



# REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: **Thursday, May 5, 2022**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

<b>I.</b>	<b>Closed Session (Board Members Only)</b>	
A.	W&I Code §4663 and §4664	David M. Lester, Esq.
<b>II.</b>	<b>Recess</b>	
<b>III.</b>	<b>General Session</b>	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
B.	Community Forum for Agenda Items Only***	John "Chip" Wright
C.	Budget and Finance Committee	Mine Hagen
D.	Consent Calendar*	John "Chip" Wright
	1. Approval of Board of Directors' Minutes for March 3, 2022** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, February 2022** b. Approval of Monthly Sufficiency of Allocation Report, March 2022** c. Approval of Budget Amendment A-9, Fiscal Year 2019-20** d. Approval of Budget Amendment B-5, Fiscal Year 2020-21 e. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective May 9, 2022** f. Review of Draft Form 990, Return of Organization Exempt from Income Tax for the Year Ended June 30, 2021 g. Approval of Budget Amendment	
E.	Executive Director's Report	Larry Landauer
	1. Recognition of Persons' Served Employment Longevity 2. Approval of Renewed Request of Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator** 3. Information Regarding Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of a Specialized Residential Facility (CRDP No. 1920-2/ 2021-1 (Previously CRDP No. 1920-3))** 4. Operations Report – February 2022** 5. Operations Report – March 2022** 6. Performance Contract Report – February 2022** 7. Performance Contract Report – March 2022** 8. Employment Update 9. Housing Update	Christy Petteruto         Arturo Cazares Jack Stanton
F.	Executive Committee	John "Chip" Wright
G.	Board Recruitment and Training Committee**	John "Chip" Wright
	1. Approval of Board Membership for Yvonne Kluttz for a One-Year Term Commencing July 1, 2022 and Ending June 30, 2023**	
H.	Policies and Outcomes Committee**	Cliff Amsden
	1. Approval of Revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits**	

		2. Approval of Revisions to the Document Retention and Destruction Policy** 3. Contract Policy** 4. Transportation Mobility Policy** 5. Employment First Policy**	
	I.	Vendor Advisory Committee**	Tiffany Bauer
	J.	Peer Advisory Committee**	Sylvia Delgado
	K.	Legislative and Community Awareness Committee**	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	Community Forum***	John “Chip” Wright
	N.	Chairperson’s Report	John “Chip” Wright
<b>IV.</b>		<b>Adjournment</b>	John “Chip” Wright

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting  
March 3, 2022  
Videoconference Minutes**

**Board Members Present:** John “Chip” Wright, Chairperson  
Cliff Amsden (*joined at 6:09 p.m.*)  
Marcell Bassett  
Tiffany Bauer  
Sylvia Delgado  
Mine Hagen  
Frances Hernandez  
Amy Jessee  
Liza Krassner  
Sandy Martin  
Chinh Nguyen  
Fernando Peña

**Board Members Absent:** Meena Chockalingam  
Hilda Mendez

**Corporate Counsel Present:** David Lester, Esq. (*joined at 5:48 p.m.*)

**I. General Session**

Mr. Chip Wright called the meeting to order at 5:37 p.m.

**A. Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement**

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.  
Mr. Sylvia Delgado read RCOC’s Mission and Vision Statement.

**B. Budget and Finance Committee**

Ms. Mine Hagen reported that the Committee approved and recommended for approval all of its items on the consent calendar.

**C. Consent Calendar**

1. Approval of Board of Directors’ Minutes for January 13, 2022
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, December 2021

- b. Approval of Monthly Sufficiency of Allocation Report, January 2022
- c. Approval of Budget Amendment B-4, Fiscal Year 2020-21
- d. Approval of Line of Credit for Fiscal Year 2021-22

***M/S/C to approve the consent calendar, as presented***

**D. Community Forum for Agenda Items Only**

There were no speakers for community forum.

**E. Executive Director's Report**

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored five persons served who have worked with the same employer for 30 years or more with a Certificate of Recognition.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported on the State Assembly and Senate Budget Subcommittees hearings in February and March 2022. Mr. Landauer also reported on advocacy efforts to accelerate implementation of provider rate increases.
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that Coronavirus cases continue to decrease and some programs are returning to in-person services.
- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to explore ways to strengthen community services.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2020-21.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings. Additionally, about 88% of the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format.
- *Employment.* Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported on preliminary data collected during IPP meetings regarding employment. The purpose of the data is to identify persons served who are interested in obtaining employment and assisting them with skills development that will improve the likelihood of employment. For those currently employed, data collected was in relation to their employment and if they had any requests for changes.

- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported on preliminary data collected during IPP meetings regarding types and locations of housing. The data will also indicate whether persons served are satisfied or not with their current living situations. If not, RCOC will explore other living options in an effort to ensure satisfaction. Mr. Stanton stated that over time, the data will determine which types and locations of housing is most preferred by persons served.
- *Legislative and Community Awareness.* Mr. Landauer reported that the Orange County Register featured a story on February 7, 2022, about the ten employment honorees from the January Board meeting. Mr. Landauer also reported that RCOC is working with graphic designers to update and refresh its logo, website and *Dialogue*.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported on preliminary data collected during IPP meetings regarding persons' served satisfaction and happiness with their health and wellness. The data gathered will help RCOC determine what resources it can provide to help persons served improve their health and achieve their health and wellness goals.

Dr. Ivers, also reported that the new *Healthy Life, Happy Life* (HLHL) Program commenced January 2022. The curriculum focuses on the management and prevention of chronic health conditions.

- *RCOC News.* Mr. Landauer reported that staffing shortages continue due to low vendor rates.
- *Self-Determination Program (SDP).* Mr. Landauer reported that a SDP Local Advisory Committee meeting was held virtually on February 7, 2022. The next virtual meeting is scheduled for March 7, 2022.
- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the ARCA Academy's training webinar, *Your Role in Legislative Advocacy*, will be held on March 8, 2022. These trainings are available to all regional center Board members.
- *RCOC's Expenditure Data Public Meeting.* Mr. Landauer reported that RCOC will host its annual Purchase of Service Expenditure Data public meeting virtually on March 16, 2022.

#### **F. Executive Committee**

Mr. Wright reported that the Committee met on February 22, 2022; the next Committee meeting is scheduled for March 21, 2022.

**G. Board Recruitment and Training**

Mr. Wright reported that the next Committee meeting is scheduled for March 14, 2022.

**H. Policies and Outcomes Committee**

Mr. Cliff Amsden reported that the next Committee meeting is scheduled for April 18, 2022.

**I. Vendor Advisory Committee**

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on February 8, 2022 and discussed concerns about staffing shortages due to low rates.

The next Committee meeting is scheduled for March 8, 2022.

**J. Peer Advisory Committee**

Ms. Sylvia Delgado reported that the Committee met on February 16, 2022.

Ms. Paula Gray, RCOC's Fair Hearing Manager, provided a presentation on the fair hearing process.

The next Committee meeting is scheduled for March 16, 2021.

**K. Legislative and Community Awareness Report**

Ms. Krassner reported that the next Committee meeting is scheduled for April 19, 2022.

**L. ARCA Report**

Ms. Krassner reported that the ARCA Board delegates meeting is scheduled for March 4, 2022, to discuss the upcoming Chair and Vice-Chair elections for the new fiscal year.

**M. Community Forum**

Ms. Lulu Aguilar asked if one of the reasons why regional centers are having a difficult time hiring more service coordinators is because the funds that DDS has distributed for this support is only being paid to the owners of the agencies. Ms. Aguilar also asked how much the agencies have increased the salaries of its employees.

Ms. Mary Suarez asked why some regional centers provide attorney services to families and RCOC does not.

**N. Chairperson's Report**

Mr. Wright reminded Board members that the next Board training will be on April 7, 2022, and RCOC's Spotlight Awards will be held virtually on May 2, 2022.

**II. Adjournment**

Mr. Wright adjourned the meeting at 6:26 p.m.

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Sylvia Delgado, Secretary

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Budget & Finance Committee  
Videoconference Minutes  
March 3, 2022**

**Committee Members Present:** Mine Hagen, Chair  
Marcell Bassett (*joined at 4:16 p.m.*)  
Amy Jesse (*joined at 4:09 p.m.*)  
Sandy Martin  
Fernando Peña  
John “Chip” Wright

**Committee Members Absent:** Cliff Amsden

**RCOC Staff Present:** Bette Baber, Chief Financial Officer  
Larry Landauer, Executive Director  
Liliana Castillo, Accounting Manager – POS  
Arturo Cazares, Associate Director of Employment  
Nancy Franco, Accounting Manager – Operations  
Bonnie Ivers, Clinical Director (*joined at 4:03 p.m.*)  
Linda Pham, Accountant  
Raudel Perez, Administrator  
Keli Radford, Director of Services and Supports (*joined at 4:07 p.m.*)  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Associate Finance Director  
Stacy Wong, Human Resources Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, December 2021 and January 2022

Ms. Marta Vasquez reported that RCOC is projecting a surplus of \$45.3 million, an increase of \$2.8 million over the prior projection. RCOC’s projected surplus will be \$54.4 million if all \$9 million of the State Plan Amendment receivables are paid.

2. Approval of Budget Amendment B-4, Fiscal Year 2020-21

Ms. Bette Baber reported that the Department of Developmental Services had allocated an additional \$45,238 to RCOC.



*RCOC Budget and Finance Committee Minutes*  
*March 3, 2022*

3. Approval of Line of Credit for Fiscal Year 2021-22

Ms. Baber reported that Union Bank had committed \$44.5 million for the line of credit from April 1, 2022 to September 30, 2022.

M/S/C to approve the monthly SOARs, Budget Amendment B-4 and the Line of Credit.

The meeting adjourned at 4:53 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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ACTION   X    
ACTION/CONSENT             
DISCUSSION             
INFO ONLY           

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DATE: May 5, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, February 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

# REGIONAL CENTER OF ORANGE COUNTY

## MEMORANDUM

Date: April 28, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – February 2022 Sufficiency of Allocation Report (SOAR)

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### Purchase of Services (POS)

RCOC is projecting a surplus of \$41 million, a decrease of \$4.3 million over the prior projection. RCOC's surplus will be \$50 million if all \$9 million of the State Plan Amendment receivables are paid. This reduction in the surplus is due to a preliminary projection for the cost of rate increases effective April 1, 2022. This projection will increase as more rates are calculated. DDS continues to send rate files and RCOC will calculate additional rates in the coming weeks.

The new rates are 25% of the difference between the rate calculated by Burns & Associates (the firm that did the rate study in 2019) and the rate as of March 31, 2022. The balance of the new rates will be effective in three increments as follows:

- July 1, 2023, vendors will receive an additional 25% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 40% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022,
- July 1, 2025, vendor will receive an additional 10% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022, based on a quality payment. It is not known at this time how vendors will qualify for the quality payment.

RCOC's caseload increased by 767 persons for an annualized caseload growth of 4.5%; the regional center system increased by 15,522 persons for an annualized caseload growth of 5.7%.

### Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report**  
**As of February 28, 2022**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	C-2	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
		YEAR TO DATE	AT "RUN RATE"	(4/10/2022)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1) Licensed Residential Care	\$169,876,048	\$99,948,093	\$149,922,140	\$156,239,187	8%	\$13,636,861	\$4,308,085	\$151,480,041
(2) Day Care	2,554,882	881,982	1,322,973	1,705,571	33%	849,311	0	2,180,320
(3) Day Training	65,364,852	37,598,821	56,398,232	63,760,162	2%	1,604,690	0	58,155,629
(4) Habilitation	8,003,689	5,294,945	7,942,418	7,920,237	1%	83,452	0	8,031,555
(5) Transportation	13,516,053	3,680,449	6,309,341	7,328,135	46%	6,187,918	0	6,532,973
(6) Respite	48,094,897	24,315,071	39,429,427	46,635,697	3%	1,459,200	0	46,159,516
(7) Supported Living	49,654,963	30,692,101	46,753,969	45,461,742	8%	4,193,221	0	46,394,434
(8) Non-medical	17,107,255	10,789,292	16,183,938	16,319,924	5%	787,331	0	15,224,592
(9) Medical	7,054,334	3,994,555	5,991,833	6,097,923	14%	956,411	0	5,897,660
(10) Other	111,256,984	62,541,935	93,812,903	102,597,733	8%	8,659,251	0	98,650,499
(11) Early Start (Age 0-3)	35,972,568	20,449,771	30,674,657	33,362,282	7%	2,610,286	0	24,382,721
(12) Community Placement Plan	548,728	0	0	548,728	0%	0	0	190,061
(13) Purchase of Service Total	529,005,254	300,187,015	454,741,828	487,977,321	8%	41,027,933	4,308,085	463,280,001
OPERATIONS						\$50,067,774	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	8,750,000	4,597,590	6,896,385	8,750,000	0%	0	0	5,988,657
(15) Less Interest Income and SPA Fees	-150,000	-95,930	-143,894	-150,000	0%	0	0	-154,602
(16) Operating Expense (Net)	8,600,000	4,501,660	6,752,491	8,600,000	0%	0	0	5,834,055
(17) Personal Services	45,265,041	23,981,197	35,971,796	45,265,041	0%	0	0	37,167,592
(18) Family Resource Center/Services	269,299	126,552	189,828	269,299	0%	0	0	154,739
(19) Operations Total	54,134,340	28,609,410	42,914,115	54,134,340	0%	0	0	43,156,386
(20) Total	\$583,139,594	\$328,796,425	\$497,655,943	\$542,111,661	0%	\$41,027,933	\$4,308,085	\$496,269,603

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF FEBRUARY 28, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	73,413,523.78	\$381,094.75
Savings	22,002.40	
Money market	0.00	
Payroll	307,086.17	
Donations	206,244.89	
Unemployment	903,206.05	
Certificate of deposit	0.00	
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Total current assets	74,852,363.29	381,094.75
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RECEIVABLES		
State claim	80,698,624.24	
Client support revenue	53,320.43	6,319.56
Due from State - prior years	6,804,841.62	
Due from ICF - ICF Supplemental Services	10,588,394.26	
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Total receivables	98,145,180.55	6,319.56
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PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
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Total prepaid items	293,582.86	0.00
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OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
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Total other assets	440,526.33	0.00
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TOTAL ASSETS	\$173,731,653.03	\$387,414.31
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$25,101,051.78	\$53,320.43
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	147,535,074.74	
Unemployment insurance	836,759.05	
	-----	-----
Total liabilities	173,525,408.14	53,320.43
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FUND BALANCES		
General		
Donations	206,244.89	
Custodial		334,093.88
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$173,731,653.03	\$387,414.31
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
February 28, 2022

Beginning Balance	\$206,687.89
Donations:	
Vietnamese Community Health	212.00
Loan Payments	545.00
Interest	
Disbursements:	
Security Deposit	-1,200.00
	.
Net Increase (Decrease)	<u>-443.00</u>
Ending Balance	<u><u>\$206,244.89</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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ACTION   X    
ACTION/CONSENT             
DISCUSSION             
INFO ONLY           

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DATE: May 5, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, March 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

M E M O R A N D U M

Date: April 28, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – March 2022 Sufficiency of Allocation Report (SOAR)

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Purchase of Services (POS)

RCOC is projecting a surplus of \$41 million, a decrease of \$4.3 million over the prior projection. RCOC's surplus will be \$50 million if all \$9 million of the State Plan Amendment receivables are paid. This reduction in the surplus is due to a preliminary projection for the cost of rate increases effective April 1, 2022. This projection will increase as more rates are calculated. DDS continues to send rate files and RCOC will calculate additional rates in the coming weeks.

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RCOC's caseload increased by 767 persons for an annualized caseload growth of 4.5%; the regional center system increased by 15,522 persons for an annualized caseload growth of 5.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.



**Monthly Sufficiency of Allocation Report**  
**As of March 31, 2022**

		A	B	C	D	E	F	G	H
					SOAR	VARIANCE			
		C-2	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
PURCHASE OF SERVICE		ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
			YEAR TO DATE	AT "RUN RATE"	(4/10/2022)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1)	Licensed Residential Care	\$169,876,048	\$110,113,361	\$149,864,363	\$156,239,187	8%	\$13,636,861	\$4,308,085	\$151,480,041
(2)	Day Care	2,554,882	937,634	1,275,415	1,705,571	33%	849,311	0	2,180,320
(3)	Day Training	65,364,852	41,554,185	56,318,636	63,760,162	2%	1,604,690	0	58,155,629
(4)	Habilitation	8,003,689	5,391,286	7,935,658	7,920,237	1%	83,452	0	8,031,555
(5)	Transportation	13,516,053	3,783,795	6,486,506	7,328,135	46%	6,187,918	0	6,532,973
(6)	Respite	48,094,897	24,315,840	39,430,674	46,635,697	3%	1,459,200	0	46,159,516
(7)	Supported Living	49,654,963	34,162,524	46,096,320	45,461,742	8%	4,193,221	0	46,394,434
(8)	Non-medical	17,107,255	11,383,572	16,152,442	16,319,924	5%	787,331	0	15,224,592
(9)	Medical	7,054,334	4,652,552	6,203,403	6,097,923	14%	956,411	0	5,897,660
(10)	Other	111,256,984	62,613,931	93,920,897	102,597,733	8%	8,659,251	0	98,650,499
(11)	Early Start (Age 0-3)	35,972,568	22,696,570	30,573,031	33,362,282	7%	2,610,286	0	24,382,721
(12)	Community Placement Plan	548,728	0	0	548,728	0%	0	0	190,061
(13)	Purchase of Service Total	529,005,254	321,605,250	454,257,345	487,977,321	8%	41,027,933	4,308,085	463,280,001
OPERATIONS		\$50,067,774 * If all SPA receivables are paid.							
(14)	Operating Expense (Gross)	8,750,000	5,083,327	6,777,769	8,750,000	0%	0	0	5,988,657
(15)	Less Interest Income and SPA Fees	-150,000	-109,547	-146,062	-150,000	0%	0	0	-154,602
(16)	Operating Expense (Net)	8,600,000	4,973,780	6,631,707	8,600,000	0%	0	0	5,834,055
(17)	Personal Services	45,265,041	26,886,095	35,848,127	45,265,041	0%	0	0	37,167,592
(18)	Family Resource Center/Services	269,299	139,655	186,207	269,299	0%	0	0	154,739
(19)	Operations Total	54,134,340	31,999,530	42,666,040	54,134,340	0%	0	0	43,156,386
(20)	Total	\$583,139,594	\$353,604,780	\$496,923,385	\$542,111,661	0%	\$41,027,933	\$4,308,085	\$496,269,603

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF MARCH 31, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	73,999,921.18	\$396,912.60
Savings	9,172.67	
Money market	0.00	
Payroll	308,119.98	
Donations	206,370.49	
Unemployment	836,795.21	
Certificate of deposit	0.00	
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Total current assets	75,360,679.53	396,912.60
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RECEIVABLES		
State claim	82,775,328.50	
Client support revenue	146,604.52	6,422.77
Due from State - prior years	7,100,085.17	
Due from ICF - ICF Supplemental Services	9,483,362.89	
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Total receivables	99,505,381.08	6,422.77
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$175,600,169.80	\$403,335.37
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$26,969,406.79	\$146,604.52
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	147,535,074.74	
Unemployment insurance	836,795.21	
	-----	-----
Total liabilities	175,393,799.31	146,604.52
	-----	-----
FUND BALANCES		
General		
Donations	206,370.49	
Custodial		256,730.85
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$175,600,169.80	\$403,335.37
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
March 31, 2022

Beginning Balance		\$206,244.89
Donations	\$0.00	
Loan Payments	100.00	
Interest	25.60	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>125.60</u>
Ending Balance		<u><u>\$206,370.49</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

\_\_\_\_\_  
ACTION   X    
ACTION/CONSENT \_\_\_\_\_  
DISCUSSION \_\_\_\_\_  
INFO ONLY \_\_\_\_\_  
\_\_\_\_\_

DATE: May 5, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment A-9, Fiscal Year 2019-20**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., A-1, A-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services (DDS) has allocated additional funds for the Community Resource Development Plan.

FISCAL IMPACT:

Community Resource Development Plan.....\$366,077

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment.

Agenda Item III.D.-2.c.

**STANDARD AGREEMENT - AMENDMENT**

STD 213A (Rev. 4/2020)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 73 PAGES

AGREEMENT NUMBER

HD199014A

AMENDMENT NUMBER

9

Purchasing Authority Number

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Developmental Services

CONTRACTOR NAME

Regional Center of Orange County, Inc.

2. The term of this Agreement is:

START DATE

July 1, 2019

THROUGH END DATE

June 30, 2026

3. The maximum amount of this Agreement after this Amendment is:

\$486,905,160.00

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

A) Section 8 of Article I is amended to read as follows: "8. The total amount payable under this contract agreement shall not exceed \$486,905,160.00 for Fiscal Year 19/20 as reflected on page 1 of this contract."

B) This amendment replaces in its entirety Attachment A of Regional Center Contract Language Fiscal Year 19/20, Contract Budget Summary, with Attachment A of Regional Center Contract Language Fiscal Year 19/20, Contract Budget Summary, attached hereto.

Fiscal Year funds identified above may not be used for any other fiscal year than the fiscal year specified unless authorized by the Department to do so.

*All other terms and conditions shall remain the same.*

*IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.*

**CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

Regional Center of Orange County, Inc.

CONTRACTOR BUSINESS ADDRESS

1525 N. Tustin Avenue

CITY

Santa Ana

STATE

CA

ZIP

92705

PRINTED NAME OF PERSON SIGNING

John Wright

TITLE

Board Chairperson

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STD 213A (Rev. 4/2020)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 73 PAGES

AGREEMENT NUMBER HD199014A	AMENDMENT NUMBER 9	Purchasing Authority Number
-------------------------------	-----------------------	-----------------------------

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME Department of Developmental Services				
CONTRACTING AGENCY ADDRESS 1215 O Street, 10th Floor, MS 10-10		CITY Sacramento	STATE CA	ZIP 95814
PRINTED NAME OF PERSON SIGNING Greg Trahey		TITLE Contracts Manager		
CONTRACTING AGENCY AUTHORIZED SIGNATURE		DATE SIGNED		
CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL		EXEMPTION (If Applicable)		

**FY 2019-20 Through A-9 Contract Budget Summary  
Attachment A (formerly Exhibit A)**

Contracting Agency: Regional Center of Orange County, Inc.

Contract Number

HD199014

Date:

0

**TOTAL OPERATIONS (Ops) which include the line items listed below:** **\$48,288,861**

*\*Less Separately Claimed Items:*

**(\$425,537)**

**Total Ops Regular Monthly Claim Authority:** **\$47,863,324**

*In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:*

\*Cal-Fresh 49,992

\*Mental Health Services Fund \$0

\*Total Foster Grandparent Sr Companion Program \$0

*<sup>a/</sup> FGP, Total Federal \$0*

*FGP, Federal Support 0*

*FGP, Federal Volunteer 0*

*FGP, Federal Stipend 0*

*<sup>b/</sup> FGP & Sr. Companion, Total General State Funds \$0*

*FGP, General State Fund, Support 0*

*FGP, General State Fund, Volunteer 0*

*Sr Compan, State Funds, Support 0*

*Sr Compan, State Funds, Volunteer 0*

\*Disparities 10,000

\*DC Closure Ongoing Workload 365,545

\*Total Regular CPP/CRDP 0

**TOTAL PURCHASE OF SERVICES (POS) which include the line items listed below:** **\$438,347,000**

*\*Less Separately Claimed Items*

**(7,808,369)**

**Total POS Regular Monthly Claim Authority** **\$430,538,631**

*In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:*

*<sup>c/</sup>\*Part C \$929,701*

\*HCBSW Compliance \$562,970

\*Grand Total Community Placement Plan (CPP), Regular \$6,315,698

*Start-Up (CPP/CRDP) 1,763,279*

*Start-Up COVID 0*

*Safety Net Start-Up 0*

*Assessment 738*

*Placement 4,551,681*

**Total Early Intervention Program, Family Resource Centers/Network \$140,397**

**Total Family Resource Services \$128,902**

**TOTAL BUDGET \$486,905,160**

*a/ FGP: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No.19SFPCA002, b/ Senior Companion (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No.19SCPCA002, Performance Period 07/01/19-06/30/22 for the three year grant.*

*b/ Senior Companion (GF) Program Title: Senior Companion Program, CFDA # 94.016, Award No.19SCPCA002, Performance Period 07/01/19-06/30/22 for the three year grant.*

*c/ Part C: CFDA Title: Infant and Toddler/Families (Part C) Program Title: Special Education-Grants for Infants and Families with Disabilities.*

CFDA Number: 84.181A, Award No: H181A190037. Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education.

Percent of Part C to Total Contract Funding: 0.19%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

\_\_\_\_\_  
ACTION   X    
ACTION/CONSENT \_\_\_\_\_  
DISCUSSION \_\_\_\_\_  
INFO ONLY \_\_\_\_\_  
\_\_\_\_\_

DATE: May 5, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment B-5, Fiscal Year 2020-21**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., B-1, B-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services (DDS) will reduce its allocation for RCOC's Community Placement Plan (CPP) and Community Resource Development Plan (CDRP).

FISCAL IMPACT:

POS CPP/CRDP.....(\$1,499,631)

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION   X    
ACTION/CONSENT             
DISCUSSION             
INFO ONLY           

DATE: May 5, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective May 9, 2022**

BACKGROUND:

Since August 10, 2011, the California Code of Regulations, Title 2, Section 570.5, has required California Public Employees' Retirement System's (CalPERS's) employers to have pay rates approved by the employer's governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on November 4, 2021.

REASON FOR CURRENT ITEM:

Effective April 30, 2023, Keli Radford, RCOC's Director of Services and Supports, and Bette Baber, RCOC's CFO, will retire after 33 and 39 years of service respectively. To ensure a successful transition, Keli and Bette will mentor and train their replacements for a year while their replacements mentor and train their replacements.

