



**REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS' MEETING  
AGENDA**

**Date: Thursday, May 7, 2026  
Time: 6:00 p.m.**

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

<b>I.</b>	<b>Closed Session (Board Members Only)</b>		
	A.	W&I Code §4663 and §4664	Greg Simonian, Esq.
		1. Pending Litigation, Welfare & Institutions Code Sections 4663(a)(5) and 4664(a)	
<b>II.</b>	<b>Recess</b>		
<b>III.</b>	<b>General Session</b>		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	Sandy Martin
	B.	Community Forum for Agenda Items Only***	Sandy Martin
	C.	Budget and Finance Committee	Jacqueline Nguyen
	D.	Consent Calendar*	Sandy Martin
		1. Approval of Board of Directors' Minutes for March 5, 2026** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, February 2026** b. Approval of Monthly Sufficiency of Allocation Report, March 2026** c. Approval of Budget Amendment E-5 for Fiscal Year 2023-24** d. Draft Form 990, Return of Organization Exempt from Income Tax for Year Ended June 30, 2025** e. Approval of Line of Credit for Fiscal Year 2025-26** f. Approval of Management Letter for Audited Financials for the Year Ended June 30, 2025** g. Approval of Budget Amendment	
	E.	Executive Director's Report	Larry Landauer
		1. Recognition of Persons' Served from the Orange County Children's Therapeutic Arts Center (OCCTAC) 2. Approval of Conflict Resolution Plan for Board member Liza Krassner** 3. Approval of Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2/ 2425-13) (Ethelbee) ** 4. Approval of Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3/2425-12) (Chapman)** 5. Operations Report – February 2026** 6. Operations Report – March 2026** 7. Performance Contract Report – February 2026** 8. Performance Contract Report – March 2026** 9. Strategic Plan Update	

F.	Executive Committee	Sandy Martin
	1. Approval of Renewal of Board Membership for John “Chip” Wright for a Three-Year Term Commencing June 15, 2026 and Ending June 14, 2029** 2. Approval of Renewal of Board Membership for Yvonne Kluttz for a Three-Year Term Commencing July 1, 2026 and Ending June 30, 2029**	
G.	Board Recruitment and Training Committee**	Sandy Martin
	1. Approval of Board Membership for Isabel Ibarra for a One-Year Term Commencing June 1, 2026 and Ending May 31, 2027**	
H.	Policies and Outcomes Committee**	Chip Wright
	1. Transportation Mobility Policy** 2. Approval of the Employment First Policy**	
I.	Vendor Advisory Committee**	Rick Perez
	1. Approval of Vendor Advisory Committee Members**	
J.	Peer Advisory Committee	Yvonne Kluttz
K.	Legislative and Community Awareness Committee**	Bruce Hall
L.	ARCA Report	Sandy Martin
M.	Community Forum***	Sandy Martin
N.	Chairperson’s Report	Sandy Martin
O.	Performance Contract Proposal for FY 2026-2027	Jack Stanton Arturo Cazares
<b>IV.</b>	<b>Adjournment</b>	Sandy Martin

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting Minutes  
March 5, 2026**

<b>Board Members Present:</b> (Members in-person, unless otherwise noted)	Sandy Martin, Chairperson Marcell Bassett Amy Jessee Bruce Hall Yvonne Kluttz Liza Krassner Hilda Mendez Chinh Nguyen Jacqueline Nguyen ( <i>joined via Zoom</i> ) Chip Wright
<b>Board Members Absent:</b>	Frances Hernandez Lorena Medina Rick Perez
<b>Corporate Counsel Present:</b>	Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:02 p.m.

**I. General Session**

**A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement**

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance.  
Ms. Yvonne Kluttz read RCOC's Mission and Vision Statement.

**B. Community Forum for Agenda Items Only**

There were no speakers for community forum.

**C. Budget and Finance Committee**

Ms. Jacqueline Nguyen reported that the Committee approved and recommended for approval all of its items on the consent calendar.

**D. Consent Calendar**

1. Approval of Board of Directors' Minutes for January 8, 2026
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, December 2025
  - b. Approval of Monthly Sufficiency of Allocation Report, January 2026
  - c. Approval of Budget Amendment B-4 for Fiscal Year 2025-26
  - d. Information Regarding Third Amendment to Property Lease for Santa Ana Office

- e. Approval of Line of Credit for Fiscal Year 2025-26
- f. Approval of Audited Financials for the Year Ended June 30, 2025

*M/S/C to approve the consent calendar, as presented*

**E. Executive Director's Report**

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored three persons served who have worked for the same employer for 20 or more years with a Certificate of Recognition.

2. Approval of Start-Up Funding Agreement with Service Provider Fortis Place Inc, for the Development of an Adult Residential Facility for Persons with Special Healthcare Needs - Behavioral (CRDP No. 2324-9/2526-1)(Lizbeth)

The Department of Developmental Services (DDS) has made an allocation of \$250,000 to RCOC's Community Resource Development Plan (CRDP) for the development of an Adult Residential Facility for Persons with Special Healthcare Needs – Behavioral (ARFPSHN-B). This facility will serve individuals with both medical and behavioral needs who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting.

The “buy it once” model will be used for development of the home, which separates ownership of the home from service delivery, so that a service provider can be changed without moving the residents. The Board previously approved agreements with nonprofit housing corporation Brilliant Corners for property acquisition and renovation on June 6, 2024. Brilliant Corners will lease the home to the service provider.

RCOC posted a Request for Proposal (RFP) on August 5, 2026, after the RFP Review Committee evaluated the proposals, interviewed the applicants and sought information from other regional centers regarding any quality assurance concerns. After consideration of all available information, the RFP Review Committee recommends that Fortis Place Inc. be awarded the Start-Up Funding Agreement for the development of an ARFPSHN-B.

***M/S/C to Approve Start-Up Funding Agreement with Service Provider Fortis Place Inc, for the Development of an Adult Residential Facility for Persons with Special Healthcare Needs - Behavioral (CRDP No. 2324-9/2526-1)(Lizbeth)***

3. Approval of Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of One (1) Specialized Residential Facility for Children, and One (1) Specialized Residential Facility for Adults (CRDP Project Nos. 2526-5 and 2526-6)

DDS has made an allocation of \$400,000 each (\$800,000) to RCOC's CRDP for the development of one (1) Specialized Residential Facility for Children and one (1) Specialized Residential Facility for Adults (SRFs). These facilities will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting.

The "buy it once" model will be used for development of the homes, which separates ownership of the home from service delivery, so that a service provider can be changed without moving the residents. A non-profit corporation receives CRDP start-up funds to acquire and renovate a property; the non-profit corporation then leases the property to a service provider.

RCOC posted a Request for Proposals (RFP) for a non-profit housing corporation on November 21, 2025. Brilliant Corners was the only applicant. Based on Brilliant Corners' proposal and interview, as well as RCOC's prior experience with Brilliant Corners developing CRDP homes, the RFP Review Committee recommends approval of the Property Acquisition Agreements with Brilliant Corners for the development of one Specialized Residential Facility for Children and one Specialized Residential Facility for Adults.

***M/S/C to Approve Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of One (1) Specialized Residential Facility for Children, and One (1) Specialized Residential Facility for Adults (CRDP Project Nos. 2526-5 and 2526-6)***

4. Approval of Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of One (1) Specialized Residential Facility for Children, and One (1) Specialized Residential Facility for Adults (CRDP Project Nos. 2526-5 and 2526-6)

DDS has made an allocation of \$900,000 (\$450,000 per project) to RCOC's CRDP for the development of one (1) Specialized Residential Facility for Children and one (1) Specialized Residential Facility for Adults (SRFs). These facilities will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting.

The "buy it once" model will be used for development of the homes, which separates ownership of the home from service delivery, so that a service provider can be changed without moving the residents. A non-profit corporation receives CRDP start-up funds to acquire and renovate a property; the non-profit corporation then leases the property to a service provider.

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Corners for the development of one Specialized Residential Facility for Children and one Specialized Residential Facility for Adults.

***M/S/C to Approve Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of One (1) Specialized Residential Facility for Children, and One (1) Specialized Residential Facility for Adults (CRDP Project Nos. 2526-5 and 2526-6)***

5. Approval of Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2324-10/2425-10)(Faye)

DDS made a special allocation to RCOC's CRDP for the development of an Enhanced Behavioral Supports Home (EBSH) to serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement for \$400,000 with non-profit housing corporation Brilliant Corners on January 9, 2025. On May 1, 2025, the Board approved Amendment No. 1 to the Property Renovation Agreement, which increased renovation funding by \$215,216 to cover renovation costs identified once the property was acquired.

DDS has approved an additional \$48,300 in RCOC's CRDP funding for renovation costs. The request for additional funding was due to costs associated with the following renovations: (1) demolish, haul away, and install new framing in the existing home; (2) reframe and sheath the existing roof; (3) re-pour the concrete slab; (4) new stucco; and (5) additional insulation. The total CRDP funding will be \$663,576 in renovation funds for this project.

***M/S/C to Approve Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2324-10/2425-10)(Faye)***

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Trailer Bill Language (TBL)*. Mr. Landauer reported that Governor Newsom's 2026-27 budget includes several proposed changes in Trailer Bill Language. If approved, these changes will take effect July 1, 2026, and include:
  - *Early Intervention Program Oversight*. The proposal shifts oversight of schools implementing "Part C" early intervention programs from the California Department of Education to DDS.
  - *Employment Access Alignment*. DDS is partnering with the Department of Rehabilitation (DOR) to create a "no wrong door" service model for individuals with intellectual and developmental disabilities who want to find employment. It is intended to make access to employment services faster for individuals and

reduce confusion and stress. This proposal will also remove the requirement that employment service providers be accredited by the Commission on Accreditation of Rehabilitation Facilities.

- *Federal Access Rule.* This proposal would establish a new grievance process required by Federal Access Rule regulations starting July 2026. The proposal intends to merge the complaint processes to improve timely resolutions of issues and reduce confusion about how to file different types of complaints.
- *Life Outcomes Improvement System.* This proposal would identify Life Outcomes Improvement System (LOIS) as the single information technology system to be used by all regional centers, when it is available for implementation.
- *Rate Reform/Quality Incentive Program Contract Exemption.* This proposal would continue a contracting exemption for rate reform and the Quality Incentive Program (QIP) to December 31, 2030. This extension would continue updating rates, manage the complexity of rate reform, and provide ample time to collect community input and complete the rulemaking process.
- *Regional Center Supported Living Services 40-Hour Work Week.* DDS proposes that employees of regional center vendors who provide supported living services receive overtime pay, following state law. This is the law today, but pending federal regulations could change this.
- *Regional Center Oversight.* DDS is proposing changes to help strengthen how regional centers are governed and overseen.
- *Remote Services.* This proposal would put into law the authority to provide some services remotely when requested by an individual. Currently, these same services can be delivered remotely under a directive from the DDS, which is set to expire at the end of 2026.
- *Self-Determination Program Administrative Costs Funding.* This proposal would remove outdated language regarding the use of historical savings for administrative costs of the Self-Determination Program.
- *Respite Tool Standardization.* Mr. Landauer reported that DDS is developing the Standardized In-Home Respite Tool, which will be used to plan for and provide short-term care for individuals served. The goal is to create consistent processes and criteria across programs, making it easier for families to access and use respite services.
- *Legislative Analyst Office (LAO).* Mr. Landauer reported that LAO released its fiscal perspective on January 23, 2026. LAO reports that while California is experiencing strong revenue growth, the state faces long term budget risks. Rising

costs, economic uncertainty and reliance on temporary revenues could challenge the sustainability of the Governor's FY 2026-27 budget. To review the fiscal perspective, visit [www.lao.ca.gov](http://www.lao.ca.gov).

- *Purchase of Service (POS) Expenditures for Fiscal Year 2025-26.* Mr. Landauer reported that there is a projected surplus statewide.
- *Legislative and Community Awareness (LCA).* Mr. Landauer reported that RCOC participated in several New Year celebrations including the Chinese Lunar New Year, the Korean Seollal New Year, and the Annual Vietnamese Tet Parade hosted by the City of Westminster. Attendees included parent and Board Member Ms. Chinh Nguyen, RCOC staff, persons served and families.
- *Health and Wellness.* Dr. Bonnie Ivers, Clinical Director, reported on the *Unified for Success: Smart Choices and Proactive Tools for a Lifetime of Returns* Program conference, hosted by RCOC and California Autism Professional Training And Information Network (CAPTAIN). The program emphasizes actionable tools and collaborative strategies to support autistic individuals in achieving success. Dr. Ivers stated that the program aligns with RCOC's broader commitment to helping individuals served reach their full potential and fully integrate into the community. The conference is scheduled for April 17, 2026, at the Creekside Learning Center in Irvine and is open to parents, caregivers and professionals. Additional information is available on RCOC's website.
- *RCOC News.* Mr. Landauer reported that Cultural, Linguistic and Disparity efforts are ongoing. He then presented data by ethnicity for Purchase of Services (POS) day programs, personal assistance, social recreation and independent living services. Mr. Landauer noted that this data helps RCOC better support persons served and their families. Additionally, RCOC Service Coordinators are 72% bilingual and receive extensive ongoing training across all service areas.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee last met on February 19, 2026.

#### **F. Executive Committee**

Ms. Martin reported that the Committee met on February 17, 2026, and the next meeting is scheduled for March 16, 2026.

#### **G. Board Recruitment and Training Committee**

Ms. Martin reported that the Committee met on February 9, 2026, and reviewed the Board composition and upcoming training topics.

Ms. Martin also presented the following agenda item from the Board Recruitment and Training Committee for approval:

1. Approval of Board Membership for Pankaj Bedekar for a One-Year Term Commencing March 6, 2026 and Ending February 28, 2027

***M/S/C to Approve Board Membership for Pankaj Bedekar for a One-Year Term Commencing March 6, 2026 and Ending February 28, 2027, as presented***

The next Committee meeting is scheduled for April 13, 2026.

#### **H. Policies and Outcomes Committee**

Mr. Chip Wright reported that the Committee met on February 17, 2026 and reviewed the Contract Policy and the Policy on Notification to Persons Served and Families of Significant Service Deficits. No revisions were proposed for either of the two policies.

The next Committee meeting is scheduled for April 20, 2026.

#### **I. Vendor Advisory Committee**

In Mr. Rick Perez's absence, Mr. Landauer reported that the Committee met on February 10, 2026 and is scheduled to meet next on March 10, 2026.

#### **J. Peer Advisory Committee**

Ms. Yvonne Kluttz reported that the Committee met on February 18, 2026 and viewed a presentation on *Independent Living Skills Services* by Ms. Christine Molina, Executive Program Administrator at L.I.F.E.

The next Committee meeting is scheduled for May 20, 2026.

#### **K. Legislative and Community Awareness Report**

Mr. Bruce Hall reported that the Committee met on January 13, 2026. The Committee received updates on media and legislative outreach efforts, as well as community events, including resource fairs and disparity-focused activities.

The next Committee meeting is scheduled for April 14, 2026.

#### **L. ARCA Report**

Ms. Martin reported that the ARCA Board of Directors met on January 15, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for March 19, 2026.

**M. Community Forum**

Mr. Robert Olea requested information on the Orange County Transportation Authority's (OCTA's) newly introduced Wave Card, a new ride payment option.

**N. Chairperson's Report**

Ms. Martin again acknowledged and congratulated the three persons served recognized tonight who have worked for the same employer for 20 or more years.

Ms. Martin also reminded everyone that tickets are still available for RCOC's Annual Spotlight Awards Gala on April 17, 2026 from 5:30 to 10:00 p.m. at the Embassy Suites Anaheim-South in Garden Grove. For more information, visit RCOC's website at [www.rcocdd.com](http://www.rcocdd.com).

**II. Adjournment**

Ms. Martin adjourned the meeting at 7:01 p.m.

———Yvonne Kluttz, Secretary———

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Budget & Finance Committee  
Meeting Minutes  
March 5, 2026**

**Committee Members Present:** Jacqueline Nguyen, Chair (*joined via zoom*)  
Marcell Bassett  
Bruce Hall  
Amy Jessee  
Liza Krassner  
Sandy Martin  
Yvonne Kluttz

**Other Board Members Present:** John “Chip” Wright

**Board Counsel Present:** Greg E. Simonian

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bianca Borja, Accounting Supervisor  
Arturo Cazares, Associate Executive Director  
Valeria de los Angeles, Accounting Supervisor  
Nancy Franco, Accounting Manager - Operations  
Christina Petteruto, General Counsel  
Linda Pham, Accounting Manager - Operations  
Julie Rodriguez Accounting Supervisor  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, HR Director

**Guest:** Tom Huey, CPA, Partner, Windes

The meeting was called to order at 4:07 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), December 2025 and January 2026

Ms. Vasquez reported that RCOC received the planning allocation for fiscal year 2025-26. However, due to the continued increase in workload resulting from the implementation of the Rate Models, submission of the Sufficiency of Allocation Report (SOAR) typically due to the Department of Developmental Services (DDS) on December 10, 2025, was postponed until further notice.

Ms. Vasquez also reported that factors affecting expenditures in fiscal year 2025-26 include:

- Continuation costs for higher provider rates
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026

- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

***M/S/C to approve the monthly SOARs***

2. Approval of Budget Amendment B-4 for Fiscal Year 2025-26

Ms. Vasquez reported that DDS will allocate additional funds for Purchase of Services and requested Board approval to execute the contract upon receipt.

***M/S/C to approve Budget Amendment.***

3. Approval of Third Amendment to Property Lease for Santa Ana Office

Ms. Vasquez reported that due to a delay in construction, RCOC was unable to utilize the additional space at 1551 N. Tustin Avenue as originally projected. As a result, the move-in date was delayed by one month to February 1, 2026. The termination of the lease for the existing and expansion space was extended by one month to January 31, 2038.

***M/S/C to approve the Amendment.***

4. Approval of Line of Credit for Fiscal Year 2025-26

Ms. Vasquez reported that U.S. Bank has committed a line of credit of \$85 million from May 1, 2026 to September 30, 2026.

***M/S/C to approve the Line of Credit.***

5. Approval of Audited Financial Statements for the Year Ended June 30, 2025

Mr. Tom Huey, a partner with Windes, Inc. presented the audited financial statements and Windes' audit approach.

***M/S/C to approve the Audited Financial Statements.***

The meeting adjourned at 5:07 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Monthly Sufficiency of Allocation Report, February 2026**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: May 7, 2026  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – February 2026 Sufficiency of Allocation Report (SOAR)

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Purchase of Services (POS)

As previously reported, submission of the Sufficiency of Allocation Report (SOAR) typically due on December 10, 2025, was postponed until further notice. The postponement is a result of the continued increase in workload from the full implementation of the Rate Model increases and the transition to new service codes and subcodes.

Factors that will affect expenditures in this fiscal year:

- Continuation costs for higher provider rates (prior years' rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

Year to date, RCOC's caseload increased by 1,156 for an annualized caseload growth of 5.6%; the regional center system increased 22,951 persons for an annualized caseload growth of 6.5%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

Monthly Sufficiency of Allocation Report  
As of February 28, 2026

	A	B	C	D	E	F	G	H
				SOAR	VARIANCE			
	B-4	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	n/a	YEAR TO DATE	MO. REPORTED		
(1) Licensed Residential Care	264,895,529	\$ 175,336,443	\$ 263,004,665	\$0	n/a	n/a	n/a	\$235,482,353
(2) Day Care	1,950,752	745,783	1,945,521	0	n/a	n/a	n/a	1,384,204
(3) Day Training	79,532,460	51,467,355	79,180,546	0	n/a	n/a	n/a	99,482,232
(4) Habilitation	9,891,592	6,473,861	9,845,554	0	n/a	n/a	n/a	8,766,557
(5) Transportation	30,743,796	14,993,309	30,494,866	0	n/a	n/a	n/a	19,400,986
(6) Respite	101,679,592	60,126,920	98,838,773	0	n/a	n/a	n/a	82,978,677
(7) Personal Assistance	87,597,520	55,411,670	84,705,738	0	n/a	n/a	n/a	71,848,009
(8) Supported Living	94,318,236	62,302,381	93,453,572	0	n/a	n/a	n/a	78,144,108
(9) Non-medical	93,004,404	60,938,872	91,522,711	0	n/a	n/a	n/a	41,587,868
(10) Medical	94,369,172	55,364,380	94,371,102	0	n/a	n/a	n/a	19,646,741
(11) Other	64,952,680	42,469,309	64,104,617	0	n/a	n/a	n/a	89,116,152
(12) Early Start (Age 0-3)	42,163,144	23,879,468	37,214,755	0	n/a	n/a	n/a	40,117,726
(13) Community Placement Plan	110,000	100,000	100,000	0	n/a	n/a	n/a	80,000
(14) Purchase of Service Total	965,208,877	609,609,751	948,782,419	0	0%	0	0	788,035,613
<b>OPERATIONS</b>						\$0 If all SPA receivables are paid.		
(15) Operating Expense (Gross)	14,029,590	6,954,941	10,432,411	14,029,590	0%	0	0	8,936,479
(16) Less Interest Income and SPA Fees	-600,000	-1,495,722	-2,243,584	-600,000	0%	0	0	-2,841,035
(17) Operating Expense (Net)	13,429,590	5,459,219	8,188,828	13,429,590	0%	0	0	6,095,443
(18) Personal Services	71,394,439	45,329,509	67,994,263	71,394,439	0%	0	0	58,081,996
(19) Family Resource Center/Services	295,515	143,428	215,141	295,515	0%	0	0	219,900
(20) Operations Total	85,119,544	50,932,155	76,398,232	85,119,544	0%	0	0	64,397,339
(21) Total	\$1,050,328,421	\$660,541,906	\$1,025,180,652	\$85,119,544	0%	\$0	\$0	\$852,432,952

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

\*\*\*Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.

Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added .

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF FEBRUARY 28, 2026

ASSETS	GENERAL FUND	CUSTODIAL FUND
<b>CURRENT ASSETS</b>		
Petty cash	\$300.00	
Checking	83,656,910.10	\$34,469.44
Savings	334,596.98	
Money market	0.00	
Payroll	437,873.33	
Donations	224,495.37	
Unemployment	774,886.67	
Certificate of deposit		
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Total current assets	85,429,062.45	34,469.44
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<b>RECEIVABLES</b>		
State claim	175,121,304.60	
Client support revenue	(2,985.67)	1,534.56
Due from State - prior years	42,994,678.64	
Due from ICF - ICF Supplemental Services	5,154,296.43	
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Total receivables	223,267,294.00	1,534.56
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<b>PREPAID ITEMS</b>		
Deposits	343,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	343,582.86	0.00
	-----	-----
<b>OTHER ASSETS</b>		
Tenant improvements	81,917.99	
Building acquisition	63,613.98	
	-----	-----
Total other assets	145,531.97	0.00
	-----	-----
<b>TOTAL ASSETS</b>	<b>\$309,185,471.28</b>	<b>\$36,004.00</b>
	=====	=====
<b>LIABILITIES AND FUND BALANCES</b>		
<b>LIABILITIES</b>		
Accounts payable	\$55,932,937.10	(\$2,985.67)
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	252,316,689.84	
Unemployment insurance	711,348.97	
	-----	-----
Total liabilities	308,960,975.91	(2,985.67)
	-----	-----
<b>FUND BALANCES</b>		
General	0.00	
Donations	224,495.37	
Custodial	0.00	38,989.67
	-----	-----
<b>TOTAL LIABILITIES AND FUND BALANCES</b>	<b>\$309,185,471.28</b>	<b>\$36,004.00</b>
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
FEBRUARY 28, 2026

Beginning Balance		\$221,585.71
Donations:		
Benjamin Seliner	\$5,000.00	
Loan Payments	100.00	
Interest	8.61	
Disbursements:		
Fumigation & Misc. Items	<u>(2,198.95)</u>	
Net Increase (Decrease)		<u>2,909.66</u>
Ending Balance		<u><u>\$224,495.37</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Monthly Sufficiency of Allocation Report, March 2026**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: May 7, 2026  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – March 2026 Sufficiency of Allocation Report (SOAR)

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Purchase of Services (POS)

As previously reported, submission of the Sufficiency of Allocation Report (SOAR) typically due on December 10, 2025, was postponed until further notice. The postponement is a result of the continued increase in workload from the full implementation of the Rate Model increases and the transition to new service codes and subcodes.

Factors that will affect expenditures in this fiscal year:

- Continuation costs for higher provider rates (prior years' rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

Year to date, RCOC's caseload increased by 1,156 for an annualized caseload growth of 5.6%; the regional center system increased 22,951 persons for an annualized caseload growth of 6.5%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of March 31, 2026**

	A	B	C	D	E	F	G	H	
				SOAR	VARIANCE				
	B-4	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT	
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR	
		YEAR TO DATE	AT "RUN RATE"	n/a	YEAR TO DATE		MO. REPORTED		
(1) Licensed Residential Care	264,895,529	\$ 193,491,556	\$ 263,852,122	\$0	n/a	n/a	n/a	\$235,482,353	
(2) Day Care	1,950,752	796,183	1,946,703	0	n/a	n/a	n/a	1,384,204	
(3) Day Training	79,532,460	55,508,738	79,298,197	0	n/a	n/a	n/a	99,482,232	
(4) Habilitation	9,891,592	6,650,728	9,976,092	0	n/a	n/a	n/a	8,766,557	
(5) Transportation	30,743,796	15,204,596	30,924,602	0	n/a	n/a	n/a	19,400,986	
(6) Respite	101,679,592	60,189,838	98,942,199	0	n/a	n/a	n/a	82,978,677	
(7) Personal Assistance	87,597,520	55,411,670	84,705,738	0	n/a	n/a	n/a	71,848,009	
(8) Supported Living	94,318,236	71,309,312	95,079,083	0	n/a	n/a	n/a	78,144,108	
(9) Non-medical	93,004,404	66,732,202	91,826,530	0	n/a	n/a	n/a	41,587,868	
(10) Medical	94,369,172	62,677,497	94,016,246	0	n/a	n/a	n/a	19,646,741	
(11) Other	64,952,680	43,167,811	64,429,569	0	n/a	n/a	n/a	89,116,152	
(12) Early Start (Age 0-3)	42,163,144	24,600,955	36,901,433	0	n/a	n/a	n/a	40,117,726	
(13) Community Placement Plan	110,000	100,000	100,000	0	n/a	n/a	n/a	80,000	
(14) Purchase of Service Total	965,208,877	655,841,086	951,998,512	0	0%	0	0	788,035,613	
<b>OPERATIONS</b>							\$0 If all SPA receivables are paid.		
(15) Operating Expense (Gross)	14,029,590	7,765,671	10,354,228	14,029,590	0%	0	0	8,936,479	
(16) Less Interest Income and SPA Fees	-600,000	-1,673,371	-2,231,161	-600,000	0%	0	0	-2,841,035	
(17) Operating Expense (Net)	13,429,590	6,092,300	8,123,067	13,429,590	0%	0	0	6,095,443	
(18) Personal Services	71,394,439	50,889,622	67,852,829	71,394,439	0%	0	0	58,081,996	
(19) Family Resource Center/Services	295,515	157,013	209,351	295,515	0%	0	0	219,900	
(20) Operations Total	85,119,544	57,138,936	76,185,248	85,119,544	0%	0	0	64,397,339	
(21) Total	\$1,050,328,421	\$712,980,022	\$1,028,183,760	\$85,119,544	0%	\$0	\$0	\$852,432,952	

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

\*\*\*Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.

Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added .

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF MARCH 31, 2026

ASSETS	GENERAL FUND	CUSTODIAL FUND
<b>CURRENT ASSETS</b>		
Petty cash	\$300.00	
Checking	\$80,210,499.07	\$42,234.59
Savings	\$75,256.83	
Money market	\$0.00	
Payroll	\$2,444,215.84	
Donations	\$224,604.99	
Unemployment	\$774,768.62	
Certificate of deposit	\$0.00	
	-----	-----
Total current assets	83,729,645.35	42,234.59
	-----	-----
<b>RECEIVABLES</b>		
State claim	174,440,539.12	
Client support revenue	(7,951.41)	1,534.56
Due from State - prior years	41,767,897.95	
Due from ICF - ICF Supplemental Services	5,248,419.35	
	-----	-----
Total receivables	221,448,905.01	1,534.56
	-----	-----
<b>PREPAID ITEMS</b>		
Deposits	343,582.86	
Prepaid expense		
	-----	-----
Total prepaid items	343,582.86	0.00
	-----	-----
<b>OTHER ASSETS</b>		
Tenant improvements	81,917.99	
Building acquisition	63,613.98	
	-----	-----
Total other assets	145,531.97	0.00
	-----	-----
<b>TOTAL ASSETS</b>	<b>\$305,667,665.19</b>	<b>\$43,769.15</b>
	=====	=====
<b>LIABILITIES AND FUND BALANCES</b>		
<b>LIABILITIES</b>		
Accounts payable	\$54,306,699.69	(\$7,951.41)
Due to State - ICF Supplemental Services	-	
Loans payable	-	
Cash advance	250,424,847.03	
Unemployment insurance	711,513.48	
	-----	-----
Total liabilities	305,443,060.20	(7,951.41)
	-----	-----
<b>FUND BALANCES</b>		
General	0.00	
Donations	224,604.99	
Custodial	0.00	51,720.56
	-----	-----
<b>TOTAL LIABILITIES AND FUND BALANCES</b>	<b>\$305,667,665.19</b>	<b>\$43,769.15</b>
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
MARCH 31, 2026

Beginning Balance		\$224,495.37
Donations	\$0.00	
Loan Payments	100.00	
Interest	9.62	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>109.62</u>
Ending Balance		<u><u>\$224,604.99</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Budget Amendment E-5 for Fiscal Year 2023-24**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., E-1, E-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has allocated additional Operations and Purchase of Service funds.

FISCAL IMPACT:

Operations .....	\$75,000
Purchase of Service for CPP Start-Up .....	\$1,659,548
Total .....	\$1,734,548

RECOMMENDATION:

That the Board authorize the Chairperson to execute the contract.

**STANDARD AGREEMENT - AMENDMENT**

STD 213A (Rev. 4/2020)

 CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 81 PAGES

AGREEMENT NUMBER

HD199014E

AMENDMENT NUMBER

5

Purchasing Authority Number

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Developmental Services

CONTRACTOR NAME

Regional Center of Orange County

2. The term of this Agreement is:

START DATE

July 1, 2019

THROUGH END DATE

June 30, 2026

3. The maximum amount of this Agreement after this Amendment is:

\$756,786,252.29

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

a. Replaced by this amendment is Exhibit A--Regional Center Contract Language Fiscal Year 2023-2024, in its entirety, which is attached hereto and made part of this contract.

b. This amendment replaces in its entirety Exhibit A of Regional Center Contract Language Fiscal Year 2023-2024--Contract Budget Summary, E-4, with the Exhibit A of Regional Center Contract Language Fiscal Year 2023-2024--Contract Budget Summary, E-5, attached hereto.

c. This amendment increases the total contract dollar amount by \$1,734,548.00. New total contract dollar amount not to exceed \$756,786,252.29.

Fiscal Year funds identified above may not be used for any other fiscal year than the fiscal year specified unless authorized by the Department to do so.

*All other terms and conditions shall remain the same.*

**IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.**

**CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

Regional Center of Orange County

CONTRACTOR BUSINESS ADDRESS

1525 N. Tustin Avenue

CITY

Santa Ana

STATE

CA

ZIP

92705

PRINTED NAME OF PERSON SIGNING

Sandy Martin

TITLE

Board Chair

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

**STANDARD AGREEMENT - AMENDMENT**

STD 213A (Rev. 4/2020)

 CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 81 PAGES

AGREEMENT NUMBER

HD199014E

AMENDMENT NUMBER

5

Purchasing Authority Number

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME

Department of Developmental Services

CONTRACTING AGENCY ADDRESS

1215 O Street, 10th Floor, MS 10-10

CITY

Sacramento

STATE

CA

ZIP

95814

PRINTED NAME OF PERSON SIGNING

Noah Valadez

TITLE

Branch Chief

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

FY 2023-24 Through E-5 Contract Budget Summary  
Exhibit A, Claimable Categories by Program (Ops, POS, EIP, FRS)

Contract Number

HD199014

Contracting Agency: Regional Center of Orange County, Inc.

LEAVE DATE BLANK FOR PASS TO FILL

<b>TOTAL OPERATIONS (Ops) which include the line items listed below:</b>	<b>\$</b>	<b>75,683,378.29</b>
<i>Less Separately Claimed Items:</i>	<b>\$</b>	<b>(506,942.29)</b>
<b>Total Ops Regular Monthly Claim Authority:</b>	<b>\$</b>	<b>75,176,436.00</b>
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:</i>		
<b>Mental Health Services Fund</b>	<b>\$</b>	<b>-</b>
<b>Language Access and Cultural Competency (General Fund)</b>	<b>\$</b>	<b>-</b>
<b>Language Access and Cultural Competency (ARPA)</b>	<b>\$</b>	<b>-</b>
<b>Total Promoting Workforce Stability Ops, Charge against FY 2022-23</b>	<b>\$</b>	<b>-</b>
<i>Tuition Reimbursement Program</i>	<b>\$</b>	<b>-</b>
<b>Total Foster Grandparent Sr Companion Program <sup>af</sup></b>	<b>\$</b>	<b>-</b>
<i>FGP &amp; Sr. Companion, Total General State Funds <sup>af</sup></i>	<b>\$</b>	<b>-</b>
<i>FGP, General State Fund, Support</i>	<b>\$</b>	<b>-</b>
<i>FGP, General State Fund, Volunteer</i>	<b>\$</b>	<b>-</b>
<i>Sr Compan, General State Fund, Support</i>	<b>\$</b>	<b>-</b>
<i>Sr Compan, General State Fund, Volunteer</i>	<b>\$</b>	<b>-</b>
<i>FGP, Total Federal <sup>bf</sup></i>	<b>\$</b>	<b>-</b>
<i>FGP, Federal Support</i>	<b>\$</b>	<b>-</b>
<i>FGP, Federal Volunteer</i>	<b>\$</b>	<b>-</b>
<i>FGP, Federal Stipend</i>	<b>\$</b>	<b>-</b>
<b>Total Regular Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) Ops</b>	<b>\$</b>	<b>36,000.00</b>
<b>DC Closure/Ongoing Workload</b>	<b>\$</b>	<b>237,228.00</b>
<b>Service Access &amp; Equity (SAE) Grant Disparities</b>	<b>\$</b>	<b>233,714.29</b>
<b>HQ UFS CERMS</b>	<b>\$</b>	<b>-</b>
<b>HQ MSSI Contract</b>		
<b>HQ SB 138 Respite Tool</b>		

<b>TOTAL PURCHASE OF SERVICES (POS) which include the line items listed below:</b>	<b>\$</b>	<b>680,833,575.00</b>
<i>Less Separately Claimed Items</i>	<b>\$</b>	<b>(7,261,127.00)</b>
<b>Total POS Regular Monthly Claim Authority</b>	<b>\$</b>	<b>673,572,448.00</b>
<i>In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:</i>		
<b>Total Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) POS</b>	<b>\$</b>	<b>5,758,630.00</b>
<i>CPP/CRDP Start-Up</i>	<b>\$</b>	<b>4,777,245.00</b>
<i>Regular CPP Assessment</i>	<b>\$</b>	<b>-</b>
<i>Regular CPP Placement</i>	<b>\$</b>	<b>981,385.00</b>
<i>CPP 2020-21 Reappropriation POS Start-Up</i>	<b>\$</b>	<b>-</b>
<b>Early Intervention Program (Part C Grant for POS) <sup>cf</sup></b>	<b>\$</b>	<b>733,537.00</b>
<b>HCBSW Compliance</b>	<b>\$</b>	<b>768,960.00</b>

<b>Early Intervention Program (Part C Grant for EIP, Other Agency, FRC) <sup>cf</sup></b>	<b>\$</b>	<b>140,397.00</b>
<i>Early Intervention Program, Family Resource Centers Network</i>	<b>\$</b>	<b>140,397.00</b>

<b>Total Family Resource Services (FRS)</b>	<b>\$</b>	<b>128,902.00</b>
	<b>\$</b>	<b>128,902.00</b>

<b>TOTAL BUDGET</b>	<b>\$</b>	<b>756,786,252.29</b>
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

**SUBJECT: Draft Form 990, Return of Organization Exempt from Income Tax for Year Ended June 30, 2025**

BACKGROUND:

Most organizations that are exempt from income tax are required to file Form 990 each year with the Internal Revenue Service.

REASON FOR CURRENT ITEM:

Best practice is for the boards of tax-exempt organizations to receive a draft of Form 990 prior to filing. The filing deadline is May 15, 2026. Staff will send a draft of the 990 return to the Board prior to filing.

FISCAL IMPACT:

None

RECOMMENDATION:

This is an information item; no action is required.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Line of Credit for Fiscal Year 2025-26**

BACKGROUND:

The Board approved the agreement for the line of credit commitment from U.S. Bank for \$85,000,000, which would be available for drawing from May 1, 2026, to September 30, 2026, at its meeting on March 5, 2026.

REASON FOR CURRENT ITEM:

U.S. Bank has updated the pricing for Regional Centers. The new pricing is at the Secured Overnight Financing Rate (SOFR) plus 1.50%. The current SOFR rate is 3.63%. The total financing rate would be 5.13%. The new pricing would result in reduced cost of 1.62% (the current reference rate is 6.75%).

The commitment amount will remain the same at \$85,000,000. The new term is from May 11, 2026, to September 30, 2026.

U.S. Bank is not charging a commitment fee for the line of credit. The legal fee of \$7,500 would be the same.

The Department of Developmental Services (DDS) plans to pay the advances for fiscal year 2025-26 as soon as the enacted state budget is in place. However, if DDS delays these payments, RCOC may need to draw from the line of credit in order to continue operations and payments to providers.

FISCAL IMPACT:

If RCOC borrowed \$15 million for 15 days, the interest expense would be approximately \$32,063 at the current interest rate of 5.63%. The interest rate may change.

RECOMMENDATION:

That the Board authorize execution of the line of credit documents.

**CREDIT AND SECURITY AGREEMENT  
(REVOLVING LOAN FACILITY)**

This CREDIT AND SECURITY AGREEMENT (REVOLVING LOAN FACILITY) (this “*Agreement*”) is dated as of May 11, 2026 (the “*Closing Date*”), and is between REGIONAL CENTER OF ORANGE COUNTY, INC., a California non-profit corporation (the “*Borrower*”) and U.S. BANK NATIONAL ASSOCIATION (together with its successors and assigns, the “*Lender*”).

