



REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: Thursday, May 1, 2025

Time: 6:00 p.m.

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.		Closed Session (Board Members Only)	
	A.	W&I Code §4663 and §4664	Greg Simonian, Esq.
		1. Pending Litigation Welfare & Institutions Code Sections 4663(a)(5) and 4664(a)	
II.		Recess	
III.		General Session	
	A.	Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement	Sandy Martin
	B.	Community Forum for Agenda Items Only***	Sandy Martin
	C.	Budget and Finance Committee	Jacqueline Nguyen
	D.	Consent Calendar*	Sandy Martin
		1. Approval of Board of Directors’ Minutes for March 6, 2025** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, February 2025** b. Approval of Monthly Sufficiency of Allocation Report, March 2025** c. Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2024-2025** d. Approval of Budget Amendment A-2, Fiscal Year 2024-2025** e. Draft Form 990, Return of Organization Exempt from Income Tax for Year Ended June 30, 2024 f. Approval of Second Amendment to Property Lease for Santa Ana Office g. Approval of Budget Amendment	
	E.	Executive Director’s Report	Larry Landauer
		1. Recognition of Persons’ Served Employment Longevity 2. Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2024-25 3. Approval of Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Diane Karle-Botello, Early Start Service Coordinator** 4. Information regarding Amendment to Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of Enhanced Behavioral Supports Home (CRDP Project No. 2324-10)(Faye)** 5. Approval of Amendment No. 1 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2324-10/2425-2)(Faye)** 6. Approval of Start-Up Funding Agreement with University of New Hampshire for Development of START Program (CRDP Project No. 2425-8)** 7. Operations Report – February 2025** 8. Operations Report – March 2025** 9. Performance Contract Report – February 2025** 10. Performance Contract Report – March 2025** 11. Person-Centered Thinking (PCT) Update	Marta Vasquez

		12. Employment Update 13. Housing Update 14. Health and Wellness Update	Arturo Cazares Jack Stanton Bonnie Ivers
	F.	Executive Committee	Sandy Martin
	G.	Board Recruitment and Training Committee**	Sandy Martin
		1. Approval of Board Membership for John “Chip” Wright for a One-Year Term Commencing June 16, 2025 and Ending June 15, 2026**	
	H.	Policies and Outcomes Committee**	Meena Chockalingam
		1. Approval of the Communications Policy** 2. Approval of the Policy to Mitigate Conflicts for Delegated Conservatorships** 3. Executive Limitations Policy** 4. Policies on Governance** 5. Policy on Executive Performance and Monitoring**	
	I.	Vendor Advisory Committee**	Rick Perez
	J.	Peer Advisory Committee**	Yvonne Kluttz
	K.	Legislative and Community Awareness Committee**	Bruce Hall
	L.	ARCA Report	Sandy Martin
	M.	Community Forum***	Sandy Martin
	N.	Chairperson’s Report	Sandy Martin
	O.	Performance Contract – Fiscal Year 2025-2026 Presentation	Jack Stanton Arturo Cazares
IV.		Adjournment	Sandy Martin

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting Minutes
March 6, 2025**

Board Members Present:

(Members in-person, unless otherwise noted)

Sandy Martin, Chairperson
Marcell Bassett
Meena Chockalingam (*joined virtually*)
Bruce Hall
Yvonne Kluttz
Hilda Mendez
Chinh Nguyen
Jacqueline Nguyen
Fernando Peña
Rick Perez

Board Members Absent:

Frances Hernandez

Corporate Counsel Present:

Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:02 p.m.

I. General Session

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance.
Mr. Marcell Bassett read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Jacqueline Nguyen reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for January 9, 2025
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, December 2024
 - b. Approval of Monthly Sufficiency of Allocation Report, January 2025

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored six persons served who have worked for the same employer for 20 or more years with a Certificate of Recognition.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Purchase of Service (POS) Expenditure.* RCOC will host its annual POS Expenditure Public Meeting on March 12, 2025. Visit: www.rcocdd.com for more information and webinar registration details.
- *Department of Developmental Services' (DDS') Rate Reform.* Mr. Landauer reported that the Rate Reform in California's developmental services system is changing the way the state pays for services. As of January 1, 2025, reimbursements for services will be claimed using the provider's current service code with an updated rate provided by DDS. By March 31, 2025, the Rate Reform Service Acknowledgment Form shall be completed by RCOC and the service provider must acknowledge the service description, requirements that will need to be met, sub-code combination(s), and rate(s) established by the rate model. DDS has permitted a transitional period for service providers to comply with the new requirements by December 31, 2025.
- *Quality Incentive Program (QIP).* Mr. Landauer reported that the QIP is designed to improve outcomes for individuals served, service provider performance, and the quality of services. Participating service providers that meet or exceed quality measures developed by DDS and, based on input from stakeholders, are eligible for incentive payments. The QIP Stakeholder Workgroup helped determine six areas of focus for (1) Prevention and Wellness, (2) Employment, (3) Early Intervention, (4) Workforce Capacity, (5) Service Access, and (6) Informed Choice and Satisfaction. Each focus area has one or more quality measure(s) tied to specific desired outcomes.
- *Service Provider Directory.* Mr. Landauer stated that DDS continues to gather data for the Provider Directory. The online portal will provide accurate information about service providers, statewide, for the first time. Participation in the Provider Directory is the only Quality Incentive Program (QIP) measure to be used in fiscal years 2024-25 and 2025-26 for establishing whether a provider will receive the ten percent quality incentive portion of the rate model for its service(s).
- *Master Plan for Developmental Services.* Mr. Landauer reported that the California Health and Human Services Agency (CHHSA) Master Plan for Developmental Services is scheduled to have its last meeting on March 19, 2025. For more information, visit: <https://www.chhs.ca.gov/home/master-plan-for-developmental-services/>.
- *Fight For Our Health Coalition.* Mr. Landauer reported that the Fight For Our Health Coalition, along with 200 individuals from Orange County, attended a Health

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March 6, 2025

Care Town Hall meeting with Congresswoman Young Kim to collectively say no to the proposed cuts in federal funds to Medicaid/Medi-Cal.

- *ARCA's Grassroots Day.* Mr. Landauer reported that ARCA held its annual Grassroots Day on March 4, 2025. Mr. Landauer along with Mr. Bruce Hall, Ms. Sandy Martin and Ms. Sylvia Delgado attended the event to visit with state legislators and their staff to discuss barriers and issues pertaining to regional center services.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2024-25.* Mr. Landauer reported that there is a projected surplus statewide.
- *Person Centered Thinking (PCT).* In Ms. Jennifer Montanez's absence, Ms. Leah Saitz, RCOC's PCT Coordinator, reported that RCOC will host a family workshop focusing on the One Page Description on March 25, 2025. The workshop will introduce key concepts from the Learning Community for Person Centered Practices (TLCPCP) and give participants opportunities to work on person-centered skills. For more information visit RCOC's website at www.rcocdd.com.

Ms. Saitz stated that RCOC will host four PCT training workshops for parents in English and Spanish to be held over the next few months. Once confirmed, the training dates will be posted to the event calendar on RCOC's website www.rcocdd.com.

- *Employment.* Mr. Arturo Cazares, RCOC's Director of Community Services, reported that RCOC held a workshop on Adult Day Services Options on January 30, 2025 via Zoom videoconference. The workshop was designed for families with a family member receiving services who is at or near the transition age of 14 years or more. Families with younger children were encouraged to attend as well to learn about the options available as the child gets older.

Mr. Cazares also reported that RCOC is preparing to submit its Home Community-Bases Services (HCBS) Compliance funding plan to DDS for approval. The plan includes funding for RCOC's next backpack giveaway, and a social recreation resource fair in July 2025 at the Santa Ana Zoo or similar location.

Mr. Cazares reviewed the Purchase of Service (POS) data by regional center for the Paid Internship Program Service Provider Placement Incentive Payments and the Competitive Integrated Employment Incentive Payments.

- *Housing.* In Mr. Jack Stanton's absence, Ms. Theresa Ta, RCOC's Safety Net and Resource Development Manager, reported that two Enhanced Behavioral Support Homes (EBSHs) have completed renovations. The renovation process continues for a third EBSH home located in La Habra, and selection of a service provider will be determined soon.
- *Legislative and Community Awareness (LCA).* Mr. Landauer reported that he, Ms. Chinh Nguyen, RCOC Board Member, and Ms. Kaitlynn Truong, RCOC's

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Family Resources Manager, participated in the City of Westminster's annual Lunar New Year Tet Parade celebration on February 1, 2025.

Mr. Landauer also reported that Ms. Meena Chocklingam, RCOC Board Member, invited him to be a guest at the Jeena Yahaan SoCal 2025 Talent Show held on February 9, 2025 at the Lake Forest Performing Arts Center.

- *Health and Wellness.* Dr. Bonnie Ivers reported that the California Autism Professionals Training and Information Network (CAPTAIN), a statewide organization that collaborates with Family Resource Centers, regional centers and school districts throughout California. The focus of this group is to gather and discuss how to share and teach our communities about implementation of evidence-based practices for individuals with autism. The Orange County Chapter will host a family conference on May 2, 2025 at the Creekside Learning Center in Irvine to provide information about the evidence-based practices that can be used at different ages. For more information visit RCOC's event calendar at www.rcocdd.com/event/oc-captains-smart-choices-big-returns/.
- *RCOC News.* Mr. Landauer reported that he and his staff continue to work with the Hispanic families group to address their concerns. The group last met on December 4, 2024 and scheduled its next meeting for March 26, 2025.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee met on February 24, 2025 and the next meeting is scheduled for March 24, 2025.

F. Executive Committee

Ms. Sandy Martin reported that the Committee met on February 18, 2025, and the next meeting is scheduled for March 17, 2025.

G. Board Recruitment and Training Committee

Ms. Martin reported that the Committee met on January 13, 2025 and February 10, 2025, and reviewed the Board composition, upcoming retreat and training topics. The next Board training is scheduled for April 14, 2025 on *Adult Day and Employment Services and HCBS Final Rule*.

The next Committee meeting is scheduled for April 14, 2025.

H. Policies and Outcomes Committee

Ms. Meena Chockalingam reported that the Committee met on February 18, 2025, and reviewed the Executive Leadership Succession Policy, Document Retention and Destruction Policy, Policy on Background Check Requirements for Providers and the Conflict of Interest Policy. Revisions were proposed for the Conflict of Interest Policy.

1. Approval of Revisions to the Conflict of Interest Policy

Ms. Christina Petteruto, RCOC's General Counsel reported that RCOC's Conflict of Interest Policy was adopted to comply with Welfare and Institutions Code Section 4626.5, which sets forth the requirements for regional center conflict of interest policies. Section 4646.5 was recently amended to add two new requirements for conflict of interest policies related to gifts and hiring of relatives. The proposed changes would bring the Conflict of Interest Policy into compliance with the changes to Section 4646.5. RCOC employees would be prohibited from accepting a gift or gifts from a service provider, person served, or a family member of a person served valued over fifteen dollars (\$15) per year. RCOC senior staff would also be prohibited from hiring relatives at RCOC or any ancillary foundation or organization.

M/S/C to approve revisions to the Conflict of Interest Policy as recommended

The next Committee meeting is scheduled for April 21, 2025.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on January 14, 2025 and February 11, 2025, and discussed the rate reform and its impact on service providers.

The next Committee meeting is scheduled for April 8, 2025.

J. Peer Advisory Committee

Ms. Yvonne Kluttz reported that the Committee met on January 15, 2025 and participated in a discussion lead by Ms. Leah Saitz, RCOC's PCT Coordinator. The discussion was on Person-Centered Thinking (PCT) One Page Descriptions and the importance of developing one. The Committee also met on February 19, 2025, and viewed a presentation on *Employment and the Paid Internship Program* by Mr. Cazares.

The next Committee meeting is scheduled for May 21, 2025.

K. Legislative and Community Awareness Report

Mr. Bruce Hall reported that the Committee met on January 14, 2025, and received updates on media outreach, including holiday events such as RCOC's Wish Tree Program that fulfilled the wishes of 641 person served, and the Sensory-Friendly performance of the Nutcracker Ballet hosted by the Irvine Barclay Theatre on December 6, 2024. The Committee also received updates on the *Dialogue* Winter issue, legislative updates and disparity-focused activities.

The next Committee meeting is scheduled for April 8, 2025.

L. ARCA Report

Ms. Martin reported that the Association of Regional Center Agencies (ARCA) Board of Directors met on January 17, 2025 in Sacramento. The Directors discussed creating an on-boarding process for future ARCA Board Delegates.

The next ARCA Board of Directors meeting is scheduled for March 20, 2025.

M. Community Forum

Ms. Minaya Wright from Integrity House invited everyone to attend the 28th Annual RCOC Spotlight Awards Gala on April 25, 2024 from 5:30 to 10:00 p.m. at the Embassy Suites Anaheim-South in Garden Grove.

N. Chairperson's Report

Ms. Martin again acknowledged and congratulated the six persons served recognized tonight who have worked for the same employer for 20 or more years.

Ms. Martin reminded Board members that the next RCOC Board of Directors' training is scheduled for April 3, 2025 on *Adult Day and Employment Services and HCBS Final Rule*.

II. Adjournment

Ms. Martin adjourned the meeting at 7:19 p.m.

Yvonne Kluttz, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
March 6, 2025**

Committee Members Present: Jacqueline Nguyen, Chair
Bruce Hall
Liza Krazner
Sandy Martin (*joined virtually*)
Fernando Peña

Committee Members Absent: Marcell Bassett

Other Board Members Present: Yvonne Kluttz
Amy Jessee
Lorena Medina

Board Counsel Present: Greg E. Simonian

RCOC Staff Present: Larry Landauer, Executive Director
Lilian Castillo, Accounting Manager – Vendorization
Arturo Cazares, Director of Community Services
Nancy Franco, Accounting Manager – OPS
Irma Padilla, Human Resources Specialist
Linda Pham, Accountant
Julie Rodriguez, Accounting Supervisor - Systems
Marta Vasquez, Chief Financial Officer
Audrey Viers, Accounting
Stacy Wong, HR Director

The meeting was called to order at 4:01 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), December 2024 and January 2025

Ms. Vasquez presented the SOARs and reiterated that RCOC received the planning allocation for fiscal year 2024-25. However, submission of the Sufficiency of Allocation Report (SOAR) previously due to the Department of Developmental Services (DDS) on December 10, 2024, has been postponed until further notice. This is due to the increase in workload as a result of the retroactive minimum wage increases effective July 1, 2024 and the full implementation of the DDS Rate Models effective January 1, 2025.

M/S/C to approve the monthly SOARs

The meeting adjourned at 4:14 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, February 2025

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: May 1, 2025

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – February 2025 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted the one-time Sufficiency of Allocation Report (SOAR) for fiscal year 2024-25 to the Department of Developmental Services (DDS) on April 10, 2025. Although RCOC has had a surplus for the past four years; this year RCOC is projecting a deficit of \$25.7 million. RCOC's deficit will be \$17.8 million if all \$7.9 million of the State Plan Amendment receivables are paid.

The challenge affecting this year's projection is the full implementation of the Rate Models, including the Quality Incentive Payments. There are still unknowns regarding some services affected by the Rate Models. The implementation continues to evolve as vendors share their concerns with DDS regarding the various costs and/or requirements included in the Rate Models. The deadline for completing the vendor Acknowledgement Forms to transition to the new subcodes and/or service codes has been extended, a second time, to May 30, 2025.

The projection includes the fiscal impact of prior years' and current year's rate increases.

- the continuation of prior year minimum wage increases,
- the continuation of the Rate Model increase effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
- the continuation of the Rate Model adjustment for Independent Living Services,
- the continuation of the application of the Rate Model rate to Personal Assistance Worker Administration services,
- the continuation of the rate increases for occupational, physical and speech therapy, and
- the continuation of sick leave increases.
- the retroactive minimum wage and IRS mileage rate increases effective July 1, 2024,
- the application of the Rate Model agency rate to Respite Worker Administration services effective July 1, 2024,
- the minimum wage increase effective January 1, 2025,
- the full implementation of the final phase of the Rate Models effective January 1, 2025, (an additional 40% of the difference between the rate calculated by Burns and Associates

and the rate as of March 31, 2022, and 10% in quality incentives for vendors that registered for the Provider Directory).

The projection also includes the projected costs of 601 persons served who are aging out from school to regional center-funded adult day programs.

Year to date, RCOC's caseload increased by 929 for an annualized caseload growth of 4.7%; the regional center system increased 21,866 persons for an annualized caseload growth of 6.7%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

**Monthly Sufficiency of Allocation Report
As of February 28, 2025**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
		ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
	A-2	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	n/a	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1) Licensed Residential Care	\$ 225,955,062	\$ 145,142,582	\$ 217,713,873	226,683,525	0%	-\$728,463	\$0	\$206,633,014
(2) Day Care	1,982,163	592,598	1,665,828	1,982,163	0%	\$0	0	1,499,788
(3) Day Training	85,647,121	62,168,038	93,252,057	94,359,937	-10%	-\$8,712,816	0	76,791,854
(4) Habilitation	9,771,746	5,425,801	8,978,484	9,771,746	0%	\$0	0	8,510,309
(5) Transportation	21,652,981	10,692,261	20,737,383	25,877,202	-20%	-\$4,224,221	0	16,093,890
(6) Respite	73,213,934	42,515,192	85,030,384	85,289,928	-16%	-\$12,075,994	0	57,355,609
(7) Personal Assistance	70,874,436	43,190,308	67,977,514	70,874,436	0%	\$0	0	60,719,741
(8) Supported Living	73,471,566	47,058,482	70,587,723	73,471,566	0%	\$0	0	62,282,919
(9) Non-medical	40,796,805	23,844,341	36,179,571	40,796,805	0%	\$0	0	32,795,486
(10) Medical	16,152,198	9,892,490	14,838,735	16,152,198	0%	\$0	0	11,276,544
(11) Other	87,214,230	52,723,327	78,301,226	87,214,230	0%	\$0	0	63,168,839
(12) Early Start (Age 0-3)	45,883,152	25,730,496	39,415,107	45,883,152	0%	\$0	0	40,278,477
(13) Community Placement Plan	3,006,513	-	-	-	n/a	n/a	0	1,281,386
(14) Purchase of Service Total	755,621,907	468,975,916	734,677,885	778,356,888	-3%	-25,741,494	0	638,687,856
OPERATIONS						-\$17,832,004	If all SPA receivables are paid.	
(15) Operating Expense (Gross)	12,989,075	6,069,150	9,103,725	12,989,075	0%	0	0	6,964,836
(16) Less Interest Income and SPA Fees	-600,000	-1,932,787	-2,899,181	-600,000	0%	0	0	-2,175,915
(17) Operating Expense (Net)	12,389,075	4,136,363	6,204,544	12,389,075	0%	0	0	4,788,921
(18) Personal Services	68,940,980	35,657,107	53,485,661	68,940,980	0%	0	0	48,868,061
(19) Family Resource Center/Services	269,299	142,214	213,321	269,299	0%	0	0	214,330
(20) Operations Total	81,599,354	39,935,683	59,903,525	81,599,354	0%	0	0	53,871,312
(21) Total	\$837,221,261	\$508,911,599	\$794,581,411	\$859,956,242	0%	-\$25,741,494	\$0	\$692,559,168

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF FEBRUARY 28, 2025

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	86,701,011.80	\$89,634.82
Savings	127,712.99	
Money market	0.00	
Payroll	428,537.48	
Donations	211,861.04	
Unemployment	840,751.99	
Certificate of deposit	0.00	
Total current assets	88,310,175.30	89,634.82
RECEIVABLES		
State claim	122,802,597.85	
Client support revenue	30,771.59	1,416.89
Due from State - prior years	43,625,890.66	
Due from ICF - ICF Supplemental Services	5,259,185.04	
Total receivables	171,718,445.14	1,416.89
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
Total prepaid items	293,582.86	0.00
OTHER ASSETS		
Tenant improvements	155,666.58	
Building acquisition	63,613.98	
Total other assets	219,280.56	0.00
TOTAL ASSETS	\$260,541,483.86	\$91,051.71
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$41,673,723.23	\$30,771.59
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	217,815,785.65	
Unemployment insurance	840,113.94	
Total liabilities	260,329,622.82	30,771.59
FUND BALANCES		
General		
Donations	211,861.04	
Custodial		60,280.12
TOTAL LIABILITIES AND FUND BALANCES	\$260,541,483.86	\$91,051.71

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
FEBRUARY 28, 2025

Beginning Balance	\$209,727.98
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Donations:

Halbert Hargrove Global Advisors, LLC	\$1,400.00
Frontstream	<u>31.00</u>

Subtotal Donations	\$1,431.00
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Loan Payments	694.00
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Interest	8.06
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Disbursements	<u>0.00</u>
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Net Increase (Decrease)	<u>2,133.06</u>
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Ending Balance	<u><u>\$211,861.04</u></u>
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, March 2025

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: May 1, 2025

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – March 2025 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC submitted the one-time Sufficiency of Allocation Report (SOAR) for fiscal year 2024-25 to the Department of Developmental Services (DDS) on April 10, 2025. Although RCOC has had a surplus for the past four years; this year RCOC is projecting a deficit of \$25.7 million. RCOC's deficit will be \$17.8 million if all \$7.9 million of the State Plan Amendment receivables are paid.

The challenge affecting this year's projection is the full implementation of the Rate Models, including the Quality Incentive Payments. There are still unknowns regarding some services affected by the Rate Models. The implementation continues to evolve as vendors share their concerns with DDS regarding the various costs and/or requirements included in the Rate Models. The deadline for completing the vendor Acknowledgement Forms to transition to the new subcodes and/or service codes has been extended, a second time, to May 30, 2025.

The projection includes the fiscal impact of prior years' and current year's rate increases.

- the continuation of prior year minimum wage increases,
- the continuation of the Rate Model increase effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
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- the continuation of the application of the Rate Model rate to Personal Assistance Worker Administration services,
- the continuation of the rate increases for occupational, physical and speech therapy, and
- the continuation of sick leave increases.
- the retroactive minimum wage and IRS mileage rate increases effective July 1, 2024,
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and the rate as of March 31, 2022, and 10% in quality incentives for vendors that registered for the Provider Directory).

The projection also includes the projected costs of 601 persons served who are aging out from school to regional center-funded adult day programs.

Year to date, RCOC's caseload increased by 929 for an annualized caseload growth of 4.7%; the regional center system increased 21,866 persons for an annualized caseload growth of 6.7%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

**Monthly Sufficiency of Allocation Report
As of March 31, 2025**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
		ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
	A-2	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
PURCHASE OF SERVICE	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	n/a	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
(1) Licensed Residential Care	\$ 225,955,062	\$ 161,200,112	\$ 216,134,228	226,683,525	0%	-\$728,463	\$0	\$206,633,014
(2) Day Care	1,982,163	642,998	1,675,223	1,982,163	0%	\$0	0	1,499,788
(3) Day Training	85,647,121	69,333,667	92,444,889	94,359,937	-10%	-\$8,712,816	0	76,791,854
(4) Habilitation	9,771,746	5,607,005	8,990,484	9,771,746	0%	\$0	0	8,510,309
(5) Transportation	21,652,981	10,851,533	21,046,287	25,877,202	-20%	-\$4,224,221	0	16,093,890
(6) Respite	73,213,934	42,545,289	85,090,578	85,289,928	-16%	-\$12,075,994	0	57,355,609
(7) Personal Assistance	70,874,436	43,190,308	67,927,090	70,874,436	0%	\$0	0	60,719,741
(8) Supported Living	73,471,566	53,842,559	71,790,079	73,471,566	0%	\$0	0	62,282,919
(9) Non-medical	40,796,805	25,436,952	35,882,433	40,796,805	0%	\$0	0	32,795,486
(10) Medical	16,152,198	11,044,058	16,566,087	16,152,198	0%	\$0	0	11,276,544
(11) Other	87,214,230	53,338,863	78,652,643	87,214,230	0%	\$0	0	63,168,839
(12) Early Start (Age 0-3)	45,883,152	28,015,687	38,997,860	45,883,152	0%	\$0	0	40,278,477
(13) Community Placement Plan	3,006,513	-	-	-	n/a	n/a	0	1,281,386
(14) Purchase of Service Total	755,621,907	505,049,031	735,197,881	778,356,888	-3%	-25,741,494	0	638,687,856
OPERATIONS						-\$17,832,004	If all SPA receivables are paid.	
(15) Operating Expense (Gross)	12,989,075	6,661,979	8,882,639	12,989,075	0%	0	0	7,744,527
(16) Less Interest Income and SPA Fees	-600,000	-2,166,364	-2,888,486	-600,000	0%	0	0	-2,340,983
(17) Operating Expense (Net)	12,389,075	4,495,615	5,994,154	12,389,075	0%	0	0	5,403,544
(18) Personal Services	68,940,980	42,007,373	56,009,831	68,940,980	0%	0	0	50,743,360
(19) Family Resource Center/Services	269,299	161,941	215,921	269,299	0%	0	0	228,214
(20) Operations Total	81,599,354	46,664,929	62,219,905	81,599,354	0%	0	0	56,375,117
(21) Total	\$837,221,261	\$551,713,960	\$797,417,787	\$859,956,242	0%	-\$25,741,494	\$0	\$695,062,973

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF MARCH 31, 2025

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	82,420,282.02	\$91,387.55
Savings	82,913.72	
Money market	0.00	
Payroll	431,766.40	
Donations	208,566.08	
Unemployment	840,721.28	
Certificate of deposit	0.00	
Total current assets	83,984,549.50	91,387.55
RECEIVABLES		
State claim	132,462,197.57	
Client support revenue	13,518.55	1,416.89
Due from State - prior years	42,342,341.67	
Due from ICF - ICF Supplemental Services	5,137,218.10	
Total receivables	179,955,275.89	1,416.89
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
Total prepaid items	293,582.86	0.00
OTHER ASSETS		
Tenant improvements	155,666.58	
Building acquisition	63,613.98	
Total other assets	219,280.56	0.00
TOTAL ASSETS	\$264,452,688.81	\$92,804.44
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$45,624,756.88	\$13,518.55
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	217,815,785.65	
Unemployment insurance	803,580.20	
Total liabilities	264,244,122.73	13,518.55
FUND BALANCES		
General		
Donations	208,566.08	
Custodial		79,285.89
TOTAL LIABILITIES AND FUND BALANCES	\$264,452,688.81	\$92,804.44

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
MARCH 31, 2025

Beginning Balance		\$211,861.04
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Donations:

American Online Giving Foundation	\$25.00
Fidelity Charitable	<u>250.00</u>

Subtotal Donations	\$275.00
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Loan Payments	1,533.00
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Interest	9.04
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Disbursements:

Security Deposit	-1,177.00
Security Deposit	-2,306.00
Security Deposit	-750.00
Rent & Refrigerator	<u>-879.00</u>

Subtotal Disbursements	<u>-5,112.00</u>
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Net Increase (Decrease)	<u>-3,294.96</u>
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Ending Balance	<u><u>\$208,566.08</u></u>
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	X
INFO ONLY	

SUBJECT: Annual Sufficiency of Allocation Report (SOAR), Fiscal Year 2024-2025

BACKGROUND:

In accordance with the contract between regional centers and the Department of Developmental Services (Article III, Section 2, paragraph e.), RCOC is required to report on the sufficiency of the Purchase of Service (POS) allocation for fiscal year 2024-25.

REASON FOR CURRENT ITEM:

To provide additional information on the analysis of the sufficiency of the Purchase of Service (POS) allocation.

FISCAL IMPACT:

None.

RECOMMENDATION:

For discussion only; no action required.

Enter Number of Months Claimed	8
4 for Dec 10th report, once 12 is reached leave at 12)	
Date of POS Payments Cut-Off:	February 28, 2025

REPORT DATE: April 10, 2025

REGIONAL CENTER OF ORANGE COUNTY

POS EXPENDITURE PROJECTION (PEP) SUMMARY

Fiscal Year 2024-25

Actual Expenditures through February

NON-CPP EXPENDITURES (Regular POS Monthly Claims)

Estimated Cost of Current Services

Estimated Growth

CURRENT MONTH	Enter Prior Month	CHANGES
High Estimate	High Estimate	High Estimate
\$774,764,388	\$0	774,764,388
\$3,592,500	\$0	3,592,500

Enter Other Items as necessary, which are not part of the YTD or estimated expenditures which may include but are not limited to:

1.	Deduct allocation for HCBSW Compliance			0
2.	Deduct estimated receipts from ICFs for SPA services.	-7,909,490	0	(7,909,490)
3.	One time adjustment of base			0
4.	Adjustment for SSI CCF Rate			0
5.	SSI/SSP Restoration (Not Yet Paid)			0
6.	Supplemental Rate Increase			0
7.	State of Emergency (SOE) / COVID			0
8.	FEEMA, CARES			0
9.				0
10.				0
TOTAL ESTIMATED EXPENDITURES		\$770,447,398	\$0	\$770,447,398

REGIONAL CENTER:
FISCAL YEAR:
NO. OF MONTHS CLAIMED:

REGIONAL CENTER OF ORANGE COUNTY

Number of remaining Months 4

iscal Year 2024-25
8

NON-CPP EXPENDITURES

Regional Centers may opt to use a
different reasonable methodology to
calculate Estimated Growth

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>	<u>Column 4</u>	<u>Column 5</u>	<u>Column 6</u>	<u>Column 7</u>	<u>Column 8</u>	<u>Column 9</u>	<u>Column 10</u>
Enter YTD Expenditures	Late Bills	Enter Avg # of Consumers per Month (Status 1 & 2)	# of Mos/Days to End Of Year (EOY)	Rate	Projected Costs for Current Consumers	Estimated Cost of Current Services	Enter Consumer Growth/Mo.	Enter Growth Variance, Enter % of	Estimated Growth
Jul-Feb					Mar-Jun				HIGH

OUT-OF-HOME CARE

Community Care Facilities	\$142,124,035	\$3,996,998	2,340	4	\$8,307	\$77,753,520	\$223,874,553	0.0	0.0%	\$0
ICF/SNF Facilities	\$1,353,267	\$240,285	15	4	\$20,257	\$1,215,420	\$2,808,972		0.0%	\$0
Total Out-of-Home Care	\$143,477,302	\$4,237,283				\$78,968,940	\$226,683,525			\$0

DAY PROGRAMS

Day Care	\$592,598	\$759,421	96	4	\$1,641	\$630,144	\$1,982,163		0.0%	\$0
Day Training	\$80,960,882	\$3,467,896	6,685	4	\$1,713	\$45,805,620	\$130,234,398	25.00	0.0%	\$428,250
Supported Employment										
950-SEP Group	\$3,651,014	\$0	275	4	\$2,235	\$2,458,500	\$6,109,514		0.0%	\$0
952-SEP Placement	\$1,666,455	\$217,077	385	4	\$1,155	\$1,778,700	\$3,662,232		0.0%	\$0
Work Activity Program										
954-WAP	\$0	\$0	0	4	#DIV/0!	#DIV/0!	#DIV/0!		0.0%	#DIV/0!
Total Day Programs	\$86,870,949	\$4,444,394				\$50,672,964	\$141,988,307	25.0	0.0%	\$428,250

OTHER SERVICES

Non-Medical: Professional	\$8,982,883	\$1,391,619	1,603	4	\$836	\$5,360,432	\$15,734,934		0.0%	\$0
Non-Medical: Programs	\$15,286,910	471,271	845	4	2,563	8,662,940	24,421,121	25.00	0.0%	640,750
Home Care: Programs	\$11,840	0	5	4	750	15,000	26,840		0.0%	0
Transportation	\$10,685,449	3,666,603	3,680	4	745	10,966,400	25,318,452	75.00	0.0%	558,750
Transportation Contracts	\$0	0	0	4	0	0	0		0.0%	0
Prevention	\$4,945,425	0	1,161	4	725	3,366,900	8,312,325		0.0%	0
Other-Comm. Activity Services 063	\$31,314,444	0	1,059	4	4,609	19,523,724	50,838,168	25.00	0.0%	1,152,250
Other-SSI/SSP Restoration 065	\$154,457	0	363	4	57	82,764	237,221		0.0%	0
Other-SLS 896	\$46,944,766	0	470	4	14,110	26,526,800	73,471,566		0.0%	0
Other Authorized Services	\$62,947,935	4,807,316	5,029	4	1,895	38,119,820	105,875,071	25.00	0.0%	473,750
Personal and Incidentals	\$464,246	0	199	4	292	232,123	696,369		0.0%	0
Hospital Care	\$6,170,320	0	26	4	36,144	3,758,976	9,929,296		0.0%	0
Medical Equipment	\$20,388	0	37	4	92	13,616	34,004		0.0%	0
Medical Service: Professional	\$1,957,631	0	65	4	4,817	1,252,420	3,210,051		0.0%	0
Medical Service: Programs	\$1,701,306	80,821	140	4	2,137	1,196,720	2,978,847		0.0%	0
Respite: In Own Home	\$42,259,270	11,403,107	5,728	4	1,355	31,045,760	84,708,137	25.00	0.0%	338,750
Respite: Out of Home	\$144,985	0	14	4	1,751	98,056	243,041		0.0%	0
Camps	\$38,075	0	6	4	793	19,038	57,113		0.0%	0
Total Other Services	\$234,030,330	\$21,820,737				\$150,241,489	\$406,092,556	175.0	0.0%	\$3,164,250

TOTAL POS

\$464,378,581	\$30,502,414				\$279,883,393	\$774,764,388	200.0	0.0%	\$3,592,500
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REGIONAL CENTER: ORANGE
FISCAL YEAR: 2024-25
NO. OF MONTHS CLAIMED:

DAY PROGRAM EXPENDITURES: NON-CPP

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Day Programs ^{1/}	1		1		2	2	1				1		8
Number of Consumers	6,547	6,602	6,685	6,731	6,666	6,614	6,667	5,972	75				
POS Cost	\$9,935,652	\$10,373,708	\$9,728,958	\$10,867,660	\$9,140,700	\$9,154,958	\$11,423,655	\$10,081,971	\$253,620				\$80,960,882
POS Avg Cost	\$1,517.59	\$1,571.30	\$1,455.34	\$1,614.57	\$1,371.24	\$1,384.18	\$1,713.46	\$1,688.21	\$3,381.60				
Program Days	22	22	20	23	19	20	22	20	21	22	21	21	253
Avg Daily Cost	\$68.98	\$71.42	\$72.77	\$70.20	\$72.17	\$69.21	\$77.88	\$84.41	\$161.03				
YTD Avg Cost		\$70.20	\$71.06	\$70.84	\$71.11	\$70.79	\$71.80	\$73.38	\$83.12				
Supported Employment Program, Group Services- 950 ^{1/}													
Number of Consumers	75	75	75	75	75	75	75	75					
POS Cost	\$480,145	\$496,194	\$453,507	\$492,072	\$406,359	\$404,131	\$481,387	\$437,219					\$3,651,014
POS Avg Cost	\$6,401.93	\$6,615.92	\$6,046.76	\$6,560.96	\$5,418.12	\$5,388.41	\$6,418.49	\$5,829.59					
Program Days	22	22	20	23	19	20	22	20	21	22	21	21	253
Avg Daily Cost	\$291.00	\$300.72	\$302.34	\$285.26	\$285.16	\$269.42	\$291.75	\$291.48					
YTD Avg Cost		\$295.86	\$298.02	\$294.83	\$292.90	\$288.98	\$289.38	\$289.64					
Supported Employment Program Individual Services- 952 ^{1/}													
Number of Consumers	375	383	383	371	385	327	264	255					
POS Cost	\$244,351	\$233,661	\$227,372	\$235,776	\$222,962	\$191,455	\$160,543	\$149,643					\$1,665,763
POS Avg Cost	\$651.60	\$610.08	\$593.66	\$635.51	\$579.12	\$585.49	\$608.12	\$586.84					
Program Days	22	22	20	23	19	20	22	20	21	22	21	21	253
Avg Daily Cost	\$29.62	\$27.73	\$29.68	\$27.63	\$30.48	\$29.27	\$27.64	\$29.34					
YTD Avg Cost		\$28.67	\$29.01	\$28.67	\$29.03	\$29.07	\$28.87	\$28.93					
Transportation 65050													
Number of Consumers	2,607	2,601	2,678	2,683	2,666	2,681	2,686	2,239	17				
POS Cost	\$1,248,021	\$1,303,816	\$1,244,149	\$1,393,276	\$1,200,413	\$1,185,266	\$1,701,267	\$1,400,923	\$8,318				\$10,685,449
POS Avg Cost	\$478.72	\$501.27	\$464.58	\$519.30	\$450.27	\$442.10	\$633.38	\$625.69	\$489.29				
Program Days	22	22	20	23	19	20	22	20	21	22	21	21	253
Avg Daily Cost	\$21.76	\$22.79	\$23.23	\$22.58	\$23.70	\$22.10	\$28.79	\$31.28	\$23.30				
YTD Avg Cost		\$22.27	\$22.59	\$22.59	\$22.81	\$22.69	\$23.56	\$24.53	\$24.39				

^{2/}Work Activity Program (WAP) Days displays the number of the maximum billing days noted on the WAP Billable days letter from the Department. Title 17, section 58880 permits vendors to vary from this schedule as long as the vendors do not exceed the maximum of 251 billing days and the vendor sends a revised schedule to the Regional Center(s).

