



**REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS' MEETING  
AGENDA**

Date: **Thursday, November 4, 2021**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

<b>I.</b>	<b>Closed Session (Board Members Only)</b>		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
<b>II.</b>	<b>Recess</b>		
<b>III.</b>	<b>General Session</b>		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Budget and Finance Committee	Mine Hagen
	C.	Consent Calendar*	John "Chip" Wright
		<ol style="list-style-type: none"> <li>1. Approval of Board of Directors' Minutes for September 2, 2021**</li> <li>2. Budget and Finance Committee**               <ol style="list-style-type: none"> <li>a. Approval of Monthly Sufficiency of Allocation Report, August 2021**</li> <li>b. Approval of Monthly Sufficiency of Allocation Report, September 2021**</li> <li>c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 1, 2022**</li> <li>d. Approval of Budget Amendment</li> </ol> </li> </ol>	
	D.	Community Forum for Agenda Items Only***	John "Chip" Wright
	E.	Executive Director's Report	Larry Landauer
		<ol style="list-style-type: none"> <li>1. RCOC Leadership Survey – Chapman University, Thompson Policy Institute</li> <li>2. Operations Report – August 2021**</li> <li>3. Operations Report – September 2021**</li> <li>4. Performance Contract Report – August 2021**</li> <li>5. Performance Contract Report – September 2021**</li> <li>6. End of Calendar Year 2021 Performance Contract (Review Outcomes)</li> <li>7. Approval of Calendar Year 2022 Performance Contract**</li> <li>8. Employment Update</li> <li>9. Housing Update</li> </ol>	<p>Dr. Audri Gómez and Dr. Meghan Cosier</p> <p>Jack Stanton and Arturo Cazares Arturo Cazares Jack Stanton</p>
	F.	Executive Committee	John "Chip" Wright
		1. Approval of Revision to the 2021-22 Meeting Schedule – Moving the Biennial Board Retreat from February 2022 to July 2022**	
	G.	Board Recruitment and Training Committee**	John "Chip" Wright
	H.	Policies and Outcomes Committee**	Cliff Amsden
		<ol style="list-style-type: none"> <li>1. Approval of Revisions to the Conflict of Interest Policy**</li> <li>2. Approval of Revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**</li> <li>3. Policies on the Board-Executive Relationship**</li> </ol>	
	I.	Vendor Advisory Committee**	Tiffany Bauer
		1. Approval of Vendor Advisory Committee (VAC) Member, Junie Lazo-Pearson, as VAC Co-Chair for a Term Commencing November 4, 2021 and Ending June 30, 2022**	
	J.	Peer Advisory Committee**	Sylvia Delgado

	K.	Legislative and Community Awareness Committee**	Liza Krassner
	L.	ARCA Report	Liza Krassner
	M.	National Core Indicators (NCI) Data Presentation	Larry Landauer
	N.	Community Forum***	John “Chip” Wright
	O.	Chairperson’s Report	John “Chip” Wright
<b>IV.</b>		<b>Adjournment</b>	John “Chip” Wright

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting  
September 2, 2021  
Videoconference Minutes**

**Board Members Present:** John "Chip" Wright, Chairperson  
Marcell Bassett  
Tiffany Bauer  
Meena Chockalingam  
Sylvia Delgado  
Mine Hagen  
Frances Hernandez  
Amy Jessee (*joined at 5:40 p.m.*)  
Liza Krassner  
Sandy Martin  
Chinh Nguyen  
Fernando Peña

**Board Members Absent:** Cliff Amsden

**Corporate Counsel Present:** David Lester, Esq.

**I. General Session**

Mr. Chip Wright called the meeting to order at 5:32 p.m.

**A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement**

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.  
Ms. Sylvia Delgado read RCOC's Mission and Vision Statement.

**B. Budget and Finance Committee**

Ms. Mine Hagen reported that the Committee met, discussed new caseload issues and recommended for approval all of its items on the consent calendar.

**C. Consent Calendar**

1. Approval of Board of Directors' Minutes for June 3, 2021
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, May 2021
  - b. Approval of Monthly Sufficiency of Allocation Report, June 2021

- c. Approval of Monthly Sufficiency of Allocation Report, July 2021
- d. Approval of Budget Amendment C-1, Fiscal Year 2021-22

*M/S/C to approve the consent calendar, as presented*

#### **D. Community Forum for Agenda Items Only**

Mr. David Lester, General Counsel to the Board of Directors, stated that the agenda includes two Community Forums. The first Community Forum is to ask questions or provide comments related to action items. The second Community Forum will be at the end of the meeting. This will ensure that the Board has time to address the action items on the agenda before other items are presented and discussed.

There were no speakers for community forum.

#### **E. Executive Director's Report**

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that Assembly Bill 136 codifies all the changes needed in developmental services to implement the budget for 2021-22. Some of the highlights include:
  - Group Homes for Children with Special Health Care Needs (GHCSHNs). GHCSHN's must be licensed by Community Care Licensing (CCL) and vendored by regional centers.
  - Mandates implicit bias training for all regional center personnel.
  - Public meeting required when additional funding has been allocated to support increased service coordination and caseload ratios.
  - The Department of Developmental Services (DDS) to implement rate increases from April 1, 2022 through July 1, 2025 for service providers.
  - Training on person centered, cultural and linguistic competency for Direct Service Professionals in exchange for pay differentials.
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that over 6,303 of RCOC's persons served have received their first dose of the vaccine; 5,886 are fully vaccinated; and, over 638 have declined.
- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to explore ways to strengthen community services.

***RCOC Board of Directors' Meeting Minutes  
September 2, 2021***

- *Purchase of Service (POS) Expenditures for Fiscal Year 2020-21.* Mr. Landauer reported that there is a projected surplus system-wide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings. Additionally, about 79% of the Individual Program Plans (IPPs) for Lanterman cases are in the PCT format.
- *Employment.* Mr. Cazares reviewed the data for the Paid Internship Program and the incentive payments for Competitive Integrated Employment for the last five years. Mr. Cazares reported that both programs have been affected by the pandemic as well as staffing issues; but, he hopes that the increase in incentives for both programs will improve the staffing issues.
- *Closure of Hy-Lond.* Ms. Keli Radford, RCOC's Director of Services and Supports, reported that most of the Hy-Lond residents are now residing in Orange County.
- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that he is working with California's Section 811 Project Rental Assistance Demonstration Program and a housing complex in Costa Mesa that has 24 units available for persons served.
- *Legislative and Community Awareness.* Mr. Landauer reviewed media highlights and reported that RCOC has continued its virtual meetings with State legislators and their staff.
- *Health and Wellness.* Dr. Sam Ho, RCOC's Consulting Pharmacist, presented outcomes of the data collected from the *Healthy Life, Happy Life* Program from 2018 to 2021.
- *RCOC News.* Mr. Landauer reported that RCOC held 35 virtual community meetings during the COVID-19 pandemic, with translation services available in Spanish and Vietnamese. The last meeting was held on July 21, 2021.
- *Performance Contract 2022.* Mr. Landauer reported that RCOC held its 2022 Performance Contract public meeting virtually on August 18, 2021. The virtual meeting had over 53 attendees along with three Board members in attendance.
- *DDS' Audits.* Mr. Landauer reported that DDS is finalizing its Medicaid Waiver and Early Start audits.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the next SDP Local Advisory Committee meeting will be held virtually on September 27, 2021 at 6:30 p.m.

- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the next ARCA Academy training webinar will focus on *How Services and Supports Meet the Needs of Infants and Toddlers* is scheduled on September 14, 2021. The trainings are available to all regional center Board members.
- *Board Presentation.* Ms. Keli Radford, RCOC's Director of Services and Supports provided a presentation on *Services for School-Age Children*.

Mr. Landauer presented the following agenda item for approval:

1. Approval of Renewed Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator

***M/S/C to approve the Renewed Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator***

#### **E. Executive Committee**

Mr. Wright reported that the next Committee meeting is scheduled for September 20, 2021.

#### **F. Board Recruitment and Training**

Mr. Wright reported that the next Committee meeting is scheduled for September 13, 2021.

#### **G. Policies and Outcomes Committee**

In Mr. Cliff Amsden's absence, Ms. Sandy Martin reported that the Committee met on July 19, 2021, and reviewed the Purchase of Service Policy, the Policy on Board Membership and Application Process and the Policy on Resource Development and Procurement. Revisions were proposed only for the Purchase of Service Policy and the Policy on Board Membership and Application Process.

Ms. Martin presented revisions to the Purchase of Service Policy and the Policy on Board Membership and Application Process, as recommended by the Committee.

1. Approval of Revisions to the Purchase of Service Policy

***M/S/C to approve revisions to the Purchase of Service Policy, as recommended***

2. Approval of Revisions to the Policy on Board Membership and Application Process

***M/S/C to approve revisions to the Policy on Board Membership and Application Process, as recommended***

The next Committee meeting is scheduled for October 18, 2021.

**H. Vendor Advisory Committee**

Ms. Tiffany Bauer reported that the Vendor Advisory Committee (VAC) met on June 8, 2021 and July 13, 2021, and discussed concerns about labor shortages due to their rates and minimum wage increases. Ms. Bauer also reported that the Committee elected her as the VAC representative to the Board of Directors for another year.

The next Committee meeting is scheduled for September 14, 2021.

**I. Peer Advisory Committee**

Ms. Sylvia Delgado reported that the Committee met on June 16, 2021. Ms. Melissa Mungia and Ms. Christina Blanco from the Orange County Transportation Authority (OCTA) presented updates on OCTA's services.

The next Peer Advisory Committee meeting is scheduled for September 15, 2021.

**J. Legislative and Community Awareness Report**

Ms. Liza Krassner reported that the next Committee meeting is scheduled for October 12, 2021.

**K. ARCA Report**

Ms. Krassner reported that the ARCA Board Delegates met on August 16, 2021, and discussed Board recruitment efforts and challenges. The next meeting is scheduled for October 19, 2021.

The ARCA Board of Directors met on August 20, 2021, and discussed implementation of the 2021-22 developmental services budget. The next meeting is scheduled for October 22, 2021.

**L. Chairperson's Report**

Mr. Wright stated that the next Board of Directors' meeting will be held on September 2, 2021.

**M. Community Forum**

There were no speakers for community forum.

**II. Adjournment**

Mr. Wright adjourned the meeting at 7:02 p.m.

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Sylvia Delgado, Secretary

*Recorder: Sandra Lomelí*



**Regional Center of Orange County  
Budget & Finance Committee  
Videoconference Minutes  
September 2, 2021**

**Committee Members Present:** Mine Hagen, Chair  
Marcell Bassett (*arrived 4:21 p.m.*)  
Amy Jessee (*arrived 4:11 p.m.*)  
Sandy Martin  
Fernando Peña  
John “Chip” Wright

**Committee Members Absent:** Cliff Amsden

**RCOC Staff Present:** Bette Baber, Chief Financial Officer  
Larry Landauer, Executive Director  
Arturo Cazares, Associate Director of Employment  
Nancy Franco, Accounting Manager, Operations  
Bonnie Ivers, Clinical Director  
Raudel Perez, Administrator  
Keli Radford, Director of Services and Supports  
Marta Vasquez, Associate Finance Director  
Stacy Wong, Human Resources Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, May and June 2021

Ms. Marta Vasquez reported that RCOC was projecting a surplus of \$18 million, an increase of \$6.9 million over the previous projection. RCOC’s projected surplus will be \$26.4 million, if all \$8.4 million of the State Plan Amendment receivables are paid.

M/S/C to approve the monthly SOAR.

2. Approval of Monthly Sufficiency of Allocation Report, July 2021

Ms. Vasquez said the annual Sufficiency of Allocation Report is due to DDS on December 10, 2021.

3. Approval of Budget Amendment C-1, Fiscal Year 2021-22

Ms. Bette Baber reported that DDS had sent the C-1 allocation numbers but not the contract amendment.

*RCOC Budget and Finance Committee Minutes  
September 2, 2021*

1. Other

The committee discussed Provisional Eligibility.

The meeting adjourned at 4:44 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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ACTION   X    
ACTION/CONSENT         
DISCUSSION         
INFO ONLY       

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DATE: November 4, 2021  
TO: Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, August 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: October 28, 2021  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – August 2021 Sufficiency of Allocation Report (SOAR)

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Purchase of Services (POS)

RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2021-22 is due to the Department of Developmental Services (DDS) on December 10, 2021.

RCOC had a surplus last year and will likely have a surplus this year. The allocation of \$528.5 million is significantly higher than the \$459 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume and we will see expenditure growth in day programs and transportation. Some vendors will receive an increase due to the minimum wage increase effective January 1, 2022. Vendor rates will increase effective April 1, 2022.

RCOC's caseload increased by 378 persons for an annualized caseload growth 6.7%; the regional center system increased by 5,607 persons for an annualized caseload growth of 6.2%. For the same period last fiscal year, RCOC's caseload declined by 85 persons and the regional center system declined by 466 persons.

Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

**Monthly Sufficiency of Allocation Report  
As of August 31, 2021**

	A	B	C	D	E	F	G	H
				SOAR	VARIANCE			
	C-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(n/a)	YEAR TO DATE		MO. REPORTEE	
(1) Licensed Residential Care	\$169,876,048	\$24,771,172	\$148,627,032	\$0	n/a	n/a	n/a	\$149,732,139
(2) Day Care	2,554,882	139,533	837,198	0	n/a	n/a	n/a	2,081,139
(3) Day Training	65,364,852	9,341,300	56,047,800	0	n/a	n/a	n/a	57,877,637
(4) Habilitation	8,003,689	1,332,959	7,997,754	0	n/a	n/a	n/a	8,003,689
(5) Transportation	13,516,053	797,232	4,783,392	0	n/a	n/a	n/a	6,226,465
(6) Respite	42,094,897	4,655,413	27,932,478	0	n/a	n/a	n/a	42,094,897
(7) Supported Living	49,654,963	7,546,829	45,280,974	0	n/a	n/a	n/a	45,596,214
(8) Non-medical	17,107,255	2,402,280	14,413,680	0	n/a	n/a	n/a	14,915,733
(9) Medical	7,054,334	854,289	5,125,734	0	n/a	n/a	n/a	6,056,899
(10) Other	117,256,984	15,414,717	92,488,302	0	n/a	n/a	n/a	96,534,754
(11) Early Start (Age 0-3)	35,972,568	5,120,286	30,721,716	0	n/a	n/a	n/a	23,960,195
(12) Community Placement Plan	100,000	0		0	n/a	n/a	n/a	33,456
(13) Purchase of Service Total	528,556,526	72,376,010	434,256,060	0	0%	0	0	453,113,217
<b>OPERATIONS</b>								
(14) Operating Expense (Gross)	8,600,000	1,892,016	11,352,096	8,600,000	0%	0	0	5,988,657
(15) Less Interest Income and SPA Fees	-300,000	-19,449	-116,694	-300,000	0%	0	0	-154,602
(16) Operating Expense (Net)	8,300,000	1,872,567	11,235,402	8,300,000	0%	0	0	5,834,055
(17) Personal Services	44,748,455	5,748,140	34,488,837	44,748,455	0%	0	0	37,167,592
(18) Family Resource Center/Services	269,299	4,342	26,052	269,299	0%	0	0	154,739
(19) Operations Total	53,317,754	7,625,049	45,750,292	53,317,754	0%	0	0	43,156,386
(20) Total	\$581,874,280	\$80,001,059	\$480,006,352	\$53,317,754	0%	\$0	\$0	\$496,269,603

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF AUGUST 31, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	43,141,149.96	\$467,240.91
Savings	46,306.88	
Money market	0.00	
Payroll	272,468.48	
Donations	180,692.60	
Unemployment	522,844.97	
Certificate of deposit	0.00	
	-----	-----
Total current assets	44,163,762.89	467,240.91
	-----	-----
RECEIVABLES		
State claim	61,659,896.55	
Client support revenue	227,426.52	34,411.96
Due from State - prior years	131,967,099.89	
Due from ICF - ICF Supplemental Services	7,966,848.26	
	-----	-----
Total receivables	201,821,271.22	34,411.96
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$246,715,143.30	\$501,652.87
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$23,257,350.35	\$227,426.52
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	222,706,714.81	
Unemployment insurance	517,862.97	
	-----	-----
Total liabilities	246,534,450.70	227,426.52
	-----	-----
FUND BALANCES		
General		
Donations	180,692.60	
Custodial		274,226.35
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$246,715,143.30	\$501,652.87
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
AUGUST 31, 2021

Beginning Balance		\$180,576.60
Donations	\$0.00	
Loan Payments	116.00	
Interest	0.00	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>116.00</u>
Ending Balance		<u><u>\$180,692.60</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

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ACTION   X    
ACTION/CONSENT         
DISCUSSION         
INFO ONLY       

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DATE: November 4, 2021  
TO: Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, September 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.



REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: October 28, 2021  
To: Board of Directors  
From: Budget and Finance Committee  
Subject: Highlights – September 2021 Sufficiency of Allocation Report (SOAR)

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Purchase of Services (POS)

RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2021-22 is due to the Department of Developmental Services (DDS) on December 10, 2021.

RCOC had a surplus last year and will likely have a surplus this year. The allocation of \$528.5 million is significantly higher than the \$459 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume and we will see expenditure growth in day programs and transportation. Some vendors will receive an increase due to the minimum wage increase effective January 1, 2022. Vendor rates will increase effective April 1, 2022.

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Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

**Monthly Sufficiency of Allocation Report  
As of September 30, 2021**

	A	B	C	D	E	F	G	H
				SOAR	VARIANCE			
	C-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(n/a)	YEAR TO DATE		MO. REPORTED	
(1) Licensed Residential Care	\$169,876,048	\$34,315,155	\$148,862,182	\$0	n/a	n/a	n/a	\$149,732,139
(2) Day Care	2,554,882	194,739	836,631	0	n/a	n/a	n/a	2,081,139
(3) Day Training	65,364,852	13,300,580	56,071,574	0	n/a	n/a	n/a	57,877,637
(4) Habilitation	8,003,689	1,429,756	8,006,531	0	n/a	n/a	n/a	8,003,689
(5) Transportation	13,516,053	889,865	4,801,797	0	n/a	n/a	n/a	6,226,465
(6) Respite	42,094,897	4,659,746	27,944,504	0	n/a	n/a	n/a	42,094,897
(7) Supported Living	49,654,963	10,554,189	45,483,549	0	n/a	n/a	n/a	45,596,214
(8) Non-medical	17,107,255	2,980,052	14,481,093	0	n/a	n/a	n/a	14,915,733
(9) Medical	7,054,334	1,269,563	5,147,745	0	n/a	n/a	n/a	6,056,899
(10) Other	117,256,984	15,479,469	92,414,740	0	n/a	n/a	n/a	96,534,754
(11) Early Start (Age 0-3)	35,972,568	7,108,835	30,853,891	0	n/a	n/a	n/a	23,960,195
(12) Community Placement Plan	100,000	0		0	n/a	n/a	n/a	33,456
(13) Purchase of Service Total	528,556,526	92,181,949	434,904,236	0	0%	0	0	453,113,217
<b>OPERATIONS</b>								
(14) Operating Expense (Gross)	8,750,000	2,334,840	9,339,359	8,750,000	0%	0	0	5,988,657
(15) Less Interest Income and SPA Fees	-150,000	-32,438	-129,751	-150,000	0%	0	0	-154,602
(16) Operating Expense (Net)	8,600,000	2,302,402	9,209,608	8,600,000	0%	0	0	5,834,055
(17) Personal Services	44,448,455	8,581,681	34,326,725	44,448,455	0%	0	0	37,167,592
(18) Family Resource Center/Services	269,299	4,522	18,089	269,299	0%	0	0	154,739
(19) Operations Total	53,317,754	10,888,606	43,554,422	53,317,754	0%	0	0	43,156,386
(20) Total	\$581,874,280	\$103,070,555	\$478,458,658	\$53,317,754	0%	\$0	\$0	\$496,269,603

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF SEPTEMBER 30, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	25,352,228.23	\$371,608.60
Savings	103,640.36	
Money market	0.00	
Payroll	260,488.60	
Donations	175,257.65	
Unemployment	517,884.45	
Certificate of deposit	0.00	
	-----	-----
Total current assets	26,409,799.29	371,608.60
	-----	-----
RECEIVABLES		
State claim	76,821,564.83	
Client support revenue	97,434.38	37,230.82
Due from State - prior years	133,258,845.47	
Due from ICF - ICF Supplemental Services	8,749,756.96	
	-----	-----
Total receivables	218,927,601.64	37,230.82
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$246,067,510.12	\$408,839.42
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$22,615,130.64	\$97,434.38
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	222,706,714.81	
Unemployment insurance	517,884.45	
	-----	-----
Total liabilities	245,892,252.47	97,434.38
	-----	-----
FUND BALANCES		
General		
Donations	175,257.65	
Custodial		311,405.04
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$246,067,510.12	\$408,839.42
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
SEPTEMBER 30, 2021

Beginning Balance		\$180,692.60
Donations	\$0.00	
Loan Payments	155.00	
Interest	22.65	
Disbursements:		
Deposit and first month's rent	-\$4,212.60	
Moving deposit	<u>-1,400.00</u>	
Subtotal Disbursements	<u>-5,612.60</u>	
Net Increase (Decrease)		<u>-5,434.95</u>
Ending Balance		<u><u>\$175,257.65</u></u>

REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS  
AGENDA ITEM DETAIL SHEET

ACTION   X    
ACTION/CONSENT \_\_\_\_\_  
DISCUSSION \_\_\_\_\_  
INFO ONLY \_\_\_\_\_

DATE: November 4, 2021  
TO: Board of Directors  
FROM: Budget and Finance Committee  
SUBJECT: **Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective January 1, 2022**

BACKGROUND:

Effective August 10, 2011, the California Code of Regulations, Title 2, Section 570.5, requires California Public Employees' Retirement System's (CalPERS's) employers to have pay rates approved by the employer's governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on June 3, 2021.

REASON FOR CURRENT ITEM:

The attached salary schedule reflects a 7.14% increase to the minimum hourly rate for the salary ranges of Peer Advocate and Service Coordinator. These increases are due to the 7.14% increase in the California minimum wage from \$14 to \$15 per hour effective January 1, 2022, which increases the minimum exempt salary from \$58,240 to \$62,400. RCOC is also increasing the maximum rate for Service Coordinators by 7.14%. In addition, RCOC is increasing the other salary ranges, with the exception of certain clinical specialists, to remain competitive with other Southern California regional centers.

Two titles have been added to the schedule: Emergency Coordinator and HR Manager. No titles have been removed.

FISCAL IMPACT:

Minimum hourly rates and minimum exempt salaries will increase approximately \$345,821 in fiscal year 2021-22 (six months), and approximately \$691,642 in fiscal year 2022-23.

All other salaries will increase approximately \$696,439 in fiscal year 2021-22 (six months), and approximately \$1,392,878 in fiscal year 2022-23.

Total ..... \$1,042,260

RECOMMENDATION:

That the Board approve the salary schedule for RCOC's employees effective January 1, 2022.

Agenda Item III.C.-2.c.

