Board Membership for Jacqueline Nguyen for a Three-Year Term Commencing April 1, 2024 and Ending March 31, 2027**<br>3. Approval of Sandy Martin as Chairperson for a Term Commencing May 1, 2024 and Ending June 30, 2024** |  |
|             | G.   | Board Recruitment and Training Committee**  | John "Chip" Wright                             |
|             | H.   | Policies and Outcomes Committee**   | Cliff Amsden                                   |
|             |  | 1. Contract Policy**<br>2. Document Retention and Destruction Policy**<br>3. Policy on Notification to Persons Served and Families of Significant Service Deficits**<br>4. Approval of the Policy on Insurance Requirements for Providers**   |  |
|             | I.   | Vendor Advisory Committee**   | Rick Perez                                     |

|            |   |                    |
|------------|---|--------------------|
|            | 1. Approval of Vendor Advisory Committee Member** |                    |
| J.         | Peer Advisory Committee**                         | Yvonne Kluttz      |
| K.         | Legislative and Community Awareness Committee**   | Bruce Hall         |
| L.         | ARCA Report                                       | Sandy Martin       |
| M.         | Community Forum***                                | John “Chip” Wright |
| N.         | Chairperson’s Report                              | John “Chip” Wright |
| <b>IV.</b> | <b>Adjournment</b>                                | John “Chip” Wright |

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting Minutes  
January 11, 2024**

**Board Members Present:** John “Chip” Wright, Chairperson  
(Members in-person, unless otherwise noted) Cliff Amsden  
Marcell Bassett  
Meena Chockalingam  
Bruce Hall  
Yvonne Kluttz  
Sandy Martin  
Chinh Nguyen  
Jacqueline Nguyen  
Fernando Peña  
Rick Perez

**Board Members Absent:** Frances Hernandez  
Hilda Mendez

**Corporate Counsel Present:** Greg Simonian, Esq.

Mr. Chip Wright called the meeting to order at 6:01 p.m.

**I. General Session**

**A. Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement**

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.  
Mr. Marcell Bassett read RCOC’s Mission and Vision Statement.

**B. Community Forum for Agenda Items Only**

There were no speakers for community forum.

**C. Budget and Finance Committee**

Ms. Sandy Martin reported that the Committee approved and recommended for approval all of its items on the consent calendar.

**D. Consent Calendar**

1. Approval of Board of Directors’ Minutes for November 2, 2023
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, October 2023
  - b. Approval of Monthly Sufficiency of Allocation Report, November 2023
  - c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 22, 2024

*M/S/C to approve the consent calendar, as presented*

**E. Executive Director's Report**

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored five persons served who have worked for the same employer for 22 or more years with a Certificate of Recognition.

2. Annual Sufficiency of Allocation Report (SOAR) for Fiscal Year 2023-2024

Ms. Marta Vasquez, RCOC's Chief Financial Officer, presented the Annual Sufficiency of Allocation Report (SOAR) for fiscal year 2023-24.

3. Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for Development of an Adult Residential Facility for Persons with Special Health Care Needs (CPP No. 1617-3/2223-9)

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that RCOC previously entered into an agreement with non-profit housing corporation, Brilliant Corners, for development of the Adult Residential Facility for Persons with Special Health Care Needs (ARFPSHN). Brilliant Corners completed the facility and leased it to a residential service provider. Last year, the residential service provider gave notice to terminate services and no longer operates the home. The residents of the home relocated to other facilities while a new service provider was identified to operate the home. The facility needed additional repair work that included new flooring and repair of an exterior wood structure and the new service provider's lease is pending completion of the renovation and funding has been provided to cover the months the facility has been vacant without a rental agreement.

The Department of Developmental Services (DDS) allocated an additional \$141,889 in renovation funding for replacement of the flooring in the ARFPSHN and to assist with holding costs until the new residential service provider begins leasing the home.

***M/S/C to approve the Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for Development of an Adult Residential Facility for Persons with Special Health Care Needs (CPP No. 1617-3/2223-9) as presented***

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the Governor's Budget for fiscal year 2024-25 includes \$15.3 billion for the regional center system, an increase of \$1.6 billion over the prior year.
- *DDS' Implicit Bias Training.* Mr. Landauer reported that DDS has contracted with Equitify for \$6.2 million to provide Implicit Bias training for all 21 regional center staff.

***RCOC Board of Directors' Meeting Minutes***  
***January 11, 2024***

- *Cultural, Ethnic and Linguistic Diversity Training.* Mr. Landauer stated that DDS is requiring regional centers to provide training on Cultural, Ethnic and Linguistic Diversity. CircleUp Education is providing RCOC's training at a cost, approved by DDS, of \$118,793. The training was mandated for Service Coordinators, Area Supervisors, Area Managers, the Health Resource Group (HRG) and Community Services staff.
- *Intermediate Care Facilities (ICF).* Mr. Landauer reported that ICF's have transitioned to Managed Care as of January 1, 2024.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2023-24.* Mr. Landauer reported that there is a projected surplus statewide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that approximately 98% of Individual Program Plans (IPPs) for Lanterman cases are in the PCT format and that RCOC is providing PCT trainings for new staff and refresher courses for previously trained staff.
- *Employment.* Mr. Cazares reviewed the Purchase of Service (POS) data by regional center for the Paid Internship Program Service Provider Placement Incentive Payments and the Competitive Integrated Employment Incentive Payments.
- *Housing.* Mr. Stanton reported that he presented on RCOC's data on IPP questions as an enhancement to the National Core Indicators (NCI) survey information to DDS' Quality Assessment Advisory Group (QAAG). QAAG appreciated the detailed information collected by RCOC and how the data was then used to address any concerns related to individual satisfaction and to provide additional follow up for individuals if requested.

Mr. Stanton also reported that the data collected during IPP meetings regarding living options continues to show high levels of individual satisfaction, while information on independent living options continues to be highly requested from individuals. RCOC continues to work on the development of programs on a regular basis, including group homes, supported and independent living programs, respite programs, and other in-home support options. DDS has responded to a statewide Request For Proposal (RFP) for the possible Department of Housing and Urban Development (HUD) 811 program vouchers, which would be used in conjunction with new affordable housing units. If DDS is awarded the RFP, these resources will be statewide and would not necessarily be awarded within Orange County.

- *Legislative and Community Awareness (LCA).* Mr. Landauer reported that he, RCOC's Board Member Ms. Chinh Nguyen and Ms. Kaitlynn Truong, FRC's Cultural Specialist, will be participating in the City of Westminster's annual Lunar New Year Tet Parade celebration on February 10, 2024.

***RCOC Board of Directors' Meeting Minutes***  
***January 11, 2024***

Mr. Landauer reported that Ms. Meena Chocklingam, RCOC's Board Member, invited him to be a guest at the Jeena Yahaan SoCal 2024 Talent Show held on January 7, 2024 at the Lake Forest Performing Arts Center.

- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported that persons served by RCOC who are interested in joining the *Healthy Life, Happy Life* Program, should contact their service coordinators for more information. The curriculum focuses on the management and prevention of chronic health conditions.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Advisory Committee met on December 4, 2023; the next Committee meeting is scheduled for February 1, 2024.
- *RCOC News.* Mr. Landauer reported that vendor staffing shortages have improved but low vendor rates continue to affect hiring.

Mr. Landauer stated that he and his staff continue to work with the Hispanic families group to address their concerns. There have been six meetings thus far with the last two meetings held on September 29, 2023, and October 25, 2023. The next meeting is being scheduled for the end of January 2024.

Mr. Landauer reported that RCOC will host some evening workshops for families to discuss various service policies and answer questions regarding their individual cases. RCOC is also developing strategic priority questions related to service satisfaction for a survey for families to complete after each Individual Program Plan (IPP) meeting.

Mr. Landauer also reported that RCOC's Deaf and Hard of Hearing Resource Fair will be held on Sunday, January 21, 2024 from 2:00 p.m. to 5:00 p.m. at the Anaheim Community Center.

**F. Executive Committee**

Mr. Wright reported that the Committee met on November 20, 2023; the next Committee meeting is scheduled for February 20, 2024.

**G. Board Recruitment and Training Committee**

Mr. Wright reported that the Committee met on November 13, 2023. The Committee reviewed the Board composition, training topics and discussed recruitment efforts. Mr. Wright also reported that the next Board training scheduled for February 1, 2024, will be on the Self-Determination Program and Person-Centered Thinking.

The next Committee meeting is scheduled for January 16, 2024.

**H. Policies and Outcomes Committee**

Mr. Cliff Amsden reported that the next Committee meeting is scheduled for February 20, 2024.

**I. Vendor Advisory Committee**

Mr. Rick Perez reported that the Committee met on January 9, 2024; the next Committee meeting is scheduled for February 13, 2024.

**J. Peer Advisory Committee**

Ms. Yvonne Kluttz reported that the Committee will host a presentation on the *Healthy Life, Happy Life Program* with Dr. Sam Ho, RCOC's Clinical Pharmacist at its next meeting on January 17, 2024.

**K. Legislative and Community Awareness Report**

Mr. Bruce Hall reported that the Committee met on January 9, 2024 and discussed holiday events and media releases. The next Committee meeting is scheduled for April 9, 2024.

**L. ARCA Report**

Ms. Martin reported that ARCA's Board of Directors' meeting is scheduled for January 19, 2024 in Sacramento.

**M. Community Forum**

Mr. Robert Olea asked if RCOC's vendors were still having difficulties hiring staff.

**N. Chairperson's Report**

Mr. Wright thanked everyone for joining today's Board of Directors' meeting.

**O. 2022 Performance Contract Objectives Outcomes Review**

Mr. Stanton and Mr. Cazares reported on the Performance Contract Objectives Outcomes Review for 2022.

**II. Adjournment**

Mr. Wright adjourned the meeting at 7:22 p.m.

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Yvonne Kluttz, Secretary

**Regional Center of Orange County  
Budget & Finance Committee  
Meeting Minutes  
January 11, 2024**

**Committee Members Present:** Sandy Martin  
Cliff Amsden  
Marcell Bassett (*arrived at 4:13 p.m.*)  
Bruce Hall  
Fernando Peña  
John “Chip” Wright

**Committee Members Absent:** Jacqueline Nguyen (*joined virtually*)

**Other Board Members Present:** Yvonne Kluttz  
Rick Perez

**Board Counsel Present:** Greg E. Simonian

**RCOC Staff Present:** Larry Landauer, Executive Director  
Liliana Castillo, Accounting Manager – Vendorization  
Arturo Cazares, Director of Community Services  
Nancy Franco, Accounting Manager – OPS  
Dr. Bonnie Ivers, Clinical Director  
Karina Martinez, Accounting Manager – POS  
Jennifer Montanez, Director of Case Management  
Raudel Perez, Administrator  
Linda Pham, Accountant  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Human Resources Director

The meeting was called to order at 4:02 p.m.

Ms. Marta Vasquez, RCOC’s Chief Financial Officer, presented the Annual Sufficiency of Allocation Report for fiscal year 2023-24, which was submitted to the Department of Developmental Services (DDS) in December 2023.

1. Approval of Monthly Sufficiency of Allocation Reports (SOARs), October and November 2023

The monthly reports for October and November 2023 were reviewed. RCOC is projecting a deficit of \$37.8 million. RCOC’s projected deficit will be \$30.4 million if all \$7.4 million of the State Plan Amendment receivables are paid.

2. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 22, 2024

Ms. Vasquez reported that RCOC added a title to RCOC’s salary schedule.

***M/S/C to approve the monthly SOARs and salary schedule.***

The meeting adjourned at 4:50 p.m.



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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|                |        |               |
|----------------|--------|---------------|
|                | ACTION | <u>  X  </u>  |
| ACTION/CONSENT |        | <u>      </u> |
| DISCUSSION     |        | <u>      </u> |
| INFO ONLY      |        | <u>      </u> |

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DATE: March 7, 2024

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, December 2023**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

## REGIONAL CENTER OF ORANGE COUNTY

### MEMORANDUM

Date: March 7, 2024  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – December 2023 Sufficiency of Allocation Report (SOAR)

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#### Purchase of Services (POS)

RCOC is projecting a deficit of \$37.1 million. RCOC's deficit will be \$29.7 million if all \$7.4 million of the State Plan Amendment receivables are paid.

As reported previously, RCOC's allocation is less than prior year and is one of many regional centers projecting a deficit. The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of prior year minimum wage increases,
- the new minimum wage increase effective January 1, 2024,
- the continuation of the Rate Models increases effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
- the rate increases for occupational, physical and speech therapy effective October 1, 2023,
- application of the Personal Assistance Rate Model rate to Personal Assistance Worker administration services (also known as Employer of Record services), and
- an adjustment to the Rate Model rate for Independent Living Services vendors effective January 1, 2024.

The projection also includes the impact of the end of Alternative Non-Residential Services and the projected cost of 554 persons served who will graduate from school to regional center-funded adult day programs.

Year to date, RCOC's caseload increased by 637 persons for an annualized caseload growth of 4.4%%; the regional center system increased by 14,768 persons for an annualized caseload growth of 6.2%.

#### Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of December 31, 2023**

|  | A                    | B                               | C  | D                                      | E                   | F  | G                                    | H                    |
|--|----------------------|---------------------------------|--|--|---------------------|--|--------------------------------------|----------------------|
| PURCHASE OF SERVICE                    | E-1<br>ALLOCATION    | ACTUAL<br>SPENT<br>YEAR TO DATE | PROJECTED<br>EXPENDITURES<br>AT "RUN RATE" | SOAR                                   | VARIANCE            |  |                                      | SPENT<br>PRIOR YEAR  |
|  |                      |                                 |  | PROJECTED<br>EXPENDITURES<br>2/10/2024 | (column A-D)/A<br>% | (column A-D)<br>AMOUNT<br>YEAR TO DATE           | CHANGE<br>FROM PRIOR<br>MO. REPORTED |                      |
| (1) Licensed Residential Care          | \$188,040,827        | \$99,205,352                    | \$198,410,704                              | \$206,499,777                          | -10%                | -\$18,458,950                                    | \$754,910                            | \$182,539,369        |
| (2) Day Care                           | 1,955,218            | 614,054                         | 1,744,327                                  | 1,955,218                              | 0%                  | \$0  | 0                                    | 1,489,577            |
| (3) Day Training                       | 63,634,597           | 34,329,694                      | 68,659,388                                 | 69,998,669                             | -10%                | -\$6,364,072                                     | 0                                    | 60,953,470           |
| (4) Habilitation                       | 9,530,560            | 4,058,783                       | 9,518,320                                  | 9,530,560                              | 0%                  | \$0  | 0                                    | 8,424,282            |
| (5) Transportation                     | 13,582,892           | 6,377,167                       | 14,584,860                                 | 17,836,125                             | -31%                | -\$4,253,233                                     | 0                                    | 11,589,320           |
| (6) Respite                            | 53,665,692           | 21,528,522                      | 51,668,453                                 | 53,665,692                             | 0%                  | \$0  | 0                                    | 45,959,594           |
| (7) Personal Assistance                | 53,922,907           | 25,363,437                      | 55,948,758                                 | 56,022,320                             | -4%                 | -\$2,099,413                                     | 0                                    | 48,336,047           |
| (8) Supported Living                   | 59,505,546           | 29,375,796                      | 58,751,592                                 | 59,505,546                             | 0%                  | \$0  | 0                                    | 53,477,301           |
| (9) Non-medical                        | 35,415,097           | 14,461,389                      | 29,422,778                                 | 35,415,097                             | 0%                  | \$0  | 0                                    | 24,103,486           |
| (10) Medical                           | 12,684,138           | 5,051,504                       | 10,103,008                                 | 12,684,138                             | 0%                  | \$0  | 0                                    | 7,918,271            |
| (11) Other                             | 66,955,872           | 28,274,949                      | 58,549,898                                 | 69,732,092                             | -4%                 | -\$2,776,220                                     | 0                                    | 53,566,136           |
| (12) Early Start (Age 0-3)             | 39,789,953           | 19,688,807                      | 41,381,283                                 | 42,996,240                             | -8%                 | -\$3,206,287                                     | 0                                    | 35,739,618           |
| (13) Community Placement Plan          | 100,000              | 0                               |  | 0                                      | 0%                  | n/a  | 0                                    | 0                    |
| (14) Purchase of Service Total         | <u>598,783,299</u>   | <u>288,329,454</u>              | <u>598,743,369</u>                         | <u>635,841,474</u>                     | <u>-6%</u>          | <u>-37,158,175</u>                               | <u>754,910</u>                       | <u>534,096,471</u>   |
|  |                      |                                 |  | 635,841,474                            |                     |  |                                      |                      |
|  |                      |                                 |  | 0                                      |                     | -\$29,747,496 * If all SPA receivables are paid. |                                      |                      |
| <u>OPERATIONS</u>                      |                      |                                 |  |  |                     |  |                                      |                      |
| (15) Operating Expense (Gross)         | 11,820,534           | 4,198,600                       | 8,397,201                                  | 11,820,534                             | 0%                  | 0  | 0                                    | 7,784,961            |
| (16) Less Interest Income and SPA Fees | -600,000             | -1,131,013                      | -2,262,027                                 | -600,000                               | 0%                  | 0  | 0                                    | -1,198,997           |
| (17) Operating Expense (Net)           | 11,220,534           | 3,067,587                       | 6,135,174                                  | 11,220,534                             | 0%                  | 0  | 0                                    | 6,585,964            |
| (18) Personal Services                 | 63,481,765           | 23,967,297                      | 47,934,593                                 | 63,481,765                             | 0%                  | 0  | 0                                    | 44,049,322           |
| (19) Family Resource Center/Services   | 269,299              | 118,681                         | 237,362                                    | 269,299                                | 0%                  | 0  | 0                                    | 269,299              |
| (20) Operations Total                  | <u>74,971,598</u>    | <u>27,153,565</u>               | <u>54,307,129</u>                          | <u>74,971,598</u>                      | <u>0%</u>           | <u>0</u>   | <u>0</u>                             | <u>50,904,585</u>    |
| (21) Total                             | <u>\$673,754,897</u> | <u>\$315,483,019</u>            | <u>\$653,050,498</u>                       | <u>\$710,813,072</u>                   | <u>0%</u>           | <u>-\$37,158,175</u>                             | <u>\$754,910</u>                     | <u>\$585,001,056</u> |

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF DECEMBER 31, 2023

| ASSETS                                   | GENERAL FUND     | CUSTODIAL FUND |
|--|------------------|----------------|
| CURRENT ASSETS                           |                  |                |
| Petty cash                               | \$300.00         |                |
| Checking                                 | 43,114,599.47    | \$103,921.16   |
| Savings                                  | 157,814.01       |                |
| Money market                             | 0.00             |                |
| Payroll                                  | 381,706.52       |                |
| Donations                                | 209,297.00       |                |
| Unemployment                             | 839,262.39       |                |
| Certificate of deposit                   | 0.00             |                |
|  | -----            | -----          |
| Total current assets                     | 44,702,979.39    | 103,921.16     |
|  | -----            | -----          |
| RECEIVABLES                              |                  |                |
| State claim                              | 107,385,368.82   |                |
| Client support revenue                   | 40,935.43        | 291.00         |
| Due from State - prior years             | 9,792,369.98     |                |
| Due from ICF - ICF Supplemental Services | 5,320,591.28     |                |
|  | -----            | -----          |
| Total receivables                        | 122,539,265.51   | 291.00         |
|  | -----            | -----          |
| PREPAID ITEMS                            |                  |                |
| Deposits                                 | 293,582.86       |                |
| Prepaid expense                          | 0.00             |                |
|  | -----            | -----          |
| Total prepaid items                      | 293,582.86       | 0.00           |
|  | -----            | -----          |
| OTHER ASSETS                             |                  |                |
| Tenant improvements                      | 303,163.76       |                |
| Building acquisition                     | 63,613.98        |                |
|  | -----            | -----          |
| Total other assets                       | 366,777.74       | 0.00           |
|  | -----            | -----          |
| TOTAL ASSETS                             | \$167,902,605.50 | \$104,212.16   |
|  | =====            | =====          |
| LIABILITIES AND FUND BALANCES            |                  |                |
| LIABILITIES                              |                  |                |
| Accounts payable                         | \$29,465,747.87  | \$40,935.43    |
| Due to State - ICF Supplemental Services | 0.00             |                |
| Loans payable                            | 0.00             |                |
| Cash advance                             | 137,389,886.47   |                |
| Unemployment insurance                   | 837,674.16       |                |
|  | -----            | -----          |
| Total liabilities                        | 167,693,308.50   | 40,935.43      |
|  | -----            | -----          |
| FUND BALANCES                            |                  |                |
| General                                  |                  |                |
| Donations                                | 209,297.00       |                |
| Custodial                                |                  | 63,276.73      |
|  | -----            | -----          |
| TOTAL LIABILITIES AND FUND BALANCES      | \$167,902,605.50 | \$104,212.16   |
|  | =====            | =====          |

REGIONAL CENTER OF ORANGE COUNTY  
 BRIAN'S FUND  
 DECEMBER 31, 2023

|                             |                  |                            |
|-----------------------------|------------------|----------------------------|
| Beginning Balance           |                  | \$206,996.10               |
| Donations:                  |                  |                            |
| Andrews, Richard & Margaret | \$20.00          |                            |
| Aveanna Healthcare          | 1,500.00         |                            |
| Hall, Bruce P               | 500.00           |                            |
| Heidari, Mansour & Arefeh   | <u>1,000.00</u>  |                            |
| Subtotal Donations          | \$3,020.00       |                            |
| Loan Payments               | 672.00           |                            |
| Interest                    | 8.90             |                            |
| Disbursements:              |                  |                            |
| Refrigerator                | -800.00          |                            |
| Rent                        | <u>-600.00</u>   |                            |
| Subtotal Disbursements      | <u>-1,400.00</u> |                            |
| Net Increase (Decrease)     |                  | <u>2,300.90</u>            |
| Ending Balance              |                  | <u><u>\$209,297.00</u></u> |

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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|                |        |               |
|----------------|--------|---------------|
|                | ACTION | <u>  X  </u>  |
| ACTION/CONSENT |        | <u>      </u> |
| DISCUSSION     |        | <u>      </u> |
| INFO ONLY      |        | <u>      </u> |

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DATE: March 7, 2024

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, January 2024**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

## REGIONAL CENTER OF ORANGE COUNTY

### MEMORANDUM

Date: March 7, 2024  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – January 2024 Sufficiency of Allocation Report (SOAR)

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#### Purchase of Services (POS)

RCOC is projecting a deficit of \$37.1 million. RCOC's deficit will be \$29.7 million if all \$7.4 million of the State Plan Amendment receivables are paid.

As reported previously, RCOC's allocation is less than prior year and is one of many regional centers projecting a deficit. The projection includes the fiscal impact of prior years' and current year's rate increases:

- the continuation of prior year minimum wage increases,
- the new minimum wage increase effective January 1, 2024,
- the continuation of the Rate Models increases effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
- the rate increases for occupational, physical and speech therapy effective October 1, 2023,
- application of the Personal Assistance Rate Model rate to Personal Assistance Worker administration services (also known as Employer of Record services), and
- an adjustment to the Rate Model rate for Independent Living Services vendors effective January 1, 2024.

The projection also includes the impact of the end of Alternative Non-Residential Services and the projected cost of 554 persons served who will graduate from school to regional center-funded adult day programs.

Year to date, RCOC's caseload increased by 637 persons for an annualized caseload growth of 4.4%%; the regional center system increased by 14,768 persons for an annualized caseload growth of 6.2%.

#### Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of January 31, 2024**

|  | A                    | B                               | C  | D                                      | E                   | F  | G                                    | H                    |
|--|----------------------|---------------------------------|--|--|---------------------|--|--------------------------------------|----------------------|
| PURCHASE OF SERVICE                    | E-1<br>ALLOCATION    | ACTUAL<br>SPENT<br>YEAR TO DATE | PROJECTED<br>EXPENDITURES<br>AT "RUN RATE" | SOAR                                   | VARIANCE            |  |                                      | SPENT<br>PRIOR YEAR  |
|  |                      |                                 |  | PROJECTED<br>EXPENDITURES<br>2/10/2024 | (column A-D)/A<br>% | (column A-D)<br>AMOUNT<br>YEAR TO DATE           | CHANGE<br>FROM PRIOR<br>MO. REPORTED |                      |
| (1) Licensed Residential Care          | \$188,040,827        | \$113,198,303                   | \$198,172,793                              | \$206,499,777                          | -10%                | -\$18,458,950                                    | \$754,910                            | \$182,539,369        |
| (2) Day Care                           | 1,955,218            | 669,545                         | 1,741,684                                  | 1,955,218                              | 0%                  | \$0  | 0                                    | 1,489,577            |
| (3) Day Training                       | 63,634,597           | 38,883,603                      | 68,272,424                                 | 69,998,669                             | -10%                | -\$6,364,072                                     | 0                                    | 60,953,470           |
| (4) Habilitation                       | 9,530,560            | 4,229,181                       | 9,522,304                                  | 9,530,560                              | 0%                  | \$0  | 0                                    | 8,424,282            |
| (5) Transportation                     | 13,582,892           | 6,491,640                       | 14,846,665                                 | 17,836,125                             | -31%                | -\$4,253,233                                     | 0                                    | 11,589,320           |
| (6) Respite                            | 53,665,692           | 21,539,824                      | 51,695,578                                 | 53,665,692                             | 0%                  | \$0  | 0                                    | 45,959,594           |
| (7) Personal Assistance                | 53,922,907           | 25,363,437                      | 56,363,193                                 | 56,022,320                             | -4%                 | -\$2,099,413                                     | 0                                    | 48,336,047           |
| (8) Supported Living                   | 59,505,546           | 33,905,738                      | 58,590,817                                 | 59,505,546                             | 0%                  | \$0  | 0                                    | 53,477,301           |
| (9) Non-medical                        | 35,415,097           | 15,585,460                      | 29,340,762                                 | 35,415,097                             | 0%                  | \$0  | 0                                    | 24,103,486           |
| (10) Medical                           | 12,684,138           | 5,856,840                       | 10,040,297                                 | 12,684,138                             | 0%                  | \$0  | 0                                    | 7,918,271            |
| (11) Other                             | 66,955,872           | 28,530,803                      | 58,578,187                                 | 69,732,092                             | -4%                 | -\$2,776,220                                     | 0                                    | 53,566,136           |
| (12) Early Start (Age 0-3)             | 39,789,953           | 22,027,250                      | 40,781,368                                 | 42,996,240                             | -8%                 | -\$3,206,287                                     | 0                                    | 35,739,618           |
| (13) Community Placement Plan          | 100,000              | 0                               |  | 0                                      | 0%                  | n/a  | 0                                    | 0                    |
| (14) Purchase of Service Total         | <u>598,783,299</u>   | <u>316,281,624</u>              | <u>597,946,072</u>                         | <u>635,841,474</u>                     | <u>-6%</u>          | <u>-37,158,175</u>                               | <u>754,910</u>                       | <u>534,096,471</u>   |
|  |                      |                                 |  | 635,841,474                            |                     |  |                                      |                      |
|  |                      |                                 |  | 0                                      |                     | -\$29,747,496 * If all SPA receivables are paid. |                                      |                      |
| <b>OPERATIONS</b>                      |                      |                                 |  |  |                     |  |                                      |                      |
| (15) Operating Expense (Gross)         | 11,820,534           | 4,721,186                       | 8,093,461                                  | 11,820,534                             | 0%                  | 0  | 0                                    | 7,784,961            |
| (16) Less Interest Income and SPA Fees | -600,000             | -1,310,565                      | -2,246,683                                 | -600,000                               | 0%                  | 0  | 0                                    | -1,198,997           |
| (17) Operating Expense (Net)           | 11,220,534           | 3,410,621                       | 5,846,778                                  | 11,220,534                             | 0%                  | 0  | 0                                    | 6,585,964            |
| (18) Personal Services                 | 63,481,765           | 27,984,010                      | 47,972,589                                 | 63,481,765                             | 0%                  | 0  | 0                                    | 44,049,322           |
| (19) Family Resource Center/Services   | 269,299              | 138,701                         | 237,772                                    | 269,299                                | 0%                  | 0  | 0                                    | 269,299              |
| (20) Operations Total                  | <u>74,971,598</u>    | <u>31,533,332</u>               | <u>54,057,140</u>                          | <u>74,971,598</u>                      | <u>0%</u>           | <u>0</u>   | <u>0</u>                             | <u>50,904,585</u>    |
| (21) Total                             | <u>\$673,754,897</u> | <u>\$347,814,956</u>            | <u>\$652,003,212</u>                       | <u>\$710,813,072</u>                   | <u>0%</u>           | <u>-\$37,158,175</u>                             | <u>\$754,910</u>                     | <u>\$585,001,056</u> |

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.



STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF JANUARY 31, 2024

| ASSETS                                   | GENERAL FUND     | CUSTODIAL FUND |
|--|------------------|----------------|
| CURRENT ASSETS                           |                  |                |
| Petty cash                               | \$300.00         |                |
| Checking                                 | 39,128,095.44    | \$88,782.58    |
| Savings                                  | 70,555.59        |                |
| Money market                             | 0.00             |                |
| Payroll                                  | 381,904.23       |                |
| Donations                                | 208,270.89       |                |
| Unemployment                             | 839,412.03       |                |
| Certificate of deposit                   | 0.00             |                |
|  | -----            | -----          |
| Total current assets                     | 40,628,538.18    | 88,782.58      |
|  | -----            | -----          |
| RECEIVABLES                              |                  |                |
| State claim                              | 113,199,878.53   |                |
| Client support revenue                   | 22,547.83        | 291.00         |
| Due from State - prior years             | 14,792,123.22    |                |
| Due from ICF - ICF Supplemental Services | 6,018,710.81     |                |
|  | -----            | -----          |
| Total receivables                        | 134,033,260.39   | 291.00         |
|  | -----            | -----          |
| PREPAID ITEMS                            |                  |                |
| Deposits                                 | 293,582.86       |                |
| Prepaid expense                          | 0.00             |                |
|  | -----            | -----          |
| Total prepaid items                      | 293,582.86       | 0.00           |
|  | -----            | -----          |
| OTHER ASSETS                             |                  |                |
| Tenant improvements                      | 303,163.76       |                |
| Building acquisition                     | 63,613.98        |                |
|  | -----            | -----          |
| Total other assets                       | 366,777.74       | 0.00           |
|  | -----            | -----          |
| TOTAL ASSETS                             | \$175,322,159.17 | \$89,073.58    |
|  | =====            | =====          |
| LIABILITIES AND FUND BALANCES            |                  |                |
| LIABILITIES                              |                  |                |
| Accounts payable                         | \$37,128,535.51  | \$22,547.83    |
| Due to State - ICF Supplemental Services | 0.00             |                |
| Loans payable                            | 0.00             |                |
| Cash advance                             | 137,147,500.89   |                |
| Unemployment insurance                   | 837,851.88       |                |
|  | -----            | -----          |
| Total liabilities                        | 175,113,888.28   | 22,547.83      |
|  | -----            | -----          |
| FUND BALANCES                            |                  |                |
| General                                  |                  |                |
| Donations                                | 208,270.89       |                |
| Custodial                                |                  | 66,525.75      |
|  | -----            | -----          |
| TOTAL LIABILITIES AND FUND BALANCES      | \$175,322,159.17 | \$89,073.58    |
|  | =====            | =====          |

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
JANUARY 31, 2024

|                         |                |                             |
|-------------------------|----------------|-----------------------------|
| Beginning Balance       |                | \$209,297.00                |
| Donations:              |                |                             |
| Subtotal Donations      | \$0.00         |                             |
| Loan Payments           | -193.00        |                             |
| Interest                | 8.89           |                             |
| Disbursements:          |                |                             |
| Moving expenses         | <u>-842.00</u> |                             |
| Net Increase (Decrease) |                | <u>-1,026.11</u>            |
| Ending Balance          |                | <u><u>\$ 208,270.89</u></u> |



**Summary of Information About Persons Served - December 2023**

|  |               |             |
|--|---------------|-------------|
| <b>NUMBER OF PERSONS SERVED</b>                              | <b>25,822</b> | <b>100%</b> |
| Children - Birth to Age Three Receiving Early Start Services | 3,527         | 14%         |
| Children - Ages Three to Five Receiving Provisional Services | 460           | 2%          |
| Children - Ages Three to 17 Receiving Lanterman Services     | 8,363         | 32%         |
| Adults - Ages 18 and Older Receiving Lanterman Services      | 13,472        | 52%         |

|  |            |
|--|------------|
| <b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b> | <b>488</b> |
|--|------------|

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

|                         |        |     |
|-------------------------|--------|-----|
| Intellectual Disability | 11,533 | 60% |
| Epilepsy                | 2,870  | 14% |
| Cerebral Palsy          | 2,539  | 13% |
| Autism                  | 10,110 | 44% |
| Fifth Category*         | 1,963  | 9%  |

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

|   |            |
|---|------------|
| <b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>     | <b>515</b> |
| Early Start / Under Age Three / 45 days to complete determination | 223 / 43%  |
| Lanterman / Over Age Three / 120 days to complete determination   | 51 / 10%   |
| Provisional / Up to Age Five / 90 days to complete determination  | 0 / 0%     |

|  |            |
|--|------------|
| <b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>   | <b>109</b> |
| Children - Birth to Age Three Eligible for Early Start Services  | 0          |
| Children and Adults - Ages Three and Older Eligible for Lanterman Services   | 47         |
| <ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>                               | 37         |
| <ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul> | 25         |
| Children - Birth to Age Three Eligible for Prevention Resource and Referral Services   | 0          |

|   |            |
|---|------------|
| <b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b> | <b>140</b> |
| Children - Age Three No Longer Eligible for Early Start Services  | 140        |
| Children - Age Three No Longer Eligible for Prevention Resource and Referral Services                     | 0          |

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### DECEMBER 2023 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2023-24

| Type and Number of Reviews     | Jul.       | Aug.       | Sept.     | Oct.       | Nov.      | Dec.      |
|--------------------------------|------------|------------|-----------|------------|-----------|-----------|
| Annual Review                  | 43         | 34         | 33        | 40         | 40        | 38        |
| Unannounced                    | 92         | 70         | 53        | 74         | 55        | 61        |
| <b>Total Number of Reviews</b> | <b>135</b> | <b>104</b> | <b>86</b> | <b>114</b> | <b>95</b> | <b>99</b> |

|                                  |     |     |     |     |     |     |
|----------------------------------|-----|-----|-----|-----|-----|-----|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   | 0   |
| Technical Support                | 325 | 211 | 133 | 326 | 283 | 274 |
| Corrective Action Plans          | 0   | 7   | 0   | 5   | 14  | 2   |
| Special Incident Investigations* | 9   | 3   | 4   | 38  | 41  | 56  |
| COVID-19 Checklist               | 0   | 0   | 0   | 0   | 0   | 0   |

| Type and Number of Reviews     | Jan. | Feb. | Mar. | Apr. | May | June | Total      |
|--------------------------------|------|------|------|------|-----|------|------------|
| Annual Review                  |      |      |      |      |     |      | <b>228</b> |
| Unannounced                    |      |      |      |      |     |      | <b>405</b> |
| <b>Total Number of Reviews</b> |      |      |      |      |     |      | <b>633</b> |

|                                  |  |  |  |  |  |  |              |
|----------------------------------|--|--|--|--|--|--|--------------|
| Provider Trainings               |  |  |  |  |  |  | <b>0</b>     |
| Technical Support                |  |  |  |  |  |  | <b>1,552</b> |
| Corrective Action Plans          |  |  |  |  |  |  | <b>28</b>    |
| Special Incident Investigations* |  |  |  |  |  |  | <b>151</b>   |

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

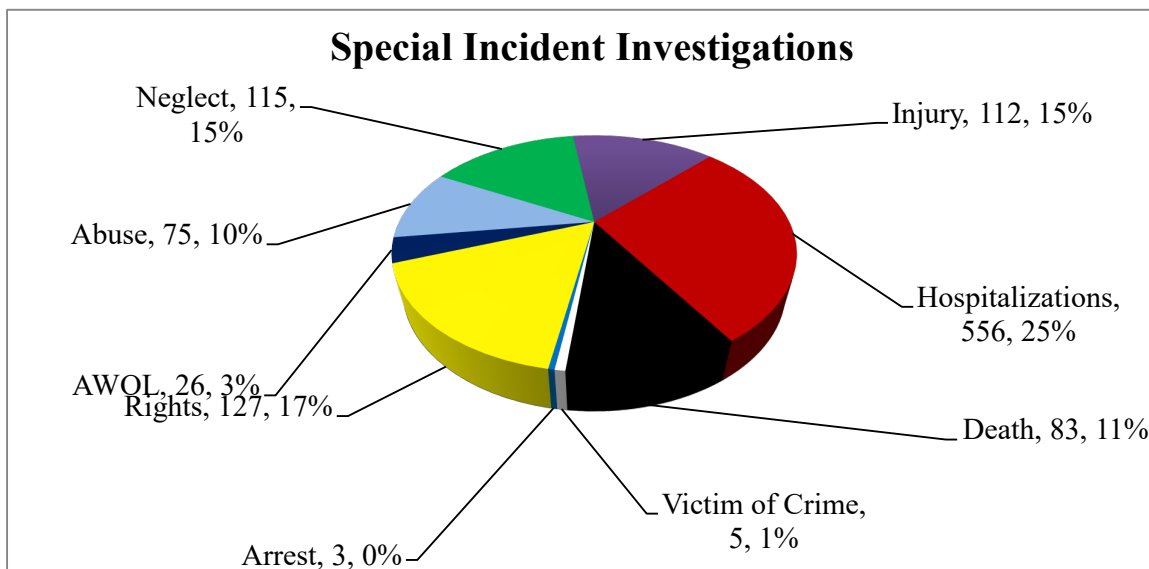
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
  - 1. Physical;
  - 2. Sexual;
  - 3. Fiduciary;
  - 4. Emotional/mental; or
  - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
  - 1. Provide medical care for physical and mental health needs;
  - 2. Prevent malnutrition or dehydration;
  - 3. Protect from health and safety hazards;
  - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
  - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
  - 1. Lacerations requiring sutures or staples;
  - 2. Puncture wounds requiring medical treatment beyond first aid;
  - 3. Fractures;
  - 4. Dislocations;
  - 5. Bites that break the skin and require medical treatment beyond first aid;
  - 6. Internal bleeding requiring medical treatment beyond first aid;
  - 7. Any medication errors;
  - 8. Medication reactions that require medical treatment beyond first aid; or
  - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
  - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
  - 2. Seizure-related;
  - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
  - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
  - 5. Diabetes, including diabetes-related complications;
  - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
  - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
  - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
  - (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2023-24**

| Type of Incident         | Jul.       | Aug.       | Sept.      | Oct.       | Nov.       | Dec.       |
|--------------------------|------------|------------|------------|------------|------------|------------|
| AWOL                     | 4          | 9          | 2          | 4          | 3          | 4          |
| Abuse                    | 9          | 16         | 11         | 15         | 12         | 12         |
| Neglect                  | 44         | 16         | 17         | 17         | 15         | 6          |
| Injury                   | 16         | 25         | 15         | 21         | 15         | 20         |
| Hospitalizations - Total | 28         | 29         | 27         | 44         | 46         | 42         |
| <i>Psychiatric</i>       | 7          | 3          | 2          | 8          | 6          | 9          |
| <i>Medical</i>           | 21         | 26         | 25         | 36         | 35         | 33         |
| Death                    | 17         | 11         | 16         | 12         | 14         | 13         |
| Victim of crime          | 1          | 1          | 0          | 0          | 0          | 3          |
| Arrest                   | 1          | 0          | 0          | 0          | 0          | 2          |
| Rights                   | 69         | 7          | 31         | 8          | 6          | 6          |
| <b>Total</b>             | <b>189</b> | <b>114</b> | <b>119</b> | <b>121</b> | <b>111</b> | <b>108</b> |

| Type of Incident         | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total      |
|--------------------------|----------|----------|----------|----------|----------|----------|------------|
| AWOL                     |          |          |          |          |          |          | 26         |
| Abuse                    |          |          |          |          |          |          | 75         |
| Neglect                  |          |          |          |          |          |          | 115        |
| Injury                   |          |          |          |          |          |          | 112        |
| Hospitalizations - Total |          |          |          |          |          |          | 216        |
| <i>Psychiatric</i>       |          |          |          |          |          |          | 35         |
| <i>Medical</i>           |          |          |          |          |          |          | 176        |
| Death                    |          |          |          |          |          |          | 83         |
| Victim of Crime          |          |          |          |          |          |          | 5          |
| Arrest                   |          |          |          |          |          |          | 3          |
| Rights                   |          |          |          |          |          |          | 127        |
| <b>Total</b>             | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>762</b> |