Due to the significant allocation that DDS will provide next year to reduce caseloads, case management will have a challenging year of hiring and training a large number of Service Coordinators (SCs). More SCs will require more Supervisors and more Supervisors will require more supervisor training. This will follow a year in which RCOC had its share of the Great Resignation with a high number of SC resignations. Given the challenges of managing such high turnover, RCOC split the duties of the Director of Services and Supports into two positions: Director of Case Management and Director of Community Services. Bette's replacement will have the title of Director of Finance during the transition.

The title of Deaf and Hard of Hearing Coordinator was added; no titles were removed.

FISCAL IMPACT:

The three promotions will be approximately .....\$60,000 plus benefits

RECOMMENDATION:

That the Board approve the salary schedule for RCOC's employees effective May 9, 2022.

Agenda Item III.D.-.2.e.

		Minimum	Midpoint	Maximum
EXECUTIVE DIRECTOR	HR	<b>101.00</b>	<b>112.55</b>	<b>124.09</b>
	BW	8,080.18	9,003.72	9,927.26
	MO	17,507.05	19,508.06	21,509.07
	YR	210,084.64	234,096.74	258,108.83
MEDICAL DIRECTOR	HR	<b>70.06</b>	<b>90.21</b>	<b>110.36</b>
PHYSICIAN	BW	5,604.95	7,216.78	8,828.62
	MO	12,144.06	15,636.36	19,128.67
	YR	145,728.68	187,636.36	229,544.03
CHIEF FINANCIAL OFFICER	HR	<b>70.06</b>	<b>85.81</b>	<b>101.56</b>
GENERAL COUNSEL	BW	5,604.95	6,865.04	8,125.13
	MO	12,144.06	14,874.25	17,604.44
	YR	145,728.68	178,491.00	211,253.32
CONSULTING PHARMACIST	HR	<b>59.55</b>	<b>70.15</b>	<b>80.74</b>
DIRECTOR: Case Management, Community	BW	4,764.06	5,611.82	6,459.58
Services, Clinical, Finance, HR, IT	MO	10,322.14	12,158.95	13,995.76
Services and Supports	YR	123,865.66	145,907.39	167,949.12
MANAGER, AREA	HR	<b>44.65</b>	<b>52.05</b>	<b>59.45</b>
ASSOCIATE DIRECTOR: Employment,	BW	3,572.18	4,163.97	4,755.76
Finance, Housing, IT	MO	7,739.72	9,021.93	10,304.14
	YR	92,876.69	108,263.18	123,649.67
MANAGER: Nursing	HR	<b>41.57</b>	<b>48.97</b>	<b>56.36</b>
PSYCHOLOGIST,	BW	3,325.45	3,917.20	4,508.96
THERAPIST: Occupational, Physical	MO	7,205.14	8,487.27	9,769.41
Speech	YR	86,461.65	101,847.29	117,232.93
CHIEF COUNSELOR, CONTROLLER	HR	<b>36.16</b>	<b>42.02</b>	<b>47.87</b>
ADMINISTRATOR, MANAGER:	BW	2,893.14	3,361.25	3,829.35
Custodian of Records, Fair Hearings, HR,	MO	6,268.46	7,282.70	8,296.93
Risk, Safety Net and Resource Development	YR	75,221.55	87,392.37	99,563.19
CLERK TO THE BOARD, NURSE CONSULT.	HR	<b>37.64</b>	<b>42.28</b>	<b>46.93</b>
MANAGER: Family Support/Comm. Outreach	BW	3,011.31	3,382.69	3,754.07
COORDINATOR: PCT, Self-Determination	MO	6,524.49	7,329.15	8,133.82
SPECIALIST: Federal Programs and Benefits	YR	78,293.93	87,949.86	97,605.78
BCBA Masters	HR	<b>35.60</b>	<b>40.01</b>	<b>44.41</b>
	BW	2,848.28	3,200.67	3,553.07
	MO	6,171.27	6,934.79	7,698.32
	YR	74,055.25	83,217.52	92,379.78
AREA SUPERVISOR, RESOURCE GROUP	HR	<b>30.00</b>	<b>37.78</b>	<b>45.57</b>
LEADER, MANAGER: Accounting, IT	BW	2,400.00	3,022.61	3,645.23
PROGRAMMER ANALYST, TRAINING	MO	5,200.00	6,549.00	7,898.00
COORDINATOR	YR	62,400.00	78,587.98	94,775.97
COORDINATOR: Lead Service	HR	<b>30.00</b>	<b>37.45</b>	<b>42.66</b>
Federal Programs and Benefits	BW	2,400.00	2,996.20	3,412.41
Deaf and Hard of Hearing Coordinator	MO	5,200.00	6,491.78	7,393.55
	YR	62,400.00	77,901.32	88,722.64
SERVICE COORDINATOR, HCBS COOR.	HR	<b>30.00</b>	<b>34.84</b>	<b>39.68</b>
ACCOUNTANT, ACCOUNTING SUP.	BW	2,400.00	2,787.17	3,174.33
CULTURAL SPECIALIST/COMM. OUT.	MO	5,200.00	6,038.86	6,877.72
EXECUTIVE LIAISON; HR SPECIALIST	YR	62,400.00	72,466.35	82,532.69
ASSOCIATE PROGRAMMER ANALYST	HR	<b>25.02</b>	<b>30.16</b>	<b>35.29</b>
COORDINATOR:	BW	2,001.94	2,412.53	2,823.12
Emergency, IT, Quality Assurance, Systems	MO	4,337.53	5,227.15	6,116.77
	YR	52,050.40	62,725.81	73,401.22
COORDINATOR: Fiscal, SIR, Vendor	HR	<b>23.94</b>	<b>26.48</b>	<b>29.03</b>
SPECIALIST: HRG, Intake	BW	1,915.44	2,118.72	2,322.01
	MO	4,150.11	4,590.57	5,031.03
	YR	49,801.32	55,086.84	60,372.37
COORDINATOR: Community Resources,	HR	<b>22.28</b>	<b>24.15</b>	<b>26.03</b>
Fair Hearing, Imaging, Operations, Payables	BW	1,782.14	1,932.15	2,082.17
Support Services	MO	3,861.30	4,186.33	4,511.36
SPECIALIST: IT	YR	46,335.62	50,235.99	54,136.35
TECHNICIAN: Accounting, Area, IT, Intake	HR	<b>18.34</b>	<b>20.97</b>	<b>23.61</b>
Scanning, Technical Assistant, Receptionist	BW	1,466.82	1,677.91	1,889.00
Account Clerk	MO	3,178.11	3,635.47	4,092.82
	YR	38,137.30	43,625.60	49,113.90
PEER ADVOCATE	HR	<b>15.00</b>	<b>15.54</b>	<b>16.07</b>
Office Aide	BW	1,200.00	1,242.84	1,285.68
	MO	2,600.00	2,692.82	2,785.64
	YR	31,200.00	32,313.84	33,427.68

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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ACTION \_\_\_\_\_  
ACTION/CONSENT \_\_\_\_\_  
DISCUSSION \_\_\_\_\_  
INFO ONLY   X   \_\_\_\_\_

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DATE: May 5, 2022

TO: RCOC Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Review of Draft Form 990, Return of Organization Exempt from  
Income Tax for the Year Ended June 30, 2021**

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax-exempt organizations to receive a draft of Form 990 prior to filing. The filing deadline is May 16, 2022. Staff will send a draft of the 990 return to the Board for review prior to filing.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board review the draft.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Larry Landauer  
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewed Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator**

BACKGROUND:

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding....”

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 “Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants” and 54527 “Financial Interests in Decisions Creating a Conflict of Interest for Employees, Contractors, Agents or Consultants” which provides in pertinent part:

“(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.

Section 54533 “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan” states that:

- (a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.” (emphasis added)

REASON FOR CURRENT ITEM:

Magnolia Guardamondo is RCOC's Service Coordinator. DDS approved her prior Conflict Resolution Plan on May 21, 2021. Brian Guardamondo, Ms. Guardamondo's spouse, and Alisha Guardamondo, Ms. Guardamondo's daughter, provided respite and personal assistance services through Childcare Connection-UCP, a RCOC vendor.

Effective April 1, 2022, Ms. Guardamondo's son changed from traditional services to the Self-Determination Program (SDP). In SDP, Ms. Guardamondo, RCOC's employee and parent, and The Emlyn Group, Inc., RCOC's vendor for Financial Management Services, are co-employers. Ms. Guardamondo's husband, Brian, and her daughter, Alisha, provide community integration services to her son; accordingly, Ms. Guardamondo has a conflict since her husband, Brian, and daughter, Alisha, are employees of a RCOC vendor. RCOC has developed a Conflict Resolution Plan to address any potential conflict of interest.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board approve the Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator.

**DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST,  
CONFLICT RESOLUTION PLAN AND RENEWED REQUEST FOR WAIVER  
FOR  
MAGNOLIA GUARDAMONDO – SERVICE COORDINATOR  
REGIONAL CENTER OF ORANGE COUNTY**

**I. Law Governing Conflicts of Interest**

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding ....”

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526, “Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants” and 54527, “Financial Interests in Decisions Creating Conflict of Interest for Employees, Contractors, Agents or Consultants.”

Said section 54526 specifically provides, in part:

(a) A conflict of interest exists when a regional center employee with decision or policy making authority, or contractor, agent or consultant with authority to act on behalf of the regional center, or family member of such person, is any of the of following for a business entity, entity, or provider as defined in these regulations:

.....(9) an employee

*(emphasis added)*

Section 54533, “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan,” states:

“(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.” *(emphasis added)*

**II. Potential Conflict of Ms. Magnolia Guardamondo**

Magnolia Guardamondo is a Service Coordinator for the Regional Center of Orange County (hereinafter “RCOC” or “the Regional Center”). RCOC’s Executive Director, Larry Landauer, confirms that Ms. Guardamondo is a loyal, effective, productive and valued employee.

Ms. Guardamondo is also the parent of a person served who is receiving both respite and personal assistance services from RCOC. The Guardamondo family wanted the respite and

personal assistance services to be provided by family members.

As of April 1, 2022, Ms. Guardamondo's son went from traditional services to the Self-Determination Program (SDP). Prior to the transition from traditional to SDP, Brian Guardamondo, Ms. Guardamondo's spouse, and Alisha Guardamondo, Ms. Guardamondo's daughter, provided respite and personal assistance services through Childcare Connection-UCP, a RCOC vendor. The Department granted a waiver for this conflict on May 21, 2021, see **Exhibit C**.

As part of SDP, Ms. Guardamondo, RCOC's employee and parent, is the co-employer along with The Emlyn Group, Inc., RCOC's vendor for Financial Management Services. Ms. Guardamondo's husband, Brian, and her daughter, Alisha, will provide community integration services to her son. Accordingly, Ms. Guardamondo has a conflict since her husband, Brian, and daughter, Alisha, are employees of a RCOC vendor. The Emlyn Group, Inc. was vendored by San Diego Regional Center; RCOC completed a courtesy vendorization on January 18, 2022. No payments have been made to The Emlyn Group, Inc. as of April 2022.

Even though a technical conflict of interest exists, it is a common occurrence in the regional center system that a regional center employee, who is also a parent, has a family member who is the respite or a personal assistance worker for his/her child.

### **III. Conflict Resolution Plan**

This document constitutes a Disclosure of Potential Conflict, a Conflict Resolution Plan to eliminate any adverse consequences from this relationship, and a Request for Waiver of the conflict from DDS.

#### **A. Ms. Guardamondo's Position and Duties**

As a Service Coordinator, Ms. Guardamondo is responsible for a caseload of approximately 86 persons served, with services that are funded at least in part by the Regional Center of Orange County. A copy of her job description is attached as **Exhibit A**.

Ms. Guardamondo has a caseload of children and adults.

When other employees had potential conflicts of interest, RCOC was able to change their caseload from adult to children or vice versa in order to eliminate the potential conflicts of interest. SDP is available to children and adults; so, the regional center will eliminate any and all involvement that Ms. Guardamondo has with The Emlyn Group, Inc.

If any of Ms. Guardamondo's persons served require evaluation, planning or recommendations on a matter related to The Emlyn Group, Inc., West Area Supervisor, Jennifer Kennedy, will take on such matters. RCOC's Organization Chart is attached as **Exhibit B**. With the changes made in this Conflict Resolution Plan, no change in the chart will be necessary except that Ms. Kennedy, West Area Supervisor, will be in the position held by Ms. Guardamondo with respect to all matters involving The Emlyn Group, Inc.



**B. Brian and Alisha Guardamondo's Roles at The Emlyn Group, Inc.**

The Emlyn Group, Inc. and Ms. Guardamondo are co-employers of Brian and Alisha Guardamondo, who provide community integration services solely to Ms. Guardamondo's son. The total amount budgeted for SDP community integration services through The Emlyn Group, Inc. for Ms. Guardamondo's son is \$33,023.70.

**C. Conflict Resolution Plan**

RCOC's Executive Director and Board of Directors have concluded that Ms. Guardamondo provides great value to the consumers of RCOC. After consideration of the totality of the circumstances and a careful review of the facts, the Executive Director and Board of Directors believe it is in the best interests of RCOC to create and implement a Conflict Resolution Plan to eliminate any adverse consequences from this relationship and seek a renewed request for waiver from DDS.

RCOC's and Ms. Guardamondo's suggested Conflict Resolution Plan for this potential conflict of interest is as follows:

Ms. Guardamondo will continue with her caseload of children and adults.

Ms. Guardamondo will, in every conceivable manner, not interact with The Emlyn Group, Inc.

In addition:

1. Ms. Guardamondo will not participate in the consideration, preparation, review, presentation, formulation or approval of any report, plan, opinion, recommendation or action regarding The Emlyn Group, Inc.

2. Ms. Guardamondo will not review or participate in any discussions, recommendations or decisions about authorizations for The Emlyn Group, Inc.

3. Ms. Guardamondo will not review or in any way participate in the preparation, consideration, or any follow-up related to Special Incident Reports with regard to The Emlyn Group, Inc.

4. Ms. Guardamondo will not create, review, or in any way participate in, any corrective action plans that deal with The Emlyn Group, Inc.

5. Ms. Guardamondo will not participate in any discussions, recommendations, actions or resolutions regarding complaints about The Emlyn Group, Inc.

6. The Regional Center and Ms. Guardamondo agree that Ms. Guardamondo will take no part in vendor appeals or fair hearings involving The Emlyn Group, Inc.

7. The Regional Center and Ms. Guardamondo will ensure that Ms. Guardamondo will not access vendor files of The Emlyn Group, Inc. either in their electronic or hard copy form.

8. Ms. Guardamondo will not be involved in the negotiation, discussion, obligation or commitment of RCOC to a course of action involving The Emlyn Group, Inc.

9. Further, if Ms. Guardamondo has matters relating to The Emlyn Group, Inc., West Area Supervisor, Ms. Kennedy, will take on such matters.

10. The RCOC management staff will be informed about this Conflict Resolution Plan, and they will be informed of the need to ensure that Ms. Guardamondo has no involvement whatsoever in any action or business involving or affecting The Emlyn Group, Inc.

11. RCOC will communicate to its employees Ms. Guardamondo's Conflict Resolution Plan and the need to ensure that Ms. Guardamondo plays no role whatsoever in any action involving The Emlyn Group, Inc.

12. The RCOC Board of Directors has been informed of, and supports, this Conflict Resolution Plan and Renewed Request for Waiver of Potential Conflict of Interest.

#### **IV. Renewed Request For Waiver**

For the reasons provided above, and in accordance with the Conflict Resolution Plan set forth above, the Regional Center of Orange County hereby requests that DDS grant a renewed request for waiver of the conflict in this matter.

Respectfully submitted,

By: \_\_\_\_\_  
Magnolia Guardamondo, West Area Service Coordinator

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Jennifer Kennedy, West Area Supervisor

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Carie Otto, West Area Manager

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Keli Radford, Services and Supports Director

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Larry Landauer, Executive Director

Date: \_\_\_\_\_

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: RCOC Board of Directors

FROM: Larry Landauer, Executive Director

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Information Regarding Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of a Specialized Residential Facility (CRDP No. 1920-2/2021-1 (Previously CRDP No. 1920-3))**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of a Specialized Residential Facility (SRF). This facility will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement with non-profit housing corporation, Brilliant Corners, on January 9, 2020, and Amendment No. 1 to the renovation agreement on March 4, 2021. Amendment No. 2 to the renovation agreement, which clarified certain terms but did not change the funding amount, was executed on September 1, 2021.

REASON FOR CURRENT ITEM:

The SRF property was acquired by Brilliant Corners and has been renovated. Renovation costs were higher than originally anticipated due to inflation and some unforeseen expenses. DDS notified RCOC that they would increase the allocation of CRDP start-up funding for this project by \$91,080, which required an additional amendment to the renovation agreement. The Board was notified of Amendment No. 3 to the renovation agreement in accordance with RCOC's Contract Policy on March 8, 2022, and Amendment No. 3 was subsequently executed by the Executive Director.

FISCAL IMPACT:

DDS will allocate an additional \$91,080 in RCOC's CRDP for renovation costs.

RECOMMENDATION:

This is an information item; no action is required.

**AMENDMENT NO. 3 TO AGREEMENT BY AND BETWEEN**  
**REGIONAL CENTER OF ORANGE COUNTY**  
**AND BRILLIANT CORNERS**  
**FOR DEVELOPMENT OF HOUSING**  
**RCOC CRDP PROJECT NO. 1920-3/1920-2/2021-1**

This Amendment No. 3 to Agreement (Amendment No. 3) is entered into and effective this 9th day of March, 2022, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as "RCOC," and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as "NPO."

**RECITALS**

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for a Specialized Residential Facility (SRF) to serve regional center persons served; and

B. WHEREAS, the Agreement was subsequently amended to update the project number and increase the available CRDP funds to \$507,214; and

C. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase of \$91,080 in the CRDP funds allocated for renovation costs, for a total of \$598,294; and

D. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

"9. **MAXIMUM PAYMENT OBLIGATION.** The "Maximum Payment Obligation" of RCOC to NPO under this Agreement shall be Five Hundred Ninety Eight Thousand Two Hundred Ninety Four Dollars (\$598,294) ("CRDP Funds"), allocated as follows:

Fiscal Year 2019-2020 (CRDP Project No. 1920-2)	\$541,080
Fiscal Year 2020-2021 (CRDP Project No. 2021-1)	\$57,214

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$598,294, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$598,294, RCOC shall retain the remaining CRDP Funds to be returned to DDS."

2. Section 10.e. of the Agreement is hereby amended to read as follows:

"Deadline to Expend Funds. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse renovation funds to NPO is June 30, 2022, and NPO must therefore complete all renovations prior to such date, unless directed otherwise in writing by RCOC. NPO must submit all invoices to RCOC no later than March 5, 2022; any invoices submitted after such date will not be paid. All of RCOC's disbursements are conditioned upon NPO's compliance with the project milestones for the Property by such deadline; provided, however, the deadline for NPO to satisfy the Second Milestone for the Property shall be the earlier of the above deadline date or the 90<sup>th</sup> day after NPO purchases the Property."

3. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

**REGIONAL CENTER OF ORANGE COUNTY**

  
\_\_\_\_\_  
Larry Landauer, M.S.W., Executive Director

**BRILLIANT CORNERS**

DocuSigned by:  
  
\_\_\_\_\_  
AA888888740144A8...

Name/Title: Serena Fields, Director of Housing Development & Management

## Summary of Information About Persons Served - February 2022

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,291	16%
Children - Ages Three to 17 Receiving Lanterman Services	7,037	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>500</b>
------------------------------------------------------------------------------------------	------------

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,675	60%
Epilepsy	2,883	15%
Cerebral Palsy	2,586	13%
Autism	8,767	44%
Fifth Category*	1,711	9%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	352
Early Start / Under Age Three / 45 days to complete determination	297
Lanterman / Over Age Three / 120 days to complete determination	55

NUMBER OF PERSONS DETERMINED ELIGIBLE	454
Children - Birth to Age Three Eligible for Early Start Services	202
Children and Adults - Ages Three and Older Eligible for Lanterman Services	190
• Number of children who received Early Start services	38
• Number of children who received Early Start services and had a diagnosis of autism	24
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	124
Children - Age Three No Longer Eligible for Early Start Services	122
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	2

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### FEBRUARY 2022 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*



## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36	38	34	39
Unannounced	59	40	38	38	35	43
<b>Total Number of Reviews</b>	<b>92</b>	<b>75</b>	<b>74</b>	<b>76</b>	<b>69</b>	<b>82</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169	131	117	148
Corrective Action Plans	0	0	9	6	2	9
Special Incident Investigations*	26	30	28	24	21	15
COVID-19 Checklist	0	0	0	0	0	1

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	26	41					<b>282</b>
Unannounced	30	46					<b>329</b>
<b>Total Number of Reviews</b>	<b>56</b>	<b>87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>611</b>

Provider Trainings	0	0	0	0	0	0	<b>0</b>
Technical Support	88	141					<b>1,087</b>
Corrective Action Plans	0	4					<b>30</b>
Special Incident Investigations*	5	25					<b>174</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;

(B) Reasonably suspected abuse/exploitation including:

1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.

(C) Reasonably suspected neglect including failure to:

1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.

(D) A serious injury/accident including:

1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.

(E) Any unplanned or unscheduled hospitalization due to the following conditions:

1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubitus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;

(2) The following special incidents regardless of when or where they occurred:

(A) The death of any consumer, regardless of cause;

(B) The consumer is the victim of a crime including the following:

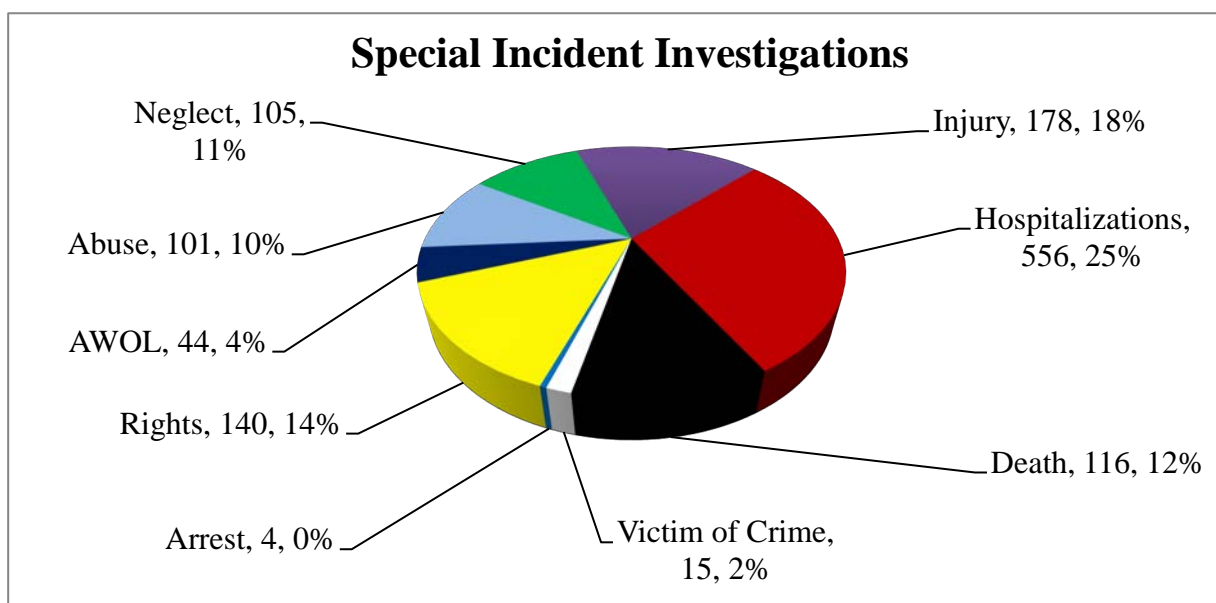
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2021-22**

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5	4	5	5
Abuse	14	23	19	10	13	14
Neglect	18	16	15	13	15	2
Injury	14	23	26	24	26	17
Hospitalizations - Total	33	40	43	33	35	34
<i>Psychiatric</i>	<i>11</i>	<i>8</i>	<i>11</i>	<i>4</i>	<i>8</i>	<i>6</i>
<i>Medical</i>	<i>22</i>	<i>32</i>	<i>32</i>	<i>29</i>	<i>27</i>	<i>28</i>
Death	15	18	10	13	10	20
Victim of crime	3	2	1	5	3	1
Arrest	2	0	0	0	0	1
Rights	16	14	14	64	14	6
<b>Total</b>	<b>120</b>	<b>146</b>	<b>133</b>	<b>166</b>	<b>121</b>	<b>100</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	5	5					44
Abuse	4	4					101
Neglect	4	22					105
Injury	23	25					178
Hospitalizations - Total	46	27					291
<i>Psychiatric</i>	<i>1</i>	<i>2</i>					<i>51</i>
<i>Medical</i>	<i>45</i>	<i>25</i>					<i>240</i>
Death	13	17					116
Victim of Crime	0	0					15
Arrest	0	1					4
Rights	2	10					140
<b>Total</b>	<b>97</b>	<b>111</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>994</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2021-22**

***Number of Audits / Appeals / Recoveries***

<b>Type of Audit</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
<b><i>Total Number of Audits</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

***Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)***

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

***Audit Findings (Dollar Amount)***

<i>Amount of Recovery</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>
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<b>Type of Audit</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
Service Billing	0	0					<b>0</b>
Staffing	0	0					<b>0</b>
Level 4I Consultant	0	0					<b>0</b>
P&I (consumer funds)	0	0					<b>0</b>
<b><i>Total Number of Audits</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