		Minimum	Midpoint	Maximum	Comments	Increase in Range	
						Minimum	Maximum
EXECUTIVE DIRECTOR	HR	<b>101.00</b>	<b>112.55</b>	<b>124.09</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	8,080.18	9,003.72	9,927.26			
	MO	17,507.05	19,508.06	21,509.07			
	YR	210,084.64	234,096.74	258,108.83			
MEDICAL DIRECTOR PHYSICIAN	HR	<b>70.06</b>	<b>90.21</b>	<b>110.36</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	5,604.95	7,216.78	8,828.62			
	MO	12,144.06	15,636.36	19,128.67			
	YR	145,728.68	187,636.36	229,544.03			
CHIEF FINANCIAL OFFICER GENERAL COUNSEL	HR	<b>70.06</b>	<b>85.81</b>	<b>101.56</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	5,604.95	6,865.04	8,125.13			
	MO	12,144.06	14,874.25	17,604.44			
	YR	145,728.68	178,491.00	211,253.32			
CONSULTING PHARMACIST DIRECTOR: Clinical, HR, IT Services and Supports	HR	<b>59.55</b>	<b>70.15</b>	<b>80.74</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	4,764.06	5,611.82	6,459.58			
	MO	10,322.14	12,158.95	13,995.76			
	YR	123,865.66	145,907.39	167,949.12			
MANAGER, AREA ASSOCIATE DIRECTOR: Employment, Finance, Housing, IT	HR	<b>44.65</b>	<b>52.05</b>	<b>59.45</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	3,572.18	4,163.97	4,755.76			
	MO	7,739.72	9,021.93	10,304.14			
	YR	92,876.69	108,263.18	123,649.67			
MANAGER: Nursing PSYCHOLOGIST, THERAPIST: Occupational, Physical Speech	HR	<b>41.57</b>	<b>48.97</b>	<b>56.36</b>	No change	<b>0.00%</b>	<b>0.00%</b>
	BW	3,325.45	3,917.20	4,508.96			
	MO	7,205.14	8,487.27	9,769.41			
	YR	86,461.65	101,847.29	117,232.93			
CHIEF COUNSELOR, CONTROLLER ADMINISTRATOR, MANAGER: Custodian of Records, Fair Hearings, HR, Risk, Safety Net and Resource Development	HR	<b>36.16</b>	<b>42.02</b>	<b>47.87</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	2,893.14	3,361.25	3,829.35			
	MO	6,268.46	7,282.70	8,296.93			
	YR	75,221.55	87,392.37	99,563.19			
CLERK TO THE BOARD, NURSE CONSULT. MANAGER: Family Support/Comm. Outreach COORDINATOR: PCT, Self-Determination SPECIALIST: Federal Programs and Benefits	HR	<b>37.64</b>	<b>42.28</b>	<b>46.93</b>		<b>5.00%</b>	<b>5.00%</b>
	BW	3,011.31	3,382.69	3,754.07			
	MO	6,524.49	7,329.15	8,133.82			
	YR	78,293.93	87,949.86	97,605.78			
BCBA Masters	HR	<b>35.60</b>	<b>40.01</b>	<b>44.41</b>	No change	<b>0.00%</b>	<b>0.00%</b>
	BW	2,848.28	3,200.67	3,553.07			
	MO	6,171.27	6,934.79	7,698.32			
	YR	74,055.25	83,217.52	92,379.78			
AREA SUPERVISOR, RESOURCE GROUP LEADER, MANAGER: Accounting, IT PROGRAMMER ANALYST, TRAINING COORDINATOR	HR	<b>30.00</b>	<b>37.78</b>	<b>45.57</b>		<b>6.66%</b>	<b>6.66%</b>
	BW	2,400.00	3,022.61	3,645.23			
	MO	5,200.00	6,549.00	7,898.00			
	YR	62,400.00	78,587.98	94,775.97			
COORDINATOR: Lead Service Federal Programs and Benefits	HR	<b>30.00</b>	<b>37.45</b>	<b>42.66</b>		<b>7.14%</b>	<b>7.14%</b>
	BW	2,400.00	2,996.20	3,412.41			
	MO	5,200.00	6,491.78	7,393.55			
	YR	62,400.00	77,901.32	88,722.64			
SERVICE COORDINATOR, HCBS COOR. ACCOUNTANT, ACCOUNTING SUP. CULTURAL SPECIALIST/COMM. OUT. EXECUTIVE LIAISON; HR SPECIALIST	HR	<b>30.00</b>	<b>34.84</b>	<b>39.68</b>	Minimum exempt salary	<b>7.14%</b>	<b>7.14%</b>
	BW	2,400.00	2,787.17	3,174.33	will increase from		
	MO	5,200.00	6,038.86	6,877.72	\$58,240 to \$62,400		
	YR	62,400.00	72,466.35	82,532.69	effective 1/1/2022		
ASSOCIATE PROGRAMMER ANALYST COORDINATOR: Emergency, IT, Quality Assurance, Systems	HR	<b>25.02</b>	<b>30.16</b>	<b>35.29</b>		<b>10.00%</b>	<b>10.00%</b>
	BW	2,001.94	2,412.53	2,823.12			
	MO	4,337.53	5,227.15	6,116.77			
	YR	52,050.40	62,725.81	73,401.22			
COORDINATOR: Fiscal, SIR, Vendor SPECIALIST: HRG, Intake	HR	<b>23.94</b>	<b>26.48</b>	<b>29.03</b>		<b>12.00%</b>	<b>12.00%</b>
	BW	1,915.44	2,118.72	2,322.01			
	MO	4,150.11	4,590.57	5,031.03			
	YR	49,801.32	55,086.84	60,372.37			
COORDINATOR: Community Resources, Fair Hearing, Imaging, Operations, Payables Support Services SPECIALIST: IT	HR	<b>22.28</b>	<b>24.15</b>	<b>26.03</b>		<b>15.00%</b>	<b>15.00%</b>
	BW	1,782.14	1,932.15	2,082.17			
	MO	3,861.30	4,186.33	4,511.36			
	YR	46,335.62	50,235.99	54,136.35			
TECHNICIAN: Accounting, Area, IT, Intake Scanning, Technical Assistant, Receptionist Account Clerk	HR	<b>18.34</b>	<b>20.97</b>	<b>23.61</b>		<b>18.00%</b>	<b>18.00%</b>
	BW	1,466.82	1,677.91	1,889.00			
	MO	3,178.11	3,635.47	4,092.82			
	YR	38,137.30	43,625.60	49,113.90			
PEER ADVOCATE Office Aide	HR	<b>15.00</b>	<b>15.54</b>	<b>16.07</b>	Minimum hourly wage	<b>7.14%</b>	<b>7.14%</b>
	BW	1,200.00	1,242.84	1,285.68	will increase from \$14		
	MO	2,600.00	2,692.82	2,785.64	to \$15 effective		
	YR	31,200.00	32,313.84	33,427.68	1/1/2022		



## Summary of Information About Persons Served - August 2021

<b>NUMBER OF PERSONS SERVED</b>	<b>20,592</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,381	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,947	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>496</b>
--	------------

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,693	60%
Epilepsy	2,885	15%
Cerebral Palsy	2,590	13%
Autism	8,457	43%
Fifth Category*	1,645	8%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

<b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>	<b>326</b>
Early Start / Under Age Three / 45 days to complete determination	272
Lanterman / Over Age Three / 120 days to complete determination	54

<b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>	<b>30</b>
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	28
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	28
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	16
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	2

<b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b>	<b>148</b>
Children - Age Three No Longer Eligible for Early Start Services	163
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0



# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### AUGUST 2021 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35				
Unannounced	59	40				
<b>Total Number of Reviews</b>	<b>92</b>	<b>75</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142				
Corrective Action Plans	0	0				
Special Incident Investigations*	26	30				
COVID-19 Checklist	0	0				

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							<b>68</b>
Unannounced							<b>99</b>
<b>Total Number of Reviews</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>167</b>

Provider Trainings	0	0	0	0			<b>0</b>
Technical Support							<b>293</b>
Corrective Action Plans							<b>0</b>
Special Incident Investigations*							<b>56</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

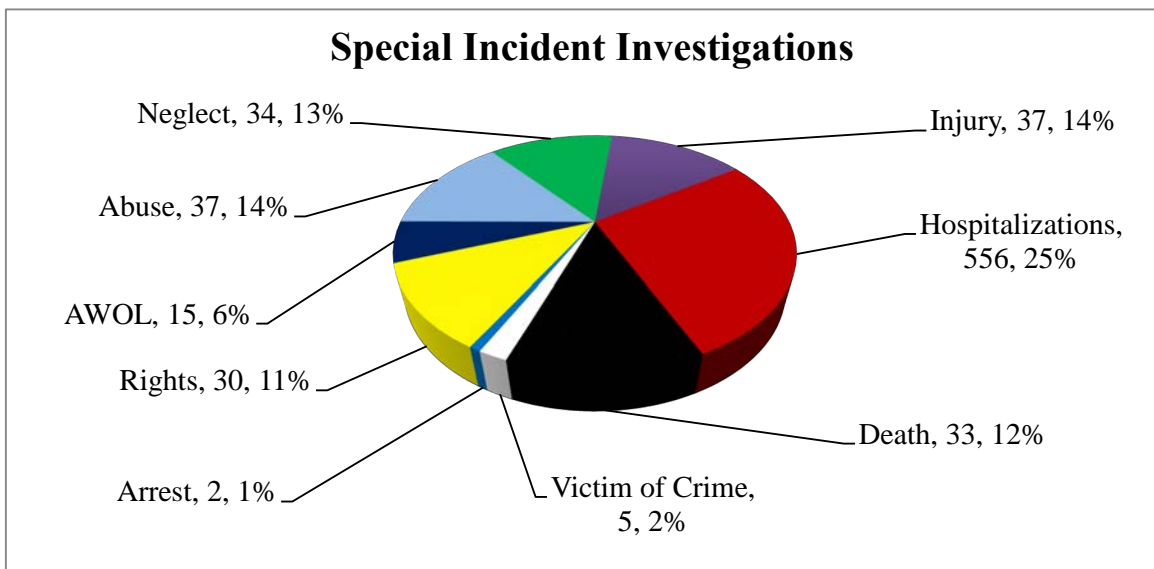
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
  - (B) Reasonably suspected abuse/exploitation including:
    - 1. Physical;
    - 2. Sexual;
    - 3. Fiduciary;
    - 4. Emotional/mental; or
    - 5. Physical and/or chemical restraint.
  - (C) Reasonably suspected neglect including failure to:
    - 1. Provide medical care for physical and mental health needs;
    - 2. Prevent malnutrition or dehydration;
    - 3. Protect from health and safety hazards;
    - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
    - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
  - (D) A serious injury/accident including:
    - 1. Lacerations requiring sutures or staples;
    - 2. Puncture wounds requiring medical treatment beyond first aid;
    - 3. Fractures;
    - 4. Dislocations;
    - 5. Bites that break the skin and require medical treatment beyond first aid;
    - 6. Internal bleeding requiring medical treatment beyond first aid;
    - 7. Any medication errors;
    - 8. Medication reactions that require medical treatment beyond first aid; or
    - 9. Burns that require medical treatment beyond first aid.
  - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
    - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
    - 2. Seizure-related;
    - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
    - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
    - 5. Diabetes, including diabetes-related complications;
    - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
    - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
    - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOG includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
*Fiscal Year 2021-22*

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10				
Abuse	14	23				
Neglect	18	16				
Injury	14	23				
Hospitalizations - Total	33	40				
<i>Psychiatric</i>	11	8				
<i>Medical</i>	22	32				
Death	15	18				
Victim of crime	3	2				
Arrest	2	0				
Rights	16	14				
<b>Total</b>	<b>120</b>	<b>146</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							15
Abuse							37
Neglect							34
Injury							37
Hospitalizations - Total							73
<i>Psychiatric</i>							19
<i>Medical</i>							54
Death							33
Victim of Crime							5
Arrest							2
Rights							30
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>266</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2021-22**

**Number of Audits / Appeals / Recoveries**

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0				
Staffing	0	0				
Level 4I Consultant	0	0				
P&I (consumer funds)	0	0				
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)**

State Appeal	0	0				
Recovery	0	0				

**Audit Findings (Dollar Amount)**

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							<b>0</b>
Staffing							<b>0</b>
Level 4I Consultant							<b>0</b>
P&I (consumer funds)							<b>0</b>
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Number of Appeals / Recoveries**

State Appeal							<b>0</b>
Recovery							<b>0</b>

**Audit Findings (Dollar Amount)**

Amount of Recovery							<b>\$0.00</b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2021-22*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81				
Diapers - Family Member	10	10				
Nursing Service - Family Member	62	62				
Respite Service - Family Member	603	587				
Transportation - Family Member	115	129				
<b><i>Total Number of Voucher Authorizations</i></b>	<b><i>871</i></b>	<b><i>869</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
<b><i>Total Number of Voucher Authorizations</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>	<b><i>0</i></b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2021-22*

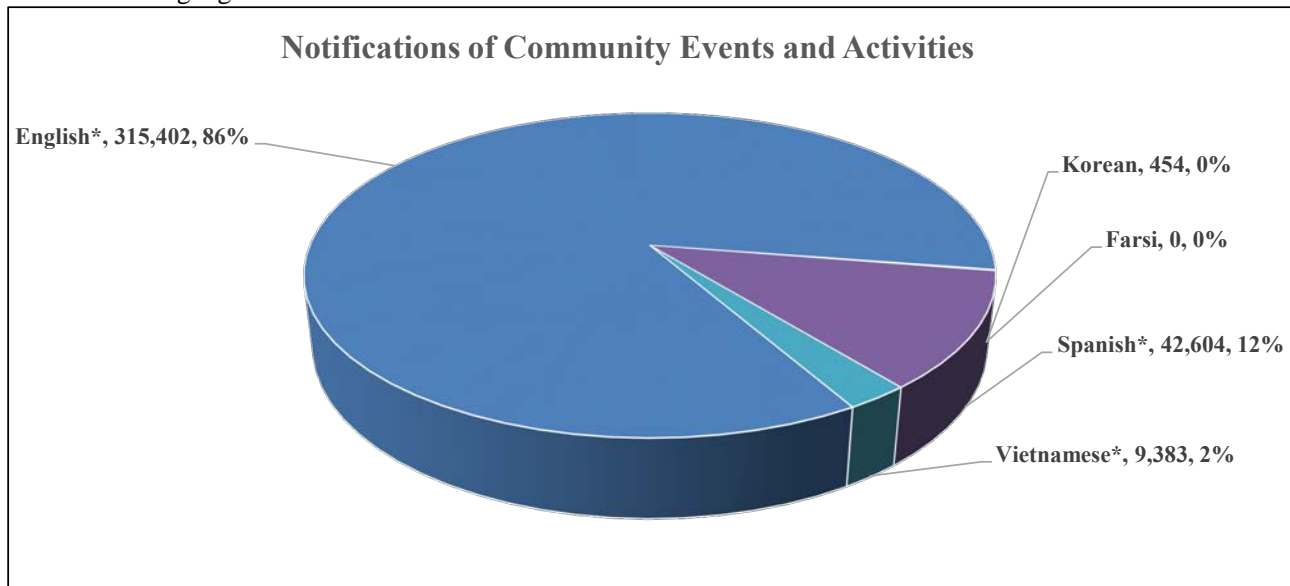
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176				
Farsi						
Korean		454				
Spanish*	16,214	26,390				
Vietnamese*	3,368	6,015				
<b>Total Number of Notifications</b>	<b>201,808</b>	<b>166,035</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							315,402
Farsi							0
Korean							454
Spanish*							42,604
Vietnamese*							9,383
Chinese							
<b>Total Number of Notifications</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>367,843</b>

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Community Outreach

*Fiscal Year 2021-22*

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
<b>In Person</b>						
English	6	9				
Spanish	3					
Vietnamese	1					
Other Languages						
<b>In Print</b>						
English						
Spanish	1	3				
Vietnamese		3				
Other Languages						
<b>TV / Radio</b>						
English		1				
Spanish						
Vietnamese	4	6				
Other Languages						
<b>Total Number of Outreach Events</b>	<b>15</b>	<b>22</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English							15
Spanish							3
Vietnamese							1
Other Languages							0
<b>In Print</b>							
English							0
Spanish							4
Vietnamese							3
Other Languages							0
<b>TV / Radio</b>							
English							1
Spanish							0
Vietnamese							10
Other Languages							0
<b>Total Number of Outreach Events</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>37</b>

\* Virtual Meetings

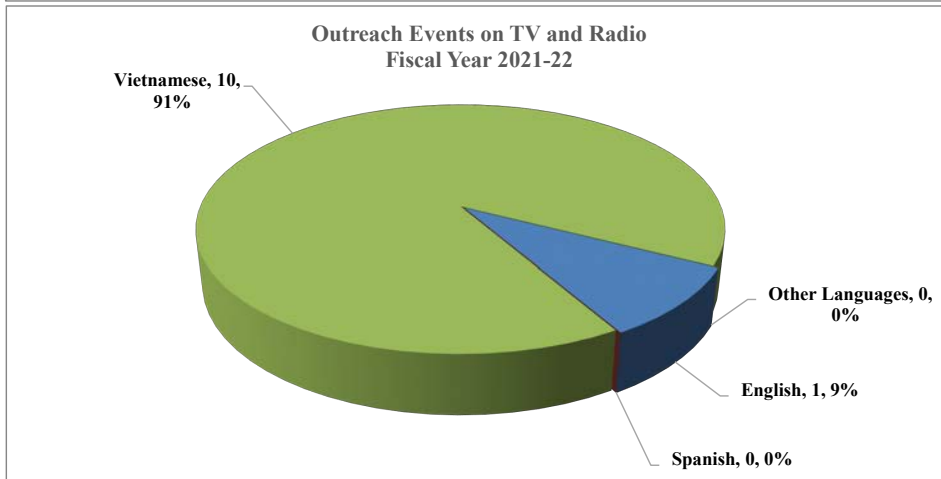
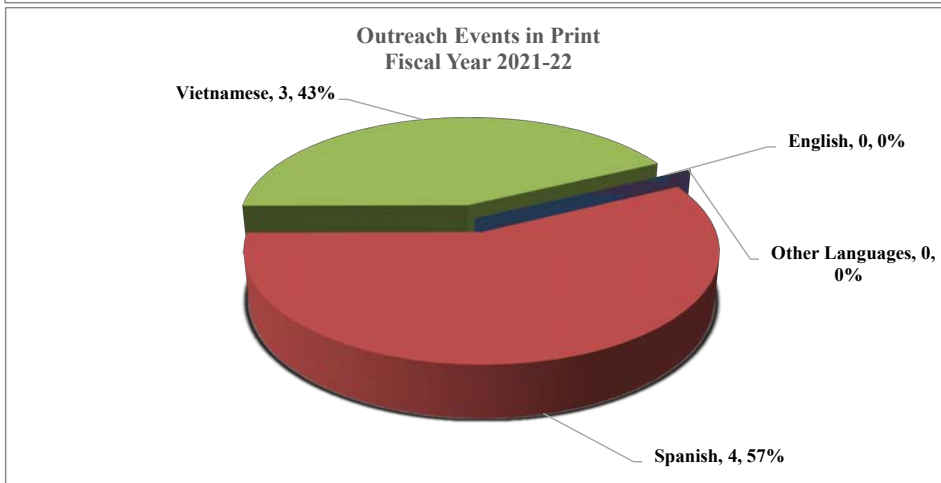
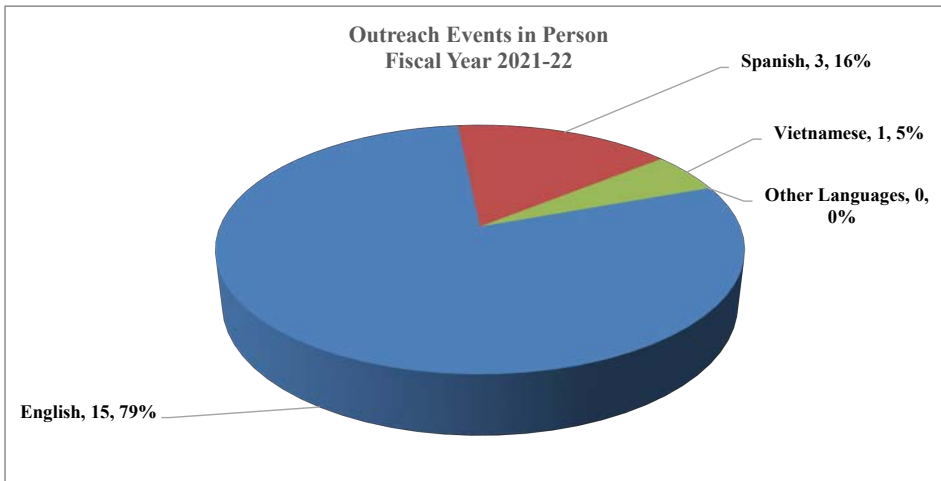


## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22



## EARLY INTERVENTION / PREVENTION

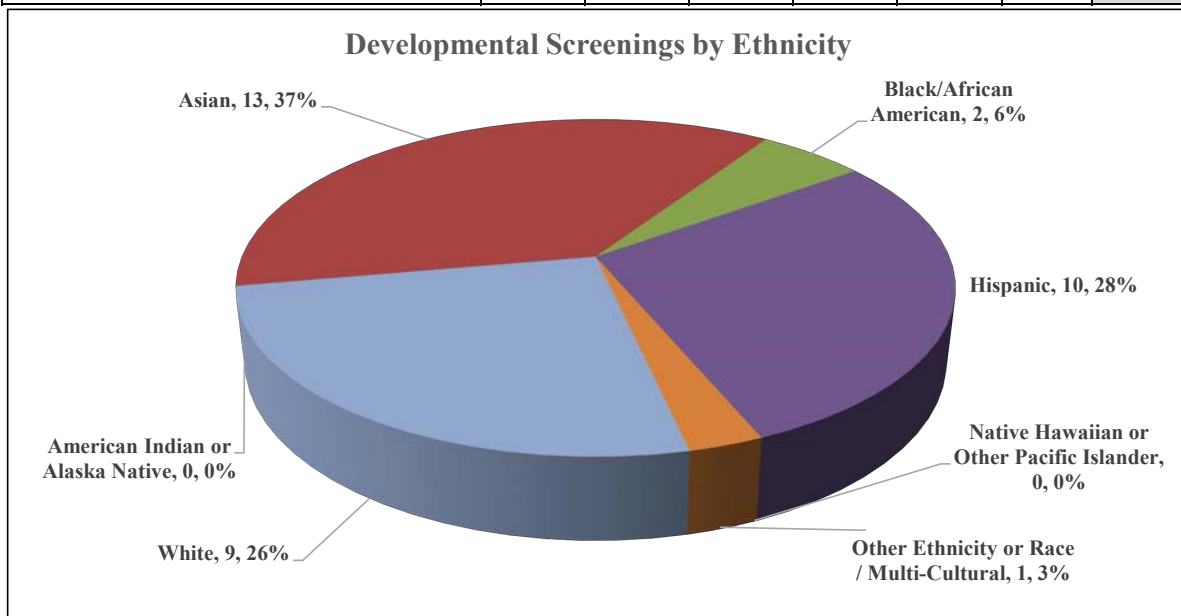
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0				
Asian	0	13				
Black/African American	0	2				
Hispanic	0	10				
Native Hawaiian or Other Pacific Islander	0	0				
Other Ethnicity or Race / Multi-Cultural	0	1				
White	0	9				
<b>Total Number Screened</b>	<b>0</b>	<b>35</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>16</b>				

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							13
Black/African American							2
Hispanic							10
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							1
White							9
<b>Total Number Screened</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>35</b>
<b>Total Number Referred to RCOC</b>							<b>16</b>

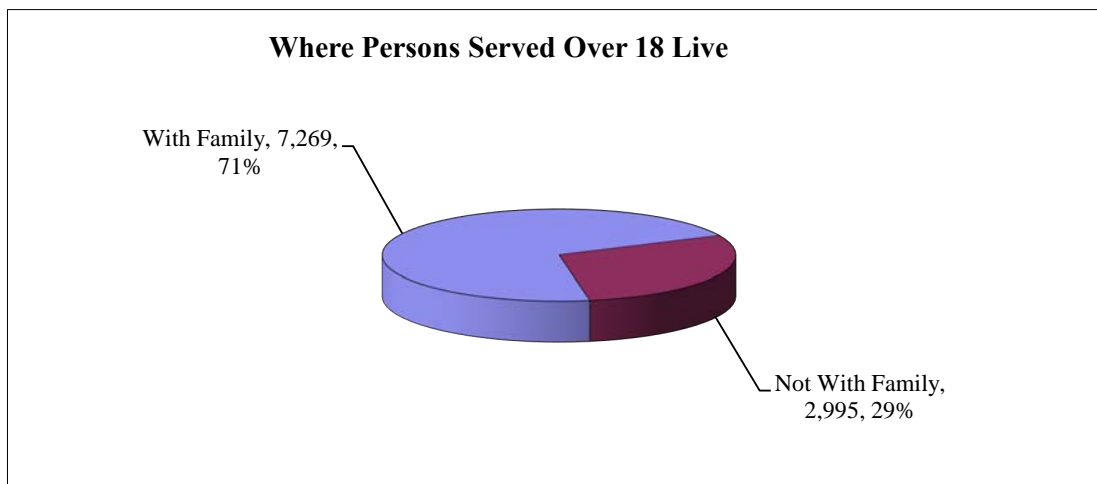
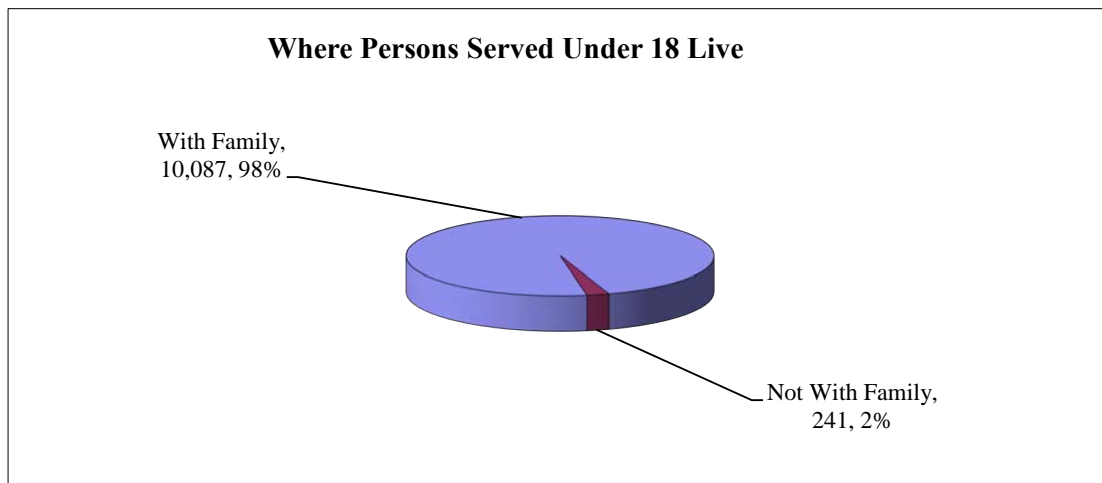


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

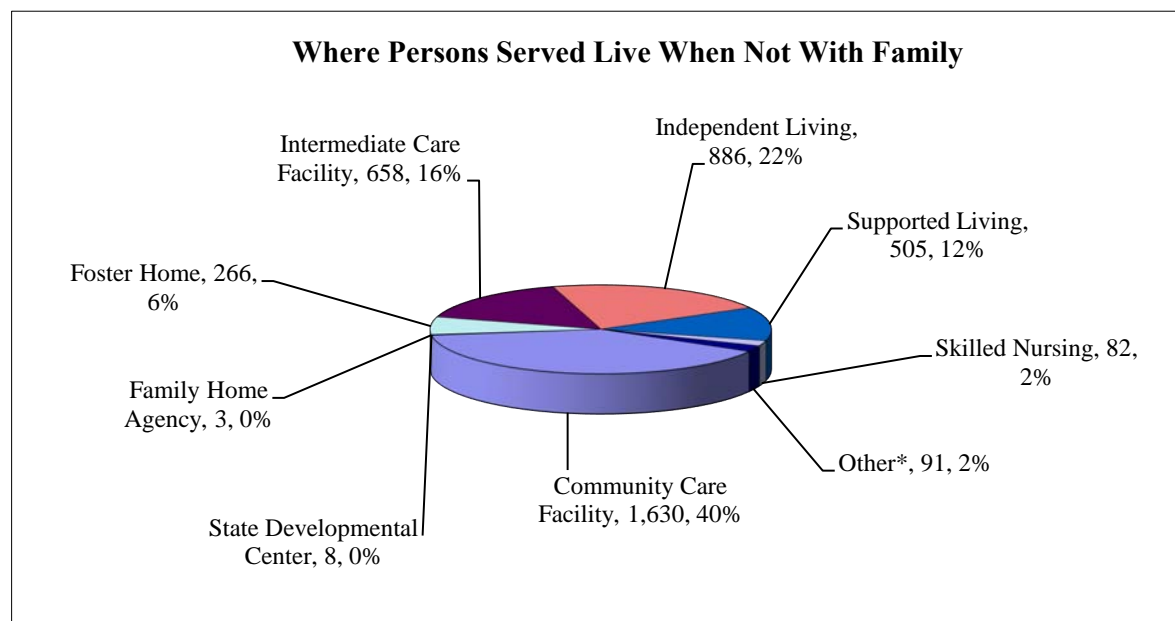
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>



### LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	91	24	67
<b>Total</b>	<b>22,863</b>	<b>10,675</b>	<b>12,188</b>

<b>Other*</b>			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	4	1	3
Psychiatric Treatment	10	1	9
Rehabilitation Center	6	1	5
SDC / State Hospital	0	0	0
Sub-Acute	50	19	31
Transient / Homeless	13	0	13
<b>Total, Other*</b>	<b>91</b>	<b>24</b>	<b>67</b>