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2023-24**

**Number of Audits / Appeals / Recoveries**

| Type of Audit                 | Jul.     | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|-------------------------------|----------|----------|----------|----------|----------|----------|
| Service Billing               | 0        | 1        | 0        | 0        | 0        | 0        |
| Staffing                      | 0        | 3        | 0        | 0        | 0        | 0        |
| Level 4I Consultant           | 0        | 1        | 0        | 0        | 2        | 0        |
| P&I (consumer funds)          | 0        | 2        | 0        | 0        | 0        | 0        |
| <b>Total Number of Audits</b> | <b>0</b> | <b>7</b> | <b>0</b> | <b>0</b> | <b>2</b> | <b>0</b> |

**Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)**

|              |  |   |  |  |   |  |
|--------------|--|---|--|--|---|--|
| State Appeal |  | 0 |  |  | 0 |  |
| Recovery     |  | 4 |  |  | 2 |  |

**Audit Findings (Dollar Amount)**

|                    |        |             |        |        |            |        |
|--------------------|--------|-------------|--------|--------|------------|--------|
| Amount of Recovery | \$0.00 | \$62,604.00 | \$0.00 | \$0.00 | \$8,013.81 | \$0.00 |
|--------------------|--------|-------------|--------|--------|------------|--------|

| Type of Audit                 | Jan. | Feb. | Mar. | Apr. | May | June | Total    |
|-------------------------------|------|------|------|------|-----|------|----------|
| Service Billing               |      |      |      |      |     |      | <b>1</b> |
| Staffing                      |      |      |      |      |     |      | <b>3</b> |
| Level 4I Consultant           |      |      |      |      |     |      | <b>1</b> |
| P&I (consumer funds)          |      |      |      |      |     |      | <b>2</b> |
| <b>Total Number of Audits</b> |      |      |      |      |     |      | <b>7</b> |

**Number of Appeals / Recoveries**

|              |  |  |  |  |  |  |          |
|--------------|--|--|--|--|--|--|----------|
| State Appeal |  |  |  |  |  |  | <b>0</b> |
| Recovery     |  |  |  |  |  |  | <b>4</b> |

**Audit Findings (Dollar Amount)**

|                    |        |        |        |        |        |        |                    |
|--------------------|--------|--------|--------|--------|--------|--------|--------------------|
| Amount of Recovery | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | <b>\$70,617.81</b> |
|--------------------|--------|--------|--------|--------|--------|--------|--------------------|



## FAMILY SUPPORTS

### Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### *Fiscal Year 2023-24*

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jul.         | Aug.         | Sept.        | Oct.         | Nov.         | Dec.         |
|---|--------------|--------------|--------------|--------------|--------------|--------------|
| Day Care - Family Member                      | 81           | 84           | 78           | 81           | 78           | 77           |
| Diapers - Family Member                       | 7            | 3            | 3            | 4            | 2            | 2            |
| Nursing Service - Family Member               | 47           | 51           | 52           | 51           | 49           | 48           |
| Respite Service - Family Member               | 665          | 656          | 661          | 666          | 675          | 676          |
| Transportation - Family Member                | 202          | 208          | 210          | 224          | 234          | 236          |
| <b>Total Number of Voucher Authorizations</b> | <b>1,002</b> | <b>1,002</b> | <b>1,004</b> | <b>1,026</b> | <b>1,038</b> | <b>1,039</b> |

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     |
|---|----------|----------|----------|----------|----------|----------|
| Day Care - Family Member                      |          |          |          |          |          |          |
| Diapers - Family Member                       |          |          |          |          |          |          |
| Nursing Service - Family Member               |          |          |          |          |          |          |
| Respite Service - Family Member               |          |          |          |          |          |          |
| Transportation - Family Member                |          |          |          |          |          |          |
| <b>Total Number of Voucher Authorizations</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2023-24*

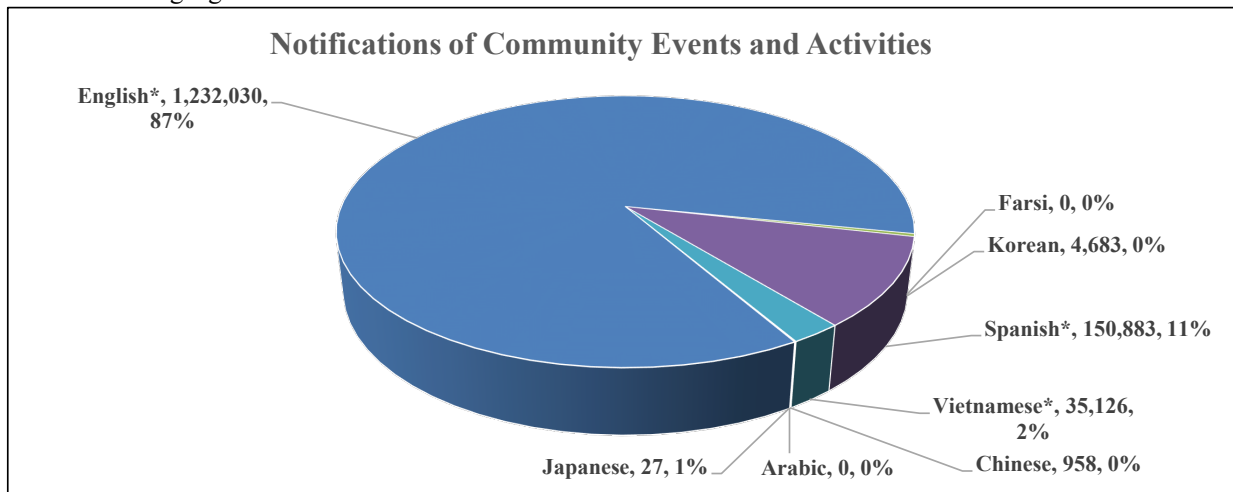
#### Number of Notifications

| Language                             | Jul.           | Aug.           | Sept.          | Oct.           | Nov.           | Dec.           |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| English*                             | 153,968        | 221,278        | 263,897        | 307,942        | 166,093        | 118,852        |
| Farsi                                |                |                |                |                |                | 0              |
| Korean                               | 555            | 200            | 1,839          | 82             | 932            | 1,075          |
| Spanish*                             | 8,000          | 39,791         | 36,187         | 31,942         | 23,200         | 11,763         |
| Vietnamese*                          | 9,234          | 2,792          | 12,351         | 3,666          | 2,551          | 4,532          |
| Chinese                              |                |                | 376            | 582            |                | 0              |
| Japanese                             |                |                | 27             |                |                |                |
| Arabic                               |                |                |                |                |                |                |
| <b>Total Number of Notifications</b> | <b>171,757</b> | <b>264,061</b> | <b>314,677</b> | <b>344,214</b> | <b>192,776</b> | <b>136,222</b> |

#### Number of Notifications

| Language                             | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total            |
|--------------------------------------|----------|----------|----------|----------|----------|----------|------------------|
| English*                             |          |          |          |          |          |          | 1,232,030        |
| Farsi                                |          |          |          |          |          |          | 0                |
| Korean                               |          |          |          |          |          |          | 4,683            |
| Spanish*                             |          |          |          |          |          |          | 150,883          |
| Vietnamese*                          |          |          |          |          |          |          | 35,126           |
| Chinese                              |          |          |          |          |          |          | 958              |
| Japanese                             |          |          |          |          |          |          | 27               |
| Arabic                               |          |          |          |          |          |          | 0                |
| <b>Total Number of Notifications</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>1,423,707</b> |

\* Threshold languages for RCOG



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2023-24*

#### Number of Outreach Events

| Type of Outreach / Language            | Jul.      | Aug.      | Sept.     | Oct.       | Nov.      | Dec.      |
|--|-----------|-----------|-----------|------------|-----------|-----------|
| <b>In Person/Zoom</b>                  |           |           |           |            |           |           |
| English                                | 13        | 7         | 7         | 12         | 12        | 10        |
| Spanish                                | 1         | 3         | 3         | 3          | 1         |           |
| Vietnamese                             |           | 3         | 2         |            |           |           |
| Other Languages                        |           |           | 1         | 1 (Korean) |           |           |
| <b>In Print</b>                        |           |           |           |            |           |           |
| English                                |           | 2         |           | 1          | 1         | 1         |
| Spanish                                |           | 1         |           |            |           |           |
| Vietnamese                             |           | 1         |           |            |           |           |
| Other Languages                        |           |           |           |            |           |           |
| <b>TV / Radio</b>                      |           |           |           |            |           |           |
| English                                | 2         |           |           |            |           |           |
| Spanish                                |           |           |           |            |           |           |
| Vietnamese                             | 5         | 4         | 4         | 5          | 5         | 5         |
| Other Languages                        |           |           |           |            |           |           |
| <b>Total Number of Outreach Events</b> | <b>21</b> | <b>21</b> | <b>17</b> | <b>22</b>  | <b>19</b> | <b>16</b> |

#### Number of Outreach Events

| Language                               | Jan.     | Feb.     | Mar.     | Apr.*    | May*     | June*    | Total      |
|--|----------|----------|----------|----------|----------|----------|------------|
| <b>In Person</b>                       |          |          |          |          |          |          |            |
| English                                |          |          |          |          |          |          | 61         |
| Spanish                                |          |          |          |          |          |          | 11         |
| Vietnamese                             |          |          |          |          |          |          | 5          |
| Other Languages                        |          |          |          |          |          |          | 2          |
| <b>In Print</b>                        |          |          |          |          |          |          |            |
| English                                |          |          |          |          |          |          | 5          |
| Spanish                                |          |          |          |          |          |          | 1          |
| Vietnamese                             |          |          |          |          |          |          | 1          |
| Other Languages                        |          |          |          |          |          |          | 0          |
| <b>TV / Radio</b>                      |          |          |          |          |          |          |            |
| English                                |          |          |          |          |          |          | 2          |
| Spanish                                |          |          |          |          |          |          | 0          |
| Vietnamese                             |          |          |          |          |          |          | 28         |
| Other Languages                        |          |          |          |          |          |          | 0          |
| <b>Total Number of Outreach Events</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>116</b> |

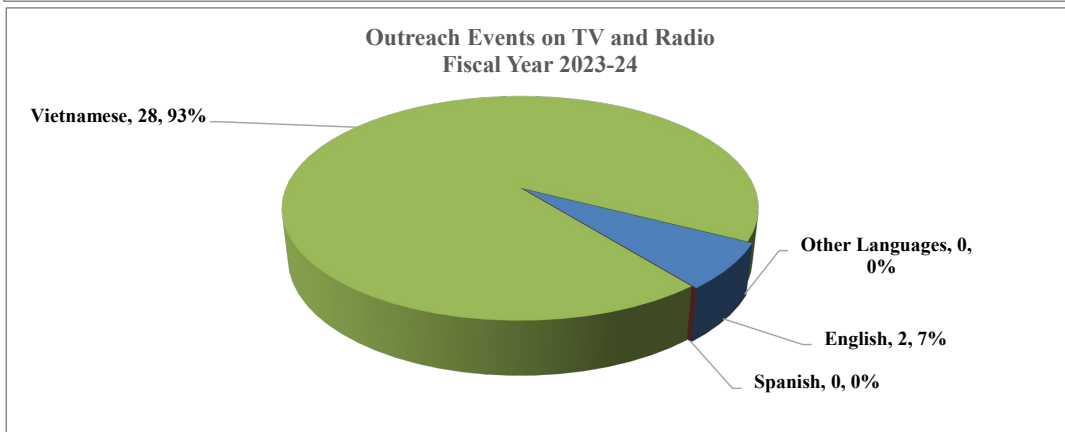
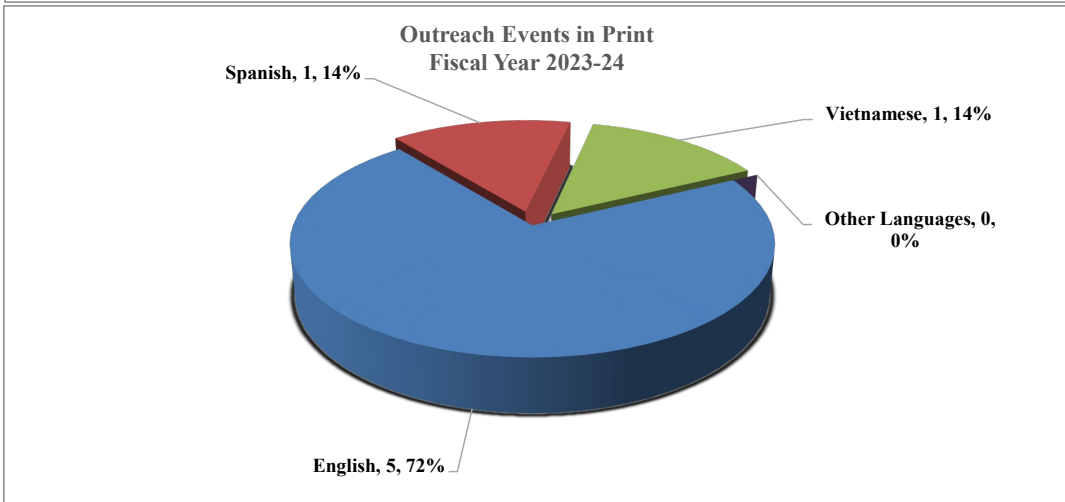
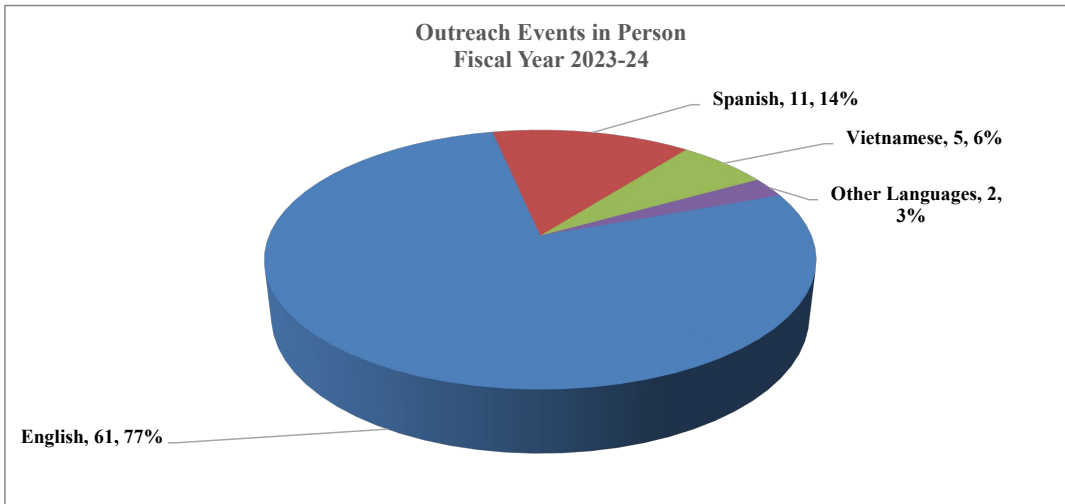
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2023-24



## EARLY INTERVENTION / PREVENTION

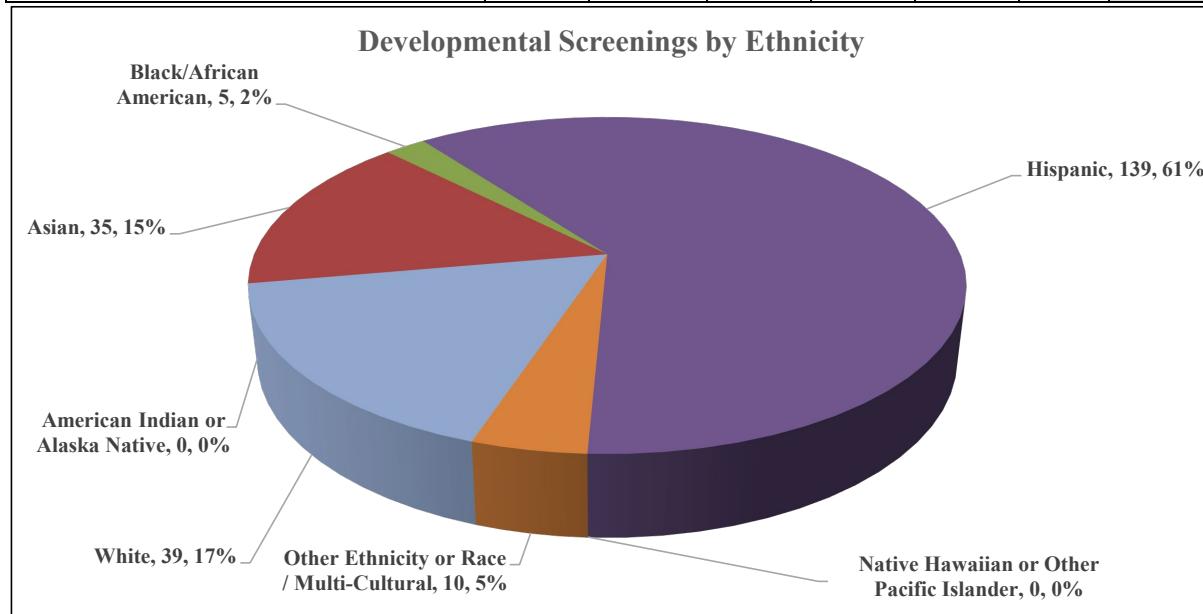
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2023-24

| Developmental Screenings by Ethnicity     | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| American Indian or Alaska Native          | 0         | 0         | 0         | 0         | 0         | 0         |
| Asian                                     | 1         | 0         | 7         | 16        | 11        | 0         |
| Black/African American                    | 0         | 0         | 3         | 0         | 1         | 1         |
| Hispanic                                  | 13        | 17        | 54        | 7         | 36        | 12        |
| Native Hawaiian or Other Pacific Islander | 0         | 0         | 0         | 0         | 0         | 0         |
| Other Ethnicity or Race / Multi-Cultural  | 2         | 2         | 3         | 0         | 2         | 1         |
| White                                     | 3         | 3         | 14        | 0         | 14        | 5         |
| <b>Total Number Screened</b>              | <b>19</b> | <b>22</b> | <b>81</b> | <b>23</b> | <b>64</b> | <b>19</b> |
| <b>Total Number Referred to RCOC</b>      | <b>7</b>  | <b>9</b>  | <b>24</b> | <b>7</b>  | <b>25</b> | <b>6</b>  |

| Developmental Screenings by Ethnicity     | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total      |
|---|----------|----------|----------|----------|----------|----------|------------|
| American Indian or Alaska Native          |          |          |          |          |          |          | 0          |
| Asian                                     |          |          |          |          |          |          | 35         |
| Black/African American                    |          |          |          |          |          |          | 5          |
| Hispanic                                  |          |          |          |          |          |          | 139        |
| Native Hawaiian or Other Pacific Islander |          |          |          |          |          |          | 0          |
| Other Ethnicity or Race / Multi-Cultural  |          |          |          |          |          |          | 10         |
| White                                     |          |          |          |          |          |          | 39         |
| <b>Total Number Screened</b>              | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>228</b> |
| <b>Total Number Referred to RCOC</b>      |          |          |          |          |          |          | <b>78</b>  |

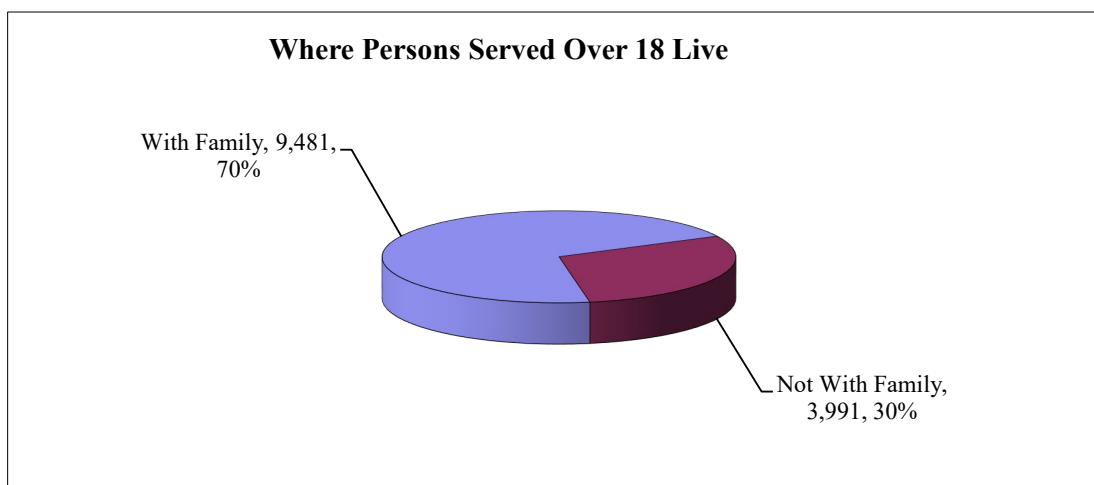
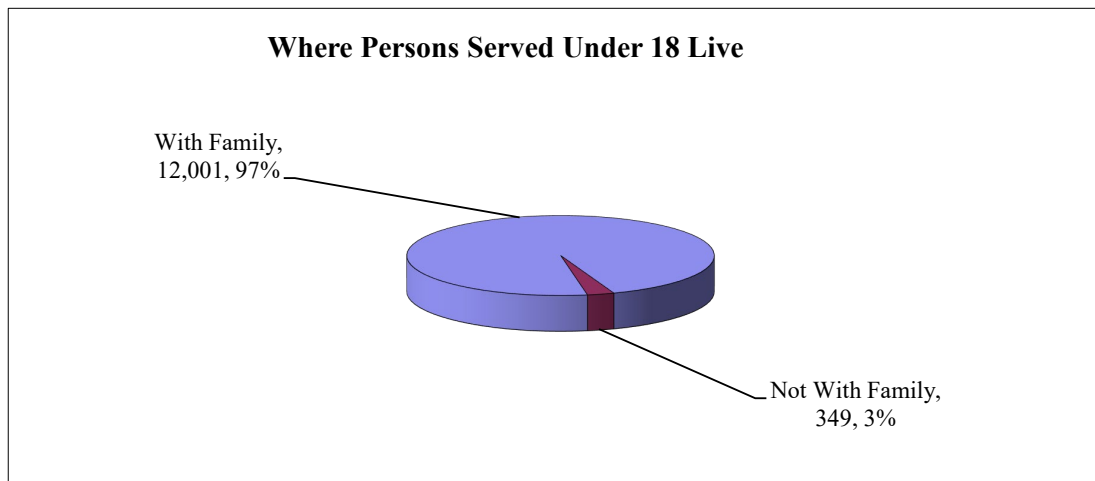


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

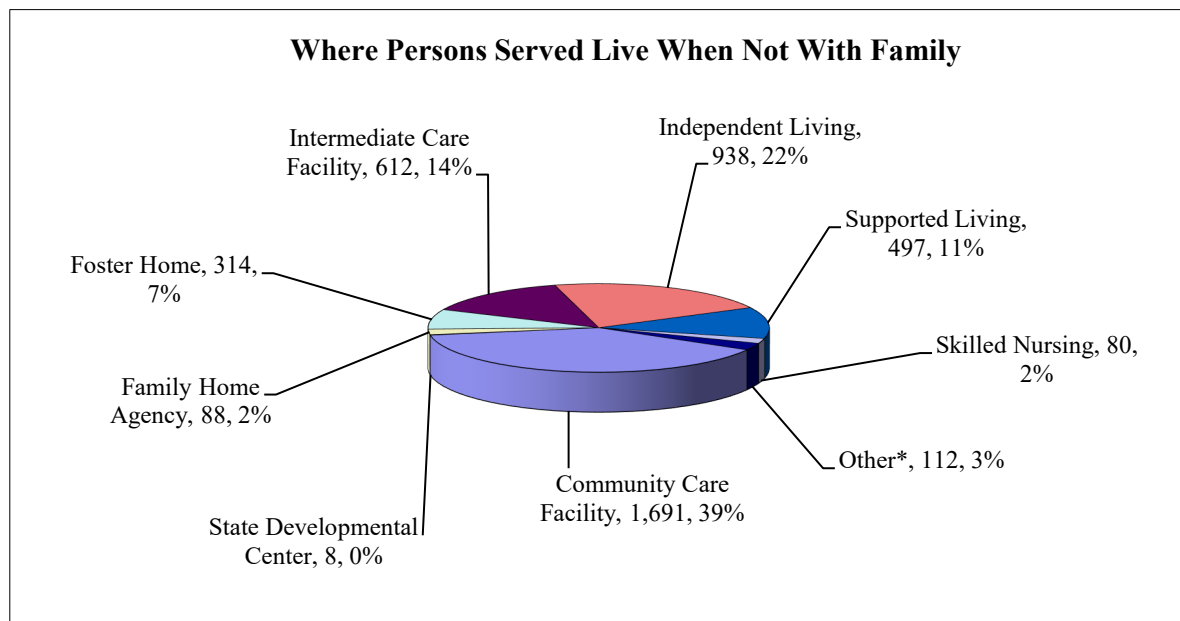
| Where Persons Served Live | Persons Served<br>All | Persons Served<br>Under 18 | Persons Served<br>Over 18 |
|---------------------------|-----------------------|----------------------------|---------------------------|
| With Family               | 21,482                | 12,001                     | 9,481                     |
| Not With Family           | 4,340                 | 349                        | 3,991                     |
| <b>Totals</b>             | <b>25,822</b>         | <b>12,350</b>              | <b>13,472</b>             |



**LIVING OPTIONS, continued**

| Where Persons Served Live  | All Persons Served | Persons Served Under 18 | Persons Served Over 18 |
|----------------------------|--------------------|-------------------------|------------------------|
| Family Home                | 21,482             | 12,001                  | 9,481                  |
| Community Care Facility    | 1,691              | 18                      | 1,673                  |
| State Developmental Center | 8                  | 0                       | 8                      |
| Family Home Agency         | 88                 | 1                       | 87                     |
| Foster Home                | 314                | 307                     | 7                      |
| Intermediate Care Facility | 612                | 2                       | 610                    |
| Independent Living         | 938                | 0                       | 938                    |
| Supported Living           | 497                | 0                       | 497                    |
| Skilled Nursing            | 80                 | 0                       | 80                     |
| Other*                     | 112                | 21                      | 91                     |
| <b>Total</b>               | <b>25,822</b>      | <b>12,350</b>           | <b>13,472</b>          |

| <b>Other*</b>              |            |           |           |
|----------------------------|------------|-----------|-----------|
| Acute General Hospital     | 4          | 0         | 4         |
| California Youth Authority | 1          | 0         | 1         |
| Community Treatment        | 3          | 2         | 1         |
| Correctional Institution   | 1          | 0         | 1         |
| County Jail                | 5          | 1         | 4         |
| Other                      | 0          | 0         | 0         |
| Out of State               | 2          | 1         | 1         |
| Psychiatric Treatment      | 18         | 2         | 16        |
| Rehabilitation Center      | 9          | 2         | 7         |
| SDC / State Hospital       | 8          | 0         | 8         |
| Sub-Acute                  | 40         | 11        | 29        |
| Transient / Homeless       | 13         | 2         | 11        |
| <b>Total, Other*</b>       | <b>104</b> | <b>21</b> | <b>83</b> |



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

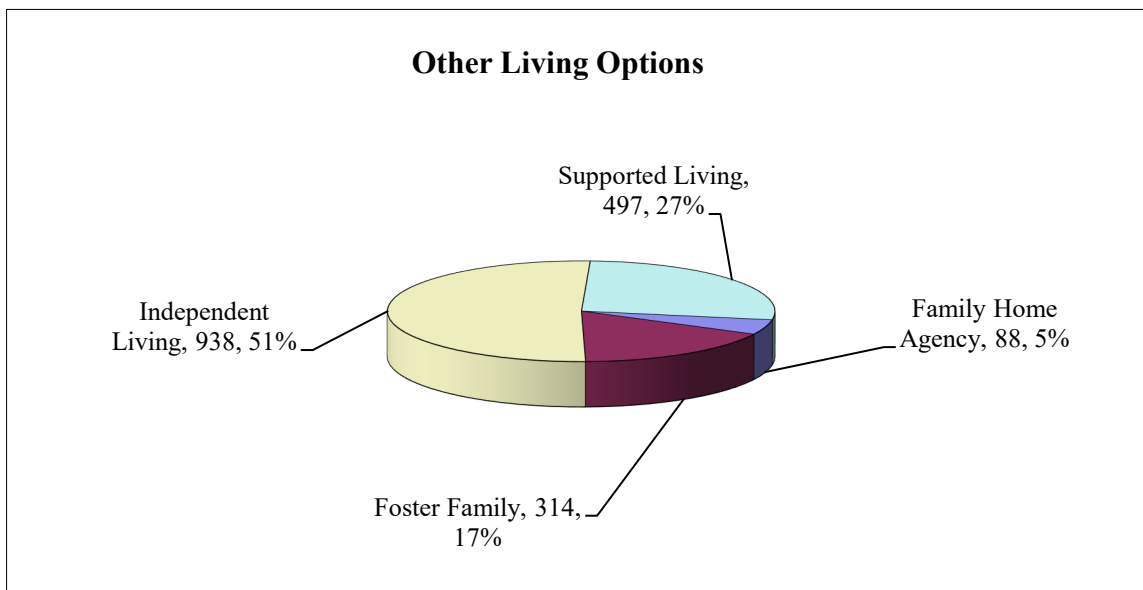
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

| <b>Other Living Options</b> | <b>Total</b> | <b>Under 18</b> | <b>Over 18</b> |
|-----------------------------|--------------|-----------------|----------------|
| Family Home Agency          | 88           | 1               | 87             |
| Foster Family               | 314          | 307             | 7              |
| Independent Living          | 938          | 0               | 938            |
| Supported Living            | 497          | 0               | 497            |
| <b>Total</b>                | <b>1,837</b> | <b>308</b>      | <b>1,529</b>   |





## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC**  
*Fiscal Year 2023-24*

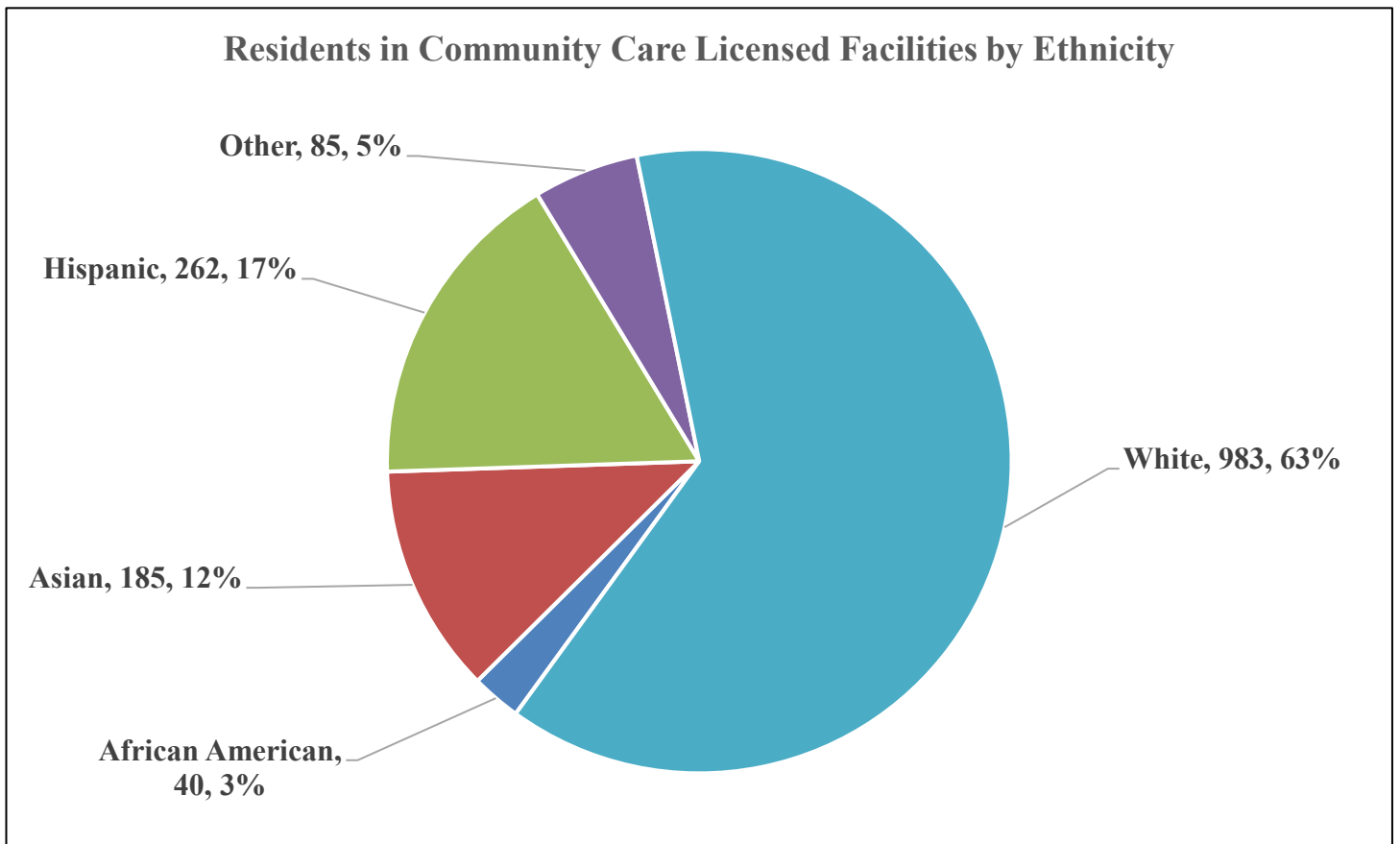
| <b>Licensed Facilities</b> | <b>Total</b> | <b>Over 18</b> | <b>Under 18</b> |
|----------------------------|--------------|----------------|-----------------|
| Level 2                    | 169          | 169            | 0               |
| Level 3                    | 255          | 255            | 0               |
| Level 4A                   | 33           | 33             | 0               |
| Level 4B                   | 4            | 4              | 0               |
| Level 4C                   | 48           | 48             | 0               |
| Level 4D                   | 34           | 34             | 0               |
| Level 4E                   | 17           | 17             | 0               |
| Level 4F                   | 56           | 56             | 0               |
| Level 4G                   | 32           | 32             | 0               |
| Level 4H                   | 1            | 1              | 0               |
| Level 4I                   | 333          | 333            | 0               |
| Elderly                    | 4            | 4              | 0               |
| ICF/DD-H                   | 4            | 4              | 0               |
| ICF/DD-N                   | 7            | 7              | 0               |
| ICF/DD                     | 0            | 0              | 0               |
| Skilled Nursing            | 0            | 0              | 0               |
| <b>Total</b>               | <b>997</b>   | <b>997</b>     | <b>0</b>        |

| <b>Licensed Facilities Summary</b> | <b>Total</b> | <b>Over 18</b> | <b>Under 18</b> |
|------------------------------------|--------------|----------------|-----------------|
| Level 2                            | 169          | 169            | 0               |
| Level 3                            | 255          | 255            | 0               |
| Level 4                            | 558          | 558            | 0               |
| ICF/DD-H                           | 4            | 4              | 0               |
| ICF/DD-N                           | 7            | 7              | 0               |
| Elderly                            | 4            | 4              | 0               |
| Skilled Nursing                    | 0            | 0              | 0               |
| <b>Total</b>                       | <b>997</b>   | <b>997</b>     | <b>0</b>        |

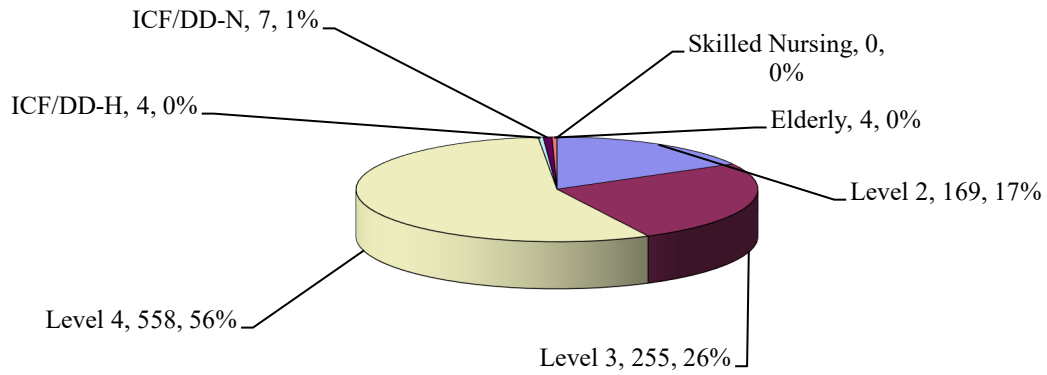
## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2023-24

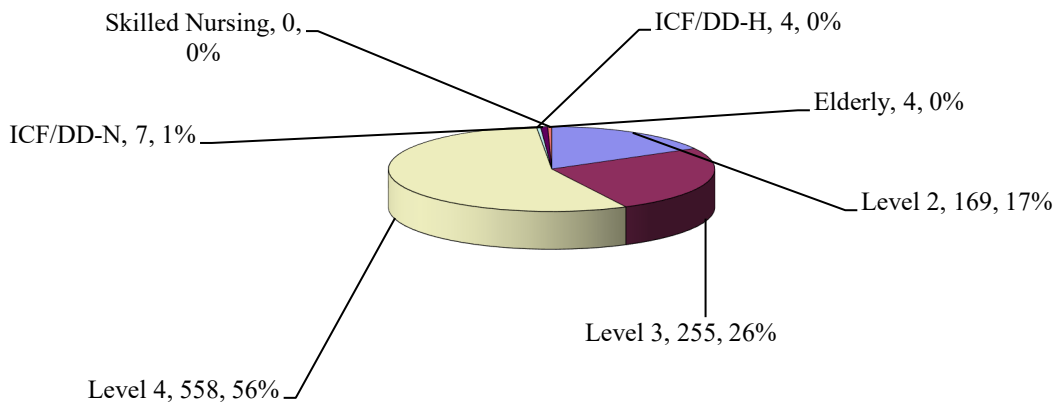
| Licensed Facilities | African American | Asian      | Hispanic   | Other     | White      | Total        |
|---------------------|------------------|------------|------------|-----------|------------|--------------|
| Level 2             | 7                | 23         | 55         | 12        | 207        | 304          |
| Level 3             | 12               | 35         | 66         | 23        | 223        | 359          |
| Level 4A            | 0                | 5          | 7          | 2         | 22         | 36           |
| Level 4B            | 0                | 1          | 0          | 0         | 6          | 7            |
| Level 4C            | 4                | 13         | 10         | 2         | 58         | 87           |
| Level 4D            | 0                | 10         | 5          | 2         | 27         | 44           |
| Level 4E            | 0                | 5          | 9          | 2         | 17         | 33           |
| Level 4F            | 3                | 12         | 8          | 2         | 39         | 64           |
| Level 4G            | 0                | 8          | 3          | 2         | 43         | 56           |
| Level 4H            | 0                | 0          | 2          | 0         | 1          | 3            |
| Level 4I            | 14               | 73         | 97         | 38        | 340        | 562          |
| <b>Total</b>        | <b>40</b>        | <b>185</b> | <b>262</b> | <b>85</b> | <b>983</b> | <b>1,555</b> |



