



**REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS' MEETING  
AGENDA**

Date: **Thursday, May 2, 2019**

Time: **6:00 – 8:00 p.m.**

Place: RCOC Board Room, 1525 North Tustin Avenue, Santa Ana, California 92705

<b>I.</b>	<b>Closed Session (Board Members Only)</b>	
A.	W&I Code §4663 and §4664	David M. Lester, Esq.
<b>II.</b>	<b>Recess</b>	
<b>III.</b>	<b>General Session</b>	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	Alan Martin
B.	Budget and Finance Committee	John "Chip" Wright
C.	Consent Calendar*	Alan Martin
	<ol style="list-style-type: none"> <li>1. Approval of Board of Directors' Minutes for March 7, 2019**</li> <li>2. Budget and Finance Committee**               <ol style="list-style-type: none"> <li>a. Approval of Monthly Sufficiency of Allocation Report, February 2019**</li> <li>b. Approval of Monthly Sufficiency of Allocation Report, March 2019**</li> <li>c. Review Draft of Form 990, Return of Organization Exempt from Income Tax for the Year Ended June 30, 2018**</li> <li>d. Approval of Budget Amendment</li> </ol> </li> </ol>	
D.	Executive Director's Report	Larry Landauer
	<ol style="list-style-type: none"> <li>1. Operations Report – February 2018**</li> <li>2. Operations Report – March 2019**</li> <li>3. Performance Contract – February 2018**</li> <li>4. Performance Contract – March 2019**</li> <li>5. Employment Update</li> <li>6. Fairview Developmental Center Update</li> <li>7. Housing Update</li> <li>8. Hylond Update</li> </ol>	Arturo Cazares Mary Carlson Jack Stanton Keli Radford
E.	Community Forum***	Alan Martin
F.	Executive Committee	Alan Martin
	<ol style="list-style-type: none"> <li>1. Approval of Renewal of Board Membership for Marcell Bassett for a Three-Year Term Commencing July 1, 2019 and Ending June 30, 2022**</li> <li>2. Approval of Renewal of Board Membership for Alan Martin for a One-Year Term Commencing July 11, 2019 and Ending July 10, 2020**</li> <li>3. Approval of Renewal of Board Membership for Sylvia Delgado for a Three-Year Term Commencing August 1, 2019 and Ending July 31, 2022**</li> </ol>	
G.	Board Recruitment and Training Committee**	Alan Martin
H.	Policies and Outcomes Committee**	Cliff Amsden
	<ol style="list-style-type: none"> <li>1. Approval of Revisions to the Policy on Executive Performance and Monitoring**</li> <li>2. Approval of Revisions to the Executive Limitations Policies**</li> <li>3. Approval of Revisions to the Communications Policy**</li> </ol>	
I.	Vendor Advisory Committee**	Tiffany Bauer
J.	Peer Advisory Committee**	Sylvia Delgado
K.	Legislative and Community Awareness Committee	Liza Krassner
L.	ARCA Report	Liza Krassner
M.	Chairperson's Report	Alan Martin

<b>IV.</b>	<b>Adjournment</b>	Alan Martin
------------	--------------------	-------------

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting  
March 7, 2019  
Minutes**

**Board Members Present:** Alan Martin, Chairperson  
Cliff Amsden  
Tiffany Bauer  
Marcell Bassett  
Meena Chockalingam  
Sylvia Delgado  
Mine Hagen  
Amy Jessee  
Liza Krassner  
Hilda Mendez  
Fernando Peña  
John "Chip" Wright

**Board Members Absent:** Cristina Alba  
Peter Kuo

**Corporate Counsel Present:** David Lester, Esq.

**I. General Session**

Mr. Alan Martin called the meeting to order at 6:04 p.m.

**A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement**

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.  
Ms. Sylvia Delgado then read RCOC's Mission and Vision Statement.

**B. Budget and Finance Committee**

Mr. Chip Wright reported that the Committee met, reviewed and recommends for approval all items on the consent calendar. The Committee also discussed hiring, turnover and caseload ratios. Ms. Marta Vasquez, RCOC's Associate Finance Director, presented a summary of vendor comments to DDS' Vendor Rate Study.

**C. Consent Calendar**

1. Approval of Board of Directors' Minutes for January 10, 2019
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, December 2018

- b. Approval of Monthly Sufficiency of Allocation Report, January 2019
- c. Approval of Budget Amendment E-2, Fiscal Year 2018-19
- d. Approval of Budget Amendment C-6, Fiscal Year 2016-17
- e. Approval of Line of Credit for Fiscal Year 2018-19
- f. Approval of CalPERS Requirement for a Publicly Available Pay Schedule
- g. Approval of First Amendment to West Area Office Lease

*M/S/C to approve the consent calendar as presented.*

#### **D. Executive Director's Report**

Mr. Landauer gave his Executive Director's Report, which included the following highlights:

- *Statewide News.* Mr. Landauer reported that the State Assembly Budget Subcommittee #1 on Health and Human Services held a six-hour hearing on March 6, 2019. The agenda included items which impact the Department of Rehabilitation and the Department of Developmental Services (DDS), e.g., the Direct Support Professional staffing crises and the need for rate increases.

Mr. Landauer stated that DDS' Vendor Rate Study will be released on March 15, 2019; and, the public comment deadline has been extended to April 5, 2019.

Mr. Landauer reported that Governor Gavin Newsom appointed South Pasadena resident, Mr. Mark Ghaly, a forty-four year old practicing pediatrician and Director of Health and Social Impact for Los Angeles County, as his Secretary for the California Health and Human Services Agency (CHHS). CHHS employs more than thirty-three thousand people working in twelve departments and four offices that provide a wide range of health care services, social services, mental health, alcohol and drug services, income assistance, and public health services to California, including those with disabilities, mental health needs, seniors, and low-incomes.

- *Purchase of Services (POS) Expenditures for Fiscal Year 2018-19.* Mr. Landauer reported that there is a projected deficit system wide in the POS allocation for fiscal year 2018-19. It ranges from a low \$42 million in the best case scenario and a high of \$70 million in the worst case scenario. RCOC is projecting a surplus of approximately \$.6 million. Mr. Landauer added that RCOC's caseload grew by 2.2% or 275 individuals.
- *Person Centered Thinking (PCT).* In Mr. Patrick Ruppe's absence, Ms. Cristina Mercado, RCOC's PCT Coordinator, reported that six PCT trainings for families commenced in January 2019, and are scheduled to run through June

*RCOC Board of Directors' Meeting Minutes  
March 7, 2019*

2019. Additionally, the vendor trainings also began in January 2019, and are scheduled to occur on a quarterly basis thereafter.

Ms. Mercado also reported that PCT trainings for participants in the Self-Determination Program will begin in May 2019.

- *Employment.* Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that as of February 2019, there are a total of 47 persons served in work activity programs; there are 2,588 who participate in Competitive Integrated Employment (CIE).

Mr. Cazares stated that RCOC will host a transition planning workshop on Thursday, March 28, 2019, from 6:30 p.m. to 8:30 p.m. in the Boardroom. Information will be provided about the opportunities available to families who have young adults who have or will transition out of the education-funded services.

Mr. Cazares also reported on Project SEARCH, whose primary objective is to secure competitive employment for people with disabilities. Kaiser Permanente in Anaheim will launch their program in September 2019.

1. Closure of Hylond (*out of agenda order*)

Ms. Keli Radford, RCOC's West Area Manager, gave a presentation on the closure of Hylond, a 59-bed Intermediate Care Facility/Developmentally Disabled (ICF/DD) which is owned by ResCare. Ms. Radford reported that ResCare's landlord had given them notice that the property was being redeveloped and that their lease would not be renewed. Hylond will close by May 31, 2019; ResCare will open seven new six-bed ICFs for Hylond residents. Ms. Radford also reported RCOC's monitoring and collaboration with ResCare regarding the transitioning of persons served and their quality of care.

- *Fairview Developmental Center (FDC).* Ms. Mary Carlson, RCOC's Area Supervisor - Community Placement Plan, provided an update on the number of persons served who have transitioned into the community from Fairview Developmental Center (FDC) and steps that staff and providers have taken to ensure successful transitions. Ms. Carlson reported that RCOC serves a total of 57 persons who reside at FDC. Of those 57 persons served, 39 individuals will move into Adult Residential Facilities for Persons with Special Health Care Needs (ARFPHSNs), and 18 will move into Specialized Residential Facilities (SRFs).

Mr. Landauer reported that he will attend the next Fairview Friends & Family meeting on Sunday, March 17, 2019. He will also attend the next legislative briefing on FDC in Sacramento on April 25, 2019.

- *Legislative and Community Awareness.* Mr. Landauer reported that Ms. Liza Krassner and he attend the monthly Association of Regional Center Agencies (ARCA) Executive Committee meetings.

***RCOC Board of Directors' Meeting Minutes  
March 7, 2019***

Ms. Anh Nguyen, RCOC's Consultant, reported that social media continues to be a successful tool for reaching out to the community, sharing activities and promoting RCOC in general. Mr. Landauer also reviewed media highlights featuring RCOC's persons served.

Mr. Landauer stated that RCOC will host a Legislative Breakfast tomorrow morning, Friday, March 8, 2019, at 7:30 a.m.

Mr. Landauer reminded everyone that tickets are still available for purchase to the Spotlight Awards which will be on Friday, March 22, 2019, from 6:00 to 10:00 p.m.

- *Self-Determination.* Mr. Landauer reported that the next meeting will be held on Tuesday, March 19, 2019.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the *Healthy Life, Happy Life* program launched a new 12-week session in January 2019. The program has 120 participants, including some participants who are returning from the pilot program.

8. Housing Update

Mr. Landauer presented for approval two Enhanced Behavioral Supports Home contracts.

- a. Approval of Enhanced Behavioral Supports Home Property Renovation Contract with Non-Profit Housing Corporation, Brilliant Corners

***M/S/C to approve the Enhanced Behavioral Supports Home Property Renovation Contract with Non-Profit Housing Corporation, Brilliant Corners, as presented.***

- b. Approval of Enhanced Behavioral Supports Home Contract with Service Provider

***M/S/C to approve the Enhanced Behavioral Supports Home Contract with Service Provider, as presented.***

**E. Community Forum**

Mr. Bruce Hall, parent to two sons served by RCOC, addressed the Board of Directors and stated that he supports utilizing Harbor Village as a model for Shannon's Mountain. Mr. Hall encouraged the Board and RCOC to continue their advocacy for more housing for people with developmental disabilities. Mr. Hall stated that the

Harbor Village model has worked for decades, is cost effective and it is an integrated community. Mr. Hall stated that he is a strong proponent and will continue to campaign for this model until it is approved.

Ms. Minaya Wright from Integrity House invited everyone to the Spotlight Awards which will be at the same location as last year, Embassy Suites - Anaheim South in Garden Grove, on Friday, March 22, 2019, from 6:00 to 10:00 p.m.

#### **F. Executive Committee**

Mr. Martin reported that the Committee last met on January 19, 2019. Agenda items included preparations for the Legislative Breakfast, DDS' Vendor Rate Study, and the status of 8% provider rate increase.

Mr. Martin reported that the Committee's next meeting is scheduled for Monday, March 18, 2019.

#### **G. Board Recruitment and Training**

Mr. Martin stated that the Committee last met on February 11, 2019. The next Board training will be held on April 4, 2019; the training topic is Living Options.

Mr. Martin reported that the Committee's next meeting is scheduled for Monday, April 8, 2019.

#### **H. Policies and Outcomes Committee**

Mr. Cliff Amsden presented revisions to three policies as recommended by the Policies and Outcomes Committee.

1. Approval of Revisions to the Executive Leadership Succession Policy

***M/S/C to approve revisions to the Executive Leadership Succession Policy as recommended.***

2. Approval of Revisions to the Document Retention and Destruction Policy

***M/S/C to approve revisions to the Document Retention and Destruction Policy as recommended.***

3. Approval of the new Policy on Background Check Requirements for Providers

Mr. Amsden reported that Ms. Christina Petteruto, RCOC's General Counsel, and Mr. David Lester, RCOC's Board of Directors' Corporate Counsel, presented a draft of the new policy to the Vendor Advisory Committee prior to its approval by the Policies and Outcomes Committee on February 19, 2019.

***M/S/C to approve the new Policy on Background Check Requirements for Providers as recommended.***

The next Policies and Outcomes Committee meeting is scheduled for Tuesday, April 15, 2019.

#### **I. Vendor Advisory Committee**

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on March 12, 2019. At this meeting, Ms. Marta Vasquez, RCOC's Associate Finance Director, presented a summary of vendor comments to DDS' Vendor Rate Study.

Ms. Bauer presented one item for approval.

1. Approval of Vendor Advisory Committee Members Mark Antenucci as Co-Chair of the Adult Family Home Agency/Foster Family Agency (AFHA/FFA) Subcommittee and Howard Tsernov as Co-Chair of the Early Intervention Subcommittee

***M/S/C to approve the Vendor Advisory Committee Members Mark Antenucci as Co-Chair of the AFHA/FFA Subcommittee and Howard Tsernov as Co-Chair of the Early Intervention Subcommittee as recommended.***

#### **J. Peer Advisory Committee**

Ms. Sylvia Delgado reported that the Committee last met on January 16, 2019. Ms. Delgado stated that Ms. Stephanie Polito, Pacific Marine Mammal Center's (PMMC's) Volunteer & Membership Coordinator, and Ms. Amy Jessee, a PMMC volunteer, gave a presentation on PMMC. Located in Laguna Beach, PMMC rescues, rehabilitates and releases marine mammals and inspires ocean stewardship through research, education and collaboration.

Ms. Delgado reported that the Committee met again on February 20, 2019. At this meeting, Mr. Corey provided an overview of the California Achieving a Better Life Experience (CalABLE) program.

The next PAC meeting is scheduled for Wednesday, March 20, 2019.

#### **K. Legislative and Community Awareness Report**

Ms. Liza Krassner reported that the Committee last met on January 8, 2019, and are continuing their efforts to increase awareness of RCOC's services in the community.

The next Committee meeting is scheduled for April 9, 2019.



**L. ARCA Report**

Ms. Krassner reported that the next ARCA's Board Delegates Committee meeting will be held on March 20, 2019. At this meeting, the Board Delegates will have a training on Living Options including "Housing 101."

**M. Chairperson's Report**

Mr. Martin thanked everyone for their time and continued efforts in supporting RCOC.

Mr. Landauer also mentioned that RCOC will host its' annual public meeting regarding Purchase of Service Expenditure Data on Wednesday, March 13, 2019, from 6:30 p.m. to 8:00 p.m. in the Boardroom.

**II. Adjournment**

Mr. Martin adjourned the meeting at 7:17 p.m.

---

Sylvia Delgado, Secretary

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Budget and Finance Committee  
Minutes  
March 7, 2019**

**Committee Members Present:** John “Chip” Wright, Chair  
Cliff Amsden  
Marcell Bassett (*arrived at 4:25 p.m.*)  
Amy Jessee

**Other Board Members Present:** Sylvia Delgado  
Mine Hagen  
Fernando Peña

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Liliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director Employment  
Veronica Flores, Accounting Manager  
Sandra Lomeli, Clerk to the Board  
Raudel Perez, Administrator  
Linda Pham, Fiscal Analyst  
Marta Vasquez, Associate Finance Director  
Stacy Wong, HR Director

**Guest:** Anh Nguyen, Consultant

The meeting was called to order at 4:01 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports (SOARs), December 2018 and January 2019

Ms. Marta Vasquez presented the monthly Sufficiency of Allocation Reports. RCOC is projecting a small surplus if all State Plan Amendment receivables are paid.

M/S/C to approve the monthly SOARs.

2. Approval of Budget Amendment E-2, Fiscal Year 2018-19

Ms. Bette Baber said that RCOC had received the allocation numbers; it had not yet received the contract amendment for execution.

M/S/C to execute the budget amendment upon receipt.

*RCOC Budget and Finance Committee Minutes  
March 7, 2019*

3. Approval of Budget Amendment C-6, Fiscal Year 2016-17

Ms. Baber said that DDS was still working on this amendment.

M/S/C to execute the budget amendment upon receipt.

4. Approval of Line of Credit for Fiscal Year 2018-19

Ms. Baber reported that Union Bank has committed \$44.5 million to RCOC for its line of credit.

M/S/C to approve the line of credit.

5. Approval of CalPERS Requirement for a Publicly Available Pay Schedule

Ms. Baber said that the title of Federal Waiver Coordinator was added; the title of Director of Services and Supports was removed.

M/S/C to approve the pay schedule.

6. Approval of First Amendment to West Area Office Lease

Ms. Baber reported on expansion of the West Area office in Cypress to accommodate caseload growth.

M/S/C to approve the first amendment to the West Area office lease.

Ms. Vasquez presented information from DDS' Rate Study briefings which were conducted at Alta Regional Center and Fairview Developmental Center.

Other discussion items included hiring and caseload ratios.

The meeting adjourned at 4:56 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

---

ACTION   X    
ACTION/CONSENT         
DISCUSSION         
INFO ONLY       

---

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, February 2019**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: April 23, 2019  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – February 2019 Sufficiency of Allocation Report (SOAR)

---

Purchase of Service (POS)

RCOC's projected deficit is \$7.2 million, a reduction of \$1.8 million. The reduction is due to lower than projected expenditure growth. The projected deficit will be a surplus of \$2.4 million if all \$9.6 million of the State Plan Amendment receivables are paid.

As reported in the prior fiscal year, the increase in expenditures is due primarily to higher rates. The planning allocation for the current fiscal year included \$6.5 million in continuation costs for the minimum wage increase effective January 1, 2018. The California minimum wage increased again on January 1, 2019, from \$11.00 to \$12.00; and, RCOC has estimated that rates will increase by approximately \$2.8 million in this fiscal year.

As in the prior four fiscal years, RCOC has a large number of persons served (490) who will graduate from school to regional center-funded adult day programs.

RCOC's caseload growth in fiscal year 2017-18 was 4.0%, an increase of 821 persons. To date in the current fiscal year, RCOC's caseload grew by 481 persons, for an annualized caseload growth of 3.0%. The regional center system grew by 11,321 persons for an annualized caseload growth of 4.6%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of February 28, 2019**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	E-2 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (4/10/2019)	VARIANCE			SPENT PRIOR YEAR
					(column A-D)/A %	(column A-D) AMOUNT YEAR TO DATE	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$84,672,599	\$58,420,291	\$87,721,090	\$88,894,358	-5%	-4,221,759	\$0	\$81,676,201
(2) Day Care	3,170,041	1,902,910	2,987,936	3,170,041	0%	0	0	2,897,829
(3) Day Training	60,158,785	38,886,455	58,329,683	61,340,070	-2%	-1,181,285	-1,796,558	57,261,065
(4) Habilitation	9,738,008	5,957,069	8,935,604	9,738,008	0%	0	0	9,894,373
(5) Transportation	16,648,222	8,495,109	16,990,218	16,648,222	0%	0	0	15,430,698
(6) Respite	25,322,696	17,930,616	28,717,784	27,151,720	-7%	-1,829,024	0	22,978,026
(7) Supported Living	39,155,997	26,218,907	39,793,956	39,155,997	0%	0	0	39,054,896
(8) Non-medical	20,510,033	11,085,760	17,027,937	20,510,033	0%	0	0	26,196,596
(9) Medical	4,561,718	3,102,675	4,654,013	4,561,718	0%	0	0	4,494,303
(10) Other	82,661,995	52,153,023	78,229,535	82,661,995	0%	0	0	68,470,737
(11) Early Start (Age 0-3)	28,488,628	18,200,970	27,689,436	28,488,628	0%	0	0	27,200,551
(12) Community Placement Plan	3,227,246	352,241	44,030	3,227,246	0%	0	0	323,538
(13) Purchase of Service Total	378,315,969	242,706,026	371,121,220	385,548,036	-2%	-7,232,067	-1,796,558	355,878,813
<b>OPERATIONS</b>								
							\$2,369,875	** If all SPA receivables are paid.
(14) Operating Expense (Gross)	7,600,000	4,341,397	6,512,096	7,600,000	0%	0	0	5,938,064
(15) Less Interest Income and SPA Fees	-300,000	-236,420	-354,630	-300,000	0%	0	0	-316,413
(16) Operating Expense (Net)**	7,300,000	4,104,977	6,157,466	7,300,000	0%	0	0	5,621,651
(17) Personal Services**	38,642,921	23,411,127	35,116,691	38,642,921	0%	0	0	33,670,792
(18) Family Resource Center	140,397	31,222	46,833	140,397	0%	0	0	106,705
(20) Operations Total	46,083,318	27,547,326	41,320,990	46,083,318	0%	0	0	39,399,148
(21) Total	\$424,399,287	\$270,253,352	\$412,442,210	\$431,631,354	0%	-\$7,232,067	-\$1,796,558	\$395,277,961

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.  
 \*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF FEBRUARY 28, 2019

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	43,103,925.64	
Savings	12,833.66	\$651,509.11
Money market	0.00	
Payroll	179,971.41	
Donations	85,275.73	
Unemployment	370,347.27	
Certificate of deposit	0.00	
	-----	-----
Total current assets	43,752,653.71	651,509.11
	-----	-----
RECEIVABLES		
State claim	66,386,207.44	
Client support revenue	240,990.93	22,694.02
Due from State - prior years	6,214,857.03	
Due from ICF - ICF Supplemental Services	7,762,224.39	
	-----	-----
Total receivables	80,604,279.79	22,694.02
	-----	-----
PREPAID ITEMS		
Deposits	342,375.88	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	342,375.88	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	715,823.45	
Building acquisition	63,613.98	
	-----	-----
Total other assets	779,437.43	0.00
	-----	-----
TOTAL ASSETS	\$125,478,746.81	\$674,203.13
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$19,129,580.88	\$240,990.93
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	105,841,020.36	
Unemployment insurance	370,347.27	
	-----	-----
Total liabilities	125,393,471.08	240,990.93
	-----	-----
FUND BALANCES		
General	0.00	
Donations	85,275.73	
Custodial		433,212.20
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$125,478,746.81	\$674,203.13
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
FEBRUARY 28, 2019

Beginning Balance		\$85,275.73
Donations	\$0.00	
Loan Payments	0.00	
Interest	0.00	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>0.00</u>
Ending Balance		<u><u>\$85,275.73</u></u>



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

---

ACTION   X    
ACTION/CONSENT             
DISCUSSION             
INFO ONLY           

---

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, March 2019**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: April 23, 2019  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – March 2019 Sufficiency of Allocation Report (SOAR)

---

Purchase of Service (POS)

RCOC's projected deficit is \$7.2 million, a reduction of \$1.8 million. The reduction is due to lower than projected expenditure growth. The projected deficit will be a surplus of \$2.4 million if all \$9.6 million of the State Plan Amendment receivables are paid.