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

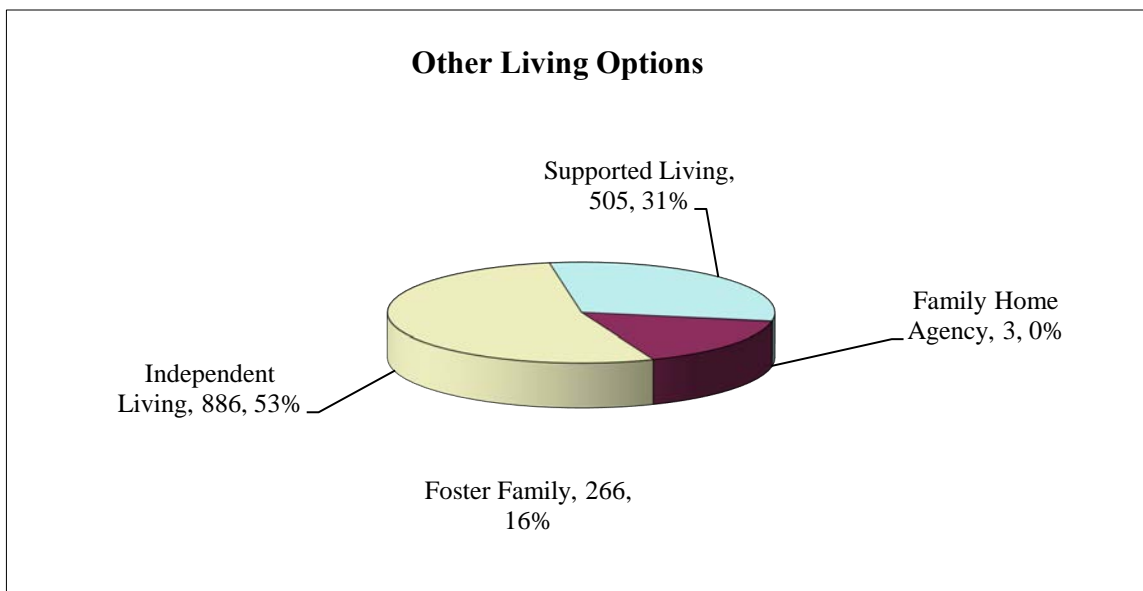
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

<b>Other Living Options</b>	<b>Total</b>	<b>Under 18</b>	<b>Over 18</b>
Family Home Agency	3	0	3
Foster Family	266	258	8
Independent Living	886	0	886
Supported Living	505	0	505
<b>Total</b>	<b>1,660</b>	<b>258</b>	<b>1,402</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2021-22

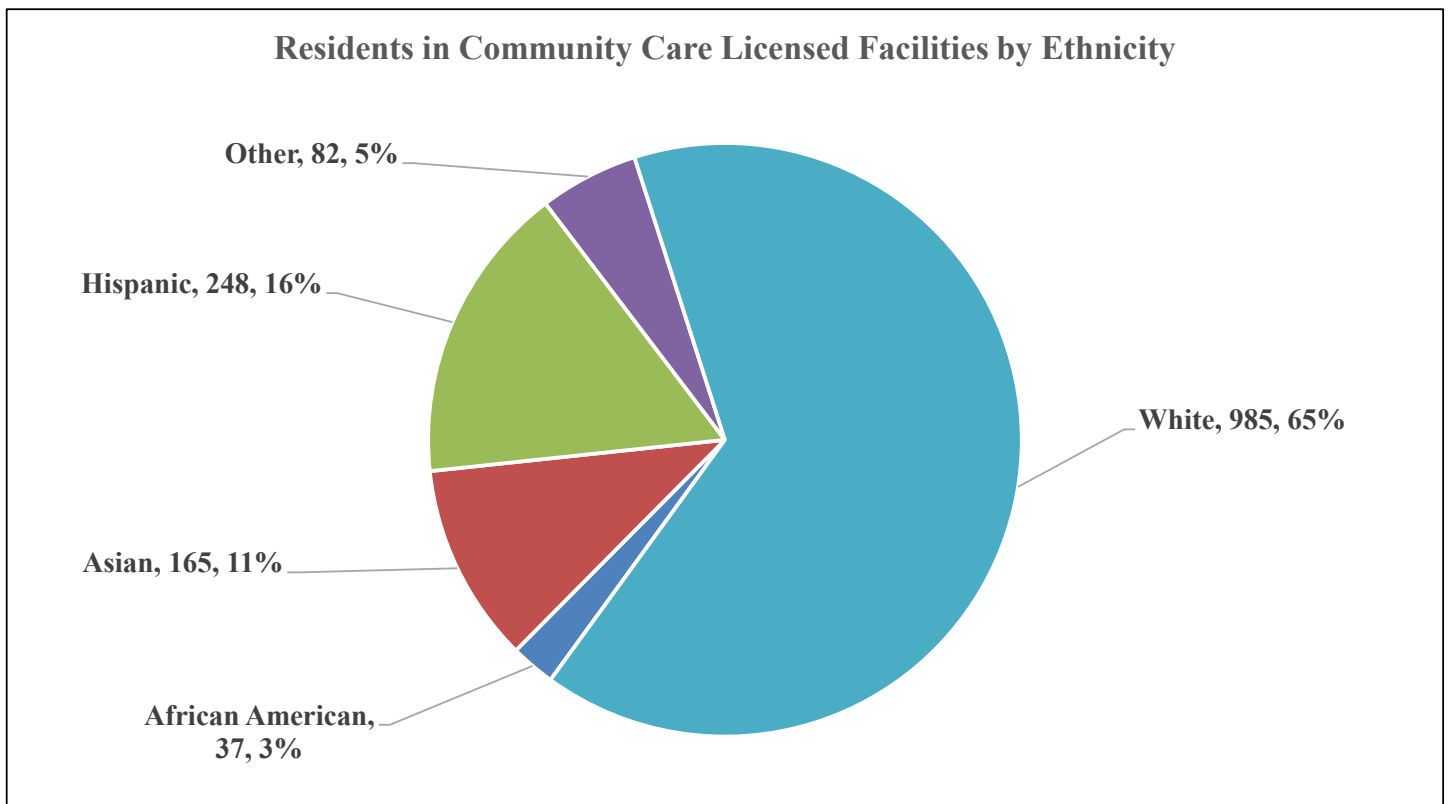
Licensed Facilities	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	289	289	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	53	53	0
Level 4D	37	37	0
Level 4E	24	24	0
Level 4F	63	63	0
Level 4G	30	30	0
Level 4H	4	4	0
Level 4I	336	332	4
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	5	5	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,105</b>	<b>1,101</b>	<b>4</b>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	289	289	0
Level 4	586	582	4
ICF/DD-H	2	2	0
ICF/DD-N	5	5	0
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,105</b>	<b>1,101</b>	<b>4</b>

LIVING OPTIONS, continued

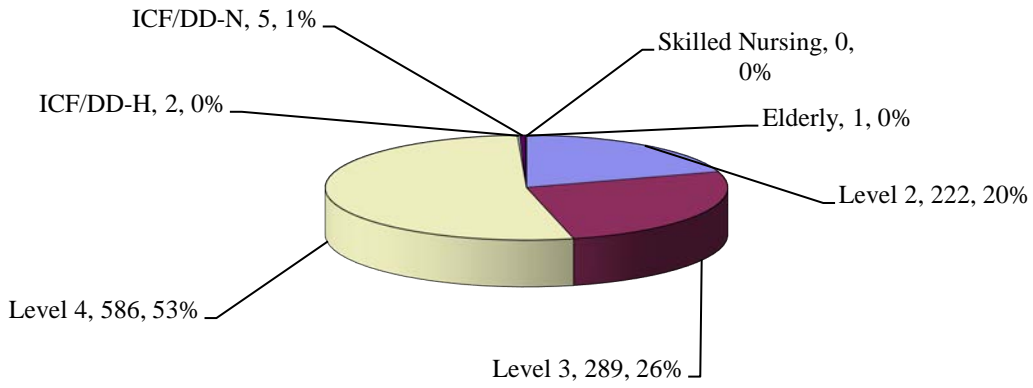
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity  
Fiscal Year 2021-22

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	28	50	12	237	333
Level 3	13	34	62	19	248	376
Level 4A	0	5	6	2	23	36
Level 4B	0	1	0	0	7	8
Level 4C	1	8	11	2	53	75
Level 4D	0	8	7	2	29	46
Level 4E	0	3	7	3	22	35
Level 4F	3	12	9	2	45	71
Level 4G	0	7	5	2	32	46
Level 4H	0	0	3	1	2	6
Level 4I	14	59	88	37	287	485
<b>Total</b>	<b>37</b>	<b>165</b>	<b>248</b>	<b>82</b>	<b>985</b>	<b>1,517</b>

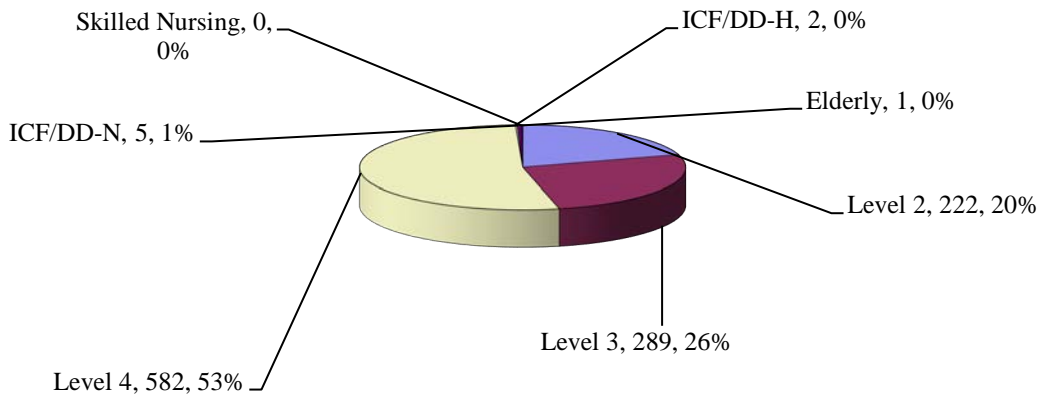




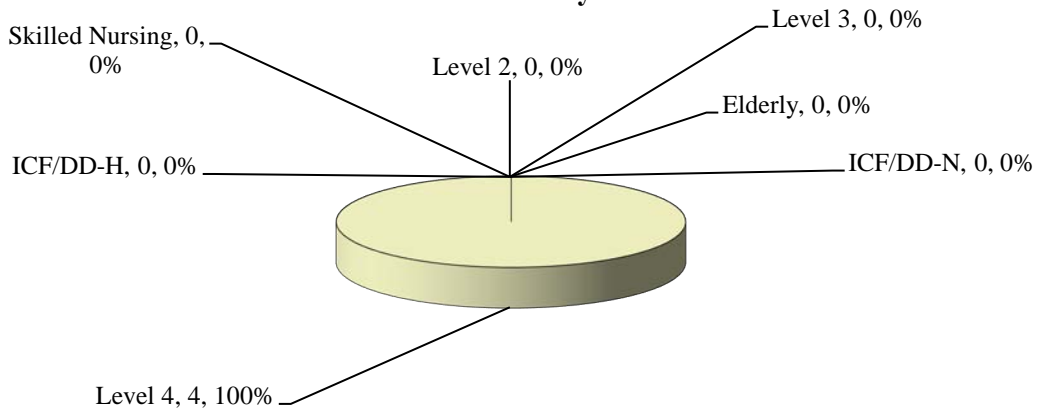
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

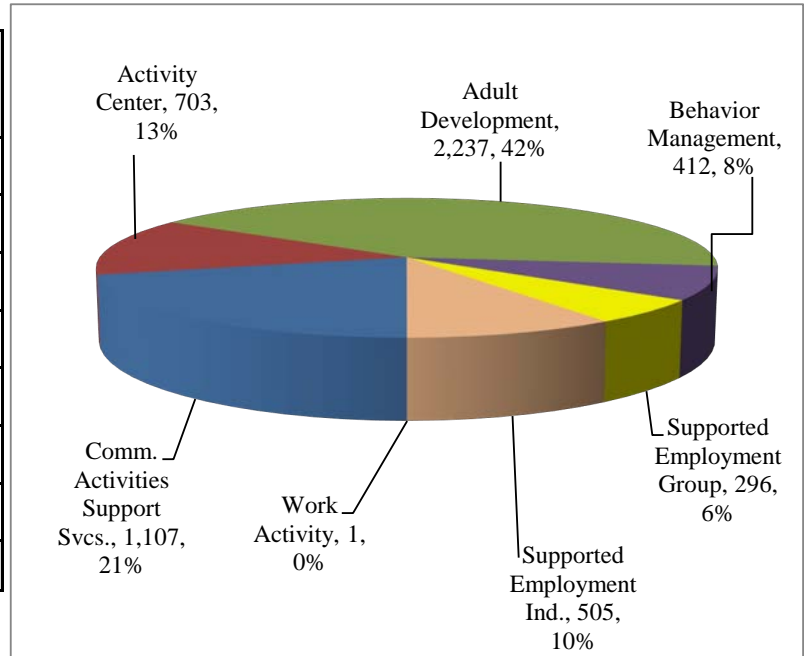


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,107
Activity Center	703
Adult Development	2,237
Behavior Management	412
Supported Employment Group	296
Supported Employment Ind.	505
Work Activity	1
<b>Total</b>	<b>5,261</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

*Fiscal Year 2021-22*

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5	302.5				
Number of Case-Carrying SCs	280.8	275.8				
Number of Intake SCs	25.7	25.7				
Number of State Developmental Center SCs	0.0	0.0				
Number of Active Persons Served	22,943	23,016				
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4				

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2021-22**

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>18</b>	<b>9</b>										
Eligibility - Lanterman	2	1										
Behavioral services	5	2										
Respite	2	2										
Day Care	0	0										
ILS/SLS	0	0										
Personal Assistance	2	1										
Other**	7	3										

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>										
Eligibility - Lanterman	1	0										
Eligibility - Early Start	0	0										
Behavioral services	0	0										
Respite	1	1										
Day Care	0	0										
Social/Recreational	0	0										
Personal Assistance	0	0										
Other**	1	1										

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>8</b>	<b>3</b>										
Number of Informal Meetings Held	4	3										
Number of Mediations Held	1	0										
Number of SLFHs Held	3	0										

<b>Number of Requests in Scheduling*</b>	<b>0</b>	<b>3</b>										
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\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>										
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\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>10</b>	<b>3</b>										
Withdrawn by Person Served/Family	5	2										
Settled in Informal	1	1										
Settled after further follow-up by RCOC	0	0										
Settled in Mediation	1	0										
SLFH Decision	3	0										

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Person Served/Family	0	0										
RCOC	3	0										
Split	0	0										

## ADMINISTRATION AND GOVERNANCE

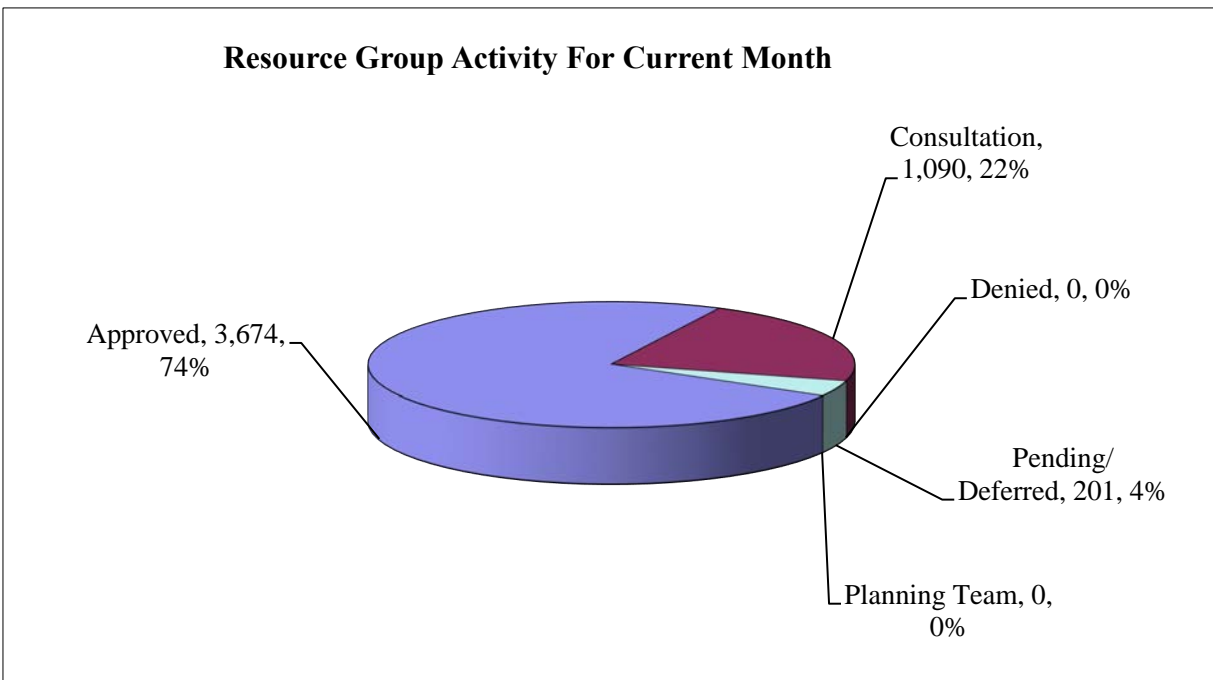
### Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

### Resource Group Activity for August 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	885	298	0	61	0	1,244
Behavioral	102	54	0	3	0	159
Education	0	3	0	0	0	3
Eligibility/Health	97	3	0	6	0	106
Early Start	680	107	0	47	0	866
Living Options	150	141	0	1	0	292
Supported/Ind.	295	144	0	25	0	464
All Others	819	115	0	39	0	973
<b>Monthly Total</b>	<b>3,674</b>	<b>1,090</b>	<b>0</b>	<b>201</b>	<b>0</b>	<b>5,385</b>

<b>FY 2020-21 Total to Date</b>	3,674	1,090	0	201	0	<b>5,385</b>
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## Operations Report Summary - August 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,381	8,431	8,772	8	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	91	24	67
<b>Total</b>	<b>22,863</b>	<b>10,675</b>	<b>12,188</b>

Special Incident Investigations	Year to Date
AWOL	15
Abuse	37
Neglect	34
Injury	37
Hospitalizations - Total	73
Death	33
Victim of crime	5
Arrest	2
Rights	30
<b>Total</b>	<b>266</b>

### Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	80	0	80
Level 4	178	12	166
<b>Total Community Care Facilities</b>	<b>338</b>	<b>12</b>	<b>326</b>

Licensed Facility Monitoring	Year to Date
Annual Review	68
Unannounced	99
Total Number of Reviews	167
Provider Trainings	0
Technical Support	293
Corrective Action Plans	0

### *Intermediate Care Facilities (ICF)*

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
<b>Total ICF Facilities</b>	<b>123</b>

<b>Number of Audits</b>	<b>0</b>
<b>Amount of Recovery from Audits</b>	<b>\$0</b>

<b>Total Licensed Facilities</b>	<b>461</b>
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## Summary of Information About Persons Served - September 2021

<b>NUMBER OF PERSONS SERVED</b>	<b>20,592</b>	<b>100%</b>
Children - Birth to Age Three Receiving Early Start Services	3,410	17%
Children - Ages Three to 17 Receiving Lanterman Services	6,918	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

<b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b>	<b>489</b>
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*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

Intellectual Disability	11,693	60%
Epilepsy	2,885	15%
Cerebral Palsy	2,590	13%
Autism	8,457	43%
Fifth Category*	1,645	8%

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

<b>NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION</b>	<b>351</b>	
Early Start / Under Age Three / 45 days to complete determination	307	87%
Lanterman / Over Age Three / 120 days to complete determination	44	13%

<b>NUMBER OF PERSONS DETERMINED ELIGIBLE</b>	<b>38</b>	
Children - Birth to Age Three Eligible for Early Start Services	0	
Children and Adults - Ages Three and Older Eligible for Lanterman Services	34	
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services</li> </ul>	38	
<ul style="list-style-type: none"> <li>• Number of children who received Early Start services and had a diagnosis of autism</li> </ul>	17	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4	

<b>NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES</b>	<b>148</b>	
Children - Age Three No Longer Eligible for Early Start Services	125	
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	5	

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### SEPTEMBER 2021 ACTIVITY

#### *Mission Statement*

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*



## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33	35	36			
Unannounced	59	40	38			
<b>Total Number of Reviews</b>	<b>92</b>	<b>75</b>	<b>74</b>	<b>0</b>	<b>0</b>	<b>0</b>

Provider Trainings	0	0	0	0	0	0
Technical Support	151	142	169			
Corrective Action Plans	0	0	9			
Special Incident Investigations*	26	30	28			
COVID-19 Checklist	0	0	0			

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							<b>104</b>
Unannounced							<b>137</b>
<b>Total Number of Reviews</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>241</b>

Provider Trainings	0	0	0	0			<b>0</b>
Technical Support							<b>462</b>
Corrective Action Plans							<b>9</b>
Special Incident Investigations*							<b>84</b>

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

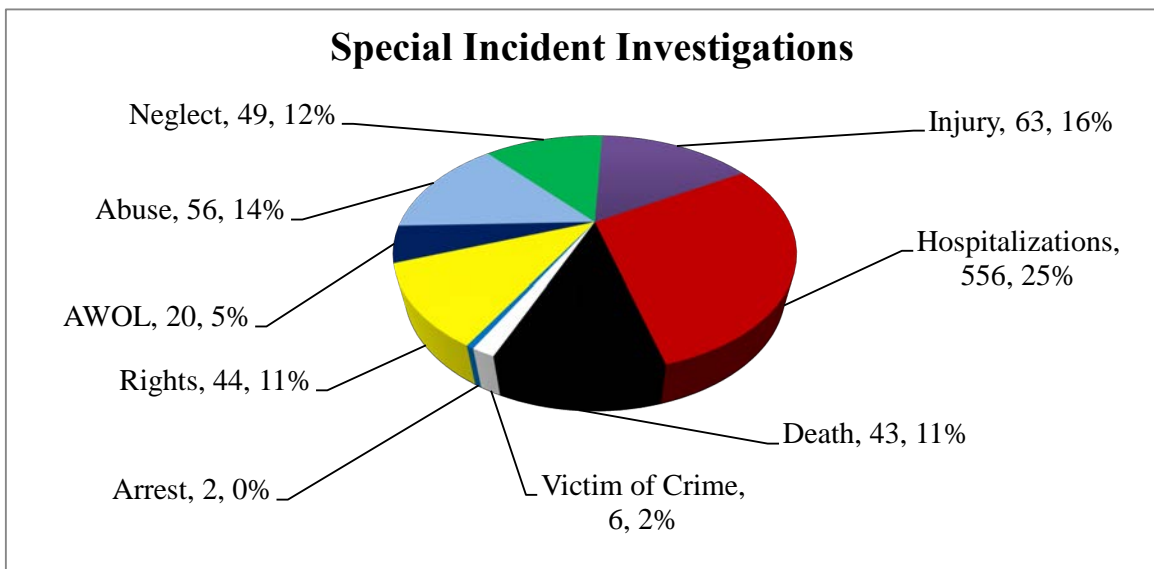
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
  - (B) Reasonably suspected abuse/exploitation including:
    - 1. Physical;
    - 2. Sexual;
    - 3. Fiduciary;
    - 4. Emotional/mental; or
    - 5. Physical and/or chemical restraint.
  - (C) Reasonably suspected neglect including failure to:
    - 1. Provide medical care for physical and mental health needs;
    - 2. Prevent malnutrition or dehydration;
    - 3. Protect from health and safety hazards;
    - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
    - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
  - (D) A serious injury/accident including:
    - 1. Lacerations requiring sutures or staples;
    - 2. Puncture wounds requiring medical treatment beyond first aid;
    - 3. Fractures;
    - 4. Dislocations;
    - 5. Bites that break the skin and require medical treatment beyond first aid;
    - 6. Internal bleeding requiring medical treatment beyond first aid;
    - 7. Any medication errors;
    - 8. Medication reactions that require medical treatment beyond first aid; or
    - 9. Burns that require medical treatment beyond first aid.
  - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
    - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
    - 2. Seizure-related;
    - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
    - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
    - 5. Diabetes, including diabetes-related complications;
    - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
    - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
    - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOE includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
*Fiscal Year 2021-22*

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	10	5			
Abuse	14	23	19			
Neglect	18	16	15			
Injury	14	23	26			
Hospitalizations - Total	33	40	43			
<i>Psychiatric</i>	11	8	11			
<i>Medical</i>	22	32	32			
Death	15	18	10			
Victim of crime	3	2	1			
Arrest	2	0	0			
Rights	16	14	14			
<b>Total</b>	<b>120</b>	<b>146</b>	<b>133</b>	<b>0</b>	<b>0</b>	<b>0</b>

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							20
Abuse							56
Neglect							49
Injury							63
Hospitalizations - Total							116
<i>Psychiatric</i>							30
<i>Medical</i>							86
Death							43
Victim of Crime							6
Arrest							2
Rights							44
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>399</b>



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2021-22**

**Number of Audits / Appeals / Recoveries**

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0			
Staffing	0	0	0			
Level 4I Consultant	0	0	0			
P&I (consumer funds)	0	0	0			
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)**

State Appeal	0	0	0			
Recovery	0	0	0			

**Audit Findings (Dollar Amount)**

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							<b>0</b>
Staffing							<b>0</b>
Level 4I Consultant							<b>0</b>
P&I (consumer funds)							<b>0</b>
<b>Total Number of Audits</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Number of Appeals / Recoveries**

State Appeal							<b>0</b>
Recovery							<b>0</b>

**Audit Findings (Dollar Amount)**

Amount of Recovery							<b>\$0.00</b>
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## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2021-22*

#### Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81	81	80			
Diapers - Family Member	10	10	10			
Nursing Service - Family Member	62	62	63			
Respite Service - Family Member	603	587	577			
Transportation - Family Member	115	129	127			
<b>Total Number of Voucher Authorizations</b>	<b>871</b>	<b>869</b>	<b>857</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
<b>Total Number of Voucher Authorizations</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2021-22*