***Number of Appeals / Recoveries***

State Appeal	0	0					<b>0</b>
Recovery	0	0					<b>0</b>

***Audit Findings (Dollar Amount)***

<i>Amount of Recovery</i>	<i>\$0.00</i>	<i>\$0.00</i>					<b><i>\$0.00</i></b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2021-22*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80	79	84	84
Diapers - Family Member	10	10	10	11	11	11
Nursing Service - Family Member	62	62	63	62	61	62
Respite Service - Family Member	603	587	577	591	603	596
Transportation - Family Member	115	129	127	129	145	142
<b>Total Number of Voucher Authorizations</b>	<b>871</b>	<b>869</b>	<b>857</b>	<b>872</b>	<b>904</b>	<b>895</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	77	79				
Diapers - Family Member	10	9				
Nursing Service - Family Member	62	62				
Respite Service - Family Member	599	603				
Transportation - Family Member	140	144				
<b>Total Number of Voucher Authorizations</b>	<b>888</b>	<b>897</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2021-22*

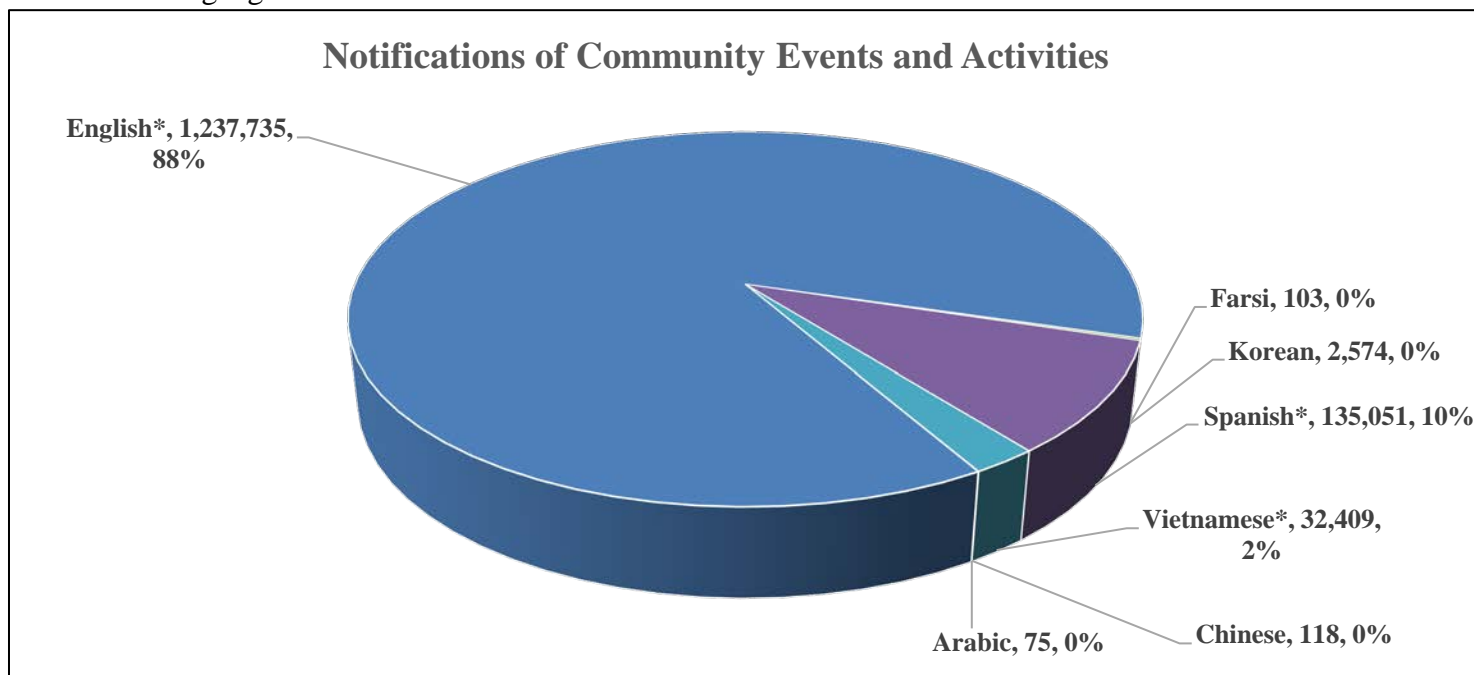
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610	16,667	135,337	153,167
Farsi						
Korean		454			529	522
Spanish*	16,214	26,390	22,843	1,887	8,808	9,632
Vietnamese*	3,368	6,015	4,729	296	3,636	3,611
<b>Total Number of Notifications</b>	<b>201,808</b>	<b>166,035</b>	<b>187,182</b>	<b>18,850</b>	<b>148,310</b>	<b>166,932</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	178,305	279,247					1,237,735
Farsi	103						103
Korean	1,069						2,574
Spanish*	19,783	29,494					135,051
Vietnamese*	3,692	7,062					32,409
Chinese	118						118
Arabic	75						75
<b>Total Number of Notifications</b>	<b>203,145</b>	<b>315,803</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,408,065</b>

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2021-22*

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	
<b>In Person</b>							
English	6	9	10	13	7	5	
Spanish	3			2	1	1	
Vietnamese	1		4	2	1		
Other Languages					1	2	(Korean)
<b>In Print</b>							
English				1	1	1	
Spanish	1	3	3		1		
Vietnamese		3			1		
Other Languages							
<b>TV / Radio</b>							
English		1					
Spanish							
Vietnamese	4	6	4	5	4	4	
Other Languages							
<b>Total Number of Outreach Events</b>	<b>15</b>	<b>22</b>	<b>21</b>	<b>23</b>	<b>17</b>	<b>13</b>	

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English	4	6					<b>60</b>
Spanish		2					<b>9</b>
Vietnamese	1	5					<b>14</b>
Other Languages							<b>3</b>
<b>In Print</b>							
English	1	1					<b>5</b>
Spanish							<b>8</b>
Vietnamese							<b>4</b>
Other Languages							<b>0</b>
<b>TV / Radio</b>							
English (Blog)	1						<b>2</b>
Spanish							<b>0</b>
Vietnamese	5	4					<b>36</b>
Other Languages							<b>0</b>
<b>Total Number of Outreach Events</b>	<b>12</b>	<b>18</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>111</b>

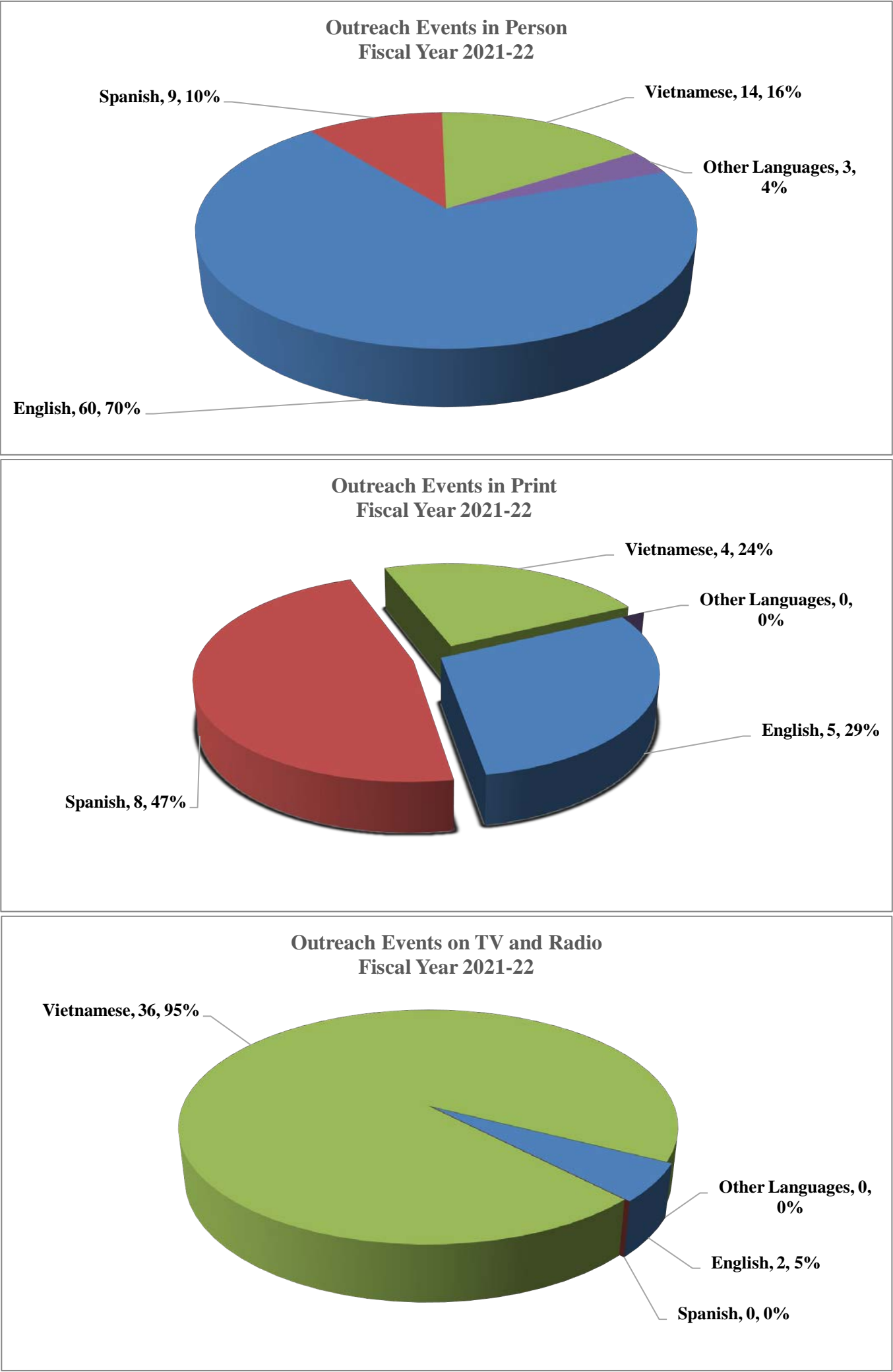
\* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio  
Fiscal Year 2021-22





## EARLY INTERVENTION / PREVENTION

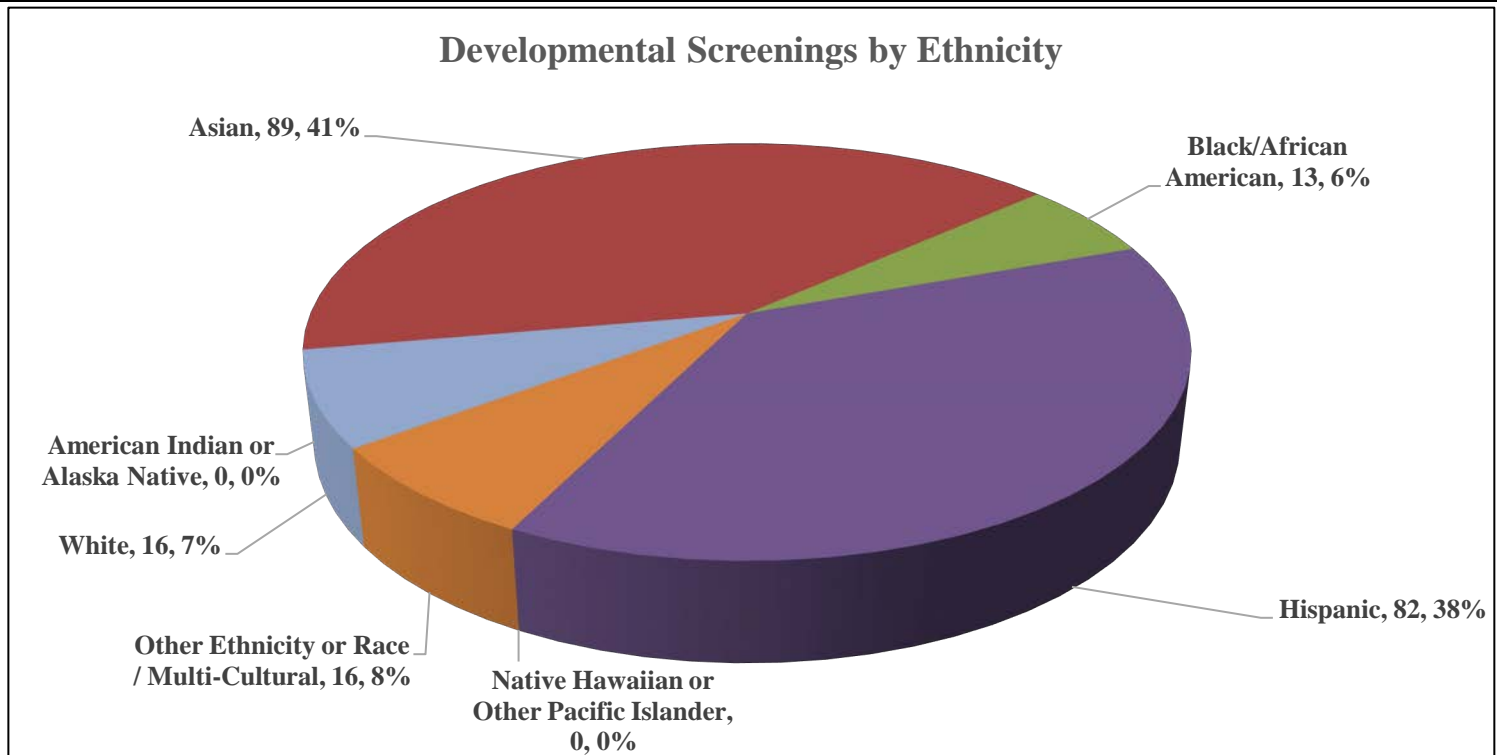
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	13	37	37	2	0
Black/African American	0	2	4	1	0	0
Hispanic	0	10	12	11	22	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	1	9	1	3	0
White	0	9	1	1	2	0
<b>Total Number Screened</b>	<b>0</b>	<b>35</b>	<b>63</b>	<b>51</b>	<b>29</b>	<b>0</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>16</b>	<b>11</b>	<b>7</b>	<b>15</b>	<b>0</b>

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0					0
Asian	0	0					89
Black/African American	5	1					13
Hispanic	0	27					82
Native Hawaiian or Other Pacific Islander	0	0					0
Other Ethnicity or Race / Multi-Cultural	1	1					16
White	0	3					16
<b>Total Number Screened</b>	<b>6</b>	<b>32</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>216</b>
<b>Total Number Referred to RCOC</b>	<b>1</b>	<b>9</b>					<b>59</b>



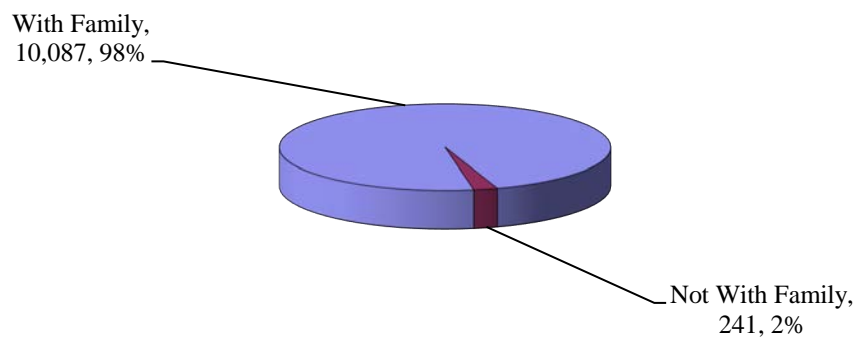
## LIVING OPTIONS

### Related Guiding Principles

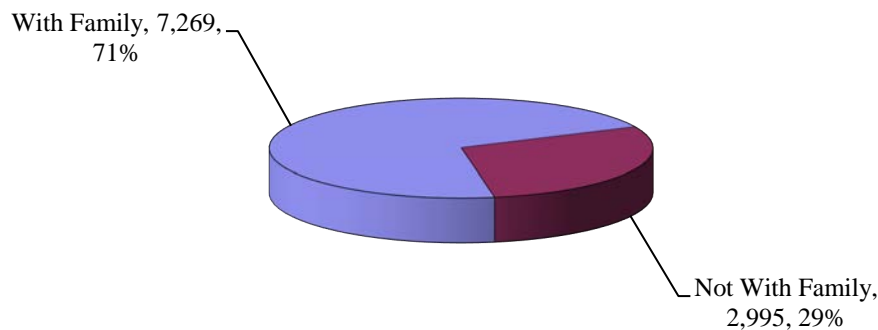
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>

**Where Persons Served Under 18 Live**



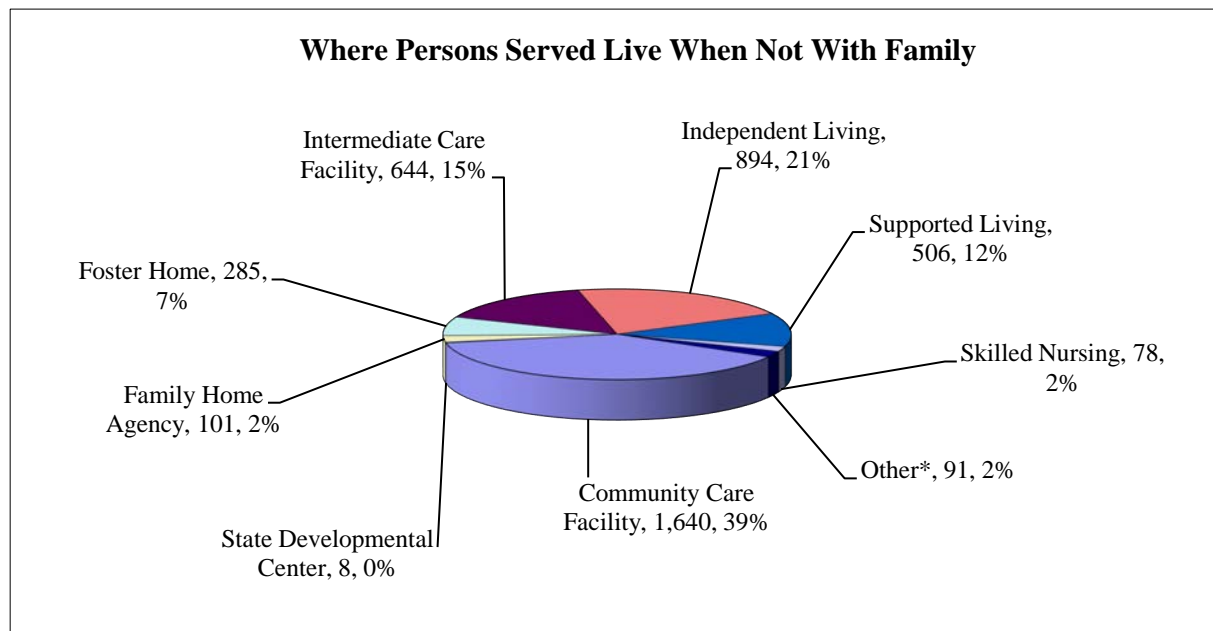
**Where Persons Served Over 18 Live**



### LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,226	10,662	8,564
Community Care Facility	1,640	27	1,613
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	285	275	10
Intermediate Care Facility	644	5	639
Independent Living	894	0	894
Supported Living	506	0	506
Skilled Nursing	78	0	78
Other*	91	24	67
<b>Total</b>	<b>23,473</b>	<b>10,993</b>	<b>12,480</b>

<b>Other*</b>			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	15	1	14
Rehabilitation Center	6	0	6
SDC / State Hospital	0	0	8
Sub-Acute	47	18	29
Transient / Homeless	17	0	17
<b>Total, Other*</b>	<b>96</b>	<b>21</b>	<b>83</b>



## LIVING OPTIONS, continued

### **Other Living Options**

#### **Family Home Agency**

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### **Foster Family Agency**

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

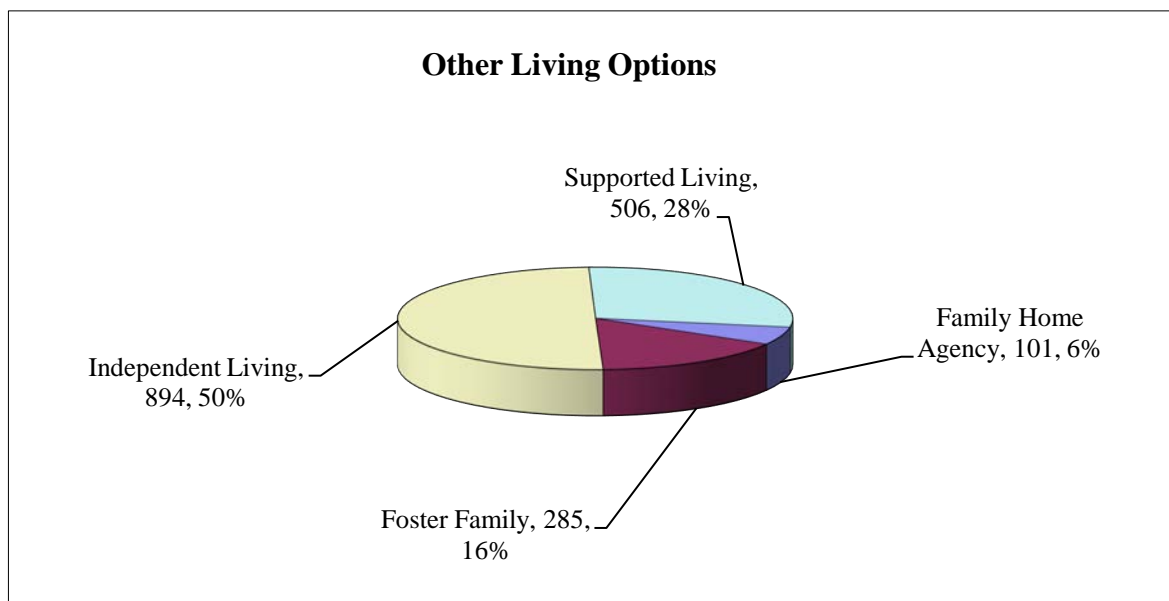
#### **Independent Living**

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### **Supported Living**

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	101	0	101
Foster Family	285	275	10
Independent Living	894	0	894
Supported Living	506	0	506
<b>Total</b>	<b>1,786</b>	<b>275</b>	<b>1,511</b>



## **LIVING OPTIONS, continued**

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### **Health Licensed Facilities**

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### **Community Care Licensed Facilities**

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

**SERVICE LEVEL 1:** Limited care and supervision for persons with self-care skills and no behavior problems.

**SERVICE LEVEL 2:** Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

**SERVICE LEVEL 3:** Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

**SERVICE LEVEL 4:** Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2021-22*

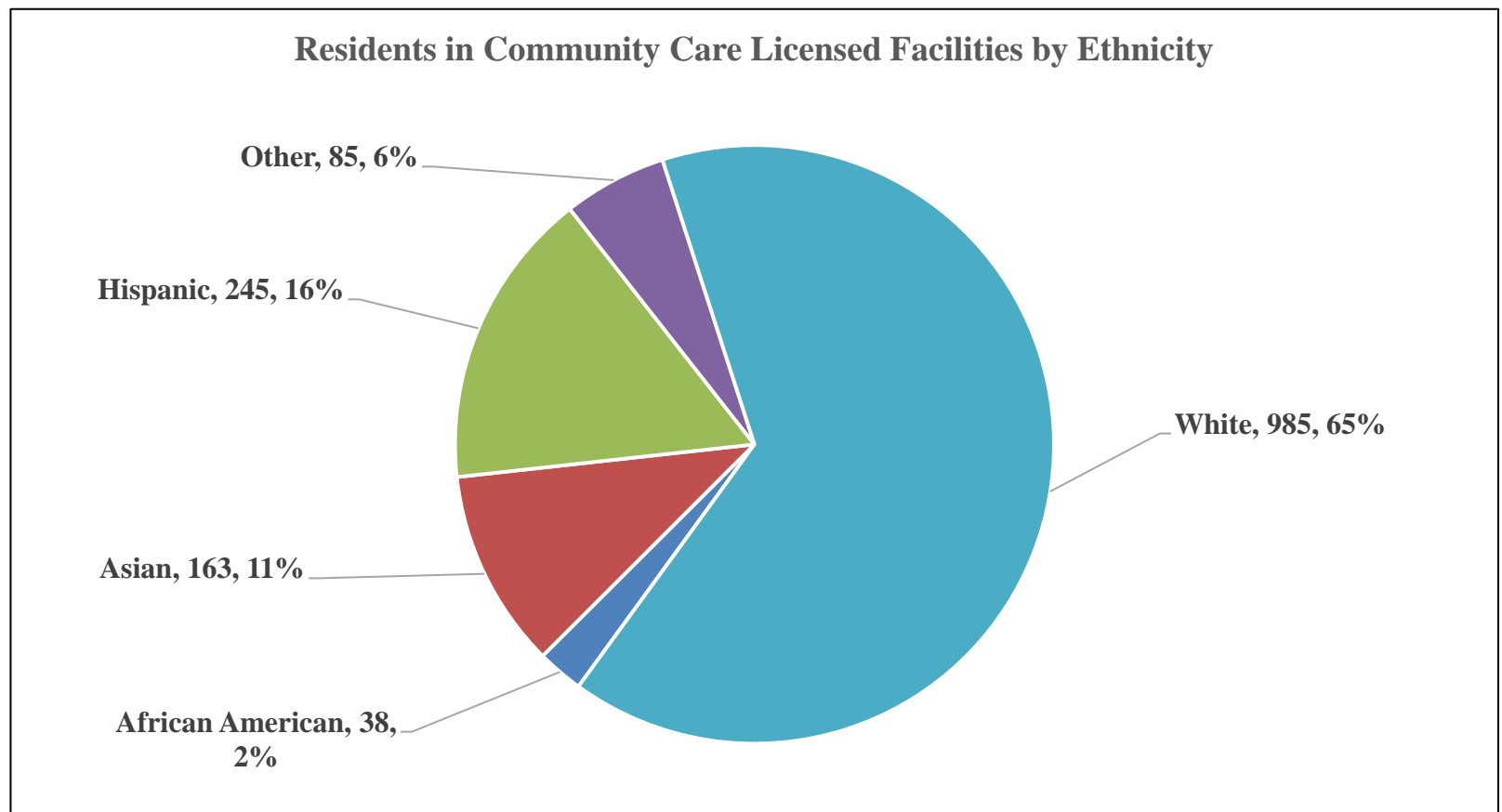
Licensed Facilities	Total	Over 18	Under 18
Level 2	212	212	0
Level 3	277	277	0
Level 4A	33	33	0
Level 4B	5	6	0
Level 4C	50	50	0
Level 4D	35	35	0
Level 4E	23	23	0
Level 4F	60	60	0
Level 4G	30	30	0
Level 4H	4	1	0
Level 4I	323	320	3
Elderly	1	1	0
ICF/DD-H	5	5	0
ICF/DD-N	6	5	1
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,064</b>	<b>1,058</b>	<b>4</b>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	212	212	0
Level 3	277	277	0
Level 4	563	558	3
ICF/DD-H	5	5	0
ICF/DD-N	6	5	1
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,064</b>	<b>1,058</b>	<b>4</b>

