



REGIONAL CENTER OF ORANGE COUNTY BOARD OF DIRECTORS' MEETING AGENDA

Date: **Thursday, November 3, 2022**

Time: **6:00 p.m. – 8:00 p.m.**

Place: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Community Forum for Agenda Items Only***	John "Chip" Wright
	C.	Budget and Finance Committee	Sandy Martin
	D.	Consent Calendar*	John "Chip" Wright
		1. Approval of Board of Directors' Minutes for September 1, 2022** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, August 2022** b. Approval of Monthly Sufficiency of Allocation Report, September 2022** c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 26, 2022** d. Approval of Independent Auditors for the Fiscal Year Ended June 30, 2022 e. Approval of Budget Amendment	
	E.	Executive Director's Report	Larry Landauer
		1. Recognition of Persons' Served Employment Longevity 2. Approval of the 2023-24 Performance Contract** 3. Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-4)** 4. Operations Report – August 2022** 5. Operations Report – September 2022** 6. Performance Contract Report – August 2022** 7. Performance Contract Report – September 2022** 8. Employment Update 9. Housing Update 10. Health and Wellness Update	Christina Petteruto Arturo Cazares Jack Stanton Bonnie Ivers
	F.	Executive Committee	John "Chip" Wright
		1. Approval of Renewal of Board Membership for Meena Chockalingam for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025 2. Approval of Renewal of Board Membership for Fernando Peña for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025	
	G.	Nominating Committee	John "Chip" Wright
		1. Approval of Proposed Slate of Officers with Terms Commencing January 1, 2023 and Ending June 30, 2024	
	H.	Board Recruitment and Training Committee**	John "Chip" Wright

	I.	Policies and Outcomes Committee**	Cliff Amsden
		1. Conflict of Interest Policy** 2. Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**	
	J.	Vendor Advisory Committee**	Rick Perez
	K.	Peer Advisory Committee**	Sylvia Delgado
	L.	Legislative and Community Awareness Committee**	Liza Krassner
	M.	ARCA Report	Liza Krassner
	N.	Community Forum***	John “Chip” Wright
	O.	Chairperson’s Report	John “Chip” Wright
IV.		Adjournment	John “Chip” Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
September 1, 2022
Videoconference Minutes**

Board Members Present: John “Chip” Wright, Chairperson
Meena Chockalingam
Sylvia Delgado
Amy Jessee
Yvonne Kluttz
Liza Krassner
Sandy Martin
Hilda Mendez
Chinh Nguyen
Fernando Peña
Rick Perez

Board Members Absent: Cliff Amsden
Frances Hernandez

Corporate Counsel Present: David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 5:33 p.m.

A. Pledge of Allegiance/Reading of RCOC’s Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado read RCOC’s Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Sandy Martin reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors’ Minutes for June 2, 2022
2. Budget and Finance Committee

***RCOC Board of Directors' Meeting Minutes
September 1, 2022***

- a. Approval of Monthly Sufficiency of Allocation Report, May 2022
- b. Approval of Monthly Sufficiency of Allocation Report, June 2022
- c. Approval of Monthly Sufficiency of Allocation Report, July 2022
- d. Approval of Budget Amendment D-1, Fiscal Year 2022-23
- e. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective September 6, 2022

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored five persons served who have worked with the same employer for 25 years or more with a Certificate of Recognition.

Ms. Christina Petteruto, RCOC's General Counsel, reported that DDS has allocated \$400,000 in start-up funding to RCOC's Community Resource Development Plan (CRDP) for the acquisition of one property to serve as a Community Crisis Home (CCH), and \$400,000 in start-up funding to CRDP for the acquisition of another property to serve as a Specialized Residential Facility (SRF). The Request for Proposals (RFP) Review Committee recommends approval of the Property Acquisition Agreements with Brilliant Corners, a non-profit housing corporation, for the development of one CCH and one SRF.

2. Approval of Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of one Community Crisis Home and one Specialized Residential Facility (CRDP Nos. 2223-1, 2223-2)

M/S/C to approve the Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of one Community Crisis Home and one Specialized Residential Facility (CRDP Nos. 2223-1, 2223-2) as presented

Ms. Petteruto also reported DDS has allocated \$500,000 in CRDP start-up funding for the renovation of one property to serve as a CCH, and \$450,000 in CRDP start-up funding for the renovation of another property to serve as a SRF. The RFP Review Committee recommends approval of the Property Renovation Agreements with Brilliant Corners for the development of one CCH and one SRF, as presented.

3. Approval of Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of one Community Crisis Home and one Specialized Residential Facility (CRDP No. 2223-01, 2223-02)

M/S/C to approve the Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of one Community Crisis Home and one Specialized Residential Facility (CRDP No. 2223-01, 2223-02) as presented

Ms. Petteruto reported that DDS made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH). The Board previously approved a property renovation agreement for \$450,000 with non-profit housing corporation Brilliant Corners on June 3, 2021. The property where the EBSH will be located has been acquired by Brilliant Corners and requires extensive renovation. Ms. Petteruto stated that DDS will allocate an additional \$227,537 in RCOC's CRDP for renovation costs. The total DDS allocation for renovation costs is now \$677,537. It is recommended that the Board approve the Amendment to the Property Renovation Agreement as presented.

4. Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-3)

M/S/C to approve the Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-3)

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the state budget for fiscal year 2022-23 was enacted on schedule. Regional Centers now await guidance from the Department of Developmental Services (DDS) on many of the Trailer Bills. Trailer Bill Language is the implementing language of the California State Budget Bill. These Trailer Bills are available on RCOC's website.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2021-22.* Mr. Landauer reported that there is a projected surplus system-wide.
- *RCOC Vendors.* Mr. Landauer reported that the vendor community continues to struggle with staffing shortages. California Elwyn, an RCOC vendor since 1992, will close most of its programs on September 30, 2022, which will affect about 120 RCOC persons served, and its remaining programs will close on October 31, 2022, affecting another 122 individuals.

Mr. Arturo Cazares, RCOC's Director of Community Services, stated that RCOC is working with California Elwyn staff and other community providers to transition those affected by the closures to other programs, and ensure that no one is left without services.

RCOC Board of Directors' Meeting Minutes
September 1, 2022

- *Social Recreation POS Guidelines Revision.* Mr. Landauer reported that DDS requested revisions to RCOC's POS Guidelines on Social and Recreational Services. RCOC made the requested revisions and resubmitted it to DDS on September 1, 2022.
- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the ARCA Academy's training webinar, *The State's Budget Process*, was held on July 12, 2022. The next ARCA training on *Home and Community-Based Services Final Rule*, will be held on September 22, 2022. These trainings are available to all regional center Board members.
- *RCOC News.* Mr. Landauer reported that the Board of Directors held their Board retreat on July 16, 2022. RCOC also held its annual Performance Contract public meeting on August 17, 2022.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the next SDP Local Advisory Committee meeting is scheduled for 6:00 p.m. on September 12, 2022.

F. Executive Committee

Mr. Wright reported that the Committee met on July 18, 2022; the next Committee meeting is scheduled for September 19, 2022.

G. Board Recruitment and Training

Mr. Wright reported that the Committee met on July 11, 2022. It reviewed the Board composition and discussed interviewing Board applicants to ensure continuing compliance with the composition requirements of the Lanterman Act. The Committee is also working on its Board training schedule for 2023.

The next Committee meeting is scheduled for October 3, 2022.

H. Policies and Outcomes Committee

In Mr. Cliff Amsden's absence, Ms. Sandy Martin reported that the Committee met and reviewed the Policy on Insurance Requirements for Providers, Policy on Information Dissemination to Persons Served, Families, Authorized Representatives, and Other Interested Parties, Start-Up, Gap and Lag Funding Policy and the Policy on Resource Development and Procurement. No revisions were proposed for any of the policies.

The next Committee meeting is scheduled for October 17, 2022.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Vendor Advisory Committee (VAC) met on July 12, 2022, and discussed concerns about staffing shortages due low rates.

J. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee last met on June 15, 2022. At this meeting, Ms. Kaitlynn Truong, Family Resource Center's (FRC's) Cultural Specialist, provided a presentation on RCOC's FRC services and events.

The next Committee meeting is scheduled for September 13, 2022.

K. Legislative and Community Awareness Report

Due to audio issues with Ms. Liza Krassner's connection, Mr. Wright reported on her behalf. The Committee met on July 12, 2022; the next meeting is scheduled on October 11, 2022.

L. ARCA Report

Mr. Landauer reported that ARCA's Board of Directors' is scheduled to meet and review strategic planning essentials on October 20, 2022.

M. Community Forum

There were no speakers for community forum.

N. Chairperson's Report

Mr. Wright reminded Board members that the next Board training on *Vendor/Service Provider Overview* is scheduled for 5:30 p.m. on October 16, 2022.

O. Presentation on the 2023 Performance Contract

Mr. Jack Stanton and Mr. Arturo Cazares presented the 2023 Performance Contract.

II. Adjournment

Mr. Wright adjourned the meeting at 6:57 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
September 1, 2022**

Committee Members Present: Sandy Martin, Chair
Fernando Peña
John “Chip” Wright

Committee Members Absent: Cliff Amsden
Amy Jesse

Other Board Members Present: Sylvia Delgado
Yvonne Klutz

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Liliana Castillo, Accounting Manager – POS
Arturo Cazares, Associate Director of Employment
Nancy Franco, Accounting Manager – Operations
Bonnie Ivers, Clinical Director (*joined at 4:08 p.m.*)
Jennifer Montanez, Director of Case Management
Raudel Perez, Administrator
Keli Radford, Director of Services and Supports
Marta Vasquez, Director of Finance
Stacy Wong, Human Resources Director

The meeting was called to order at 4:05 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, May and June 2022

Ms. Marta Vasquez reported that RCOC is projecting a surplus of \$38.7 million, an increase of \$1.5 million over the prior projection. RCOC’s projected surplus will be \$47.7 million if all \$9 million of the State Plan Amendment receivables are paid.

2. Approval of Monthly Sufficiency of Allocation Report, July 2022

Ms. Vasquez reported that RCOC had not received the planning allocation for fiscal year 2022-23. Once the allocation is received, RCOC will be able to determine if there is a projected sufficiency or deficiency in the allocation. The first Sufficiency of Allocation Report (SOAR) is due to DDS on December 10, 2022.

RCOC Budget and Finance Committee Minutes
September 1, 2022

3. Approval of Budget Amendment D-1, Fiscal Year 2022-23

Ms. Vasquez reported that RCOC had not received the D-1 planning allocation for fiscal year 2022-2023; however, she reiterated the importance of Board approval so that the contract could be executed upon receipt.

4. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective September 6, 2022

Ms. Vasquez reported that two titles were added to the salary schedule: Fair Hearings & Mediations Assistant Manager and Quality Assurance Supervisor; no titles were removed.

5. Other

Ms. Bette Baber summarized the funded status of RCOC's CalPERS plan.

M/S/C to approve the monthly SOARs, Budget Amendment D-1 and the CalPERS Requirement for a Publicly Available Pay Schedule.

The meeting adjourned at 4:25 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: November 3, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, August 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: October 26, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – August 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23 is due to DDS on December 10, 2022.

RCOC has had a surplus for the past two years and will likely have a surplus again this year. The allocation of \$616.3 million is significantly higher than the \$471.7 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume at the same level that they were prior to the pandemic and that we will see significant expenditure growth in day programs and transportation. Some vendors will receive a rate increase due to the minimum wage increase effective January 1, 2023. Under the Rate Model, vendor rates will increase another 25% effective January 1, 2023.

In fiscal year 2021-22, RCOC's caseload increased by 1,090 persons or 4.8%, the regional center system increased by 22,592 persons or 6.3%. In the first quarter of the fiscal year, RCOC's caseload increased by 245 persons for an annualized caseload growth of 4.1%; the regional center system increased by 5,421 persons for an annualized caseload growth of 5.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

Monthly Sufficiency of Allocation Report
As of August 31, 2022

		A	B	C	D	E	F	G	H
					SOAR	VARIANCE			
		D-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	
		ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	SPENT
			YEAR TO DATE	AT "RUN RATE"	(n/a)	YEAR TO DATE		MO. REPORTED	PRIOR YEAR
PURCHASE OF SERVICE									
(1)	Licensed Residential Care	\$201,021,390	\$27,703,292	\$167,289,204	\$0	n/a	n/a	n/a	\$155,989,697
(2)	Day Care	1,517,383	153,367	936,972	0	n/a	n/a	n/a	1,476,590
(3)	Day Training	77,060,248	9,735,552	58,413,312	0	n/a	n/a	n/a	57,363,279
(4)	Habilitation	11,028,158	1,369,088	8,214,528	0	n/a	n/a	n/a	8,209,126
(5)	Transportation	12,640,564	923,509	5,541,054	0	n/a	n/a	n/a	6,864,453
(6)	Respite	49,772,721	4,016,631	31,689,602	0	n/a	n/a	n/a	40,827,275
(7)	Supported Living	63,234,474	7,890,975	47,345,850	0	n/a	n/a	n/a	47,971,081
(8)	Non-medical	21,741,156	3,159,553	18,957,318	0	n/a	n/a	n/a	17,687,310
(9)	Medical	7,933,148	1,211,520	7,269,120	0	n/a	n/a	n/a	6,603,868
(10)	Other	127,168,865	14,351,400	87,375,849	0	n/a	n/a	n/a	96,487,726
(11)	Early Start (Age 0-3)	43,083,317	5,631,474	33,788,844	0	n/a	n/a	n/a	32,186,271
(12)	Community Placement Plan	100,000	0		0	n/a	n/a	n/a	
(13)	Purchase of Service Total	616,301,424	76,146,361	466,821,654	0	0%	0	0	471,666,676
OPERATIONS									
(14)	Operating Expense (Gross)	8,750,000	2,053,002	12,318,011	8,750,000	0%	0	0	6,475,130
(15)	Less Interest Income and SPA Fees	-150,000	-54,906	-329,434	-150,000	0%	0	0	-156,236
(16)	Operating Expense (Net)	8,600,000	1,998,096	11,988,578	8,600,000	0%	0	0	6,318,894
(17)	Personal Services	55,046,962	6,502,791	39,016,749	55,046,962	0%	0	0	38,033,273
(18)	Family Resource Center/Services	269,299	27,479	164,873	269,299	0%	0	0	229,139
(19)	Operations Total	63,916,261	8,528,367	51,170,199	63,916,261	0%	0	0	44,581,306
(20)	Total	\$680,217,685	\$84,674,728	\$517,991,853	\$63,916,261	0%	\$0	\$0	\$516,247,982