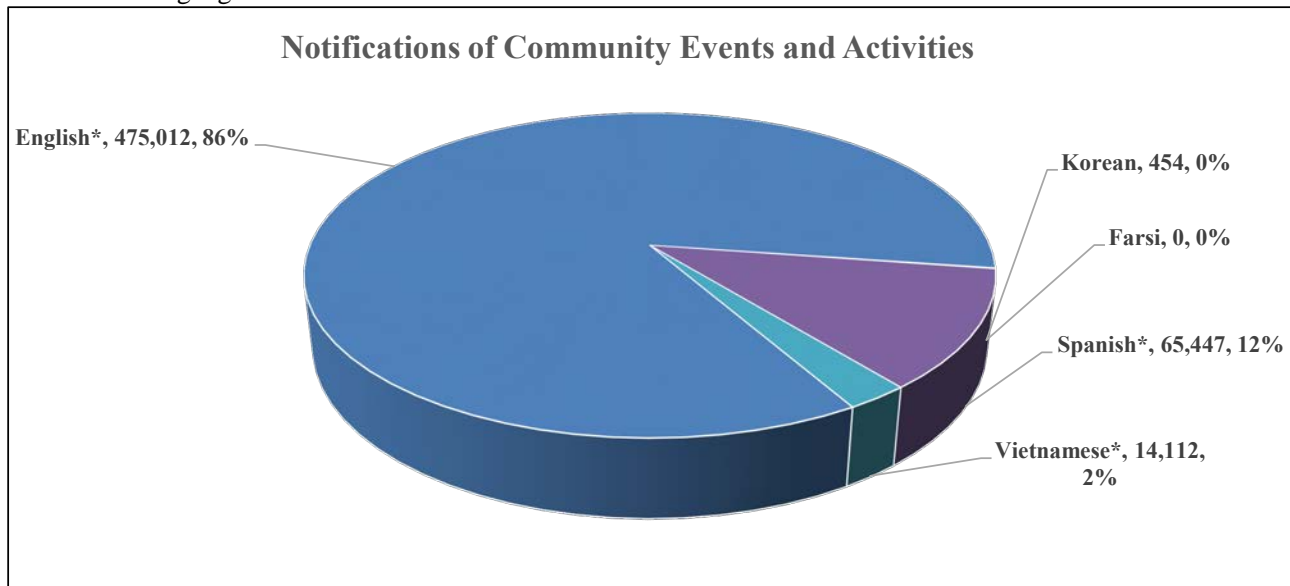
#### Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226	133,176	159,610			
Farsi						
Korean		454				
Spanish*	16,214	26,390	22,843			
Vietnamese*	3,368	6,015	4,729			
<b>Total Number of Notifications</b>	<b>201,808</b>	<b>166,035</b>	<b>187,182</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							475,012
Farsi							0
Korean							454
Spanish*							65,447
Vietnamese*							14,112
Chinese							
<b>Total Number of Notifications</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>555,025</b>

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2021-22*

#### Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
<b>In Person</b>						
English	6	9	10			
Spanish	3					
Vietnamese	1		4			
Other Languages						
<b>In Print</b>						
English						
Spanish	1	3	3			
Vietnamese		3				
Other Languages						
<b>TV / Radio</b>						
English		1				
Spanish						
Vietnamese	4	6	4			
Other Languages						
<b>Total Number of Outreach Events</b>	<b>15</b>	<b>22</b>	<b>21</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
<b>In Person</b>							
English							25
Spanish							3
Vietnamese							5
Other Languages							0
<b>In Print</b>							
English							0
Spanish							7
Vietnamese							3
Other Languages							0
<b>TV / Radio</b>							
English							1
Spanish							0
Vietnamese							14
Other Languages							0
<b>Total Number of Outreach Events</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>58</b>

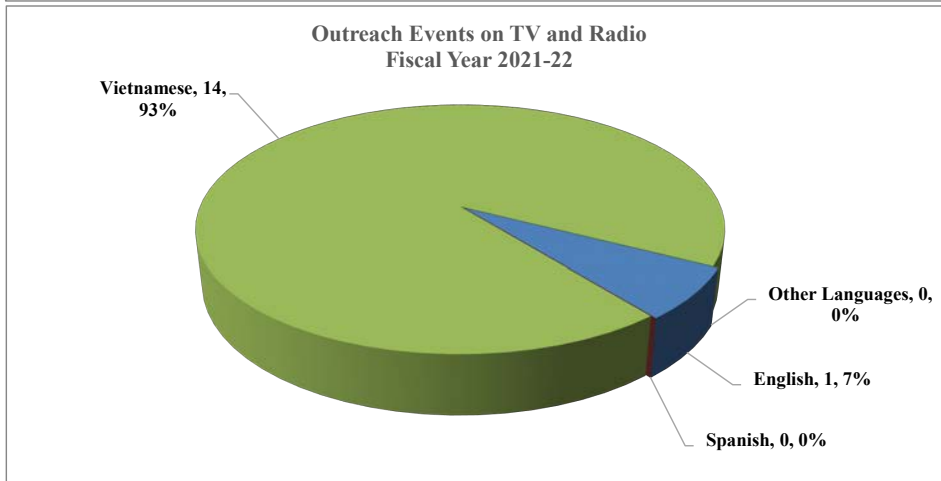
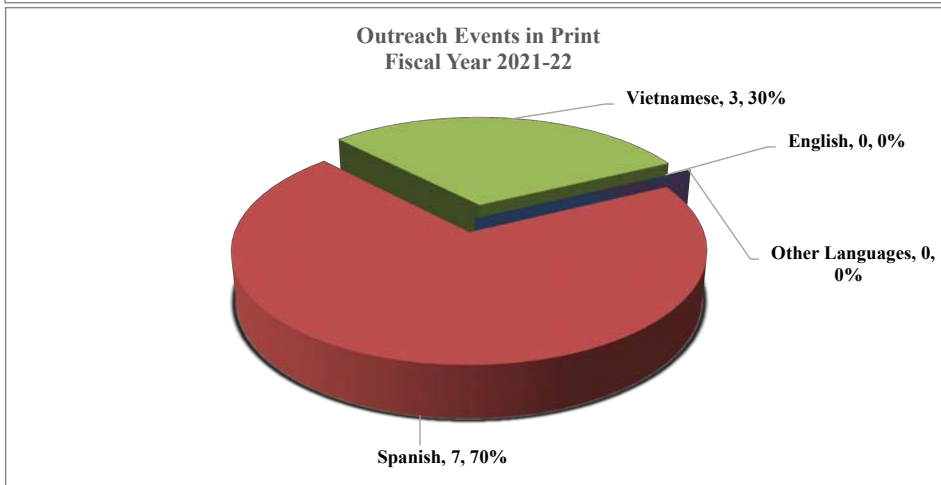
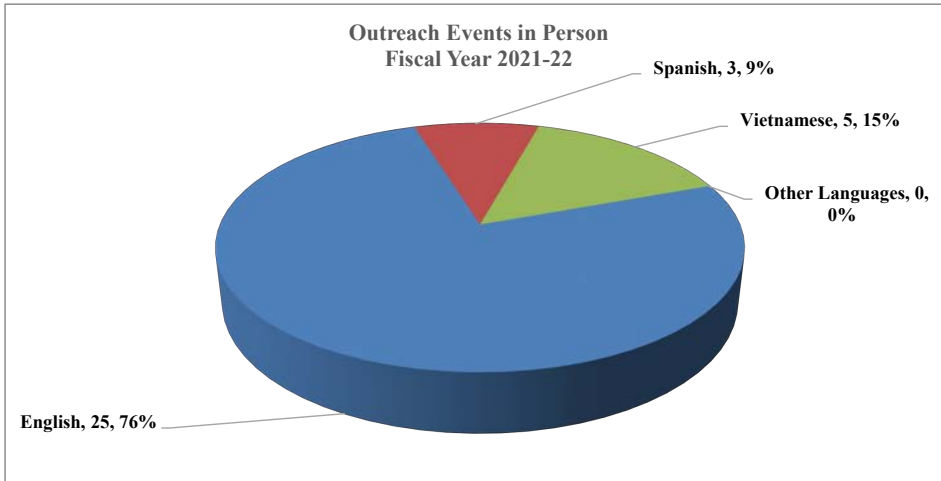
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22





## EARLY INTERVENTION / PREVENTION

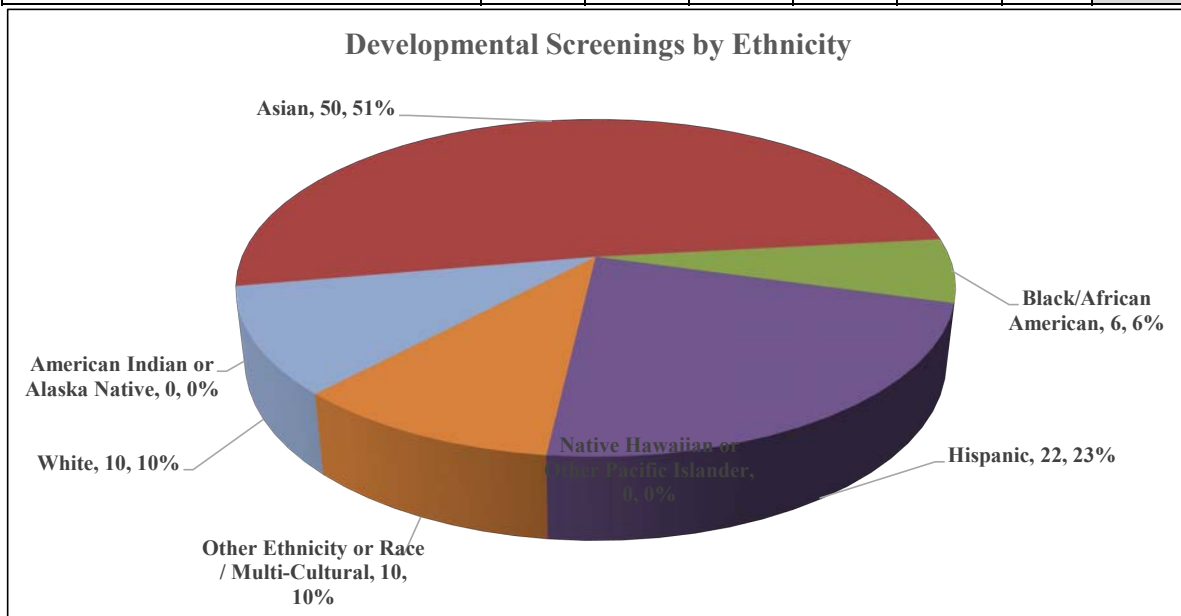
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0			
Asian	0	13	37			
Black/African American	0	2	4			
Hispanic	0	10	12			
Native Hawaiian or Other Pacific Islander	0	0	0			
Other Ethnicity or Race / Multi-Cultural	0	1	9			
White	0	9	1			
<b>Total Number Screened</b>	<b>0</b>	<b>35</b>	<b>63</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Number Referred to RCOC</b>	<b>0</b>	<b>16</b>	<b>11</b>			

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							50
Black/African American							6
Hispanic							22
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							10
White							10
<b>Total Number Screened</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>98</b>
<b>Total Number Referred to RCOC</b>							<b>27</b>

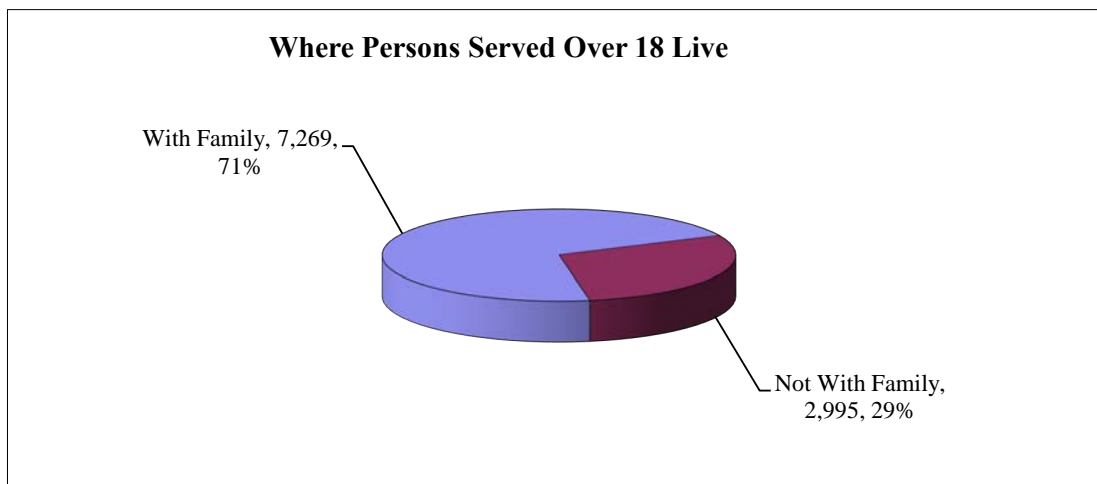
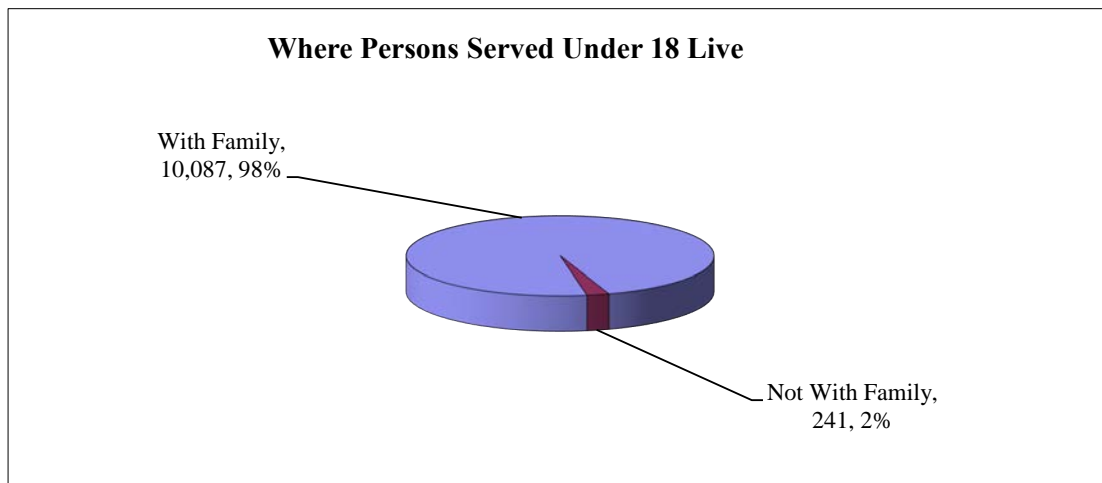


## LIVING OPTIONS

### Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

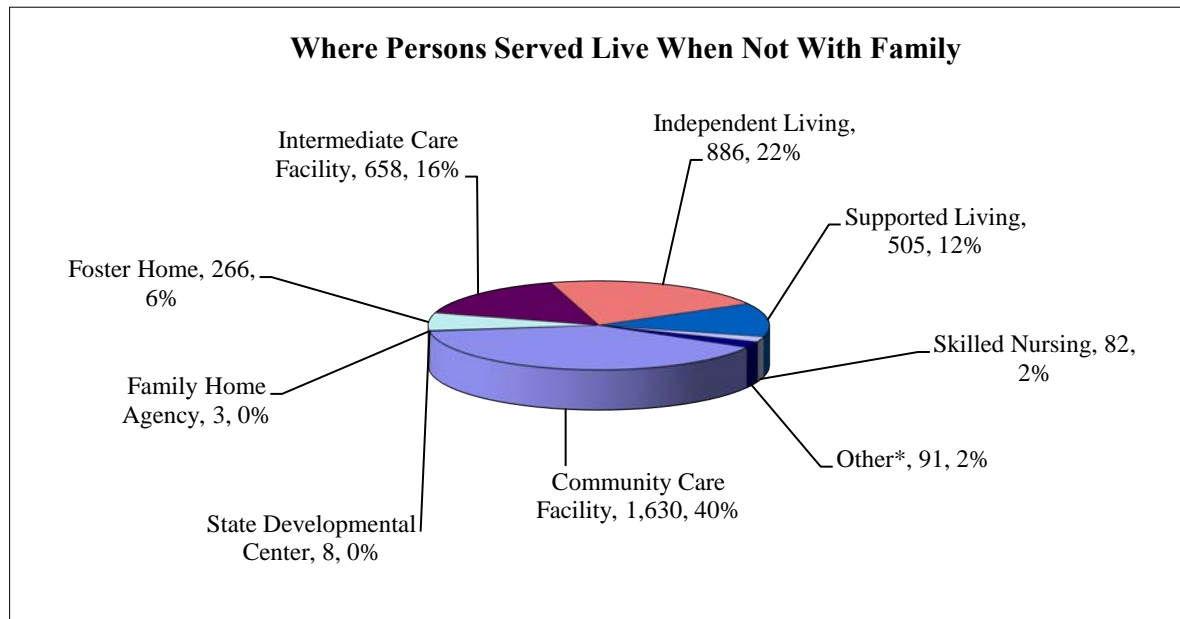
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
<b>Totals</b>	<b>20,592</b>	<b>10,328</b>	<b>10,264</b>



**LIVING OPTIONS, continued**

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	91	24	67
<b>Total</b>	<b>22,863</b>	<b>10,675</b>	<b>12,188</b>

<b>Other*</b>			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	4	1	3
Psychiatric Treatment	10	1	9
Rehabilitation Center	6	1	5
SDC / State Hospital	0	0	0
Sub-Acute	50	19	31
Transient / Homeless	13	0	13
<b>Total, Other*</b>	<b>91</b>	<b>24</b>	<b>67</b>



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

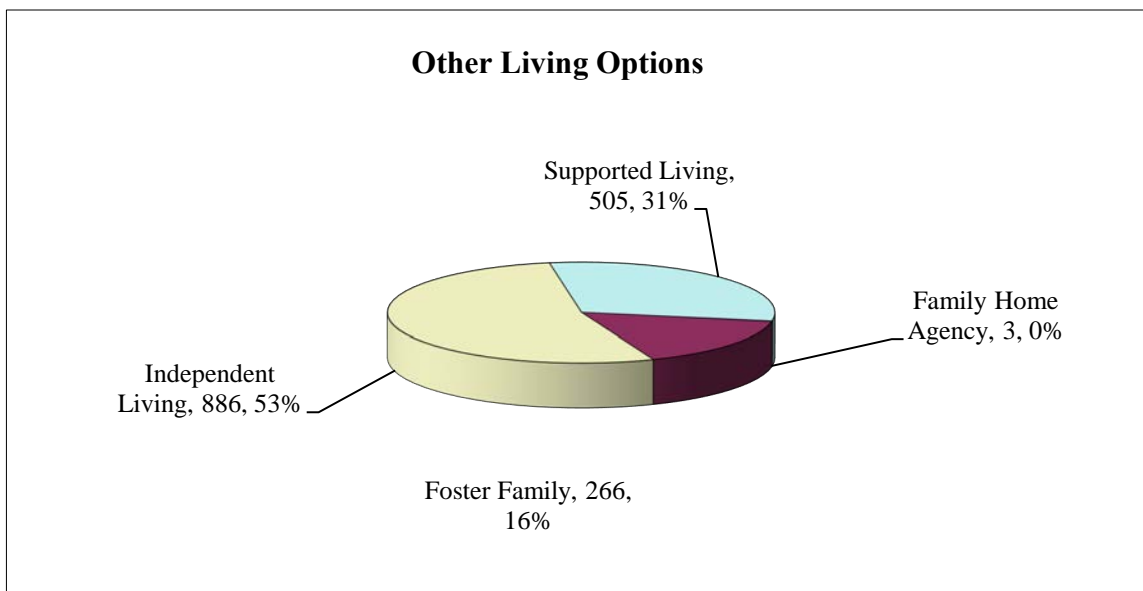
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

<b>Other Living Options</b>	<b>Total</b>	<b>Under 18</b>	<b>Over 18</b>
Family Home Agency	3	0	3
Foster Family	266	258	8
Independent Living	886	0	886
Supported Living	505	0	505
<b>Total</b>	<b>1,660</b>	<b>258</b>	<b>1,402</b>



## LIVING OPTIONS, continued

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### *Health Licensed Facilities*

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### *Community Care Licensed Facilities*

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2021-22

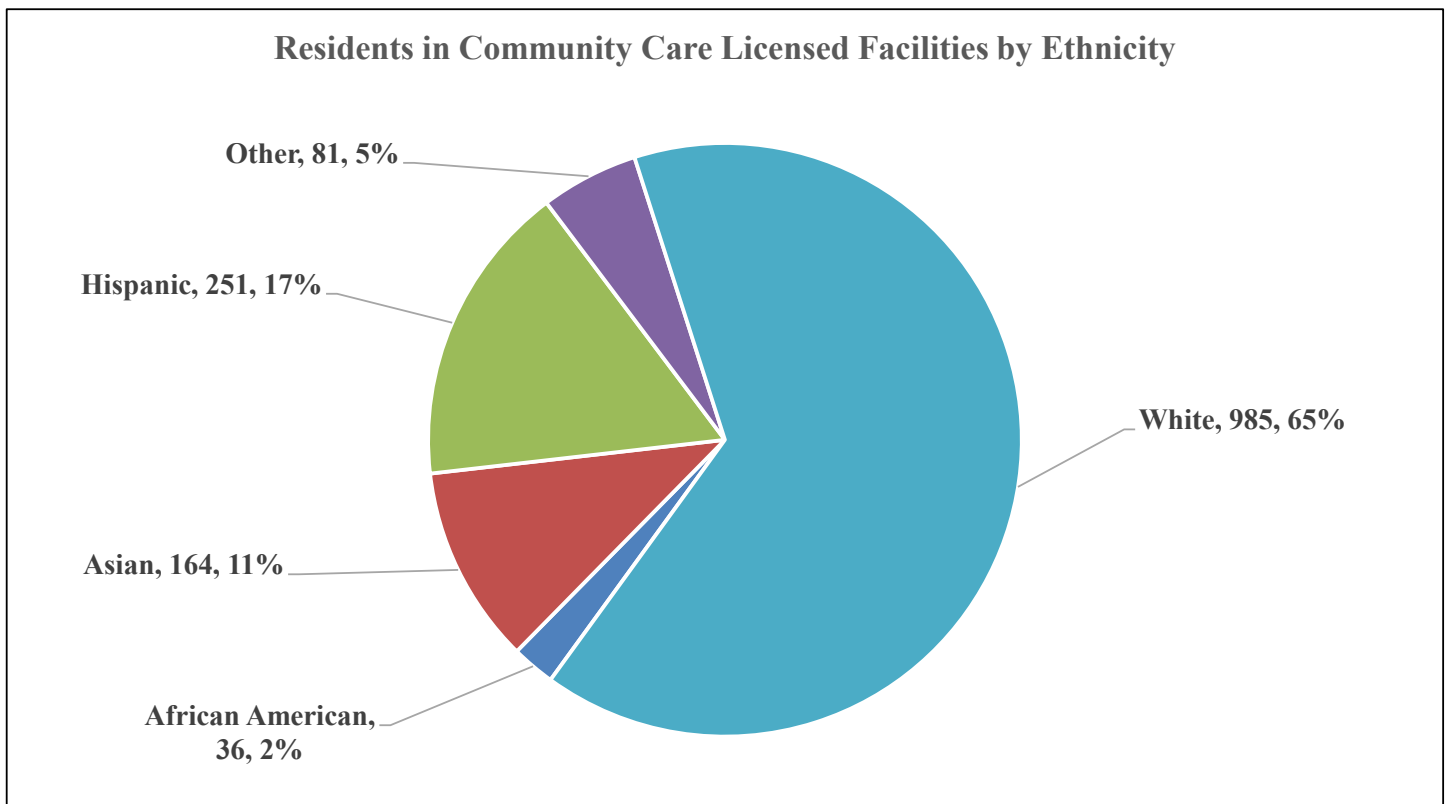
Licensed Facilities	Total	Over 18	Under 18
Level 2	221	221	0
Level 3	288	288	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	54	54	0
Level 4D	37	37	0
Level 4E	24	24	0
Level 4F	62	62	0
Level 4G	30	30	0
Level 4H	4	4	0
Level 4I	339	335	4
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,105</b>	<b>1,101</b>	<b>4</b>

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	221	221	0
Level 3	288	288	0
Level 4	589	585	4
ICF/DD-H	2	2	0
ICF/DD-N	4	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
<b>Total</b>	<b>1,105</b>	<b>1,101</b>	<b>4</b>

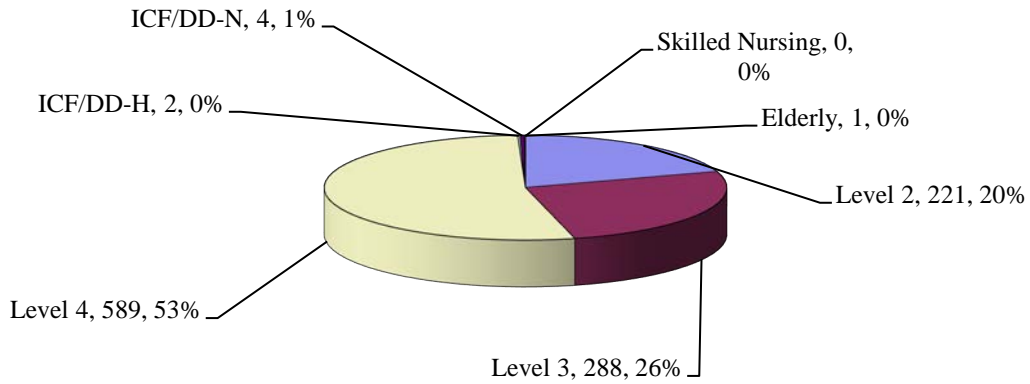
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity  
Fiscal Year 2021-22

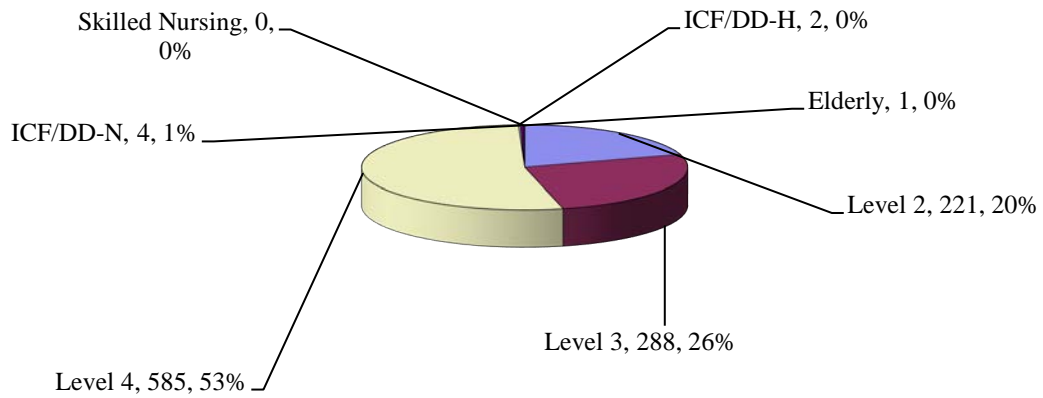
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	28	51	12	237	334
Level 3	10	33	63	19	248	373
Level 4A	0	5	6	2	23	36
Level 4B	0	1	0	0	7	8
Level 4C	2	8	11	1	53	75
Level 4D	0	8	7	2	29	46
Level 4E	0	3	8	3	22	36
Level 4F	3	12	9	2	45	71
Level 4G	0	7	5	2	32	46
Level 4H	0	0	3	1	2	6
Level 4I	15	59	88	37	287	486
<b>Total</b>	<b>36</b>	<b>164</b>	<b>251</b>	<b>81</b>	<b>985</b>	<b>1,517</b>