As reported in the prior fiscal year, the increase in expenditures is due primarily to higher rates. The planning allocation for the current fiscal year included \$6.5 million in continuation costs for the minimum wage increase effective January 1, 2018. The California minimum wage increased again on January 1, 2019, from \$11.00 to \$12.00; and, RCOC has estimated that rates will increase by approximately \$2.8 million in this fiscal year.

As in the prior four fiscal years, RCOC has a large number of persons served (490) who will graduate from school to regional center-funded adult day programs.

RCOC's caseload growth in fiscal year 2017-18 was 4.0%, an increase of 821 persons. To date in the current fiscal year, RCOC's caseload grew by 481 persons, for an annualized caseload growth of 3.0%. The regional center system grew by 11,321 persons for an annualized caseload growth of 4.6%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of March 31, 2019**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	E-2 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (4/10/2019)	VARIANCE			SPENT PRIOR YEAR
					(column A-D)/A %	(column A-D) AMOUNT YEAR TO DATE	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$84,672,599	\$65,250,511	\$87,994,302	\$88,894,358	-5%	-4,221,759	\$0	\$81,676,201
(2) Day Care	3,170,041	2,054,636	2,859,318	3,170,041	0%	0	0	2,897,829
(3) Day Training	60,158,785	42,869,535	58,879,760	61,340,070	-2%	-1,181,285	-1,796,558	57,261,065
(4) Habilitation	9,738,008	6,161,354	8,917,076	9,738,008	0%	0	0	9,894,373
(5) Transportation	16,648,222	8,588,261	16,894,940	16,648,222	0%	0	0	15,430,698
(6) Respite	25,322,696	17,930,616	28,717,784	27,151,720	-7%	-1,829,024	0	22,978,026
(7) Supported Living	39,155,997	29,202,113	39,322,400	39,155,997	0%	0	0	39,054,896
(8) Non-medical	20,510,033	11,085,760	17,190,162	20,510,033	0%	0	0	26,196,596
(9) Medical	4,561,718	3,462,949	4,707,438	4,561,718	0%	0	0	4,494,303
(10) Other	82,661,995	52,755,636	79,133,454	82,661,995	0%	0	0	68,470,737
(11) Early Start (Age 0-3)	28,488,628	19,719,654	27,314,352	28,488,628	0%	0	0	27,200,551
(12) Community Placement Plan	3,227,246	706,682	88,335	3,227,246	0%	0	0	323,538
(13) Purchase of Service Total	378,315,969	259,787,707	372,019,322	385,548,036	-2%	-7,232,067	-1,796,558	355,878,813
<b>OPERATIONS</b>						\$2,369,875	** If all SPA receivables are paid.	
(14) Operating Expense (Gross)	7,600,000	4,897,815	6,530,421	7,600,000	0%	0	0	5,938,064
(15) Less Interest Income and SPA Fees	-300,000	-272,660	-363,547	-300,000	0%	0	0	-316,413
(16) Operating Expense (Net)**	7,300,000	4,625,155	6,166,874	7,300,000	0%	0	0	5,621,651
(17) Personal Services**	38,642,921	26,033,520	34,711,360	38,642,921	0%	0	0	33,670,792
(18) Family Resource Center	140,397	32,125	42,833	140,397	0%	0	0	106,705
(20) Operations Total	46,083,318	30,690,800	40,921,067	46,083,318	0%	0	0	39,399,148
(21) Total	\$424,399,287	\$290,478,507	\$412,940,390	\$431,631,354	0%	-\$7,232,067	-\$1,796,558	\$395,277,961

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.  
 \*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF MARCH 31, 2019

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	46,631,193.78	
Savings	10,932.97	\$625,475.92
Money market	0.00	
Payroll	180,899.91	
Donations	85,559.10	
Unemployment	370,361.97	
Certificate of deposit	0.00	
	-----	-----
Total current assets	47,279,247.73	625,475.92
	-----	-----
RECEIVABLES		
State claim	67,136,054.40	
Client support revenue	236,345.74	28,467.51
Due from State - prior years	2,995,249.96	
Due from ICF - ICF Supplemental Services	6,006,412.51	
	-----	-----
Total receivables	76,374,062.61	28,467.51
	-----	-----
PREPAID ITEMS		
Deposits	342,375.88	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	342,375.88	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	715,823.45	
Building acquisition	63,613.98	
	-----	-----
Total other assets	779,437.43	0.00
	-----	-----
TOTAL ASSETS	\$124,775,123.65	\$653,943.43
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$18,873,849.25	\$236,345.74
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	105,392,830.76	
Unemployment insurance	370,361.97	
	-----	-----
Total liabilities	124,689,564.55	236,345.74
	-----	-----
FUND BALANCES		
General	0.00	
Donations	85,559.10	
Custodial		417,597.69
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$124,775,123.65	\$653,943.43
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
MARCH 31, 2019

Beginning Balance		\$85,275.73
Donations	\$0.00	
Loan Payments	373.00	
Interest	10.37	
Disbursements:		
Rent deposit	<u>-100.00</u>	
Net Increase (Decrease)		<u>283.37</u>
Ending Balance		<u><u>\$85,559.10</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

---

ACTION \_\_\_\_\_  
ACTION/CONSENT \_\_\_\_\_  
DISCUSSION \_\_\_\_\_  
INFO ONLY   X  

---

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Review Draft of Form 990, Return of Organization Exempt from  
Income Tax for the Year Ended June 30, 2018**

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax exempt organizations to receive a draft of Form 990 prior to filing. Staff do not anticipate having a draft available for the Board meeting on May 2nd; however, a draft will be sent to the Board prior to the filing deadline on May 15, 2019.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board review the draft of Form 990 when they receive it.



**Summary of Information About Persons Served - February 2019**

<b>NUMBER OF PERSONS SERVED</b>	<b>20,592</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,552	17%
Children - Ages Three to 17 Receiving Lanterman Services	6,776	33%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>403</b>
--	------------

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,816	66%
Epilepsy	2,887	16%
Cerebral Palsy	2,590	14%
Autism	7,005	38%
Fifth Category*	1,343	7%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

<b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>	<b>485</b>
Early Start / Under Age Three / 45 days to complete determination	366 75%
Lanterman / Over Age Three / 120 days to complete determination	73 15%

<b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>	<b>40</b>
Children - Birth to Age Three Eligible for Early Start Services	1
Children and Adults - Ages Three and Older Eligible for Lanterman Services	39
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	15
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	6
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

<b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b>	<b>191</b>
Children - Age Three No Longer Eligible for Early Start Services	190
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	1

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### FEBRUARY 2019 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*



## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2018-19

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	42	45	32	46	25	43
Unannounced	54	59	46	55	32	53
<b>Total Number of Reviews</b>	<b>96</b>	<b>104</b>	<b>78</b>	<b>101</b>	<b>0</b>	<b>96</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	230	278	195	156	90	207
Corrective Action Plans	7	7	10	10	6	3
Special Incident Investigations*	59	58	51	39	27	55

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	40	43					<b>316</b>
Unannounced	50	51					<b>400</b>
<b>Total Number of Reviews</b>	<b>90</b>	<b>94</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>716</b>

Provider Trainings	0	0					<b>0</b>
Technical Support	163	291					<b>1,610</b>
Corrective Action Plans	9	4					<b>56</b>
Special Incident Investigations*	51	61					<b>401</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;

(B) Reasonably suspected abuse/exploitation including:

1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.

(C) Reasonably suspected neglect including failure to:

1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.

(D) A serious injury/accident including:

1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.

(E) Any unplanned or unscheduled hospitalization due to the following conditions:

1. Respiratory illness, including but not limited to, asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubitus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;

(2) The following special incidents regardless of when or where they occurred:

(A) The death of any consumer, regardless of cause;

(B) The consumer is the victim of a crime including the following:

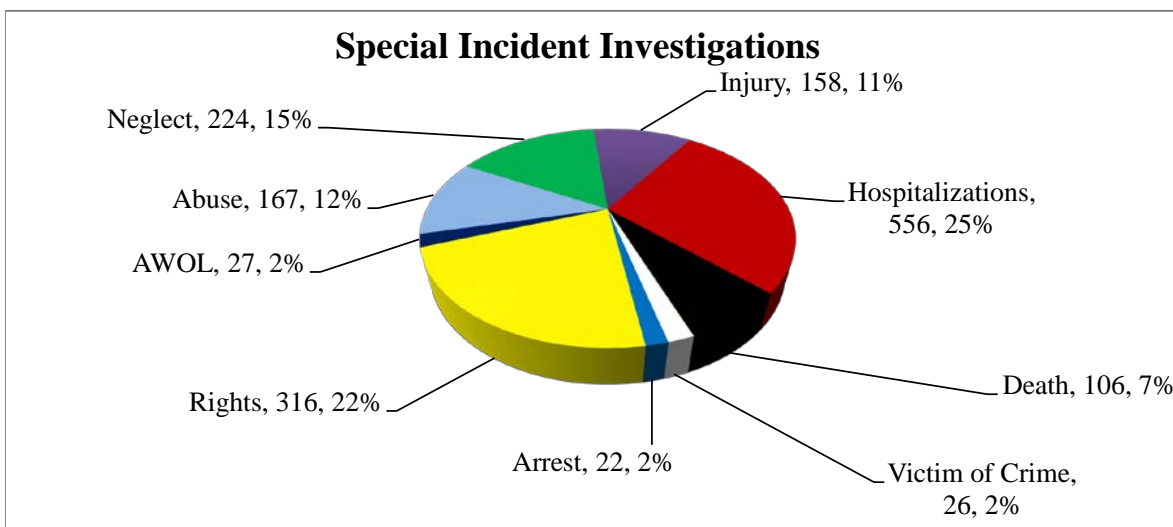
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2018-19**

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	7	3	3	4	1
Abuse	25	28	24	22	25	14
Neglect	33	31	40	24	21	19
Injury	16	24	12	24	14	9
Hospitalizations - Total	52	62	48	63	24	47
<i>Psychiatric</i>	37	10	15	14	6	16
<i>Medical</i>	15	52	33	49	18	31
Death	14	17	13	7	9	14
Victim of crime	1	4	5	8	2	1
Arrest	3	2	5	2	3	2
Rights	66	37	25	59	21	45
<b>Total</b>	<b>214</b>	<b>212</b>	<b>175</b>	<b>212</b>	<b>123</b>	<b>152</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	4	1					27
Abuse	17	12					167
Neglect	23	33					224
Injury	25	34					158
Hospitalizations - Total	56	45					397
<i>Psychiatric</i>	17	13					128
<i>Medical</i>	39	32					269
Death	13	19					106
Victim of Crime	4	1					26
Arrest	2	3					22
Rights	42	21					316
<b>Total</b>	<b>186</b>	<b>169</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,443</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
*Fiscal Year 2018-19*

*Number of Audits / Appeals / Recoveries*

<b>Type of Audit</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Service Billing	0	0	1	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

*Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)*

State Appeal	0	0	0	0	0	0
Recovery	0	0	1	0	0	1

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$4,520.99	\$0.00	\$0.00	\$0.00
---------------------------	--------	--------	------------	--------	--------	--------

<b>Type of Audit</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
Service Billing	3	0					<b>4</b>
Staffing	0	0					<b>0</b>
Level 4I Consultant	0	0					<b>0</b>
P&I (consumer funds)	2	0					<b>2</b>
<b>Total Number of Audits</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>

*Number of Appeals / Recoveries*

State Appeal	0	0					<b>0</b>
Recovery	1	0					<b>3</b>

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$0.00	\$0.00					<b>\$4,520.99</b>
---------------------------	--------	--------	--	--	--	--	-------------------

## FAMILY SUPPORTS

### Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Vouchers - Families Procure Their Own Services

*Fiscal Year 2018-19*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	111	107	112	115	113	113
Diapers - Family Member	4	4	2	2	2	2
Nursing Service - Family Member	59	58	55	53	56	54
Respite Service - Family Member	418	413	425	433	433	437
Transportation - Family Member	193	186	192	193	191	188
<b>Total Number of Voucher Authorizations</b>	<b>785</b>	<b>768</b>	<b>786</b>	<b>796</b>	<b>795</b>	<b>794</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	111	113				
Diapers - Family Member	2	2				
Nursing Service - Family Member	57	59				
Respite Service - Family Member	442	446				
Transportation - Family Member	190	191				
<b>Total Number of Voucher Authorizations</b>	<b>802</b>	<b>811</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Notifications of Community Events and Activities

*Fiscal Year 2018-19*

#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	59,721	51,189	48,499	130,106	98,974	52,321
Farsi	47	247	0	0	0	0
Korean	0	0	805	0	416	0
Spanish*	6,493	2,388	4,796	7,672	4,842	4,865
Vietnamese*	1,391	2,461	3,614	7,651	1,514	3,737
<b>Total Number of Notifications</b>	<b>67,652</b>	<b>56,285</b>	<b>57,714</b>	<b>145,429</b>	<b>105,746</b>	<b>60,923</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June
English*	160,444	127,901				
Farsi	0	0				
Korean	270	415				
Spanish*	10,770	2,627				
Vietnamese*	1,093	2,963				
Chinese	0	134				
<b>Total Number of Notifications</b>	<b>172,577</b>	<b>134,040</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Threshold languages for RCOG

## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Community Outreach

*Fiscal Year 2018-19*

#### Number of Outreach Events

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English						
In Person	5	13	10	16	11	11
In Print		2	2			
TV / Radio	5	2				
Spanish						
In Person	5	6	2	8	4	2
In Print		1		1		1
TV / Radio	1	1				1
Vietnamese						
In Person	2	4	3	3	1	
In Print		1	1			
TV / Radio	5	3	2	4	2	1
Other Languages						
In Person (Korean)		1	1			1 Japanese
In Print						
TV / Radio						
<b>Total Number of Outreach Events</b>	<b>23</b>	<b>34</b>	<b>21</b>	<b>32</b>	<b>18</b>	<b>16</b>

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.	May	June
English						
In Person	17	15				
In Print		1				
TV / Radio						
Spanish						
In Person	4	3				
In Print	1	1				
TV / Radio						
Vietnamese						
In Person	1	9				
In Print						
TV / Radio	2	1				
Other Languages						
In Person						
In Print						
TV / Radio						
<b>Total Number of Outreach Events</b>	<b>24</b>	<b>30</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## EARLY INTERVENTION / PREVENTION

### Related Guiding Principles

- *Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.*
- *Persons served are provided with needed services and supports in a family-focused and collaborative fashion.*

### *Fiscal Year 2018-19*

<b>Developmental Screenings by Ethnicity</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
American Indian or Alaska Native	<b>No Data Available</b>	0	1	0	0	<b>No Data Available</b>
Asian		7	4	0	4	
Black/African American		3	0	0	0	
Hispanic		38	60	25	111	
Native Hawaiian or Other Pacific Islander		0	0	0	0	
Other Ethnicity or Race / Multi-Cultural		4	1	1	15	
White		6	5	0	13	
<b><i>Total Number Screened</i></b>	<b>0</b>	<b>58</b>	<b>71</b>	<b>26</b>	<b>143</b>	<b>0</b>
<b><i>Total Number Referred to RCOC</i></b>	<b>0</b>	<b>20</b>	<b>20</b>	<b>2</b>	<b>23</b>	<b>0</b>

<b>Developmental Screenings by Ethnicity</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
American Indian or Alaska Native	0	0					<b>1</b>
Asian	8	8					<b>11</b>
Black/African American	1	0					<b>3</b>
Hispanic	12	48					<b>98</b>
Native Hawaiian or Other Pacific Islander	0	0					<b>0</b>
Other Ethnicity or Race / Multi-Cultural	10	3					<b>5</b>
White	11	14					<b>11</b>
<b><i>Total Number Screened</i></b>	<b>42</b>	<b>73</b>					<b>413</b>
<b><i>Total Number Referred to RCOC</i></b>	<b>11</b>	<b>18</b>					<b>94</b>

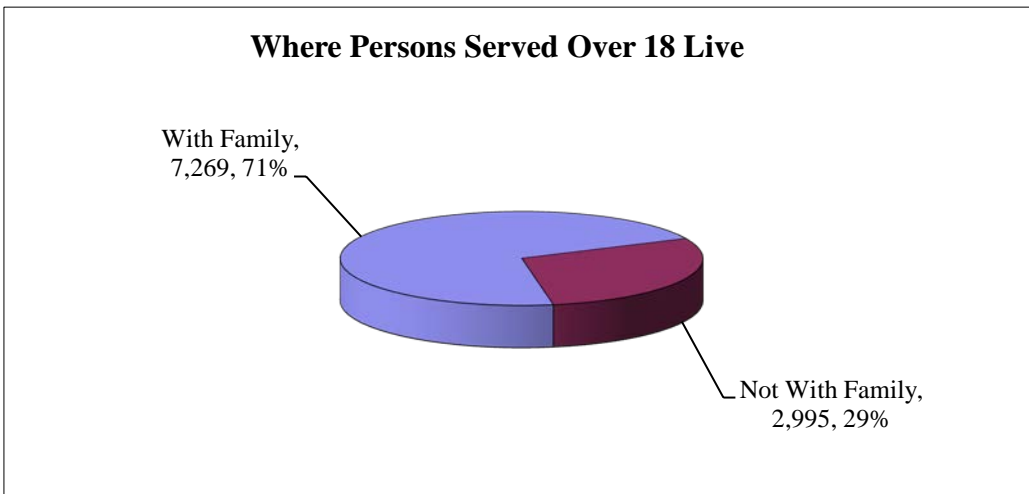
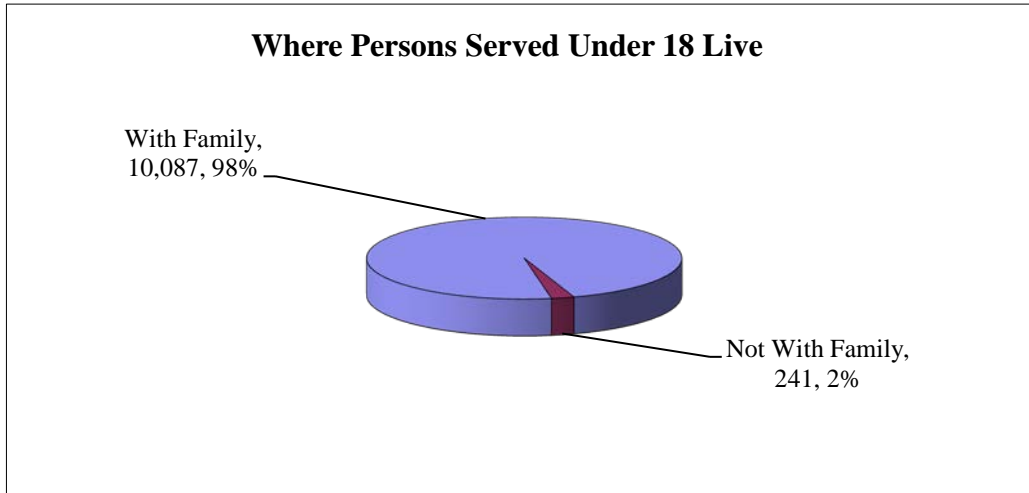


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>

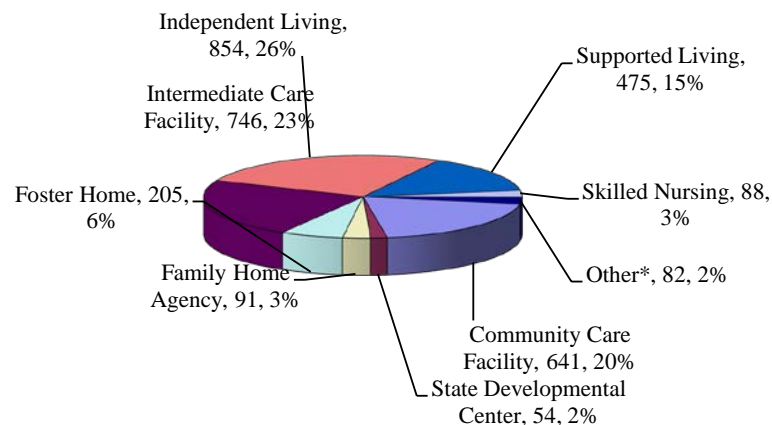


**LIVING OPTIONS, continued**

<b>Where Persons Served Live</b>	<b>All Persons Served</b>	<b>Persons Served Under 18</b>	<b>Persons Served Over 18</b>
Family Home	17,356	10,127	7,305
Community Care Facility	641	43	1,625
State Developmental Center	54	0	50
Family Home Agency	91	0	90
Foster Home	205	197	7
Intermediate Care Facility	746	3	743
Independent Living	854	0	851
Supported Living	475	0	477
Skilled Nursing	88	0	86
Other*	82		
<b>Total</b>	<b>20,592</b>	<b>10,370</b>	<b>11,234</b>

<b>Other*</b>			
Acute General Hospital	6	0	5
California Youth Authority	0	0	0
Community Treatment	1	0	2
Correctional Institution	0	0	0
County Jail	1	0	1
Other	0	0	0
Out of State	3	0	4
Psychiatric Treatment	7	1	5
Rehabilitation Center	4	1	5
SDC / State Hospital	0	0	0
Sub-Acute	46	22	27
Transient / Homeless	17	0	17
<b>Total, Other*</b>	<b>85</b>	<b>24</b>	<b>66</b>