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Operating Expense appears to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF AUGUST 31, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	60,236,272.65	\$181,455.87
Savings	30,697.86	
Money market	0.00	
Payroll	341,190.67	
Donations	203,621.03	
Unemployment	836,969.33	
Certificate of deposit	0.00	
	<hr/>	<hr/>
Total current assets	61,649,051.54	181,455.87
	<hr/>	<hr/>
RECEIVABLES		
State claim	67,064,216.99	
Client support revenue	50,371.85	5,129.51
Due from State - prior years	158,844,010.62	
Due from ICF - ICF Supplemental Services	8,634,022.15	
	<hr/>	<hr/>
Total receivables	234,592,621.61	5,129.51
	<hr/>	<hr/>
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	<hr/>	<hr/>
Total prepaid items	293,582.86	0.00
	<hr/>	<hr/>
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	<hr/>	<hr/>
Total other assets	366,777.74	0.00
	<hr/>	<hr/>
TOTAL ASSETS	\$296,902,033.75	\$186,585.38
	<hr/>	<hr/>
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$26,416,315.08	\$50,371.85
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	269,392,605.74	
Unemployment insurance	836,969.33	
	<hr/>	<hr/>
Total liabilities	296,698,412.72	50,371.85
	<hr/>	<hr/>
FUND BALANCES		
General		
Donations	203,621.03	
Custodial		136,213.53
	<hr/>	<hr/>
TOTAL LIABILITIES AND FUND BALANCES	\$296,902,033.75	\$186,585.38
	<hr/>	<hr/>

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
AUGUST 31, 2022

Beginning Balance		\$203,021.03
Donations	\$0.00	
Loan Payments	600.00	
Interest	0.00	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>600.00</u>
Ending Balance		<u><u>\$203,621.03</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: November 3, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, September 2022**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: October 26, 2022

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – September 2022 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2022-23 is due to DDS on December 10, 2022.

RCOC has had a surplus for the past two years and will likely have a surplus again this year. The allocation of \$616.3 million is significantly higher than the \$471.7 million that RCOC expended last year. At this time, when many vendors are unable to compete with companies that pay their employees more, it does not seem probable that traditional services will resume at the same level that they were prior to the pandemic and that we will see significant expenditure growth in day programs and transportation. Some vendors will receive a rate increase due to the minimum wage increase effective January 1, 2023. Under the Rate Model, vendor rates will increase another 25% effective January 1, 2023.

In fiscal year 2021-22, RCOC's caseload increased by 1,090 persons or 4.8%, the regional center system increased by 22,592 persons or 6.3%. In the first quarter of the fiscal year, RCOC's caseload increased by 245 persons for an annualized caseload growth of 4.1%; the regional center system increased by 5,421 persons for an annualized caseload growth of 5.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

**Monthly Sufficiency of Allocation Report
As of September 30, 2022**

	A	B	C	D	E	F	G	H
				SOAR		VARIANCE		
	D-1	ACTUAL	PROJECTED	PROJECTED	(column A-D)/A	(column A-D)	CHANGE	SPENT
PURCHASE OF SERVICE	ALLOCATION	SPENT	EXPENDITURES	EXPENDITURES	%	AMOUNT	FROM PRIOR	PRIOR YEAR
		YEAR TO DATE	AT "RUN RATE"	(n/a)	YEAR TO DATE	YEAR TO DATE	MO. REPORTED	
(1) Licensed Residential Care	\$201,021,390	\$39,105,633	\$166,530,166	\$0	n/a	n/a	n/a	\$155,989,697
(2) Day Care	1,517,383	209,275	924,623	0	n/a	n/a	n/a	1,476,590
(3) Day Training	77,060,248	13,501,667	58,754,732	0	n/a	n/a	n/a	57,363,279
(4) Habilitation	11,028,158	1,513,669	8,249,430	0	n/a	n/a	n/a	8,209,126
(5) Transportation	12,640,564	1,022,627	5,588,231	0	n/a	n/a	n/a	6,864,453
(6) Respite	49,772,721	4,017,564	31,696,963	0	n/a	n/a	n/a	40,827,275
(7) Supported Living	63,234,474	11,483,304	47,510,669	0	n/a	n/a	n/a	47,971,081
(8) Non-medical	21,741,156	3,807,398	17,537,741	0	n/a	n/a	n/a	17,687,310
(9) Medical	7,933,148	1,720,621	7,449,028	0	n/a	n/a	n/a	6,603,868
(10) Other	127,168,865	14,565,566	85,837,757	0	n/a	n/a	n/a	96,487,726
(11) Early Start (Age 0-3)	43,083,317	7,799,326	34,354,467	0	n/a	n/a	n/a	32,186,271
(12) Community Placement Plan	100,000	0		0	n/a	n/a	n/a	
(13) Purchase of Service Total	616,301,424	98,746,650	464,433,807	0	0%	0	0	471,666,676
OPERATIONS								
(14) Operating Expense (Gross)	8,750,000	2,537,024	10,148,097	8,750,000	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-97,890	-391,559	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	8,600,000	2,439,134	9,756,538	8,600,000	0%	0	0	6,318,894
(17) Personal Services	55,046,962	9,629,547	38,518,189	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	40,299	161,197	269,299	0%	0	0	229,139
(19) Operations Total	63,916,261	12,108,981	48,435,923	63,916,261	0%	0	0	44,581,306
(20) Total	\$680,217,685	\$110,855,631	\$512,869,730	\$63,916,261	0%	\$0	\$0	\$516,247,982

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

*** Operating Expense appears to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF SEPTEMBER 30, 2022

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	48,981,624.47	\$168,739.81
Savings	74,307.93	
Money market	0.00	
Payroll	344,196.76	
Donations	206,168.36	
Unemployment	837,003.71	
Certificate of deposit	0.00	
	<hr/>	<hr/>
Total current assets	50,443,601.23	168,739.81
	<hr/>	<hr/>
RECEIVABLES		
State claim	82,956,595.11	
Client support revenue	35,961.94	5,129.51
Due from State - prior years	10,930,479.38	
Due from ICF - ICF Supplemental Services	9,357,843.19	
	<hr/>	<hr/>
Total receivables	103,280,879.62	5,129.51
	<hr/>	<hr/>
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	<hr/>	<hr/>
Total prepaid items	293,582.86	0.00
	<hr/>	<hr/>
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	<hr/>	<hr/>
Total other assets	366,777.74	0.00
	<hr/>	<hr/>
TOTAL ASSETS	<u>\$154,384,841.45</u>	<u>\$173,869.32</u>
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$26,865,932.60	\$35,961.94
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	126,423,214.21	
Unemployment insurance	837,003.71	
	<hr/>	<hr/>
Total liabilities	154,178,673.09	35,961.94
	<hr/>	<hr/>
FUND BALANCES		
General		
Donations	206,168.36	
Custodial		137,907.38
	<hr/>	<hr/>
TOTAL LIABILITIES AND FUND BALANCES	<u>\$154,384,841.45</u>	<u>\$173,869.32</u>

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
SEPTEMBER 30, 2022

Beginning Balance		\$203,621.03
Donations:		
Rivero, Eric D.	\$2,000.00	
Loan Payments	505.00	
Interest	42.33	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>2,547.33</u>
Ending Balance		<u><u>\$206,168.36</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: November 3, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 26, 2022**

BACKGROUND:

Since August 10, 2011, the California Code of Regulations, Title 2, Section 570.5, has required California Public Employees' Retirement System's (CalPERS's) employers to have pay rates approved by the employer's governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on September 1, 2022.

REASON FOR CURRENT ITEM:

The attached salary schedule reflects a 3.33% increase in most salary ranges. This increase is the same as the 3.33% increase in the California minimum wage from \$15.00 to \$15.50 per hour effective January 1, 2023, which increases the minimum exempt salary from \$62,400 to \$64,480. Due to the compression created by five years of minimum exempt salary increases, RCOC is increasing the salary range for Lead Service Coordinators by 6.67%, the salary range for Area Supervisors by 10.00%, the maximum salary for the Clerk to the Board and Chief Counselor's ranges by 10.00%.

FISCAL IMPACT:

In fiscal year 2022-23approximately \$588,051 plus benefits

RECOMMENDATION:

That the Board approve the salary schedule for RCOC's employees effective December 26, 2022.

Agenda Item III.D.-.2.c.

					Increase in Range		
		Minimum	Midpoint	Maximum	Comments	Minimum	Maximum
EXECUTIVE DIRECTOR	HR	104.37	116.29	128.22		3.33%	3.33%
	BW	8,349.25	9,303.54	10,257.84			
	MO	18,090.04	20,157.68	22,225.32			
	YR	217,080.46	241,892.16	266,703.86			
MEDICAL DIRECTOR PHYSICIAN	HR	72.39	93.21	114.03		3.33%	3.33%
	BW	5,791.59	7,457.10	9,122.61			
	MO	12,548.45	16,157.05	19,765.65			
	YR	150,581.44	193,884.65	237,187.85			
CHIEF FINANCIAL OFFICER GENERAL COUNSEL	HR	72.39	88.67	104.95		3.33%	3.33%
	BW	5,791.59	7,093.64	8,395.69			
	MO	12,548.45	15,369.56	18,190.67			
	YR	150,581.44	184,434.75	218,288.05			
CONSULTING PHARMACIST DIRECTOR: Case Management, Community Services, Clinical, Finance, HR, IT Services and Supports	HR	61.53	72.48	83.43		3.33%	3.33%
	BW	4,922.71	5,798.70	6,674.69			
	MO	10,665.87	12,563.84	14,461.82			
	YR	127,990.38	150,766.11	173,541.83			
MANAGER, AREA ASSOCIATE DIRECTOR: Finance, Housing, IT, Risk Management	HR	46.14	53.78	61.43		3.33%	3.33%
	BW	3,691.13	4,302.63	4,914.12			
	MO	7,997.46	9,322.36	10,647.27			
	YR	95,969.49	111,868.35	127,767.21			
MANAGER: Nursing PSYCHOLOGIST, THERAPIST: Occupational, Physical Speech	HR	42.95	50.60	58.24		3.33%	3.33%
	BW	3,436.19	4,047.65	4,659.11			
	MO	7,445.07	8,769.90	10,094.73			
	YR	89,340.82	105,238.80	121,136.78			
CHIEF COUNSELOR, CONTROLLER ADMINISTRATOR, MANAGER: Custodian of Records, Employment, Fair HR, Risk, Safety Net and Resource Development	HR	37.37	45.01	52.65		3.33%	10.00%
	BW	2,989.48	3,600.88	4,212.29			
	MO	6,477.20	7,801.91	9,126.63			
	YR	77,726.43	93,622.97	109,519.51			
CLERK TO THE BOARD, NURSE CONSULT. MANAGER: Family Support/Comm. Outreach COORDINATOR: PCT, Self-Determination SPECIALIST: Federal Programs and Benefits	HR	38.89	45.26	51.62		3.33%	10.00%
	BW	3,111.58	3,620.53	4,129.48			
	MO	6,741.76	7,844.48	8,947.20			
	YR	80,901.12	94,133.74	107,366.36			
BCBA Masters	HR	36.79	41.34	45.89		3.33%	3.33%
	BW	2,943.13	3,307.26	3,671.39			
	MO	6,376.77	7,165.72	7,954.67			
	YR	76,521.29	85,988.66	95,456.03			
AREA and QA SUPERVISOR, RESOURCE GROUP LEADER, TRAINING PROGRAMMER ANALYST, MANAGER Accounting and IT, ASST. MAN. Fair Hearings	HR	33.00	41.56	50.12		10.00%	10.00%
	BW	2,640.00	3,324.88	4,009.75			
	MO	5,720.00	7,203.90	8,687.80			
	YR	68,640.00	86,446.78	104,253.57			
COORDINATOR: Lead Service Federal Programs and Benefits Deaf and Hard of Hearing Coordinator	HR	32.00	38.70	45.50		6.67%	6.67%
	BW	2,560.00	3,096.02	3,640.09			
	MO	5,546.67	6,708.05	7,886.87			
	YR	66,560.00	80,496.55	94,642.40			
SERVICE COORDINATOR, HCBS COOR. ACCOUNTANT, ACCOUNTING SUP. CULTURAL SPECIALIST/COMM. OUT. EXECUTIVE LIAISON; HR SPECIALIST	HR	31.00	36.00	41.00	Minimum exempt salary will increase from \$62,400 to \$64,480 effective 1/1/2023	3.33%	3.33%
	BW	2,480.00	2,880.02	3,280.04			
	MO	5,373.33	6,240.04	7,106.75			
	YR	64,480.00	74,880.51	85,281.03			
ASSOCIATE PROGRAMMER ANALYST COORDINATOR: Emergency, IT, Quality Assurance, Systems	HR	25.86	31.16	36.46		3.33%	3.33%
	BW	2,068.60	2,492.87	2,917.13			
	MO	4,481.97	5,401.21	6,320.46			
	YR	53,783.68	64,814.58	75,845.48			
COORDINATOR: Fiscal, SIR, Vendor SPECIALIST: HRG, Intake Community Navigator	HR	24.74	27.37	29.99		3.33%	3.33%
	BW	1,979.22	2,189.28	2,399.34			
	MO	4,288.31	4,743.44	5,198.56			
	YR	51,459.70	56,921.23	62,382.77			
COORDINATOR: Community Resources, Fair Hearing, Imaging, Operations, Payables Support Services SPECIALIST: IT	HR	23.02	24.96	26.89		3.33%	3.33%
	BW	1,841.48	1,996.49	2,151.50			
	MO	3,989.88	4,325.74	4,661.59			
	YR	47,878.59	51,908.84	55,939.09			
TECHNICIAN: Accounting, Area, IT, Intake Scanning, Technical Assistant, Receptionist Account Clerk	HR	18.95	21.67	24.40		3.33%	3.33%
	BW	1,515.66	1,733.78	1,951.90			
	MO	3,283.94	3,756.53	4,229.12			
	YR	39,407.27	45,078.33	50,749.39			
PEER ADVOCATE Office Aide	HR	15.50	16.05	16.61	Minimum hourly wage will increase from \$15.00 to \$15.50 effective 1/1/2023	3.33%	3.33%
	BW	1,240.00	1,284.25	1,328.49			
	MO	2,686.67	2,782.53	2,878.40			
	YR	32,240.00	33,390.41	34,540.82			

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: November 3, 2022

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Independent Accounting Firm for the Year Ended June 30, 2022**

BACKGROUND:

Audited financial statements are required in California's Welfare and Institutions Code (WIC) Section 4639, and Article III, section 8 of RCOC's contract with the Department of Developmental Services. The audit shall not be completed by the same accounting firm more than five times in every 10 years.