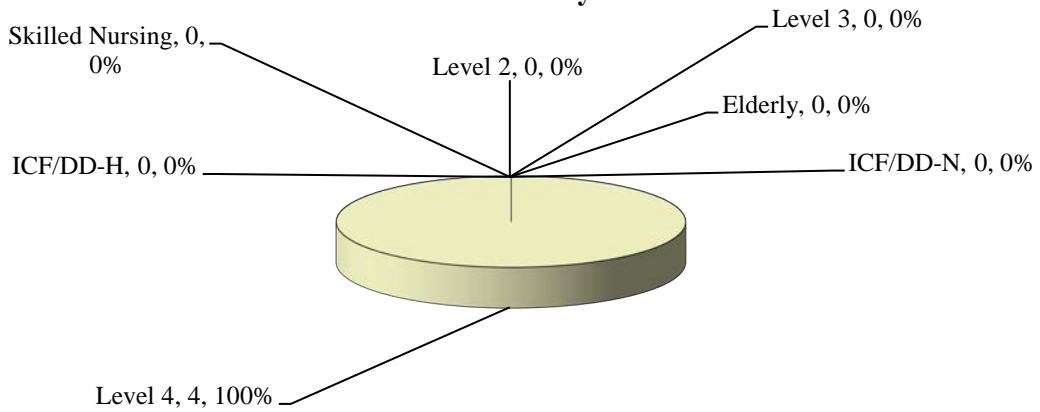
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



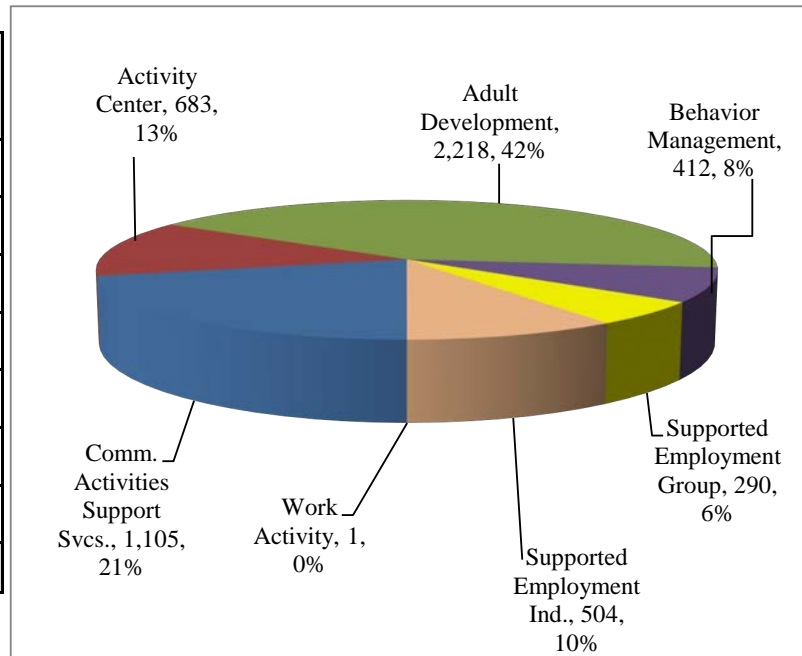


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,105
Activity Center	683
Adult Development	2,218
Behavior Management	412
Supported Employment Group	290
Supported Employment Ind.	504
Work Activity	1
<b>Total</b>	<b>5,213</b>



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

*Fiscal Year 2021-22*

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5	302.5	299.5			
Number of Case-Carrying SCs	280.8	275.8	273.9			
Number of Intake SCs	25.7	25.7	25.7			
Number of State Developmental Center SCs	0.0	0.0	0.0			
Number of Active Persons Served	22,943	23,016	23,145			
Caseload Ratio, # of Active Persons Served/SCs	81.7	83.4	84.5			

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**  
**Fiscal Year 2021-22**

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
<b>Number of Unsettled Hearing Requests*</b>	<b>18</b>	<b>9</b>	<b>6</b>									
Eligibility - Lanterman	2	1	1									
Behavioral services	5	2	2									
Respite	2	2	2									
Day Care	0	0	0									
ILS/SLS	0	0	0									
Personal Assistance	2	1	1									
Other**	7	3	0									

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of New Hearing Requests Filed*</b>	<b>3</b>	<b>2</b>	<b>0</b>									
Eligibility - Lanterman	1	0	0									
Eligibility - Early Start	0	0	0									
Behavioral services	0	0	0									
Respite	1	1	0									
Day Care	0	0	0									
Social/Recreational	0	0	0									
Personal Assistance	0	0	0									
Other**	1	1	0									

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

<b>Number of All Meetings Held</b>	<b>8</b>	<b>3</b>	<b>2</b>									
Number of Informal Meetings Held	4	3	2									
Number of Mediations Held	1	0	0									
Number of SLFHs Held	3	0	0									

<b>Number of Requests in Scheduling*</b>	<b>0</b>	<b>3</b>	<b>4</b>									
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\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

<b>Number of Requests Pending*</b>	<b>0</b>	<b>0</b>	<b>0</b>									
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\* State Level Fair Hearing (SLFH) held but awaiting decision.

<b>Number of Requests Settled</b>	<b>10</b>	<b>3</b>	<b>0</b>									
Withdrawn by Person Served/Family	5	2	0									
Settled in Informal	1	1	0									
Settled after further follow-up by RCOC	0	0	0									
Settled in Mediation	1	0	0									
SLFH Decision	3	0	0									

**State Level Fair Hearing Decisions**

<b>Prevailing Party</b>												
Person Served/Family	0	0	0									
RCOC	3	0	0									
Split	0	0	0									

## ADMINISTRATION AND GOVERNANCE

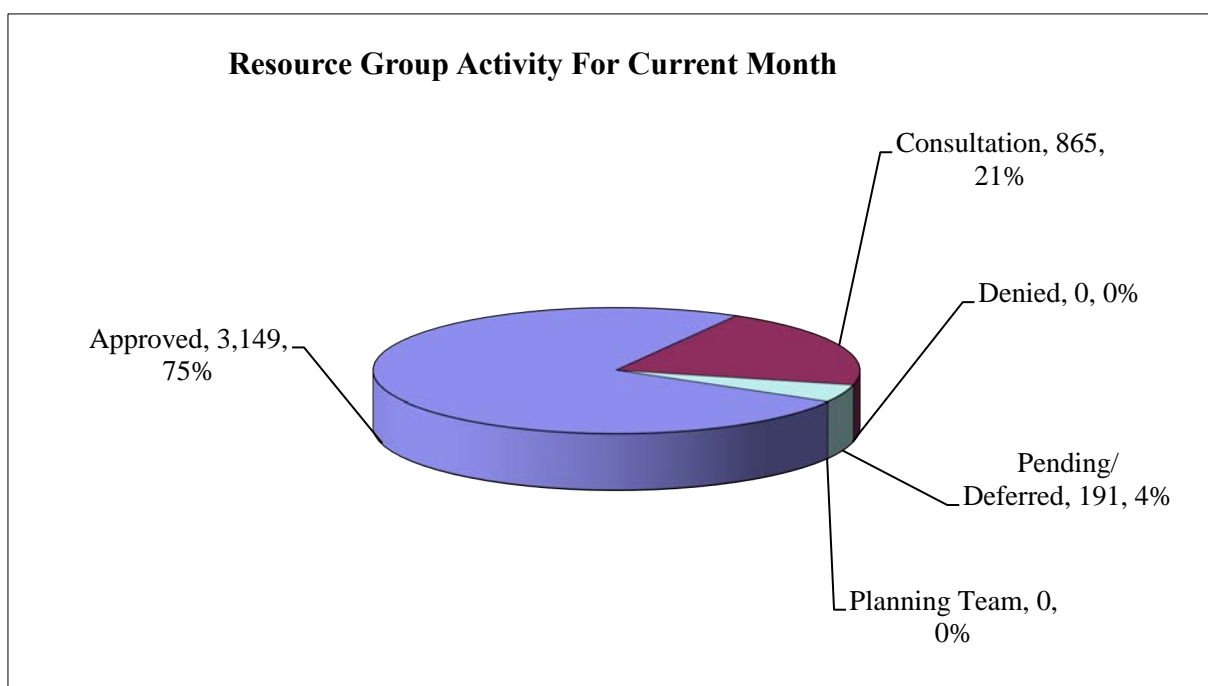
### Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for September 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	762	298	0	58	0	1,118
Behavioral	115	54	0	10	0	179
Education	0	3	0	0	0	3
Eligibility/Health	89	3	0	5	0	97
Early Start	771	107	0	45	0	866
Living Options	213	141	0	4	0	358
Supported/Ind.	330	144	0	26	0	500
All Others	869	115	0	43	0	1,027
<b>Monthly Total</b>	<b>3,149</b>	<b>865</b>	<b>0</b>	<b>191</b>	<b>0</b>	<b>4,148</b>

<b>FY 2020-21 Total to Date</b>	10,368	3,349	0	588	0	<b>14,305</b>
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## Operations Report Summary - September 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,410	8,446	8,728	8	<b>20,592</b>	10,328	10,264
<i>Percentage of Total</i>	<i>17%</i>	<i>41%</i>	<i>42%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

<b>Children served in Prevention Resource and Referral Services</b>	<b>458</b>
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	91	24	67
<b>Total</b>	<b>22,863</b>	<b>10,675</b>	<b>12,188</b>

Special Incident Investigations	Year to Date
AWOL	20
Abuse	56
Neglect	49
Injury	63
Hospitalizations - Total	116
Death	43
Victim of crime	6
Arrest	2
Rights	44
<b>Total</b>	<b>399</b>

### Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	81	0	81
Level 3	80	0	80
Level 4	178	12	166
<b>Total Community Care Facilities</b>	<b>339</b>	<b>12</b>	<b>327</b>

Licensed Facility Monitoring	Year to Date
Annual Review	104
Unannounced	137
Total Number of Reviews	241
Provider Trainings	0
Technical Support	462
Corrective Action Plans	9

### *Intermediate Care Facilities (ICF)*

ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
<b>Total ICF Facilities</b>	<b>123</b>

<b>Number of Audits</b>	<b>0</b>
<b>Amount of Recovery from Audits</b>	<b>\$0</b>

<b>Total Licensed Facilities</b>	<b>462</b>
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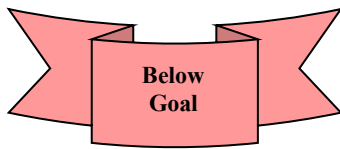
## Performance Contract Summary

RCOC as of 9/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,008	8	0	0.03%	-8
Children in Foster Homes (FH)	10,673	257	245	2.41%	12
Children Own Home Parent/Guardian	10,673	10,360	10,350	97.07%	10
Total # Children (FH,Parent/Guardian)	10,673	10,617	10,595	99.48%	22
Adult FHA	12,327	104	109	0.84%	-5
Independent Living (IL)	12,327	887	899	7.20%	-12
Adults Residing Own Home - Parent	12,327	8,423	8,250	68.33%	173
Supported Living (SL)	12,327	506	508	4.10%	-2
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,327	9,920	9,766	80.47%	154
Children Residing in a CCF (7+ beds)	10,673	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,673	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,673	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,673	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,327	124	120	1.01%	-4
Adults Residing in a ICF (7+ beds)	12,327	11	14	0.09%	3
Adults Residing in a Nursing Facility (7+ beds)	12,327	80	78	0.65%	-2
Total Adults Residing in 7+ bed facilities	12,327	215	212	1.74%	-3
Total Individuals Over Age 3 with <=120 days	227	223	100%	100.00%	98.23%
Total Individuals Over Age 3 with 121-240 days	227	4	0%	1.76%	1.76%
Total Individuals Over Age 3 Over 240 days	227	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,327	57%	65%		
Total Number of Incentive Payments Made	12,327	336			
Avg. Wage per Hour After Incentive Payment	12,327	\$13.06			
Number of Persons Served with Earned Income	12,327	2,335			
Percentage of 16-64 Earned Income	12,327	20%			
Annual Earnings of 16-64	12,327	\$9,578			
Number of Adults in CIE After Paid Intern	12,327	11			
Percentage Adults Transitioned Internship to CIE	12,327	14%			
Total Annual Expenditures Race/Ethnicity	23,008				

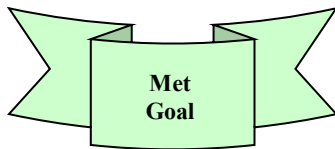
## Performance Contract 2021 Cover Sheet



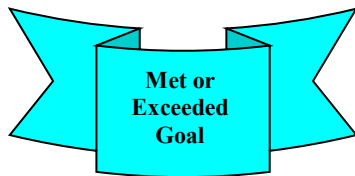
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2021**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.64
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



## Performance Contract 2021

### I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	0	0.03%	-8
RCOC 9/01/21	0.03%	23,008	8			
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population			3.14%

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21	22,941	0	8	0.03%	-8
Aug-21	23,008	0	8	0.03%	-8
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Below Goal

## Performance Contract 2021

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248			
RCOC 9/01/21	2.41%	10,673	257	245	2.41%	12
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.80%		



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21	10,656	245	256	2.40%	11
Aug-21	10,673	245	257	2.41%	12
Sep-21		245			
Oct-21		245			
Nov-21		245			
Dec-21		245			

**Progress:** B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 9/01/21	97.07%	10,673	10,360	10,350	97.07%	10
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.75%		




	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained	Exceeded Goal
Jan-21	10,350	10,500	9,980	97.20%	-370	
Feb-21	10,222	10,500	9,931	97.15%	-419	
Mar-21	10,349	10,500	10,051	97.12%	-299	
Apr-21	10,433	10,500	10,131	97.11%	-219	
May-21	10,458	10,500	10,152	97.07%	-198	
Jun-21	10,537	10,500	10,350	97.08%	-121	
Jul-21	10,656	10,500	10,341	97.04%	-9	
Aug-21	10,673	10,500	10,360	97.07%	10	
Sep-21		10,500				
Oct-21		10,500				
Nov-21		10,350				
Dec-21		10,350				

**Progress:** C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423			
RCOC 9/01/21	99.48%	10,673	10,617	10,595	99.48%	22
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.72%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21	10,656	10,720	10,597	99.45%	2
Aug-21	10,673	10,720	10,617	99.48%	22
Sep-21		10,720			
Oct-21		10,720			
Nov-21		10,595			
Dec-21		10,595			



**Exceeded Goal**

## Performance Contract 2021

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104			
RCOC 9/01/21	0.84%	12,327	104	109	0.84%	-5
Analysis as of Public Hearing		RCOC % of DD population	6.76%	RCOC % of FH population	6.46%	

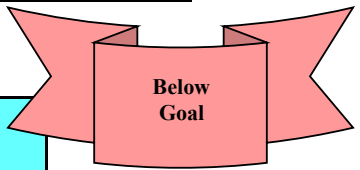


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21	12,277	109	103	0.84%	-6
Aug-21	12,327	109	104	0.84%	-5
Sep-21		109			
Oct-21		109			
Nov-21		109			
Dec-21		109			

**Progress:** B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882	899	7.20%	-12
RCOC 9/01/21	7.20%	12,327	887	899	7.20%	-12
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.01%		



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21	12,277	899	886	7.22%	-13
Aug-21	12,327	899	887	7.20%	-12
Sep-21		899			
Oct-21		899			
Nov-21		899			
Dec-21		899			



**Progress:** C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 9/01/21	68.33%	12,327	8,423	8,250	68.33%	173
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.94%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21	12,277	8,250	8,379	68.25%	129
Aug-21	12,327	8,250	8,423	68.33%	173
Sep-21		8,250			
Oct-21		8,250			
Nov-21		8,250			
Dec-21		8,250			

**Progress:** D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499			
RCOC 9/01/21	4.10%	12,327	506	508	4.10%	-2
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.34%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21	12,277	508	502	4.09%	-6
Aug-21	12,327	508	506	4.10%	-2
Sep-21		508			
Oct-21		508			
Nov-21		508			
Dec-21		508			



**Progress:** E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795			
RCOC 9/01/21	80.47%	12,327	9,920	9,766	80.47%	154
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.61%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21	12,277	9,766	9,870	80.20%	104
Aug-21	12,327	9,766	9,920	80.47%	154
Sep-21		9,766			
Oct-21		9,766			
Nov-21		9,766			
Dec-21		9,766			





## Performance Contract 2021

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

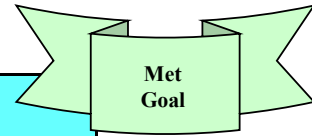
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 9/01/21	0.00%	10,673	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.55%		



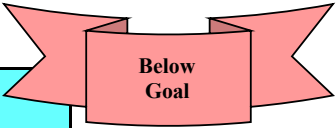
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



**Progress:** B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 9/01/21	0.01%	10,673	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	3.33%		



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

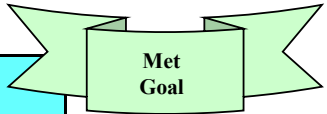
**Progress:** C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0			
RCOC 9/01/21	0.00%	10,673	0	0	0.00%	0
Analysis as of Public Hearing		RCOC % of DD population	5.73%	RCOC % of FH population	0.00%	



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



**Progress:** D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2	Goal	%	# Attained
RCOC 9/01/21	0.01%	10,673	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	3.39%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			





## Performance Contract 2021

### V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

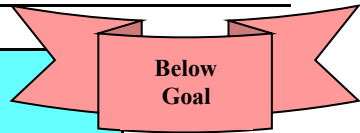


**Progress:** A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 9/01/21	1.01%	12,327	124	120	1.01%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.13%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21	12,277	120	124	1.01%	-4
Aug-21	12,327	120	124	1.01%	-4
Sep-21		120			
Oct-21		120			
Nov-21		120			
Dec-21		120			



**Progress:** B. During public meetings, RCOC had 11, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF (7+ beds).**

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10			
RCOC 9/01/21	0.09%	12,327	11	14	0.09%	3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21	12,277	14	11	0.09%	3
Aug-21	12,327	14	11	0.09%	3
Sep-21		14			
Oct-21		14			
Nov-21		14			
Dec-21		14			



**Progress:** C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77			
RCOC 9/01/21	0.65%	12,327	80	78	0.65%	-2
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.82%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	12,047	78	78	0.65%	0
Feb-21	12,075	78	79	0.65%	-1
Mar-21	12,099	78	80	0.66%	-2
Apr-21	12,146	78	78	0.64%	0
May-21	12,193	78	79	0.65%	-1
Jun-21	12,229	78	78	0.64%	0
Jul-21	12,277	78	81	0.66%	-3
Aug-21	12,327	78	80	0.65%	-2
Sep-21		78			
Oct-21		78			
Nov-21		78			
Dec-21		78			

**Below Goal**

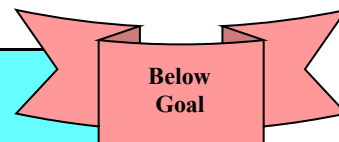
**Progress:** D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	212	1.74%	-3
RCOC 9/01/21	1.74%	12,327	215			
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.35%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21	12,277	212	216	1.76%	-4
Aug-21	12,327	212	215	1.74%	-3
Sep-21		212			
Oct-21		212			
Nov-21		212			
Dec-21		212			



## Performance Contract 2021

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

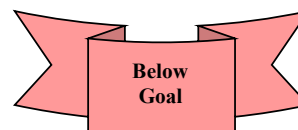
**Progress:** A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227		
RCOC 8/01/21	100.00%	227	223	100%	98.24%



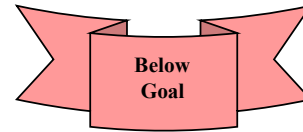
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21	222	100%	222	100.00%
Aug-21	227	100%	223	98.24%
Sep-21		100%		
Oct-21		100%		
Nov-21		100%		
Dec-21		100%		



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 8/01/21	1.76%	227	4	0%	1.76%

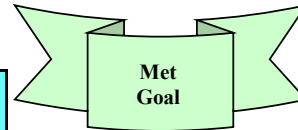


	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21	222	0.00%	0	0.00%
Aug-21	227	0.00%	4	1.76%
Sep-21		0.00%		
Oct-21		0.00%		
Nov-21		0.00%		
Dec-21		0.00%		

**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0		
RCOC 8/01/21	0.00%	227	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21	222	0%	0	0%
Aug-21	227	0%	0	0%
Sep-21		0%		
Oct-21		0%		
Nov-21		0%		
Dec-21		0%		





**Progress: B.** RCOOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

\*NOTE: Incentive payments started in FY 2016-17, partial year data

**Progress: C.** RCOOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06

## Performance Contract 2021

### VII. National Core Indicators (NCI) Employment

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



**Progress: B.** Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

**Progress: C.** Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



**Performance Contract 2021**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



## Performance Contract 2021

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



**Progress:** A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
<b>Totals</b>	<b>5,311 ↓</b>	<b>100.0%</b>	<b>\$40,687,467 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
<b>Totals</b>	<b>10,047 ↑</b>	<b>100.0%</b>	<b>\$89,219,156 ↑</b>	

**Age 22 Years and Older**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
<b>Totals</b>	<b>9,805 ↑</b>	<b>100.0%</b>	<b>\$416,789,360 ↑</b>	



**Progress:** B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
<b>Totals</b>	<b>5,311 ↓</b>	<b>202 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
<b>Totals</b>	<b>10,047 ↑</b>	<b>3,594 ↑</b>	<b>35.8% ↑</b>

**Age 22 Years and Older**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
<b>Totals</b>	<b>9,805 ↑</b>	<b>1,665 ↑</b>	<b>17% ↑</b>





**Progress:** C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language.)

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓



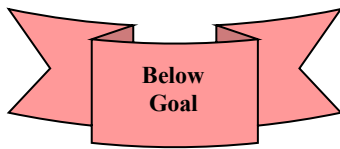
## Performance Contract Summary

RCOC as of 10/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	23,143	8	0	0.03%	-8
Children in Foster Homes (FH)	10,778	266	245	2.47%	21
Children Own Home Parent/Guardian	10,778	10,456	10,350	97.01%	106
Total # Children (FH,Parent/Guardian)	10,778	10,722	10,595	99.48%	127
Adult FHA	12,357	102	109	0.83%	-7
Independent Living (IL)	12,357	895	899	7.24%	-4
Adults Residing Own Home - Parent	12,357	8,445	8,250	68.34%	195
Supported Living (SL)	12,357	503	508	4.07%	-5
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,357	9,945	9,766	80.48%	179
Children Residing in a CCF (7+ beds)	10,778	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,778	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,778	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,778	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,357	123	120	1.00%	-3
Adults Residing in a ICF (7+ beds)	12,327	11	14	0.09%	3
Adults Residing in a Nursing Facility (7+ beds)	12,327	78	78	0.63%	0
Total Adults Residing in 7+ bed facilities	12,327	212	212	1.72%	0
Total Individuals Over Age 3 with <=120 days	158	156	100%	98.73%	98.73%
Total Individuals Over Age 3 with 121-240 days	158	1	0%	0.63%	0.63%
Total Individuals Over Age 3 Over 240 days	158	1	0%	0.63%	0.63%
Adults with Integrated Employment Goal	12,357	57%	65%		
Total Number of Incentive Payments Made	12,357	336			
Avg. Wage per Hour After Incentive Payment	12,357	\$13.06			
Number of Persons Served with Earned Income	12,357	2,335			
Percentage of 16-64 Earned Income	12,357	20%			
Annual Earnings of 16-64	12,357	\$9,578			
Number of Adults in CIE After Paid Intern	12,357	11			
Percentage Adults Transitioned Internship to CIE	12,357	14%			
Total Annual Expenditures Race/Ethnicity	23,143				

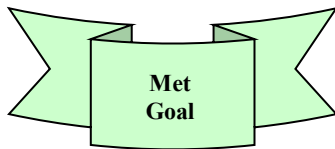
## Performance Contract 2021 Cover Sheet



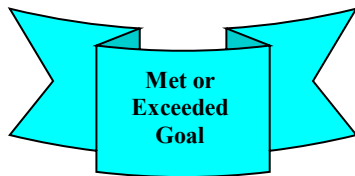
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2021**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.64
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

## Performance Contract 2021

### I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	0	0.03%	-8
RCOC 10/01/21	0.03%	23,143	8			
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population			3.14%

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21	22,941	0	8	0.03%	-8
Aug-21	23,008	0	8	0.03%	-8
Sep-21	23,143	0	8	0.03%	-8
Oct-21		0			
Nov-21		0			
Dec-21		0			

Below Goal

## Performance Contract 2021

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.