REGIONAL CENTER OF ORANGE COUNTY

Enter Estimated Late Bills

Jul-Feb

Enter the estimated late bills either on this worksheet or directly on the Non-CPP
The data entered on the Late Bills worksheet automatically posts on the Non-CPP and

NON-CPP

OUT-OF-HOME CARE

Community Care Facilities	\$3,996,998
ICF/SNF Facilities	240,285
Total Out-of-Home Care	\$4,237,283

DAY PROGRAMS

Day Care	759,421
Day Training	3,467,896
Supported Employment	
950-SEP Group	
952-SEP Placement	217,077
Work Activity Program	
954-WAP	
Total Day Programs	\$4,444,394

OTHER SERVICES

Non-Medical: Professional	1,391,619
Non-Medical: Programs	471,271
Home Care: Programs	
Transportation	3,666,603
Transportation Contracts	
Prevention	
Other-Comm. Activity Services 063	
Other-SSI/SSP Restoration 065	
Other-SLS 896	
Other Services	4,807,316
Personal and Incidentals	
Hospital Care	
Medical Equipment	
Medical Service: Professional	
Medical Service: Programs	80,821
Respite: In Own Home	11,403,107
Respite: Out of Home	
Camps	
Total Other Services	\$21,820,737

TOTAL LATE BILLS	\$30,502,414
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Budget Amendment A-2, Fiscal Year 2024-2025

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., A-1, A-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services will allocate additional funds for Operations, Purchase of Services and the Community Placement Plan (CPP).

FISCAL IMPACT:

Operations	\$371,279
Purchase of Services	\$15,508,502
Purchase of Services for CPP Placement.....	\$2,586,954
Total	\$18,466,735

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.

STD 213A (Rev. 4/2020)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 83 PAGES

AGREEMENT NUMBER	AMENDMENT NUMBER	Purchasing Authority Number
HD249014A	2	

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME
Department of Developmental Services

CONTRACTOR NAME
Regional Center of Orange County

2. The term of this Agreement is:

START DATE
July 1, 2024

THROUGH END DATE
June 30, 2031

3. The maximum amount of this Agreement after this Amendment is:
\$837,221,261.00

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

- a. Replaced by this amendment is Exhibit A--Regional Center Contract Language Fiscal Year 2024-2025, in its entirety, which is attached hereto and made part of this contract.
- b. This amendment replaces in its entirety Exhibit A of Regional Center Contract Language Fiscal Year 2024-2025--Contract Budget Summary, A-1, with the Exhibit A of Regional Center Contract Language Fiscal Year 2024-2025--Contract Budget Summary, A-2, attached hereto.
- c. This amendment increases the total contract dollar amount by \$18,466,735.00. New total contract dollar amount not to exceed \$837,221,261.00.

Fiscal Year funds identified above may not be used for any other fiscal year than the fiscal year specified unless authorized by the Department to do so.
All other terms and conditions shall remain the same.

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)
Regional Center of Orange County

CONTRACTOR BUSINESS ADDRESS 1525 N. Tustin Avenue	CITY Santa Ana	STATE CA	ZIP 92705
--	-------------------	-------------	--------------

PRINTED NAME OF PERSON SIGNING Sandy Martin	TITLE Board Chair
--	----------------------

CONTRACTOR AUTHORIZED SIGNATURE	DATE SIGNED
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STD 213A (Rev. 4/2020)

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AGREEMENT NUMBER HD249014A	AMENDMENT NUMBER 2	Purchasing Authority Number
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STATE OF CALIFORNIA

CONTRACTING AGENCY NAME Department of Developmental Services				
CONTRACTING AGENCY ADDRESS 1215 O Street, 10th Floor, MS 10-10		CITY Sacramento	STATE CA	ZIP 95814
PRINTED NAME OF PERSON SIGNING Greg Trahey		TITLE Manager, Contracts Unit		
CONTRACTING AGENCY AUTHORIZED SIGNATURE		DATE SIGNED		
CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL		EXEMPTION (If Applicable)		

2024-25 Through A-2 Contract Budget Summary
Exhibit A, Claiming Categories by Program (Ops, POS, EIP, FRS)

Contracting Agency: Regional Center of Orange County, Inc.

Contract Number

HD249014

TOTAL OPERATIONS (Ops) which include the line items listed below:	\$	81,330,055
<i>Less Separately Claimed Items:</i>	<i>\$</i>	<i>(257,940)</i>
Total Ops Regular Monthly Claim Authority:	\$	81,072,115
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:</i>		
Behavioral Health Services Fund (before Jan 1 2025, Mental Health Services Fund)	\$	-
Total Foster Grandparent Sr Companion Program a/b	\$	-
<i>FGP & Sr. Companion, Total General State Funds^{a/}</i>	<i>\$</i>	<i>-</i>
<i>FGP, General State Fund, Support</i>	<i>\$</i>	<i>-</i>
<i>FGP, General State Fund, Volunteer</i>	<i>\$</i>	<i>-</i>
<i>Sr Companion, State Funds, Support</i>	<i>\$</i>	<i>-</i>
<i>Sr Companion, State Funds, Volunteer</i>	<i>\$</i>	<i>-</i>
<i>FGP, Total Federal^{b/}</i>	<i>\$</i>	<i>-</i>
<i>FGP, Federal Support</i>	<i>\$</i>	<i>-</i>
<i>FGP, Federal Volunteer</i>	<i>\$</i>	<i>-</i>
<i>FGP, Federal Stipend</i>	<i>\$</i>	<i>-</i>
Language Access and Cultural Competency (General Fund)	\$	906,593
Service Access & Equity (SAE) Grant Disparities	\$	-
Total Regular Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) Ops	\$	-
Developmental Center Closure/Ongoing Workload	\$	257,940

TOTAL PURCHASE OF SERVICES (POS) which include the line items listed below:	\$	755,621,907
<i>Less Separately Claimed Items</i>	<i>\$</i>	<i>(5,930,982)</i>
Total POS Regular Monthly Claim Authority	\$	749,690,925
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:</i>		
Part C, POS	\$	2,113,509
HCBSW Compliance	\$	810,960
Total Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) POS	\$	3,006,513
<i>Start-Up</i>	<i>\$</i>	<i>2,586,954</i>
<i>Assessment</i>	<i>\$</i>	<i>-</i>
<i>Placement</i>	<i>\$</i>	<i>419,559</i>

Early Intervention Program (EIP, Other Agency)		
<i>Early Intervention Program, Family Resource Center</i>	<i>\$</i>	<i>140,397</i>

Family Resource Services (FRS)		
	\$	128,902

TOTAL BUDGET	\$	837,221,261
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a/ Senior Companion (GF) Program Title: Senior Companion Program, CFDA # 94.016, Award No.22SCICA002, Performance Period 07/01/22-06/30/25 for the three year grant.

b/ FGP: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No.22SFICA001, Performance Period 07/01/22-06/30/25 for the three year grant.

c/ Part C: CFDA Title: Infant and Toddler/Families (Part C) Program Title: Special Education-Grants for Infants and Families with Disabilities.

CFDA Number: 84.181A, Award No: H181A240037. Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education
Percent of Part C to Total Contract Funding: 0.25%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

**SUBJECT: Draft Form 990, Return of Organization Exempt from Income Tax
for Year Ended June 30, 2024**

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax-exempt organizations to receive a draft of Form 990 prior to filing. The filing deadline is May 15, 2025. Staff will send a draft of the 990 return to the Board prior to filing.

FISCAL IMPACT:

None

RECOMMENDATION:

This is an information item; no action is required.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Second Amendment to Property Lease for Santa Ana Office

BACKGROUND:

RCOC is in its fifteenth year of a 20-year property lease for its Santa Ana office. RCOC has been in its current location since December 2010, and operating under the first amendment property lease that expires on December 31, 2030. Since December 2010, RCOC's caseload has grown to over 27,000 cases and the number of employees has grown to 641 in order to continue supporting those we serve. As a result of all the growth, RCOC requires additional office space and has identified space in the building next door to its Santa Ana office. The owner of RCOC's building has proposed a second amendment to the current lease. The amendment will extend the current building lease to December 31, 2037 and incorporate the additional space.

The additional space includes the entire 2nd floor or 20,589 square feet of the neighboring building located at 1551 N. Tustin. The new space will be used to house service coordinators and their respective supervisors. In addition, the second floor in the existing Santa Ana office will be modified to include meeting rooms to accommodate meetings with those we serve and their families. All visitor traffic will be received at the existing Santa Ana office location (1525 N. Tustin) to eliminate the need and costs for a reception area in the new space.

RCOC's current location, with close proximity to bus stops and the freeway, as well as other amenities, has worked well for persons served, their families, visitors and staff.

REASON FOR CURRENT ITEM:

In accordance with Welfare and Institutions Code, Section 4625.5(a), the governing Board approves contracts of \$250,000 or more.

FISCAL IMPACT:

AGENDA ITEM: III.D.2.f.

The proposed amendment extends the lease for the Santa Ana office by an additional seven (7) years from January 1, 2031 to December 31, 2037. The proposed amendment also includes a new base year in 2031, which will result in significant savings. Effective January 1, 2031, the rate per square foot will decrease from \$3.56 to \$2.72, with a 3% annual increase thereafter.

The proposed amendment will also incorporate the lease for the new space starting November 1, 2025 to December 31, 2037, with a starting rate of \$2.40 per square foot with a 3% annual increase thereafter.

RECOMMENDATION:

That the Board approve execution of the second amendment to the Santa Ana office lease.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Diane Karle-Botello, Early Start Service Coordinator

BACKGROUND:

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding....”

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 “Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants” and 54527 “Financial Interests in Decisions Creating a Conflict of Interest for Employees, Contractors, Agents or Consultants” which provides in pertinent part:

“(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.

Section 54533 “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan” states that:

(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.” (emphasis added)

REASON FOR CURRENT ITEM:

Ms. Diane Karle-Botello is an RCOC Early Start Service Coordinator. Ms. Karle-Botello's daughter has a financial interest in Regional Center operations by virtue of her role as a Teacher's Assistant with No Limits Learning Center, an RCOC provider. For this reason, Ms. Karle-Botello appears to have a conflict of interest under the above discussed statute and regulations.

RCOC has developed a Conflict Resolution Plan to address any potential conflict of interest.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Diane Karle-Botello, Early Start Service Coordinator.

**DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST,
REQUEST FOR WAIVER AND CONFLICT RESOLUTION PLAN
FOR
DIANE KARLE-BOTELLO – EARLY START SERVICE COORDINATOR
REGIONAL CENTER OF ORANGE COUNTY**

I. Law Governing Conflicts of Interest

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

"The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding"

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 "Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants" and 54527 "Financial Interests in Decisions Creating Conflict of Interest for Employees, Contractors, Agents or Consultants" which provides in pertinent part:

"(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.

(b) Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:

- (1) business entity worth two thousand dollars (\$2,000) or more.
- (2) real or personal property worth two thousand dollars (\$2,000) or more in fair market value.
- (3) stocks or bonds worth two thousand dollars (\$2,000) or more.
- (4) intellectual property rights worth five hundred dollars (\$500) or more.
- (5) sources of gross income aggregating five hundred dollars (\$500) or more within prior 12 months.
- (6) future interests for compensation of five hundred dollars (\$500) or more.
- (7) personal finances of two hundred fifty dollars (\$250) or more.

Section 54533 "Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan" states that:

(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board." (emphasis added)

II. Potential Conflict of Ms. Diane Karle-Botello

Diane Karle-Botello is an Early Start Service Coordinator for the Regional Center of Orange County (hereinafter "RCOC" or "the Regional Center"). RCOC's Executive Director, Larry Landauer, confirms that Ms. Karle-Botello is a loyal, effective, productive, and greatly valued employee.

Ms. Karle-Botello's daughter, Alexandria Botello, has a financial interest in Regional Center operations by virtue of her role as a Teacher's Assistant with No Limits Learning Center, an RCOC provider. For this reason, Ms. Karle-Botello appears to have a conflict of interest under the above discussed statute and regulations.

This document constitutes a Disclosure of Potential Conflict, a Conflict Resolution Plan to eliminate any adverse consequences from this relationship, and a Request for Waiver of the conflict from DDS.

A. Ms. Karle-Botello's Position and Duties

As an Early Start Service Coordinator, Ms. Karle-Botello is responsible for a caseload of 53 Early Start persons served providing them with services that are funded at least in part by the Regional Center of Orange County. A copy of her job description is attached as **Exhibit A**.

Ms. Karle-Botello has a caseload of children. She has no role or involvement whatsoever with any matter that might conceivably impact No Limits Learning Center which serves adults.

Further, if any of Ms. Karle-Botello's person served require evaluation, planning or recommendations on a matter related to No Limits Learning Center, Early Start Supervisor, Linda Tran, will take on such matters. The RCOC Organization Chart is attached as **Exhibit B**. With the changes to be made in this Conflict Resolution Plan, no change in the chart will be necessary except that Linda Tran, Early Start Supervisor, will be in the position held by Ms. Karle-Botello with respect to matters involving No Limits Learning Center.

B. Alexandria Botello's Role at No Limits Learning Center

As stated above, Ms. Karle-Botello's daughter, Alexandria Botello, is a Teacher's Assistant at No Limits Learning Center, a vendor of the Regional Center. No Limits Learning Center is a site and community based adult day and independent living program providing services to developmentally disabled persons served. No Limits Learning Center's first service was vendored on January 28, 2015. RCOC paid \$1,937,684 for No Limits Learning Center's two programs consisting of Adult Development Center and Transportation which served approximately 114 persons served last year. As a Teacher's Assistant, Ms. Botello's duties consist of assisting clients in the classroom, facilitating fine motor skills activities and supervising meal times.

III. Conflict Resolution Plan

The Regional Center Executive Director and Board of Directors have concluded that Ms. Karle-Botello provides great value to the persons served of RCOC. After consideration of the totality of the circumstances and a careful review of the facts, the Executive Director and Board of Directors believe it is in the best interests of the Regional Center to create and implement a Conflict Resolution Plan to eliminate any adverse consequences from this relationship and seek a waiver from DDS.

Ms. Karle-Botello has no duties that relate to No Limits Learning Center.

The Regional Center's and Ms. Karle-Botello's suggested Conflict Resolution Plan of this potential conflict of interest is as follows:

Ms. Karle-Botello will continue with her caseload of children.

1. Ms. Karle-Botello will, in every conceivable manner, cease interacting with No Limits Learning Center or those who do interact with No Limits Learning Center.
2. Ms. Karle-Botello will not participate in the consideration, preparation, review, presentation, formulation or approval of any report, plan, opinion, recommendation or action regarding RCOC vendor No Limits Learning Center.
3. Ms. Karle-Botello will not review or participate in any discussions, recommendations or decisions about Purchase of Service authorizations for this vendor.
4. Ms. Karle-Botello will not review or in any way participate in the preparation, consideration, or any follow-up related to Special Incident Reports from or about this vendor.
5. Ms. Karle-Botello will not create, review, or in any way participate in, any corrective action plans for this vendor.
6. Ms. Karle-Botello will not participate in any discussions, recommendations, actions, or resolutions of any regarding complaints about this vendor.
7. The Regional Center and Ms. Karle-Botello agree that Ms. Karle-Botello will take no part in vendor appeals or fair hearings involving No Limits Learning Center.
8. The Regional Center and Ms. Karle-Botello will ensure that Ms. Karle-Botello will not access vendor files to No Limits Learning Center either in their electronic or hard copy form.
9. Ms. Karle-Botello will not be involved in the negotiation, discussion, obligation or commitment of RCOC to a course of action involving RCOC vendor No Limits Learning Center.
10. Further, if Ms. Karle-Botello has matters relating to No Limits Learning Center, Early Start Supervisor, Linda Tran, will take on such matters.
11. The RCOC management staff will be informed about this Conflict Resolution Plan, and they will be informed of the need to ensure that Ms. Karle-Botello has no involvement whatsoever in any action or business involving or affecting RCOC vendor No Limits Learning Center.

12. RCOC will communicate to the employees Ms. Karle-Botello's Conflict Resolution Plan and the need to ensure that Ms. Karle-Botello plays no role whatsoever in any action involving or affecting RCOC vendor No Limits Learning Center.

13. The RCOC Board of Directors has been informed of, and supports, this Conflict Resolution Plan and Request for Waiver of Potential Conflict of Interest.

IV. Request For Waiver

For the reasons provided above, and in accordance with the Conflict Resolution Plan set forth above, the Regional Center of Orange County hereby requests that DDS grant a waiver of the conflict in this matter.

Respectfully submitted,

By: _____
Diane Karle-Botello, Early Start Service Coordinator

Date: _____

By: _____
Linda Tran, Early Start Supervisor

Date: _____

By: _____
Araceli Mendez, Early Start Manager

Date: _____

By: _____
Jennifer Montanez, Director, Case Management

Date: _____

By: _____
Larry Landauer, Executive Director

Date: _____

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Larry Landauer
Executive Director

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Information Regarding Amendment to Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2324-10)(Faye)

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH). This facility will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property acquisition agreement with non-profit housing corporation Brilliant Corners on June 6, 2024 in the amount of \$350,000.

REASON FOR CURRENT ITEM:

RCOC and Brilliant Corners identified a property in Garden Grove with a purchase price of \$1.2 million. When reviewing the property for approval, DDS decided to allocate an additional \$339,000 in CRDP start-up funding to go toward the down payment on the property. This additional funding will reduce Brilliant Corners' monthly mortgage payments, and in turn reduce the monthly rent for the service provider who leases the home. This additional funding required an amendment to the original agreement approved by the Board. The Board was notified of the amendment to the acquisition agreement in accordance with RCOC's Contract Policy on April 9, 2025, and the amendment was subsequently executed by the Executive Director.

FISCAL IMPACT:

DDS will allocate an additional \$339,000 in RCOC's CRDP for acquisition costs.

RECOMMENDATIONS:

This is an information item; no action is required.

AMENDMENT NO. 1 TO AGREEMENT BY AND BETWEEN
REGIONAL CENTER OF ORANGE COUNTY
AND BRILLIANT CORNERS
FOR ACQUISITION OF HOUSING
RCOC CRDP PROJECT NO. 2324-10

This Amendment No. 1 to Agreement (Amendment) is entered into and effective this 15 day of April, 2025, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as "RCOC," and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as "NPO."

RECITALS

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the acquisition of property to be used for the development of an Enhanced Behavioral Supports Home (EBSH) to serve regional center persons served; and

B. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase in the CRDP funds allocated for acquisition costs from \$350,000 to \$689,000; and

C. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

"9. **MAXIMUM PAYMENT OBLIGATION.** The "Maximum Payment Obligation" of RCOC to NPO under this Agreement shall be Six Hundred Eighty Nine Thousand Dollars (\$689,000) ("CRDP Funds").

- a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work will exceed \$689,000, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$689,000, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$689,000, RCOC shall retain the remaining CRDP Funds to be returned to DDS.

- i. Renovation Funding. RCOC and NPO anticipate some renovation to the Property may be necessary in order for the Property to be used for an EBSH. Renovation funding, if any, provided by RCOC for renovation costs will be negotiated as part of a separate agreement and is not provided for under this Agreement nor guaranteed. In the event that RCOC and NPO are unable to reach an agreement regarding funding for renovation costs, NPO shall be solely responsible for any and all costs associated with any and all renovations necessary in order for the Property to be used for a EBSH.
 - ii. Financing. Subject to DDS approval, NPO shall be permitted to obtain funding for additional costs associated with acquisition of the Property above the Maximum Payment Obligation through a lender ("Senior Lender").
 - 1. Under no circumstances shall CRDP Funds and the Senior Lender loan be utilized for the same cost or expense.
 - 2. NPO shall obtain and provide to RCOC an executed Agreement to Provide Notice and Cure Rights from the Senior Lender in the form attached hereto as Appendix "G" to Exhibit "B" no later than the close of escrow.
 - 3. Any Senior Lender loan on the Property shall not exceed a 25-year term, and shall not include adjustable rate or balloon payment loan options.
 - 4. NPO shall provide a minimum down payment amount of 20% of the purchase price of the Property.”
2. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

REGIONAL CENTER OF ORANGE COUNTY

DocuSigned by:

Larry Landauer

E3961964CF7A43C...

Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

DocuSigned by:

Serena Fields

AAAAEB9551BE486...

Serena Fields, Director of Housing Development and Management

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Amendment No. 1 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2324-10/2425-2)(Faye)

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH) to serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement for \$400,000 with non-profit housing corporation Brilliant Corners on January 9, 2025. Brilliant Corners has acquired the property where the facility will be located, and DDS has approved additional funding to complete the renovations that the home requires.

REASON FOR CURRENT ITEM:

DDS has approved an additional \$215,216 in RCOC's CRDP funding for fiscal year 2023-2024 for renovation costs. The request for additional renovation funding was due to a need to address the following: (1) reframing of the home to create a layout to accommodate four bedrooms; (2) completing a large amount of concrete work to create more off-street parking; (3) replacing existing brick and tile throughout the home for durability; (5) demolishing an unpermitted addition to allow for more backyard space; (6) installing a new electrical panel and subpanel; (7) installing a fire sprinkler system; (8) installing a new 5-ton HVAC system with a split system unit; (9) replacing windows throughout the home with double pane windows; and (10) reinforcing walls throughout the home.

FISCAL IMPACT:

DDS will allocate an additional \$215,216 in RCOC's CRDP funding, for a total of \$615,216 in renovation funds for fiscal year 2023-2024.

RECOMMENDATIONS:

That the Board approve the Amendment to the Property Renovation Agreement as presented.

AMENDMENT NO. 1 TO AGREEMENT BY AND BETWEEN
REGIONAL CENTER OF ORANGE COUNTY
AND BRILLIANT CORNERS
FOR DEVELOPMENT OF HOUSING
RCOC CRDP PROJECT NO. 2324-10/2425-2

This Amendment No. 1 to Agreement (Amendment) is entered into and effective this ____ day of _____, 2025, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as “RCOC,” and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as “NPO.”

RECITALS

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for an Enhanced Behavioral Supports Home (EBSH) to serve regional center persons served; and

B. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase in the CRDP funds allocated for renovation costs from \$400,000 to \$615,216; and

C. WHEREAS, DDS deallocated funds originally awarded for fiscal year 2024-2025 and allocated \$615,216 in CRDP funds for fiscal year 2023-2024; and

D. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

“9. **MAXIMUM PAYMENT OBLIGATION.** The “Maximum Payment Obligation” of RCOC to NPO under this Agreement shall be Six Hundred Fifteen Thousand Two Hundred Sixteen Dollars (\$615,216) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$615,216, the Maximum Payment Obligation shall be deemed to be that

lower amount. In the event that the Maximum Payment Obligation is less than \$615,216, RCOC shall retain the remaining CRDP Funds to be returned to DDS.”

2. Section 11.e. of the Agreement is hereby amended to read as follows:

“e. Deadline to Expend Funds. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse renovation funds to NPO is June 30, 2026, and NPO must submit invoices no later than March 5, 2026; NPO must therefore complete all renovations prior to March 5, 2026, unless directed otherwise in writing by RCOC. All of RCOC’s disbursements are conditioned upon NPO’s compliance with the project milestones for the Property by such deadline; provided, however, the deadline for NPO to satisfy the Second Milestone for the Property shall be the earlier of the above deadline date or the 90th day after NPO purchases the Property.”

3. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

REGIONAL CENTER OF ORANGE COUNTY

Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

Name/Title: _____

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Start-Up Funding Agreement with University of New Hampshire for Development of START Program (CRDP Project No. 2324-6)

BACKGROUND:

The Department of Developmental Services (DDS) has made an allocation to RCOC's Community Resource Development Plan (CRDP) for the development of the Systemic, Therapeutic, Assessment, Resources, and Treatment (START) program. START is a community-based model of crisis prevention and intervention for individuals with intellectual and developmental disabilities and mental health needs. START operates on a three-part model: (1) training outreach and education to the system; (2) assessment and identification of strengths, needs and challenges; and (3) in-person emergency assessment with crisis follow-up. The program works to build a strong foundation for crisis support for individuals across their lifespan.

REASON FOR CURRENT ITEM:

DDS has allocated \$961,954 in CRDP start-up funding for the provider that will develop the START program. On December 17, 2024, RCOC posted a Request for Proposals (RFP) for a provider; one response was received from the University of New Hampshire, Institute on Disability National Center for START Services (NCSS). NCSS is currently providing START services at sixteen other regional centers throughout California. The RFP Review Committee evaluated the proposal and interviewed NCSS. The RFP Review Committee is in agreement that NCSS is able to successfully complete the project if awarded and recommends awarding the project to NCSS. Because NCSS is based in New Hampshire, RCOC sought approval from DDS to contract with a provider outside of California; DDS provided approval on March 5, 2025.

FISCAL IMPACT:

DDS has allocated \$961,954 in CRDP start-up funds for the restricted use as defined above.

RECOMMENDATIONS:

That the Board approve the Start-Up Funding Agreement as presented.

**AGREEMENT FOR START- UP FUNDS FOR
DEVELOPMENT OF SYSTEMIC, THERAPEUTIC, ASSESSMENT, RESOURCES, AND
TREATMENT (START) PROGRAM
BETWEEN REGIONAL CENTER OF ORANGE COUNTY
AND
UNIVERSITY OF NEW HAMPSHIRE

RCOC CRDP PROJECT NO. 2425-8**

This agreement ("Agreement") is made and entered into this ____ day of May, 2025 ("Effective Date"), by and between the Regional Center of Orange County, a California nonprofit corporation ("RCOC") and University of New Hampshire ("Contractor"), which will be providing services through its Institute on Disability, National Center for START Services. RCOC and Contractor shall be jointly referred to as the "Parties".

RECITALS

WHEREAS, pursuant to a contract with the California Department of Developmental Services ("DDS") RCOC provides services to individuals with developmental disabilities ("RCOC Persons Served"); and

WHEREAS, DDS has allocated Community Resource Development Plan ("CRDP") Start-Up Funds ("Start-Up Funds") to RCOC to develop a Systemic, Therapeutic, Assessment, Resources, and Treatment Program ("START") to meet the needs of RCOC Persons Served; and

WHEREAS, Contractor submitted a proposal ("Contractor's Proposal") to develop the START program to support RCOC Persons Served in response to RCOC's Request for Proposals dated December 16, 2024 ("RFP"); and

WHEREAS, Contractor will support development of the START program, a person-centered, evidence based, community-based tertiary care crisis intervention and stabilization system for individuals with intellectual and developmental disabilities and co-occurring behavioral health issues (the "Program");

NOW THEREFORE, on the basis of the foregoing Recitals and in consideration of the covenants, conditions and representations contained in this Agreement, it is mutually agreed between the Parties as follows:

1. **ENTIRE AGREEMENT**

This Agreement constitutes the entire Agreement between the Parties, pertaining to the subject matter contained herein and supersedes all prior agreements, representations, and understandings of the Parties, either oral or written. No supplement, modification or

amendment of this Agreement shall be binding unless executed in writing by both Parties. The recitals set forth above are fully incorporated herein.

2. TERM OF THE AGREEMENT

Subject to the provisions for earlier termination provided herein, the term of this Agreement shall be from the Effective Date to the earlier of the date this Agreement is terminated by RCOC or Contractor no longer operates the Program.

3. CONTRACTOR COMPENSATION

The total not to exceed compensation payable by RCOC to Contractor is Nine Hundred Sixty-One Thousand Nine Hundred Fifty-Four Dollars (\$961,954.00). It is understood and agreed that the Start-Up Funds provided under this Agreement may not cover the complete cost of Contractor's obligations under this Agreement. Any additional funds that Contractor may need to fulfill its obligations to meet the requirements of this Agreement shall be contributed by Contractor as set forth in Paragraph 4 of this Agreement. To the extent that any Start-Up funds are paid, but not used by Contractor as authorized by this Agreement, they shall be returned to RCOC within ten (10) business days of demand, which funds will then be returned to DDS.