REASON FOR CURRENT ITEM:

When the WIC requirement changed for fiscal year 2011-12 and subsequent years, RCOC contracted with Windes and they conducted the audits for five years. RCOC then contracted with Crowe for one year and Marcum for the following four years. RCOC may return to Windes. Windes has decades of audit experience with regional centers; it has audited 16 of the 21 regional centers. Windes regional center audits for fiscal year 2021-22 include Central Valley, Lanterman, North Bay, San Diego, Tri-Counties and Valley Mountain. Staff have found Windes' auditors knowledge about the regional center system very helpful in preparing and and completing the audit.

FISCAL IMPACT:

Audit of the financial statements, with the audit of federal awards, \$57,000
Audit of the financial statements, without the audit of federal awards, \$48,000

RECOMMENDATION:

That the Board approve Windes as its independent accounting firm for the year ended June 30, 2022.

Agenda Item III.D.-2.d.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: Board of Directors

FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of the 2023-24 Performance Contract**

BACKGROUND:

The Welfare and Institutions Code §4629, requires the development of an annual Performance Contract between the Department of Developmental Services (DDS) and regional centers.

On October 10, 2022, DDS issued new Performance Contract guidelines and regional center performance measures. DDS is transitioning from a calendar year (CY) to a fiscal year (FY) format for the annual performance contract starting in 2023. During this first year of transition from CY to FY, regional centers will be required to submit a performance contract that covers an 18-month period from January 1, 2023 through June 30, 2024. Following this transition period, performance contracts will return to a 12-month period, based on FY. RCOC must submit its 18-month 2023-24 Performance Contract to DDS on December 15, 2022. There are no differences, other than the term, between the 12-month version and the 18-month version that RCOC will submit on December 15, 2022; and, DDS informed us that RCOC is not required to include performance measures.

REASON FOR CURRENT ITEM:

Each regional center's Performance Contract must be approved by the center's Board of Directors prior to submission to DDS (see attachment).

FISCAL IMPACT:

To the extent that a proposed contract objective requires additional Operations or Purchase of Service funds, RCOC must reallocate resources within its existing budget. The Department does not allocate additional funds when Performance Contract objectives are met.

RECOMMENDATION:

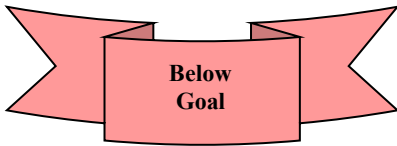
That the Board approve the 2023-24 Performance Contract as presented.

AGENDA ITEM: III.E.2.

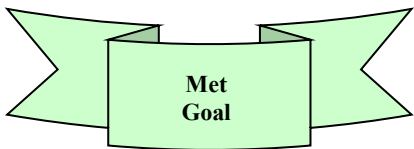
Performance Contract 2023-2024 Cover Sheet



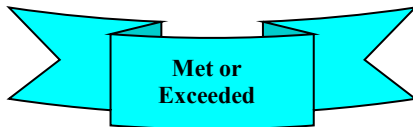
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2023-2024

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.65
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2023-2024

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 10/01/22	0.04%	24,161	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-23		0			
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023-2024

II. Children Residing with Families (Child is defined as under 18 years of age)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and thier caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.66%	197,711	5,256	Goal	%	# Attained
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 10/01/22	2.63%	11,359	299	315	2.63	-16
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-23		315			
Feb-23		315			
Mar-23		315			
Apr-23		315			
May-23		315			
Jun-23		315			
Jul-23		315			
Aug-23		315			
Sep-23		315			
Oct-23		315			
Nov-23		315			
Dec-23		315			
Jan-24		315			
Feb-24		315			
Mar-24		315			
Apr-24		315			
May-24		315			
Jun-24		315			

Below Goal

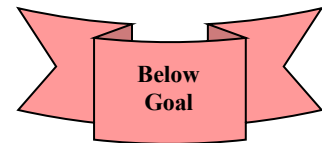
Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 10/01/22	97.01%	11,359	11,019	11,300	97.01%	-281
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-23		11,300		
Feb-23		11,300		
Mar-23		11,300		
Apr-23		11,300		
May-23		11,300		
Jun-23		11,300		
Jul-23		11,300		
Aug-23		11,300		
Sep-23		11,300		
Oct-23		11,300		
Nov-23		11,300		
Dec-23		11,300		
Jan-24		11,300		
Feb-24		11,300		
Mar-24		11,300		
Apr-24		11,300		
May-24		11,300		
Jun-24		11,300		



Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	Goal	%	# Attained
RCOC 10/01/22	99.64%	11,359	11,318	11,615	99.64%	-297
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		94.62%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-23		11,615			
Feb-23		11,615			
Mar-23		11,615			
Apr-23		11,615			
May-23		11,615			
Jun-23		11,615			
Jul-23		11,615			
Aug-23		11,615			
Sep-23		11,615			
Oct-23		11,615			
Nov-23		11,615			
Dec-23		11,615			
Jan-24		11,615			
Feb-24		11,615			
Mar-24		11,615			
Apr-24		11,615			
May-24		11,615			
Jun-24		11,615			

Below Goal

Performance Contract 2023-2024

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- | | | | | | | |
|--|--|--|--|--|--|--|
| | | | | | | |
|--|--|--|--|--|--|--|
- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
 - RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
 - RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
 - RCOC will review and revise services, e.g. respite and family support.
 - RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	Goal	%	# Attained
RCOC 10/01/22	0.74%	12,793	95	110	0.74%	-15
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%

	Total Adults Status 2	Goal	Adults in FHA	%	Num Attained
Jan-23		110			
Feb-23		110			
Mar-23		110			
Apr-23		110			
May-23		110			
Jun-23		110			
Jul-23		110			
Aug-23		110			
Sep-23		110			
Oct-23		110			
Nov-23		110			
Dec-23		110			
Jan-24		110			
Feb-24		110			
Mar-24		110			
Apr-24		110			
May-24		110			
Jun-24		110			

Below Goal



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	Goal	%	# Attained
RCOC 10/01/22	7.13%	12,793	912	924	7.13%	-12
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-23		924			
Feb-23		924			
Mar-23		924			
Apr-23		924			
May-23		924			
Jun-23		924			
Jul-23		924			
Aug-23		924			
Sep-23		924			
Oct-23		924			
Nov-23		924			
Dec-23		924			
Jan-24		924			
Feb-24		924			
Mar-24		924			
Apr-24		924			
May-24		924			
Jun-24		924			

Below

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent			
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	Goal	%	# Attained
RCOC 10/01/22	69.26%	12,793	8,861	9,150	69.26%	-289
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-23		9,150			
Feb-23		9,150			
Mar-23		9,150			
Apr-23		9,150			
May-23		9,150			
Jun-23		9,150			
Jul-23		9,150			
Aug-23		9,150			
Sep-23		9,150			
Oct-23		9,150			
Nov-23		9,150			
Dec-23		9,150			
Jan-24		9,150			
Feb-24		9,150			
Mar-24		9,150			
Apr-24		9,150			
May-24		9,150			
Jun-24		9,150			

Below

Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 10/01/22	3.89%	12,793	498	512	3.89%	-14
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-23		512			
Feb-23		512			
Mar-23		512			
Apr-23		512			
May-23		512			
Jun-23		512			
Jul-23		512			
Aug-23		512			
Sep-23		512			
Oct-23		512			
Nov-23		512			
Dec-23		512			
Jan-24		512			
Feb-24		512			
Mar-24		512			
Apr-24		512			
May-24		512			
Jun-24		512			

Below Goal

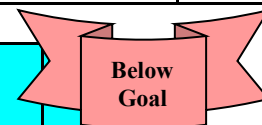


Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.97%	12,634	10,217	Goal	%	# Attained
RCOC 10/01/22	81.03%	12,793	10,366	10,696	81.03%	-330
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-23		10,696			
Feb-23		10,696			
Mar-23		10,696			
Apr-23		10,696			
May-23		10,696			
Jun-23		10,696			
Jul-23		10,696			
Aug-23		10,696			
Sep-23		10,696			
Oct-23		10,696			
Nov-23		10,696			
Dec-23		10,696			
Jan-24		10,696			
Feb-24		10,696			
Mar-24		10,696			
Apr-24		10,696			
May-24		10,696			
Jun-24		10,696			



Performance Contract 2023-2024

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-23					
Feb-23					
Mar-23					
Apr-23					
May-23					
Jun-23					
Jul-23					
Aug-23					
Sep-23					
Oct-23					
Nov-23					
Dec-23					
Jan-24					
Feb-24					
Mar-24					
Apr-24					
May-24					
Jun-24					



Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds			
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-23		0			
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



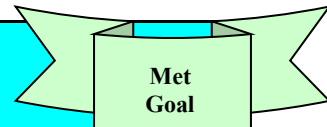
Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-23		0			
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds
(this is a total of sections A, B, and C above).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-23		0			
Feb-23		0			
Mar-23		0			
Apr-23		0			
May-23		0			
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023-2024

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 10/01/22	0.93%	12,793	119	114	0.93%	-5
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-23		114			
Feb-23		114			
Mar-23		114			
Apr-23		114			
May-23		114			
Jun-23		114			
Jul-23		114			
Aug-23		114			
Sep-23		114			
Oct-23		114			
Nov-23		114			
Dec-23		114			
Jan-24		114			
Feb-24		114			
Mar-24		114			
Apr-24		114			
May-24		114			
Jun-24		114			

Below Goal

Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds			
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12	Goal	%	# Attained
RCOC 10/01/22	0.11%	12,793	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-23		6			
Feb-23		6			
Mar-23		6			
Apr-23		6			
May-23		6			
Jun-23		6			
Jul-23		6			
Aug-23		6			
Sep-23		6			
Oct-23		6			
Nov-23		6			
Dec-23		6			
Jan-24		6			
Feb-24		6			
Mar-24		6			
Apr-24		6			
May-24		6			
Jun-24		6			

Below Goal

Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF			
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78	Goal	%	# Attained
RCOC 10/01/22	0.66%	12,793	85	74	0.66%	-11
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-23		74			
Feb-23		74			
Mar-23		74			
Apr-23		74			
May-23		74			
Jun-23		74			
Jul-23		74			
Aug-23		74			
Sep-23		74			
Oct-23		74			
Nov-23		74			
Dec-23		74			
Jan-24		74			
Feb-24		74			
Mar-24		74			
Apr-24		74			
May-24		74			
Jun-24		74			

Below

Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 10/01/22	1.70%	12,793	218	194	1.70%	-24
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-23		194			
Feb-23		194			
Mar-23		194			
Apr-23		194			
May-23		194			
Jun-23		194			
Jul-23		194			
Aug-23		194			
Sep-23		194			
Oct-23		194			
Nov-23		194			
Dec-23		194			
Jan-24		194			
Feb-24		194			
Mar-24		194			
Apr-24		194			
May-24		194			
Jun-24		194			

Below Goal

Performance Contract 2023-2024

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days		
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222	Goal	% Attained
RCOC 10/01/22	98.90%	272	269	100.00%	98.90%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-23		100%		
Feb-23		100%		
Mar-23		100%		
Apr-23		100%		
May-23		100%		
Jun-23		100%		
Jul-23		100%		
Aug-23		100%		
Sep-23		100%		
Oct-23		100%		
Nov-23		100%		
Dec-23		100%		
Jan-24		100%		
Feb-24		100%		
Mar-24		100%		
Apr-24		100%		
May-24		100%		
Jun-24		100%		



Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	3.83%	9,095	348	
RCOC Public Hearing 8/17/22	2.00%	226	4	Goal
RCOC 10/01/22	0.74%	272	2	% Attained
				0.00%
				0.74%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jan-23		0.00%		
Feb-23		0.00%		
Mar-23		0.00%		
Apr-23		0.00%		
May-23		0.00%		
Jun-23		0.00%		
Jul-23		0.00%		
Aug-23		0.00%		
Sep-23		0.00%		
Oct-23		0.00%		
Nov-23		0.00%		
Dec-23		0.00%		
Jan-24		0.00%		
Feb-24		0.00%		
Mar-24		0.00%		
Apr-24		0.00%		
May-24		0.00%		
Jun-24		0.00%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0	Goal	% Attained
RCOC 10/01/22	0.37%	272	1	0.00%	0.37%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-23		0%		
Feb-23		0%		
Mar-23		0%		
Apr-23		0%		
May-23		0%		
Jun-23		0%		
Jul-23		0%		
Aug-23		0%		
Sep-23		0%		
Oct-23		0%		
Nov-23		0%		
Dec-23		0%		
Jan-24		0%		
Feb-24		0%		
Mar-24		0%		
Apr-24		0%		
May-24		0%		
Jun-24		0%		



Performance Contract 2023-2024

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

Performance Contract 2023-2024

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

Performance Contract 2023-2024

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity:
Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: RCOC Board of Directors

FROM: Larry Landauer, Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP No. 2021-4)**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of an Enhanced Behavioral Supports Home (EBSH). This facility will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property renovation agreement for \$350,000 with non-profit housing corporation Brilliant Corners on June 3, 2021. The property where the EBSH will be located has been acquired by Brilliant Corners. Due to rising construction costs, DDS will allocate additional funding to complete the renovations that the home requires.