**Where Persons Served Live When Not With Family**



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

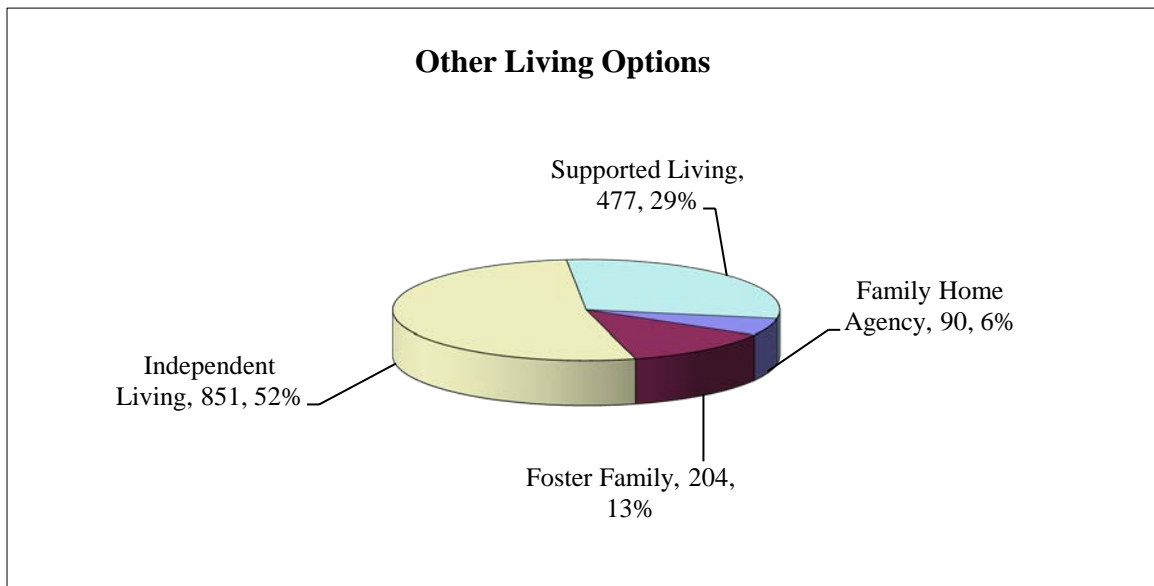
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	90	0	90
Foster Family	204	197	7
Independent Living	851	0	851
Supported Living	477	0	477
<b>Total</b>	<b>1,622</b>	<b>197</b>	<b>1,425</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC**  
*Fiscal Year 2018-19*

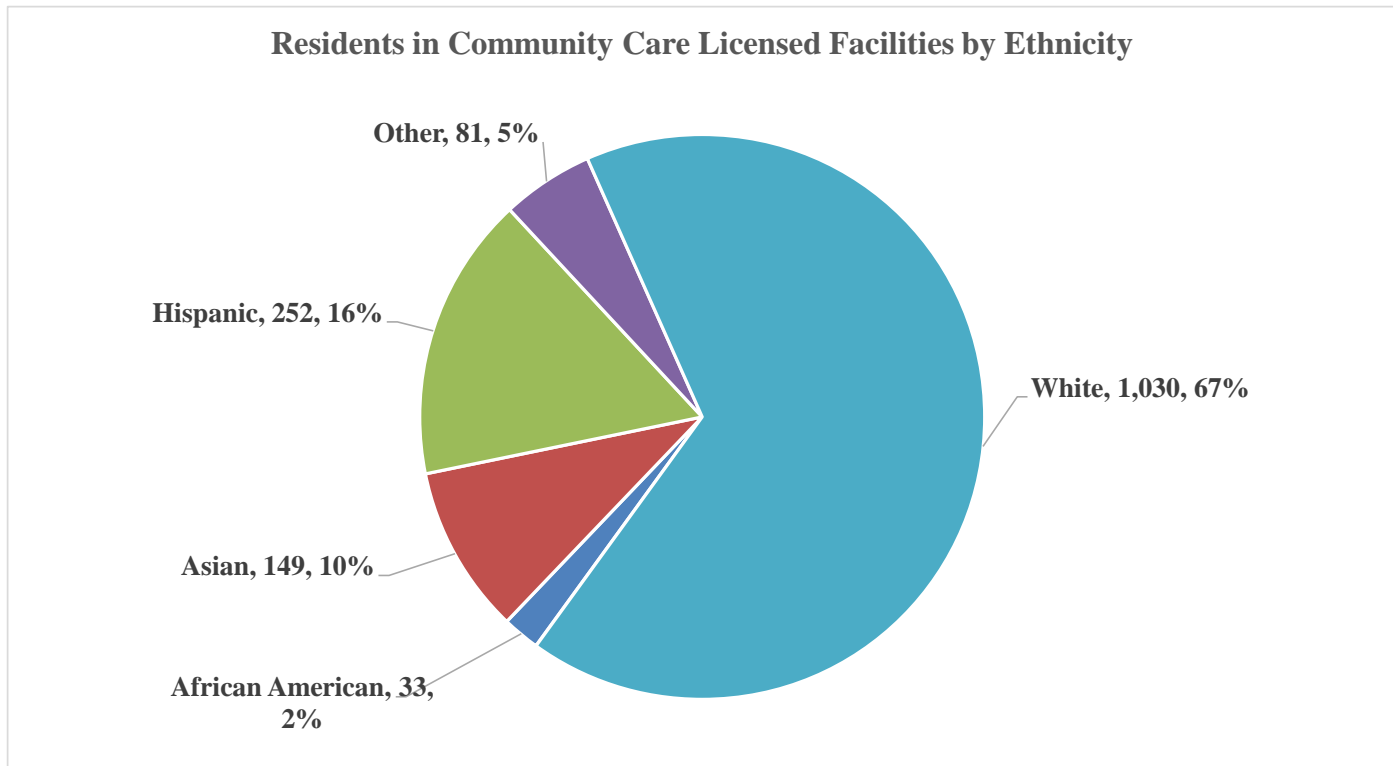
<b>Licensed Facilities</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	331	321	0
Level 3	361	360	0
Level 4A	44	44	0
Level 4B	5	4	0
Level 4C	51	50	0
Level 4D	43	42	0
Level 4E	31	30	0
Level 4F	67	66	0
Level 4G	30	30	0
Level 4H	4	4	0
Level 4I	369	357	14
Elderly	1	1	0
ICF/DD-H	1	4	0
ICF/DD-N	0	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,338</b>	<b>1,317</b>	<b>14</b>

<b>Licensed Facilities Summary</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	331	321	0
Level 3	361	360	0
Level 4	644	627	14
ICF/DD-H	1	4	0
ICF/DD-N	0	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,338</b>	<b>1,317</b>	<b>14</b>

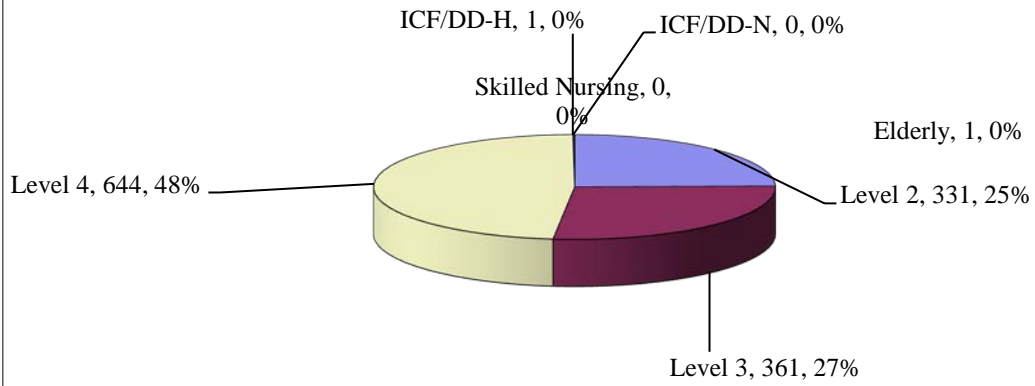
**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
*Fiscal Year 2018-19*

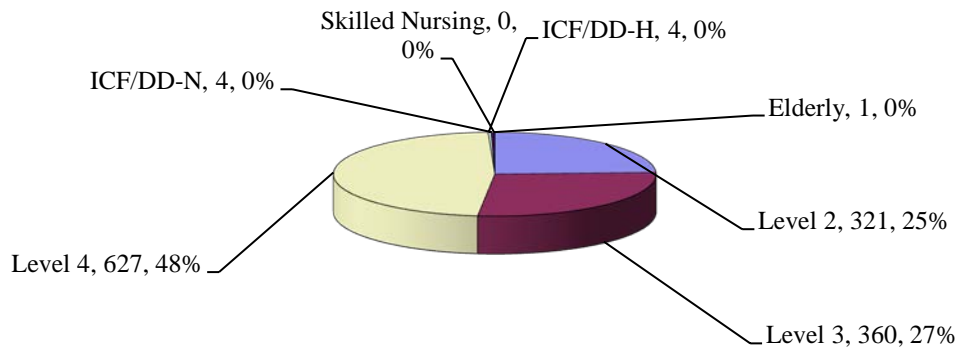
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	7	26	59	20	275	<b>387</b>
Level 3	11	32	63	18	271	<b>395</b>
Level 4A	1	3	7	2	32	<b>45</b>
Level 4B	0	0	0	0	7	<b>7</b>
Level 4C	1	8	8	1	37	<b>55</b>
Level 4D	0	6	7	1	34	<b>48</b>
Level 4E	0	5	6	4	21	<b>36</b>
Level 4F	2	9	11	1	51	<b>74</b>
Level 4G	0	7	3	2	21	<b>33</b>
Level 4H	0	0	3	1	2	<b>6</b>
Level 4I	11	53	85	31	279	<b>459</b>
<b>Total</b>	<b>33</b>	<b>149</b>	<b>252</b>	<b>81</b>	<b>1,030</b>	<b>1,545</b>



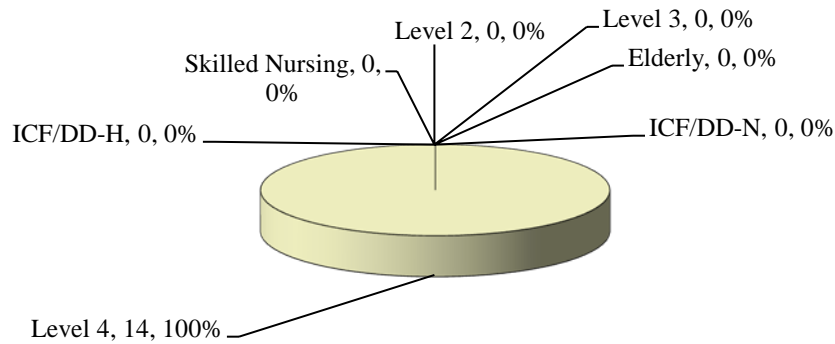
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

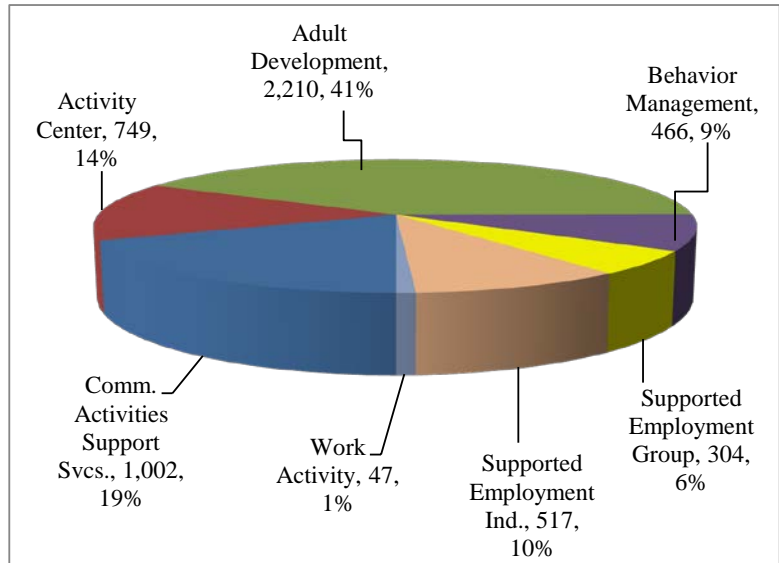


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,002
Activity Center	749
Adult Development	2,210
Behavior Management	466
Supported Employment Group	304
Supported Employment Ind.	517
Work Activity	47
<b>Total</b>	<b>5,295</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.



## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

*Fiscal Year 2018-19*

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	299.0	298.0	304.0	305.0	312.0	312.0
Number of Case-Carrying SCs	271.0	269.0	274.0	275.0	282.0	283.0
Number of Intake SCs	28.0	29.0	30.0	30.0	30.0	29.0
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	21,330	21,329	21,386	21,449	21,492	21,564
Caseload Ratio, # of Active Persons Served/SCs	78.7	79.3	78.1	78.0	76.2	76.2

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	313.0	320.0				
Number of Case-Carrying SCs	284.0	291.0				
Number of Intake SCs	29.0	29.0				
Number of State Developmental Center SCs	0.0	0.0				
Number of Active Persons Served	21,558	21,642				
Caseload Ratio, # of Active Persons Served/SCs	75.9	74.4				

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2018-19**

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>13</b>	<b>9</b>	<b>9</b>	<b>9</b>	<b>12</b>	<b>13</b>	<b>12</b>	<b>5</b>				
Eligibility - Lanterman	5	4	3	4	0	8	8	3				
Behavioral services	0	0	0	1	7	2	2	0				
Respite	3	2	1	2	3	2	0	2				
Day Care	0	0	0	0	0	0	0	0				
ILS/SLS	0	0	0	0	0	0	0	0				
Personal Assistance	1	1	1	0	0	0	1	0				
Other**	4	2	4	2	2	1	1	0				

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>7</b>	<b>6</b>	<b>4</b>	<b>6</b>	<b>1</b>				
Eligibility - Lanterman	2	2	1	3	4	2	4	1				
Eligibility - Early Start	0	0	0	0	0	0	0	0				
Behavioral services	0	0	0	1	2	0	0	0				
Respite	0	0	0	2	0	2	0	0				
Day Care	0	0	0	0	0	0	0	0				
Social/Recreational	0	0	0	0	0	0	0	0				
Personal Assistance	0	0	0	0	0	0	1	0				
Other**	1	0	4	1	0	0	1	0				

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>3</b>	<b>2</b>	<b>6</b>	<b>4</b>	<b>3</b>	<b>4</b>	<b>8</b>	<b>3</b>				
Number of Informal Meetings Held	3	2	5	4	1	3	8	3				
Number of Mediations Held	0	0	1	0	1	0	0	0				
Number of SLFHs Held	0	0	0	0	1	1	0	0				

<b>Number of Requests in Scheduling*</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>0</b>				
--	----------	----------	----------	----------	----------	----------	----------	----------	--	--	--	--

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>				
------------------------------------	----------	----------	----------	----------	----------	----------	----------	----------	--	--	--	--

\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>0</b>	<b>2</b>	<b>6</b>	<b>3</b>	<b>4</b>	<b>6</b>	<b>8</b>	<b>3</b>				
Withdrawn by Person Served/Family	0	0	0	0	0	1	2	0				
Settled in Informal	1	2	5	3	2	2	5	3				
Settled after further follow-up by RCOC	2	0	0	0	1	1	1	0				
Settled in Mediation	0	0	1	0	1	0	0	0				
SLFH Decision	0	0	0	0	0	2	0	0				

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>												
Person Served/Family	0	0	0	0	0	0	0	0				
RCOC	0	0	0	0	0	2	0	0				
Split	0	0	0	0	0	0	0	0				

## ADMINISTRATION AND GOVERNANCE

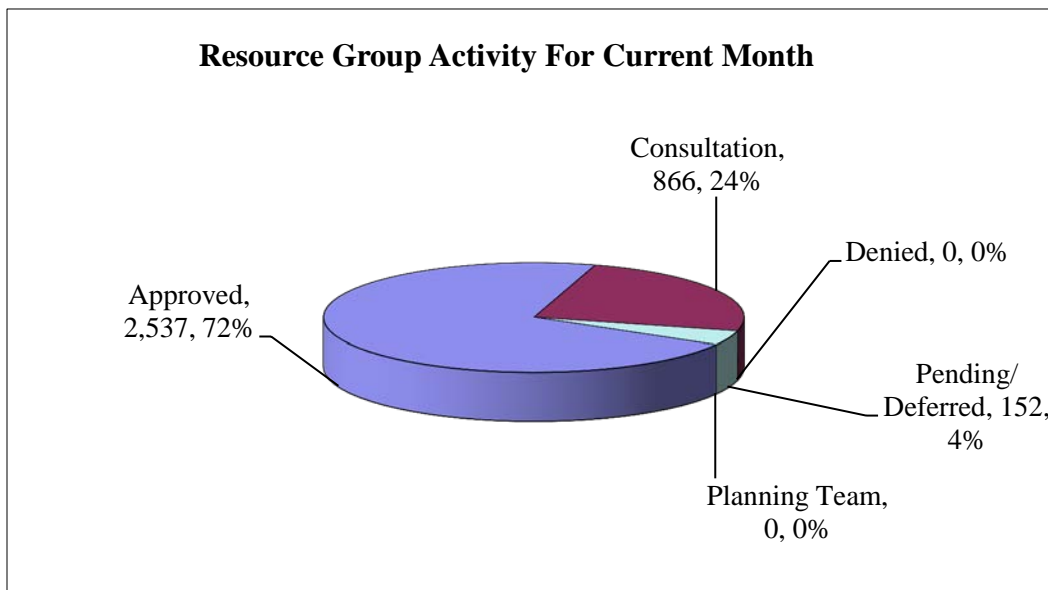
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for February 2019 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	502	317	0	44	0	<b>863</b>
Behavioral	131	59	0	12	0	<b>202</b>
Education	0	4	0	0	0	<b>4</b>
Eligibility/Health	91	4	0	14	0	<b>109</b>
Early Start	635	119	0	16	0	<b>770</b>
Living Options	181	165	0	2	0	<b>348</b>
Supported/Ind.	277	105	0	27	0	<b>409</b>
All Others	720	93	0	37	0	<b>850</b>
<b>Monthly Total</b>	<b>2,537</b>	<b>866</b>	<b>0</b>	<b>152</b>	<b>0</b>	<b>3,555</b>

<b>FY 2018-19 Total to Date</b>	<b>3,128</b>	<b>1,168</b>	<b>0</b>	<b>276</b>	<b>0</b>	<b>4,572</b>
-------------------------------------	--------------	--------------	----------	------------	----------	--------------



## Operations Report Summary - February 2019

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,552	8,095	8,895	50	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>17%</i>	<i>39%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>403</b>
---	------------

Persons Served by Residence Status	All	Under 18	Over 18	Special Incident Investigations	Year to Date
Family Home	17,432	10,127	7,305	AWOL	27
Community Care Facility	1,668	43	1,625	Abuse	167
State Developmental Center	50	0	50	Neglect	224
Family Home Agency	90	0	90	Injury	158
Foster Home	204	197	7	Hospitalizations - Total	397
Intermediate Care Facility	746	3	743	Death	106
Independent Living	851	0	851	Victim of crime	26
Supported Living	477	0	477	Arrest	22
Skilled Nursing	86	0	86	Rights	316
Other	0	0	0		
<b>Total</b>	<b>21,604</b>	<b>10,370</b>	<b>11,234</b>	<b>Total</b>	<b>1,443</b>

Number of Licensed Facilities	Total	Under 18	Over 18	Licensed Facility Monitoring	Year to Date
<i>Community Care Facilities</i>				Annual Review	316
Level 2	80	0	80	Unannounced	400
Level 3	71	1	70	Total Number of Reviews	716
Level 4	160	13	147	Provider Trainings	0
<b>Total Community Care Facilities</b>	<b>311</b>	<b>14</b>	<b>297</b>	Technical Support	1,610
				Corrective Action Plans	56

<i>Intermediate Care Facilities (ICF)</i>	
ICF-DD	1
ICF-DD/Habilitation	83
ICF-DD/Nursing	38
<b>Total ICF Facilities</b>	<b>122</b>

<i>Number of Audits</i>	<b>6</b>
<i>Amount of Recovery from Audits</i>	<b>\$4,521</b>

<b>Total Licensed Facilities</b>	<b>433</b>
----------------------------------	------------



## Summary of Information About Persons Served - March 2019

NUMBER OF PERSONS SERVED	<b>20,592</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,593	17%
Children - Ages Three to 17 Receiving Lanterman Services	6,735	33%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>419</b>
--	------------

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,833	66%
Epilepsy	2,888	16%
Cerebral Palsy	2,589	14%
Autism	7,062	38%
Fifth Category*	1,357	7%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	<b>485</b>	
Early Start / Under Age Three / 45 days to complete determination	367	76%
Lanterman / Over Age Three / 120 days to complete determination	57	12%

NUMBER OF PERSONS DETERMINED ELIGIBLE	<b>40</b>	
Children - Birth to Age Three Eligible for Early Start Services	0	
Children and Adults - Ages Three and Older Eligible for Lanterman Services	37	
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	34	
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	23	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	3	

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	<b>215</b>	
Children - Age Three No Longer Eligible for Early Start Services	214	
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	1	

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### MARCH 2019 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2018-19

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	42	45	32	46	25	43
Unannounced	54	59	46	55	32	53
<b>Total Number of Reviews</b>	<b>96</b>	<b>104</b>	<b>78</b>	<b>101</b>	<b>0</b>	<b>96</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	230	278	195	156	90	207
Corrective Action Plans	7	7	10	10	6	3
Special Incident Investigations*	59	58	51	39	27	55

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	40	43	47				<b>363</b>
Unannounced	50	51	46				<b>446</b>
<b>Total Number of Reviews</b>	<b>90</b>	<b>94</b>	<b>93</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>809</b>

Provider Trainings	0	0	0				<b>0</b>
Technical Support	163	291	246				<b>1,856</b>
Corrective Action Plans	9	4	2				<b>58</b>
Special Incident Investigations*	51	61	42				<b>443</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;

(B) Reasonably suspected abuse/exploitation including:

1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.

(C) Reasonably suspected neglect including failure to:

1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.

(D) A serious injury/accident including:

1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.