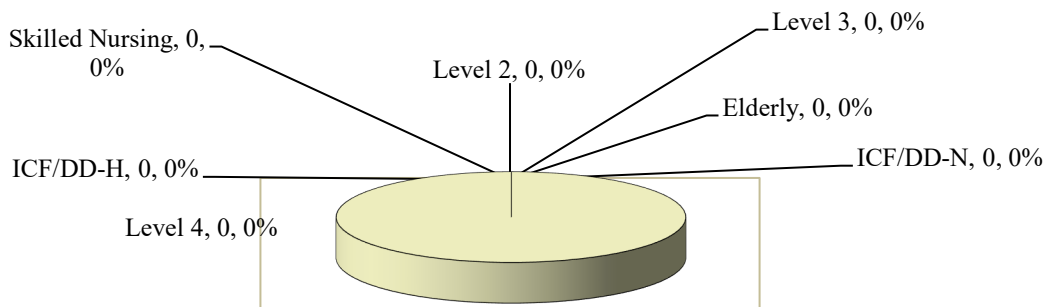
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOG**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOG**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOG**

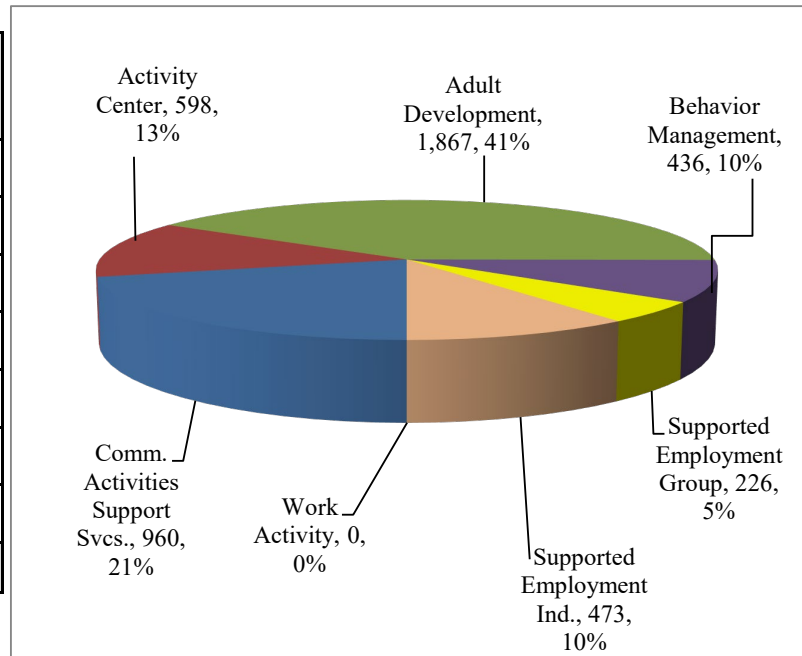


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

| Adult Day & Employment Services | Persons Served Over 18 |
|---------------------------------|------------------------|
| Comm. Activities Support Svcs.  | 960                    |
| Activity Center                 | 598                    |
| Adult Development               | 1,867                  |
| Behavior Management             | 436                    |
| Supported Employment Group      | 226                    |
| Supported Employment Ind.       | 473                    |
| Work Activity                   | 0                      |
| <b>Total</b>                    | <b>4,560</b>           |



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### *Fiscal Year 2023-24*

| Service Coordination:                          | Jul.   | Aug.   | Sept.  | Oct.   | Nov.   | Dec.   |
|--|--------|--------|--------|--------|--------|--------|
| Number of Service Coordinators (SC)            | 322.0  | 359.4  | 355.8  | 364.5  | 370.8  | 368.8  |
| Number of Case-Carrying SCs                    | 296.4  | 328.8  | 325.3  | 335.0  | 342.3  | 339.6  |
| Number of Intake SCs                           | 25.7   | 30.7   | 30.7   | 29.7   | 28.7   | 29.3   |
| Number of Active Persons Served                | 23,980 | 25,484 | 25,600 | 25,688 | 25,734 | 25,798 |
| Caseload Ratio, # of Active Persons Served/SCs | 80.9   | 77.5   | 78.7   | 76.7   | 75.2   | 76.0   |

| Service Coordination:                          | Jan. | Feb. | Mar. | Apr. | May | June |
|--|------|------|------|------|-----|------|
| Number of Service Coordinators (SC)            |      |      |      |      |     |      |
| Number of Case-Carrying SCs                    |      |      |      |      |     |      |
| Number of Intake SCs                           |      |      |      |      |     |      |
| Number of Active Persons Served                |      |      |      |      |     |      |
| Caseload Ratio, # of Active Persons Served/SCs |      |      |      |      |     |      |

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2023-24**

|  | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      | Jan. | Feb. | Mar. | Apr. | May | June |
|--|-----------|-----------|-----------|-----------|-----------|-----------|------|------|------|------|-----|------|
| <b>Number of Unsettled Hearing Requests*</b> | <b>26</b> | <b>22</b> | <b>20</b> | <b>17</b> | <b>15</b> | <b>15</b> |      |      |      |      |     |      |
| Eligibility - Lanterman                      | 10        | 6         | 6         | 6         | 7         | 8         |      |      |      |      |     |      |
| Behavioral services                          | 1         |           |           |           |           | 1         |      |      |      |      |     |      |
| Respite                                      | 1         |           | 1         | 1         | 2         |           |      |      |      |      |     |      |
| Day Care                                     |           |           |           |           |           |           |      |      |      |      |     |      |
| Self Determination Budget                    | 2         | 3         | 4         | 4         | 2         | 1         |      |      |      |      |     |      |
| Personal Assistance                          |           |           |           |           |           | 1         |      |      |      |      |     |      |
| Other**                                      | 6         | 3         | 3         | 3         | 2         | 1         |      |      |      |      |     |      |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|  |           |          |          |          |          |          |  |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|
| <b>Number of New Hearing Requests Filed*</b> | <b>13</b> | <b>7</b> | <b>4</b> | <b>5</b> | <b>6</b> | <b>7</b> |  |  |  |  |  |  |
| Eligibility - Lanterman                      | 6         | 4        | 2        | 4        | 3        | 3        |  |  |  |  |  |  |
| Eligibility - Early Start                    |           |          |          |          |          |          |  |  |  |  |  |  |
| Behavioral services                          | 1         |          |          |          |          |          |  |  |  |  |  |  |
| Respite                                      |           |          |          |          | 2        |          |  |  |  |  |  |  |
| Day Care                                     |           |          |          |          |          |          |  |  |  |  |  |  |
| Social/Recreational                          |           |          |          |          |          |          |  |  |  |  |  |  |
| Social Skills Training                       |           |          |          |          |          |          |  |  |  |  |  |  |
| SDP  | 2         | 1        | 1        |          |          |          |  |  |  |  |  |  |
| Personal Assistance                          |           |          |          |          |          | 1        |  |  |  |  |  |  |
| Other**                                      | 4         | 2        | 1        | 1        | 1        | 1        |  |  |  |  |  |  |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                    |          |          |          |          |          |          |  |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|
| <b>Number of All Meetings Held</b> | <b>4</b> | <b>9</b> | <b>8</b> | <b>8</b> | <b>6</b> | <b>4</b> |  |  |  |  |  |  |
| Number of Informal Meetings Held   | 4        | 6        | 5        | 4        | 5        | 2        |  |  |  |  |  |  |
| Number of Mediations Held          |          | 3        | 3        | 4        | 1        | 2        |  |  |  |  |  |  |
| Number of SLFHs Held               |          |          |          |          |          |          |  |  |  |  |  |  |

|  |           |          |          |          |          |          |  |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|
| <b>Number of Requests in Scheduling*</b> | <b>13</b> | <b>6</b> | <b>4</b> | <b>0</b> | <b>5</b> | <b>4</b> |  |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

|                                    |          |          |          |          |          |          |  |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|
| <b>Number of Requests Pending*</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |  |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|

\* State Level Fair Hearing (SLFH) held but awaiting decision.

|   |          |          |          |          |          |          |  |  |  |  |  |  |
|---|----------|----------|----------|----------|----------|----------|--|--|--|--|--|--|
| <b>Number of Requests Settled</b>       | <b>9</b> | <b>7</b> | <b>8</b> | <b>9</b> | <b>4</b> | <b>7</b> |  |  |  |  |  |  |
| Withdrawn by Person Served/Family       | 1        |          | 1        | 2        | 1        | 2        |  |  |  |  |  |  |
| Settled in Informal                     | 6        | 5        | 4        | 3        | 3        | 3        |  |  |  |  |  |  |
| Settled after further follow-up by RCOC |          |          |          |          |          | 0        |  |  |  |  |  |  |
| Settled in Mediation                    | 1        | 2        | 3        | 3        |          | 2        |  |  |  |  |  |  |
| SLFH Decision                           | 1        |          |          | 1        |          |          |  |  |  |  |  |  |

**State Level Fair Hearing Decisions**

|                         |   |  |  |   |  |  |  |  |  |  |  |  |
|-------------------------|---|--|--|---|--|--|--|--|--|--|--|--|
| <b>Prevailing Party</b> |   |  |  |   |  |  |  |  |  |  |  |  |
| Person Served/Family    |   |  |  |   |  |  |  |  |  |  |  |  |
| RCOC                    | 1 |  |  | 1 |  |  |  |  |  |  |  |  |
| Split                   |   |  |  |   |  |  |  |  |  |  |  |  |

## ADMINISTRATION AND GOVERNANCE

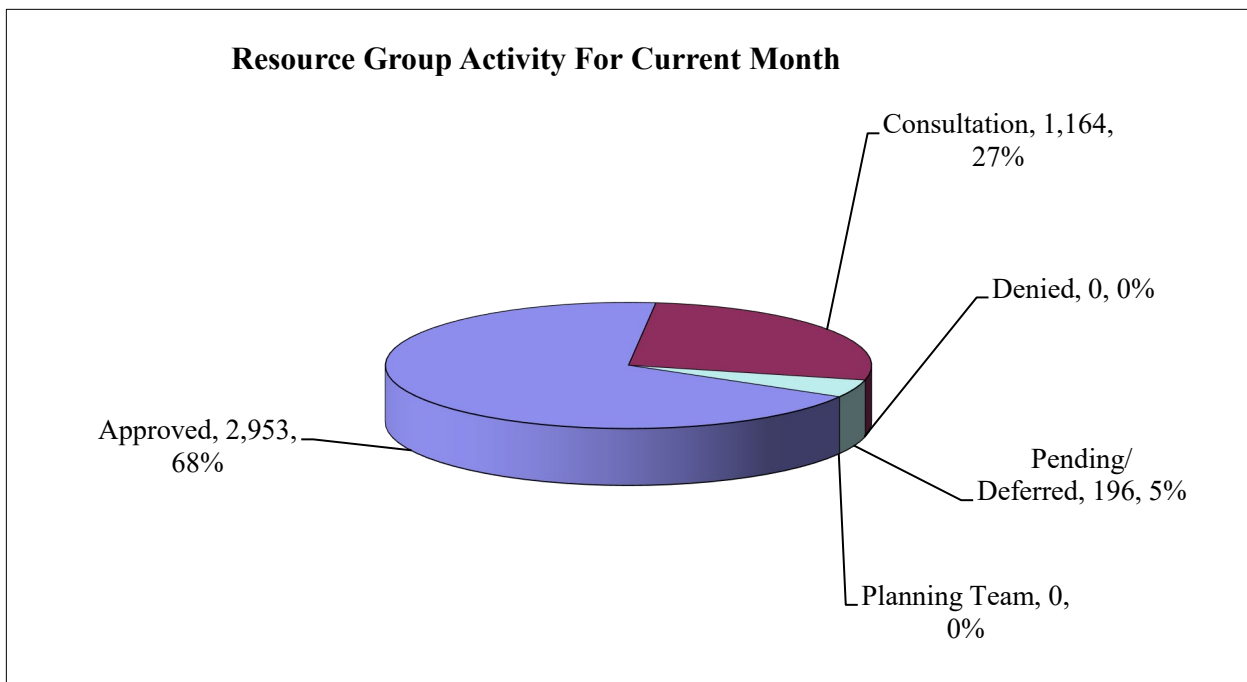
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for December 2023 and Fiscal Year to Date

| Disposition          | Approved     | Consultation | Denied   | Pending/Deferred | Planning Team | Total        |
|----------------------|--------------|--------------|----------|------------------|---------------|--------------|
| Adult Day            | 690          | 374          | 0        | 65               | 0             | 1,129        |
| Behavioral           | 96           | 68           | 0        | 18               | 0             | 182          |
| Education            | 0            | 0            | 0        | 0                | 0             | 0            |
| Eligibility/Health   | 74           | 6            | 0        | 6                | 0             | 86           |
| Early Start          | 555          | 151          | 0        | 30               | 0             | 736          |
| Living Options       | 254          | 180          | 0        | 4                | 0             | 438          |
| Supported/Ind.       | 258          | 163          | 0        | 29               | 0             | 450          |
| All Others           | 1026         | 222          | 0        | 44               | 0             | 1,292        |
| <b>Monthly Total</b> | <b>2,953</b> | <b>1,164</b> | <b>0</b> | <b>196</b>       | <b>0</b>      | <b>4,313</b> |

|                                     |        |        |   |       |   |               |
|-------------------------------------|--------|--------|---|-------|---|---------------|
| <b>FY 2022-23<br/>Total to Date</b> | 31,845 | 13,985 | 0 | 2,063 | 0 | <b>47,893</b> |
|-------------------------------------|--------|--------|---|-------|---|---------------|





## Operations Report Summary - December 2023

| About Persons Served       | Early Start | Medicaid Waiver | All Other  | SDC       | Total         | Under 18   | Over 18    |
|----------------------------|-------------|-----------------|------------|-----------|---------------|------------|------------|
| Number of Persons Served   | 3,527       | 8,760           | 11,693     | 8         | <b>23,988</b> | 12,350     | 13,472     |
| <i>Percentage of Total</i> | <i>15%</i>  | <i>37%</i>      | <i>49%</i> | <i>0%</i> | <i>100%</i>   | <i>51%</i> | <i>56%</i> |

|   |            |
|---|------------|
| <b>Children served in Prevention Resource and Referral Services</b> | <b>458</b> |
|---|------------|

| Persons Served by Residence Status | All           | Under 18      | Over 18       |
|------------------------------------|---------------|---------------|---------------|
| Family Home                        | 21,482        | 12,001        | 9,481         |
| Community Care Facility            | 1,691         | 18            | 1,673         |
| State Developmental Center         | 8             | 0             | 8             |
| Family Home Agency                 | 88            | 1             | 87            |
| Foster Home                        | 314           | 307           | 7             |
| Intermediate Care Facility         | 612           | 2             | 610           |
| Independent Living                 | 938           | 0             | 938           |
| Supported Living                   | 497           | 0             | 497           |
| Skilled Nursing                    | 80            | 0             | 80            |
| Other                              | 112           | 21            | 91            |
| <b>Total</b>                       | <b>25,822</b> | <b>12,350</b> | <b>13,472</b> |

| Special Incident Investigations | Year to Date |
|---------------------------------|--------------|
| AWOL                            | 26           |
| Abuse                           | 75           |
| Neglect                         | 115          |
| Injury                          | 112          |
| Hospitalizations - Total        | 216          |
| Death                           | 83           |
| Victim of crime                 | 5            |
| Arrest                          | 3            |
| Rights                          | 127          |
| <b>Total</b>                    | <b>762</b>   |

### Number of Licensed Facilities

| <i>Community Care Facilities</i>       | Total      | Under 18  | Over 18    |
|--|------------|-----------|------------|
| Level 2                                | 71         | 0         | 71         |
| Level 3                                | 81         | 0         | 81         |
| Level 4                                | 212        | 12        | 200        |
| <b>Total Community Care Facilities</b> | <b>364</b> | <b>12</b> | <b>352</b> |

| Licensed Facility Monitoring | Year to Date |
|------------------------------|--------------|
| Annual Review                | 228          |
| Unannounced                  | 405          |
| Total Number of Reviews      | 633          |
| Provider Trainings           | 0            |
| Technical Support            | 1,552        |
| Corrective Action Plans      | 28           |

### *Intermediate Care Facilities (ICF)*

|                             |            |
|-----------------------------|------------|
| ICF-DD                      | 0          |
| ICF-DD/Habilitation         | 71         |
| ICF-DD/Nursing              | 40         |
| <b>Total ICF Facilities</b> | <b>111</b> |

|                                       |                 |
|---------------------------------------|-----------------|
| <b>Number of Audits</b>               | <b>7</b>        |
| <b>Amount of Recovery from Audits</b> | <b>\$70,618</b> |

|                                  |            |
|----------------------------------|------------|
| <b>Total Licensed Facilities</b> | <b>475</b> |
|----------------------------------|------------|



**Summary of Information About Persons Served - January 2024**

|  |               |             |
|--|---------------|-------------|
| <b>NUMBER OF PERSONS SERVED</b>                              | <b>25,863</b> | <b>100%</b> |
| Children - Birth to Age Three Receiving Early Start Services | 3,473         | 13%         |
| Children - Ages Three to Five Receiving Provisional Services | 460           | 2%          |
| Children - Ages Three to 17 Receiving Lanterman Services     | 8,425         | 33%         |
| Adults - Ages 18 and Older Receiving Lanterman Services      | 13,505        | 52%         |

|  |            |
|--|------------|
| <b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b> | <b>477</b> |
|--|------------|

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

|                         |        |     |
|-------------------------|--------|-----|
| Intellectual Disability | 11,516 | 60% |
| Epilepsy                | 2,868  | 14% |
| Cerebral Palsy          | 2,535  | 13% |
| Autism                  | 10,219 | 44% |
| Fifth Category*         | 1,971  | 9%  |

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

|   |            |
|---|------------|
| <b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>     | <b>515</b> |
| Early Start / Under Age Three / 45 days to complete determination | 306 / 59%  |
| Lanterman / Over Age Three / 120 days to complete determination   | 85 / 17%   |
| Provisional / Up to Age Five / 90 days to complete determination  | 2 / 0%     |

|  |            |
|--|------------|
| <b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>   | <b>153</b> |
| Children - Birth to Age Three Eligible for Early Start Services  | 6          |
| Children and Adults - Ages Three and Older Eligible for Lanterman Services   | 87         |
| <ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>                               | 33         |
| <ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul> | 27         |
| Children - Birth to Age Three Eligible for Prevention Resource and Referral Services   | 0          |

|   |            |
|---|------------|
| <b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b> | <b>153</b> |
| Children - Age Three No Longer Eligible for Early Start Services  | 153        |
| Children - Age Three No Longer Eligible for Prevention Resource and Referral Services                     | 0          |

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### JANUARY 2024 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2023-24

| Type and Number of Reviews     | Jul.       | Aug.       | Sept.     | Oct.       | Nov.      | Dec.      |
|--------------------------------|------------|------------|-----------|------------|-----------|-----------|
| Annual Review                  | 43         | 34         | 33        | 40         | 40        | 38        |
| Unannounced                    | 92         | 70         | 53        | 74         | 55        | 61        |
| <b>Total Number of Reviews</b> | <b>135</b> | <b>104</b> | <b>86</b> | <b>114</b> | <b>95</b> | <b>99</b> |

|                                  |     |     |     |     |     |     |
|----------------------------------|-----|-----|-----|-----|-----|-----|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   | 0   |
| Technical Support                | 325 | 211 | 133 | 326 | 283 | 274 |
| Corrective Action Plans          | 0   | 7   | 0   | 5   | 14  | 2   |
| Special Incident Investigations* | 9   | 3   | 4   | 38  | 41  | 56  |
| COVID-19 Checklist               | 0   | 0   | 0   | 0   | 0   | 0   |

| Type and Number of Reviews     | Jan.      | Feb. | Mar. | Apr. | May | June | Total      |
|--------------------------------|-----------|------|------|------|-----|------|------------|
| Annual Review                  | 38        |      |      |      |     |      | <b>266</b> |
| Unannounced                    | 57        |      |      |      |     |      | <b>462</b> |
| <b>Total Number of Reviews</b> | <b>95</b> |      |      |      |     |      | <b>728</b> |

|                                  |     |  |  |  |  |  |              |
|----------------------------------|-----|--|--|--|--|--|--------------|
| Provider Trainings               | 0   |  |  |  |  |  | <b>0</b>     |
| Technical Support                | 242 |  |  |  |  |  | <b>1,794</b> |
| Corrective Action Plans          | 0   |  |  |  |  |  | <b>28</b>    |
| Special Incident Investigations* | 37  |  |  |  |  |  | <b>188</b>   |

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
  - (B) Reasonably suspected abuse/exploitation including:
    - 1. Physical;
    - 2. Sexual;
    - 3. Fiduciary;
    - 4. Emotional/mental; or
    - 5. Physical and/or chemical restraint.
  - (C) Reasonably suspected neglect including failure to:
    - 1. Provide medical care for physical and mental health needs;
    - 2. Prevent malnutrition or dehydration;
    - 3. Protect from health and safety hazards;
    - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
    - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
  - (D) A serious injury/accident including:
    - 1. Lacerations requiring sutures or staples;
    - 2. Puncture wounds requiring medical treatment beyond first aid;
    - 3. Fractures;
    - 4. Dislocations;
    - 5. Bites that break the skin and require medical treatment beyond first aid;
    - 6. Internal bleeding requiring medical treatment beyond first aid;
    - 7. Any medication errors;
    - 8. Medication reactions that require medical treatment beyond first aid; or
    - 9. Burns that require medical treatment beyond first aid.
  - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
    - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
    - 2. Seizure-related;
    - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
    - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
    - 5. Diabetes, including diabetes-related complications;
    - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
    - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
    - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

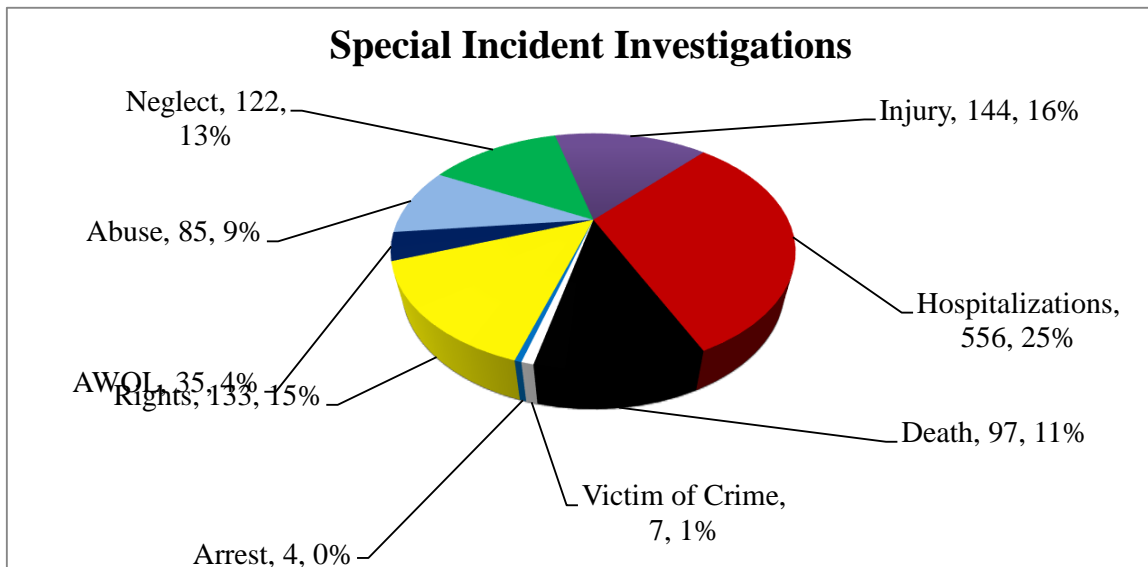
Title 17 does not require reporting on arrest or consumer rights violations; however, RCOG includes arrest and rights violations as reportable incidents.

## Type and Number of Special Incident Investigations

Fiscal Year 2023-24

| Type of Incident         | Jul.       | Aug.       | Sept.      | Oct.       | Nov.       | Dec.       |
|--------------------------|------------|------------|------------|------------|------------|------------|
| AWOL                     | 4          | 9          | 2          | 4          | 3          | 4          |
| Abuse                    | 9          | 16         | 11         | 15         | 12         | 12         |
| Neglect                  | 44         | 16         | 17         | 17         | 15         | 6          |
| Injury                   | 16         | 25         | 15         | 21         | 15         | 20         |
| Hospitalizations - Total | 28         | 29         | 27         | 44         | 46         | 42         |
| <i>Psychiatric</i>       | 7          | 3          | 2          | 8          | 6          | 9          |
| <i>Medical</i>           | 21         | 26         | 25         | 36         | 35         | 33         |
| Death                    | 17         | 11         | 16         | 12         | 14         | 13         |
| Victim of crime          | 1          | 1          | 0          | 0          | 0          | 3          |
| Arrest                   | 1          | 0          | 0          | 0          | 0          | 2          |
| Rights                   | 69         | 7          | 31         | 8          | 6          | 6          |
| <b>Total</b>             | <b>189</b> | <b>114</b> | <b>119</b> | <b>121</b> | <b>111</b> | <b>108</b> |

| Type of Incident         | Jan.       | Feb.     | Mar.     | Apr.     | May      | June     | Total      |
|--------------------------|------------|----------|----------|----------|----------|----------|------------|
| AWOL                     | 9          |          |          |          |          |          | 35         |
| Abuse                    | 10         |          |          |          |          |          | 85         |
| Neglect                  | 7          |          |          |          |          |          | 122        |
| Injury                   | 32         |          |          |          |          |          | 144        |
| Hospitalizations - Total | 68         |          |          |          |          |          | 284        |
| <i>Psychiatric</i>       | 19         |          |          |          |          |          | 54         |
| <i>Medical</i>           | 49         |          |          |          |          |          | 225        |
| Death                    | 14         |          |          |          |          |          | 97         |
| Victim of Crime          | 2          |          |          |          |          |          | 7          |
| Arrest                   | 1          |          |          |          |          |          | 4          |
| Rights                   | 6          |          |          |          |          |          | 133        |
| <b>Total</b>             | <b>149</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>911</b> |



**COMMUNITY LIFE continued**

**Provider Audits**  
*Fiscal Year 2023-24*

*Number of Audits / Appeals / Recoveries*

| Type of Audit                 | Jul.     | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|-------------------------------|----------|----------|----------|----------|----------|----------|
| Service Billing               | 0        | 1        | 0        | 0        | 0        | 0        |
| Staffing                      | 0        | 3        | 0        | 0        | 0        | 0        |
| Level 4I Consultant           | 0        | 1        | 0        | 0        | 2        | 0        |
| P&I (consumer funds)          | 0        | 2        | 0        | 0        | 0        | 0        |
| <b>Total Number of Audits</b> | <b>0</b> | <b>7</b> | <b>0</b> | <b>0</b> | <b>2</b> | <b>0</b> |

*Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)*

|              |  |   |  |  |   |  |
|--------------|--|---|--|--|---|--|
| State Appeal |  | 0 |  |  | 0 |  |
| Recovery     |  | 4 |  |  | 2 |  |

*Audit Findings (Dollar Amount)*

|                    |        |             |        |        |            |        |
|--------------------|--------|-------------|--------|--------|------------|--------|
| Amount of Recovery | \$0.00 | \$62,604.00 | \$0.00 | \$0.00 | \$8,013.81 | \$0.00 |
|--------------------|--------|-------------|--------|--------|------------|--------|

| Type of Audit                 | Jan.     | Feb. | Mar. | Apr. | May | June | Total    |
|-------------------------------|----------|------|------|------|-----|------|----------|
| Service Billing               | 0        |      |      |      |     |      | <b>1</b> |
| Staffing                      | 0        |      |      |      |     |      | <b>3</b> |
| Level 4I Consultant           | 0        |      |      |      |     |      | <b>1</b> |
| P&I (consumer funds)          | 0        |      |      |      |     |      | <b>2</b> |
| <b>Total Number of Audits</b> | <b>0</b> |      |      |      |     |      | <b>7</b> |

*Number of Appeals / Recoveries*

|              |  |  |  |  |  |  |          |
|--------------|--|--|--|--|--|--|----------|
| State Appeal |  |  |  |  |  |  | <b>0</b> |
| Recovery     |  |  |  |  |  |  | <b>4</b> |

*Audit Findings (Dollar Amount)*

|                    |        |        |        |        |        |        |                    |
|--------------------|--------|--------|--------|--------|--------|--------|--------------------|
| Amount of Recovery | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | <b>\$70,617.81</b> |
|--------------------|--------|--------|--------|--------|--------|--------|--------------------|

## FAMILY SUPPORTS

### Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### *Fiscal Year 2023-24*

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jul.         | Aug.         | Sept.        | Oct.         | Nov.         | Dec.         |
|---|--------------|--------------|--------------|--------------|--------------|--------------|
| Day Care - Family Member                      | 81           | 84           | 78           | 81           | 78           | 77           |
| Diapers - Family Member                       | 7            | 3            | 3            | 4            | 2            | 2            |
| Nursing Service - Family Member               | 47           | 51           | 52           | 51           | 49           | 48           |
| Respite Service - Family Member               | 665          | 656          | 661          | 666          | 675          | 676          |
| Transportation - Family Member                | 202          | 208          | 210          | 224          | 234          | 236          |
| <b>Total Number of Voucher Authorizations</b> | <b>1,002</b> | <b>1,002</b> | <b>1,004</b> | <b>1,026</b> | <b>1,038</b> | <b>1,039</b> |

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jan.         | Feb.     | Mar.     | Apr.     | May      | June     |
|---|--------------|----------|----------|----------|----------|----------|
| Day Care - Family Member                      | 76           |          |          |          |          |          |
| Diapers - Family Member                       | 3            |          |          |          |          |          |
| Nursing Service - Family Member               | 46           |          |          |          |          |          |
| Respite Service - Family Member               | 674          |          |          |          |          |          |
| Transportation - Family Member                | 240          |          |          |          |          |          |
| <b>Total Number of Voucher Authorizations</b> | <b>1,039</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2023-24*

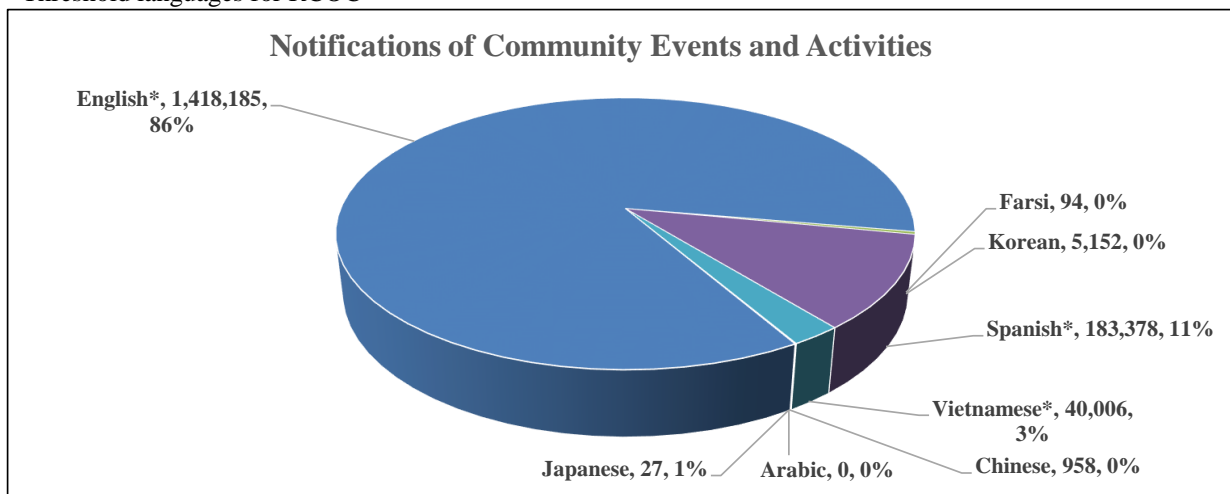
#### Number of Notifications

| Language                             | Jul.           | Aug.           | Sept.          | Oct.           | Nov.           | Dec.           |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| English*                             | 153,968        | 221,278        | 263,897        | 307,942        | 166,093        | 118,852        |
| Farsi                                |                |                |                |                |                | 0              |
| Korean                               | 555            | 200            | 1,839          | 82             | 932            | 1,075          |
| Spanish*                             | 8,000          | 39,791         | 36,187         | 31,942         | 23,200         | 11,763         |
| Vietnamese*                          | 9,234          | 2,792          | 12,351         | 3,666          | 2,551          | 4,532          |
| Chinese                              |                |                | 376            | 582            |                | 0              |
| Japanese                             |                |                | 27             |                |                |                |
| Arabic                               |                |                |                |                |                |                |
| <b>Total Number of Notifications</b> | <b>171,757</b> | <b>264,061</b> | <b>314,677</b> | <b>344,214</b> | <b>192,776</b> | <b>136,222</b> |

#### Number of Notifications

| Language                             | Jan.           | Feb.     | Mar.     | Apr.     | May      | June     | Total            |
|--------------------------------------|----------------|----------|----------|----------|----------|----------|------------------|
| English*                             | 186,155        |          |          |          |          |          | 1,418,185        |
| Farsi                                | 94             |          |          |          |          |          | 94               |
| Korean                               | 469            |          |          |          |          |          | 5,152            |
| Spanish*                             | 32,495         |          |          |          |          |          | 183,378          |
| Vietnamese*                          | 4,880          |          |          |          |          |          | 40,006           |
| Chinese                              | 0              |          |          |          |          |          | 958              |
| Japanese                             | 0              |          |          |          |          |          | 27               |
| Arabic                               |                |          |          |          |          |          | 0                |
| <b>Total Number of Notifications</b> | <b>224,093</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>1,647,800</b> |

\* Threshold languages for RCOG



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2023-24*

#### Number of Outreach Events

| Type of Outreach / Language            | Jul.      | Aug.      | Sept.     | Oct.       | Nov.      | Dec.      |
|--|-----------|-----------|-----------|------------|-----------|-----------|
| <b>In Person/Zoom</b>                  |           |           |           |            |           |           |
| English                                | 13        | 7         | 7         | 12         | 12        | 10        |
| Spanish                                | 1         | 3         | 3         | 3          | 1         |           |
| Vietnamese                             |           | 3         | 2         |            |           |           |
| Other Languages                        |           |           | 1         | 1 (Korean) |           |           |
| <b>In Print</b>                        |           |           |           |            |           |           |
| English                                |           | 2         |           | 1          | 1         | 1         |
| Spanish                                |           | 1         |           |            |           |           |
| Vietnamese                             |           | 1         |           |            |           |           |
| Other Languages                        |           |           |           |            |           |           |
| <b>TV / Radio</b>                      |           |           |           |            |           |           |
| English                                | 2         |           |           |            |           |           |
| Spanish                                |           |           |           |            |           |           |
| Vietnamese                             | 5         | 4         | 4         | 5          | 5         | 5         |
| Other Languages                        |           |           |           |            |           |           |
| <b>Total Number of Outreach Events</b> | <b>21</b> | <b>21</b> | <b>17</b> | <b>22</b>  | <b>19</b> | <b>16</b> |

#### Number of Outreach Events

| Language                               | Jan.      | Feb.     | Mar.     | Apr.*    | May*     | June*    | Total      |
|--|-----------|----------|----------|----------|----------|----------|------------|
| <b>In Person</b>                       |           |          |          |          |          |          |            |
| English                                | 6         |          |          |          |          |          | <b>67</b>  |
| Spanish                                | 2         |          |          |          |          |          | <b>13</b>  |
| Vietnamese                             |           |          |          |          |          |          | <b>5</b>   |
| Other Languages                        |           |          |          |          |          |          | <b>2</b>   |
| <b>In Print</b>                        |           |          |          |          |          |          |            |
| English                                | 1         |          |          |          |          |          | <b>6</b>   |
| Spanish                                |           |          |          |          |          |          | <b>1</b>   |
| Vietnamese                             |           |          |          |          |          |          | <b>1</b>   |
| Other Languages                        |           |          |          |          |          |          | <b>0</b>   |
| <b>TV / Radio</b>                      |           |          |          |          |          |          |            |
| English                                |           |          |          |          |          |          | <b>2</b>   |
| Spanish                                |           |          |          |          |          |          | <b>0</b>   |
| Vietnamese                             | 4         |          |          |          |          |          | <b>32</b>  |
| Other Languages                        |           |          |          |          |          |          | <b>0</b>   |
| <b>Total Number of Outreach Events</b> | <b>13</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>129</b> |