REASON FOR CURRENT ITEM:

DDS has approved an additional \$113,560 in RCOC's CRDP funding for the renovation costs.

FISCAL IMPACT:

DDS has allocated \$38,000 (transferred from the acquisition allocation) and will allocate an additional \$75,560 in RCOC's CRDP funding, for a total increase of \$113,560 in renovation costs.

The total DDS allocation for renovation costs will be \$463,560.

RECOMMENDATION:

That the Board approve the Amendment to the Property Renovation Agreement as presented.

AMENDMENT NO. 1 TO AGREEMENT BY AND BETWEEN
REGIONAL CENTER OF ORANGE COUNTY
AND BRILLIANT CORNERS
FOR DEVELOPMENT OF HOUSING
RCOC CRDP PROJECT NO. 2021-4

This Amendment No. 1 to Agreement (Amendment) is entered into and effective this ____ day of _____, 2022, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as “RCOC,” and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as “NPO.”

RECITALS

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for an Enhanced Behavioral Supports Home (EBSH) to serve regional center persons served; and

B. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase in the CRDP funds allocated for renovation costs from \$350,000 to \$463,560; and

C. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

“9. **MAXIMUM PAYMENT OBLIGATION.** The “Maximum Payment Obligation” of RCOC to NPO under this Agreement shall be Four Hundred Sixty Three Thousand Five Hundred Sixty Dollars (\$463,560) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$463,560, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$463,560, RCOC shall retain the remaining CRDP Funds to be returned to DDS.”

2. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

REGIONAL CENTER OF ORANGE COUNTY

Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

Name/Title: _____

Summary of Information About Persons Served - August 2022

NUMBER OF PERSONS SERVED	24,073	100%
Children - Birth to Age Three Receiving Early Start Services	3,689	15%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,139	30%
Adults - Ages 18 and Older Receiving Lanterman Services	12,785	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	498
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,611	60%
Epilepsy	2,885	14%
Cerebral Palsy	2,561	13%
Autism	9,007	44%
Fifth Category*	1,763	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	452
Early Start / Under Age Three / 45 days to complete determination	382
Lanterman / Over Age Three / 120 days to complete determination	66
Provisional / Up to Age Five / 90 days to complete determination	4

NUMBER OF PERSONS DETERMINED ELIGIBLE	102
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	28
• Number of children who received Early Start services	46
• Number of children who received Early Start services and had a diagnosis of autism	28
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	153
Children - Age Three No Longer Eligible for Early Start Services	152
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	1

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

AUGUST 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42				
Unannounced	42	43				
Total Number of Reviews	81	85	0	0	0	0

Provider Trainings	0	0				
Technical Support	161	164				
Corrective Action Plans	1	5				
Special Incident Investigations*	9	20				
COVID-19 Checklist	0	0				

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							81
Unannounced							85
Total Number of Reviews	0	0	0	0	0	0	166

Provider Trainings							0
Technical Support							325
Corrective Action Plans							6
Special Incident Investigations*							29

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

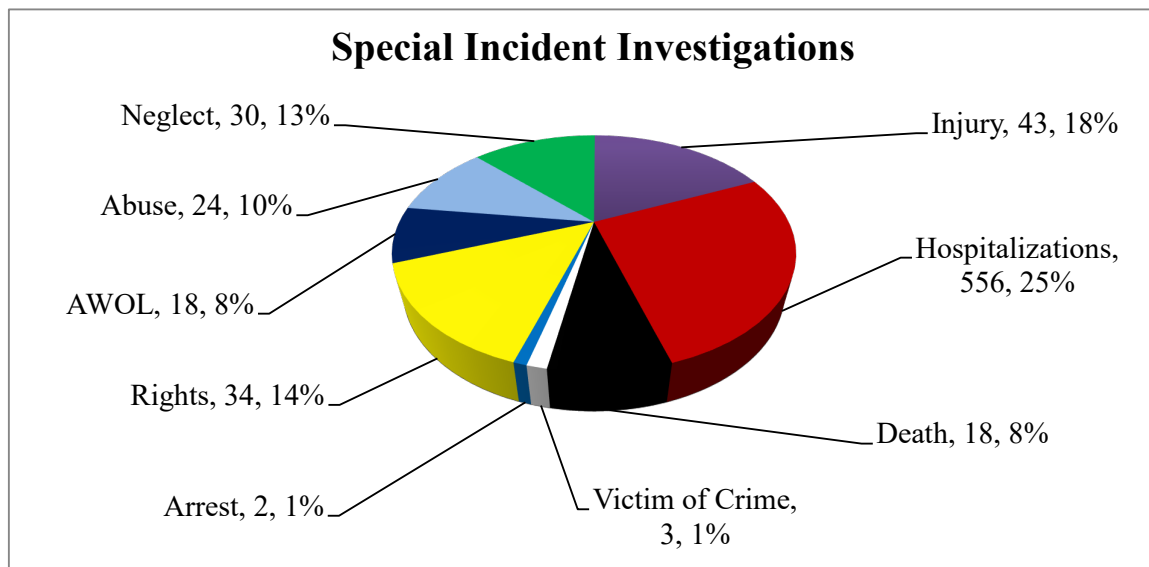
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9				
Abuse	8	16				
Neglect	14	16				
Injury	18	25				
Hospitalizations - Total	34	29				
<i>Psychiatric</i>	4	3				
<i>Medical</i>	30	26				
Death	7	11				
Victim of crime	2	1				
Arrest	2	0				
Rights	27	7				
Total	121	114	0	0	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							18
Abuse							24
Neglect							30
Injury							43
Hospitalizations - Total	0	0	0	0	0	0	63
<i>Psychiatric</i>							7
<i>Medical</i>							56
Death							18
Victim of Crime							3
Arrest							2
Rights							34
Total	0	0	0	0	0	0	235



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1				
Staffing	0	0				
Level 4I Consultant	0	0				
P&I (consumer funds)	0	0				
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0				
Recovery	0	0				

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68				
Diapers - Family Member	7	6				
Nursing Service - Family Member	62	59				
Respite Service - Family Member	595	616				
Transportation - Family Member	150	152				
Total Number of Voucher Authorizations	882	901	0	0	0	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2022-23

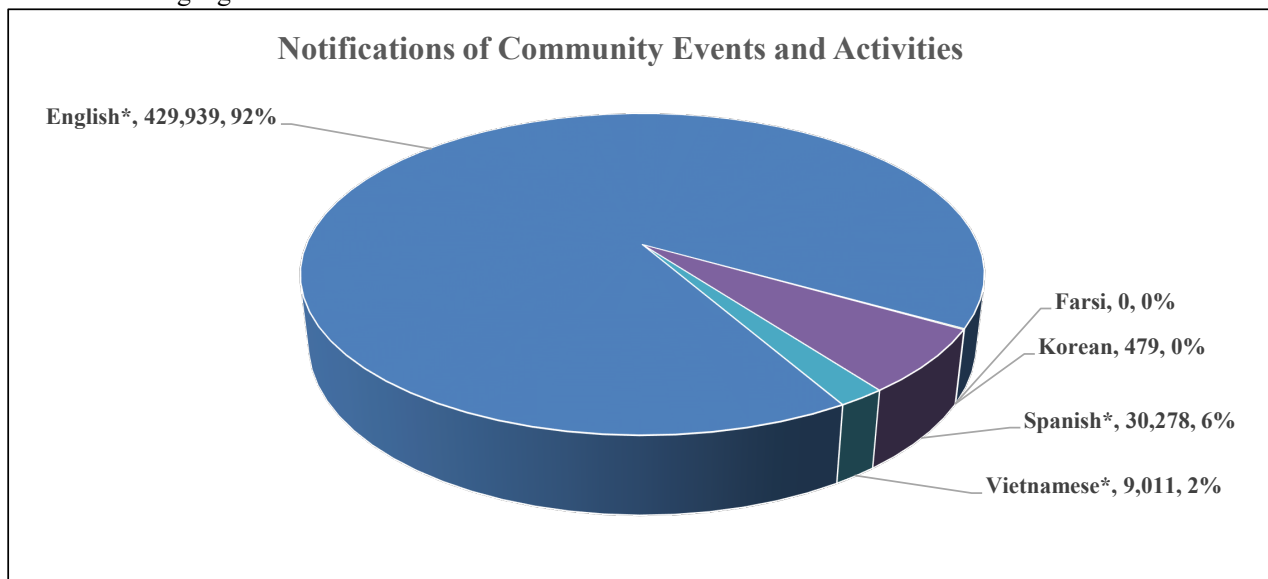
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167				
Farsi						
Korean		479				
Spanish*	10,805	19,473				
Vietnamese*	6,593	2,418				
Total Number of Notifications	275,170	194,537	0	0	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							429,939
Farsi							0
Korean							479
Spanish*							30,278
Vietnamese*							9,011
Chinese							
Arabic							
Total Number of Notifications	0	0	0	0	0	0	469,707

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12				
Spanish	1	1				
Vietnamese		2				
Other Languages		1 (Korean)				
In Print						
English	3					
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese						
Other Languages						
Total Number of Outreach Events	5	16	0	0	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							12
Spanish							2
Vietnamese							2
Other Languages							1
In Print							
English							3
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							0
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	21

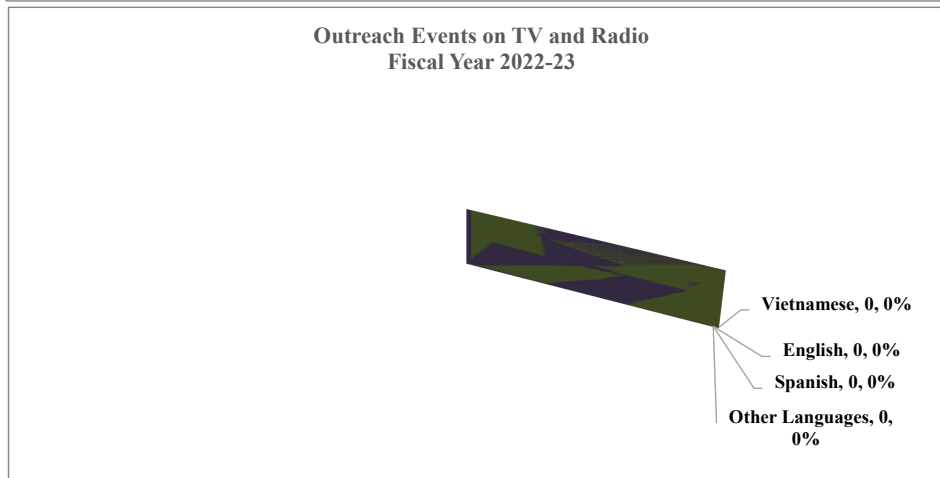
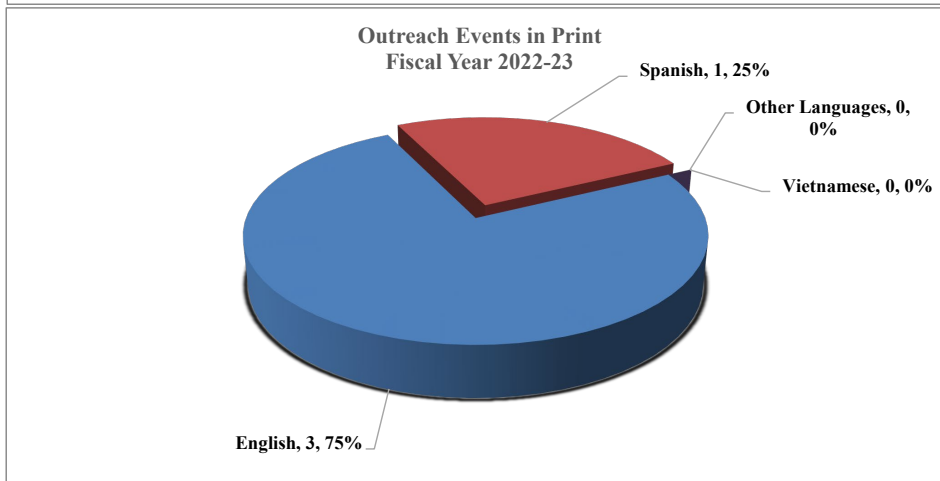
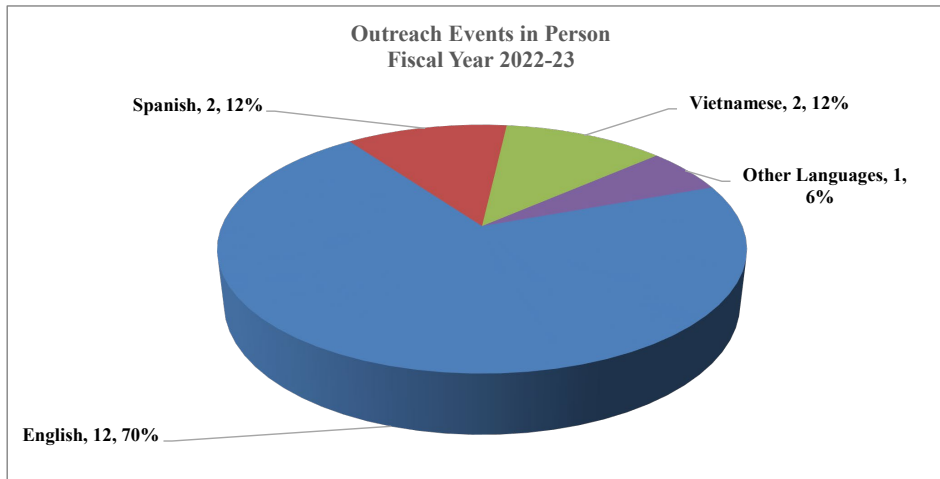
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