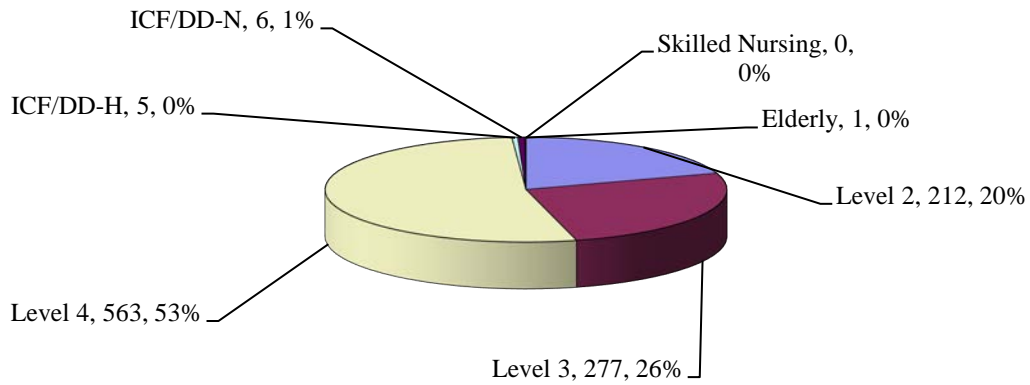
### LIVING OPTIONS, continued

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
***Fiscal Year 2021-22***

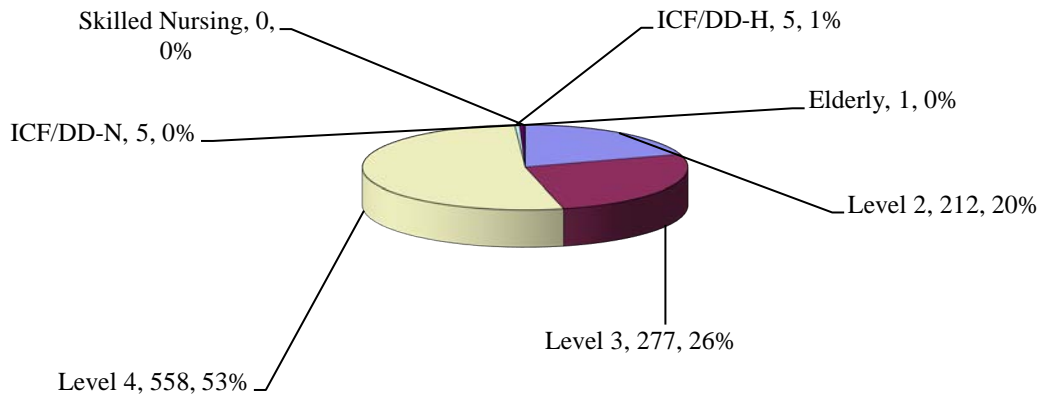
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	8	26	53	11	231	329
Level 3	10	30	61	23	244	368
Level 4A	0	4	6	2	23	35
Level 4B	0	1	0	0	8	9
Level 4C	1	10	11	1	54	77
Level 4D	0	8	6	2	28	44
Level 4E	0	4	9	3	22	38
Level 4F	3	14	9	2	40	68
Level 4G	0	7	5	2	32	46
Level 4H	0	0	2	0	1	3
Level 4I	16	59	83	39	302	499
<b>Total</b>	<b>38</b>	<b>163</b>	<b>245</b>	<b>85</b>	<b>985</b>	<b>1,516</b>



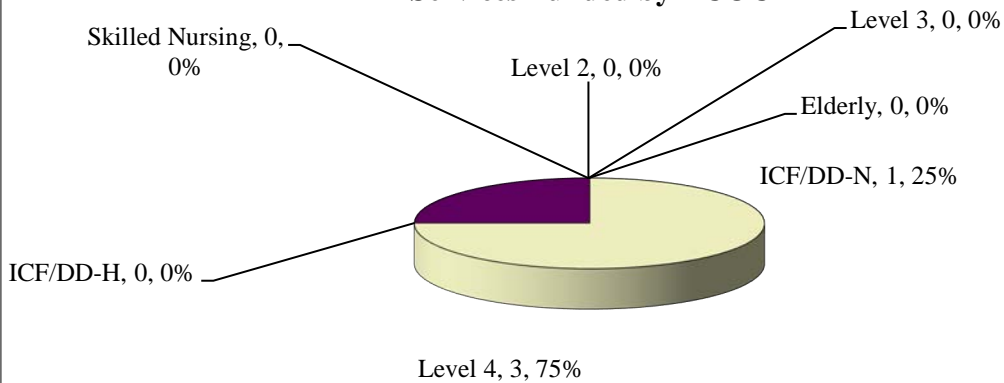
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



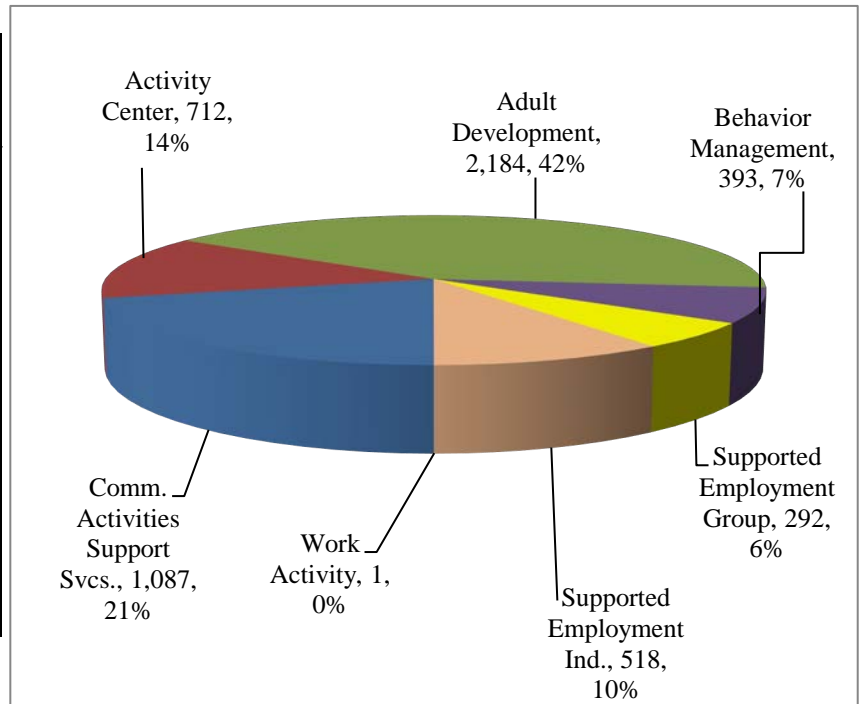


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,087
Activity Center	712
Adult Development	2,184
Behavior Management	393
Supported Employment Group	292
Supported Employment Ind.	518
Work Activity	1
<b>Total</b>	<b>5,187</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges

**Work Activity Program** includes, but is not limited to, work activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

***Fiscal Year 2021-22***

<b>Service Coordination:</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Number of Service Coordinators (SC)	306.5	302.5	299.5	310.4	320.6	313.0
Number of Case-Carrying SCs	280.8	275.8	273.9	284.7	295.0	287.3
Number of Intake SCs	25.7	25.7	25.7	25.7	25.7	25.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,943	23,016	23,145	23,251	23,318	23,448
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5	81.7	79.1	81.6

<b>Service Coordination:</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>
Number of Service Coordinators (SC)	304.0	316.0				
Number of Case-Carrying SCs	278.4	290.4				
Number of Intake SCs	25.7	25.7				
Number of State Developmental Center SCs	0.0	0.0				
Number of Active Persons Served	23,439	23,462				
Caseload Ratio, # of Active Persons Served/SCs	84.2	80.8				

# SERVICE PLANNING AND COORDINATION continued

## Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>18</b>	<b>9</b>	<b>6</b>	<b>14</b>	<b>10</b>	<b>13</b>	<b>13</b>	<b>7</b>				
Eligibility - Lanterman	2	1	1	0	1	3	2	1				
Behavioral services	5	2	2	2	1	1	1	0				
Respite	2	2	2	5	4	2	2	1				
Day Care	0	0	0	0	0	0	0	0				
ILS/SLS	0	0	0	0	0	0	0	0				
Personal Assistance	2	1	1	3	3	2	2	1				
Other**	7	3	0	4	1	5	6	4				

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>6</b>	<b>6</b>	<b>2</b>				
Eligibility - Lanterman	1	0	0	0	1	3	1	1				
Eligibility - Early Start	0	0	0	0	0	0	0	0				
Behavioral services	0	0	0	0	0	0	0	0				
Respite	1	1	0	1	0	0	1	0				
Day Care	0	0	0	0	0	0	0	0				
Social/Recreational	0	0	0	0	0	0	0	0				
Personal Assistance	0	0	0	1	0	0	1	0				
Other**	1	1	0	0	0	3	3	1				

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>8</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>5</b>	<b>3</b>	<b>6</b>	<b>3</b>				
Number of Informal Meetings Held	4	3	2	3	3	2	4	0				
Number of Mediations Held	1	0	0	0	2	0	0	2				
Number of SLFHs Held	3	0	0	1	0	1	2	1				

<b>Number of Requests in Scheduling*</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>7</b>	<b>1</b>	<b>9</b>	<b>6</b>	<b>3</b>				
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\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>				
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\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>10</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>1</b>				
Withdrawn by Person Served/Family	5	2	0	0	0	0	0	0				
Settled in Informal	1	1	0	2	2	1	0	0				
Settled after further follow-up by RCOC	0	0	0	0	0	0	0	0				
Settled in Mediation	1	0	0	0	1	0	0	0				
SLFH Decision	3	0	0	0	1	0	0	1				

## State Level Fair Hearing Decisions

<b>Prevailing Party</b>												
Person Served/Family	0	0	0	0	0	0	0	0				
RCOC	3	0	0	0	1	0	0	1				
Split	0	0	0	0	0	0	0	0				

## ADMINISTRATION AND GOVERNANCE

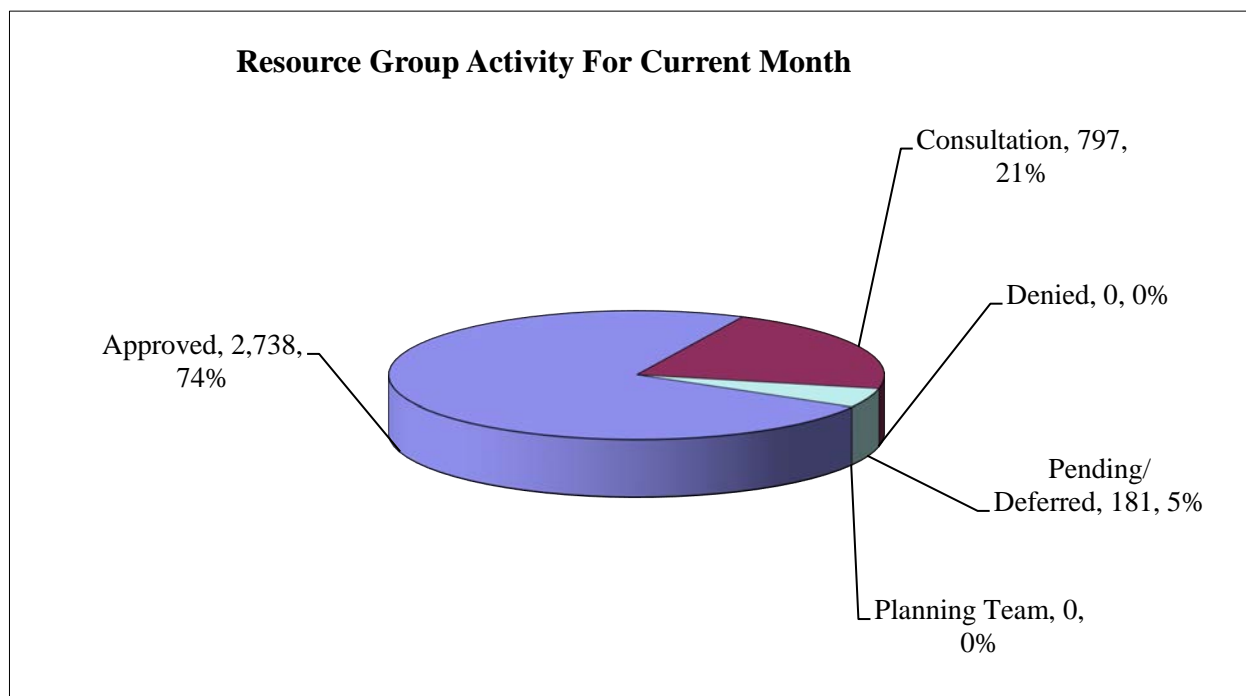
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for February 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	751	201	0	40	0	992
Behavioral	87	81	0	7	0	175
Education	0	0	0	0	0	0
Eligibility/Health	64	0	0	2	0	66
Early Start	589	132	0	53	0	866
Living Options	172	161	0	0	0	333
Supported/Ind.	331	88	0	42	0	461
All Others	744	134	0	37	0	915
<b>Monthly Total</b>	<b>2,738</b>	<b>797</b>	<b>0</b>	<b>181</b>	<b>0</b>	<b>3,808</b>

<b>FY 2020-21 Total to Date</b>	24,367	8,351	0	1,544	0	<b>34,262</b>
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## Operations Report Summary - February 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,291	8,469	8,824	8	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
---------------------------------------------------------------------	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,226	10,662	8,564
Community Care Facility	1,640	27	1,613
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	285	275	10
Intermediate Care Facility	644	5	639
Independent Living	894	0	894
Supported Living	506	0	506
Skilled Nursing	78	0	78
Other	91	24	67
<b>Total</b>	<b>23,473</b>	<b>10,993</b>	<b>12,480</b>

Special Incident Investigations	Year to Date
AWOL	44
Abuse	101
Neglect	105
Injury	178
Hospitalizations - Total	291
Death	116
Victim of crime	15
Arrest	4
Rights	140
<b>Total</b>	<b>994</b>

### Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	79	0	79
Level 3	79	0	79
Level 4	179	12	174
<b>Total Community Care Facilities</b>	<b>337</b>	<b>12</b>	<b>332</b>

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
<b>Total ICF Facilities</b>	<b>123</b>

<b>Total Licensed Facilities</b>	<b>460</b>
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Licensed Facility Monitoring	Year to Date
Annual Review	282
Unannounced	329
Total Number of Reviews	611
Provider Trainings	0
Technical Support	1,087
Corrective Action Plans	30

<b>Number of Audits</b>	<b>0</b>
<b>Amount of Recovery from Audits</b>	<b>\$0</b>

## Summary of Information About Persons Served - March 2022

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,309	16%
Children - Ages Three to 17 Receiving Lanterman Services	7,019	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>490</b>
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*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,656	60%
Epilepsy	2,883	15%
Cerebral Palsy	2,579	13%
Autism	8,811	44%
Fifth Category*	1,721	9%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	440
Early Start / Under Age Three / 45 days to complete determination	395
Lanterman / Over Age Three / 120 days to complete determination	45

NUMBER OF PERSONS DETERMINED ELIGIBLE	388
Children - Birth to Age Three Eligible for Early Start Services	200
Children and Adults - Ages Three and Older Eligible for Lanterman Services	188
• Number of children who received Early Start services	0
• Number of children who received Early Start services and had a diagnosis of autism	0
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	10
Children - Age Three No Longer Eligible for Early Start Services	10
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### MARCH 2022 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36	38	34	39
Unannounced	59	40	38	38	35	43
<b>Total Number of Reviews</b>	<b>92</b>	<b>75</b>	<b>74</b>	<b>76</b>	<b>69</b>	<b>82</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169	131	117	148
Corrective Action Plans	0	0	9	6	2	9
Special Incident Investigations*	26	30	28	24	21	15
COVID-19 Checklist	0	0	0	0	0	1

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	26	41	50				<b>332</b>
Unannounced	30	46	54				<b>383</b>
<b>Total Number of Reviews</b>	<b>56</b>	<b>87</b>	<b>104</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>715</b>

Provider Trainings	0	0	0	0	0	0	<b>0</b>
Technical Support	88	141	148				<b>1,235</b>
Corrective Action Plans	0	4	5				<b>35</b>
Special Incident Investigations*	5	25	32				<b>206</b>



\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

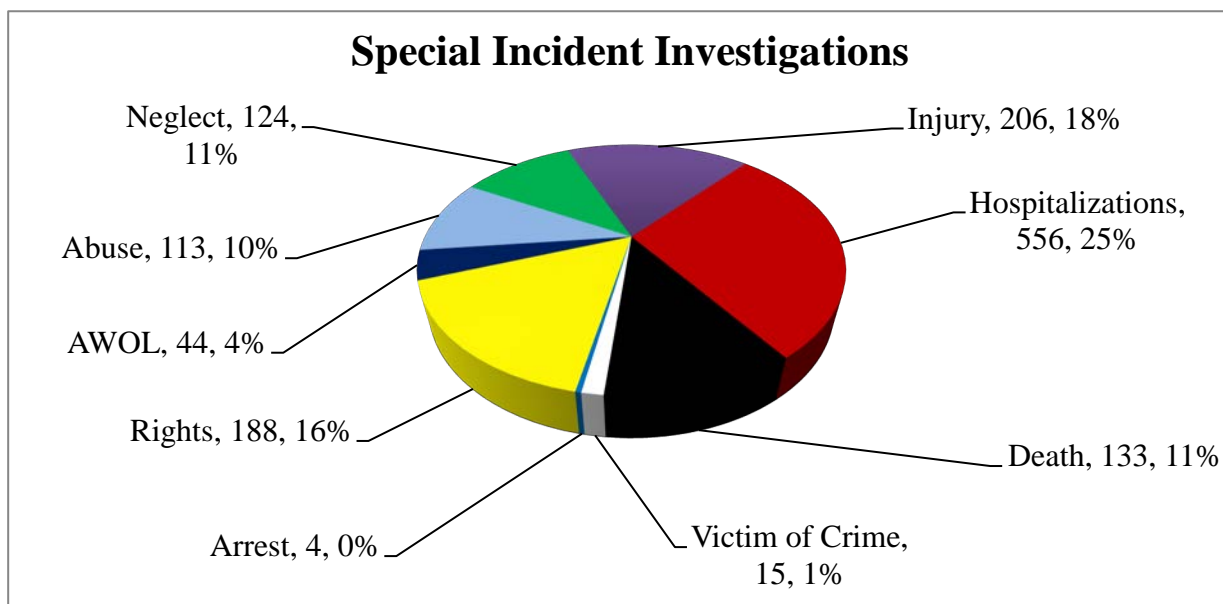
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
  - 1. Physical;
  - 2. Sexual;
  - 3. Fiduciary;
  - 4. Emotional/mental; or
  - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
  - 1. Provide medical care for physical and mental health needs;
  - 2. Prevent malnutrition or dehydration;
  - 3. Protect from health and safety hazards;
  - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
  - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
  - 1. Lacerations requiring sutures or staples;
  - 2. Puncture wounds requiring medical treatment beyond first aid;
  - 3. Fractures;
  - 4. Dislocations;
  - 5. Bites that break the skin and require medical treatment beyond first aid;
  - 6. Internal bleeding requiring medical treatment beyond first aid;
  - 7. Any medication errors;
  - 8. Medication reactions that require medical treatment beyond first aid; or
  - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
  - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
  - 2. Seizure-related;
  - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
  - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
  - 5. Diabetes, including diabetes-related complications;
  - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
  - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
  - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
  - (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2021-22**

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5	4	5	5
Abuse	14	23	19	10	13	14
Neglect	18	16	15	13	15	2
Injury	14	23	26	24	26	17
Hospitalizations - Total	33	40	43	33	35	34
<i>Psychiatric</i>	<i>11</i>	<i>8</i>	<i>11</i>	<i>4</i>	<i>8</i>	<i>6</i>
<i>Medical</i>	<i>22</i>	<i>32</i>	<i>32</i>	<i>29</i>	<i>27</i>	<i>28</i>
Death	15	18	10	13	10	20
Victim of crime	3	2	1	5	3	1
Arrest	2	0	0	0	0	1
Rights	16	14	14	64	14	6
<b>Total</b>	<b>120</b>	<b>146</b>	<b>133</b>	<b>166</b>	<b>121</b>	<b>100</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	5	5	0				44
Abuse	4	4	12				113
Neglect	4	22	19				124
Injury	23	25	28				206
Hospitalizations - Total	46	27	40				331
<i>Psychiatric</i>	<i>1</i>	<i>2</i>	<i>3</i>				<i>54</i>
<i>Medical</i>	<i>45</i>	<i>25</i>	<i>37</i>				<i>277</i>
Death	13	17	17				133
Victim of Crime	0	0	0				15
Arrest	0	1	0				4
Rights	2	10	48				188
<b>Total</b>	<b>97</b>	<b>111</b>	<b>164</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,158</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2021-22**

***Number of Audits / Appeals / Recoveries***

<b>Type of Audit</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
<b><i>Total Number of Audits</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

***Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)***

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

***Audit Findings (Dollar Amount)***

<i>Amount of Recovery</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>
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<b>Type of Audit</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
Service Billing	0	0	2				<b>2</b>
Staffing	0	0	0				<b>0</b>
Level 4I Consultant	0	0	0				<b>0</b>
P&I (consumer funds)	0	0	0				<b>0</b>
<b><i>Total Number of Audits</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>2</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>2</i></b>

***Number of Appeals / Recoveries***

State Appeal	0	0	0				<b>0</b>
Recovery	0	0	0				<b>0</b>

***Audit Findings (Dollar Amount)***

<i>Amount of Recovery</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>				<b><i>\$0.00</i></b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2021-22*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80	79	84	84
Diapers - Family Member	10	10	10	11	11	11
Nursing Service - Family Member	62	62	63	62	61	62
Respite Service - Family Member	603	587	577	591	603	596
Transportation - Family Member	115	129	127	129	145	142
<b>Total Number of Voucher Authorizations</b>	<b>871</b>	<b>869</b>	<b>857</b>	<b>872</b>	<b>904</b>	<b>895</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	77	79	79			
Diapers - Family Member	10	9	10			
Nursing Service - Family Member	62	62	60			
Respite Service - Family Member	599	603	612			
Transportation - Family Member	140	144	145			
<b>Total Number of Voucher Authorizations</b>	<b>888</b>	<b>897</b>	<b>906</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2021-22*

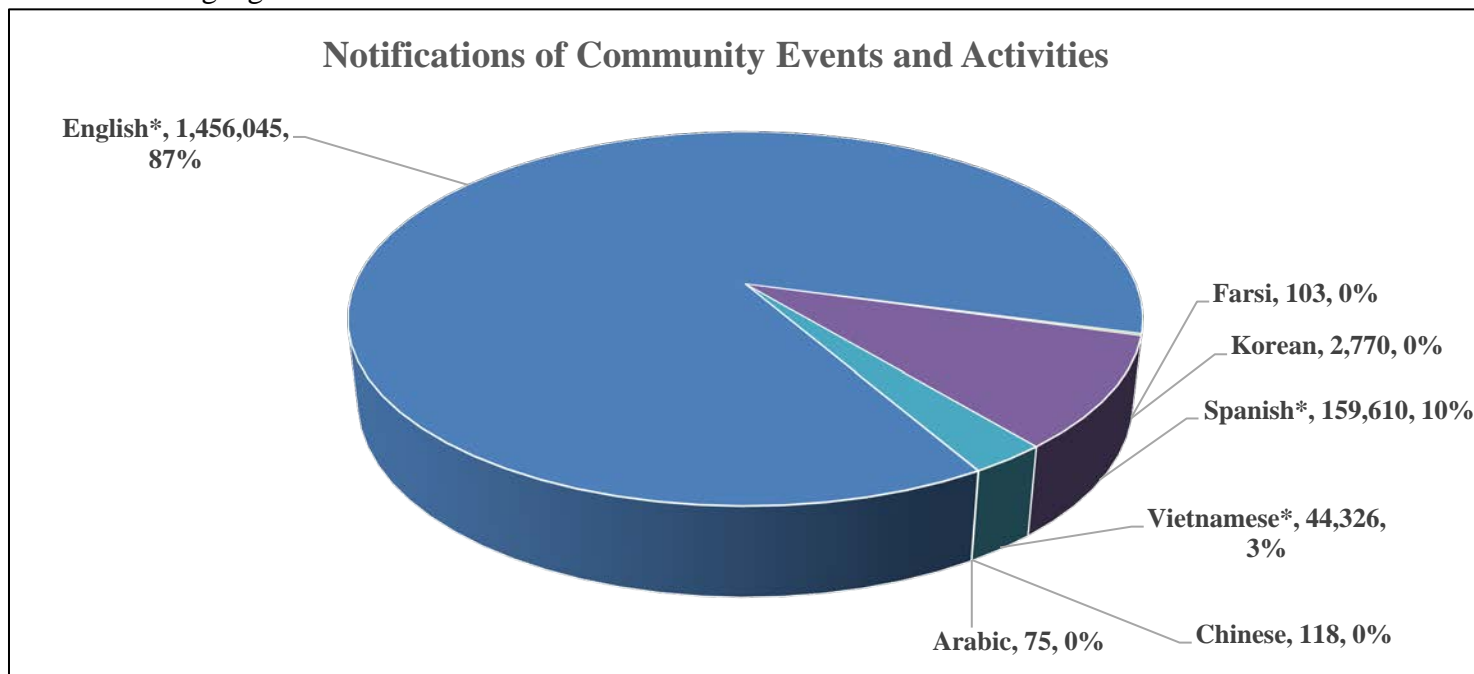
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610	16,667	135,337	153,167
Farsi						
Korean		454			529	522
Spanish*	16,214	26,390	22,843	1,887	8,808	9,632
Vietnamese*	3,368	6,015	4,729	296	3,636	3,611
<b>Total Number of Notifications</b>	<b>201,808</b>	<b>166,035</b>	<b>187,182</b>	<b>18,850</b>	<b>148,310</b>	<b>166,932</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	178,305	279,247	218,310				1,456,045
Farsi	103						103
Korean	1,069		196				2,770
Spanish*	19,783	29,494	24,559				159,610
Vietnamese*	3,692	7,062	11,917				44,326
Chinese	118						118
Arabic	75						75
<b>Total Number of Notifications</b>	<b>203,145</b>	<b>315,803</b>	<b>254,982</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,663,047</b>