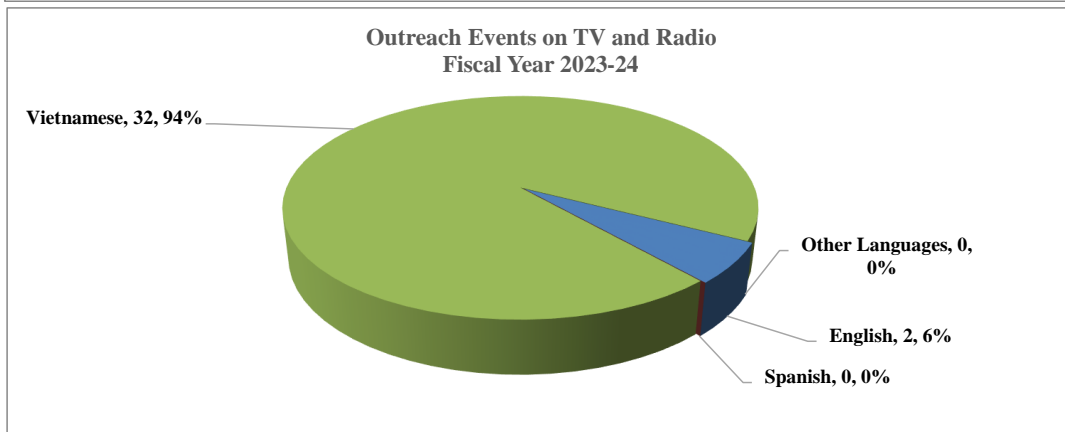
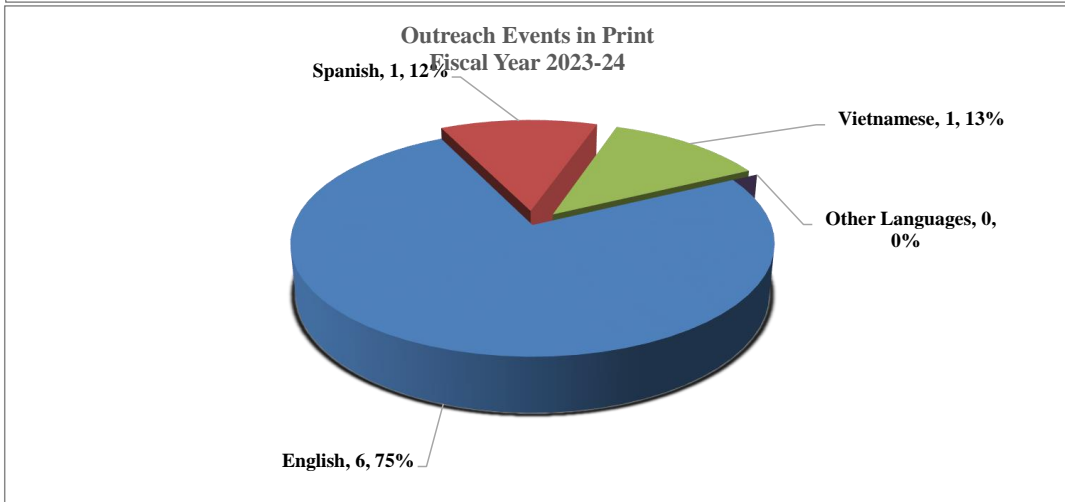
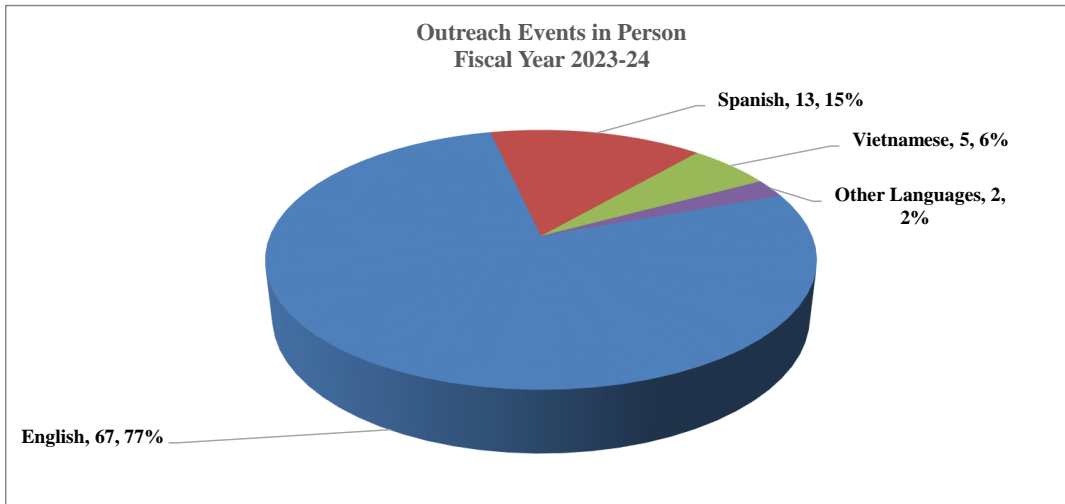
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2023-24



## EARLY INTERVENTION / PREVENTION

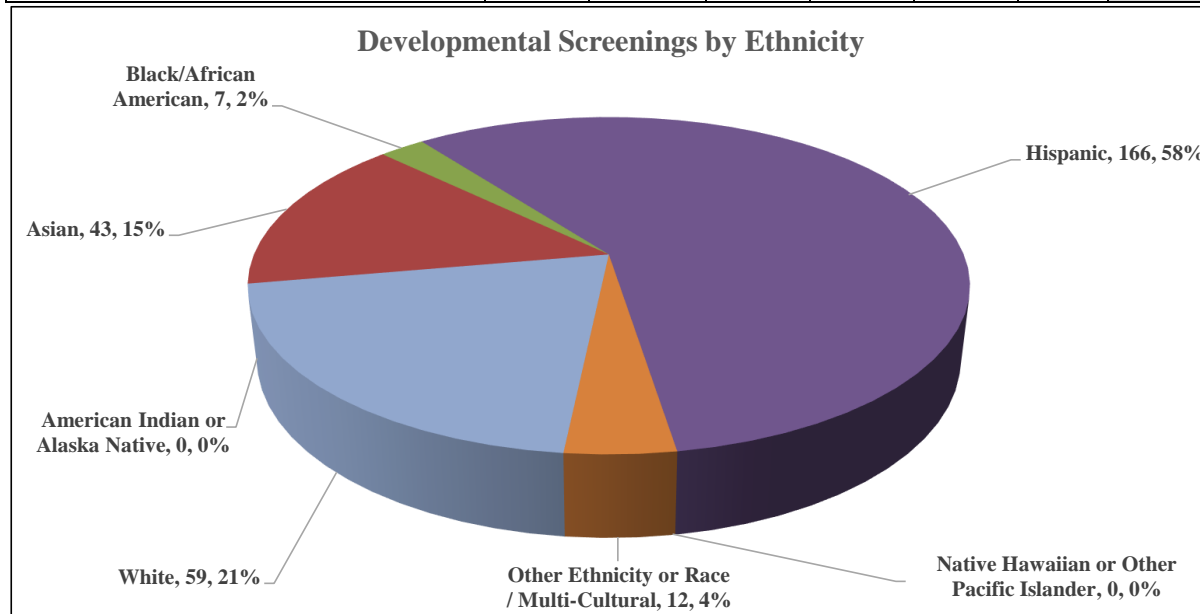
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2023-24

| Developmental Screenings by Ethnicity     | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| American Indian or Alaska Native          | 0         | 0         | 0         | 0         | 0         | 0         |
| Asian                                     | 1         | 0         | 7         | 16        | 11        | 0         |
| Black/African American                    | 0         | 0         | 3         | 0         | 1         | 1         |
| Hispanic                                  | 13        | 17        | 54        | 7         | 36        | 12        |
| Native Hawaiian or Other Pacific Islander | 0         | 0         | 0         | 0         | 0         | 0         |
| Other Ethnicity or Race / Multi-Cultural  | 2         | 2         | 3         | 0         | 2         | 1         |
| White                                     | 3         | 3         | 14        | 0         | 14        | 5         |
| <b>Total Number Screened</b>              | <b>19</b> | <b>22</b> | <b>81</b> | <b>23</b> | <b>64</b> | <b>19</b> |
| <b>Total Number Referred to RCOC</b>      | <b>7</b>  | <b>9</b>  | <b>24</b> | <b>7</b>  | <b>25</b> | <b>6</b>  |

| Developmental Screenings by Ethnicity     | Jan.      | Feb.     | Mar.     | Apr.     | May      | June     | Total      |
|---|-----------|----------|----------|----------|----------|----------|------------|
| American Indian or Alaska Native          | 0         |          |          |          |          |          | 0          |
| Asian                                     | 8         |          |          |          |          |          | 43         |
| Black/African American                    | 2         |          |          |          |          |          | 7          |
| Hispanic                                  | 27        |          |          |          |          |          | 166        |
| Native Hawaiian or Other Pacific Islander | 0         |          |          |          |          |          | 0          |
| Other Ethnicity or Race / Multi-Cultural  | 2         |          |          |          |          |          | 12         |
| White                                     | 20        |          |          |          |          |          | 59         |
| <b>Total Number Screened</b>              | <b>59</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>287</b> |
| <b>Total Number Referred to RCOC</b>      | <b>11</b> |          |          |          |          |          | <b>89</b>  |

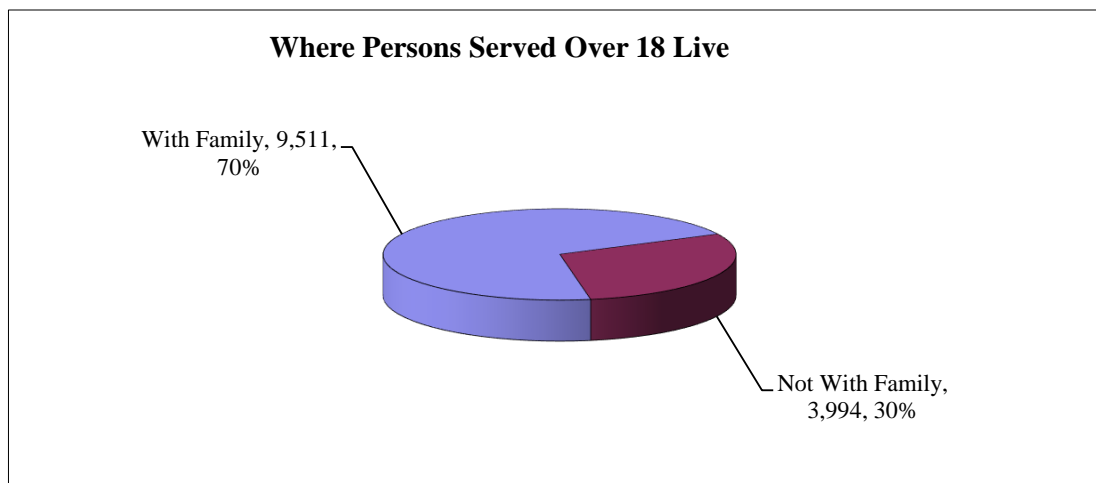
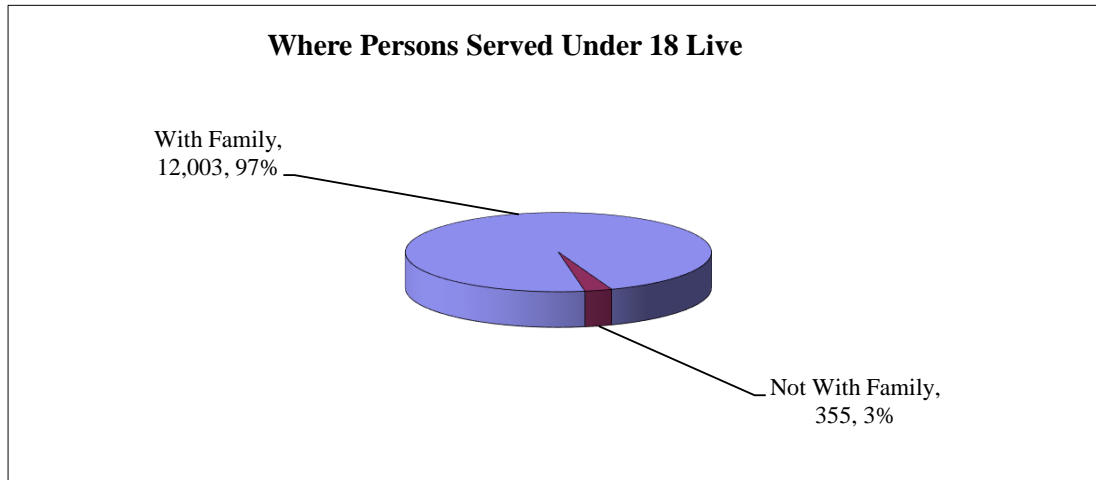


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

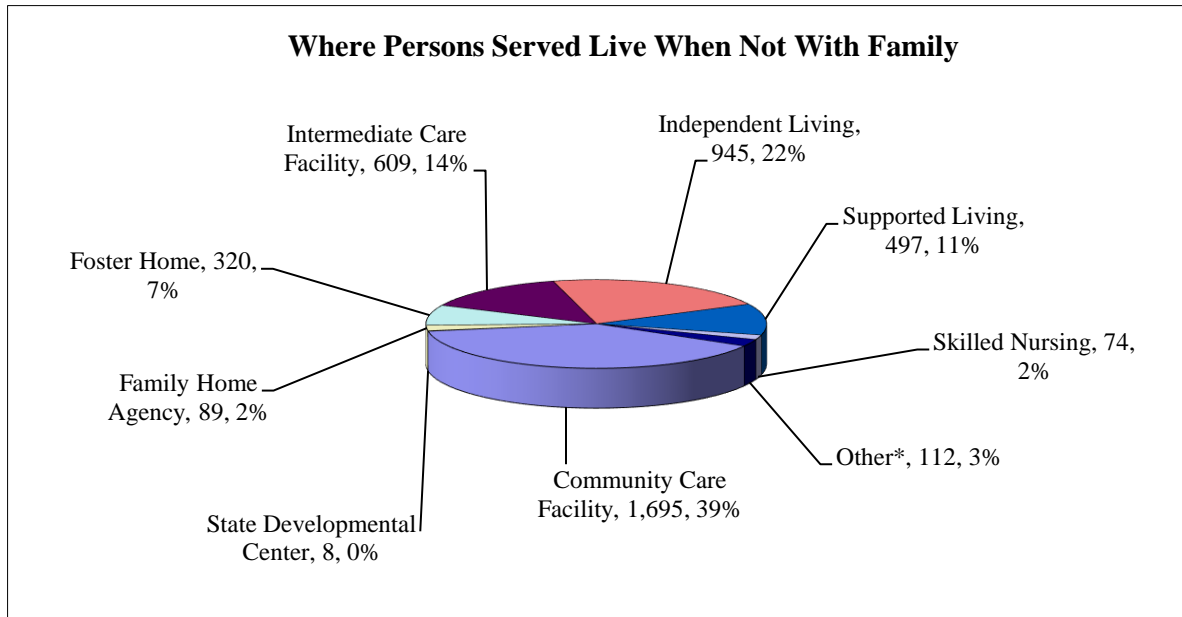
| Where Persons Served Live | Persons Served<br>All | Persons Served<br>Under 18 | Persons Served<br>Over 18 |
|---------------------------|-----------------------|----------------------------|---------------------------|
| With Family               | 21,514                | 12,003                     | 9,511                     |
| Not With Family           | 4,349                 | 355                        | 3,994                     |
| <b>Totals</b>             | <b>25,863</b>         | <b>12,358</b>              | <b>13,505</b>             |



**LIVING OPTIONS, continued**

| <b>Where Persons Served Live</b> | <b>All Persons Served</b> | <b>Persons Served Under 18</b> | <b>Persons Served Over 18</b> |
|----------------------------------|---------------------------|--------------------------------|-------------------------------|
| Family Home                      | 21,514                    | 12,003                         | 9,511                         |
| Community Care Facility          | 1,695                     | 18                             | 1,677                         |
| State Developmental Center       | 8                         | 0                              | 8                             |
| Family Home Agency               | 89                        | 1                              | 88                            |
| Foster Home                      | 320                       | 313                            | 7                             |
| Intermediate Care Facility       | 609                       | 2                              | 607                           |
| Independent Living               | 945                       | 0                              | 945                           |
| Supported Living                 | 497                       | 0                              | 497                           |
| Skilled Nursing                  | 74                        | 0                              | 74                            |
| Other*                           | 112                       | 21                             | 91                            |
| <b>Total</b>                     | <b>25,863</b>             | <b>12,358</b>                  | <b>13,505</b>                 |

| <b>Other*</b>              |            |           |           |
|----------------------------|------------|-----------|-----------|
| Acute General Hospital     | 6          | 0         | 6         |
| California Youth Authority | 1          | 0         | 1         |
| Community Treatment        | 3          | 1         | 2         |
| Correctional Institution   | 1          | 0         | 1         |
| County Jail                | 4          | 1         | 3         |
| Other                      | 0          | 0         | 0         |
| Out of State               | 2          | 2         | 0         |
| Psychiatric Treatment      | 18         | 2         | 16        |
| Rehabilitation Center      | 9          | 2         | 7         |
| SDC / State Hospital       | 8          | 0         | 8         |
| Sub-Acute                  | 39         | 10        | 29        |
| Transient / Homeless       | 12         | 2         | 10        |
| <b>Total, Other*</b>       | <b>103</b> | <b>20</b> | <b>83</b> |



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

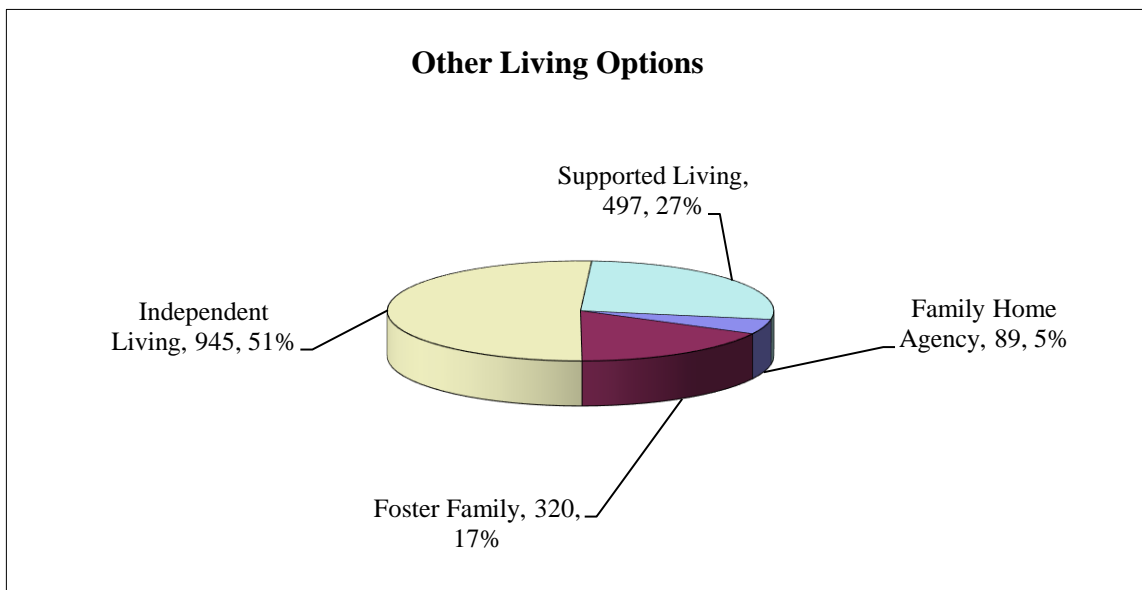
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

| <b>Other Living Options</b> | <b>Total</b> | <b>Under 18</b> | <b>Over 18</b> |
|-----------------------------|--------------|-----------------|----------------|
| Family Home Agency          | 89           | 1               | 88             |
| Foster Family               | 320          | 313             | 7              |
| Independent Living          | 945          | 0               | 945            |
| Supported Living            | 497          | 0               | 497            |
| <b>Total</b>                | <b>1,851</b> | <b>314</b>      | <b>1,537</b>   |



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.



**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOG**  
*Fiscal Year 2023-24*

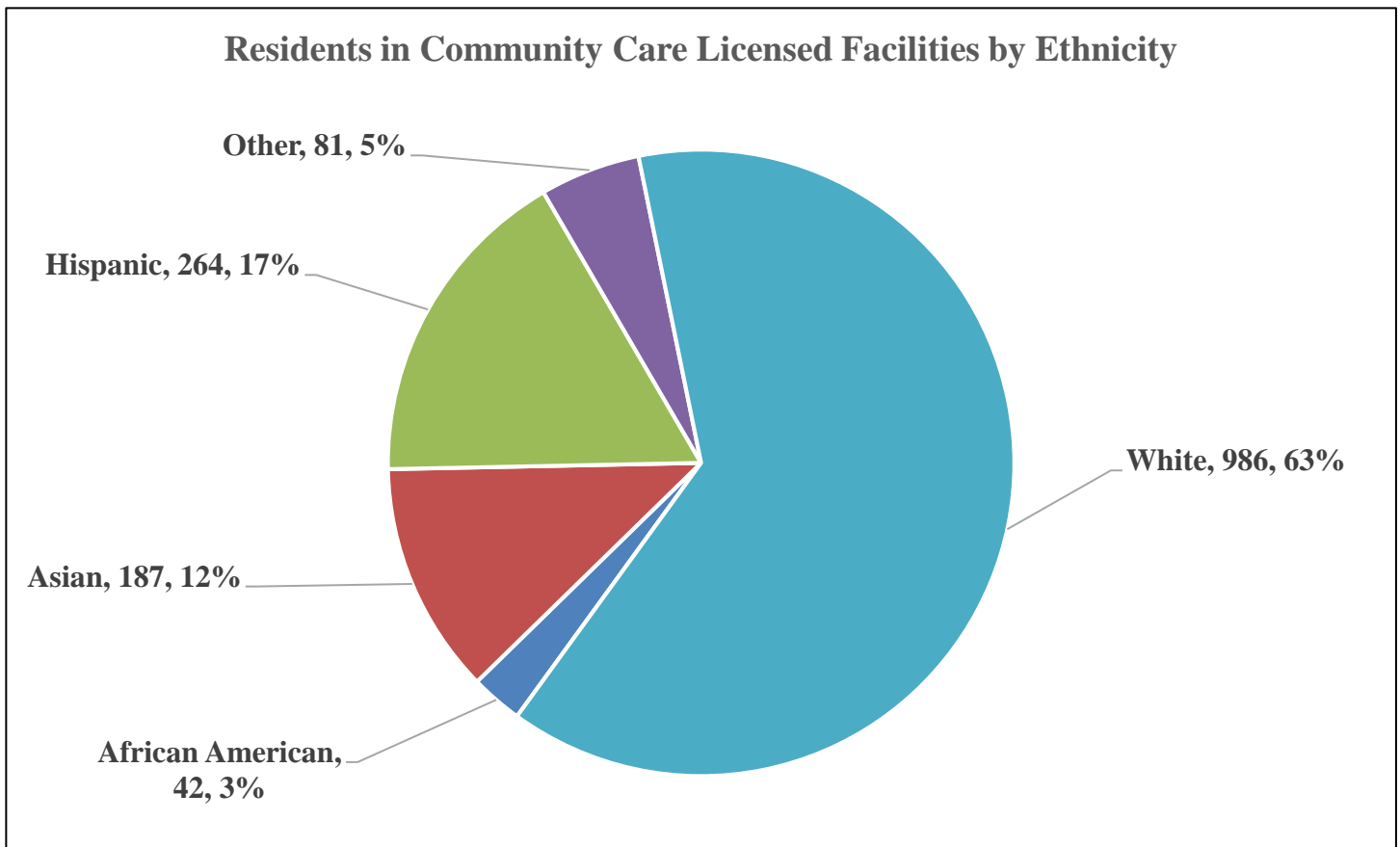
| <b>Licensed Facilities</b> | <b>Total</b> | <b>Over 18</b> | <b>Under 18</b> |
|----------------------------|--------------|----------------|-----------------|
| Level 2                    | 168          | 168            | 0               |
| Level 3                    | 256          | 256            | 0               |
| Level 4A                   | 33           | 33             | 0               |
| Level 4B                   | 4            | 4              | 0               |
| Level 4C                   | 46           | 46             | 0               |
| Level 4D                   | 34           | 34             | 0               |
| Level 4E                   | 14           | 14             | 0               |
| Level 4F                   | 56           | 56             | 0               |
| Level 4G                   | 32           | 32             | 0               |
| Level 4H                   | 1            | 1              | 0               |
| Level 4I                   | 335          | 335            | 0               |
| Elderly                    | 5            | 5              | 0               |
| ICF/DD-H                   | 5            | 5              | 0               |
| ICF/DD-N                   | 9            | 9              | 0               |
| ICF/DD                     | 0            | 0              | 0               |
| Skilled Nursing            | 0            | 0              | 0               |
| <b>Total</b>               | <b>998</b>   | <b>998</b>     | <b>0</b>        |

| <b>Licensed Facilities Summary</b> | <b>Total</b> | <b>Over 18</b> | <b>Under 18</b> |
|------------------------------------|--------------|----------------|-----------------|
| Level 2                            | 168          | 168            | 0               |
| Level 3                            | 256          | 256            | 0               |
| Level 4                            | 555          | 555            | 0               |
| ICF/DD-H                           | 5            | 5              | 0               |
| ICF/DD-N                           | 9            | 9              | 0               |
| Elderly                            | 5            | 5              | 0               |
| Skilled Nursing                    | 0            | 0              | 0               |
| <b>Total</b>                       | <b>998</b>   | <b>998</b>     | <b>0</b>        |

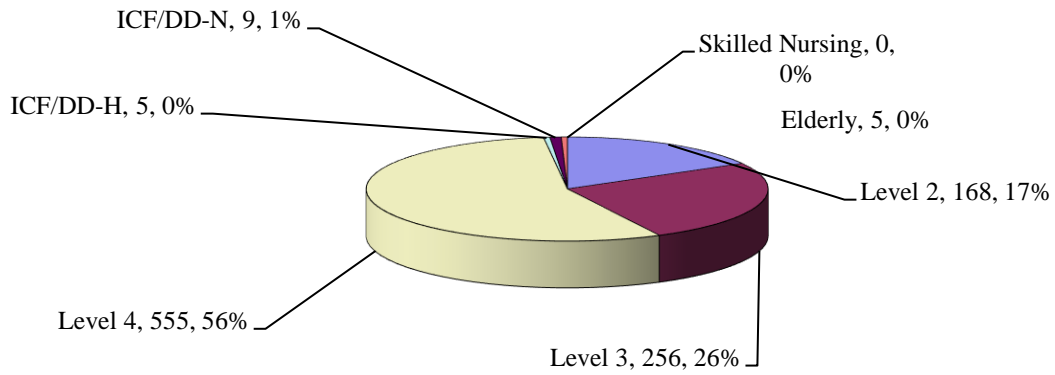
**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
*Fiscal Year 2023-24*

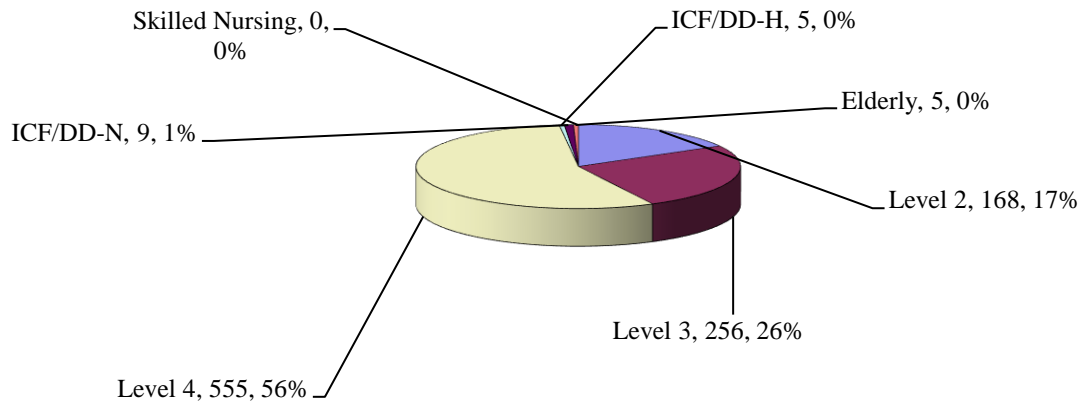
| <b>Licensed Facilities</b> | <b>African American</b> | <b>Asian</b> | <b>Hispanic</b> | <b>Other</b> | <b>White</b> | <b>Total</b> |
|----------------------------|-------------------------|--------------|-----------------|--------------|--------------|--------------|
| Level 2                    | 7                       | 24           | 55              | 13           | 206          | 305          |
| Level 3                    | 13                      | 35           | 68              | 20           | 222          | 358          |
| Level 4A                   | 0                       | 5            | 7               | 1            | 23           | 36           |
| Level 4B                   | 0                       | 1            | 0               | 0            | 6            | 7            |
| Level 4C                   | 5                       | 14           | 10              | 2            | 57           | 88           |
| Level 4D                   | 0                       | 10           | 5               | 1            | 27           | 43           |
| Level 4E                   | 0                       | 5            | 8               | 2            | 15           | 30           |
| Level 4F                   | 3                       | 12           | 8               | 2            | 39           | 64           |
| Level 4G                   | 0                       | 8            | 3               | 3            | 44           | 58           |
| Level 4H                   | 0                       | 0            | 2               | 0            | 1            | 3            |
| Level 4I                   | 14                      | 73           | 98              | 37           | 346          | 568          |
| <b>Total</b>               | <b>42</b>               | <b>187</b>   | <b>264</b>      | <b>81</b>    | <b>986</b>   | <b>1,560</b> |



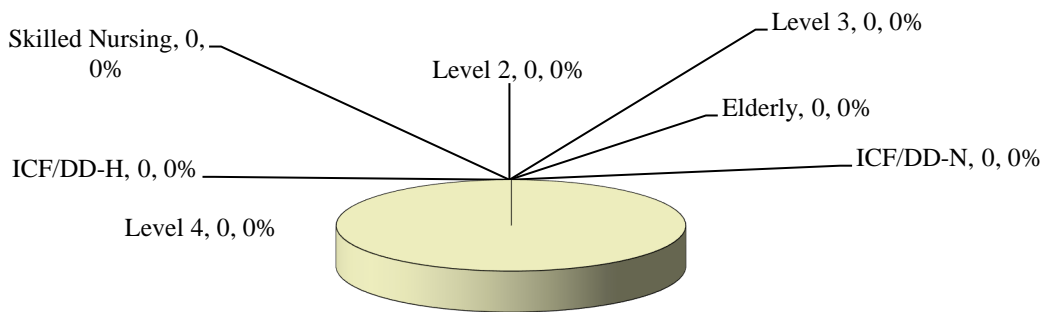
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

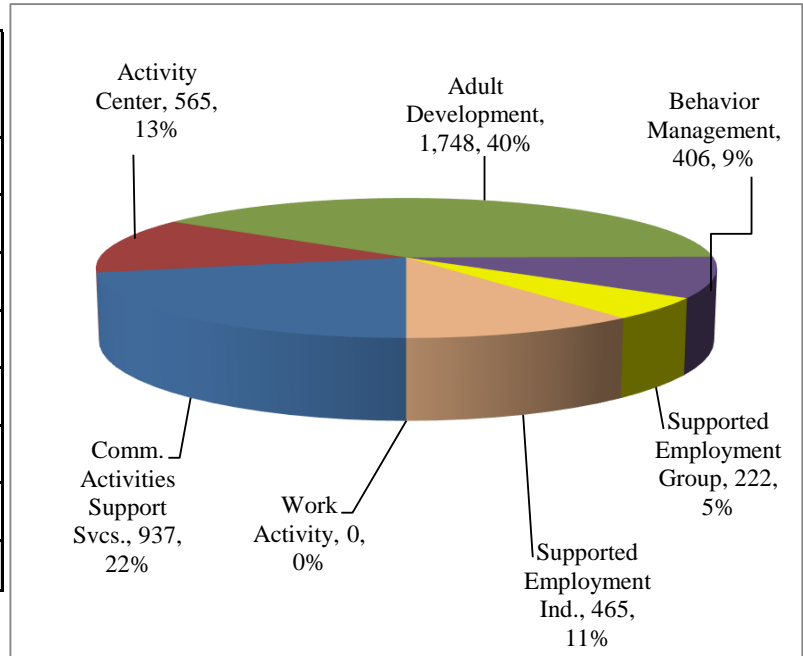


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

| Adult Day & Employment Services | Persons Served Over 18 |
|---------------------------------|------------------------|
| Comm. Activities Support Svcs.  | 937                    |
| Activity Center                 | 565                    |
| Adult Development               | 1,748                  |
| Behavior Management             | 406                    |
| Supported Employment Group      | 222                    |
| Supported Employment Ind.       | 465                    |
| Work Activity                   | 0                      |
| <b>Total</b>                    | <b>4,343</b>           |



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### *Fiscal Year 2023-24*

| <b>Service Coordination:</b>                   | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|--|-------------|-------------|--------------|-------------|-------------|-------------|
| Number of Service Coordinators (SC)            | 322.0       | 359.4       | 355.8        | 364.5       | 370.8       | 368.8       |
| Number of Case-Carrying SCs                    | 296.4       | 328.8       | 325.3        | 335.0       | 342.3       | 339.6       |
| Number of Intake SCs                           | 25.7        | 30.7        | 30.7         | 29.7        | 28.7        | 29.3        |
| Number of Active Persons Served                | 23,980      | 25,484      | 25,600       | 25,688      | 25,734      | 25,798      |
| Caseload Ratio, # of Active Persons Served/SCs | 80.9        | 77.5        | 78.7         | 76.7        | 75.2        | 76.0        |

| <b>Service Coordination:</b>                   | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> |
|--|-------------|-------------|-------------|-------------|------------|-------------|
| Number of Service Coordinators (SC)            | 381.4       |             |             |             |            |             |
| Number of Case-Carrying SCs                    | 349.2       |             |             |             |            |             |
| Number of Intake SCs                           | 32.3        |             |             |             |            |             |
| Number of Active Persons Served                | 25,826      |             |             |             |            |             |
| Caseload Ratio, # of Active Persons Served/SCs | 74.0        |             |             |             |            |             |

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2023-24**

|  | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      | Jan.      | Feb. | Mar. | Apr. | May | June |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|------|-----|------|
| <b>Number of Unsettled Hearing Requests*</b> | <b>26</b> | <b>22</b> | <b>20</b> | <b>17</b> | <b>15</b> | <b>15</b> | <b>17</b> |      |      |      |     |      |
| Eligibility - Lanterman                      | 10        | 6         | 6         | 6         | 7         | 8         | 11        |      |      |      |     |      |
| Behavioral services                          | 1         |           |           |           |           | 1         | 1         |      |      |      |     |      |
| Respite                                      | 1         |           | 1         | 1         | 2         |           |           |      |      |      |     |      |
| Day Care                                     |           |           |           |           |           |           |           |      |      |      |     |      |
| Self Determination Budget                    | 2         | 3         | 4         | 4         | 2         | 1         |           |      |      |      |     |      |
| Personal Assistance                          |           |           |           |           |           | 1         | 3         |      |      |      |     |      |
| Other**                                      | 6         | 3         | 3         | 3         | 2         | 1         | 1         |      |      |      |     |      |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|  |           |          |          |          |          |          |           |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|-----------|--|--|--|--|--|
| <b>Number of New Hearing Requests Filed*</b> | <b>13</b> | <b>7</b> | <b>4</b> | <b>5</b> | <b>6</b> | <b>7</b> | <b>11</b> |  |  |  |  |  |
| Eligibility - Lanterman                      | 6         | 4        | 2        | 4        | 3        | 3        | 6         |  |  |  |  |  |
| Eligibility - Early Start                    |           |          |          |          |          |          |           |  |  |  |  |  |
| Behavioral services                          | 1         |          |          |          |          |          | 1         |  |  |  |  |  |
| Respite                                      |           |          |          |          | 2        |          |           |  |  |  |  |  |
| Day Care                                     |           |          |          |          |          |          |           |  |  |  |  |  |
| Social/Recreational                          |           |          |          |          |          |          | 1         |  |  |  |  |  |
| Social Skills Training                       |           |          |          |          |          |          |           |  |  |  |  |  |
| SDP  | 2         | 1        | 1        |          |          |          |           |  |  |  |  |  |
| Personal Assistance                          |           |          |          |          |          | 1        | 2         |  |  |  |  |  |
| Other**                                      | 4         | 2        | 1        | 1        | 1        | 1        |           |  |  |  |  |  |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                    |          |          |          |          |          |          |          |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
| <b>Number of All Meetings Held</b> | <b>4</b> | <b>9</b> | <b>8</b> | <b>8</b> | <b>6</b> | <b>4</b> | <b>7</b> |  |  |  |  |  |
| Number of Informal Meetings Held   | 4        | 6        | 5        | 4        | 5        | 2        | 6        |  |  |  |  |  |
| Number of Mediations Held          |          | 3        | 3        | 4        | 1        | 2        | 1        |  |  |  |  |  |
| Number of SLFHs Held               |          |          |          |          |          |          |          |  |  |  |  |  |

|  |           |          |          |          |          |          |          |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
| <b>Number of Requests in Scheduling*</b> | <b>13</b> | <b>6</b> | <b>4</b> | <b>0</b> | <b>5</b> | <b>4</b> | <b>3</b> |  |  |  |  |  |
|--|-----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

|                                    |          |          |          |          |          |          |          |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
| <b>Number of Requests Pending*</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |  |  |  |  |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|

\* State Level Fair Hearing (SLFH) held but awaiting decision.

|   |          |          |          |          |          |          |          |  |  |  |  |  |
|---|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
| <b>Number of Requests Settled</b>       | <b>9</b> | <b>7</b> | <b>8</b> | <b>9</b> | <b>4</b> | <b>7</b> | <b>7</b> |  |  |  |  |  |
| Withdrawn by Person Served/Family       | 1        |          | 1        | 2        | 1        | 2        | 4        |  |  |  |  |  |
| Settled in Informal                     | 6        | 5        | 4        | 3        | 3        | 3        | 3        |  |  |  |  |  |
| Settled after further follow-up by RCOC |          |          |          |          |          |          |          |  |  |  |  |  |
| Settled in Mediation                    | 1        | 2        | 3        | 3        |          | 2        |          |  |  |  |  |  |
| SLFH Decision                           | 1        |          |          | 1        |          |          |          |  |  |  |  |  |

**State Level Fair Hearing Decisions**

|                         |   |  |  |   |  |  |  |  |  |  |  |  |
|-------------------------|---|--|--|---|--|--|--|--|--|--|--|--|
| <b>Prevailing Party</b> |   |  |  |   |  |  |  |  |  |  |  |  |
| Person Served/Family    |   |  |  |   |  |  |  |  |  |  |  |  |
| RCOC                    | 1 |  |  | 1 |  |  |  |  |  |  |  |  |
| Split                   |   |  |  |   |  |  |  |  |  |  |  |  |

## ADMINISTRATION AND GOVERNANCE

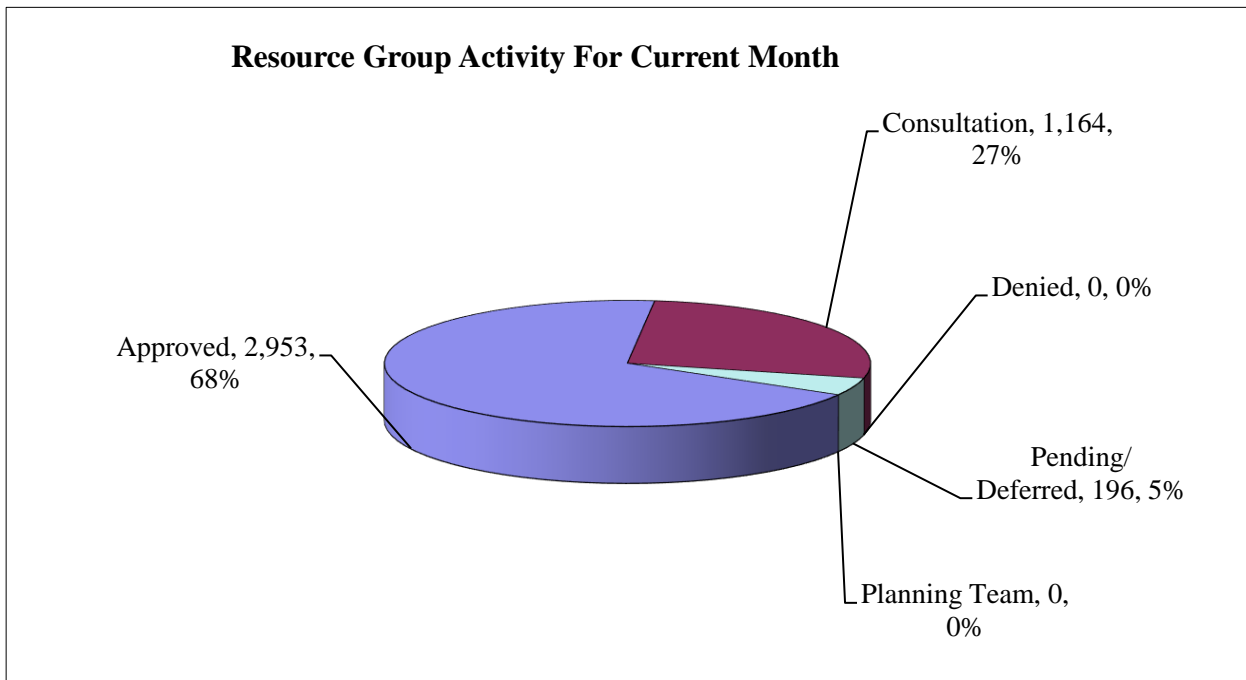
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for January 2024 and Fiscal Year to Date

| Disposition          | Approved     | Consultation | Denied   | Pending/Deferred | Planning Team | Total        |
|----------------------|--------------|--------------|----------|------------------|---------------|--------------|
| Adult Day            | 690          | 374          | 0        | 65               | 0             | 1,129        |
| Behavioral           | 96           | 68           | 0        | 18               | 0             | 182          |
| Education            | 0            | 0            | 0        | 0                | 0             | 0            |
| Eligibility/Health   | 74           | 6            | 0        | 6                | 0             | 86           |
| Early Start          | 555          | 151          | 0        | 30               | 0             | 736          |
| Living Options       | 254          | 180          | 0        | 4                | 0             | 438          |
| Supported/Ind.       | 258          | 163          | 0        | 29               | 0             | 450          |
| All Others           | 1026         | 222          | 0        | 44               | 0             | 1,292        |
| <b>Monthly Total</b> | <b>2,953</b> | <b>1,164</b> | <b>0</b> | <b>196</b>       | <b>0</b>      | <b>4,313</b> |

|                                     |        |        |   |       |   |               |
|-------------------------------------|--------|--------|---|-------|---|---------------|
| <b>FY 2022-23<br/>Total to Date</b> | 31,845 | 13,985 | 0 | 2,063 | 0 | <b>47,893</b> |
|-------------------------------------|--------|--------|---|-------|---|---------------|