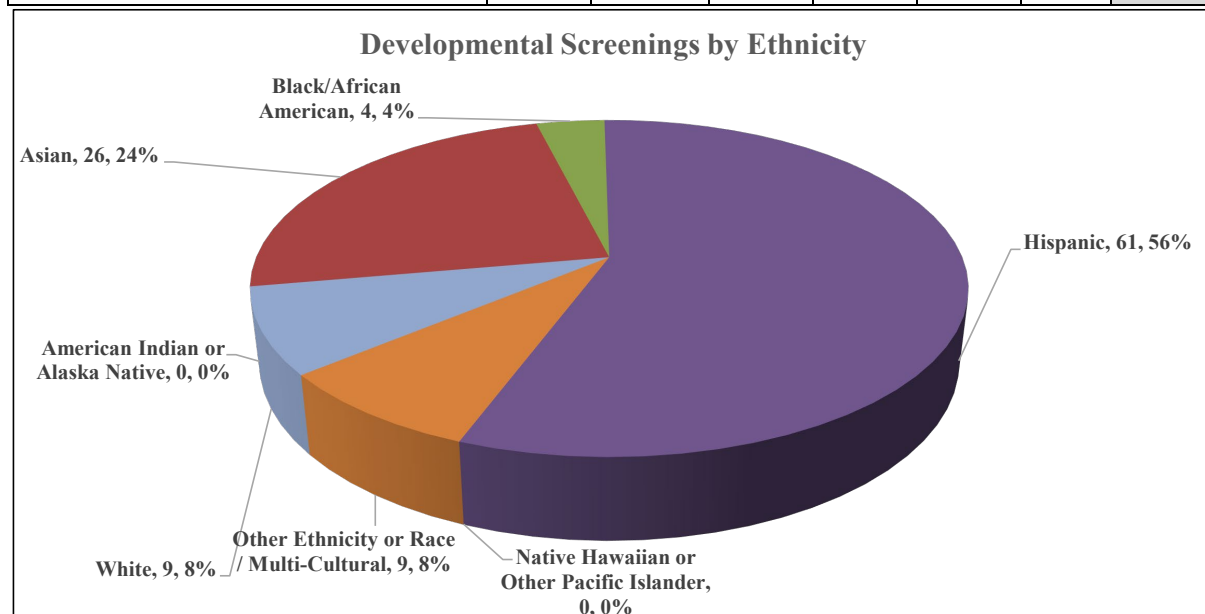
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0				
Asian	19	7				
Black/African American	3	1				
Hispanic	19	42				
Native Hawaiian or Other Pacific Islander	0	0				
Other Ethnicity or Race / Multi-Cultural	8	1				
White	5	4				
Total Number Screened	54	55	0	0	0	0
Total Number Referred to RCOC	18	25				

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							26
Black/African American							4
Hispanic							61
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							9
White							9
Total Number Screened	0	0	0	0	0	0	109
Total Number Referred to RCOC							43



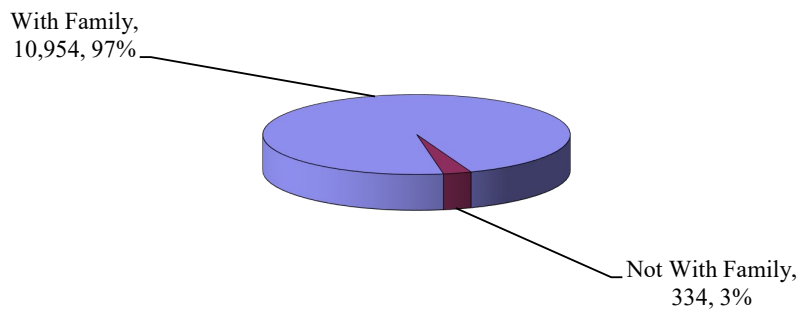
LIVING OPTIONS

Related Guiding Principles

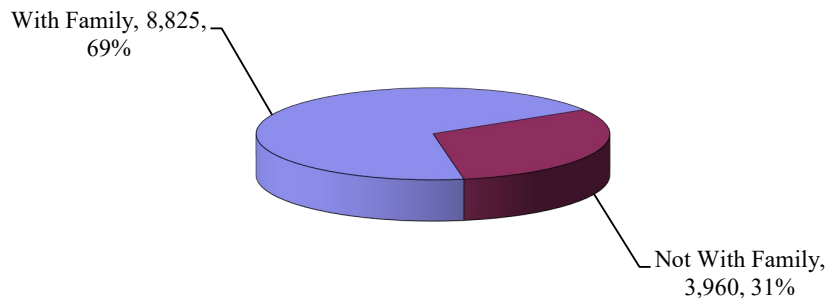
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	19,779	10,954	8,825
Not With Family	4,294	334	3,960
Totals	24,073	11,288	12,785

Where Persons Served Under 18 Live



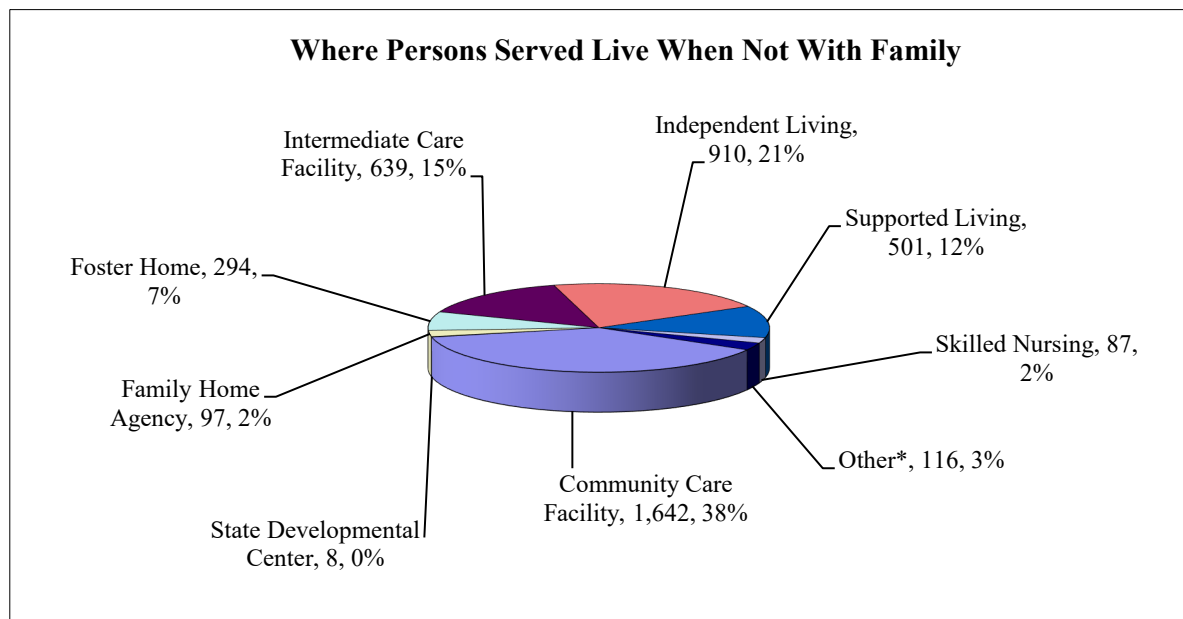
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,779	10,954	8,825
Community Care Facility	1,642	16	1,626
State Developmental Center	8	0	8
Family Home Agency	97	0	97
Foster Home	294	289	5
Intermediate Care Facility	639	4	635
Independent Living	910	0	910
Supported Living	501	0	501
Skilled Nursing	87	0	87
Other*	116	25	91
Total	24,073	11,288	12,785

Other*			
Acute General Hospital	3	0	3
California Youth Authority	1	1	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	0	3
Other	0	0	0
Out of State	3	1	2
Psychiatric Treatment	16	2	14
Rehabilitation Center	6	0	6
SDC / State Hospital	8	0	8
Sub-Acute	46	18	28
Transient / Homeless	24	1	23
Total, Other*	112	24	88



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

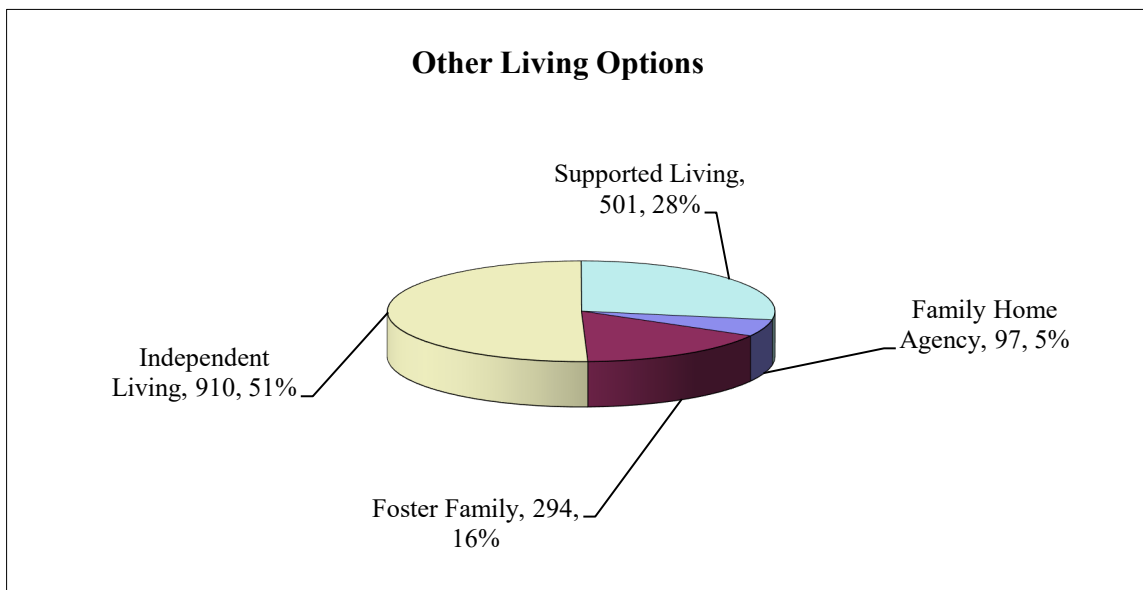
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	97	0	97
Foster Family	294	289	5
Independent Living	910	0	910
Supported Living	501	0	501
Total	1,802	289	1,513



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2022-23*

Licensed Facilities	Total	Over 18	Under 18
Level 2	204	204	0
Level 3	280	280	0
Level 4A	34	34	0
Level 4B	6	6	0
Level 4C	49	49	0
Level 4D	37	37	0
Level 4E	21	21	0
Level 4F	62	62	0
Level 4G	29	29	0
Level 4H	1	1	0
Level 4I	320	319	1
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	10	10	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,056	1,055	1

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	204	204	0
Level 3	280	280	0
Level 4	559	558	1
ICF/DD-H	2	2	0
ICF/DD-N	10	10	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,056	1,055	1

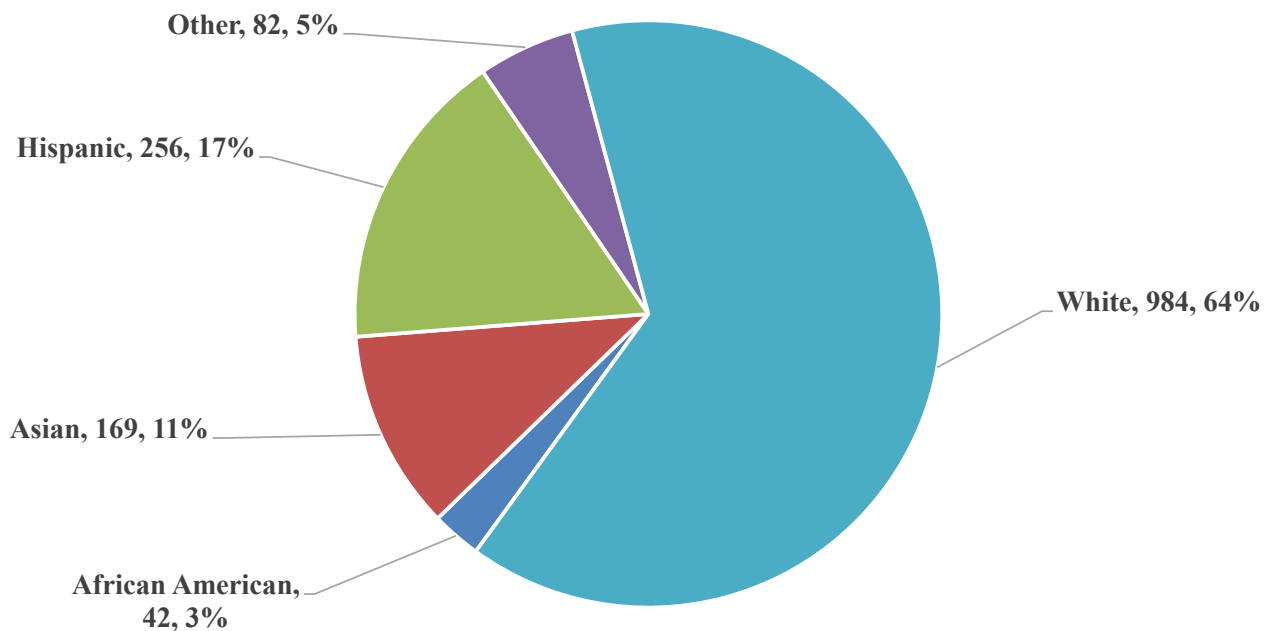
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