RECITALS

WHEREAS, the Borrower has requested that the Lender agree to, among other things, provide a revolving loan facility in the maximum principal amount of \$85,000,000.00 (the “*Commitment*”) for its operating cash needs for its fiscal year and for Reimbursable Amounts (as hereinafter defined), such Commitment to be available for drawing for the period from May 11, 2026, through September 30, 2026 (the “*Availability Period*”), and the Lender agrees, subject to the terms and conditions set forth herein, to do so. Certain defined terms used herein have the meanings set forth in Section 11 below.

Accordingly, the parties hereto agree as follows:

SECTION 1. REVOLVING FACILITY; AUTHORIZATION TO DISBURSE.

(a) Subject to the terms hereof, the Lender agrees to make loans (the “*Loans*”) on a revolving credit basis to the Borrower from time to time on any Business Day during the Availability Period; *provided, however*, that the aggregate principal amount of all Loans outstanding shall not exceed at any time (i) the Commitment or (ii) the current aggregate Reimbursable Amount. Within the limits of the Commitment, the Borrower may borrow, prepay and reborrow Loans. Loans shall only be made for those amounts so long as the aggregate amount of such Loan and all outstanding Loans do not exceed the lesser of (1) the aggregate amount of Reimbursable Amounts already approved by DDS or (2) amounts certified by DDS to the Borrower as payable to the Borrower under its DDS approved Budgets, in either case, as demonstrated to the Lender in writing.

(b) The Borrower shall give the Lender irrevocable notice of a requested borrowing in the form of Exhibit A attached hereto, prior to 1:00 p.m., Los Angeles time, at least one (1) Business Day prior to the proposed Borrowing Date, (i) specifying the principal amount of the Loan requested (the “*Requested Loan*”), (ii) specifying the requested Borrowing Date, (iii) attaching a copy of the Borrower’s written request to the DDS for reimbursement under the DDS Agreement in an amount at least equal to the principal amount of the Requested Loan and (iv) making the certifications and providing the deliverables set forth in and/or required to be delivered pursuant to Section 8(b) hereof. The Borrower agrees that the Lender is hereby authorized and is instructed to disburse the proceeds of any Loan under this Agreement, subject to the terms and conditions hereof, as requested by the Borrower from time to time pursuant to this Agreement, to the Primary Operating Account. On the proposed Borrowing Date, subject to the terms and conditions set forth herein, the Lender shall make the Loan to the Borrower by crediting such amount to the Borrower’s Primary Operating Account. The Lender is hereby authorized and

instructed by the Borrower to disburse the proceeds of the Loan on a revolving basis to the Primary Operating Account.

(c) In authorizing the Lender, pursuant to this Section 1, to disburse the proceeds of any Loan under this Agreement to the Primary Operating Account, the Borrower agrees as follows:

(1) The Lender shall disburse proceeds to the Borrower on each applicable Borrowing Date up to the amount of the Commitment in accordance with the foregoing authorization provided that the Borrowing Date is not later than the termination of the Availability Period. The authorization will remain in full force and effect until the Obligations have been fulfilled.

(2) The Lender may decline to advance the proceeds of any Loan if all of the conditions precedent to such Loan set forth in Section 8(b) hereof are not satisfied.

(3) The Lender is authorized to release information concerning Borrower's credit record and financial condition: (i) to suppliers, other creditors, credit bureaus, credit reporting agencies, other credit reporters, and any guarantors, (ii) to or among departments of the Lender and its affiliates, and/or (iii) to other parties pursuant to an order from a governmental agency or court; and the Lender is authorized to obtain such information from any third party at any time and to take such other steps as the Lender deems appropriate to verify such information provided in connection therewith.

SECTION 2. RESERVED.

SECTION 3. INTEREST.

(a) Subject to Section 3(c) below, each Loan shall bear interest at a rate per annum equal to the sum of (i) Daily Simple SOFR *plus* (ii) 1.50% (the "*SOFR Rate*"). Interest shall be payable by the Borrower in arrears on the last day of each calendar month. Interest hereunder shall be computed for the actual number of days elapsed on the basis of a year consisting of three hundred sixty (360) days.

(b) If any Default or Event of Default shall have occurred and be continuing, all amounts outstanding hereunder shall immediately and without notice bear interest at a rate per annum equal to the SOFR Rate plus five percent (5.0%) per annum, payable by the Borrower on demand, from the date of the occurrence of such Default or Event of Default until such Default or Event of Default is no longer continuing (after as well as before judgment).

(c) If SOFR becomes unavailable or ceases to exist, the Reference Rate shall replace SOFR hereunder and each Loan shall bear interest at the Reference Rate; *provided* that if any Default or Event of Default shall have occurred and be continuing, all amounts outstanding hereunder shall immediately and without notice bear interest at a rate per annum equal to the Reference Rate plus five percent (5.0%) per annum, payable by the Borrower on demand, from the date of the occurrence of such Default or Event of Default until such Default or Event of Default is no longer continuing (after as well as before judgment). The Lender does not warrant

or accept any responsibility for the administration or submission of, or any other matter related to, SOFR or with respect to the Reference Rate as the alternative or successor rate thereto, or replacement rate thereof, including without limitation whether the Reference Rate will have the same value as, or be economically equivalent to, SOFR.

#### SECTION 4. REPAYMENT OF LOANS.

(a) The principal amount of the Loans, all unpaid interest thereon and all other Obligations, are due in full on the Maturity Date. In addition, if at any time the aggregate principal amount of the Loans outstanding exceeds the Commitment or the aggregate Reimbursable Amount at such time, the Borrower shall immediately repay the Loans in an amount equal to such excess.

(b) The Lender shall invoice the Borrower for payments, fees, expenses, and other amounts payable to the Lender in connection with this Agreement, which invoice(s) shall be due immediately upon receipt. Any amounts paid by the Borrower in response to an invoice shall be paid to the Lender in lawful money of the United States of America in freely transferable and immediately available funds in U.S. Dollars by Fed Wire transfer to: U.S. Bank, Government Banking WIP Account, Routing No.: 042000013, Account No.: 2519956 2160600, Reference: Regional Center of Orange County, Inc., or such other office as the Lender may designate from time to time. Each such payment shall be made by 2:30 p.m., New York time, on the date such payment is due. Funds received after such time shall be deemed received on the next succeeding Business Day.

#### SECTION 5. PAYMENTS GENERALLY.

(a) Payments of principal, interest and any other amount due hereunder shall be made to the Lender in the manner set forth in Section 4(b) hereof. If any payment hereunder becomes due and payable on a day other than a Business Day, such payment shall be extended to the next succeeding Business Day, and, with respect to payments of principal, interest thereon shall be payable during such extension.

(b) All payments by the Borrower hereunder shall be made without set off or counterclaim and in such amounts as may be necessary in order that all such payments (after deduction or withholding for or on account of any present or future taxes, levies, imposts, duties or other charges of whatsoever nature imposed by any governmental authority, other than any tax on or measured by the overall net income of the Lender) shall not be less than the amounts otherwise specified to be paid hereunder.

(c) *Increased Costs.*

(i) *Increased Costs Generally.* If any Change in Law shall:

(A) impose, modify or deem applicable any reserve, capital or liquidity ratio, special deposit, compulsory loan, insurance charge or similar requirement against assets of, deposits with or for the account of, or advances, loans or other credit extended or participated in by, the Lender;

(B) subject the Lender to any Taxes of any kind whatsoever with respect to this Agreement or the Loans, or change the basis of taxation of payments to the Lender in respect thereof (except for Indemnified Taxes covered by Section 5(b) hereof and the imposition of, or any change in the rate of any Excluded Taxes payable by the Lender); or

(C) impose on the Lender any other condition, cost or expense affecting this Agreement or the Loans;

and the result of any of the foregoing shall be to increase the cost to the Lender of making or maintaining the Loans, or to reduce the amount of any sum received or receivable by the Lender hereunder or under the Loans (whether of principal, interest or any other amount) then, upon written request of the Lender as set forth in subsection (c)(ii) below, the Borrower shall promptly pay to the Lender, as the case may be, such additional amount or amounts as will compensate the Lender, as the case may be, for such additional costs incurred or reduction suffered.

(ii) *Capital or Liquidity Requirements.* If the Lender determines that any Change in Law affecting the Lender or the Lender's parent or holding company, if any, regarding capital or liquidity requirements, has or would have the effect of either (1) affecting the amount of capital or liquidity required or expected to be maintained by the Lender or the Lender's parent or holding company, if any, or (2) reducing the rate of return on the Lender's capital or liquidity or the capital or liquidity of the Lender's parent or holding company, if any, as a consequence of this Agreement or ownership of the Loans, to a level below that which the Lender or the Lender's parent or holding company could have achieved but for such Change in Law (taking into consideration the Lender's policies and the policies of the Lender's parent or holding company with respect to capital or liquidity adequacy), then from time to time upon written request of the Lender as set forth in subsection (c)(iii) below, the Borrower shall promptly pay to the Lender, as the case may be, such additional amount or amounts as will compensate the Lender or the Lender's parent or holding company for any such reduction suffered.

(iii) *Certificates for Reimbursement.* A certificate of the Lender setting forth the amount or amounts necessary to compensate the Lender or the Lender's parent or holding company, as the case may be, as specified in paragraph (i) or (ii) of this Section 5(c) above and delivered to the Borrower, shall be conclusive absent manifest error. The Borrower shall pay the Lender the amount shown as due on any such certificate within ten (10) days after receipt thereof.

(iv) *Delay in Requests.* Failure or delay on the part of the Lender to demand compensation pursuant to this Section 5(c) shall not constitute a waiver of the Lender's right to demand such compensation.

(v) *Survival.* Without prejudice to the survival of any other agreement of the Borrower hereunder, the agreements and obligations of the Borrower contained in this Section 5(c) shall survive the termination of this Agreement and the payment in full of the Loans and the obligations of the Borrower thereunder and hereunder.

SECTION 6. REPRESENTATIONS AND WARRANTIES.

The Borrower represents and warrants to the Lender as follows:

(a) the Borrower is duly organized, validly existing and in good standing as a non-profit corporation under the laws of the State of California and has the power and authority to own its property (other than real property), lease its properties and to carry on its businesses as now being conducted and as currently contemplated to be conducted hereafter and is duly qualified to do business in each jurisdiction in which the character of the properties leased by it or in which the transactions of any material portion of its business (as now conducted and as currently contemplated to be conducted) makes such qualification necessary;

(b) (i) the Borrower is in compliance in all material respects with all Laws applicable to it, and all contractual obligations to which it is party;

(ii) the Borrower has neither received notice nor does it have knowledge that any Governmental Authority or accreditation organization is considering limiting, suspending, terminating, or revoking any Permit, except for notices or occurrences for which the Borrower is pursuing a plan of compliance or taking similar actions to correct any such deficiency in a manner acceptable to the related Governmental Authority or related accreditation organization such that upon completion of the related plan of compliance the Borrower does not reasonably expect a limitation, suspension, termination or revocation of such Permit;

(iii) all Permits are valid and in full force and effect;

(iv) to the extent it participates in a particular Program, the Borrower meets all of the requirements of participation and payment of Medicare, Medicaid, any other state or federal government health care programs and any other public or private third party payor programs (each, a "*Program*" and, collectively, "*Programs*") and is a party to valid participation agreements for payment by such Programs;

(v) there is no investigation, audit, claim review, or other action pending or, to the knowledge of the Borrower, threatened which could result in a revocation, suspension, termination, probation, material restriction, material limitation, or non-renewal of any Program participation agreement or result in the Borrower's exclusion from any Program;

(iv) neither the Borrower nor any of its officers and directors has been or is currently excluded from participation in any government health care programs pursuant to 42 U.S.C. § 1320a-7;

(c) the execution, delivery and performance by the Borrower of this Agreement is within its corporate powers, has been duly authorized by all necessary action and does not (i) contravene the Borrower's articles of incorporation, by-laws or other similar organizational documents, (ii) require any consent or approval of any creditor of the

Borrower, (iii) violate any Laws (including, without limitation, Regulations T, U or X of the Board of Governors of the Federal Reserve System of the United States, or any successor regulations), (iv) conflict with, result in a breach of or constitute a default under any contract to which the Borrower is a party or by which it or any of its respective property may be bound or (v) result in or require the creation or imposition of any Lien upon or with respect to any property now owned or hereafter acquired by the Borrower or any affiliate thereof except such Liens, if any, expressly created by a Related Document;

(d) no authorization, approval or other action by, or notice to or filing with, any governmental authority or regulatory body (other than the filing of an appropriate UCC-1 financing statement under Section 7) is required for the due execution, delivery and performance by the Borrower of this Agreement;

(e) this Agreement and the other Related Documents to which the Borrower is a party are the legal, valid and binding obligations of the Borrower, enforceable against the Borrower in accordance with their respective terms, except as enforceability may be limited by applicable bankruptcy, insolvency, reorganization, moratorium or similar laws affecting the enforcement of creditors' rights generally and by general equitable principles;

(f) the Borrower will use the proceeds of the Loans solely for its operating cash needs and for other expenses in accordance with the DDS Agreement and no part of the proceeds from the Loans will be used to purchase or carry any such Margin Stock or extend credit to others for the purpose of purchasing or carrying any such Margin Stock;

(g) there is no litigation, proceeding, labor strike, condemnation or other dispute pending, or, to the best knowledge of the Borrower, threatened against or affecting the Borrower or its property;

(h) as to the DDS Agreement, (i) the Borrower has delivered to the Lender a true and correct copy of such Agreement, including all amendments and supplements thereto and (ii) such DDS Agreement is in full force and effect with no defaults by either party thereunder;

(i) all financial statements and other financial information regarding the Borrower provided to the Lender present fairly the financial condition and results of operation of the Borrower, are correct and complete in all material respects, and are consistent with the books and records of the Borrower and since June 30, 2025, there has been no material adverse change in the financial condition or operations of the Borrower that could reasonably be expected to result in a Material Adverse Effect;

(j) there is no environmental contamination at, under or about any properties leased by the Borrower, or material violation of any environmental law with respect to such properties or the business conducted at such properties, nor has the Borrower received any notice of any such violation;

(k) the Borrower's exact legal name, and the place of formation of the Borrower, are as set forth in the preamble to this Agreement;

(l) the Borrower is the legal and beneficial owner of the Collateral free and clear of all Liens except for Liens permitted by Section 9(h). Section 7 of this Agreement provides a Lien on and security interest in the Collateral to secure the prompt payment of (i) the Loans and (ii) all Obligations owing to the Lender hereunder. The Borrower has taken any and all action necessary to perfect the Lien on and security interest in the Collateral, pursuant to Section 7 hereof, by the filing of appropriate financing statements;

(m) all information, reports and other papers and data with respect to the Borrower furnished by the Borrower to the Lender were, at the time the same were so furnished, correct in all material respects. Any financial, budget and other projections furnished by the Borrower to the Lender were prepared in good faith on the basis of the assumptions stated therein, which assumptions were fair and reasonable in light of conditions existing at the time of delivery of such financial, budget or other projections, and represented, and as of the date of this representation, represent (subject to the updating or supplementation of any such financial, budget or other projections by any additional information provided to the Lender in writing, the representations contained in this Agreement being limited to financial, budget or other projections as so updated or supplemented), in the judgment of the Borrower, a reasonable, good faith estimate of the information purported to be set forth, it being understood that uncertainty is inherent in any projections and that no assurance can be given that the results set forth in the projections will actually be obtained. No fact is known to the Borrower that materially and adversely affects or in the future may (as far as it can reasonably foresee) materially and adversely affect the security for any of the Loans, or the ability of the Borrower to repay when due the Obligations, that has not been set forth in the financial statements and other documents referred to in this Section 6(m) or in such information, reports, papers and data or otherwise disclosed in writing to the Lender. The documents furnished and statements made by the Borrower in connection with the negotiation, preparation or execution of this Agreement and the Related Documents do not contain untrue statements of material facts;

(n) no default by the Borrower has occurred and is continuing in the payment of the principal of or premium, if any, or interest on any Indebtedness. No bankruptcy, insolvency or other similar proceedings pertaining to the Borrower or any agency or instrumentality of the Borrower are pending or presently contemplated. No Default or Event of Default has occurred and is continuing hereunder. No "default" or "event of default" under, and as defined in, any of the other Related Documents has occurred and is continuing. The Borrower is not presently in default under any material agreement to which it is a party which could reasonably be expected to have a Material Adverse Effect. The Borrower is not in violation of any material term of the Organizational Documents applicable to the Borrower or any material term of any bond indenture or agreement to which it is a party or by which any of its property is bound which could reasonably be expected to result in a Material Adverse Effect. The Borrower is solvent;

(o) none of the Related Documents or this Agreement provide for any payments that would violate any applicable law regarding permissible maximum rates of interest;

(p) the Borrower has not entered into any transaction of any kind with any affiliate, whether or not in the ordinary course of business, other than on fair and reasonable terms substantially as favorable to the subject affiliate as would be obtainable by the subject affiliate at the time in a comparable arm's length transaction with a Person other than the Borrower;

(q) the Borrower has good and marketable title to its assets except where the failure to have good and marketable title to any of its assets would not have a Material Adverse Effect free and clear of all liens except for those permitted by the Related Documents;

(r) the Borrower currently maintains insurance coverage with insurance companies believed by the Borrower to be capable of performing their obligations under the respective insurance policies issued by such insurance companies to the Borrower (as determined in its reasonable discretion) and in full compliance with Section 9(d) hereof;

(s) the representations and warranties of the Borrower contained in the other Related Documents, together with the related definitions of terms contained therein, are hereby incorporated by reference in this Agreement as if each and every such representation and warranty and definition were set forth herein in its entirety, and the representations and warranties made by the Borrower in such Sections are hereby made for the benefit of the Lender. No amendment to or waiver of such representations and warranties or definitions made pursuant to the relevant Related Document or incorporated by reference shall be effective to amend such representations and warranties and definitions as incorporated by reference herein without the prior written consent of the Lender;

(t) all representations and warranties made hereunder and in any other Related Document or other document delivered pursuant hereto or thereto or in connection herewith or therewith shall survive the execution and delivery hereof and thereof. Such representations and warranties have been or will be relied upon by the Lender, regardless of any investigation made by the Lender or on its behalf and notwithstanding that the Lender may have had notice or knowledge of any Default or Event of Default at the time of the making of any Loan, and shall continue in full force and effect as long as any Obligation hereunder shall remain unpaid or unsatisfied;

(u) the Borrower, its Subsidiaries and their respective directors, officers, and employees and, to the knowledge of the Borrower, the agents of the Borrower and its Subsidiaries are in compliance with Anti-Corruption Laws and all applicable Sanctions in all material respects. The Borrower and its Subsidiaries have implemented and maintain in effect policies and procedures designed to ensure compliance with Anti-Corruption Laws and applicable Sanctions. None of the Borrower, any of its Subsidiaries or any director, officer, employee, agent or affiliate of the Borrower or any of its Subsidiaries is an individual or entity that is, or is 50% or more owned (individually or in the aggregate,

directly or indirectly) or controlled by individuals or entities (including any agency, political subdivision or instrumentality of any government) that are (a) the target of any Sanctions or (b) located, organized or resident in a country or territory that is the subject of Sanctions;

(v) (i) neither the Borrower nor any ERISA Affiliate maintains, contributes to or is obligated to maintain or contribute to, or has at any time within the past six years, maintained, contributed to or been obligated to maintain or contribute to, any pension plan as defined in section 3(2) of ERISA which is subject to ERISA;

(ii) all Governmental Plans have been established, operated, administered and maintained in compliance with all laws, regulations and orders applicable thereto, except where such failure so to comply would not reasonably be expected to have a Material Adverse Effect. All contributions and any other amounts required by applicable law to be paid or accrued by the Borrower with respect to a Governmental Plan has been paid or accrued as required, except where failure so to pay or accrue would not be reasonably be expected to have a Material Adverse Effect;

(iii) the present value of the accrued benefit liabilities under each Governmental Plan that is funded, determined as of the end of the Borrower's most recently ended fiscal year on the basis of reasonable actuarial assumptions, did not exceed the current value of the assets of such Governmental Plan allocable to such benefit liabilities by an amount which would reasonably be expected to have a Material Adverse Effect; and

(w) the Borrower has no knowledge of any existing or pending strike, walkout or work stoppage.

## SECTION 7. COLLATERAL.

(a) To secure the payment and performance of the Obligations, the Borrower hereby grants to the Lender a continuing security interest in all personal property and assets of the Borrower, whether now owned or hereafter acquired and wherever located (collectively, the "*Collateral*"), including but not limited to the following: (i) all present and future accounts, accounts receivable, general intangibles, payment intangibles, supporting obligations, agreements, guarantees, contracts, instruments, documents, chattel paper, leases, licenses, contract rights, letter-of-credit rights and other rights to payment, including but not limited to all rights to payment under the DDS Agreement (which such DDS Agreement is not intended to limit or prohibit the granting of a security interest in such rights to payment pursuant to Section 4630 of the California Welfare and Institutions Code) and all warrants issued by the State of California, whether registered or unregistered; (ii) all present and future demand, time, savings, deposit, securities and like accounts, and all money, cash and cash equivalents, whether or not deposited in any such account; (iii) all present and future stocks, bonds, securities, security entitlements, subscription rights, investment and/or brokerage accounts, and all other investment property, and all rights, preferences, privileges, dividends, distributions, redemption payments or liquidation payments

with respect thereto; and (iv) any and all proceeds of the foregoing; *provided* that the Collateral shall not include any governmental permit or any license, contract or agreement to the extent that the collateral assignment thereof or the creation of a security interest therein would constitute a breach of the terms of such permit, license, contract or agreement, or would permit the relevant governmental authority or any party to such agreement to terminate such permit, license, contract or agreement, except the Collateral expressly shall include any proceeds of any of the foregoing assets; *provided further* that, any permit, license, contract or agreement excluded in accordance with the foregoing shall cease to be so excluded to the extent (x) such term is rendered ineffective under Sections 9-406, 9-407, 9-408 or 9-409 of the UCC of any relevant jurisdiction or (y) all required consents to such assignment and security interest are obtained.

(b) The Lender is hereby authorized to file all such financing statements relating to the Collateral, and to take all such other actions as the Lender may deem appropriate to perfect and to maintain perfected the security interests granted herein. The Borrower shall execute and deliver to the Lender, at the Borrower's expense, all instruments and documents requested by the Lender to fully perfect, protect and maintain the Lender's security interests granted herein or to enable the Lender to exercise and enforce its rights and remedies hereunder.

(c) The Lender may at any time: (i) itself or through its representatives, visit and inspect the Borrower's properties and examine and make abstracts from any of its books and records at any reasonable time, and (ii) during the existence of an Event of Default, notify obligors on the Collateral that the Collateral has been assigned as security to the Lender, request from such obligors information concerning the Collateral and the amounts owing thereon and direct such obligors to direct their performance to the Lender. Nothing contained herein shall constitute an assumption by the Lender of any obligations of the Borrower under any contracts assigned hereunder.

(d) During the existence of an Event of Default, the Lender shall have all rights and remedies under applicable laws or in equity, under this Agreement, and all rights and remedies of a secured party under the UCC, and in addition the following rights and remedies, all of which shall be cumulative and not exclusive and may be exercised with or without notice to the Borrower except as specifically required by applicable law: (i) to foreclose the Liens created hereunder by any available judicial procedure or without judicial process; (ii) to enter any premises where any Collateral may be located for the purpose of securing, protecting, inventorying, appraising, inspecting, repairing, preserving, storing, preparing, processing, taking possession of or removing the same; (iii) to sell, assign, lease or otherwise dispose of any Collateral or any part thereof for cash, on credit or otherwise, with or without representations or warranties and upon such terms as shall be commercially reasonable; (iv) to collect by legal proceedings or otherwise all dividends, distributions, interest, principal or other sums now or hereafter payable upon or on account of the Collateral; (v) to enter into any extension, reorganization, disposition or any other agreement relating to or affecting the Collateral; (vi) to settle, compromise or release, on terms acceptable to the Lender, any amounts owing on the Collateral and/or any disputes with respect thereto; (vii) to extend the time of payment, make allowances and adjustments and issue credits in connection with the Collateral; (viii) to enforce payment and prosecute any action or proceeding with respect to the Collateral and take or bring, in the name of the Lender or the Borrower, any and all steps, actions, suits or proceedings deemed necessary or desirable by the Lender to effect collection of or to

realize upon the Collateral in such order and manner as the Lender in its sole discretion shall determine; (ix) the right to receive, receipt for, endorse, assign, deposit and deliver, in the name of the Lender or the Borrower, any and all checks, notes, drafts and other instruments for the payment of money constituting proceeds of or otherwise relating to the Collateral; (x) to the extent permitted by applicable law, to operate the business of the Borrower, directly or through a receiver, by taking possession of the Collateral or any part thereof and collecting and receiving the rents, issues, profits, income and proceeds thereof, pending the exercise of any and all other rights and remedies available to the Lender; and (xi) to set-off and appropriate and apply against the Obligations any and all deposits (general or special, time or demand, provisional or final) at any time held or owing by the Lender to or for the credit or the account of the Borrower. The Borrower hereby irrevocably appoints the Lender as its attorney-in-fact, effective upon an Event of Default, with full authority in the place and stead of the Borrower to do all acts and things and to execute all documents necessary or advisable to perfect and continue perfected and to preserve, maintain and protect the Collateral.

(e) The proceeds resulting from the collection, sale or other disposition of the Collateral shall be applied, *first*, to the costs and expenses (including reasonable attorneys' fees) of retaking, holding, storing and preparing for sale, selling, collecting and liquidating the Collateral; and *second*, to the satisfaction of all Obligations. The Borrower shall be liable for any deficiency. The Borrower waives all claims against the Lenders arising out of the repossession, retention or sale of the Collateral, or any part or parts thereof.

(f) (i) In the event that (1) an Event of Default has occurred and is continuing pursuant to this Agreement, (2) the Lender has notified the Borrower in writing of the occurrence and continuance of such Event of Default, and (3) the Borrower has failed to cure such Event of Default within fifteen (15) days of the receipt of such notice from the Lender; then, the Lender shall provide written notice to DDS of such Event of Default and request reimbursement of any amounts then due and owing under this Agreement. The Lender shall not request reimbursement from DDS of any amounts that constitute Advance Funds or in excess of funds otherwise due and payable by DDS to the Borrower. Such notice shall be sent to the State of California, Department of Developmental Services, 1600 9th Street, Room 300, MS3-18, Sacramento, California 95814, Attention: Hiren Patel, Esq. Such notice shall specify the amount of defaulted Obligations owing by the Borrower and shall include wire instructions and the address of the Lender.

(ii) The Borrower hereby irrevocably directs the Lender to immediately apply to the Obligations the proceeds of any wire transfer, check or other payment received by the Lender from DDS as contemplated in this subsection (f). To the extent the Lender receives funds from DDS, (1) the Lender shall apply such funds received to the Borrower's Obligations and (2) the Borrower shall credit such payment against sums owed to it by DDS under the DDS Agreement.

## SECTION 8. CONDITIONS.

(a) *Conditions to Closing Date.* This Agreement shall become effective upon receipt by the Lender of the following, in each case in form and substance acceptable to the Lender;

- (i) this Agreement, duly executed by the Borrower;

(ii) with respect to the Borrower, (A) resolutions of its board of directors or similar governing body, authorizing this Agreement and (B) its signature and incumbency certificate; *provided*, that with respect to clauses (A) and (B), such items may be in the form of an “authorization to obtain credit, grant security, guarantee or subordinate,” in form and substance satisfactory to the Lender;

(iii) a certificate issued by an appropriate official of the Borrower’s jurisdiction of organization stating that the Borrower is in good standing in such jurisdiction;

(iv) a copy of the DDS Agreement, along with the most recent amendment to such Agreement extending such Agreement through the Availability Period;

(v) (A) a UCC search against the Borrower, showing no Liens against the Collateral and (B) such Uniform Commercial Code financing statements (appropriately completed) for filing in such jurisdictions as the Lender may reasonably request to evidence the Liens granted to Lender herein;

(vi) an executed Certificate of Beneficial Ownership and such other documentation and other information requested in connection with applicable “know your customer” and anti-money laundering rules and regulations, including the Patriot Act;

(vii) Chapman and Cutler LLP, as counsel to the Lender, shall have received payment of its reasonable legal fees and expenses incurred in connection with the preparation, review, negotiation, execution and delivery of the Related Documents; and

(viii) such other approvals, opinions and documents as it may reasonably request and all legal matters incident to the making of the Loan shall be satisfactory to the Lender.

(b) *Additional Conditions to Loans.* The agreement of the Lender to make each Loan from time to time requested to be made hereunder is subject to the satisfaction, immediately prior to or concurrently with the making of such Loan, of the following: (i) the following statements shall be true and the Borrower’s acceptance of the proceeds of such Loan shall be deemed to be a representation and warranty of the Borrower, on the date of such Loan, that: (A) the representations and warranties contained in this Agreement and each certificate or other writing delivered to the Lender in connection herewith are correct on and as of such date in all material respects as though made on and as of such date; (B) no Default or Event of Default has occurred and is continuing or would result from the making of the Loan to be made on such date; and (C) the aggregate amount of such Loan and all outstanding Loans does not exceed the lesser of (1) the aggregate amount of Reimbursable Amounts already approved by DDS or (2) amounts certified by DDS to the Borrower as payable to the Borrower under its DDS-approved Budget and, in either case as demonstrated the Lender in writing and (ii) the making of such Loan shall not contravene any law, rule or regulation applicable to the Lender or the Borrower.

SECTION 9. COVENANTS.

So long as any Obligation shall be outstanding or any Commitment shall remain, the Borrower shall:

(a) (i) preserve and maintain its corporate existence and good standing in California, and comply in all material respects with all applicable laws, rules, regulations and orders, (ii) take all reasonable action to maintain all Permits necessary for the normal conduct of its business, including, without limitation, the maintenance of its status as a provider of acute care services eligible for reimbursement under the Medicare and Medicaid programs, and such other similar federal and state reimbursement or repayment programs unless the failure to maintain any such Permit could not reasonably be expected to result in a Material Adverse Effect, (iii) maintain, preserve and protect all of its material properties and equipment necessary in the operation of its business in good working order and condition, ordinary wear and tear excepted; (iv) make all necessary repairs thereto and renewals and replacements thereof except where the failure to do so could not reasonably be expected to result in a Material Adverse Effect; and (v) use commercially reasonable efforts to operate and maintain the facilities owned, leased or operated by such Person now or in the future in a manner believed by such Person to be consistent with prevailing industry standards in the locations where the facilities exist from time to time, except to the extent failure to do so could not reasonably be expected to result in a Material Adverse Effect;

(b) (i) keep adequate records and books of account, in which full and correct entries shall be made in accordance with GAAP of all financial transactions of the Borrower, its assets and its business and (ii) permit any Person designated by the Lender (at the expense of the Borrower) to visit any of the offices of the Borrower to examine the books and financial records (except books and financial records the examination of which by the Lender is prohibited by Law, including, without limitation, any Health Care Law, or by attorney or client privilege), including minutes of meetings of any relevant governmental committees or agencies, and make copies thereof or extracts therefrom, and to discuss the affairs, finances and accounts of the Borrower with their principal officers, employees and independent public accountants, all at such reasonable times and as often as the Lender may reasonably request;

(c) furnish to the Lender: (i) as soon as available and in any event within two hundred seventy (270) days after its fiscal year end, a copy of its financial statements audited by an independent accounting firm satisfactory to the Lender, (ii) within three Business Days after receipt thereof, notice of (A) any default under the DDS Agreement or any rejection or reduction by DDS in any Reimbursable Amount previously notified to the Lender, any material adverse change in its business, (B) any Default or Event of Default, or notice thereof, hereunder, which shall be accompanied by a certificate signed by an authorized representative of the Borrower specifying in reasonable detail the nature and period of existence thereof and what action the Borrower has taken or proposes to take with respect thereto, (C) all actions, suits, disputes or proceedings pending or threatened against the Borrower before any arbitrator of any kind or before any court or any other

Governmental Authority which could reasonably be expected to result in a Material Adverse Effect, (D) any event, situation or circumstance which could reasonably be expected to result in a Material Adverse Effect, accompanied by a certificate signed by an authorized representative of the Borrower specifying in reasonable detail the nature and period of existence and what action the Borrower has taken or proposes to take with respect thereto and (E) the occurrence of any Health Care Reportable Event, (iii) promptly following a written request of the Lender, and in any event within three Business Days of the receipt of such request, a certificate of an authorized representative of the Borrower as to the existence or absence, as the case may be, of a Default or an Event of Default under this Agreement, (iv) for the first three cash advance claims under the DDS Agreement for its fiscal year, within three Business Days after delivery thereof, a copy of each written request to DDS for reimbursement and (v) promptly following a written request of the Lender, such other information regarding the business affairs, financial condition and/or operations of the Borrower as the Lender may from time to time reasonably request;

(d) maintain, with financially sound and reputable insurance companies or associations liability insurance and property insurance in at least such amounts and against such risks as are typically insured against in the same general area by companies engaged in the same or a similar business;

(e) (i) maintain its primary depository relationship with the Lender, and substantially all its deposit accounts with the Lender and (ii) not enter into any deposit account control agreement, securities account control agreement or any other agreement of similar force and effect with respect to the Primary Operating Account unless the Lender is a party thereto;

(f) upon receipt of any registered warrants issued by the State of California, either (i) apply the proceeds thereof to payment of the Obligations or (ii) promptly endorse the same in favor of the Lender as additional collateral, as the Lender may elect in its discretion;

(g) not create, incur, assume or suffer to exist any Indebtedness except for (i) Indebtedness created under this Agreement or otherwise owing to the Lender and (ii) capital leases in each case approved by the Lender in writing;

(h) not create, incur, assume or suffer to exist any Lien upon any of its property, assets or revenues except for: (i) Liens created under this Agreement or otherwise secured Indebtedness owing to the Lender, (ii) Liens securing capital leases approved by the Lender in writing and (iii) Liens in favor of DDS and existing pursuant to the terms of the DDS Agreement;

(i) not (i) enter into any merger, consolidation or amalgamation, or liquidate, wind up or dissolve itself (or suffer any liquidation or dissolution); (ii) sell, transfer, convey, exchange, lease or otherwise dispose of any of its properties, business or assets; (iii) make any advance, loan, extension of credit or other investment in any Person except short-term liquid investments acceptable to the Lender or (iv) engage in any material line

of business substantially different from those lines of business conducted by the Borrower on the date hereof or any business substantially related or incidental thereto;

(j) not change its legal name, or its place of incorporation, formation or organization (as applicable) from those specified in the preamble to this Agreement;

(k) (i) (A) comply with all Laws (including, without limitation, environmental laws, Health Care Laws, Anti-Corruption Laws and applicable Sanctions) applicable to it and its property, and (B) perform in all material respects its obligations under material agreements to which it is a party except, in each case, where non-compliance could not reasonably be expected to result in a Material Adverse Effect, such compliance to include, without limitation, paying all taxes, assessments and governmental charges imposed upon it or its property before the same become delinquent, unless and to the extent that the same are being contested in good faith and by appropriate proceedings and reserves are provided therefor that in the opinion of the Borrower are adequate;

(ii) (A) maintain in effect and enforce policies and procedures designed to ensure compliance by the Borrower, its Subsidiaries and their respective directors, officers, employees and agents with Anti-Corruption Laws and applicable Sanctions; and (B) not use or allow any tenants or subtenants to use, or permit any Subsidiary to use or allow any tenants or subtenants to use, its property for any business activity that violates any applicable federal or state law or that supports a business that violates any federal or state law;

(l) not enter into, nor will it permit any other affiliate to, enter into any transaction of any kind with any affiliate of the Borrower, whether or not in the ordinary course of business, other than on fair and reasonable terms substantially as favorable to the subject party as would be obtainable by the subject party at the time in a comparable arm's length transaction with a Person other than the Borrower or an affiliate;

(m) not modify, amend or consent to any modification, amendment or waiver in any material respect of any Related Document (other than amendments to the DDS Agreement to allocate funds made available from budget augmentations) or the Organizational Documents of the Borrower without the prior written consent of the Lender;

(n) (i) provide notice of any liability with respect to one or more Governmental Plans which could reasonably be expected to have a Material Adverse Effect;

(ii) not maintain, contribute to or be obligated to maintain or contribute to or have any liabilities with respect to any pension plan as defined in section 3(2) of ERISA which is subject to ERISA;

(o) not take or omit to take any action, which action or omission will in any way (i) result in the proceeds from the of the Loans being applied in a manner other than as provided herein, (ii) which would materially adversely affect the rights, interests, remedies or security of the Lender under this Agreement or any other Related Document

or which could reasonably be expected to result in a Material Adverse Effect, (iii) directly or indirectly, use the proceeds of the Loans, or lend, contribute or otherwise make available such proceeds to any subsidiary, joint venture partner or other Person (A) in furtherance of an offer, payment, promise to pay, or authorization of the payment or giving of money, or anything else of value, to any Person in violation of any Anti-Corruption Laws or (B)(1) to fund any activities or business of or with any Person, or in any country or territory, that, at the time of such funding, is the subject of Sanctions, or (2) in any other manner that would result in a violation of Sanctions by any Person (including any Person participating in the Loans, whether as Lender, underwriter, advisor, investor, or otherwise);

(p) perform and comply with each and every covenant and agreement required to be performed or observed by it in the Related Documents to which it is a party;

(q) to the extent permitted by the DDS Agreement, law and the State of California, ensure that the Lender has the sole lien on Reimbursed Funds in the Borrower's accounts and a junior lien (subject only to the paramount lien of DDS) on Advance Funds in the accounts; and

(r) provide, and shall cause each Subsidiary to provide, such information and take such actions as are reasonably requested by the Lender in order to assist the Lender in maintaining compliance with anti-money laundering laws and regulations.