(E) Any unplanned or unscheduled hospitalization due to the following conditions:

1. Respiratory illness, including but not limited to, asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubitus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;

(2) The following special incidents regardless of when or where they occurred:

(A) The death of any consumer, regardless of cause;

(B) The consumer is the victim of a crime including the following:

1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

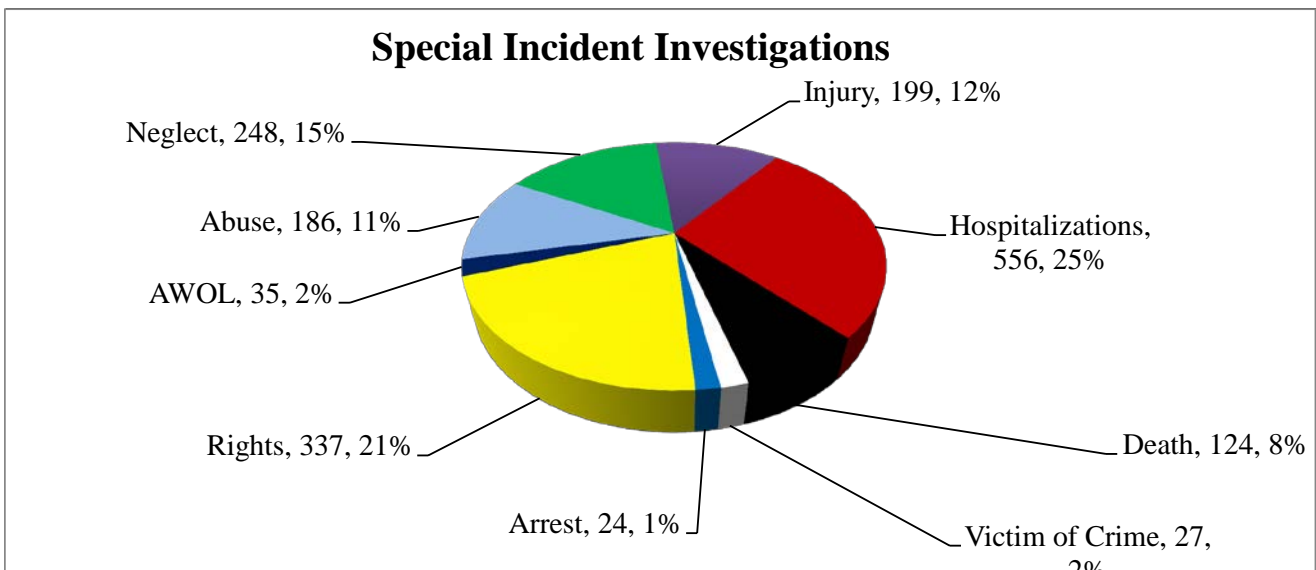
Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.



**Type and Number of Special Incident Investigations**  
*Fiscal Year 2018-19*

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	7	3	3	4	1
Abuse	25	28	24	22	25	14
Neglect	33	31	40	24	21	19
Injury	16	24	12	24	14	9
Hospitalizations - Total	52	62	48	63	24	47
<i>Psychiatric</i>	37	10	15	14	6	16
<i>Medical</i>	15	52	33	49	18	31
Death	14	17	13	7	9	14
Victim of crime	1	4	5	8	2	1
Arrest	3	2	5	2	3	2
Rights	66	37	25	59	21	45
<b>Total</b>	<b>214</b>	<b>212</b>	<b>175</b>	<b>212</b>	<b>123</b>	<b>152</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	4	1	8				35
Abuse	17	12	19				186
Neglect	23	33	24				248
Injury	25	34	41				199
Hospitalizations - Total	56	45	55				452
<i>Psychiatric</i>	17	13	8				136
<i>Medical</i>	39	32	47				316
Death	13	19	18				124
Victim of Crime	4	1	1				27
Arrest	2	3	2				24
Rights	42	21	21				337
<b>Total</b>	<b>186</b>	<b>169</b>	<b>189</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,632</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
*Fiscal Year 2018-19*

*Number of Audits / Appeals / Recoveries*

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	1	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

*Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)*

State Appeal	0	0	0	0	0	0
Recovery	0	0	1	0	0	1

*Audit Findings (Dollar Amount)*

Amount of Recovery	\$0.00	\$0.00	\$4,520.99	\$0.00	\$0.00	\$0.00
--------------------	--------	--------	------------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	3	0	1				<b>5</b>
Staffing	0	0	7				<b>7</b>
Level 4I Consultant	0	0	0				<b>0</b>
P&I (consumer funds)	2	0	2				<b>4</b>
<b>Total Number of Audits</b>	<b>5</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>16</b>

*Number of Appeals / Recoveries*

State Appeal	0	0	0				<b>0</b>
Recovery	1	0	8				<b>11</b>

*Audit Findings (Dollar Amount)*

Amount of Recovery	\$0.00	\$0.00	\$106,509.64				<b>\$111,030.63</b>
--------------------	--------	--------	--------------	--	--	--	---------------------

## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Vouchers - Families Procure Their Own Services

***Fiscal Year 2018-19***

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	111	107	112	115	113	113
Diapers - Family Member	4	4	2	2	2	2
Nursing Service - Family Member	59	58	55	53	56	54
Respite Service - Family Member	418	413	425	433	433	437
Transportation - Family Member	193	186	192	193	191	188
<b><i>Total Number of Voucher Authorizations</i></b>	<b><i>785</i></b>	<b><i>768</i></b>	<b><i>786</i></b>	<b><i>796</i></b>	<b><i>795</i></b>	<b><i>794</i></b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	111	113	110			
Diapers - Family Member	2	2	2			
Nursing Service - Family Member	57	59	58			
Respite Service - Family Member	442	446	452			
Transportation - Family Member	190	191	194			
<b><i>Total Number of Voucher Authorizations</i></b>	<b><i>802</i></b>	<b><i>811</i></b>	<b><i>816</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Notifications of Community Events and Activities

*Fiscal Year 2018-19*

#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	59,721	51,189	48,499	130,106	98,974	52,321
Farsi	47	247	0	0	0	0
Korean	0	0	805	0	416	0
Spanish*	6,493	2,388	4,796	7,672	4,842	4,865
Vietnamese*	1,391	2,461	3,614	7,651	1,514	3,737
<b>Total Number of Notifications</b>	<b>67,652</b>	<b>56,285</b>	<b>57,714</b>	<b>145,429</b>	<b>105,746</b>	<b>60,923</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June
English*	160,444	127,901	115,470			
Farsi	0	0	0			
Korean	270	415	0			
Spanish*	10,770	2,627	8,916			
Vietnamese*	1,093	2,963	852			
Chinese	0	134	0			
<b>Total Number of Notifications</b>	<b>172,577</b>	<b>134,040</b>	<b>125,238</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Threshold languages for RCOG

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2018-19*

#### Number of Outreach Events

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English						
In Person	5	13	10	16	11	11
In Print		2	2			
TV / Radio	5	2				
Spanish						
In Person	5	6	2	8	4	2
In Print		1		1		1
TV / Radio	1	1				1
Vietnamese						
In Person	2	4	3	3	1	
In Print		1	1			
TV / Radio	5	3	2	4	2	1
Other Languages						
In Person (Korean)		1	1			1 Japanese
In Print						
TV / Radio						
<b>Total Number of Outreach Events</b>	<b>23</b>	<b>34</b>	<b>21</b>	<b>32</b>	<b>18</b>	<b>16</b>

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.	May	June
English						
In Person	17	15	20			
In Print	1	1	2			
TV / Radio			1			
Spanish						
In Person	4	3	7			
In Print		1				
TV / Radio						
Vietnamese						
In Person	1	9	10			
In Print						
TV / Radio	2	1	1			
Other Languages						
In Person						
In Print						
TV / Radio						
<b>Total Number of Outreach Events</b>	<b>24</b>	<b>30</b>	<b>41</b>	<b>0</b>	<b>0</b>	<b>0</b>

## EARLY INTERVENTION / PREVENTION

### Related Guiding Principles

- *Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.*
- *Persons served are provided with needed services and supports in a family-focused and collaborative fashion.*

### *Fiscal Year 2018-19*

<b>Developmental Screenings by Ethnicity</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
American Indian or Alaska Native	<b>No Data Available</b>	0	1	0	0	<b>No Data Available</b>
Asian		7	4	0	4	
Black/African American		3	0	0	0	
Hispanic		38	60	25	111	
Native Hawaiian or Other Pacific Islander		0	0	0	0	
Other Ethnicity or Race / Multi-Cultural		4	1	1	15	
White		6	5	0	13	
<b><i>Total Number Screened</i></b>	<b>0</b>	<b>58</b>	<b>71</b>	<b>26</b>	<b>143</b>	<b>0</b>
<b><i>Total Number Referred to RCOC</i></b>	<b>0</b>	<b>20</b>	<b>20</b>	<b>2</b>	<b>23</b>	<b>0</b>

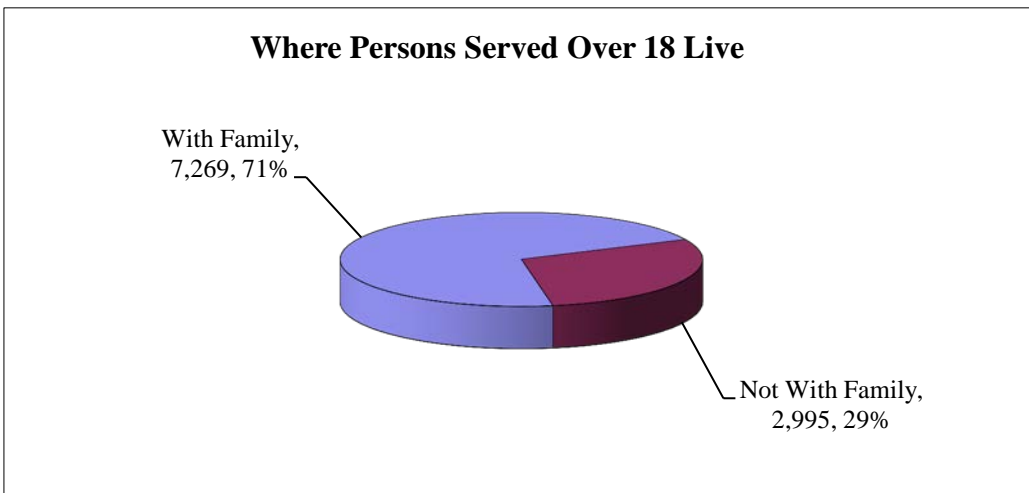
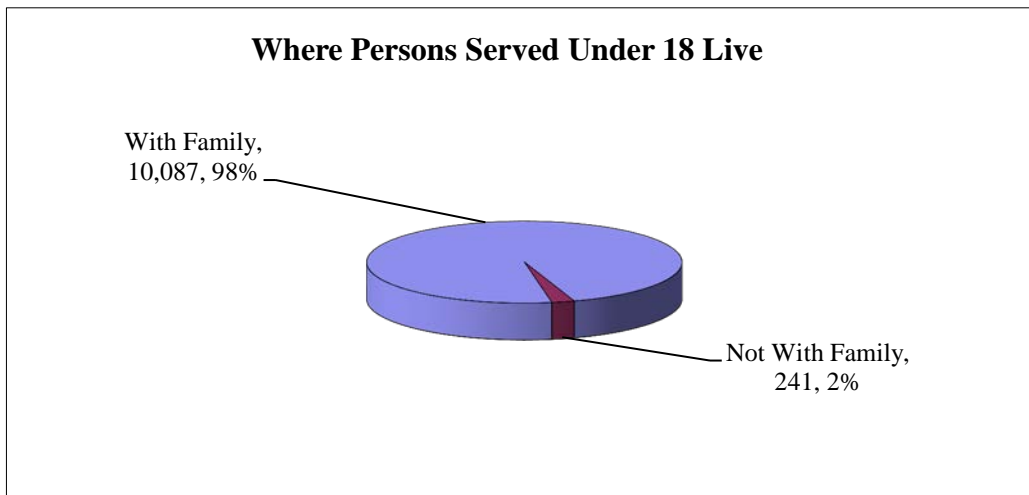
<b>Developmental Screenings by Ethnicity</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
American Indian or Alaska Native	0	0	0				<b>1</b>
Asian	8	8	6				<b>11</b>
Black/African American	1	0	0				<b>3</b>
Hispanic	12	48	63				<b>98</b>
Native Hawaiian or Other Pacific Islander	0	0	0				<b>0</b>
Other Ethnicity or Race / Multi-Cultural	10	3	17				<b>5</b>
White	11	14	2				<b>11</b>
<b><i>Total Number Screened</i></b>	<b>42</b>	<b>73</b>	<b>89</b>				<b>502</b>
<b><i>Total Number Referred to RCOC</i></b>	<b>11</b>	<b>18</b>	<b>23</b>				<b>117</b>

## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>

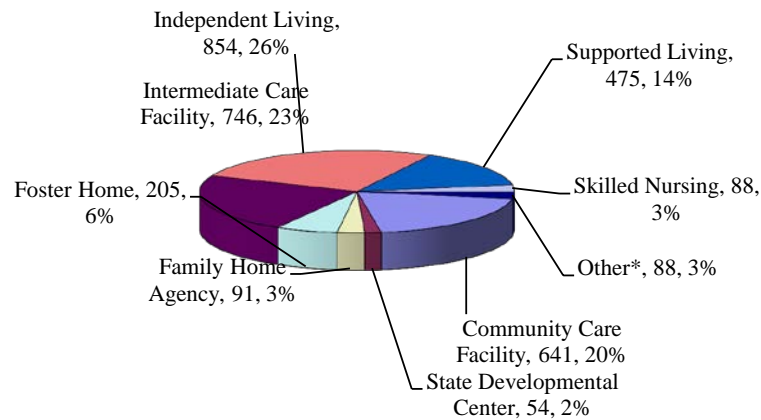


**LIVING OPTIONS, continued**

<b>Where Persons Served Live</b>	<b>All Persons Served</b>	<b>Persons Served Under 18</b>	<b>Persons Served Over 18</b>
Family Home	17,356	10,194	7,348
Community Care Facility	641	42	1,634
State Developmental Center	54	0	40
Family Home Agency	91	0	91
Foster Home	205	208	7
Intermediate Care Facility	746	3	743
Independent Living	854	0	855
Supported Living	475	0	475
Skilled Nursing	88	0	86
Other*	88	23	65
<b>Total</b>	<b>20,598</b>	<b>10,470</b>	<b>11,344</b>

<b>Other*</b>			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	1	0	1
Correctional Institution	0	0	0
County Jail	1	0	1
Other	0	0	0
Out of State	4	0	4
Psychiatric Treatment	6	1	5
Rehabilitation Center	7	1	6
SDC / State Hospital	0	0	0
Sub-Acute	49	21	28
Transient / Homeless	17	0	17
<b>Total, Other*</b>	<b>88</b>	<b>23</b>	<b>65</b>

**Where Persons Served Live When Not With Family**





## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

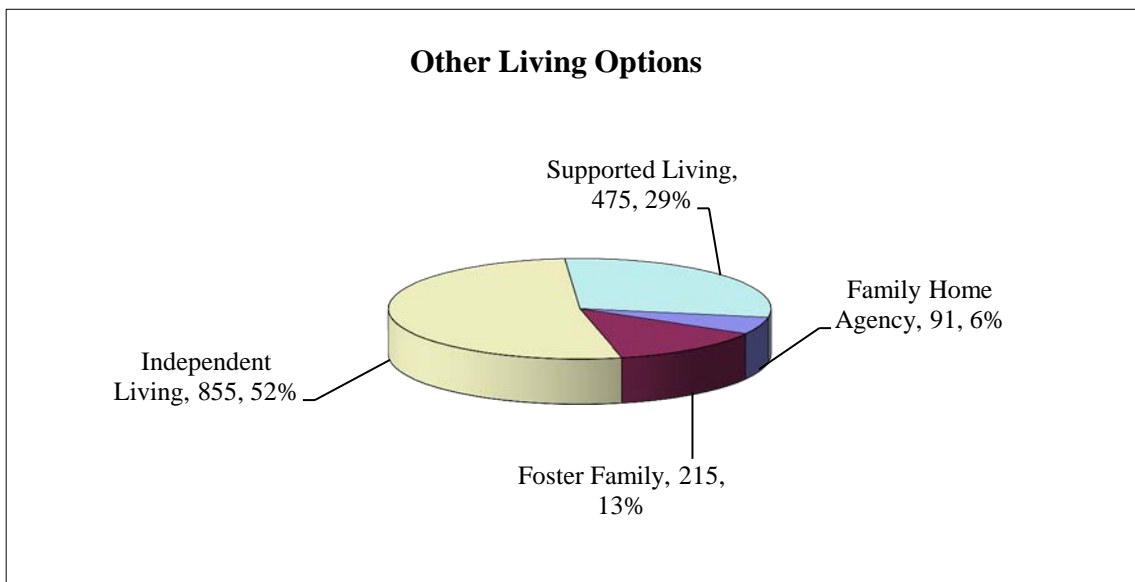
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

<b>Other Living Options</b>	<b>Total</b>	<b>Under 18</b>	<b>Over 18</b>
Family Home Agency	91	0	91
Foster Family	215	208	7
Independent Living	855	0	855
Supported Living	475	0	475
<b>Total</b>	<b>1,636</b>	<b>208</b>	<b>1,428</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2018-19

Licensed Facilities	Total	Over 18	Under 18
Level 2	317	317	0
Level 3	360	360	0
Level 4A	44	44	0
Level 4B	5	5	0
Level 4C	49	49	0
Level 4D	43	43	0
Level 4E	30	30	0
Level 4F	64	64	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	372	357	15
Elderly	1	1	0
ICF/DD-H	4	4	0
ICF/DD-N	6	6	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,330</b>	<b>1,315</b>	<b>15</b>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	317	317	0
Level 3	360	360	0
Level 4	642	627	15
ICF/DD-H	4	4	0
ICF/DD-N	6	6	0
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,330</b>	<b>1,315</b>	<b>15</b>

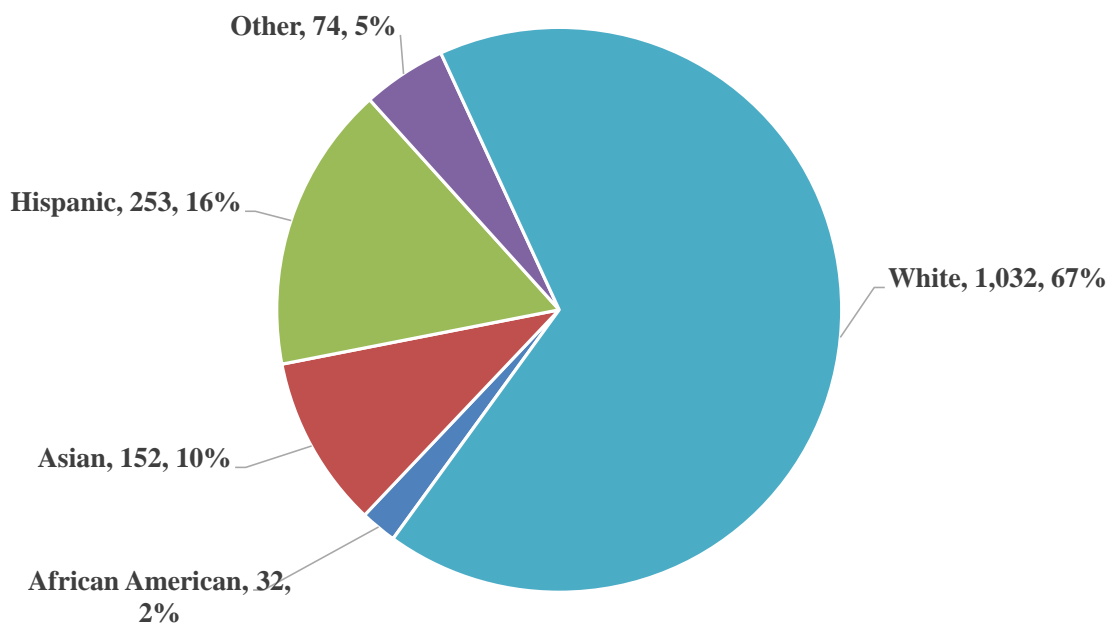
**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**

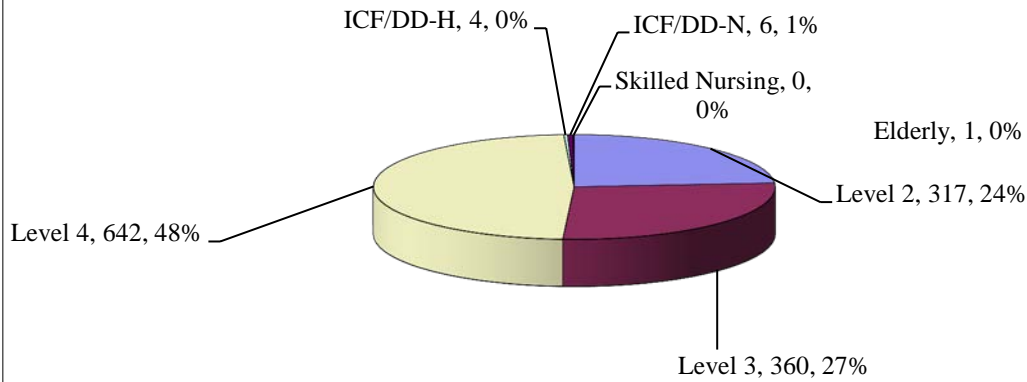
*Fiscal Year 2018-19*

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	26	59	17	275	383
Level 3	10	33	63	15	270	391
Level 4A	1	3	7	2	32	45
Level 4B	0	1	0	0	7	8
Level 4C	0	9	8	1	38	56
Level 4D	0	6	7	2	34	49
Level 4E	0	5	6	3	22	36
Level 4F	2	9	11	1	48	71
Level 4G	0	7	3	2	22	34
Level 4H	0	0	3	1	2	6
Level 4I	13	53	86	30	282	464
<b>Total</b>	<b>32</b>	<b>152</b>	<b>253</b>	<b>74</b>	<b>1,032</b>	<b>1,543</b>

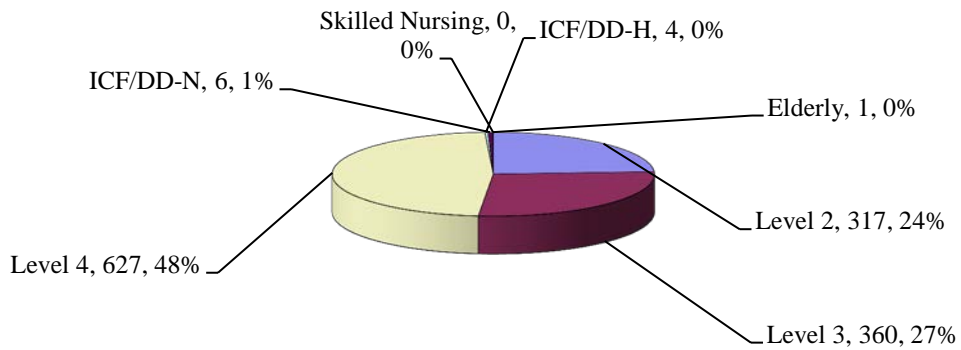
**Residents in Community Care Licensed Facilities by Ethnicity**



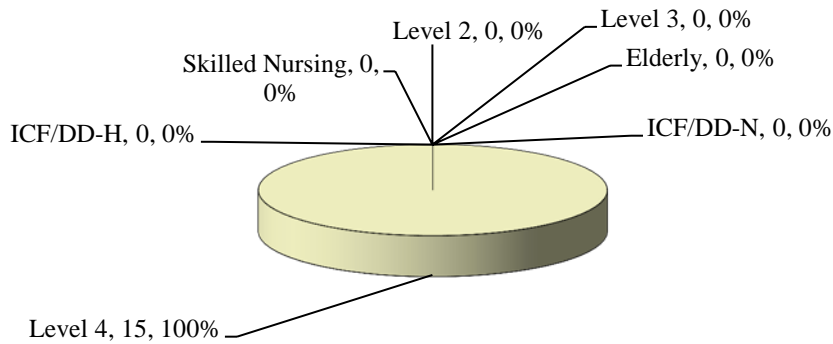
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