**Progress:** A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248			
RCOC 10/01/21	2.47%	10,778	266	245	2.47%	21
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.80%		



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21	10,656	245	256	2.40%	11
Aug-21	10,673	245	257	2.41%	12
Sep-21	10,778	245	266	2.47%	21
Oct-21		245			
Nov-21		245			
Dec-21		245			

**Progress:** B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175			
RCOC 10/01/21	97.01%	10,778	10,456	10,350	97.01%	106
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.75%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21	10,537	10,500	10,350	97.08%	-121
Jul-21	10,656	10,500	10,341	97.04%	-9
Aug-21	10,673	10,500	10,360	97.07%	10
Sep-21	10,778	10,500	10,456	97.01%	106
Oct-21		10,500			
Nov-21		10,350			
Dec-21		10,350			

Exceeded Goal




**Progress:** C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423			
RCOC 10/01/21	99.48%	10,778	10,722	10,720	99.48%	2
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.72%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21	10,656	10,720	10,597	99.45%	2
Aug-21	10,673	10,720	10,617	99.48%	22
Sep-21	10,778	10,720	10,722	99.48%	2
Oct-21		10,720			
Nov-21		10,595			
Dec-21		10,595			



**Exceeded Goal**

## Performance Contract 2021

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

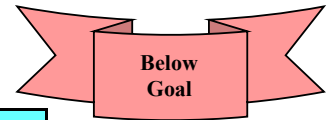
**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104			
RCOC 10/01/21	0.83%	12,357	102	109	0.83%	-7
Analysis as of Public Hearing		RCOC % of DD population	6.76%	RCOC % of FH population	6.46%	

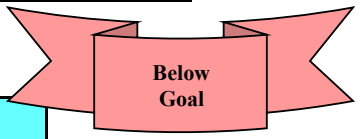


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21	12,277	109	103	0.84%	-6
Aug-21	12,327	109	104	0.84%	-5
Sep-21	12,357	109	102	0.83%	-7
Oct-21		109			
Nov-21		109			
Dec-21		109			

**Progress:** B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882			
RCOC 10/01/21	7.24%	12,357	895	899	7.24%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.01%		



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21	12,277	899	886	7.22%	-13
Aug-21	12,327	899	887	7.20%	-12
Sep-21	12,357	899	895	7.24%	-4
Oct-21		899			
Nov-21		899			
Dec-21		899			

**Progress:** C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 10/01/21	68.34%	12,357	8,445	8,250	68.34%	195
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.94%		

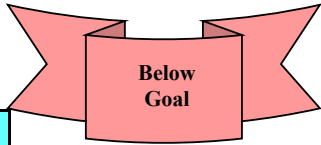


	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21	12,277	8,250	8,379	68.25%	129
Aug-21	12,327	8,250	8,423	68.33%	173
Sep-21	12,357	8,250	8,445	63.84%	195
Oct-21		8,250			
Nov-21		8,250			
Dec-21		8,250			

**Progress:** D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499			
RCOC 10/01/21	4.07%	12,357	503	508	4.07%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.34%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21	12,277	508	502	4.09%	-6
Aug-21	12,327	508	506	4.10%	-2
Sep-21	12,357	508	503	4.07%	-5
Oct-21		508			
Nov-21		508			
Dec-21		508			



**Progress:** E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795			
RCOC 10/01/21	80.48%	12,357	9,945	9,766	80.48%	179
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.61%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21	12,277	9,766	9,870	80.20%	104
Aug-21	12,327	9,766	9,920	80.47%	154
Sep-21	12,357	9,766	9,945	80.48%	179
Oct-21		9,766			
Nov-21		9,766			
Dec-21		9,766			





## Performance Contract 2021

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

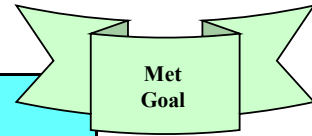




**Progress:** A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 10/01/21	0.00%	10,778	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.55%		



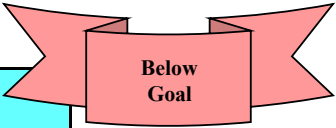
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21		0			
Nov-21		0			
Dec-21		0			



**Progress:** B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 10/01/21	0.01%	10,778	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	5.73%		RCOC % of FH population	3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21		0			
Nov-21		0			
Dec-21		0			

**Progress:** C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0			
RCOC 10/01/21	0.00%	10,778	0	0	0.00%	0
Analysis as of Public Hearing		RCOC % of DD population	5.73%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21	10,656	0	0	0.00%	0
Aug-21	10,673	0	0	0.00%	0
Sep-21	10,778	0	0	0.00%	0
Oct-21		0			
Nov-21		0			
Dec-21		0			



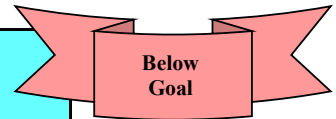
**Progress:** D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2			
RCOC 10/01/21	0.01%	10,778	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	3.39%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21	10,656	0	1	0.01%	-1
Aug-21	10,673	0	1	0.01%	-1
Sep-21	10,778	0	1	0.01%	-1
Oct-21		0			
Nov-21		0			
Dec-21		0			



## Performance Contract 2021

### V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

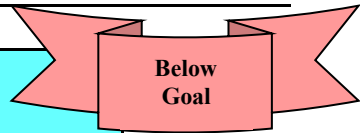
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

**Progress:** A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 10/01/21	1.00%	12,357	123	120	1.00%	-3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.13%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21	12,277	120	124	1.01%	-4
Aug-21	12,327	120	124	1.01%	-4
Sep-21	12,357	120	123	1.00%	-3
Oct-21		120			
Nov-21		120			
Dec-21		120			



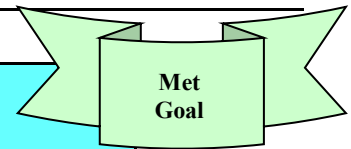
**Progress:** B. During public meetings, RCOC had 11, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

**B. Total number and % of regional center adults residing in an ICF (7+ beds).**

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10			
RCOC 10/01/21	0.09%	12,357	11	14	0.09%	3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21	12,277	14	11	0.09%	3
Aug-21	12,327	14	11	0.09%	3
Sep-21	12,357	14	11	0.09%	3
Oct-21		14			
Nov-21		14			
Dec-21		14			



**Progress:** C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77			
RCOC 10/01/21	0.63%	12,357	78	78	0.63%	0
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.82%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained	Met Goal
Jan-21	12,047	78	78	0.65%	0	
Feb-21	12,075	78	79	0.65%	-1	
Mar-21	12,099	78	80	0.66%	-2	
Apr-21	12,146	78	78	0.64%	0	
May-21	12,193	78	79	0.65%	-1	
Jun-21	12,229	78	78	0.64%	0	
Jul-21	12,277	78	81	0.66%	-3	
Aug-21	12,327	78	80	0.65%	-2	
Sep-21	12,357	78	78	0.63%	0	
Oct-21		78				
Nov-21		78				
Dec-21		78				



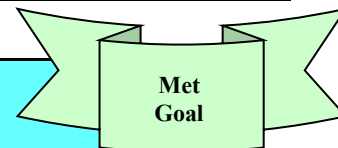
**Progress:** D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 10/01/21	1.72%	12,357	212	212	1.72%	0
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.35%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21	12,277	212	216	1.76%	-4
Aug-21	12,327	212	215	1.74%	-3
Sep-21	12,357	212	212	1.72%	0
Oct-21		212			
Nov-21		212			
Dec-21		212			



## Performance Contract 2021

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

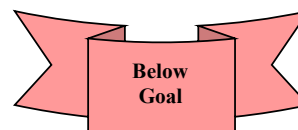
**Progress:** A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227		
RCOC 10/01/21	98.73%	158	156	100%	98.73%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21	222	100%	222	100.00%
Aug-21	227	100%	223	98.24%
Sep-21	158	100%	156	98.73%
Oct-21		100%		
Nov-21		100%		
Dec-21		100%		



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 10/01/21	0.63%	158	1	0%	0.63%

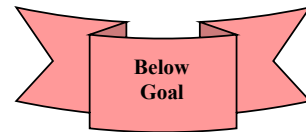


	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21	222	0.00%	0	0.00%
Aug-21	227	0.00%	4	1.76%
Sep-21	158	0.00%	1	0.63%
Oct-21		0.00%		
Nov-21		0.00%		
Dec-21		0.00%		

**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0		
RCOC 10/01/21	0.63%	158	1	0%	0.63%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21	222	0%	0	0%
Aug-21	227	0%	0	0%
Sep-21	158	0%	1	1%
Oct-21		0%		
Nov-21		0%		
Dec-21		0%		



**Progress: B.** RCOOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

\*NOTE: Incentive payments started in FY 2016-17, partial year data

**Progress: C.** RCOOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06

## Performance Contract 2021

### VII. National Core Indicators (NCI) Employment

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



**Progress: B.** Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

**Progress: C.** Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327





## Performance Contract 2021

### VIII. Employment Development Department (EDD) Employment

#### Planned Activities

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



## Performance Contract 2021

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



**Progress:** A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
<b>Totals</b>	<b>5,311 ↓</b>	<b>100.0%</b>	<b>\$40,687,467 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
<b>Totals</b>	<b>10,047 ↑</b>	<b>100.0%</b>	<b>\$89,219,156 ↑</b>	

**Age 22 Years and Older**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
<b>Totals</b>	<b>9,805 ↑</b>	<b>100.0%</b>	<b>\$416,789,360 ↑</b>	

**Progress:** B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
<b>Totals</b>	<b>5,311 ↓</b>	<b>202 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
<b>Totals</b>	<b>10,047 ↑</b>	<b>3,594 ↑</b>	<b>35.8% ↑</b>

**Age 22 Years and Older**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
<b>Totals</b>	<b>9,805 ↑</b>	<b>1,665 ↑</b>	<b>17% ↑</b>



**Progress:** C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021

TO: Board of Directors

FROM: Larry Landauer  
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Calendar Year 2022 Performance Contract**

BACKGROUND:

The Welfare and Institutions Code §4629, requires the development of an annual Performance Contract between the Department of Developmental Services (DDS) and regional centers.

REASON FOR CURRENT ITEM:

Each regional center's Performance Contract must be approved by the center's Board of Directors prior to submission to DDS (see attachment).

FISCAL IMPACT:

To the extent that a proposed contact objective requires additional Operations or Purchase of Service funds, RCOC must reallocate resources within its existing budget. The Department does not allocate additional funds when Performance Contract objectives are met.

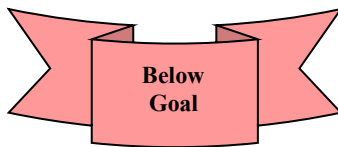
RECOMMENDATION:

That the Board approve the Calendar Year 2022 Performance Contract as presented.

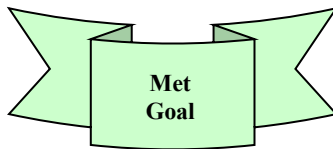
## Performance Contract 2022 Cover Sheet



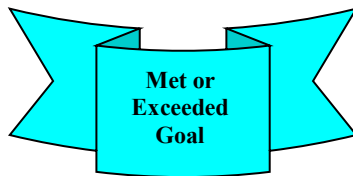
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



**Performance Contract 2022**

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.64
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



## Performance Contract 2022

### I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.07%	363,649	255			
RCOC Public Hearing 8/18/21	0.04%	22,691	8	Goal	%	# Attained
RCOC 10/01/21	0.03%	23,143	8	0	0.03%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.24%	RCOC % of DC population			3.14%

#### Planned Activities

**Statement:** The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

**Objective:** RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2019-2020 and 2020-2021.

**Progress:** In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2021, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

#### Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22		0			
Feb-22		0			
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			



## Performance Contract 2022

### II. Children Residing with Families (*Child is defined as under 18 years of age*)

#### Planned Activities

**Statement:** The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

**Objectives:** Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

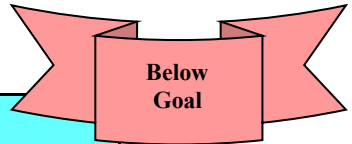
- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 248, or 2.37%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.83%	183,002	5,170			
RCOC Public Hearing 8/18/21	2.37%	10,482	248	273		
RCOC 10/01/21	2.47%	10,778	266	273	2.47%	-7
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.80%		

	Total Children Status 1&2	Goal	Children in Foster Homes	%
Jan-22		273		
Feb-22		273		
Mar-22		273		
Apr-22		273		
May-22		273		
Jun-22		273		
Jul-22		273		
Aug-22		273		
Sep-22		273		
Oct-22		273		
Nov-22		273		
Dec-22		273		



**Progress:** B. During public meetings, RCOC had 10,175, or 97.07%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	97.07%	183,002	176,969			
RCOC Public Hearing 8/18/21	97.07%	10,482	10,175	Goal	%	# Attained
RCOC 10/01/21	97.01%	10,778	10,456	10,850	97.01%	-394
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.75%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22		10,850			
Feb-22		10,850			
Mar-22		10,850			
Apr-22		10,850			
May-22		10,850			
Jun-22		10,850			
Jul-22		10,850			
Aug-22		10,850			
Sep-22		10,850			
Oct-22		10,850			
Nov-22		10,850			
Dec-22		10,850			

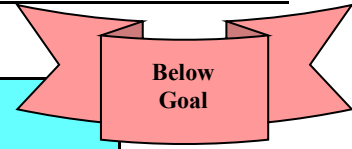


**Progress:** C. During public meetings, RCOC had 10,423, or 99.44%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.53%	183,002	182,139			
RCOC Public Hearing 8/18/21	99.44%	10,482	10,423			
RCOC 10/01/21	99.48%	10,778	10,722	11,123	99.48%	-401
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	5.72%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22		11,123			
Feb-22		11,123			
Mar-22		11,123			
Apr-22		11,123			
May-22		11,123			
Jun-22		11,123			
Jul-22		11,123			
Aug-22		11,123			
Sep-22		11,123			
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			





## Performance Contract 2022

### III. Adults Residing in Home Settings

#### Planned Activities

**Statement:** RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

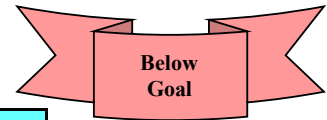
**Objective:** Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

**Progress:** A. During public meetings, RCOC had 104, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.89%	180,392	1,609			
RCOC Public Hearing 8/18/21	0.85%	12,201	104	112	0.83%	-10
RCOC 10/01/21	0.83%	12,357	102			
Analysis as of Public Hearing		RCOC % of DD population	6.76%	RCOC % of FH population	6.46%	

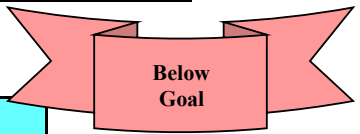


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22		112			
Feb-22		112			
Mar-22		112			
Apr-22		112			
May-22		112			
Jun-22		112			
Jul-22		112			
Aug-22		112			
Sep-22		112			
Oct-22		112			
Nov-22		112			
Dec-22		112			

**Progress:** B. During public meetings, RCOC had 882, or 7.23%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.76%	180,392	17,608			
RCOC Public Hearing 8/18/21	7.23%	12,201	882			
RCOC 10/01/21	7.24%	12,357	895	902	7.24%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.01%		



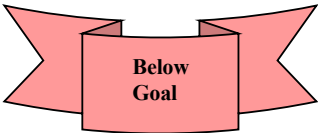
	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22		902			
Feb-22		902			
Mar-22		902			
Apr-22		902			
May-22		902			
Jun-22		902			
Jul-22		902			
Aug-22		902			
Sep-22		902			
Oct-22		902			
Nov-22		902			
Dec-22		902			



**Progress:** C. During public meetings, RCOC had 8,310, or 68.11%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	66.36%	180,392	119,712			
RCOC Public Hearing 8/18/21	68.11%	12,201	8,310	Goal	%	# Attained
RCOC 10/01/21	68.34%	12,357	8,445	8,575	68.34%	-130
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.94%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22		8,575			
Feb-22		8,575			
Mar-22		8,575			
Apr-22		8,575			
May-22		8,575			
Jun-22		8,575			
Jul-22		8,575			
Aug-22		8,575			
Sep-22		8,575			
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			

**Progress:** D. During public meetings, RCOC had 499, or 4.09%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.18%	180,392	9,348			
RCOC Public Hearing 8/18/21	4.09%	12,201	499			
RCOC 10/01/21	4.07%	12,357	503	509	4.07%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	5.34%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22		509			
Feb-22		509			
Mar-22		509			
Apr-22		509			
May-22		509			
Jun-22		509			
Jul-22		509			
Aug-22		509			
Sep-22		509			
Oct-22		509			
Nov-22		509			
Dec-22		509			

**Progress:** E. During public meetings, RCOC had 9,795, or 80.28%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.20%	180,392	148,277			
RCOC Public Hearing 8/18/21	80.28%	12,201	9,795			
RCOC 10/01/21	80.48%	12,357	9,945	10,098	80.48%	-153
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.61%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22		10,098			
Feb-22		10,098			
Mar-22		10,098			
Apr-22		10,098			
May-22		10,098			
Jun-22		10,098			
Jul-22		10,098			
Aug-22		10,098			
Sep-22		10,098			
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			





## Performance Contract 2022

### IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

**Objective:** RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

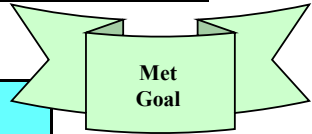
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 1, or 0.01%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.01%	183,002	22			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 10/01/21	0.00%	10,778	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	4.55%		



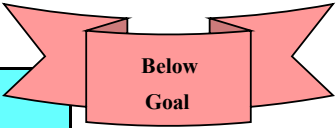
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22		0			
Feb-22		0			
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			



**Progress:** B. During public meetings, RCOC had 1, or 0.01% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	183,002	30			
RCOC Public Hearing 8/18/21	0.01%	10,482	1			
RCOC 10/01/21	0.01%	10,778	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	5.73%	RCOC % of FH population		3.33%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22		0			
Feb-22		0			
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

**Progress:** C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	183,002	7			
RCOC Public Hearing 8/18/21	0.00%	10,482	0			
RCOC 10/01/21	0.00%	10,778	0	0	0.00%	0
Analysis as of Public Hearing		RCOC % of DD population	5.73%	RCOC % of FH population	0.00%	



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22		0			
Feb-22		0			
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			



**Progress:** D. During public meetings, RCOC had 2, or 0.02%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.03%	183,002	59			
RCOC Public Hearing 8/18/21	0.02%	10,482	2			
RCOC 10/01/21	0.01%	10,778	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.73%	RCOC % of FH population	3.39%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22		0			
Feb-22		0			
Mar-22		0			
Apr-22		0			
May-22		0			
Jun-22		0			
Jul-22		0			
Aug-22		0			
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			





## Performance Contract 2022

### V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

#### Planned Activities

**Statement:** RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

**Objective:** RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



**Progress:** A. During public meetings, RCOC had 124, or 1.02%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.85%	180,392	1,526			
RCOC Public Hearing 8/18/21	1.02%	12,201	124			
RCOC 10/01/21	1.00%	12,357	123	115	1.00%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.13%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22		115			
Feb-22		115			
Mar-22		115			
Apr-22		115			
May-22		115			
Jun-22		115			
Jul-22		115			
Aug-22		115			
Sep-22		115			
Oct-22		115			
Nov-22		115			
Dec-22		115			



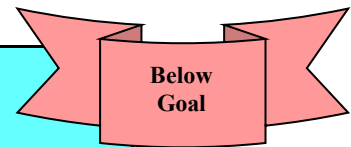
**Progress:** B. During public meetings, RCOC had 10, or 0.08%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.45%	180,392	812			
RCOC Public Hearing 8/18/21	0.08%	12,201	10			
RCOC 10/01/21	0.09%	12,357	11	6	0.09%	-5
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	1.23%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-22		6			
Feb-22		6			
Mar-22		6			
Apr-22		6			
May-22		6			
Jun-22		6			
Jul-22		6			
Aug-22		6			
Sep-22		6			
Oct-22		6			
Nov-22		6			
Dec-22		6			





**Progress:** C. During public meetings, RCOC had 77, or 0.63%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.55%	180,392	985			
RCOC Public Hearing 8/18/21	0.63%	12,201	77			
RCOC 10/01/21	0.63%	12,357	78	75	0.63%	-3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.82%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22		75			
Feb-22		75			
Mar-22		75			
Apr-22		75			
May-22		75			
Jun-22		75			
Jul-22		75			
Aug-22		75			
Sep-22		75			
Oct-22		75			
Nov-22		75			
Dec-22		75			

Below Goal

**Progress:** D. During public meetings, RCOC had 211, or 1.73%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.84%	180,392	3,323			
RCOC Public Hearing 8/18/21	1.73%	12,201	211	Goal	%	# Attained
RCOC 10/01/21	1.72%	12,357	212	196	1.72%	-16
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.35%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22		196			
Feb-22		196			
Mar-22		196			
Apr-22		196			
May-22		196			
Jun-22		196			
Jul-22		196			
Aug-22		196			
Sep-22		196			
Oct-22		196			
Nov-22		196			
Dec-22		196			



## Performance Contract 2022

### VI. Intake Duration

#### Planned Activities

**Statement:** Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

**Objective:** RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

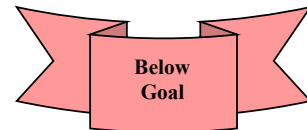
**Progress:** A. During public meetings, RCOC had 227, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	98.34%	5,911	5,813		
RCOC Public Hearing 8/18/21	100%	227	227	100%	100%
RCOC 10/01/21	98.73%	158	156	100%	98.73%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22		100%		
Feb-22		100%		
Mar-22		100%		
Apr-22		100%		
May-22		100%		
Jun-22		100%		
Jul-22		100%		
Aug-22		100%		
Sep-22		100%		
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		



**Progress:** B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	0.85%	5,911	50		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	% Attained
RCOC 10/01/21	0.63%	158	1	0%	0.63%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22		0.00%		
Feb-22		0.00%		
Mar-22		0.00%		
Apr-22		0.00%		
May-22		0.00%		
Jun-22		0.00%		
Jul-22		0.00%		
Aug-22		0.00%		
Sep-22		0.00%		
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		

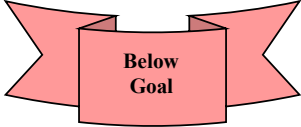
**Progress:** C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	0.81%	5,911	48		
RCOC Public Hearing 8/18/21	0%	227	0	Goal	%
RCOC 10/01/21	0.63%	158	1	0%	0.63%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22		0%		
Feb-22		0%		
Mar-22		0%		
Apr-22		0%		
May-22		0%		
Jun-22		0%		
Jul-22		0%		
Aug-22		0%		
Sep-22		0%		
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		







**Progress: B.** RCOG will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOG will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

\*NOTE: Incentive payments started in FY 2016-17, partial year data

**Progress: C.** RCOG will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06

## Performance Contract 2022

### VII. National Core Indicators (NCI) Employment

**Planned Activities**

**Statement:** RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

**Objective:** RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

**Progress:** A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2022-23		70%



**Progress: D.** In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2019 RCOC had 7 individual within a paid internship that resulted in employment, and for 2020 that number increased to 11 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

**Progress: E.** RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2019-2020, 14% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

**Progress: F.** RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



**Progress: B.** Results from the Employment Development Department in 2018 indicate that 21% of persons served ages 16-64 reported having earned income. In 2019, the percentage of persons served ages 16-64 reporting earned income was 20%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

**Progress: C.** Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



**Performance Contract 2022**

**VIII. Employment Development Department (EDD) Employment**

**Planned Activities**

**Statement:** RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

**Objective:** RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

**Progress:** A. Results from the Employment Development Department (EDD) conducted in 2018 indicate that 3,336 persons served ages 16-64 had earned income. In 2019, 2,335 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2,335	1341



## Performance Contract 2022

### IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

**Statement:** RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

**Objective:** RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

**Progress:** A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2019-20 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



**Progress:** A. Review of fiscal year 2019-20 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	5 ↓	0.3% ↑	\$13,560 ↓	58.2% ↓
Asian	769 ↓	18.9% ↓	\$7,658,368 ↓	62.6% ↓
Black/African American	66 ↓	1.2% ↑	\$501,877 ↑	56.9% ↑
Hispanic	2,010 ↓	34.2% ↑	\$13,896,359 ↓	58.7% ↑
Native Hawaiian or Other Pacific Islander	8 ↑	0.01% ↑	\$71,938 ↑	59.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	27% ↑	\$11,132,262 ↑	60.6% ↑
White	1,004 ↓	18% ↓	\$7,413,103 ↓	60.6% ↓
<b>Totals</b>	<b>5,311 ↓</b>	<b>100.0%</b>	<b>\$40,687,467 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.2% ↑	\$127,020 ↓	79.3% ↑
Asian	1,868 ↑	17.9% ↑	\$14,747,888 ↓	58.5% ↓
Black/African American	178 ↑	2% ↑	\$1,739,150 ↑	67.1% ↑
Hispanic	3,901 ↑	27% ↓	\$24,127,465 ↓	63.6% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$124,438 ↑	61.9% ↓
Other Ethnicity or Race / Multi-Cultural	1,810 ↑	19.1% ↑	\$16,998,364 ↑	61.8% ↓
White	2,256 ↓	35% ↑	\$31,354,831 ↑	71.7% ↓
<b>Totals</b>	<b>10,047 ↑</b>	<b>100.0%</b>	<b>\$89,219,156 ↑</b>	

**Age 22 Years and Older**

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	23 ↑	0.3% ↔	\$1,209,523 ↑	89.3% ↑
Asian	1,381 ↑	11.4% ↑	\$47,680,128 ↑	81.7% ↓
Black/African American	251 ↑	2.8% ↓	\$11,614,140 ↑	86.6% ↑
Hispanic	2,644 ↑	18.9% ↑	\$78,479,414 ↑	81% ↓
Native Hawaiian or Other Pacific Islander	11 ↑	0.1%	\$297,683 ↑	84.4% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	7.5% ↑	\$31,229,761 ↑	82.4% ↓
White	4,702 ↑	59.2% ↑	\$246,278,710 ↑	85.5% ↓
<b>Totals</b>	<b>9,805 ↑</b>	<b>100.0%</b>	<b>\$416,789,360 ↑</b>	



**Progress:** B. Review of fiscal year 2019-20 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

**Birth to 2 Years Old**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	5 ↓	1 ↑	20% ↑
Asian	769 ↓	18 ↓	2.3% ↓
Black/African American	66 ↓	2 ↓	3% ↑
Hispanic	2,010 ↓	82 ↓	3% ↓
Native Hawaiian or Other Pacific Islander	8 ↑	0 ↓	0% ↓
Other Ethnicity or Race / Multi-Cultural	1,449 ↓	53 ↓	3.7% ↓
White	1,004 ↑	46 ↑	4.6% ↑
<b>Totals</b>	<b>5,311 ↓</b>	<b>202 ↓</b>	

**Age 3 Years to 21 Years**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15 ↑	3 ↑	20% ↑
Asian	1,886 ↑	550 ↑	29.4% ↑
Black/African American	178 ↑	69 ↑	38.8% ↓
Hispanic	3,901 ↑	1,847 ↑	47.4% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	11 ↑	57.9% ↑
Other Ethnicity or Race / Multi-Cultural	1,810 ↓	529 ↑	29.2% ↑
White	2,256 ↓	585 ↑	25.9% ↑
<b>Totals</b>	<b>10,047 ↑</b>	<b>3,594 ↑</b>	<b>35.8% ↑</b>

**Age 22 Years and Older**

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	23 ↑	4 ↑	17.4% ↑
Asian	1,381 ↑	284 ↑	20.6% ↑
Black/African American	251 ↑	42 ↑	16.7% ↓
Hispanic	2,644 ↑	586 ↑	22.2% ↑
Native Hawaiian or Other Pacific Islander	11 ↑	3 ↑	27.3% ↓
Other Ethnicity or Race / Multi-Cultural	793 ↑	157 ↑	19.8% ↑
White	4,702 ↑	589 ↑	12.5% ↑
<b>Totals</b>	<b>9,805 ↑</b>	<b>1,665 ↑</b>	<b>17% ↑</b>





**Progress:** C. Review of fiscal year 2019-20 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	19,020 ↑	\$19,569 ↓	80.01% ↑
Spanish	4,574 ↑	\$8,844 ↓	72.7% ↑
Mandarin Chinese	74 ↑	\$10,029 ↓	68.2% ↓
Vietnamese	1,114 ↓	\$11,551 ↓	75.9% ↓
Korean	143 ↓	\$22,092 ↓	80.3% ↓
Tagalog	24 ↓	\$29,026 ↑	81.4% ↑
Arabic	49 ↑	\$7,234 ↓	68.1% ↑
ASL (American Sign Language)	27	\$53,426 ↓	84% ↓

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021

TO: Board of Directors

FROM: John “Chip” Wright  
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revision to the 2021-22 Meeting Schedule – Moving the Biennial Board Retreat from February 2022 to July 2022**

BACKGROUND:

The Board of Directors approved its annual schedule for Fiscal Year 2021-2022 at its meeting on June 3, 2021.

REASON FOR CURRENT ITEM:

During the pandemic, the Board of Directors’ meetings and trainings have been virtual. The Executive Committee agreed that it would prefer to hold the Board Retreat in-person and hopes that it can do so in July 2022.

The Board will have a training in February 2022.

The revised meeting schedule is attached.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Revision to the 2021-22 Meeting Schedule – Moving the Biennial Board Retreat from February 2022 to July 2022, as presented.