4. SERVICES TO BE PERFORMED BY CONTRACTOR

- a. Contractor agrees to provide services as specified in this Agreement, which Agreement includes the RFP and Contractor's Proposal. The RFP is attached hereto as Exhibit A and incorporated herein by reference. Contractor's Proposal is attached to this Agreement as Exhibit B, and incorporated herein by reference. These Agreement documents are to be interpreted as complementary, but in the event of any conflict among the Agreement documents, the order of precedence shall be this Agreement, the RFP and Contractor's Proposal.
- b. As provided in this Agreement, Contractor agrees to develop a START program within RCOC's service area. The Program shall be a person-centered, evidence based, community based tertiary care crisis intervention and stabilization system for individuals with intellectual and developmental disabilities and co-occurring behavioral health issues. Contractor shall develop a comprehensive service design that specifies evaluation and assessment procedures, education, training, mentoring, and community outreach strategies to ensure effective outcomes.
- c. Contractor shall develop the Program in accordance with the 4-year Timeline and milestones set forth in Contractor's Proposal (Exhibit B).

5. NOTICES

All correspondence, notices, requests and demands shall be deemed received and effective five (5) days from mailing. All notices and demands shall be served by registered or certified mail. All correspondence, notices, requests and demands are to be delivered to the respective Agreement managers at the following addresses:

If to RCOC:

Jack Stanton
Associate Director, Housing
Regional Center of Orange County
1525 North Tustin Avenue
Santa Ana, CA 92705
Phone: (714) 796-5100
E-mail: jstanton@rcocdd.com

With a Copy to:

Christina Petteruto
General Counsel
Regional Center of Orange County
1525 North Tustin Avenue
Santa Ana, CA 92705
Phone: (714) 796-5100
E-Mail: cpetteruto@rcocdd.com

If to the Contractor:

University of New Hampshire, Institute on
Disability
National Center for START Services
Attn: Mila Bohlin and Karen Weigle
10 West Edge Drive, Suite 101
Durham, NH 03824
Phone: (603) 862-4320

6. CONTRACTOR INVOICE

- a. Contractor shall submit to RCOC electronic invoices and reports in accordance with the Budget and Payment Schedule attached hereto as Exhibit C and incorporated herein by reference. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse Start-up funds to Contractor is June 30, 2027, and Contractor must submit all invoices no later than March 5, 2027. RCOC shall have no obligation to pay invoices Contractor submits after March 5, 2027.
- b. Final documentation reasonably acceptable to RCOC supporting completion of the milestones and activities set forth in the Timeline in Contractor's Proposal (Exhibit B) and consistent with the Budget and Payment Schedule (Exhibit C) shall be submitted by Contractor within four (4) years of the Effective Date. RCOC will require repayment of Start-up Funds paid to Contractor to the extent receipts and reports are inconsistent with the Budget set forth in Exhibit C.

7. MONITORING BY RCOC

RCOC has the authority to monitor Contractor's performance under this Agreement. Contractor shall extend its full cooperation to RCOC in performance of monitoring activities.

8. AUDIT AND INSPECTION OF RECORDS

- a. Contractor agrees to maintain and make available to RCOC and to DDS accurate books, invoices, receipts and accounting records relative to its costs and expenses (hereinafter collectively referred to as the "records") to the extent and in such detail as will properly reflect all net costs, direct and indirect, of labor, materials, equipment, supplies and services or other costs and expenses of whatever nature for which reimbursement is claimed under the provisions of this Agreement.
- b. Contractor will permit RCOC, DDS, and any authorized agency representative with oversight responsibilities to audit, examine and make excerpts, reproductions and transcripts from such records related to all matters covered by this Agreement. Contractor shall maintain such data and records in an accessible location and condition for a period of not less than five (5) years after final payment under this Agreement, or until after a final audit has been resolved, whichever is later. The records shall be available during RCOC's regular business hours.
- c. All audits shall be conducted in accordance with the provisions of Section 50606 of Title 17. Contractor shall be bound by Section 50700, *et seq.*, of Title 17 should Contractor elect to appeal any audit finding or recommendation.
- d. The State of California and any federal agency having an interest in the subject of this Agreement shall have the same rights conferred upon RCOC by this section.
- e. Contractor shall accept financial liability for any and all audit findings and/or recommendations disclosed by audit and promptly repay amounts owed unless such findings and/or recommendations are appealed and liquidation is stayed pursuant to Section 50705 of Title 17.

9. MANNER OF PAYMENT OF FUNDS

The payments hereunder shall be made in accordance with the Budget and Payment Schedule (Exhibit C) and approval of the invoices and reports by RCOC. RCOC will pay invoices within 45 days of approval thereof.

10. EQUIPMENT AND MATERIALS ARE PROPERTY OF THE STATE

- a. All equipment, material, supplies, or property (collectively, "Property") of any kind purchased from Start-Up Funds and not fully consumed shall be the property of the State. Contractor shall submit a list of any Property with a unit cost of \$2,000 using a form approved by RCOC. Final determination of the destination of such equipment shall be in accordance with instructions from the State.
- b. In the event that this Agreement terminates prior to the expiration of its term or thereafter, if instructed by DDS, RCOC may repossess any Property.
- c. Contractor agrees that all reports and documents produced as part of this Agreement shall remain the property of DDS and/or RCOC.

11. CONTRACTOR'S USE of START-UP FUNDS

- a. The Budget and Payment Schedule (Exhibit C) shall be followed in determining Contractor expenditures which are allowable under this Agreement.
- b. Prior written authorization from RCOC will be required for expenditures not previously approved through the Contractor's Proposal, this Agreement or Exhibit C, before any payment will be made. In seeking such authorization, the Contractor

must submit a request for authorization which includes sufficient information for RCOC to evaluate the desirability of incurring such costs and its reasonableness.

12. VENDOR STATUS NEEDED

Contractor shall comply with all applicable RCOC vendor requirements to obtain and preserve a current vendor status with RCOC.

13. OFFICIALS NOT TO BENEFIT

No member of or delegate to Congress or the State Legislature shall share in or receive any financial benefit of this Agreement.

14. NONDISCRIMINATION IN SERVICES, BENEFITS, AND FACILITIES/PROGRAMS

- a. During the performance of this Agreement, Contractor and its subcontractor(s) shall not discriminate against any person on the basis of religion, color, ethnic group identification, sex, sexual orientation, age, physical or mental disability, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, mental disability, medical condition, marital status, age (over 40), sex or sexual orientation. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination.
- b. Contractor and its subcontractor(s) shall give written notice of their obligations, where applicable, under this paragraph to labor organizations with which they have a collective bargaining or other agreement.
- c. Contractor shall include the nondiscrimination and compliance provisions of this paragraph in all subcontracts to perform work under this Agreement.
- d. In the event of the Contractor's noncompliance with the discrimination provisions of this Agreement or with any applicable Federal rules, regulations, or orders, this Agreement may be canceled, terminated, or suspended in whole or in part and Contractor may be declared ineligible for further State contracts as provided by law.
- e. RCOC may take such action with respect to this Agreement as the State of California may direct as a means of enforcing provisions pertaining to discrimination, including sanctions for noncompliance.

15. TERMINATION

- a. It is expressly understood and agreed that in the event that Contractor fails to perform its obligations under this Agreement, this Agreement may be terminated by RCOC for cause and all of Contractor's rights hereunder shall be terminated. Such termination for cause shall be effective immediately upon delivery of written notice thereof by RCOC. Immediately upon receipt of such written notice, Contractor shall, unless otherwise directed by RCOC, commence no new work and shall cease all work already begun under this Agreement. Within 14 calendar days after receipt of such written notice, Contractor shall provide RCOC with a list of all Property purchased with Start-Up Funds, if any, and shall surrender same as requested by RCOC.

- b. Contractor acknowledges that its obligation to provide services under this Agreement extends through May 5, 2029, although RCOC will pay for all such services in full by June 30, 2027. Therefore, should Contractor fail to develop and substantially perform its services as set forth in this Agreement during the term of this Agreement and continuing through May 5, 2029, Contractor shall promptly return to RCOC any compensation received by Contractor under this Agreement.
- c. RCOC shall have the right to suspend or terminate this Agreement for cause upon the occurrence of a number of factors which include, but are not limited to, the following:
 - (1) Failure or refusal of the Contractor to perform or do any act herein required.
 - (2) Conduct or conditions which are detrimental to the safety and well-being of a RCOC Person Served.
 - (3) Contractor's loss of any license(s), accreditation(s), or certification(s) required for this Agreement.
 - (4) Failure to maintain practices consistent with good management, such as, but no limited to:
 - (A) Failure to maintain any required insurance, pay payroll taxes or other payments required by law.
 - (B) Failure to adhere to established accounting and fiscal practices for the work provided under this Agreement.

16. FUNDING CONTINGENCY

a. Notwithstanding anything in this Agreement to the contrary, the validity of this Agreement (including RCOC's obligation to remit payments to Contractor) is conditioned on RCOC's receipt of adequate funds from DDS to pay for the services described in this Agreement (the "Funding Contingency"). The Funding Contingency is a part of this Agreement because RCOC's annual funding agreement with DDS provides that such funding agreement is subject to the appropriation of funds by the Legislature, and that if such funds are not appropriated for any fiscal year into which such funding agreement extends, the funding agreement is of no force and effect. Further, in the annual funding agreement DDS will specifically allocate a specific amount of funding for the Project covered by this Agreement. RCOC shall therefore have the right and option to terminate this Agreement without liability, and such termination shall be deemed a failure of the Funding Contingency, if (1) DDS for any reason fails to allocate funds to RCOC for any period covered by this Agreement for the Project; or (2) DDS allocates funds to RCOC for a period covered by this Agreement which RCOC reasonably determines are inadequate to pay for all of the Contractor services and other expenses which RCOC expects to incur in such fiscal year as to any services. In such an event, RCOC has the absolute discretion to elect to fund other services rather than the services identified in this Agreement. When insufficient funds exist for RCOC to pay for all potential services to its Persons Served, RCOC shall have the right, under clause (2) above, in its sole and absolute discretion to fund services other than the services identified in this Agreement, based on which services RCOC believes are in the best interests of its Persons Served. If there is a failure of the Funding Contingency, then (1) RCOC shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and (2) neither party shall be obligated to further perform any provisions of this Agreement.

b. In addition to the above, if there are insufficient funds available from DDS to pay for all of the Contractor services and other CRDP expenses which RCOC expects to incur in any fiscal year, as determined by RCOC in its sole and absolute discretion, RCOC shall have the option at any time, on 30 days' notice to Contractor, to reduce the amount of services being provided under this Agreement. In such

event, the parties will in good faith negotiate to attempt to agree on Contractor's new amount of compensation under the modified agreement. If the parties are unable to agree on Contractor's new compensation for its reduced services within such 30 day period, RCOC shall then either (1) terminate this Agreement because of the failure of a Funding Contingency or (2) rescind its reduction of Contractor's services, in which event this Agreement shall continue in full force and effect without such reduction in services or compensation.

17. APPLICABLE LAWS

Contractor shall render services in accordance with the applicable provisions of federal and California laws, including, but not limited to, Welfare and Institutions Code § 4500 et seq., and Title 17 of the California Code of Regulations.

18. INDEPENDENT CONTRACTOR

- a. Contractor and its agents and employees, in performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of RCOC or DDS.
- b. Contractor shall be wholly responsible for the manner in which Contractor and its employees perform the services required of Contractor by the terms of this Agreement.
- c. Contractor agrees to be solely responsible for all matters relating to payment of its employees, including compliance with Social Security and income tax withholdings and all other regulations governing such matters.
- d. Contractor shall not be, or in any manner represent, imply or hold itself out to be an agent, partner or representative of RCOC. Contractor has no right or authority to bind or represent RCOC. The only relationship between Contractor and RCOC is that of independent contractors and neither shall be responsible for any obligations, liabilities or expenses of the other, or any act or omission of the other, except as expressly set forth herein.

19. ASSIGNMENT, TRANSFER AND SUBCONTRACTING

- a. Contractor shall not assign any part of this Agreement or an interest therein, without the prior written approval of the Director of RCOC. The experience, skill, knowledge, good judgment, discretion, capability and reputation of Contractor, its principles, officers, directors, owners and employees were a substantial inducement for RCOC to enter into this Agreement.
- b. RCOC shall not be responsible for any payments of any kind directly to any subcontractors under any circumstance and shall not have any liability for any actions of any subcontractors.

20. AMENDMENT BY LAW

Any provision of this Agreement in conflict with statutes or regulations is hereby amended to conform to the provisions of those statutes and regulations. Such amendment of the Agreement shall be binding on the parties even though such amendment may not have been reduced to writing and formally agreed upon and executed by the Parties. RCOC must in good faith notify Contractor upon its gaining notice of any amendment or new law which would affect this Agreement. If the amendment or any portion of this Agreement is held to be unenforceable and would substantially defeat the reasonable expectations of the

Parties in entering into this Agreement then this Agreement may be terminated by either Party.

21. CONFIDENTIALITY OF RECORDS

The Contractor shall maintain confidentiality of records in accordance with state and federal law, including, but not limited to, the provisions of Welfare and Institutions Code §§ 4514, 5328, and 14100.2. The Contractor and all employees shall respect the confidentiality of all RCOC Person Served information they receive.

22. TIME OF THE ESSENCE

Time is of the essence in the performance of this Agreement.

23. FUTURE COOPERATION

Contractor agrees to cooperate with RCOC and will return as soon as possible all documents submitted by RCOC which may be required by state or federal laws or regulations, including but not limited to the IRS W-9 form.

24. INDEMNITY AND HOLD HARMLESS AGREEMENT

Contractor shall indemnify, defend and hold harmless DDS, RCOC, and their officers, agents and employees (collectively, "Indemnified Parties") from and against any and all alleged claims, causes of action, suits, judgments, investigations and losses (collectively, "Indemnified Claims") arising out of or related to the following:

- a. Any alleged culpable act, error, omission, negligence, fraud, recklessness or willful misconduct of Contractor or by any person, firm, corporation or other entity rendering any services under this Agreement on behalf of the Contractor, either directly or indirectly.
- b. Any failure by Contractor to perform services under this Agreement.
- c. To the extent permitted by law, any claim that RCOC failed to sufficiently monitor Contractor's care and supervision of an RCOC Person Served.

Contractor at its own expense and risk shall defend any Indemnified Claim brought against the Indemnified Parties with attorneys that are reasonably satisfactory to the Indemnified Parties. An Indemnified Party may assume its own defense by delivering written notice to Contractor of such election and Contractor shall pay therefore if a conflict exists in the litigation as between the Indemnified Party and the Contractor, the Contractor is not providing an effective defense, or the Contractor lacks the financial capability to satisfy potential liability and/or an effective defense. Contractor shall pay and satisfy any settlement or any judgment which may be rendered against the Indemnified Parties from an Indemnified Claim; provided that this indemnity section shall not apply to claims arising out of the active negligence or willful misconduct of the Indemnified Parties.

25. WAIVER

No waiver of a breach of any provision of this Agreement by either Party shall constitute a waiver of any other breach of this Agreement. Failure of either Party to enforce at any time, or from time to time, any provisions of this Agreement shall not be construed as a waiver thereof. The remedies herein reserved shall be cumulative and additional to any other remedies in law or equity.

26. DISPUTE AND ATTORNEYS' FEES

- a. The parties agree that any dispute arising out of this Agreement shall be subject to the following:
 - i. If the dispute is of a type governed by the vendor appeal process, then the dispute shall be resolved in accordance with Title 17 regulations.
 - ii. In the event of any dispute or litigation, including arbitration, arising out of, or relating to this Agreement, the prevailing party shall be entitled to recover reasonable attorney's fees and costs.

27. INSURANCE

Without limiting Contractor's liability for indemnification of RCOC as set forth in Section 24 above, Contractor shall obtain and maintain in effect, during the term of this Agreement, the following insurance coverage and provisions:

- a. Evidence of Coverage. Prior to commencement of any work under this Agreement, Contractor shall provide on an insurance industry approved form a Certificate of Insurance certifying that coverage as required in this Paragraph 27 has been obtained and remains in force for the period required by this Agreement. In addition, Contractor shall produce a certified copy of the policy or policies to RCOC upon request. Each policy shall meet the following requirements:
 - i. Additional Insured Endorsement. Except for Workers' Compensation insurance, each policy shall include an endorsement evidencing that the policy also applies to RCOC and DDS, their officers, directors, agents, employees and volunteers, as additional insureds against loss or liability caused by or connected with Contractor's performance or non-performance under this Agreement.
 - (1) Primary Insurance Endorsement. Each policy shall include an endorsement evidencing that the policy afforded by the additional insured endorsement shall apply as primary insurance, and other insurance maintained by DDS or RCOC, their officers, directors, agents, and employees shall be excess only and not contributing with insurance provided under this policy.
 - ii. Notice of Cancellation or Change of Coverage Endorsement. Each policy shall include an endorsement evidencing that the policy shall not be canceled or changed so as to no longer meet the specified RCOC insurance requirements without thirty (30) days prior written notice of the cancellation or change being delivered to RCOC at the address shown on the Certificate of Insurance.

- iii. Separation Clause Endorsement. Each policy shall include an endorsement evidencing that the policy provides coverage separately to each insured who is seeking coverage or against whom a claim is made or a suit is brought, except with respect to the company's limit of liability.
- iv. Termination of Insurance. If insurance is terminated for any reason, Contractor agrees to purchase an extended reporting provision of at least two (2) years to report claims arising from work performed, or any action or any inaction in connection with this Agreement.
- v. Qualifying Insurers. All coverages shall be issued by insurance companies that must be:
 - (1) Rated A-:VII or better according to the current Best's Key Rating Guide/Property-Casualty/United States; or
 - (2) A company of equal financial stability that is approved by Project Manager or his/her designee; and
 - (3) Admitted in the State of California.
- vi. Deductible Amounts in Standard Policy. Any policy deductible or self-insured retention on any insurance policy (except auto) which exceeds \$10,000 requires prior written approval of Project Manager or his/her designee. Any policy deductible or self-insured retention on automobile liability over \$5,000 requires prior written approval of RCOC. No approved deductible shall in any way limit liabilities assumed by Contractor under this Agreement.
- vii. Subcontractor Insurance Requirements. Should any of the Services under this Agreement be provided by a subcontractor, Contractor shall require each subcontractor (of any tier) to provide the coverages specified in this Section 27, or Contractor may insure any subcontractor under its own policies.
- viii. Occurrence vs. Claims Based Insurance. All policies are required to be written on an occurrence basis.
- b. Types of Insurance Policies/Coverage Required. Contractor shall provide insurance through a policy or policies with the following types and coverage, subject to the requirements above.
 - i. Comprehensive General Liability Insurance. Comprehensive General Liability Insurance for bodily injury (including death) and

property damage which provides not less than One Million Dollars (\$1,000,000) combined single limit (CSL) per occurrence and not less than Two Million Dollars (\$2,000,000) annual aggregate.

- (1) The coverage shall include:
 - (a) Premises and Operations
 - (b) Contractual Liability expressly including liability assumed under this agreement, excepting the requirement does not apply for service contracts.
 - (c) Personal Injury Liability.
 - (d) Property damage.
- ii. Comprehensive Automobile Liability Insurance. Comprehensive Automobile Liability Insurance for bodily injury (including death) and property damage which provides total limits of not less than One Million Dollars (\$1,000,000) combined single limit per occurrence applicable to all owned, non-owned and hired vehicles/watercraft, One Million Dollars (\$1,000,000) annual aggregate.
- iii. Workers' Compensation Insurance. Workers' Compensation Insurance shall be maintained. Statutory California Workers' Compensation coverage shall include a broad form all-states endorsement and waiver of subrogation.
- iv. Employers' Liability Coverage. Employers' Liability Coverage of not less than One Million Dollars (\$1,000,000) per occurrence for all employees engaged in work or operations under this Agreement.
- v. Professional Liability. Professional liability/errors and omissions is required in an amount not less than One Million Dollars (\$1,000,000) per occurrence made and One Million Dollars (\$1,000,000) aggregate.
- vi. Sexual Misconduct Liability. Sexual misconduct liability insurance in an amount equal to One Million Dollars (\$1,000,000) per occurrence and One Million Dollars (\$1,000,000) aggregate.
- c. Duration of Insurance. Contractor shall maintain all coverage and insurance for the entire term and for any extended period agreed upon within this Agreement.
- d. Maintain Records re Insurance Coverage. Contractor shall maintain records regarding all coverage and insurance for the term of this Agreement and for any extended period agreed upon within this Agreement.
- e. Withhold Payment for Lack of Required Coverage. RCOG reserves the right to withhold payment of CRDP Funds in the event of material

noncompliance with the applicable insurance requirements outlined in this Section 27.

- f. Remedies for Failure to Provide or Maintain Required Insurance or Endorsements. In addition to any other remedies RCOC may have if Contractor (or any subcontractor) fails to provide or maintain any insurance required by this Section 27 to the extent and within the time required by this Agreement, RCOC may, at its sole option:
 - i. Obtain the insurance and deduct and retain the amount of the premiums for the insurance from any monies due under this Agreement.
 - ii. Order Contractor (and any subcontractor) to cease performance of the work and/or withhold funding until Contractor (or subcontractor) demonstrates compliance with the insurance requirements of this Agreement.
 - iii. Immediately and without further cause terminate this Agreement. Exercise of any of the above remedies are in addition to any other remedies RCOC may have and are not the exclusive remedies for Contractor's (or subcontractor's) failure to maintain or secure appropriate policies or endorsements. Nothing in this Agreement shall be construed as limiting in any way the extent to which Contractor (or any subcontractor) may be held responsible for payments of damages to persons or property resulting from Contractor's (or any subcontractor's) performance under this Agreement.
- g. Modification of Insurance Requirements. RCOC may modify the insurance requirements set forth above if at any time during the term of this Agreement RCOC determines, in its sole discretion, that additional coverage is necessary to protect RCOC's interests.

28. AUTHORITY TO SIGN

All Parties executing this Agreement acknowledge and warrant that they possess the authority to enter into this Agreement on behalf of their respective companies/organizations.

29. INTEGRATION CLAUSE/AMENDMENT

This Agreement, including its attachments and references, is intended as a final expression of the agreement among the Parties. No supplement, modification, or amendment of this Agreement shall be binding unless executed in writing by all the Parties. The execution of any amendment or modification to this Agreement shall comply with the requirements of applicable statutes, regulations and provisions of RCOC's contract with DDS. Provided that

an amendment or modification does not alter the overall goals and basic purpose of this Agreement or increase the not to exceed amount set forth in Section 3, RCOC's Associate Director of Housing has the authority to make modification(s) to this Agreement. Any such modifications shall be approved as to form by RCOC's General Counsel.

30. THIRD-PARTY BENEFICIARIES

Except as specifically provided herein, the Parties do not intend this Agreement to create a third party beneficiary or define duties, obligations, or rights in parties not signatory to this Agreement.

31. HEADINGS

The headings at each paragraph are for reference purposes only and may not accurately describe all requirements in the paragraph. The headings are not an integral part of this Agreement.

Signature of Authorized Representatives of Contracting Parties:

“RCOC” – Regional Center of Orange County

By: _____

Larry Landauer, Executive Director

“CONTRACTOR”

By: _____

Name/Title: _____

Exhibit A

REQUEST FOR PROPOSALS

Exhibit B

CONTRACTOR PROPOSAL

Exhibit C

BUDGET AND PAYMENT SCHEDULE

The following is the Total Agreement Budget:

Expense	Total
Total Personnel and Fringe	\$644,154
Total Travel	\$87,300
SIRS Database	\$20,000
National Online Training Series	\$6,000
Practice Groups	\$6,000
Subtotal Direct Expenses	\$763,454
UNH Indirect Expenses	\$198,500
Grand Total	\$961,954

Payment Schedule and Procedure:

All services and expenses detailed above are to be invoiced by flat fee all-inclusive monthly installments to meet RCOC's funding budget, as follows:

21 equal monthly fixed installments of \$43,725.18 and one final monthly installment of \$43,725.22, commencing June 2025 and ending March 2027

Contractor shall submit monthly invoices, along with a completed monthly status report for each month of service and any other supporting documentation reasonably requested by RCOC. Monthly status reports shall include a description of services and activities completed and progress toward the milestones set forth in Contractor's Timeline in Exhibit B. Contractor's obligation to submit monthly status reports shall continue through June 2029, even after all payments have been made pursuant to this Payment Schedule.

Summary of Information About Persons Served - February 2025

NUMBER OF PERSONS SERVED	27,165	100%
Children - Birth to Age Three Receiving Early Start Services	3,380	12%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	9,354	34%
Adults - Ages 18 and Older Receiving Lanterman Services	13,971	51%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	399
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,451	51%
Epilepsy	2,881	13%
Cerebral Palsy	2,529	11%
Autism	11,582	50%
Fifth Category*	2,080	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	405
Early Start / Under Age Three / 45 days to complete determination	272
Lanterman / Over Age Three / 120 days to complete determination	129
Provisional / Up to Age Five / 90 days to complete determination	4

NUMBER OF PERSONS DETERMINED ELIGIBLE	149
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	71
• Number of children who received Early Start services	42
• Number of children who received Early Start services and had a diagnosis of autism	32
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	138
Children - Age Three No Longer Eligible for Early Start Services	138
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

FEBURARY 2025 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2024-25

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	46	48	33	40	45	40
Unannounced	74	86	55	63	55	51
Total Number of Reviews	120	134	88	103	100	91

Provider Trainings	0	0	0	0	0	0
Technical Support	303	251	298	319	348	308
Corrective Action Plans	0	12	2	3	5	2
Special Incident Investigations*	88	51	47	57	45	31
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	42	40					334
Unannounced	189	104					677
Total Number of Reviews	231	144					1,011

Provider Trainings	0	0					0
Technical Support	319	295					2,441
Corrective Action Plans	3	2					29
Special Incident Investigations*	46	35					400

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

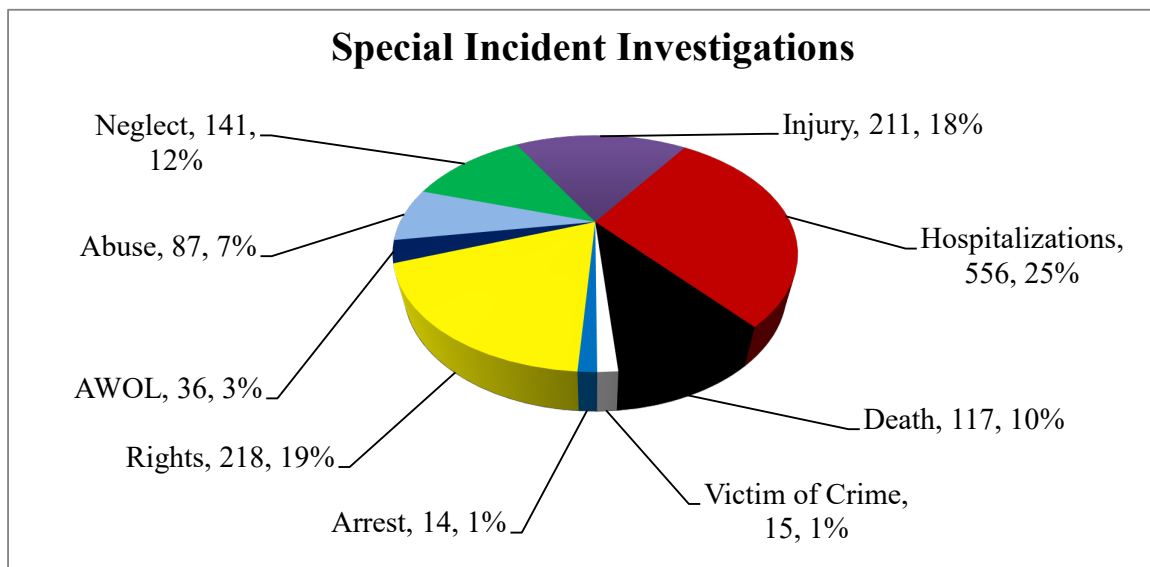
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2024-25

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	11	3	1	1	3
Abuse	9	12	5	15	5	6
Neglect	44	7	18	17	9	12
Injury	16	35	36	1	29	34
Hospitalizations - Total	28	50	36	47	35	50
<i>Psychiatric</i>	7	8	6	10	6	9
<i>Medical</i>	21	42	30	37	29	41
Death	17	11	8	9	14	21
Victim of crime	1	1	2	2	5	1
Arrest	1	3	1	2	4	1
Rights	69	13	14	49	16	9
Total	189	143	123	143	118	137

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	10	3					36
Abuse	19	16					87
Neglect	22	12					141
Injury	33	27					211
Hospitalizations - Total	53	48					347
<i>Psychiatric</i>	10	9					65
<i>Medical</i>	43	39					282
Death	27	10					117
Victim of Crime	1	2					15
Arrest	0	2					14
Rights	28	20					218
Total	193	140	0	0	0	0	1,186



COMMUNITY LIFE continued

Provider Audits

Fiscal Year 2024-25

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	2
Total Number of Audits	0	0	0	0	0	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,565.09
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0					0
Staffing	0	0					0
Level 4I Consultant	0	0					0
P&I (consumer funds)	0	0					0
Total Number of Audits	0	0					2

Number of Appeals / Recoveries

State Appeal	0	0					0
Recovery	0	0					2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,565.09
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2024-25

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	81	83	71	80	79
Diapers - Family Member	3	3	3	3	3	3
Nursing Service - Family Member	55	42	47	39	45	40
Respite Service - Family Member	697	693	697	608	708	706
Transportation - Family Member	222	251	238	184	271	276
Total Number of Voucher Authorizations	1,070	1,070	1,068	905	1,107	1,104

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	81	88				
Diapers - Family Member	3	3				
Nursing Service - Family Member	42	48				
Respite Service - Family Member	710	693				
Transportation - Family Member	276	254				
Total Number of Voucher Authorizations	1,112	1,086	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2024-25

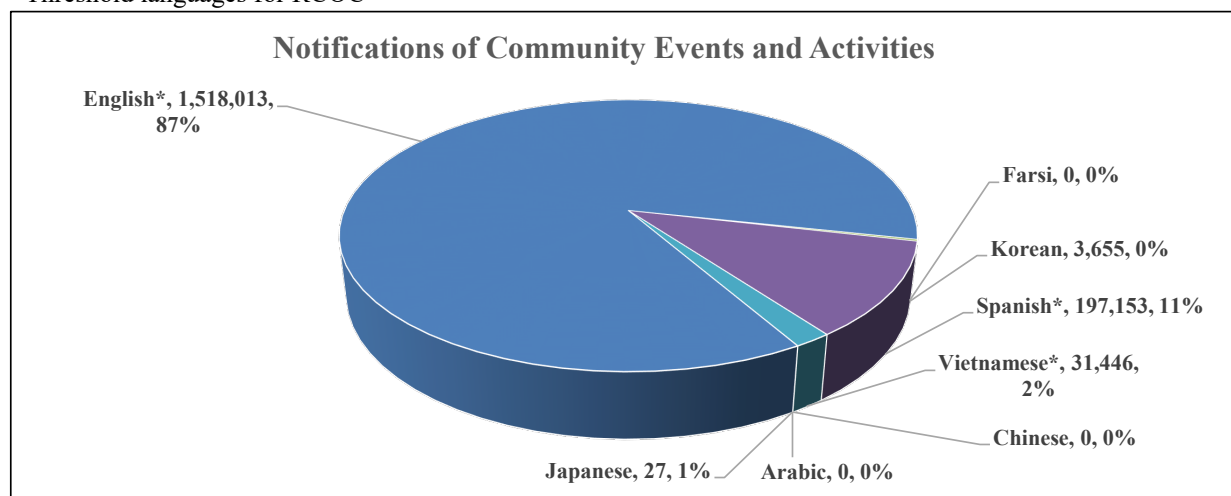
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	221,726	234,714	219,993	151,100	151,373	109,293
Farsi	0	0	0	0	0	0
Korean	165	1,027	672	861	290	0
Spanish*	39,805	38,663	26,432	18,594	23,398	6,688
Vietnamese*	4,559	3,220	3,423	1,842	1,123	2,688
Chinese	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
Total Number of Notifications	266,255	277,624	250,520	172,397	176,184	118,669