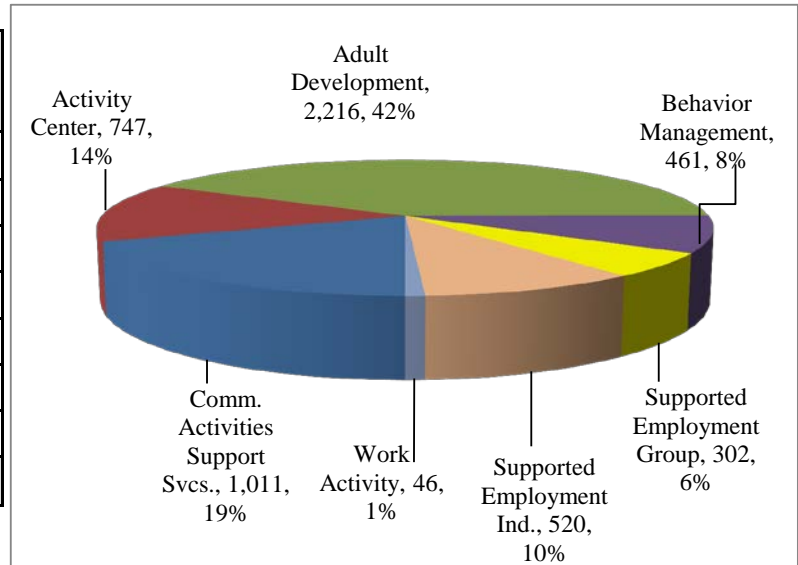


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,011
Activity Center	747
Adult Development	2,216
Behavior Management	461
Supported Employment Group	302
Supported Employment Ind.	520
Work Activity	46
<b>Total</b>	<b>5,303</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

*Fiscal Year 2018-19*

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	299.0	298.0	304.0	305.0	312.0	312.0
Number of Case-Carrying SCs	271.0	269.0	274.0	275.0	282.0	283.0
Number of Intake SCs	28.0	29.0	30.0	30.0	30.0	29.0
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	21,330	21,329	21,386	21,449	21,492	21,564
Caseload Ratio, # of Active Persons Served/SCs	78.7	79.3	78.1	78.0	76.2	76.2

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	313.0	320.0	319.0			
Number of Case-Carrying SCs	284.0	291.0	290.0			
Number of Intake SCs	29.0	29.0	29.0			
Number of State Developmental Center SCs	0.0	0.0	0.0			
Number of Active Persons Served	21,558	21,642	21,745			
Caseload Ratio, # of Active Persons Served/SCs	75.9	74.4	75.0			

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2018-19**

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>13</b>	<b>9</b>	<b>9</b>	<b>9</b>	<b>12</b>	<b>13</b>	<b>12</b>	<b>5</b>	<b>7</b>			
Eligibility - Lanterman	5	4	3	4	0	8	8	3	3			
Behavioral services	0	0	0	1	7	2	2	0	1			
Respite	3	2	1	2	3	2	0	2	0			
Day Care	0	0	0	0	0	0	0	0	0			
ILS/SLS	0	0	0	0	0	0	0	0	0			
Personal Assistance	1	1	1	0	0	0	1	0	0			
Other**	4	2	4	2	2	1	1	0	3			

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>7</b>	<b>6</b>	<b>4</b>	<b>6</b>	<b>1</b>	<b>5</b>			
Eligibility - Lanterman	2	2	1	3	4	2	4	1	1			
Eligibility - Early Start	0	0	0	0	0	0	0	0	0			
Behavioral services	0	0	0	1	2	0	0	0	1			
Respite	0	0	0	2	0	2	0	0	0			
Day Care	0	0	0	0	0	0	0	0	0			
Social/Recreational	0	0	0	0	0	0	0	0	0			
Personal Assistance	0	0	0	0	0	0	1	0	0			
Other**	1	0	4	1	0	0	1	0	3			

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>3</b>	<b>2</b>	<b>6</b>	<b>4</b>	<b>3</b>	<b>4</b>	<b>8</b>	<b>3</b>	<b>3</b>			
Number of Informal Meetings Held	3	2	5	4	1	3	8	3	3			
Number of Mediations Held	0	0	1	0	1	0	0	0	0			
Number of SLFHs Held	0	0	0	0	1	1	0	0	0			

<b>Number of Requests in Scheduling*</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>3</b>			
--	----------	----------	----------	----------	----------	----------	----------	----------	----------	--	--	--

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			
------------------------------------	----------	----------	----------	----------	----------	----------	----------	----------	----------	--	--	--

\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>0</b>	<b>2</b>	<b>6</b>	<b>3</b>	<b>4</b>	<b>6</b>	<b>8</b>	<b>3</b>	<b>1</b>			
Withdrawn by Person Served/Family	0	0	0	0	0	1	2	0	0			
Settled in Informal	1	2	5	3	2	2	5	3	1			
Settled after further follow-up by RCOC	2	0	0	0	1	1	1	0	0			
Settled in Mediation	0	0	1	0	1	0	0	0	0			
SLFH Decision	0	0	0	0	0	2	0	0	0			

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>												
Person Served/Family	0	0	0	0	0	0	0	0	0			
RCOC	0	0	0	0	0	2	0	0	0			
Split	0	0	0	0	0	0	0	0	0			



## ADMINISTRATION AND GOVERNANCE

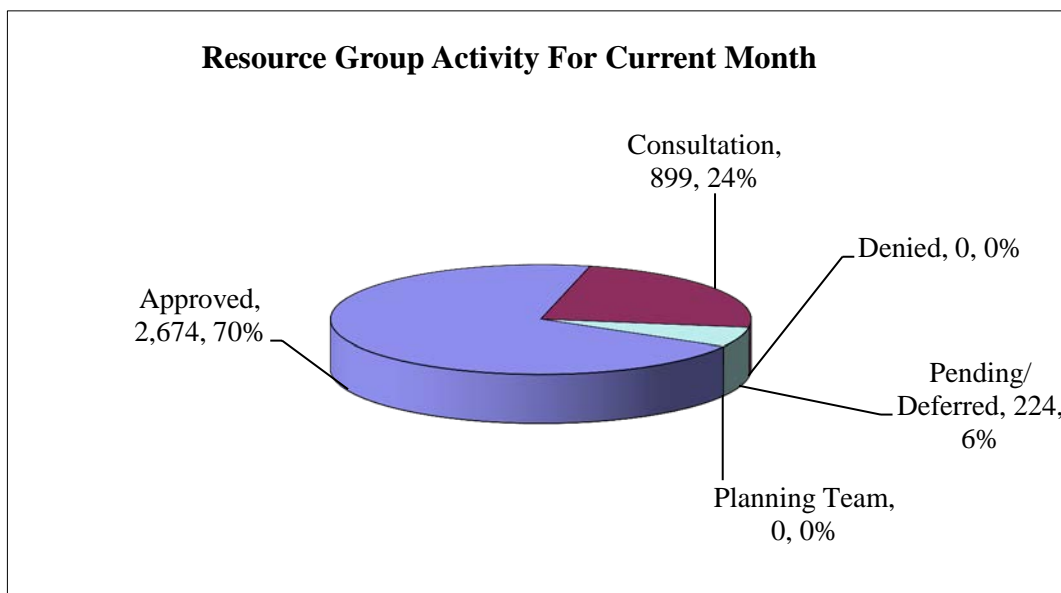
### Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for March 2019 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	606	357	0	58	0	<b>1,021</b>
Behavioral	148	79	0	18	0	<b>245</b>
Education	0	11	0	0	0	<b>11</b>
Eligibility/Health	119	6	0	5	0	<b>130</b>
Early Start	585	102	0	13	0	<b>700</b>
Living Options	188	175	0	53	0	<b>416</b>
Supported/Ind.	277	90	0	42	0	<b>409</b>
All Others	751	79	0	35	0	<b>865</b>
<b>Monthly Total</b>	<b>2,674</b>	<b>899</b>	<b>0</b>	<b>224</b>	<b>0</b>	<b>3,797</b>

<b>FY 2018-19 Total to Date</b>	<b>3,128</b>	<b>1,168</b>	<b>0</b>	<b>276</b>	<b>0</b>	<b>4,572</b>
-------------------------------------	--------------	--------------	----------	------------	----------	--------------



## Operations Report Summary - March 2019

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,593	8,123	8,836	40	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>17%</i>	<i>39%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>419</b>
---	------------

Persons Served by Residence Status	All	Under 18	Over 18	Special Incident Investigations	Year to Date
Family Home	17,542	10,194	7,348	AWOL	35
Community Care Facility	1,676	42	1,634	Abuse	186
State Developmental Center	40	0	40	Neglect	248
Family Home Agency	91	0	91	Injury	199
Foster Home	215	208	7	Hospitalizations - Total	452
Intermediate Care Facility	746	3	743	Death	124
Independent Living	855	0	855	Victim of crime	27
Supported Living	475	0	475	Arrest	24
Skilled Nursing	86	0	86	Rights	337
Other	88	23	65		
<b>Total</b>	<b>21,814</b>	<b>10,470</b>	<b>11,344</b>	<b>Total</b>	<b>1,632</b>

Number of Licensed Facilities	Total	Under 18	Over 18	Licensed Facility Monitoring	Year to Date
<i>Community Care Facilities</i>				Annual Review	363
Level 2	80	0	80	Unannounced	446
Level 3	71	1	70	Total Number of Reviews	809
Level 4	160	13	147	Provider Trainings	0
<b>Total Community Care Facilities</b>	<b>311</b>	<b>14</b>	<b>297</b>	Technical Support	1,856
				Corrective Action Plans	58

<i>Intermediate Care Facilities (ICF)</i>	
ICF-DD	1
ICF-DD/Habilitation	83
ICF-DD/Nursing	38
<b>Total ICF Facilities</b>	<b>122</b>

<b>Number of Audits</b>	<b>16</b>
<b>Amount of Recovery from Audits</b>	<b>\$111,031</b>

<b>Total Licensed Facilities</b>	<b>433</b>
----------------------------------	------------



## Performance Contract Summary

RCOC 3/01/19	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	21,672	49	0	0.27%	-49
Children in Foster Homes (FH)	10,389	200	215	1.93%	-15
Children Own Home Parent/Guardian	10,389	10,120	10,100	97.41%	20
Total # Children (FH,Parent/Guardian)	10,389	10,320	10,315	99.34%	5
Adult FHA	11,234	90	101	0.80%	-11
Independent Living (IL)	11,234	846	851	7.53%	-5
Adults Residing Own Home - Parent	11,234	7,307	7,250	65.04%	57
Supported Living (SL)	11,234	472	477	4.20%	-5
Total # Adults (FHA, IL,Parent/Guardian, SL)	11,234	8,715	8,679	77.58%	36
Children Residing in a CCF (7+ beds)	10,389	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	10,389	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,389	0	0	0.00%	0
Total Children Residing in 7+ bed facilities	10,389	3	0	0.03%	-3
Adults Residing in a CCF (7+ beds)	11,234	169	145	1.50%	-24
Adults Residing in a ICF (7+ beds)	11,234	68	55	0.61%	-13
Adults Residing in a Nursing Facility (7+ beds)	11,234	84	80	0.75%	-4
Total Adults Residing in 7+ bed facilities	11,234	321	280	2.86%	-41
Total Individuals Over Age 3 with <=120 days	214	213	100.00%	100.00%	99.52%
Total Individuals Over Age 3 with 121-240 days	214	1	0.00%	0.47%	0.48%
Total Individuals Over Age 3 Over 240 days	214	0	0.00%	0.00%	0.00%
Adults with Integrated Employment Goal	11,234	47%	50%		
Total Number of Incentive Payments Made	11,234				
Avg. Wage and Hour After Incentive Payment	11,234				
Number of 16-64 Earned Income	11,234	2,058			
Percentage of 16-64 Earned Income	11,234	18.60%			
Annual Earnings of 16-64	11,234	\$7,385			
Number of Adults in CIE After Paid Intern	11,234				
Percentage Adults Transitioned Internship to CIE	11,234				
Total Annual Expenditures Residence/Ethnicity	21,672				
Number and Percent Race/Ethnicity Satisfied with Services	21,672				
Number and Percent Families by Race/Ethnicity IPP/IFSP Includes Needed Services	21,672				

## Performance Contract 2019 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



## Performance Contract 2019

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.92
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

## Performance Contract 2019

### I. Developmental Center

#### A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.16%	326,687	525			
RCOC Public Hearing 8/15 /18	0.28%	21,293	59	<b>Goal</b>	<b>%</b>	<b># Attained</b>
RCOC 3/01/19	0.23%	21,672	49	0	0.23%	-49
Analysis as of Public Hearing	RCOC % of DD population	6.52%	RCOC % of DC population			11.24%

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2017-2018 and 2018-2019.

**Progress:** In FY 2017-2018, RCOC moved 13 persons served from Developmental Centers into the community. During Public Meetings in August 2018, RCOC had 59 persons served, or 0.28%, in Developmental Centers. RCOC is developing homes for all residents in developmental centers in anticipation of the pending closure dates.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-19	21,599	0	54	0.25%	-54
Feb-19	21,672	0	49	0.23%	-49
Mar-19		0			
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



## Performance Contract 2019

### II. Children Residing with Families (Child is defined as under 18 years of age).

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 186, or 1.81%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All children	Children in FH	Goal	%	# Attained
Statewide Average	2.69%	162,096	4,368			
RCOC Public Hearing 8/15 /18	1.81%	10,270	186			
RCOC 3/01/19	1.93%	10,389	200	215	1.93%	-15
Analysis as of Public Hearing		RCOC % of DD population	6.34%		RCOC % of FH population	4.26%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-19	10,346	215	197	1.90%	-18
Feb-19	10,389	215	200	1.93%	-15
Mar-19		215			
Apr-19		215			
May-19		215			
Jun-19		215			
Jul-19		215			
Aug-19		215			
Sep-19		215			
Oct-19		215			
Nov-19		215			
Dec-19		215			





**Progress: B.** During public meetings, RCOC had 10,001, or 97.38%, of children in own home-parent/guardian.

Number and % of regional center children in own home-parent/guardian.

	Percentage	All children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.65%	162,096	156,660			
RCOC Public Hearing 8/15 /18	97.38%	10,270	10,001			
RCOC 3/01/19	97.41%	10,389	10,120	10,100	97.41%	20
Analysis as of Public Hearing		RCOC % of DD population	6.34%	RCOC % of FH population		6.38%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-19	10,346	10,100	10,081	97.44%	-19
Feb-19	10,389	10,100	10,120	97.41%	20
Mar-19		10,100			
Apr-19		10,100			
May-19		10,100			
Jun-19		10,100			
Jul-19		10,100			
Aug-19		10,100			
Sep-19		10,100			
Oct-19		10,100			
Nov-19		10,100			
Dec-19		10,100			



**Progress:** C. During public meetings, RCOC had 10,187, or 99.19%, of children in homes.

Total number and % of regional center children in homes (This is a total of sections A and B above).

	Percentage	All children	Total Number Children in Homes	Goal	%	# Attained	
Statewide Average	99.34%	162,096	161,028				
RCOC Public Hearing 8/15 /18	99.19%	10,270	10,187				
RCOC 3/01/19	99.34%	10,389	10,320	10,315	99.34%	5	
Analysis as of Public Hearing				RCOC % of DD population	6.34%	RCOC % of FH population	6.33%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-19	10,346	10,315	10,278	99.34%	-37
Feb-19	10,389	10,315	10,320	99.34%	5
Mar-19		10,315			
Apr-19		10,315			
May-19		10,315			
Jun-19		10,315			
Jul-19		10,315			
Aug-19		10,315			
Sep-19		10,315			
Oct-19		10,315			
Nov-19		10,315			
Dec-19		10,315			



## Performance Contract 2019

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

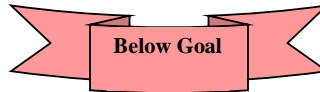
- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 92, or 0.84%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.97%	164,066	1,588			
RCOC Public Hearing 8/15 /18	0.84%	10,964	92			
RCOC 3/01/19	0.80%	11,234	90	101	0.80%	-11
Analysis as of Public Hearing		RCOC % of DD population	6.68%		RCOC % of FH population	5.79%



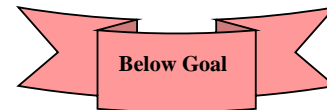
	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-19	11,199	101	91	0.81%	-10
Feb-19	11,234	101	90	0.80%	-11
Mar-19		101			
Apr-19		101			
May-19		101			
Jun-19		101			
Jul-19		101			
Aug-19		101			
Sep-19		101			
Oct-19		101			
Nov-19		101			
Dec-19		101			



**Progress:** B. During public meetings, RCOC had 835, or 7.62%, of adults residing in independent living.

Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.56%	164,066	17,325			
RCOC Public Hearing 8/15 /18	7.62%	10,964	835			
RCOC 3/01/19	7.53%	11,234	846	851	7.53%	-5
Analysis as of Public Hearing		RCOC % of DD population	6.68%		RCOC % of FH population	4.82%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-19	11,199	851	847	7.56%	-4
Feb-19	11,234	851	846	7.53%	-5
Mar-19		851			
Apr-19		851			
May-19		851			
Jun-19		851			
Jul-19		851			
Aug-19		851			
Sep-19		851			
Oct-19		851			
Nov-19		851			
Dec-19		851			



**Progress:** C. During public meetings, RCOC had 7,069, or 64.47%, of adults residing in own home-parent.

Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	62.93%	164,066	103,243			
RCOC Public Hearing 8/15 /18	60.89%	10,964	6,676			
RCOC 3/01/19	65.04%	11,234	7,307	7,250	65.04%	57
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 6.47%	



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-19	11,199	7,250	7,269	64.91%	19
Feb-19	11,234	7,250	7,307	65.04%	57
Mar-19		7,250			
Apr-19		7,250			
May-19		7,250			
Jun-19		7,250			
Jul-19		7,250			
Aug-19		7,250			
Sep-19		7,250			
Oct-19		7,250			
Nov-19		7,250			
Dec-19		7,250			



**Progress:** D. During public meetings, RCOC had 478, or 4.36%, of adults residing in supported living.

Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.45%	164,066	8,940			
RCOC Public Hearing 8/15 /18	4.36%	10,964	478			
RCOC 3/01/19	4.20%	11,234	472	477	4.20%	-5
Analysis as of Public Hearing		RCOC % of DD population	6.68%		RCOC % of FH population	5.35%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-19	11,199	477	470	4.20%	-7
Feb-19	11,234	477	472	4.20%	-5
Mar-19		477			
Apr-19		477			
May-19		477			
Jun-19		477			
Jul-19		477			
Aug-19		477			
Sep-19		477			
Oct-19		477			
Nov-19		477			
Dec-19		477			



**Progress:** E. During public meetings, RCOC had 8,474, or 77.29%, of adults residing in home-settings.

Total number and % of regional center adults in home settings (This is a total of sections A, B, C and D above).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	79.90%	164,066	131,096			
RCOC Public Hearing 8/15 /18	77.29%	10,964	8,474	8,679	77.58%	36
RCOC 3/01/19	77.58%	11,234	8,715			
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 6.46%	



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-19	11,199	8,679	8,677	77.48%	-2
Feb-19	11,234	8,679	8,715	77.58%	36
Mar-19		8,679			
Apr-19		8,679			
May-19		8,679			
Jun-19		8,679			
Jul-19		8,679			
Aug-19		8,679			
Sep-19		8,679			
Oct-19		8,679			
Nov-19		8,679			
Dec-19		8,679			





## Performance Contract 2019

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 4, or 0.04%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	162,096	34			
RCOC Public Hearing 8/15 /18	0.04%	10,270	4			
RCOC 3/01/19	0.01%	10,389	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	6.34%		RCOC % of FH population	11.76%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-19	10,346	0	1	0.01%	-1
Feb-19	10,389	0	1	0.01%	-1
Mar-19		0			
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress:** B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained	
Statewide Average	0.02%	162,096	34				
RCOC Public Hearing 8/15 /18	0.02%	10,270	2				
RCOC 3/01/19	0.02%	10,389	2	0	0.02%	-2	
Analysis as of Public Hearing				RCOC % of DD population	6.34%	RCOC % of FH population	5.88%



	Total Children status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-19	10,346	0	2	0.02%	-2
Feb-19	10,389	0	2	0.02%	-2
Mar-19		0			
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained	
Statewide Average	0.00%	162,096	7				
RCOC Public Hearing 8/15 /18	0.00%	10,270	0				
RCOC 3/01/19	0.00%	10,389	0	0	0.00%	0	
Analysis as of Public Hearing				RCOC % of DD population	6.34%	RCOC % of FH population	0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-19	10,346	0	0	0.00%	0
Feb-19	10,389	0	0	0.00%	0
Mar-19		0			
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress:** D. During public meetings, RCOC had 6, or 0.06%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in a facility with 7+ beds (This is a total of sections A, B and C above).

Statewide Average

RCOC Public Hearing 8/15 /18

RCOC 3/01/19

Analysis as of Public Hearing

Percentage	Total Children status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
0.05%	162,096	75			
0.06%	10,270	6			
0.03%	10,389	3	0	0.03%	-3
RCOC % of DD population		6.34%	RCOC % of FH population		8.00%



	Total Children status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-19	10,346	0	3	0.03%	-3
Feb-19	10,389	0	3	0.03%	-3
Mar-19		0			
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



## Performance Contract 2019

### V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers).

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 155, or 1.41%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	1.12%	164,066	1,831			
RCOC Public Hearing 8/15 /18	1.41%	10,964	155	145	1.40%	-24
RCOC 3/01/19	1.40%	11,234	169	145	1.40%	-24
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 8.47%	



	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-19	11,199	145	170	1.40%	-25
Feb-19	11,234	145	169	1.40%	-24
Mar-19		145			
Apr-19		145			
May-19		145			
Jun-19		145			
Jul-19		145			
Aug-19		145			
Sep-19		145			
Oct-19		145			
Nov-19		145			
Dec-19		145			



**Progress: B.** During public meetings, RCOC had 80, or 0.73%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	164,066	1,008			
RCOC Public Hearing 8/15 /18	0.73%	10,964	80	55	0.61%	-13
RCOC 3/01/19	0.61%	11,234	68			
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 7.94%	



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-19	11,199	55	72	0.64%	-17
Feb-19	11,234	55	68	0.61%	-13
Mar-19		55			
Apr-19		55			
May-19		55			
Jun-19		55			
Jul-19		55			
Aug-19		55			
Sep-19		55			
Oct-19		55			
Nov-19		55			
Dec-19		55			





**Progress:** C. During public meetings, RCOC had 85, or .78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.66%	164,066	1,081			
RCOC Public Hearing 8/15 /18	0.78%	10,964	85			
RCOC 3/01/19	0.75%	11,234	84	80	0.75%	-4
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 7.86%	



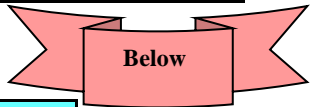
	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-19	11,199	80	86	0.77%	-6
Feb-19	11,234	80	84	0.75%	-4
Mar-19		80			
Apr-19		80			
May-19		80			
Jun-19		80			
Jul-19		80			
Aug-19		80			
Sep-19		80			
Oct-19		80			
Nov-19		80			
Dec-19		80			



**Progress:** D. During public meetings, RCOC had 313, or 2.85%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adults residing in a facility with 7+ beds (This is a total of sections A, B and C above).