## Operations Report Summary - January 2024

| About Persons Served       | Early Start | Medicaid Waiver | All Other  | SDC       | Total         | Under 18   | Over 18    |
|----------------------------|-------------|-----------------|------------|-----------|---------------|------------|------------|
| Number of Persons Served   | 3,473       | 8,772           | 11,735     | 8         | <b>23,988</b> | 12,358     | 13,505     |
| <i>Percentage of Total</i> | <i>14%</i>  | <i>37%</i>      | <i>49%</i> | <i>0%</i> | <i>100%</i>   | <i>52%</i> | <i>56%</i> |

|   |            |
|---|------------|
| <b>Children served in Prevention Resource and Referral Services</b> | <b>458</b> |
|---|------------|

| Persons Served by Residence Status | All           | Under 18      | Over 18       |
|------------------------------------|---------------|---------------|---------------|
| Family Home                        | 21,514        | 12,003        | 9,511         |
| Community Care Facility            | 1,695         | 18            | 1,677         |
| State Developmental Center         | 8             | 0             | 8             |
| Family Home Agency                 | 89            | 1             | 88            |
| Foster Home                        | 320           | 313           | 7             |
| Intermediate Care Facility         | 609           | 2             | 607           |
| Independent Living                 | 945           | 0             | 945           |
| Supported Living                   | 497           | 0             | 497           |
| Skilled Nursing                    | 74            | 0             | 74            |
| Other                              | 112           | 21            | 91            |
| <b>Total</b>                       | <b>25,863</b> | <b>12,358</b> | <b>13,505</b> |

| Special Incident Investigations | Year to Date |
|---------------------------------|--------------|
| AWOL                            | 35           |
| Abuse                           | 85           |
| Neglect                         | 122          |
| Injury                          | 144          |
| Hospitalizations - Total        | 284          |
| Death                           | 97           |
| Victim of crime                 | 7            |
| Arrest                          | 4            |
| Rights                          | 133          |
| <b>Total</b>                    | <b>911</b>   |

### Number of Licensed Facilities

| <i>Community Care Facilities</i>       | Total      | Under 18  | Over 18    |
|--|------------|-----------|------------|
| Level 2                                | 71         | 0         | 71         |
| Level 3                                | 81         | 0         | 81         |
| Level 4                                | 214        | 12        | 202        |
| <b>Total Community Care Facilities</b> | <b>366</b> | <b>12</b> | <b>354</b> |

| Licensed Facility Monitoring | Year to Date |
|------------------------------|--------------|
| Annual Review                | 266          |
| Unannounced                  | 462          |
| Total Number of Reviews      | 728          |
| Provider Trainings           | 0            |
| Technical Support            | 1,794        |
| Corrective Action Plans      | 28           |

### *Intermediate Care Facilities (ICF)*

|                             |            |
|-----------------------------|------------|
| ICF-DD                      | 0          |
| ICF-DD/Habilitation         | 71         |
| ICF-DD/Nursing              | 41         |
| <b>Total ICF Facilities</b> | <b>112</b> |

|                                       |                 |
|---------------------------------------|-----------------|
| <b>Number of Audits</b>               | <b>7</b>        |
| <b>Amount of Recovery from Audits</b> | <b>\$70,618</b> |

|                                  |            |
|----------------------------------|------------|
| <b>Total Licensed Facilities</b> | <b>478</b> |
|----------------------------------|------------|





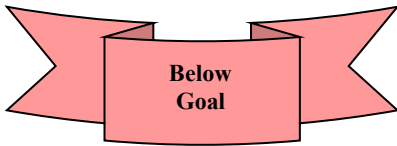
## Performance Contract Summary

| RCOC as of 1/02/2024                              | All    | RCOC #  | Goal   | Percentage | # Attained |
|---|--------|---------|--------|------------|------------|
| Developmental Center (DC)                         | 25,754 | 8       | 0      | 0.04%      | -8         |
| Children in Foster Homes (FH)                     | 12,325 | 305     | 315    | 2.47%      | -10        |
| Children Own Home Parent/Guardian                 | 12,325 | 11,980  | 11,300 | 97.20%     | 680        |
| Total # Children (FH,Parent/Guardian)             | 12,325 | 12,285  | 11,615 | 99.68%     | 670        |
| Adult FHA   | 13,421 | 86      | 110    | 0.64%      | -24        |
| Independent Living (IL)                           | 13,421 | 928     | 924    | 6.91%      | 4          |
| Adults Residing Own Home - Parent                 | 13,421 | 9,475   | 9,150  | 70.60%     | 325        |
| Supported Living (SL)                             | 13,421 | 491     | 512    | 3.66%      | -21        |
| Total # Adults (FHA, IL,Parent/Guardian, SL)      | 13,421 | 10,980  | 10,696 | 81.81%     | 284        |
| Children Residing in a CCF (7+ beds)              | 12,325 | 0       | 0      | 0.00%      | 0          |
| Children Residing in a ICF (7+ beds)              | 12,325 | 0       | 0      | 0.00%      | 0          |
| Children Residing in a Nursing Facility (7+ beds) | 12,325 | 0       | 0      | 0%         | 0          |
| Total Children Residing in 7+ bed facilities      | 12,325 | 0       | 0      | 0.00%      | 0          |
| Adults Residing in a CCF (7+ beds)                | 13,421 | 114     | 114    | 0.85%      | 0          |
| Adults Residing in a ICF (7+ beds)                | 13,421 | 15      | 6      | 0.11%      | -9         |
| Adults Residing in a Nursing Facility (7+ beds)   | 13,421 | 79      | 74     | 0.59%      | -5         |
| Total Adults Residing in 7+ bed facilities        | 13,421 | 208     | 194    | 1.55%      | -14        |
| Total Individuals Over Age 3 with <=120 days      | 270    | 270     | 100%   | 100.00%    | 100.00%    |
| Total Individuals Over Age 3 with 121-240 days    | 270    | 0       | 0%     | 0.00%      | 0.00%      |
| Total Individuals Over Age 3 Over 240 days        | 270    | 0       | 0%     | 0.00%      | 0.00%      |
| Adults with Integrated Employment Goal            | 13,421 | 48%     | 65%    |            |            |
| Total Number of Incentive Payments Made           | 13,421 | 207     |        |            |            |
| Avg. Wage per Hour After Incentive Payment        | 13,421 | \$14.40 |        |            |            |
| Number of Persons Served with Earned Income       | 13,421 | 1,726   |        |            |            |
| Percentage of 16-64 Earned Income                 | 13,421 | 22%     |        |            |            |
| Annual Earnings of 16-64                          | 13,421 | \$7,656 |        |            |            |
| Number of Adults in CIE After Paid Intern         | 13,421 | 0       |        |            |            |
| Percentage Adults Transitioned Internship to CIE  | 13,421 | 0%      |        |            |            |
| Total Annual Expenditures Race/Ethnicity          | 25,754 |         |        |            |            |

**Performance Contract 2023-2024 Cover Sheet**



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2023-2024**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

| Compliance Measure   | Outcome       |
|--|---------------|
| Unqualified audit with no material findings                                  | Yes           |
| Substantial compliance with DDS fiscal audit                                 | Yes           |
| Operates within OPS budget   | Yes           |
| Certified to participate in Waiver   | Yes           |
| Compliance with vendor audit requirements per contract, Article III, sec. 10 | Met           |
| CDER/ESR current   | 96.46         |
| Intake/Assessment and IFSP (0-2)   | 99.52         |
| IPP development biennial   | Annual, 99.46 |
| IFSP development   | 69.28         |



## Performance Contract 2023-2024

### I. Developmental Center

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### A. Total number and % of regional center caseload in developmental centers.

|                               | Percentage       | All Consumers | Consumers in DC |                  |       |            |
|-------------------------------|------------------|---------------|-----------------|------------------|-------|------------|
| Statewide Average             | 0.06%            | 384,188       | 233             |                  |       |            |
| RCOC Public Hearing 8/17/22   | 0.03%            | 23,394        | 8               | Goal             | %     | # Attained |
| RCOC 1/02/24                  | 0.03%            | 25,754        | 8               | 0                | 0.03% | -8         |
| Analysis as of Public Hearing | RCOC % of DD pop |               | 6.09%           | RCOC % of DC pop |       | 3.43%      |

Number of Persons Served Residing DC's



|        | Total Active Caseload | Goal | DC | %     | Number Attained |
|--------|-----------------------|------|----|-------|-----------------|
| Jan-23 | 24,544                | 0    | 10 | 0.04% | -10             |
| Feb-23 | 24,616                | 0    | 10 | 0.04% | -10             |
| Mar-23 | 24,769                | 0    | 10 | 0.04% | -10             |
| Apr-23 | 24,906                | 0    | 9  | 0.04% | -9              |
| May-23 | 25,035                | 0    | 10 | 0.04% | -10             |
| Jun-23 | 25,232                | 0    | 10 | 0.04% | -10             |
| Jul-23 | 25,350                | 0    | 10 | 0.04% | -10             |
| Aug-23 | 25,477                | 0    | 10 | 0.04% | -10             |
| Sep-23 | 25,600                | 0    | 10 | 0.04% | -10             |
| Oct-23 | 25,677                | 0    | 10 | 0.04% | -10             |
| Nov-23 | 25,722                | 0    | 9  | 0.03% | -9              |
| Dec-23 | 25,754                | 0    | 8  | 0.03% | -8              |
| Jan-24 |                       | 0    |    |       |                 |
| Feb-24 |                       | 0    |    |       |                 |
| Mar-24 |                       | 0    |    |       |                 |
| Apr-24 |                       | 0    |    |       |                 |
| May-24 |                       | 0    |    |       |                 |
| Jun-24 |                       | 0    |    |       |                 |



## Performance Contract 2023-2024

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

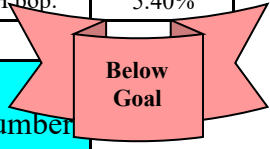
Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

|                               | Percentage        | All Children | Children in FH | Goal              | %     | # Attained |
|-------------------------------|-------------------|--------------|----------------|-------------------|-------|------------|
| Statewide Average             | 2.66%             | 197,711      | 5,256          |                   |       |            |
| RCOC Public Hearing 8/17/22   | 2.64%             | 10,752       | 284            |                   |       |            |
| RCOC 1/02/24                  | 2.47%             | 12,325       | 305            | 315               | 2.47% | -10        |
| Analysis as of Public Hearing | RCOC % of DD pop. |              | 5.44%          | RCOC % of FH pop. |       | 5.40%      |



|        | Total Children Status 1&2 | Goal | Children in Foster Homes | %     | Number Attained |
|--------|---------------------------|------|--------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 315  | 316                      | 2.73% | 1               |
| Feb-23 | 11,616                    | 315  | 313                      | 2.69% | -2              |
| Mar-23 | 11,706                    | 315  | 308                      | 2.63% | -7              |
| Apr-23 | 11,832                    | 315  | 315                      | 2.66% | 0               |
| May-23 | 11,915                    | 315  | 312                      | 2.62% | -3              |
| Jun-23 | 12,053                    | 315  | 323                      | 2.68% | 8               |
| Jul-23 | 12,129                    | 315  | 321                      | 2.65% | 6               |
| Aug-23 | 12,217                    | 315  | 322                      | 2.64% | 7               |
| Sep-23 | 12,295                    | 315  | 323                      | 2.63% | 8               |
| Oct-23 | 12,323                    | 315  | 313                      | 2.54% | -2              |
| Nov-23 | 12,332                    | 315  | 315                      | 2.55% | 0               |
| Dec-23 | 12,325                    | 315  | 305                      | 2.47% | -10             |
| Jan-24 |                           | 315  |                          |       |                 |
| Feb-24 |                           | 315  |                          |       |                 |
| Mar-24 |                           | 315  |                          |       |                 |
| Apr-24 |                           | 315  |                          |       |                 |
| May-24 |                           | 315  |                          |       |                 |
| Jun-24 |                           | 315  |                          |       |                 |



Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

|                               | %                 | All Children | Children in own home Parent/Guardian |                |        |            |
|-------------------------------|-------------------|--------------|--------------------------------------|----------------|--------|------------|
| Statewide Average             | 96.94%            | 197,711      | 191,657                              |                |        |            |
| RCOC Public Hearing 8/17/22   | 96.96%            | 10,752       | 10,425                               | Goal           | %      | # Attained |
| RCOC 1/02/24                  | 97.20%            | 12,325       | 11,980                               | 11,300         | 97.20% | 680        |
| Analysis as of Public Hearing | RCOC % of DD pop. |              | 5.44%                                | RCOC % of Home |        | 96.96%     |



|        | Total Children | Children in own home Parent/Guardian | Children in Own Home Parent/Guardian | %      | Number Attained |
|--------|----------------|--------------------------------------|--------------------------------------|--------|-----------------|
| Jan-23 | 11,574         | 11,300                               | 11,220                               | 96.94% | -80             |
| Feb-23 | 11,616         | 11,300                               | 11,264                               | 96.97% | -36             |
| Mar-23 | 11,706         | 11,300                               | 11,359                               | 97.04% | 59              |
| Apr-23 | 11,832         | 11,300                               | 11,475                               | 96.89% | 175             |
| May-23 | 11,915         | 11,300                               | 11,561                               | 97.03% | 261             |
| Jun-23 | 12,053         | 11,300                               | 11,688                               | 96.97% | 388             |
| Jul-23 | 12,129         | 11,300                               | 11,765                               | 97.00% | 465             |
| Aug-23 | 12,217         | 11,300                               | 11,850                               | 97.00% | 550             |
| Sep-23 | 12,295         | 11,300                               | 11,926                               | 97.00% | 626             |
| Oct-23 | 12,323         | 11,300                               | 11,963                               | 97.08% | 663             |
| Nov-23 | 12,332         | 11,300                               | 11,972                               | 97.08% | 672             |
| Dec-23 | 12,325         | 11,300                               | 11,980                               | 97%    | 680             |
| Jan-24 |                | 11,300                               |                                      |        |                 |
| Feb-24 |                | 11,300                               |                                      |        |                 |
| Mar-24 |                | 11,300                               |                                      |        |                 |
| Apr-24 |                | 11,300                               |                                      |        |                 |
| May-24 |                | 11,300                               |                                      |        |                 |
| Jun-24 |                | 11,300                               |                                      |        |                 |





Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

|                             | %                | All Children | Total Number Children in Homes | Goal         | %      | # Attained |
|-----------------------------|------------------|--------------|--------------------------------|--------------|--------|------------|
| Statewide Average           | 99.65%           | 197,611      | 196,913                        |              |        |            |
| RCOC Public Hearing 8/17/22 | 99.60%           | 10,752       | 10,709                         | 11,615       | 99.68% | 670        |
| RCOC 1/02/24                | 99.68%           | 12,325       | 12,285                         |              |        |            |
| Analysis of Public Hearing  | RCOC % of DD pop |              | 5.44%                          | RCOC % Homes |        | 87.17%     |

|        | Total Children Status 1&2 | Goal   | Total Number Children in Homes | %      | Number Attained |
|--------|---------------------------|--------|--------------------------------|--------|-----------------|
| Jan-23 | 11,574                    | 11,615 | 11,536                         | 99.67% | -79             |
| Feb-23 | 11,616                    | 11,615 | 11,577                         | 99.66% | -38             |
| Mar-23 | 11,706                    | 11,615 | 11,667                         | 99.67% | 52              |
| Apr-23 | 11,832                    | 11,615 | 11,790                         | 99.65% | 175             |
| May-23 | 11,915                    | 11,615 | 11,873                         | 99.65% | 258             |
| Jun-23 | 12,053                    | 11,615 | 12,011                         | 99.65% | 396             |
| Jul-23 | 12,129                    | 11,615 | 12,086                         | 99.65% | 471             |
| Aug-23 | 12,217                    | 11,615 | 12,172                         | 99.63% | 557             |
| Sep-23 | 12,295                    | 11,615 | 12,249                         | 99.63% | 634             |
| Oct-23 | 12,323                    | 11,615 | 12,276                         | 99.62% | 661             |
| Nov-23 | 12,332                    | 11,615 | 12,287                         | 99.64% | 672             |
| Dec-23 | 12,325                    | 11,615 | 12,285                         | 99.68% | 670             |
| Jan-24 |                           | 11,615 |                                |        |                 |
| Feb-24 |                           | 11,615 |                                |        |                 |
| Mar-24 |                           | 11,615 |                                |        |                 |
| Apr-24 |                           | 11,615 |                                |        |                 |
| May-24 |                           | 11,615 |                                |        |                 |
| Jun-24 |                           | 11,615 |                                |        |                 |



## Performance Contract 2023-2024

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

|                               | Percentage       | Total Adults Status 2 | Adults in FHA | Goal              | %     | # Attained |
|-------------------------------|------------------|-----------------------|---------------|-------------------|-------|------------|
| Statewide Average             | 0.82%            | 186,242               | 1,529         |                   |       |            |
| RCOC Public Hearing 8/17/22   | 0.78%            | 12,634                | 99            | 110               | 0.64% | -24        |
| RCOC 1/02/24                  | 0.64%            | 13,421                | 86            |                   |       |            |
| Analysis as of Public Hearing | RCOC % of DD pop |                       | 6.78%         | RCOC % of FHA pop |       | 6.47%      |



|        | Total Adults Status 2 | Goal | Adults in FHA | %     | Number Attained |
|--------|-----------------------|------|---------------|-------|-----------------|
| Jan-23 | 12,970                | 110  | 91            | 0.70% | -19             |
| Feb-23 | 12,990                | 110  | 90            | 0.69% | -20             |
| Mar-23 | 13,053                | 110  | 90            | 0.69% | -20             |
| Apr-23 | 13,065                | 110  | 90            | 0.69% | -20             |
| May-23 | 13,110                | 110  | 90            | 0.69% | -20             |
| Jun-23 | 13,179                | 110  | 89            | 0.68% | -21             |
| Jul-23 | 13,211                | 110  | 90            | 0.68% | -20             |
| Aug-23 | 13,250                | 110  | 89            | 0.67% | -21             |
| Sep-23 | 13,295                | 110  | 87            | 0.65% | -23             |
| Oct-23 | 13,344                | 110  | 86            | 0.64% | -24             |
| Nov-23 | 13,381                | 110  | 86            | 0.64% | -24             |
| Dec-23 | 13,421                | 110  | 86            | 0.64% | -24             |
| Jan-24 |                       | 110  |               |       |                 |
| Feb-24 |                       | 110  |               |       |                 |
| Mar-24 |                       | 110  |               |       |                 |
| Apr-24 |                       | 110  |               |       |                 |
| May-24 |                       | 110  |               |       |                 |
| Jun-24 |                       | 110  |               |       |                 |



**Progress:** B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

|                             | Percentage       | Total Adults Status 2 | Adults in Independent Living | Goal             | %     | # Attained |
|-----------------------------|------------------|-----------------------|------------------------------|------------------|-------|------------|
| Statewide Average           | 9.48%            | 186,242               | 17,651                       |                  |       |            |
| RCOC Public Hearing 8/17/22 | 7.15%            | 12,634                | 903                          |                  |       |            |
| RCOC 1/02/24                | 6.91%            | 13,421                | 928                          | 924              | 6.91% | 4          |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                        | RCOC % of IL pop |       | 5.12%      |



|        | Total Adults Status 2 | Goal | Adults in Independent Living | %     | Attained |
|--------|-----------------------|------|------------------------------|-------|----------|
| Jan-23 | 12,970                | 924  | 907                          | 6.99% | -17      |
| Feb-23 | 12,990                | 924  | 912                          | 7.02% | -12      |
| Mar-23 | 13,053                | 924  | 917                          | 7.03% | -7       |
| Apr-23 | 13,065                | 924  | 924                          | 7.07% | 0        |
| May-23 | 13,110                | 924  | 930                          | 7.09% | 6        |
| Jun-23 | 13,179                | 924  | 935                          | 7.09% | 11       |
| Jul-23 | 13,211                | 924  | 937                          | 7.09% | 13       |
| Aug-23 | 13,250                | 924  | 934                          | 7.05% | 10       |
| Sep-23 | 13,295                | 924  | 935                          | 7.03% | 11       |
| Oct-23 | 13,344                | 924  | 937                          | 7.02% | 13       |
| Nov-23 | 13,381                | 924  | 935                          | 6.99% | 11       |
| Dec-23 | 13,421                | 924  | 928                          | 6.91% | 4        |
| Jan-24 |                       | 924  |                              |       |          |
| Feb-24 |                       | 924  |                              |       |          |
| Mar-24 |                       | 924  |                              |       |          |
| Apr-24 |                       | 924  |                              |       |          |
| May-24 |                       | 924  |                              |       |          |
| Jun-24 |                       | 924  |                              |       |          |

**Progress:** C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

|                             | Percentage       | Total Adults Status 2 | Adults Residing Own Home Parent | Goal               | %      | # Attained |
|-----------------------------|------------------|-----------------------|---------------------------------|--------------------|--------|------------|
| Statewide Average           | 67.43%           | 186,242               | 125,589                         |                    |        |            |
| RCOC Public Hearing 8/17/22 | 69.01%           | 12,634                | 8,719                           |                    |        |            |
| RCOC 1/02/24                | 70.60%           | 13,421                | 9,475                           | 9,150              | 70.60% | 325        |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                           | RCOC % of own home |        | 6.94%      |



|        | Total Adults Status 2 | Goal  | Adults Residing Own Home Parent | %      | Number Attained |
|--------|-----------------------|-------|---------------------------------|--------|-----------------|
| Jan-23 | 12,970                | 9,150 | 9,052                           | 69.79% | -98             |
| Feb-23 | 12,990                | 9,150 | 9,072                           | 69.84% | -78             |
| Mar-23 | 13,053                | 9,150 | 9,120                           | 69.87% | -30             |
| Apr-23 | 13,065                | 9,150 | 9,132                           | 69.90% | -18             |
| May-23 | 13,110                | 9,150 | 9,181                           | 70.03% | 31              |
| Jun-23 | 13,179                | 9,150 | 9,241                           | 70.12% | 91              |
| Jul-23 | 13,211                | 9,150 | 9,263                           | 70.12% | 113             |
| Aug-23 | 13,250                | 9,150 | 9,305                           | 70.23% | 155             |
| Sep-23 | 13,295                | 9,150 | 9,346                           | 70.30% | 196             |
| Oct-23 | 13,344                | 9,150 | 9,401                           | 70.45% | 251             |
| Nov-23 | 13,381                | 9,150 | 9,440                           | 70.55% | 290             |
| Dec-23 | 13,421                | 9,150 | 9,475                           | 70.60% | 325             |
| Jan-24 |                       | 9,150 |                                 |        |                 |
| Feb-24 |                       | 9,150 |                                 |        |                 |
| Mar-24 |                       | 9,150 |                                 |        |                 |
| Apr-24 |                       | 9,150 |                                 |        |                 |
| May-24 |                       | 9,150 |                                 |        |                 |
| Jun-24 |                       | 9,150 |                                 |        |                 |



**Progress:** D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

**D. Total number and % of regional center adults residing in supported living.**

|                             | Percentage       | Total Adults Status 2 | Adults Residing in Supported Living | Goal             | %     | # Attained |
|-----------------------------|------------------|-----------------------|-------------------------------------|------------------|-------|------------|
| Statewide Average           | 5.02%            | 186,242               | 9,359                               |                  |       |            |
| RCOC Public Hearing 8/17/22 | 3.93%            | 12,634                | 496                                 | 512              | 3.66% | -21        |
| RCOC 1/02/24                | 3.66%            | 13,421                | 491                                 | 512              | 3.66% | -21        |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                               | RCOC % of SL pop |       | 5.30%      |

|        | Total Adults Status 2 | Goal | Adults Residing Supported Living | %     | Number Attained |
|--------|-----------------------|------|----------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 512  | 492                              | 3.79% | -20             |
| Feb-23 | 12,990                | 512  | 493                              | 3.80% | -19             |
| Mar-23 | 13,053                | 512  | 499                              | 3.82% | -13             |
| Apr-23 | 13,065                | 512  | 498                              | 3.81% | -14             |
| May-23 | 13,110                | 512  | 498                              | 3.80% | -14             |
| Jun-23 | 13,179                | 512  | 500                              | 3.79% | -12             |
| Jul-23 | 13,211                | 512  | 499                              | 3.78% | -13             |
| Aug-23 | 13,250                | 512  | 495                              | 3.74% | -17             |
| Sep-23 | 13,295                | 512  | 495                              | 3.72% | -17             |
| Oct-23 | 13,344                | 512  | 491                              | 3.68% | -21             |
| Nov-23 | 13,381                | 512  | 492                              | 3.68% | -20             |
| Dec-23 | 13,421                | 512  | 491                              | 3.66% | -21             |
| Jan-24 |                       | 512  |                                  |       |                 |
| Feb-24 |                       | 512  |                                  |       |                 |
| Mar-24 |                       | 512  |                                  |       |                 |
| Apr-24 |                       | 512  |                                  |       |                 |
| May-24 |                       | 512  |                                  |       |                 |
| Jun-24 |                       | 512  |                                  |       |                 |





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

|                            | Percentage       | Total Adults Status 2 | Total Number Adults in Home Settings | Goal           | %      | # Attained |
|----------------------------|------------------|-----------------------|--------------------------------------|----------------|--------|------------|
| Statewide Average          | 82.75%           | 186,242               | 154,119                              |                |        |            |
| RCOC Public Heaing 8/17/22 | 80.97%           | 12,634                | 10,217                               | 10,696         | 81.81% | 284        |
| RCOC 1/02/24               | 81.81%           | 13,421                | 10,980                               |                |        |            |
| Analysis of Public Hearing | RCOC % of DD pop |                       | 6.78%                                | RCOC % of Home |        | 6.63%      |

|        | Total Adults Status 2 | Goal   | Total Number Adults in Home Settings | %      | Number Attained |
|--------|-----------------------|--------|--------------------------------------|--------|-----------------|
| Jan-23 | 12,970                | 10,696 | 10,542                               | 81.28% | -154            |
| Feb-23 | 12,990                | 10,696 | 10,567                               | 81.35% | -129            |
| Mar-23 | 13,053                | 10,696 | 10,626                               | 81.41% | -70             |
| Apr-23 | 13,065                | 10,696 | 10,644                               | 81.47% | -52             |
| May-23 | 13,110                | 10,696 | 10,699                               | 81.61% | 3               |
| Jun-23 | 13,179                | 10,696 | 10,765                               | 81.68% | 69              |
| Jul-23 | 13,211                | 10,696 | 10,789                               | 81.67% | 93              |
| Aug-23 | 13,250                | 10,696 | 10,823                               | 81.68% | 127             |
| Sep-23 | 13,295                | 10,696 | 10,863                               | 81.71% | 167             |
| Oct-23 | 13,344                | 10,696 | 10,915                               | 81.80% | 219             |
| Nov-23 | 13,421                | 10,696 | 10,980                               | 81.81% | 284             |
| Dec-23 |                       | 10,696 |                                      |        |                 |
| Jan-24 |                       | 10,696 |                                      |        |                 |
| Feb-24 |                       | 10,696 |                                      |        |                 |
| Mar-24 |                       | 10,696 |                                      |        |                 |
| Apr-24 |                       | 10,696 |                                      |        |                 |
| May-24 |                       | 10,696 |                                      |        |                 |
| Jun-24 |                       | 10,696 |                                      |        |                 |



## Performance Contract 2023-2024

### IV. Children Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

|                             | Percentage       | Total Children Status 1&2 | Children Residing in CCF 7+ Beds |                  |       |            |
|-----------------------------|------------------|---------------------------|----------------------------------|------------------|-------|------------|
| Statewide Average           | 0.01%            | 197,711                   | 13                               |                  |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                | Goal             | %     | # Attained |
| RCOC 1/02/24                | 0.00%            | 12,325                    | 0                                | 0                | 0.00% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                            | RCOC % of CCF 7+ |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing CCF 7+ Beds | %     | Number Attained |
|--------|---------------------------|------|-------------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 0    | 1                             | 0.01% | -1              |
| Feb-23 | 11,616                    | 0    | 1                             | 0.01% | -1              |
| Mar-23 | 11,706                    | 0    | 1                             | 0.01% | -1              |
| Apr-23 | 11,832                    | 0    | 1                             | 0.01% | -1              |
| May-23 | 11,915                    | 0    | 1                             | 0.01% | -1              |
| Jun-23 | 12,053                    | 0    | 1                             | 0.01% | -1              |
| Jul-23 | 12,129                    | 0    | 1                             | 0.01% | -1              |
| Aug-23 | 12,217                    | 0    | 1                             | 0.01% | -1              |
| Sep-23 | 12,295                    | 0    | 0                             | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                             | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                             | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                             | 0.00% | 0               |
| Jan-24 |                           | 0    |                               |       |                 |
| Feb-24 |                           | 0    |                               |       |                 |
| Mar-24 |                           | 0    |                               |       |                 |
| Apr-24 |                           | 0    |                               |       |                 |
| May-24 |                           | 0    |                               |       |                 |
| Jun-24 |                           | 0    |                               |       |                 |



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

|                             | Percentage       | Total Children Status 1&2 | Children Residing in an ICF 7+ beds | Goal             | %     | # Attained |
|-----------------------------|------------------|---------------------------|-------------------------------------|------------------|-------|------------|
| Statewide Average           | 0.02%            | 197,711                   | 34                                  |                  |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                   | 0                | 0.00% | 0          |
| RCOC 1/02/24                | 0.00%            | 12,325                    | 0                                   |                  |       |            |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                               | RCOC % of ICF 7+ |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing ICF 7+ Beds | %     | Number Attained |
|--------|---------------------------|------|-------------------------------|-------|-----------------|
| Jan-23 | 12,574                    | 0    | 0                             | 0.00% | 0               |
| Feb-23 | 11,616                    | 0    | 0                             | 0.00% | 0               |
| Mar-23 | 11,706                    | 0    | 0                             | 0.00% | 0               |
| Apr-23 | 11,832                    | 0    | 0                             | 0.00% | 0               |
| May-23 | 11,915                    | 0    | 0                             | 0.00% | 0               |
| Jun-23 | 12,053                    | 0    | 0                             | 0.00% | 0               |
| Jul-23 | 12,129                    | 0    | 0                             | 0.00% | 0               |
| Aug-23 | 12,217                    | 0    | 0                             | 0.00% | 0               |
| Sep-23 | 12,295                    | 0    | 0                             | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                             | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                             | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                             | 0.00% | 0               |
| Jan-24 |                           | 0    |                               |       |                 |
| Feb-24 |                           | 0    |                               |       |                 |
| Mar-24 |                           | 0    |                               |       |                 |
| Apr-24 |                           | 0    |                               |       |                 |
| May-24 |                           | 0    |                               |       |                 |
| Jun-24 |                           | 0    |                               |       |                 |

**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

**C. Total number and % of regional center children residing in a nursing facility.**

|                             | Percentage       | Total Children Status 1&2 | Children Residing in a Nursing Facility |              |       |            |
|-----------------------------|------------------|---------------------------|---|--------------|-------|------------|
| Statewide Average           | 0.00%            | 197,711                   | 7                                       |              |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                       | Goal         | %     | # Attained |
| RCOC 1/02/24                | 0.00%            | 12,325                    | 0                                       | 0            | 0.00% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                                   | RCOC % of NF |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing in a Nursing Facility (NF) | %     | Number Attained |
|--------|---------------------------|------|--|-------|-----------------|
| Jan-23 | 12,574                    | 0    | 0  | 0.00% | 0               |
| Feb-23 | 11,616                    | 0    | 0  | 0.00% | 0               |
| Mar-23 | 11,706                    | 0    | 0  | 0.00% | 0               |
| Apr-23 | 11,832                    | 0    | 0  | 0.00% | 0               |
| May-23 | 11,915                    | 0    | 0  | 0.00% | 0               |
| Jun-23 | 12,053                    | 0    | 0  | 0.00% | 0               |
| Jul-23 | 12,129                    | 0    | 0  | 0.00% | 0               |
| Aug-23 | 12,217                    | 0    | 0  | 0.00% | 0               |
| Sep-23 | 12,295                    | 0    | 0  | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0  | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0  | 0.00% | 0               |
| Dec-23 | 12325                     | 0    | 0  | 0.00% | 0               |
| Jan-24 |                           | 0    |  |       |                 |
| Feb-24 |                           | 0    |  |       |                 |
| Mar-24 |                           | 0    |  |       |                 |
| Apr-24 |                           | 0    |  |       |                 |
| May-24 |                           | 0    |  |       |                 |
| Jun-24 |                           | 0    |  |       |                 |



**Progress:** D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

|                             | Percentage       | Total Children Status 1&2 | Total Children Residing in a 7+ Bed Facility | Goal          | %     | # Attained |
|-----------------------------|------------------|---------------------------|--|---------------|-------|------------|
| Statewide Average           | 0.03%            | 197,711                   | 54   |               |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0  | 0             | 0.00% | 0          |
| RCOC 1/02/24                | 0.00%            | 12,325                    | 0  |               |       |            |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%  | RCOC % 7+ Bed |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Total Children Residing in 7+ Bed | %     | Number Attained |
|--------|---------------------------|------|-----------------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 0    | 1                                 | 0.01% | -1              |
| Feb-23 | 11,616                    | 0    | 1                                 | 0.01% | -1              |
| Mar-23 | 11,706                    | 0    | 1                                 | 0.01% | -1              |
| Apr-23 | 11,832                    | 0    | 1                                 | 0.01% | -1              |
| May-23 | 11,915                    | 0    | 1                                 | 0.01% | -1              |
| Jun-23 | 12,053                    | 0    | 1                                 | 0.01% | -1              |
| Jul-23 | 12,129                    | 0    | 1                                 | 0.01% | -1              |
| Aug-23 | 12,217                    | 0    | 1                                 | 0.01% | -1              |
| Sep-23 | 12,295                    | 0    | 0                                 | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                                 | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                                 | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                                 | 0.00% | 0               |
| Jan-24 |                           | 0    |                                   |       |                 |
| Feb-24 |                           | 0    |                                   |       |                 |
| Mar-24 |                           | 0    |                                   |       |                 |
| Apr-24 |                           | 0    |                                   |       |                 |
| May-24 |                           | 0    |                                   |       |                 |
| Jun-24 |                           | 0    |                                   |       |                 |

## Performance Contract 2023-2024

### V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

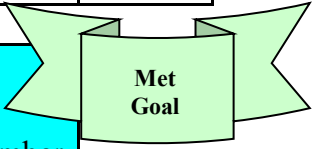
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

|                             | Percentage       | Total Adults Status 2 | Adults in CCF 7+ Beds | Goal                | %     | # Attained |
|-----------------------------|------------------|-----------------------|-----------------------|---------------------|-------|------------|
| Statewide Average           | 0.79%            | 186,242               | 1,466                 |                     |       |            |
| RCOC Public Hearing 8/17/22 | 0.97%            | 12,634                | 122                   |                     |       |            |
| RCOC 1/02/24                | 0.85%            | 13,421                | 114                   | 114                 | 0.85% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                 | RCOC % Adult 7+ CCF |       | 8.32%      |

|        | Total Adults Status 2 | Goal | Adults Residing in CCF 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|--------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 114  | 120                            | 0.93% | -6              |
| Feb-23 | 12,990                | 114  | 121                            | 0.93% | -7              |
| Mar-23 | 13,053                | 114  | 119                            | 0.91% | -5              |
| Apr-23 | 13,065                | 114  | 118                            | 0.90% | -4              |
| May-23 | 13,110                | 114  | 118                            | 0.90% | -4              |
| Jun-23 | 13,179                | 114  | 117                            | 0.89% | -3              |
| Jul-23 | 13,211                | 114  | 115                            | 0.87% | -1              |
| Aug-23 | 13,250                | 114  | 115                            | 0.87% | -1              |
| Sep-23 | 13,295                | 114  | 113                            | 0.85% | 1               |
| Oct-23 | 13,344                | 114  | 113                            | 0.85% | 1               |
| Nov-23 | 13,381                | 114  | 112                            | 0.84% | 2               |
| Dec-23 | 13,421                | 114  | 114                            | 0.85% | 0               |
| Jan-24 |                       | 114  |                                |       |                 |
| Feb-24 |                       | 114  |                                |       |                 |
| Mar-24 |                       | 114  |                                |       |                 |
| Apr-24 |                       | 114  |                                |       |                 |
| May-24 |                       | 114  |                                |       |                 |
| Jun-24 |                       | 114  |                                |       |                 |



**Progress:** B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF 7+ beds.**

|                             | Percentage       | Total Adults Status 2 | Adults Residing ICF 7+ Beds | Goal          | %     | # Attained |
|-----------------------------|------------------|-----------------------|-----------------------------|---------------|-------|------------|
| Statewide Average           | 0.41%            | 186,242               | 755                         |               |       |            |
| RCOC Public Hearing 8/17/22 | 0.09%            | 12,634                | 12                          |               |       |            |
| RCOC 1/02/24                | 0.11%            | 13,421                | 15                          | 6             | 0.11% | -9         |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                       | RCOC % ICF 7+ |       | 1.59%      |



|        | Total Adults Status 2 | Goal | Adults Residing ICF 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|-----------------------------|-------|-----------------|
| Jan-23 | 12,970                | 6    | 14                          | 0.11% | -8              |
| Feb-23 | 12,990                | 6    | 13                          | 0.10% | -7              |
| Mar-23 | 13,053                | 6    | 13                          | 0.10% | -7              |
| Apr-23 | 13,065                | 6    | 14                          | 0.11% | -8              |
| May-23 | 13,110                | 6    | 14                          | 0.11% | -8              |
| Jun-23 | 13,179                | 6    | 15                          | 0.11% | -9              |
| Jul-23 | 13,211                | 6    | 15                          | 0.11% | -9              |
| Aug-23 | 13,250                | 6    | 15                          | 0.11% | -9              |
| Sep-23 | 13,295                | 6    | 16                          | 0.12% | -10             |
| Oct-23 | 13,344                | 6    | 16                          | 0.12% | -10             |
| Nov-23 | 13,381                | 6    | 16                          | 0.12% | -10             |
| Dec-23 | 13,421                | 6    | 15                          | 0.11% | -9              |
| Jan-24 |                       | 6    |                             |       |                 |
| Feb-24 |                       | 6    |                             |       |                 |
| Mar-24 |                       | 6    |                             |       |                 |
| Apr-24 |                       | 6    |                             |       |                 |
| May-24 |                       | 6    |                             |       |                 |
| Jun-24 |                       | 6    |                             |       |                 |