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2021-22*

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	
<b>In Person</b>							
English	6	9	10	13	7	5	
Spanish	3			2	1	1	
Vietnamese	1		4	2	1		
Other Languages					1	2	(Korean)
<b>In Print</b>							
English				1	1	1	
Spanish	1	3	3		1		
Vietnamese		3			1		
Other Languages							
<b>TV / Radio</b>							
English		1					
Spanish							
Vietnamese	4	6	4	5	4	4	
Other Languages							
<b>Total Number of Outreach Events</b>	<b>15</b>	<b>22</b>	<b>21</b>	<b>23</b>	<b>17</b>	<b>13</b>	

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English	4	6	13				<b>73</b>
Spanish		2	2				<b>11</b>
Vietnamese	1	5					<b>14</b>
Other Languages							<b>3</b>
<b>In Print</b>							
English	1	1	2				<b>7</b>
Spanish							<b>8</b>
Vietnamese							<b>4</b>
Other Languages							<b>0</b>
<b>TV / Radio</b>							
English (Blog)	1						<b>2</b>
Spanish							<b>0</b>
Vietnamese	5	4	4				<b>40</b>
Other Languages							<b>0</b>
<b>Total Number of Outreach Events</b>	<b>12</b>	<b>18</b>	<b>21</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>111</b>

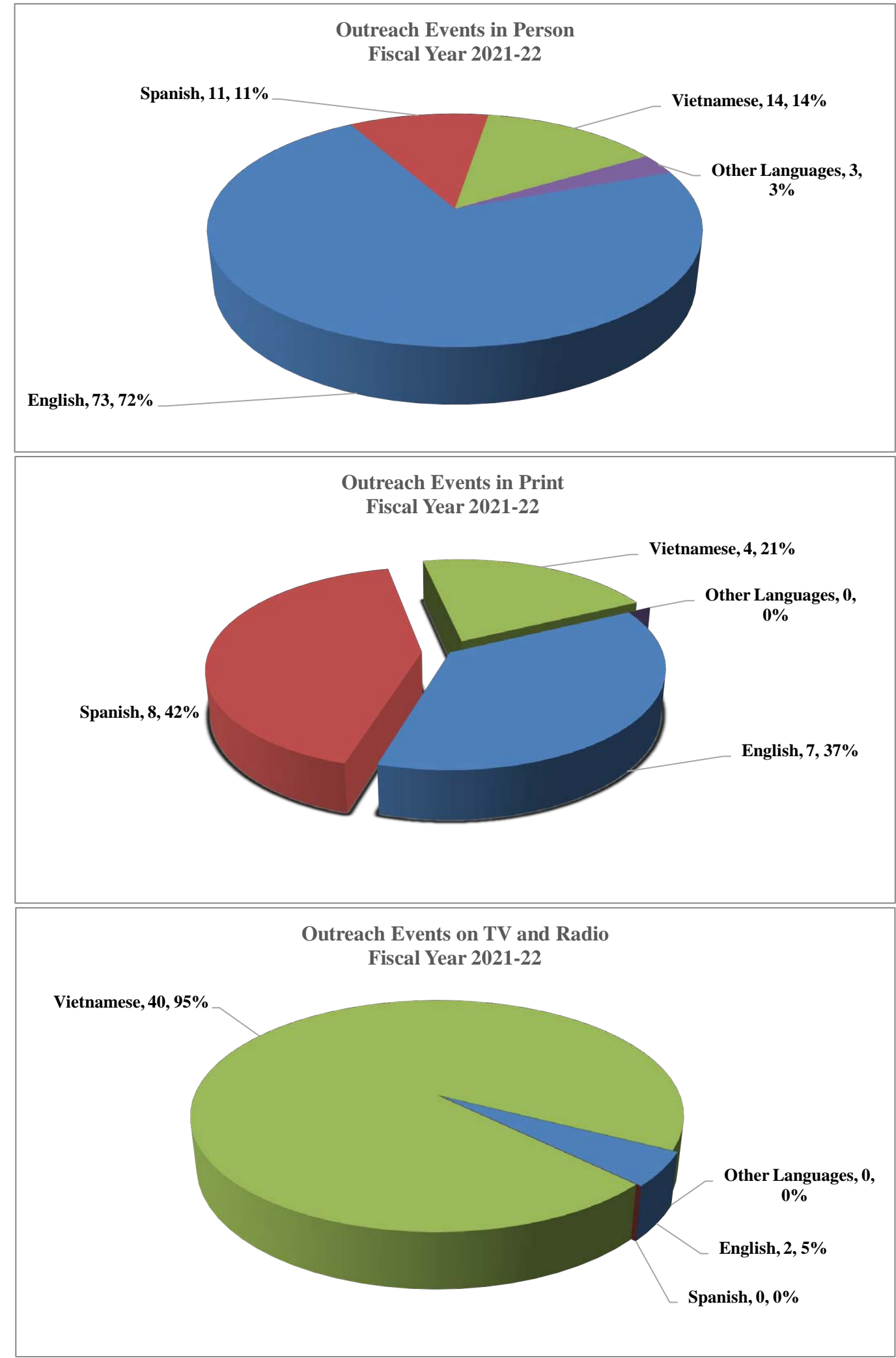
\* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio  
Fiscal Year 2021-22



## EARLY INTERVENTION / PREVENTION

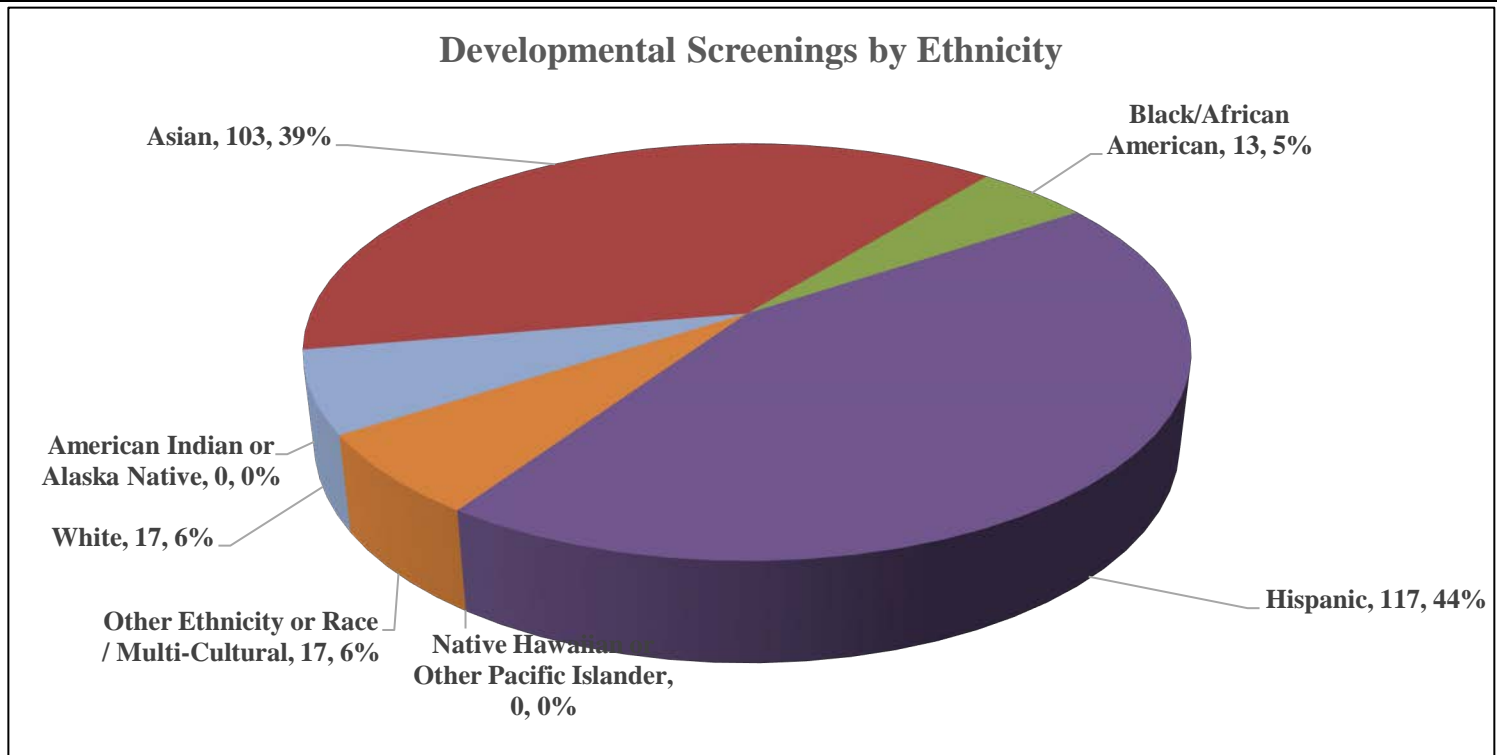
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	13	37	37	2	0
Black/African American	0	2	4	1	0	0
Hispanic	0	10	12	11	22	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	1	9	1	3	0
White	0	9	1	1	2	0
<b>Total Number Screened</b>	<b>0</b>	<b>35</b>	<b>63</b>	<b>51</b>	<b>29</b>	<b>0</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>16</b>	<b>11</b>	<b>7</b>	<b>15</b>	<b>0</b>

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0				0
Asian	0	0	14				103
Black/African American	5	1	0				13
Hispanic	0	27	35				117
Native Hawaiian or Other Pacific Islander	0	0	0				0
Other Ethnicity or Race / Multi-Cultural	1	1	1				17
White	0	3	1				17
<b>Total Number Screened</b>	<b>6</b>	<b>32</b>	<b>51</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>267</b>
<b>Total Number Referred to RCOC</b>	<b>1</b>	<b>9</b>	<b>10</b>				<b>69</b>





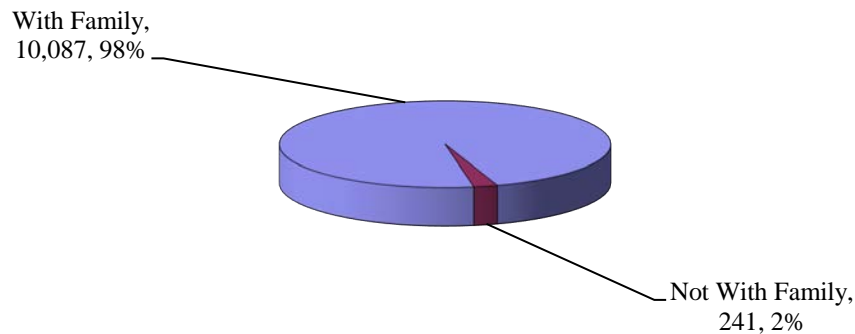
## LIVING OPTIONS

### Related Guiding Principles

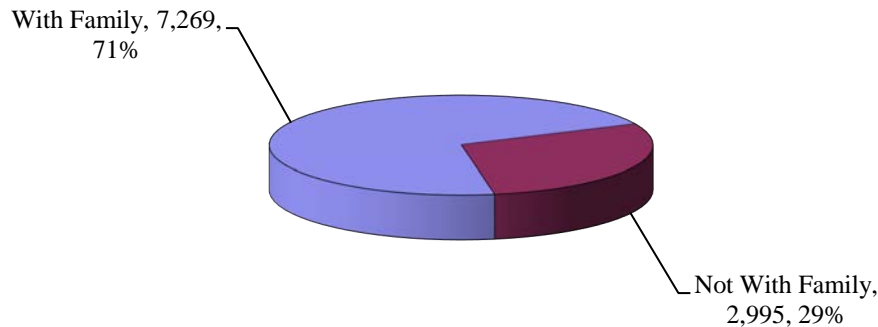
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>

**Where Persons Served Under 18 Live**



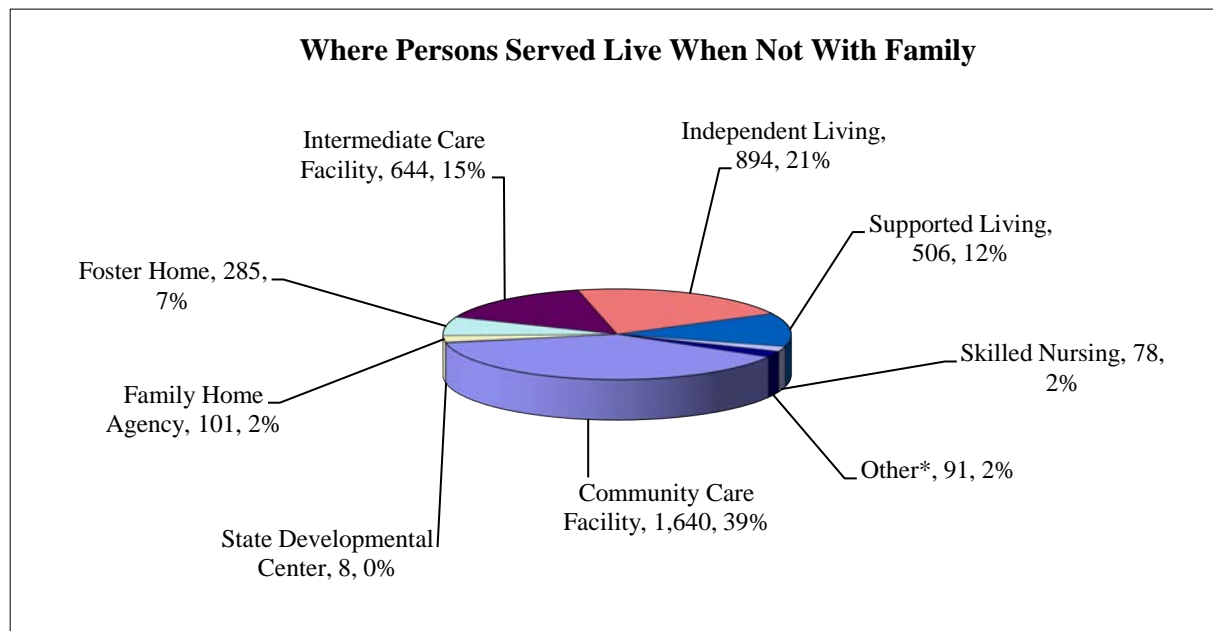
**Where Persons Served Over 18 Live**



### LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,226	10,662	8,564
Community Care Facility	1,640	27	1,613
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	285	275	10
Intermediate Care Facility	644	5	639
Independent Living	894	0	894
Supported Living	506	0	506
Skilled Nursing	78	0	78
Other*	91	24	67
<b>Total</b>	<b>23,473</b>	<b>10,993</b>	<b>12,480</b>

<b>Other*</b>			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	15	1	14
Rehabilitation Center	6	0	6
SDC / State Hospital	0	0	8
Sub-Acute	47	18	29
Transient / Homeless	17	0	17
<b>Total, Other*</b>	<b>96</b>	<b>21</b>	<b>83</b>



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

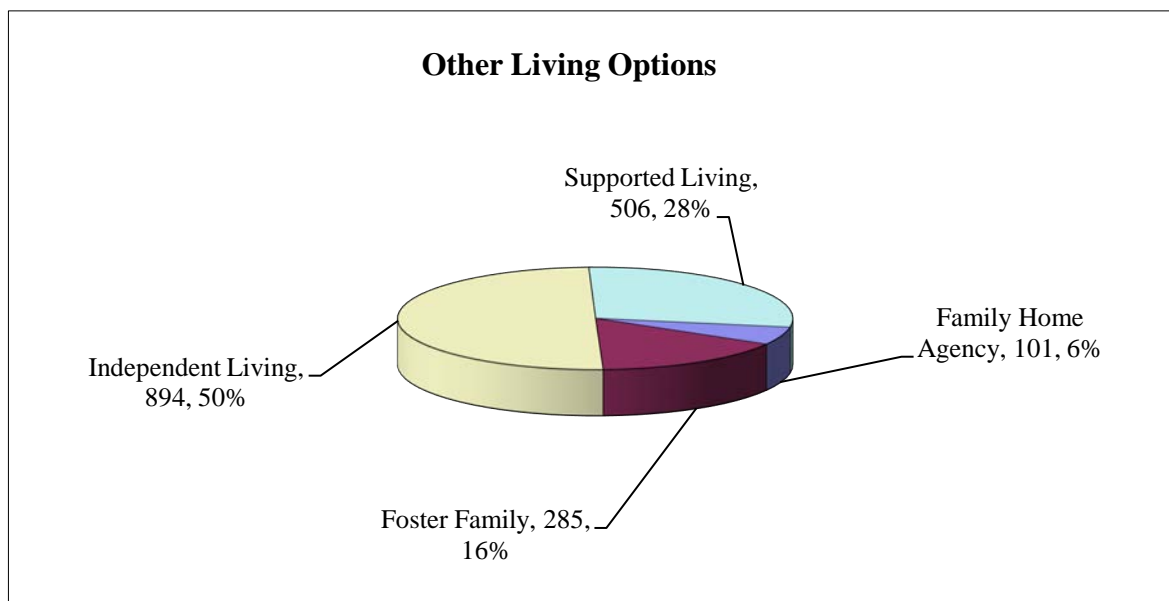
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	101	0	101
Foster Family	285	275	10
Independent Living	894	0	894
Supported Living	506	0	506
<b>Total</b>	<b>1,786</b>	<b>275</b>	<b>1,511</b>



## **LIVING OPTIONS, continued**

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### **Health Licensed Facilities**

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### **Community Care Licensed Facilities**

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

**SERVICE LEVEL 1:** Limited care and supervision for persons with self-care skills and no behavior problems.

**SERVICE LEVEL 2:** Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

**SERVICE LEVEL 3:** Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

**SERVICE LEVEL 4:** Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2021-22*

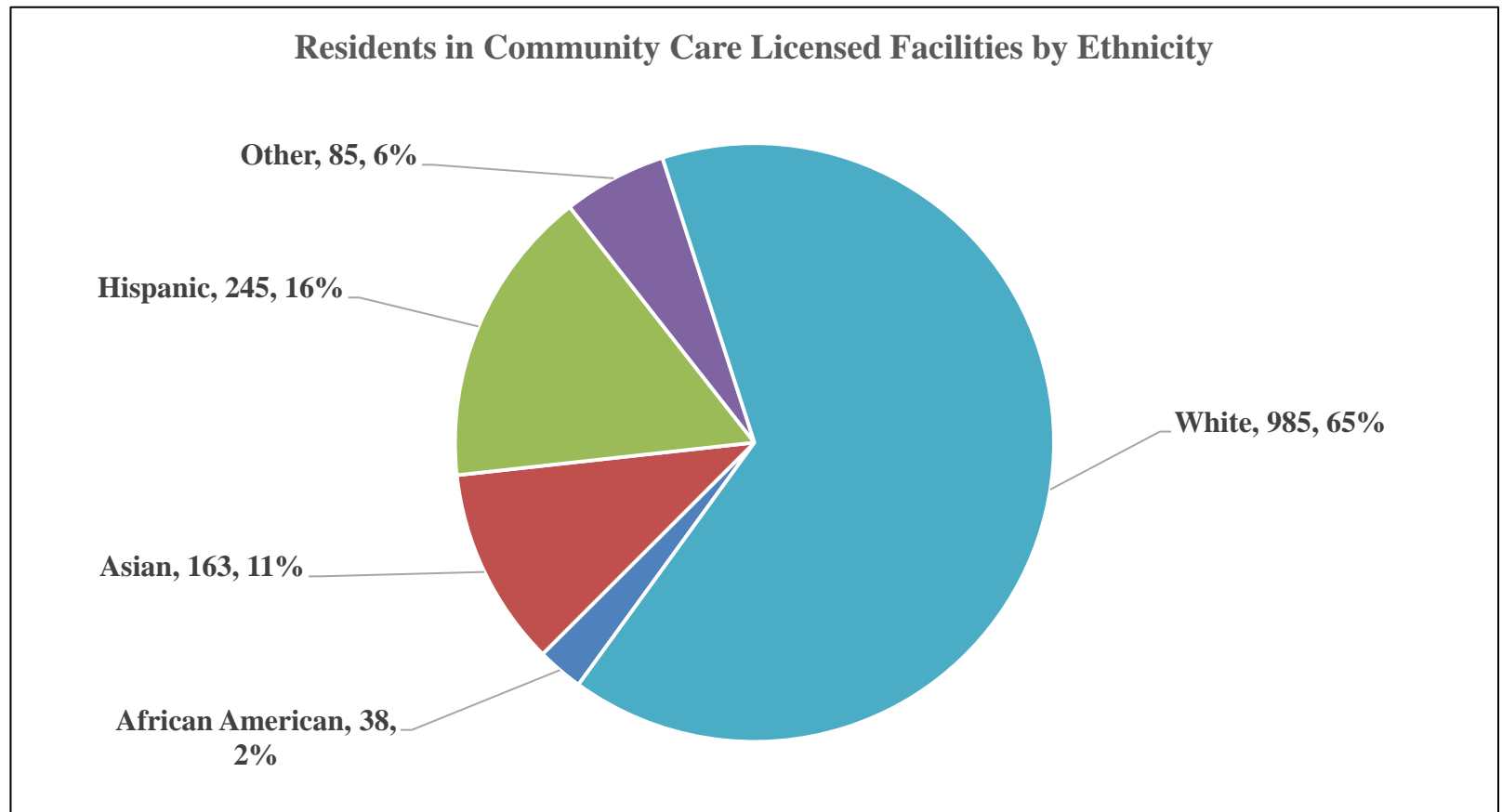
Licensed Facilities	Total	Over 18	Under 18
Level 2	210	210	0
Level 3	278	278	0
Level 4A	33	33	0
Level 4B	5	5	0
Level 4C	51	51	0
Level 4D	35	35	0
Level 4E	22	22	0
Level 4F	60	60	0
Level 4G	31	31	0
Level 4H	4	1	0
Level 4I	322	319	3
Elderly	1	1	0
ICF/DD-H	4	4	0
ICF/DD-N	5	5	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,061</b>	<b>1,055</b>	<b>3</b>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	210	210	0
Level 3	278	278	0
Level 4	563	557	3
ICF/DD-H	4	4	0
ICF/DD-N	5	5	0
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,061</b>	<b>1,055</b>	<b>3</b>

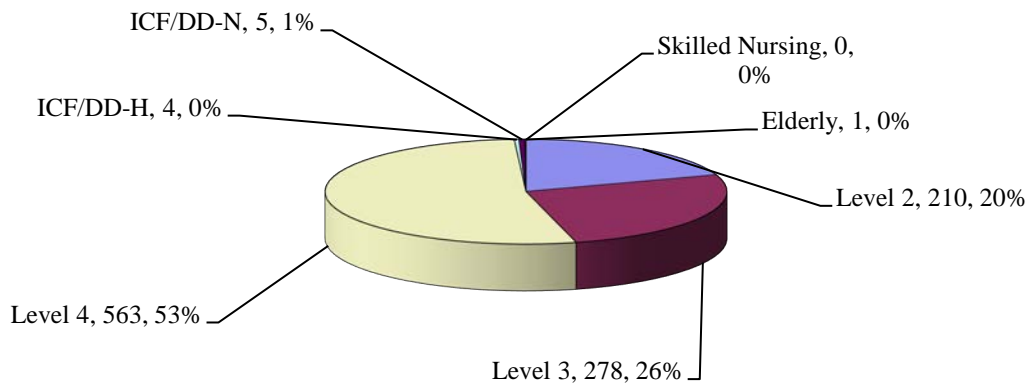
### LIVING OPTIONS, continued

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
***Fiscal Year 2021-22***

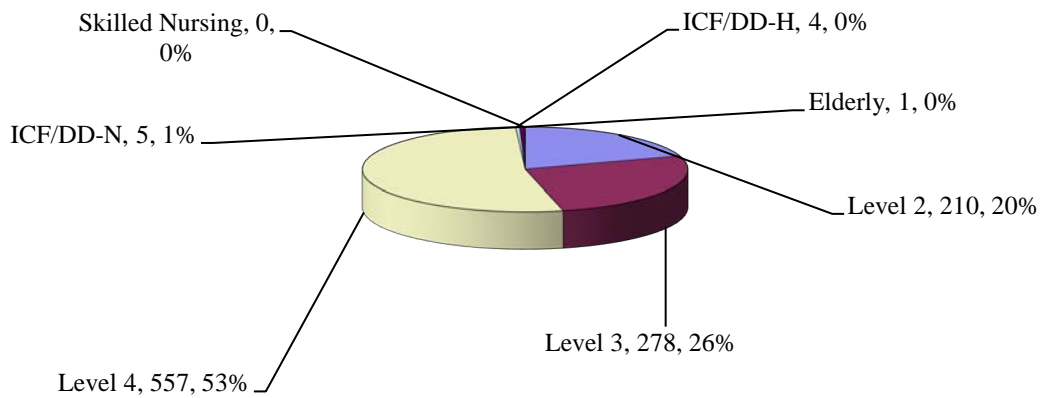
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	8	26	53	11	231	329
Level 3	10	30	61	23	244	368
Level 4A	0	4	6	2	23	35
Level 4B	0	1	0	0	8	9
Level 4C	1	10	11	1	54	77
Level 4D	0	8	6	2	28	44
Level 4E	0	4	9	3	22	38
Level 4F	3	14	9	2	40	68
Level 4G	0	7	5	2	32	46
Level 4H	0	0	2	0	1	3
Level 4I	16	59	83	39	302	499
<b>Total</b>	<b>38</b>	<b>163</b>	<b>245</b>	<b>85</b>	<b>985</b>	<b>1,516</b>