	Percentage	Total Adults status 2	Total Adults Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	2.39%	164,066	3,920			
RCOC Public Hearing 8/15 /18	2.85%	10,964	313			
RCOC 3/01/19	2.86%	11,234	321	280	2.86%	-41
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 7.98%	



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-19	11,199	280	328	2.93%	-48
Feb-19	11,234	280	321	2.86%	-41
Mar-19		280			
Apr-19		280			
May-19		280			
Jun-19		280			
Jul-19		280			
Aug-19		280			
Sep-19		280			
Oct-19		280			
Nov-19		280			
Dec-19		280			

## Performance Contract 2019

### VI. Intake Duration

**Planned Activities**

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

**Progress:** A. During public meetings, RCOC had 221, or 99.10%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 3/01/19

Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
99.12%	6,028	5,975		
99.10%	223	221		
99.52%	214	213	100.00%	99.53%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-19	210	100.00%	209	99.52%
Feb-19	214	100.00%	2,131	99.53%
Mar-19		100.00%		
Apr-19		100.00%		
May-19		100.00%		
Jun-19		100.00%		
Jul-19		100.00%		
Aug-19		100.00%		
Sep-19		100.00%		
Oct-19		100.00%		
Nov-19		100.00%		
Dec-19		100.00%		

**Progress:** B. During public meetings, RCOC had 2, or 0.90%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 3/01/19



Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
0.76%	6,028	46		
0.90%	223	2	Goal	% Attained
0.47%	214	1	0.00%	0.47%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-19	210	0.00%	1	0.48%
Feb-19	214	0.00%	1	0.47%
Mar-19		0.00%		
Apr-19		0.00%		
May-19		0.00%		
Jun-19		0.00%		
Jul-19		0.00%		
Aug-19		0.00%		
Sep-19		0.00%		
Oct-19		0.00%		
Nov-19		0.00%		
Dec-19		0.00%		

**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 3/01/19

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
0.12%	6,028	7		
0.00%	223	0		
0.00%	214	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-19	210	0.00%	0	0.00%
Feb-19	214	0.00%	0	0.00%
Mar-19		0.00%		
Apr-19		0.00%		
May-19		0.00%		
Jun-19		0.00%		
Jul-19		0.00%		
Aug-19		0.00%		
Sep-19		0.00%		
Oct-19		0.00%		
Nov-19		0.00%		
Dec-19		0.00%		



**Performance Contract 2019**

**VII. National Core Indicators (NCI) Employment**

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. Results of recent interviews have not been submitted to regional centers.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	<b>Goal</b>
RCOC FY 2014-15*	47%	50%

\*NOTE: Surveys are being conducted again in FY 2017-18



**Progress: B.** RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018			

\*NOTE: Incentive payments started in FY 2016-17, partial year data



**Progress: C.** RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf during the previous fiscal year.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018		





**Performance Contract 2019**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2017, 2,058 persons served ages 16-64 had earned income.

A. Number and percentage of persons served ages 16-64 with earned income.

	RCOC	Percentage	Statewide Average	Statewide %
2013	1,207	12.80%	839	12%
2014	1,754	13.10%	906	13.10%
2015	2,175	27%	962	16%
2016	2,085	19.80%	1,033	14.20%
2017	2,058	18.60%	1,108	14.50%



**Progress: B.** Information from the Employment Development Department in 2016 indicate that the average annual wage for consumers was \$5,975. In 2017, the average annual wage increased to \$7,385.

B. Average annual wages for people served age 16-64.

	RCOC
2013	\$6,691
2014	\$6,086
2015	\$5,681
2016	\$5,975
2017	\$7,385



**Progress: C.** Results from the Employment Development Department in 2016 indicate that average annual wage for persons served ages 16-64 was \$5,975 compared to \$7,631 for people with all disabilities. In 2017, the average annual wage for persons served ages 16-64 was \$7,385 compared to \$8,698 for all disabilities.

C. Annual earnings of age group 16-64 as compared with all persons served with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$5,975	\$7,631
2017	\$7,385	\$8,698



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. RCOC currently has 5 individuals within a paid internship.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	5

\*Note: Individuals have participated in PIP for less than 12 months total.



**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17 and has been in place less than 12 months total.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	

\*Note: PIP has been in place less than 12 months, no transitions have currently been made.



**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018		



## Performance Contract 2019

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2015-16 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.



**Progress:** A. Review of fiscal year 2016-17 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	4	0.1%	\$34,936	53.6%
Asian	917	22.4%	\$8,493,919	66.2%
Black/African American	68	1.4%	\$514,483	62.6%
Hispanic	2,043	33.9%	\$12,872,918	61.1%
Native Hawaiian or Other Pacific Islander	2	0.0%	\$5,111	70.4%
Other Ethnicity or Race / Multi-Cultural	1,170	23.3%	\$8,822,287	60.3%
White	1,048	18.9%	\$7,176,339	59.7%
<b>Totals</b>	<b>5,252</b>	<b>100.0%</b>	<b>\$37,919,994</b>	<b>61.8%</b>

**Age 3 Years to 21 Years**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15	0.2%	\$196,822	78.5%
Asian	1,630	17.8%	\$17,945,068	65.2%
Black/African American	167	2.7%	\$2,760,959	75.5%
Hispanic	3,561	31.8%	\$32,082,238	64.2%
Native Hawaiian or Other Pacific Islander	6	0.0%	\$12,865	27.2%
Other Ethnicity or Race / Multi-Cultural	1,608	17.8%	\$17,994,675	61.6%
White	2,230	29.7%	\$30,053,352	69.2%
<b>Totals</b>	<b>9,217</b>	<b>100.0%</b>	<b>\$101,045,979</b>	<b>65.7%</b>

**Age 22 Years and Older**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15	0.3%	\$725,240	89.3%
Asian	1,146	10.8%	\$31,038,803	82.4%
Black/African American	210	2.8%	\$7,963,856	79.2%
Hispanic	2,130	17.9%	\$51,260,419	81.6%
Native Hawaiian or Other Pacific Islander	5	0.1%	\$188,232	79.3%
Other Ethnicity or Race / Multi-Cultural	701	7.6%	\$21,688,093	83.9%
White	4,450	60.6%	\$173,372,959	85.1%
<b>Totals</b>	<b>8,657</b>	<b>100.0%</b>	<b>\$286,237,603</b>	<b>83.9%</b>





**Progress:** B. Review of fiscal year 2016-17 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	4	0	0.0%
Asian	917	27	2.9%
Black/African American	68	0	0.0%
Hispanic	2,043	105	5.1%
Native Hawaiian or Other Pacific Islander	2	1	50.0%
Other Ethnicity or Race / Multi-Cultural	1,170	40	3.4%
White	1,048	50	4.8%
<b>Totals</b>	<b>5,252</b>	<b>223</b>	<b>4.2%</b>

**Age 3 Years to 21 Years**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	15	4	26.7%
Asian	1,630	373	22.9%
Black/African American	167	49	29.3%
Hispanic	3,561	1,324	37.2%
Native Hawaiian or Other Pacific Islander	6	2	33.3%
Other Ethnicity or Race / Multi-Cultural	1,608	426	26.5%
White	2,230	542	24.3%
<b>Totals</b>	<b>9,217</b>	<b>2,720</b>	<b>29.5%</b>

**Age 22 Years and Older**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	15	2	13.3%
Asian	1,146	218	19.0%
Black/African American	210	37	17.6%
Hispanic	2,130	474	22.3%
Native Hawaiian or Other Pacific Islander	5	0	0.0%
Other Ethnicity or Race / Multi-Cultural	701	125	17.8%
White	4,450	524	11.8%
<b>Totals</b>	<b>8,657</b>	<b>1,380</b>	<b>15.9%</b>



**Progress:** B. Review of fiscal year 2016-17 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

<b>Primary Language</b>	<b>Total People</b>	<b>POS Authorized Per Capita</b>	<b>Percentage Utilized</b>
English	17,389	\$20,224	78.30%
Spanish	4,286	\$11,926	72.90%
Mandarin Chinese	58	\$15,219	70.10%
Vietnamese	1,069	\$13,037	75.00%
Korean	119	\$25,681	79.00%
Tagalog	31	\$24,582	76.20%
Arabic	37	\$12,828	68.70%



## Performance Contract Summary

RCOC 4/01/19	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	21,787	40	0	0.18%	-40
Children in Foster Homes (FH)	10,466	209	215	2.00%	-6
Children Own Home Parent/Guardian	10,466	10,289	10,100	98.31%	189
Total # Children (FH,Parent/Guardian)	10,466	10,498	10,315	100.31%	183
Adult FHA	11,281	91	101	0.81%	-10
Independent Living (IL)	11,281	849	851	7.53%	-2
Adults Residing Own Home - Parent	11,281	7,346	7,250	65.12%	96
Supported Living (SL)	11,281	469	477	4.16%	-8
Total # Adults (FHA, IL,Parent/Guardian, SL)	11,281	8,755	8,679	77.61%	76
Children Residing in a CCF (7+ beds)	10,466	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	10,466	2	0	0.02%	-2
Children Residing in a Nursing Facility (7+ beds)	10,466	0	0	0.00%	0
Total Children Residing in 7+ bed facilities	10,466	3	0	0.03%	-3
Adults Residing in a CCF (7+ beds)	11,281	170	145	1.51%	-25
Adults Residing in a ICF (7+ beds)	11,281	69	55	0.61%	-14
Adults Residing in a Nursing Facility (7+ beds)	11,281	84	80	0.74%	-4
Total Adults Residing in 7+ bed facilities	11,281	323	280	2.86%	-43
Total Individuals Over Age 3 with <=120 days	220	220	100.00%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	220	0	0.00%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	220	0	0.00%	0.00%	0.00%
Adults with Integrated Employment Goal	11,281	47%	50%		
Total Number of Incentive Payments Made	11,281				
Avg. Wage and Hour After Incentive Payment	11,281				
Number of 16-64 Earned Income	11,281	2,058			
Percentage of 16-64 Earned Income	11,281	18.60%			
Annual Earnings of 16-64	11,281	\$7,385			
Number of Adults in CIE After Paid Intern	11,281				
Percentage Adults Transitioned Internship to CIE	11,281				
Total Annual Expenditures Residence/Ethnicity	21,787				
Number and Percent Race/Ethnicity Satisfied with Services	21,787				
Number and Percent Families by Race/Ethnicity IPP/IFSP Includes Needed Services	21,787				

## Performance Contract 2019 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2019**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

<b>Compliance Measure</b>	<b>Outcome</b>
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Accuracy percent of POS fiscal projections	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.92
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



## Performance Contract 2019

### I. Developmental Center

#### A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.16%	326,687	525			
RCOC Public Hearing 8/15 /18	0.28%	21,293	59	Goal	%	# Attained
RCOC 4/01/19	0.18%	21,787	40	0	0.18%	-40
Analysis as of Public Hearing	RCOC % of DD population	6.52%	RCOC % of DC population			11.24%

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2017-2018 and 2018-2019.

**Progress:** In FY 2017-2018, RCOC moved 13 persons served from Developmental Centers into the community. During Public Meetings in August 2018, RCOC had 59 persons served, or 0.28%, in Developmental Centers. RCOC is developing homes for all residents in developmental centers in anticipation of the pending closure dates.

**Below**

#### Number of Persons Served Residing DCs

	Total Active Caseload	Goal	DC	%	Number Attained
Jan-19	21,599	0	54	0.25%	-54
Feb-19	21,672	0	49	0.23%	-49
Mar-19	21,787	0	40	0.18%	-40
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



## Performance Contract 2019

### II. Children Residing with Families (Child is defined as under 18 years of age).

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 186, or 1.81%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All children	Children in FH			
Statewide Average	2.69%	162,096	4,368			
RCOC Public Hearing 8/15 /18	1.81%	10,270	186	Goal	%	# Attained
RCOC 3/01/19	2.00%	10,466	209	215	2.00%	-6
Analysis as of Public Hearing		RCOC % of DD population	6.34%	RCOC % of FH population		4.26%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-19	10,346	215	197	1.90%	-18
Feb-19	10,389	215	200	1.93%	-15
Mar-19	10,466	215	209	2.00%	-6
Apr-19		215			
May-19		215			
Jun-19		215			
Jul-19		215			
Aug-19		215			
Sep-19		215			
Oct-19		215			
Nov-19		215			
Dec-19		215			





**Progress: B.** During public meetings, RCOC had 10,001, or 97.38%, of children in own home-parent/guardian.

Number and % of regional center children in own home-parent/guardian.

	Percentage	All children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.65%	162,096	156,660			
RCOC Public Hearing 8/15 /18	97.38%	10,270	10,001			
RCOC 3/01/19	97.35%	10,466	10,189	10,100	97.35%	89
Analysis as of Public Hearing		RCOC % of DD population	6.34%	RCOC % of FH population		6.38%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-19	10,346	10,100	10,081	97.44%	-19
Feb-19	10,389	10,100	10,120	97.41%	20
Mar-19	10,466	10,100	10,189	97.35%	89
Apr-19		10,100			
May-19		10,100			
Jun-19		10,100			
Jul-19		10,100			
Aug-19		10,100			
Sep-19		10,100			
Oct-19		10,100			
Nov-19		10,100			
Dec-19		10,100			



**Progress:** C. During public meetings, RCOC had 10,187, or 99.19%, of children in homes.

Total number and % of regional center children in homes (This is a total of sections A and B above).

	Percentage	All children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.34%	162,096	161,028			
RCOC Public Hearing 8/15 /18	99.19%	10,270	10,187			
RCOC 3/01/19	99.35%	10,466	10,398	10,315	99.35%	83
Analysis as of Public Hearing				RCOC % of DD population	6.34%	RCOC % of FH population
						6.33%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-19	10,346	10,315	10,278	99.34%	-37
Feb-19	10,389	10,315	10,320	99.34%	5
Mar-19	10,466	10,315	10,398	99.35%	83
Apr-19		10,315			
May-19		10,315			
Jun-19		10,315			
Jul-19		10,315			
Aug-19		10,315			
Sep-19		10,315			
Oct-19		10,315			
Nov-19		10,315			
Dec-19		10,315			



## Performance Contract 2019

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 92, or 0.84%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.97%	164,066	1,588			
RCOC Public Hearing 8/15 /18	0.84%	10,964	92			
RCOC 4/01/19	0.81%	11,281	91	101	0.81%	-10
Analysis as of Public Hearing		RCOC % of DD population	6.68%		RCOC % of FH population	5.79%



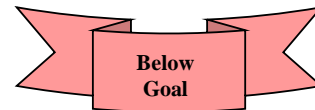
	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-19	11,199	101	91	0.81%	-10
Feb-19	11,234	101	90	0.80%	-11
Mar-19	11,281	101	91	0.81%	-10
Apr-19		101			
May-19		101			
Jun-19		101			
Jul-19		101			
Aug-19		101			
Sep-19		101			
Oct-19		101			
Nov-19		101			
Dec-19		101			



**Progress: B.** During public meetings, RCOC had 835, or 7.62%, of adults residing in independent living.

Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.56%	164,066	17,325			
RCOC Public Hearing 8/15 /18	7.62%	10,964	835	851	7.53%	-2
RCOC 4/01/19	7.53%	11,281	849			
Analysis as of Public Hearing		RCOC % of DD population	6.68%	RCOC % of FH population		4.82%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-19	11,199	851	847	7.56%	-4
Feb-19	11,234	851	846	7.53%	-5
Mar-19	11,281	851	849	7.53%	-2
Apr-19		851			
May-19		851			
Jun-19		851			
Jul-19		851			
Aug-19		851			
Sep-19		851			
Oct-19		851			
Nov-19		851			
Dec-19		851			



**Progress:** C. During public meetings, RCOC had 7,069, or 64.47%, of adults residing in own home-parent.

Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	62.93%	164,066	103,243			
RCOC Public Hearing 8/15 /18	60.89%	10,964	6,676	7,250	65.12%	96
RCOC 4/01/19	65.12%	11,281	7,346	7,250	65.12%	96
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 6.47%	



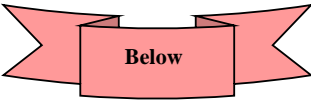
	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-19	11,199	7,250	7,269	64.91%	19
Feb-19	11,234	7,250	7,307	65.04%	57
Mar-19	11,281	7,250	7,346	65.12%	96
Apr-19		7,250			
May-19		7,250			
Jun-19		7,250			
Jul-19		7,250			
Aug-19		7,250			
Sep-19		7,250			
Oct-19		7,250			
Nov-19		7,250			
Dec-19		7,250			



**Progress:** D. During public meetings, RCOC had 478, or 4.36%, of adults residing in supported living.

Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.45%	164,066	8,940			
RCOC Public Hearing 8/15 /18	4.36%	10,964	478			
RCOC 4/01/19	4.16%	11,281	469	477	4.16%	-8
Analysis as of Public Hearing		RCOC % of DD population	6.68%	RCOC % of FH population	5.35%	



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-19	11,199	477	470	4.20%	-7
Feb-19	11,234	477	472	4.20%	-5
Mar-19	11,281	477	469	4.16%	-8
Apr-19		477			
May-19		477			
Jun-19		477			
Jul-19		477			
Aug-19		477			
Sep-19		477			
Oct-19		477			
Nov-19		477			
Dec-19		477			



**Progress:** E. During public meetings, RCOC had 8,474, or 77.29%, of adults residing in home-settings.

Total number and % of regional center adults in home settings (This is a total of sections A, B, C and D above).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained	
Statewide Average	79.90%	164,066	131,096				
RCOC Public Hearing 8/15 /18	77.29%	10,964	8,474				
RCOC 4/01/19	77.61%	11,281	8,755	8,679	77.61%	76	
Analysis as of Public Hearing				RCOC % of DD population	6.68%	RCOC % of FH population	6.46%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-19	11,199	8,679	8,677	77.48%	-2
Feb-19	11,234	8,679	8,715	77.58%	36
Mar-19	11,281	8,679	8,755	77.61%	76
Apr-19		8,679			
May-19		8,679			
Jun-19		8,679			
Jul-19		8,679			
Aug-19		8,679			
Sep-19		8,679			
Oct-19		8,679			
Nov-19		8,679			
Dec-19		8,679			





## Performance Contract 2019

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 4, or 0.04%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.02%	162,096	34			
RCOC Public Hearing 8/15 /18	0.04%	10,270	4	Goal	%	# Attained
RCOC 4/01/19	0.01%	10,466	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	6.34%	RCOC % of FH population	11.76%	



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-19	10,346	0	1	0.01%	-1
Feb-19	10,389	0	1	0.01%	-1
Mar-19	10,466	0	1	0.01%	-1
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress:** B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in an ICF (7+ beds).

Statewide Average

RCOC Public Hearing 8/15 /18

RCOC 4/01/19

Analysis as of Public Hearing

Percentage	Total Children status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
0.02%	162,096	34			
0.02%	10,270	2	0	0.02%	-2
0.02%	10,466	2			
RCOC % of DD population		6.34%	RCOC % of FH population		5.88%



	Total Children status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-19	10,346	0	2	0.02%	-2
Feb-19	10,389	0	2	0.02%	-2
Mar-19	10,466	0	2	0.02%	-2
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	162,096	7			
RCOC Public Hearing 8/15 /18	0.00%	10,270	0			
RCOC 4/01/19	0.00%	10,466	0	0	0.00%	0
Analysis as of Public Hearing		RCOC % of DD population	6.34%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-19	10,346	0	0	0.00%	0
Feb-19	10,389	0	0	0.00%	0
Mar-19	10,466	0	0	0.00%	0
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



**Progress:** D. During public meetings, RCOC had 6, or 0.06%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center children residing in a facility with 7+ beds (This is a total of sections A, B and C above).

Statewide Average

RCOC Public Hearing 8/15 /18

RCOC 4/01/19

Analysis as of Public Hearing

Percentage	Total Children status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
0.05%	162,096	75			
0.06%	10,270	6	0	0.06%	-3
0.03%	10,466	3	0	0.03%	-3
RCOC % of DD population		6.34%	RCOC % of FH population		8.00%



	Total Children status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-19	10,346	0	3	0.03%	-3
Feb-19	10,389	0	3	0.03%	-3
Mar-19	10,466	0	3	0.03%	-3
Apr-19		0			
May-19		0			
Jun-19		0			
Jul-19		0			
Aug-19		0			
Sep-19		0			
Oct-19		0			
Nov-19		0			
Dec-19		0			



## Performance Contract 2019

### V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers).

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 155, or 1.41%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	1.12%	164,066	1,831			
RCOC Public Hearing 8/15 /18	1.41%	10,964	155	145	1.40%	-25
RCOC 4/01/19	1.40%	11,281	170			
Analysis as of Public Hearing		RCOC % of DD population	6.68%	RCOC % of FH population	8.47%	



	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-19	11,199	145	170	1.40%	-25
Feb-19	11,234	145	169	1.40%	-24
Mar-19	11,281	145	170	1.40%	-25
Apr-19		145			
May-19		145			
Jun-19		145			
Jul-19		145			
Aug-19		145			
Sep-19		145			
Oct-19		145			
Nov-19		145			
Dec-19		145			



**Progress: B.** During public meetings, RCOC had 80, or 0.73%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	164,066	1,008			
RCOC Public Hearing 8/15 /18	0.73%	10,964	80	55	0.61%	-14
RCOC 4/01/19	0.61%	11,281	69			
Analysis as of Public Hearing			RCOC % of DD population 6.68%			RCOC % of FH population 7.94%



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-19	11,199	55	72	0.64%	-17
Feb-19	11,234	55	68	0.61%	-13
Mar-19	11,281	55	69	0.61%	-14
Apr-19		55			
May-19		55			
Jun-19		55			
Jul-19		55			
Aug-19		55			
Sep-19		55			
Oct-19		55			
Nov-19		55			
Dec-19		55			





**Progress:** C. During public meetings, RCOC had 85, or .78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.66%	164,066	1,081			
RCOC Public Hearing 8/15 /18	0.78%	10,964	85	80	0.74%	-4
RCOC 4/01/19	0.74%	11,281	84			
Analysis as of Public Hearing			RCOC % of DD population 6.68%		RCOC % of FH population 7.86%	



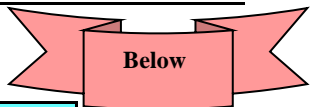
	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-19	11,199	80	86	0.77%	-6
Feb-19	11,234	80	84	0.75%	-4
Mar-19	11,281	80	84	0.74%	-4
Apr-19		80			
May-19		80			
Jun-19		80			
Jul-19		80			
Aug-19		80			
Sep-19		80			
Oct-19		80			
Nov-19		80			
Dec-19		80			



**Progress:** D. During public meetings, RCOC had 313, or 2.85%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adults residing in a facility with 7+ beds  
(This is a total of sections A, B and C above).