**Progress:** C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

**C. Total number and % of regional center adults residing in a nursing facility.**

|                             | Percentage    | Total Adults Status 2 | Adults Residing in NF | Goal      | %     | # Attained |
|-----------------------------|---------------|-----------------------|-----------------------|-----------|-------|------------|
| Statewide Average           | 0.52%         | 186,242               | 967                   |           |       |            |
| RCOC Public Hearing 8/17/22 | 0.62%         | 12,634                | 78                    |           |       |            |
| RCOC 1/02/24                | 0.59%         | 13,421                | 79                    | 74        | 0.59% | -5         |
| Analysis of Public Hearing  | RCOC % DD pop |                       | 6.78%                 | RCOC % NF |       | 8.07%      |



|        | Total Adults Status 2 | Goal | Adults Residing in NF | %     | Number Attained |
|--------|-----------------------|------|-----------------------|-------|-----------------|
| Jan-23 | 12,970                | 74   | 79                    | 0.61% | -5              |
| Feb-23 | 12,990                | 74   | 80                    | 0.62% | -6              |
| Mar-23 | 13,053                | 74   | 91                    | 0.70% | -17             |
| Apr-23 | 13,065                | 74   | 91                    | 0.70% | -17             |
| May-23 | 13,110                | 74   | 81                    | 0.62% | -7              |
| Jun-23 | 13,179                | 74   | 79                    | 0.60% | -5              |
| Jul-23 | 13,211                | 74   | 75                    | 0.57% | -1              |
| Aug-23 | 13,250                | 74   | 75                    | 0.57% | -1              |
| Sep-23 | 13,295                | 74   | 74                    | 0.56% | 0               |
| Oct-23 | 13,344                | 74   | 74                    | 0.55% | 0               |
| Nov-23 | 13,381                | 74   | 75                    | 0.56% | -1              |
| Dec-23 | 13,421                | 74   | 79                    | 0.59% | -5              |
| Jan-24 |                       | 74   |                       |       |                 |
| Feb-24 |                       | 74   |                       |       |                 |
| Mar-24 |                       | 74   |                       |       |                 |
| Apr-24 |                       | 74   |                       |       |                 |
| May-24 |                       | 74   |                       |       |                 |
| Jun-24 |                       | 74   |                       |       |                 |



**Progress:** D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

|                             | Percentage       | Total Adults Status 2 | Total Adults Residing in 7+ Bed |               |       |            |
|-----------------------------|------------------|-----------------------|---------------------------------|---------------|-------|------------|
| Statewide Average           | 1.71%            | 186,242               | 3,188                           |               |       |            |
| RCOC Public Hearing 8/17/22 | 1.68%            | 12,634                | 212                             | Goal          | %     | # Attained |
| RCOC 1/02/24                | 1.55%            | 13,421                | 208                             | 194           | 1.55% | -14        |
| Analysis of Public Meeting  | RCOC % of DD pop |                       | 6.78%                           | RCOC % 7+ Bed |       | 6.65%      |



|        | Total Adults Status 2 | Goal | Total Adults Residing in 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|----------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 194  | 194                              | 1.64% | -19             |
| Feb-23 | 12,990                | 194  | 214                              | 1.65% | -20             |
| Mar-23 | 13,053                | 194  | 223                              | 1.71% | -29             |
| Apr-23 | 13,065                | 194  | 223                              | 1.71% | -29             |
| May-23 | 13,110                | 194  | 213                              | 1.62% | -19             |
| Jun-23 | 13,179                | 194  | 211                              | 1.60% | -17             |
| Jul-23 | 13,211                | 194  | 205                              | 1.55% | -11             |
| Aug-23 | 13,250                | 194  | 205                              | 1.55% | -11             |
| Sep-23 | 13,295                | 194  | 203                              | 1.53% | -9              |
| Oct-23 | 13,344                | 194  | 203                              | 1.52% | -9              |
| Nov-23 | 13,381                | 194  | 203                              | 1.52% | -9              |
| Dec-23 | 13,421                | 194  | 208                              | 1.55% | -14             |
| Jan-24 |                       | 194  |                                  |       |                 |
| Feb-24 |                       | 194  |                                  |       |                 |
| Mar-24 |                       | 194  |                                  |       |                 |
| Apr-24 |                       | 194  |                                  |       |                 |
| May-24 |                       | 194  |                                  |       |                 |
| Jun-24 |                       | 194  |                                  |       |                 |



## Performance Contract 2023

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

**Progress:** A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

|                             | Percentage | Total #<br>Age 3 or<br>Over | Total #<br>Over Age 3<br>with <=120<br>Days | Goal    | % Attained |
|-----------------------------|------------|-----------------------------|---|---------|------------|
| Statewide Average           | 95.05%     | 9,095                       | 8,645                                       |         |            |
| RCOC Public Hearing 8/17/22 | 98.00%     | 226                         | 222   |         |            |
| RCOC 1/02/24                | 100.00%    | 270                         | 270   | 100.00% | 100.00%    |



|        | Total<br>Number<br>Individuals<br>Age 3 or<br>Over | Goal | Total<br>Number<br>Individuals<br>Over Age 3<br>with <=120<br>Days | %<br>Attained |
|--------|--|------|--|---------------|
| Jan-23 | 242  | 100% | 240  | 99.17%        |
| Feb-23 | 262  | 100% | 260  | 99.24%        |
| Mar-23 | 237  | 100% | 237  | 100%          |
| Apr-23 | 285  | 100% | 285  | 100%          |
| May-23 | 317  | 100% | 317  | 100%          |
| Jun-23 | 290  | 100% | 290  | 100%          |
| Jul-23 | 297  | 100% | 295  | 99.33%        |
| Aug-23 | 283  | 100% | 283  | 100%          |
| Sep-23 | 273  | 100% | 269  | 98.53%        |
| Oct-23 | 247  | 100% | 247  | 100%          |
| Nov-23 | 274  | 100% | 274  | 100%          |
| Dec-23 | 270  | 100% | 270  | 100%          |
| Jan-24 |  | 100% |  |               |
| Feb-24 |  | 100% |  |               |
| Mar-24 |  | 100% |  |               |
| Apr-24 |  | 100% |  |               |
| May-24 |  | 100% |  |               |
| Jun-24 |  | 100% |  |               |



**Progress:** B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

|                             | Percentage | Total Number Individual s Age 3 and Over | Total Number of Individuals Over Age 3 With 121-240 Days | Goal  | % Attained |
|-----------------------------|------------|--|--|-------|------------|
| Statewide Average           | 3.83%      | 9,095                                    | 348  |       |            |
| RCOC Public Hearing 8/17/22 | 2.00%      | 226                                      | 4  |       |            |
| RCOC 1/02/24                | 0.00%      | 270                                      | 0  | 0.00% | 0.00%      |



|        | Total Number of Individuals Age 3 or Over | Goal  | Total Number Individuals Over Age 3 With 121-240 Days | %     | Met Goal |
|--------|---|-------|---|-------|----------|
| Jan-23 | 242                                       | 0.00% | 1   | 0.41% |          |
| Feb-23 | 262                                       | 0.00% | 1   | 0.38% |          |
| Mar-23 | 237                                       | 0.00% | 0   | 0.00% |          |
| Apr-23 | 285                                       | 0.00% | 0   | 0.00% |          |
| May-23 | 317                                       | 0.00% | 0   | 0.00% |          |
| Jun-23 | 290                                       | 0.00% | 0   | 0.00% |          |
| Jul-23 | 297                                       | 0.00% | 2   | 0.67% |          |
| Aug-23 | 283                                       | 0.00% | 0   | 0.00% |          |
| Sep-23 | 273                                       | 0.00% | 4   | 1.47% |          |
| Oct-23 | 247                                       | 0.00% | 0   | 0.00% |          |
| Nov-23 | 274                                       | 0.00% | 0   | 0.00% |          |
| Dec-23 | 270                                       | 0.00% | 0   | 0.00% |          |
| Jan-24 |   | 0.00% |   |       |          |
| Feb-24 |   | 0.00% |   |       |          |
| Mar-24 |   | 0.00% |   |       |          |
| Apr-24 |   | 0.00% |   |       |          |
| May-24 |   | 0.00% |   |       |          |
| Jun-24 |   | 0.00% |   |       |          |

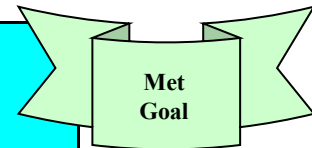
**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

|                             | Percentage | Total Number Individuals Age 3 or Over | Total Number Individuals Over Age 3 Over 240 Days | Goal  | % Attained |
|-----------------------------|------------|--|---|-------|------------|
| Statewide Average           | 1.12%      | 9,095                                  | 102   |       |            |
| RCOC Public Meeting 8/17/22 | 0.00%      | 226                                    | 0   |       |            |
| RCOC 1/02/24                | 0.00%      | 270                                    | 0   | 0.00% | 0.00%      |



|        | Total Number Individuals Age 3 or Over | Goal | Total Number Individuals Over Age 3 Over 240 Days | % Attained |
|--------|--|------|---|------------|
| Jan-23 | 242                                    | 0%   | 1   | 0.41%      |
| Feb-23 | 262                                    | 0%   | 1   | 0.38%      |
| Mar-23 | 237                                    | 0%   | 0   | 0.00%      |
| Apr-23 | 285                                    | 0%   | 0   | 0.00%      |
| May-23 | 317                                    | 0%   | 0   | 0.00%      |
| Jun-23 | 290                                    | 0%   | 0   | 0.00%      |
| Jul-23 | 297                                    | 0%   | 0   | 0.00%      |
| Aug-23 | 283                                    | 0%   | 0   | 0.00%      |
| Sep-23 | 273                                    | 0%   | 0   | 0.00%      |
| Oct-23 | 247                                    | 0%   | 0   | 0.00%      |
| Nov-23 | 274                                    | 0%   | 0   | 0.00%      |
| Dec-23 | 270                                    | 0%   | 0   | 0.00%      |
| Jan-24 |  | 0%   |   |            |
| Feb-24 |  | 0%   |   |            |
| Mar-24 |  | 0%   |   |            |
| Apr-24 |  | 0%   |   |            |
| May-24 |  | 0%   |   |            |
| Jun-24 |  | 0%   |   |            |



**Performance Contract 2023-2024**

**VII. National Core Indicators (NCI) Employment**

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

|                 | Percentage | Goal |
|-----------------|------------|------|
| RCOC FY 2011-12 | 46%        | Goal |
| RCOC FY 2014-15 | 47%        | 50%  |
| RCOC FY 2018-19 | 57%        | 65%  |
| RCOC FY 2020-21 | 48%        | 70%  |



**Progress:** B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

| Fiscal Year | 30 Day | 6 Month | 12 Month |
|-------------|--------|---------|----------|
| 2017-18     | 155    | 97      | 78       |
| 2018-19     | 151    | 128     | 83       |
| 2019-20     | 131    | 115     | 90       |
| 2020-21     | 84     | 63      | 60       |
| Goal        | 110    | 85      | 75       |

**Progress:** C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

| Fiscal Year | Hours Week | Wage    |
|-------------|------------|---------|
| 2017-18     | 23.5       | \$11.31 |
| 2018-19     | 21         | \$12.06 |
| 2019-20     | 22         | \$13.06 |
| 2020-21     | 20         | \$14.40 |
| Goal        | 24         | \$15.50 |

**Performance Contract 2023-2024**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

**Objective:** RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



|      | RCOC  | Statewide Avg. |
|------|-------|----------------|
| 2016 | 2,085 | 1,201          |
| 2017 | 2,341 | 1,294          |
| 2018 | 3,336 | 1,311          |
| 2019 | 2,335 | 1,341          |
| 2020 | 1,726 | 1,082          |



**Progress:** B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



|      | RCOC | Statewide Avg. |
|------|------|----------------|
| 2017 | 21%  | 17%            |
| 2018 | 21%  | 16%            |
| 2019 | 20%  | 16%            |
| 2020 | 22%  | 19%            |

**Progress:** C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

|      | RCOC    | Statewide Avg. |
|------|---------|----------------|
| 2017 | \$7,580 | \$9,033        |
| 2018 | \$8,806 | \$10,317       |
| 2019 | \$9,578 | \$11,327       |
| 2020 | \$7,656 | \$9,733        |



**Progress:** D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

|         | Total |
|---------|-------|
| 2017-18 | 1     |
| 2018-19 | 7     |
| 2019-20 | 11    |
| 2020-21 | 0     |

**Progress:** E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

|         | % Adults |
|---------|----------|
| 2018-19 | 21%      |
| 2019-20 | 14%      |
| 2020-21 | 0%       |

**Progress:** F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

|         | Hours Week | Wage    |
|---------|------------|---------|
| 2018-19 | 18         | \$12.34 |
| 2019-20 | 13         | \$13.43 |
| 2020-21 | 13         | \$13.98 |

## Performance Contract 2023-2024

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

| <b>Birth to Age 2</b>                     |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 7 ↑                  | 0.08% ↑                           | \$27,709 ↑              | 46.8% ↓         |
| Asian                                     | 888 ↑                | 19% ↑                             | \$6,974,303 ↓           | 62.3% ↓         |
| Black/African American                    | 78 ↑                 | 1.42% ↑                           | \$521,436 ↑             | 57.1% ↑         |
| Hispanic                                  | 1,808 ↓              | 32.71% ↓                          | \$11,986,479 ↓          | 58.1% ↓         |
| Native Hawaiian or Other Pacific Islander | 12↑                  | 0.24% ↑                           | \$89,096 ↑              | 64.6% ↑         |
| Other Ethnicity or Race / Multi-Cultural  | 1,314 ↓              | 27.2% ↑                           | \$9,968,093 ↓           | 60.8% ↑         |
| White                                     | 1,067 ↑              | 19.31% ↑                          | \$7,075,012 ↓           | 57% ↓           |
| <b>Totals</b>                             | <b>5,174 ↓</b>       | <b>100.0%</b>                     | <b>\$36,642,130 ↓</b>   |                 |

| <b>Age 3 to 21 Years</b>                  |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 15 ↑                 | 0.9% ↑                            | \$94,851 ↓              | 49.9% ↓         |
| Asian                                     | 1,913 ↑              | 16.68% ↓                          | \$17,881,688 ↑          | 51.6% ↓         |
| Black/African American                    | 199 ↑                | 2% ↑                              | \$2,162,089 ↑           | 55.1% ↓         |
| Hispanic                                  | 3,974 ↑              | 28.43% ↓                          | \$30,489,363 ↑          | 56.7% ↓         |
| Native Hawaiian or Other Pacific Islander | 18 ↓                 | 0.2% ↑                            | \$217,214 ↑             | 57% ↓           |
| Other Ethnicity or Race / Multi-Cultural  | 1,933 ↑              | 19.97% ↑                          | \$21,410,236 ↑          | 53.5% ↓         |
| White                                     | 2,213 ↓              | 32.62% ↓                          | \$34,978,597 ↑          | 63.8% ↓         |
| <b>Totals</b>                             | <b>10,265 ↑</b>      | <b>100.0%</b>                     | <b>\$107,234,038 ↑</b>  |                 |



| <b>Age 22 and Over</b>                    |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 22 ↓                 | 0.3% ↔                            | \$1,474,983 ↑           | 82.8% ↓         |
| Asian                                     | 1,442 ↑              | 11.3% ↓                           | \$53,498,601 ↑          | 74.6% ↓         |
| Black/African American                    | 261 ↑                | 2.7% ↓                            | \$12,799,478 ↑          | 80.7% ↓         |
| Hispanic                                  | 2,793 ↑              | 18.76% ↓                          | \$88,798,778 ↑          | 76.7% ↓         |
| Native Hawaiian or Other Pacific Islander | 12 ↑                 | 1%                                | \$349,818 ↑             | 71.6% ↓         |
| Other Ethnicity or Race / Multi-Cultural  | 848 ↑                | 7.5% ↑                            | \$35,244,572 ↑          | 78% ↓           |
| White                                     | 4,757 ↑              | 59.39% ↑                          | \$281,084,614 ↑         | 79.3% ↓         |
| <b>Totals</b>                             | <b>10,135 ↑</b>      | <b>100.0%</b>                     | <b>\$473,250,844 ↑</b>  |                 |



**Progress:** B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

| <b>Birth to 2 Years</b>                   |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 7 ↑                   | 0 ↓                    | 0.0% ↓                     |
| Asian                                     | 888 ↑                 | 77 ↑                   | 8.7% ↑                     |
| Black/African American                    | 78 ↑                  | 4 ↑                    | 5.1% ↑                     |
| Hispanic                                  | 1,808 ↓               | 181 ↑                  | 10% ↑                      |
| Native Hawaiian or Other Pacific Islander | 12 ↑                  | 0                      | 0.0%                       |
| Other Ethnicity or Race / Multi-Cultural  | 1,314 ↓               | 70 ↑                   | 8.6% ↑                     |
| White                                     | 1,067 ↑               | 70 ↑                   | 6.6% ↑                     |
| <b>Totals</b>                             | <b>5,174↓</b>         | <b>445 ↑</b>           | <b>8.6% ↑</b>              |

| <b>Age 3 to 21 Years</b>                  |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 15                    | 7 ↑                    | 46.7% ↑                    |
| Asian                                     | 1,913 ↑               | 779 ↑                  | 40.7% ↑                    |
| Black/African American                    | 199 ↑                 | 83 ↑                   | 41.7% ↑                    |
| Hispanic                                  | 3,974 ↑               | 1,984 ↑                | 46.9% ↓                    |
| Native Hawaiian or Other Pacific Islander | 18 ↓                  | 11                     | 61.1% ↑                    |
| Other Ethnicity or Race / Multi-Cultural  | 1,933 ↑               | 742 ↑                  | 38.4% ↑                    |
| White                                     | 2,213 ↑               | 789 ↑                  | 35.7% ↑                    |
| <b>Totals</b>                             | <b>10,265 ↑</b>       | <b>4,395 ↑</b>         | <b>42.8% ↑</b>             |



| <b>Age 22 and Older</b>                   |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 22 ↓                  | 3 ↓                    | 13.6% ↓                    |
| Asian                                     | 1,442 ↑               | 337 ↑                  | 23.4% ↑                    |
| Black/African American                    | 261 ↑                 | 58 ↑                   | 22.2% ↑                    |
| Hispanic                                  | 2,793 ↑               | 712 ↑                  | 25.5% ↑                    |
| Native Hawaiian or Other Pacific Islander | 12 ↑                  | 4 ↑                    | 33.3% ↑                    |
| Other Ethnicity or Race / Multi-Cultural  | 848 ↑                 | 192 ↑                  | 22.6% ↑                    |
| White                                     | 4,757 ↑               | 716 ↑                  | 15.1% ↑                    |
| <b>Totals</b>                             | <b>10,135 ↑</b>       | <b>2,022 ↑</b>         | <b>20% ↑</b>               |



**Progress:** C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

| Primary Language             | Total People | POS Authorized Per Capita | Percentage Utilized |
|------------------------------|--------------|---------------------------|---------------------|
| ASL (American Sign Language) | 30 ↑         | \$47,662 ↓                | 84.1% ↑             |
| English                      | 19,553 ↑     | \$20,140 ↓                | 74.2% ↓             |
| Spanish                      | 4,499 ↓      | \$9,146 ↑                 | 68.3% ↓             |
| Mandarin Chinese             | 67 ↓         | \$7,105 ↓                 | 58.7% ↓             |
| Vietnamese                   | 1,084 ↑      | \$9,421 ↓                 | 69.3% ↓             |
| Korean                       | 141 ↑        | \$23,888 ↑                | 77.8% ↓             |
| Tagalog                      | 20 ↓         | \$29,933 ↑                | 81.2% ↓             |
| Arabic                       | 47 ↑         | \$7,363 ↑                 | 61.4% ↓             |
| Farsi                        | 51           | \$9,545                   | 64%                 |





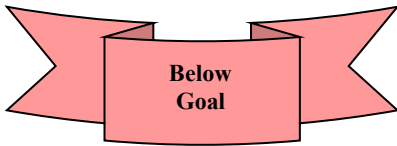
## Performance Contract Summary

| RCOC as of 2/01/2024                              | All     | RCOC #  | Goal   | Percentage | # Attained |
|---|---------|---------|--------|------------|------------|
| Developmental Center (DC)                         | 25,813  | 9       | 0      | 0.04%      | -9         |
| Children in Foster Homes (FH)                     | 12,344  | 311     | 315    | 2.52%      | -4         |
| Children Own Home Parent/Guardian                 | 12,344  | 11,994  | 11,300 | 97.16%     | 694        |
| Total # Children (FH,Parent/Guardian)             | 12,344  | 12,305  | 11,615 | 99.68%     | 690        |
| Adult FHA   | 13,460  | 87      | 110    | 0.65%      | -23        |
| Independent Living (IL)                           | 13,460  | 932     | 924    | 6.92%      | 8          |
| Adults Residing Own Home - Parent                 | 13,460  | 9,510   | 9,150  | 70.65%     | 360        |
| Supported Living (SL)                             | 13,460  | 492     | 512    | 3.66%      | -20        |
| Total # Adults (FHA, IL,Parent/Guardian, SL)      | 13,460  | 11,021  | 10,696 | 81.88%     | 325        |
| Children Residing in a CCF (7+ beds)              | 12,344  | 0       | 0      | 0.00%      | 0          |
| Children Residing in a ICF (7+ beds)              | 12,344  | 0       | 0      | 0.00%      | 0          |
| Children Residing in a Nursing Facility (7+ beds) | 12,344  | 0       | 0      | 0%         | 0          |
| Total Children Residing in 7+ bed facilities      | 12,344  | 0       | 0      | 0.00%      | 0          |
| Adults Residing in a CCF (7+ beds)                | 113,460 | 119     | 114    | 0.10%      | -5         |
| Adults Residing in a ICF (7+ beds)                | 113,460 | 15      | 6      | 0.01%      | -9         |
| Adults Residing in a Nursing Facility (7+ beds)   | 113,460 | 74      | 74     | 0.07%      | 0          |
| Total Adults Residing in 7+ bed facilities        | 113,460 | 208     | 194    | 0.18%      | -14        |
| Total Individuals Over Age 3 with <=120 days      | 260     | 259     | 100%   | 100.00%    | 99.62%     |
| Total Individuals Over Age 3 with 121-240 days    | 260     | 1       | 0%     | 0.38%      | 0.38%      |
| Total Individuals Over Age 3 Over 240 days        | 260     | 0       | 0%     | 0.00%      | 0.00%      |
| Adults with Integrated Employment Goal            | 13,460  | 48%     | 65%    |            |            |
| Total Number of Incentive Payments Made           | 13,460  | 207     |        |            |            |
| Avg. Wage per Hour After Incentive Payment        | 13,460  | \$14.40 |        |            |            |
| Number of Persons Served with Earned Income       | 13,460  | 1,726   |        |            |            |
| Percentage of 16-64 Earned Income                 | 13,460  | 22%     |        |            |            |
| Annual Earnings of 16-64                          | 13,460  | \$7,656 |        |            |            |
| Number of Adults in CIE After Paid Intern         | 13,460  | 0       |        |            |            |
| Percentage Adults Transitioned Internship to CIE  | 13,460  | 0%      |        |            |            |
| Total Annual Expenditures Race/Ethnicity          | 25,813  |         |        |            |            |

**Performance Contract 2023-2024 Cover Sheet**



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2023-2024**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

| Compliance Measure   | Outcome       |
|--|---------------|
| Unqualified audit with no material findings                                  | Yes           |
| Substantial compliance with DDS fiscal audit                                 | Yes           |
| Operates within OPS budget   | Yes           |
| Certified to participate in Waiver   | Yes           |
| Compliance with vendor audit requirements per contract, Article III, sec. 10 | Met           |
| CDER/ESR current   | 96.32         |
| Intake/Assessment and IFSP (0-2)   | 99.52         |
| IPP development biennial   | Annual, 99.46 |
| IFSP development   | 69.28         |



## Performance Contract 2023-2024

### I. Developmental Center

**Planned Activities**

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

|                               | Percentage       | All Consumers | Consumers in DC |                  |       |            |
|-------------------------------|------------------|---------------|-----------------|------------------|-------|------------|
| Statewide Average             | 0.06%            | 384,188       | 233             |                  |       |            |
| RCOC Public Hearing 8/17/22   | 0.03%            | 23,394        | 8               | Goal             | %     | # Attained |
| RCOC 2/01/24                  | 0.03%            | 25,813        | 9               | 0                | 0.03% | -9         |
| Analysis as of Public Hearing | RCOC % of DD pop |               | 6.09%           | RCOC % of DC pop |       | 3.43%      |

Number of Persons Served Residing DC's



|        | Total Active Caseload | Goal | DC | %     | Number Attained |
|--------|-----------------------|------|----|-------|-----------------|
| Jan-23 | 24,544                | 0    | 10 | 0.04% | -10             |
| Feb-23 | 24,616                | 0    | 10 | 0.04% | -10             |
| Mar-23 | 24,769                | 0    | 10 | 0.04% | -10             |
| Apr-23 | 24,906                | 0    | 9  | 0.04% | -9              |
| May-23 | 25,035                | 0    | 10 | 0.04% | -10             |
| Jun-23 | 25,232                | 0    | 10 | 0.04% | -10             |
| Jul-23 | 25,350                | 0    | 10 | 0.04% | -10             |
| Aug-23 | 25,477                | 0    | 10 | 0.04% | -10             |
| Sep-23 | 25,600                | 0    | 10 | 0.04% | -10             |
| Oct-23 | 25,677                | 0    | 10 | 0.04% | -10             |
| Nov-23 | 25,722                | 0    | 9  | 0.03% | -9              |
| Dec-23 | 25,754                | 0    | 8  | 0.03% | -8              |
| Jan-24 | 25,813                | 0    | 9  | 0.03% | -9              |
| Feb-24 |                       | 0    |    |       |                 |
| Mar-24 |                       | 0    |    |       |                 |
| Apr-24 |                       | 0    |    |       |                 |
| May-24 |                       | 0    |    |       |                 |
| Jun-24 |                       | 0    |    |       |                 |



## Performance Contract 2023-2024

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

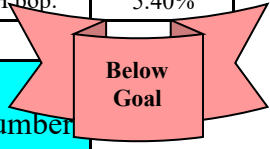
Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

|                               | Percentage        | All Children | Children in FH | Goal              | %     | # Attained |
|-------------------------------|-------------------|--------------|----------------|-------------------|-------|------------|
| Statewide Average             | 2.66%             | 197,711      | 5,256          |                   |       |            |
| RCOC Public Hearing 8/17/22   | 2.64%             | 10,752       | 284            |                   |       |            |
| RCOC 2/01/24                  | 2.52%             | 12,344       | 311            | 315               | 2.52% | -4         |
| Analysis as of Public Hearing | RCOC % of DD pop. |              | 5.44%          | RCOC % of FH pop. |       | 5.40%      |



|        | Total Children Status 1&2 | Goal | Children in Foster Homes | %     | Number Attained |
|--------|---------------------------|------|--------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 315  | 316                      | 2.73% | 1               |
| Feb-23 | 11,616                    | 315  | 313                      | 2.69% | -2              |
| Mar-23 | 11,706                    | 315  | 308                      | 2.63% | -7              |
| Apr-23 | 11,832                    | 315  | 315                      | 2.66% | 0               |
| May-23 | 11,915                    | 315  | 312                      | 2.62% | -3              |
| Jun-23 | 12,053                    | 315  | 323                      | 2.68% | 8               |
| Jul-23 | 12,129                    | 315  | 321                      | 2.65% | 6               |
| Aug-23 | 12,217                    | 315  | 322                      | 2.64% | 7               |
| Sep-23 | 12,295                    | 315  | 323                      | 2.63% | 8               |
| Oct-23 | 12,323                    | 315  | 313                      | 2.54% | -2              |
| Nov-23 | 12,332                    | 315  | 315                      | 2.55% | 0               |
| Dec-23 | 12,325                    | 315  | 305                      | 2.47% | -10             |
| Jan-24 | 12,344                    | 315  | 311                      | 2.52% | -4              |
| Feb-24 |                           | 315  |                          |       |                 |
| Mar-24 |                           | 315  |                          |       |                 |
| Apr-24 |                           | 315  |                          |       |                 |
| May-24 |                           | 315  |                          |       |                 |
| Jun-24 |                           | 315  |                          |       |                 |



Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

|                               | %                 | All Children | Children in own home Parent/Guardian |                |        |            |
|-------------------------------|-------------------|--------------|--------------------------------------|----------------|--------|------------|
| Statewide Average             | 96.94%            | 197,711      | 191,657                              |                |        |            |
| RCOC Public Hearing 8/17/22   | 96.96%            | 10,752       | 10,425                               | Goal           | %      | # Attained |
| RCOC 2/01/24                  | 97.16%            | 12,344       | 11,994                               | 11,300         | 97.16% | 694        |
| Analysis as of Public Hearing | RCOC % of DD pop. |              | 5.44%                                | RCOC % of Home |        | 96.96%     |



|        | Total Children | Children in own home Parent/Guardian | Children in Own Home Parent/Guardian | %      | Number Attained |
|--------|----------------|--------------------------------------|--------------------------------------|--------|-----------------|
| Jan-23 | 11,574         | 11,300                               | 11,220                               | 96.94% | -80             |
| Feb-23 | 11,616         | 11,300                               | 11,264                               | 96.97% | -36             |
| Mar-23 | 11,706         | 11,300                               | 11,359                               | 97.04% | 59              |
| Apr-23 | 11,832         | 11,300                               | 11,475                               | 96.89% | 175             |
| May-23 | 11,915         | 11,300                               | 11,561                               | 97.03% | 261             |
| Jun-23 | 12,053         | 11,300                               | 11,688                               | 96.97% | 388             |
| Jul-23 | 12,129         | 11,300                               | 11,765                               | 97.00% | 465             |
| Aug-23 | 12,217         | 11,300                               | 11,850                               | 97.00% | 550             |
| Sep-23 | 12,295         | 11,300                               | 11,926                               | 97.00% | 626             |
| Oct-23 | 12,323         | 11,300                               | 11,963                               | 97.08% | 663             |
| Nov-23 | 12,332         | 11,300                               | 11,972                               | 97.08% | 672             |
| Dec-23 | 12,325         | 11,300                               | 11,980                               | 97.20% | 680             |
| Jan-24 | 12,344         | 11,300                               | 11,994                               | 97.16% | 694             |
| Feb-24 |                | 11,300                               |                                      |        |                 |
| Mar-24 |                | 11,300                               |                                      |        |                 |
| Apr-24 |                | 11,300                               |                                      |        |                 |
| May-24 |                | 11,300                               |                                      |        |                 |
| Jun-24 |                | 11,300                               |                                      |        |                 |





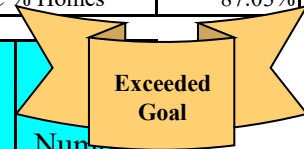


Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

|                             | %                | All Children | Total Number Children in Homes | Goal         | %      | # Attained |
|-----------------------------|------------------|--------------|--------------------------------|--------------|--------|------------|
| Statewide Average           | 99.65%           | 197,611      | 196,913                        |              |        |            |
| RCOC Public Hearing 8/17/22 | 99.60%           | 10,752       | 10,709                         | 11,615       | 99.68% | 690        |
| RCOC 2/01/24                | 99.68%           | 12,344       | 12,305                         | 11,615       | 99.68% | 690        |
| Analysis of Public Hearing  | RCOC % of DD pop |              | 5.44%                          | RCOC % Homes |        | 87.03%     |

|        | Total Children Status 1&2 | Goal   | Total Number Children in Homes | %      | Number Attained |
|--------|---------------------------|--------|--------------------------------|--------|-----------------|
| Jan-23 | 11,574                    | 11,615 | 11,536                         | 99.67% | -79             |
| Feb-23 | 11,616                    | 11,615 | 11,577                         | 99.66% | -38             |
| Mar-23 | 11,706                    | 11,615 | 11,667                         | 99.67% | 52              |
| Apr-23 | 11,832                    | 11,615 | 11,790                         | 99.65% | 175             |
| May-23 | 11,915                    | 11,615 | 11,873                         | 99.65% | 258             |
| Jun-23 | 12,053                    | 11,615 | 12,011                         | 99.65% | 396             |
| Jul-23 | 12,129                    | 11,615 | 12,086                         | 99.65% | 471             |
| Aug-23 | 12,217                    | 11,615 | 12,172                         | 99.63% | 557             |
| Sep-23 | 12,295                    | 11,615 | 12,249                         | 99.63% | 634             |
| Oct-23 | 12,323                    | 11,615 | 12,276                         | 99.62% | 661             |
| Nov-23 | 12,332                    | 11,615 | 12,287                         | 99.64% | 672             |
| Dec-23 | 12,325                    | 11,615 | 12,285                         | 99.68% | 670             |
| Jan-24 | 12,344                    | 11,615 | 12,305                         | 99.68% | 690             |
| Feb-24 |                           | 11,615 |                                |        |                 |
| Mar-24 |                           | 11,615 |                                |        |                 |
| Apr-24 |                           | 11,615 |                                |        |                 |
| May-24 |                           | 11,615 |                                |        |                 |
| Jun-24 |                           | 11,615 |                                |        |                 |



## Performance Contract 2023-2024

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

|                               | Percentage       | Total Adults Status 2 | Adults in FHA | Goal              | %     | # Attained |
|-------------------------------|------------------|-----------------------|---------------|-------------------|-------|------------|
| Statewide Average             | 0.82%            | 186,242               | 1,529         |                   |       |            |
| RCOC Public Hearing 8/17/22   | 0.78%            | 12,634                | 99            | 110               | 0.65% | -23        |
| RCOC 2/01/24                  | 0.65%            | 13,460                | 87            |                   |       |            |
| Analysis as of Public Hearing | RCOC % of DD pop |                       | 6.78%         | RCOC % of FHA pop |       | 6.47%      |



|        | Total Adults Status 2 | Goal | Adults in FHA | %     | Number Attained |
|--------|-----------------------|------|---------------|-------|-----------------|
| Jan-23 | 12,970                | 110  | 91            | 0.70% | -19             |
| Feb-23 | 12,990                | 110  | 90            | 0.69% | -20             |
| Mar-23 | 13,053                | 110  | 90            | 0.69% | -20             |
| Apr-23 | 13,065                | 110  | 90            | 0.69% | -20             |
| May-23 | 13,110                | 110  | 90            | 0.69% | -20             |
| Jun-23 | 13,179                | 110  | 89            | 0.68% | -21             |
| Jul-23 | 13,211                | 110  | 90            | 0.68% | -20             |
| Aug-23 | 13,250                | 110  | 89            | 0.67% | -21             |
| Sep-23 | 13,295                | 110  | 87            | 0.65% | -23             |
| Oct-23 | 13,344                | 110  | 86            | 0.64% | -24             |
| Nov-23 | 13,381                | 110  | 86            | 0.64% | -24             |
| Dec-23 | 13,421                | 110  | 86            | 0.64% | -24             |
| Jan-24 | 13,460                | 110  | 87            | 0.65% | -23             |
| Feb-24 |                       | 110  |               |       |                 |
| Mar-24 |                       | 110  |               |       |                 |
| Apr-24 |                       | 110  |               |       |                 |
| May-24 |                       | 110  |               |       |                 |
| Jun-24 |                       | 110  |               |       |                 |



**Progress:** B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

|                             | Percentage       | Total Adults Status 2 | Adults in Independent Living | Goal             | %     | # Attained |
|-----------------------------|------------------|-----------------------|------------------------------|------------------|-------|------------|
| Statewide Average           | 9.48%            | 186,242               | 17,651                       |                  |       |            |
| RCOC Public Hearing 8/17/22 | 7.15%            | 12,634                | 903                          |                  |       |            |
| RCOC 2/01/24                | 6.92%            | 13,460                | 932                          | 924              | 6.92% | 8          |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                        | RCOC % of IL pop |       | 5.12%      |



|        | Total Adults Status 2 | Goal | Adults in Independent Living | %     | Attained |
|--------|-----------------------|------|------------------------------|-------|----------|
| Jan-23 | 12,970                | 924  | 907                          | 6.99% | -17      |
| Feb-23 | 12,990                | 924  | 912                          | 7.02% | -12      |
| Mar-23 | 13,053                | 924  | 917                          | 7.03% | -7       |
| Apr-23 | 13,065                | 924  | 924                          | 7.07% | 0        |
| May-23 | 13,110                | 924  | 930                          | 7.09% | 6        |
| Jun-23 | 13,179                | 924  | 935                          | 7.09% | 11       |
| Jul-23 | 13,211                | 924  | 937                          | 7.09% | 13       |
| Aug-23 | 13,250                | 924  | 934                          | 7.05% | 10       |
| Sep-23 | 13,295                | 924  | 935                          | 7.03% | 11       |
| Oct-23 | 13,344                | 924  | 937                          | 7.02% | 13       |
| Nov-23 | 13,381                | 924  | 935                          | 6.99% | 11       |
| Dec-23 | 13,421                | 924  | 928                          | 6.91% | 4        |
| Jan-24 | 13,460                | 924  | 932                          | 6.92% | 8        |
| Feb-24 |                       | 924  |                              |       |          |
| Mar-24 |                       | 924  |                              |       |          |
| Apr-24 |                       | 924  |                              |       |          |
| May-24 |                       | 924  |                              |       |          |
| Jun-24 |                       | 924  |                              |       |          |

**Progress:** C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

|                             | Percentage       | Total Adults Status 2 | Adults Residing Own Home Parent | Goal               | %      | # Attained |
|-----------------------------|------------------|-----------------------|---------------------------------|--------------------|--------|------------|
| Statewide Average           | 67.43%           | 186,242               | 125,589                         |                    |        |            |
| RCOC Public Hearing 8/17/22 | 69.01%           | 12,634                | 8,719                           |                    |        |            |
| RCOC 2/01/24                | 70.65%           | 13,460                | 9,510                           | 9,150              | 70.65% | 360        |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                           | RCOC % of own home |        | 6.94%      |



|        | Total Adults Status 2 | Goal  | Adults Residing Own Home Parent | %      | Number Attained |
|--------|-----------------------|-------|---------------------------------|--------|-----------------|
| Jan-23 | 12,970                | 9,150 | 9,052                           | 69.79% | -98             |
| Feb-23 | 12,990                | 9,150 | 9,072                           | 69.84% | -78             |
| Mar-23 | 13,053                | 9,150 | 9,120                           | 69.87% | -30             |
| Apr-23 | 13,065                | 9,150 | 9,132                           | 69.90% | -18             |
| May-23 | 13,110                | 9,150 | 9,181                           | 70.03% | 31              |
| Jun-23 | 13,179                | 9,150 | 9,241                           | 70.12% | 91              |
| Jul-23 | 13,211                | 9,150 | 9,263                           | 70.12% | 113             |
| Aug-23 | 13,250                | 9,150 | 9,305                           | 70.23% | 155             |
| Sep-23 | 13,295                | 9,150 | 9,346                           | 70.30% | 196             |
| Oct-23 | 13,344                | 9,150 | 9,401                           | 70.45% | 251             |
| Nov-23 | 13,381                | 9,150 | 9,440                           | 70.55% | 290             |
| Dec-23 | 13,421                | 9,150 | 9,475                           | 70.60% | 325             |
| Jan-24 | 13,460                | 9,150 | 9,510                           | 70.65% | 360             |
| Feb-24 |                       | 9,150 |                                 |        |                 |
| Mar-24 |                       | 9,150 |                                 |        |                 |
| Apr-24 |                       | 9,150 |                                 |        |                 |
| May-24 |                       | 9,150 |                                 |        |                 |
| Jun-24 |                       | 9,150 |                                 |        |                 |