#### SECTION 10. EVENTS OF DEFAULT.

The term "*Event of Default*" shall mean any of the following:

- (a) the use of the proceeds of the Loans in any manner not permitted hereunder;
- (b) the failure of the Borrower to make any payment required under this Agreement when due;
- (c) any breach, misrepresentation or other default by Borrower under any term or provision of this Agreement or any other agreement with the Lender or in any certificate or statement delivered hereunder or thereunder;
- (d) the Borrower shall (i) have entered involuntarily against it an order for relief under the United States Bankruptcy Code, as amended, (ii) become insolvent or shall not pay, or be unable to pay, or admit in writing its inability to pay, its debts generally as they become due, (iii) make an assignment for the benefit of creditors, (iv) apply for, seek, consent to, or acquiesce in, the appointment of a receiver, custodian, trustee, examiner, liquidator or similar official for it or any substantial part of its property, (v) institute any proceeding seeking to have entered against it an order for relief under the United States Bankruptcy Code, as amended, to adjudicate it insolvent, or seeking dissolution, winding up, liquidation, reorganization, arrangement, marshalling of assets, adjustment or composition of it or its debts under any law relating to bankruptcy, insolvency or reorganization or relief of debtors or fail to file an answer or other pleading denying the

material allegations of any such proceeding filed against it, (vi) take any corporate action in furtherance of any matter described in parts (i) through (v) above, or (vii) fail to contest in good faith any appointment or proceeding described in Section 10(e) of this Agreement;

(e) a custodian, receiver, trustee, examiner, liquidator or similar official shall be appointed for the Borrower or any substantial part of its property, or a proceeding described in Section 10(d)(v) hereof shall be instituted against the Borrower and such proceeding continues undischarged or any such proceeding continues undismissed or unstayed for a period of thirty (30) or more days;

(f) the failure of the Borrower to comply with any order, judgment, injunction, decree, writ or demand of any court or other public authority;

(g) the filing or recording against the Borrower, or the property of the Borrower, of any notice of levy, notice to withhold, or other legal process for taxes;

(h) the default by the Borrower on any Obligation hereunder; or the default in the observance or performance of any agreement or condition relating to any Indebtedness of the Borrower or contained in any instrument or agreement evidencing, securing or relating thereto, or any other default, event of default or similar event shall occur or condition exist, the effect of which default, event of default or similar event or condition is to cause, or permit (determined without regard to whether any notice is required), any such Indebtedness to become immediately due and payable in full as the result of the acceleration, mandatory redemption or mandatory tender of such Indebtedness;

(i) the issuance against the Borrower, or the property of the Borrower, of any writ of attachment, execution, or other judicial lien or any final, unappealable judgment or judgments, writ or writs or warrant or warrants of attachment, or any similar process or processes, which are not covered in full by insurance, with written acknowledgement of such coverage having been provided by the provider of such insurance coverage to the Lender, in an aggregate amount not less than \$100,000 shall be entered or filed against the Borrower or against any of its property and remain unpaid, unvacated, unbonded or unstayed for a period of thirty (30) days;

(j) the sale or transfer of greater than ten percent (10%) of the assets of the Borrower or a change of ownership or membership interest of the Borrower;

(k) any of Fitch Ratings, Inc., Moody's Investors Service and Standard & Poor's Ratings Services shall have downgraded its unenhanced credit rating assigned to the State of California below "BBB-" (or its equivalent), "Baa3" (or its equivalent), or "BBB-" (or its equivalent) respectively, or suspended or withdrawn its rating of the same;

(l) the DDS Agreement shall be terminated by either party, or any material amendment or modification thereto shall be made that could have a material adverse effect on the Borrower's ability to pay the Obligations;

(m) any Related Document or any material provision thereof, at any time after its execution and delivery and for any reason other than as expressly permitted hereunder or thereunder or satisfaction in full of the Lender, all the Obligations, ceases to be in full force and effect, or the Borrower or any Governmental Authority contests in any manner the validity or enforceability of any Related Document or any provision thereof, or the Borrower denies that it has any or further liability or obligation under any Related Document, or purports to revoke, terminate, or rescind any Related Document or any provision thereof;

(n) the Borrower shall default in the due performance or observance of any of the covenants set forth in Section 9 hereof; or the Borrower shall default in the due performance or observance of any other term, covenant or agreement contained in this Agreement (other than with respect to any Event of Default specified in this Section 10) or any other Related Document and such default shall remain unremedied for a period of thirty (30) days after the occurrence thereof; or

(o) (i) the amount (if any) by which the aggregate present value of accrued benefit liabilities under all funded Governmental Plans exceeds the aggregate current value of assets of such Governmental Plans by an amount which would reasonably be expected to result in a Material Adverse Effect; or (ii) the Borrower becomes subject to any liability with respect to one or more Governmental Plans that would reasonably be expected to result in a Material Adverse Effect; or (iii) the Borrower fails to administer or maintain a Governmental Plan in compliance with the requirements of any applicable laws, statutes, rules, regulations or court orders and such failure would reasonably be expected to result in a Material Adverse Effect.

Upon the occurrence of any Event of Default, the Lender, in its discretion, may cease making Loans hereunder and may declare the Loans and all other Obligations under this Agreement immediately due and payable; however, upon the occurrence of any Event of Default described in subsections (d), (e), (f) or (g) above, all principal, interest, fees, expenses, charges and other Obligations owing under this Agreement shall automatically become immediately due and payable. Upon the occurrence of an Event of Default, the Lender may, at its option, compute the interest rate applicable to Borrower's obligations hereunder at a per annum rate equal to five percent (5%) in excess of the applicable interest rate specified in Section 3(a) above, calculated from the date of the occurrence of such Event of Default until all amounts due and payable hereunder are paid in full. Upon the occurrence of an Event of Default, the Lender may exercise, or cause to be exercised, any and all remedies as it may have under the Related Documents (other than as provided for in this paragraph) and as otherwise available at law and at equity. Upon any failure by the Borrower to make required payments of principal, interest or other amounts due and owing with respect to any Loan, no provision in the DDS Agreement is intended to limit or prohibit the Lender from setting off against or otherwise applying funds on deposit in the accounts of the Borrower at the Lender to satisfy the Obligations of the Borrower.

SECTION 11. DEFINITIONS.

Unless the context otherwise requires, terms defined in the UCC and not otherwise defined in this Agreement shall have the meanings defined for those terms therein. In addition, capitalized terms not otherwise defined herein shall have the following respective meanings:

“*Advance Funds*” means any fund paid in advance by DDS to the Borrower pursuant to Section III(3) of the DDS Agreement for services not yet rendered by the Borrower, including DDS’s reimbursements to the Borrower for its expenditures that are then applied to replenish Advance Funds. The Borrower is indebted to DDS for the amount of Advance Funds received by the Borrower for any fiscal year, until such amount is either repaid by the Borrower or DDS or reduced through offset by DDS against reimbursement claims submitted by the Borrower to DDS.

“*Anti-Corruption Laws*” means the Foreign Corrupt Practices Act of 1977, as amended, and the rules and regulations thereunder, and any other anti-corruption law applicable to the Borrower and its Subsidiaries.

“*Availability Period*” has the meaning set forth in the recitals hereof.

“*Beneficial Owner*” means a single individual with significant responsibility to control, manage or direct the Borrower.

“*Borrowing Date*” means the date on which the proceeds of a Loan will be available in the Borrower’s Primary Operating Account.

“*Budgets*” means the Borrower’s purchase of services (POS) and operations (OPS) budgets, each as set forth in Exhibit A to the DDS Agreement, as each budget may be amended from time to time in accordance with the DDS Agreement.

“*Business Day*” a day other than a Saturday, Sunday, a day on which the New York Stock Exchange or the Federal Reserve Bank is closed or other day on which commercial banks in the State of California are authorized or required by law to close.

“*Certificate of Beneficial Ownership*” means a certificate in form and substance acceptable to the Lender (as amended or modified by the Lender from time to time in its sole discretion), certifying, among other things, the Beneficial Owner of the Borrower.

“*Change in Law*” means the occurrence, after the Closing Date, of any of the following: (a) the adoption or taking effect of any Law, including, without limitation, Risk-Based Capital Guidelines, (b) any change in any Law or in the administration, interpretation, implementation or application thereof by any Governmental Authority or (c) the making or issuance of any request, rule, ruling, guideline, regulation or directive (whether or not having the force of law) by any Governmental Authority; *provided* that notwithstanding anything herein to the contrary, (i) the Dodd-Frank Wall Street Reform and Consumer Protection Act and all requests, rules, ruling, guidelines, regulations or

directives thereunder or issued in connection therewith and (ii) all requests, rules, rulings, guidelines, regulations or directives promulgated by the Bank for International Settlements, the Basel Committee on Banking Supervision (or any successor or similar authority) or the United States of America or foreign regulatory authorities shall in each case be deemed to be a “Change in Law,” regardless of the date enacted, adopted or issued.

“*Code*” means the Internal Revenue Code of 1986, as amended, and, where appropriate any statutory predecessor or any successor thereto.

“*Confidential Information*” means any sensitive or confidential information regarding the Borrower, the Lender or any affiliate of the Lender including, without limitation, address and account information, e-mail addresses, telephone numbers, facsimile numbers, names and signatures of officers, employees and signatories.

“*Daily Simple SOFR*” means, for any day, an interest rate per annum equal to the greater of (i) zero percent and (ii) SOFR for the day that is five SOFR Business Days prior to (A) if such day is a SOFR Business Day, such day, or (B) if such day is not a SOFR Business Day, the SOFR Business Day immediately preceding such day, reset as and when Daily Simple SOFR changes; *provided* that if SOFR is not published on such SOFR Business Day due to a holiday or other circumstance that the Lender deems in its sole discretion to be temporary, the applicable SOFR rate shall be the SOFR rate last published prior to such SOFR Business Day. Any change in Daily Simple SOFR due to a change in SOFR shall be effective from and including the effective date of such change in SOFR without notice to Borrower.

“*DDS*” the Department of Developmental Services, an agency of the State of California, and any successor agency having the same function.

“*DDS Agreement*” that certain written agreement between the DDS and the Borrower, pursuant to which the Borrower provides services to persons with disabilities as contemplated by the Lanterman Developmental Disabilities Services Act, as such agreement may be amended, extended, supplemented or replaced from time to time.

“*Default*” any of the events specified in Section 10, whether or not any requirement for the giving of notice, the lapse of time, or both, or any other condition, has been satisfied.

“*ERISA*” means the Employee Retirement Income Security Act of 1974, as amended from time to time.

“*ERISA Affiliate*” means any trade or business (whether or not incorporated) under common control with the Borrower within the meaning of Section 414(b) or (c) of the Code (and Sections 414(m) and (o) of the Code for purposes of provisions relating to Section 412 of the Code).

“*Excluded Taxes*” means, with respect to the Lender, Taxes imposed on or measured by its overall net income (however denominated), franchise Taxes and branch

profit Taxes imposed on it (in lieu of net income taxes), by the jurisdiction (or any political subdivision thereof) under the Laws of which it is incorporated or is organized or in which its principal executive office is located.

“*Generally Accepted Accounting Principles*” or “*GAAP*” means generally accepted accounting principles in effect from time to time in the United States and applicable to entities such as the Borrower.

“*Governmental Authority*” means the government of the United States of America or any other nation or any political subdivision thereof or any governmental or quasi-governmental entity, including any court, department, commission, board, bureau, agency, administration, central bank, service, district or other instrumentality of any governmental entity or other entity exercising executive, legislative, judicial, taxing, regulatory, fiscal, monetary or administrative powers or functions of or pertaining to government (including any supra-national bodies such as the European Union or European Central Bank), or any arbitrator, mediator or other Person with authority to bind a party at law.

“*Governmental Plan*” means any plan, fund or similar program that is established or maintained by a or for a governmental entity which plan, fund or similar program provides, or results in, retirement income, a deferral of income in contemplation of retirement of payments to be made upon termination of employment for which the Borrower could be liable and which is not subject to ERISA.

“*Health Care Laws*” means all relevant federal and state Laws regulating health services or payment, including, but not limited to, Section 1128B(b) and Section 1877 of the Social Security Act, the federal Anti-Kickback Statute (42 U.S.C. § 1320a-7b(b)), the Stark Law (42 U.S.C. § 1395nn), the Anti-Inducement Law (42 U.S.C. § 1320a-7a(a)(5)), the civil False Claims Act (31 U.S.C. § 3729 *et seq.*), the administrative False Claims Law (42 U.S.C. § 1320a-7b(a)), the exclusion laws (42 U.S.C. § 1320a-7), the civil monetary penalty laws (42 U.S.C. § 1320a-7a), the administrative simplification provisions of the Health Insurance Portability and Accountability Act of 1996 (42 U.S.C. §§ 1320d-1320d-8), Medicare, Medicaid, and any other state or federal law, regulation, guidance document, manual provision, program memorandum, opinion letter, or other issuance which regulates kickbacks, patient or program charges, recordkeeping, referrals, the hiring of employees or acquisition of services or supplies from those who have been excluded from government health care programs, quality, safety, privacy, security, licensure, accreditation, or any other aspect of providing health care.

“*Health Care Reportable Event*” means (a) the Borrower becomes subject to any civil or criminal investigations, or any material inquiries, validation reviews, program integrity reviews, reimbursement audits or statements of deficiencies, involving and/or related to its compliance with Health Care Laws; (b) any material exclusion, voluntary disclosure, notice of claim to recover material overpayments, revocation, suspension, termination, probation, restriction, limitation, denial, or non-renewal affecting the Borrower with respect to any material Program; or (c) the occurrence of any reportable

event under any settlement agreement or corporate integrity agreement involving and/or related to its compliance with Health Care Laws entered into with any Governmental Authority.

*“Indebtedness”* as to any Person, means (i) all indebtedness of such Person for borrowed money or for the deferred purchase price of property or services, (ii) all obligations of such Person evidenced by notes, bonds, debentures, loan agreements or other similar instruments, (iii) all indebtedness created or arising under any conditional-sale or other title-retention agreement with respect to property acquired by such Person, (iv) all capitalized leases obligations of such Person, (v) all obligations of such Person under a Swap Contract, (vi) all obligations, contingent or otherwise, of such Person under acceptance, under letters of credit (including standby and commercial), bankers’ acceptances, bank guaranties, surety bonds and similar instruments, (vii) all guarantee obligations of such Person and all other obligations (contingent or otherwise) to purchase or otherwise acquire, or otherwise to secure a credit against loss in respect of, indebtedness or obligations of others of the kinds referred to above and (viii) all indebtedness of others secured by a lien on any asset of such Person, whether or not such indebtedness is assumed by such Person.

*“Indemnified Taxes”* means Taxes imposed on or with respect to any payment made by or on account of any obligation of the Borrower under any Related Document, other than Excluded Taxes and Other Taxes.

*“Law”* means, collectively, any treaty or any international, foreign, federal, regional, state and local law, statute, rule, guideline, ordinance, regulation, code, license, authorization, decision, injunction, interpretation or administration, order or decree or precedent of any court, or other Governmental Authority and all applicable administrative orders, directed duties, requests, licenses, authorizations and permits of, and agreements with, any Governmental Authority, in each case whether or not having the force of law.

*“Lien”* means any mortgage, pledge, hypothecation, assignment, deposit arrangement, encumbrance, lien (statutory or other), charge, security agreement, or preference, priority or other security interest or preferential arrangement in the nature of a security interest of any kind or nature whatsoever (including any conditional sale or other title retention agreement, any easement, right of way or other encumbrance on title to real property, and any financing lease having substantially the same economic effect as any of the foregoing).

*“Margin Stock”* has the meaning ascribed to such term in Regulation U promulgated by the Board of Governors of the Federal Reserve System of the United States, as now and hereafter from time to time in effect.

*“Material Adverse Effect”* means: (a) a material adverse change in, or a material adverse effect upon, the operations, business, properties, liabilities (actual or contingent), condition (financial or otherwise) or prospects of the Borrower; (b) a material impairment of the ability of the Borrower to perform its obligations under any Related Document to

which it is a party; or (c) a material adverse effect upon the legality, validity, binding effect or enforceability against the Borrower of any Related Document to which it is a party or the rights, security, interests or remedies of the Lender hereunder or under any other Related Document.

“*Maturity Date*” means the last day of the Availability Period.

“*Medicaid*” means, collectively, the health care assistance program established by Title XIX of the Social Security Act (42 U.S.C. §§ 1396 *et seq.*) and any statutes succeeding thereto, and all Laws, rules, regulations, manuals, orders, guidelines or requirements pertaining to such program including (a) all federal statutes (whether set forth in Title XIX of the Social Security Act or elsewhere) affecting such program; (b) all state statutes and plans for medical assistance enacted in connection with such program and federal rules and regulations promulgated in connection with such program; and (c) all applicable provisions of all rules, regulations, manuals, orders and administrative and reimbursement guidelines and requirements of all government authorities promulgated in connection with such program (whether or not having the force of law), in each case, as the same may be amended, supplemented or otherwise modified from time to time.

“*Medicare*” means, collectively, the health insurance program for the aged and disabled established by Title XVIII of the Social Security Act (42 U.S.C. §§ 1395 *et seq.*) and any statutes succeeding thereto, and all Laws, rules, regulations, manuals, orders or guidelines pertaining to such program including (a) all federal statutes (whether set forth in Title XVIII of the Social Security Act or elsewhere) affecting such program; and (b) all applicable provisions of all rules, regulations, manuals, orders and administrative and reimbursement guidelines and requirements of all governmental authorities promulgated in connected with such program (whether or not having the force of law), in each case, as the same may be amended, supplemented or otherwise modified from time to time.

“*Obligations*” the unpaid principal of and interest on (including, without limitation, interest accruing after the maturity of the Loans and interest accruing on or after the filing of any petition in bankruptcy, or the commencement of any insolvency, reorganization or like proceeding relating to the Borrower, whether or not a claim for post-filing or post-petition interest is allowed in such proceeding and whether or not at a default rate) the Loans, and all other obligations and liabilities of the Borrower to the Lender, whether direct or indirect, absolute or contingent, due or to become due, or now existing or hereafter incurred, which may arise under, out of, or in connection with, this Agreement and any other document made, delivered or given in connection herewith or therewith, whether on account of principal, interest, reimbursement obligations, fees, indemnities, costs, expenses or otherwise.

“*OFAC*” means the U.S. Department of the Treasury’s Office of Foreign Assets Control, and any successor thereto.

“*Organizational Documents*” means, (a) with respect to any corporation, the certificate or articles of incorporation and the bylaws (or equivalent or comparable

constitutive documents with respect to any non-U.S. jurisdiction); (b) with respect to any limited liability company, the certificate or articles of formation or organization and operating agreement; and (c) with respect to any partnership, joint venture, trust or other form of business entity, the partnership, joint venture or other applicable agreement of formation or organization and any agreement, instrument, filing or notice with respect thereto filed in connection with its formation or organization with the applicable Governmental Authority in the jurisdiction of its formation or organization and, if applicable, any certificate or articles of formation or organization of such entity.

“*Other Taxes*” means all present or future stamp, court or documentary, intangible, recording, filing or similar Taxes that arise from any payment made under, from the execution, delivery, performance, enforcement or registration of, from the receipt or perfection of a security interest under, or otherwise with respect to, any Related Document.

“*Patriot Act*” means the USA PATRIOT Act (Title III of Pub. L. 107-56 (signed into law October 26, 2001)), as amended from time to time, and any successor statute.

“*Permit*” means any permit, approval, authorization, certification, license, variance, accreditation or permission required from a Governmental Authority under an applicable Law or any accrediting organization.

“*Person*” any individual, firm, partnership, joint venture, corporation, limited liability company, association, business enterprise trust, unincorporated organization, government or department or agency thereof or other entity, whether acting in an individual, fiduciary or other capacity.

“*Primary Operating Account*” means the account of the Borrower held at U.S. Bank National Association, DDA Number 158300223118, CIF Number 202340127, Fed ABA Number 122235821, DDA Account Name: Regional Center of Orange County, Inc.

“*Reference Rate*” the rate of interest per annum publicly announced from time to time by the Lender as its “*reference rate*” or “*prime rate*” in effect at its office in New York, New York. Such rate is a rate set by the Lender based upon various factors including the Lender’s costs and desired return, general economic conditions and other factors, and is used as a reference point for pricing some loans, which may be priced at, above, or below such announced rate. The Reference Rate hereunder shall automatically change as and when the “*reference rate*” announced by the Lender shall change. Notwithstanding anything set forth herein to the contrary, in the event that the Reference Rate is less than zero, it shall be deemed to be zero for purposes of this Agreement.

“*Reimbursable Amount*” means, on any date, the aggregate amount owing and unpaid by the DDS to the Borrower under the DDS Agreement, which amounts (i) represent compensation for services that have been rendered by the Borrower (and not advance payments by the DDS) in accordance with the terms of the DDS Agreement and (ii) are the subject of written requests for reimbursement sent by the Borrower to the DDS.

“*Reimbursed Funds*” means any funds received by the Borrower from DDS that are not Advance Funds.

“*Related Documents*” means this Agreement, the DDS Agreement, and any other documents related to any of the foregoing or executed in connection therewith, and any and all future renewals and extensions or restatements of, or amendments or supplements to, any of the foregoing permitted hereunder and thereunder.

“*Risk-Based Capital Guidelines*” means (a) the risk-based capital guidelines in effect in the United States of America, including transition rules, and (b) the corresponding capital regulations promulgated by regulatory authorities outside the United States of America including transition rules, and any amendment to such regulations.

“*Sanctions*” means sanctions administered or enforced from time to time by the U.S. government, including those administered by OFAC, the U.S. Department of State, the United Nations Security Council, the European Union, His Majesty’s Treasury or other relevant sanctions authority.

“*SOFR*” means, with respect to any SOFR Business Day, a rate per annum equal to the secured overnight financing rate for such SOFR Business Day published by the SOFR Administrator on the SOFR Administrator’s Website.

“*SOFR Administrator*” means the Federal Reserve Bank of New York (or a successor administrator of the secured overnight financing rate).

“*SOFR Administrator’s Website*” means the website of the Federal Reserve Bank of New York, currently at <http://www.newyorkfed.org>, or any successor source for the secured overnight financing rate identified as such by the SOFR Administrator from time to time.

“*SOFR Business Day*” means any day (other than a Saturday or Sunday) on which banks generally are open in New York City, New York for the conduct of substantially all of their commercial lending activities and interbank wire transfers can be made on the Fedwire system except a day on which the Securities Industry and Financial Markets Association (SIFMA) recommends that the fixed income departments of its members be closed for the entire day for purposes of trading in United States government securities.

“*Subsidiary*” of a Person means (i) any corporation more than 50% of the outstanding securities having ordinary voting power of which shall at the time be owned or controlled, directly or indirectly, by such Person or by one or more of its Subsidiaries or by such Person and one or more of its Subsidiaries, or (ii) any partnership, limited liability company, association, joint venture or similar business organization more than 50% of the ownership interests having ordinary voting power of which shall at the time be so owned or controlled.

“*Swap Contract*” means (a) any and all rate swap transactions, basis swaps, credit derivative transactions, forward rate transactions, commodity swaps, commodity options, forward commodity contracts, equity or equity index swaps or options, bond or bond price or bond index swaps or options or forward bond or forward bond price or forward bond index transactions, interest rate options, forward foreign exchange transactions, cap transactions, floor transactions, collar transactions, currency swap transactions, cross-currency rate swap transactions, currency options, spot contracts, or any other similar transactions or any combination of any of the foregoing (including any options to enter into any of the foregoing), whether or not any such transaction is governed by or subject to any master agreement, and (b) any and all transactions of any kind, and the related confirmations, which are subject to the terms and conditions of, or governed by, any form of master agreement published by the International Swaps and Derivatives Association, Inc., any International Foreign Exchange Master Agreement, or any other master agreement (any such master agreement, together with any related schedules, a “*Master Agreement*”), including any such obligations or liabilities under any Master Agreement.

“*Taxes*” means all present or future taxes, levies, imposts, duties, deductions, withholdings (including backup withholding), assessments, fees or other charges imposed by any Governmental Authority, including any interest, fines, additions to tax or penalties applicable thereto.

“*UCC*” the Uniform Commercial Code of the State of California.

SECTION 12. MISCELLANEOUS.

(a) No amendment or waiver of any provision of this Agreement, or consent to any departure by the Borrower herefrom, shall in any event be effective unless the same shall be in writing and signed by the Lender, and then such waiver or consent shall be effective only in the specific instance and for the specific purpose for which given. In the case of any such waiver or consent relating to any provision hereof, any Default or Event of Default so waived or consented to shall be deemed to be cured and not continuing, but no such waiver or consent shall extend to any other or subsequent Default or Event of Default or impair any right consequent thereto.

(b) All notices and other communications to be given with respect hereto shall be in writing and mailed or delivered by reputable courier or by fax or by e mail or by other electronic means of communication capable of creating a written record of such notice and its receipt. To the extent that any electronic means of communication notice is permitted hereunder, the parties hereto shall provide appropriate e mail addresses or facsimile numbers. All such notices and communications shall be sent to the respective addresses for the parties set forth below, or to such other address as a party may specify by notice given in accordance with the provisions hereof:

If to the Borrower:

Regional Center of Orange County, Inc.  
1525 North Tustin Avenue  
Santa Ana, California 92705  
PO Box 22010  
Santa Ana, California 92702-2010

If to the Lender for Advances:

U.S. Bank National Association  
100 N Side Square  
Shelbyville, TN 37160  
Attention: Christy Chambless  
Email: christy.chambless@usbank.com and  
GBDCreditSupport@usbank.com

Attention: Nicole Treider  
Email: nicole.treider@usbank.com

Attention: Brian D. Richter  
Telephone: (414) 588-7722  
Email: brian.richter@usbank.com

If to the Lender for all other matters:

U.S. Bank National Association  
Attention: Nicole Treider  
Email: nicole.treider@usbank.com

U.S. Bank National Association  
U.S. Bank Center Milwaukee  
777 E Wisconsin Avenue  
Milwaukee, WI 53202  
MK-WI-T5IC  
Attention: Brian D. Richter  
Telephone: (414) 588-7722  
Email: brian.richter@usbank.com

(c) No failure on the part of the Lender to exercise, and no delay in exercising, any right hereunder shall operate as a waiver thereof, nor shall any single or partial exercise of any right hereunder preclude any other or further exercise thereof or the exercise of any other right, remedy, power or privilege. The rights, remedies, powers and privileges herein provided, and provided under each other Related Document, are cumulative and not exclusive of any rights, remedies, powers and privileges provided by law.

(d) The Borrower agrees to pay on demand all costs and expenses incurred by the Lender (including all attorneys' fees, including the allocated costs of in-house counsel to the Lender) in connection with the enforcement of this Agreement and any instrument or document executed in

connection herewith, including losses, costs and expenses sustained as a result of a default by the Borrower in the performance of its obligations contained herein or in any related document. The Borrower agrees to pay, and indemnify and hold harmless the Lender and its officers, directors and agents (each, an “*Indemnitee*”) from and against any and all liabilities, obligations, losses, damages, penalties, actions, judgments, suits and costs, expenses or disbursements of any kind or nature whatsoever with respect to the execution, delivery and enforcement of this Agreement and any document or instrument executed in connection herewith or the use of the proceeds of the Loans (all the foregoing, collectively, the “*indemnified liabilities*”), other than indemnified liabilities arising from the gross negligence or willful misconduct of the Lender, as determined in a final, non-appealable judgment by a court of competent jurisdiction. To the fullest extent permitted by applicable Law, the Borrower shall not assert, and hereby waives, and acknowledges that no other Person shall have, any claim against any Indemnitee, on any theory of liability, for special, indirect, consequential or punitive damages (as opposed to direct or actual damages) arising out of, in connection with, or as a result of, this Agreement, any other Related Document or any agreement or instrument contemplated hereby, the transactions contemplated hereby or thereby, the making of the Loans or the use of the proceeds thereof. No Indemnitee shall be liable for any damages arising from the use by unintended recipients of any information or other materials distributed to such unintended recipients by such Indemnitee through telecommunications, electronic or other information transmission systems in connection with this Agreement or the other Related Documents or the transactions contemplated hereby or thereby. The obligations of the Borrower under this paragraph shall survive the payment in full of the Loans.

(e) (i) All covenants, agreements, representations and warranties made herein and in the certificates delivered pursuant hereto shall survive the making of any Loan hereunder and shall continue in full force and effect until all of the Obligations hereunder shall have been paid in full. This Agreement shall be binding upon and inure to the benefit of the Borrower and the Lender and their respective successors and assigns; provided that the Borrower may not assign any interest in this Agreement without the prior written consent of the Lender. The Lender may assign to any Person all or any part of, or any interest in, the Lender’s rights and benefits under this Agreement and any document or instrument executed in connection herewith and to the extent of such assignment such assignee shall have the same rights and benefits against the Borrower as it would have had if it were the Lender hereunder. The Lender may at any time, without the consent of, or notice to, the Borrower, sell participations to any Person (other than a natural person) in all or a portion of the Lender’s rights and/or obligations under this Agreement (including all or a portion of the Loans owing to it) and such participants shall be entitled to the benefits of this Agreement to the same extent as if they were a direct party hereto. Whenever in this Agreement any of the parties hereto is referred to, such reference shall, be deemed to include the successors and assigns of such party.

(ii) The Lender may at any time pledge or grant a security interest in all or any portion of its rights under the Loans, this Agreement and the Related Documents to secure obligations of the Lender, including any pledge or assignment to secure obligations to a Federal Reserve Bank; *provided* that no such pledge or assignment shall release the Lender from any of its obligations hereunder or substitute any such pledgee or assignee for the Lender as a party hereto.

(f) This Agreement shall be governed by, and construed in accordance with, the laws of the State of California (without reference to its choice of law rules).

(g) ALL CLAIMS, CAUSES OF ACTION OR OTHER DISPUTES CONCERNING THIS AGREEMENT (EACH A “CLAIM”), INCLUDING ANY AND ALL QUESTIONS OF LAW OR FACT RELATING THERETO, SHALL, AT THE WRITTEN REQUEST OF ANY PARTY TO THIS AGREEMENT, BE DETERMINED BY JUDICIAL REFERENCE PURSUANT TO CALIFORNIA CODE OF CIVIL PROCEDURE SECTION 638 (“REFERENCE”). THE PARTIES SHALL SELECT A SINGLE NEUTRAL REFEREE, WHO SHALL BE A RETIRED STATE OR FEDERAL JUDGE. IN THE EVENT THAT THE PARTIES CANNOT AGREE UPON A REFEREE, THE REFEREE SHALL BE APPOINTED BY THE COURT. THE REFEREE SHALL REPORT A STATEMENT OF DECISION TO THE COURT. NOTHING IN THIS PARAGRAPH SHALL LIMIT THE RIGHT OF ANY PARTY AT ANY TIME TO EXERCISE SELF-HELP REMEDIES, FORECLOSE AGAINST COLLATERAL OR OBTAIN PROVISIONAL REMEDIES. THE PARTIES SHALL BEAR THE FEES AND EXPENSES OF THE REFEREE EQUALLY UNLESS THE REFEREE ORDERS OTHERWISE. THE REFEREE SHALL ALSO DETERMINE ALL ISSUES RELATING TO THE APPLICABILITY, INTERPRETATION, AND ENFORCEABILITY OF THIS PARAGRAPH. THE PARTIES ACKNOWLEDGE THAT THE CLAIMS WILL NOT BE ADJUDICATED BY A JURY. IF ANY ACTION OR PROCEEDING IS FILED IN A COURT OF THE STATE OF CALIFORNIA BY OR AGAINST ANY PARTY HERETO IN CONNECTION WITH ANY OF THE TRANSACTIONS CONTEMPLATED BY THIS AGREEMENT OR ANY OTHER RELATED DOCUMENT, (A) THE COURT SHALL, AND IS HEREBY DIRECTED TO, MAKE A GENERAL REFERENCE PURSUANT TO CALIFORNIA CODE OF CIVIL PROCEDURE SECTION 638 TO A REFEREE (WHO SHALL BE A SINGLE ACTIVE OR RETIRED JUDGE) TO HEAR AND DETERMINE ALL OF THE ISSUES IN SUCH ACTION OR PROCEEDING (WHETHER OF FACT OR OF LAW) AND TO REPORT A STATEMENT OF DECISION, *PROVIDED* THAT AT THE OPTION OF ANY PARTY TO SUCH PROCEEDING, ANY SUCH ISSUES PERTAINING TO A “PROVISIONAL REMEDY” AS DEFINED IN CALIFORNIA CODE OF CIVIL PROCEDURE SECTION 1281.8 SHALL BE HEARD AND DETERMINED BY THE COURT, AND (B) WITHOUT LIMITING THE GENERALITY OF SECTION 12(D) HEREOF, THE BORROWER SHALL BE SOLELY RESPONSIBLE TO PAY ALL FEES AND EXPENSES OF ANY REFEREE APPOINTED IN SUCH ACTION OR PROCEEDING.

Subject to the foregoing, each party hereto hereby irrevocably and unconditionally:

(i) submits for itself and its property in any legal action or proceeding relating to this Agreement, or for recognition and enforcement of any judgment in respect thereof, to the non-exclusive general jurisdiction of the courts of the State of California, the courts of the United States of America for the Central District of California, and appellate courts from any thereof;

(ii) consents that any such action or proceeding may be brought in such courts and waives any objection that it may now or hereafter have to the venue of any such action or proceeding in any such court or that such action or proceeding was brought in an inconvenient forum and agrees not to plead or claim the same; and

(iii) agrees that service of process in any such action or proceeding may be effected by mailing a copy thereof by registered or certified mail, postage prepaid, to any party at its address set forth in Section 12(b) (with, in the case of the Lender, a copy of

such service of process to the following address: Office of the General Counsel, 400 California Street, San Francisco, California 94104).

(h) This Agreement sets forth the entire agreement between the Borrower and the Lender relating to the subject matter hereof. This Agreement may be executed by one or more of the parties hereto in any number of separate counterparts, and all of said counterparts taken together shall be deemed to constitute one and the same instrument. Delivery of an executed counterpart of a signature page to this Agreement electronically shall be effective as delivery of a manually executed counterpart of this Agreement.

(i) (i) The Lender hereby notifies the Borrower that pursuant to the requirements of the Patriot Act it is required to obtain, verify and record information that identifies the Borrower and its affiliates, which information includes the name and address of the Borrower and its affiliates and other information that will allow the Lender to identify the Borrower and its affiliates in accordance with the Patriot Act. The Borrower hereby agree that it shall promptly provide such information upon request by the Lender.

(ii) The Borrower shall (a) ensure that no person who owns a controlling interest in or otherwise controls the Borrower or its affiliates is or shall be listed on the Specially Designated Nationals and Blocked Person List or other similar lists maintained by OFAC, the Department of the Treasury or included in any Executive Orders, that prohibits or limits the Lender from making any advance or extension of credit to the Borrower or its affiliates or from otherwise conducting business with the Borrower or its affiliates, and (b) ensure that the Loan proceeds shall not be used to violate any of the foreign asset control regulations of OFAC or any enabling statute or Executive Order relating thereto. Further, the Borrower shall comply, and cause each of its affiliates and any of their respective subsidiaries to comply, with all applicable Bank Secrecy Act (“BSA”) laws and regulations, as amended. The Borrower agrees to provide documentary and other evidence of the Borrower’s and the Borrower’s affiliates’ identities as may be requested by the Lender at any time to enable the Lender to verify the Borrower’s and the Borrower’s affiliates identity or to comply with any applicable law or regulation.

(j) (i) Upon the occurrence of an Event of Default, the Lender may, at any time and from time to time, without notice to the Borrower or any other person (any such notice being expressly waived), set off and appropriate and apply against and on account of any Obligations under this Agreement, without regard to whether or not the Lender shall have made any demand therefor, and although such Obligations may be contingent or unmatured, any and all deposits (general or special, including but not limited to deposits made pursuant to this Agreement and debt evidenced by certificates of deposit, whether matured or unmatured, but not including trust accounts, such as restricted donor accounts) and any other debt at any time held or owing by the Lender to or for the credit or the account of any or all of the Borrower.

(ii) The Lender agrees promptly to notify the Borrower after any such set-off and application referred to in subsection (i) above, *provided* that the failure to give such notice shall not affect the validity of such set-off and application. Subject to the provisions of subsection (i) above, the rights of the Lender under this Section 12(j) are in addition to other rights and remedies (including, without limitation, other rights of set-off) which the Lender may have.

(k) If any provision of this Agreement or the other Related Documents is held to be illegal, invalid or unenforceable, (a) the legality, validity and enforceability of the remaining provisions of this Agreement and the other Related Documents shall not be affected or impaired thereby and (b) the parties shall endeavor in good faith negotiations to replace the illegal, invalid or unenforceable provisions with valid provisions the economic effect of which comes as close as possible to that of the illegal, invalid or unenforceable provisions. The invalidity of a provision in a particular jurisdiction shall not invalidate or render unenforceable such provision in any other jurisdiction.

(l) From time to time upon the request of either party hereto, the other shall promptly and duly execute, acknowledge and deliver any and all such further instruments and documents as the requesting party may in its reasonable discretion deem necessary or desirable to confirm this Agreement, and the other Related Documents, to carry out the purpose and intent hereof and thereof or to enable the requesting party to enforce any of its rights hereunder or thereunder. At any time, and from time to time, upon request by the Lender, the Borrower will, at the Borrower's expense, (a) correct any defect, error or omission which may be discovered in the form or content of any of the Related Documents, and (b) make, execute, deliver and record, or cause to be made, executed, delivered and recorded, any and all further instruments, certificates, and other documents as may, in the opinion of the Lender, be necessary or desirable in order to complete, perfect or continue and preserve the Lien hereof. Upon any failure by the Borrower to do so, the Lender may make, execute and record any and all such instruments, certificates and other documents for and in the name of the Borrower, all at the sole expense of the Borrower, and the Borrower hereby appoints the Lender the agent and attorney-in-fact of the Borrower to do so, this appointment being coupled with an interest and being irrevocable. Without limitation of the foregoing, the Borrower irrevocably authorizes the Lender at any time and from time to time to file any initial financing statements, amendments thereto and continuation statements deemed necessary or desirable by the Lender to establish or maintain the validity, perfection and priority of the security interests granted herein, and the Borrower ratifies any such filings made by the Lender prior to the date hereof. In addition, at any time, and from time to time, upon request by the Lender, the Borrower will, at the Borrower's expense, provide any and all further instruments, certificates and other documents as may, in the opinion of the Lender, be necessary or desirable in order to verify the Borrower's identity and background in a manner satisfactory to the Lender.

(m) Nothing in this Agreement, whether express or implied, shall be construed to give to any Person other than the parties hereto any legal or equitable right, remedy or claim under or in respect of this Agreement, which is intended for the sole and exclusive benefit of the parties hereto.