	Percentage	Total Adults status 2	Total Adults Residing in 7+ bed facilities	Goal	%	# Attained	
Statewide Average	2.39%	164,066	3,920				
RCOC Public Hearing 8/15 /18	2.85%	10,964	313				
RCOC 4/01/19	2.86%	11,281	323	280	2.86%	-43	
Analysis as of Public Hearing				RCOC % of DD population	6.68%	RCOC % of FH population	7.98%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-19	11,199	280	328	2.93%	-48
Feb-19	11,234	280	321	2.86%	-41
Mar-19	11,281	280	323	2.86%	-43
Apr-19		280			
May-19		280			
Jun-19		280			
Jul-19		280			
Aug-19		280			
Sep-19		280			
Oct-19		280			
Nov-19		280			
Dec-19		280			

## Performance Contract 2019

### VI. Intake Duration

**Planned Activities**

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

**Progress:** A. During public meetings, RCOC had 221, or 99.10%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 4/01/19

Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
99.12%	6,028	5,975		
99.10%	223	221	Goal	% Attained
99.52%	220	220	100.00%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-19	210	100.00%	209	99.52%
Feb-19	214	100.00%	213	99.53%
Mar-19	220	100.00%	220	100.00%
Apr-19		100.00%		
May-19		100.00%		
Jun-19		100.00%		
Jul-19		100.00%		
Aug-19		100.00%		
Sep-19		100.00%		
Oct-19		100.00%		
Nov-19		100.00%		
Dec-19		100.00%		



**Progress:** B. During public meetings, RCOC had 2, or 0.90%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 4/01/19

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days	Goal	% Attained
0.76%	6,028	46		
0.90%	223	2		
0.00%	220	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-19	210	0.00%	1	0.48%
Feb-19	214	0.00%	1	0.47%
Mar-19	220	0.00%	0	0.00%
Apr-19		0.00%		
May-19		0.00%		
Jun-19		0.00%		
Jul-19		0.00%		
Aug-19		0.00%		
Sep-19		0.00%		
Oct-19		0.00%		
Nov-19		0.00%		
Dec-19		0.00%		

**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

Statewide Average  
 RCOC Public Hearing 8/15/18  
 RCOC 4/01/19

Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
0.12%	6,028	7		
0.00%	223	0		
0.00%	220	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-19	210	0.00%	0	0.00%
Feb-19	214	0.00%	0	0.00%
Mar-19	220	0.00%	0	0.00%
Apr-19		0.00%		
May-19		0.00%		
Jun-19		0.00%		
Jul-19		0.00%		
Aug-19		0.00%		
Sep-19		0.00%		
Oct-19		0.00%		
Nov-19		0.00%		
Dec-19		0.00%		



## Performance Contract 2019

### VII. National Core Indicators (NCI) Employment

#### Planned Activities

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2011-2012, 46% of the people interviewed who did not have a job in the community, reported wanting one. In FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. Results of recent interviews have not been submitted to regional centers.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15*	47%	50%

\*NOTE: Surveys are being conducted again in FY 2017-18



**Progress: B.** RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018			

\*NOTE: Incentive payments started in FY 2016-17, partial year data



**Progress: C.** RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf during the previous fiscal year.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018		





**Performance Contract 2019**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2017, 2,058 persons served ages 16-64 had earned income.

A. Number and percentage of persons served ages 16-64 with earned income.

	RCOC	Percentage	Statewide Average	Statewide %
2013	1,207	12.80%	839	12%
2014	1,754	13.10%	906	13.10%
2015	2,175	27%	962	16%
2016	2,085	19.80%	1,033	14.20%
2017	2,058	18.60%	1,108	14.50%



**Progress: B.** Information from the Employment Development Department in 2016 indicate that the average annual wage for consumers was \$5,975. In 2017, the average annual wage increased to \$7,385.

B. Average annual wages for people served age 16-64.

	RCOC
2013	\$6,691
2014	\$6,086
2015	\$5,681
2016	\$5,975
2017	\$7,385



**Progress: C.** Results from the Employment Development Department in 2016 indicate that average annual wage for persons served ages 16-64 was \$5,975 compared to \$7,631 for people with all disabilities. In 2017, the average annual wage for persons served ages 16-64 was \$7,385 compared to \$8,698 for all disabilities.

C. Annual earnings of age group 16-64 as compared with all persons served with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$5,975	\$7,631
2017	\$7,385	\$8,698



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. RCOC currently has 5 individuals within a paid internship.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	5

\*Note: Individuals have participated in PIP for less than 12 months total.



**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17 and has been in place less than 12 months total.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	

\*Note: PIP has been in place less than 12 months, no transitions have currently been made.



**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018		



## Performance Contract 2019

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2015-16 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.



**Progress:** A. Review of fiscal year 2016-17 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	4	0.1%	\$34,936	53.6%
Asian	917	22.4%	\$8,493,919	66.2%
Black/African American	68	1.4%	\$514,483	62.6%
Hispanic	2,043	33.9%	\$12,872,918	61.1%
Native Hawaiian or Other Pacific Islander	2	0.0%	\$5,111	70.4%
Other Ethnicity or Race / Multi-Cultural	1,170	23.3%	\$8,822,287	60.3%
White	1,048	18.9%	\$7,176,339	59.7%
Totals	5,252	100.0%	\$37,919,994	61.8%

**Age 3 Years to 21 Years**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15	0.2%	\$196,822	78.5%
Asian	1,630	17.8%	\$17,945,068	65.2%
Black/African American	167	2.7%	\$2,760,959	75.5%
Hispanic	3,561	31.8%	\$32,082,238	64.2%
Native Hawaiian or Other Pacific Islander	6	0.0%	\$12,865	27.2%
Other Ethnicity or Race / Multi-Cultural	1,608	17.8%	\$17,994,675	61.6%
White	2,230	29.7%	\$30,053,352	69.2%
Totals	9,217	100.0%	\$101,045,979	65.7%

**Age 22 Years and Older**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15	0.3%	\$725,240	89.3%
Asian	1,146	10.8%	\$31,038,803	82.4%
Black/African American	210	2.8%	\$7,963,856	79.2%
Hispanic	2,130	17.9%	\$51,260,419	81.6%
Native Hawaiian or Other Pacific Islander	5	0.1%	\$188,232	79.3%
Other Ethnicity or Race / Multi-Cultural	701	7.6%	\$21,688,093	83.9%
White	4,450	60.6%	\$173,372,959	85.1%
Totals	8,657	100.0%	\$286,237,603	83.9%





**Progress:** B. Review of fiscal year 2016-17 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	4	0	0.0%
Asian	917	27	2.9%
Black/African American	68	0	0.0%
Hispanic	2,043	105	5.1%
Native Hawaiian or Other Pacific Islander	2	1	50.0%
Other Ethnicity or Race / Multi-Cultural	1,170	40	3.4%
White	1,048	50	4.8%
Totals	5,252	223	4.2%

**Age 3 Years to 21 Years**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	4	26.7%
Asian	1,630	373	22.9%
Black/African American	167	49	29.3%
Hispanic	3,561	1,324	37.2%
Native Hawaiian or Other Pacific Islander	6	2	33.3%
Other Ethnicity or Race / Multi-Cultural	1,608	426	26.5%
White	2,230	542	24.3%
Totals	9,217	2,720	29.5%

**Age 22 Years and Older**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	2	13.3%
Asian	1,146	218	19.0%
Black/African American	210	37	17.6%
Hispanic	2,130	474	22.3%
Native Hawaiian or Other Pacific Islander	5	0	0.0%
Other Ethnicity or Race / Multi-Cultural	701	125	17.8%
White	4,450	524	11.8%
Totals	8,657	1,380	15.9%



**Progress:** B. Review of fiscal year 2016-17 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	17,389	\$20,224	78.30%
Spanish	4,286	\$11,926	72.90%
Mandarin Chinese	58	\$15,219	70.10%
Vietnamese	1,069	\$13,037	75.00%
Korean	119	\$25,681	79.00%
Tagalog	31	\$24,582	76.20%
Arabic	37	\$12,828	68.70%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Alan Martin  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Marcell Bassett for a Three-Year Term Commencing July 1, 2019 and Ending June 30, 2022**

BACKGROUND:

The Board's policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In order to achieve balance and diversity, comply with the mandates of the Lanterman Act, the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

The policy also states that when a Board member is eligible for and desires to be considered for Board approval for another term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for another term of office, and will discuss its decision with the Board member prior to expiration of his or her term of office.

REASON FOR CURRENT ITEM:

Mr. Marcell Bassett will complete his fourth year on the Board on June 30, 2019. The Executive Committee recommends that Mr. Bassett's Board membership be renewed for a new three-year term, commencing July 1, 2019 and ending June 30, 2022.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Marcell Bassett's Board membership for a new three-year term, commencing July 1, 2019 and ending June 30, 2022.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Alan Martin  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Alan Martin for a One-Year Term Commencing July 11, 2019 and Ending July 10, 2020**

BACKGROUND:

The Board's policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In order to achieve balance and diversity, comply with the mandates of the Lanterman Act, the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

The policy also states that when a Board member is eligible for and desires to be considered for Board approval for another term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for another term of office, and will discuss its decision with the Board member prior to expiration of his or her term of office.

REASON FOR CURRENT ITEM:

Mr. Alan Martin will complete his sixth year on the Board on July 10, 2019. The Executive Committee recommends that Mr. Martin's Board membership be renewed for a new one-year term, commencing July 11, 2019 and ending July 10, 2020.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Alan Martin's Board membership for a new one-year term, commencing July 11, 2019 and ending July 10, 2020.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Alan Martin  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Sylvia Delgado for a Three-Year Term Commencing August 1, 2019 and Ending July 31, 2022**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In order to achieve balance and diversity, comply with the mandates of the Lanterman Act, the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

The policy also states that when a Board member is eligible for and desires to be considered for Board approval for another term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for another term of office, and will discuss its decision with the Board member prior to expiration of his or her term of office.

REASON FOR CURRENT ITEM:

Ms. Sylvia Delgado will complete her third year on the Board on July 31, 2019. The Executive Committee recommends that Ms. Delgado’s Board membership be renewed for a new three-year term, commencing August 1, 2019 and ending July 31, 2022.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Sylvia Delgado’s Board membership for a new three-year term, commencing August 1, 2019 and ending July 31, 2022.

**Regional Center of Orange County  
Board Recruitment and Training Committee  
Monday, April 9, 2019  
Teleconference Minutes**

**Committee Members Present:** Alan Martin, Chair  
Sylvia Delgado  
Hilda Mendez

**Committee Members Absent:** Cristina Alba

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Patrick Ruppe, Associate Executive Director  
Stacy Wong, Director, Human Resources

Mr. Alan Martin called the teleconference meeting to order at 5:00 p.m.

**I. Board Recruitment**

**A. Review Board Members' Terms of Office and Upcoming Turnover**

Mr. Alan Martin reviewed the current Terms of Office and the upcoming turnover.

**B. Discuss Recruitment Needs**

Mr. Martin discussed recruitment efforts and the possibility of acquiring new Board applicants.

**C. Withdrawal of Board Member Application**

Mr. Martin reported that RCOC received a notice from Mr. Judson Roberts on March 8, 2019, withdrawing his Board Membership Application which was submitted on January 22, 2019.

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics for Fiscal Year 2019-20**

The Committee discussed potential topics and agreed that the next Board training will be on eligibility for services under the Lanterman Act. The training is scheduled for Thursday, July 11, 2019.

***Board Recruitment and Training Committee Minutes***  
***April 9, 2019***

**III. Community Forum**

No community members were present at the meeting.

Mr. Martin adjourned the meeting at 5:06 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Policies and Outcomes Committee  
April 15, 2019  
Teleconference Minutes**

**Committee Members Present:** Cliff Amsden, Chairperson  
Alan Martin

**Committee Members Absent:** Cristina Alba

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technology Director  
Bonnie Ivers, Clinical Director  
Stacy Wong, Human Resources Director

**Corporate Counsel Present:** David Lester, Esq.

Mr. Cliff Amsden called the teleconference meeting to order at 5:19 p.m.

**I. Governance Policies**

**A. Review Proposed Revisions to the Policies on Governance**

The Committee did not have any proposed revisions to the policy.

*M/S/C to approve the Policies on Governance without any proposed revisions as discussed.*

**B. Review Proposed Revisions to the Policy on Executive Performance and Monitoring**

The Committee reviewed the proposed revisions to the policy.

*M/S/C to recommend that the Board approve revisions to the Policy on Executive Performance and Monitoring as discussed.*

**C. Review Proposed Revision to the Executive Limitations Policies**

The Committee reviewed the proposed revisions to the policy.

*M/S/C to recommend that the Board approve the Executive Limitations Policies as discussed.*



**D. Review Proposed Revisions to the Communication Policy**

The Committee reviewed the proposed revisions to the policy.

*M/S/C to recommend that the Board approve the Communication Policy as discussed.*

**II. Outcomes**

**A. Person Centered Thinking (PCT) Update**

In Mr. Patrick Ruppe's absence, Mr. Larry Landauer reported that RCOC is in its fourth year of Person Centered Thinking. Trainings continue for vendors, families and the Self-Determination Program. Mr. Landauer also reported that Service Coordinators have completed over fifteen hundred One-Page Descriptions for the persons we serve.

**B. Health and Wellness Project Update**

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the *Healthy Life, Happy Life!* program will end its second phase soon. The program has a total of 120 participants, including 25 participants from the pilot program. Dr. Ivers also reported that Integrity House members expressed interest in joining the program for the third phase. A graduation party has been scheduled for June 6, 2019.

**C. National Core Indicators (NCI) Update**

Mr. Larry Landauer reported that the NCI data for 2017 has been released. It provides important and valid data about the system and it will be used for legislative visits.

**III. Community Forum**

No community members were present.

Mr. Amsden adjourned the meeting at 5:29 p.m.

*Recorder: Sandra Lomeli*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Policy on Executive Performance and Monitoring**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 15, 2019, the Policies and Outcomes Committee reviewed the Policy on Executive Performance and Monitoring.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Policy on Executive Performance and Monitoring as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy on Executive Performance and Monitoring as presented.

## V. POLICY ON EXECUTIVE PERFORMANCE AND MONITORING

The Regional Center of Orange County (RCOC) Board of Directors will track executive performance by monitoring in such a way as to have systematic assurance of policy compliance, yet leave the Board free to concentrate most of its time on creating the future rather than checking the past. To those ends, the Board’s approach to monitoring will be based on its governance philosophy and carried out in a relatively automatic way. The Board will monitor those agency characteristics which it has addressed ahead of time in explicit statements of policy. The purpose of such monitoring is simply to determine, in fact, if Board policies are being met. Since the Board speaks to the executive through “ends” policies and “executive limitation” policies, monitoring finds whether ends are being achieved and limitations are being followed.

Monitoring will occur in one or a combination of the following activities:

- **Internal Reports:** Periodic reports from the Executive Director to the Board.
- **External Judges:** Auditors, site inspectors, or other external assessors shall be retained to answer certain monitoring questions.
- **Audits by Governmental Agencies:** Draft and final audits

Each policy of the Board in “ends” and “executive limitations” categories shall be classified by the Board according to the frequency and method of monitoring.

<u>Policy Category</u>	<u>Method</u>	<u>Frequency</u>
Protection of assets	External judge (audit)	Annual
Financial condition	Internal report	Every Board Meeting
	External judge (audit)	Annual
Employee Status Report	Internal report	Every Board Meeting
Compensation and benefits	Internal report	Annual
Sufficiency of Allocation Report	Internal report	Every Board Meeting
Adherence to Guiding Principles		
Operations Report	Internal report	Every Board Meeting
• Community Life		
• Family Support		
• Early Intervention/Prevention		
• Living Options		
• Work		
• Service Planning and Coordination		
• Administration and Governance		

(Table continued)

<b>Policy Category</b>	<b>Method</b>	<b>Frequency</b>
National Core Indicators Survey Results	Internal Report	Annual

**Government Agency Audits**

Department of Developmental Services (DDS) Fiscal Audit	Biennial
DDS/Department of Health Care Services Medicaid Waiver Audit	Annual
DDS/Federal Early Start Audit	Annual
DDS, Family Home Agency/Foster Family Agency Audit	Annual

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Executive Limitations Policies**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 15, 2019, the Policies and Outcomes Committee reviewed the Executive Limitations Policies.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Executive Limitations Policies as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Executive Limitations Policies as presented.

#### IV. EXECUTIVE LIMITATIONS POLICIES

The Executive Director may neither cause nor allow any organizational practices that do not adhere to the following policies:

- A. **Policy on Legal Requirements.** Regional Center of Orange County (RCOC) will comply with the legal requirements and regulations of all governmental authorities and legally authorized agencies under whose authority it operates.

B. **Policies on Non-Discrimination.**

1. **Equal Employment Opportunity.** ~~RCOC is committed to a policy of non-discrimination and equal employment opportunity for applicants and employees without regard to race, color, sex, creed, political affiliation, marital status, sexual preference, national origin, physical or mental handicap, or age, and does not show partiality or grant special favor to any employee or group of employees. Employment decisions shall comply with all applicable laws prohibiting discrimination in employment, including Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Immigration and Nationality Act, and any other applicable state or federal laws. RCOC shall recruit, hire, train, and promote in all job titles, including interns, apprentices, and volunteers, without regard to race, color, religion, sex, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by law. All personnel actions such as compensation, benefits, RCOC-sponsored training, apprenticeships, internships, volunteer opportunities, transfer, demotion, termination, layoff, and return from layoff, shall be administered without regard to race, color, religion, sex, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by law. Additionally, RCOC will provide registered domestic partners with all rights and benefits as required by law.~~
2. **Non-Discrimination on Basis of Handicap.** ~~In furtherance of our nation's commitment to end discrimination on the basis of handicap, and in accordance with the provisions of section 504 of the Rehabilitation Act of 1973, the provisions of the Americans with Disabilities Act, and all regulations properly issued there under to protect the rights of handicapped persons, it is RCOC policy that: No program or activity administered by RCOC shall exclude from participation, deny benefits to or subject to discrimination any individual solely by reason of his or her handicap. Equal employment opportunity will be extended to qualified handicapped persons in all aspects of the employer-employee relationship, including recruitment, hiring, upgrading, training, promotion, transfer, discipline, layoff, recall and termination. It is further affirmed that RCOC will provide reasonable accommodation to the~~

~~known physical or mental limitations of an otherwise qualified handicapped employee or applicant. In furtherance of our nation's commitment to end discrimination on the basis of disability, and in accordance with the provisions of section 504 of the Rehabilitation Act of 1973, the provisions of the Americans with Disabilities Act, the Fair Employment and Housing Act, and all regulations properly issued there under to protect the rights of disabled persons, it is RCOC policy that: No program or activity administered by RCOC shall exclude from participation, deny benefits to or subject to discrimination any individual solely by reason of his or her disability. Equal employment opportunity will be extended to qualified disabled persons in all aspects of the employer-employee relationship, including recruitment, hiring, upgrading, training, promotion, transfer, discipline, layoff, recall and termination. It is further affirmed that RCOC will provide reasonable accommodation to the known physical or mental limitations of an otherwise qualified disabled employee or applicant.~~

C. **Policy on Human Resource Development.** RCOC is committed to recruiting, managing, developing and retaining appropriate personnel qualified to meet the needs of the people served by the organization and who contribute to the accomplishment of the mission in a high quality manner. Therefore, compensation for all employees will be based upon the following principles:

1. Compensation will support and will be used as a vehicle to communicate RCOC's values, specific objectives, and goals;
2. Pay programs will reflect the external market, yet ensure internal equity; and
3. Flexibility will be incorporated to permit RCOC to respond to the changing regulatory and competitive environments, and to respond to other issues which increase its challenges.

D. **Policy Against Harassment.**

1. ~~RCOC is committed to respecting the privacy and dignity of every employee. RCOC is committed to providing a work environment that is free of harassment, including sexual harassment, or harassment in any form—verbal, physical or visual—that unreasonably interferes with an individual's work performance or creates an intimidating, hostile or offensive working environment. Prohibition Against Harassment. RCOC is committed to providing a workplace free of sexual harassment, as well as harassment and discrimination based on such factors as race, color, religion, sex, pregnancy, childbirth, or related medical conditions, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by federal, state, or local laws. RCOC prohibits unwelcome, harassing or~~

discriminatory conduct by employees, managers, supervisors, or other third parties towards other employees, interns, apprentices, volunteers, non-employees with whom RCOC has a business, service, or professional relationship, or other persons with whom employees come into contact, even if such conduct does not rise to the level of harassment or discrimination as defined by law.