**Progress:** D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

**D. Total number and % of regional center adults residing in supported living.**

|                             | Percentage       | Total Adults Status 2 | Adults Residing in Supported Living | Goal             | %     | # Attained |
|-----------------------------|------------------|-----------------------|-------------------------------------|------------------|-------|------------|
| Statewide Average           | 5.02%            | 186,242               | 9,359                               |                  |       |            |
| RCOC Public Hearing 8/17/22 | 3.93%            | 12,634                | 496                                 | 512              | 3.66% | -20        |
| RCOC 2/01/24                | 3.66%            | 13,460                | 492                                 | 512              | 3.66% | -20        |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                               | RCOC % of SL pop |       | 5.30%      |

|        | Total Adults Status 2 | Goal | Adults Residing Supported Living | %     | Number Attained |
|--------|-----------------------|------|----------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 512  | 492                              | 3.79% | -20             |
| Feb-23 | 12,990                | 512  | 493                              | 3.80% | -19             |
| Mar-23 | 13,053                | 512  | 499                              | 3.82% | -13             |
| Apr-23 | 13,065                | 512  | 498                              | 3.81% | -14             |
| May-23 | 13,110                | 512  | 498                              | 3.80% | -14             |
| Jun-23 | 13,179                | 512  | 500                              | 3.79% | -12             |
| Jul-23 | 13,211                | 512  | 499                              | 3.78% | -13             |
| Aug-23 | 13,250                | 512  | 495                              | 3.74% | -17             |
| Sep-23 | 13,295                | 512  | 495                              | 3.72% | -17             |
| Oct-23 | 13,344                | 512  | 491                              | 3.68% | -21             |
| Nov-23 | 13,381                | 512  | 492                              | 3.68% | -20             |
| Dec-23 | 13,421                | 512  | 491                              | 3.66% | -21             |
| Jan-24 | 13,460                | 512  | 492                              | 3.66% | -20             |
| Feb-24 |                       | 512  |                                  |       |                 |
| Mar-24 |                       | 512  |                                  |       |                 |
| Apr-24 |                       | 512  |                                  |       |                 |
| May-24 |                       | 512  |                                  |       |                 |
| Jun-24 |                       | 512  |                                  |       |                 |





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

|                             | Percentage       | Total Adults Status 2 | Total Number Adults in Home Settings | Goal           | %      | # Attained |
|-----------------------------|------------------|-----------------------|--------------------------------------|----------------|--------|------------|
| Statewide Average           | 82.75%           | 186,242               | 154,119                              |                |        |            |
| RCOC Public Hearing 8/17/22 | 80.97%           | 12,634                | 10,217                               | 10,696         | 81.88% | 325        |
| RCOC 2/01/24                | 81.88%           | 13,460                | 11,021                               | 10,696         | 81.88% | 325        |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                                | RCOC % of Home |        | 6.63%      |

|        | Total Adults Status 2 | Goal   | Total Number Adults in Home Settings | %      | Number Attained |
|--------|-----------------------|--------|--------------------------------------|--------|-----------------|
| Jan-23 | 12,970                | 10,696 | 10,542                               | 81.28% | -154            |
| Feb-23 | 12,990                | 10,696 | 10,567                               | 81.35% | -129            |
| Mar-23 | 13,053                | 10,696 | 10,626                               | 81.41% | -70             |
| Apr-23 | 13,065                | 10,696 | 10,644                               | 81.47% | -52             |
| May-23 | 13,110                | 10,696 | 10,699                               | 81.61% | 3               |
| Jun-23 | 13,179                | 10,696 | 10,765                               | 81.68% | 69              |
| Jul-23 | 13,211                | 10,696 | 10,789                               | 81.67% | 93              |
| Aug-23 | 13,250                | 10,696 | 10,823                               | 81.68% | 127             |
| Sep-23 | 13,295                | 10,696 | 10,863                               | 81.71% | 167             |
| Oct-23 | 13,344                | 10,696 | 10,915                               | 81.80% | 219             |
| Nov-23 | 13,421                | 10,696 | 10,980                               | 81.81% | 284             |
| Dec-23 | 13,460                | 10,696 | 11,021                               | 81.88% | 325             |
| Jan-24 |                       | 10,696 |                                      |        |                 |
| Feb-24 |                       | 10,696 |                                      |        |                 |
| Mar-24 |                       | 10,696 |                                      |        |                 |
| Apr-24 |                       | 10,696 |                                      |        |                 |
| May-24 |                       | 10,696 |                                      |        |                 |
| Jun-24 |                       | 10,696 |                                      |        |                 |



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### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

|                             | Percentage       | Total Children Status 1&2 | Children Residing in CCF 7+ Beds | Goal             | %     | # Attained |
|-----------------------------|------------------|---------------------------|----------------------------------|------------------|-------|------------|
| Statewide Average           | 0.01%            | 197,711                   | 13                               |                  |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                |                  |       |            |
| RCOC 2/01/24                | 0.00%            | 12,344                    | 0                                | 0                | 0.00% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                            | RCOC % of CCF 7+ |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing CCF 7+ Beds | %     | Number Attained |
|--------|---------------------------|------|-------------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 0    | 1                             | 0.01% | -1              |
| Feb-23 | 11,616                    | 0    | 1                             | 0.01% | -1              |
| Mar-23 | 11,706                    | 0    | 1                             | 0.01% | -1              |
| Apr-23 | 11,832                    | 0    | 1                             | 0.01% | -1              |
| May-23 | 11,915                    | 0    | 1                             | 0.01% | -1              |
| Jun-23 | 12,053                    | 0    | 1                             | 0.01% | -1              |
| Jul-23 | 12,129                    | 0    | 1                             | 0.01% | -1              |
| Aug-23 | 12,217                    | 0    | 1                             | 0.01% | -1              |
| Sep-23 | 12,295                    | 0    | 0                             | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                             | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                             | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                             | 0.00% | 0               |
| Jan-24 | 12,344                    | 0    | 0                             | 0.00% | 0               |
| Feb-24 |                           | 0    |                               |       |                 |
| Mar-24 |                           | 0    |                               |       |                 |
| Apr-24 |                           | 0    |                               |       |                 |
| May-24 |                           | 0    |                               |       |                 |
| Jun-24 |                           | 0    |                               |       |                 |



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

|                             | Percentage       | Total Children Status 1&2 | Children Residing in an ICF 7+ beds | Goal             | %     | # Attained |
|-----------------------------|------------------|---------------------------|-------------------------------------|------------------|-------|------------|
| Statewide Average           | 0.02%            | 197,711                   | 34                                  |                  |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                   | 0                | 0.00% | 0          |
| RCOC 2/01/24                | 0.00%            | 12,344                    | 0                                   | 0                | 0.00% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                               | RCOC % of ICF 7+ |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing ICF 7+ Beds | %     | Number Attained |
|--------|---------------------------|------|-------------------------------|-------|-----------------|
| Jan-23 | 12,574                    | 0    | 0                             | 0.00% | 0               |
| Feb-23 | 11,616                    | 0    | 0                             | 0.00% | 0               |
| Mar-23 | 11,706                    | 0    | 0                             | 0.00% | 0               |
| Apr-23 | 11,832                    | 0    | 0                             | 0.00% | 0               |
| May-23 | 11,915                    | 0    | 0                             | 0.00% | 0               |
| Jun-23 | 12,053                    | 0    | 0                             | 0.00% | 0               |
| Jul-23 | 12,129                    | 0    | 0                             | 0.00% | 0               |
| Aug-23 | 12,217                    | 0    | 0                             | 0.00% | 0               |
| Sep-23 | 12,295                    | 0    | 0                             | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                             | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                             | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                             | 0.00% | 0               |
| Jan-24 | 12,344                    | 0    | 0                             | 0.00% | 0               |
| Feb-24 |                           | 0    |                               |       |                 |
| Mar-24 |                           | 0    |                               |       |                 |
| Apr-24 |                           | 0    |                               |       |                 |
| May-24 |                           | 0    |                               |       |                 |
| Jun-24 |                           | 0    |                               |       |                 |

**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

**C. Total number and % of regional center children residing in a nursing facility.**

|                             | Percentage       | Total Children Status 1&2 | Children Residing in a Nursing Facility |              |       |            |
|-----------------------------|------------------|---------------------------|---|--------------|-------|------------|
| Statewide Average           | 0.00%            | 197,711                   | 7                                       |              |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0                                       | Goal         | %     | # Attained |
| RCOC 2/01/24                | 0.00%            | 12,344                    | 0                                       | 0            | 0.00% | 0          |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%                                   | RCOC % of NF |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Children Residing in a Nursing Facility (NF) | %     | Number Attained |
|--------|---------------------------|------|--|-------|-----------------|
| Jan-23 | 12,574                    | 0    | 0  | 0.00% | 0               |
| Feb-23 | 11,616                    | 0    | 0  | 0.00% | 0               |
| Mar-23 | 11,706                    | 0    | 0  | 0.00% | 0               |
| Apr-23 | 11,832                    | 0    | 0  | 0.00% | 0               |
| May-23 | 11,915                    | 0    | 0  | 0.00% | 0               |
| Jun-23 | 12,053                    | 0    | 0  | 0.00% | 0               |
| Jul-23 | 12,129                    | 0    | 0  | 0.00% | 0               |
| Aug-23 | 12,217                    | 0    | 0  | 0.00% | 0               |
| Sep-23 | 12,295                    | 0    | 0  | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0  | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0  | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0  | 0.00% | 0               |
| Jan-24 | 12,344                    | 0    | 0  | 0.00% | 0               |
| Feb-24 |                           | 0    |  |       |                 |
| Mar-24 |                           | 0    |  |       |                 |
| Apr-24 |                           | 0    |  |       |                 |
| May-24 |                           | 0    |  |       |                 |
| Jun-24 |                           | 0    |  |       |                 |



**Progress:** D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

|                             | Percentage       | Total Children Status 1&2 | Total Children Residing in a 7+ Bed Facility | Goal          | %     | # Attained |
|-----------------------------|------------------|---------------------------|--|---------------|-------|------------|
| Statewide Average           | 0.03%            | 197,711                   | 54   |               |       |            |
| RCOC Public Hearing 8/17/22 | 0.00%            | 10,752                    | 0  | 0             | 0.00% | 0          |
| RCOC 2/01/24                | 0.00%            | 12,344                    | 0  |               |       |            |
| Analysis of Public Hearing  | RCOC % of DD pop |                           | 5.44%  | RCOC % 7+ Bed |       | 0.00%      |



|        | Total Children Status 1&2 | Goal | Total Children Residing in 7+ Bed | %     | Number Attained |
|--------|---------------------------|------|-----------------------------------|-------|-----------------|
| Jan-23 | 11,574                    | 0    | 1                                 | 0.01% | -1              |
| Feb-23 | 11,616                    | 0    | 1                                 | 0.01% | -1              |
| Mar-23 | 11,706                    | 0    | 1                                 | 0.01% | -1              |
| Apr-23 | 11,832                    | 0    | 1                                 | 0.01% | -1              |
| May-23 | 11,915                    | 0    | 1                                 | 0.01% | -1              |
| Jun-23 | 12,053                    | 0    | 1                                 | 0.01% | -1              |
| Jul-23 | 12,129                    | 0    | 1                                 | 0.01% | -1              |
| Aug-23 | 12,217                    | 0    | 1                                 | 0.01% | -1              |
| Sep-23 | 12,295                    | 0    | 0                                 | 0.00% | 0               |
| Oct-23 | 12,323                    | 0    | 0                                 | 0.00% | 0               |
| Nov-23 | 12,332                    | 0    | 0                                 | 0.00% | 0               |
| Dec-23 | 12,325                    | 0    | 0                                 | 0.00% | 0               |
| Jan-24 | 12,344                    | 0    | 0                                 | 0.00% | 0               |
| Feb-24 |                           | 0    |                                   |       |                 |
| Mar-24 |                           | 0    |                                   |       |                 |
| Apr-24 |                           | 0    |                                   |       |                 |
| May-24 |                           | 0    |                                   |       |                 |
| Jun-24 |                           | 0    |                                   |       |                 |

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**V. Adults Residing in Facilities with Seven or More Beds  
(Excluding Developmental Centers)**

**Planned Activities**

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

|                             | Percentage       | Total Adults Status 2 | Adults in CCF 7+ Beds |                     |       |            |
|-----------------------------|------------------|-----------------------|-----------------------|---------------------|-------|------------|
| Statewide Average           | 0.79%            | 186,242               | 1,466                 |                     |       |            |
| RCOC Public Hearing 8/17/22 | 0.97%            | 12,634                | 122                   | Goal                | %     | # Attained |
| RCOC 2/01/24                | 0.88%            | 13,460                | 119                   | 114                 | 0.88% | -5         |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                 | RCOC % Adult 7+ CCF |       | 8.32%      |

|        | Total Adults Status 2 | Goal | Adults Residing in CCF 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|--------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 114  | 120                            | 0.93% | -6              |
| Feb-23 | 12,990                | 114  | 121                            | 0.93% | -7              |
| Mar-23 | 13,053                | 114  | 119                            | 0.91% | -5              |
| Apr-23 | 13,065                | 114  | 118                            | 0.90% | -4              |
| May-23 | 13,110                | 114  | 118                            | 0.90% | -4              |
| Jun-23 | 13,179                | 114  | 117                            | 0.89% | -3              |
| Jul-23 | 13,211                | 114  | 115                            | 0.87% | -1              |
| Aug-23 | 13,250                | 114  | 115                            | 0.87% | -1              |
| Sep-23 | 13,295                | 114  | 113                            | 0.85% | 1               |
| Oct-23 | 13,344                | 114  | 113                            | 0.85% | 1               |
| Nov-23 | 13,381                | 114  | 112                            | 0.84% | 2               |
| Dec-23 | 13,421                | 114  | 114                            | 0.85% | 0               |
| Jan-24 | 13,460                | 114  | 119                            | 0.88% | -5              |
| Feb-24 |                       | 114  |                                |       |                 |
| Mar-24 |                       | 114  |                                |       |                 |
| Apr-24 |                       | 114  |                                |       |                 |
| May-24 |                       | 114  |                                |       |                 |
| Jun-24 |                       | 114  |                                |       |                 |



**Progress:** B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF 7+ beds.**

|                             | Percentage       | Total Adults Status 2 | Adults Residing ICF 7+ Beds | Goal          | %     | # Attained |
|-----------------------------|------------------|-----------------------|-----------------------------|---------------|-------|------------|
| Statewide Average           | 0.41%            | 186,242               | 755                         |               |       |            |
| RCOC Public Hearing 8/17/22 | 0.09%            | 12,634                | 12                          |               |       |            |
| RCOC 2/01/24                | 0.11%            | 13,460                | 15                          | 6             | 0.11% | -9         |
| Analysis of Public Hearing  | RCOC % of DD pop |                       | 6.78%                       | RCOC % ICF 7+ |       | 1.59%      |



|        | Total Adults Status 2 | Goal | Adults Residing ICF 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|-----------------------------|-------|-----------------|
| Jan-23 | 12,970                | 6    | 14                          | 0.11% | -8              |
| Feb-23 | 12,990                | 6    | 13                          | 0.10% | -7              |
| Mar-23 | 13,053                | 6    | 13                          | 0.10% | -7              |
| Apr-23 | 13,065                | 6    | 14                          | 0.11% | -8              |
| May-23 | 13,110                | 6    | 14                          | 0.11% | -8              |
| Jun-23 | 13,179                | 6    | 15                          | 0.11% | -9              |
| Jul-23 | 13,211                | 6    | 15                          | 0.11% | -9              |
| Aug-23 | 13,250                | 6    | 15                          | 0.11% | -9              |
| Sep-23 | 13,295                | 6    | 16                          | 0.12% | -10             |
| Oct-23 | 13,344                | 6    | 16                          | 0.12% | -10             |
| Nov-23 | 13,381                | 6    | 16                          | 0.12% | -10             |
| Dec-23 | 13,421                | 6    | 15                          | 0.11% | -9              |
| Jan-24 | 13,421                | 6    | 15                          | 0.11% | -9              |
| Feb-24 |                       | 6    |                             |       |                 |
| Mar-24 |                       | 6    |                             |       |                 |
| Apr-24 |                       | 6    |                             |       |                 |
| May-24 |                       | 6    |                             |       |                 |
| Jun-24 |                       | 6    |                             |       |                 |



**Progress:** C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

**C. Total number and % of regional center adults residing in a nursing facility.**

|                             | Percentage    | Total Adults Status 2 | Adults Residing in NF | Goal      | %     | # Attained |
|-----------------------------|---------------|-----------------------|-----------------------|-----------|-------|------------|
| Statewide Average           | 0.52%         | 186,242               | 967                   |           |       |            |
| RCOC Public Hearing 8/17/22 | 0.62%         | 12,634                | 78                    |           |       |            |
| RCOC 2/01/24                | 0.55%         | 13,460                | 74                    | 74        | 0.55% | 0          |
| Analysis of Public Hearing  | RCOC % DD pop |                       | 6.78%                 | RCOC % NF |       | 8.07%      |



|        | Total Adults Status 2 | Goal | Adults Residing in NF | %     | Number Attained |
|--------|-----------------------|------|-----------------------|-------|-----------------|
| Jan-23 | 12,970                | 74   | 79                    | 0.61% | -5              |
| Feb-23 | 12,990                | 74   | 80                    | 0.62% | -6              |
| Mar-23 | 13,053                | 74   | 91                    | 0.70% | -17             |
| Apr-23 | 13,065                | 74   | 91                    | 0.70% | -17             |
| May-23 | 13,110                | 74   | 81                    | 0.62% | -7              |
| Jun-23 | 13,179                | 74   | 79                    | 0.60% | -5              |
| Jul-23 | 13,211                | 74   | 75                    | 0.57% | -1              |
| Aug-23 | 13,250                | 74   | 75                    | 0.57% | -1              |
| Sep-23 | 13,295                | 74   | 74                    | 0.56% | 0               |
| Oct-23 | 13,344                | 74   | 74                    | 0.55% | 0               |
| Nov-23 | 13,381                | 74   | 75                    | 0.56% | -1              |
| Dec-23 | 13,421                | 74   | 79                    | 0.59% | -5              |
| Jan-24 | 13,460                | 74   | 74                    | 0.55% | 0               |
| Feb-24 |                       | 74   |                       |       |                 |
| Mar-24 |                       | 74   |                       |       |                 |
| Apr-24 |                       | 74   |                       |       |                 |
| May-24 |                       | 74   |                       |       |                 |
| Jun-24 |                       | 74   |                       |       |                 |



**Progress:** D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

|                             | Percentage       | Total Adults Status 2 | Total Adults Residing in 7+ Bed | Goal          | %     | # Attained |
|-----------------------------|------------------|-----------------------|---------------------------------|---------------|-------|------------|
| Statewide Average           | 1.71%            | 186,242               | 3,188                           |               |       |            |
| RCOC Public Hearing 8/17/22 | 1.68%            | 12,634                | 212                             |               |       |            |
| RCOC 2/01/24                | 1.55%            | 13,460                | 208                             | 194           | 1.55% | -14        |
| Analysis of Public Meeting  | RCOC % of DD pop |                       | 6.78%                           | RCOC % 7+ Bed |       | 6.65%      |



|        | Total Adults Status 2 | Goal | Total Adults Residing in 7+ Beds | %     | Number Attained |
|--------|-----------------------|------|----------------------------------|-------|-----------------|
| Jan-23 | 12,970                | 194  | 194                              | 1.64% | -19             |
| Feb-23 | 12,990                | 194  | 214                              | 1.65% | -20             |
| Mar-23 | 13,053                | 194  | 223                              | 1.71% | -29             |
| Apr-23 | 13,065                | 194  | 223                              | 1.71% | -29             |
| May-23 | 13,110                | 194  | 213                              | 1.62% | -19             |
| Jun-23 | 13,179                | 194  | 211                              | 1.60% | -17             |
| Jul-23 | 13,211                | 194  | 205                              | 1.55% | -11             |
| Aug-23 | 13,250                | 194  | 205                              | 1.55% | -11             |
| Sep-23 | 13,295                | 194  | 203                              | 1.53% | -9              |
| Oct-23 | 13,344                | 194  | 203                              | 1.52% | -9              |
| Nov-23 | 13,381                | 194  | 203                              | 1.52% | -9              |
| Dec-23 | 13,421                | 194  | 208                              | 1.55% | -14             |
| Jan-24 | 13,460                | 194  | 208                              | 1.55% | -14             |
| Feb-24 |                       | 194  |                                  |       |                 |
| Mar-24 |                       | 194  |                                  |       |                 |
| Apr-24 |                       | 194  |                                  |       |                 |
| May-24 |                       | 194  |                                  |       |                 |
| Jun-24 |                       | 194  |                                  |       |                 |



## Performance Contract 2023

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

**Progress:** A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

|                             | Percentage | Total #<br>Age 3 or<br>Over | Total #<br>Over Age 3<br>with <=120<br>Days | Goal    | % Attained |
|-----------------------------|------------|-----------------------------|---|---------|------------|
| Statewide Average           | 95.05%     | 9,095                       | 8,645                                       |         |            |
| RCOC Public Hearing 8/17/22 | 98.00%     | 226                         | 222   | Goal    | % Attained |
| RCOC 2/01/24                | 99.62%     | 260                         | 259   | 100.00% | 99.62%     |



|        | Total<br>Number<br>Individuals<br>Age 3 or<br>Over | Goal | Total<br>Number<br>Individuals<br>Over Age 3<br>with <=120<br>Days | %<br>Attained |
|--------|--|------|--|---------------|
| Jan-23 | 242  | 100% | 240  | 99.17%        |
| Feb-23 | 262  | 100% | 260  | 99.24%        |
| Mar-23 | 237  | 100% | 237  | 100%          |
| Apr-23 | 285  | 100% | 285  | 100%          |
| May-23 | 317  | 100% | 317  | 100%          |
| Jun-23 | 290  | 100% | 290  | 100%          |
| Jul-23 | 297  | 100% | 295  | 99.33%        |
| Aug-23 | 283  | 100% | 283  | 100%          |
| Sep-23 | 273  | 100% | 269  | 98.53%        |
| Oct-23 | 247  | 100% | 247  | 100%          |
| Nov-23 | 274  | 100% | 274  | 100%          |
| Dec-23 | 270  | 100% | 270  | 100%          |
| Jan-24 | 260  | 100% | 259  | 99.62%        |
| Feb-24 |  | 100% |  |               |
| Mar-24 |  | 100% |  |               |
| Apr-24 |  | 100% |  |               |
| May-24 |  | 100% |  |               |
| Jun-24 |  | 100% |  |               |



**Progress:** B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

|                             | Percentage | Total Number Individual s Age 3 and Over | Total Number of Individuals Over Age 3 With 121-240 Days | Goal  | % Attained |
|-----------------------------|------------|--|--|-------|------------|
| Statewide Average           | 3.83%      | 9,095                                    | 348  |       |            |
| RCOC Public Hearing 8/17/22 | 2.00%      | 226                                      | 4  |       |            |
| RCOC 2/01/24                | 0.38%      | 260                                      | 1  | 0.00% | 0.38%      |



|        | Total Number of Individuals Age 3 or Over | Goal  | Total Number Individuals Over Age 3 With 121-240 Days | %     |
|--------|---|-------|---|-------|
| Jan-23 | 242                                       | 0.00% | 1   | 0.41% |
| Feb-23 | 262                                       | 0.00% | 1   | 0.38% |
| Mar-23 | 237                                       | 0.00% | 0   | 0.00% |
| Apr-23 | 285                                       | 0.00% | 0   | 0.00% |
| May-23 | 317                                       | 0.00% | 0   | 0.00% |
| Jun-23 | 290                                       | 0.00% | 0   | 0.00% |
| Jul-23 | 297                                       | 0.00% | 2   | 0.67% |
| Aug-23 | 283                                       | 0.00% | 0   | 0.00% |
| Sep-23 | 273                                       | 0.00% | 4   | 1.47% |
| Oct-23 | 247                                       | 0.00% | 0   | 0.00% |
| Nov-23 | 274                                       | 0.00% | 0   | 0.00% |
| Dec-23 | 270                                       | 0.00% | 0   | 0.00% |
| Jan-24 | 260                                       | 0.00% | 1   | 0.38% |
| Feb-24 |   | 0.00% |   |       |
| Mar-24 |   | 0.00% |   |       |
| Apr-24 |   | 0.00% |   |       |
| May-24 |   | 0.00% |   |       |
| Jun-24 |   | 0.00% |   |       |



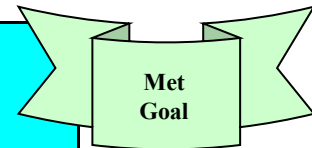
**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

|                             | Percentage | Total Number Individuals Age 3 or Over | Total Number Individuals Over Age 3 Over 240 Days | Goal  | % Attained |
|-----------------------------|------------|--|---|-------|------------|
| Statewide Average           | 1.12%      | 9,095                                  | 102   |       |            |
| RCOC Public Meeting 8/17/22 | 0.00%      | 226                                    | 0   |       |            |
| RCOC 2/01/24                | 0.00%      | 260                                    | 0   | 0.00% | 0.00%      |



|        | Total Number Individuals Age 3 or Over | Goal | Total Number Individuals Over Age 3 Over 240 Days | % Attained |
|--------|--|------|---|------------|
| Jan-23 | 242                                    | 0%   | 1   | 0.41%      |
| Feb-23 | 262                                    | 0%   | 1   | 0.38%      |
| Mar-23 | 237                                    | 0%   | 0   | 0.00%      |
| Apr-23 | 285                                    | 0%   | 0   | 0.00%      |
| May-23 | 317                                    | 0%   | 0   | 0.00%      |
| Jun-23 | 290                                    | 0%   | 0   | 0.00%      |
| Jul-23 | 297                                    | 0%   | 0   | 0.00%      |
| Aug-23 | 283                                    | 0%   | 0   | 0.00%      |
| Sep-23 | 273                                    | 0%   | 0   | 0.00%      |
| Oct-23 | 247                                    | 0%   | 0   | 0.00%      |
| Nov-23 | 274                                    | 0%   | 0   | 0.00%      |
| Dec-23 | 270                                    | 0%   | 0   | 0.00%      |
| Jan-24 | 260                                    | 0%   | 0   | 0.00%      |
| Feb-24 |  | 0%   |   |            |
| Mar-24 |  | 0%   |   |            |
| Apr-24 |  | 0%   |   |            |
| May-24 |  | 0%   |   |            |
| Jun-24 |  | 0%   |   |            |



**Performance Contract 2023-2024**

**VII. National Core Indicators (NCI) Employment**

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

|                 | Percentage |      |
|-----------------|------------|------|
| RCOC FY 2011-12 | 46%        | Goal |
| RCOC FY 2014-15 | 47%        | 50%  |
| RCOC FY 2018-19 | 57%        | 65%  |
| RCOC FY 2020-21 | 48%        | 70%  |



**Progress:** B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

| Fiscal Year | 30 Day | 6 Month | 12 Month |
|-------------|--------|---------|----------|
| 2017-18     | 155    | 97      | 78       |
| 2018-19     | 151    | 128     | 83       |
| 2019-20     | 131    | 115     | 90       |
| 2020-21     | 84     | 63      | 60       |
| Goal        | 110    | 85      | 75       |

**Progress:** C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

| Fiscal Year | Hours Week | Wage    |
|-------------|------------|---------|
| 2017-18     | 23.5       | \$11.31 |
| 2018-19     | 21         | \$12.06 |
| 2019-20     | 22         | \$13.06 |
| 2020-21     | 20         | \$14.40 |
| Goal        | 24         | \$15.50 |

**Performance Contract 2023-2024**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

**Objective:** RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



|      | RCOC  | Statewide Avg. |
|------|-------|----------------|
| 2016 | 2,085 | 1,201          |
| 2017 | 2,341 | 1,294          |
| 2018 | 3,336 | 1,311          |
| 2019 | 2,335 | 1,341          |
| 2020 | 1,726 | 1,082          |



**Progress:** B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



|      | RCOC | Statewide Avg. |
|------|------|----------------|
| 2017 | 21%  | 17%            |
| 2018 | 21%  | 16%            |
| 2019 | 20%  | 16%            |
| 2020 | 22%  | 19%            |

**Progress:** C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

|      | RCOC    | Statewide Avg. |
|------|---------|----------------|
| 2017 | \$7,580 | \$9,033        |
| 2018 | \$8,806 | \$10,317       |
| 2019 | \$9,578 | \$11,327       |
| 2020 | \$7,656 | \$9,733        |



**Progress:** D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

|         | Total |
|---------|-------|
| 2017-18 | 1     |
| 2018-19 | 7     |
| 2019-20 | 11    |
| 2020-21 | 0     |

**Progress:** E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

|         | % Adults |
|---------|----------|
| 2018-19 | 21%      |
| 2019-20 | 14%      |
| 2020-21 | 0%       |

**Progress:** F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

|         | Hours Week | Wage    |
|---------|------------|---------|
| 2018-19 | 18         | \$12.34 |
| 2019-20 | 13         | \$13.43 |
| 2020-21 | 13         | \$13.98 |

## Performance Contract 2023-2024

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

| <b>Birth to Age 2</b>                     |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 7 ↑                  | 0.08% ↑                           | \$27,709 ↑              | 46.8% ↓         |
| Asian                                     | 888 ↑                | 19% ↑                             | \$6,974,303 ↓           | 62.3% ↓         |
| Black/African American                    | 78 ↑                 | 1.42% ↑                           | \$521,436 ↑             | 57.1% ↑         |
| Hispanic                                  | 1,808 ↓              | 32.71% ↓                          | \$11,986,479 ↓          | 58.1% ↓         |
| Native Hawaiian or Other Pacific Islander | 12 ↑                 | 0.24% ↑                           | \$89,096 ↑              | 64.6% ↑         |
| Other Ethnicity or Race / Multi-Cultural  | 1,314 ↓              | 27.2% ↑                           | \$9,968,093 ↓           | 60.8% ↑         |
| White                                     | 1,067 ↑              | 19.31% ↑                          | \$7,075,012 ↓           | 57% ↓           |
| <b>Totals</b>                             | <b>5,174 ↓</b>       | <b>100.0%</b>                     | <b>\$36,642,130 ↓</b>   |                 |

| <b>Age 3 to 21 Years</b>                  |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 15 ↑                 | 0.9% ↑                            | \$94,851 ↓              | 49.9% ↓         |
| Asian                                     | 1,913 ↑              | 16.68% ↓                          | \$17,881,688 ↑          | 51.6% ↓         |
| Black/African American                    | 199 ↑                | 2% ↑                              | \$2,162,089 ↑           | 55.1% ↓         |
| Hispanic                                  | 3,974 ↑              | 28.43% ↓                          | \$30,489,363 ↑          | 56.7% ↓         |
| Native Hawaiian or Other Pacific Islander | 18 ↓                 | 0.2% ↑                            | \$217,214 ↑             | 57% ↓           |
| Other Ethnicity or Race / Multi-Cultural  | 1,933 ↑              | 19.97% ↑                          | \$21,410,236 ↑          | 53.5% ↓         |
| White                                     | 2,213 ↓              | 32.62% ↓                          | \$34,978,597 ↑          | 63.8% ↓         |
| <b>Totals</b>                             | <b>10,265 ↑</b>      | <b>100.0%</b>                     | <b>\$107,234,038 ↑</b>  |                 |



| <b>Age 22 and Over</b>                    |                      |                                   |                         |                 |
|---|----------------------|-----------------------------------|-------------------------|-----------------|
| <b>Ethnicity</b>                          | <b>Total Persons</b> | <b>Percentage of Expenditures</b> | <b>Total Authorized</b> | <b>Utilized</b> |
| American Indian or Alaska Native          | 22 ↓                 | 0.3% ↔                            | \$1,474,983 ↑           | 82.8% ↓         |
| Asian                                     | 1,442 ↑              | 11.3% ↓                           | \$53,498,601 ↑          | 74.6% ↓         |
| Black/African American                    | 261 ↑                | 2.7% ↓                            | \$12,799,478 ↑          | 80.7% ↓         |
| Hispanic                                  | 2,793 ↑              | 18.76% ↓                          | \$88,798,778 ↑          | 76.7% ↓         |
| Native Hawaiian or Other Pacific Islander | 12 ↑                 | 1%                                | \$349,818 ↑             | 71.6% ↓         |
| Other Ethnicity or Race / Multi-Cultural  | 848 ↑                | 7.5% ↑                            | \$35,244,572 ↑          | 78% ↓           |
| White                                     | 4,757 ↑              | 59.39% ↑                          | \$281,084,614 ↑         | 79.3% ↓         |
| <b>Totals</b>                             | <b>10,135 ↑</b>      | <b>100.0%</b>                     | <b>\$473,250,844 ↑</b>  |                 |



**Progress:** B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

| <b>Birth to 2 Years</b>                   |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 7 ↑                   | 0 ↓                    | 0.0% ↓                     |
| Asian                                     | 888 ↑                 | 77 ↑                   | 8.7% ↑                     |
| Black/African American                    | 78 ↑                  | 4 ↑                    | 5.1% ↑                     |
| Hispanic                                  | 1,808 ↓               | 181 ↑                  | 10% ↑                      |
| Native Hawaiian or Other Pacific Islander | 12 ↑                  | 0                      | 0.0%                       |
| Other Ethnicity or Race / Multi-Cultural  | 1,314 ↓               | 70 ↑                   | 8.6% ↑                     |
| White                                     | 1,067 ↑               | 70 ↑                   | 6.6% ↑                     |
| <b>Totals</b>                             | <b>5,174↓</b>         | <b>445 ↑</b>           | <b>8.6% ↑</b>              |

| <b>Age 3 to 21 Years</b>                  |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 15                    | 7 ↑                    | 46.7% ↑                    |
| Asian                                     | 1,913 ↑               | 779 ↑                  | 40.7% ↑                    |
| Black/African American                    | 199 ↑                 | 83 ↑                   | 41.7% ↑                    |
| Hispanic                                  | 3,974 ↑               | 1,984 ↑                | 46.9% ↓                    |
| Native Hawaiian or Other Pacific Islander | 18 ↓                  | 11                     | 61.1% ↑                    |
| Other Ethnicity or Race / Multi-Cultural  | 1,933 ↑               | 742 ↑                  | 38.4% ↑                    |
| White                                     | 2,213 ↑               | 789 ↑                  | 35.7% ↑                    |
| <b>Totals</b>                             | <b>10,265 ↑</b>       | <b>4,395 ↑</b>         | <b>42.8% ↑</b>             |



| <b>Age 22 and Older</b>                   |                       |                        |                            |
|---|-----------------------|------------------------|----------------------------|
| <b>Ethnicity</b>                          | <b>Total Eligible</b> | <b>Case Management</b> | <b>Percent No Services</b> |
| American Indian or Alaska Native          | 22 ↓                  | 3 ↓                    | 13.6% ↓                    |
| Asian                                     | 1,442 ↑               | 337 ↑                  | 23.4% ↑                    |
| Black/African American                    | 261 ↑                 | 58 ↑                   | 22.2% ↑                    |
| Hispanic                                  | 2,793 ↑               | 712 ↑                  | 25.5% ↑                    |
| Native Hawaiian or Other Pacific Islander | 12 ↑                  | 4 ↑                    | 33.3% ↑                    |
| Other Ethnicity or Race / Multi-Cultural  | 848 ↑                 | 192 ↑                  | 22.6% ↑                    |
| White                                     | 4,757 ↑               | 716 ↑                  | 15.1% ↑                    |
| <b>Totals</b>                             | <b>10,135 ↑</b>       | <b>2,022 ↑</b>         | <b>20% ↑</b>               |



**Progress:** C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

| Primary Language             | Total People | POS Authorized Per Capita | Percentage Utilized |
|------------------------------|--------------|---------------------------|---------------------|
| ASL (American Sign Language) | 30 ↑         | \$47,662 ↓                | 84.1% ↑             |
| English                      | 19,553 ↑     | \$20,140 ↓                | 74.2% ↓             |
| Spanish                      | 4,499 ↓      | \$9,146 ↑                 | 68.3% ↓             |
| Mandarin Chinese             | 67 ↓         | \$7,105 ↓                 | 58.7% ↓             |
| Vietnamese                   | 1,084 ↑      | \$9,421 ↓                 | 69.3% ↓             |
| Korean                       | 141 ↑        | \$23,888 ↑                | 77.8% ↓             |
| Tagalog                      | 20 ↓         | \$29,933 ↑                | 81.2% ↓             |
| Arabic                       | 47 ↑         | \$7,363 ↑                 | 61.4% ↓             |
| Farsi                        | 51           | \$9,545                   | 64%                 |



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: John “Chip” Wright  
Chair, Executive Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

SUBJECT: **Approval of Renewal of Board Membership for Bruce Hall for a Three-Year Term Commencing April 1, 2024 and Ending March 31, 2027**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Mr. Bruce Hall will complete his one-year term on March 31, 2024. The Executive Committee recommends that the Board renew Mr. Hall’s membership for a three-year term, commencing April 1, 2024 and ending March 31, 2027.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Mr. Bruce Hall’s membership for a three-year term, commencing April 1, 2024 and ending March 31, 2027.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: John “Chip” Wright  
Chair, Executive Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

SUBJECT: **Approval of Renewal of Board Membership for Jacqueline Nguyen for a Three-Year Term Commencing April 1, 2024 and Ending March 31, 2027**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Ms. Jacqueline Nguyen will complete her one-year term on March 31, 2024. The Executive Committee recommends that the Board renew Ms. Nguyen’s membership for a three-year term, commencing April 1, 2024 and ending March 31, 2027.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Jacqueline Nguyen’s membership for a three-year term, commencing April 1, 2024 and ending March 31, 2027.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: John “Chip” Wright  
Chair, Executive Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

SUBJECT: **Approval of Sandy Martin as Chairperson for a Term Commencing May 1, 2024 and Ending June 30, 2024**

BACKGROUND:

RCOC’s Bylaws describe the Term of Office and Vacancies in Section 3.03, “An officer’s term shall be for eighteen months and shall commence January 1 or July 1, whichever date first follows the election of the officer. In the event an office becomes vacant then the Board shall elect a successor who shall serve the unexpired portion of the term.”

REASON FOR CURRENT ITEM:

On November 2, 2022, the Board approved the slate of officers for terms commencing January 1, 2023 and ending June 30, 2024, which included John “Chip” Wright as Chairperson. Mr. Wright’s first seven-year term ends on April 30, 2024. The Executive Committee has proposed that Sandy Martin fill the remaining two months of Mr. Wright’s term. Ms. Martin’s term would commence May 1, 2024 and end June 30, 2024.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Martin as Chairperson.