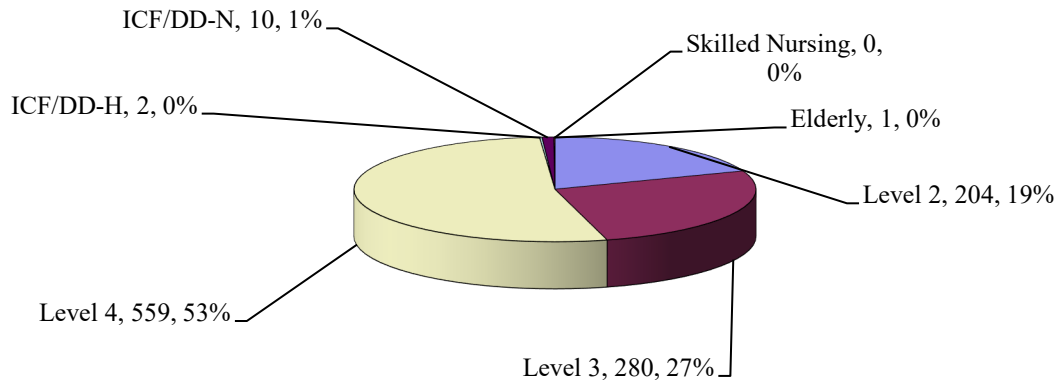
Fiscal Year 2022-23

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	25	53	11	217	316
Level 3	10	29	65	21	247	372
Level 4A	1	5	6	2	24	38
Level 4B	0	1	0	0	9	10
Level 4C	2	10	9	1	56	78
Level 4D	0	9	6	2	29	46
Level 4E	0	3	9	3	21	36
Level 4F	3	14	10	2	41	70
Level 4G	0	7	4	2	35	48
Level 4H	0	0	2	0	1	3
Level 4I	16	66	92	38	304	516
Total	42	169	256	82	984	1,533

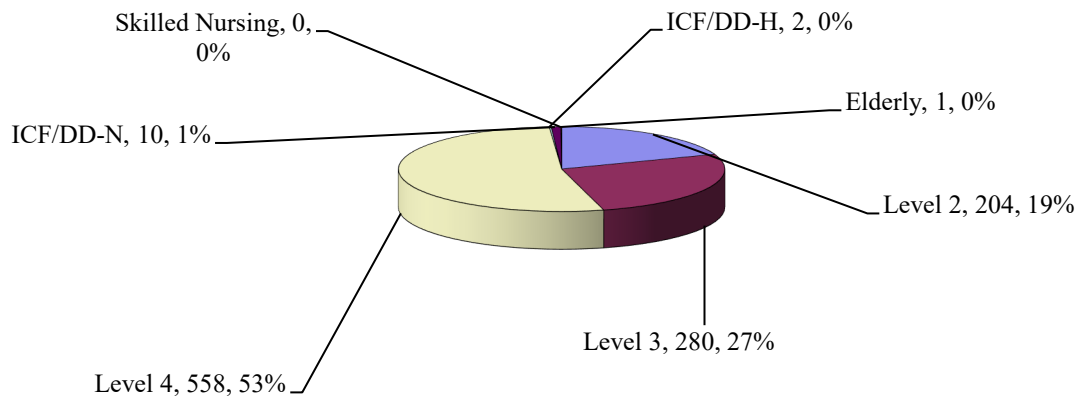
Residents in Community Care Licensed Facilities by Ethnicity



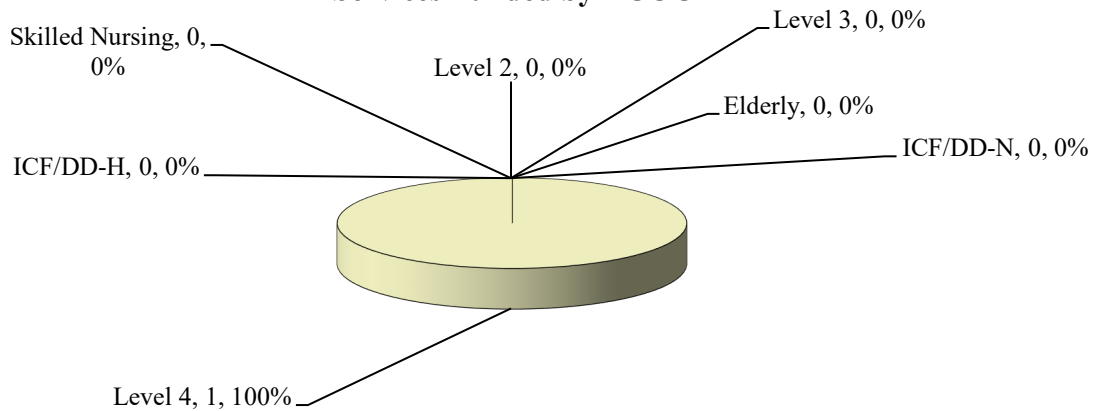
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

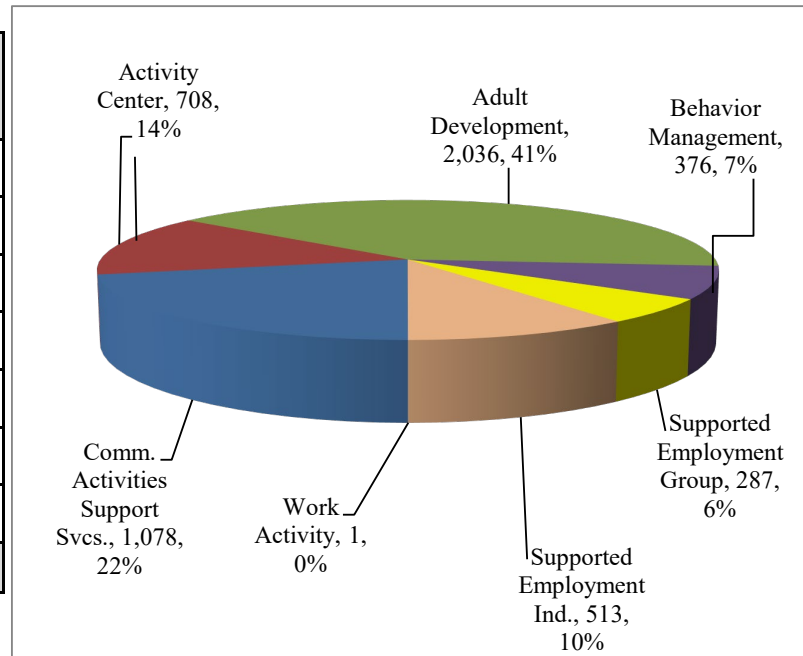


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,078
Activity Center	708
Adult Development	2,036
Behavior Management	376
Supported Employment Group	287
Supported Employment Ind.	513
Work Activity	1
Total	4,999



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3				
Number of Case-Carrying SCs	296.4	294.7				
Number of Intake SCs	25.7	26.7				
Number of Active Persons Served	23,980	24,028				
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5				

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17										
Eligibility - Lanterman	3	4										
Behavioral services	1	1										
Respite	3	2										
Day Care												
ILS/SLS												
Personal Assistance	2	1										
Other**	10	9										

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5										
Eligibility - Lanterman	3	2										
Eligibility - Early Start												
Behavioral services												
Respite	1											
Day Care												
Social/Recreational												
Personal Assistance		1										
Other**	5	2										

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6										
Number of Informal Meetings Held	7	2										
Number of Mediations Held		4										
Number of SLFHs Held												

Number of Requests in Scheduling*	4	9										
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0										
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2										
Withdrawn by Person Served/Family												
Settled in Informal	4	1										
Settled after further follow-up by RCOC												
Settled in Mediation		1										
SLFH Decision												

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC												
Split												

ADMINISTRATION AND GOVERNANCE

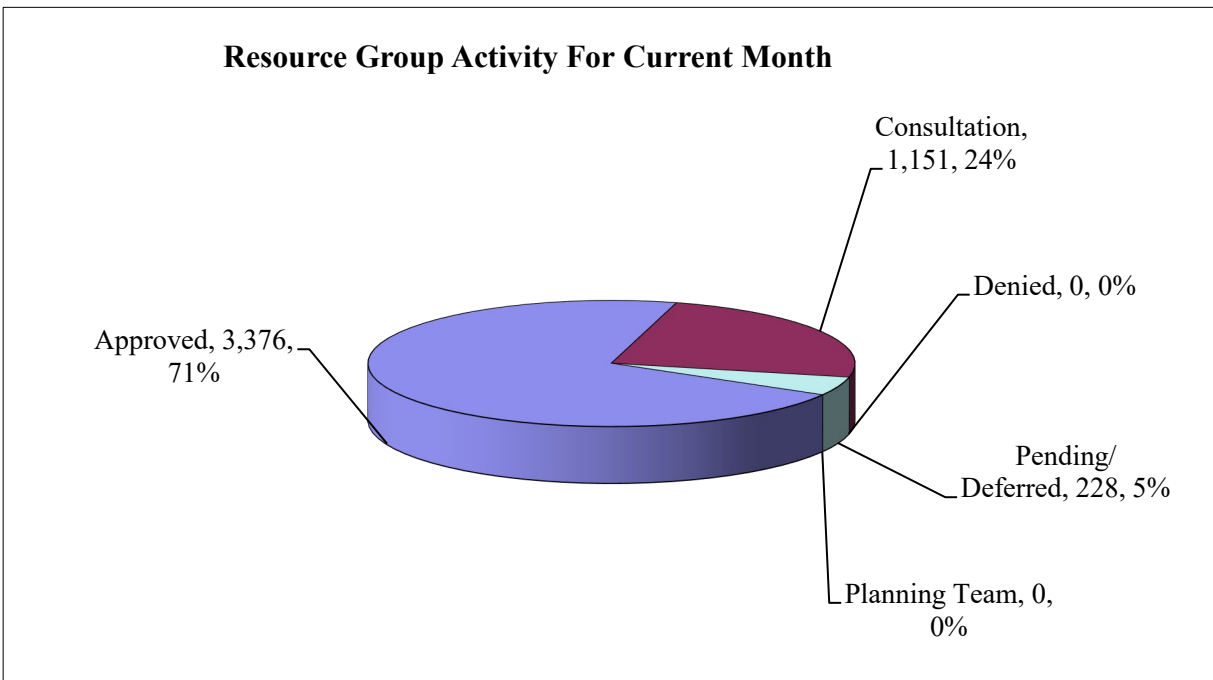
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for August 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	910	402	0	84	0	1,396
Behavioral	124	65	0	16	0	205
Education	0	0	0	0	0	0
Eligibility/Health	85	3	0	1	0	89
Early Start	626	124	0	38	0	788
Living Options	210	209	0	6	0	425
Supported/Ind.	273	157	0	17	0	447
All Others	1148	191	0	66	0	1,405
Monthly Total	3,376	1,151	0	228	0	4,755

FY 2022-23 Total to Date	6,417	2,751	0	481	0	10,130
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Operations Report Summary - August 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,689	8,563	11,728	8	23,988	11,288	12,785
<i>Percentage of Total</i>	<i>15%</i>	<i>36%</i>	<i>49%</i>	<i>0%</i>	<i>100%</i>	<i>47%</i>	<i>53%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,779	10,954	8,825
Community Care Facility	1,642	16	1,626
State Developmental Center	8	0	8
Family Home Agency	97	0	97
Foster Home	294	289	5
Intermediate Care Facility	639	4	635
Independent Living	910	0	910
Supported Living	501	0	501
Skilled Nursing	87	0	87
Other	116	25	91
Total	24,073	11,288	12,785

Special Incident Investigations	Year to Date
AWOL	18
Abuse	24
Neglect	30
Injury	43
Hospitalizations - Total	63
Death	18
Victim of crime	3
Arrest	2
Rights	34
Total	235

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	75	0	75
Level 3	80	0	80
Level 4	188	12	176
Total Community Care Facilities	343	12	331

Licensed Facility Monitoring	Year to Date
Annual Review	81
Unannounced	85
Total Number of Reviews	166
Provider Trainings	0
Technical Support	325
Corrective Action Plans	6

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	82
ICF-DD/Nursing	41
Total ICF Facilities	123

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	466
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Summary of Information About Persons Served - September 2022

NUMBER OF PERSONS SERVED	24,200	100%
Children - Birth to Age Three Receiving Early Start Services	3,415	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,495	31%
Adults - Ages 18 and Older Receiving Lanterman Services	12,830	53%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	514
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,599	60%
Epilepsy	2,886	14%
Cerebral Palsy	2,560	13%
Autism	9,067	44%
Fifth Category*	1,779	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION		358
Early Start / Under Age Three / 45 days to complete determination	301	84%
Lanterman / Over Age Three / 120 days to complete determination	54	15%
Provisional / Up to Age Five / 90 days to complete determination	3	1%

NUMBER OF PERSONS DETERMINED ELIGIBLE	85
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	36
• Number of children who received Early Start services	32
• Number of children who received Early Start services and had a diagnosis of autism	17
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	155
Children - Age Three No Longer Eligible for Early Start Services	155
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

SEPTEMBER 2022 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36			
Unannounced	42	43	36			
Total Number of Reviews	81	85	72	0	0	0

Provider Trainings	0	0	0			
Technical Support	161	164	145			
Corrective Action Plans	1	5	13			
Special Incident Investigations*	9	20	27			
COVID-19 Checklist	0	0	0			

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							117
Unannounced							121
Total Number of Reviews	0	0	0	0	0	0	238

Provider Trainings							0
Technical Support							470
Corrective Action Plans							19
Special Incident Investigations*							56