2. Any employee, who believes he or she is the victim of unlawful harassment by a co-worker, supervisor, Board member, or vendor, should report the matter immediately to the Human Resources Director or to the Executive Director who will promptly investigate the matter. The Human Resources Director or the Executive Director will promptly notify RCOC's Legal Counsel about the matter. Complaint Procedure. Any individual who believes that they have been the victim of harassment, including sexual harassment, discrimination, retaliation, or abusive conduct, should immediately report this problem to their supervisor, the Director of Human Resources, or the Executive Director either verbally or in writing. Supervisors must report complaints of harassment, discrimination, retaliation, or abusive conduct, to the Director of Human Resources. All complaints will be investigated. Investigations will be timely, impartial, fair, and thorough. Investigations will be as confidential as possible under the circumstances. Employees are prohibited from impeding an investigation, and RCOC will not allow retaliation against any employee participating in an investigation. Information obtained during the process will be only shared with those individuals on a need-to-know basis. RCOC will provide all parties appropriate due process and reach conclusions based on the evidence collected. When the investigation discloses a violation of this policy, appropriate remedial action will be taken and feedback given to the complaining employee. The Human Resources Director or the Executive Director will promptly notify RCOC's Legal Counsel about the matter.

#### **E. Policy on Professionalism, Rights, Health and Safety.**

1. Each RCOC employee will maintain professional and productive conduct. RCOC employees will treat each other professionally and with courtesy at all times. Differences of opinion on work issues should be expressed in a constructive manner that promotes the sharing of ideas and effective teamwork to resolve problems and providing the services and supports needed by those served by RCOC.
2. RCOC will maintain a clean, safe environment and will comply with all applicable governmental regulations related to environment, health and safety, including training for staff and individuals served, external inspections of the premises and appropriate actions to remedy potential problems, implementation and testing of emergency plans and procedures, and timely review and follow-up on accident and injury reports.



## **F. Policy on Financial Practices.**

1. **Budgeting.** Budgeting for any fiscal period or the remaining part of any fiscal period shall not deviate materially from Board Ends priorities, risk fiscal jeopardy or fail to show a generally acceptable level of foresight. Accordingly, the Executive Director shall ensure that the budgeting process:
  - a. Includes preparation of an annual report, including both operating and capital expenditures, which is used to communicate and monitor RCOC's use of financial resources in a manner which is consistent with (a) Board Ends priorities, (b) fiscal responsibility, and (c) strategic planning;
  - b. Results in a budget which is an appropriate and accurate expression of the mission and policies of RCOC. If the process results in a deficit projection, a clear explanation of the circumstances shall be presented to the Board by the Executive Director; and
  - c. Maintains an adequate cash and cash equivalents reserve.
  
2. **Financial Condition.** With respect to monitoring the actual financial condition of RCOC, the Executive Director shall ensure that:
  - a. Audited financial statements are prepared by an independent, Certified Public Accountant and presented to the Board of Directors on an annual basis;
  - b. Monthly summary reports are prepared comparing year-to-date budget versus actual figures and a report is given by the Treasurer at Board of Directors' meetings;
  - c. All financial obligations, including tax payments, are paid in a timely manner;
  - d. Adequate insurance is maintained to safeguard RCOC's assets including hazard, comprehensive, workers compensation, liability and Directors and Officers Liability.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 2, 2019  
TO: RCOC Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Communications Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on Monday, April 15, 2019, the Policies and Outcomes Committee reviewed the Communications Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends that the Board approve revisions to the Communications Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Communications Policy as presented.

## **XXI. COMMUNICATIONS POLICY**

### **BACKGROUND**

Regional Center of Orange County's (RCOC's) Board of Directors is committed to maintaining integrity and transparency in all its communications. In keeping with RCOC's mission of service to people with developmental disabilities and their families, the Board seeks constructive feedback from all stakeholders and works to create an environment of civility and trust that encourages understanding and an open, mutually respectful dialogue. All communications on behalf of the Board are aligned with the Carver Policy Governance Model under which the Board governs itself. As such, individual Board members recognize that the Board speaks with "one voice" and individual Board members do not have authority to speak on behalf of the Board without the Board's approval.

### **POLICY**

#### **Media Interviews**

Though RCOC's Executive Director is the primary spokesperson for RCOC, from time to time, media comment or a media statement from RCOC's Board of Directors may be appropriate. In these situations, the Executive Office will coordinate the interview or other response with the Board Chairperson or his/her designate, who is the only member authorized to speak on behalf of the Board. The In addition, individual Board members may at times be called upon by RCOC to share their personal experiences with RCOC and developmental disabilities. In these situations, Board members are representing and speaking on behalf of themselves as persons/families served, and not the Board. In both cases, the Executive Office will ~~also~~ arrange for any designated Board spokespeople to receive assistance, as needed, to prepare for interviews.

#### **Digital Communications & Social Media**

RCOC uses social media – such as Facebook, Twitter, LinkedIn, Instagram and YouTube – to engage with its various audiences, advocate on behalf of those served, and share information about RCOC events and activities. Though only designated individuals are authorized to post comments, imagery or other materials on behalf of RCOC, Board members are encouraged to engage with RCOC via social media and other digital communications, such as blogs, in a personal, non-official capacity.

As with media interviews, the Board Chairperson or his/her designate is the only member authorized to speak on behalf of the Board in digital communications and social media. Thus, Board members should not reference their Board membership in digital and social media posts.

During their tenure of service, Board members' personal digital and social media communications must also comply with laws and policies involving discrimination, harassment, privacy and confidentiality, proprietary information and copyright, libel, ethics, etc.

### **GUIDING PRINCIPLES**

- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community involvement in decision making.

**Regional Center of Orange County  
Vendor Advisory Committee  
March 12, 2019  
Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Maryam Abedi, present  
Co-Chair, Atrem Behmanesh, present

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Marie Sañudo, present  
Co-Chair, Mark Antenucci, absent

**Behavior Services**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Cindy Hebert, absent

**Community Care Facilities**

Chair, Noel Villegas, absent  
Co-Chair, Boyd Bradshaw, present

**Early Intervention**

Chair, Tiffany Bauer, present  
Co-Chair, Howard Tsernov, absent

**Habilitation**

Chair, Roland Fernandez, present  
Co-Chair, Tim Chervenak, present

**Independent/Supported Living**

Chair, Janice Retz, present  
Co-Chair, Member Pending

**Intermediate Care Facilities**

Chair, Rich Mraule, present  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, Lizette Ceja, present  
Co-Chair, Yvette Staggs, absent

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Fairview Developmental Center**

Larry DuBord, absent

**Orange County Transit Authority**

Jack Garate, present  
Christina Blanco, absent

*Vendor Advisory Committee Minutes*  
*March 12, 2019*

**RCOC Staff Present:** Bette Baber, Chief Financial Officer  
Lilliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director of Employment  
Jyusse Corey, Peer Advocate  
Patrick Ruppe, Associate Executive Director  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Associate Finance Director  
Sean Watson, Manager, Risk Management, QA

**I. Call to Order**

Ms. Tiffany Bauer welcomed all attendees and called the meeting to order at 2:05 p.m. She then asked all Committee members and guests to introduce themselves.

**II. RCOC Update**

In Mr. Larry Landauer's absence, Mr. Patrick Ruppe, Associate Executive Director, stated that the Department of Developmental Services' (DDS') Vendor Rate Study will be released by March 15, 2019, and the public comment deadline has been extended to April 5, 2019.

Mr. Ruppe reported that the regional center system is projecting a deficit in the current fiscal year; RCOC is projecting a deficit of \$.6 million.

Mr. Ruppe stated that 151 persons were selected to participate in the Self-Determination Program (SDP) for Orange County; subsequently, six persons opted out of the program and DDS has not informed us how they plan to fill those open slots.

**A. Vendor Rate Study Briefing**

Ms. Marta Vasquez presented a summary of DDS' Vendor Rate Study briefings. Ms. Vasquez also reiterated Mr. Ruppe's comment that the survey comments must be submitted by April 5, 2019.

Ms. Vasquez added that the Board of Directors approved a new Board Governance Policy on Background Check Requirements for Providers at its meeting on March 7, 2019. The policy requires that "all RCOC vendors shall obtain criminal background checks prior to hire for all employees who provide direct services to RCOC persons served."

**III. Vendor Chair and Co-Chair Selections**

Mr. Jack Stanton reminded the Committee that new chairs and co-chairs must be identified for the following subcommittees: Adult Behavior Management Program, Adult Family Home Agency (AFHA)/Foster Family Agency (FFA), Community Care Facilities, Independent/Supported Living

*Vendor Advisory Committee Minutes*  
*March 12, 2019*

(ILS/SLS) and Habilitation, by the end of April 2019, so that they can be presented to the Board of Directors for approval and their terms can commence on June 1, 2019, in accordance with the VAC Commission.

**IV. Board Report**

Ms. Bauer reported that the Board of Directors' training in February was on the legislative process. The next Board meeting is scheduled for Thursday, May 2, 2019.

**V. Community Forum**

Ms. Bauer reminded everyone that tickets are still available for the Spotlight Awards, which will be at the same location as last year, Embassy Suites - Anaheim South in Garden Grove, on Friday, March 22, 2018.

**VI. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey informed the Committee that the PAC will meet on Wednesday, March 20, 2019. Mr. Corey reported that RCOC hosted a successful CalABLE workshop with Ms. Carrie Fisher Stone, CalABLE's Deputy Executive Director, on February 28, 2019, with over 240 attendees. RCOC will host another CalABLE workshop in the months to come.

**VII. Liaison Reports**

**A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

**B. Fairview Developmental Center (FDC) – Larry DuBord (absent)**

Mr. Larry DuBord was not present and no report was provided.

**C. Orange County Transportation Authority (OCTA) – Mr. Jack Garate (present)**

Mr. Garate reported that OCTA is undergoing audit reviews for all services.

**VIII. Member Reports**

**A. Adult Behavior Management – Maryam Abedi (present)**

Ms. Maryam Abedi reported that their subcommittee reviewed employee policies and procedures. Ms. Cristina Mercado, RCOC's Person Centered Thinking (PCT) Coordinator, gave a PCT training.

*Vendor Advisory Committee Minutes*  
*March 12, 2019*

**B. Adult Day Programs – Rick Perez (present)**

Mr. Rick Perez reported that they discussed the importance of submitting a well-written and detailed response to DDS' Vendor Rate Study.

**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Marie Sañudo (present)**

Ms. Marie Sañudo reported that their subcommittee discussed audit reviews and unannounced visits by RCOC's Quality Assurance team. Ms. Leah Saitz, RCOC's Supported & Independent Living Coordinator, and Mr. Nate Taleon, RCOC's Area Supervisor, gave a PCT training.

**D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that their subcommittee discussed DDS' Vendor Rate Study and decided to submit their comments as a group.

**E. Community Care Facilities (CCF) – Noel Villegas (absent)**

In Mr. Noel Villegas' absence, Mr. Boyd Bradshaw reported that their subcommittee discussed DDS' Vendor Rate Study.

**F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee will meet next month. She added that they are organizing an Early Start vendor fair.

**G. Habilitation – Roland Fernandez (present)**

Mr. Roland Fernandez reported that their subcommittee reviewed DDS' Vendor Rate Study and discussed the Paid Internship Program (PIP) with Mr. Arturo Cazares, RCOC's Associate Director of Employment.

**H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)**

Ms. Janice Retz reported that the subcommittee met this morning and discussed DDS' Vendor Rate Study. They also discussed the Special Incident Report (SIR) process and how to incorporate planning for the future in an Individual Program Plan (IPP).

**I. Intermediate Care Facilities (ICF) – Rich Mraule (present)**

Mr. Rich Mraule reported that their subcommittee did not meet this month.

*Vendor Advisory Committee Minutes*  
*March 12, 2019*

**J. Respite and Allied Health – Lizette Ceja (present)**

Ms. Lizette Ceja reported their subcommittee met and discussed DDS' Vendor Rate Study. Ms. Mercado, RCOC's PCT Coordinator, led a discussion about how to incorporate PCT into the respite intake process.

**IX. Adjournment**

Ms. Bauer adjourned the meeting at 2:47 p.m.

The next VAC meeting is scheduled for Tuesday, April 9, 2019.

*Recorder: Sandra Lomeli*



**Regional Center of Orange County  
Vendor Advisory Committee  
April 9, 2019  
Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Maryam Abedi, present  
Co-Chair, Atrem Behmanesh, present

**Adult Day Programs**

Chair, Rick Perez, absent  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Marie Sañudo, present  
Co-Chair, Mark Antenucci, absent

**Behavior Services**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Cindy Hebert, absent

**Community Care Facilities**

Chair, Noel Villegas, absent  
Co-Chair, Boyd Bradshaw, present

**Early Intervention**

Chair, Tiffany Bauer, present  
Co-Chair, Howard Tsernov, absent

**Habilitation**

Chair, Roland Fernandez, present  
Co-Chair, Tim Chervenak, absent

**Independent/Supported Living**

Chair, Janice Retz, present  
Co-Chair, Member Pending

**Intermediate Care Facilities**

Chair, Rich Mraule, present  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, Lizette Ceja, present  
Co-Chair, Yvette Staggs, absent

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Fairview Developmental Center**

Larry DuBord, absent

**Orange County Transit Authority**

Jack Garate, present (*arrived at 2:20 p.m.*)  
Christina Blanco, absent

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Lilliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director of Employment  
Jyusse Corey, Peer Advocate  
Bonnie Ivers, Clinical Director  
Patrick Ruppe, Associate Executive Director  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Associate Finance Director  
Sean Watson, Manager, Risk Management, QA

## **I. Call to Order**

Ms. Tiffany Bauer welcomed all attendees and called the meeting to order at 2:02 p.m. She then asked all Committee members and guests to introduce themselves.

## **II. RCOC Update**

Mr. Larry Landauer reported that the California Senate Subcommittee 3 on Health and Human Services will hold a hearing on May 2, 2019, on the Department of Developmental Services' (DDS') Vendor Rate Study comments. Key representatives from the Association of Regional Center Agencies (ARCA), DDS, vendors, families and persons served will be there to respond on the survey comments and testify. Mr. Landauer stated that if Orange County's vendors are able to attend the hearing in Sacramento, they should do so.

### **A. Summary of Vendor Rate Study Comments**

Ms. Marta Vasquez, Associate Finance Director, presented a summary of vendor comments to DDS' Vendor Rate Study. A copy of ARCA's comments was distributed to the Committee.

## **III. Vendor Chair and Co-Chair Selections**

Mr. Jack Stanton, Associate Director of Housing, reminded the Committee that new chairs and co-chairs must be identified for the following subcommittees: Adult Behavior Management Program, Adult Family Home Agency (AFHA)/Foster Family Agency (FFA), Community Care Facilities, Independent/Supported Living (ILS/SLS) and Habilitation, by the end of April 2019, so that they can be presented to the Board of Directors for approval and their terms can commence on June 1, 2019, in accordance with the VAC Commission. Mr. Stanton indicated that if subcommittees have made their selections, the chairs should present those names during their subcommittee reports.

#### **IV. Board Report**

Ms. Bauer reported that the Board of Directors' training on April 4, 2019, was on Living Options. RCOC's presenters were Mr. Patrick Ruppe, Associate Executive Director, Mr. Stanton, Associate Director of Housing, Ms. Leah Saitz, Supported & Independent Living Coordinator; vendor presenters were Mr. Mark Antenucci, Director of Development for Independent Options, and Mr. Jack Darakjian, Executive Director of Modern Support Services.

The next Board meeting is scheduled for Thursday, May 2, 2019.

#### **V. Community Forum**

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD), Orange County Office, said that SCDD will host a presentation on Changes to the Developmental Disability Service Delivery System. The event will be held on Friday, May 10, 2019, from 9:00 a.m. to 11:00 a.m. at SCDD's Santa Ana office. Flyers for this event were made available to the Committee.

Ms. Tolbert also informed the Committee that SCDD is inviting Requests for Proposals (RFPs) for one or more program development grants up to \$450,000. RFPs must relate to at least one of SCDD's State Plan goals, which are Self-Advocacy, Employment, Housing, Health and Safety, Early Intervention, Education, Transition and Post-Secondary Education and Formal and Informal Community Supports. All RFPs are due by Monday, June 3, 2019.

#### **VI. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey informed the Committee that PAC will meet on Wednesday, April 17, 2019. Mr. Corey also reported that Achieving a Better Life Experience (ABLE) will host a webinar on April 25, 2019 from 2:00 p.m. to 3:00 p.m. on *Using an ABLE Account to Support Youth from Transition to Independent Living*.

Mr. Corey also stated that he will present *Self-Determination – The Future*, at the Supported Life Institute's 24<sup>th</sup> Annual California Statewide Self-Advocacy Conference on May 3, 2019.

#### **VII. Liaison Reports**

##### **A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

##### **B. Fairview Developmental Center (FDC) – Larry DuBord (absent)**

Mr. Larry DuBord was not present and no report was provided.

**C. Orange County Transportation Authority (OCTA) – Mr. Jack Garate (present)**

Mr. Garate reported that OCTA is considering reinstating their pilot program, OC Flex. The pilot program was only available seven days a week with unlimited rides inside each OC Flex zone for \$4.50 per day when paid with the OC Flex mobile app, or \$5.00 per day when paid with cash onboard. If the program is reinstated, the program zone areas would be expanded within Orange County and would be made available to all customers by July 2019. Mr. Garate also mentioned that he will be attending the California Association for Coordinated Transportation (CalACT) conference in San Diego later this month.

**VIII. Member Reports**

**A. Adult Behavior Management – Maryam Abedi (present)**

**B. Adult Day Programs – Rick Perez (absent)**

Ms. Maryam Abedi reported that the two subcommittees met and discussed the comments to DDS' Vendor Rate Study. They also had their second PCT training with Ms. Cristina Mercado, RCOC's PCT Coordinator.

**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Marie Sañudo (present)**

Ms. Marie Sañudo reported that their subcommittee had not elected their new chair and co-chair. They had PCT training with Ms. Saitz, RCOC's Supported & Independent Living Coordinator. They also discussed ways to improve referral information for new clients in order to provide the best level of care.

**D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that their subcommittee did not meet and their next meeting is scheduled for April 2019.

**E. Community Care Facilities (CCF) – Noel Villegas (absent)**

In Mr. Noel Villegas' absence, Mr. Boyd Bradshaw reported that their subcommittee elected Ms. Rebecca Hays as their new subcommittee chair and he will continue as co-chair. They also reviewed comments to DDS' Vendor Rate Study and discussed the Home and Community Based Services (HCBS) program.

*Vendor Advisory Committee Minutes*  
*April 9, 2019*

**F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee held an emergency meeting to discuss comments to DDS' Vendor Rate Study.

**G. Habilitation – Roland Fernandez (present)**

Mr. Roland Fernandez reported that their subcommittee did not meet this month.

**H. Independent Living/Supported Living (IL/SL) – Janice Retz (present)**

Ms. Janice Retz reported that she was elected as chair. Their subcommittee also discussed background checks and Ms. Leah Saitz, RCOC's Supported & Independent Living Coordinator, presented on PCT.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (present)**

Mr. Rich Mraule reported that their subcommittee met and discussed Special Incident Reports (SIR), including common medical errors with Mr. Sean Watson, RCOC's Manager, Risk Management, Quality Assurance.

**J. Respite and Allied Health – Lizette Ceja (present)**

Ms. Lizette Ceja reported their subcommittee met and discussed comments to DDS' Vendor Rate Study and the logistics of a respite vendor fair in June 2019.

**IX. Adjournment**

Ms. Bauer adjourned the meeting at 2:27 p.m.

The next VAC meeting is scheduled for Tuesday, May 14, 2019.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Peer Advisory Committee  
March 20, 2019  
Minutes**

**Committee Members Present:** Sylvia Delgado, Chairperson  
Marcell Bassett (*arrived at 4:10 p.m.*)  
Jyusse Corey, Peer Advocate  
John Godlasky  
Amy Jessee  
Fernando Peña

**Committee Members Absent:** Sam Durbin  
Steven Gersten  
Yvonne Kluttz  
Peter Kuo  
Steven Mesinas  
Sean Sullivan

**RCOC Staff Members Present:** Cristina Mercado, Person Centered Thinking Coordinator

Ms. Sylvia Delgado called the meeting to order at 4:02 p.m.

**I. Welcome and Introductions**

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

**II. RCOC Peer Advocate Report**

Mr. Corey reported that that RCOC hosted a CalABLE workshop with Ms. Carrie Fisher Stone, CalABLE's Deputy Executive Director, on February 28, 2019, from 6:30 p.m. to 8:00 p.m. Mr. Corey also stated that he and Ms. Delgado will be attending the Supported Life Institute's 24<sup>th</sup> Annual California Statewide Self-Advocacy Conference on May 3, 2019.

**III. Orange County Rescue Mission**

Ms. Nancy Palmer, Community Engagement Coordinator, along with Ms. Elizabeth Hernández, Fellow, of the Orange County Rescue Mission shared information about the Rescue Mission and the services that they provide within Orange County. The Committee agreed to collect and donate canned goods and clothing to the organization.

**IV. Community Forum**

No community members were present at the meeting.

**V. Other**

Ms. Delgado reminded Committee members that tickets are still available for the Spotlight Awards on Friday, March 22, 2019, at the Embassy Suites – Anaheim South in Garden Grove.

**VI. Next Scheduled Meeting**

The next PAC meeting is scheduled for Wednesday, April 17, 2019 from 4:00 p.m. to 5:00 p.m.

The meeting was adjourned at 4:57 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Peer Advisory Committee  
April 17, 2019  
Minutes**

**Committee Members Present:** Sylvia Delgado, Chairperson  
Marcell Bassett (*arrived at 4:20 p.m.*)  
Jyusse Corey, Peer Advocate  
Amy Jessee  
Yvonne Kluttz  
Fernando Peña

**Committee Members Absent:** Sam Durbin  
Steven Gersten  
John Godlasky  
Peter Kuo  
Steven Mesinas  
Sean Sullivan

**RCOC Staff Members Present:** Cristina Mercado, Person Centered Thinking Coordinator

Ms. Sylvia Delgado called the meeting to order at 4:00 p.m.

**I. Welcome and Introductions**

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

The Committee then read RCOC's Mission and Vision Statement.

**II. RCOC Peer Advocate Report**

Mr. Jyusse Corey stated he had no updates and no report was provided.

**III. Self-Determination – *The Future***

Mr. Corey shared his speech on *Self-Determination – The Future*, which he will present at the Supported Life Institute's 24<sup>th</sup> Annual California Statewide Self-Advocacy Conference on May 3, 2019.

**IV. Community Forum**

No community members were present at the meeting.



**V. Other**

Committee members discussed potential topics for upcoming PAC meetings. Topics of interest included personal hygiene and self-care, personal safety, and “Dress for Success,” preparing for a job interview.

**VI. Next Scheduled Meeting**

The next PAC meeting is scheduled for Wednesday, May 15, 2019, from 4:00 p.m. to 5:00 p.m.

The meeting was adjourned at 4:58 p.m.

*Recorder: Sandra Lomeli*