# FY 2021-22 Meeting Schedule for RCOC Board of Directors

*Revised November 4, 2021*

<b>Board of Directors Meeting 5-8 p.m.</b>	<b>Board of Directors Training 5-8 p.m.</b>	<b>Board Packet Review 3-4 p.m.</b>	<b>Budget &amp; Finance 4-5 p.m.</b>	<b>Peer Advisory 4-5:30 p.m.</b>	<b>Executive 5-6 p.m.</b>	<b>Legislative &amp; Community Awareness 5-6 p.m.</b>	<b>Policies &amp; Outcomes 6-7 p.m.</b>	<b>Board Recruitment &amp; Training 5-6 p.m.</b>	<b>Vendor Advisory 2-4 p.m.</b>
<i>Board Rooms</i>	<i>Board Room C</i>	<i>Executive Board/Closed Session Room</i>	<i>Board Room C</i>	<i>Board Room C</i>	<i>Executive Board/Closed Session Room</i>	<i>Executive Board/Closed Session Room</i>	<i>Executive Board/Closed Session Room</i>	<i>Executive Board/Closed Session Room</i>	<i>Board Room C</i>
<i>Minimum of Six 1<sup>st</sup> Thursdays</i>	<i>1<sup>st</sup> Thursday</i>	<i>1<sup>st</sup> Thursday</i>	<i>1<sup>st</sup> Thursday</i>	<i>3<sup>rd</sup> Wednesday</i>	<i>3<sup>rd</sup> Monday</i>	<i>2<sup>nd</sup> Tuesday</i>	<i>3<sup>rd</sup> Monday</i>	<i>2<sup>nd</sup> Monday</i>	<i>2<sup>nd</sup> Tuesday</i>
<b>Dark</b>	7/8/21*	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	7/19/21	7/13/21	7/19/21	7/12/21	7/13/21
<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>
9/2/21	<b>Dark</b>	9/2/21	9/2/21	9/15/21	9/20/21	<b>Dark</b>	<b>Dark</b>	9/13/21	9/14 /21
<b>Dark</b>	10/7/21	<b>Dark</b>	<b>Dark</b>	10/20/21	10/18/21	10/12/21	10/18/21	10/11/21	10/12/21
11/4/21	<b>Dark</b>	11/4/21	11/4/21	11/17/21	11/15/21	<b>Dark</b>	<b>Dark</b>	11/8/21	11/9/21
<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>
<i>1/13 /22*</i>	<b>Dark</b>	<i>1/13 /22*</i>	<i>1/13 /22*</i>	<i>1/19/22</i>	<i>1/18 /22**</i>	<i>1/11/22</i>	<b>Dark</b>	<i>1/10 /22</i>	<i>1/11/22</i>
<b>Dark</b>	* <i>2/5/22</i> - Retreat moved to July 2022 Training date on <i>2/3/2022</i>	<b>Dark</b>	<b>Dark</b>	2/16/22	2/22/22**	<b>Dark</b>	2/22/22**	2/14/22	2/8/22
3/3/22	<b>Dark</b>	3/3/22	3/3/22	3/16/22	3/21/22	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	3/8/22
<b>Dark</b>	4/7/22	<b>Dark</b>	<b>Dark</b>	4/20/22	4/18/22	4/12/22	4/18/22	4/11/22	4/12/22
5/5/22	<b>Dark</b>	5/5/22	5/5/22	5/18/22	5/16/22	<b>Dark</b>	5/16/22	5/9/22	5/10/22
6/2/22	<b>Dark</b>	6/2/22	6/2/22	6/15/22	6/20/22	<b>Dark</b>	<b>Dark</b>	<b>Dark</b>	6/14/22

\*Scheduled on second Thursday due to holiday

\*\*Scheduled for Tuesday due to Monday holiday

•Board Retreat rescheduled from Saturday, February 5, 2022 to July 2022. A Board training is planned for Thursday, February 3, 2022.

**Regional Center of Orange County  
Board Recruitment and Training Committee  
October 11, 2021  
Videoconference Minutes**

**Committee Members Present:** John “Chip” Wright, Chair  
Sylvia Delgado  
Frances Hernandez  
Sandy Martin  
Chinh Nguyen

**Committee Members Absent:** Hilda Mendez  
Fernando Peña

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technologies Director  
Bonnie Ivers, Clinical Director  
Stacy Wong, Human Resources Director

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

**I. Board Recruitment**

**A. Review Board Members’ Terms of Office and Upcoming Turnover**

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

**1. Department of Developmental Services (DDS) Board Composition Report**

Mr. Landauer reported that DDS has reviewed RCOC’s Board Composition Survey and determined that RCOC complies with the composition requirements of the Lanterman Act.

**B. Discuss Recruitment Needs**

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act. Much discussion ensued about recruitment efforts and reaching out to potential Board applicants.

## **II. Board Development and Training**

### **A. Discuss Board Training Schedule and Topics**

The Committee reviewed topics for the upcoming Board trainings. Mr. Landauer proposed moving the Board retreat scheduled from February 2022 to July 2022. Hopefully, the later date would allow for an in-person retreat.

#### **1. Regional Centers Board of Directors' Training Plans to DDS**

Mr. Landauer reported that RCOC's Board of Directors' training plan for 2022 is due to DDS by December 15, 2021, (WIC 4622(g)).

The Committee reviewed and discussed potential training topics and it will complete the training plan at the November meeting.

## **III. Community Forum**

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:33 p.m.

*Recorder: Sandra Lomelí*

**Regional Center of Orange County  
Policies and Outcomes Committee  
October 18, 2021  
Videoconference Minutes**

**Committee Members Present:** Cliff Amsden, Chairperson  
Meena Chockalingam  
Sandy Martin  
Chip Wright

**Committee Members Absent:** Mine Hagen  
Liza Krassner

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technology Director  
Arturo Cazares, Associate Director of Employment  
Christy Petteruto, General Counsel  
Keli Radford, Services and Supports Director  
Jack Stanton, Associate Director of Housing  
Stacy Wong, Director of Human Resources

**Corporate Counsel Absent:** David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 6:06 p.m.

**I. Governance Policies**

**A. Review of Conflict of Interest Policy**

The Committee reviewed and proposed a revision to the policy.

*M/S/C to recommend that the Board approve the Conflict of Interest Policy, as proposed.*

**B. Review of the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**

The Committee reviewed and approved the revisions to the policy.

*M/S/C to recommend that the Board approve the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve, as proposed.*

**C. Review of the Policies on the Board-Executive Relationship**

The Committee reviewed and did not propose any revisions to the policy.

**D. Discussion and Review of the Policy on Insurance Requirements**

Mr. Landauer stated that after several requests for RCOC to exempt vendors from the insurance requirement for sexual misconduct liability coverage for virtual conferences or trainings, he recommended no changes. There is still a risk such as on-line bullying or providing opportunities for predators to identify and pursue persons served.

**E. Follow-up discussion on the Policy on Background Check Requirements for Providers**

Ms. Christina Petteruto, RCOC's General Counsel, provided additional information on the limitations of background checks. Ms. Petteruto reported that for some offenses, sex offenders may apply for exclusion; if granted, no information concerning the person is made available on Megan's Law internet website. For some crimes, offenders may apply for expungement of their criminal record and they will then have clean background checks. RCOC will continue to provide additional trainings to help vendors and staff identify subtle signs of abuse and develop methods of reducing the risk of sexual abuse. RCOC's Abuse Awareness Task Force will continue to work to identify ways to address abuse. RCOC will continue reinforcing its' *See Something, Say Something* campaign to help ensure the safety of those persons served.

**II. Outcomes**

**A. Person Centered Thinking (PCT) Update**

Mr. Landauer reported that there are no new updates.

**B. Health and Wellness Project Update**

In Dr. Bonnie Ivers absence, Ms. Petteruto reported that the *Healthy Life, Happy Life* program is currently underway with 64 participants. The program now has two phases: (1) Introductory Program, which will run for about 14-16 weeks from January to July, and (2) Advanced Program, from September to November, which will focus more on chronic diseases such as diabetes, hypertension and high cholesterol health problems.

**C. Employment Update**

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that Governor Newsom recently signed Senate Bill 639, which eliminates the special licenses that allowed employers to pay persons with mental or physical disabilities sub-minimum wages. In addition to those persons served and receiving sub-minimum wages at Work Activity Programs, also known as workshops, it will affect persons served who have been employed and paid sub-minimum wages in integrated settings. The bill would require the State Council on Developmental Disabilities, in consultation with stakeholders and relevant state agencies, to develop a multiyear phase-out plan with stakeholder involvement, by January 1, 2023, to pay any employee with a disability, as defined, by January 1, 2025, no less than the state

***RCOC Policies and Outcomes Committee Minutes***  
***October 18, 2021***

minimum wage otherwise required. The bill would require the multiyear phase-out plan to contain specified components, including benchmarks and desired outcomes for each year of the plan and a list of resources to ensure employees with disabilities can receive services based on their needs.

Mr. Cazares stated RCOC and several Orange County community partners participated in an event to celebrate National Disability Employment Awareness Month. Participating panelists included staff from the Project SEARCH program at Children's Hospital of Orange County (CHOC), Goodwill of Orange County and one of RCOC's Project SEARCH graduates, who is now employed by CHOC. Mr. Cazares also stated that the event was recorded and a link to the recording would be shared with the Board once it became available.

**D. Housing Update**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that he is working with California's Section 811 Project Rental Assistance Demonstration Program and a housing complex in Costa Mesa that has 24 units available for persons served.

**E. National Core Indicators (NCI) Update**

Mr. Landauer reported that the new NCI data will be presented at the Board meeting on November 4, 2021, per the revised Developmental Services' Trailer Bill Language, TBL Section 10: Section 4571(b).

**III. Community Forum**

No community members were present.

Mr. Amsden adjourned the meeting at 6:40 p.m.

*Recorder: Sandra Lomelí*



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Conflict of Interest Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 18, 2021, the Policies and Outcomes Committee reviewed the Conflict of Interest Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Conflict of Interest Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Conflict of Interest Policy as presented.

## **XIII. CONFLICT OF INTEREST POLICY**

### **Background and Purpose**

The purpose of this Conflict of Interest Policy is to protect the interests of Regional Center of Orange County, Inc. (RCOC) and ensure that RCOC complies with all applicable laws prohibiting conflicts of interest.

The Lanterman Act provisions regarding conflicts of interest (COI) in regional centers are set forth in Welfare & Institutions Code (WIC), Sections 4626, 4626.5, and 4627. In addition, COI regulations are found at Title 17 California Code of Regulations, Sections 54500 through 54535.

This policy is intended to supplement but not replace applicable laws and regulations governing COI.

### **General Scope of Conflict Laws**

RCOC shall comply with the regulations (Title 17, Sections 54500-54535) that contain COI rules and obligations that apply to regional centers:

- (1) executive directors,
- (2) governing board members,
- (3) advisory committee board members,
- (4) employees,
- (5) contractors,
- (6) agents, and
- (7) consultants.

### **Executive Directors**

The provisions for handling COI Statements and Conflicts by an Executive Director are also similar to those for Board Members. The precise provisions are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

### **Governing Board Members**

The provisions for handling COI Statements and conflicts by Board Members are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

### **Advisory Committee Board Members**

The regulations also identify possible conflicts for Advisory Committee Board Members. Title 17, Section 54521 states that there is a COI when a regional center advisory committee board member (appointed pursuant to WIC, Sections 4622(i)) is in any of the following positions for a business entity, entity, or provider from which the regional center purchases, obtains, or secures services for persons served:

(a) a member of the governing board, (b) a board committee member; (c) an owner; (d) a partner; (e) a shareholder; (f) an agent; (g) a manager; (h) an employee; (i) a contractor; or (j) a consultant, and that person does any of the following: (a) serves as an officer of the RC board; (b) votes on any fiscal manner affecting purchase of services from any RC provider; or (c) votes on any matters where the member has a financial interest.

An “advisory committee board member,” as a board member, is required to complete a COI Reporting Statement just like all other board members (Title 17, Sections 54532, 54533, and 54534).

### Employees

The provisions prohibiting conflicts of interest for employees are contained at Title 17, Sections 54526, 54527, 54528, 54529, and 52530. The basic concept is that regional center employees cannot place themselves in a position where their private, personal interests may conflict with their official duties (Title 17, Section 54529). Employees must be guided solely by the interests of the regional center and those we serve. They may not place themselves in a situation that creates a dilemma about advancing their personal interests instead of acting in the best interests of the regional center.

The new regulations generally provide that the only employees who will have a conflict are employees with “decision or policy making authority” (Title 17, Section 54526). This is generally defined to include all employees except those with purely secretarial or clerical duties. Service Coordinators are deemed to be employees with “decision or policy making authority.”

### Contractors, Agents and Consultants

The provisions for handling COI Statements and Conflicts for Contractors, Agents and Consultants are also similar to those for employees. Title 17, Sections 54526, 54527, 54528, 54529, and 54530 identify what will constitute a conflict.

Finally, Title 17, Section 54533 addresses resolution of such conflicts.

## **Definitions**

### Decision or Policy Making Authority

The definition of “Decision or Policy-Making Authority” in Title 17, Section 54505(d) states that employees have such authority if they exercise judgment in making decisions that are not solely secretarial or clerical, including employees who make final decisions, compel decisions, make substantive recommendations, vote on obligations, or vote to approve selection of any director, trustee, agent, employee, contractor, or consultant for his or her entity.

The law deems Service Coordinators to have “decision or policy-making authority.” This is because they generally participate on planning teams that make decisions, they make decisions about which programs and services to recommend to those we serve and finally, Service Coordinators are listed in WIC, Section 4626(e), as persons who must complete the COI Reporting Statements.

### Family Members

The regulations prohibit both the employee and a family member from holding certain positions and having certain financial interests, so the definition of a “family member” is critical. Most conflicts under these rules occur with family members. Title 17, Section 54505(f) states that the term “Family Member” includes the individual’s:

“spouse, domestic partner, parents, stepparents, grandparents, siblings, step-siblings, children, stepchildren, grandchildren, and parents-in-law, brothers-in-law, sisters-in-law, sons-in-law, and daughters-in-law.” Cousins, aunts, uncles, nieces and nephews are not considered family members.

RCOC’s employees should avoid conflicts before they occur. The best way to do so is to ensure that a family member does not accept a position that will create a conflict. If a family member does take such a position, it may threaten the position of the employee by creating a conflict.

### Business Entity, Entity or Provider

Business Entity, Entity or Provider is defined as:

“...any individual, business venture, or state or local governmental entity from whom or from which the regional center purchases, obtains, or secures goods or services to conduct its operations. These entities or providers include, but are not limited to, residential facilities, intermediate care facilities, skilled nursing facilities, supported and independent living services, hospitals, medical groups, activity centers, housing providers, entities formed in support of the regional center, infant programs, clinics, laboratories, pharmacies, drug stores, ambulance services, furniture stores, equipment and supply stores, physicians, psychologists, nurses, therapists, teachers, social workers, and contract case managers. For purposes of these conflict-of-interest regulations “business entity, entity or provider” does not include a consumer or family member of a consumer who receives vouchers for consumer services.”

## **Prohibited Conflicts**

### Conflict by Holding Position with Provider

A conflict exists when:

a Board Member,

an Executive Director,  
or a family member of such person (Title 17, Section 54520),  
an employee with decision making authority,  
a Contractor,  
an Agent,  
a Consultant,  
or family member of such person (Title 17, Section 54526), is any of the following for a provider:

- (1) a governing board member,
- (2) a board committee member,
- (3) a director,
- (4) an officer,
- (5) an owner,
- (6) a partner,
- (7) a shareholder,
- (8) a trustee,
- (9) an employee,
- (10) an agent,
- (11) a contractor,
- (12) a consultant,
- (13) holds any position of management, or
- (14) has decision or policy making authority.

#### Conflicts by Financial Interest in Provider

A conflict exists if a Board Member, Executive Director, employee, contractor, agent or consultant attempts to make or influence a decision in which the person, or a family member, has an interest.

A financial interest is defined in Title 17, Sections 54522 and 54527 as follows:

Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result, directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:

- (1) business entity worth two thousand dollars (\$2,000) or more.
- (2) real or personal property worth two thousand dollars (\$2,000) or more in fair market value.
- (3) stocks or bonds worth two thousand dollars (\$2,000) or more.
- (4) intellectual property rights worth five hundred dollars (\$500) or more.

- (5) sources of gross income aggregating five hundred dollars (\$500) or more within the prior 12 months.
- (6) future interest for compensation of five hundred dollars (\$500) or more.
- (7) personal finances of two hundred fifty dollars (\$250-) or more.

#### Conflict Making Contract in which Financially Interested

Under Title 17, Sections 54523 and 54528, Board Members, Executive Directors, and employees, contractors, agents, and consultants, shall not be guided by personal interests and shall not have a financial interest in any contract they make in their official capacity. Such persons shall not make any contract which financially benefits a family member, unless benefits associated with the contract are available to regional center individuals we serve or their families generally. In interpreting and applying this section, the common law doctrine against COI and the authorities interpreting the doctrine shall govern.

#### General Prohibitions Against Conflicts

Board Members, Executive Directors, employees, contractors, agents and consultants must also avoid the general prohibitions against conflicts in Title 17, Sections 54524 and 54529, which are:

General Integrity – Must discharge their responsibilities with integrity and fidelity, and must not place themselves in a position where their private, personal interests conflict with their official duties.

Perform with Diligence – Must exercise powers conferred on the employee with disinterested skill, zeal and diligence for the benefit of regional center individuals.

Conflicts Not Addressed by Regulations - When a person becomes aware of a present or personal COI regarding a particular transaction that the regulations do not address, action must be taken. In that situation, the person must not participate in any discussion and must refrain from making any recommendation or decision regarding the present or potential COI that has not been specifically addressed in the regulations.

#### Conflicts Working with Family Members

Under Title 17, Section 54530(a), a COI exists where a regional center employee participates in the evaluation of an application for employment or bid for position or contract at the regional center, submitted by a family member. Under Title 17, Section 54530(b) a potential COI also exists when a regional center employee supervises his or her family member.

## **Obligations for a Regional Center to Identify Conflicts**

### COI Reporting Statement (Form DS 6016)

As required by WIC, Section 4626(e), DDS also issued a DDS COI Reporting Statement that RCOC uses.

### Persons Who Must Submit Conflict Statements

WIC, Section 4626(e), provides that this statement must be completed annually by;

“...each regional center governing board member and each regional center employee specified in the regulations, including, at a minimum, the executive director, every administrator, every program director, every service coordinator, and every employee who has decisionmaking or policymaking authority or authority to obligate the regional center’s resources.”

Further, Section 54531(b) of Title 17 provides:

“Each regional center employee, contractor, agent, and consultant, who has authority to act on behalf of the regional center, or who has decision or policy-making authority..., shall complete and file an annual COI Reporting Statement with his or her respective regional center, whether or not the individual has identified a present or potential COI, by August 1 of each year.”

Since some of the conflicts identified do not depend on having “decision making” authority, all employees of ~~the~~ RCOC must complete the COI Reporting Statement.

### Process for Submission of Statements

Annually: Regional Center Board Members, the Executive Director, and employees, contractors, agents and consultants shall complete and file an annual COI Statement by August 1 of each year (Title 17, Sections 54532(a) and 54531(b)). The statute mandates this for every employee referenced in WIC, Section 4626(e) (WIC, Section 4626(g)).

New Board Members, Executive Directors, Employees, Contractors, Agents and Consultants: Such new persons shall file a COI statement within 30 days of assuming the position (Title 17, Sections 54532(c) and 54531(c); WIC, Section 4626(f)).

Change in Status: Board Members, Executive Directors and regional center employees, contractors, agents and consultants shall complete and file a COI Reporting Statement with the regional center within 30 days of any change in status that creates a COI under Title 17, Sections 54532(d) and 54531(d). “Change in status includes, but is not limited to, a change in financial interests, legal commitment, regional center or board position or

duties, or both, or outside position or duties, or both, whether compensated or not” (WIC, Section 4626(h)).

Executive Director Review: The Executive Director shall review each COI Reporting Statement that is submitted and within ten (10) working days of receipt of the statement shall determine whether a COI exists (Title 17, Sections 54531(e); WIC, Section 4626 (k)). Under Title 17, Section 54532, statements submitted by Board Members and the Executive Director must be submitted to DDS within ten (10) working days of receipt.

Obligations When COI Discovered: When a present or potential COI is identified for a Board member, Executive Director, employee, agent or consultant, RCOC must either (1) require the employee or other person to eliminate the conflict, or (2) mitigate and manage the conflict through a Conflict Resolution Plan, or the individual shall resign (Title 17, Section 54533(a)).

RCOC Must Submit Conflict Resolution Plan to DDS: When a present or potential COI has been identified and RCOC decides to mitigate and manage the conflict through a Conflict Resolution Plan, it must submit a copy of the completed COI Reporting Statement and a proposed Conflict Resolution Plan to DDS within 30 calendar days of receipt of the statement (Title 17, Section 54533(a) and (b)).

#### Submission of COI Policy to DDS and Posting on Website

Each regional center was required to submit a COI policy to DDS by July 1, 2011, and post the policy on its website by August 1, 2011 (WIC, Section 4626.5).

#### **Obligation to Resolve Identified Conflicts**

When a conflict is identified either through a COI Reporting Statement or by notification of DDS, the Executive Director then has a specific obligation to resolve the matter, and to take certain action.

#### Executive Director Reviews Conflict Statements

Under Title 17, Section 54531(e), a regional center Executive Director is required to review the completed COI Reporting Statement of each employee within ten (10) working days of receipt and determine whether a present or potential conflict exists.

#### Regional Center Must Eliminate Conflict or File Conflict Resolution Plan

Under Title 17, Section 54533(a) a Board member, Executive Director, employee, contractor, agent or consultant with a present or potential COI must eliminate the conflict, or if the regional center permits, manage and mitigate the conflict through a Conflict Resolution Plan, or the individual shall resign. There is no legal obligation to submit a Conflict Resolution Plan, since it is merely an option the regional center



may choose to select. The regional center has every right to simply require that the conflict be eliminated.

#### Submission of Conflict Resolution Plan

When~~the~~ the Executive Director learns of a conflict either through review of submitted COI Reporting Statements, or from DDS, under Title 17, Section 54533(b) the Executive Director shall either require the conflict to be eliminated, or shall submit an employee's COI Reporting Statement and proposed Conflict Resolution Plan to DDS (1) within 30 days of receipt of the COI Reporting Statement or (2) within 30 days of receipt of DDS' notification of a conflict.

#### Content of Conflict Resolution Plan

Title 17, Section 54533(g) identifies the requirements of a Conflict Resolution Plan. It must describe the precise nature of the conflict, including the type of conflict, the relationship between the individuals involved (when a family member is involved), and the roles and identity of each person, i.e., the employee and the family member (Title 17, Section 54533(g)(1)).

Under Title 17, Section 54533(g)(2) the Conflict Resolution pPlan must then identify the actions the regional center will take to eliminate or mitigate and manage the conflict. This can involve either, resignation of the family member creating the conflict, refraining from participation in a certain category of matters that create the conflict, a change of position, duties, or assignment, or a divestiture of financial interests.

Further, the Conflict Resolution pPlan must "provide a detailed explanation of how each of the proposed actions will eliminate, mitigate or manage the conflict (Title 17, Section 54533(g)(3)). The Pplan must provide the name, position, and duties of the individuals who will be responsible for monitoring the plan, (Title 17, Section 54533(g)(4)), and the Pplan must be signed by the individuals subject to the Pplan under Title 17, Section 54533(g)(4).

#### Posting of Conflict on Website-Site of Conflict

Under Title 17, Section 54533(f), the regional center shall post on ~~the internet's~~ website each COI Reporting Statement that identifies a present/potential COI that cannot be resolved within 30 calendar days. This posting shall continue until the conflict has either been eliminated or the individual has resigned.

#### Prohibitions While Conflict Still Pending

Under Title 17, Section 54525, when a conflict has been identified for a governing board member or executive director, a regional center may not refer any individual to any business entity involved in the conflict, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Further, no regional center governing board member or executive director shall continue to serve in violation of these provisions, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

### **Role of DDS in Monitoring Conflicts**

#### **Review of Conflict Resolution Plan by Department**

Under Title 17, Section 54534(f), DDS shall issue a modification, approval or denial of the proposed Conflict Resolution Plan in writing to the regional center governing board or regional center designated party within 30 calendar days of receipt of a proposed Conflict Resolution Plan.

Title 17, Section 54534(g) provides that if DDS denies a Conflict Resolution Plan, the individual shall have 30 calendar days to eliminate the conflict or to resign.

If a Conflict Resolution Plan is approved, the employee shall fully comply with all the elements set forth in the approved Conflict Resolution Plan (Title 17, Section 54534(j)).

In addition, under Section 54534(k), a new Conflict Resolution Plan shall be submitted to DDS on an annual basis, and upon any change of status that creates a COI.

#### **Submission of Conflict Resolution Plan Does Not Authorize Continued Activities that Create Conflict**

The submission of a Conflict Resolution Plan does not authorize the employee to engage in the activities that created the conflict. In the words of the regulation, “Individuals shall not engage in activities in which there is a present or potential COI except in accordance with the terms of an approved Conflict Resolution Plan” (Title 17, Section 54534(d)).

#### **Sanctions**

~~The~~ RCOC will be vigilant to eliminate and resolve conflicts, since if DDS finds a violation of these provisions, it can provide 30 days to eliminate or resolve the violation. If the violation is not so resolved or eliminated, DDS may “take immediate action to commence procedures for termination or nonrenewal of the regional center contract pursuant to W&I Code, Section 4635.”

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee  
SUBJECT: **Approval of Revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 18, 2021, the Policies and Outcomes Committee reviewed the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve as presented.

## **XIX. ZERO TOLERANCE POLICY REGARDING ABUSE AND NEGLECT OF THOSE WE SERVE**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) recognizes the importance of transparency and accountability to the community it serves. ~~As required in Article I, Section 17 of RCOC's master contract with the State of California,~~ RCOC is committed to reporting information with accuracy and transparency, and maintaining full compliance with the laws, rules and regulations that govern RCOC's business, as well as RCOC's contract with the California Department of Developmental Services. This includes annually notifying all its employees, its vendors and licensees of long-term health care facilities\* that are serving RCOC persons served that RCOC has a Zero Tolerance Policy regarding abuse and neglect of those we serve.

### **POLICY**

Abuse of individuals we serve committed by RCOC employees, employees of RCOC vendors, or employees of licensees who operate long-term health care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any RCOC employee found to have abused a person served will be subject to severe discipline, up to and including discharge, and will be referred to appropriate authorities. All employees of RCOC vendors and, of licensees who operate long-term health care facilities found to have abused a person served will be referred to the appropriate authorities, and the vendor may also be subject to sanctions, up to and including, removal from the list of those authorized to provide services for regional center.