**Regional Center of Orange County  
Board Recruitment and Training Committee  
January 16, 2024  
Videoconference Minutes**

**Committee Members Present:** John “Chip” Wright, Chair  
Bruce Hall  
Sandy Martin  
Chinh Nguyen (*joined at 5:02 p.m.*)  
Fernando Peña

**Committee Member Absent:** Frances Hernandez  
Hilda Mendez

**RCOC Staff Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Director of Information Technology  
Arturo Cazares, Director of Community Services  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Christy Petteruto, General Counsel  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Director of Human Resources

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

**I. Board Recruitment**

**A. Review Board Members’ Terms of Office and Upcoming Turnover**

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

**B. Discuss Recruitment Needs**

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts and Committee members following up with potential Board applicants.

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics**

The Committee reviewed the outline for the upcoming Board of Directors’ training on the Self-Determination Program (SDP) and Person-Centered Thinking (PCT) scheduled for February 1, 2024.

*Board Recruitment and Training Committee Minutes  
January 16, 2024*

**III. Community Forum**

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:30 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Policies and Outcomes Committee  
February 20, 2024  
Videoconference Minutes**

**Committee Members Present:** Cliff Amsden, Chairperson  
Meena Chockalingam  
Jacqueline Nguyen  
Sandy Martin  
John “Chip” Wright

**Board Member Present:** Yvonne Kluttz

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Director of Information Technology  
Arturo Cazares, Director of Community Services  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Christy Petteruto, General Counsel  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Director of Finance  
Stacy Wong, Director of Human Resources

**Corporate Counsel Present:** Greg Simonian, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:46 p.m.

**I. Governance Policies**

**A. Review of the Contract Policy**

The Committee reviewed and did not propose any revisions to the policy.

**B. Review of the Document Retention and Destruction Policy**

The Committee reviewed and did not propose any revisions to the policy.

**C. Review of the Policy on Notification to Persons Served and Families of Significant Service Deficits**

The Committee reviewed and did not propose any revisions to the policy.

**D. Review of the Policy on Insurance Requirements for Providers**

Ms. Christy Petteruto, RCOC’s General Counsel reported that RCOC is proposing changes to the Policy on Insurance Requirements for Providers. The policy currently indicates that RCOC requires all service providers to maintain general, professional and sexual misconduct liability

***RCOC Policies and Outcomes Committee Minutes***  
***February 20, 2024***

coverage, include RCOC as an additional insured, and must provide RCOC the Certificates of Insurance necessary to demonstrate compliance with the insurance requirement. The proposed language allows for RCOC's Executive Director to exempt service providers who provide Financial Management Services (FMS) solely as fiscal agents pursuant to the Bill Payer Model under the Self-Determination Program from the obligation to provide sexual misconduct liability coverage.

The Committee reviewed and proposed revisions to the policy.

***M/S/C to recommend that the Board approve the Policy on Insurance Requirements for Providers as proposed.***

**II. Outcomes**

**A. Person Centered Thinking (PCT) Update**

Ms. Jennifer Montanez, RCOC's Director of Case Management, reported that RCOC continues to provide PCT trainings for new staff and refresher courses for those staff who received PCT training in the past.

Mr. Arturo Cazares, RCOC's Director of Community Services, reported that although vendor participation in PCT trainings has been lower than expected, there has recently been more interest by vendors to start the trainings as well as the vendor PCT certification program.

**B. Health and Wellness Project Update**

Dr. Bonnie Ivers, RCOC's Clinical Director reported that there were no updates. An update will be provided at the Board meeting on March 7, 2024.

**C. Employment Update**

Mr. Cazares reported that Children's Hospital of Orange County (CHOC) held its Project Search graduation on May 17, 2023. He also reported that RCOC is working with Kaiser Permanente to establish a Project Search program in Irvine.

Mr. Cazares shared that the availability of FMS Agencies has been a barrier to the Paid Internship Program. RCOC is in the process of securing an FMS agency that will serve Orange County and will assist RCOC with the expansion of the Paid Internship Program.

**D. Housing Update**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that his team is working to develop a behavioral respite program, a children's home and a behavior management program. In addition, RCOC will commence the Request for Proposal (RFP) process for the

***RCOC Policies and Outcomes Committee Minutes***  
***February 20, 2024***

acquisition of three single family homes and the development of two Enhanced Behavioral Support Home (EBSH) and one Adult Residential Facility for Person with Special Health Needs - Behavioral (ARFPSHN-B).

**E. National Core Indicators (NCI) Update**

Mr. Landauer reported that the NCI Family surveys will be sent to families of persons served by the State Council on Developmental Disabilities (SCDD).

**III. Community Forum**

No community members were present.

Mr. Amsden adjourned the meeting at 6:16 p.m.

*Recorder: Sandra Lomeli*



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee  
SUBJECT: **Contract Policy**

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 20, 2024, the Policies and Outcomes Committee reviewed the Contract Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Contract Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **XVII. CONTRACT POLICY**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) recognizes the importance of transparency and accountability to the community it serves. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to reporting information with accuracy and transparency and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

### **POLICY**

The Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (and any revisions or amendments thereto).

All contracts requiring payment of two hundred fifty thousand dollars (\$250,000) or more shall be reviewed and approved by the RCOC Board of Directors prior to entering into the contract (WIC 4625.5 (a)).

No RCOC contract of \$250,000 or more will be valid unless approved by the RCOC Board of Directors in compliance with this written policy (WIC 4625.5(b)).

The RCOC Board of Directors shall be notified in writing of any proposed material change to a contract that has been previously approved by the RCOC Board of Directors. The RCOC Board may elect to call a special meeting to vote to approve or deny the proposed material change if it so desires, which meeting shall occur no more than ten (10) days from the date notice is given. If the RCOC Board does not give notice of a special meeting within three (3) days of receiving notice of the proposed material change, such material change shall be deemed approved. For the purposes of this policy, a material change is equivalent to ten percent (10%) or more of the original contract value.

For purposes of this policy, contracts shall not include vendor approval letters issued by RCOC pursuant to Section 54322 of Title 17 of the California Code of Regulations (WIC 4625.5(c)).

### **GUIDING PRINCIPLES**

- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.

- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee  
SUBJECT: **Document Retention and Destruction Policy**

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 20, 2024, the Policies and Outcomes Committee reviewed the Document Retention and Destruction Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Document Retention and Destruction Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **XV. DOCUMENT RETENTION AND DESTRUCTION POLICY**

### **BACKGROUND**

The corporate records of the Regional Center of Orange County (RCOC) are important assets. The purpose of this policy is to establish retention and destruction policies and schedules for specific categories of records in order to ensure legal compliance, and also to accomplish other objectives, such as preserving intellectual property and cost management.

### **POLICY**

#### **A. Definition**

Corporate records include essentially all records produced in the course of business as a member of the Board or an employee, whether paper or electronic, including but not limited to the specific categories of records identified in section B, subsections 1 through 10 below. A record may be as obvious as a memorandum, an e-mail, a contract or a case study, or something not as obvious, such as a computerized desk calendar, an appointment book or an expense record.

#### **B. Maintenance of Records**

Various laws require RCOC to maintain certain types of corporate records, usually for a specified period of time. Failure to retain these records for the prescribed periods could subject a Board member or an employee and RCOC to penalties and fines, cause the loss of rights, obstruct justice, spoil potential evidence in a lawsuit, place RCOC in contempt of court, or seriously disadvantage RCOC in litigation.

In compliance with RCOC's contract with the Department of Developmental Services (DDS), RCOC shall maintain books, records, documents, case files, and other evidence pertaining to the budget, revenues, expenditures, and persons served under this contract (herein collectively called "records") in accordance with mutually agreed to procedures and Generally Accepted Accounting Principles (GAAP).

Several categories of documents that bear special consideration are identified below. While minimum retention periods are identified and records should not be destroyed prior to the expiration of the retention period, the retention of the documents identified below and of documents not included in the identified categories should be determined primarily by the application of the general guidelines affecting document retention identified above, as well as any other pertinent factors.

1. Financial Records. The following financial records shall be retained for a minimum of seven (7) years from the end of the applicable fiscal year:

- (i) Payroll records

- (ii) Documents concerning expenses and revenues
- (iii) Books of account
- (iv) Check registers
- (v) Canceled checks
- (vi) Internal reports
- (vii) Bank statements
- (viii) Operations purchase orders
- (ix) Invoices
- (x) Invoice documentation
- (xi) Accounting procedures

The following records shall be retained permanently:

- External audits of RCOC
  - General ledgers and subsidiary ledgers
  - Financial statements
  - Tax filings
  - Insurance policies
2. Persons Served Records. Persons served records include documents evidencing the provision of services to persons with developmental disabilities. Persons served records shall be maintained in accordance with RCOC's Services and Supports Records Retaining Guidelines, but at a minimum for a period of seven (7) years.
  3. Employment Records/Personnel Records. State and federal statutes require RCOC to keep certain recruitment, employment and personnel information. All personnel records pertaining to an individual employee, including all final memoranda and correspondence reflecting performance reviews and actions taken by or against personnel, shall be maintained in the employee's personnel file. Employment and personnel records shall be retained for the duration of employment plus seven (7) years, except as follows:
    - (i) Employment Eligibility Verification Forms (I-9 Forms) shall be kept until the later of five (5) years from hire date, or one (1) year after termination.
    - (ii) Workers Compensation files shall be kept for ten (10) years.
    - (iii) Chemical safety and toxic exposure records shall be kept for the duration of employment plus thirty (30) years.
  4. Board and Board Committee Materials. Meeting minutes shall be retained permanently. A copy of all Board and Board Committee materials, including Board membership applications, shall be kept for no less than five (5) years by RCOC.
  5. Corporate Documents. Corporate Articles of Incorporation, IRS Determination Letter, Tax Exempt Application (Form 1023), Bylaws and the like, including

amendments, shall be retained permanently (and also readily available for public disclosure).

6. Press Releases/Public Filings. RCOC should retain permanent copies of all press releases and publicly filed documents.
7. Litigation Files. Legal counsel should be consulted to determine the retention period of particular documents, but litigation documents should generally be maintained for a period of ten (10) years. This excludes Fair Hearing and Due Process Hearing files, unless a hearing resulted in an appeal to the Superior Court. Fair Hearing and Due Process documents (excluding exhibits which are not specific to a person served) are maintained as part of persons served records during the normal course of business. Legal counsel shall be consulted prior to the destruction of any files related to legal proceedings to which RCOC is a party.
8. Development/Intellectual Property and Trade Secrets. Development documents are often subject to intellectual property protection in their final form (e.g., patents and copyrights). The documents detailing the development process are often also of value to RCOC and are protected as a trade secret where RCOC:
  - a. derives independent economic value from the secrecy of the information, and the information not being generally known to the public or to other persons who can obtain economic value from its disclosure or use; and
  - b. has taken affirmative steps to keep the information confidential.

RCOC should keep all documents designated as containing trade secret information for at least the life of the trade secret.

9. Contracts. RCOC shall retain copies of all final executed contracts not included in other categories of records within this policy for at least five (5) years beyond the life of the agreement. All contracts involving construction or property improvements shall be retained permanently.
10. Electronic Mail. Email shall be maintained in RCOC's email archive system for a minimum of seven (7) years. Emails that need to be kept longer than 7 years (for example, emails containing information necessary for the performance of job duties) should be either:
  - a. printed in hard copy and kept in the appropriate file; or
  - b. downloaded to a computer file and kept electronically.

### **C. Storage of Records**

Files for persons served, provider, and administrative records are maintained at RCOC in a secure environment. At the discretion of the Custodian of Records, files may be sent to an off-site storage location operated by a provider under contract with RCOC. Files are sent to or retrieved from offsite storage by RCOC using a process developed by the provider.

In compliance with RCOC's contract with DDS, RCOC shall comply with the most current version of DDS' 'Requirements for Electronic Storage of Records' as developed by DDS and the Association of Regional Center Agencies (ARCA).

### **D. Destruction**

All physical documents referred to in this policy which are to be destroyed shall be shredded. All electronic documents referred to in this policy which are to be destroyed shall be permanently deleted using methods which regularly in the course of business verify complete destruction. Employees shall obtain permission prior to the destruction of any records. Authority to destroy documents is as follows: for persons served records, the General Counsel; for service provider and financial records, the Chief Financial Officer; for employment records, the Human Resources Director; and for all other records either the Executive Director or his/her designee.

### **E. Litigation or Claims**

In the event any RCOC Board member or employee becomes aware of litigation or potential litigation (i.e., a dispute that could result in litigation) involving RCOC, the Board member or employee shall immediately inform the Executive Director or his/her designee, and any further disposal of records, including records in electronic form, shall be suspended until such time as the Executive Director, with the advice of legal counsel, determines otherwise. This shall include a suspension of the transferring of original records to electronic retention. In addition, no alterations or markings shall be made on an original document. Upon receiving notice of litigation or potential litigation, the Executive Director or his/her designee shall immediately take such steps as necessary to promptly inform all staff of the suspension of disposal of records.

### **F. Compliance**

RCOC expects all Board members and employees to fully comply with this Document Retention and Destruction Policy.

Failure to comply with this Document Retention and Destruction Policy may result in disciplinary action against the employee, including suspension or termination. Questions about this policy should be referred to the General Counsel, who is responsible for administering, enforcing and recommending updates to this policy.



## **GUIDING PRINCIPLES**

- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to, its stakeholders and the community it serves.
- RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

SUBJECT: **Policy on Notification to Persons Served and Families of Significant Service Deficits**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 20, 2024, the Policies and Outcomes Committee reviewed the Policy on Notification to Persons Served and Families of Significant Service Deficits.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **IX. POLICY ON NOTIFICATION TO PERSONS SERVED AND FAMILIES OF SIGNIFICANT SERVICE DEFICITS**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

### **POLICY**

For any vendored service for which there exists either a verified immediate danger to persons served or a verified substantial inadequacy that poses a threat to the health and safety of any person served, RCOC will promptly notify persons served who are directly affected by the verified immediate danger or verified substantial inadequacy. In addition, where appropriate, the individuals' parents, legal guardians, or conservators will be promptly notified.

### **GUIDING PRINCIPLES**

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.
- Persons served live in homes where they receive quality care and can form relationships.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

SUBJECT: **Approval of Revisions to the Policy on Insurance Requirements for Providers**

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 20, 2024, the Policies and Outcomes Committee reviewed the Policy on Insurance Requirements for Providers.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Policy on Insurance Requirements for Providers, as indicated in the attachment. The policy currently requires that all providers who are serving RCOC persons served maintain general, professional and sexual misconduct liability coverage, and that RCOC be named as an additional insured on all policies of insurance. The proposed language allows for RCOC’s Executive Director to exempt service providers who provide Financial Management Services (FMS) solely as fiscal agents pursuant to the Bill Payer Model under the Self Determination Program, and who are vendored under Service Code 315, from the obligation to provide sexual misconduct liability coverage.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy on Insurance Requirements for Providers, as presented.

## XI. POLICY ON INSURANCE REQUIREMENTS FOR PROVIDERS

### BACKGROUND

The Regional Center of Orange County (RCOC) ~~has a fiduciary duty~~desires to protect the interests of ~~persons served, RCOC and the State of California. RCOC protects the interests of persons served~~the individuals it serves and their families by making sure that providers will have the financial resources to compensate for damages that persons served may ~~be~~ incur~~red by persons served~~ as a result of negligence or other wrongful acts by service providers. RCOC also desires to ~~protects~~ the interests of RCOC and the State by making sure that their financial resources are not required to compensate third parties ~~(including persons served)~~ who may be damaged as a result of negligent or wrongful acts by service providers. RCOC is committed to ~~assuring~~maximizing the health and safety of the individuals it serves.

### POLICY

All service providers who are serving RCOC persons served shall maintain general, professional and sexual misconduct liability coverage, in amounts and scope of coverage as determined by RCOC, at all times while providing such services. RCOC shall be named as an additional insured on all policies of insurance. Service Pproviders shall deposit with RCOC the Certificates of Insurance necessary to demonstrate compliance with this insurance requirement as a condition to the right to be paid for their services.

### LIMITED EXEMPTION FOR FMS AS FISCAL AGENT

RCOC's Executive Director may, in their sole discretion, exempt service providers who provide Financial Management Services (FMS) solely as fiscal agents pursuant to the Bill Payer Model under the Self Determination Program (Welf. and Inst. Code Section 4685.8), and who are vendored under Service Code 315, from the obligation to provide sexual misconduct liability coverage.

### GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.

**Regional Center of Orange County  
Vendor Advisory Committee  
January 9, 2024  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Atrem Behmanesh, present  
Co-Chair, Ryan Perez, present

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Member Pending  
Co-Chair, Member Pending

**Behavior Services**

Chair, Cindy Hebert, present  
Co-Chair, Junie Lazo-Pearson, present

**Community Care Facilities**

Chair, Member Pending  
Co-Chair, Member Pending

**Early Intervention**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Pam Alexander, absent

**Habilitation**

Chair, Marina Margaryan, present  
Co-Chair, Jodean Hudson, present *(joined at 2:06 p.m.)*

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, absent

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, Andrew Velasco, present  
Co-Chair, Alex Saldana, present *(joined at 2:04 p.m.)*

**Liaisons:**

**CalOptima**

Liaison Pending

**Orange County Transit Authority**

Melissa Mungia, absent  
Christina Blanco, absent

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Jerrold Bonner, Director of Information Technology  
Liliana Castillo, Accounting Manager – Vendorization

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Arturo Cazares, Director of Community Services  
Karina Crosby, Accounting Manager – Payables  
Bonnie Ivers, Director of Clinical Services  
Wayed Kabir, Peer Advocate  
Jennifer Montanez, Director of Case Management  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Chief Financial Officer  
Sean Watson, Associate Director of Risk Management

**Call to Order**

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:03 p.m.

**I. RCOC Update**

Mr. Larry Landauer reported that the he had no updates.

**A. Vendor Update**

Ms. Liliana Castillo, RCOC's Accounting Manager – Vendorization, reported that remote services were extended. Remote services provided to persons served may be billed from January 1, 2023 to June 30, 2025. Providers must complete and submit the Remote Services Monthly Attendance Sheet with their invoice. Remote services are for day programs and are not applicable to transportation and program support services.

Ms. Castillo also reported that the Department of Developmental Services adjusted the Rate Model benchmark rate for Independent Living Services (ILS). ILS vendors will receive 50% of the difference between the rate in effect March 31, 2022 and the adjusted benchmark rate effective January 1, 2024.

The minimum wage increased from \$15.50 to \$16.00 effective January 1, 2024. Vendors with DDS set rates must complete and submit the 2024 Minimum Wage Rate Adjustment Request Workbook directly to DDS via the DDS website at [www.dds.ca.gov](http://www.dds.ca.gov). Vendors with negotiated or median rates must submit their requests to RCOC. Missing information or documentation will delay the review and approval process.

Ms. Castillo also reported that vendors who completed the second Direct Service Provider (DSP) Workforce Survey are eligible for an \$8,000 Quality Incentive Program payment (QIP2). DDS released the first round of validated DSP surveys on November 22, 2023. The payment for this first round of validated survey was issued on December 28, 2023.

**B. Direct Service Provider (DSP) Training Stipend Program Update**

Mr. Arturo Cazares, RCOC's Director of Community Services, reported that direct service providers (DSPs) can complete trainings via the Association of Regional Center Agencies (ARCA) Learn website to be eligible for the DSP Training Stipend Program. Every Monday RCOC receives a report of DSPs that have completed trainings the prior week. Vendors are required to verify the DSP information before RCOC can issue payment. Vendors are responsible for issuing payments to DSPs.

**C. Home and Community-Based Services Compliance Funding Plan**

Mr. Cazares reported that RCOC's proposed plan for HCBS Compliance Funding was shared for vendor feedback and will be submitted to DDS for approval. The plan includes continuation of the Employment Pilot Program with Goodwill Industries of Orange County, translation of Tri-Counties Regional Center's HCBS Final Rule animated series of videos, a request for proposals to identify an agency to provide technical assistance to vendors requiring support to comply with the HCBS Final Rule, and developing a Community of Practice for PCT for RCOC vendors with support provided by Helen Sanderson Associates.

**II. Board Report**

Mr. Perez reported that a Board of Directors' meeting was held on January 11, 2024.

**III. Peer Advisory Committee (PAC) Report**

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that the next Peer Advisory Committee (PAC) meeting will host a presentation on *Healthy Life, Happy Life* by Dr. Sam Ho, RCOC's Clinical Pharmacist.

**IV. Liaison Reports**

**A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

**B. Orange County Transportation Authority (OCTA) – Melissa Mungia (absent)**

In Ms. Melissa Mungia absence, Mr. Perez reported that at OCTA's last Accessible Transit Advisory Committee (ATAC) meeting it was determined that OCTA will no longer provide a secondary vehicle when a rider misses a scheduled ride or when a mobility device exceeds the specifications of the accessible vehicle sent to the rider. In December 2023, OCTA mailed notices to OC ACCESS participants with a history of Not Ready Return (NRR) rides informing them of the discontinuation of this service effective April 1, 2024. Notices will go out later this month to all OC ACCESS riders traveling with a mobility device, notifying them that the large wheelchair accommodation practice will be also be discontinued effective



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April 1, 2024. OCTA anticipates an improvement in service and ridership experience by discontinuing these two practices.

**V. Member Reports**

**A. Adult Behavior Management – Atrem Behmanesh (present)**

**B. Adult Day Programs – Rick Perez (present)**

Ms. Atrem Behmanesh reported that the two subcommittees met today and were informed that a new Financial Management Services (FMS) agency has been attained to provide support for the Paid Internship Program. The subcommittee also received an SIR training and an update on the HCBS Funding Plan.

**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Member Pending**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that the subcommittee is scheduled to meet later this month to elect a new subcommittee Chair.

**D. Behavior Services – Cindy Hebert (present)**

Ms. Cindy Hebert reported that the subcommittee met on December 7, 2023, and reviewed the referral process for services to ensure it is submitted to the appropriate personnel. The subcommittee also discussed Telehealth services and reviewed new DDS directives.

The next subcommittee meeting is scheduled for March 2024.

**E. Community Care Facilities (CCF) – Member Pending**

Mr. Stanton reported that the subcommittee did not meet.

**F. Early Intervention – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that the subcommittee is scheduled to meet later this month. Ms. Lazo-Pearson inquired if Early Start vendor rates will be adjusted similar to Independent Living Services (ILS). Ms. Marta Vasquez, RCOC's Chief Financial Officer, responded that DDS has not issued any other rate adjustment information.

**G. Habilitation – Marina Margaryan (present)**

Ms. Marina Margaryan reported that the subcommittee met today and discussed the DSP Training Stipend Program and continued challenges regarding hiring staff.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee met today and discussed California's new 2024 laws, staffing concerns, the upcoming Special Incident Report (SIR) training and the new ILS rate increase effective January 2024.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

**J. Support Services/Allied Health – Andrew Velasco (present)**

Mr. Andrew Velasco reported that the subcommittee met today and discussed the DSP Training Stipend Program, the state deficit, and reviewed RCOC's SIR process with Mr. Stanton.

**VI. Community Forum**

There were no speakers for community forum.

**VII. Other**

Mr. Perez shared vendor's concerns regarding the difficulty finding agencies to complete independent audits within the nine (9) month timeline due to tax season and staffing issues. Mr. Perez asked if it was a possible to extend the timeline; the group is discussing submitting a letter to DDS requesting an extension to the timeline.

Ms. Vasquez responded that vendors have nine months from the end of their fiscal year to submit their independent audit or review report and not all vendors have the same year-end. In addition, vendors who submit an audit or review report with no issues can request a two-year exemption to the independent audit or review report requirements.

**VIII. Adjournment**

Mr. Perez adjourned the meeting at 2:42 p.m.

The next VAC meeting is scheduled for February 13, 2024.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Vendor Advisory Committee  
February 13, 2024  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Atrem Behmanesh, present  
Co-Chair, Ryan Perez, present *(joined at 2:11pm)*

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Member Pending  
Co-Chair, Member Pending

**Behavior Services**

Chair, Cindy Hebert, present  
Co-Chair, Junie Lazo-Pearson, present

**Community Care Facilities**

Chair, Member Pending  
Co-Chair, Member Pending

**Early Intervention**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Pam Alexander, absent

**Habilitation**

Chair, Marina Margaryan, present  
Co-Chair, Jodean Hudson, absent

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, absent

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, Andrew Velasco, present  
Co-Chair, Alex Saldana, present *(joined at 2:08pm)*

**Liaisons:**

**CalOptima**

Liaison Pending

**Orange County Transit Authority**

Melissa Mungia, absent  
Christina Blanco, present *(joined at 2:14pm)*

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Liliana Castillo, Accounting Manager – Vendorization  
Karina Crosby, Accounting Manager - Payables

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Wayed Kabir, Peer Advocate  
Jennifer Montanez, Director of Case Management  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Chief Financial Officer  
Jerrod Bonner, IT Director  
Arturo Cazares, Director of Community Services  
Dr. Bonnie Ivers, Clinical Director  
Sean Watson, Associate Director of Risk Management  
*(joined at 2:06pm)*

**Call to Order**

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:03 p.m.

**I. RCOC Update**

Mr. Larry Landauer reported that the Board of Directors held a training on February 1, 2024. Mr. Landauer also reported that RCOC is growing at a rate of 4.4%, while statewide growth is at 6.2%.

**A. Vendor Update**

Ms. Liliana Castillo, RCOC's Accounting Manager, reported that RCOC received the second round of validated Direct Service Provider (DSP) surveys from the Department of Developmental Services (DDS). The Quality Incentive Payments were issued on January 30, 2024.

Ms. Castillo also reported that RCOC processed the Independent Living Service Rate Model increases effective January 1, 2024.

**B. PCT Credential Trainer Program**

Ms. Tammy Forcum, an Associate with Helen Sanderson Associates provided information for individual interested in becoming Person-Center Thinking (PCT) Trainers. Interested parties can reach out to Mr. Arturo Cazares, RCOC's Director of Community Services via email at [acazares@rcocdd.com](mailto:acazares@rcocdd.com) for an application. Applications will be accepted until February 29, 2024.

Ms. Forcum also stated that there are 10 spaces available that will be funded by RCOC. For those not selected but still interested in participating in the PCT Training, may reach Ms. Forcum at [tammy@helensandersonassociates.com](mailto:tammy@helensandersonassociates.com).

**Board Report**

Mr. Perez reported that the next Board Meeting is scheduled for March 7, 2023.

## **II. Peer Advisory Committee (PAC) Report**

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that Dr. Ho, RCOC's Clinical Pharmacist presented on *Health Life, Happy Life* at their January 2024 meeting. Mr. Kabir also reported that their next meeting is scheduled for February 21, 2024 and Dr. Peter Himber, RCOC's Physician will be presenting on *Heart Healthy*.

## **Liaison Reports**

### **A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

### **B. Orange County Transportation Authority (OCTA) – Melissa Mungia (absent)**

In Ms. Melissa Mungia's absence, Ms. Cristina Blanco reported that OCTA made bus schedule adjustments for about seven routes that had not major impact on riders. She also shared that the OCTA website is available in all languages and same day service information was updated.

## **III. Member Reports**

### **A. Adult Behavior Management – Atrem Behmanesh (present)**

### **B. Adult Day Programs – Rick Perez (present)**

Ms. Atrem Behmanesh reported that the two subcommittees met today and discussed several topics including, absence billing as a result of the State of emergency, vendor experiences with the Self-Determination Program and an email sent from the Lanterman Coalition opposing the delay in implementing the final phase of the service provider rate reform. The committee also discussed the Home and Community-Based Services (HCBS) audits that RCOC will be conducting.

### **C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Member Pending**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that the subcommittee met on February 1, 2024, and elected Ms. Shari Panganiban from California Mentor as their new subcommittee Chair.

### **D. Behavior Services – Cindy Hebert (present)**

Ms. Cindy Hebert reported that the subcommittee is scheduled to meet on March 7, 2024. However, the subcommittee held a special meeting today to discuss services provided to CalOptima members. Many individuals are requesting to return to regional center funded services due to the discontent with delayed authorizations from CalOptima.

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Ms. Hebert shared that she has invited CalOptima representatives to attend the meeting, but has not received a response.

**E. Community Care Facilities (CCF) – Member Pending**

Mr. Stanton reported that the subcommittee did not meet.

**F. Early Intervention – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that the subcommittee met on January 9, 2024. The committee discussed the change from the Peabody Developmental Motor Scales (PDMS-2) to PDMS-3 and noted that the new test is cost prohibitive. The subcommittee is also working with Dr. Bonnie Ivers, RCOC's Clinical Director to identify alternative options.

The next subcommittee meeting is scheduled for April 18, 2024.

**G. Habilitation – Marina Margaryan (present)**

Ms. Marina Margaryan reported that the subcommittee did not meet.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee met today and had an employment attorney share legal updates for 2024. She also reported that Dr. Ho presented on Health Life, Happy Life Program.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

**J. Support Services/Allied Health – Andrew Velasco (present)**

Mr. Andrew Velasco reported that the subcommittee met today and discussed the final DDS Rate Model increase, Special Incident Reports and respite transportation. Mr. Velasco noted that families need to be made aware that respite workers cannot transport persons served.

**IV. Community Forum**

There were no speakers for community forum.

**V. Adjournment**

Mr. Perez adjourned the meeting at 2:51 p.m.

The next VAC meeting is scheduled for March 12, 2024.

*Recorder: Marta Vasquez*

REGIONAL CENTER OF ORANGE COUNTY  
 BOARD OF DIRECTORS  
AGENDA ITEM DETAIL SHEET

DATE: March 7, 2024  
 TO: Board of Directors  
 FROM: Rick Perez  
 Chair, Vendor Advisory Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

SUBJECT: **Approval of Vendor Advisory Committee Member**

BACKGROUND:

RCOC’s Board of Directors appoints the members of the Vendor Advisory Committee, pursuant to Welfare and Institutions Code §4622(i), which states:

*“The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.”*

REASON FOR CURRENT ITEM:

The following subcommittee of RCOC’s Vendor Advisory Committee has met and submitted their nomination for committee membership (see attachment). Terms of membership are for two years as follows:

| Membership Category  | Term of Membership            |
|--|-------------------------------|
| <b>Adult Family Home Agency/Foster Family Agency (AFHA/FFA)</b><br>Shari Panganiban, Chair | March 7, 2024 to May 31, 2025 |

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Vendor Advisory Committee member as presented.

**Attachment for Agenda Item III.I.1.**

**VENDOR ADVISORY COMMITTEE**

**NOMINATION FOR MEMBERSHIP**

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| <b>Adult Family Home Agency/Foster Family Agency (AFHA/FFA)</b> |   |
|---|---|
| <b>Shari Panganiban,<br/>Chair</b><br><br>California Mentor     | Ms. Panganiban has been working in the field of developmental disabilities for the past 30 years. She has been with California MENTOR Family Home Agency program since 2022 and currently serves as the Program Director. Prior to joining MENTOR, Ms. Panganiban supported individuals residing in Intermediate Care Facilities here in Orange County and serves the developmentally disabled community by providing occupational, speech therapy, and physical therapy services. Ms. Panganiban believes that everyone deserves their voice to be heard and serves as a strong advocate by helping those without a voice to fight for their rights. She feels she was called to be with this population to help them achieve their best life. |

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**Regional Center of Orange County  
Peer Advisory Committee  
January 17, 2024  
Videoconference Minutes**

**Committee Members Present:** Yvonne Kluttz, Chairperson  
Amy Jessee, Co-Chair  
Stephen Gersten  
Wayed Kabir  
Peter Kuo  
Fernando Peña

**Committee Members Absent:** Kerri Adamic  
Marcell Bassett  
Cheryl Day  
Sylvia Delgado

**Board Member Present:** Chinh Nguyen

**RCOC Staff Members Present:** Arturo Cazares, Director of Community Services  
Sam Ho, Clinical Pharmacist  
Jennifer Montanez, Director of Case Management

**Guests:** Erika Acensio  
Miguel Lugo, Disability Voices United (DVU)  
Mark Henry  
Beba Saba  
Scott Williams

Ms. Amy Jessee called the meeting to order at 3:03 p.m.

**I. Welcome and Introductions**

Ms. Jessee welcomed everyone to the Peer Advisory Committee (PAC) meeting.

**II. RCOC's Peer Advocate Report**

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that there were no updates.

**III. Healthy Life, Happy Life!**

Dr. Sam Ho, RCOC's Consulting Pharmacist, presented the results of the data collected from RCOC's *Healthy Life, Happy Life* program. Dr. Ho also provided healthy tips to live a more active and healthy life.

**IV. Community Forum**

Mr. Miguel Lugo shared that Disability Voices United (DVU) is recruiting for their new Self-Advocates Speakers Bureau. This is a group of people with disabilities sharing their stories to advocate for policy change. For more information or to apply, visit: <https://disabilityvoicesunited.org/self-advocates-speakers-bureau/>.

**V. Next Scheduled Meeting**

The next PAC meeting is scheduled for February 21, 2024.

Ms. Jessee adjourned the meeting at 3:36 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Peer Advisory Committee  
February 21, 2024  
Videoconference Minutes**

**Committee Members Present:** Yvonne Kluttz, Chairperson  
Amy Jessee, Co-Chair  
Sylvia Delgado  
Wayed Kabir  
Peter Kuo  
Fernando Peña

**Committee Members Absent:** Kerri Adamic  
Marcell Bassett  
Cheryl Day  
Stephen Gersten

**Board Member Present:** Chinh Nguyen

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Arturo Cazares, Director of Community Services  
Peter Himber, Medical Director  
Jennifer Montanez, Director of Case Management

**Guest(s):** Mark Henry

Ms. Amy Jessee called the meeting to order at 3:08 p.m.

**I. Welcome and Introductions**

Ms. Jessee welcomed everyone to the Peer Advisory Committee (PAC) meeting.

**II. RCOC's Peer Advocate Report**

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that there were no updates.

**III. Heart Healthy**

Dr. Peter Himber, RCOC's Medical Director, presented on *How to Have a Healthy Heart* and the importance of maintaining a healthy lifestyle.

**IV. Community Forum**

There were no speakers for community forum.

**V. Next Scheduled Meeting**

The next PAC meeting is scheduled for March 20, 2024.

Ms. Jessee adjourned the meeting at 3:57 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Legislative and Community Awareness Committee  
January 9, 2024  
Videoconference Minutes**

**Committee Members Present:** Bruce Hall, Chairperson  
Meena Chockalingam  
Sandy Martin  
John “Chip” Wright

**Committee Member Absent:** Chinh Nguyen  
Hilda Mendez

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Arturo Cazares, Director of Community Services  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Kathleen McFarlin, Family Support and Community Outreach Manager  
Kaitlynn Truong, Cultural Specialist  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Director of Human Resources

**Guests:** Linda Blankenship, Consultant

Mr. Bruce Hall called the meeting to order at 5:01 p.m.

**I. Public Relations**

**A. News Media Outreach**

Ms. Linda Blankenship reported that media outreach included an article released in Exceptional Parent Magazine for December 2023, authored by Mr. Larry Landauer, on options for parents to obtain support in the community. In their January issue, Exceptional Parent Magazine ran a bylined article by Dr. Bonnie Ivers, RCOC’s Clinical Director, on developmental screening of infants and early intervention.

**B. Dialogue**

Ms. Blankenship reported that the winter issue of the *Dialogue* will highlight Mr. Alberto Baston, a person served who used social media to connect with others and overcome isolation. It also includes the Executive Director’s report on the importance of holding Individual Program Plan (IPP) meetings in-person. Ms. Blankenship also reported that in response to community surveys, in addition to English, Spanish and Vietnamese, RCOC will be translating and printing the newsletter in Korean.

**C. Website Update**

In Ms. Anh Nguyen's absence, Mr. Landauer reported that there were no updates.

**D. Social Media**

Mr. Landauer shared that RCOC's social media platforms continue to feature information on events, public meetings and trainings.

**II. Legislative Outreach**

**A. ARCA Update**

Mr. Landauer reported that Ms. Sandy Martin will be representing RCOC as its new Board delegate for ARCA's Board of Directors. The next scheduled Board of Directors' meeting is set for January 18, 2024 in Sacramento.

**B. Budget Update and Delegation Relationships**

Mr. Landauer reported that Governor Gavin Newsom will be presenting his budget on January 10, 2024. RCOC will connect with its legislative delegation and staff after the Governor's budget presentation to share ARCA's response to the Governor's budget.

Mr. Landauer also reported that RCOC will participate in ARCA's Grassroots Day scheduled for April 2, 2024 in Sacramento.

**III. Community Outreach**

**A. Spotlight Awards**

Mr. Landauer reported that the Spotlight Awards are scheduled for April 18, 2024 at the Embassy Suites Hotel in Anaheim. Ticket prices will be \$75 for general admission.

**B. Disparity-Focused Activities**

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that RCOC's internal Diversity and Inclusion Task Force continues to meet monthly. The Task Force is exploring options to improve communication with families of diverse backgrounds to ensure they have access to RCOC services and resources.

Ms. Kathleen McFarlin, RCOC's Family Support and Community Outreach Manager, reported that RCOC will be holding a resource fair for the deaf and hard of hearing community. The event is being coordinated with Mr. Brian Nesdale, RCOC's Deaf and Hard of Hearing Coordinator. One of the main goals of the event will be to encourage

***RCOC Legislative and Community Awareness Committee Minutes  
January 9, 2024***

attendees to complete a survey on how RCOC is doing in providing services to this community.

Ms. McFarlin also reported that RCOC used funds allocated for Language Access and Cultural Competency (LACC) to survey Spanish, Vietnamese and Korean-speaking families. The Thompson Policy Institute on Disability at Chapman University conducted the survey. A preliminary report with the survey results will be issued. Listening sessions are also being conducted with various communities and collaborating agencies. LACC funding will also be used to provide cultural competency training to RCOC's vendor community and to produce a brochure in several languages regarding services provided within the community.

Ms. McFarlin also shared that Ms. Chinh Nguyen, RCOC's Board Member, Mr. Landauer and, Ms. Kaitlynn Truong, FRC's Cultural Specialist, will be participating in the City of Westminster's annual Lunar New Year Tet Parade celebration on February 10, 2024.

**C. Holiday Events**

Ms. McFarlin reported that the Camino Real Playhouse in San Juan Capistrano hosted its first sensory friendly production of *A Charlie Brown Christmas* and filled 87 out of its 88 seats. Hyundai subsidized ticket prices for this event.

Ms. McFarlin also reported that the Irvine Barclay Theatre show *the Nutcracker* was held on December 8, 2023. The event sold close to 500 tickets, the largest ticket sales to date. This year's event added American Sign Language (ASL) interpreters and carolers who performed outside of the venue.

Ms. Truong reported that the Wish Tree Program fulfilled 611 persons served wishes. In addition, through ABC7's Spark of Love Toy Drive, RCOC was able to donate 200 unwrapped toys to siblings of persons served. Thank you letters and a collage of pictures of persons served receiving their gifts will be sent to program sponsors.

**D. Developmental Services Careers/Vendor Support**

Mr. Arturo Cazares, RCOC's Director of Community Services, report that he and Dr. Ivers are continuing their efforts to partner with community colleges to speak with students about RCOC services and potential career opportunities in the developmental disabilities field.

**IV. Community Forum**

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 5:41 p.m.

*Recorder: Sandra Lomeli*