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	206,217	223,597					1,518,013
Farsi	0	0					0
Korean	184	456					3,655
Spanish*	11,049	32,524					197,153
Vietnamese*	3,509	11,082					31,446
Chinese	0	0					0
Japanese	0	0					0
Arabic	0						0
Total Number of Notifications	220,959	267,659	0	0	0	0	1,750,267

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2024-25

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	9	13	7	10	6	5
Spanish			3	3	2	
Vietnamese	1		1	3	2	
Other Languages**		1				1
In Print						
English	1	1	2			
Spanish						
Vietnamese						
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	4	5	4	4	5
Other Languages						
Total Number of Outreach Events	15	20	18	20	14	11

**

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5	5					60
Spanish		2					10
Vietnamese		2					9
Other Languages							2
In Print							
English	1	1					6
Spanish							0
Vietnamese							0
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese		4					30
Other Languages							0
Total Number of Outreach Events	6	14	0	0	0	0	118

* Virtual Meetings

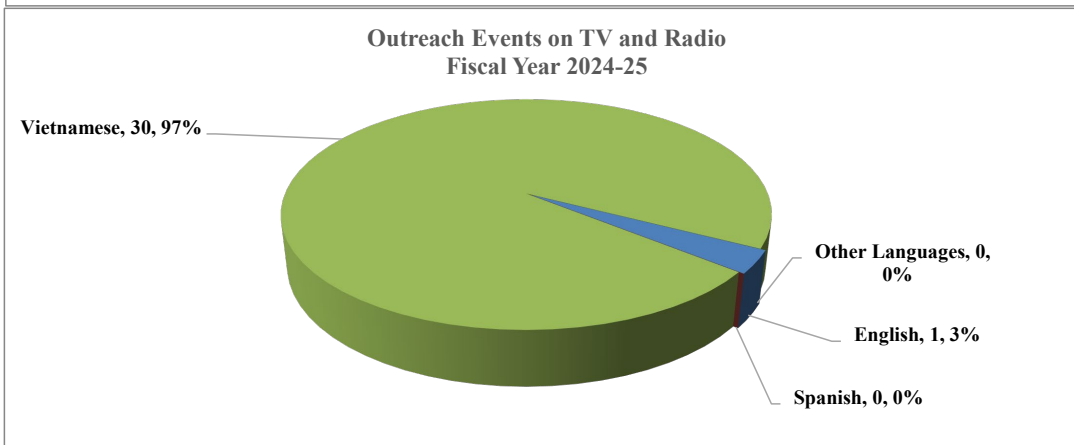
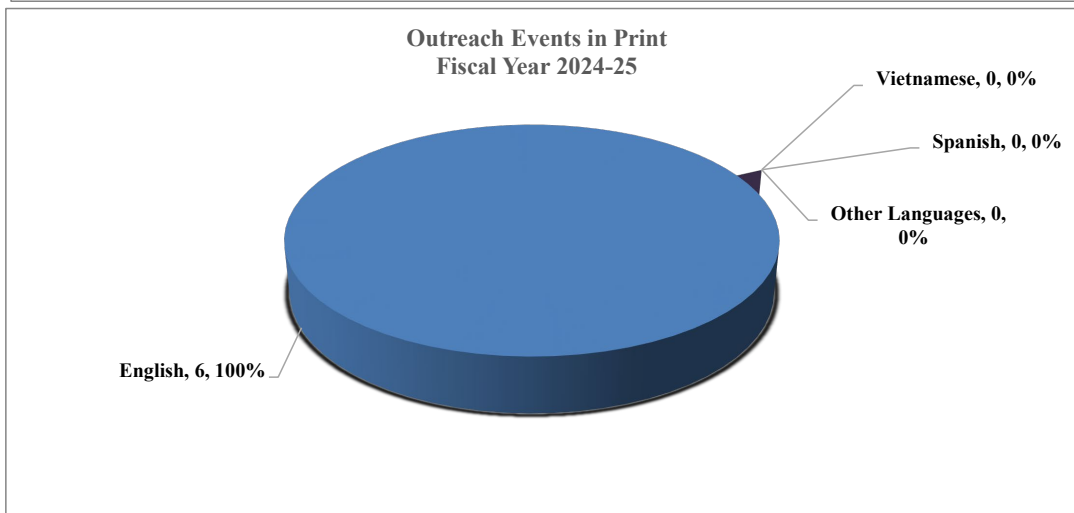
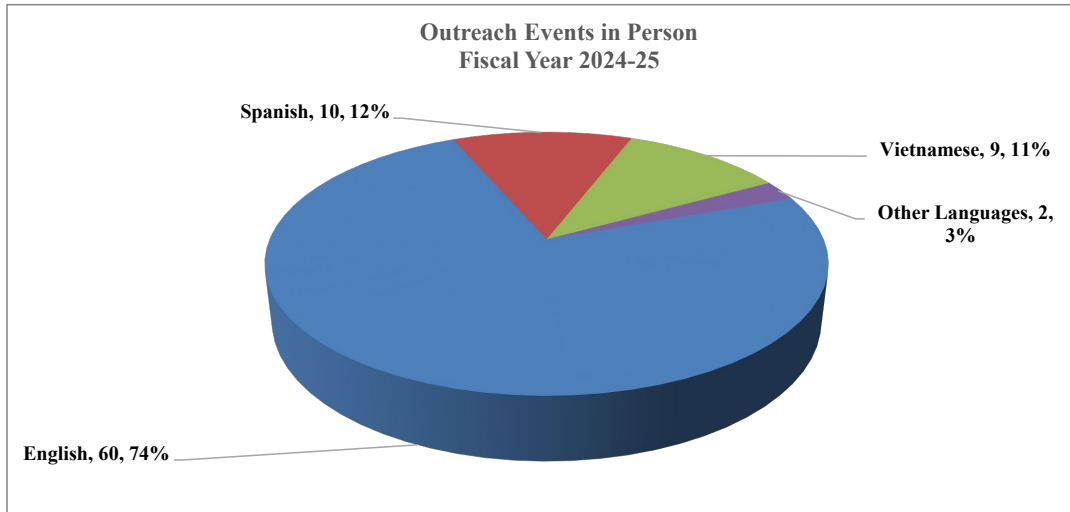
FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio

Fiscal Year 2024-25



EARLY INTERVENTION / PREVENTION

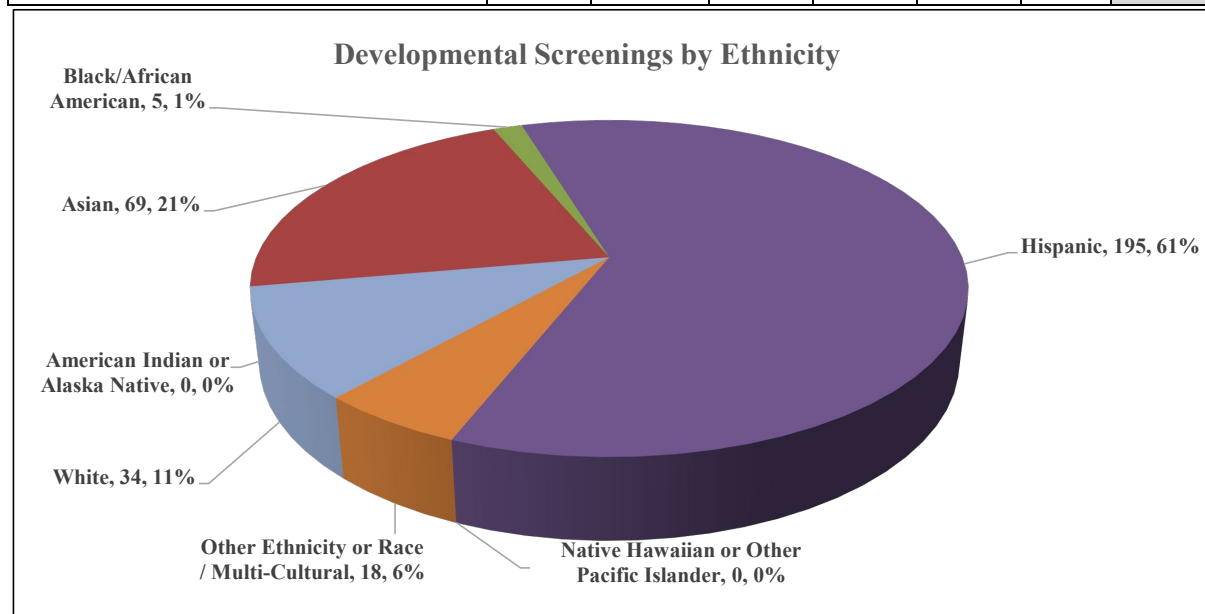
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2024-25

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	No Data Available
Asian	0	8	6	9	6	
Black/African American	0	1	1	0	0	
Hispanic	0	40	45	19	29	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	0	5	7	1	2	
White	0	7	11	4	4	
Total Number Screened	0	61	70	33	41	
Total Number Referred to RCOC	0	31	19	8	11	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0					0
Asian	35	5					69
Black/African American	2	1					5
Hispanic	21	41					195
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural	2	1					18
White	6	2					34
Total Number Screened	66	50					321
Total Number Referred to RCOC	18	13					100



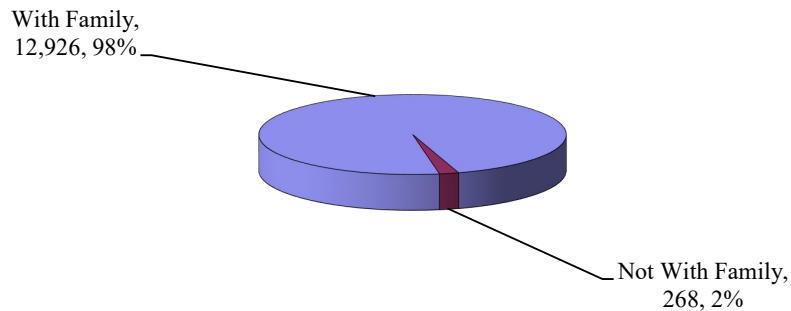
LIVING OPTIONS

Related Guiding Principles

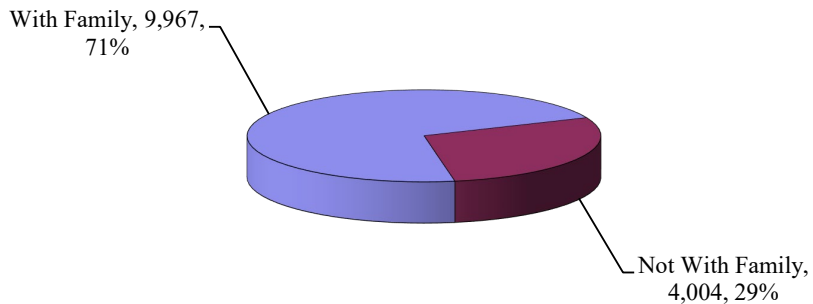
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	22,893	12,926	9,967
Not With Family	4,272	268	4,004
Totals	27,165	13,194	13,971

Where Persons Served Under 18 Live



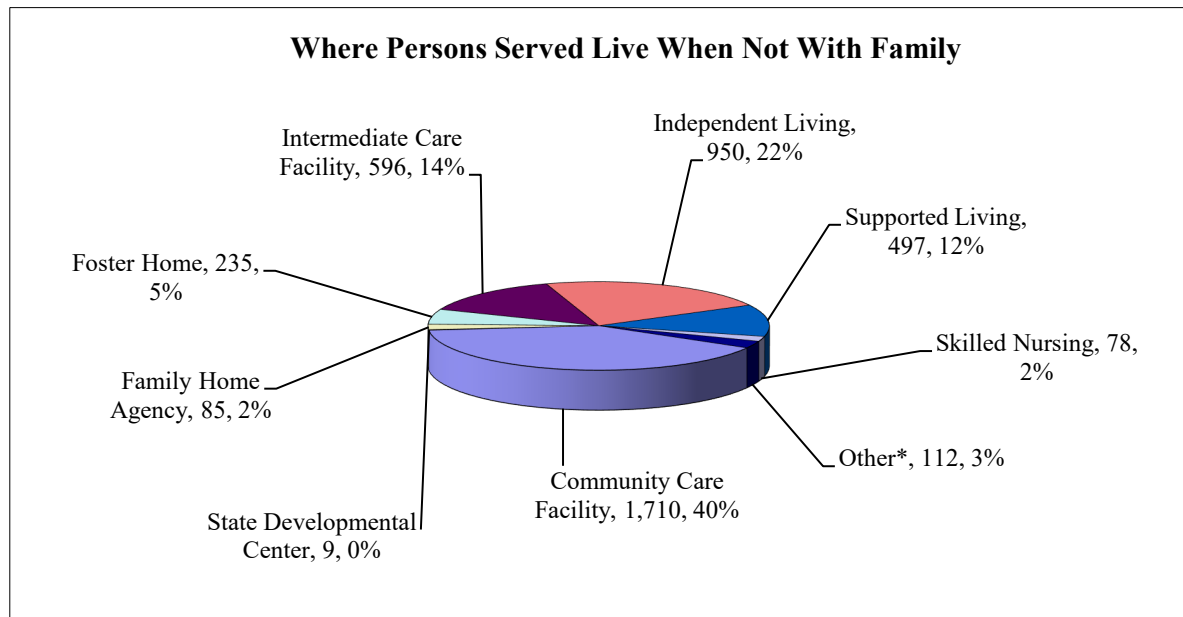
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	22,893	12,926	9,967
Community Care Facility	1,710	19	1,691
State Developmental Center	9	0	9
Family Home Agency	85	1	84
Foster Home	235	227	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other*	112	21	91
Total	27,165	13,194	13,971

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	0	1
Community Treatment	3	1	2
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	4	3	1
Psychiatric Treatment	27	3	24
Rehabilitation Center	4	0	4
SDC / State Hospital	5	0	5
Sub-Acute	39	12	27
Transient / Homeless	15	2	13
Total, Other*	106	21	85



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

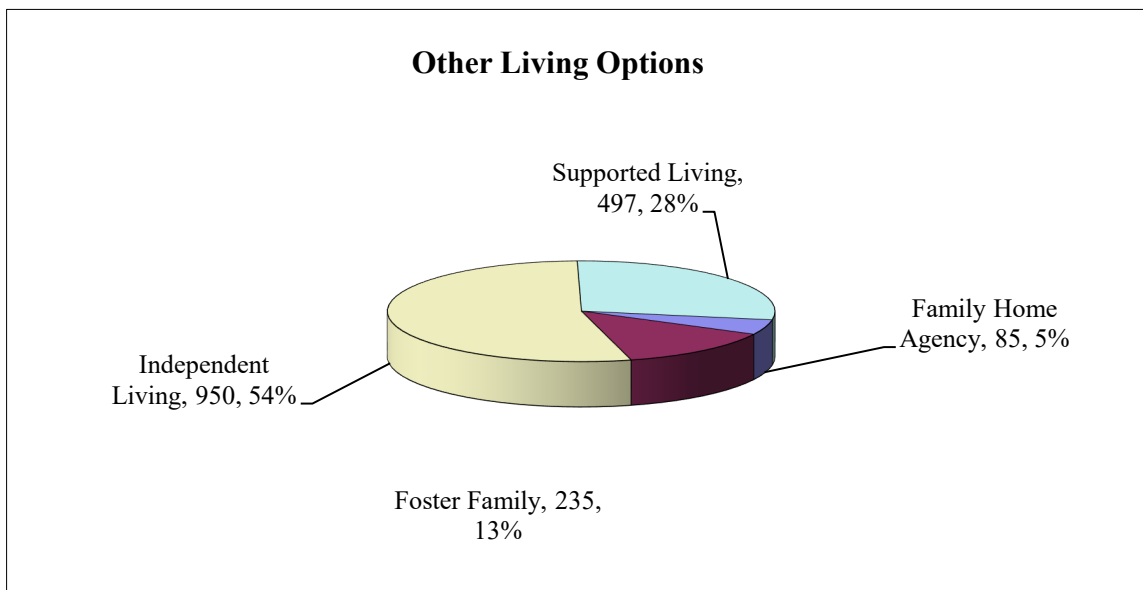
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	85	1	84
Foster Family	235	227	8
Independent Living	950	0	950
Supported Living	497	0	497
Total	1,767	228	1,539



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2024-25*

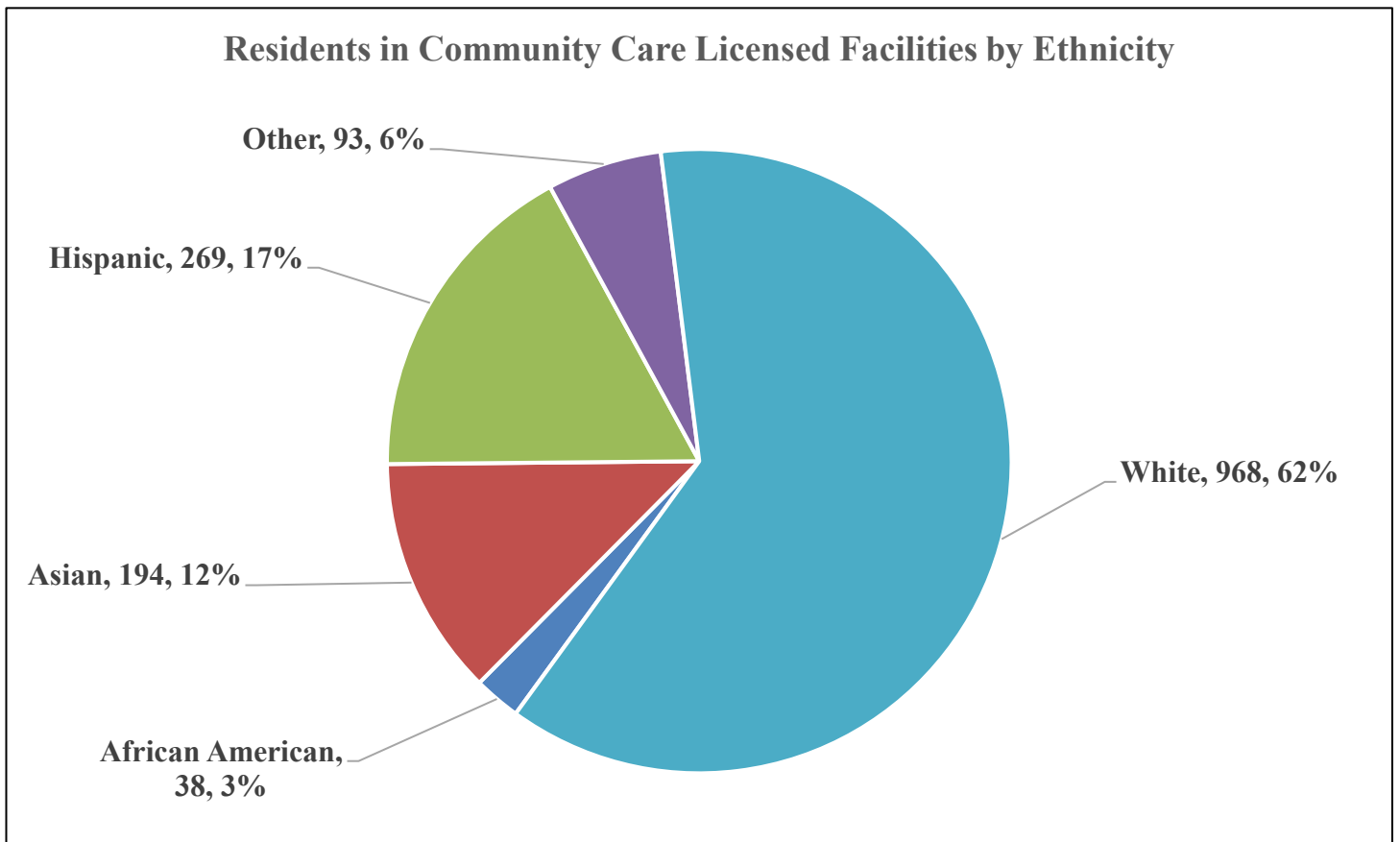
Licensed Facilities	Total	Over 18	Under 18
Level 2	151	151	0
Level 3	234	234	0
Level 4A	26	26	0
Level 4B	5	5	0
Level 4C	46	46	0
Level 4D	36	36	0
Level 4E	13	13	0
Level 4F	41	41	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	298	298	0
Elderly	11	11	0
ICF/DD-H	3	3	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	901	901	0

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	151	151	0
Level 3	234	234	0
Level 4	498	498	0
ICF/DD-H	3	3	0
ICF/DD-N	4	4	0
Elderly	11	11	0
Skilled Nursing	0	0	0
Total	901	901	0

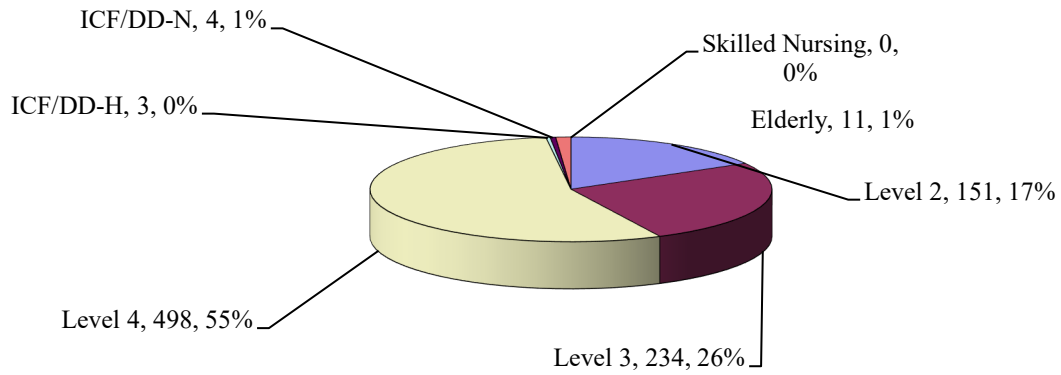
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2024-25

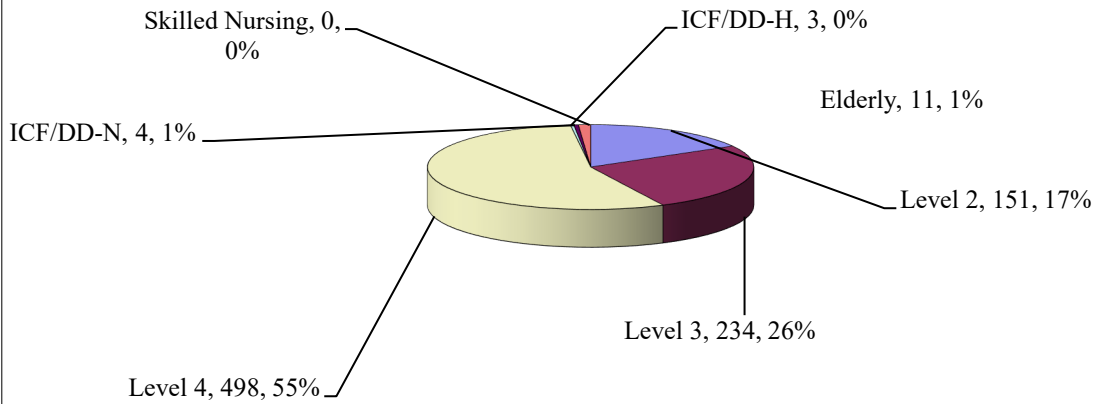
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	22	59	12	190	289
Level 3	12	37	68	27	213	357
Level 4A	0	4	6	2	19	31
Level 4B	0	1	0	0	5	6
Level 4C	6	16	12	1	64	99
Level 4D	1	10	6	2	25	44
Level 4E	0	3	7	2	18	30
Level 4F	1	10	9	3	29	52
Level 4G	0	10	2	3	44	59
Level 4H	0	0	2	0	1	3
Level 4I	12	81	98	41	360	592
Total	38	194	269	93	968	1,562



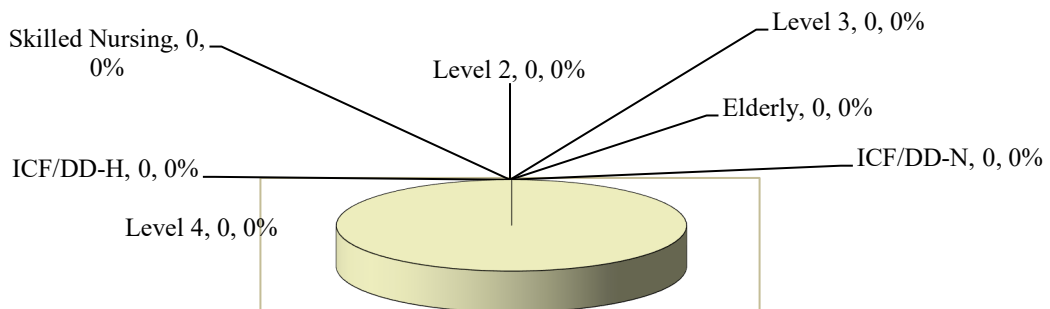
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

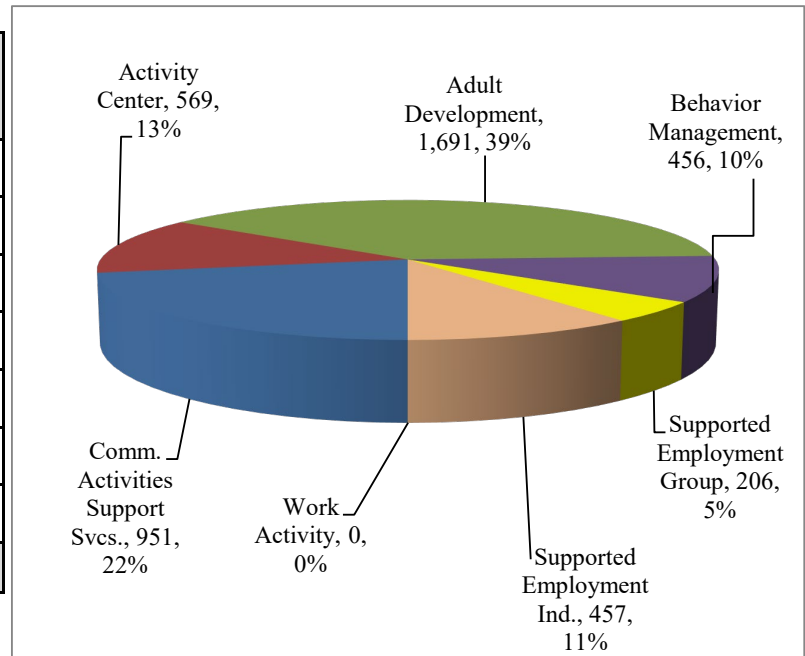


WORK

Related Guiding Principle

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	951
Activity Center	569
Adult Development	1,691
Behavior Management	456
Supported Employment Group	206
Supported Employment Ind.	457
Work Activity	0
Total	4,330



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2024-25

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	400.3	399.3	415.3	424.4	423.4	417.6
Number of Case-Carrying SCs	367.1	366.2	382.2	390.9	387.9	380.5
Number of Intake SCs	33.3	33.3	33.3	33.7	35.7	34.3
Number of Active Persons Served	26,489	26,579	26,604	26,788	26,945	27,070
Caseload Ratio, # of Active Persons Served/SCs	72.1	72.6	69.6	68.5	69.5	71.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	415.0	445.6				
Number of Case-Carrying SCs	378.9	408.2				
Number of Intake SCs	34.3	37.7				
Number of Active Persons Served	27,135	27,233				
Caseload Ratio, # of Active Persons Served/SCs	72.0	71.0				

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2024-25

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	23	20	21	14	11	17	11	12				
Eligibility - Lanterman	9	7	6	5	3	3	1	1				
Behavioral services	2		1	2	2	3	1	1				
Respite	1			1		2		2				
Day Care												
Self Determination Budget			1	2	2	4	4	2				
Personal Assistance	2	2	2	1		1		1				
Other**	15	18	18	6	6	6	8	6				

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	30	9	6	17	5	11	7	4				
Eligibility - Lanterman	6	3	3	3	1	2	1					
Eligibility - Early Start												
Behavioral services	1		1	1	1							
Respite				1		2		2				
Day Care												
Social/Recreational	2						2	1				
Social Skills Training												
SDP			1	2		4	1					
Personal Assistance	1	1	1					1				
Other**	10	4		4	2	1	1					

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	9	12	7	9	3	8	5	5				
Number of Informal Meetings Held	9	5	5	9	3	7	4	3				
Number of Mediations Held		6	1			1	1	1				
Number of SLFHs Held		1	1					1				

Number of Requests in Scheduling*	5	3	1	0	5	0	4	7				
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0	0	0				
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	9	5	13	8	3	11	2	1				
Withdrawn by Person Served/Family	1					5	1					
Settled in Informal	8	5	4	8	3	6	1	1				
Settled after further follow-up by RCOC												
Settled in Mediation			7									
SLFH Decision			2									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			2									
Split												

ADMINISTRATION AND GOVERNANCE

Guiding Principle

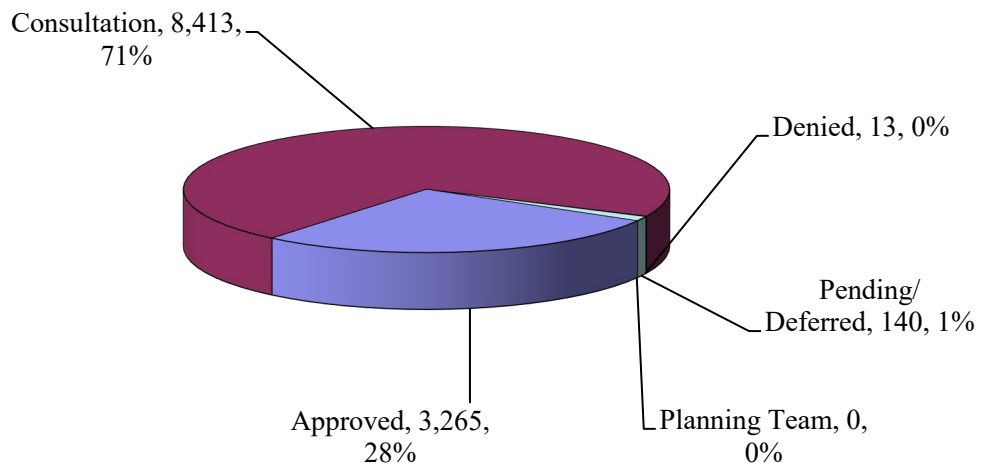
- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for February 2025 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	888	317	0	38	0	1,243
Behavioral	118	69	0	9	0	196
Education	0	0	0	0	0	0
Eligibility/Health	80	2	8	4	0	94
Early Start	560	212	5	32	0	809
Living Options	297	168	0	6	0	471
Supported/Ind.	340	160	0	21	0	521
All Others	982	341	0	30	0	1,353
Monthly Total	3,265	8,413	13	140	0	4,687

FY 2024-25 Total to Date	36,583	22,505	0	2,800	0	61,888
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Resource Group Activity For Current Month



Operations Report Summary - February 2025

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,380	8,596	12,003	9	23,988	13,194	13,971
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>55%</i>	<i>58%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	22,893	12,926	9,967
Community Care Facility	1,710	19	1,691
State Developmental Center	9	0	9
Family Home Agency	85	1	84
Foster Home	235	227	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other	112	21	91
Total	27,165	13,194	13,971

Special Incident Investigations	Year to Date
AWOL	36
Abuse	87
Neglect	141
Injury	211
Hospitalizations - Total	347
Death	117
Victim of crime	15
Arrest	14
Rights	218
Total	1,186

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	87	0	88
Level 4	220	9	211
Total Community Care Facilities	377	9	369

Licensed Facility Monitoring	Year to Date
Annual Review	334
Unannounced	677
Total Number of Reviews	1,011
Provider Trainings	0
Technical Support	2,441
Corrective Action Plans	29

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	69
ICF-DD/Nursing	40
Total ICF Facilities	109

Number of Audits	2
Amount of Recovery from Audits	\$2,565

Total Licensed Facilities	486
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Summary of Information About Persons Served - March 2025

NUMBER OF PERSONS SERVED	27,156	100%
Children - Birth to Age Three Receiving Early Start Services	3,392	12%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	9,334	34%
Adults - Ages 18 and Older Receiving Lanterman Services	13,970	51%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	406
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,472	51%
Epilepsy	2,873	13%
Cerebral Palsy	2,527	11%
Autism	11,719	50%
Fifth Category*	2,098	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	454
Early Start / Under Age Three / 45 days to complete determination	312
Lanterman / Over Age Three / 120 days to complete determination	140
Provisional / Up to Age Five / 90 days to complete determination	2