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

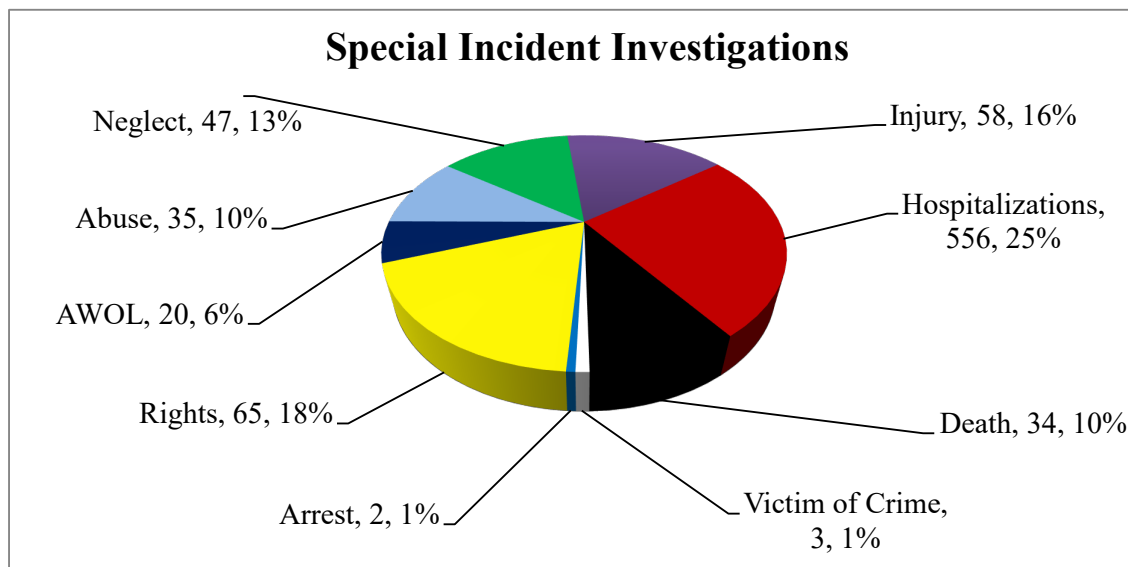
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2			
Abuse	8	16	11			
Neglect	14	16	17			
Injury	18	25	15			
Hospitalizations - Total	34	29	27			
<i>Psychiatric</i>	4	3	2			
<i>Medical</i>	30	26	25			
Death	7	11	16			
Victim of crime	2	1	0			
Arrest	2	0	0			
Rights	27	7	31			
Total	121	114	119	0	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							20
Abuse							35
Neglect							47
Injury							58
Hospitalizations - Total	0	0	0	0	0	0	90
<i>Psychiatric</i>							9
<i>Medical</i>							81
Death							34
Victim of Crime							3
Arrest							2
Rights							65
Total	0	0	0	0	0	0	354



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0			
Staffing	0	0	0			
Level 4I Consultant	0	0	0			
P&I (consumer funds)	0	0	0			
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0			
Recovery	0	0	0			

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73			
Diapers - Family Member	7	6	6			
Nursing Service - Family Member	62	59	61			
Respite Service - Family Member	595	616	640			
Transportation - Family Member	150	152	160			
Total Number of Voucher Authorizations	882	901	940	0	0	0

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2022-23

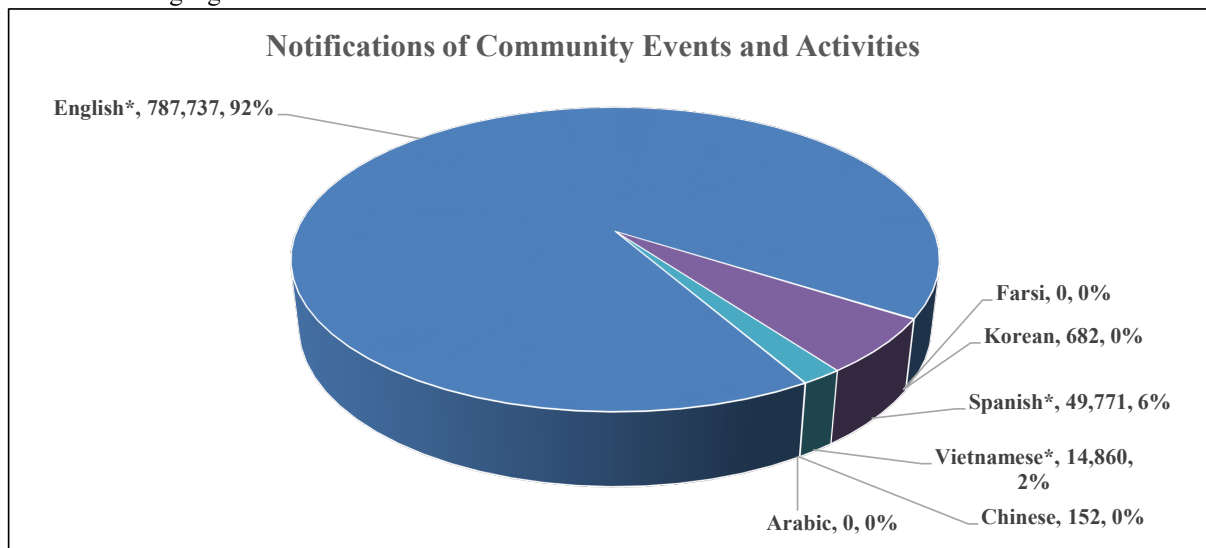
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798			
Farsi						
Korean		479	203			
Spanish*	10,805	19,473	19,493			
Vietnamese*	6,593	2,418	5,849			
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	0	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							787,737
Farsi							0
Korean							682
Spanish*							49,771
Vietnamese*							14,860
Chinese							152
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	853,202

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7			
Spanish	1	1	4			
Vietnamese		2	3			
Other Languages		1 (Korean)				
In Print						
English	3		3			
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1			
Other Languages						
Total Number of Outreach Events	5	16	18	0	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							19
Spanish							6
Vietnamese							5
Other Languages							1
In Print							
English							6
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							1
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	39

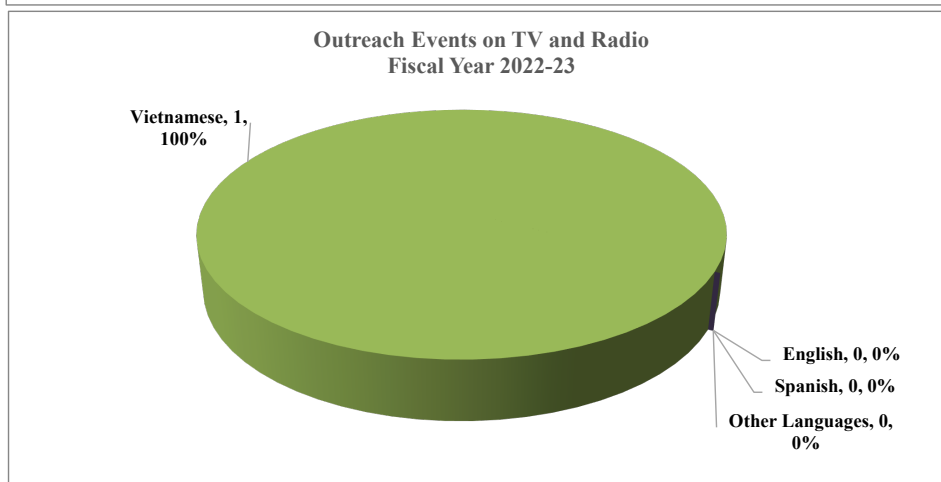
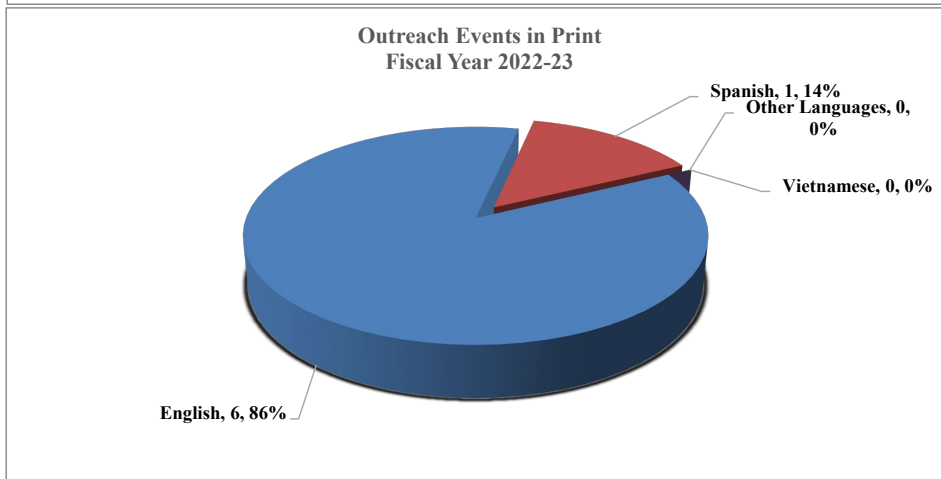
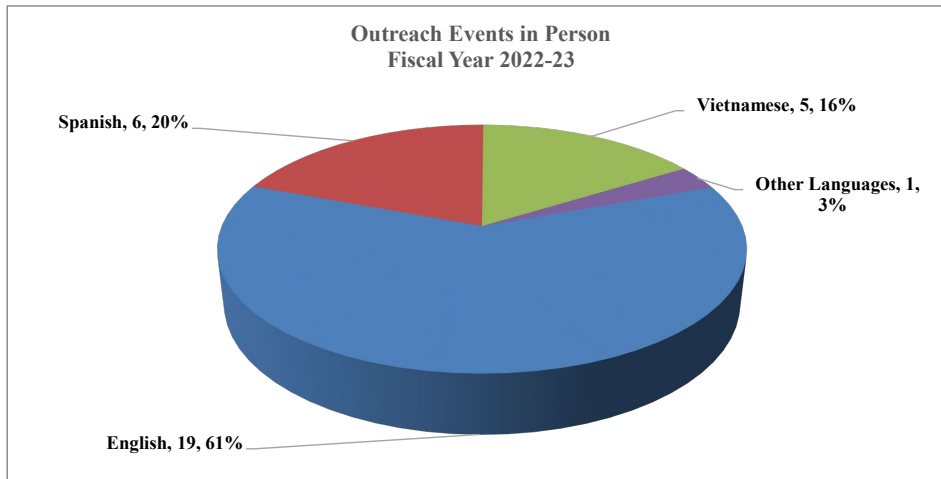
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

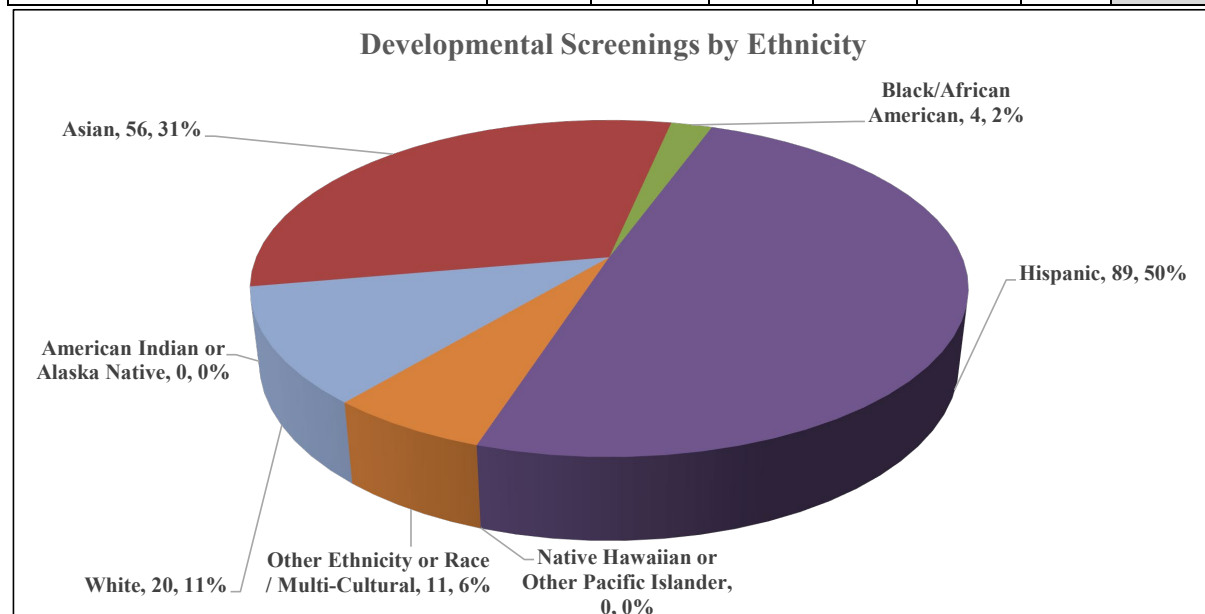
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0			
Asian	19	7	30			
Black/African American	3	1	0			
Hispanic	19	42	28			
Native Hawaiian or Other Pacific Islander	0	0	0			
Other Ethnicity or Race / Multi-Cultural	8	1	2			
White	5	4	11			
Total Number Screened	54	55	71	0	0	0
Total Number Referred to RCOC	18	25	13			

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							56
Black/African American							4
Hispanic							89
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							11
White							20
Total Number Screened	0	0	0	0	0	0	180
Total Number Referred to RCOC							56



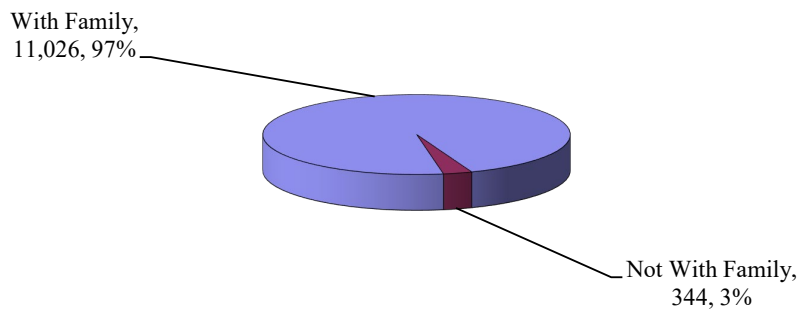
LIVING OPTIONS

Related Guiding Principles

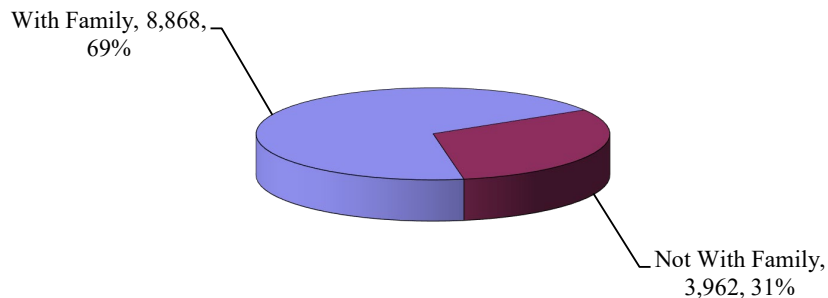
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	19,894	11,026	8,868
Not With Family	4,306	344	3,962
Totals	24,200	11,370	12,830