(n) In connection with all aspects of the transactions contemplated by this Agreement and the Related Documents (including in connection with any amendment, waiver or other modification hereof or of any other Related Document), the Borrower, on behalf of itself, its affiliates, acknowledges and agrees, and acknowledges its affiliates' understanding, that: (a) (i) the services regarding this Agreement and the Related Documents provided by the Lender and any affiliate of the Lender are arm's-length commercial transactions between the Borrower and its affiliates on the one hand, and the Lender and its affiliates, on the other hand, (ii) each of the Borrower and its affiliates has consulted its own legal, accounting, regulatory and tax advisors to the extent it has deemed appropriate, (iii) the Borrower, on behalf of itself, and its affiliates is

capable of evaluating, and understands and accepts, the terms, risks and conditions of the transactions contemplated hereby and by the other Related Documents and (iv) the Lender has not provided any advice or assumed any (and has no) advisory or fiduciary responsibility in favor of the Borrower with respect to the transaction contemplated hereby and the discussions, undertakings and procedures leading thereto (whether or not the Lender or any affiliate of the Lender has provided other services or advised or is currently providing other services or advising the Borrower on other matters); (b) (i) the Lender and its affiliates each is and has been acting solely as a principal and, except as expressly agreed in writing by the relevant parties, has not been, is not, and will not be acting as an advisor (as a municipal advisor (as defined in Section 15B of the Securities and Exchange Act of 1934, as amended) or otherwise), agent or fiduciary, for the Borrower or its affiliates, or any other Person and (ii) neither the Lender nor any of its affiliates has any obligation to the Borrower or its affiliates with respect to the transactions contemplated by this Agreement and the Related Documents except those obligations expressly set forth herein; and (c) the Lender and its affiliates may be engaged in a broad range of transactions that involve interests that differ from those of the Borrower and its affiliates and neither the Lender nor any of its affiliates has any obligation to disclose any of such interests to the Borrower or its affiliates. To the fullest extent permitted by Law, the Borrower, on behalf of itself and its affiliates, hereby waives and releases any claims that it may have against the Lender or any of its affiliates with respect to any breach or alleged breach of agency or fiduciary duty in connection with any aspect of any transactions contemplated by this Agreement or the other Related Documents.

(o) In the event the Borrower files with the Municipal Securities Rulemaking Board's Electronic Municipal Market Access system, or its successor ("*EMMA*"), this Agreement, any Related Documents or any description of the material terms thereof or notice of any agreement to covenants, events of default, remedies, priority rights or other similar terms, either voluntarily or as required pursuant a continuing disclosure agreement or Rule 15c2-12 promulgated pursuant to the Securities and Exchange Act of 1934, as amended (the "*Rule*") (each such posting, an "*EMMA Posting*"), the Borrower shall (i) provide the Lender with a copy of each EMMA Posting prior to submitting or posting on EMMA and (ii) shall not file or permit the filing of any EMMA Posting that includes Confidential Information. The Borrower acknowledges and agrees that although the Lender may request review, edits or redactions of such materials prior to filing, the Lender is not responsible for the Borrower's or any other entity's (including, but not limited to, any broker-dealer's) compliance or noncompliance (or any claims, losses or liabilities arising therefrom) with any continuing disclosure agreement or any applicable securities or other laws, including, but not limited to, those relating to the Rule.

(p) The words "execute," "execution," "signed," "signature," and words of like import in any Related Document (including waivers and consents) shall be deemed to include electronic signatures, the electronic matching of assignment terms and contract formations on electronic platforms approved by the Lender, or the keeping of records in electronic form, each of which shall be of the same legal effect, validity or enforceability as a manually executed signature or the use of a paper-based recordkeeping system, as the case may be, to the extent and as provided for in any applicable law, including the Federal Electronic Signatures in Global and National Commerce Act, the New York State Electronic Signatures and Records Act, or any other similar state laws based on the Uniform Electronic Transactions Act.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the parties hereto have caused their respective representatives to execute this Agreement, as of the date first above written.

REGIONAL CENTER OF ORANGE COUNTY, INC., a  
California non-profit corporation

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

U.S. BANK NATIONAL ASSOCIATION

By: \_\_\_\_\_

Name: Nicole A. Treider

Title: Assistant Vice President

**EXHIBIT A**

**FORM OF BORROWING NOTICE AND CERTIFICATION**

\_\_\_\_\_, 2026

U.S. Bank National Association  
100 N Side Square  
Shelbyville, TN 37160  
Attention: Christy Chambless  
Email: christy.chambless@usbank.com and GBDCreditSupport@usbank.com

Attention: Nicole Treider  
Email: nicole.treider@usbank.com

Attention: Brian D. Richter  
Telephone: (414) 588-7722  
Email: brian.richter@usbank.com

Ladies and Gentlemen:

REGIONAL CENTER OF ORANGE COUNTY, INC., a nonprofit corporation organized under the laws of the State of California (the "*Borrower*"), refers to that certain Credit and Security Agreement (Revolving Loan Facility) dated as of May 11, 2026 (as it may be amended, restated, modified or supplemented from time to time, the "*Credit Agreement*") between the Borrower and U.S. Bank National Association (together with its successors and assigns, the "*Lender*"). Terms defined in the Credit Agreement and not otherwise defined herein have the same respective meanings when used herein.

Pursuant to Section 1 of the Credit Agreement, the undersigned hereby requests a Loan under the Credit Agreement and in that connection sets forth below the information relating to such Loan (the "*Requested Loan*"), as required by the Credit Agreement.

1. The date of the Requested Loan, which is the date the Borrower is requesting funds be credited to its account, is \_\_\_\_\_, 2026.
2. The aggregate amount of the Requested Loan is \$\_\_\_\_\_.
3. Attached hereto is a copy of the Borrower's written request to DDS for reimbursement (the "*Reimbursement Request*") under the DDS Agreement in an amount at least equal to the principal amount of the Requested Loan.

In connection with the Requested Loan, the Borrower, by executing below, hereby certifies to the Lender as follows:

(a) The amount(s) referenced in the Reimbursement Request (1) are due and owing to the Borrower from DDS in full and (2) have been certified by DDS to the Borrower as payable to the Borrower under its DDS approved Budgets, and, in each case, no such amount is, to the best knowledge of the Borrower, subject to offset or defense by DDS. Such amount(s) represent compensation for services that have been rendered by the Borrower in accordance with the terms of the DDS Agreement. Such amounts relate solely to the Borrower's [20\_\_-20\_\_] fiscal year. No part of such amount(s) have been previously received by the Borrower from the DDS.

(b) Upon funding of the Requested Loan, the aggregate principal amount of Loans outstanding under the Credit Agreement on such date will be \$\_\_\_\_\_ (the "*Total Outstanding Loans*") and the total Reimbursable Amount due to the Borrower will be \$\_\_\_\_\_ (the "*Total Reimbursable Amount*"), such amount consisting of the following unpaid reimbursement requests sent by the Borrower to DDS:

DATE OF REQUEST TO DDS	AMOUNT
_____	\$ _____
_____	\$ _____
	[and so on]
TOTAL:	\$ _____

(c) As of the date hereof, (i) the total amount appropriated to the Borrower by DDS under the DDS Agreement for the Borrower's [20\_\_-20\_\_] fiscal year is \$\_\_\_\_\_ (the "*Appropriated Amount*") and (ii) the Borrower has received from DDS \$\_\_\_\_\_ of reimbursements for the [20\_\_-20\_\_] fiscal year, leaving a remaining available Appropriated Amount of \$\_\_\_\_\_ (the "*Available Appropriated Amount*"). As of the date hereof, there are no amounts due from the Borrower to DDS (whether as a result of disputed or rejected reimbursement claims or otherwise), other than \$\_\_\_\_\_ (such amounts, if any, the "*Amounts Owing*"). In summary: Appropriated Amount (\$\_\_\_\_\_) – Reimbursements received (\$\_\_\_\_\_) – Amounts owing (\$\_\_\_\_\_) – Available Appropriated Amount (\$\_\_\_\_\_).

(d) Upon the borrowing of the Requested Loan, the Total Outstanding Loans will not exceed the *lesser of* (i) the Total Reimbursable Amount due to the Borrower and (ii) the Available Appropriated Amount *less* any Amounts Owing.

The foregoing request and certification are hereby made as of \_\_\_\_\_, 2026, by the duly authorized officer executing below, for the benefit of the Lender, with knowledge that the Lender is relying thereon in making the requested Loan.

REGIONAL CENTER OF ORANGE COUNTY, INC., a  
California non-profit corporation

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Management Letter for Audited Financials for the Year Ended June 30, 2025**

BACKGROUND:

Audited financial statements are required to be approved by the RCOC Board of Directors annually pursuant to Welfare and Institutions Code Section 4639, and Article III, section 8 of RCOC’s contract with the Department of Developmental Services (DDS). The Board is required to annually contract with an independent accounting firm for an audited financial statement. The audit report and accompanying management letter must be reviewed and approved by the Board and submitted to DDS within 60 days of completion.

The Board approved the Audited Financials at the Board Meeting held on March 5, 2026.

REASON FOR CURRENT ITEM:

The management letter was being finalized by Windes, Inc. at the time that the Audited Financials were presented.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the management letter.

March 12, 2026

To the Management of  
Regional Center of Orange County, Inc.  
c/o Ms. Marta Vasquez, Chief Financial Officer  
1525 North Tustin Ave.  
Santa Ana, California 92702

Dear Ms. Vasquez:

In planning and performing our audit of the financial statements of Regional Center of Orange County, Inc. as of and for the year ended June 30, 2025, in accordance with auditing standards generally accepted in the United States of America, we considered Regional Center of Orange County, Inc.'s system of internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Organization's internal control. Accordingly, we do not express an opinion on the effectiveness of the Organization's internal control.

Our consideration of internal control was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies in internal control, such that there is a reasonable possibility that a material misstatement of the Organization's financial statements will not be prevented, or detected and corrected, on a timely basis. We did not identify any deficiencies in internal control that we consider to be material weaknesses. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

We did encounter an issue within internal control that was not considered a significant deficiency or a material weakness in Regional Center of Orange County, Inc.'s internal control. The issue noted below is a matter that we considered an improvement recommendation for management to implement into internal control.

To the Management of  
Regional Center of Orange County, Inc.  
March 12, 2026  
Pg. 2

**2025-001: ICF-SPA Reconciliation**

During the fiscal year ended June 30, 2025 management made changes to the process and documentation over the ICF-SPA reconciliation. The changes were made based on the finding noted in the fiscal year ended June 30, 2024 audited financials statements. Overall, the reconciliation in FYE 2025 was an improvement over FYE 2024, however, management should continue to ensure the reconciliation is done timely ensuring all claims and payments are properly accounted for and the reconciled total ties back to the amount recorded on the trial balance.

This communication is intended solely for the information and use of management, Regional Center of Orange County, Inc., and others within the Organization, and is not intended to be, and should not be, used by anyone other than these specified parties.

Sincerely,

*Winder, Inc.*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Larry Landauer  
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Conflict Resolution Plan for Board member Liza Krassner**

BACKGROUND:

The prohibition against Regional Center board member conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding...”

Title 17 of the California Code of Regulations, section 54520 “Positions Creating Conflict of Interest for Regional Center Governing Board Members and Executive Directors” provides in pertinent part:

“(a) A conflict of interest exists when a regional center governing board member, executive director, or a family member of such person is any of the following for a business entity, entity, or provider... (10) an employee.”

Section 54533 “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan” states that:

“(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.”

REASON FOR CURRENT ITEM:

Board member Liza Krassner’s son is served by RCOC and entered the Self-Determination Program (SDP) in January 2026. He receives services from Dromen Inc., a Financial Management Service (FMS) vendor funded by RCOC. Board member Krassner is employed by Dromen Inc. as of January 2, 2026, exclusively to provide services to her son, including

transportation and some support hours. These services will be funded by RCOC through Dromen Inc. For this reason, Board member Krassner appears to have a potential conflict of interest since she is an employee of a vendor and will be receiving payments for providing services to her son.

RCOC has developed a Conflict Resolution Plan to address any potential conflict of interest. If approved by the Board, the Conflict Resolution Plan will be submitted to the State Council on Developmental Disabilities and the Department of Developmental Services for approval. While the Conflict Resolution Plan is pending approval, Board member Krassner has paused providing paid services to her son and is not receiving payment from Dromen Inc.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Conflict Resolution Plan for Board member Liza Krassner.

## **Conflict Resolution Plan submitted by Regional Center of Orange County**

This Conflict Resolution Plan is submitted by Regional Center of Orange County pursuant to Title 17, Section 54533 of the Regional Center Conflict of Interest Standards and Procedures. The Plan will describe the method to manage and mitigate the apparent conflict of Board Member Liza Krassner.

### I. Precise Nature of Present Conflict of Interest of Board Member Liza Krassner

Liza Krassner is a Board member serving on the Regional Center of Orange County Board of Directors. Her son Mark Krassner is a person served, and he is receiving services from Dromen, Inc., a Financial Management Service (FMS) vendor funded by the Regional Center of Orange County. Board member Liza Krassner, the parent of Mark Krassner, has officially transitioned into Self-Determination Program (SDP) and is employed by Vendor Dromen, Inc., as of January 2, 2026. She will be providing services exclusively to her son, and this will include transportation services and some support hours. Ms. Krassner will be paid by Dromen, Inc. through the Regional Center of Orange County.

This would appear to be a potential conflict of interest under the Title 17 regulations, since Board member Liza Krassner will be receiving payments from the vendor through which she provides services to her son Mark. Title 17, Section 54520, provides that a conflict exists when "...a regional center governing board member ...is ... "(10) an employee" of a "provider."

In this instance Liza Krassner will be an employee of a vendor and will receive pay for her work with Dromen, Inc. The only person she will serve, with transportation and support hours, is her son, Mark, a person served.

### II. Proposed Mitigation and Management of Conflict

The Title 17 Conflict of Interest Standards and Procedures contain various exceptions for board members or family members who are consumers. When the only persons involved are a parent and a consumer, the risks of a conflict are deemed to be minimal.



For example, section 54520 (b) of the conflict regulations provides that a board member or family member who works for a state or local governmental entity that provides services to consumers and who works in a position having no relation to providing those services to consumers does not have a conflict of interest.

Further, Section 54520 (c) provides that there is no conflict where a consumer receives employment services through a regional center provider and the consumer's family member is a governing board member, or where the consumer's family member holds a position with a provider providing employment services where the services of the provider are available to all eligible consumers.

These provisions demonstrate that certain situations involving consumers do not constitute a conflict. Since the entire mission of a regional center is to serve consumers, this situation seems quite similar. Ms. Krassner works for a provider and provides services only to her son. Her employer, Dromen, Inc., will of course provide services to other person's served.

In short Mr. Krassner's situation seems sufficiently similar to the exceptions in Section 54520 (b) and (c) that this should be considered as a matter where any real risk from a potential conflict is minimal.

### III. Further Mitigation Actions

As a further mitigation matter, RCOC proposes that Ms. Krassner will be required to recuse herself with regard to any matter that comes before the Board involving the vendor that employs her and under which she provides services to her son, a person served. A waiver would also appear to be appropriate, since the compensation Ms. Krassner will receive will generally be quite modest, less than \$500 per month.

### IV. Summary

This is a situation simply where a mother is assisting her son, a person served. This matter involves a person served and is similar to situations where exceptions are provided for consumers in Title 17, Sections Section 54520 (b), and (c). Further, the mitigation will require Board Member Liza Krassner to recuse herself from any matter involving the provider that employs her. For these reasons, RCOC



respectfully requests that DDS approve this Conflict Resolution Plan. RCOC will of course provide any additional facts or background that DDS may desire.

Respectfully submitted,

Date: February 19, 2026

A handwritten signature in blue ink, appearing to read "LL", is positioned above a horizontal line.

Larry Landauer, Executive Director  
Regional Center of Orange County



<input checked="" type="checkbox"/> Governing Board Member
<input type="checkbox"/> Vendor Advisory on Board
<input type="checkbox"/> Executive Director
<input type="checkbox"/> Employee/Other

2. Do you or a family member<sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes  no -- If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

FMS - Dromen, Inc. I am recorded as an employee for the FMS but stopped working to immediately stop the conflict; but would like a waiver to allow me to work for my son.

3. Do you or a family member own or hold a position<sup>3</sup> in an entity or organization that is a regional center provider or contractor?  yes  no -- If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

4. Are you a regional center advisory committee board member?  yes  no -- If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers?  yes  no -- If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?  yes  no -- If yes, please explain.

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<sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).


<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

<input checked="" type="checkbox"/> Governing Board Member
<input type="checkbox"/> Vendor Advisory on Board
<input type="checkbox"/> Executive Director
<input type="checkbox"/> Employee/Other

6. Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member<sup>4</sup>? [Note: Governing board members do not have to answer “yes” to this question if the financial benefit would be available to regional center consumers or their families generally].  
 yes  no -- If yes, please explain.

7. Are you responsible for negotiating, making,<sup>5</sup> executing or approving contracts on behalf of the regional center?  yes  no -- If yes, please explain.

The Board approves all contracts requiring payment of two hundred fifty thousand dollars (\$250,000) or more.

Initial  


Initial

8. Do you have a financial interest in any contract<sup>6</sup> with the regional center?  yes  no -- If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  yes  no -- If yes, please explain.

9. Do any of your family members have a financial interest in any contract with the regional center?  yes  no  
 If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  yes  no  
 If yes, please explain.

<sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

<input checked="" type="checkbox"/> Governing Board Member
<input type="checkbox"/> Vendor Advisory on Board
<input type="checkbox"/> Executive Director
<input type="checkbox"/> Employee/Other

10. Do you evaluate employment applications or contract bids that are submitted by your family member(s)?  
 yes  no -- If yes, please explain.

11. Your job duties require you to act in the best interests of the regional center and regional center consumers. Do you have any circumstances or other financial interests not already discussed above that would prevent you from acting in the best interests of the regional center or its consumers?  yes  no -- If yes, please explain.

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**B. ATTESTATION**

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I Liza Krassner (print name) HEREBY CONFIRM that I have read and understand the regional center’s Conflict of Interest Policy and that my responses to the questions in this Conflict of Interest Reporting Statement are complete, true, and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that this statement is not accurate or that I have not complied with the regional center’s Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regional center’s designated individual immediately. I understand that knowingly providing false information on this Conflict of Interest Reporting Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000) pursuant to Welfare and Institutions Code section 4626.

Signed by: *Liza Krassner* Date 4/22/2026  
38FFD841D6FB46C

**INTERNAL USE ONLY**

Date this Statement was received by Reviewer: \_\_\_\_\_

The reporting individual  does  does not have a  present  potential conflict of interest

Signature of Designated Reviewer Signed by: <u><i>Susannah Galiano</i></u> <small>6349C9D993834C7...</small>	Date Review Completed <u>4/22/2026</u>
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REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Larry Landauer  
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Amendment No. 2 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2/2425-13)(Ethelbee)**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC’s Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH) to serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement for \$450,000 with non-profit housing corporation Brilliant Corners on September 1, 2022. On September 7, 2023, the Board approved Amendment No. 1 to the Property Renovation Agreement, which increased renovation funding to \$547,008 to cover renovation costs identified once the property was acquired.

REASON FOR CURRENT ITEM:

DDS has approved an additional \$49,250 in RCOC’s CRDP funding for renovation costs. The request for additional funding is to cover an additional nine months of holding costs due to delays in the permitting process.

FISCAL IMPACT:

DDS will allocate an additional \$49,250 in RCOC’s CRDP funding, for a total of \$596,258 in renovation funds for this project.

RECOMMENDATION:

That the Board approve Amendment No. 2 to the Property Renovation Agreement as presented.

**AMENDMENT NO. 2 TO AGREEMENT BY AND BETWEEN**  
**REGIONAL CENTER OF ORANGE COUNTY**  
**AND BRILLIANT CORNERS**  
**FOR DEVELOPMENT OF HOUSING**  
**RCOC CRDP PROJECT NO. 2223-2/2425-13**

This Amendment No. 2 to Agreement (Amendment) is entered into and effective this \_\_\_\_ day of \_\_\_\_\_, 2026, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as “RCOC,” and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as “NPO.”

**RECITALS**

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for an Enhanced Behavioral Supports Home (EBSH) to serve regional center persons served; and

B. WHEREAS, the parties subsequently executed Amendment No. 1 to the Agreement to increase the CRDP funds allocated for renovation costs from \$450,000 to \$547,008; and

C. WHEREAS, the Department of Developmental Services has allocated an additional \$49,250 in renovation funding to cover additional construction costs; and

D. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

“9. **MAXIMUM PAYMENT OBLIGATION.** The “Maximum Payment Obligation” of RCOC to NPO under this Agreement shall be Five Hundred Ninety Six Thousand Two Hundred Fifty Eight Dollars (\$596,258) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$596,258, the Maximum Payment Obligation shall be deemed to be that

lower amount. In the event that the Maximum Payment Obligation is less than \$596,258, RCOC shall retain the remaining CRDP Funds to be returned to DDS.”

2. Section 11.e. of the Agreement is hereby amended to read as follows:

“e. Deadline to Expend Funds. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse renovation funds to NPO is June 30, 2027, and NPO must submit invoices no later than March 5, 2027; NPO must therefore complete all renovations prior to March 5, 2027, unless directed otherwise in writing by RCOC. All of RCOC’s disbursements are conditioned upon NPO’s compliance with the project milestones for the Property by such deadline; provided, however, the deadline for NPO to satisfy the Second Milestone for the Property shall be the earlier of the above deadline date or the 90<sup>th</sup> day after NPO purchases the Property.”

3. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

**REGIONAL CENTER OF ORANGE COUNTY**

\_\_\_\_\_  
Larry Landauer, M.S.W., Executive Director

**BRILLIANT CORNERS**

\_\_\_\_\_  
Name/Title:\_\_\_\_\_

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Larry Landauer  
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Amendment No. 3 to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3/2425-12)(Chapman)**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC’s Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH) to serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement for \$400,000 with non-profit housing corporation Brilliant Corners on June 1, 2023. On November 2, 2023, the Board approved Amendment No. 1 to the Property Renovation Agreement, which increased renovation funding to \$614,341 to cover renovation costs identified once the property was acquired. The Agreement was amended again on September 26, 2024, to increase renovation funding by \$9,800 for costs associated with asbestos assessment and abatement.

REASON FOR CURRENT ITEM:

DDS has approved an additional \$60,069 in RCOC’s CRDP funding for renovation costs. The request for additional funding is to cover an additional nine months of holding costs due to delays in the permitting process.

FISCAL IMPACT:

DDS will allocate an additional \$60,069 in RCOC’s CRDP funding, for a total of \$684,210 in renovation funds for this project.

RECOMMENDATION:

That the Board approve Amendment No. 3 to the Property Renovation Agreement as presented.

**AMENDMENT NO. 3 TO AGREEMENT BY AND BETWEEN**  
**REGIONAL CENTER OF ORANGE COUNTY**  
**AND BRILLIANT CORNERS**  
**FOR DEVELOPMENT OF HOUSING**  
**RCOC CRDP PROJECT NO. 2223-3/2425-12**

This Amendment No. 3 to Agreement (Amendment) is entered into and effective this \_\_\_\_ day of \_\_\_\_\_, 2026, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as “RCOC,” and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as “NPO.”

**RECITALS**

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for an Enhanced Behavioral Supports Home (EBSH) to serve regional center persons served; and

B. WHEREAS, the parties subsequently executed Amendment No. 1 to the Agreement to increase in the CRDP funds allocated for renovation costs from \$400,000 to \$614,341; and

C. WHEREAS, the parties executed Amendment No. 2 to the Agreement to add \$9,800 in CRDP renovation funds for costs associated with asbestos assessment and abatement; and

D. WHEREAS, DDS has allocated an additional \$60,069 in CRDP renovation funds to address a funding gap due to nine additional months of holding costs from June 2024 to February 2025; and

E. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

“9. **MAXIMUM PAYMENT OBLIGATION.** The “Maximum Payment Obligation” of RCOC to NPO under this Agreement shall be Six Hundred Eighty Four Thousand Two Hundred Ten Dollars (\$684,210) (“CRDP Funds”).

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$684,210, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$684,210, RCOC shall retain the remaining CRDP Funds to be returned to DDS.”

2. Section 11.e. of the Agreement is hereby amended to read as follows:

“e. Deadline to Expend Funds. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse renovation funds to NPO is June 30, 2027, and NPO must submit invoices no later than March 5, 2027; NPO must therefore complete all renovations prior to March 5, 2027, unless directed otherwise in writing by RCOC. All of RCOC’s disbursements are conditioned upon NPO’s compliance with the project milestones for the Property by such deadline; provided, however, the deadline for NPO to satisfy the Second Milestone for the Property shall be the earlier of the above deadline date or the 90<sup>th</sup> day after NPO purchases the Property.”

3. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

**REGIONAL CENTER OF ORANGE COUNTY**

\_\_\_\_\_  
Larry Landauer, M.S.W., Executive Director

**BRILLIANT CORNERS**

\_\_\_\_\_  
Name/Title:\_\_\_\_\_



**Summary of Information About Persons Served - February 2026**

<b>NUMBER OF PERSONS SERVED</b>	<b>28,278</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,330	12%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	10,400	37%
Adults - Ages 18 and Older Receiving Lanterman Services	14,088	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>322</b>
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*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,508	47%
Epilepsy	2,893	12%
Cerebral Palsy	2,523	10%
Autism	13,146	54%
Fifth Category*	2,235	9%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

<b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>	<b>387</b>
Early Start / Under Age Three / 45 days to complete determination	266 / 69%
Lanterman / Over Age Three / 120 days to complete determination	121 / 31%
Provisional / Up to Age Five / 90 days to complete determination	8 / 2%

<b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>	<b>156</b>
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	106
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	28
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	22
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

<b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b>	<b>109</b>
Children - Age Three No Longer Eligible for Early Start Services	107
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	2

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### FEBRUARY 2026 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2025-26

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	42	50	40	32	40	63
Unannounced	86	92	73	46	50	74
<b>Total Number of Reviews</b>	<b>128</b>	<b>142</b>	<b>113</b>	<b>78</b>	<b>90</b>	<b>137</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	304	327	333	264	261	429
Corrective Action Plans	3	8	5	11	6	2
Special Incident Investigations*	33	75	76	42	41	55

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	44	50					<b>361</b>
Unannounced	84	75					<b>580</b>
<b>Total Number of Reviews</b>	<b>128</b>	<b>125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>941</b>

Provider Trainings	0	0					<b>0</b>
Technical Support	276	344					<b>2,538</b>
Corrective Action Plans	3	3					<b>41</b>
Special Incident Investigations*	73	61					<b>456</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

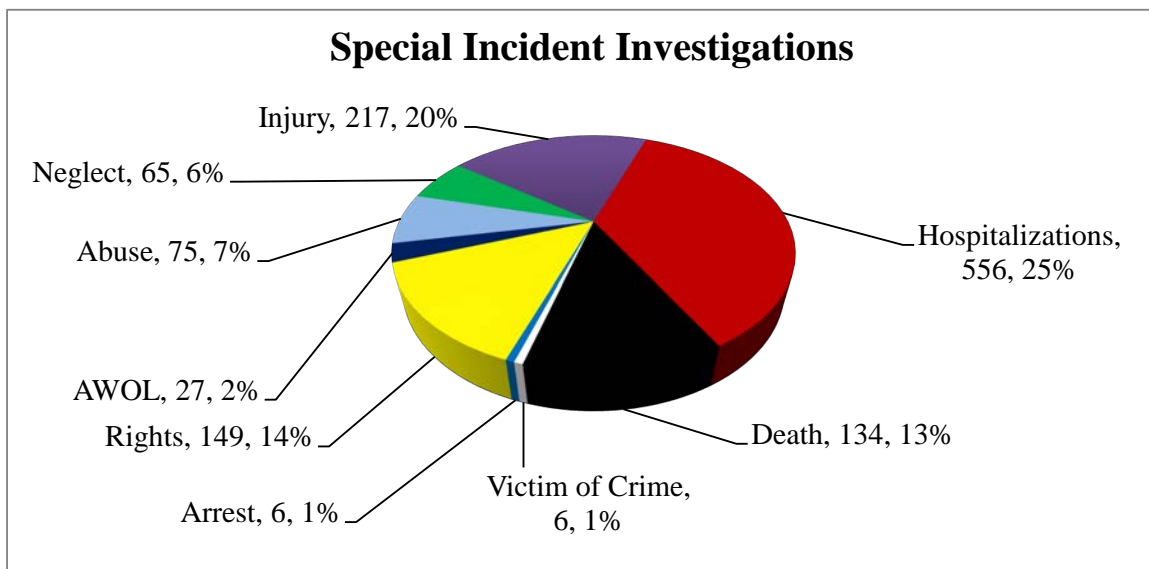
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
  - (B) Reasonably suspected abuse/exploitation including:
    - 1. Physical;
    - 2. Sexual;
    - 3. Fiduciary;
    - 4. Emotional/mental; or
    - 5. Physical and/or chemical restraint.
  - (C) Reasonably suspected neglect including failure to:
    - 1. Provide medical care for physical and mental health needs;
    - 2. Prevent malnutrition or dehydration;
    - 3. Protect from health and safety hazards;
    - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
    - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
  - (D) A serious injury/accident including:
    - 1. Lacerations requiring sutures or staples;
    - 2. Puncture wounds requiring medical treatment beyond first aid;
    - 3. Fractures;
    - 4. Dislocations;
    - 5. Bites that break the skin and require medical treatment beyond first aid;
    - 6. Internal bleeding requiring medical treatment beyond first aid;
    - 7. Any medication errors;
    - 8. Medication reactions that require medical treatment beyond first aid; or
    - 9. Burns that require medical treatment beyond first aid.
  - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
    - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
    - 2. Seizure-related;
    - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
    - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
    - 5. Diabetes, including diabetes-related complications;
    - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
    - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
    - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
*Fiscal Year 2025-26*

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	4	1	5	4	2
Abuse	3	9	9	10	15	7
Neglect	9	8	5	11	4	8
Injury	32	36	25	28	23	20
Hospitalizations - Total	50	37	52	52	49	33
<i>Psychiatric</i>	8	5	7	7	9	2
<i>Medical</i>	42	32	45	45	40	31
Death	10	12	11	17	11	13
Victim of crime	0	0	1	0	1	1
Arrest	0	2	0	0	0	1
Rights	24	27	12	12	11	42
<b>Total</b>	<b>132</b>	<b>135</b>	<b>116</b>	<b>135</b>	<b>118</b>	<b>127</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2	5					27
Abuse	8	14					75
Neglect	8	12					65
Injury	31	22					217
Hospitalizations - Total	60	54					387
<i>Psychiatric</i>	7	8					53
<i>Medical</i>	53	46					334
Death	44	16					134
Victim of Crime	2	1					6
Arrest	2	1					6
Rights	8	13					149
<b>Total</b>	<b>165</b>	<b>138</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,066</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
*Fiscal Year 2025-26*

*Number of Audits / Appeals / Recoveries*

<b>Type of Audit</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Service Billing	0	0	0	0	1	1
Staffing	0	0	0	0	1	0
Level 4I Consultant	0	0	0	0	0	1
P&I (consumer funds)	0	0	0	0	0	0
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>

*Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)*

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	2	1

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$75,083.88	\$594.40
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<b>Type of Audit</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
Service Billing	1	0					<b>3</b>
Staffing	1	0					<b>0</b>
Level 4I Consultant		0					<b>0</b>
P&I (consumer funds)		0					<b>0</b>
<b>Total Number of Audits</b>	<b>2</b>	<b>0</b>					<b>6</b>

*Number of Appeals / Recoveries*

State Appeal		0					<b>0</b>
Recovery	2	0					<b>5</b>

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$35,018.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	<b>\$110,697.14</b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### *Fiscal Year 2025-26*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	76	70	86	87	73
Diapers - Family Member	3	2	1	3	3	1
Nursing Service - Family Member	55	42	51	50	41	No Data Available
Respite Service - Family Member	697	725	729	719	713	737
Transportation - Family Member	222	312	325	305	304	367
<b>Total Number of Voucher Authorizations</b>	<b>1,070</b>	<b>1,157</b>	<b>1,176</b>	<b>1,163</b>	<b>1,148</b>	<b>1,178</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	91	85				
Diapers - Family Member	3	4				
Nursing Service - Family Member	No Data Available	No Data Available				
Respite Service - Family Member	723	723				
Transportation - Family Member	307	314				
<b>Total Number of Voucher Authorizations</b>	<b>1,124</b>	<b>1,126</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2025-26*

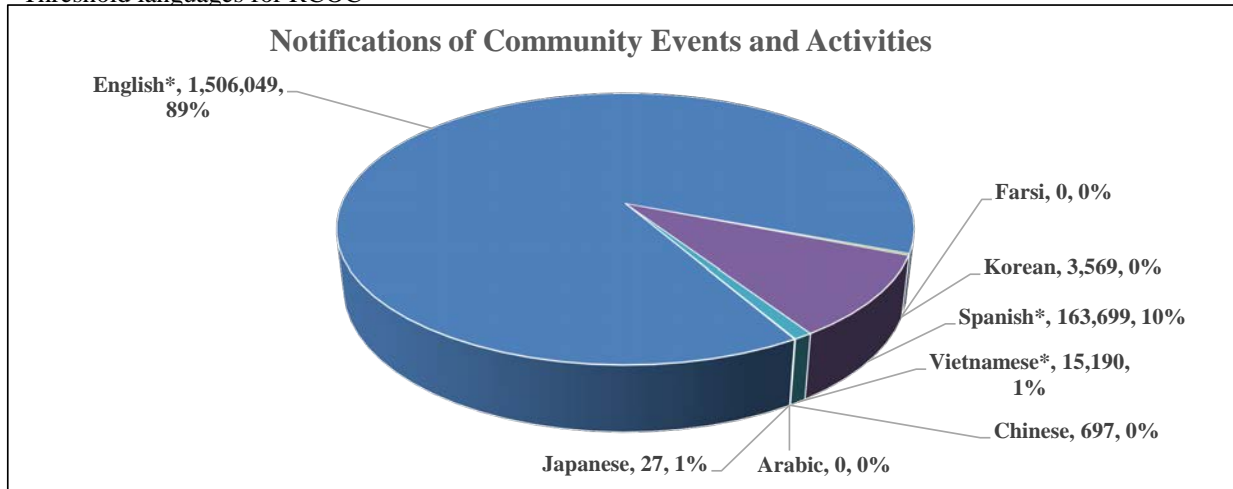
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	151,271	118,786	245,656	166,331	169,186	124,961
Farsi	0	0	0	0	0	0
Korean	778	136	662	157	1,102	301
Spanish*	16,264	19,523	35,018	28,313	14,552	7,868
Vietnamese*	2,124	538	2,518	1,270	2,006	2,295
Chinese	104	0	0	0	363	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
<b>Total Number of Notifications</b>	<b>170,541</b>	<b>138,983</b>	<b>283,854</b>	<b>196,071</b>	<b>187,209</b>	<b>135,425</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	276,787	253,071					1,506,049
Farsi	0	0					0
Korean	404	29					3,569
Spanish*	27,367	14,794					163,699
Vietnamese*	2,868	1,571					15,190
Chinese	230	0					697
Japanese	0	0					0
Arabic	0	0					0
<b>Total Number of Notifications</b>	<b>307,656</b>	<b>269,465</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,689,204</b>

\* Threshold languages for RCOG



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2025-26*

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
<b>In Person/Zoom</b>						
English	4	4	8	3	5	2
Spanish	0	5	3	5	4	1
Vietnamese	1	1	0	3	1	3
Other Languages**	1	1	2	1	2	
<b>In Print</b>						
English	2	1				2
Spanish						
Vietnamese	1		1			
Other Languages						
<b>TV / Radio</b>						
English						
Spanish						
Vietnamese	4	5	4	4	5	4
Other Languages						
<b>Total Number of Outreach Events</b>	<b>13</b>	<b>17</b>	<b>18</b>	<b>16</b>	<b>17</b>	<b>12</b>

\*\* Korean, Chinese or other Languages

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English	2	4					<b>32</b>
Spanish	2	2					<b>22</b>
Vietnamese		1					<b>10</b>
Other Languages**		3					<b>10</b>
<b>In Print</b>							
English		2					<b>7</b>
Spanish							<b>0</b>
Vietnamese		2					<b>4</b>
Other Languages							<b>0</b>
<b>TV / Radio</b>							
English							<b>0</b>
Spanish							<b>0</b>
Vietnamese	4	4					<b>34</b>
Other Languages							<b>0</b>
<b>Total Number of Outreach Events</b>	<b>8</b>	<b>18</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>119</b>

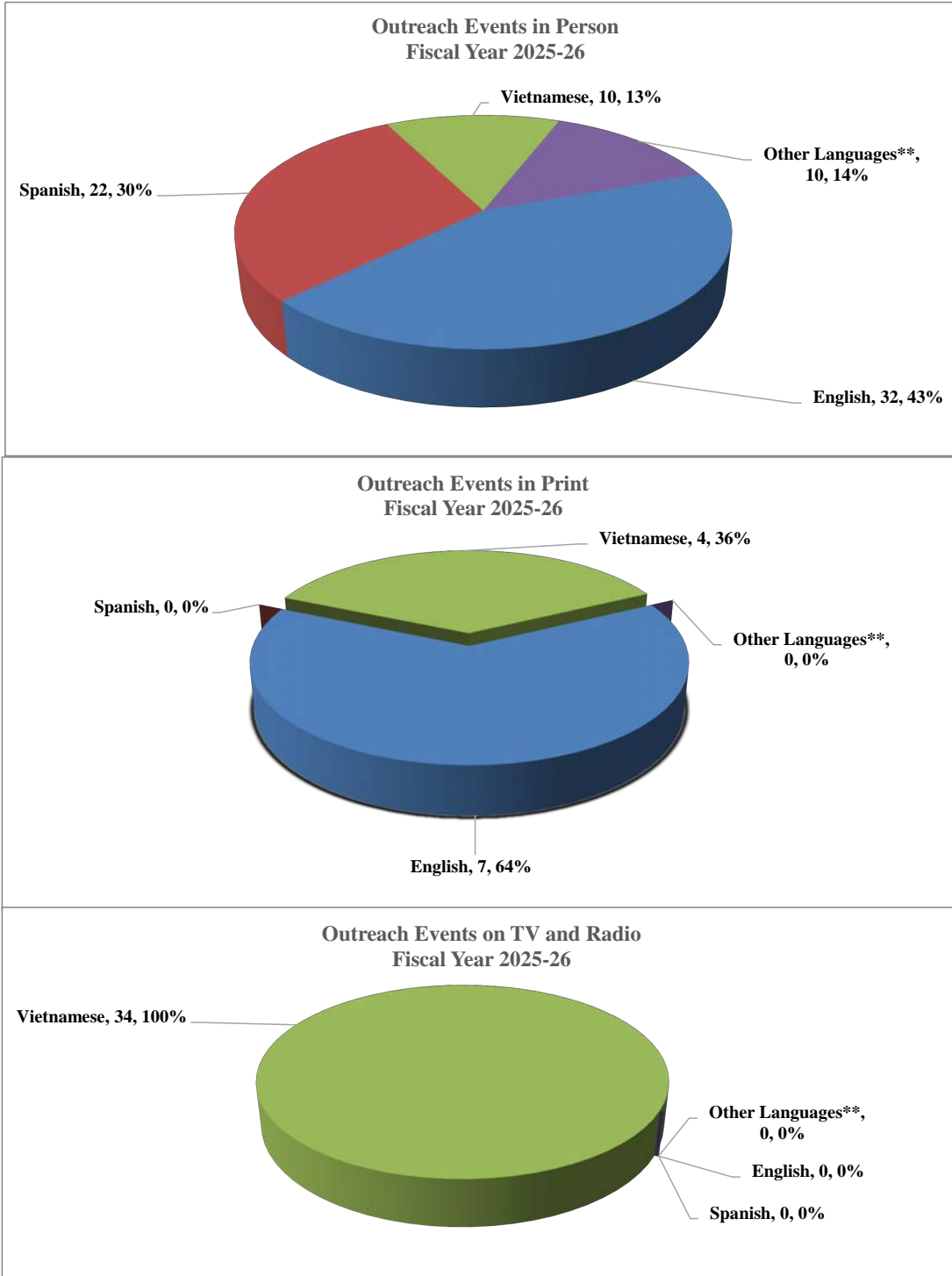
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2025-26