NUMBER OF PERSONS DETERMINED ELIGIBLE	170
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	110
• Number of children who received Early Start services	31
• Number of children who received Early Start services and had a diagnosis of autism	25
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	128
Children - Age Three No Longer Eligible for Early Start Services	128
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

MARCH 2025 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2024-25

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	46	48	33	40	45	40
Unannounced	74	86	55	63	55	51
Total Number of Reviews	120	134	88	103	100	91

Provider Trainings	0	0	0	0	0	0
Technical Support	303	251	298	319	348	308
Corrective Action Plans	0	12	2	3	5	2
Special Incident Investigations*	88	51	47	57	45	31
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	42	40	30				364
Unannounced	189	104	76				753
Total Number of Reviews	231	144	106				1,011

Provider Trainings	0	0	0				0
Technical Support	319	295	243				2,684
Corrective Action Plans	3	2	2				31
Special Incident Investigations*	46	35	108				508

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

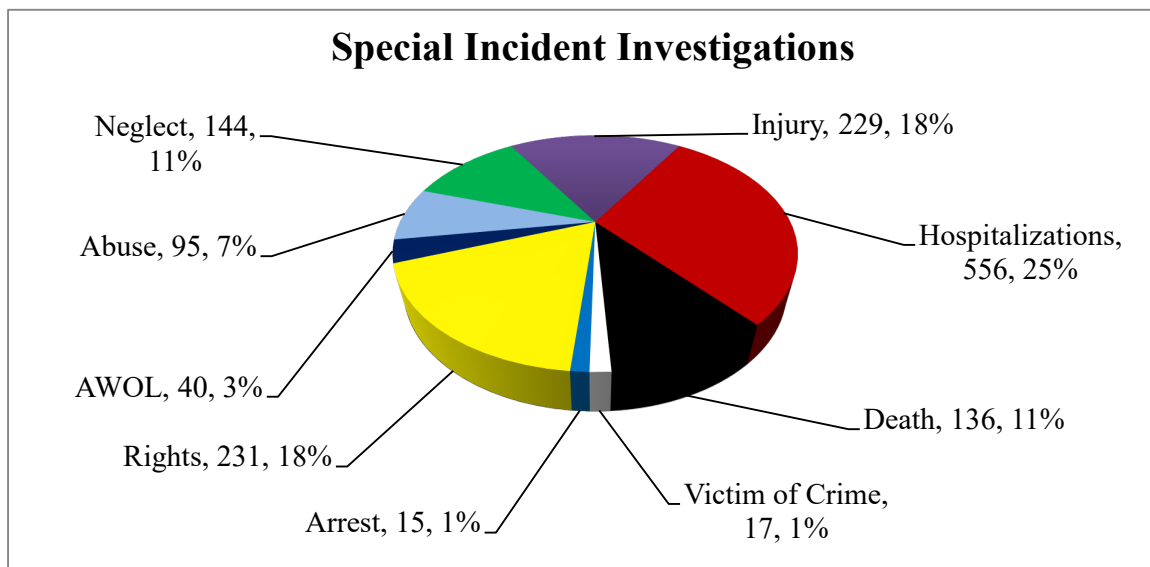
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2024-25

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	11	3	1	1	3
Abuse	9	12	5	15	5	6
Neglect	44	7	18	17	9	12
Injury	16	35	36	1	29	34
Hospitalizations - Total	28	50	36	47	35	50
<i>Psychiatric</i>	7	8	6	10	6	9
<i>Medical</i>	21	42	30	37	29	41
Death	17	11	8	9	14	21
Victim of crime	1	1	2	2	5	1
Arrest	1	3	1	2	4	1
Rights	69	13	14	49	16	9
Total	189	143	123	143	118	137

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	10	3	4				40
Abuse	19	16	8				95
Neglect	22	12	3				144
Injury	33	27	18				229
Hospitalizations - Total	53	48	34				381
<i>Psychiatric</i>	10	9	4				69
<i>Medical</i>	43	39	30				312
Death	27	10	19				136
Victim of Crime	1	2	2				17
Arrest	0	2	1				15
Rights	28	20	13				231
Total	193	140	102	0	0	0	1,288



COMMUNITY LIFE continued

Provider Audits

Fiscal Year 2024-25

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	2
Total Number of Audits	0	0	0	0	0	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$5,265.19
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0	1				1
Staffing	0	0					0
Level 4I Consultant	0	0	1				0
P&I (consumer funds)	0	0					0
Total Number of Audits	0	0	2				4

Number of Appeals / Recoveries

State Appeal	0	0	0				0
Recovery	0	0	1				3

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$1,200.00	\$0.00	\$0.00	\$0.00	\$6,465.19
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2024-25

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	81	83	71	80	79
Diapers - Family Member	3	3	3	3	3	3
Nursing Service - Family Member	55	42	47	39	45	40
Respite Service - Family Member	697	693	697	608	708	706
Transportation - Family Member	222	251	238	184	271	276
Total Number of Voucher Authorizations	1,070	1,070	1,068	905	1,107	1,104

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	81	88	79			
Diapers - Family Member	3	3	3			
Nursing Service - Family Member	42	48	45			
Respite Service - Family Member	710	693	722			
Transportation - Family Member	276	254	286			
Total Number of Voucher Authorizations	1,112	1,086	1,135	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2024-25

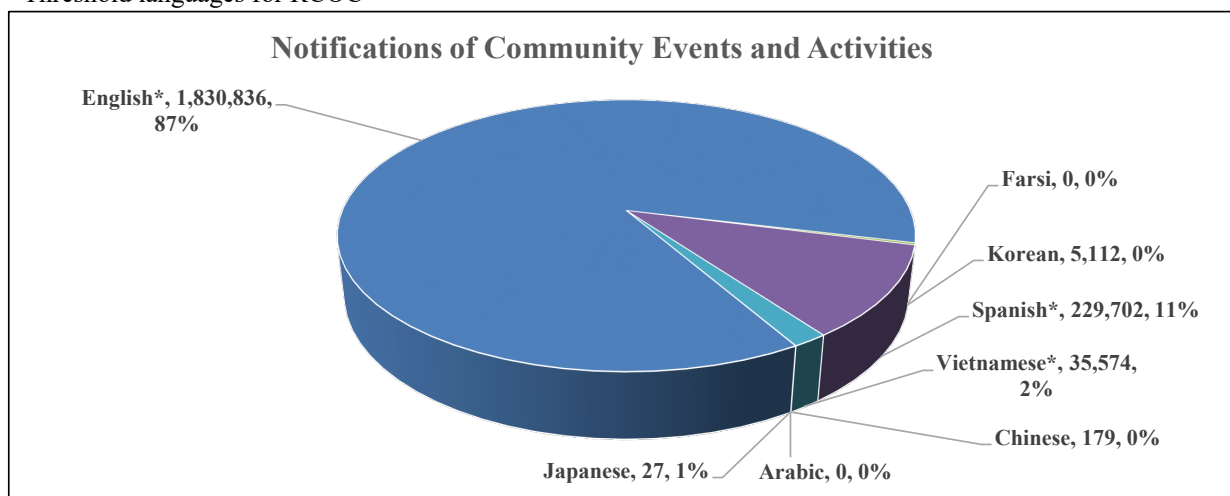
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	221,726	234,714	219,993	151,100	151,373	109,293
Farsi	0	0	0	0	0	0
Korean	165	1,027	672	861	290	0
Spanish*	39,805	38,663	26,432	18,594	23,398	6,688
Vietnamese*	4,559	3,220	3,423	1,842	1,123	2,688
Chinese	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
Total Number of Notifications	266,255	277,624	250,520	172,397	176,184	118,669

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	206,217	223,597	312,823				1,830,836
Farsi	0	0	0				0
Korean	184	456	1,457				5,112
Spanish*	11,049	32,524	32,549				229,702
Vietnamese*	3,509	11,082	4,128				35,574
Chinese	0	0	179				179
Japanese	0	0	0				0
Arabic	0	0	0				0
Total Number of Notifications	220,959	267,659	351,136	0	0	0	2,101,403

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2024-25

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	9	13	7	10	6	5
Spanish			3	3	2	
Vietnamese	1		1	3	2	
Other Languages**		1				1
In Print						
English	1	1	2			
Spanish						
Vietnamese						
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	4	5	4	4	5
Other Languages						
Total Number of Outreach Events	15	20	18	20	14	11

**

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5	5	8				68
Spanish		2	2				12
Vietnamese		2	3				12
Other Languages							2
In Print							
English	1	1	2				8
Spanish							0
Vietnamese							0
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese		4	5				35
Other Languages							0
Total Number of Outreach Events	6	14	20	0	0	0	138

* Virtual Meetings

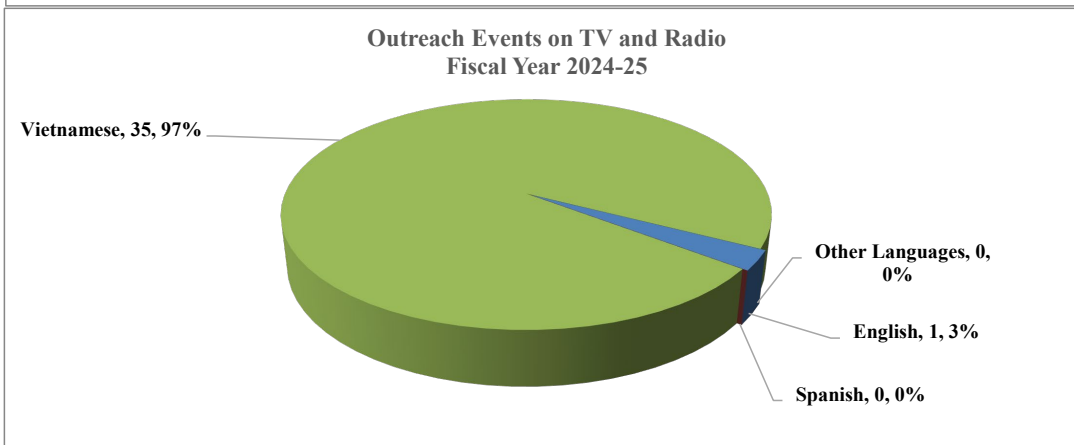
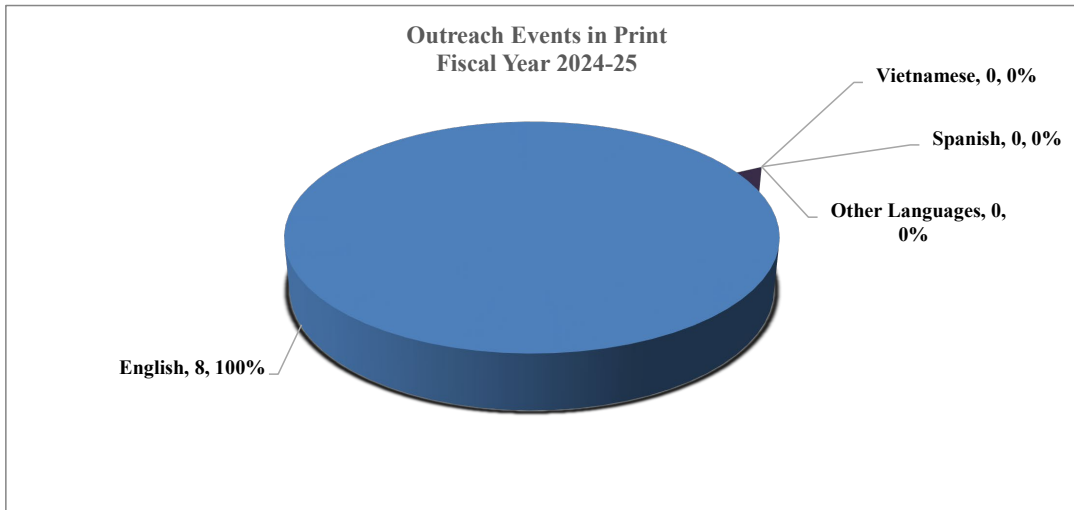
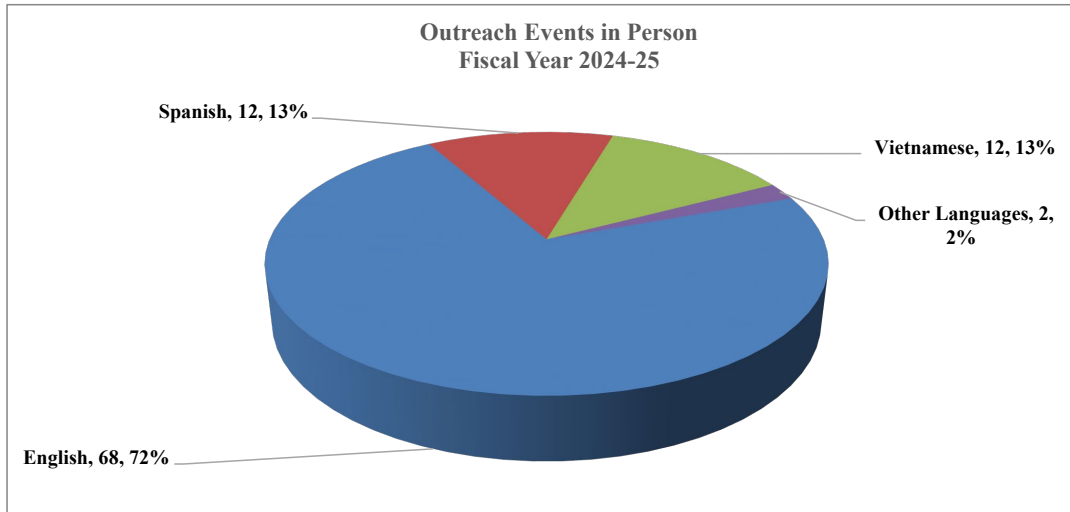
FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio

Fiscal Year 2024-25



EARLY INTERVENTION / PREVENTION

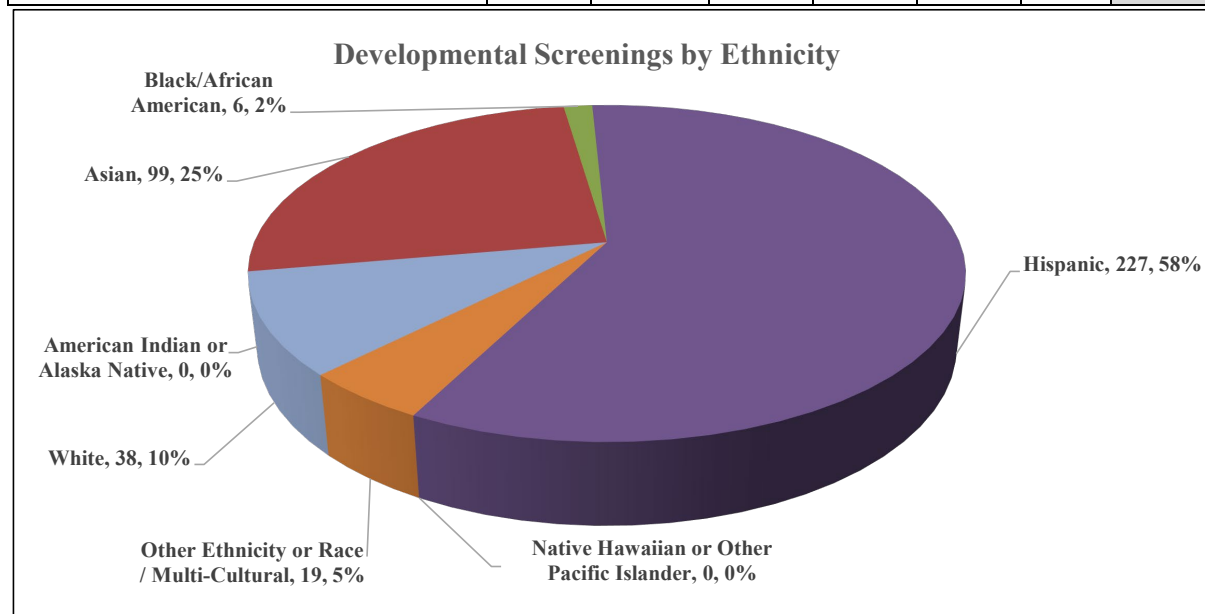
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2024-25

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	No Data Available
Asian	0	8	6	9	6	
Black/African American	0	1	1	0	0	
Hispanic	0	40	45	19	29	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	0	5	7	1	2	
White	0	7	11	4	4	
Total Number Screened	0	61	70	33	41	
Total Number Referred to RCOC	0	31	19	8	11	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0				0
Asian	35	5	30				99
Black/African American	2	1	1				6
Hispanic	21	41	32				227
Native Hawaiian or Other Pacific Islander			0				0
Other Ethnicity or Race / Multi-Cultural	2	1	1				19
White	6	2	4				38
Total Number Screened	66	50	68				389
Total Number Referred to RCOC	18	13	31				131



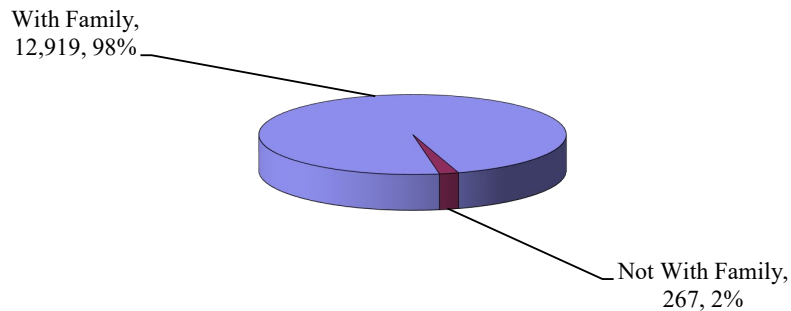
LIVING OPTIONS

Related Guiding Principles

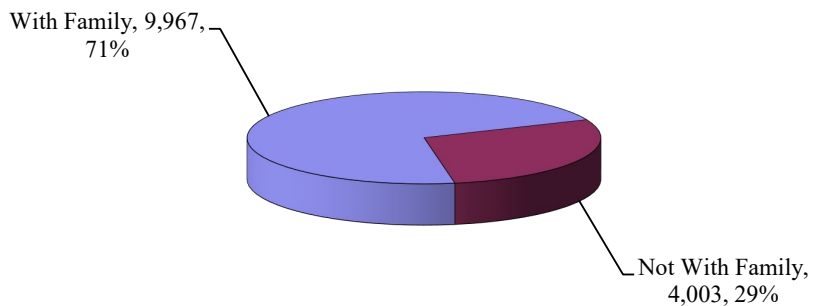
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	22,886	12,919	9,967
Not With Family	4,270	267	4,003
Totals	27,156	13,186	13,970

Where Persons Served Under 18 Live



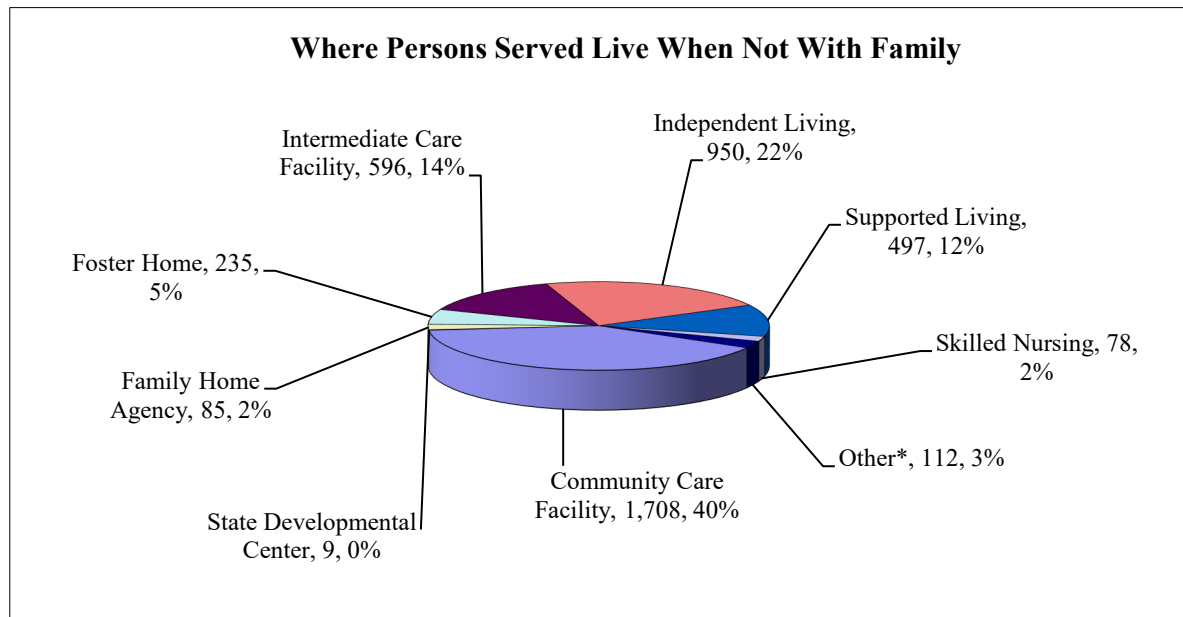
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	22,886	12,919	9,967
Community Care Facility	1,708	18	1,690
State Developmental Center	9	0	9
Family Home Agency	85	1	84
Foster Home	235	227	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other*	112	21	91
Total	27,156	13,186	13,970

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	0	1
Community Treatment	3	1	2
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	4	3	1
Psychiatric Treatment	27	3	24
Rehabilitation Center	4	0	4
SDC / State Hospital	5	0	5
Sub-Acute	39	12	27
Transient / Homeless	15	2	13
Total, Other*	106	21	85



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

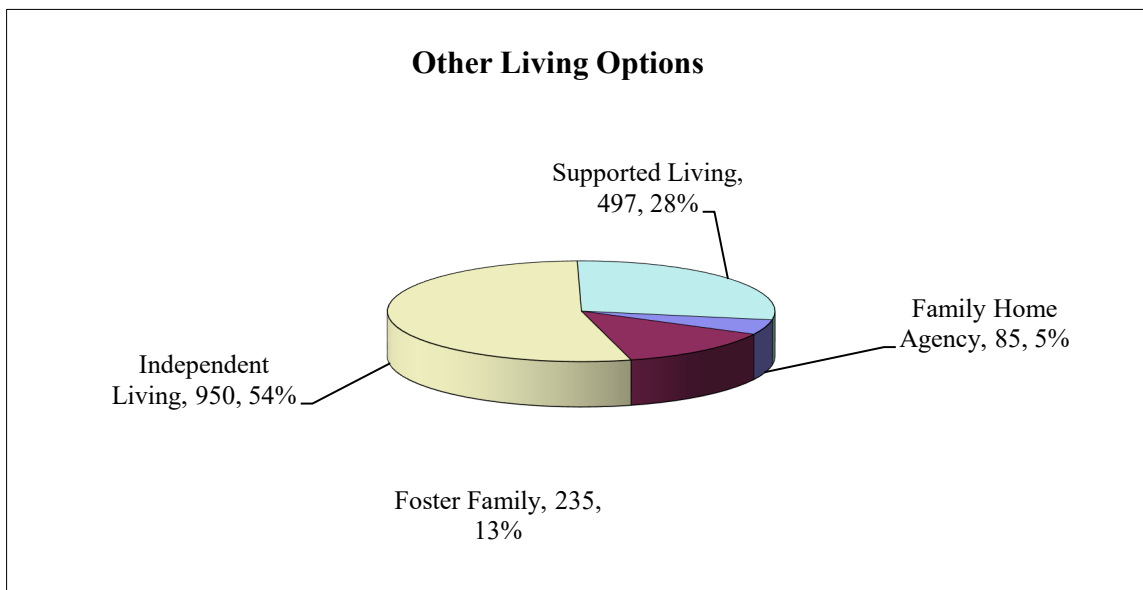
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	85	1	84
Foster Family	235	227	8
Independent Living	950	0	950
Supported Living	497	0	497
Total	1,767	228	1,539



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2024-25*

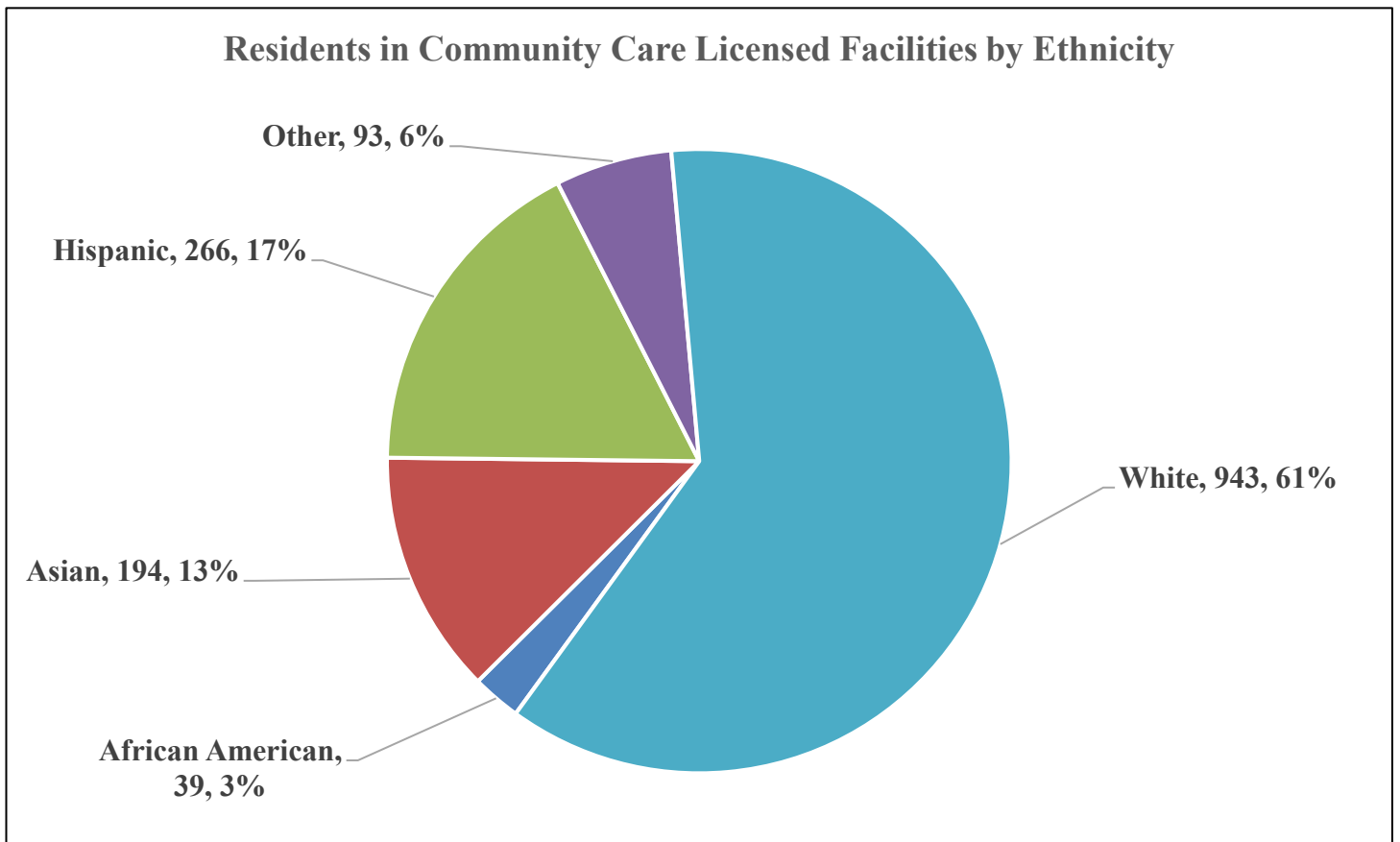
Licensed Facilities	Total	Over 18	Under 18
Level 2	145	145	0
Level 3	219	219	0
Level 4A	26	26	0
Level 4B	5	5	0
Level 4C	45	45	0
Level 4D	35	35	0
Level 4E	13	13	0
Level 4F	42	42	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	297	297	0
Elderly	12	12	0
ICF/DD-H	3	3	0
ICF/DD-N	1	1	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	876	876	0

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	145	145	0
Level 3	219	219	0
Level 4	496	496	0
ICF/DD-H	3	3	0
ICF/DD-N	1	1	0
Elderly	12	12	0
Skilled Nursing	0	0	0
Total	876	876	0

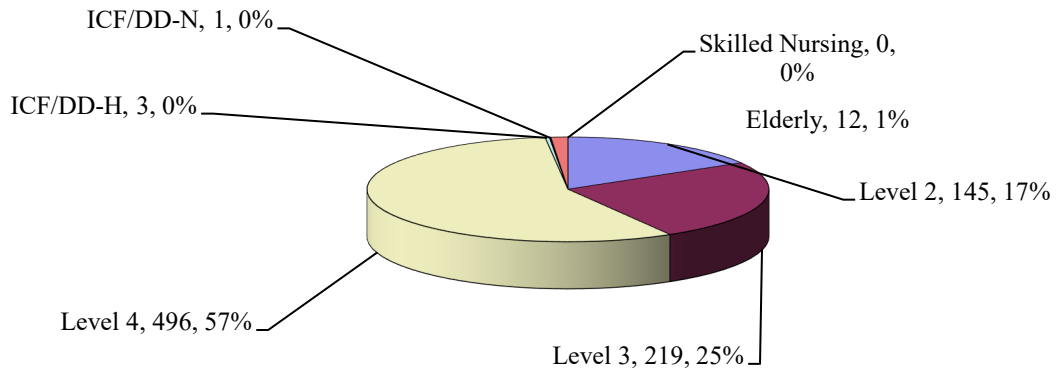
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2024-25

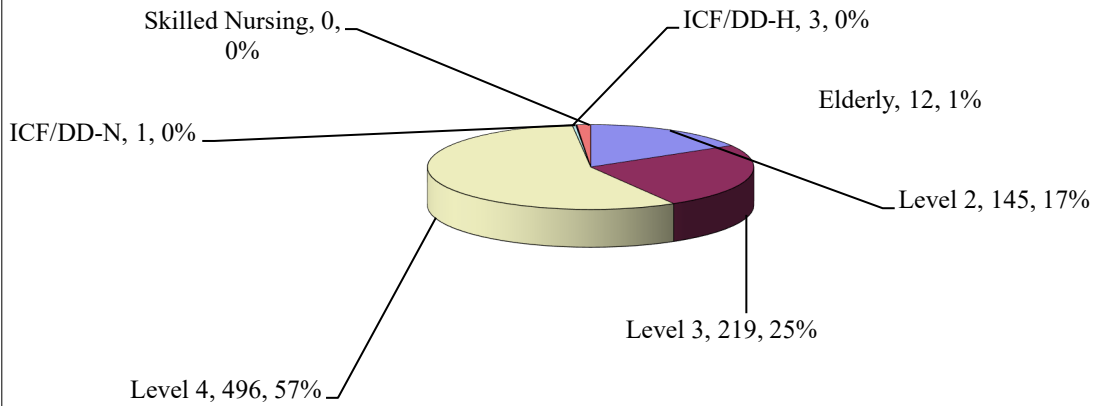
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	22	56	12	180	276
Level 3	13	36	65	27	203	344
Level 4A	0	5	6	2	19	32
Level 4B	0	1	0	0	5	6
Level 4C	6	15	12	1	63	97
Level 4D	2	9	6	2	24	43
Level 4E	0	3	6	2	19	30
Level 4F	1	10	9	3	30	53
Level 4G	0	10	2	3	46	61
Level 4H	0	0	2	0	1	3
Level 4I	11	83	102	41	353	590
Total	39	194	266	93	943	1,535



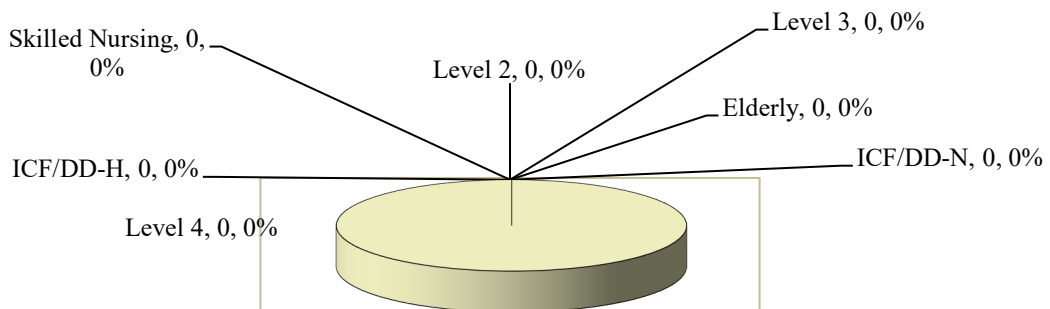
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