All employees of RCOC, employees of RCOC vendors, and employees of licensees who operate long-term health care facilities who are mandated reporters pursuant to the California Penal Code shall strictly comply with the reporting laws at all times, including, but not limited to, Welfare and Institutions Code Section 15630. A mandated reporter must report all abuse of individuals we serve to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that abuse has occurred.

RCOC, all RCOC vendors, and all licensees who operate long-term health care facilities serving RCOC persons served shall ensure their employees are fully informed upon hire and annually thereafter regarding RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve and the mandatory abuse and neglect reporting laws. Each employee must be knowledgeable of their responsibility to protect our population from abuse and neglect, the signs of abuse and neglect, the process for reporting suspected abuse or neglect, and the consequences of failing to follow the law and enforcing this policy.

RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve will be incorporated into any new or revised contract, vendorization or other agreement for services.

If RCOC, a RCOC vendor, or a licensee who operates a long-term health care facility becomes aware of abuse of a person served, it shall take immediate action, to the extent permitted by law, to ensure the health and safety of the affected individual and all other individuals receiving services and supports from RCOC. This obligation is in addition to those obligations required of mandated reporters to report abuse under the reporting laws.

## **GUIDING PRINCIPLES**

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Service coordinators inform families of their rights and the services and supports available to them.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

\* According to the State of California Health and Safety Code Section 1418,

(a) "Long-term health care facility" means any facility licensed pursuant to Chapter 2 (commencing with Section 1250) that is any of the following:

- (1) Skilled nursing facility.
- (2) Intermediate care facility.
- (3) Intermediate care facility/developmentally disabled.
- (4) Intermediate care facility/developmentally disabled habilitative.
- (5) Intermediate care facility/developmentally disabled-nursing.
- (6) Congregate living health facility.
- (7) Nursing facility.
- (8) Intermediate care facility/developmentally disabled-continuous nursing.

(b) "Long-term health care facility" also includes a pediatric day health and respite care facility licensed pursuant to Chapter 8.6 (commencing with Section 1760).

(c) "Long-term health care facility" does not include a general acute care hospital or an acute psychiatric hospital, except for that distinct part of the hospital that provides skilled nursing facility, intermediate care facility, intermediate care facility/developmentally disabled, or pediatric day health and respite care facility services.

(d) "Licensee" means the holder of a license issued under Chapter 2 (commencing with Section 1250) or Chapter 8.6 (commencing with Section 1760) for a long-term health care facility.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021  
TO: Board of Directors  
FROM: Clifford Amsden, Chair  
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Policies on the Board-Executive Relationship**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 18, 2021, the Policies and Outcomes Committee reviewed the Policies on the Board-Executive Relationship.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policies on the Board-Executive Relationship.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **I. POLICIES ON THE BOARD-EXECUTIVE RELATIONSHIP**

To facilitate optimum effectiveness, the Regional Center of Orange County (RCOC) Board of Directors recognizes the Board's responsibility as being generally confined to establishing the broadest of policies, leaving implementation and subsidiary policy development to the Executive Director. The ENDS policies direct the Executive Director to achieve certain results for certain persons; the Executive Limitations Policies constrain the Executive Director to act within acceptable boundaries of prudence and ethics.

All Board authority delegated to staff is delegated through the Executive Director; likewise, staff accountability also comes through the Executive Director.

- A. The Executive Director is authorized to establish all further policies, make all decisions, take all actions and develop all activities which are true to the Board's policies. The Board may, by extending its policies, or in response to a matter of major importance, "undelegate" areas of the Executive Director's authority, but will respect the Executive Director's choices so long as the delegation continues. This does not prevent the Board from obtaining information about activities in the delegated areas.
- B. Only the Board as a whole, by majority vote, has authority over the Executive Director. While the Executive Director will typically respond to requests from individuals or committees for information or action, if, in the Executive Director's judgment, the request is inappropriate or requires an excessive amount of staff time, the Executive Director may negotiate an alternative response to the original request. In those rare instances where a compromise cannot be successfully negotiated, the Board reserves final authority.
- C. The Executive Director may not perform, allow or cause to be performed, any act which is unlawful, insufficient to meet commonly accepted business and professional ethics, in violation of funding source or regulatory agency requirements, or contrary to explicit Board constraints (see Executive Limitations Policies) on executive authority.
- D. The Executive Director shall be hired by the Board of Directors to provide professional leadership and administration of the organization's programs and services. When a vacancy occurs in the position of Executive Director, the Executive Committee shall have the authority to appoint an Acting Executive Director, and an Executive Search Committee shall be formed to recruit the most appropriate candidate for the position.
- E. The performance of the Executive Director shall be monitored and reviewed annually by the Board of Directors. The Executive Committee shall meet with the Executive Director to present the results of the Board's review. The Executive Committee shall, guided by RCOC's Policy on Human Resource Development (found in the Executive Limitations Policies), recommend any adjustments to the compensation of the Executive Director for approval by the Board of Directors.

- F. The Executive Director shall provide the following written documents to the Executive Committee as stated:
1. Annual performance reviews, a statement of total compensation and Conflict of Interest Statements for each executive management employee reporting directly to the Executive Director.
  2. Annual confirmation that mandatory employee training requirements have been satisfied by the Executive Director and each executive management employee reporting directly to the Executive Director.
  3. Annual confirmation that the Executive Director and each executive management employee reporting directly to the Executive Director are accurately reporting their time and attendance.
  4. Annual reporting of monthly expense reimbursement reports for the Executive Director and each executive management employee reporting directly to the Executive Director.
  5. Annual succession/development plans for the Executive Director and executive management positions.
  6. Biennial leadership survey of the Executive Director by each executive management employee reporting directly to the Executive Director and biennial leadership survey of each executive management employee by employees reporting directly to that executive management employee. A summary and analysis of the surveys will be provided to the Board members.



**Regional Center of Orange County  
Vendor Advisory Committee  
September 14, 2021  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Hector Navarro, absent

Co-Chair, Atrem Behmanesh, present

**Adult Day Programs**

Chair, Rick Perez, present

Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Katherine Gurney, present

Co-Chair, Mark Antenucci, present

**Behavior Services**

Chair, Junie Lazo-Pearson, present

Co-Chair, Cindy Hebert, present

**Community Care Facilities**

Chair, Member Pending

Co-Chair, Member Pending

**Early Intervention**

Chair, Tiffany Bauer, absent

Co-Chair, Junie Lazo-Pearson, present

**Habilitation**

Chair, Jodean Hudson, present

Co-Chair, Tim Chervenak, present

**Independent/Supported Living**

Chair, Christine Molina, present

Co-Chair, Ana Sandoval, absent

**Intermediate Care Facilities**

Chair, Rich Mraule, absent

Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, David Santana, absent

Co-Chair, Andrew Velasco, present

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Orange County Transit Authority**

Melissa Mungia, present

Christina Blanco, absent

*Vendor Advisory Committee Minutes  
September 14, 2021*

**Board Members Present:** Chinh Nguyen

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technology Director  
Liliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director of Employment  
Bonnie Ivers, Clinical Director  
Christina Petteruto, General Counsel  
Keli Radford, Director of Services and Supports  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Associate Finance Director  
Sean Watson, Risk Management, QA Manager

**I. Call to Order**

In Ms. Tiffany Bauer's absence, Ms. Junie Lazo-Pearson welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

**II. RCOC Update**

Mr. Larry Landauer reported on caseload growth and Provisional Eligibility. Mr. Landauer commented that vendors cannot compete in the current job market; so, caseload growth will result in more referrals that cannot be staffed. Mr. Landauer reviewed the Department of Developmental Services' (DDS') Guidance Regarding Alternative Nonresidential Services and the survey that vendors must complete by November 1<sup>st</sup>.

**III. COVID-19 Health and Safety Waivers**

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that DDS' directive on health and safety waiver exemptions will expire on October 3, 2021; and, its unknown at this time if DDS will extend it. DDS delegated to regional center Executive Directors the authority to grant rate adjustments for residential services and/or supplemental services in residential settings, consistent with Welfare and Institutions Code sections 4681.6, 4689.8 and 4691.9, to protect a consumer's health or safety because of the outbreak of COVID-19. When the directive ends, only DDS will have authority to approve health and safety rates. As part of the transition from COVID directives to the previous health and safety process, DDS is asking all regional centers to submit a list of those vendors who will need an extension of their health and safety rate by September 15, 2021. RCOC's vendors must submit their information to Mr. Stanton by September 10, 2021. Mr. Stanton stated that RCOC cannot pay the health and safety rate without the written approval of DDS. When RCOC receives DDS' written approvals, RCOC will pay vendors accordingly. Until that time, all health and safety rates will revert to the vendored rate effective October 4, 2021.

**IV. Electronic Visit Verification Update and Independent Audit Reports**

Ms. Marta Vasquez, RCOC's Associate Finance Director, reported that DDS has awarded the state solution contract for Phase II of the Electronic Visit Verification (EVV) system to Sandata Technologies, LLC. All state agencies that are part of Phase II are beginning the initial on-boarding now that the state solution contractor has been federally approved. Those vendors who decide to have their Provider Master File information automatically uploaded into the EVV system will need to provide RCOC with their confirmed business vendor information along with identifying an initial on-boarding point of contact person at their agency.

Ms. Vasquez also reported that DDS continues to hold EVV informational meetings on a regular basis. If vendors would like to receive up-to-date information from DDS regarding EVV, please send a request to DDS at [EVV@dds.ca.gov](mailto:EVV@dds.ca.gov).

Ms. Vasquez emphasized the importance of vendors submitting their independent audit reports. If vendors do not submit their reports for 2018, they will be on Do Not Refer until the report is submitted.

**V. VAC Co-Chair Elections**

The Committee elected Ms. Junie Lazo-Pearson as VAC Co-Chairperson for a one-year term commencing November 4, 2021 and ending June 30, 2022.

**VI. Board Report**

Mr. Landauer reported that the Board of Directors July training was on *Home and Community Based Services (HCBS) and the Center for Medicare & Medicaid Services' (CMS) Final Rule*.

**VII. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

**VIII. Liaison Reports**

**A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

**B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)**

Ms. Melissa Mungia reported that OCTA is requesting a ten-day advance notification from vendors, prior to resuming in-person services.

Ms. Mungia also reported that the federal COVID-19 mask mandate was extended to January 18, 2022. There are some exemptions; applicants may file for a safe mask exemption at [www.octa.net](http://www.octa.net).

**IX. Member Reports**

- A. Adult Behavior Management – Hector Navarro (absent)**
- B. Adult Day Programs – Rick Perez (absent)**

With both Mr. Rick Perez and Mr. Hector Navarro absent, Ms. Atrem Behmanesh reported that the subcommittee met and discussed DDS' Guidance Regarding Alternative Services. The subcommittee also discussed staffing shortages, alternative work schedules to ensure coverage, and planning for mandatory COVID-19 vaccination requirements.

- C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)**

Ms. Katherine Gurney reported that their subcommittee is scheduled to meet on September 23, 2021.

- D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that their subcommittee met and discussed staffing shortages especially in South County.

- E. Community Care Facilities (CCF) – Member Pending**

Mr. Stanton reported that the subcommittee did not meet.

- F. Early Intervention – Tiffany Bauer (absent)**

In Ms. Bauer's absence, Ms. Lazo-Pearson reported that their subcommittee is working with the Family Support Network to support developmental screenings in underserved Orange County communities.

- G. Habilitation – Jodean Hudson (present)**

Ms. Jodean Hudson reported that the subcommittee did not meet.

- H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee did not meet.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule’s absence, Mr. Stanton reported that the subcommittee did not meet.

**J. Support Services/Allied Health – David Santana (absent)**

In Mr. David Santana’s absence, Mr. Andrew Velasco reported that the subcommittee met and discussed concerns about the COVID-19 vaccine requirements, staffing needs and challenges.

**X. Community Forum**

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD) reported that SCDD is holding a *There Should Be a Law* contest. The contest is open to anyone who has a problem and a solution for an idea that must benefit people with intellectual and developmental disabilities. The winner’s idea will be recommended by SCDD’s Legislative and Public Policy Committee; and if adopted, it will be part SCDD’s top legislative efforts for 2022.

Ms. Tolbert also reported on the WE CAN Coalition which works to end child abuse and neglect in Orange County. Ms. Tolbert shared a flyer from the Coalition that provides local Orange County resources for families struggling or facing stressful times. Some of the resources include housing and food assistance, mental health or drug and alcohol treatment, as well as assistance for children experiencing abuse or neglect.

**XI. Adjournment**

Ms. Lazo-Pearson adjourned the meeting at 3:00 p.m.

The next VAC meeting is scheduled for October 12, 2021.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Vendor Advisory Committee  
October 12, 2021  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Hector Navarro, absent  
Co-Chair, Atrem Behmanesh, present

**Adult Day Programs**

Chair, Rick Perez, present (*joined at 2:04 p.m.*)  
Co-Chair, Member Pending

**Adult Family Home/Foster Family Agency**

Chair, Katherine Gurney, present  
Co-Chair, Mark Antenucci, present

**Behavior Services**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Cindy Hebert, present

**Community Care Facilities**

Chair, Member Pending  
Co-Chair, Member Pending

**Early Intervention**

Chair, Tiffany Bauer, present  
Co-Chair, Junie Lazo-Pearson, present

**Habilitation**

Chair, Jodean Hudson, present  
Co-Chair, Tim Chervenak, present

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, present

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, Member Pending

**Support Services/Allied Health**

Chair, David Santana, present  
Co-Chair, Andrew Velasco, present

**Liaisons:**

**CalOptima**

Liaison Pending, absent

**Orange County Transit Authority**

Melissa Mungia, absent  
Christina Blanco, present

*Vendor Advisory Committee Minutes*  
*October 12, 2021*

**Board Members Present:** Marcell Bassett  
Chinh Nguyen (*joined at 2:03 p.m.*)

**RCOC Staff Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Liliana Castillo, Accounting Manager  
Arturo Cazares, Associate Director of Employment  
Bonnie Ivers, Clinical Director  
Marta Vasquez, Associate Finance Director  
Sean Watson, Risk Management, QA Manager

**I. Call to Order**

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

**II. RCOC Update**

Mr. Larry Landauer reported on some preliminary data from RCOC's Service Provider Hiring Challenges Survey. Mr. Landauer stated that a report will be presented at RCOC's Board of Directors' meeting on November 4, 2021.

Ms. Marta Vasquez, RCOC's Associate of Finance, provided the following updates:

- *Electronic Visit Verification (EVV).* Ms. Vasquez reminded vendors that EVV will be required January 1, 2022. All vendors should register for EVV updates, even if they are using a 3<sup>rd</sup> party solution, at [evv@dds.ca.gov](mailto:evv@dds.ca.gov).
- *Independent Audits or Independent Review Reports.* Ms. Vasquez stated that those vendors who did not submit their 2018 independent audits or independent review reports with accompanying management letters are now on Do Not Refer. To be removed from the Do Not Refer list, vendors must submit the independent audits or independent review reports along with accompanying management letters to RCOC.

The 2019 and 2020 independent audit or independent review reports are also due by December 31, 2021. Vendors who do not submit their reports will be placed on Do Not Refer.

- *Applicant/Vendor Disclosure Statement Form DS 1891.* Ms. Vasquez reported that the forms were sent to vendors this year via DocuSign. For those forms that could not be delivered due to an invalid email address, RCOC will contact vendors for the correct email address for an owner or officer who has authority to sign the disclosure form.

### **III. Board Report**

Ms. Bauer reported that there was a training for the Board of Directors on the *Self-Determination Program (SDP)* on October 7, 2021.

### **IV. Peer Advisory Committee (PAC) Report**

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

### **V. Liaison Reports**

#### **A. CalOptima – Liaison pending**

No representative from CalOptima was present and no report was provided.

#### **B. Orange County Transportation Authority (OCTA) – Melissa Mungia (absent)**

In Ms. Melissa Mungia's absence, Ms. Christina Blanco reported that OCTA is requesting a ten-day advance notification from vendors, prior to resuming in-person services. Ms. Blanco reminded vendors that until a subscription request is confirmed, all passenger trips will need to continue to be booked through the call center.

Ms. Blanco also reported that the federal COVID-19 mask mandate was extended to January 18, 2022. There are some exemptions; applicants may file for a safe mask exemption at [www.octa.net](http://www.octa.net).

Ms. Blanco stated that the new operator for OC ACCESS is First Transit, effective January 1, 2022.

### **VI. Member Reports**

#### **A. Adult Behavior Management – Hector Navarro (absent)**

#### **B. Adult Day Programs – Rick Perez (present)**

Mr. Rick Perez reported that they reviewed RCOC's Special Incident Report (SIR) requirements with Mr. Sean Watson, RCOC's Risk Management, QA Manager. The subcommittee also discussed hiring challenges and COVID vaccine mandate requirements.



**C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)**

Ms. Katherine Gurney reported that the subcommittee met and discussed expiration of the COVID-19 health and safety waivers. Ms. Vasquez clarified that the DDS directive was extended.

**D. Behavior Services – Junie Lazo-Pearson (present)**

Ms. Junie Lazo-Pearson reported that their subcommittee did not meet.

**E. Community Care Facilities (CCF) – Member Pending**

The subcommittee did not meet and no report was provided.

**F. Early Intervention – Tiffany Bauer (present)**

Ms. Bauer reported that their subcommittee did not meet.

**G. Habilitation – Jodean Hudson (present)**

Ms. Jodean Hudson reported that their subcommittee did not meet.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee met and discussed staffing challenges and concerns that the vaccine mandate will make a difficult situation more difficult. Two providers commented that the vaccine mandate has helped increase the vaccination rate for their employees to 90%.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

Mr. Rich Mraule was absent and no report provided.

**J. Support Services/Allied Health – David Santana (present)**

Mr. David Santana reported that the subcommittee met and discussed the vaccine mandate and EVV requirements.

**VII. Community Forum**

Ms. Christine Tolbert, from the State Council on Developmental Disabilities (SCDD) Orange County Regional Office, reminded members that the deadline for SCDD's *There Should Be a Law* contest is October 15, 2021. For more information, visit their website at [www.scdd.ca.gov](http://www.scdd.ca.gov).

*Vendor Advisory Committee Minutes*  
*October 12, 2021*

Ms. Tolbert reported on the relaunch of SCDD's Self-Advocate Speaker Series featuring Orange County self-advocates.

**VIII. Other**

Ms. Bauer reported that *Wish Tree*, RCOC's holiday gift-giving program, is underway. Vendors or staff who want to donate gifts to children and adults with developmental disabilities may send an email to [wishtree2@rcocdd.com](mailto:wishtree2@rcocdd.com) or visit RCOC's website at [www.rcocdd.com](http://www.rcocdd.com) for more information.

**IX. Adjournment**

Ms. Bauer adjourned the meeting at 2:46 p.m.

The next VAC meeting is scheduled for November 9, 2021.

*Recorder: Sandra Lomeli*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 4, 2021

TO: Board of Directors

FROM: Tiffany Bauer  
Chair, Vendor Advisory Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Vendor Advisory Committee (VAC) Member,  
Junie Lazo-Pearson, as VAC Co-Chair for a Term Commencing  
November 4, 2021 and Ending June 30, 2022**

BACKGROUND:

The Vendor Advisory Committee (VAC) Commission, Section 6.0, Selection and Terms of Officer, specifies that “annually in June, the VAC shall elect a Chairperson and Vice Chairperson to serve a one year term, commencing in July.”

In June 2021, VAC did not elect a Co-Chair.

REASON FOR CURRENT ITEM:

The Board appointed Ms. Lazo-Pearson Chair of VAC’s Behavior Services Subcommittee on June 4, 2020. On September 14, 2021, VAC elected Ms. Lazo-Pearson as its Co-Chair for a term commencing November 4, 2021 and ending June 30, 2022.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

The Board approve June Lazo-Pearson as the Vendor Advisory Committee Co-Chair as presented.

**Regional Center of Orange County  
Peer Advisory Committee  
September 15, 2021  
Videoconference Minutes**

**Committee Members Present:** Sylvia Delgado, Chairperson  
Kerri Adamic  
Steven Gersten  
Amy Jessee (*left at 3:46 p.m.*)  
Peter Kuo (*joined at 3:28 p.m.*)

**Committee Members Absent:** Marcell Bassett  
Jyusse Corey, Peer Advocate  
Cheryl Day  
Yvonne Kluttz  
Fernando Peña

**Board Member Present:** Chinh Nguyen

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Keli Radford, Director of Services and Supports

**Guest(s):** Benjamin Martin  
Gregg Gann

Ms. Sylvia Delgado called the meeting to order at 3:07 p.m.

**I. Welcome and Introductions**

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

**II. RCOC's Peer Advocate Report**

In Mr. Corey's absence, Ms. Delgado reported that CalABLE is looking for individuals to join their Ambassadors Team. A CalABLE Ambassador must have an account and/or be an authorized legal representative of an account willing to share their experiences with others throughout the year. An informational webinar is scheduled for Wednesday, September 22, 2021 at 2:00 p.m. (PST).

Ms. Delgado also reported that the State Council on Developmental Disabilities (SCDD) is holding a *There Should Be a Law* contest. The contest is open to anyone who has a problem and a solution for an idea that must benefit people with intellectual and developmental disabilities. The winner's idea will be recommended by SCDD's Legislative and Public Policy Committee; and if adopted, it will be part SCDD's top

legislative efforts for 2022. All entries must be submitted via email to: [scdd@scdd.ca.gov](mailto:scdd@scdd.ca.gov) by October 15, 2021.

### **III. Update on the Self-Determination Program (SDP)**

Ms. Keli Radford, RCOC's Director of Services and Supports, provided an overview on the Self-Determination Program, which is available to all eligible persons served. Ms. Radford stated that those who are interested in enrolling in the program should contact their Service Coordinator.

### **IV. Community Forum**

No community members were present at the meeting.

### **V. Other**

Committee members discussed recent transportation issues due to driver shortages with OCTA ACCESS. Ms. Delgado stated that all transportation issues should be reported to OCTA's Customer Relations at (714) 560-5700.

### **VI. Next Scheduled Meeting**

The next PAC meeting is scheduled for October 20, 2021.

The meeting was adjourned at 3:48 p.m.

*Recorder: Sandra Lomelí*

**Regional Center of Orange County  
Legislative and Community Awareness Committee  
October 12, 2021  
Videoconference Minutes**

**Committee Members Present:** Liza Krassner, Chairperson  
Tiffany Bauer  
Sandy Martin  
Hilda Mendez (*joined at 5:09 p.m.*)  
Chinh Nguyen  
John “Chip” Wright

**Committee Members Absent:** Meena Chockalingam

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Bette Baber, Chief Financial Officer  
Jerrod Bonner, Information Technology Director  
Bonnie Ivers, Clinical Director  
Kathleen McFarlin, Manager, Family Support and  
Community Outreach  
Kaitlynn Truong, Cultural Specialist, Services and Supports  
Stacy Wong, Director of Human Resources

**Guests:** Linda Blankenship, Consultant  
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:00 p.m.

## **I. Coronavirus Disease 2019 (COVID-19) Update**

Mr. Larry Landauer reported that regional centers have received no direction from DDS on booster shots. Persons served are following their doctors’ orders. Mr. Landauer added that some vendors have had more employees resign due to the vaccine mandate; other vendors have had their employee vaccination rates go up to 90%.

## **II. Public Relations**

### **A. Dialogue**

Ms. Linda Blankenship reported that the Fall issue of the *Dialogue* will showcase one of the lesser known residential options, Adult Family Home Agency, as well as generic resources, other public benefits and timely holiday information.

### **B. News Media Outreach**

Ms. Blankenship reported that some of the media outreach included coverage of RCOC’s Back-to-School Backpack Giveaway in July 2021, by *La Opinión*, the Spanish newspaper.

Ms. Blankenship also reported that she is working with Mr. Wayed Kabir, a person served by RCOC who hosts his own podcast, to organize a series of four podcast episodes highlighting RCOC's *Healthy Life, Happy Life* wellness program. These podcast episodes will be shared on RCOC's website, social media platforms to help spread the message to a broader audience.

### **C. Social Media**

Ms. Anh Nguyen reported that one of RCOC's newest social media strategies has been to create and brand a template for promoting public relations and media highlights. The template will be a successful tool for the community to recognize RCOC's stories when they are featured in televised and print media outlets. Ms. Nguyen shared that RCOC's media platforms continue to feature information on events and trainings from the Family Resource Center (FRC) such as, Early Start *Fun Friday Facts*, Coffee Talks and other regional center services. Ms. Nguyen also reported that she is working with Ms. Stacy Wong, RCOC's Human Resources Director, to include RCOC's employment opportunities within all its social media platforms to assist with recruitment efforts.

## **III. Legislative Outreach**

### **A. State Budget Update**

Mr. Landauer reported that the California State Legislature is out of session.

Mr. Landauer also reported that the Lanterman Coalition has developed a "thank you" campaign to honor the work of the Governor and legislators in providing significant funding for the developmental disabilities services system; its major points include print ads and billboards.

### **B. ARCA Update**

Ms. Krassner reported that ARCA's primary focus is on vendors' staffing shortages. These shortages have disrupted services for persons served throughout California.

Ms. Krassner mentioned that the next ARCA Academy webinar will be on services for school-age individuals.

The ARCA Board Delegates will meet on October 19, 2021.

### **C. Relationship Building with Delegation**

Ms. Nguyen stated that although the state legislature is out of session, she remains in contact with the legislative offices. Additionally, Ms. Nguyen was contacted by the office of Assemblywoman Cottie Petrie-Norris to inform her that the Assemblywoman is steering a committee on the recent Orange County oil spill.

**IV. Community Outreach**

**A. Disparity-Focused Activities and Analytics**

Ms. Kathleen McFarlin, RCOC's Family Support and Community Outreach Manager, reported that she and Mr. John Zeimantz, RCOC's Early Start Supervisor, participated in an Early Start vendor meeting, where they discussed RCOC's Early Start eligibility process. Ms. McFarlin stated that they were able to connect with new community agencies and discussed possible community training collaborations.

Ms. McFarlin also reported that RCOC continues to inform local pediatricians and the staff at Neonatal Intensive Care Units (NICUs) about RCOC's Early Start services.

Ms. McFarlin stated that she hosted a meeting with the leaders of Spanish-speaking parent support groups to hear about problems that parents may have with RCOC. Ms. McFarlin is reviewing the feedback and working with Ms. Keli Radford, RCOC's Director of Services and Supports, on the next steps.

Ms. Kaitlynn Truong, RCOC's Cultural Specialist, reported that RCOC received a grant of \$50,000 last fiscal year to host five developmental screenings within under-served communities in Orange County. Ms. Truong stated that RCOC will request a larger grant award this fiscal year so that we can increase the number of developmental screenings.

**B. POS Expenditure Issues**

Ms. Keli Radford was not present and no report was provided.

**V. Community Forum**

No community members were present at the meeting.

Ms. Krassner adjourned the meeting at 5:35 p.m.

*Recorder: Sandra Lomelí*