## EARLY INTERVENTION / PREVENTION

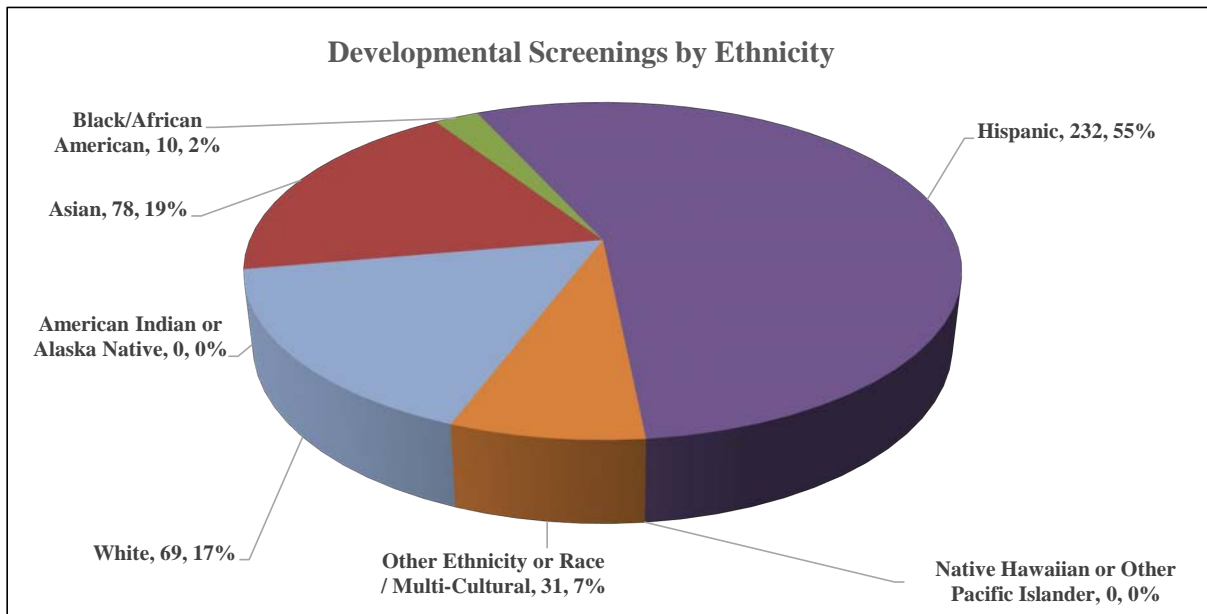
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2025-26

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	12	16	24	6	3
Black/African American	0	0	4	1	2	1
Hispanic	0	51	34	48	32	18
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	5	10	4	2	3
White	0	7	9	15	6	3
<b>Total Number Screened</b>	<b>0</b>	<b>75</b>	<b>73</b>	<b>92</b>	<b>48</b>	<b>28</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>43</b>	<b>27</b>	<b>35</b>	<b>22</b>	<b>8</b>

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0					<b>0</b>
Asian	10	7					<b>78</b>
Black/African American	2	0					<b>10</b>
Hispanic	18	31					<b>232</b>
Native Hawaiian or Other Pacific Islander	0	0					<b>0</b>
Other Ethnicity or Race / Multi-Cultural	1	6					<b>31</b>
White	4	25					<b>69</b>
<b>Total Number Screened</b>	<b>35</b>	<b>69</b>					<b>420</b>
<b>Total Number Referred to RCOC</b>	<b>21</b>	<b>37</b>					<b>193</b>

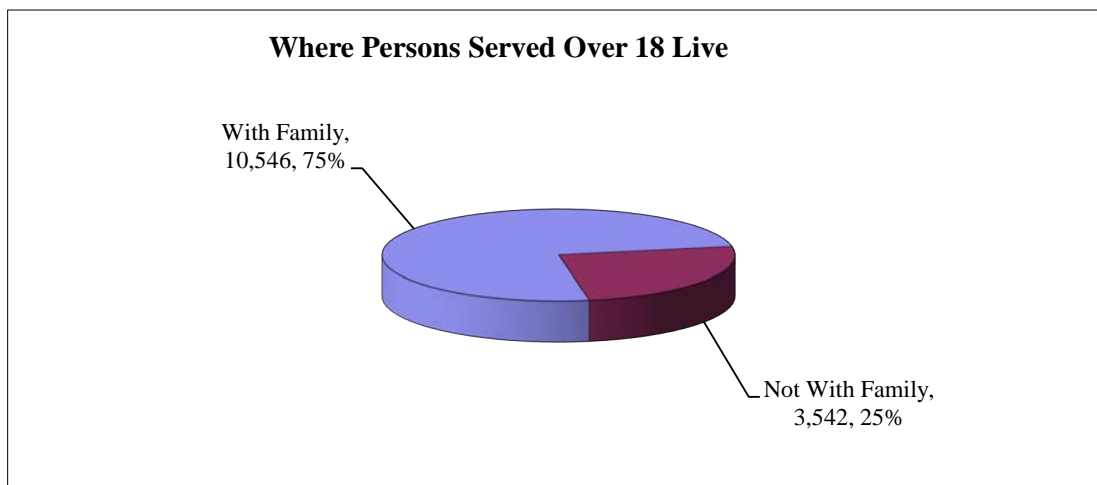
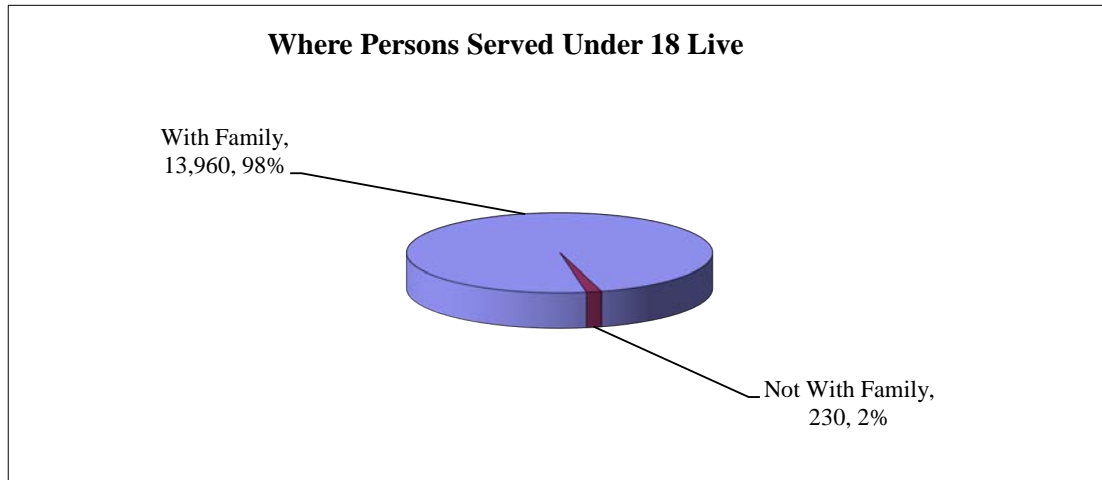


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

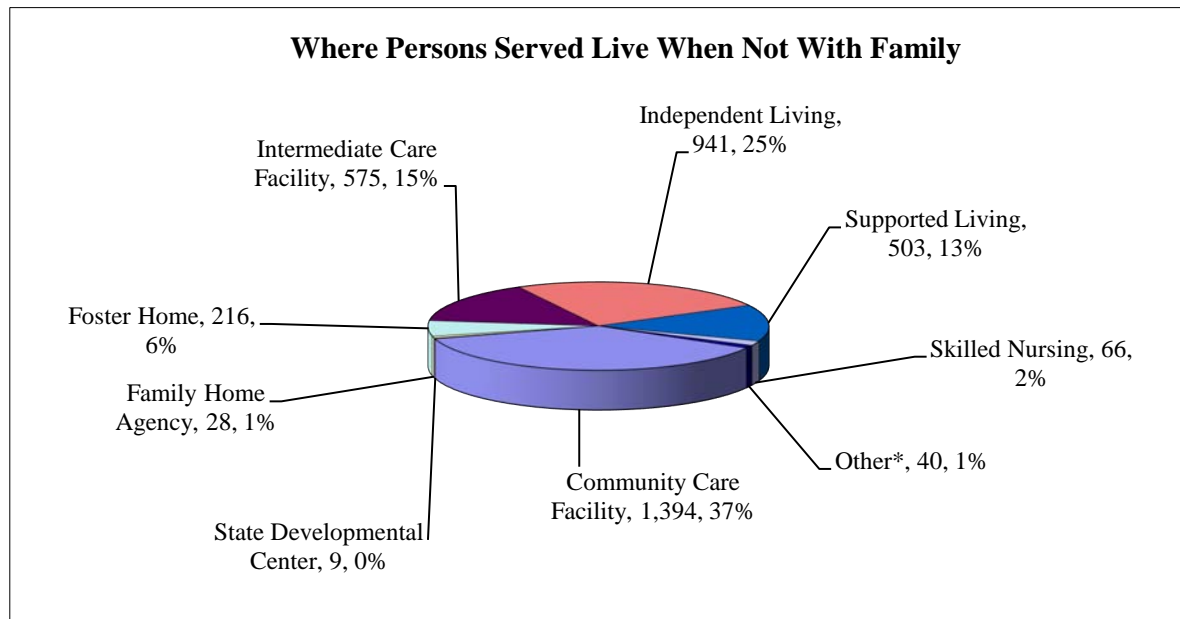
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	24,506	13,960	10,546
Not With Family	3,772	230	3,542
<b>Totals</b>	<b>28,278</b>	<b>14,190</b>	<b>14,088</b>



**LIVING OPTIONS, continued**

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	24,506	13,960	10,546
Community Care Facility	1,394	14	1,380
State Developmental Center	9	0	9
Family Home Agency	28	0	28
Foster Home	216	207	9
Intermediate Care Facility	575	0	575
Independent Living	941	0	941
Supported Living	503	0	503
Skilled Nursing	66	0	66
Other*	40	9	31
<b>Total</b>	<b>28,278</b>	<b>14,190</b>	<b>14,088</b>

<b>Other*</b>			
Acute General Hospital	2	0	2
California Youth Authority	1	1	0
Community Treatment	1	0	1
Correctional Institution	1	0	1
County Jail	1	0	1
Other	7	0	7
Out of State	1	1	0
Psychiatric Treatment	21	3	18
Rehabilitation Center	3	0	3
SDC / State Hospital	5	0	5
Sub-Acute	36	8	28
Transient / Homeless	10	2	8
<b>Total, Other*</b>	<b>89</b>	<b>15</b>	<b>74</b>



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

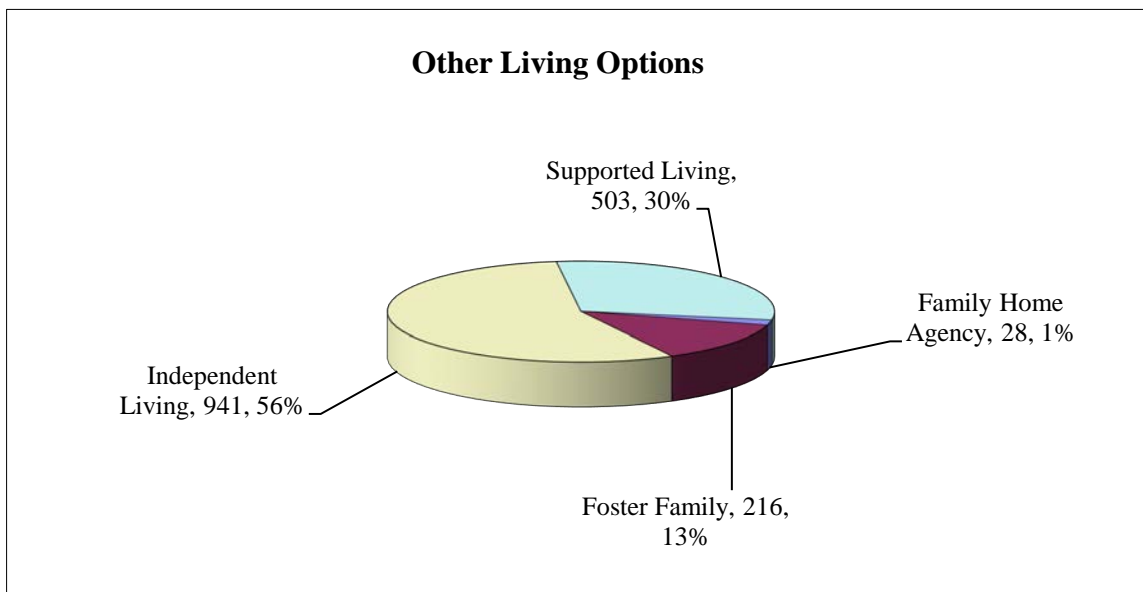
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

<b>Other Living Options</b>	<b>Total</b>	<b>Under 18</b>	<b>Over 18</b>
Family Home Agency	28	0	28
Foster Family	216	207	9
Independent Living	941	0	941
Supported Living	503	0	503
<b>Total</b>	<b>1,688</b>	<b>207</b>	<b>1,481</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOG**  
*Fiscal Year 2025-26*

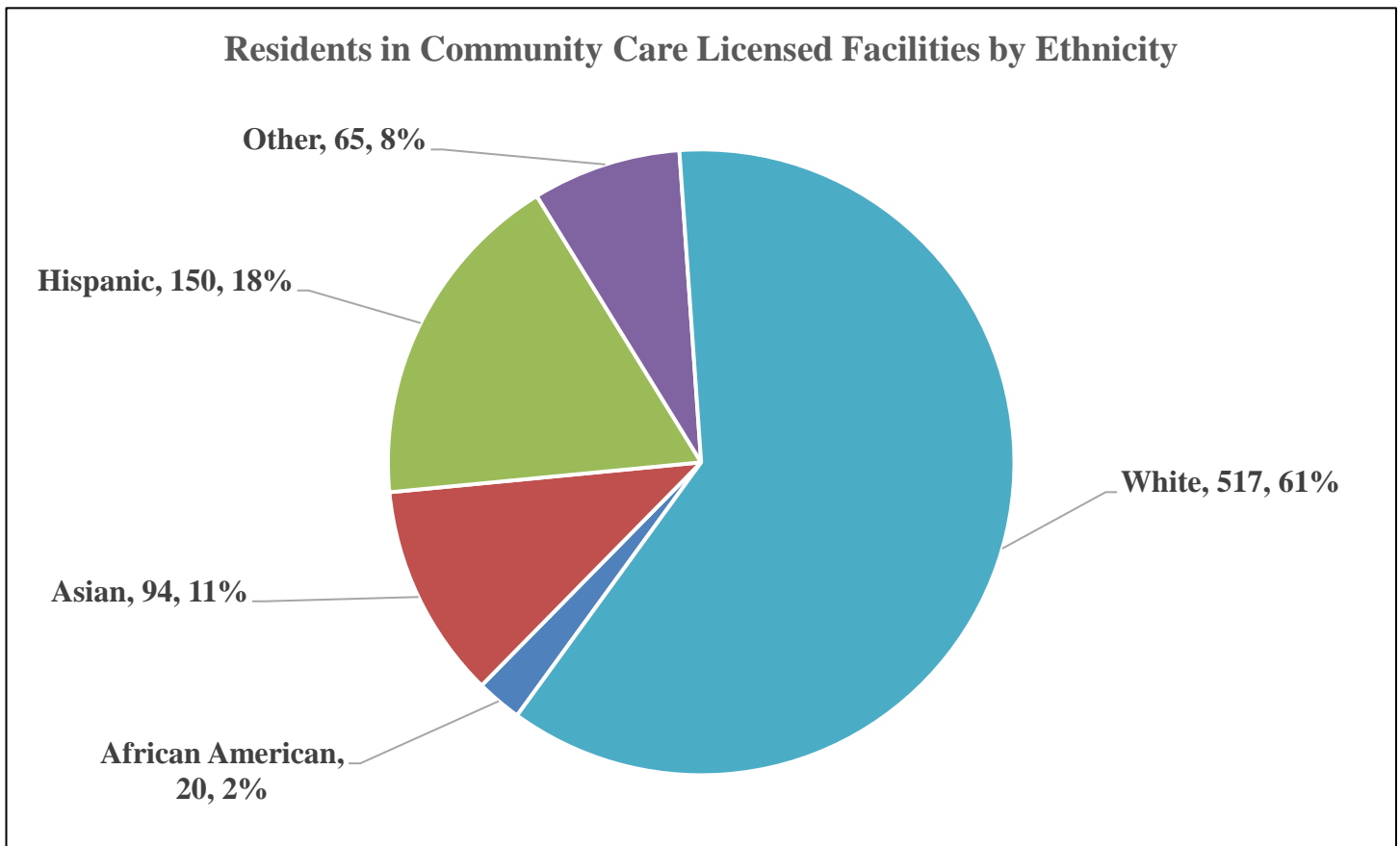
<b>Licensed Facilities</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	0	0	0
Level 3	0	0	0
Level 4A	0	0	0
Level 4B	0	0	0
Level 4C	0	0	0
Level 4D	0	0	0
Level 4E	0	0	0
Level 4F	0	0	0
Level 4G	0	0	0
Level 4H	0	0	0
Level 4I	0	0	0
Elderly	17	17	0
ICF/DD-H	1	1	0
ICF/DD-N	3	3	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>21</b>	<b>21</b>	<b>0</b>

<b>Licensed Facilities Summary</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	0	0	0
Level 3	0	0	0
Level 4	0	0	0
ICF/DD-H	1	1	0
ICF/DD-N	3	5	0
Elderly	17	17	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>21</b>	<b>23</b>	<b>0</b>

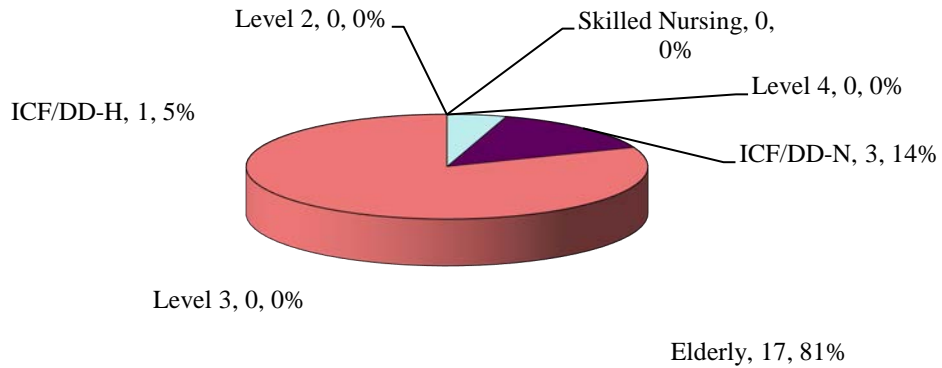
**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
*Fiscal Year 2025-26*

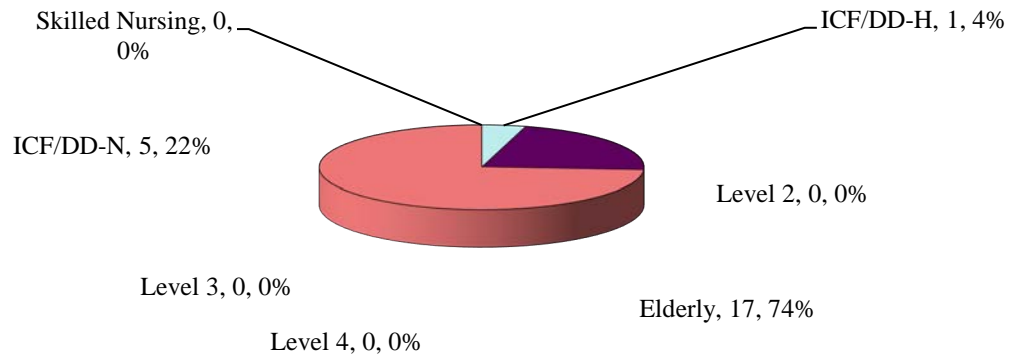
<b>Licensed Facilities</b>	<b>African American</b>	<b>Asian</b>	<b>Hispanic</b>	<b>Other</b>	<b>White</b>	<b>Total</b>
Level 2	6	21	53	17	179	276
Level 3	7	45	70	39	225	386
Level 4A	7	28	27	9	113	184
Level 4B	0	0	0	0	0	0
Level 4C	0	0	0	0	0	0
Level 4D	0	0	0	0	0	0
Level 4E	0	0	0	0	0	0
Level 4F	0	0	0	0	0	0
Level 4G	0	0	0	0	0	0
Level 4H	0	0	0	0	0	0
Level 4I	0	0	0	0	0	0
<b>Total</b>	<b>20</b>	<b>94</b>	<b>150</b>	<b>65</b>	<b>517</b>	<b>846</b>



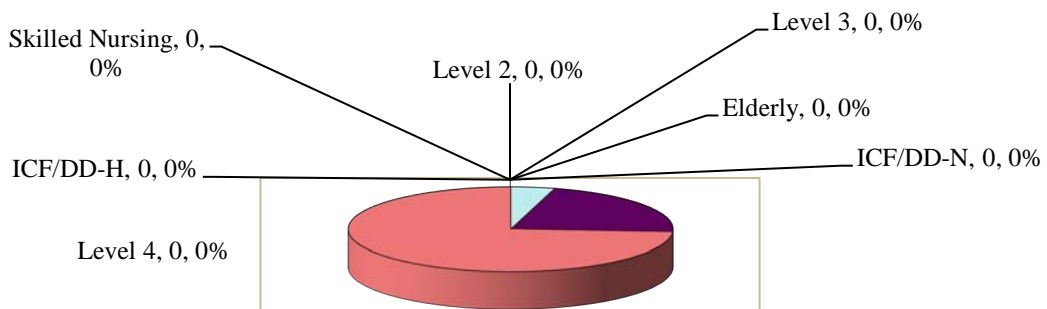
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

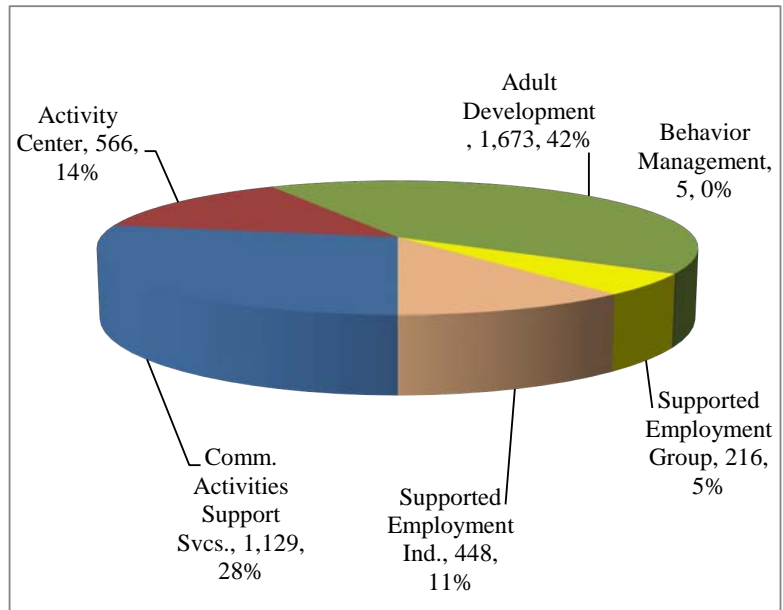


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,129
Activity Center	566
Adult Development	1,673
Behavior Management	5
Supported Employment Group	216
Supported Employment Ind.	448
<b>Total</b>	<b>4,037</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### *Fiscal Year 2025-26*

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	469.1	481.2	479.3	504.4	502.0	499.3
Number of Case-Carrying SCs	416.8	429.0	429.0	452.1	446.5	442.4
Number of Intake SCs	43.0	43.0	43.0	45.0	45.0	45.0
Number of Active Persons Served	27,926	28,006	28,174	28,291	28,458	28,611
Caseload Ratio, # of Active Persons Served/SCs	67.0	65.3	65.7	62.6	63.7	64.7

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	496.3	528.2				
Number of Case-Carrying SCs	440.3	471.3				
Number of Intake SCs	44.0	43.0				
Number of Active Persons Served	28,745	28,768				
Caseload Ratio, # of Active Persons Served/SCs	65.3	61.0				

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**

*Fiscal Year 2025-26*

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>28</b>	<b>27</b>	<b>23</b>	<b>27</b>	<b>24</b>	<b>27</b>	<b>27</b>	<b>32</b>				
Eligibility - Lanterman	9	10	8	9	11	13	10	14				
Behavioral services				2	2	2	2	1				
Respite	2	3	3	4	2	1	1					
Day Care												
Self Determination Budget	10	4	6	6	5	7	8	12				
Personal Assistance	2	2	2	1				1				
Other**	7	9	6	6	5	5	7	5				

\* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

\*\* *Other issues include but are not limited to living options.*

<b>Number of New Hearing Requests Filed*</b>	10	8	7	14	7	14	12	13				
Eligibility - Lanterman	3	6	5	5	6	7	7	6				
Eligibility - Early Start												
Behavioral services				2								
Respite	1	1		1								
Day Care												
Social/Recreational	1					1						
Social Skills Training												
SDP	2		2	3		4	5	4				
Personal Assistance	1											
Other**	2	1		1	1	1						

\* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

\*\* *Other issues include but are not limited to living options.*

<b>Number of All Meetings Held</b>	14	10	12	10	9	14	8	18				
Number of Informal Meetings Held	9	8	6	10	7	12	8	12				
Number of Mediations Held	3		2		1	2		4				
Number of SLFHs Held	2	2	2		1			2				

<b>Number of Requests in Scheduling*</b>	7	7	4	6	4	2	12	0				
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\* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

<b>Number of Requests Pending*</b>	1	2	0	1	1	0	0	2				
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\* *State Level Fair Hearing (SLFH) held but awaiting decision.*

<b>Number of Requests Settled</b>	6	8	9	10	10	11	7	13				
Withdrawn by Person Served/Family			1	2	5	1	1	1				
Settled in Informal	4	7	5	7	5	9	6	11				
Settled after further follow-up by RCOC				1								
Settled in Mediation	1		1					1				
SLFH Decision	1	1	2			1						

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>												
Person Served/Family												
RCOC	1	1	2			1						
Split												

## ADMINISTRATION AND GOVERNANCE

### Guiding Principle

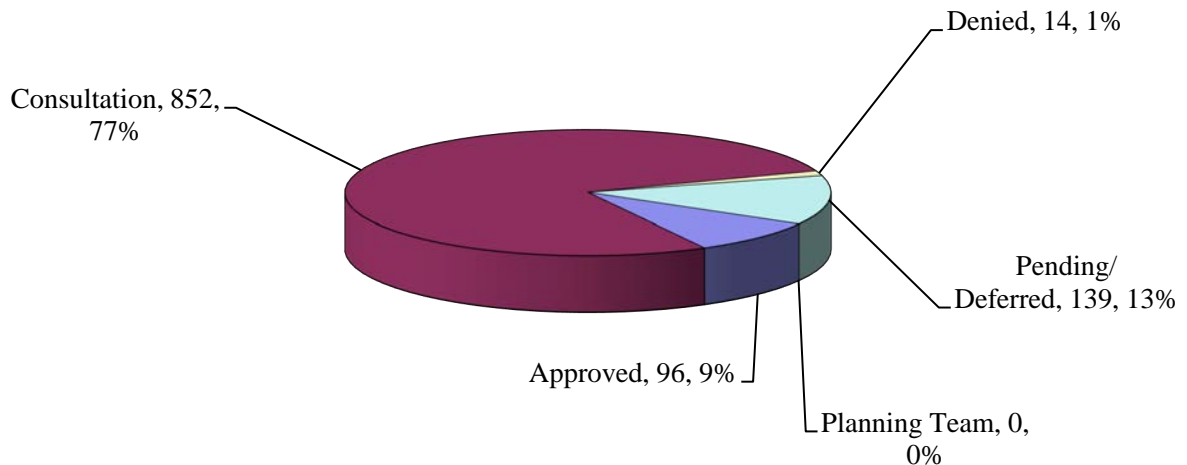
- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for February 2026 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	5	313	0	63	0	381
Behavioral	9	46	0	16	0	71
Education	0	0	0	0	0	0
Eligibility/Health	4	1	8	2	0	15
Early Start	25	96	6	23	0	150
Living Options	7	63	0	5	0	75
Supported/Ind.	3	97	0	13	0	113
All Others	43	236	0	17	0	296
<b>Monthly Total</b>	<b>96</b>	<b>852</b>	<b>14</b>	<b>139</b>	<b>0</b>	<b>1,101</b>

<b>FY 2025-26 Total to Date</b>	15,972	8,440	179	1,252	0	<b>25,843</b>
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**Resource Group Activity For Current Month**



## Operations Report Summary - February 2026

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,330	8,766	11,883	9	<b>23,988</b>	14,190	14,088
<i>Percentage of Total</i>	<i>14%</i>	<i>37%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>59%</i>	<i>59%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	24,506	13,960	10,546
Community Care Facility	1,394	14	1,380
State Developmental Center	9	0	9
Family Home Agency	28	0	28
Foster Home	216	207	9
Intermediate Care Facility	575	0	575
Independent Living	941	0	941
Supported Living	503	0	503
Skilled Nursing	66	0	66
Other	40	9	31
<b>Total</b>	<b>28,278</b>	<b>14,190</b>	<b>14,088</b>

Special Incident Investigations	Year to Date
AWOL	27
Abuse	75
Neglect	65
Injury	217
Hospitalizations - Total	387
Death	134
Victim of crime	6
Arrest	6
Rights	149
<b>Total</b>	<b>1,066</b>

### Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	74	0	74
Level 3	87	0	104
Level 4	211	8	206
<b>Total Community Care Facilities</b>	<b>372</b>	<b>8</b>	<b>384</b>

Licensed Facility Monitoring	Year to Date
Annual Review	361
Unannounced	580
Total Number of Reviews	941
Provider Trainings	0
Technical Support	2,538
Corrective Action Plans	41

### *Intermediate Care Facilities (ICF)*

ICF-DD	0
ICF-DD/Habilitation	67
ICF-DD/Nursing	41
<b>Total ICF Facilities</b>	<b>108</b>

<b>Number of Audits</b>	<b>6</b>
<b>Amount of Recovery from Audits</b>	<b>\$110,697</b>

<b>Total Licensed Facilities</b>	<b>480</b>
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**Summary of Information About Persons Served - March 2026**

<b>NUMBER OF PERSONS SERVED</b>	<b>27,659</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,339	12%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	10,465	38%
Adults - Ages 18 and Older Receiving Lanterman Services	13,395	48%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>307</b>
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*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,516	47%
Epilepsy	2,892	12%
Cerebral Palsy	2,524	10%
Autism	13,263	54%
Fifth Category*	2,256	9%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

<b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>	<b>387</b>
Early Start / Under Age Three / 45 days to complete determination	251 / 65%
Lanterman / Over Age Three / 120 days to complete determination	155 / 40%
Provisional / Up to Age Five / 90 days to complete determination	5 / 1%

<b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>	<b>129</b>
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	98
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	18
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	11
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	2

<b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b>	<b>125</b>
Children - Age Three No Longer Eligible for Early Start Services	125
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### MARCH 2026 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2025-26

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	42	50	40	32	40	63
Unannounced	86	92	73	46	50	74
<b>Total Number of Reviews</b>	<b>128</b>	<b>142</b>	<b>113</b>	<b>78</b>	<b>90</b>	<b>137</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	304	327	333	264	261	429
Corrective Action Plans	3	8	5	11	6	2
Special Incident Investigations*	33	75	76	42	41	55

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	44	50	46				<b>407</b>
Unannounced	84	75	102				<b>682</b>
<b>Total Number of Reviews</b>	<b>128</b>	<b>125</b>	<b>148</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>941</b>

Provider Trainings	0	0	0				<b>0</b>
Technical Support	276	344	389				<b>2,927</b>
Corrective Action Plans	3	3	1				<b>42</b>
Special Incident Investigations*	73	61	55				<b>511</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

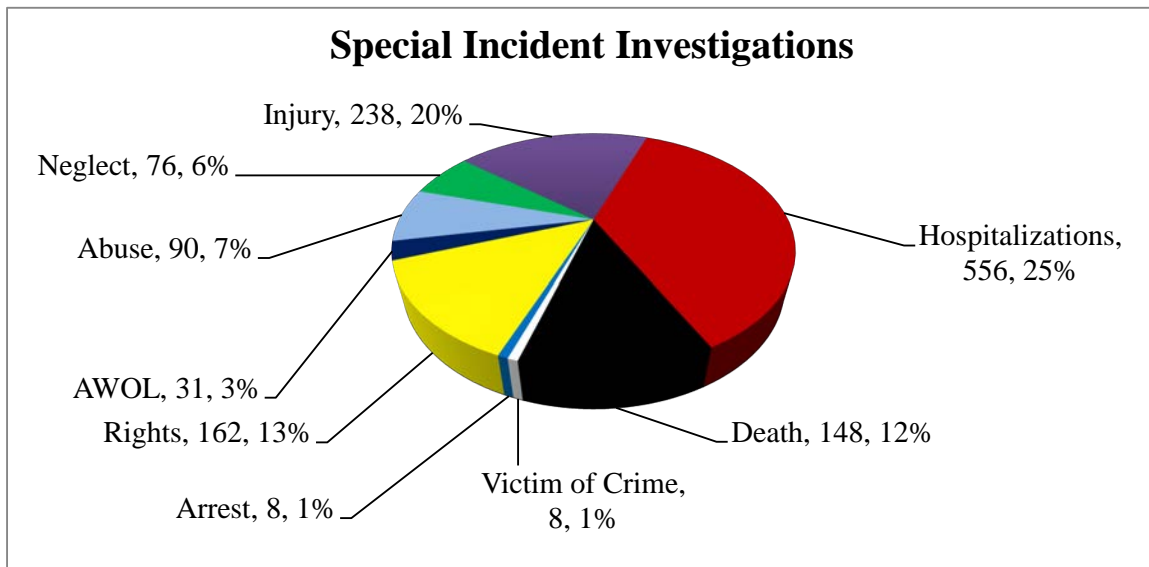
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
  - (B) Reasonably suspected abuse/exploitation including:
    - 1. Physical;
    - 2. Sexual;
    - 3. Fiduciary;
    - 4. Emotional/mental; or
    - 5. Physical and/or chemical restraint.
  - (C) Reasonably suspected neglect including failure to:
    - 1. Provide medical care for physical and mental health needs;
    - 2. Prevent malnutrition or dehydration;
    - 3. Protect from health and safety hazards;
    - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
    - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
  - (D) A serious injury/accident including:
    - 1. Lacerations requiring sutures or staples;
    - 2. Puncture wounds requiring medical treatment beyond first aid;
    - 3. Fractures;
    - 4. Dislocations;
    - 5. Bites that break the skin and require medical treatment beyond first aid;
    - 6. Internal bleeding requiring medical treatment beyond first aid;
    - 7. Any medication errors;
    - 8. Medication reactions that require medical treatment beyond first aid; or
    - 9. Burns that require medical treatment beyond first aid.
  - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
    - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
    - 2. Seizure-related;
    - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
    - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
    - 5. Diabetes, including diabetes-related complications;
    - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
    - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
    - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
*Fiscal Year 2025-26*

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	4	1	5	4	2
Abuse	3	9	9	10	15	7
Neglect	9	8	5	11	4	8
Injury	32	36	25	28	23	20
Hospitalizations - Total	50	37	52	52	49	33
<i>Psychiatric</i>	8	5	7	7	9	2
<i>Medical</i>	42	32	45	45	40	31
Death	10	12	11	17	11	13
Victim of crime	0	0	1	0	1	1
Arrest	0	2	0	0	0	1
Rights	24	27	12	12	11	42
<b>Total</b>	<b>132</b>	<b>135</b>	<b>116</b>	<b>135</b>	<b>118</b>	<b>127</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2	5	4				31
Abuse	8	14	15				90
Neglect	8	12	11				76
Injury	31	22	21				238
Hospitalizations - Total	60	54	57				444
<i>Psychiatric</i>	7	8	15				68
<i>Medical</i>	53	46	42				376
Death	44	16	14				148
Victim of Crime	2	1	2				8
Arrest	2	1	2				8
Rights	8	13	13				162
<b>Total</b>	<b>165</b>	<b>138</b>	<b>139</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,205</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
*Fiscal Year 2025-26*

*Number of Audits / Appeals / Recoveries*

<b>Type of Audit</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Service Billing	0	0	0	0	1	1
Staffing	0	0	0	0	1	0
Level 4I Consultant	0	0	0	0	0	1
P&I (consumer funds)	0	0	0	0	0	0
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>

*Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)*

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	2	1

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$75,083.88	\$594.40
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<b>Type of Audit</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>	<b>Total</b>
Service Billing	1	0	0				<b>3</b>
Staffing	1	0	0				<b>0</b>
Level 4I Consultant		0	0				<b>0</b>
P&I (consumer funds)		0	0				<b>0</b>
<b>Total Number of Audits</b>	<b>2</b>	<b>0</b>	<b>0</b>				<b>6</b>