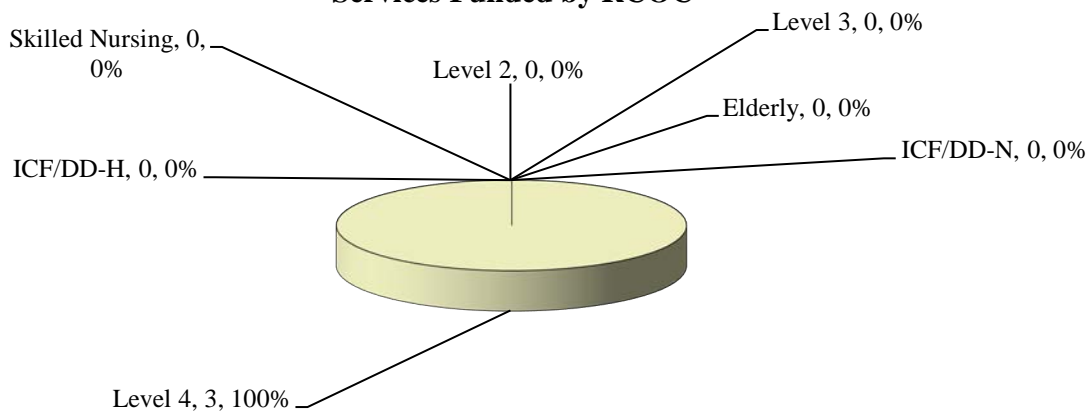
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

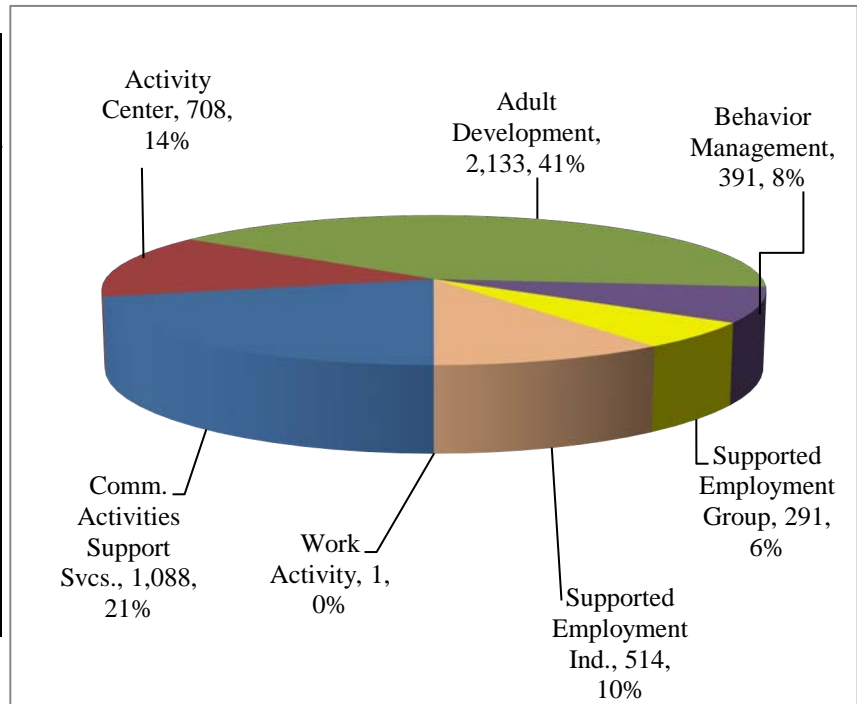


## WORK

### Related Guiding Principle

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,088
Activity Center	708
Adult Development	2,133
Behavior Management	391
Supported Employment Group	291
Supported Employment Ind.	514
Work Activity	1
<b>Total</b>	<b>5,126</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges

**work Activity Program** includes, but is not limited to, work activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20



## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

**Fiscal Year 2021-22**

<b>Service Coordination:</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Number of Service Coordinators (SC)	306.5	302.5	299.5	310.4	320.6	313.0
Number of Case-Carrying SCs	280.8	275.8	273.9	284.7	295.0	287.3
Number of Intake SCs	25.7	25.7	25.7	25.7	25.7	25.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,943	23,016	23,145	23,251	23,318	23,448
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5	81.7	79.1	81.6

<b>Service Coordination:</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>
Number of Service Coordinators (SC)	304.0	316.0	323.3			
Number of Case-Carrying SCs	278.4	290.4	297.7			
Number of Intake SCs	25.7	25.7	25.7			
Number of State Developmental Center SCs	0.0	0.0	0.0			
Number of Active Persons Served	23,439	23,462	23,564			
Caseload Ratio, # of Active Persons Served/SCs	84.2	80.8	79.2			

# SERVICE PLANNING AND COORDINATION continued

## Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>18</b>	<b>9</b>	<b>6</b>	<b>14</b>	<b>10</b>	<b>13</b>	<b>13</b>	<b>7</b>	<b>12</b>			
Eligibility - Lanterman	2	1	1	0	1	3	2	1	2			
Behavioral services	5	2	2	2	1	1	1	0	1			
Respite	2	2	2	5	4	2	2	1	2			
Day Care	0	0	0	0	0	0	0	0	0			
ILS/SLS	0	0	0	0	0	0	0	0	0			
Personal Assistance	2	1	1	3	3	2	2	1	3			
Other**	7	3	0	4	1	5	6	4	4			

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>6</b>	<b>6</b>	<b>2</b>	<b>8</b>			
Eligibility - Lanterman	1	0	0	0	1	3	1	1	1			
Eligibility - Early Start	0	0	0	0	0	0	0	0	0			
Behavioral services	0	0	0	0	0	0	0	0	1			
Respite	1	1	0	1	0	0	1	0	2			
Day Care	0	0	0	0	0	0	0	0	0			
Social/Recreational	0	0	0	0	0	0	0	0	0			
Personal Assistance	0	0	0	1	0	0	1	0	3			
Other**	1	1	0	0	0	3	3	1	1			

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>8</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>5</b>	<b>3</b>	<b>6</b>	<b>3</b>	<b>5</b>			
Number of Informal Meetings Held	4	3	2	3	3	2	4	0	4			
Number of Mediations Held	1	0	0	0	2	0	0	2	1			
Number of SLFHs Held	3	0	0	1	0	1	2	1	0			

<b>Number of Requests in Scheduling*</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>7</b>	<b>1</b>	<b>9</b>	<b>6</b>	<b>3</b>	<b>2</b>			
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\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>			
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\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>10</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>4</b>			
Withdrawn by Person Served/Family	5	2	0	0	0	0	0	0	0			
Settled in Informal	1	1	0	2	2	1	0	0	0			
Settled after further follow-up by RCOC	0	0	0	0	0	0	0	0	4			
Settled in Mediation	1	0	0	0	1	0	0	0	0			
SLFH Decision	3	0	0	0	1	0	0	1	0			

## State Level Fair Hearing Decisions

<b>Prevailing Party</b>												
Person Served/Family	0	0	0	0	0	0	0	0	0			
RCOC	3	0	0	0	1	0	0	1	0			
Split	0	0	0	0	0	0	0	0	0			

## ADMINISTRATION AND GOVERNANCE

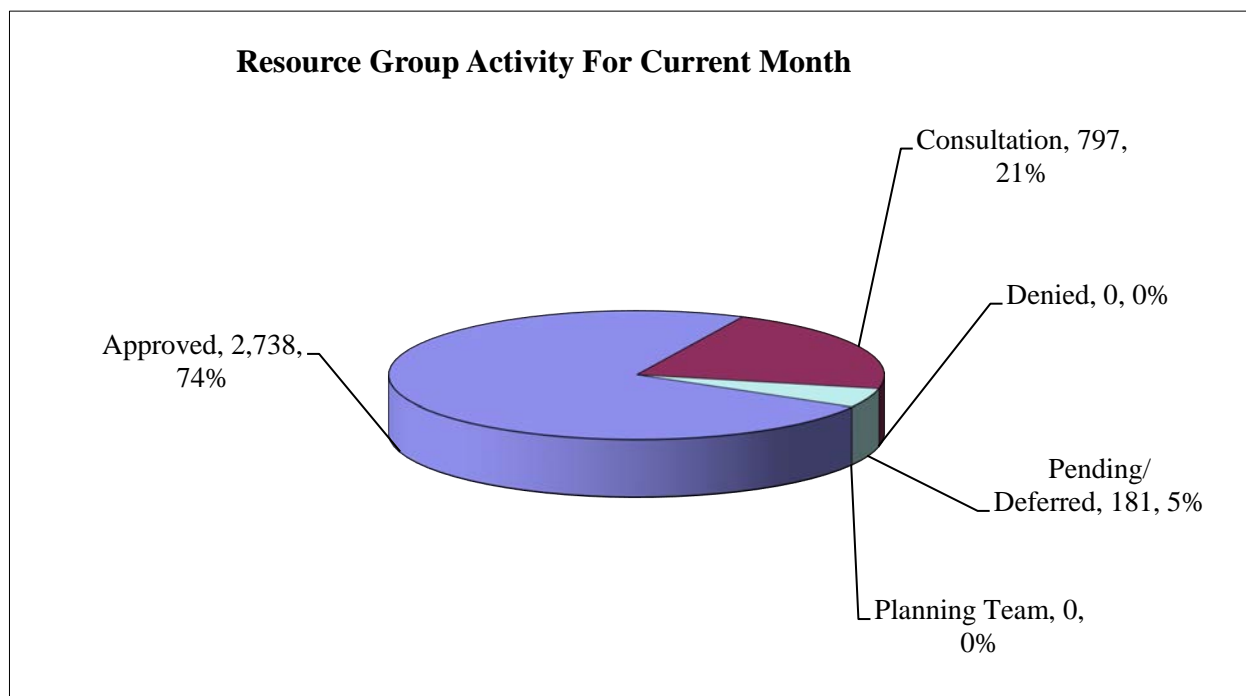
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for March 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	751	201	0	40	0	992
Behavioral	87	81	0	7	0	175
Education	0	0	0	0	0	0
Eligibility/Health	64	0	0	2	0	66
Early Start	589	132	0	53	0	866
Living Options	172	161	0	0	0	333
Supported/Ind.	331	88	0	42	0	461
All Others	744	134	0	37	0	915
<b>Monthly Total</b>	<b>2,738</b>	<b>797</b>	<b>0</b>	<b>181</b>	<b>0</b>	<b>3,808</b>

<b>FY 2020-21 Total to Date</b>	24,367	8,351	0	1,544	0	<b>34,262</b>
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## Operations Report Summary - March 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,309	8,469	8,806	8	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
---------------------------------------------------------------------	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,226	10,662	8,564
Community Care Facility	1,640	27	1,613
State Developmental Center	8	0	8
Family Home Agency	101	0	101
Foster Home	285	275	10
Intermediate Care Facility	644	5	639
Independent Living	894	0	894
Supported Living	506	0	506
Skilled Nursing	78	0	78
Other	91	24	67
<b>Total</b>	<b>23,473</b>	<b>10,993</b>	<b>12,480</b>

Special Incident Investigations	Year to Date
AWOL	44
Abuse	113
Neglect	124
Injury	206
Hospitalizations - Total	331
Death	133
Victim of crime	15
Arrest	4
Rights	188
<b>Total</b>	<b>1,158</b>

### Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	79	0	79
Level 3	79	0	79
Level 4	179	12	173
<b>Total Community Care Facilities</b>	<b>337</b>	<b>12</b>	<b>331</b>

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
<b>Total ICF Facilities</b>	<b>123</b>

<b>Total Licensed Facilities</b>	<b>460</b>
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Licensed Facility Monitoring	Year to Date
Annual Review	332
Unannounced	383
Total Number of Reviews	715
Provider Trainings	0
Technical Support	1,235
Corrective Action Plans	35

<b>Number of Audits</b>	<b>2</b>
<b>Amount of Recovery from Audits</b>	<b>\$0</b>

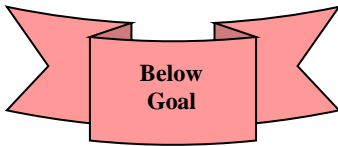
## Performance Contract Summary

RCOC as of 3/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,464	9	0	0.03%	-9
Children in Foster Homes (FH)	10,949	292	273	2.67%	19
Children Own Home Parent/Guardian	10,949	10,604	10,850	96.85%	-246
Total # Children (FH,Parent/Guardian)	10,949	10,896	11,123	99.52%	-227
Adult FHA	12,506	100	112	0.80%	-12
Independent Living (IL)	12,506	901	902	7.20%	-1
Adults Residing Own Home - Parent	12,506	8,596	8,575	68.74%	21
Supported Living (SL)	12,506	500	509	4.00%	-9
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,506	10,097	10,098	80.74%	-1
Children Residing in a CCF (7+ beds)	10,949	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,949	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,949	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,949	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,506	123	115	0.98%	-8
Adults Residing in a ICF (7+ beds)	12,506	12	6	0.10%	-6
Adults Residing in a Nursing Facility (7+ beds)	12,506	78	75	0.62%	-3
Total Adults Residing in 7+ bed facilities	12,506	213	196	1.70%	-17
Total Individuals Over Age 3 with <=120 days	216	215	100%	98.73%	98.73%
Total Individuals Over Age 3 with 121-240 days	216	1	0%	0.46%	0.46%
Total Individuals Over Age 3 Over 240 days	216	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,506	57%	65%		
Total Number of Incentive Payments Made	12,506	336			
Avg. Wage per Hour After Incentive Payment	12,506	\$13.06			
Number of Persons Served with Earned Income	12,506	2,335			
Percentage of 16-64 Earned Income	12,506	20%			
Annual Earnings of 16-64	12,506	\$9,578			
Number of Adults in CIE After Paid Intern	12,506	11			
Percentage Adults Transitioned Internship to CIE	12,506	14%			
Total Annual Expenditures Race/Ethnicity	23,464				

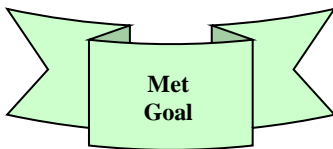
## Performance Contract 2022 Cover Sheet



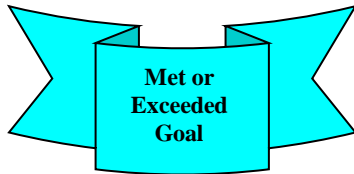
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



## Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	98.7
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

## Performance Contract 2022

### I. Developmental Center

#### A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 3/01/22	0.04%	23,464	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population	3.14%		

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2019-2020 and 2020-2021.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

**Below Goal**



## Performance Contract 2022

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

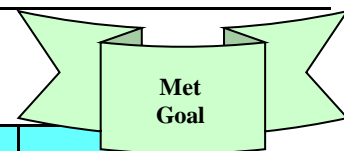
**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	Goal	%	# Attained
RCOC 3/01/22	2.67%	10,949	292	273	2.67%	19
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.80%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22		273			
Apr-22		273			
May-22		273			
Jun-22		273			
Jul-22		273			
Aug-22		273			
Sep-22		273			
Oct-22		273			
Nov-22		273			
Dec-22		273			

**Progress:** B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 3/01/22	96.85%	10,949	10,604	10,850	96.85%	-246
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.75%

	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained	Below Goal
Jan-22	10,930	10,850	10,591	96.90%	-259	
Feb-22	10,949	10,850	10,604	96.85%	-246	
Mar-22		10,850				
Apr-22		10,850				
May-22		10,850				
Jun-22		10,850				
Jul-22		10,850				
Aug-22		10,850				
Sep-22		10,850				
Oct-22		10,850				
Nov-22		10,850				
Dec-22		10,850				

**Progress:** C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423	Goal	%	# Attained
RCOC 3/01/22	99.52%	10,949	10,896	11,123	99.52%	-227
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.72%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22		11,123			
Apr-22		11,123			
May-22		11,123			
Jun-22		11,123			
Jul-22		11,123			
Aug-22		11,123			
Sep-22		11,123			
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			

Below Goal

## Performance Contract 2022

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	Goal	%	# Attained
RCOC 3/01/22	0.80%	12,506	100	112	0.80%	-12
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.46%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22		112			
Apr-22		112			
May-22		112			
Jun-22		112			
Jul-22		112			
Aug-22		112			
Sep-22		112			
Oct-22		112			
Nov-22		112			
Dec-22		112			

**Progress:** B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	Goal	%	# Attained
RCOC 3/01/22	7.20%	12,506	901	902	7.20%	-1
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.01%

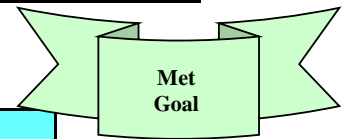
**Below  
Goal**

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22		902			
Apr-22		902			
May-22		902			
Jun-22		902			
Jul-22		902			
Aug-22		902			
Sep-22		902			
Oct-22		902			
Nov-22		902			
Dec-22		902			

**Progress:** C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 3/01/22	68.74%	12,506	8,596	8,575	68.74%	21
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22		8,575			
Apr-22		8,575			
May-22		8,575			
Jun-22		8,575			
Jul-22		8,575			
Aug-22		8,575			
Sep-22		8,575			
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			



**Progress:** D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499	Goal	%	# Attained
RCOC 3/01/22	4.00%	12,506	500	509	4.00%	-9
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.34%

**Below  
Goal**

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22		509			
Apr-22		509			
May-22		509			
Jun-22		509			
Jul-22		509			
Aug-22		509			
Sep-22		509			
Oct-22		509			
Nov-22		509			
Dec-22		509			

**Progress:** E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795	Goal	%	# Attained
RCOC 3/01/22	80.74%	12,506	10,097	10,098	80.74%	-1
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.61%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22		10,098			
Apr-22		10,098			
May-22		10,098			
Jun-22		10,098			
Jul-22		10,098			
Aug-22		10,098			
Sep-22		10,098			
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			





## Performance Contract 2022

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 3/01/22	0.00%	10,949	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.55%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

**Progress:** B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 3/01/22	0.01%	10,949	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Below Goal

**Progress:** C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0	Goal	%	# Attained
RCOC 3/01/22	0.00%	10,949	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met  
Goal

**Progress:** D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 3/01/22	0.01%	10,949	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.39%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

**Below Goal**

## Performance Contract 2022

### V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 3/01/22	0.98%	12,506	123	115	0.98%	-8
Analysis as of Public Hearing RCOC % of DD population 6.76% RCOC % of FH population 8.13%						

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22		115			
Apr-22		115			
May-22		115			
Jun-22		115			
Jul-22		115			
Aug-22		115			
Sep-22		115			
Oct-22		115			
Nov-22		115			
Dec-22		115			

**Below Goal**

**Progress:** B. During public meetings, RCOC had 10, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10	Goal	%	# Attained
RCOC 3/01/22	0.10%	12,506	12	6	0.10%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,504	6	12	0.10%	-6
Mar-22		6			
Apr-22		6			
May-22		6			
Jun-22		6			
Jul-22		6			
Aug-22		6			
Sep-22		6			
Oct-22		6			
Nov-22		6			
Dec-22		6			

**Below Goal**

**Progress:** C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77	Goal	%	# Attained
RCOC 3/01/22	0.62%	12,506	78	75	0.62%	-3
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		7.82%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22		75			
Apr-22		75			
May-22		75			
Jun-22		75			
Jul-22		75			
Aug-22		75			
Sep-22		75			
Oct-22		75			
Nov-22		75			
Dec-22		75			

**Below Goal**

**Progress:** D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 3/01/22	1.70%	12,506	213	196	1.70%	-17
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.35%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22		196			
Apr-22		196			
May-22		196			
Jun-22		196			
Jul-22		196			
Aug-22		196			
Sep-22		196			
Oct-22		196			
Nov-22		196			
Dec-22		196			

**Below Goal**

## Performance Contract 2022

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

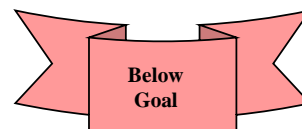
**Progress:** A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227	Goal	% Attained
RCOC 3/01/22	100.00%	216	215	100%	99.54%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22		100%		
Apr-22		100%		
May-22		100%		
Jun-22		100%		
Jul-22		100%		
Aug-22		100%		
Sep-22		100%		
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 3/01/22	0.46%	216	1	0%	0.46%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22		0.00%		
Apr-22		0.00%		
May-22		0.00%		
Jun-22		0.00%		
Jul-22		0.00%		
Aug-22		0.00%		
Sep-22		0.00%		
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		



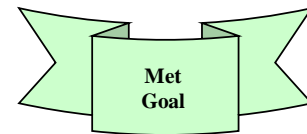
**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	%
RCOC 3/01/22	0.00%	216	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22	201	0%	0	0%
Feb-22	216	0%	0	0%
Mar-22		0%		
Apr-22		0%		
May-22		0%		
Jun-22		0%		
Jul-22		0%		
Aug-22		0%		
Sep-22		0%		
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		





## Performance Contract 2022

### VII. National Core Indicators (NCI) Employment

#### Planned Activities

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%





**Progress: B.** RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 months, and 12 month, incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

\*NOTE: Incentive payments started in FY 2016-17, partial year data

**Progress: C.** RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43

## Performance Contract 2022

### VIII. Employment Development Department (EDD) Employment

#### Planned Activities

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341

**Progress: B.** Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

**B. Percentage of people ages 16-64 reporting earned income.**

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

**Progress: C.** Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

**C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.**

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327

## Performance Contract 2022

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

**Progress:** A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
<b>Totals</b>	<b>5,311 ↓</b>	<b>100.0%</b>	<b>\$40,687,467 ↓</b>	

#### Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
<b>Totals</b>	<b>10,047 ↑</b>	<b>100.0%</b>	<b>\$89,219,156 ↑</b>	

#### Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
<b>Totals</b>	<b>9,805 ↑</b>	<b>100.0%</b>	<b>\$416,789,360 ↑</b>	



**Progress:** B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
<b>Totals</b>	<b>5,311 ↓</b>	<b>202 ↓</b>	

**Age 3 Years to 21 Years**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
<b>Totals</b>	<b>10,047 ↑</b>	<b>3,594 ↑</b>	<b>35.8% ↑</b>

**Age 22 Years and Older**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
<b>Totals</b>	<b>9,805 ↑</b>	<b>1,665 ↑</b>	<b>17% ↑</b>



**Progress:** C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓



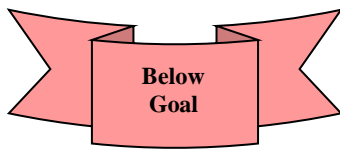
## Performance Contract Summary

RCOC as of 4/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,560	9	0	0.03%	-9
Children in Foster Homes (FH)	10,994	291	273	2.65%	18
Children Own Home Parent/Guardian	10,994	10,655	10,850	96.92%	-195
Total # Children (FH,Parent/Guardian)	10,994	10,946	11,123	99.56%	-177
Adult FHA	12,557	100	112	0.80%	-12
Independent Living (IL)	12,557	907	902	7.22%	5
Adults Residing Own Home - Parent	12,557	8,641	8,575	68.81%	66
Supported Living (SL)	12,557	500	509	3.98%	-9
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,557	10,148	10,098	80.82%	50
Children Residing in a CCF (7+ beds)	10,994	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,994	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,994	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,994	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,557	123	115	0.98%	-8
Adults Residing in a ICF (7+ beds)	12,557	13	6	0.10%	-7
Adults Residing in a Nursing Facility (7+ beds)	12,557	80	75	0.64%	-5
Total Adults Residing in 7+ bed facilities	12,557	216	196	1.72%	-20
Total Individuals Over Age 3 with <=120 days	198	197	100%	98.73%	98.73%
Total Individuals Over Age 3 with 121-240 days	198	1	0%	0.51%	0.46%
Total Individuals Over Age 3 Over 240 days	198	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,557	57%	65%		
Total Number of Incentive Payments Made	12,557	336			
Avg. Wage per Hour After Incentive Payment	12,557	\$13.06			
Number of Persons Served with Earned Income	12,557	2,335			
Percentage of 16-64 Earned Income	12,557	20%			
Annual Earnings of 16-64	12,557	\$9,578			
Number of Adults in CIE After Paid Intern	12,557	11			
Percentage Adults Transitioned Internship to CIE	12,557	14%			
Total Annual Expenditures Race/Ethnicity	23,560				

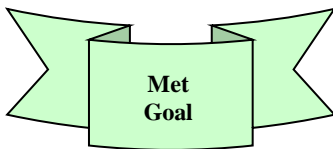
## Performance Contract 2022 Cover Sheet



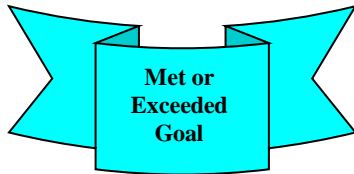
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



## Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	98.52
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

## Performance Contract 2022

### I. Developmental Center

#### A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 4/01/22	0.04%	23,560	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population	3.14%		

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2019-2020 and 2020-2021.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

**Below Goal**

## Performance Contract 2022

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	Goal	%	# Attained
RCOC 4/01/22	2.65%	10,994	291	273	2.65%	18
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.80%

Met Goal

	Total Children Status 1&2	Goal	Children in Foster Homes	%	
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22		273			
May-22		273			
Jun-22		273			
Jul-22		273			
Aug-22		273			
Sep-22		273			
Oct-22		273			
Nov-22		273			
Dec-22		273			

**Progress:** B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 4/01/22	96.92%	10,994	10,655	10,850	96.92%	-195
Analysis as of Public Hearing	RCOC % of DD population 5.73%		RCOC % of FH population 5.75%			

	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained	Below Goal
Jan-22	10,930	10,850	10,591	96.90%	-259	
Feb-22	10,949	10,850	10,604	96.85%	-246	
Mar-22	10,994	10,850	10,655	96.92%	-195	
Apr-22		10,850				
May-22		10,850				
Jun-22		10,850				
Jul-22		10,850				
Aug-22		10,850				
Sep-22		10,850				
Oct-22		10,850				
Nov-22		10,850				
Dec-22		10,850				

**Progress:** C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423	Goal	%	# Attained
RCOC 4/01/22	99.56%	10,994	10,946	11,123	99.56%	-177
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		5.72%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22		11,123			
May-22		11,123			
Jun-22		11,123			
Jul-22		11,123			
Aug-22		11,123			
Sep-22		11,123			
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			

**Below Goal**



## Performance Contract 2022

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

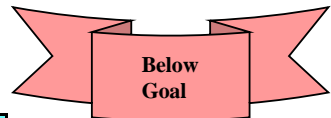
**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	Goal	%	# Attained
RCOC 4/01/22	0.80%	12,557	100	112	0.80%	-12
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.46%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22		112			
May-22		112			
Jun-22		112			
Jul-22		112			
Aug-22		112			
Sep-22		112			
Oct-22		112			
Nov-22		112			
Dec-22		112			

**Progress:** B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	Goal	%	# Attained
RCOC 4/01/22	7.22%	12,557	907	902	7.22%	5
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.01%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22		902			
May-22		902			
Jun-22		902			
Jul-22		902			
Aug-22		902			
Sep-22		902			
Oct-22		902			
Nov-22		902			
Dec-22		902			



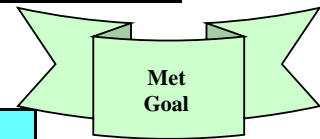
**Progress:** C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 4/01/22	68.81%	12,557	8,641	8,575	68.81%	66
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.94%



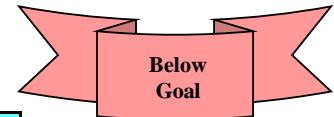
	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22		8,575			
May-22		8,575			
Jun-22		8,575			
Jul-22		8,575			
Aug-22		8,575			
Sep-22		8,575			
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			



**Progress:** D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499	Goal	%	# Attained
RCOC 4/01/22	3.98%	12,557	500	509	3.98%	-9
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		5.34%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22		509			
May-22		509			
Jun-22		509			
Jul-22		509			
Aug-22		509			
Sep-22		509			
Oct-22		509			
Nov-22		509			
Dec-22		509			

**Progress:** E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795	Goal	%	# Attained
RCOC 4/01/22	80.82%	12,557	10,148	10,098	80.82%	50
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.61%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22		10,098			
May-22		10,098			
Jun-22		10,098			
Jul-22		10,098			
Aug-22		10,098			
Sep-22		10,098			
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			





## Performance Contract 2022

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 4/01/22	0.00%	10,994	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		4.55%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal



**Progress:** B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1	Goal	%	# Attained
RCOC 4/01/22	0.01%	10,994	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Below Goal

**Progress:** C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0	Goal	%	# Attained
RCOC 4/01/22	0.00%	10,994	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

**Progress:** D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 4/01/22	0.01%	10,994	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population		5.73%	RCOC % of FH population		3.39%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

**Below Goal**

## Performance Contract 2022

### V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 4/01/22	0.98%	12,557	123	115	0.98%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		8.13%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22		115			
May-22		115			
Jun-22		115			
Jul-22		115			
Aug-22		115			
Sep-22		115			
Oct-22		115			
Nov-22		115			
Dec-22		115			

**Below Goal**

**Progress:** B. During public meetings, RCOC had 10, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)			
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10	Goal	%	# Attained
RCOC 4/01/22	0.10%	12,557	13	6	0.10%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,504	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22		6			
May-22		6			
Jun-22		6			
Jul-22		6			
Aug-22		6			
Sep-22		6			
Oct-22		6			
Nov-22		6			
Dec-22		6			

**Below Goal**

**Progress:** C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77	Goal	%	# Attained
RCOC 4/01/22	0.64%	12,557	80	75	0.64%	-5
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		7.82%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22		75			
May-22		75			
Jun-22		75			
Jul-22		75			
Aug-22		75			
Sep-22		75			
Oct-22		75			
Nov-22		75			
Dec-22		75			

**Below Goal**

**Progress:** D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 4/01/22	1.72%	12,557	216	196	1.72%	-20
Analysis as of Public Hearing	RCOC % of DD population		6.76%	RCOC % of FH population		6.35%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	172.00%	-20
Apr-22		196			
May-22		196			
Jun-22		196			
Jul-22		196			
Aug-22		196			
Sep-22		196			
Oct-22		196			
Nov-22		196			
Dec-22		196			

Below  
Goal



## Performance Contract 2022

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

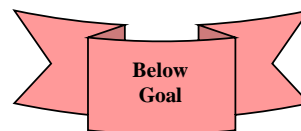
**Progress:** A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227	Goal	% Attained
RCOC 4/01/22	99.49%	198	197	100%	99.49%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22		100%		
May-22		100%		
Jun-22		100%		
Jul-22		100%		
Aug-22		100%		
Sep-22		100%		
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		



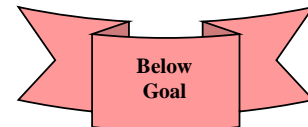
**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 4/01/22	0.51%	198	1	0%	0.51%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22		0.00%		
May-22		0.00%		
Jun-22		0.00%		
Jul-22		0.00%		
Aug-22		0.00%		
Sep-22		0.00%		
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		



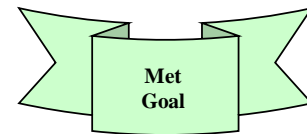
**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	%
RCOC 4/01/22	0.00%	198	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22	201	0%	0	0%
Feb-22	216	0%	0	0%
Mar-22	198	0%	0	0%
Apr-22		0%		
May-22		0%		
Jun-22		0%		
Jul-22		0%		
Aug-22		0%		
Sep-22		0%		
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		



## Performance Contract 2022

### VII. National Core Indicators (NCI) Employment

#### Planned Activities

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%



**Progress: B.** RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 months, and 12 month, incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

\*NOTE: Incentive payments started in FY 2016-17, partial year data

**Progress: C.** RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43

## Performance Contract 2022

### VIII. Employment Development Department (EDD) Employment

#### Planned Activities

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341

**Progress: B.** Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

**B. Percentage of people ages 16-64 reporting earned income.**

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

**Progress: C.** Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

**C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.**

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



## Performance Contract 2022

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

**Progress:** A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
<b>Totals</b>	<b>5,311 ↓</b>	<b>100.0%</b>	<b>\$40,687,467 ↓</b>	

#### Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
<b>Totals</b>	<b>10,047 ↑</b>	<b>100.0%</b>	<b>\$89,219,156 ↑</b>	

#### Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
<b>Totals</b>	<b>9,805 ↑</b>	<b>100.0%</b>	<b>\$416,789,360 ↑</b>	



**Progress:** B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
<b>Totals</b>	<b>5,311 ↓</b>	<b>202 ↓</b>	

**Age 3 Years to 21 Years**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
<b>Totals</b>	<b>10,047 ↑</b>	<b>3,594 ↑</b>	<b>35.8% ↑</b>

**Age 22 Years and Older**

<b>Ethnicity</b>	<b>Total Eligible</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
<b>Totals</b>	<b>9,805 ↑</b>	<b>1,665 ↑</b>	<b>17% ↑</b>



**Progress:** C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

**Regional Center of Orange County  
Board Recruitment and Training Committee  
March 14, 2022  
Videoconference Minutes**

**Committee Members Present:** John “Chip” Wright, Chair  
Sylvia Delgado  
Frances Hernandez  
Sandy Martin  
Hilda Mendez  
Chinh Nguyen  
Fernando Peña

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Keli Radford, Services and Supports Director  
Stacy Wong, Human Resources Director

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

**I. Board Recruitment**

**A. Review Board Members’ Terms of Office and Upcoming Turnover**

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

**B. Discuss Recruitment Needs**

The Committee discussed recruitment efforts and the need to recruit new members to ensure continuing compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts

**1. Board Applicant Interview**

The Committee confirmed an in-person interview for a Board applicant on March 21, 2022.

**2. Review of Board Application**

The Committee decided to table the review of a new Board member application until its meeting in April 2022.

***Board Recruitment and Training Committee Minutes***  
***March 14, 2022***

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics**

The Committee reviewed a draft of the outline for the upcoming Board training on *Cultural and Linguistic Competency Training* on April 7, 2022.

**III. Community Forum**

No community members were present at the meeting.

**IV. Other**

Mr. Landauer reported that RCOC will virtually host its annual meeting regarding Purchase of Service Expenditure Data at 6:30 p.m. on March 16, 2022.

Mr. Wright adjourned the meeting at 5:36 p.m.

*Recorder: Sandra Lomelí*

**Regional Center of Orange County  
Board Recruitment and Training Committee  
April 11, 2022  
Videoconference Minutes**

**Committee Members Present:** John “Chip” Wright, Chair  
Sylvia Delgado  
Hilda Mendez  
Chinh Nguyen  
Fernando Peña

**Committee Members Absent:** Frances Hernandez  
Sandy Martin

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technologies Director  
Keli Radford, Services and Supports Director  
Stacy Wong, Human Resources Director

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

**I. Board Recruitment**

**A. Review Board Members’ Terms of Office and Upcoming Turnover**

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

**B. Discuss Recruitment Needs**

**1. Review Board Applicant – Y. Kluttz**

Ms. Yvonne Kluttz was interviewed on March 21, 2022. The Committee discussed Ms. Kluttz’s application within the context of the Board’s current composition and its needs. The Committee recommended the applicant for Board membership for a one-year term. The application will be forwarded to the Executive Committee for approval and determination of the commencement date.

*M/S/C to recommend appointment of Yvonne Kluttz to RCOC’s Board of Directors for a one-year term.*

**2. Review Board Applicant – B. Walker**

The Committee reviewed Ms. Barbara Walker’s Board member application and decided to schedule an in-person interview for April or May 2022.

***Board Recruitment and Training Committee Minutes***  
***April 11, 2022***

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics**

The Committee reviewed a draft of the outline for the Board of Directors' Retreat on July 16, 2022. The Committee recommended that it be added to the Executive Committee agenda for approval.

Mr. Wright reported that the next Board training will be on October 6, 2022. The topic is *Vendor/Service Provider Overview*.

**III. Community Forum**

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:23 p.m.

*Recorder: Sandra Lomelí*



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: RCOC Board of Directors

FROM: John “Chip” Wright, Chair  
Board Recruitment and Training Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Board Membership for Yvonne Kluttz for a One-Year Term Commencing July 1, 2022 and Ending June 30, 2023**

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code (WIC), §4622). WIC §4622(c) requires that a minimum of 50% of the members of the governing board are persons with developmental disabilities or their parents or legal guardians and no less than 25% of the members of the governing board are persons with developmental disabilities.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Ms. Yvonne Kluttz (see attached). The Committee has reviewed her application and completed an interview with Ms. Kluttz. The Committee feels that Ms. Kluttz’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for Yvonne Kluttz for a one-year term commencing July 1, 2022 and ending June 30, 2023.

# Regional Center of Orange County

P.O. Box 22010

Santa Ana, CA 92702-2010

## Board Member Application

Date: August 25, 2016

Name: Yvonne Paulette Kluttz Home Phone: [REDACTED]

Home Address: [REDACTED] City: Anaheim Zip: 92806

Occupation: Volunteer Consumer Representative at RCOC Employer: N/A

Work Phone: N/A Email Address: N/A

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

Growing up being made fun of because I wasn't as smart as other students; having seizures at school; needed a tutor in elementary school; special ed. in Junior High + High school. Felt like I had to learn more of our rights. Lived in group home with consumers w/ other disabilities

Please describe your current or past membership in community and/or professional organizations.

I am a former member of Regional Advisory Committee (Area Board VI) and a current member of Consumer Advisory Committee for Developmental Services (DDS)

At RCOC West, I am a Consumer Representative in LORG and URG and at RCOC Central, I am Consumer Representative in ESRG 2

Please describe your current or past membership and offices (if any) held on other boards.

From 2003-2016 Consumer member of Area Board VI appointed by former Governor Davis State Council member from 2003-2009

Current Member of Department of Developmental Services Consumer Advisory Committee in Sacramento

Please describe your hobbies and interests.

Writing letters to local governmental offices to speak up for disabled consumers; Project Independence walk for Independence; former bowler until my right leg started collapsing

Do you have any of the following special skills? If so, please check and describe more fully below.

- |                                                     |                                                        |                                       |
|-----------------------------------------------------|--------------------------------------------------------|---------------------------------------|
| <input type="checkbox"/> Business/Management        | <input type="checkbox"/> Financial Analysis            | <input type="checkbox"/> Legal        |
| <input type="checkbox"/> Marketing/Public Relations | <input checked="" type="checkbox"/> Political Activism | <input type="checkbox"/> Other: _____ |

I have written letters to past governors to speak up for the  
rights of the disabled community, with help from ABXI to be sure  
I say the right things

Are you currently employed by an organization providing services to people with developmental disabilities? ☐ Yes ☒ No If yes, please explain: \_\_\_\_\_

Are you a member of the governing board of any organization providing service to people with developmental disabilities? ☐ Yes ☒ No If yes, please explain: \_\_\_\_\_

Are you a: (Please check one)

- ☒ Person with a developmental disability  
☐ Parent or family member of a person with a developmental disability  
☐ Representative of the general public

Ethnic Background (optional):

- |                                           |                                                 |                                                        |
|-------------------------------------------|-------------------------------------------------|--------------------------------------------------------|
| <input type="checkbox"/> African-American | <input type="checkbox"/> Asian/Pacific Islander | <input checked="" type="checkbox"/> Caucasian          |
| <input type="checkbox"/> Hispanic         | <input type="checkbox"/> Native American/Indian | <input type="checkbox"/> Other (please specify: _____) |

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other). Epilepsy, intellectual disability

Please provide the following information for two people who know you well and can provide a personal and/or professional reference.

Name: Matthew Shimer Relationship: Brother

Complete Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Best Time to Call: after 4:30 PM

Name: Ashley Freeman Relationship: Service Coordinator

Complete Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Best Time to Call: 9:5

- ☐ After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as an RCOC Board Member.
- ☒ I am willing to serve and have attached a statement saying why I wish to serve on the RCOC Board of Directors and why I believe I am qualified.
- ☐ After reviewing the RCOC Conflict of Interest Governance Policy and the Title 17 Conflict of Interest Standards and Procedures, I assert that I do not have a potential conflict of interest.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Please return completed form to: Executive Office  
Regional Center of Orange County  
P.O. Box 22010  
Santa Ana, CA 92702-2010

If you have questions, please call: 714-796-5205

## Personal Statement

Please describe why you wish to serve on the RCOC Board of Directors  
and why you believe you are qualified

I wish to continue advocating for disabled consumers;  
I am disabled myself and am friends with many in the  
disabled population who have different disabilities;  
have learned to be very careful about trusting everyone  
because there are some people out there who will take  
advantage of you because of your disability(ies); can I  
serve as a friend of many RCOC SC's and staff know  
me and what I do.

Served on the State Council on Developmental Disabilities  
Orange County Regional Office for 13 years, State Council  
Sacramento for nearly 6 years.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Regional Center of Orange County  
Policies and Outcomes Committee  
April 18, 2022  
Videoconference Minutes**

**Committee Members Present:** Cliff Amsden, Chairperson  
Meena Chockalingam  
Mine Hagen  
Sandy Martin (*joined at 5:35 p.m.*)  
Chip Wright

**Committee Member Absent:** Liza Krassner

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Director of Information Technology  
Arturo Cazares, Associate Director of Employment  
Bonnie Ivers, Director of Clinical Services  
Christy Petteruto, General Counsel  
Keli Radford, Director of Services and Supports  
Stacy Wong, Director of Human Resources

**Corporate Counsel Absent:** David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:32 p.m.

**I. Governance Policies**

**A. Review of Policy on Notification to Persons Served and Families of Significant Service Deficits**

The Committee reviewed and proposed revisions to the policy.

***M/S/C to recommend that the Board approve the Policy on Notification to Persons Served and Families of Significant Service Deficits, as proposed.***

**B. Review of the Contract Policy**

The Committee reviewed and did not propose any revisions to the policy.

**C. Review of the Document Retention and Destruction Policy**

The Committee reviewed and proposed revisions to the policy.

***M/S/C to recommend that the Board approve the Document Retention and Destruction Policy, as proposed.***

**D. Review of Transportation Mobility Policy**

The Committee reviewed and did not propose any revisions to the policy.

**E. Review of Employment First Policy**

The Committee reviewed and did not propose any revisions to the policy.

**II. Outcomes**

**A. Person Centered Thinking (PCT) Update**

Mr. Landauer reported no updates.

**B. Health and Wellness Project Update**

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that there were no changes to the information that was provided at the Board meeting on March 3, 2022.

**C. Employment Update**

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that there were no changes to the information that was provided at the Board meeting on March 3, 2022.

**D. Housing Update**

In Mr. Jack Stanton's absence, Mr. Landauer reported that there were no changes to the information that was provided at the Board meeting on March 3, 2022.

**E. National Core Indicators (NCI) Update**

Mr. Landauer reported no updates.

**III. Community Forum**

No community members were present.

Mr. Amsden adjourned the meeting at 5:57 p.m.

*Recorder: Sandra Lomelí*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

SUBJECT: **Approval of Revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits**

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 18, 2022, the Policies and Outcomes Committee reviewed the Policy on Notification to Persons Served and Families of Significant Service Deficits.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy on Notification to Persons Served and Families of Significant Service Deficits as presented.



## **IX. POLICY ON NOTIFICATION TO PERSONS SERVED AND FAMILIES OF SIGNIFICANT SERVICE DEFICITS**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

### **POLICY**

For any vendored service for which there exists either a verified immediate danger to persons served or a verified substantial inadequacy that poses a threat to the health and safety of any person served, ~~the~~ RCOC will promptly notify persons served who are directly affected by the verified immediate danger or verified substantial inadequacy. In addition, where appropriate, the individuals' parents, legal guardians, or conservators will be promptly notified.

### **GUIDING PRINCIPLES**

- Persons served are in safe and supportive settings that promote ~~a~~ life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.
- Persons served live in homes where they receive quality care and can form relationships.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Document Retention and Destruction Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 18, 2022, the Policies and Outcomes Committee reviewed the Document Retention and Destruction Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to Document Retention and Destruction Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Document Retention and Destruction Policy as presented.

## **XV. DOCUMENT RETENTION AND DESTRUCTION POLICY**

### **BACKGROUND**

The corporate records of the Regional Center of Orange County (RCOC) and its operating units are important assets. The purpose of this policy is to establish retention and destruction policies and schedules for specific categories of records in order to ensure legal compliance, and also to accomplish other objectives, such as preserving intellectual property and cost management.

### **POLICY**

#### **A. Definition**

Corporate records include essentially all records produced in the course of business as a member of the Board or an employee, whether paper or electronic, including but not limited to the specific categories of records identified in section B, subsections 1 through 10 below. A record may be as obvious as a memorandum, an e-mail, a contract or a case study, or something not as obvious, such as a computerized desk calendar, an appointment book or an expense record.

#### **B. Maintenance of Records**

Various laws require RCOC to maintain certain types of corporate records, usually for a specified period of time. Failure to retain these records for the prescribed periods could subject a Board member or an employee and RCOC to penalties and fines, cause the loss of rights, obstruct justice, spoil potential evidence in a lawsuit, place RCOC in contempt of court, or seriously disadvantage RCOC in litigation.

In compliance with RCOC's contract with the Department of Developmental Services (DDS), RCOC shall maintain books, records, documents, case files, and other evidence pertaining to the budget, revenues, expenditures, and persons served under this contract (herein collectively called "records") in accordance with mutually agreed to procedures and Generally Accepted Accounting Principles (GAAP).

Several categories of documents that bear special consideration are identified below. While minimum retention periods are identified and records should not be destroyed prior to the expiration of the retention period, the retention of the documents identified below and of documents not included in the identified categories should be determined primarily by the application of the general guidelines affecting document retention identified above, as well as any other pertinent factors.

1. Financial Records. The following financial records shall be retained for a minimum of seven (7) years from the end of the applicable fiscal year:

- (i) Payroll records

- (ii) Documents concerning expenses and revenues
- (iii) Books of account
- (iv) Check registers
- (v) Canceled checks
- (vi) Internal reports
- (vii) Bank statements
- (viii) Operations purchase orders
- (ix) Invoices
- (x) Invoice documentation
- (xi) Accounting procedures

The following records shall be retained permanently:

- External audits of RCOC
- General ledgers and subsidiary ledgers
- Financial statements
- Tax filings
- Insurance policies

2. Persons Served Records. Persons served records include documents evidencing the provision of services to persons with developmental disabilities. Persons served records shall be maintained in accordance with RCOC's Services and Supports Records Retaining Guidelines, but at a minimum for a period of seven (7) years.
3. Employment Records/Personnel Records. State and federal statutes require RCOC to keep certain recruitment, employment and personnel information. All personnel records pertaining to an individual employee, including all final memoranda and correspondence reflecting performance reviews and actions taken by or against personnel, shall be maintained in the employee's personnel file. Employment and personnel records shall be retained for the duration of employment plus seven (7) years, except as follows:
  - (i) Employment Eligibility ~~Forms~~ Verification ~~Forms~~ (I-9 Forms) shall be kept until the later of five (5) years from hire date, or one (1) year after termination.
  - (ii) Workers Compensation files shall be kept for ten (10) years.
  - (iii) Chemical safety and toxic exposure records shall be kept for the duration of employment plus thirty (30) years.
4. Board and Board Committee Materials. Meeting minutes shall be retained permanently. A copy of all Board and Board Committee materials, including Board membership applications, shall be kept for no less than five (5) years by RCOC.

5. Corporate Documents. Corporate Articles of Incorporation, IRS Determination Letter, Tax Exempt Application (Form 1023), Bylaws and the like, including amendments, shall be retained permanently (and also readily available for public disclosure).
6. Press Releases/Public Filings. RCOC should retain permanent copies of all press releases and publicly filed documents.
7. Litigation Files. Legal counsel should be consulted to determine the retention period of particular documents, but litigation documents should generally be maintained for a period of ten (10) years. This excludes Fair Hearing and Due Process Hearing files, unless a hearing resulted in an appeal to the Superior Court. Fair Hearing and Due Process documents (excluding exhibits which are ~~non-consumer~~ not specific to a person served) are maintained as part of persons served records during the normal course of business. Legal counsel shall be consulted prior to the destruction of any files related to legal proceedings to which RCOC is a party.
8. Development/Intellectual Property and Trade Secrets. Development documents are often subject to intellectual property protection in their final form (e.g., patents and copyrights). The documents detailing the development process are often also of value to RCOC and are protected as a trade secret where RCOC:
  - a. derives independent economic value from the secrecy of the information, and the information not being generally known to the public or to other persons who can obtain economic value from its disclosure or use; and
  - b. has taken affirmative steps to keep the information confidential.

RCOC should keep all documents designated as containing trade secret information for at least the life of the trade secret.

9. Contracts. ~~Final, executed copies of all contracts entered into by RCOC should be retained.~~ RCOC shall retain copies of all final executed contracts not included in other categories of records within this policy for at least five (5) years beyond the life of the agreement. All contracts involving construction or property improvements shall be retained permanently.
10. Electronic Mail. Email shall be maintained in RCOC's email archive system for a minimum of seven (7) years. Emails that need to be kept longer than 7 years (for example, emails containing information necessary for the performance of job duties) should be either:
  - a. printed in hard copy and kept in the appropriate file; or
  - b. downloaded to a computer file and kept electronically.

### **C. Storage of Records**

Files for persons served, provider, and administrative records are maintained at RCOC in a secure environment. At the discretion of the Custodian of Records, files may be sent to an off-site storage location operated by a provider under contract with RCOC. Files are sent to or retrieved from offsite storage by RCOC using a process developed by the provider.

In compliance with RCOC's contract with DDS, RCOC shall comply with the most current version of DDS' 'Requirements for Electronic Storage of Records' as developed by DDS and the Association of Regional Center Agencies (ARCA).

### **D. Destruction**

All physical documents referred to in this policy which are to be destroyed shall be shredded. All electronic documents referred to in this policy which are to be destroyed shall be permanently deleted using methods which regularly in the course of business verify complete destruction. Employees shall obtain permission prior to the destruction of any records. Authority to destroy documents is as follows: for persons served records, the General Counsel; for service provider and financial records, the Chief Financial Officer; for employment records, the Human Resources Director; and for all other records either the Executive Director or his/her designee.