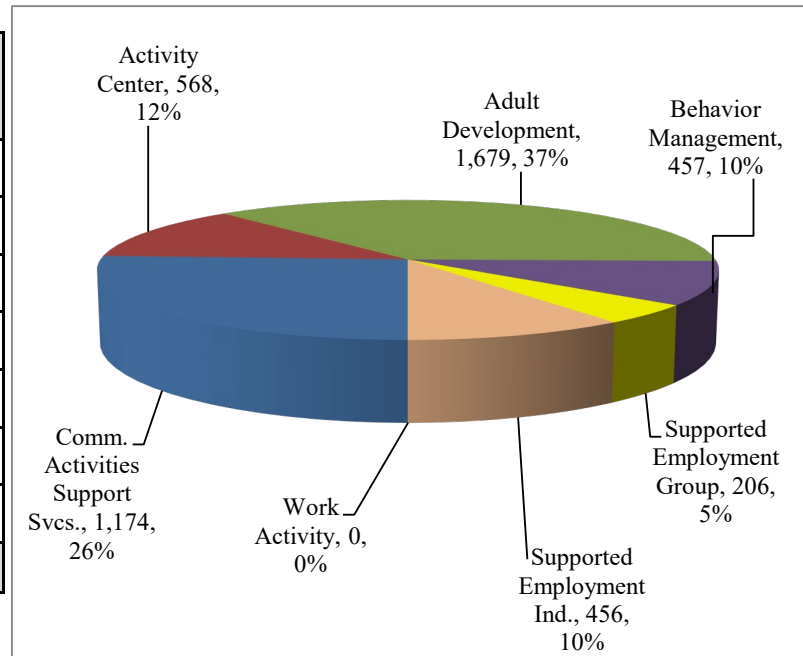


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,174
Activity Center	568
Adult Development	1,679
Behavior Management	457
Supported Employment Group	206
Supported Employment Ind.	456
Work Activity	0
Total	4,540



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2024-25

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	400.3	399.3	415.3	424.4	423.4	417.6
Number of Case-Carrying SCs	367.1	366.2	382.2	390.9	387.9	380.5
Number of Intake SCs	33.3	33.3	33.3	33.7	35.7	34.3
Number of Active Persons Served	26,489	26,579	26,604	26,788	26,945	27,070
Caseload Ratio, # of Active Persons Served/SCs	72.1	72.6	69.6	68.5	69.5	71.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	415.0	445.6	424.2			
Number of Case-Carrying SCs	378.9	408.2	401.2			
Number of Intake SCs	34.3	37.7	35.7			
Number of Active Persons Served	27,135	27,233	27,255			
Caseload Ratio, # of Active Persons Served/SCs	72.0	71.0	71.0			

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2024-25

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	23	20	21	14	11	17	11	12	16			
Eligibility - Lanterman	9	7	6	5	3	3	1	1	2			
Behavioral services	2		1	2	2	3	1	1	1			
Respite	1			1		2		2	2			
Day Care												
Self Determination Budget			1	2	2	4	4	2	1			
Personal Assistance	2	2	2	1		1		1	2			
Other**	15	18	18	6	6	6	8	6	8			

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	30	9	6	17	5	11	7	4	6			
Eligibility - Lanterman	6	3	3	3	1	2	1		2			
Eligibility - Early Start												
Behavioral services	1		1	1	1							
Respite				1		2		2				
Day Care												
Social/Recreational	2						2	1				
Social Skills Training												
SDP			1	2		4	1		1			
Personal Assistance	1	1	1					1	1			
Other**	10	4		4	2	1	1		1			

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	9	12	7	9	3	8	5	5	9			
Number of Informal Meetings Held	9	5	5	9	3	7	4	3	4			
Number of Mediations Held		6	1			1	1	1	3			
Number of SLFHs Held		1	1					1	2			

Number of Requests in Scheduling*	5	3	1	0	5	0	4	7	7			
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0	0	0	0			
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	9	5	13	8	3	11	2	1	6			
Withdrawn by Person Served/Family	1					5	1		2			
Settled in Informal	8	5	4	8	3	6	1	1	3			
Settled after further follow-up by RCOC												
Settled in Mediation			7						1			
SLFH Decision			2									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			2									
Split												

ADMINISTRATION AND GOVERNANCE

Guiding Principle

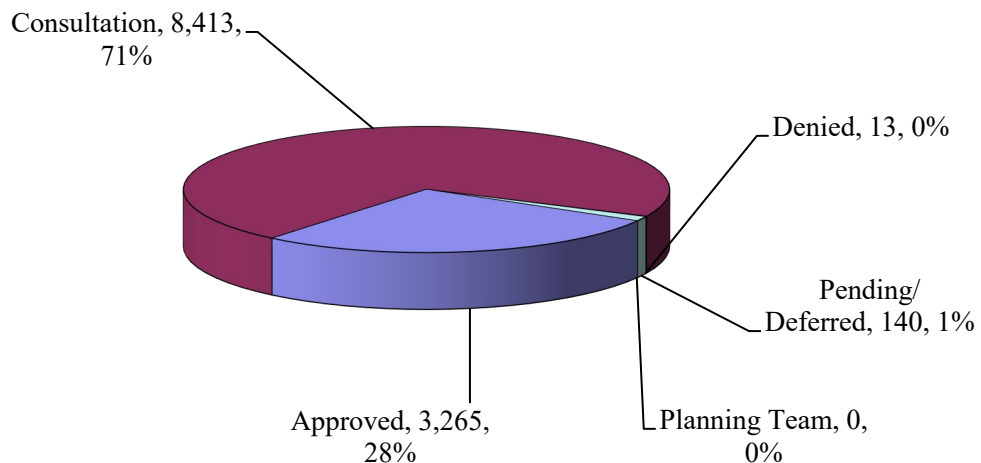
- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for March 2025 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	888	317	0	38	0	1,243
Behavioral	118	69	0	9	0	196
Education	0	0	0	0	0	0
Eligibility/Health	80	2	8	4	0	94
Early Start	560	212	5	32	0	809
Living Options	297	168	0	6	0	471
Supported/Ind.	340	160	0	21	0	521
All Others	982	341	0	30	0	1,353
Monthly Total	3,265	8,413	13	140	0	4,687

FY 2024-25 Total to Date	36,583	22,505	0	2,800	0	61,888
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Resource Group Activity For Current Month



Operations Report Summary - March 2025

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,392	8,600	11,987	9	23,988	13,186	13,970
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>55%</i>	<i>58%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	22,886	12,919	9,967
Community Care Facility	1,708	18	1,690
State Developmental Center	9	0	9
Family Home Agency	85	1	84
Foster Home	235	227	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other	112	21	91
Total	27,156	13,186	13,970

Special Incident Investigations	Year to Date
AWOL	40
Abuse	95
Neglect	144
Injury	229
Hospitalizations - Total	381
Death	136
Victim of crime	17
Arrest	15
Rights	231
Total	1,288

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	87	0	88
Level 4	211	9	211
Total Community Care Facilities	368	9	369

Licensed Facility Monitoring	Year to Date
Annual Review	364
Unannounced	753
Total Number of Reviews	1,011
Provider Trainings	0
Technical Support	2,684
Corrective Action Plans	31

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	69
ICF-DD/Nursing	40
Total ICF Facilities	109

Number of Audits	4
Amount of Recovery from Audits	\$6,465

Total Licensed Facilities	477
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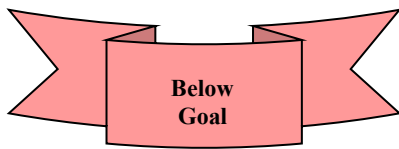
Performance Contract Summary

RCOC as of 3/03/2025	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	27,134	9	0	0.03%	-9
Children in Foster Homes (FH)	13,179	226	318	1.71%	-92
Children Own Home Parent/Guardian	13,179	12,912	12,530	97.97%	382
Total # Children (FH,Parent/Guardian)	13,179	13,138	11,615	99.69%	290
Adult FHA	13,946	84	98	0.60%	-14
Independent Living (IL)	13,946	945	937	6.78%	8
Adults Residing Own Home - Parent	13,946	9,961	9,872	71.43%	89
Supported Living (SL)	13,946	496	510	3.56%	-14
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,946	11,486	11,417	82.36%	69
Children Residing in a CCF (7+ beds)	13,179	0	0	0%	0
Children Residing in a ICF (7+ beds)	13,179	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	13,179	0	0	0%	0
Total Children Residing in 7+ bed facilities	13,179	0	0	0%	0
Adults Residing in a CCF (7+ beds)	13,946	112	112	0.80%	0
Adults Residing in a ICF (7+ beds)	13,946	15	7	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	13,946	78	75	0.56%	-3
Total Adults Residing in 7+ bed facilities	13,946	205	194	1.47%	-11
Total Individuals Over Age 3 with <=120 days	426	422	100%	100%	99%
Total Individuals Over Age 3 with 121-240 days	426	1	0%	0%	0.23%
Total Individuals Over Age 3 Over 240 days	426	3	0%	1%	0.70%
Adults with Integrated Employment Goal	13,946	43%	65%		
Total Number of Incentive Payments Made	13,946	342			
Avg. Wage per Hour After Incentive Payment	13,946	\$16.11			
Number of Persons Served with Earned Income	13,946	2,269			
Percentage of 16-64 Earned Income	13,946	15.5%			
Annual Earnings of 16-64	13,946	\$13,920			
Number of Adults in CIE After Paid Intern	13,946	8			
Percentage Adults Transitioned Internship to CIE	13,946	9%			
Total Annual Expenditures Race/Ethnicity	27,134				

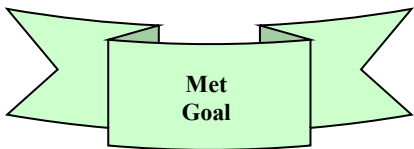
Performance Contract 2024-2025



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2024-2025

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.29%
Intake/Assessment and IFSP (0-2)	99.52%
IPP development biennial	Annual, 99.46
IFSP development	69.28%



Performance Contract 2024-2025

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2022-2023 and 2023-2024.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in April 2024, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.05%	423,241	224			
RCOC Public Hearing 4/24/24	0.03%	24,845	8	Goal	%	# Attained
RCOC 3/03/25	0.03%	27,134	9	0	0.03%	-9
Analysis as of Public Hearing	RCOC % of DD pop		5.87%	RCOC % of DC pop		3.57%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jul-24	26,460	0	9	0.03%	-9
Aug-24	26,547	0	9	0.03%	-9
Sep-24	26,250	0	9	0.03%	-9
Oct-24	26,808	0	9	0.03%	-9
Nov-24	26,906	0	9	0.03%	-9
Dec-24	27,059	0	9	0.03%	-9
Jan-25	27,108	0	9	0.03%	-9
Feb-25	27,134	0	9	0.03%	-9
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Performance Contract 2024-2025

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and thier caregivers.

Progress: A. During public meetings, RCOC had 296, or 2.59%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.56%	226,163	5,780			
RCOC Public Hearing 4/24/24	2.59%	11,421	296			
RCOC 3/03/25	1.71%	13,179	226	318	1.71%	-92
Analysis as of Public Hearing	RCOC % of DD pop.		5.05%		RCOC % of FH pop.	5.12%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-24	12,779	318	270	2.11%	-48
Aug-24	12,828	318	265	2.07%	-53
Sep-24	12,893	318	263	2.04%	-55
Oct-24	12,995	318	252	1.94%	-66
Nov-24	13,044	318	245	1.88%	-73
Dec-24	13,157	318	242	1.84%	-76
Jan-25	13,179	318	232	1.76%	-86
Feb-25	13,179	318	226	1.71%	-92
Mar-25		318			
Apr-25		318			
May-25		318			
Jun-25		318			

Below Goal

Progress: B. During public meetings, RCOC had 11,086, or 97.07%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.11%	226,163	219,630			
RCOC Public Hearing 4/24/24	97.07%	11,421	11,086	Goal	%	# Attained
RCOC 3/03/25	97.97%	13,179	12,912	12,530	97.97%	382
Analysis as of Public Hearing	RCOC % of DD pop.	5.05%		RCOC % of Home		97.07%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-24	12,779	12,530	12,473	97.61%	-57
Aug-24	12,828	12,530	12,528	97.66%	-2
Sep-24	12,893	12,530	12,593	97.67%	63
Oct-24	12,995	12,530	12,704	97.76%	174
Nov-24	13,044	12,530	12,759	97.82%	229
Dec-24	13,157	12,530	12,874	97.85%	334
Jan-25	13,179	12,530	12,908	97.94%	378
Feb-25	13,179	12,530	12,912	97.97%	382
Mar-25		12,530			
Apr-25		12,530			
May-25		12,530			
Jun-25		12,530			

Exceeded Goal

Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.67%	226,163	225,410			
RCOC Public Hearing 4/24/24	99.66%	11,421	11,382	Goal	%	# Attained
RCOC 3/03/25	99.69%	13,179	13,138	12,848	99.69%	290
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % Homes		86.63%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-24	12,779	12,848	12,743	99.72%	-105
Aug-24	12,828	12,848	12,793	99.73%	-55
Sep-24	12,893	12,848	12,856	99.71%	8
Oct-24	12,995	12,848	12,956	99.70%	108
Nov-24	13,044	12,848	13,004	99.69%	156
Dec-24	13,157	12,848	13,116	99.69%	268
Jan-25	13,179	12,848	13,140	99.70%	292
Feb-25	13,179	12,848	13,138	99.69%	290
Mar-25		12,848			
Apr-25		12,848			
May-25		12,848			
Jun-25		12,848			

Exceeded Goal

Performance Contract 2024-2025

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 87, or 0.65%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.74%	196,854	1,452			
RCOC Public Hearing 4/24/24	0.65%	13,416	87	Goal	%	# Attained
RCOC 3/03/25	0.60%	13,946	84	99	0.60%	-15
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		5.99%

Below Goal

	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-24	13,672	99	89	0.65%	-10
Aug-24	13,710	99	91	0.66%	-8
Sep-24	13,748	99	90	0.64%	-9
Oct-24	13,804	99	91	0.66%	-8
Nov-24	13,853	99	91	0.66%	-8
Dec-24	13,893	99	91	0.66%	-8
Jan-25	13,920	99	89	0.64%	-10
Feb-25	13,946	99	84	0.60%	-15
Mar-25		99			
Apr-25		99			
May-25		99			
Jun-25		99			



Progress: B. During public meetings, RCOC had 924, or 6.89%, of adults residing in independent living.

B. Total number and % of regioanl center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.09%	196,854	17,896			
RCOC Public Hearing 4/24/24	6.89%	13,416	924	Goal	%	# Attained
RCOC 3/03/25	6.78%	13,946	945	937	6.78%	8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.16%

Exceeded Goal

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-24	13,672	937	934	6.83%	-3
Aug-24	13,710	937	936	6.83%	-1
Sep-24	13,748	937	937	6.82%	0
Oct-24	13,804	937	941	6.82%	4
Nov-24	13,853	937	945	6.82%	8
Dec-24	13,893	937	942	6.77%	4
Jan-25	13,920	937	942	6.77%	6
Feb-25	13,946	937	945	6.78%	8
Mar-25		937			
Apr-25		937			
May-25		937			
Jun-25		937			

Progress: C. During public meetings, RCOC had 9,477, or 70.64%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent			
Statewide Average	69.02%	196,854	135,873			
RCOC Public Hearing 4/24/24	70.64%	13,416	9,477	Goal	%	# Attained
RCOC 3/03/25	71.43%	13,946	9,961	9,872	71.43%	89
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.97%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-24	13,672	9,872	9,708	71.01%	-164
Aug-24	13,710	9,872	9,743	71.06%	-129
Sep-24	13,748	9,872	9,775	71.01%	-97
Oct-24	13,804	9,872	9,822	71.15%	-50
Nov-24	13,853	9,872	9,859	71.17%	-13
Dec-24	13,893	9,872	9,912	71.35%	40
Jan-25	13,920	9,872	9,941	71.42%	69
Feb-25	13,946	9,872	9,961	71.43%	89
Mar-25		9,872			
Apr-25		9,872			
May-25		9,872			
Jun-25		9,872			

Exceeded Goal



Progress: D. During public meetings, RCOC had 491, or 3.66%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	196,854	9,359			
RCOC Public Hearing 4/24/24	3.66%	13,416	491	Goal	%	# Attained
RCOC 3/03/25	3.56%	13,946	496	510	3.56%	-14
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.25%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-24	13,672	510	492	3.60%	-18
Aug-24	13,710	510	492	3.59%	-18
Sep-24	13,748	510	488	3.55%	-22
Oct-24	13,804	510	491	3.56%	-19
Nov-24	13,853	510	493	3.56%	-17
Dec-24	13,893	510	491	3.53%	-19
Jan-25	13,920	510	491	3.53%	-19
Feb-25	13,946	510	496	3.56%	-14
Mar-25		510			
Apr-25		510			
May-25		510			
Jun-25		510			

Below Goal



Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	83.63%	196,854	164,624			
RCOC Public Hearing 4/24/24	81.84%	13,416	10,979	Goal	%	# Attained
RCOC 3/03/25	82.36%	13,946	11,486	11,418	82.36%	68
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-24	13,672	11,418	11,223	82.09%	-195
Aug-24	13,710	11,418	11,262	82.14%	-156
Sep-24	13,748	11,418	11,290	82.12%	-128
Oct-24	13,808	11,418	11,345	82.19%	-128
Nov-24	13,853	11,418	11,388	82.21%	-30
Dec-24	13,893	11,418	11,435	82.31%	17
Jan-25	13,920	11,418	11,464	83.26%	46
Feb-25	13,946	11,418	11,486	83.26%	68
Mar-25		11,418			
Apr-25		11,418			
May-25		11,418			
Jun-25		11,418			

Exceeded Goal

Performance Contract 2024-2025

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	226,163	14			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 3/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	226,163	42			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 3/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	1	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Met Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	226,163	4			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 3/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	226,163	60			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 3/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Performance Contract 2024-2025

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 119, or 0.89%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.67%	196,854	1,324			
RCOC Public Hearing 4/24/24	0.89%	13,416	119	Goal	%	# Attained
RCOC 3/03/25	0.80%	13,946	112	112	0.80%	0
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.99%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-24	13,672	112	111	0.81%	1
Aug-24	13,710	112	113	0.82%	-1
Sep-24	13,748	112	114	0.83%	-2
Oct-24	13,804	112	113	0.82%	-1
Nov-24	13,853	112	112	0.81%	0
Dec-24	13,893	112	112	0.81%	0
Jan-25	13,920	112	112	0.80%	0
Feb-25	13,946	112	112	0.80%	0
Mar-25		112			
Apr-25		112			
May-25		112			
Jun-25		112			

Met Goal

Progress: B. During public meetings, RCOC had 15, or 0.11%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds			
Statewide Average	0.41%	196,854	755			
RCOC Public Hearing 4/24/24	0.11%	13,416	15	Goal	%	# Attained
RCOC 3/03/25	0.11%	13,946	15	7	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		1.99%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-24	13,672	7	14	0.10%	-7
Aug-24	13,710	7	14	0.10%	-7
Sep-24	13,748	7	15	0.11%	-8
Oct-24	13,804	7	15	0.11%	-8
Nov-24	13,853	7	14	0.10%	-7
Dec-24	13,893	7	15	0.10%	-8
Jan-25	13,920	7	15	0.11%	-8
Feb-25	13,946	7	15	0.11%	-8
Mar-25		7			
Apr-25		7			
May-25		7			
Jun-25		7			

Below Goal

Progress: C. During public meetings, RCOC had 75, or 0.56%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF			
Statewide Average	0.52%	196,854	967			
RCOC Public Hearing 4/24/24	0.56%	13,416	75	Goal	%	# Attained
RCOC 3/03/25	0.56%	13,946	78	75	0.56%	-3
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		7.76%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-24	13,672	75	78	0.57%	-3
Aug-24	13,710	75	76	0.55%	-1
Sep-24	13,748	75	80	0.58%	-5
Oct-24	13,804	75	78	0.58%	-3
Nov-24	13,853	75	82	0.59%	-7
Dec-24	13,893	75	78	0.56%	-3
Jan-25	13,920	75	79	0.57%	-4
Feb-25	13,946	75	78	0.56%	-3
Mar-25		75			
Apr-25		75			
May-25		75			
Jun-25		75			

Below Goal

Progress: D. During public meetings, RCOC had 209, or 1.56%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	196,854	3,188			
RCOC Public Hearing 4/24/24	1.56%	13,416	209	Goal	%	# Attained
RCOC 3/03/25	1.47%	13,946	205	194	1.47%	-11
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		6.56%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-24	13,672	194	203	1.45%	-11
Aug-24	13,710	194	203	1.48%	-9
Sep-24	13,748	194	209	1.52%	-15
Oct-24	13,808	194	206	1.49%	-12
Nov-24	13,853	194	208	1.94%	-14
Dec-24	13,893	194	205	1.48%	-11
Jan-25	13,920	194	206	1.48%	-12
Feb-25	13,946	194	205	1.47%	-11
Mar-25		194			
Apr-25		194			
May-25		194			
Jun-25		194			

Below Goal

Performance Contract 2024-2025

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

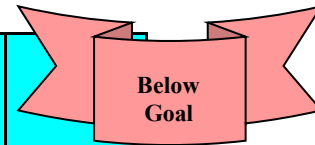
Progress: A. During public meetings, RCOC had 257, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days		
Statewide Average	78.33%	14,874	11,651		
RCOC Public Hearing 4/24/24	100%	257	257	Goal	% Attained
RCOC 3/03/25	99%	426	422	100%	99%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jul-24	319	100%	319	100%
Aug-24	326	100%	326	100%
Sep-24	321	100%	321	100%
Oct-24	305	100%	305	100%
Nov-24	328	100%	328	100%
Dec-24	330	100%	330	100%
Jan-25	354	100%	354	100%
Feb-25	426	100%	422	99%
Mar-25		100%		
Apr-25		100%		
May-25		100%		
Jun-25		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	14.62%	14,874	2,175		
RCOC Public Hearing 4/24/24	0.00%	257	0	Goal	% Attained
RCOC 3/03/25	0.23%	426	1	0%	0.23%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-24	319	0%	0	0%
Aug-24	326	0%	0	0%
Sep-24	321	0%	0	0%
Oct-24	305	0%	0	0%
Nov-24	328	0%	0	0%
Dec-24	330	0%	0	0%
Jan-25	354	0%	0	0%
Feb-25	426	0%	1	0.23%
Mar-25		0%		
Apr-25		0%		
May-25		0%		
Jun-25		0%		

Below Goal

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	7.05%	14,874	1048		
RCOC Public Hearing 4/24/24	0%	257	0	Goal	% Attained
RCOC 3/03/25	0%	426	3	0%	0.70%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jul-24	319	0%	0	0%
Aug-24	326	0%	0	0%
Sep-24	321	0%	0	0%
Oct-24	305	0%	0	0%
Nov-24	328	0%	0	0%
Dec-24	330	0%	0	0.00%
Jan-25	354	0%	0	0.00%
Feb-25	426	0%	3	0.70%
Mar-25		0%		
Apr-25		0%		
May-25		0%		
Jun-25		0%		

Below Goal

Performance Contract 2024-2025

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	43%	60%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	33	19	17
2022-2023	124	113	105

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	13	\$13.98
2022-2023	16.9	\$16.11

Performance Contract 2024-2025

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2021 indicate that 1,839 persons served ages 16-64 had earned income. In 2022, 1,965 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	3,346	1,311
2019	2,335	1,341
2020	1,726	1,082
2021	1,839	1,317
2022	1,965	1,428
2023	2,269	1,583



Progress: B. Results from the EDD in 2021 indicate that 13.9% of persons served ages 16-64 reported having earned income. In 2022, the percentage of persons served ages 16-64 reporting earned income was 14.5%.

B. Percentage of persons served ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2019	21%	17.3%
2020	19.5%	15.7%
2021	13.9%	14.2%
2022	14.5%	15.0%
2023	15.5%	15.2%

Progress: C. Results from the EDD in 2021 indicate that average annual wages for persons served ages 16-64 was \$10,991. In 2022, the average annual wage for persons served ages 16-64 was \$13,564. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,582	\$8,772
2020	\$6,783	\$8,837
2021	\$10,991	\$11,806
2022	\$13,564	\$13,949
2023	\$13,920	\$14,256



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

Performance Contract 2024-2025

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2023-2024 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	6	\$38,621	\$3,582	55.7%
Asian	931	\$11,417,518	\$7,681	62.6%
Black/African American	94	\$1,298,775	\$6,920	50.1%
Hispanic	2,254	\$23,935,532	\$6,255	58.9%
Native Hawaiian or Other Pacific Islander	7	\$72,402	\$6,973	67.4%
White	1,229	\$11,144,260	\$5,159	56.9%
Race/Multi-Cultural	1,130	\$12,180,816	\$6,487	60.2%
Totals	5,651	\$60,087,924	\$6,307	59.3%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	16	\$521,799	\$4,618	14.2%
Asian	2,469	\$27,579,138	\$5,252	47.0%
Black/African American	261	\$4,375,491	\$7,853	46.8%
Hispanic	4,817	\$45,908,919	\$4,137	43.4%
Native Hawaiian or Other Pacific Islander	30	\$329,453	\$4,028	36.7%
White	2,370	\$36,737,651	\$8,549	55.1%
Race/Multi-Cultural	2,370	\$31,014,006	\$6,457	49.2%
Totals	12,324	\$146,466,457	\$5,732	48.2%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	22	\$1,752,140	\$54,697	70.9%
Asian	1721	\$95,857,290	\$37,522	67.4%
Black/African American	285	\$21,133,070	\$51,204	69.1%
Hispanic	3251	\$142,949,249	\$29,417	66.9%
Native Hawaiian or Other Pacific Islander	14	\$627,684	\$32,812	73.2%
White	4877	\$392,461,532	\$58,681	72.9%
Other Ethnicity or Race/Multi-Cultural	1051	\$61,370,970	\$40,106	68.7%
Totals	1122	\$7,161,515,936	\$44,991	70.5%



Progress: B. Review of fiscal year 2023-24 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	6	2	33.3%
Asian	931	44	4.7%
Black/African American	94	4	4.3%
Hispanic	2,254	127	5.6%
Native Hawaiian or Other Pacific Islander	7	1	14.3%
White	1,229	82	6.7%
Other Race/Ethnicity or Multi-Cultural	1,130	68	6%
Totals	5,651	328	5.8%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	16	3	18.8%
Asian	2,469	696	28.2%
Black/African American	261	89	34.1%
Hispanic	4,817	1,975	41%
Native Hawaiian or Other Pacific Islander	30	9	30%
White	2,370	690	29.1%
Other Race/Ethnicity or Multi-Cultural	2,361	730	30.9%
Totals	12,234	4,192	34%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	22	4	18.2%
Asian	1,721	414	24.1%
Black/African American	285	57	20%
Hispanic	3,251	861	26.5%
Native Hawaiian or Other Pacific Islander	14	4	28.6%
White	4,877	793	16.3%
Other Race/Ethnicity or Multi-Cultural	1,051	262	24.9%
Totals	11,221	2395	21.3%



Progress: C. Review of fiscal year 2023-24 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Fiscal Year 2023-2024 Primary Language All Ages	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
Chinese	89	\$1,871,887	\$14,234	67.7%
English	22,915	\$789,858,234	\$23,259	67.5%
Spanish	4,861	\$91,768,371	\$10,930	55.8%
Vietnamese	1,078	\$20,887,583	\$11,974	61.8%
All Other Languages	433	\$18,320,241	\$29,607	70.0%
Totals	29,196	\$922,706,316	\$20,932	66.2%



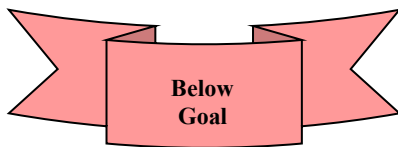
Performance Contract Summary

RCOC as of 4/01/2025	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	27,310	9	0	0.03%	-9
Children in Foster Homes (FH)	13,297	226	318	1.70%	-92
Children Own Home Parent/Guardian	13,297	13,028	12,530	97.98%	498
Total # Children (FH,Parent/Guardian)	13,297	13,254	11,615	99.68%	406
Adult FHA	14,004	81	98	0.58%	-17
Independent Living (IL)	14,004	957	937	6.83%	20
Adults Residing Own Home - Parent	14,004	10,010	9,872	71.48%	138
Supported Living (SL)	14,004	492	510	3.51%	-18
Total # Adults (FHA, IL,Parent/Guardian, SL)	14,004	11,540	11,417	82.41%	123
Children Residing in a CCF (7+ beds)	13,297	1	0	0.33%	-1
Children Residing in a ICF (7+ beds)	13,297	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	13,297	0	0	0%	0
Total Children Residing in 7+ bed facilities	13,297	1	0	99.67%	-1
Adults Residing in a CCF (7+ beds)	14,004	112	112	0.80%	0
Adults Residing in a ICF (7+ beds)	14,004	15	7	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	14,004	77	75	0.55%	-2
Total Adults Residing in 7+ bed facilities	14,004	204	194	1.46%	-10
Total Individuals Over Age 3 with <=120 days	444	444	100%	100%	100%
Total Individuals Over Age 3 with 121-240 days	444	0	0%	0%	0.00%
Total Individuals Over Age 3 Over 240 days	444	0	0%	0%	0.00%
Adults with Integrated Employment Goal	14,004	43%	65%		
Total Number of Incentive Payments Made	14,004	342			
Avg. Wage per Hour After Incentive Payment	14,004	\$16.11			
Number of Persons Served with Earned Income	14,004	2,269			
Percentage of 16-64 Earned Income	14,004	15.5%			
Annual Earnings of 16-64	14,004	\$13,920			
Number of Adults in CIE After Paid Intern	14,004	8			
Percentage Adults Transitioned Internship to CIE	14,004	9%			
Total Annual Expenditures Race/Ethnicity	27,310				

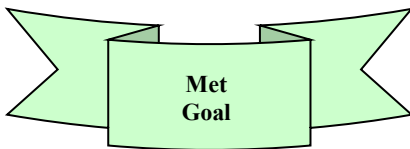
Performance Contract 2024-2025



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2024-2025

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.23%
Intake/Assessment and IFSP (0-2)	99.52%
IPP development biennial	Annual, 99.46
IFSP development	69.28%



Performance Contract 2024-2025

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2022-2023 and 2023-2024.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in April 2024, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.05%	423,241	224			
RCOC Public Hearing 4/24/24	0.03%	24,845	8	Goal	%	# Attained
RCOC 4/01/25	0.03%	27,301	9	0	0.03%	-9
Analysis as of Public Hearing	RCOC % of DD pop	5.87%	RCOC % of DC pop			3.57%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jul-24	26,460	0	9	0.03%	-9
Aug-24	26,547	0	9	0.03%	-9
Sep-24	26,250	0	9	0.03%	-9
Oct-24	26,808	0	9	0.03%	-9
Nov-24	26,906	0	9	0.03%	-9
Dec-24	27,059	0	9	0.03%	-9
Jan-25	27,108	0	9	0.03%	-9
Feb-25	27,134	0	9	0.03%	-9
Mar-25	27,301	0	9	0.03%	-9
Apr-25		0			
May-25		0			
Jun-25		0			

Performance Contract 2024-2025

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and thier caregivers.