*Number of Appeals / Recoveries*

State Appeal		0	0				<b>0</b>
Recovery	2	0	0				<b>5</b>

*Audit Findings (Dollar Amount)*

<i>Amount of Recovery</i>	\$35,018.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	<b>\$110,697.14</b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### *Fiscal Year 2025-26*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	76	70	86	87	73
Diapers - Family Member	3	2	1	3	3	1
Nursing Service - Family Member	55	42	51	50	41	No Data Available
Respite Service - Family Member	697	725	729	719	713	737
Transportation - Family Member	222	312	325	305	304	367
<b>Total Number of Voucher Authorizations</b>	<b>1,070</b>	<b>1,157</b>	<b>1,176</b>	<b>1,163</b>	<b>1,148</b>	<b>1,178</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	91	85	74			
Diapers - Family Member	3	4	3			
Nursing Service - Family Member	No Data Available	No Data Available	No Data Available			
Respite Service - Family Member	723	723	728			
Transportation - Family Member	307	314	322			
<b>Total Number of Voucher Authorizations</b>	<b>1,124</b>	<b>1,126</b>	<b>1,127</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2025-26*

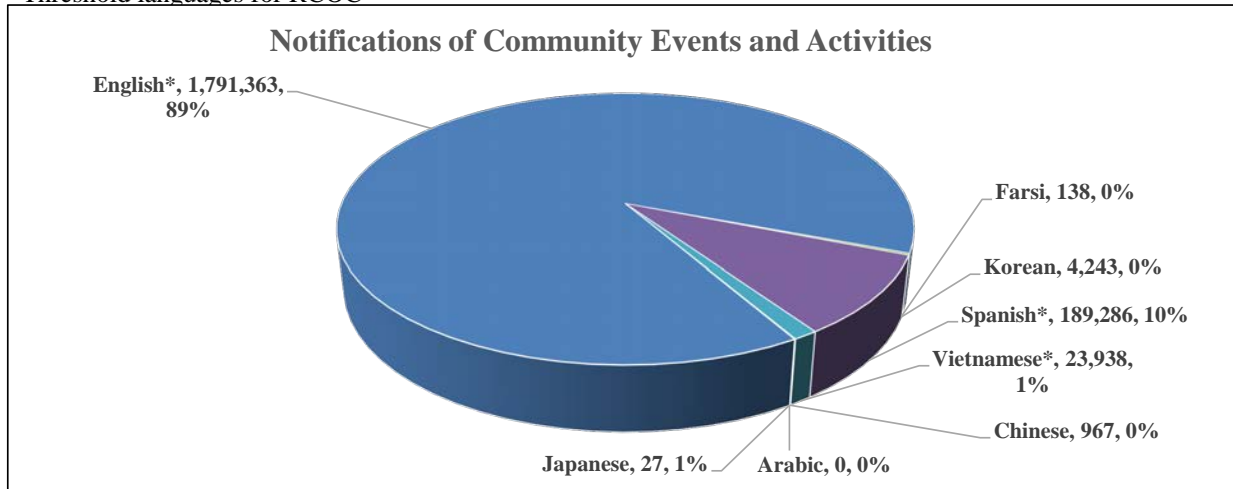
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	151,271	118,786	245,656	166,331	169,186	124,961
Farsi	0	0	0	0	0	0
Korean	778	136	662	157	1,102	301
Spanish*	16,264	19,523	35,018	28,313	14,552	7,868
Vietnamese*	2,124	538	2,518	1,270	2,006	2,295
Chinese	104	0	0	0	363	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
<b>Total Number of Notifications</b>	<b>170,541</b>	<b>138,983</b>	<b>283,854</b>	<b>196,071</b>	<b>187,209</b>	<b>135,425</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	276,787	253,071	285,314				1,791,363
Farsi	0	0	138				138
Korean	404	29	674				4,243
Spanish*	27,367	14,794	25,587				189,286
Vietnamese*	2,868	1,571	8,748				23,938
Chinese	230	0	270				967
Japanese	0	0	0				0
Arabic	0	0	0				0
<b>Total Number of Notifications</b>	<b>307,656</b>	<b>269,465</b>	<b>320,731</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,009,935</b>

\* Threshold languages for RCOG



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

Fiscal Year 2025-26

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
<b>In Person/Zoom</b>						
English	4	4	8	3	5	2
Spanish	0	5	3	5	4	1
Vietnamese	1	1	0	3	1	3
Other Languages**	1	1	2	1	2	
<b>In Print</b>						
English	2	1				2
Spanish						
Vietnamese	1		1			
Other Languages						
<b>TV / Radio</b>						
English						
Spanish						
Vietnamese	4	5	4	4	5	4
Other Languages						
<b>Total Number of Outreach Events</b>	<b>13</b>	<b>17</b>	<b>18</b>	<b>16</b>	<b>17</b>	<b>12</b>

\*\* Korean, Chinese or other Languages

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English	2	4	3				<b>35</b>
Spanish	2	2	4				<b>26</b>
Vietnamese		1	1				<b>11</b>
Other Languages**		3	2				<b>12</b>
<b>In Print</b>							
English		2					<b>7</b>
Spanish							<b>0</b>
Vietnamese		2	1				<b>5</b>
Other Languages							<b>0</b>
<b>TV / Radio</b>							
English							<b>0</b>
Spanish							<b>0</b>
Vietnamese	4	4	5				<b>39</b>
Other Languages							<b>0</b>
<b>Total Number of Outreach Events</b>	<b>8</b>	<b>18</b>	<b>16</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>135</b>

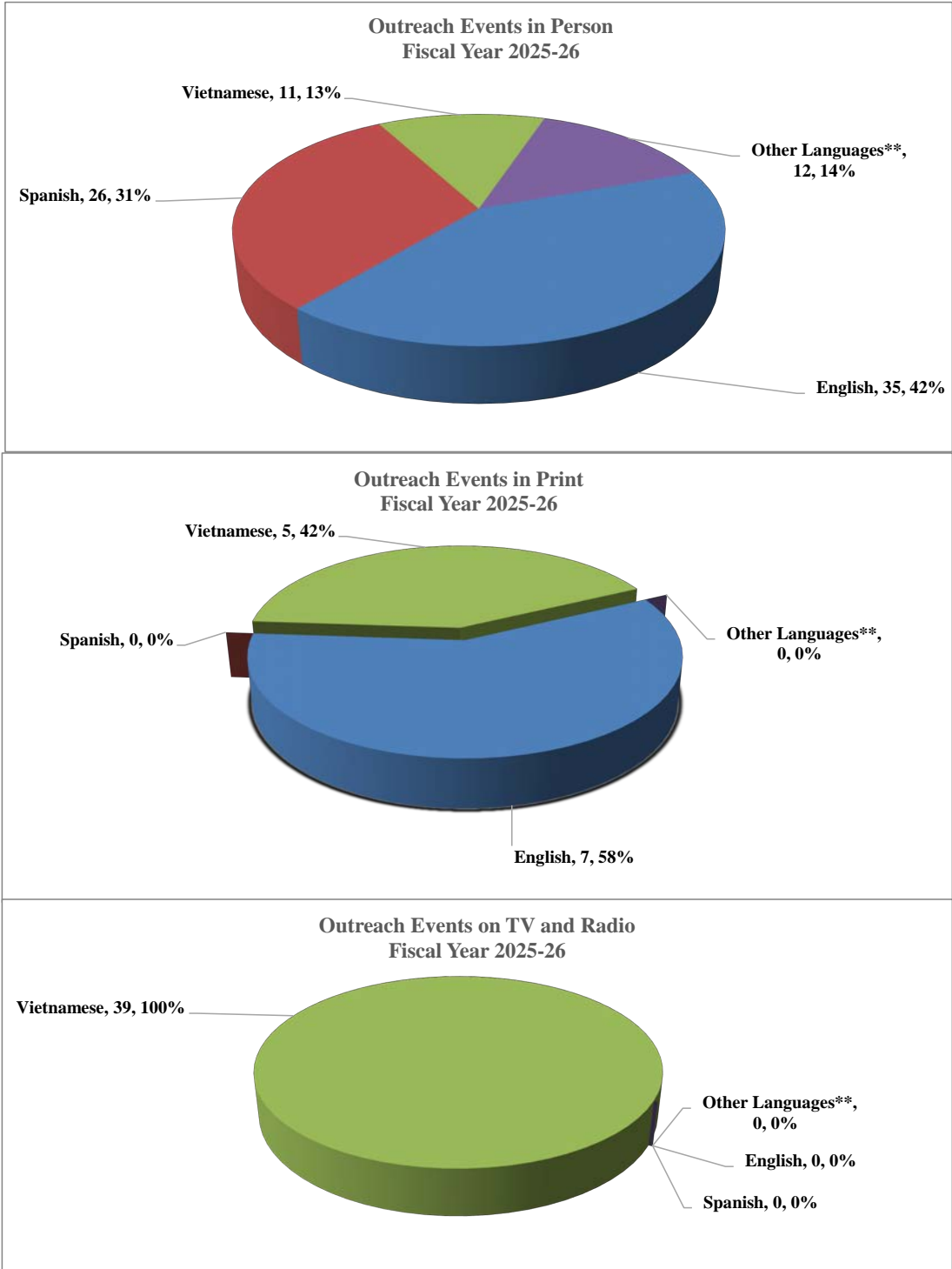
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2025-26



## EARLY INTERVENTION / PREVENTION

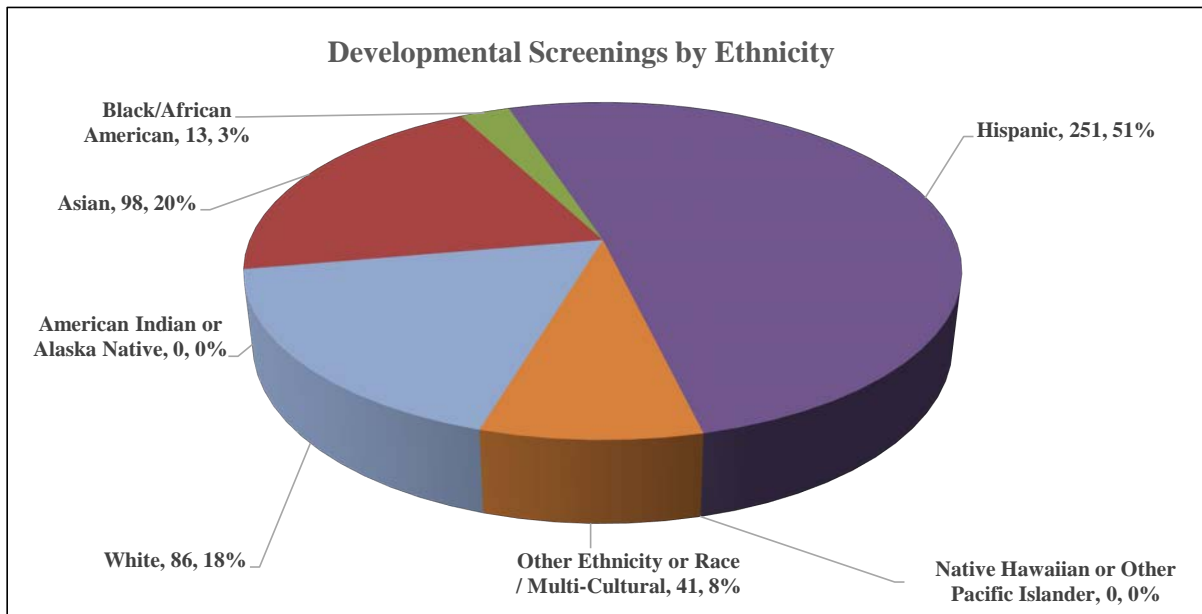
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2025-26

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	0	12	16	24	6	3
Black/African American	0	0	4	1	2	1
Hispanic	0	51	34	48	32	18
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	5	10	4	2	3
White	0	7	9	15	6	3
<b>Total Number Screened</b>	<b>0</b>	<b>75</b>	<b>73</b>	<b>92</b>	<b>48</b>	<b>28</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>43</b>	<b>27</b>	<b>35</b>	<b>22</b>	<b>8</b>

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0				<b>0</b>
Asian	10	7	20				<b>98</b>
Black/African American	2	0	3				<b>13</b>
Hispanic	18	31	19				<b>251</b>
Native Hawaiian or Other Pacific Islander	0	0	0				<b>0</b>
Other Ethnicity or Race / Multi-Cultural	1	6	10				<b>41</b>
White	4	25	17				<b>86</b>
<b>Total Number Screened</b>	<b>35</b>	<b>69</b>	<b>69</b>				<b>489</b>
<b>Total Number Referred to RCOC</b>	<b>21</b>	<b>37</b>	<b>36</b>				<b>229</b>

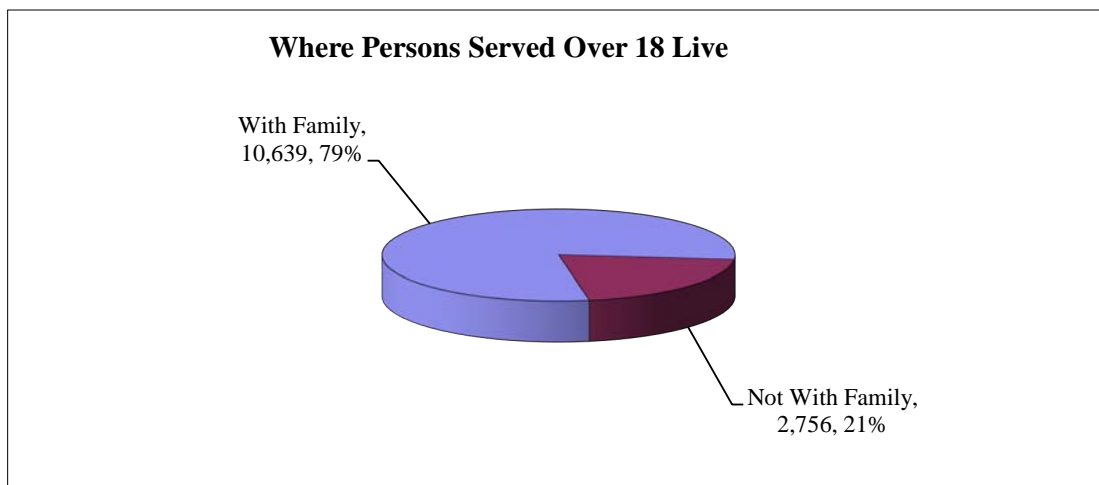


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

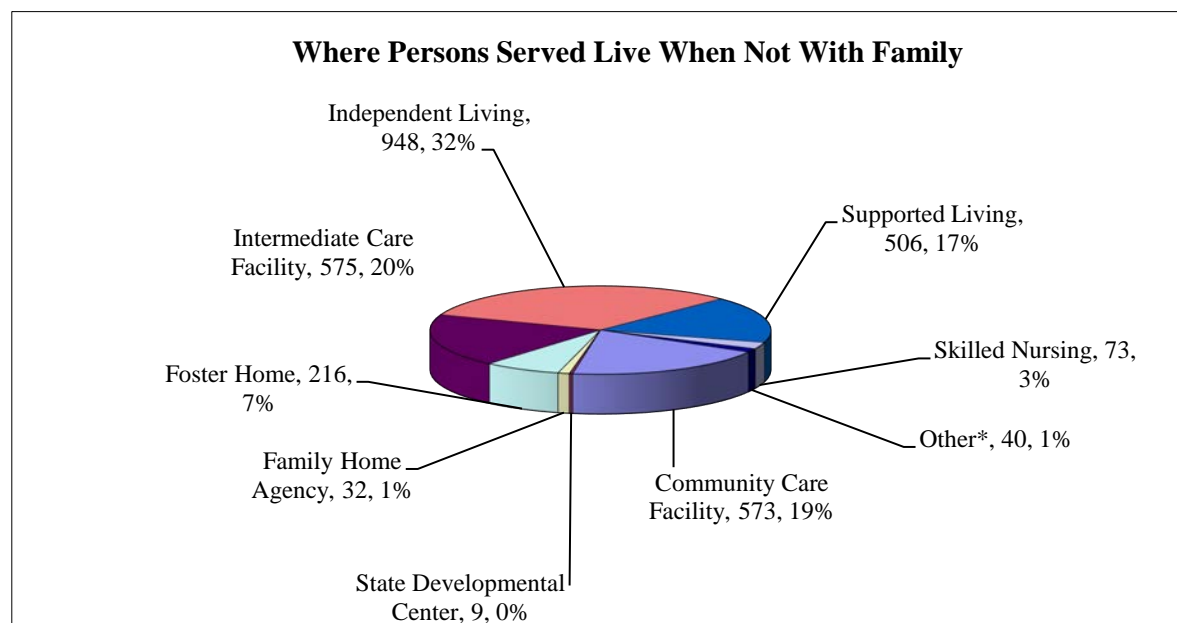
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	24,687	14,048	10,639
Not With Family	2,972	216	2,756
<b>Totals</b>	<b>27,659</b>	<b>14,264</b>	<b>13,395</b>



### LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	24,687	14,048	10,639
Community Care Facility	573	0	573
State Developmental Center	9	0	9
Family Home Agency	32	0	32
Foster Home	216	207	9
Intermediate Care Facility	575	0	575
Independent Living	948	0	948
Supported Living	506	0	506
Skilled Nursing	73	0	73
Other*	40	9	31
<b>Total</b>	<b>27,659</b>	<b>14,264</b>	<b>13,395</b>

<b>Other*</b>			
Acute General Hospital	2	0	2
California Youth Authority	1	1	0
Community Treatment	1	0	1
Correctional Institution	1	0	1
County Jail	1	0	1
Other	7	0	7
Out of State	1	1	0
Psychiatric Treatment	21	3	18
Rehabilitation Center	3	0	3
SDC / State Hospital	5	0	5
Sub-Acute	35	7	28
Transient / Homeless	9	2	7
<b>Total, Other*</b>	<b>87</b>	<b>14</b>	<b>73</b>



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

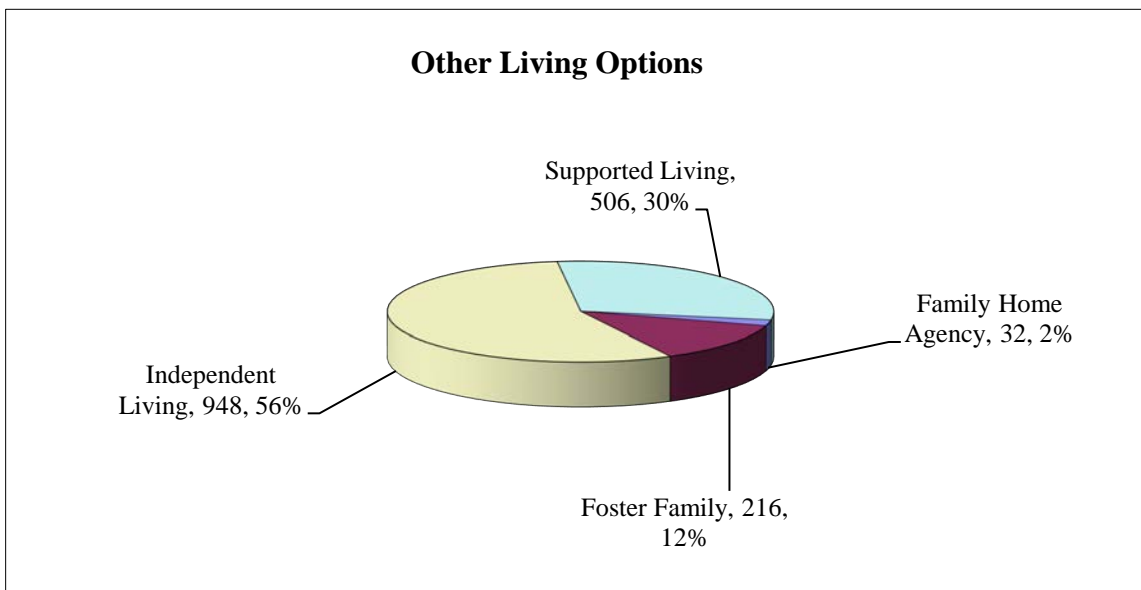
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

<b>Other Living Options</b>	<b>Total</b>	<b>Under 18</b>	<b>Over 18</b>
Family Home Agency	32	0	32
Foster Family	216	207	9
Independent Living	948	0	948
Supported Living	506	0	506
<b>Total</b>	<b>1,702</b>	<b>207</b>	<b>1,495</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC**  
*Fiscal Year 2025-26*

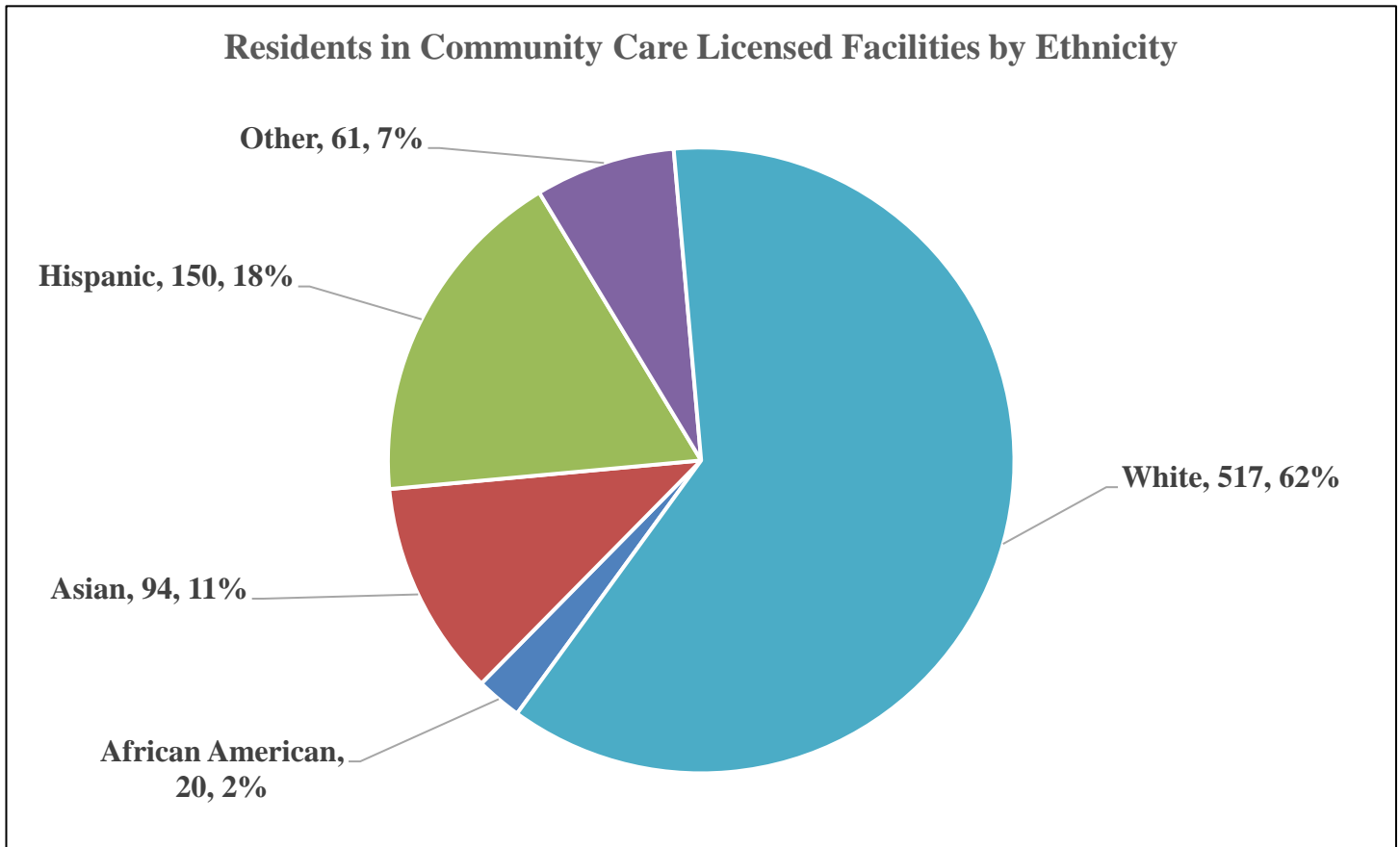
<b>Licensed Facilities</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	0	0	0
Level 3	0	0	0
Level 4A	0	0	0
Level 4B	0	0	0
Level 4C	0	0	0
Level 4D	0	0	0
Level 4E	0	0	0
Level 4F	0	0	0
Level 4G	0	0	0
Level 4H	0	0	0
Level 4I	0	0	0
Elderly	18	18	0
ICF/DD-H	1	1	0
ICF/DD-N	2	2	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b><i>Total</i></b>	<b><i>21</i></b>	<b><i>21</i></b>	<b><i>0</i></b>

<b>Licensed Facilities Summary</b>	<b>Total</b>	<b>Over 18</b>	<b>Under 18</b>
Level 2	0	0	0
Level 3	0	0	0
Level 4	0	0	0
ICF/DD-H	1	1	0
ICF/DD-N	2	5	0
Elderly	18	18	0
Skilled Nursing	0	0	0
<b><i>Total</i></b>	<b><i>21</i></b>	<b><i>24</i></b>	<b><i>0</i></b>

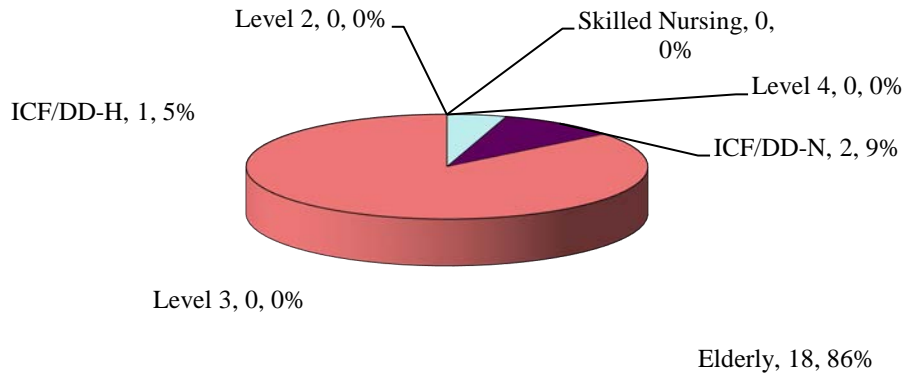
**LIVING OPTIONS, continued**

**Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity**  
*Fiscal Year 2025-26*

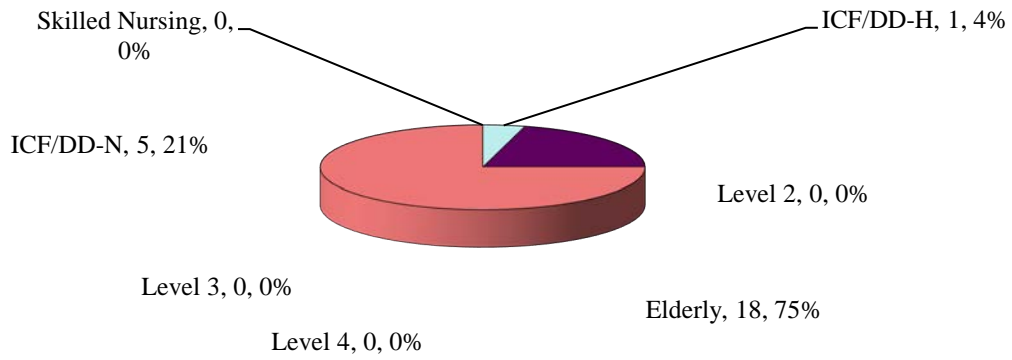
<b>Licensed Facilities</b>	<b>African American</b>	<b>Asian</b>	<b>Hispanic</b>	<b>Other</b>	<b>White</b>	<b>Total</b>
Level 2	6	20	51	17	179	273
Level 3	7	47	73	37	225	389
Level 4A	7	27	26	7	113	180
Level 4B	0	0	0	0	0	0
Level 4C	0	0	0	0	0	0
Level 4D	0	0	0	0	0	0
Level 4E	0	0	0	0	0	0
Level 4F	0	0	0	0	0	0
Level 4G	0	0	0	0	0	0
Level 4H	0	0	0	0	0	0
Level 4I	0	0	0	0	0	0
<b>Total</b>	<b>20</b>	<b>94</b>	<b>150</b>	<b>61</b>	<b>517</b>	<b>842</b>



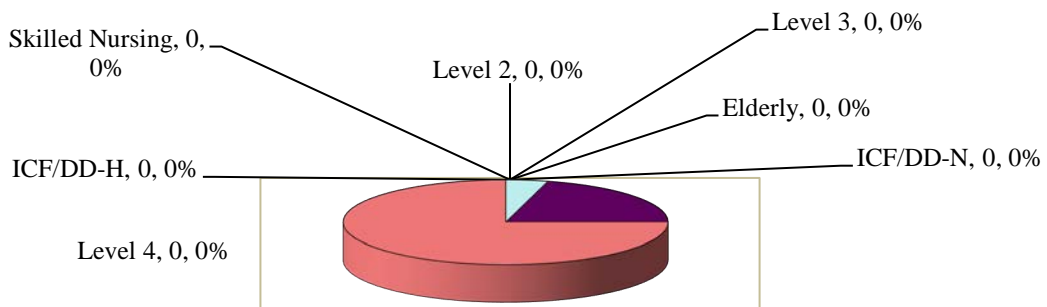
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

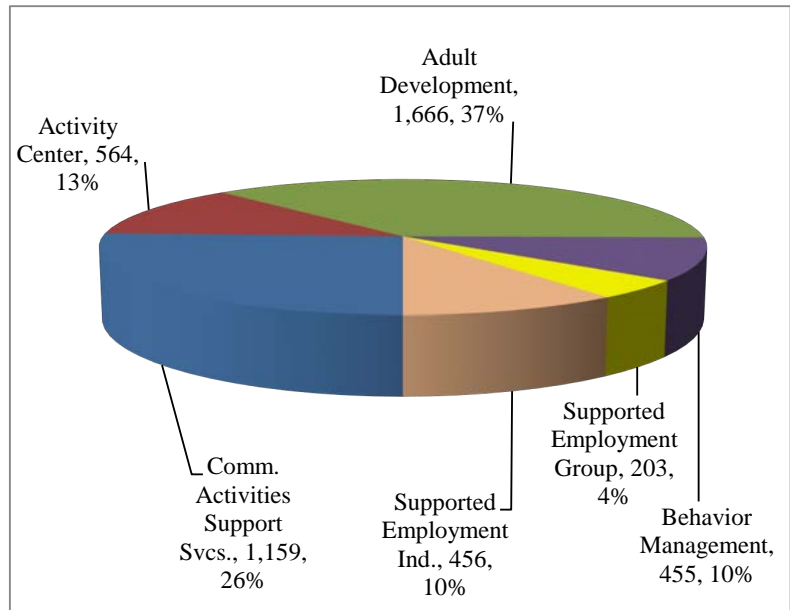


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,159
Activity Center	564
Adult Development	1,666
Behavior Management	455
Supported Employment Group	203
Supported Employment Ind.	456
<b>Total</b>	<b>4,503</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### *Fiscal Year 2025-26*

<b>Service Coordination:</b>	<b>Jul.</b>	<b>Aug.</b>	<b>Sept.</b>	<b>Oct.</b>	<b>Nov.</b>	<b>Dec.</b>
Number of Service Coordinators (SC)	469.1	481.2	479.3	504.4	502.0	499.3
Number of Case-Carrying SCs	416.8	429.0	429.0	452.1	446.5	442.4
Number of Intake SCs	43.0	43.0	43.0	45.0	45.0	45.0
Number of Active Persons Served	27,926	28,006	28,174	28,291	28,458	28,611
Caseload Ratio, # of Active Persons Served/SCs	67.0	65.3	65.7	62.6	63.7	64.7

<b>Service Coordination:</b>	<b>Jan.</b>	<b>Feb.</b>	<b>Mar.</b>	<b>Apr.</b>	<b>May</b>	<b>June</b>
Number of Service Coordinators (SC)	496.3	528.2	549.2			
Number of Case-Carrying SCs	440.3	471.3	492.3			
Number of Intake SCs	44.0	43.0	45.0			
Number of Active Persons Served	28,745	28,768	28,901			
Caseload Ratio, # of Active Persons Served/SCs	65.3	61.0	58.7			

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**

*Fiscal Year 2025-26*

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>28</b>	<b>27</b>	<b>23</b>	<b>27</b>	<b>24</b>	<b>27</b>	<b>27</b>	<b>32</b>	<b>34</b>			
Eligibility - Lanterman	9	10	8	9	11	13	10	14	11			
Behavioral services				2	2	2	2	1	3			
Respite	2	3	3	4	2	1	1		2			
Day Care												
Self Determination Budget	10	4	6	6	5	7	8	12	7			
Personal Assistance	2	2	2	1				1	4			
Other**	7	9	6	6	5	5	7	5	13			

\* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

\*\* *Other issues include but are not limited to living options.*

<b>Number of New Hearing Requests Filed*</b>	10	8	7	14	7	14	12	13	15			
Eligibility - Lanterman	3	6	5	5	6	7	7	6	7			
Eligibility - Early Start												
Behavioral services				2					1			
Respite	1	1		1								
Day Care												
Social/Recreational	1					1			1			
Social Skills Training												
SDP	2		2	3		4	5	4				
Personal Assistance	1								1			
Other**	2	1		1	1	1			5			

\* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

\*\* *Other issues include but are not limited to living options.*

<b>Number of All Meetings Held</b>	14	10	12	10	9	14	8	18	10			
Number of Informal Meetings Held	9	8	6	10	7	12	8	12	8			
Number of Mediations Held	3		2		1	2		4	2			
Number of SLFHs Held	2	2	2		1			2				

<b>Number of Requests in Scheduling*</b>	7	7	4	6	4	2	12	0	14			
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\* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

<b>Number of Requests Pending*</b>	1	2	0	1	1	0	0	2	0			
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\* *State Level Fair Hearing (SLFH) held but awaiting decision.*

<b>Number of Requests Settled</b>	6	8	9	10	10	11	7	13	10			
Withdrawn by Person Served/Family			1	2	5	1	1	1	1			
Settled in Informal	4	7	5	7	5	9	6	11	6			
Settled after further follow-up by RCOC				1								
Settled in Mediation	1		1					1	1			
SLFH Decision	1	1	2			1			2			

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>												
Person Served/Family												
RCOC	1	1	2			1			2			
Split												

## ADMINISTRATION AND GOVERNANCE

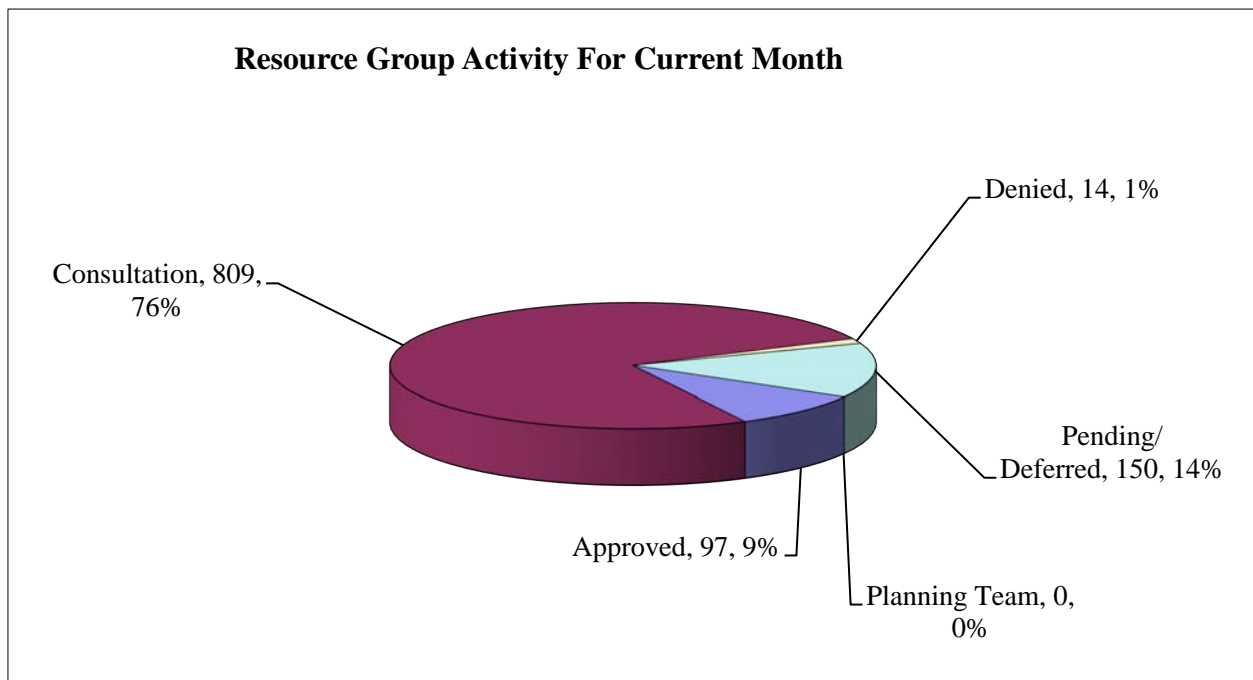
### Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for March 2026 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	6	278	0	74	0	358
Behavioral	8	46	0	27	0	81
Education	0	0	0	0	0	0
Eligibility/Health	4	1	8	2	0	15
Early Start	26	88	6	12	0	132
Living Options	7	63	0	5	0	75
Supported/Ind.	3	97	0	13	0	113
All Others	43	236	0	17	0	296
<b>Monthly Total</b>	<b>97</b>	<b>809</b>	<b>14</b>	<b>150</b>	<b>0</b>	<b>1,070</b>

<b>FY 2025-26 Total to Date</b>	1,069	9,249	193	1,402	0	<b>11,913</b>
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## Operations Report Summary - March 2026

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,339	8,761	11,879	9	<b>23,988</b>	14,264	13,395
<i>Percentage of Total</i>	<i>14%</i>	<i>37%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>59%</i>	<i>56%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	24,687	14,048	10,639
Community Care Facility	573	0	573
State Developmental Center	9	0	9
Family Home Agency	32	0	32
Foster Home	216	207	9
Intermediate Care Facility	575	0	575
Independent Living	948	0	948
Supported Living	506	0	506
Skilled Nursing	73	0	73
Other	40	9	31
<b>Total</b>	<b>27,659</b>	<b>14,264</b>	<b>13,395</b>

Special Incident Investigations	Year to Date
AWOL	31
Abuse	90
Neglect	76
Injury	238
Hospitalizations - Total	444
Death	148
Victim of crime	8
Arrest	8
Rights	162
<b>Total</b>	<b>1,205</b>

### Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	74	0	74
Level 3	87	0	105
Level 4	211	8	207
<b>Total Community Care Facilities</b>	<b>372</b>	<b>8</b>	<b>386</b>

Licensed Facility Monitoring	Year to Date
Annual Review	407
Unannounced	682
Total Number of Reviews	941
Provider Trainings	0
Technical Support	2,927
Corrective Action Plans	42