### **E. Litigation or Claims**

In the event any RCOC Board member or employee becomes aware of litigation or potential litigation (i.e., a dispute that could result in litigation) involving RCOC, the Board member or employee shall immediately inform the Executive Director or his/her designee, and any further disposal of records, including records in electronic form, shall be suspended until such time as the Executive Director, with the advice of legal counsel, determines otherwise. This shall include a suspension of the transferring of original records to electronic retention. In addition, no alterations or markings shall be made on an original document. Upon receiving notice of litigation or potential litigation, the Executive Director or his/her designee shall immediately take such steps as necessary to promptly inform all staff of the suspension of disposal of records.

### **F. Compliance**

RCOC expects all Board members and employees to fully comply with this Document Retention and Destruction Policy.

Failure to comply with this Document Retention and Destruction Policy may result in disciplinary action against the employee, including suspension or termination.

Questions about this policy should be referred to the General Counsel, who is responsible for administering, enforcing and recommending updates to this policy.

## **GUIDING PRINCIPLES**

- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to, its stakeholders and the community it serves.
- RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

SUBJECT: **Contract Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 18, 2022, the Policies and Outcomes Committee reviewed the Contract Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Contract Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.



## **XVII. CONTRACT POLICY**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) recognizes the importance of transparency and accountability to the community it serves. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to reporting information with accuracy and transparency and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

### **POLICY**

The Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (and any revisions or amendments thereto).

All contracts requiring payment of two hundred fifty thousand dollars (\$250,000) or more shall be reviewed and approved by the RCOC Board of Directors prior to entering into the contract (WIC 4625.5 (a))

No RCOC contract of \$250,000 or more will be valid unless approved by the RCOC Board of Directors in compliance with this written policy (WIC 4625.5(b)).

The RCOC Board of Directors shall be notified in writing of any proposed material change to a contract that has been previously approved by the RCOC Board of Directors. The RCOC Board may elect to call a special meeting to vote to approve or deny the proposed material change if it so desires, which meeting shall occur no more than ten (10) days from the date notice is given. If the RCOC Board does not give notice of a special meeting within three (3) days of receiving notice of the proposed material change, such material change shall be deemed approved. For the purposes of this policy, a material change is equivalent to ten percent (10%) or more of the original contract value.

For purposes of this policy, contracts shall not include vendor approval letters issued by RCOC pursuant to Section 54322 of Title 17 of the California Code of Regulations (WIC 4625.5(c)).

### **GUIDING PRINCIPLES**

- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.

- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

SUBJECT: **Transportation Mobility Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 18, 2022, the Policies and Outcomes Committee reviewed the Transportation Mobility Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Transportation Mobility Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **VII. TRANSPORTATION MOBILITY POLICY**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring that the services and supports provided to those we serve maximize opportunities and choices, utilize all possible sources of funding, including generic resources, and result in the maximum benefit possible.

### **POLICY**

RCOC will serve the mobility needs of the individuals it serves by assuring an effective and efficient mix of accessible and safe transportation services to and from an eligible individual's primary day service/activity. These services shall enhance opportunities for persons served to access supports, services, and other community resources.

In meeting the mobility needs of those we serve, RCOC will:

- Use generic resources before expending regional center funds.
- Advocate for the maximum access to and utilization of public transit services for people with developmental disabilities.
- Encourage measurable improvement in the efficiency and effectiveness of transportation services by gathering feedback from persons served who use public and specialized transportation services.
- Improve access to services by improving communication about and coordination of available transportation resources.
- Promote the efficient use of resources by eliminating duplication wherever possible.
- Promote the consideration of transportation issues and costs in all decisions.

### **SERVICE CRITERIA**

The individual transportation needs of the person served will be determined by the Multidisciplinary/Planning Team.

The following criteria will be applied when authorizing transportation service. Exceptions may be made on a case-by-case basis.

1. Whenever feasible, public transportation will be utilized first.

2. All adults requesting transportation will be assessed for mobility training.
3. Transportation services are typically purchased for one primary day service/activity (e.g., place of employment, day program). However, additional transportation may be purchased on an individual basis, but not as part of the primary program's transportation obligation (e.g., day program and/or college classes).
4. Those served by RCOC, parents of minor children and residential service providers are expected to provide routine transportation to medical, dental, or other appointments or functions. RCOC may fund incidental transportation on an exception basis in the following circumstances:
  - An individual is not financially capable of independently funding their own or their child's transportation.
  - The parent or surrogate has a disability which prevents them from transporting the person served by RCOC.
  - The individual's medical and/or physical condition requires the use of specialized transportation to accommodate transport.

### **CHILDREN UNDER AGE THREE**

To maximize safety and quality assurance, parents and residential service providers are expected to transport infants to the primary day service/activity. Transportation for infants may be funded when there is documented exceptional need and the parent/residential service provider cannot accompany the child.

Authorized infant transportation will be reviewed every six months.

### **GUIDING PRINCIPALS**

- Persons served and their families have knowledge of, access to and opportunity for participation in any community activities and resources of their choosing.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with person not paid to be with them.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 5, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

SUBJECT: **Employment First Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 18, 2022, the Policies and Outcomes Committee reviewed the Employment First Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Employment First Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## XX. EMPLOYMENT FIRST POLICY

### BACKGROUND

The Regional Center of Orange County (RCOC) recognizes the importance of meaningful employment for the working age adults we serve. Assembly Bill 1041, also known as Employment First Policy, an act to amend Sections 4646.5 and 4868 of the Welfare and Institutions Code (WIC), and to add Section 4869 to WIC, was effective January 1, 2014. These statutory changes, in part, are as follows:

*4646.5 (a) (4) When developing an individual program plan for a transition age youth or working age adult, the planning team shall consider the Employment First Policy...*

*4869. (a) (1) In furtherance of the purposes of this division to make services and supports available to enable persons with developmental disabilities to approximate the pattern of everyday living available to people without disabilities of the same age, to support the integration of persons with developmental disabilities into the mainstream life of the community, and to bring about more independent, productive, and normal lives for the persons served, it is the policy of the state that opportunities for integrated, competitive employment shall be given the highest priority for working age individuals with developmental disabilities, regardless of the severity of their disabilities. This policy shall be known as the Employment First Policy.*

*(3) Integrated competitive employment is intended to be the first option considered by planning teams for working age individuals, but individuals may choose goals other than integrated competitive employment.*

*(c) Regional centers shall provide consumers 16 years of age or older, and, when appropriate, their parents, legal guardians, conservators, or authorized representative with information, in an understandable form, about the Employment First Policy, options for integrated competitive employment, and services and supports, including postsecondary education, that are available to enable the consumer to transition from school to work, and to achieve the outcomes of obtaining and maintaining integrated competitive employment.*

*4868. (d)(1), “Competitive employment” means work in the competitive labor market that is performed on a full-time or part-time basis in an integrated setting and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled.*

*(2) “Integrated employment” means “integrated work” as defined in subdivision (o) of Section 4851.*

*4851. (o) “Integrated work” means the engagement of an employee with a disability in work in a setting typically found in the community in which individuals interact with individuals without disabilities other than those who are providing services to those individuals, to the same extent that individuals without disabilities in comparable positions interact with other persons.*

## **POLICY**

Integrated competitive employment will be the first option considered by planning teams for every working age adult we serve.

## **GUIDING PRINCIPLES**

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.
- Persons served make decisions about all aspects of their lives.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with persons not paid to be with them.



**Regional Center of Orange County  
Vendor Advisory Committee  
March 8, 2022  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Hector Navarro, absent  
Co-Chair, Atrem Behmanesh, absent

**Adult Day Programs**

Chair, Rick Perez, present (*joined at 2:07 p.m.*)  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Katherine Gurney, present  
Co-Chair, Mark Antenucci, absent

**Behavior Services**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Cindy Hebert, present

**Community Care Facilities**

Chair, Member Pending  
Co-Chair, Member Pending

**Early Intervention**

Chair, Tiffany Bauer, present  
Co-Chair, Junie Lazo-Pearson, present

**Habilitation**

Chair, Jodean Hudson, present (*left at 2:23 p.m.*)  
Co-Chair, Tim Chervenak, absent

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, absent

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, David Santana, present (*joined at 2:04 p.m.*)  
Co-Chair, Andrew Velasco, present

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Orange County Transit Authority**

Melissa Mungia, present  
Christina Blanco, absent

**Board Members Present:**

Marcell Bassett

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Liliana Castillo, Accounting Manager  
Bonnie Ivers, Clinical Director  
Jack Stanton, Associate Director of Housing  
Keli Radford, Services and Supports Director  
Marta Vasquez, Associate Finance Director  
Sean Watson, Risk Management, QA Manager

**Call to Order**

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:03 p.m.

**I. RCOC Update**

Mr. Larry Landauer reported on advocacy efforts to accelerate implementation of provider rate increases. Mr. Landauer also reported on caseload growth.

**A. Status of Rate Rate Increases Effective April 1, 2022**

Ms. Marta Vasquez, RCOC's Associate Director of Finance, reported on the status of rate increases effective April 1, 2022. Ms. Vasquez stated that the Alternative Services rates will not change. She added that the Department of Developmental Services (DDS) had released the new rates by regional center on its website; they are available at <https://www.dds.ca.gov/rc/vendor-provider/rate-study-implementation/rates-by-regional-center/>. Some service codes required additional information from providers to complete the rate calculations. Ms. Vasquez said that if vendors do not provide the information required in time, their rates cannot be changed effective April 1, 2022; RCOC will pay the increase, if any, retroactively

**II. Subcommittee Chair and Co-Chair Selections**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reminded the Committee that new chairs and co-chairs must be identified by the end of May 2022 for the following subcommittees: Adult Day Programs, Behavior Services, Early Intervention, Intermediate Care Facilities (ICF) and Support Services/Allied Health. The VAC Chair will then present nominees to the Board of Directors for approval; if approved, their terms will commence on June 2, 2022, in accordance with the VAC Commission.

**III. Board Report**

Mr. Landauer reported that the Board of Directors' met on March 3, 2022. RCOC's Board packet is posted on RCOC's website.

**IV. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

**V. Liaison Reports**

**A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

**B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)**

Ms. Melissa Mungia reported that ridership is increasing and reminded the Committee that vendors must notify OCTA two weeks prior to resuming subscription services. Until OCTA confirms subscription requests, they must use the call center.

**VI. Member Reports**

**A. Adult Behavior Management – Hector Navarro (absent)**

**B. Adult Day Programs – Rick Perez (present)**

Mr. Rick Perez reported that they discussed their subcommittee Chair and Co-Chair selections. Mr. Perez asked when Alternative Services will end. Ms. Vasquez responded that there is no end date at this time.

**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)**

Ms. Katherine Gurney reported that the subcommittee met and discussed the rate increases, anticipated audit dates and staffing challenges.

**D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Cindy Hebert, Co-Chair, reported that their subcommittee will meet on March 10, 2022; they will discuss vendor rates and rapidly raising gasoline prices and staffing challenges.

**E. Community Care Facilities (CCF) – Member Pending**

Mr. Stanton reported that the subcommittee did not meet; they continue to share information via email.

**F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee will meet in April 2022 to discuss the rate changes and staffing challenges.

**G. Habilitation – Jodean Hudson (present until 2:23 p.m.)**

Mr. Stanton stated that Ms. Hudson had to step away; the subcommittee will meet next week.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee will meet later in the day and discuss rate increases, staffing challenges, rapidly raising gasoline prices and insurance premiums

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not met; they continue to share information via email.

**J. Support Services/Allied Health – David Santana (present)**

Mr. Andrew Velasco, Co-Chair, reported that the subcommittee met and discussed the rate increases. The subcommittee also held elections for Mr. Velasco as Chair and Mr. Alex Saldana as Co-Chair.

**VII. Community Forum**

Ms. Evelyn Farooquee announced that The ARC, easterseals and United Cerebral Palsy will host a three-day conference on *Developmental Disabilities Public Policy* on April 26-28, 2022.

Ms. Christine Tolbert, from the State Council on Developmental Disabilities (SCDD) Orange County Regional Office, reported on SCDD's upcoming webinar on *Competitive Integrated Employment (CIE) Community Conversation* on March 13, 2022. Additionally, SCDD continues their *Conservatorship and Alternatives Self-Paced* webinar. For more information, email [orangecounty@scdd.ca.gov](mailto:orangecounty@scdd.ca.gov).

**VIII. Adjournment**

Ms. Bauer adjourned the meeting at 2:41 p.m.

The next VAC meeting is scheduled for April 12, 2022.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Vendor Advisory Committee  
April 12, 2022  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Hector Navarro, present  
Co-Chair, Atrem Behmanesh, absent

**Adult Day Programs**

Chair, Rick Perez, present (*left at 2:16 p.m.*)  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Katherine Gurney, present  
Co-Chair, Mark Antenucci, absent

**Behavior Services**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Cindy Hebert, present (*joined at 2:11 p.m.*)

**Community Care Facilities**

Chair, Member Pending  
Co-Chair, Member Pending

**Early Intervention**

Chair, Tiffany Bauer, present  
Co-Chair, Junie Lazo-Pearson, present

**Habilitation**

Chair, Jodean Hudson, absent  
Co-Chair, Tim Chervenak, present

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, present (*joined at 2:04 p.m.*)

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, David Santana, absent  
Co-Chair, Andrew Velasco, present

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Orange County Transit Authority**

Melissa Mungia, present (*joined at 2:02 p.m.*)  
Christina Blanco, absent

**Board Members Present:**

Marcell Bassett

*Vendor Advisory Committee Minutes*  
*April 12, 2022*

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Liliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director of Employment  
Jack Stanton, Associate Director of Housing  
Keli Radford, Services and Supports Director  
Marta Vasquez, Associate Finance Director  
Sean Watson, Risk Management, QA Manager

**Call to Order**

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:01 p.m.

**I. RCOC Update**

Mr. Larry Landauer reported on caseload and the advocacy efforts to accelerate implementation of provider rate increases.

**A. Traditional Rate Increases Effective April 1, 2022**

Ms. Marta Vasquez, RCOC's Associate Director of Finance, reported that RCOC will pay the new residential, day program and supported living rates on May 15, 2022. Ms. Vasquez added that if a provider feels there is an error in the rate calculation, they may request a rate review.

Ms. Vasquez also provided an update for those vendors who have not submitted their independent audits/reviews and/or their Applicant/Vendor Disclosure Statements.

**II. Subcommittee Chair and Co-Chair Selections**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reminded the Committee that new chairs and co-chairs must be identified by the end of May 2022 for the following subcommittees: Adult Day Programs, Behavior Services, Early Intervention, Intermediate Care Facilities (ICF) and Support Services/Allied Health. The VAC Chair will then present nominees to the Board of Directors for approval; if approved, their terms will commence on June 2, 2022, in accordance with the VAC Commission.

**III. Board Report**

Mr. Landauer reported that there was a Board of Directors' training on April 7, 2022.

**IV. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

**V. Liaison Reports**

**A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

**B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)**

Ms. Melissa Mungia reported that ridership is up by 24% as of March 2022. Ms. Mungia reminded the Committee that vendors must notify OCTA two weeks prior to resuming subscription services. Until OCTA confirms subscription requests, they must use the call center.

**VI. Member Reports**

**A. Adult Behavior Management – Hector Navarro (present)**

**B. Adult Day Programs – Rick Perez (present until 2:16 p.m.)**

Mr. Hector Navarro asked when Alternative Services will end. Although there is no end date at this time, the return to traditional services would be difficult due to vendors' continued staffing shortages and low rates.

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that Mr. Rick Perez will continue as chair of the Adult Day Programs subcommittee.

**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)**

Ms. Katherine Gurney reported that the subcommittee met and discussed the rate increases.

**D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that their subcommittee will meet in June 2022 and discuss staffing shortages. She added that a representative from CalOptima will attend their quarterly meetings.

**E. Community Care Facilities (CCF) – Member Pending**

Mr. Stanton reported that the subcommittee did not meet; they continue to share information via email.

**F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee will meet in April 2022.

**G. Habilitation – Jodean Hudson (absent)**

Mr. Tim Chervenak, Co-Chair, reported that their subcommittee will meet in May 2022.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee will meet later in the day.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Stanton reported that Mr. Mraule will continue as Chair of the subcommittee.

**J. Support Services/Allied Health – David Santana (absent)**

Mr. Andrew Velasco, Co-Chair, reported that the subcommittee met and discussed staffing challenges and rate increases.

**VII. Community Forum**

Ms. Christine Tolbert, from the State Council on Developmental Disabilities (SCDD) Orange County Regional Office, reported that SCDD has COVID-19 rapid test kits available for persons served. To obtain the kits, please contact SCDD at their Orange County office. Additionally, SCDD continues their *Conservatorship and Alternatives Self-Paced Webinar*. For more information, email [orangecounty@scdd.ca.gov](mailto:orangecounty@scdd.ca.gov).

**VIII. Adjournment**

Ms. Bauer adjourned the meeting at 2:36 p.m.

The next VAC meeting is scheduled for May 10, 2022.

*Recorder: Sandra Lomeli*



**Regional Center of Orange County  
Peer Advisory Committee  
March 16, 2022  
Videoconference Minutes**

**Committee Members Present:** Sylvia Delgado, Chairperson  
Kerri Adamic  
Cheryl Day (*joined at 3:23 p.m.*)  
Steven Gersten  
Amy Jessee  
Peter Kuo  
Fernando Peña

**Committee Members Absent:** Marcell Bassett  
Jyusse Corey, Peer Advocate  
Yvonne Kluttz

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Dr. Elisa Gamboa, Psychologist  
Dr. Kyle Pontius, Psychologist

Ms. Sylvia Delgado called the meeting to order at 3:06 p.m.

**I. Welcome and Introductions**

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

**II. RCOC's Peer Advocate Report**

In Mr. Corey's absence, Ms. Delgado reported that CalABLE is hosting a free information webinar at 2:00 p.m. on March 23, 2022. For more information, visit [www.ablenow.com](http://www.ablenow.com).

Ms. Delgado also reported that the U.S. public may order free at-home, rapid COVID-19 tests from the website [www.covidtests.gov](http://www.covidtests.gov).

**III. RCOC's Fair Hearing Process**

Dr. Elisa Gambino and Dr. Kyle Pontius, RCOC's Psychologists, gave a presentation on *Caring for Your Mental Health during Difficult Times*.

**IV. Community Forum**

No community members were present at the meeting.

**V. Next Scheduled Meeting**

The next PAC meeting is scheduled for April 20, 2022.

The meeting was adjourned at 3:50 p.m.

*Recorder: Sandra Lomelí*

**Regional Center of Orange County  
Peer Advisory Committee  
April 20, 2022  
Videoconference Minutes**

**Committee Members Present:** Sylvia Delgado, Chairperson  
Kerri Adamic (*left at 5:30 p.m.*)  
Steven Gersten  
Amy Jessee  
Fernando Peña

**Committee Members Absent:** Marcell Bassett  
Jyusse Corey, Peer Advocate  
Cheryl Day  
Yvonne Kluttz  
Peter Kuo

**Board Member Present:** Chinh Nguyen

**RCOC Staff Members Present:** Sandra Lomelí, Clerk to the Board of Directors

**Guest:** Scarlett vonThenen, California State Council on  
Developmental Disabilities, Orange County Regional  
Office

Ms. Sylvia Delgado called the meeting to order at 3:05 p.m.

**I. Welcome and Introductions**

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

**II. RCOC's Peer Advocate Report**

In Mr. Corey's absence, Ms. Delgado reported that CalABLE is hosting a free information webinar at 2:00 p.m. on April 23, 2022. For more information, visit [www.ablenow.com](http://www.ablenow.com).

Ms. Delgado stated that the St. Columban's Women's Council in collaboration with the Knights of Columbus are hosting their first *Spring Fling Dinner & Dance* on April 22, 2022, from 6:00 p.m. to 9:00 p.m.

Ms. Delgado also reported that the Supported Life Institute (SLI) will host a two-day conference on May 27<sup>th</sup> and 28<sup>th</sup>. The conference will be held in-person with limited space and will also be available virtually. For more information about the event topics, speakers and pricing, visit the SLI website at [www.supportedlife.org](http://www.supportedlife.org).

**III. California State Council on Developmental Disabilities**

Ms. Scarlett vonThenen, from the State Council on Developmental Disabilities (SCDD), Orange County Regional Office, gave a presentation on SCDD's work to support people with intellectual and developmental disabilities.

**IV. Community Forum**

No community members were present at the meeting.

**V. Other**

Committee members discussed potential topics for upcoming PAC meetings. Topics of interest included information on RCOC's Comfort Connection Family Resource Center, OC Rescue Mission, safety and protection from online bullying.

**VI. Next Scheduled Meeting**

The next PAC meeting is scheduled for May 18, 2022.

The meeting was adjourned at 3:47 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Legislative and Community Awareness Committee  
April 19, 2022  
Videoconference Minutes**

**Committee Members Present:** Liza Krassner, Chairperson  
Tiffany Bauer  
Meena Chockalingam  
Sandy Martin  
Chinh Nguyen  
John “Chip” Wright (*joined at 5:10 p.m.*)

**Committee Members Absent:** Hilda Mendez

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technology Director  
Bonnie Ivers, Clinical Director  
Kaitlynn Truong, Cultural Specialist (*joined at 5:05 p.m.*)

**Guests:** Linda Blankenship, Consultant  
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:04 p.m.

## **I. Virtual Spotlight Awards**

Mr. Landauer reported that the Executive Committee reviewed and approved the nominees for the virtual Spotlight Awards scheduled for May 2, 2022. This year, a special award category was added for *Health and Wellness Achievement*. This award is to honor RCOC’s vendor, My Day Counts, for its commitment to the health and wellness of RCOC’s persons served through its participation in the 2021 *Healthy Life, Happy Life* program. Mr. Landauer stated that the event will be hosted again by Ms. Michele Gile, who reports for both KCAL 9 and CBS 2.

## **II. Public Relations**

### **A. Dialogue**

Ms. Linda Blankenship reported that the Spring issue of the *Dialogue* will focus on RCOC’s special education advocacy and RCOC’s new Educational Services position. It will highlight the stories of two families assisted by RCOC’s Educational Services Resource Group. The issue will also showcase the Self-Determination Program, state budget and the need to accelerate vendor’s rate increases.

**B. News Media Outreach**

Ms. Blankenship reported that some of the media outreach included the Board of Directors' recognition of those persons served who had 30 plus years of employment and the upcoming Spotlight Awards. In addition, RCOC's partnership with The Orange County Register, OC Register, to raise awareness of developmental milestones and the availability of developmental screenings. Ads ran in the OC Register in February and March 2022.

Ms. Blankenship also reported that the third of four episodes on the Ability Beast Talk podcast with Mr. Wayed Kabir, a person served by RCOC who hosts his own podcast, is expected to be posted in late April or early May. The podcast episode highlights RCOC's *Healthy Life, Happy Life* wellness program, featuring Dr. Bonnie Ivers, RCOC's Clinical Director. These podcast episodes will be shared on RCOC's website and social media platforms to help spread the message to a broader audience.

**C. RCOC Visual Identity Refresh**

Ms. Blankenship presented a sample of the digital-friendly logo for the visual refresh of the *Dialogue*, website, electronic letterhead, email and social media signatures. The rollout of the visual refresh will begin with the Summer issue of the *Dialogue*.

**D. Website Update**

Ms. Anh Nguyen reported that RCOC's website will also be updated with the visual refresh and redesign for easier navigation. Ms. Krassner and Ms. Meena Chockalingam recommended engaging stakeholders such as the Peer Advisory Committee (PAC) in reviewing and testing the newly designed website, prior to its launch.

**E. Social Media**

Ms. Nguyen shared that RCOC's social media platforms continue to feature information on events, public meetings and trainings.

**III. Legislative Outreach**

**A. ARCA Update**

Mr. Landauer reported that ARCA's Grassroots Day was held on April 5, 2022.

**B. Relationship Building with Delegation**

Mr. Landauer reported that he, Ms. Nguyen and Board members hosted virtual informational meetings about RCOC with state legislators and their staff. The legislative meetings were held with Assemblymembers Steven Choi, Janet Nguyen and Phillip Chen and Senators Josh Newman and Dave Min.

#### **IV. Community Outreach**

##### **A. Disparity-Focused Activities and Analytics**

Ms. Keli Radford reported that she and Ms. Alma Jocelyn Escobar, RCOC's Resource Group Leader for Educational Services, met with nine of the 13 Directors of Orange County's Special Education Local Planning Areas (SELPA). From this meet and greet, Ms. Escobar was invited to meet with some of the SELPA's local teams. The SELPA directors were surprised by the number of families that have asked RCOC for help.

Ms. Radford stated that RCOC hosted another meeting last month with the leaders of the Spanish-speaking parents' support groups. The meeting was conducted in Spanish, with an interpreter for those who did not speak Spanish. Ms. Radford developed talking points from the groups' questions at the first meeting. The new 1:40 caseloads for no or low POS were also discussed.

Regarding the 1:40 caseloads, Ms. Radford reported that many families have declined being on a 1:40 caseload. They have responded that they do not need additional assistance. Some families were concerned about changing Service Coordinators (SCs). The 1:40 family curriculum includes learning about the regional center system, their child's diagnosis, accessing generic resources etc. Ms. Radford said that families could return to their prior SCs when they feel confident about their newly acquired knowledge and skills.

Ms. Kaitlynn Truong, RCOC's Cultural Specialist, reported that RCOC received a grant of \$120,000 to host developmental screenings within under-served communities in Orange County. Ms. Truong stated that RCOC is working with Family Support Network to identify various sites to host and increase the number of developmental screenings.

##### **B. POS Expenditure Issues**

Mr. Landauer reported that RCOC's report on the public meeting on Purchase of Service Expenditure Data was submitted to the Department of Developmental Services' (DDS).

#### **V. Community Forum**

No community members were present at the meeting.

#### **VI. Other**

Ms. Krassner thanked Ms. Nguyen for a very informative presentation on *Cultural and Linguistic Competence* at the Board training on April 7, 2022.

Ms. Krassner adjourned the meeting at 5:55 p.m.