Progress: A. During public meetings, RCOC had 296, or 2.59%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.56%	226,163	5,780			
RCOC Public Hearing 4/24/24	2.59%	11,421	296			
RCOC 4/01/25	1.70%	13,297	226	318	1.70%	-92
Analysis as of Public Hearing	RCOC % of DD pop.		5.05%		RCOC % of FH pop.	5.12%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-24	12,779	318	270	2.11%	-48
Aug-24	12,828	318	265	2.07%	-53
Sep-24	12,893	318	263	2.04%	-55
Oct-24	12,995	318	252	1.94%	-66
Nov-24	13,044	318	245	1.88%	-73
Dec-24	13,157	318	242	1.84%	-76
Jan-25	13,179	318	232	1.76%	-86
Feb-25	13,179	318	226	1.71%	-92
Mar-25	13,297	318	226	1.70%	-92
Apr-25		318			
May-25		318			
Jun-25		318			

Below Goal

Progress: B. During public meetings, RCOC had 11,086, or 97.07%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.11%	226,163	219,630			
RCOC Public Hearing 4/24/24	97.07%	11,421	11,086	Goal	%	# Attained
RCOC 4/01/25	97.98%	13,297	13,028	12,530	97.98%	498
Analysis as of Public Hearing	RCOC % of DD pop.	5.05%		RCOC % of Home		97.07%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-24	12,779	12,530	12,473	97.61%	-57
Aug-24	12,828	12,530	12,528	97.66%	-2
Sep-24	12,893	12,530	12,593	97.67%	63
Oct-24	12,995	12,530	12,704	97.76%	174
Nov-24	13,044	12,530	12,759	97.82%	229
Dec-24	13,157	12,530	12,874	97.85%	334
Jan-25	13,179	12,530	12,908	97.94%	378
Feb-25	13,179	12,530	12,912	97.97%	382
Mar-25	13,297	12,530	13,028	97.98%	498
Apr-25		12,530			
May-25		12,530			
Jun-25		12,530			

Exceeded Goal

Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.67%	226,163	225,410			
RCOC Public Hearing 4/24/24	99.66%	11,421	11,382	Goal	%	# Attained
RCOC 4/01/25	99.68%	13,297	13,254	12,848	99.68%	406
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % Homes		85.88%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-24	12,779	12,848	12,743	99.72%	-105
Aug-24	12,828	12,848	12,793	99.73%	-55
Sep-24	12,893	12,848	12,856	99.71%	8
Oct-24	12,995	12,848	12,956	99.70%	108
Nov-24	13,044	12,848	13,004	99.69%	156
Dec-24	13,157	12,848	13,116	99.69%	268
Jan-25	13,179	12,848	13,140	99.70%	292
Feb-25	13,179	12,848	13,138	99.69%	290
Mar-25	13,297	12,848	13,254	99.68%	406
Apr-25		12,848			
May-25		12,848			
Jun-25		12,848			

Exceeded Goal

Performance Contract 2024-2025

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 87, or 0.65%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.74%	196,854	1,452			
RCOC Public Hearing 4/24/24	0.65%	13,416	87	Goal	%	# Attained
RCOC 4/01/25	0.58%	14,004	81	99	0.58%	-18
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		5.99%

Below Goal

	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-24	13,672	99	89	0.65%	-10
Aug-24	13,710	99	91	0.66%	-8
Sep-24	13,748	99	90	0.64%	-9
Oct-24	13,804	99	91	0.66%	-8
Nov-24	13,853	99	91	0.66%	-8
Dec-24	13,893	99	91	0.66%	-8
Jan-25	13,920	99	89	0.64%	-10
Feb-25	13,946	99	84	0.60%	-15
Mar-25	14,004	99	81	0.58%	-18
Apr-25		99			
May-25		99			
Jun-25		99			



Progress: B. During public meetings, RCOC had 924, or 6.89%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.09%	196,854	17,896			
RCOC Public Hearing 4/24/24	6.89%	13,416	924	Goal	%	# Attained
RCOC 4/01/25	6.83%	14,004	957	937	6.83%	20
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.16%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-24	13,672	937	934	6.83%	-3
Aug-24	13,710	937	936	6.83%	-1
Sep-24	13,748	937	937	6.82%	0
Oct-24	13,804	937	941	6.82%	4
Nov-24	13,853	937	945	6.82%	8
Dec-24	13,893	937	942	6.77%	4
Jan-25	13,920	937	942	6.77%	6
Feb-25	13,946	937	945	6.78%	8
Mar-25	14,004	937	957	6.83%	20
Apr-25		937			
May-25		937			
Jun-25		937			

Progress: C. During public meetings, RCOC had 9,477, or 70.64%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent			
Statewide Average	69.02%	196,854	135,873			
RCOC Public Hearing 4/24/24	70.64%	13,416	9,477	Goal	%	# Attained
RCOC 4/01/25	71.48%	14,004	10,010	9,872	71.48%	138
Analysis of Public Hearing	RCOC % of DD pop	6.82%	RCOC % of own home			6.97%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-24	13,672	9,872	9,708	71.01%	-164
Aug-24	13,710	9,872	9,743	71.06%	-129
Sep-24	13,748	9,872	9,775	71.01%	-97
Oct-24	13,804	9,872	9,822	71.15%	-50
Nov-24	13,853	9,872	9,859	71.17%	-13
Dec-24	13,893	9,872	9,912	71.35%	40
Jan-25	13,920	9,872	9,941	71.42%	69
Feb-25	13,946	9,872	9,961	71.43%	89
Mar-25	14,004	9,872	10,010	71.48%	138
Apr-25		9,872			
May-25		9,872			
Jun-25		9,872			

Exceeded Goal



Progress: D. During public meetings, RCOC had 491, or 3.66%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	196,854	9,359			
RCOC Public Hearing 4/24/24	3.66%	13,416	491	Goal	%	# Attained
RCOC 4/01/25	3.51%	14,004	492	510	3.51%	-18
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.25%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-24	13,672	510	492	3.60%	-18
Aug-24	13,710	510	492	3.59%	-18
Sep-24	13,748	510	488	3.55%	-22
Oct-24	13,804	510	491	3.56%	-19
Nov-24	13,853	510	493	3.56%	-17
Dec-24	13,893	510	491	3.53%	-19
Jan-25	13,920	510	491	3.53%	-19
Feb-25	13,946	510	496	3.56%	-14
Mar-25	14,004	510	492	3.51%	-18
Apr-25		510			
May-25		510			
Jun-25		510			

Below Goal



Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	83.63%	196,854	164,624			
RCOC Public Hearing 4/24/24	81.84%	13,416	10,979	Goal	%	# Attained
RCOC 4/01/25	82.41%	14,004	11,540	11,418	82.41%	122
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-24	13,672	11,418	11,223	82.09%	-195
Aug-24	13,710	11,418	11,262	82.14%	-156
Sep-24	13,748	11,418	11,290	82.12%	-128
Oct-24	13,808	11,418	11,345	82.19%	-128
Nov-24	13,853	11,418	11,388	82.21%	-30
Dec-24	13,893	11,418	11,435	82.31%	17
Jan-25	13,920	11,418	11,464	83.26%	46
Feb-25	13,946	11,418	11,486	83.26%	68
Mar-25	14,004	11,418	11,540	82.41%	122
Apr-25		11,418			
May-25		11,418			
Jun-25		11,418			

Exceeded Goal

Performance Contract 2024-2025

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	226,163	14			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 4/01/25	0.01%	13,297	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25	13,297	0	1	0.01%	-1
Apr-25		0			
May-25		0			
Jun-25		0			

Below Goal

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	226,163	42			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 4/01/25	0.00%	13,297	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	1	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25	13,297	0	0	0.00%	0
Apr-25		0			
May-25		0			
Jun-25		0			

Met Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	226,163	4			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 4/01/25	0.00%	13,297	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25	13,297	0	0	0.00%	0
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	226,163	60			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 4/01/25	0.00%	13,297	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25	13,179	0	0	0.00%	0
Mar-25	13,297	0	1	0.01%	-1
Apr-25		0			
May-25		0			
Jun-25		0			

Below Goal



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V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 119, or 0.89%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.67%	196,854	1,324			
RCOC Public Hearing 4/24/24	0.89%	13,416	119	Goal	%	# Attained
RCOC 4/01/25	0.80%	14,004	112	112	0.80%	0
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.99%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-24	13,672	112	111	0.81%	1
Aug-24	13,710	112	113	0.82%	-1
Sep-24	13,748	112	114	0.83%	-2
Oct-24	13,804	112	113	0.82%	-1
Nov-24	13,853	112	112	0.81%	0
Dec-24	13,893	112	112	0.81%	0
Jan-25	13,920	112	112	0.80%	0
Feb-25	13,946	112	112	0.80%	0
Mar-25	14,004	112	112	0.80%	0
Apr-25		112			
May-25		112			
Jun-25		112			

Met Goal

Progress: B. During public meetings, RCOC had 15, or 0.11%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds			
Statewide Average	0.41%	196,854	755			
RCOC Public Hearing 4/24/24	0.11%	13,416	15	Goal	%	# Attained
RCOC 4/01/25	0.11%	14,004	15	7	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		1.99%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-24	13,672	7	14	0.10%	-7
Aug-24	13,710	7	14	0.10%	-7
Sep-24	13,748	7	15	0.11%	-8
Oct-24	13,804	7	15	0.11%	-8
Nov-24	13,853	7	14	0.10%	-7
Dec-24	13,893	7	15	0.10%	-8
Jan-25	13,920	7	15	0.11%	-8
Feb-25	13,946	7	15	0.11%	-8
Mar-25	14,004	7	115	0.11%	-8
Apr-25		7			
May-25		7			
Jun-25		7			

Below Goal



Progress: C. During public meetings, RCOC had 75, or 0.56%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF			
Statewide Average	0.52%	196,854	967			
RCOC Public Hearing 4/24/24	0.56%	13,416	75	Goal	%	# Attained
RCOC 4/01/25	0.55%	14,004	77	75	0.55%	-2
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		7.76%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-24	13,672	75	78	0.57%	-3
Aug-24	13,710	75	76	0.55%	-1
Sep-24	13,748	75	80	0.58%	-5
Oct-24	13,804	75	78	0.58%	-3
Nov-24	13,853	75	82	0.59%	-7
Dec-24	13,893	75	78	0.56%	-3
Jan-25	13,920	75	79	0.57%	-4
Feb-25	13,946	75	78	0.56%	-3
Mar-25	14,004	75	77	0.55%	-2
Apr-25		75			
May-25		75			
Jun-25		75			

Below Goal

Progress: D. During public meetings, RCOC had 209, or 1.56%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	196,854	3,188			
RCOC Public Hearing 4/24/24	1.56%	13,416	209	Goal	%	# Attained
RCOC 4/01/25	1.46%	14,004	204	194	1.46%	-10
Analysis of Public Meeting	RCOC % of DD pop	6.82%	RCOC % 7+ Bed	6.56%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-24	13,672	194	203	1.45%	-11
Aug-24	13,710	194	203	1.48%	-9
Sep-24	13,748	194	209	1.52%	-15
Oct-24	13,808	194	206	1.49%	-12
Nov-24	13,853	194	208	1.94%	-14
Dec-24	13,893	194	205	1.48%	-11
Jan-25	13,920	194	206	1.48%	-12
Feb-25	13,946	194	205	1.47%	-11
Mar-25	14,004	194	204	1.46%	-10
Apr-25		194			
May-25		194			
Jun-25		194			

Below Goal



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VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 257, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days		
Statewide Average	78.33%	14,874	11,651		
RCOC Public Hearing 4/24/24	100%	257	257	Goal	% Attained
RCOC 4/01/25	100%	444	444	100%	100%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained	Met Goal
Jul-24	319	100%	319	100%	
Aug-24	326	100%	326	100%	
Sep-24	321	100%	321	100%	
Oct-24	305	100%	305	100%	
Nov-24	328	100%	328	100%	
Dec-24	330	100%	330	100%	
Jan-25	354	100%	354	100%	
Feb-25	426	100%	422	99%	
Mar-25	444	100%	444	100%	
Apr-25		100%			
May-25		100%			
Jun-25		100%			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	14.62%	14,874	2,175		
RCOC Public Hearing 4/24/24	0.00%	257	0	Goal	% Attained
RCOC 4/01/25	0.00%	444	0	0%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-24	319	0%	0	0%
Aug-24	326	0%	0	0%
Sep-24	321	0%	0	0%
Oct-24	305	0%	0	0%
Nov-24	328	0%	0	0%
Dec-24	330	0%	0	0%
Jan-25	354	0%	0	0%
Feb-25	426	0%	1	0.23%
Mar-25	444	0%	0	0%
Apr-25		0%		
May-25		0%		
Jun-25		0%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	7.05%	14,874	1048		
RCOC Public Hearing 4/24/24	0%	257	0	Goal	% Attained
RCOC 4/01/25	0%	444	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jul-24	319	0%	0	0%
Aug-24	326	0%	0	0%
Sep-24	321	0%	0	0%
Oct-24	305	0%	0	0%
Nov-24	328	0%	0	0%
Dec-24	330	0%	0	0.00%
Jan-25	354	0%	0	0.00%
Feb-25	426	0%	3	0.70%
Mar-25	444	0%	0	0.00%
Apr-25		0%		
May-25		0%		
Jun-25		0%		





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VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	43%	60%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	33	19	17
2022-2023	124	113	105

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	13	\$13.98
2022-2023	16.9	\$16.11

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VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2021 indicate that 1,839 persons served ages 16-64 had earned income. In 2022, 1,965 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	3,346	1,311
2019	2,335	1,341
2020	1,726	1,082
2021	1,839	1,317
2022	1,965	1,428
2023	2,269	1,583



Progress: B. Results from the EDD in 2021 indicate that 13.9% of persons served ages 16-64 reported having earned income. In 2022, the percentage of persons served ages 16-64 reporting earned income was 14.5%.

B. Percentage of persons served ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2019	21%	17.3%
2020	19.5%	15.7%
2021	13.9%	14.2%
2022	14.5%	15.0%
2023	15.5%	15.2%

Progress: C. Results from the EDD in 2021 indicate that average annual wages for persons served ages 16-64 was \$10,991. In 2022, the average annual wage for persons served ages 16-64 was \$13,564. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,582	\$8,772
2020	\$6,783	\$8,837
2021	\$10,991	\$11,806
2022	\$13,564	\$13,949
2023	\$13,920	\$14,256



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

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IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2023-2024 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	6	\$38,621	\$3,582	55.7%
Asian	931	\$11,417,518	\$7,681	62.6%
Black/African American	94	\$1,298,775	\$6,920	50.1%
Hispanic	2,254	\$23,935,532	\$6,255	58.9%
Native Hawaiian or Other Pacific Islander	7	\$72,402	\$6,973	67.4%
White	1,229	\$11,144,260	\$5,159	56.9%
Race/Multi-Cultural	1,130	\$12,180,816	\$6,487	60.2%
Totals	5,651	\$60,087,924	\$6,307	59.3%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	16	\$521,799	\$4,618	14.2%
Asian	2,469	\$27,579,138	\$5,252	47.0%
Black/African American	261	\$4,375,491	\$7,853	46.8%
Hispanic	4,817	\$45,908,919	\$4,137	43.4%
Native Hawaiian or Other Pacific Islander	30	\$329,453	\$4,028	36.7%
White	2,370	\$36,737,651	\$8,549	55.1%
Race/Multi-Cultural	2,370	\$31,014,006	\$6,457	49.2%
Totals	12,324	\$146,466,457	\$5,732	48.2%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
American Indian or Alaska Native	22	\$1,752,140	\$54,697	70.9%
Asian	1721	\$95,857,290	\$37,522	67.4%
Black/African American	285	\$21,133,070	\$51,204	69.1%
Hispanic	3251	\$142,949,249	\$29,417	66.9%
Native Hawaiian or Other Pacific Islander	14	\$627,684	\$32,812	73.2%
White	4877	\$392,461,532	\$58,681	72.9%
Other Ethnicity or Race/Multi-Cultural	1051	\$61,370,970	\$40,106	68.7%
Totals	1122	\$7,161,515,936	\$44,991	70.5%



Progress: B. Review of fiscal year 2023-24 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Fiscal Year 2023-2024 Birth to 2 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	6	2	33.3%
Asian	931	44	4.7%
Black/African American	94	4	4.3%
Hispanic	2,254	127	5.6%
Native Hawaiian or Other Pacific Islander	7	1	14.3%
White	1,229	82	6.7%
Other Race/Ethnicity or Multi-Cultural	1,130	68	6%
Totals	5,651	328	5.8%

Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	16	3	18.8%
Asian	2,469	696	28.2%
Black/African American	261	89	34.1%
Hispanic	4,817	1,975	41%
Native Hawaiian or Other Pacific Islander	30	9	30%
White	2,370	690	29.1%
Other Race/Ethnicity or Multi-Cultural	2,361	730	30.9%
Totals	12,234	4,192	34%



Fiscal Year 2023-2024 22 Years and Older Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	22	4	18.2%
Asian	1,721	414	24.1%
Black/African American	285	57	20%
Hispanic	3,251	861	26.5%
Native Hawaiian or Other Pacific Islander	14	4	28.6%
White	4,877	793	16.3%
Other Race/Ethnicity or Multi-Cultural	1,051	262	24.9%
Totals	11,221	2395	21.3%



Progress: C. Review of fiscal year 2023-24 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Fiscal Year 2023-2024 Primary Language All Ages	Total Persons	Total Authorized Services	Per Capita Expenditures	Utilized
Chinese	89	\$1,871,887	\$14,234	67.7%
English	22,915	\$789,858,234	\$23,259	67.5%
Spanish	4,861	\$91,768,371	\$10,930	55.8%
Vietnamese	1,078	\$20,887,583	\$11,974	61.8%
All Other Languages	433	\$18,320,241	\$29,607	70.0%
Totals	29,196	\$922,706,316	\$20,932	66.2%

**Regional Center of Orange County
Board Recruitment and Training Committee
April 14, 2025
Videoconference Minutes**

Committee Members Present: Sandy Martin, Chair
Bruce Hall
Chinh Nguyen
Fernando Peña

Committee Member Absent: Frances Hernandez
Hilda Mendez

RCOC Staff Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Ms. Sandy Martin called the videoconference meeting to order at 5:00 p.m.

I. Board Recruitment

A. Review Board Members' Terms of Office and Upcoming Turnover

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs – Review of Board Member Application

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

The Committee also reviewed and discussed Mr. John “Chip” Wright’s Board member application. The Committee decided to recommend Mr. Wright’s application to the Executive Committee for Board membership for a one-year term.

M/S/C to recommend to the Executive Committee appointment of John “Chip” Wright to RCOC’s Board of Directors for a one-year term

II. Board Development and Training

Board Recruitment and Training Committee Minutes
April 14, 2025

A. Discuss Board Training Schedule and Topics

Ms. Martin reported that the next Board of Directors' training will be on July 10, 2025. The training will consist of annual training topics required by the Department of Developmental Services (DDS) including a Review of Board Governance, Conflict of Interest, Whistleblower Policies and Linguistic and Cultural Competency.

Ms. Martin requested that the Committee consider potential training topics for the upcoming calendar year 2026.

III. Community Forum

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:21 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Sandy Martin
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Board Membership for John “Chip” Wright for a One-Year Term Commencing June 16, 2025 and Ending June 15, 2026

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code (WIC), §4622). WIC §4622(c) requires that a minimum of 50% of the members of the governing board are persons with developmental disabilities or their parents or legal guardians and no less than 25% of the members of the governing board are persons with developmental disabilities.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Mr. John “Chip” Wright (see attached). The Committee has reviewed his application and determined that Mr. Wright’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for John “Chip” Wright for a one-year term commencing June 16, 2025 and ending June 15, 2026.

Regional Center of Orange County

P.O. Box 22010

Santa Ana, CA 92702-2010

Board Member Application

Date: April 14, 2025

Name: John "Chip" Wright

Phone: [REDACTED]

Home Address: [REDACTED]

City: [REDACTED]

Occupation: Commercial Real Estate

Employer: [REDACTED]

Work Phone: [REDACTED]

Email Address: [REDACTED]

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

Our son Jack was diagnosed with autism at 2 years old. We were referred to RCOC to get an assessment and receive services. Since then, I have served on the RCOC Board for 7 years.

Please describe your current or past membership in community and/or professional organizations.

I was on the RCOC Board from 2018 - 2024. I was the Committee Chair for Budget Finance, Board Recruitment & Training, Executive Committee and Board Chairman.

Please describe your current or past membership and offices (if any) held on other boards.

I have only served on the RCOC Board and held the titles listed above.

Please describe your hobbies and interests.

I like to stay active (golf, hockey, skiing, swimming, fly fishing, running). I also enjoy cooking and travel.

Do you have any of the following special skills? If so, please check and describe more fully below.

- ☒ Business/Management
☐ Marketing/Public Relations
☐ Other: _____

- ☒ Financial Analysis
☐ Political Activism

- ☐ Legal
☐ Governing Board

Are you a: (Please check one)

- ☐ Person with a developmental disability
☒ Parent or family member of a person with a developmental disability
☐ Representative of the general public

In order to assure the representation of all persons served by RCOC on the Board of Directors, please choose one or more of the following choices that best describes your ethnicity:

- ☐ African-American ☐ Asian/Pacific Islander ☒ Caucasian
☐ Hispanic ☐ Native American/Indian ☐ Other (please specify: _____)

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other). Our son is Autistic

Please describe why you wish to serve on the Board of Directors and why you believe you are qualified.

I believe the California Regional Center System provides essential services that help improve the lives of those with developmental disabilities. I would like to help improve the scope and efficiency of RCOC to best utilize the funds and programs to improve the lives of those served. I also believe my past Board service will help make an impact in the areas that need the most attention.

Signature Page

- ☒ After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as a Board Member.
- ☒ I am willing to serve and have attached a statement saying why I wish to serve as a member of the Board of Directors and why I believe I am qualified.
- ☒ After reviewing RCOC's Conflict of Interest Governance Policy, I assert that I do not have a current or potential conflict of interest.

Signature: _____



Date: _____

4-14-25

Please return completed form to: Executive Office
Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

If you have questions, please call: (714) 796-5205.

**Regional Center of Orange County
Policies and Outcomes Committee
April 21, 2025
Videoconference Minutes**

Committee Members Present: Meena Chockalingam, Chairperson
Liza Krassner
Sandy Martin
Jacqueline Nguyen

Committee Members Absent: Bruce Hall

Board Member Present: Yvonne Kluttz

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Corporate Counsel Present: Greg Simonian, Esq.

Ms. Meena Chockalingam called the videoconference meeting to order at 7:04 p.m.

I. Governance Policies

A. Review of the Communications Policy

The Committee reviewed and proposed revisions to the policy.

M/S/C to recommend that the Board approve the Communications Policy as proposed

B. Review of the Executive Limitations Policy

The Committee reviewed and did not propose any revisions to the policy.

C. Review of the Policies on Governance

The Committee reviewed and did not propose any revisions to the policy.

D. Review of the Policy on Executive Performance and Monitoring

The Committee reviewed and did not propose any revisions to the policy.

E. Review of the Policy to Mitigate Conflicts for Delegated Conservatorships

Ms. Christy Petteruto reported that the Board of Directors approved this policy at its meeting on November 7, 2024. RCOC then submitted the approved policy to the Department of Developmental Services (DDS) for its review. On March 21, 2025, DDS requested that RCOC include contact information for DDS' Ombudsperson. Ms. Petteruto stated that this is the only proposed revision to update and bring the policy into compliance. Upon approval by the Board, RCOC will submit the revised policy to DDS for its final review and approval.

M/S/C to recommend that the Board approve the Policy to Mitigate Conflicts for Delegated Conservatorship as proposed

II. Review of the 2025 Strategic Plan

The Committee deferred the topic for a later date.

III. Community Forum

No community members were present.

Ms. Chockalingam adjourned the meeting at 7:25 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Meena Chockalingam
Chair, Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of the Communications Policy

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 21, 2025, the Policies and Outcomes Committee reviewed the Communications Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Communications Policy, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Communications Policy, as presented.

XXI. COMMUNICATIONS POLICY

BACKGROUND

Regional Center of Orange County's (RCOC's) Board of Directors is committed to maintaining integrity and transparency in all its communications. In keeping with RCOC's mission of service to people with developmental disabilities and their families, the Board seeks constructive feedback from all stakeholders and works to create an environment of civility and trust that encourages understanding and an open, mutually respectful dialogue. All communications on behalf of the Board are aligned with the Carver Policy Governance Model under which the Board governs itself. As such, individual Board members recognize that the Board speaks with "one voice" and individual Board members do not have authority to speak on behalf of the Board without the Board's approval.

POLICY

Media Interviews

Though RCOC's Executive Director is the primary spokesperson for RCOC, from time to time, media comment or a media statement from RCOC's Board of Directors may be appropriate. In these situations, the Executive Office will coordinate the interview or other response with the Board Chairperson or his/her/their designee, who is the only member authorized to speak on behalf of the Board. In addition, individual Board members may at times be called upon by RCOC to share their personal experiences with RCOC and developmental disabilities. In these situations, Board members are representing and speaking on behalf of themselves as persons/families served, and not the Board. In both cases, the Executive Office will arrange for any designated Board spokespeople to receive assistance, as needed, to prepare for interviews.

Digital Communications & Social Media

RCOC uses social media – such as Facebook, XTwitter, LinkedIn, Instagram and YouTube – to engage with its various audiences, advocate on behalf of those served, and share information about RCOC events and activities. Though only designated individuals are authorized to post comments, imagery or other materials on behalf of RCOC, Board members are encouraged to engage with RCOC via social media and other digital communications, such as blogs, in a personal, non-official capacity.

As with media interviews, the Board Chairperson or his/her designatetheir designee is the only member authorized to speak on behalf of the Board in digital communications and social media. Thus, Board members should not reference their Board membership in digital and social media posts.

During their tenure of service, Board members' personal digital and social media communications must also comply with laws and policies involving discrimination, harassment, privacy and confidentiality, proprietary information and copyright, libel, ethics, etc.

GUIDING PRINCIPLES

- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community involvement in decision making.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Meena Chockalingam
Chair, Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of the Policy to Mitigate Conflicts for Delegated Conservatorships

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 21, 2025, the Policies and Outcomes Committee reviewed the Policy to Mitigate Conflicts for Delegated Conservatorship.

REASON FOR CURRENT ITEM:

The Board of Directors approved the Policy to Mitigate Conflicts for Delegated Conservatorship at its meeting on November 7, 2024. RCOC then submitted the approved policy to the Department of Developmental Services (DDS) for its review. On March 21, 2025, DDS requested that RCOC include contact information for DDS' Ombudsperson. This is the only proposed revision to update and bring the policy into compliance. Upon approval by the Board, RCOC will submit the revised policy to DDS for its final review and approval.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy to Mitigate Conflicts for Delegated Conservatorship, as presented.

XXIII. POLICY TO MITIGATE CONFLICTS FOR DELEGATED CONSERVATORSHIPS

BACKGROUND

California Health and Safety (H&S) Code Section 416.19 authorizes delegated conservatorships, which occur when the Director of the Department of Developmental Services (DDS) is appointed as an individual's conservator and the day-to-day conservatorship authority is delegated to the regional center serving the conservatee. Consistent with H&S Code Section 416.19, DDS issued guidelines to mitigate conflicts that may arise when a regional center is the delegated conservator (Guidelines). The Guidelines require that each regional center adopt a board-approved policy specifying how the regional center will carry out its delegated conservatorship responsibilities consistent with the Guidelines.

POLICY

It is the policy of the Regional Center of Orange County (RCOC) that delegated conservatorship functions shall be separated from regional center service coordination functions in order to mitigate potential conflicts of interest. For every individual for whom DDS has been appointed conservator and RCOC has day-to-day conservatorship authority, a Conservatorship Monitor shall be assigned to carry out the delegated conservatorship responsibilities.

Qualifications

RCOC employees serving as Conservatorship Monitors shall meet the following minimum qualifications:

1. A Master's degree from an accredited school in Social Work, Human Services, Drug and Alcohol, Education, Counseling, Psychology, or Criminal Justice, or a Bachelor's degree from an accredited school in Human Behavioral Science; and
2. At least 2 years of experience in case management at RCOC.

An RCOC employee shall not be assigned to serve as Conservatorship Monitor for a conservatorship where any of the following is true:

1. The employee serves as the conservatee's service coordinator;
2. The employee shares a supervisor with the conservatee's service coordinator; or
3. The employee is the supervisor to the conservatee's service coordinator.

Responsibilities

The Conservatorship Monitor shall:

1. Meet with the conservatee in person at least quarterly.

2. Timely inform the conservatee about all decisions made by RCOC on their behalf.
3. Work with the appropriate RCOC staff or external consultants to timely address any concerns about the conservatee's health, safety and well-being, violations of their rights, their satisfaction with their current services and living arrangement, and the need for additional or different services, and support the conservatee in raising any concerns they may have.
4. Contribute to the comprehensive person-centered biennial assessment by providing information about the conservatee's preferences and needs and making recommendations about the need for conservatorship, alternatives to conservatorship, changes to the conservator's powers, and the availability of others who may be able to serve as conservator.
5. Support the conservatee's participation in the Individual Program Plan (IPP) meeting and other meetings, as requested by the conservatee.
6. Assist the conservatee in resolving any concerns they may have about the conservatorship or their regional center services by informing them of the process to request assistance from DDS and/or referring them to other resources to assist them.

The conservatee may be referred to the DDS Ombudsperson at Ombudsperson@dds.ca.gov or (877) 658-9731, and the DDS Conservatorship Liaison Office at ddsconservatorship@dds.ca.gov or (833) 421-0061.

Training

All RCOC staff serving as Conservatorship Monitors shall receive training on conservatorships and their responsibilities pursuant to this policy prior to being assigned to a delegated conservatorship and annually thereafter.

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives, and that respect the inherent risks and valuable learning experiences that come from living in the community.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules, and regulations that govern RCOC's business.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Meena Chockalingam
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Executive Limitations Policy

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 21, 2025, the Policies and Outcomes Committee reviewed the Executive Limitations Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Executive Limitations Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

EXECUTIVE LIMITATIONS POLICIES

The Executive Director may neither cause nor allow any organizational practices that do not adhere to the following policies:

- A. **Policy on Legal Requirements.** Regional Center of Orange County (RCOC) will comply with the legal requirements and regulations of all governmental authorities and legally authorized agencies under whose authority it operates.
- B. **Policies on Non-Discrimination.**
 - 1. **Equal Employment Opportunity.** RCOC shall recruit, hire, train, and promote in all job titles, including interns, apprentices, and volunteers, without regard to race, color, religion, sex, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by law. All personnel actions such as compensation, benefits, RCOC-sponsored training, apprenticeships, internships, volunteer opportunities, transfer, demotion, termination, layoff, and return from layoff, shall be administered without regard to race, color, religion, sex, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by law. Additionally, RCOC will provide registered domestic partners with all rights and benefits as required by law.
 - 2. **Non-Discrimination on Basis of Handicap.** In furtherance of our nation's commitment to end discrimination on the basis of disability, and in accordance with the provisions of section 504 of the Rehabilitation Act of 1973, the provisions of the Americans with Disabilities Act, the Fair Employment and Housing Act, and all regulations properly issued there-under to protect the rights of disabled persons, it is RCOC policy that: No program or activity administered by RCOC shall exclude from participation, deny benefits to or subject to discrimination any individual solely by reason of his or her disability. Equal employment opportunity will be extended to qualified disabled persons in all aspects of the employer-employee relationship, including recruitment, hiring, upgrading, training, promotion, transfer, discipline, layoff, recall and termination. It is further affirmed that RCOC will provide reasonable accommodation to the known physical or mental limitations of an otherwise qualified disabled employee or applicant.
- C. **Policy on Human Resource Development.** RCOC is committed to recruiting, managing, developing and retaining appropriate personnel qualified to meet the needs of the people served by the organization and who contribute to the accomplishment of the mission in a high quality manner. Therefore, compensation for all employees will be based upon the following principles:

1. Compensation will support and will be used as a vehicle to communicate RCOC's values, specific objectives, and goals;
2. Pay programs will reflect the external market, yet ensure internal equity; and
3. Flexibility will be incorporated to permit RCOC to respond to the changing regulatory and competitive environments, and to respond to other issues which increase its challenges.

D. Policy Against Harassment.

1. Prohibition Against Harassment. RCOC is committed to providing a workplace free of sexual harassment, as well as harassment and discrimination based on such factors as race, color, religion, sex, pregnancy, childbirth, or related medical conditions, gender, gender identity, gender expression, national origin, ancestry, physical disability, mental disability, age, medical condition, genetic information, marital status, military and veteran status, sexual orientation, or any other basis protected by federal, state, or local laws. RCOC prohibits unwelcome, harassing or discriminatory conduct by employees, managers, supervisors, or other third parties towards other employees, interns, apprentices, volunteers, non-employees with whom RCOC has a business, service, or professional relationship, or other persons with whom employees come into contact, even if such conduct does not rise to the level of harassment or discrimination as defined by law.
2. Complaint Procedure. Any individual who believes that they have been the victim of harassment, including sexual harassment, discrimination, retaliation, or abusive conduct, should immediately report this problem to their supervisor, the Director of Human Resources, or the Executive Director either verbally or in writing. Supervisors must report complaints of harassment, discrimination, retaliation, or abusive conduct, to the Director of Human Resources. All complaints will be investigated. Investigations will be timely, impartial, fair, and thorough. Investigations will be as confidential as possible under the circumstances. Employees are prohibited from impeding an investigation, and RCOC will not allow retaliation against any employee participating in an investigation. Information obtained during the process will be only shared with those individuals on a need-to-know basis. RCOC will provide all parties appropriate due process and reach conclusions based on the evidence collected. When the investigation discloses a violation of this policy, appropriate remedial action will be taken and feedback given to the complaining employee. The Human Resources Director or the Executive Director will promptly notify RCOC's legal counsel about the matter.