### *Intermediate Care Facilities (ICF)*

ICF-DD	0
ICF-DD/Habilitation	65
ICF-DD/Nursing	41
<b>Total ICF Facilities</b>	<b>106</b>

<b>Number of Audits</b>	<b>6</b>
<b>Amount of Recovery from Audits</b>	<b>\$110,697</b>

<b>Total Licensed Facilities</b>	<b>478</b>
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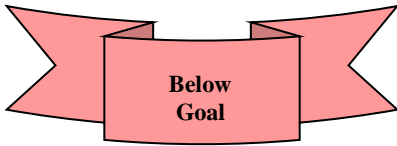
## Performance Contract Summary

RCOC as of 3/01/2026	All	RCOC #	Goal	Percentage	# Attained
Children in Foster Homes (FH)	14,196	196	220	1.38%	-24
Children Own Home Parent/Guardian	14,196	13,938	13,720	98.18%	218
Total # Children (FH,Parent/Guardian)	14,196	14,134	13,940	99.56%	194
Adult FHA	14,537	61	90	0.42%	-29
Independent Living (IL)	14,537	934	982	6.42%	-48
Adults Residing Own Home - Parent	14,537	10,543	10,510	72.53%	33
Supported Living (SL)	14,537	498	505	106.00%	-7
Total # Adults (FHA, IL,Parent/Guardian, SL)	14,537	12,036	12,087	185.37%	-51
Children Residing in a CCF (7+ beds)	14,196	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	14,196	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	14,196	0	0	0%	0
Total Children Residing in 7+ bed facilities	14,196	0	0	99.67%	0
Adults Residing in a CCF (7+ beds)	14,543	98	106	0.67%	8
Adults Residing in a ICF (7+ beds)	14,543	7	13	0.05%	6
Adults Residing in a Nursing Facility (7+ beds)	14,543	65	72	0.45%	7
Total Adults Residing in 7+ bed facilities	14,543	170	191	1.17%	21
Total Individuals Over Age 3 with <=120 days	336	336	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	336	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	336	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	14,543	43%	65%		
Total Number of Incentive Payments Made	14,543	342			
Avg. Wage per Hour After Incentive Payment	14,543	\$16.11			
Number of Persons Served with Earned Income	14,543	2,269			
Percentage of 16-64 Earned Income	14,543	15.5%			
Annual Earnings of 16-64	14,543	\$13,920			
Number of Adults in CIE After Paid Intern	14,543	8			
Percentage Adults Transitioned Internship to CIE	14,543	9%			
Total Annual Expenditures Race/Ethnicity	28,743				

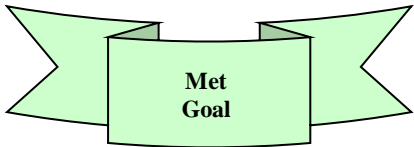
**Performance Contract 2025-2026**



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2025-2026**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	No
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.38%
Intake/Assessment and IFSP (0-2)	99.82%
IPP development biennial	Annual, 99.46
IFSP development	84.70%



## Performance Contract 2025-2026

### I. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 242, or 1.8%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.20%	252,861	5,676			
RCOC Public Hearing 4/23/25	1.80%	13,137	242			
RCOC 3/01/26	1.38%	14,196	196	220	1.38%	-24
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%		RCOC % of FH pop.	4.26%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-25	13,730	220	193	1.41%	-27
Aug-25	13,744	220	200	1.46%	-20
Sep-25	13,860	220	198	1.43%	-22
Oct-25	13,912	220	202	1.45%	-18
Nov-25	14,017	220	200	1.43%	-20
Dec-25	14,130	220	204	1.44%	-16
Jan-26	14,193	220	203	1.43%	-17
Feb-26	14,196	220	196	1.38%	-24
Mar-26		220			
Apr-26		220			
May-26		220			
Jun-26		220			



Progress: B. During public meetings, RCOC had 12,854 or 97.90%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.47%	252,861	246,467			
RCOC Public Hearing 4/23/25	97.85%	13,137	12,854	Goal	%	# Attained
RCOC 3/01/26	98.18%	14,196	13,938	13,720	98.18%	218
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%	RCOC % of Home		97.85%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-25	13,730	13,720	13,482	98.19%	-238
Aug-25	13,744	13,720	13,486	98.12%	-234
Sep-25	13,860	13,720	13,599	98.12%	-121
Oct-25	13,912	13,720	13,649	98.11%	-71
Nov-25	14,017	13,720	13,758	98.15%	38
Dec-25	14,130	13,720	13,868	98.15%	148
Jan-26	14,193	13,720	13,931	98.15%	211
Feb-26	14,196	13,720	13,938	98.18%	218
Mar-26		13,720			
Apr-26		13,720			
May-26		13,720			
Jun-26		13,720			



Progress: C. During public meetings, RCOC had 13,096, or 99.70%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.70%	252,861	252,143			
RCOC Public Hearing 4/23/25	99.70%	13,137	13,096	Goal	%	# Attained
RCOC 3/01/26	99.56%	14,196	14,134	13,940	99.56%	194
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % Homes		92.66%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-25	13,730	13,940	13,675	99.60%	-265
Aug-25	13,744	13,940	13,686	99.58%	-254
Sep-25	13,860	13,940	13,797	99.55%	-143
Oct-25	13,912	13,940	13,851	99.56%	-89
Nov-25	14,017	13,940	13,958	99.58%	18
Dec-25	14,130	13,940	14,072	99.59%	132
Jan-26	14,193	13,940	14,134	99.58%	194
Feb-26	14,196	13,940	14,134	99.56%	194
Mar-26		13,940			
Apr-26		13,940			
May-26		13,940			
Jun-26		13,940			



## Performance Contract 2025-2026

### II. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 91, or 0.7%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.70%	203,915	1,484			
RCOC Public Hearing 4/23/25	0.70%	13,898	91	90	0.42%	-29
RCOC 3/01/26	0.42%	14,537	61			
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		6.13%

**Below Goal**

	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-25	14,172	90	70	0.49%	-20
Aug-25	14,207	90	66	0.46%	-24
Sep-25	14,278	90	64	0.45%	-26
Oct-25	14,346	90	64	0.45%	-26
Nov-25	14,413	90	64	0.44%	-26
Dec-25	14,163	90	63	0.44%	-27
Jan-26	14,517	90	63	0.43%	-27
Feb-26	14,537	90	61	0.42%	-29
Mar-26		90			
Apr-26		90			
May-26		90			
Jun-26		90			



**Progress:** B. During public meetings, RCOC had 976, or 7.0%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	8.90%	203,915	18,216			
RCOC Public Hearing 4/23/25	7.00%	13,898	976	Goal	%	# Attained
RCOC 3/01/26	6.42%	14,537	934	982	6.42%	-48
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.36%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-25	14,172	982	948	6.69%	-34
Aug-25	14,207	982	950	6.69%	-32
Sep-25	14,278	982	947	6.63%	-35
Oct-25	14,346	982	944	6.58%	-38
Nov-25	14,413	982	945	6.56%	-37
Dec-25	14,463	982	947	6.57%	-35
Jan-26	14,517	982	943	6.50%	-39
Feb-26	14,537	982	934	6.42%	-48
Mar-26		982			
Apr-26		982			
May-26		982			
Jun-26		982			

**Progress:** C. During public meetings, RCOC had 9,890, or 71.2%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	69.90%	203,915	142,439			
RCOC Public Hearing 4/23/25	71.20%	13,898	9,890	10,510	72.53%	33
RCOC 3/01/26	72.53%	14,537	10,543			
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-25	14,172	10,510	10,173	71.78%	-337
Aug-25	14,207	10,510	10,207	71.84%	-303
Sep-25	14,278	10,510	10,271	71.94%	-239
Oct-25	14,346	10,510	10,338	72.06%	-172
Nov-25	14,413	10,510	10,408	72.21%	-102
Dec-25	14,463	10,510	10,449	72.25%	-61
Jan-26	14,517	10,510	10,507	72.38%	-3
Feb-26	14,537	10,510	10,543	72.53%	33
Mar-26		10,510			
Apr-26		10,510			
May-26		10,510			
Jun-26		10,510			

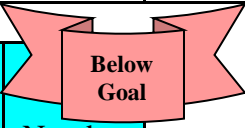


**Progress:** D. During public meetings, RCOC had 489, or 3.5%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	4.70%	203,915	9,477			
RCOC Public Hearing 4/23/25	3.50%	13,898	489	Goal	%	# Attained
RCOC 3/01/26	3.43%	14,537	498	505	3.43%	-7
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.16%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-25	14,172	505	492	3.47%	-13
Aug-25	14,207	505	492	3.46%	-13
Sep-25	14,278	505	495	3.47%	-10
Oct-25	14,346	505	497	3.46%	-8
Nov-25	14,413	505	490	3.40%	-15
Dec-25	14,463	505	491	3.39%	-14
Jan-26	14,517	505	496	3.42%	-9
Feb-26	14,537	505	498	3.43%	-7
Mar-26		505			
Apr-26		505			
May-26		505			
Jun-26		505			





Progress: E. During public meetings, RCOC had 11,446, or 82.4%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	84.20%	203,915	171,616			
RCOC Public Hearing 4/23/25	82.40%	13,898	11,446	Goal	%	# Attained
RCOC 3/01/26	82.80%	14,537	12,036	12,087	82.80%	-51
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%



	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-25	14,172	12,087	11,683	82.44%	-404
Aug-25	14,207	12,087	11,715	82.46%	-372
Sep-25	14,278	12,087	11,777	82.48%	-310
Oct-25	14,346	12,087	11,843	82.55%	-244
Nov-25	14,413	12,087	11,907	82.61%	-180
Dec-25	14,463	12,087	11,950	82.62%	-137
Jan-26	14,517	12,087	12,009	82.72%	-78
Feb-26	14,537	12,087	12,036	82.80%	-51
Mar-26		12,087			
Apr-26		12,087			
May-26		12,087			
Jun-26		12,087			

## Performance Contract 2025-2026

### III. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.01%	252,861	14			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	0	0.00%	0
RCOC 3/01/26	0.00%	14,196	0			
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26		0			
Apr-26		0			
May-26		0			
Jun-26		0			

**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	252,861	32			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 3/01/26	0.00%	14,196	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,192	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26		0			
Apr-26		0			
May-26		0			
Jun-26		0			

**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

**C. Total number and % of regional center children residing in a nursing facility.**

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	*	252,861	*			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 3/01/26	0.00%	14,196	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of NF		0.00%

*\*in accordance with CA Health and Human Services de-identification guidelines, counts of 1-10 have been suppressed*



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26		0			
Apr-26		0			
May-26		0			
Jun-26		0			



**Progress:** D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	252,861	49			
RCOC Public Hearing 4/23/25	0.00%	13,137	0			
RCOC 3/01/26	0.01%	14,196	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26		0			
Apr-26		0			
May-26		0			
Jun-26		0			



## Performance Contract 2025-2026

### IV. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 112, or 0.80%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.67%	203,915	1,284			
RCOC Public Hearing 4/23/25	0.80%	13,898	112			
RCOC 3/01/26	0.67%	14,537	98	106	0.67%	8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.72%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-25	14,172	106	98	0.69%	8
Aug-25	14,207	106	98	0.69%	8
Sep-25	14,278	106	99	0.69%	7
Oct-25	14,346	106	99	0.69%	7
Nov-25	14,413	106	100	0.69%	6
Dec-25	14,463	106	100	0.69%	6
Jan-26	14,517	106	97	0.67%	9
Feb-26	14,537	106	98	0.67%	8
Mar-26		106			
Apr-26		106			
May-26		106			
Jun-26		106			



**Progress:** B. During public meetings, RCOC had 15, or 0.10%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF 7+ beds.**

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	203,915	705			
RCOC Public Hearing 4/23/25	0.10%	13,898	15			
RCOC 3/01/26	0.05%	14,537	7	13	0.05%	6
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		2.13%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-25	14,172	13	14	0.10%	-1
Aug-25	14,172	13	14	0.10%	-1
Sep-25	14,278	13	14	0.10%	-1
Oct-25	14,346	13	15	0.10%	-2
Nov-25	14,413	13	15	0.10%	-2
Dec-25	14,463	13	14	0.10%	-1
Jan-26	14,517	13	13	0.09%	0
Feb-26	14,517	13	7	0.05%	6
Mar-26		13			
Apr-26		13			
May-26		13			
Jun-26		13			



**Progress:** C. During public meetings, RCOC had 77, or 0.60%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.40%	203,915	874			
RCOC Public Hearing 4/23/25	0.60%	13,898	77			
RCOC 3/01/26	0.45%	14,537	65	72	0.45%	7
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		8.81%



	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-25	14,172	72	70	0.49%	2
Aug-25	14,207	72	69	0.49%	3
Sep-25	14,278	72	69	0.48%	3
Oct-25	14,346	72	65	0.45%	7
Nov-25	14,413	72	61	0.42%	11
Dec-25	14,463	72	66	0.46%	6
Jan-26	14,517	72	64	0.44%	8
Feb-26	14,537	72	65	0.45%	7
Mar-26		72			
Apr-26		72			
May-26		72			
Jun-26		72			

**Progress:** D. During public meetings, RCOC had 204, or 1.5%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.40%	203,915	2,863			
RCOC Public Hearing 4/23/25	1.50%	13,898	205			
RCOC 3/01/26	1.17%	14,537	170	191	1.17%	21
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		7.16%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-25	14,172	191	182	1.28%	9
Aug-25	14,207	191	181	1.27%	10
Sep-25	14,278	191	182	1.27%	9
Oct-25	14,278	191	191	1.25%	12
Nov-25	14,413	191	176	1.22%	15
Dec-25	14,463	191	180	1.24%	11
Jan-26	14,517	191	174	1.20%	17
Feb-26	14,537	191	170	1.17%	21
Mar-26		191			
Apr-26		191			
May-26		191			
Jun-26		191			



## Performance Contract 2025-2026

### V. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

**Progress:** A. During public meetings, RCOC had 330, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	100%	330	300		
RCOC 3/01/26	100.00%	336	336	100%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained	Met Goal
Jul-25	537	100%	537	100%	
Aug-25	507	100%	504	99.41%	
Sep-25	480	100%	480	100%	
Oct-25	468	100%	467	99.79%	
Nov-25	430	100%	430	100%	
Dec-25	388	100%	387	99.74%	
Jan-26	332	100%	331	99.70%	
Feb-26	336	100%	336	100%	
Mar-26		100%			
Apr-26		100%			
May-26		100%			
Jun-26		100%			

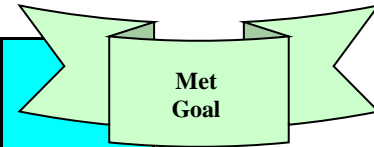
**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0.00%	330	0	0%	0.00%
RCOC 3/01/26	0.00%	336	0	0%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	3	0.59%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	1	0.21%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	1	0.26%
Jan-26	332	0%	0	0.00%
Feb-26	336	0%	0	0.00%
Mar-26		0%		
Apr-26		0%		
May-26		0%		
Jun-26		0%		



**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0%	330	0		
RCOC 3/01/26	0.42%	336	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	0	0.00%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	0	0.00%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	0	0.00%
Jan-26	332	0%	1	0.30%
Feb-26	336	0%	0	0.00%
Mar-26		0%		
Apr-26		0%		
May-26		0%		
Jun-26		0%		



**Performance Contract 2025-2026**

**VI. National Core Indicators (NCI) Employment**

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2017-18, 43% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	30%	Goal
RCOC FY 2014-15	33%	50%
RCOC FY 2017-18	43%	65%



**Progress:** B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$2,000, \$2,500, and \$3,000 incentive payments made within the fiscal year.

Fiscal Year	\$2,000	\$2,500	\$3,000
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
2022-2023	124	113	105

**Progress:** C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
2022-2023	16.9	\$16.11

**Performance Contract 2025-2026**

**VII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

**Objective:** RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2022 indicate that 1,964 persons served ages 16-64 had earned income. In 2023, 2,269 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	2,588	1,477
2019	2,607	1,520
2020	2,503	1,417
2021	1,839	1,414
2022	1,964	1,423
2023	2,269	1,583

**Progress:** B. Results from the EDD in 2022 indicate that 14.8% of persons served ages 16-64 reported having earned income. In 2023, the percentage of persons served ages 16-64 reporting earned income was 15.5%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2019	20%	16.6%
2020	18.8%	15.2%
2021	13.6%	13.9%
2022	14.8%	15.4%
2023	15.5%	15.2%

**Progress:** C. Results from the EDD in 2022 indicate that average annual wages for persons served ages 16-64 was \$12,900. In 2023, the average annual wage for persons served ages 16-64 was \$13,920. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,956	\$8,820
2020	\$6,936	\$8,952
2021	\$11,076	\$11,892
2022	\$12,900	\$13,200
2023	\$13,920	\$14,256



**Progress:** D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2022-23 RCOC had 8 individuals within a PIP that resulted in employment.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2018-19	7
2019-20	11
2020-21	0
2022-23	8

**Progress:** E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2022-23, 9% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2019-20	14%
2020-21	0%
2022-23	9%

**Progress:** F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2019-20	13	\$13.43
2020-21	13	\$13.98
2022-23	11.7	\$15.91



## Performance Contract 2025-2026

### VIII. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2024-2025 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

<b>Fiscal Year 2023-2024 Birth to 2 Years Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	6	\$38,621	\$3,582	55.7%
Asian	931	\$11,417,518	\$7,681	62.6%
Black/African American	94	\$1,298,775	\$6,920	50.1%
Hispanic	2,254	\$23,935,532	\$6,255	58.9%
Native Hawaiian or Other Pacific Islander	7	\$72,402	\$6,973	67.4%
White	1,229	\$11,144,260	\$5,159	56.9%
Race/Multi-Cultural	1,130	\$12,180,816	\$6,487	60.2%
<b>Totals</b>	<b>5,651</b>	<b>\$60,087,924</b>	<b>\$6,307</b>	<b>59.3%</b>

<b>Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	16	\$521,799	\$4,618	14.2%
Asian	2,469	\$27,579,138	\$5,252	47.0%
Black/African American	261	\$4,375,491	\$7,853	46.8%
Hispanic	4,817	\$45,908,919	\$4,137	43.4%
Native Hawaiian or Other Pacific Islander	30	\$329,453	\$4,028	36.7%
White	2,370	\$36,737,651	\$8,549	55.1%
Race/Multi-Cultural	2,370	\$31,014,006	\$6,457	49.2%
<b>Totals</b>	<b>12,324</b>	<b>\$146,466,457</b>	<b>\$5,732</b>	<b>48.2%</b>



<b>Fiscal Year 2023-2024 22 Years and Older Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	22	\$1,752,140	\$54,697	70.9%
Asian	1721	\$95,857,290	\$37,522	67.4%
Black/African American	285	\$21,133,070	\$51,204	69.1%
Hispanic	3251	\$142,949,249	\$29,417	66.9%
Native Hawaiian or Other Pacific Islander	14	\$627,684	\$32,812	73.2%
White	4877	\$392,461,532	\$58,681	72.9%
Other Ethnicity or Race/Multi-Cultural	1051	\$61,370,970	\$40,106	68.7%
<b>Totals</b>	<b>1122</b>	<b>\$7,161,515,936</b>	<b>\$44,991</b>	<b>70.5%</b>



**Progress:** B. Review of fiscal year 2023-24 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

<b>Fiscal Year 2023-2024 Birth to 2 Years Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	6	2	33.3%
Asian	931	44	4.7%
Black/African American	94	4	4.3%
Hispanic	2,254	127	5.6%
Native Hawaiian or Other Pacific Islander	7	1	14.3%
White	1,229	82	6.7%
Other Race/Ethnicity or Multi-Cultural	1,130	68	6%
<b>Totals</b>	<b>5,651</b>	<b>328</b>	<b>5.8%</b>

<b>Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	16	3	18.8%
Asian	2,469	696	28.2%
Black/African American	261	89	34.1%
Hispanic	4,817	1,975	41%
Native Hawaiian or Other Pacific Islander	30	9	30%
White	2,370	690	29.1%
Other Race/Ethnicity or Multi-Cultural	2,361	730	30.9%
<b>Totals</b>	<b>12,234</b>	<b>4,192</b>	<b>34%</b>



<b>Fiscal Year 2023-2024 22 Years and Older Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	22	4	18.2%
Asian	1,721	414	24.1%
Black/African American	285	57	20%
Hispanic	3,251	861	26.5%
Native Hawaiian or Other Pacific Islander	14	4	28.6%
White	4,877	793	16.3%
Other Race/Ethnicity or Multi-Cultural	1,051	262	24.9%
<b>Totals</b>	<b>11,221</b>	<b>2395</b>	<b>21.3%</b>



**Progress:** C. Review of fiscal year 2023-24 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

<b>Fiscal Year 2023-2024 Primary Language All Ages</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
Chinese	89	\$1,871,887	\$14,234	67.7%
English	22,915	\$789,858,234	\$23,259	67.5%
Spanish	4,861	\$91,768,371	\$10,930	55.8%
Vietnamese	1,078	\$20,887,583	\$11,974	61.8%
All Other Languages	433	\$18,320,241	\$29,607	70.0%
<b>Totals</b>	<b>29,196</b>	<b>\$922,706,316</b>	<b>\$20,932</b>	<b>66.2%</b>



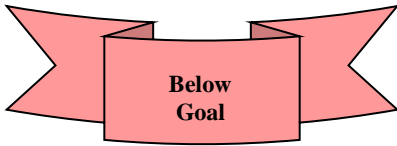
## Performance Contract Summary

RCOC as of 4/01/2026	All	RCOC #	Goal	Percentage	# Attained
Children in Foster Homes (FH)	14,278	192	220	1.34%	-28
Children Own Home Parent/Guardian	14,278	14,026	13,720	98.24%	306
Total # Children (FH,Parent/Guardian)	14,278	14,218	13,940	99.58%	278
Adult FHA	14,604	59	90	0.40%	-31
Independent Living (IL)	14,604	941	982	6.44%	-41
Adults Residing Own Home - Parent	14,604	10,598	10,510	72.57%	88
Supported Living (SL)	14,604	499	505	106.00%	-6
Total # Adults (FHA, IL,Parent/Guardian, SL)	14,604	12,097	12,087	185.42%	10
Children Residing in a CCF (7+ beds)	14,278	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	14,278	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	14,278	0	0	0%	0
Total Children Residing in 7+ bed facilities	14,278	0	0	99.67%	0
Adults Residing in a CCF (7+ beds)	14,604	99	106	0.68%	7
Adults Residing in a ICF (7+ beds)	14,604	5	13	0.03%	8
Adults Residing in a Nursing Facility (7+ beds)	14,604	65	72	0.45%	7
Total Adults Residing in 7+ bed facilities	14,604	169	191	1.16%	22
Total Individuals Over Age 3 with <=120 days	389	389	100%	100.00%	100%
Total Individuals Over Age 3 with 121-240 days	389	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	389	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	14,604	43%	65%		
Total Number of Incentive Payments Made	14,604	342			
Avg. Wage per Hour After Incentive Payment	14,604	\$16.11			
Number of Persons Served with Earned Income	14,604	2,269			
Percentage of 16-64 Earned Income	14,604	15.5%			
Annual Earnings of 16-64	14,604	\$13,920			
Number of Adults in CIE After Paid Intern	14,604	8			
Percentage Adults Transitioned Internship to CIE	14,604	9%			
Total Annual Expenditures Race/Ethnicity	28,892				

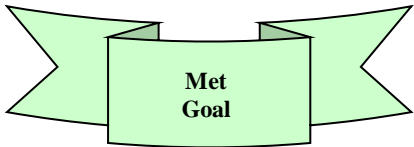
**Performance Contract 2025-2026**



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2025-2026**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	No
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.32%
Intake/Assessment and IFSP (0-2)	99.82%
IPP development biennial	Annual, 99.46
IFSP development	84.70%



## Performance Contract 2025-2026

### I. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 242, or 1.8%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.20%	252,861	5,676			
RCOC Public Hearing 4/23/25	1.80%	13,137	242			
RCOC 4/01/26	1.34%	14,278	192	220	1.34%	-28
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%		RCOC % of FH pop.	4.26%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-25	13,730	220	193	1.41%	-27
Aug-25	13,744	220	200	1.46%	-20
Sep-25	13,860	220	198	1.43%	-22
Oct-25	13,912	220	202	1.45%	-18
Nov-25	14,017	220	200	1.43%	-20
Dec-25	14,130	220	204	1.44%	-16
Jan-26	14,193	220	203	1.43%	-17
Feb-26	14,196	220	196	1.38%	-24
Mar-26	14,278	220	192	1.34%	-28
Apr-26		220			
May-26		220			
Jun-26		220			



Progress: B. During public meetings, RCOC had 12,854 or 97.90%, of children in own-home-parent/guardian.

**B. Number and % of regional center children in own home-parent/guardian.**

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.47%	252,861	246,467			
RCOC Public Hearing 4/23/25	97.85%	13,137	12,854	Goal	%	# Attained
RCOC 4/01/26	98.24%	14,278	14,026	13,720	98.24%	306
Analysis as of Public Hearing	RCOC % of DD pop.		5.20%	RCOC % of Home		97.85%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-25	13,730	13,720	13,482	98.19%	-238
Aug-25	13,744	13,720	13,486	98.12%	-234
Sep-25	13,860	13,720	13,599	98.12%	-121
Oct-25	13,912	13,720	13,649	98.11%	-71
Nov-25	14,017	13,720	13,758	98.15%	38
Dec-25	14,130	13,720	13,868	98.15%	148
Jan-26	14,193	13,720	13,931	98.15%	211
Feb-26	14,196	13,720	13,938	98.18%	218
Mar-26	14,278	13,720	14,026	98.24%	306
Apr-26		13,720			
May-26		13,720			
Jun-26		13,720			



Progress: C. During public meetings, RCOC had 13,096, or 99.70%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.70%	252,861	252,143			
RCOC Public Hearing 4/23/25	99.70%	13,137	13,096	Goal	%	# Attained
RCOC 4/01/26	99.58%	14,278	14,218	13,940	99.58%	278
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % Homes		92.11%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-25	13,730	13,940	13,675	99.60%	-265
Aug-25	13,744	13,940	13,686	99.58%	-254
Sep-25	13,860	13,940	13,797	99.55%	-143
Oct-25	13,912	13,940	13,851	99.56%	-89
Nov-25	14,017	13,940	13,958	99.58%	18
Dec-25	14,130	13,940	14,072	99.59%	132
Jan-26	14,193	13,940	14,134	99.58%	194
Feb-26	14,196	13,940	14,134	99.56%	194
Mar-26	14,278	13,940	14,218	99.58%	278
Apr-26		13,940			
May-26		13,940			
Jun-26		13,940			



## Performance Contract 2025-2026

### II. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 91, or 0.7%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.70%	203,915	1,484			
RCOC Public Hearing 4/23/25	0.70%	13,898	91	90	0.40%	-31
RCOC 4/01/26	0.40%	14,604	59			
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		6.13%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-25	14,172	90	70	0.49%	-20
Aug-25	14,207	90	66	0.46%	-24
Sep-25	14,278	90	64	0.45%	-26
Oct-25	14,346	90	64	0.45%	-26
Nov-25	14,413	90	64	0.44%	-26
Dec-25	14,163	90	63	0.44%	-27
Jan-26	14,517	90	63	0.43%	-27
Feb-26	14,537	90	61	0.42%	-29
Mar-26	14,604	90	59	0.40%	-31
Apr-26		90			
May-26		90			
Jun-26		90			



**Progress:** B. During public meetings, RCOC had 976, or 7.0%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	8.90%	203,915	18,216			
RCOC Public Hearing 4/23/25	7.00%	13,898	976	Goal	%	# Attained
RCOC 4/01/26	6.44%	14,604	941	982	6.44%	-41
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.36%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-25	14,172	982	948	6.69%	-34
Aug-25	14,207	982	950	6.69%	-32
Sep-25	14,278	982	947	6.63%	-35
Oct-25	14,346	982	944	6.58%	-38
Nov-25	14,413	982	945	6.56%	-37
Dec-25	14,463	982	947	6.57%	-35
Jan-26	14,517	982	943	6.50%	-39
Feb-26	14,537	982	934	6.42%	-48
Mar-26	14,604	982	941	6.44%	-41
Apr-26		982			
May-26		982			
Jun-26		982			

**Progress:** C. During public meetings, RCOC had 9,890, or 71.2%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	69.90%	203,915	142,439			
RCOC Public Hearing 4/23/25	71.20%	13,898	9,890			
RCOC 4/01/26	72.57%	14,604	10,598	10,510	72.57%	88
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-25	14,172	10,510	10,173	71.78%	-337
Aug-25	14,207	10,510	10,207	71.84%	-303
Sep-25	14,278	10,510	10,271	71.94%	-239
Oct-25	14,346	10,510	10,338	72.06%	-172
Nov-25	14,413	10,510	10,408	72.21%	-102
Dec-25	14,463	10,510	10,449	72.25%	-61
Jan-26	14,517	10,510	10,507	72.38%	-3
Feb-26	14,537	10,510	10,543	72.53%	33
Mar-26	14,604	10,510	10,598	72.57%	88
Apr-26		10,510			
May-26		10,510			
Jun-26		10,510			



**Progress:** D. During public meetings, RCOC had 489, or 3.5%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	4.70%	203,915	9,477			
RCOC Public Hearing 4/23/25	3.50%	13,898	489	Goal	%	# Attained
RCOC 4/01/26	3.42%	14,604	499	505	3.42%	-6
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.16%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-25	14,172	505	492	3.47%	-13
Aug-25	14,207	505	492	3.46%	-13
Sep-25	14,278	505	495	3.47%	-10
Oct-25	14,346	505	497	3.46%	-8
Nov-25	14,413	505	490	3.40%	-15
Dec-25	14,463	505	491	3.39%	-14
Jan-26	14,517	505	496	3.42%	-9
Feb-26	14,537	505	498	3.43%	-7
Mar-26	14,604	505	499	3.42%	-6
Apr-26		505			
May-26		505			
Jun-26		505			





Progress: E. During public meetings, RCOC had 11,446, or 82.4%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	84.20%	203,915	171,616			
RCOC Public Hearing 4/23/25	82.40%	13,898	11,446			
RCOC 4/01/26	82.83%	14,604	12,097	12,087	82.83%	10
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-25	14,172	12,087	11,683	82.44%	-404
Aug-25	14,207	12,087	11,715	82.46%	-372
Sep-25	14,278	12,087	11,777	82.48%	-310
Oct-25	14,346	12,087	11,843	82.55%	-244
Nov-25	14,413	12,087	11,907	82.61%	-180
Dec-25	14,463	12,087	11,950	82.62%	-137
Jan-26	14,517	12,087	12,009	82.72%	-78
Feb-26	14,537	12,087	12,036	82.80%	-51
Mar-26	14,604	12,087	12,097	82.83%	10
Apr-26		12,087			
May-26		12,087			
Jun-26		12,087			



## Performance Contract 2025-2026

### III. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

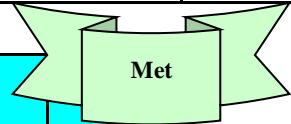
**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.01%	252,861	14			
RCOC Public Hearing 4/23/25	0.00%	13,137	0			
RCOC 4/01/26	0.00%	14,278	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26		0			
May-26		0			
Jun-26		0			

**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	252,861	32			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 4/01/26	0.00%	14,278	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,192	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26		0			
May-26		0			
Jun-26		0			

**Progress: C.** During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

**C. Total number and % of regional center children residing in a nursing facility.**

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	*	252,861	*			
RCOC Public Hearing 4/23/25	0.00%	13,137	0	Goal	%	# Attained
RCOC 4/01/26	0.00%	14,278	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % of NF		0.00%

*\*in accordance with CA Health and Human Services de-identification guidelines, counts of 1-10 have been suppressed*



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26		0			
May-26		0			
Jun-26		0			



**Progress:** D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	252,861	49			
RCOC Public Hearing 4/23/25	0.00%	13,137	0			
RCOC 4/01/26	0.01%	14,278	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.20%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jul-25	13,730	0	0	0.00%	0
Aug-25	13,744	0	0	0.00%	0
Sep-25	13,860	0	0	0.00%	0
Oct-25	13,912	0	0	0.00%	0
Nov-25	14,017	0	0	0.00%	0
Dec-25	14,130	0	0	0.00%	0
Jan-26	14,193	0	0	0.00%	0
Feb-26	14,196	0	0	0.00%	0
Mar-26	14,278	0	0	0.00%	0
Apr-26		0			
May-26		0			
Jun-26		0			



## Performance Contract 2025-2026

### IV. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

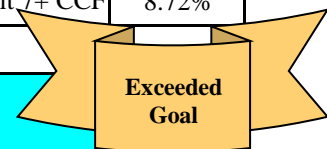
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 112, or 0.80%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.67%	203,915	1,284			
RCOC Public Hearing 4/23/25	0.80%	13,898	112			
RCOC 4/01/26	0.68%	14,604	99	106	0.68%	7
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.72%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-25	14,172	106	98	0.69%	8
Aug-25	14,207	106	98	0.69%	8
Sep-25	14,278	106	99	0.69%	7
Oct-25	14,346	106	99	0.69%	7
Nov-25	14,413	106	100	0.69%	6
Dec-25	14,463	106	100	0.69%	6
Jan-26	14,517	106	97	0.67%	9
Feb-26	14,537	106	98	0.67%	8
Mar-26	14,604	106	99	0.68%	7
Apr-26		106			
May-26		106			
Jun-26		106			



**Progress:** B. During public meetings, RCOC had 15, or 0.10%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF 7+ beds.**

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	203,915	705			
RCOC Public Hearing 4/23/25	0.10%	13,898	15			
RCOC 4/01/26	0.03%	14,604	5	13	0.03%	8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		2.13%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-25	14,172	13	14	0.10%	-1
Aug-25	14,172	13	14	0.10%	-1
Sep-25	14,278	13	14	0.10%	-1
Oct-25	14,346	13	15	0.10%	-2
Nov-25	14,413	13	15	0.10%	-2
Dec-25	14,463	13	14	0.10%	-1
Jan-26	14,517	13	13	0.09%	0
Feb-26	14,517	13	7	0.05%	6
Mar-26	14,604	13	5	0.03%	8
Apr-26		13			
May-26		13			
Jun-26		13			



**Progress:** C. During public meetings, RCOC had 77, or 0.60%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.40%	203,915	874			
RCOC Public Hearing 4/23/25	0.60%	13,898	77			
RCOC 4/01/26	0.45%	14,604	65	72	0.45%	7
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		8.81%



	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-25	14,172	72	70	0.49%	2
Aug-25	14,207	72	69	0.49%	3
Sep-25	14,278	72	69	0.48%	3
Oct-25	14,346	72	65	0.45%	7
Nov-25	14,413	72	61	0.42%	11
Dec-25	14,463	72	66	0.46%	6
Jan-26	14,517	72	64	0.44%	8
Feb-26	14,537	72	65	0.45%	7
Mar-26	14,608	72	65	0.45%	7
Apr-26		72			
May-26		72			
Jun-26		72			

**Progress:** D. During public meetings, RCOC had 204, or 1.5%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.40%	203,915	2,863			
RCOC Public Hearing 4/23/25	1.50%	13,898	205			
RCOC 4/01/26	1.16%	14,604	169	191	1.16%	22
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		7.16%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-25	14,172	191	182	1.28%	9
Aug-25	14,207	191	181	1.27%	10
Sep-25	14,278	191	182	1.27%	9
Oct-25	14,278	191	191	1.25%	12
Nov-25	14,413	191	176	1.22%	15
Dec-25	14,463	191	180	1.24%	11
Jan-26	14,517	191	174	1.20%	17
Feb-26	14,537	191	170	1.17%	21
Mar-26	14,604	191	169	1.16%	22
Apr-26		191			
May-26		191			
Jun-26		191			



## Performance Contract 2025-2026

### V. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

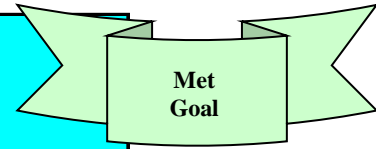
**Progress:** A. During public meetings, RCOC had 330, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	100%	330	300		
RCOC 4/01/26	100.00%	389	389	100%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jul-25	537	100%	537	100%
Aug-25	507	100%	504	99.41%
Sep-25	480	100%	480	100%
Oct-25	468	100%	467	99.79%
Nov-25	430	100%	430	100%
Dec-25	388	100%	387	99.74%
Jan-26	332	100%	331	99.70%
Feb-26	336	100%	336	100%
Mar-26	389	100%	389	100%
Apr-26		100%		
May-26		100%		
Jun-26		100%		



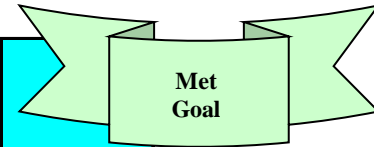
**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0.00%	330	0	0%	0.00%
RCOC 4/01/26	0.00%	389	0	0%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	3	0.59%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	1	0.21%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	1	0.26%
Jan-26	332	0%	0	0.00%
Feb-26	336	0%	0	0.00%
Mar-26	389	0%	0	0.00%
Apr-26		0%		
May-26		0%		
Jun-26		0%		



**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
RCOC Public Hearing 4/23/25	0%	330	0		
RCOC 4/01/26	0.42%	389	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jul-25	537	0%	0	0.00%
Aug-25	507	0%	0	0.00%
Sep-25	480	0%	0	0.00%
Oct-25	468	0%	0	0.00%
Nov-25	430	0%	0	0.00%
Dec-25	388	0%	0	0.00%
Jan-26	332	0%	1	0.30%
Feb-26	336	0%	0	0.00%
Mar-26	389	0%	0	0.00%
Apr-26		0%		
May-26		0%		
Jun-26		0%		



**Performance Contract 2025-2026**

**VI. National Core Indicators (NCI) Employment**

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2017-18, 43% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	30%	Goal
RCOC FY 2014-15	33%	50%
RCOC FY 2017-18	43%	65%



**Progress:** B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$2,000, \$2,500, and \$3,000 incentive payments made within the fiscal year.

Fiscal Year	\$2,000	\$2,500	\$3,000
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
2022-2023	124	113	105

**Progress:** C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
2022-2023	16.9	\$16.11

**Performance Contract 2025-2026**

**VII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

**Objective:** RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2022 indicate that 1,964 persons served ages 16-64 had earned income. In 2023, 2,269 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	2,588	1,477
2019	2,607	1,520
2020	2,503	1,417
2021	1,839	1,414
2022	1,964	1,423
2023	2,269	1,583

**Progress:** B. Results from the EDD in 2022 indicate that 14.8% of persons served ages 16-64 reported having earned income. In 2023, the percentage of persons served ages 16-64 reporting earned income was 15.5%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2019	20%	16.6%
2020	18.8%	15.2%
2021	13.6%	13.9%
2022	14.8%	15.4%
2023	15.5%	15.2%

**Progress:** C. Results from the EDD in 2022 indicate that average annual wages for persons served ages 16-64 was \$12,900. In 2023, the average annual wage for persons served ages 16-64 was \$13,920. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,956	\$8,820
2020	\$6,936	\$8,952
2021	\$11,076	\$11,892
2022	\$12,900	\$13,200
2023	\$13,920	\$14,256



**Progress:** D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2022-23 RCOC had 8 individuals within a PIP that resulted in employment.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2018-19	7
2019-20	11
2020-21	0
2022-23	8

**Progress:** E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2022-23, 9% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2019-20	14%
2020-21	0%
2022-23	9%

**Progress:** F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2019-20	13	\$13.43
2020-21	13	\$13.98
2022-23	11.7	\$15.91



## Performance Contract 2025-2026

### VIII. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2024-2025 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

<b>Fiscal Year 2023-2024 Birth to 2 Years Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	6	\$38,621	\$3,582	55.7%
Asian	931	\$11,417,518	\$7,681	62.6%
Black/African American	94	\$1,298,775	\$6,920	50.1%
Hispanic	2,254	\$23,935,532	\$6,255	58.9%
Native Hawaiian or Other Pacific Islander	7	\$72,402	\$6,973	67.4%
White	1,229	\$11,144,260	\$5,159	56.9%
Other Ethnicity or Race/Multi-Cultural	1,130	\$12,180,816	\$6,487	60.2%
<b>Totals</b>	<b>5,651</b>	<b>\$60,087,924</b>	<b>\$6,307</b>	<b>59.3%</b>

<b>Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	16	\$521,799	\$4,618	14.2%
Asian	2,469	\$27,579,138	\$5,252	47.0%
Black/African American	261	\$4,375,491	\$7,853	46.8%
Hispanic	4,817	\$45,908,919	\$4,137	43.4%
Native Hawaiian or Other Pacific Islander	30	\$329,453	\$4,028	36.7%
White	2,370	\$36,737,651	\$8,549	55.1%
Other Ethnicity or Race/Multi-Cultural	2,370	\$31,014,006	\$6,457	49.2%
<b>Totals</b>	<b>12,324</b>	<b>\$146,466,457</b>	<b>\$5,732</b>	<b>48.2%</b>



<b>Fiscal Year 2023-2024 22 Years and Older Ethnicity</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
American Indian or Alaska Native	22	\$1,752,140	\$54,697	70.9%
Asian	1721	\$95,857,290	\$37,522	67.4%
Black/African American	285	\$21,133,070	\$51,204	69.1%
Hispanic	3251	\$142,949,249	\$29,417	66.9%
Native Hawaiian or Other Pacific Islander	14	\$627,684	\$32,812	73.2%
White	4877	\$392,461,532	\$58,681	72.9%
Other Ethnicity or Race/Multi-Cultural	1051	\$61,370,970	\$40,106	68.7%
<b>Totals</b>	<b>1122</b>	<b>\$7,161,515,936</b>	<b>\$44,991</b>	<b>70.5%</b>



**Progress:** B. Review of fiscal year 2023-24 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

<b>Fiscal Year 2023-2024 Birth to 2 Years Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	6	2	33.3%
Asian	931	44	4.7%
Black/African American	94	4	4.3%
Hispanic	2,254	127	5.6%
Native Hawaiian or Other Pacific Islander	7	1	14.3%
White	1,229	82	6.7%
Other Race/Ethnicity or Multi-Cultural	1,130	68	6%
<b>Totals</b>	<b>5,651</b>	<b>328</b>	<b>5.8%</b>

<b>Fiscal Year 2023-2024 3 Years to 21 Years Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	16	3	18.8%
Asian	2,469	696	28.2%
Black/African American	261	89	34.1%
Hispanic	4,817	1,975	41%
Native Hawaiian or Other Pacific Islander	30	9	30%
White	2,370	690	29.1%
Other Race/Ethnicity or Multi-Cultural	2,361	730	30.9%
<b>Totals</b>	<b>12,234</b>	<b>4,192</b>	<b>34%</b>



<b>Fiscal Year 2023-2024 22 Years and Older Ethnicity</b>	<b>Total Persons</b>	<b>Case Management</b>	<b>Percent No Services</b>
American Indian or Alaska Native	22	4	18.2%
Asian	1,721	414	24.1%
Black/African American	285	57	20%
Hispanic	3,251	861	26.5%
Native Hawaiian or Other Pacific Islander	14	4	28.6%
White	4,877	793	16.3%
Other Race/Ethnicity or Multi-Cultural	1,051	262	24.9%
<b>Totals</b>	<b>11,221</b>	<b>2395</b>	<b>21.3%</b>



**Progress:** C. Review of fiscal year 2023-24 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

<b>Fiscal Year 2023-2024 Primary Language All Ages</b>	<b>Total Persons</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Utilized</b>
Chinese	89	\$1,871,887	\$14,234	67.7%
English	22,915	\$789,858,234	\$23,259	67.5%
Spanish	4,861	\$91,768,371	\$10,930	55.8%
Vietnamese	1,078	\$20,887,583	\$11,974	61.8%
All Other Languages	433	\$18,320,241	\$29,607	70.0%
<b>Totals</b>	<b>29,196</b>	<b>\$922,706,316</b>	<b>\$20,932</b>	<b>66.2%</b>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Sandy Martin  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Renewal of Board Membership for John “Chip” Wright for a Three-Year Term Commencing June 15, 2026 and Ending June 14, 2029**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Mr. John “Chip” Wright will complete his first term on June 15, 2026. The Executive Committee recommends that the Board renew Mr. Wright’s membership for a three-year term, commencing June 15, 2026 and ending June 14, 2029.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Mr. John “Chip” Wright’s membership for a three-year term, commencing June 15, 2026 and Ending June 14, 2029.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Sandy Martin  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Renewal of Board Membership for Yvonne Kluttz for a Three-Year Term Commencing July 1, 2026 and Ending June 30, 2029**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Ms. Yvonne Kluttz will complete her second term on June 30, 2026. The Executive Committee recommends that the Board renew Ms. Kluttz’s membership for a three-year term, commencing July 1, 2026 and ending June 30, 2029.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Yvonne Kluttz’s membership for a three-year term, commencing July 1, 2026 and ending June 30, 2029.

**Regional Center of Orange County  
Board Recruitment and Training Committee  
April 13, 2026  
Videoconference Minutes**

**Committee Members Present:** Sandy Martin, Chair  
Bruce Hall  
Chinh Nguyen  
Jacqueline Nguyen  
Hilda Mendez  
Chip Wright

**Committee Member Absent:** Frances Hernandez

**RCOC Staff Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Chief Information Officer  
Arturo Cazares, Associate Executive Director  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Director of Human Resources

Ms. Sandy Martin called the videoconference meeting to order at 5:00 p.m.

**I. Board Recruitment**

**A. Review Board Members' Terms of Office and Upcoming Turnover**

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

**B. Discuss Recruitment Needs – Review of Board Member Application**

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

The Committee also reviewed and discussed Ms. Isabel Ibarra's Board member application. The Committee decided to recommend Ms. Ibarra's application to the Executive Committee for Board membership for a one-year term.

***M/S/C to recommend to the Executive Committee appointment of Isabel Ibarra to RCOC's Board of Directors for a one-year term Commencing June 1, 2026 and Ending May 31, 2027***

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics**

The Committee reviewed the training schedule and discussed the Board Retreat scheduled for Saturday, July 18, 2026. Ms. Martin requested that the retreat include a summary of the new 2026 Strategic Plan, along with an outline of how staff will provide regular updates during board meetings.

Mr. Landauer shared that a draft agenda for the retreat will be prepared and presented at the next Committee meeting.

**III. Community Forum**

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:32 p.m.

*Recorder: Sandra Lomelí*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Sandy Martin  
Chair, Board Recruitment & Training Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of Board Membership for Isabel Ibarra for a One-Year Term Commencing June 1, 2026 and Ending May 31, 2027**

BACKGROUND:

The Board’s Policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Act (Welfare and Institutions Code (WIC), §4622). WIC §4622(c) requires that a minimum of 50% of the members of the governing board shall be persons with developmental disabilities or their parents or legal guardians. No less than 25% of the members of the governing board are persons with developmental disabilities.

REASON FOR CURRENT ITEM:

RCOC received an application for Board Membership from Ms. Isabel Ibarra (see attached). The Committee has reviewed her application and completed an interview with Ms. Ibarra. The Committee determined that Ms. Ibarra’s membership will be beneficial to RCOC’s constituents and its compliance with the balance, diversity and expertise requirements set forth in the Lanterman Act.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Board membership for Isabel Ibarra for a one-year term commencing June 1, 2026 and ending May 31, 2027.

Regional Center of Orange County

P.O. Box 22010

Santa Ana, CA 92702-2010

Board Member Application

Date: 3-28-25

Name: Isabel Ibarra

Home Phone: [Redacted]

Home Address: [Redacted]

City: Anaheim

Zip: 92804

Occupation:

Employer:

Work Phone: [Redacted]

Email Address:

How did you develop your interest in or knowledge of developmental disabilities? Describe your employment, education or other activities which demonstrate your interest or knowledge.

Went to Byron E Thompson Elmerdie Cal Graduated 1988  
Went to Bassett High School La Puente Freshman Sophomore  
Junior year about two weeks or so Bassett High School  
finish High School Savannah High School graduated 1987

Please describe your current or past membership in community and/or professional organizations. N/A

Please describe your current or past membership and offices (if any) held on other boards.

N/A

Please describe your hobbies and interests.

Los Angeles Dodgers Lakers  
Hell Kitty old movies  
Old TV Shows

Do you have any of the following special skills? If so, please check and describe more fully below.

Business/Management  
 Marketing/Public Relations

Financial Analysis  
 Political Activism

Legal  
 Other:

NONE

Are you currently employed by an organization providing services to people with developmental disabilities?  Yes  No If yes, please explain:

Are you a member of the governing board of any organization providing service to people with developmental disabilities?  Yes  No If yes, please explain:

Are you a: (Please check one)

- Person with a developmental disability
- Parent or family member of a person with a developmental disability
- Representative of the general public

Ethnic Background (optional):

- African-American
- Asian/Pacific Islander
- Caucasian
- Hispanic
- Native American/Indian
- Other (please specify: French/Spanish)

If you are a person with a developmental disability or the parent or family member of a person with a developmental disability, please indicate the type of disability (e.g., intellectual disability, autism, cerebral palsy, epilepsy or other).

Mild Intellectual Disability, Arthrogryposis

Please provide the following information for two people who know you well and can provide a personal and/or professional reference.

Name: Manuel Acosta Relationship: Cousin

Phone Number: [Redacted] Best Time to Call: 7:00 am

Name: Rick Conroy Relationship: Program Supervisor/Empower

Complete Address: [Redacted]

Phone Number: [Redacted] Best Time to Call: 8 AM -> 2 PM

- After reviewing the Board Service Roles and Responsibilities Sheet, I understand what my duties would be as an RCOC Board Member.
- I am willing to serve and have attached a statement saying why I wish to serve on the RCOC Board of Directors and why I believe I am qualified.
- After reviewing the RCOC Conflict of Interest Governance Policy and the Title 17 Conflict of Interest Standards and Procedures, I assert that I do not have a potential conflict of interest.

Signature: [Handwritten Signature]

Date: 5/21/25

Please return completed form to: Executive Office  
Regional Center of Orange County  
P.O. Box 22010  
Santa Ana, CA 92702-2010

If you have questions, please call: 714-796-5205

## Personal Statement

Please describe why you wish to serve on the RCOC Board of Directors  
and why you believe you are qualified

I have good ideas

1 I can be a go-between for RCOC and my peers  
and bring up any issues or problems I see

2 I can let RCOC know what I think about their  
ideas I can also let them know what my friends

③ I want to make people live better and figure out  
the best way to do things with the help of RCOC

I think I am qualified

because I been working in the system for most of my life  
I'm a good listener and understand how it is to participate  
in day programs and live in a group home I think I will  
new some good ideas that will other

Signature *doleldora*

Date

5/15/25

Clear Page

Clear Form

## Conflict of Interest Information

We would like to identify any potential conflicts of interests before you complete the application process.

*Are you or a family member a director, officer, owner, partner, employee, or shareholder of an organization that is a regional center provider?*

Family member includes all of the following:

your spouse,  
domestic partner,  
parents,  
stepparents,  
grandparents,  
siblings,  
stepsiblings,  
children,  
stepchildren,  
grandchildren,  
parent-in-laws,  
brother-in-laws,  
sister-in-laws,  
son-in-laws and  
daughter-in-laws.

N/A

You may search a list of organizations that are regional center providers at:

<http://www.rcocdd.com/consumers/resources/> or, you may provide the organization's name and RCOC will notify you if the organization is a regional center provider.

**If the answer is yes, you have a potential conflict of interest.**

If you have a potential conflict of interest, please call RCOC's Executive Office at 714-796-5205 and we will let you know if you should proceed with the application.

**Regional Center of Orange County  
Policies and Outcomes Committee  
April 20, 2026  
Videoconference Minutes**

**Committee Members Present:** Chip Wright, Chairperson  
Bruce Hall  
Liza Krassner  
Sandy Martin

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Chief Information Officer  
Arturo Cazares, Associate Executive Director  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Christy Petteruto, General Counsel  
Jack Stanton, Associate Director of Housing  
Stacy Wong, Director of Human Resources  
Marta Vasquez, Chief Financial Officer

**Corporate Counsel Present:** Greg Simonian, Esq.

Mr. Chip Wright called the videoconference meeting to order at 6:00 p.m.

**I. Governance Policies**

**A. Review of the Policies on the Transportation Policy**

The Committee reviewed and did not propose any revisions to the policy.

**B. Review of the Employment First Policy**

The Committee reviewed and proposed revisions to the policy.

*M/S/C to recommend that the Board approve the Employment First Policy as proposed*

**II. Approval of the 2026 Strategic Plan**

After extensive discussion, Committee members reviewed the proposed 2026 Strategic Plan and recommend revisions.

*M/S/C to recommend that the Board approve the 2026 Strategic Plan as proposed*

### **III. Outcomes**

#### **A. Person Centered Thinking (PCT) Update**

Ms. Jennifer Montanez reported that RCOC continues to offer workshops in English and Spanish on Person-Centered Thinking for families and service providers. A two-day training set for June 10, 2026. Those participants who complete the full two-day session will receive PCT training certificate. The training will be offered to vendors and Self-Determination Program (SDP) Independent Facilitators.

#### **B. Health and Wellness Project Update**

Dr. Bonnie Ivers reported that there are no updates.

#### **C. Employment Update**

Mr. Arturo Cazares reported that there are no updates.

#### **D. Housing Update**

Mr. Jack Stanton reported that the new apartment complex in the City of Irvine, which includes five units reserved for individuals served by RCOC, has filled four of those units with applicants who meet the monthly income requirements. The remaining unit continues to be reserved for RCOC and once a unit becomes available, priority will be given to RCOC applicants.

#### **E. National Core Indicators (NCI) Update**

Mr. Landauer reported that there are no updates.

### **IV. Community Forum**

No community members were present.

Mr. Wright adjourned the meeting at 6:53 p.m.

*Recorder: Sandra Lomelí*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Chip Wright  
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

**SUBJECT: Transportation Mobility Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 20, 2026, the Policies and Outcomes Committee reviewed the Transportation Mobility Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Transportation Mobility Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **VII. TRANSPORTATION MOBILITY POLICY**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring that the services and supports provided to those we serve maximize opportunities and choices, utilize all possible sources of funding, including generic resources, and result in the maximum benefit possible.

### **POLICY**

RCOC will serve the mobility needs of the individuals it serves by assuring an effective and efficient mix of accessible and safe transportation services to and from an eligible individual's primary day service/activity. These services shall enhance opportunities for persons served to access supports, services, and other community resources.

In meeting the mobility needs of those we serve, RCOC will:

- Use generic resources before expending regional center funds.
- Advocate for the maximum access to and utilization of public transit services for people with developmental disabilities.
- Encourage measurable improvement in the efficiency and effectiveness of transportation services by gathering feedback from persons served who use public and specialized transportation services.
- Improve access to services by improving communication about and coordination of available transportation resources.
- Promote the efficient use of resources by eliminating duplication wherever possible.
- Promote the consideration of transportation issues and costs in all decisions.

### **SERVICE CRITERIA**

The individual transportation needs of the person served will be determined by the Multidisciplinary/Planning Team.

The following criteria will be applied when authorizing transportation service. Exceptions may be made on a case-by-case basis.

1. Whenever feasible, public transportation will be utilized first.

2. All adults requesting transportation will be assessed for mobility training.
3. Transportation services are typically purchased for one primary day service/activity (e.g., place of employment, day program). However, additional transportation may be purchased on an individual basis, but not as part of the primary program's transportation obligation (e.g., day program and/or college classes).
4. Those served by RCOC, parents of minor children and residential service providers are expected to provide routine transportation to medical, dental, or other appointments or functions. RCOC may fund incidental transportation on an exception basis in the following circumstances:
  - An individual is not financially capable of independently funding their own or their child's transportation.
  - The parent or surrogate has a disability which prevents them from transporting the person served by RCOC.
  - The individual's medical and/or physical condition requires the use of specialized transportation to accommodate transport.

### **CHILDREN UNDER AGE THREE**

To maximize safety and quality assurance, parents and residential service providers are expected to transport infants to the primary day service/activity. Transportation for infants may be funded when there is documented exceptional need and the parent/residential service provider cannot accompany the child.

Authorized infant transportation will be reviewed every six months.

### **GUIDING PRINCIPALS**

- Persons served and their families have knowledge of, access to and opportunity for participation in any community activities and resources of their choosing.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with person not paid to be with them.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026  
TO: Board of Directors  
FROM: Chip Wright  
Chair, Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

**SUBJECT: Approval of the Employment First Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on April 20, 2026, the Policies and Outcomes Committee reviewed the Employment First Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Employment First Policy, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Employment First Policy, as presented.

## XX. EMPLOYMENT FIRST POLICY

### BACKGROUND

The Regional Center of Orange County (RCOC) recognizes the importance of meaningful employment for the working age adults we serve. The Lanterman Developmental Disabilities Services Act provides, Assembly Bill 1041, also known as Employment First Policy, an act to amend Sections 4646.5 and 4868 of the Welfare and Institutions Code (WIC), and to add Section 4869 to WIC, was effective January 1, 2014. These statutory changes, in part, are as follows:

~~4646.5 (a) (4) When developing an individual program plan for a transition age youth or working age adult, the planning team shall consider the Employment First Policy...~~

~~4869. (a) (1) In furtherance of the purposes of this division to make services and supports available to enable persons with developmental disabilities to approximate the pattern of everyday living available to people without disabilities of the same age, to support the integration of persons with developmental disabilities into the mainstream life of the community, and to bring about more independent, productive, and normal lives for the persons served, "[I]t is the policy of the state that opportunities for integrated, competitive~~

~~employment shall be given the highest priority for working age individuals with developmental disabilities, regardless of the severity of their disabilities. This policy shall be known as the Employment First Policy." (Welfare and Institutions Code §4869(a)(1))~~

~~(3) Integrated competitive employment is intended to be the first option considered by planning teams for working age individuals, but individuals may choose goals other than integrated competitive employment.~~

~~(e) Regional centers shall provide consumers 16 years of age or older, and, when appropriate, their parents, legal guardians, conservators, or authorized representative with information, in an understandable form, about the Employment First Policy, options for integrated competitive employment, and services and supports, including postsecondary education, that are available to enable the consumer to transition from school to work, and to achieve the outcomes of obtaining and maintaining integrated competitive employment.~~

~~4868. (d)(1), "Competitive employment" means work in the competitive labor market that is performed on a full-time or part-time basis in an integrated setting and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled.~~

~~(2) "Integrated employment" means "integrated work" as defined in subdivision (o) of Section 4851.~~

~~4851. (o) "Integrated work" means the engagement of an employee with a disability in work in a setting typically found in the community in which individuals interact with individuals without disabilities other than those who are providing services to those individuals, to the same extent that individuals without disabilities in comparable positions interact with other persons.~~

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## **POLICY**

Competitive integrated employment will be the first option considered by planning teams for every working age adult we serve.

## **GUIDING PRINCIPLES**

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.
- Persons served make decisions about all aspects of their lives.
- Persons served live, work, learn and socialize in settings that maximize opportunity to be with persons not paid to be with them.

**Regional Center of Orange County  
Vendor Advisory Committee  
March 10, 2026  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Ryan Perez, present (*joined at 2:11 p.m.*)  
Co-Chair, Katie Bruellet, present

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, *Member Pending*

**Adult Family Home/Foster Family Agency**

Chair, Janeth McDonough, absent  
Co-Chair, Alexandra Rasey-Smith, present

**Behavior Services**

Chair, Cindy Hebert, present  
Co-Chair, Junie Lazo-Pearson, absent

**Community Care Facilities**

Chair, Jorge Lozano, present  
Co-Chair, Omar Tawfik, present

**Early Intervention**

Chair, Junie Lazo-Pearson, absent  
Co-Chair, Pam Alexander, present

**Habilitation**

Chair, Marina Margaryan, present  
Co-Chair, Jodean Hudson, present

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, present

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, *Member Pending*

**Support Services/Allied Health**

Chair, Kelly Araujo, present  
Co-Chair, Michael Toliver, absent

**Liaisons:**

**CalOptima**

*Liaison Pending*

**Orange County Transit Authority**

Ariana Maldonado, present

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Bianca Borja Rios, Accounting Supervisor – Vendorization  
Arturo Cazares, Associate Executive Director  
Valeria De Los Angeles, Accounting Supervisor – Payables

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**Regional Center of Orange County  
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*Liaison Pending*

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**RCOC Staff Present:**

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Bianca Borja Rios, Accounting Supervisor – Vendorization  
Arturo Cazares, Associate Executive Director  
Valeria De Los Angeles, Accounting Supervisor – Payables

Wayed Kabir, Peer Advocate  
Bonnie Ivers, Clinical Director  
Araceli Mendez, Associate Director of Early Start  
Jennifer Montanez, Director of Case Management  
Julie Rodriguez, Accounting Supervisor - Systems  
Jack Stanton, Associate Director of Housing  
Laurel Warren, Quality Assurance Coordinator  
Sean Watson, Associate Director of Risk Management

## **Call to Order**

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

### **I. RCOC Update**

#### **A. Vendorization Update**

Ms. Marta Vasquez reported that RCOC plans to process payments for the minimum wage increase by March 30, 2026. Ms. Vasquez reminded vendors that Held Harmless provision ended February 28, 2026. Providers with rates above the benchmark will have their rates reduced effective March 1, 2026.

Ms. Vasquez also reported that the Rate Reform transition for Early Start and Behavior Services has been challenging for both vendors and RCOC staff. RCOC continues to generate invoices to pay vendors on a timely basis.

#### **B. Rate Reform and Provider Directory Updates**

Mr. Cazares reminded the Committee that DDS' surveys related to the Quality Incentive Program (QIP) measures for Fiscal Year 2026-27 closed on February 27, 2026. For more information regarding QIP, including the status of a provider's QIP survey(s), visit: [www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/](http://www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/).

Mr. Cazares reported that the DDS Provider Directory completed its transition for all regional centers as of March 1, 2026. New vendorizations and all related communications will now be processed directly by DDS.

### **II. Board Report**

Mr. Landauer reported that the Board of Directors held a meeting on March 5, 2026.

Mr. Landauer also reviewed Governor Newsom's proposed 2026-27 state budget, including several changes outlined in Trailer Bill Language (TBL) that if approved, would take effect July 1, 2026. Proposed changes include the Early Intervention Programs Oversight, Employment Access

Alignment, the Federal Access Rule, the Life Outcomes Improvement System, Rate Reform and QIP Contract Exemptions, Regional Center Supported Living Services 40-Hour Work Week, Regional Center Oversight, Remote Services and the Self-Determination Program (SDP) Administrative Costs Funding. Mr. Landauer stated that the TBL changes are available on RCOC's website for review.

### **III. Subcommittee Chair and Co-Chair Selections**

Mr. Jack Stanton reminded the Committee that new chairs and co-chairs must be identified by the April 2026 meeting for the following subcommittees: Adult Day Programs, Behavior Services, Early Intervention, Intermediate Care Facilities (ICF) and Support Services/Allied Health. The VAC Chair will then present nominees to the Board of Directors for approval; if approved, their terms will commence on June 8, 2026, in accordance with the VAC Commission.

### **IV. Peer Advisory Committee (PAC) Report**

Mr. Wayed Kabir reported that the next Committee meeting is scheduled for May 20, 2026.

### **V. Liaison Reports**

#### **A. CalOptima – *Liaison Pending***

No representative from CalOptima was present and no report was provided.

#### **B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (present)**

Ms. Arianna Maldonado reported that ridership has been consistent and schedule changes for drivers continue to improve performance. Additionally, OCTA will be hosting a job fair in the near future in effort to recruit drivers.

### **VI. Member Reports**

#### **A. Adult Behavior Management – Ryan Perez (present)**

#### **B. Adult Day Programs – Rick Perez (present)**

Mr. Ryan Perez reported that the two subcommittees met today and discussed the Self-Determination Program (SDP), as well as the selection of a new subcommittee chair for Adult Day Programs.

#### **C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (absent)**

Ms. Alexandra Rasey-Smith reported that the subcommittee met and discussed QIP deadlines. The subcommittee also discussed providing a presentation on vendor services to RCOC service coordinators.

**D. Behavior Services – Cindy Hebert (present)**

Ms. Cindy Hebert reported that the subcommittee met and discussed rate reform billing requirements, the transition to new service codes and ebilling to help ensure accurate billing.

**E. Community Care Facilities (CCF) – Jorge Lozano (present)**

Mr. Omar Tawfik reported that the subcommittee also discussed QIP as well as DDS' changes to the submission process for Special Incident Reports (SIRs).

**F. Early Intervention – Junie Lazo-Pearson (absent)**

In Ms. Lazo-Pearson's absence, Ms. Pam Alexander reported that the subcommittee met and discussed rate reform billing requirements, changes in service codes and questions about telehealth consultation services.

**G. Habilitation – Marina Margaryan (present)**

Ms. Marina Margaryan reported that the subcommittee is scheduled to meet in April 2026.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee met today and discussed rate reform billing requirements, the Provider Directory, and hiring practices.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Jack Stanton reported that there were no updates.

**J. Support Services/Allied Health – Kelly Araujo (present)**

Ms. Kelly Araujo reported that the subcommittee met today and discussed upcoming QIP deadlines, In-Home Supportive Services (IHSS) medical waivers, and plans for a Service Coordinator Resource Fair.

**VII. Community Forum**

There were no speakers for community forum.

**VIII. Adjournment**

Mr. Perez adjourned the meeting at 2:29 p.m.

The next VAC meeting is scheduled for April 14, 2026.

REGIONAL CENTER OF ORANGE COUNTY  
 BOARD OF DIRECTORS  
AGENDA ITEM DETAIL SHEET

DATE: May 7, 2026

TO: Board of Directors

FROM: Rick Perez  
 Chair, Vendor Advisory Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Vendor Advisory Committee Members**

BACKGROUND:

RCOC’s Board of Directors appoints the members of the Vendor Advisory Committee, pursuant to Welfare and Institutions Code §4622(i), which states:

*“The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.”*

REASON FOR CURRENT ITEM:

The following subcommittees of RCOC’s Vendor Advisory Committee have met and submitted their nominations for committee membership (see attachment). Terms of membership are for two years as follows:

Membership Category	Term of Membership
<b>Adult Day Programs</b> Rick Perez Chair; <i>Pending</i> , Co-Chair	June 1, 2026 to May 31, 2028
<b>Behavior Services</b> Cindy Hebert, Chair; Junie Lazo-Pearson, Co-Chair	June 1, 2026 to May 31, 2028
<b>Early Intervention</b> Junie Lazo-Pearson, Chair; Pam Alexander, Co-Chair	June 1, 2026 to May 31, 2028
<b>Intermediate Care Facilities</b> Rich Mraule, Chair; <i>Pending</i> , Co-Chair	June 1, 2026 to May 31, 2028
<b>Support Services/Allied Health</b> Kelly Araujo, Chair; Mary McFadden, Co-Chair	June 1, 2026 to May 31, 2028

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Vendor Advisory Committee members as presented.

**Attachment for Agenda Item III.I.1.**

**VENDOR ADVISORY COMMITTEE**

**NOMINATIONS FOR MEMBERSHIP**

<b><u>ADULT DAY PROGRAMS</u></b>	
<b>Rick Perez, Chair</b> Abilities Unlimited	<p>Mr. Rick Perez has worked with individuals with intellectual disabilities for over 24 years. At the age of 9, his parents opened their family home to develop a residential group home. Sharing a small 3-bedroom home in Los Angeles, he was unknowingly shaped into a vital advocate for his newfound housemates. Mr. Perez has worked in the field for the past 17 years as the Owner and Director for Abilities Unlimited day program. He holds a master's degree in counseling with an emphasis in Applied Behavior Analysis from CSULA and is a Board-Certified Behavior Analyst (BCBA). Mr. Perez has been an active participant in VAC for many years and continues to enjoy the collaboration this allows between all agencies.</p>

<b><u>BEHAVIOR SERVICES</u></b>	
<b>Cindy Hebert, Chair</b> Sage Behavior Services	<p>Ms. Cindy Hebert has worked in the field working with adults and children since 1998 and is currently serving as the Clinical Director for Sage Behavioral Services, a program that she co-founded. She obtained her master's degree from CSULB and went on to become a Board-Certified Behavior Analyst in 2005. Ms. Hebert has been providing services to our community for over 21 years. She approaches each day from the perspective of looking for ways to improve people's lives and skills that was once thought unachievable, an approach that she gathered working with an adult population many years ago.</p>
<b>Junie Lazo-Pearson, Co-Chair</b> Advanced Behavior Health, Inc.	<p>Ms. Lazo-Pearson is the Chief Clinical Officer for Advanced Behavior Health. Since completing her doctoral degree in Developmental and Child Psychology and becoming a Board-Certified Behavior Analyst in 2004, she has spent the past 22-years practicing in Orange County. She has experience working at the Regional Center of Orange County, as well as a vendor in our community providing direct services. Ms. Lazo-Pearson has served on various boards related to applied behavior analysis and people with intellectual disabilities. She hopes to use her unique educational, employment, and board experiences to help people maximize their potential in all situations and live in the least restrictive settings they can.</p>

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**EARLY INTERVENTION**

<p><b>Junie Lazo-Pearson, Chair</b> Advanced Behavioral Health</p>	<p>Ms. Lazo-Pearson is the Chief Clinical Officer for Advanced Behavior Health. Since completing her doctoral degree in Developmental and Child Psychology and becoming a Board-Certified Behavior Analyst in 2004, she has spent the past 22-years practicing in Orange County. She has experience working at the Regional Center of Orange County, as well as a vendor in our community providing direct services. Ms. Lazo-Pearson has served on various boards related to applied behavior analysis and people with intellectual disabilities. She hopes to use her unique educational, employment, and board experiences to help people maximize their potential in all situations and live in the least restrictive settings they can.</p>
<p><b>Pam Alexander, Co-Chair</b> ICEC</p>	<p>Mrs. Alexander began her career with early intervention services in 1985, when she joined the ICEC staff as Executive Director. For the past 41 years, she has provided leadership to the ICEC Board, Staff and Families. Under her leadership, ICEC has grown to be a leader in Early Start services in Orange County and beyond, employing 48 staff and serving over 1000 individuals and families annually. Mrs. Alexander has been involved in many Early Start committees and programs partnering with the community over the years, including Community Wide Child Find Developmental Screenings, Camp TLC's Family Camp for Early Start families, and previously as an Early Start Vendor Advisory Committee Member. She has been devoted to the children and families of ICEC, and loves watching the parent's blossom and grow in knowledge, wisdom and confidence with their babies from the infant program to transition at Preschool.</p>

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**INTERMEDIATE CARE FACILITIES**

<p><b>Rich Mraule, Chair</b> David's Home</p>	<p>Mr. Mraule and his wife adopted four children, one of whom is disabled. This became the motivation behind his business model. As their son became older, they explored various options but were unable to locate one that they felt met all his individual needs and also matched their personal philosophy. Coming from a special education background himself, and with his wife as a nurse with over 32 years of experience, they decided that they would move forward and open their own home, which was aptly named David's House in August of 2011. Mr. Mraule has since expanded into a newer model of care, the Adult Residential Facility for People with Special Health Needs (ARFPSHN). He looks forward to learning from others and being a positive influence on his peers while providing leadership to the ICF Vendor Group.</p>
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**SUPPORT SERVICES/ALLIED HEALTH**

**Kelly Araujo, Chair**  
BHH Respite Services

Ms. Araujo has been in the respite industry since 2018 and is currently the office supervisor at BHH, where she has worked since 2022. A natural caretaker, she was a CNA for the elderly throughout her college years. This helped her understand the importance of a support system and the challenges of having a neurodiversity family member. Ms. Araujo relishes finding the right caregiver for families, particularly within the underserved Vietnamese-speaking community in Orange County. She hopes her dedication and compassion will have a positive impact on the lives of those she serves.

**Mary McFadden,**  
**Co-Chair**  
Seniors Helping Seniors

Ms. McFadden was raised with a strong foundation in kindness, compassion, and service, values instilled by her father, a former deacon. She went on to earn a master's degree in clinical psychology and began her career supporting individuals living with chronic mental illness and neurological disorders. In 2013, she transitioned into non-medical home care, where she found her true calling in serving adults of all ages. Today, she serves as the administrator of the Seniors Helping Seniors Orange County franchise based in Irvine, where she is dedicated to enhancing the quality of life for adults and fostering meaningful connections in her community.

**Regional Center of Orange County  
Legislative and Community Awareness Committee  
April 14, 2026  
Videoconference Minutes**

**Committee Members Present:** Bruce Hall, Chairperson  
Liza Krassner  
Sandy Martin  
Hilda Mendez (*joined at 5:04 p.m.*)  
Chinh Nguyen

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Chief Information Officer  
Arturo Cazares, Associate Executive Director  
Bonnie Ivers, Clinical Director  
Jennifer Montanez, Director of Case Management  
Kaitlynn Truong, Family Support and Community Outreach Manager  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Director of Human Resources

**Guests:** Linda Blankenship, Consultant  
Anh Nguyen, Consultant

Mr. Bruce Hall called the meeting to order at 5:00 p.m.

**I. Legislative Outreach**

**A. ARCA Update**

Ms. Martin reported that the ARCA Board of Directors met on March 19, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for June 25, 2026.

Ms. Martin also reported that RCOC participated in ARCA's Grassroots Day legislation visits on March 3, 2026 in Sacramento. The RCOC group was able to meet and visit nine legislative offices that day.

**B. Pending Legislation**

Mr. Landauer reported that ARCA supports California Assembly Bill 341 (AB 341, Oral Health for People with Disabilities Technical Assistance Center Program), which requires the Department of Developmental Services (DDS) to contract a public or private California dental school or college to administer the Oral Health for People

with Disabilities Technical Assistance Center Program. The program is intended to improve dental care for individuals with developmental and intellectual disabilities by reducing or eliminating the need for sedation and general anesthesia. The contract should begin by July 1, 2027 and end June 30, 2032.

### **C. Delegation Relationships**

Ms. Anh Nguyen reported that upcoming program tours have been confirmed with Assemblyman Tri Ta (District 70) for April 2026, the Legislative Analyst's Office (LAO) in June 2026, and that a date with the Department of Finance will be confirmed at a later time.

## **II. Community Outreach**

### **A. 2026 Spotlight Awards**

Ms. Nguyen reported that the Spotlight Awards Gala is scheduled for April 17, 2026 at the Embassy Suites Hotel in Anaheim. Ms. Michele Gile, reporter with CBS LA will emcee the event again this year. Ms. Nguyen also reported that nearly 400 tickets have been sold to date.

### **B. Upcoming LACC Activities**

Ms. Kaitlynn Troung reported that RCOC and its Comfort Connection Family Resource Center (CCFRC) utilized Language Access and Cultural Competency (LACC) funding to participate in several community Lunar New Year celebrations. RCOC also hosted the Deaf and Hard of Hearing Resource Fair on March 7, 2026 at the Anaheim Central Public Library. Upcoming events include the Empower & Protect Course Training for Parents by GET SAFE on April 21, 2026, a Multi-Cultural Family Symposium in May 2026, which will focus on Hispanic and Asian communities and provide information on RCOC services, available generic resources and educational advocacy. Other upcoming events include the OC CAPTAIN: Smart Choices, Big Returns on May 2, 2026, and the UCI Health: Learn and Connect Conference on June 4, 2026.

### **C. Additional Disparity-Focused Activities**

Dr. Bonnie Ivers reported that RCOC's Diversity & Inclusion Task Force, targeted to launch in late spring, is in the process of creating a steering committee. The committee will define the Task Force's mission, structure and member selection criteria, ensuring representation from individuals served, parents, community agencies and RCOC.

### **III. Digital Engagement**

#### **A. Social Media and Website Updates**

Ms. Nguyen reported that DDS's Performance Contract requires regional center websites meet specific accessibility criteria. Ms. Nguyen stated that RCOC has assigned an IT team member to support website updates and ensure compliance. These updates include improving public access to key information. The IT team member will also support RCOC's social media platforms, which regularly share information on events, public meetings and training opportunities.

### **IV. Dialogue – Spring Issue**

Ms. Linda Blankenship reported that the spring issue of the *Dialogue* will highlight the 2026 Spotlight Awards honorees. The Executive Director's Report covers generic resources and school services related to the extended school year. Additional highlights include the upcoming disparity fairs, Person-Centered Thinking (PCT) workshops and other events.

### **V. News Media Outreach**

Ms. Blankenship reported that the Society for Human Resources Management (SHRM) will publish a bylined article by Mr. Arturo Cazares on the *Making Better Workplaces for a Better World* section on their members-only website.

Ms. Blankenship also reported that Exceptional Parent Magazine plans to publish a bylined article in their June 2026 issue by Mr. Cazares and Dr. Ivers on employment in the sports and fitness sector, highlighting non-traditional opportunities and the psychological benefits for individuals with disabilities, including community connections, stress relief and leadership development.

### **VI. Community Forum**

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 5:56 p.m.

*Recorder: Sandra Lomeli*