E. Policy on Professionalism, Rights, Health and Safety.

1. Each RCOC employee will maintain professional and productive conduct. RCOC employees will treat each other professionally and with courtesy at all times. Differences of opinion on work issues should be expressed in a constructive manner

that promotes the sharing of ideas and effective teamwork to resolve problems and providing the services and supports needed by those served by RCOC.

2. RCOC will maintain a clean, safe environment and will comply with all applicable governmental regulations related to environment, health and safety, including training for staff and individuals served, external inspections of the premises and appropriate actions to remedy potential problems, implementation and testing of emergency plans and procedures, and timely review and follow-up on accident and injury reports.

F. Policy on Financial Practices.

1. Budgeting. Budgeting for any fiscal period or the remaining part of any fiscal period shall not deviate materially from Board Ends priorities, risk fiscal jeopardy or fail to show a generally acceptable level of foresight. Accordingly, the Executive Director shall ensure that the budgeting process:
 - a. Includes preparation of an annual report, including both operating and capital expenditures, which is used to communicate and monitor RCOC's use of financial resources in a manner which is consistent with (a) Board Ends priorities, (b) fiscal responsibility, and (c) strategic planning;
 - b. Results in a budget which is an appropriate and accurate expression of the mission and policies of RCOC. If the process results in a deficit projection, a clear explanation of the circumstances shall be presented to the Board by the Executive Director; and
 - c. Maintains an adequate cash and cash equivalents reserve.
2. Financial Condition. With respect to monitoring the actual financial condition of RCOC, the Executive Director shall ensure that:
 - a. Audited financial statements are prepared by an independent, Certified Public Accountant and presented to the Board of Directors on an annual basis;
 - b. Monthly summary reports are prepared comparing year-to-date budget versus actual figures and a report is given by the Treasurer at Board of Directors' meetings;
 - c. All financial obligations, including tax payments, are paid in a timely manner;
 - b. Adequate insurance is maintained to safeguard RCOC's assets including hazard, comprehensive, workers compensation, liability and Directors and Officers Liability.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Meena Chockalingam
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Policies on Governance

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 21, 2025, the Policies and Outcomes Committee reviewed the Policies on Governance.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policies on Governance.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

III. POLICIES ON GOVERNANCE

A. **Policy on Leadership.** The Board of Directors (also referred to as Directors in this policy) and management staff of the Regional Center of Orange County (RCOC) shall be committed to providing effective leadership and stability for the organization so that its mission can be accomplished. The Board will approach its task in a manner that emphasizes strategic leadership more than administrative detail, clear distinction of board and staff roles, future rather than past or present, and proactivity rather than reactivity.

B. **Policy on Board Members' Responsibilities.** In fulfilling their duties and responsibilities as members of the RCOC Board of Directors, Board members will be expected to demonstrate their level of commitment in the following ways:

1. Commitment to Mission by:

- Keeping the mission of RCOC clearly and consistently in mind
- Not compromising principles upon which the organization is based
- Continually thinking of ways to enhance the organization
- Endeavoring to assure the rights and entitlements of persons with disabilities
- Progressively assisting the organization to equip and enable persons with disabilities to attain the fullest possible independence, employment, participation in an integrated community and freedom of choice by supporting the provision of options in daily living
- Being aware of the changing trends in service delivery to persons with disabilities

2. Commitment to Involvement by:

- Regularly preparing for, attending and participating in Board meetings
- Being an active and regularly attending member of at least one Board committee
- Carefully and clearly considering all decisions on policy issues
- Attending occasional extended meetings or weekend retreats to increase understanding and commitment to the organization
- Identifying prospective new Board members and referring them to the Board Recruitment and Training Committee
- Resigning from the Board if they become unable to continue as a fully committed Board member during their term of office

3. Commitment to Fellow Directors to Keep Board Meetings Friendly, Considerate and Productive by:

- Listening to the ideas of others with an open mind
- Being an active voice in promoting change towards excellence

- Having faith in the process of open, purposeful discussion, not being afraid to ask seemingly elementary questions, and steadily attempting to facilitate agreement or consensus on issues
- Listening with understanding to those expressing complaints or concerns and then referring them to the Executive Director or Board Chairperson so that the issue may be further explained and whenever possible, creatively resolved

C. Policy on Officer Responsibilities. Officers of the Board are in the service of the Board. As such, they are bound by Board wishes and by limits of Board authority. The officers may meet as a group with the Executive Director for purposes of preparing agendas or other pre-Board work, but they may not act in place of the Board except as specifically provided in the Bylaws.

Specific officer responsibilities are:

1. Chairperson: The Chairperson shall preside at all meetings of the Board and the Executive Committee, perform all duties incident to the office, and perform such other duties as provided in the Bylaws or as may be prescribed from time to time by the Board. The Chairperson or Executive Director shall have the authority to take action on the following matters without prior Board approval:

- a. Appeals on legal or administrative proceedings;
- b. Responses on legal or administrative proceedings; and
- c. Procedural matters arising from RCOC's contract with the Department of Developmental Services.

The above actions may be taken when, in the opinion of the Chairperson it is not feasible to hold a special Board meeting or to defer action until the next regular meeting. All Directors shall be notified immediately, in writing, of the action taken. (RCOC Bylaws Section 3.04)

2. Vice-Chairperson: The Vice-Chairperson shall perform all duties and exercise all powers of the Chairperson when the Chairperson is absent or is otherwise unable to act. The Vice-Chairperson shall perform such duties as may be prescribed from time-to-time by the Board of Directors. (RCOC Bylaws Section 3.05)

3. Secretary: The Secretary shall keep minutes of all meetings of the Directors, shall be the custodian of the corporate records, shall give all notices as are required by law or by the Bylaws, and shall perform all duties incident to the office of Secretary and such other duties as may be required by law, the Articles of Incorporation, the Bylaws, or which may be assigned from time to time by the Board. All or part of the above duties may be delegated to the Executive Director or such other staff as may be designated by the Executive Director. (RCOC Bylaws Section 3.06)

4. **Treasurer**: The Treasurer shall render reports and accountings to the Directors from time-to-time regarding the finances of RCOC. All or part of the above duties may be delegated to the Executive Director or such other staff as may be designated by the Executive Director. (RCOC Bylaws Section 3.07)

D. Policy on Board Committees. Board committees will be appointed and function as needed. Standing committees and their purposes are as follows:

1. **Purpose of Committees** – Each Committee shall exist for the purpose of providing advice, recommendations and technical assistance to the Board. A Committee shall not have authority to take any action which requires approval of the Board. (RCOC Bylaws Section 4.10)
2. **Standing Committees** – Standing Committees of the Board may be established by the Board of Directors or the Chairperson. With the exception of the Vendor Advisory Committee, membership on a Committee shall be decided by the Chairperson. For all committees in which the Chairperson is not a member, the Chairperson of the Board shall be an ex-officio member of all such committees or may delegate the Vice-Chairperson to act in that capacity. (RCOC Bylaws Section 4.01)
3. **Executive Committee** – There shall be an Executive Committee composed of the Chairperson, Vice-Chairperson, Secretary, and Treasurer of the Board and if none of these officers is a person served by RCOC, then the Chairperson may appoint a Director who is a person served by RCOC. The Chairperson may include other Board members to provide additional support (RCOC Bylaws Section 4.02). Subject to applicable laws limiting a committee's authority, the Executive Committee shall conduct business as is delegated by the Board of Directors, including annually meeting with the Executive Director to present the results of the Board's Performance Review. The Executive Committee, shall, guided by RCOC's Policy on Human Resource Development (found in the Executive Limitations Policies), also recommend any adjustments to the compensation of the Executive Director for approval by the Board of Directors. The Executive Committee shall also be involved in the development of the organization's strategic planning.
4. **Vendor Advisory Committee** – The Board shall establish a Vendor Advisory Committee pursuant to Welfare & Institutions Code Section 4622(i).
5. **Peer Advisory Committee** – The Board shall establish a Consumer Advisory Committee pursuant to Welfare & Institutions Code Section 4622(h), which RCOC chooses to call a Peer Advisory Committee. The Chairperson also may appoint non-Board members to provide additional support in a non-voting capacity. (RCOC Bylaws Section 4.04)
6. **Policies and Outcomes Committee** – The Board shall establish a Policies and Outcomes Committee composed of members of the Board as appointed by the

Chairperson. The Chairperson may also appoint non-Board members to provide additional support in a non-voting capacity. The Policies and Outcomes Committee shall be responsible for reviewing the outcomes of the organization's efforts towards its Mission/Guiding Principles. This committee shall review and/or draft such additional policies that are necessary to meet the organization's Mission. The committee shall, no less than biennially, review each policy for its continued usefulness and clarity. (RCOC Bylaws Section 4.05)

7. **Legislative and Community Awareness Committee** – The Legislative and Community Awareness Committee shall be composed of members of the Board as appointed by the Chairperson. The Chairperson also may appoint non-Board members to provide additional support in a non-voting capacity. The Committee shall be responsible to develop relationships with RCOC's legislative delegation, to review pending legislation affecting people with developmental disabilities, and to educate the Board on such matters. Additionally, the Committee is charged with increasing awareness of RCOC, identifying community resources available to the individuals served by RCOC and their families, and collaborating with organizations in the community to support people with developmental disabilities in Orange County. (RCOC Bylaws 4.06)
8. **Budget and Finance Committee** – The Budget and Finance Committee shall be composed of the Treasurer and other members of the Board as appointed by the Chairperson. The Chairperson also may appoint non-Board members to provide additional support in a non-voting capacity. The Budget and Finance Committee shall be responsible to oversee the financial stability and integrity of the organization. (RCOC Bylaws 4.07)
9. **Board Recruitment and Training Committee** – The Board Recruitment and Training Committee shall be composed of the Chairperson and other members of the Board as appointed by the Chairperson. The Chairperson may appoint other non-Board members to provide additional support in a non-voting capacity. The Board Recruitment and Training Committee shall be responsible for assessing the composition of the Board and needed skills, recruiting potential new Directors to meet those needs, reviewing and considering candidates for the Board, recommending Director candidates for consideration by the Board and recommending new and ongoing training topics for Board members. (RCOC Bylaws Section 4.08)
10. **Nominating Committee** – The Nominating Committee shall be composed of the Chairperson and three members of the Board as appointed by the Chairperson. The Nominating Committee shall nominate one Director for each of the offices of Chairperson, Vice Chairperson, Treasurer and Secretary and present the nominations to the Board of Directors at the regularly scheduled meeting of the Board immediately preceding the expiration of the current officers' terms. (RCOC Bylaws Section 4.09)

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 1, 2025
TO: Board of Directors
FROM: Meena Chockalingam
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Policy on Executive Performance and Monitoring

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 21, 2025, the Policies and Outcomes Committee reviewed the Policy on Executive Performance and Monitoring.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Executive Performance and Monitoring.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

V. POLICY ON EXECUTIVE PERFORMANCE AND MONITORING

The Regional Center of Orange County (RCOC) Board of Directors will track executive performance by monitoring in such a way as to have systematic assurance of policy compliance, yet leave the Board free to concentrate most of its time on creating the future rather than checking the past. To those ends, the Board's approach to monitoring will be based on its governance philosophy and carried out in a relatively automatic way. The Board will monitor those agency characteristics which it has addressed ahead of time in explicit statements of policy. The purpose of such monitoring is simply to determine, in fact, if Board policies are being met. Since the Board speaks to the executive through "ends" policies and "executive limitation" policies, monitoring finds whether ends are being achieved and limitations are being followed.

Monitoring will occur in one or a combination of the following activities:

- **Internal Reports:** Periodic reports from the Executive Director to the Board.
- **External Judges:** Auditors, site inspectors, or other external assessors shall be retained to answer certain monitoring questions.
- **Audits by Governmental Agencies:** Draft and final audits

Each policy of the Board in "ends" and "executive limitations" categories shall be classified by the Board according to the frequency and method of monitoring.

<u>Policy Category</u>	<u>Method</u>	<u>Frequency</u>
Protection of assets	External judge (audit)	Annual
Financial condition	Internal report	Every Board Meeting
	External judge (audit)	Annual
Employee Status Report	Internal report	Every Board Meeting
Compensation and benefits	Internal report	Annual
Sufficiency of Allocation Report	Internal report	Every Board Meeting
Adherence to Guiding Principles		
Operations Report	Internal report	Every Board Meeting
<ul style="list-style-type: none">• Community Life• Family Support• Early Intervention/Prevention• Living Options• Work• Service Planning and Coordination• Administration and Governance		

(Table continued)

<u>Policy Category</u>	<u>Method</u>	<u>Frequency</u>
National Core Indicators Survey Results	Internal Report	Annual
Government Agency Audits		
Department of Developmental Services (DDS) Fiscal Audit		Biennial
DDS/Department of Health Care Services Medicaid Waiver Audit		Annual
DDS/Federal Early Start Audit		Annual
DDS, Family Home Agency/Foster Family Agency Audit		Annual

**Regional Center of Orange County
Vendor Advisory Committee
March 11, 2025
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, absent
Co-Chair, Ryan Perez, present

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency

Chair, Shari Panganiban, present (*joined at 2:03 p.m.*)
Co-Chair, *Member Pending*

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, absent

Community Care Facilities

Chair, *Member Pending*
Co-Chair, *Member Pending*

Early Intervention

Chair, Junie Lazo-Pearson, absent
Co-Chair, Pam Alexander, present

Habilitation

Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, present

Independent/Supported Living

Chair, Christine Molina, present (*joined at 2:03 p.m.*)
Co-Chair, Ana Sandoval, absent

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health

Chair, Kelly Araujo, absent
Co-Chair, Michael Toliver, present

Liaisons:

CalOptima

Hannah Kim, absent

Orange County Transit Authority

Melissa Mungia, present

RCOC Staff Present:

Jerrold Bonner, Director of Information Technology
Liliana Castillo, Accounting Manager - Vendorization
Arturo Cazares, Director of Community Service
Nancy Franco, Accounting Manager - Operations

Vendor Advisory Committee Minutes
March 11, 2025

Wayed Kabir, Peer Advocate
Bonnie Ivers, Clinical Director
Jack Stanton, Associate Housing Director
Laurel Warren, Quality Assurance Coordinator
Sean Watson, Associate Director of Risk Management

Guests: Anh Nguyen, RCOC's Consultant
Minaya Wright, Alliance of Abilities – Integrity House

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

I. RCOC Update

In Mr. Larry Landauer's absence, Mr. Arturo Cazares reported that on the following highlights:

- *DDS' Rate Reform.* Mr. Cazares reported that by March 31, 2025, the Rate Reform Service Acknowledgment Form shall be completed by RCOC and the service provider to acknowledge the service description/requirements, sub-code combination(s), and rate(s) established by the rate model. DDS has permitted a transitional period for service providers to comply with all the new requirements by December 31, 2025.
- *Quality Incentive Program (QIP).* Mr. Cazares reported that the next Quality Incentives Program (QIP) Workgroup meeting is scheduled for March 17, 2025 in Sacramento. Members of the public are welcome to attend in person or via Zoom videoconference.
- *Master Plan for Developmental Services.* Mr. Cazares reported that the California Health and Human Services Agency (CHHSA) workgroup for the Master Plan for Developmental Services is scheduled to meet on March 19, 2025 in Sacramento. For more information, visit: <https://www.chhs.ca.gov/home/master-plan-for-developmental-services/>.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2024-25.* Mr. Cazares reported that there is a projected surplus statewide.

A. Vendorization Update

Ms. Liliana Castillo reported that as of today, RCOC has sent out the Rate Reform Acknowledgement forms via DocuSign for the following service codes: 062-Personal Assistance, 108-Parent Support Services, 110-Supplemental Day Services Program Support, 520-Independent Living Program. Providers should

Vendor Advisory Committee Minutes
March 11, 2025

receive the acknowledgment forms within the next few days for service codes 505, 510 and 515 that will be transitioning to new service codes.

Ms. Castillo stated that questions regarding the workbooks may be submitted to accounting@rcocdd.com.

B. Rate Reform Update

Mr. Cazares stated that vendors should review the Rate Reform directives posted on DDS' website and the Quality Incentive Payment portion associated with DDS' Provider Directory. Questions specific to service codes or issues with the Quality Incentive Program (QIP) should be directed to DDS.

C. 2025 Spotlight Awards

Ms. Minaya Wright from Integrity House invited everyone to attend the 28th Annual RCOC Spotlight Awards on April 25, 2024 from 5:30 to 10:00 p.m. at the Embassy Suites Anaheim-South in Garden Grove. For more information, visit <https://allianceofabilities.ticketspice.com/rcocspotlightawards2025>.

II. Board Report

Mr. Cazares reported that the Board of Directors held a Board meeting on March 6, 2025.

III. Subcommittee Chair and Co-Chair Selections

Mr. Jack Stanton, RCOC's Associate Director of Housing, reminded the Committee that new chairs and co-chairs must be identified by the end of May 2025 for the following subcommittees: Adult Behavior Programs, Adult Family Home Agency (AFHA)/Foster Family Agency (FFA), Community Care Facilities (CCF), Habilitation and Independent/Supported Living (ILS/SLS). The VAC Chair will then present nominees to the Board of Directors for approval; if approved, their terms will commence on June 5, 2025, in accordance with the VAC Commission.

IV. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that the PAC met on February 19, 2025, and Mr. Cazares led a discussion on *Employment and the Paid Internship Program*.

The next PAC meeting is scheduled for March 19, 2025.

V. Liaison Reports

A. CalOptima – Hannah Kim (absent)

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that she has provided informational sessions on OCTA/ACCESS services at a various VAC Subcommittee meetings. If other subcommittees would like more information about OCTA/ACCESS services, please feel free to contact her.

VI. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (absent)

B. Adult Day Programs – Rick Perez (present)

In Ms. Atrem Behmanesh's absence, Mr. Ryan Perez reported that the two subcommittees met today and discussed the Rate Reform and the selection of the next Adult Behavior Management subcommittee chair.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Shari Panganiban (present)

Ms. Shari Panganiban's reported that the subcommittee is scheduled to meet on March 27, 2025 and discuss the selection of their next subcommittee chair.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met and discussed concerns regarding paid supervision hours and how they will be affected by the new rate reform requirements.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee is scheduled to meet on April 2, 2025.

F. Early Intervention – Junie Lazo-Pearson (absent)

In Ms. Junie Lazo-Pearson's absence, Ms. Pam Alexander reported that the subcommittee met and discussed the Rate Reform and DDS' directives for early start.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee is scheduled to meet in April 2025.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that their subcommittee met today and discussed the Rate Reform.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Kelly Araujo (absent)

In Ms. Kelly Araujo's absence, Mr. Michael Toliver reported that the subcommittee met earlier today and discussed the Rate Reform. The subcommittee also discussed resuming their Respite Vendor Fair.

VII. Community Forum

There were no speakers for community forum.

VIII. Adjournment

Mr. Perez adjourned the meeting at 2:32 p.m.

The next VAC meeting is scheduled for April 8, 2025.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
April 8, 2025
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, present
Co-Chair, Ryan Perez, present

Adult Day Programs

Chair, Rick Perez, absent
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency

Chair, Shari Panganiban, present
Co-Chair, *Member Pending*

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, *Member Pending*
Co-Chair, *Member Pending*

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, present

Habilitation

Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, present

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, absent

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health

Chair, Kelly Araujo, present
Co-Chair, Michael Toliver, present

Liaisons:

CalOptima

Hannah Kim, present

Orange County Transit Authority

Melissa Mungia, absent

RCOC Staff Present:

Liliana Castillo, Accounting Manager - Vendorization
Arturo Cazares, Director of Community Service
Valeria De Los Angeles, Accounting Supervisor - Payables
Nancy Franco, Accounting Manager - Operations

Wayed Kabir, Peer Advocate
Bonnie Ivers, Clinical Director
Julie Rodriguez, Accounting Supervisor - Systems
Jack Stanton, Associate Housing Director
Marta Vasquez, Chief Financial Officer
Laurel Warren, Quality Assurance Coordinator
Sean Watson, Associate Director of Risk Management

Call to Order

In Mr. Rick Perez's absence, Ms. Junie Lazo-Person welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

I. RCOC Update

A. Vendorization Update

Ms. Liliana Castillo provided updates on the following items:

- *Quality Incentive Program (QIP)*. Ms. Castillo reported that Employment Capacity QIP payments for the third quarter will be issued on April 15, 2025.

Ms. Castillo also reported that the QIP payment of \$8,000 for data collection completed in June 2024 for the Direct Support Professionals (DSP) Survey will be issued on April 30, 2025. The list of recipients is posted on RCOC's website at www.rcocdd.com under the Resources for Service Providers tab.

RCOC will also issue the second wave of Early Start QIP payments on May 30, 2025.

- *Retroactive Payments*. Ms. Castillo stated that all retroactive payments for rate reform, sick leave and minimum wage increases from July to December 2024 will be issued on April 15, 2025. If service providers have questions in regards to payments, please send an email to accounting@rcocdd.com.
- *Authorizations*. Ms. Castillo reported that service providers may have already received authorizations with the new Rate Model subcodes. RCOC has until December 2025 to transition all authorizations to the new applicable service codes and subcodes.
- *Vendorization*. Ms. Castillo stated that if service provider's questions regarding vendorization may be submitted via email to vendorization@rcocdd.com.

B. Rate Reform Update

Mr. Cazares reported that RCOC continues to work with vendors to complete service acknowledgment forms required by the Department of Developmental Services (DDS). The deadline to complete the service acknowledgment forms has been extended to May 30, 2025.

C. Home and Community-Based Services (HCBS) Compliance Funding

Mr. Cazares reported that RCOC is preparing its HCBS Compliance Funding plan for submission to DDS for approval. RCOC is requesting ideas and feedback from the vendor community to consider as part of the plan.

II. Board Report

Mr. Cazares reported that the Board of Directors held a Board training on April 3, 2025.

III. Subcommittee Chair and Co-Chair Selections

Mr. Jack Stanton, RCOC's Associate Director of Housing, reminded the Committee that new chairs and co-chairs must be identified by the end of May 2025 for the following subcommittees: Adult Behavior Programs, Adult Family Home Agency (AFHA)/Foster Family Agency (FFA), Community Care Facilities (CCF), Habilitation and Independent/Supported Living (ILS/SLS). The VAC Chair will then present nominees to the Board of Directors for approval; if approved, their terms will commence on June 5, 2025, in accordance with the VAC Commission.

IV. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that the next PAC meeting is scheduled for May 21, 2025.

V. Liaison Reports

A. CalOptima – Hannah Kim (present)

Ms. Hannah Kim stated that Committee members may reach out to her directly if they have any questions or if they would a specific topic covered at the next committee meeting.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (absent)

No representative from OCTA was present and no report was provided.

VI. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (present)

B. Adult Day Programs – Rick Perez (absent)

Ms. Atrem Behmanesh reported that the two subcommittees met today and Get Safe provided a presentation about their services. The subcommittee also elected Mr. Ryan Perez as Chair and Ms. Katie Bruellet as Co-Chair.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Shari Panganiban (present)

Ms. Shari Panganiban reported that the subcommittee met on March 27, 2025 and discussed the selection of their next subcommittee Chair. The committee will discussed the Residential Services Orientation (RSO) for FHA homes and the biannual DDS adult. The subcommittee also held a discussion with Ms. Cathy Furukawa, RCOC's Organizational Development Manager, on ideas to best alert first responders when responding to an emergency involving an individual with developmental disabilities.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met on March 19, 2025 and discussed concerns regarding the new rate reform requirements. The subcommittee also drafted a letter to DDS addressing their concerns regarding rate reform and its effects in early start and behavior services.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee met and discussed the selection of their next subcommittee Chair and Co-Chair.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Lazo-Pearson reported that the subcommittee met with Behavior Services subcommittee to assist with the drafting of a letter to DDS regarding rate reform and its effects on early start and behavior services.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee met and received confirmation from RCOC that Department of Rehabilitation (DOR) funding for Supported Employment services will be provided at the full benchmark rate effective April 1, 2025.

The subcommittee also re-elected Ms. Marina Margaryan as the Chair and Ms. Jodean Hudson as Co-Chair.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that their subcommittee met today and held a discussion with Ms. Cathy Furukawa, RCOC's Organizational Development Manager, on ideas to best alert first responders when responding to an emergency involving an individual with developmental disabilities.

The subcommittee also re-elected Ms. Christine Molina as the Chair and Ms. Ana Sandoval as Co-Chair.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Kelly Araujo (present)

Mr. Michael Toliver reported that the subcommittee met earlier today and discussed rate reform, coordinating a Respite Vendor Fair and how to better plan when a person served is transferring from traditional services to the Self-Determination Program (SDP)

VII. Community Forum

There were no speakers for community forum.

VIII. Adjournment

Ms. Lazo-Pearson adjourned the meeting at 2:26 p.m.

The next VAC meeting is scheduled for May 13, 2025.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Peer Advisory Committee
March 19, 2025
Videoconference Minutes**

Committee Members Present: Amy Jessee, Co-Chair
Sylvia Delgado (*joined at 3:09 p.m.*)
Stephen Gersten
Wayed Kabir
Peter Kuo
Fernando Peña

Committee Members Absent: Yvonne Kluttz, Chairperson
Kerri Adamic
Marcell Bassett
Cheryl Day

RCOC Staff Members Present: Larry Landauer, Executive Director
Arturo Cazares, Director of Community Services
Cathy Furukawa, Organizational Development Manager
Jennifer Montanez, Director of Case Management
Christina Petteruto, General Counsel

Board Member Present: Chinh Nguyen

Guest(s): Mark Hemry
Anh Nguyen, RCOC Consultant (*left at 3:14 p.m.*)

Due to technical issues, Mr. Wayed Kabir called the meeting to order at 3:05 p.m. on Ms. Amy Jessee's behalf.

I. Welcome and Introductions

Mr. Kabir welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Kabir reported that he will be presenting on *How to Create Your Own Podcast* at Alliance of Abilities – Integrity House on April 14, 2025.

III. California Public Records Act (PRA)

Ms. Cathy Furukawa presented on the *California Public Records Act (PRA)*. Effective January 1, 2026, AB 1147 requires all 21 regional centers to adhere to PRA.

IV. Community Forum

There were no speakers for community forum.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for May 21, 2025.

Mr. Kabir adjourned the meeting at 3:27 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Legislative and Community Awareness Committee
April 8, 2025
Videoconference Minutes**

Committee Members Present: Bruce Hall, Chairperson
Meena Chockalingam
Liza Krassner
Sandy Martin
Chinh Nguyen

Committee Member Absent: Hilda Mendez

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Kaitlynn Truong, Family Support and Community Outreach Manager
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Mr. Bruce Hall called the meeting to order at 5:00 p.m.

I. Public Relations

A. News Media Outreach

Ms. Linda Blankenship reported that the January 2025 issue of Exceptional Parent Magazine included RCOC's bylined article on self-advocacy authored by Mr. Larry Landauer, RCOC's Executive Director and Mr. Wayed Kabir, RCOC's Peer Advocate. The February 2025 issue will include a bylined article authored by Dr. Bonnie Ivers with a focus on methods to help prepare children for medical visits. In addition, the March 2025 issue will also include a bylined article by Dr. Ivers focusing on developmental milestones.

A statewide news release was issued to local media in March 2025 regarding the appointments of new board members, Ms. Amy Jessee, Ms. Liza Krassner and Ms. Lorena Medina.

B. Dialogue

Ms. Blankenship reported that the spring issue of the *Dialogue* will highlight the 2025 Spotlight Awards honorees as well as the Executive Director's report on the state budget.

Ms. Sandy Martin suggested including an informational piece on Support Decision-Making (SDM) vs Conservatorship in a future issue of the *Dialogue* that would allow persons served and families learn about the options available to them. Support Decision-Making allows individuals with disabilities to make choices about their own lives with support from a team of people they choose (i.e. friends, family members or professionals), but who do not make choices for the person with a disability. Whereas Conservatorship results from the appointment of a guardian or a protector by a judge to manage the personal or financial affairs of another person who is incapable of fully managing their own affairs due to age or physical or mental limitations. Ms. Liza Krassner suggested this topic as a future training for Board members.

C. Website Update

Ms. Anh Nguyen reported that RCOC frequently updates its website to ensure accessibility to pertinent agency information and services. Recent updates include incorporating a health and wellness section and information about the upcoming 2025 Spotlight Awards.

D. Social Media

Ms. Nguyen shared that RCOC's social media platforms continuously feature information about events, public meetings and trainings.

II. 2025 Spotlight Awards

Ms. Nguyen reported that the Spotlight Awards are scheduled for April 25, 2025 at the Embassy Suites Hotel in Anaheim and will be emceed by Ms. Michele Gile, reporter at KCAL 9 and CBS 2 News. Ms. Nguyen also reported that Integrity House is undergoing some staffing changes as Ms. Minaya Wright is no longer with the organization. The event has 11 table sponsored and over 200 tickets sold. RCOC received a donation for 20 single tickets that will be issued to person served to attend the event. Ms. Nguyen stated that the Request for Proposal (RFP) for the 2026 Spotlight Awards will posted in May 2025 to provide other service providers with an opportunity to apply to host next year's event.

III. Legislative Outreach

A. ARCA Update

Ms. Martin reported that the Association of Regional Center Agencies (ARCA) Board of Directors met on March 21, 2025 in Sacramento. The Board delegates recapped on the

RCOC Legislative and Community Awareness Committee Minutes
April 8, 2025

Master Plan for developmental services, which released its recommendations to DDS on March 28, 2025.

Ms. Martin also reported that ARCA is working with a law firm to ensure consistency and compliance, with the Public Records Act (PRA), throughout the regional center system.

Mr. Landauer reported on ARCA's announcement earlier today that Assembly Bill 1172 (AB 1172) – Adult Day Programs: Administration of Inhalable Emergency Antiseizure Medications bill has passed its first committee with a unanimous vote. ARCA and expert clinicians from various regional centers, including RCOC's physician Dr. Iris Richard, provided testimony for approval of the bill. The bill will now move to the Judiciary Committee for a vote.

B. Budget Update and Delegation Relationships

Ms. Nguyen reported that RCOC continues to meet with state legislators and their local community offices to discuss upcoming policy and budget proposals affecting the regional center system.

Mr. Landauer reported that RCOC's team is looking at possibly scheduling additional educational tours with state legislators and their staff and a legislative breakfast at RCOC.

IV. Community Outreach

A. Backpack/Social Recreation Resources Fair

Ms. Kaitlynn Truong reported that RCOC will host its annual back-to-school backpack and school supplies giveaway, which is tentatively scheduled for July 2025.

Mr. Cazares stated that RCOC is preparing to submit its Home Community-Bases Services (HCBS) Compliance funding plan to DDS for approval. The plan includes funding for RCOC's next backpack giveaway and social recreation resources fair in July 2025 at the Santa Ana Zoo or similar location.

B. Disparity-Focused Activities

Dr. Bonnie Ivers also reported that she and Ms. Truong are part of the California Autism Professionals Training and Information Network (CAPTAIN), a statewide organization that collaborates with Family Resource Centers, regional centers and school districts throughout California. The focus for this group is to gather and discuss how to share and teach our communities about implementation of evidence-based practices for individuals with autism. The Orange County Chapter will host a family conference on May 2, 2025 at the Creekside Learning Center in Irvine to provide information about the evidence-based practices that can be used at different ages. An event flyer was shared with Committee members.

RCOC Legislative and Community Awareness Committee Minutes
April 8, 2025

Dr. Ivers also shared RCOC's Individual Program Plan (IPP) Satisfaction Questionnaire, which consists of three questions that a person served or family can voluntarily complete after their IPP meeting. The survey is geared towards understanding if individuals served or their families are satisfied with services and, if not, how we can better serve them and ensure needs are being met. Dr. Ivers reported that results of the questionnaire will be shared at each Board meeting.

C. Law Enforcement Outreach

Ms. Blankenship reported that prior to the COVID-19 pandemic, RCOC worked in partnership with the Orange County Sheriff's Department to provide informational meetings about RCOC services and awareness trainings for officers when encountering persons served with challenging behaviors. RCOC is seeking to reconnect with the Sheriff's department and other law enforcement agencies throughout Orange County to provide awareness trainings.

V. Community Forum

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 6:05 p.m.

Recorder: Sandra Lomeli