Where Persons Served Under 18 Live



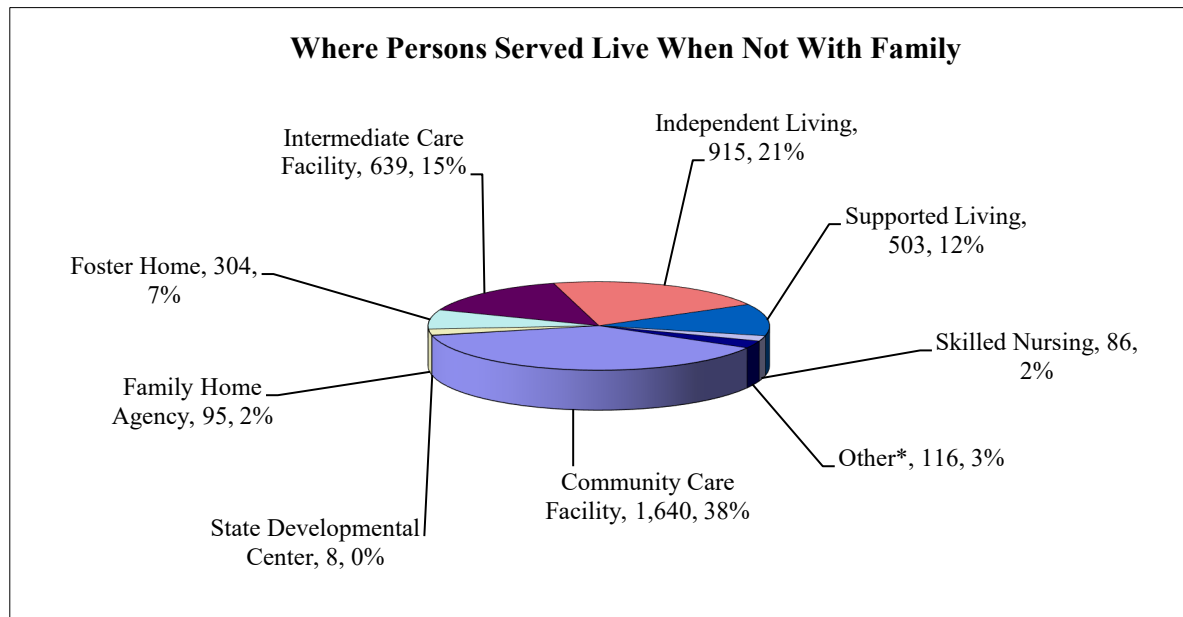
Where Persons Served Over 18 Live



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	19,894	11,026	8,868
Community Care Facility	1,640	16	1,624
State Developmental Center	8	0	8
Family Home Agency	95	0	95
Foster Home	304	299	5
Intermediate Care Facility	639	4	635
Independent Living	915	0	915
Supported Living	503	0	503
Skilled Nursing	86	0	86
Other*	116	25	91
Total	24,200	11,370	12,830

Other*			
Acute General Hospital	3	0	3
California Youth Authority	1	1	0
Community Treatment	3	1	2
Correctional Institution	0	0	0
County Jail	1	0	1
Other	0	0	0
Out of State	4	1	3
Psychiatric Treatment	15	1	14
Rehabilitation Center	5	0	5
SDC / State Hospital	8	0	8
Sub-Acute	44	17	27
Transient / Homeless	21	1	20
Total, Other*	105	22	83



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

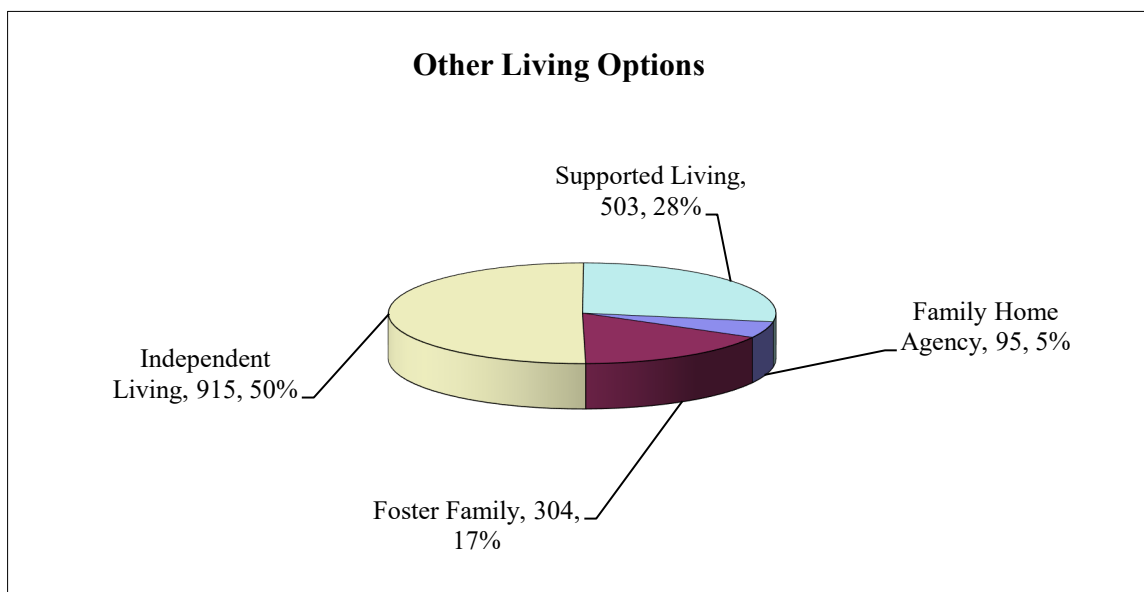
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	95	0	95
Foster Family	304	299	5
Independent Living	915	0	915
Supported Living	503	0	503
Total	1,817	299	1,518



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2022-23*

Licensed Facilities	Total	Over 18	Under 18
Level 2	201	201	0
Level 3	275	275	0
Level 4A	34	34	0
Level 4B	6	6	0
Level 4C	48	48	0
Level 4D	37	37	0
Level 4E	21	21	0
Level 4F	62	62	0
Level 4G	28	28	0
Level 4H	1	1	0
Level 4I	316	315	1
Elderly	1	1	0
ICF/DD-H	1	1	0
ICF/DD-N	7	7	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,038	1,037	1

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	201	201	0
Level 3	275	275	0
Level 4	553	552	1
ICF/DD-H	1	1	0
ICF/DD-N	7	7	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,038	1,037	1

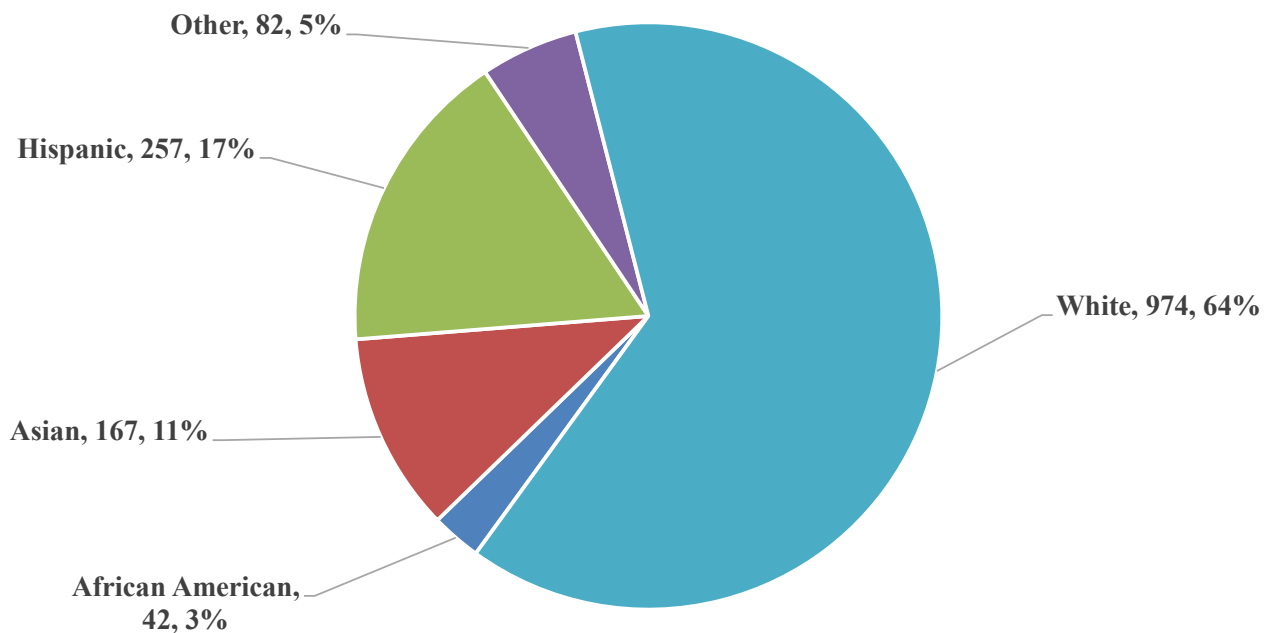
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity

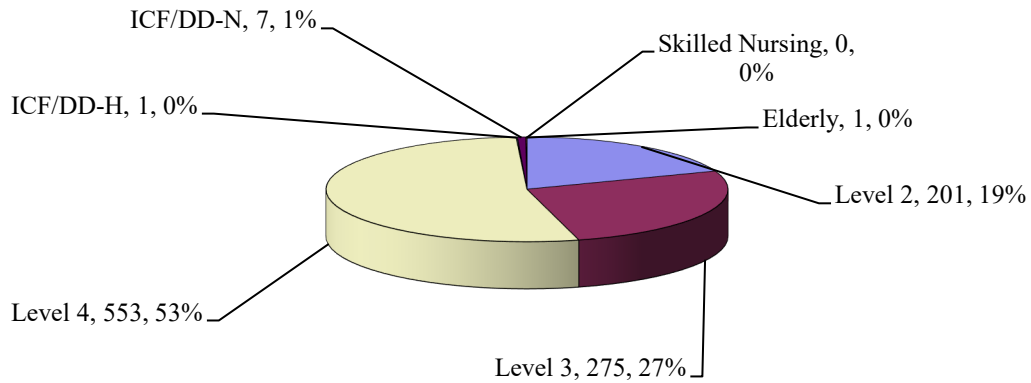
Fiscal Year 2022-23

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	25	52	12	215	314
Level 3	10	29	65	21	245	370
Level 4A	1	5	6	2	24	38
Level 4B	0	1	0	0	8	9
Level 4C	2	10	11	1	54	78
Level 4D	0	9	6	2	29	46
Level 4E	0	3	9	3	21	36
Level 4F	3	14	10	2	40	69
Level 4G	0	7	4	2	35	48
Level 4H	0	0	2	0	1	3
Level 4I	16	64	92	37	302	511
Total	42	167	257	82	974	1,522

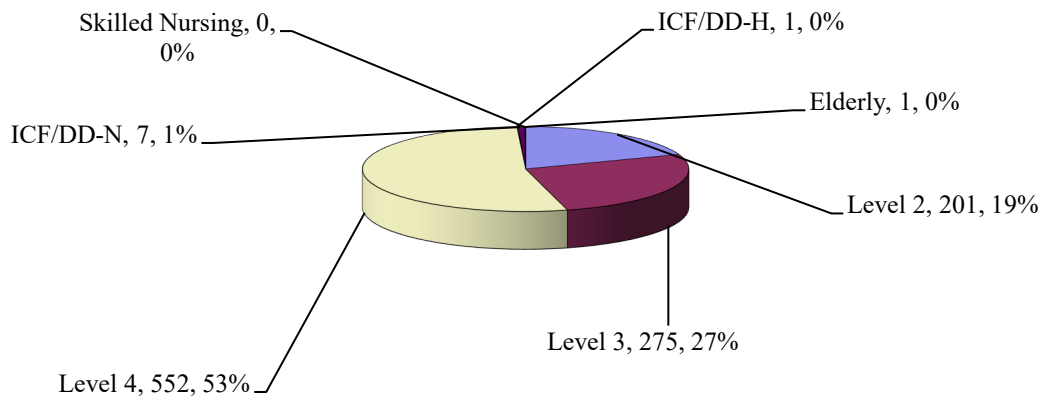
Residents in Community Care Licensed Facilities by Ethnicity



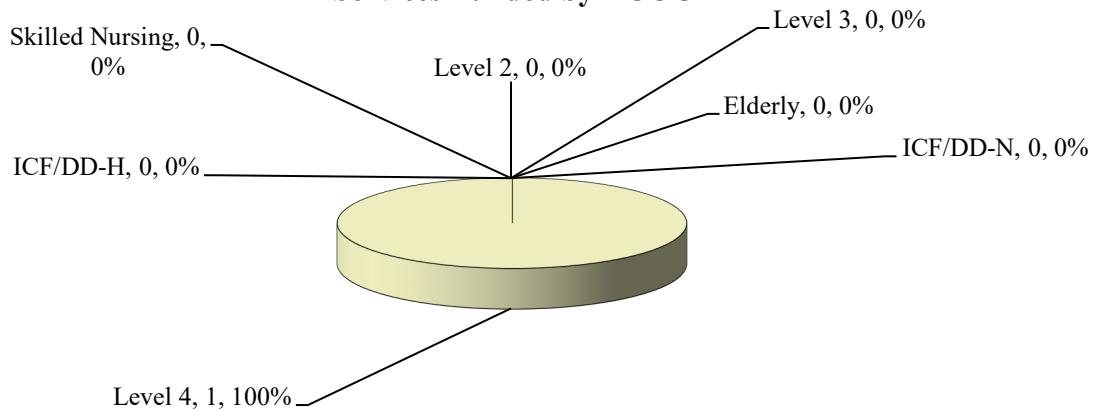
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

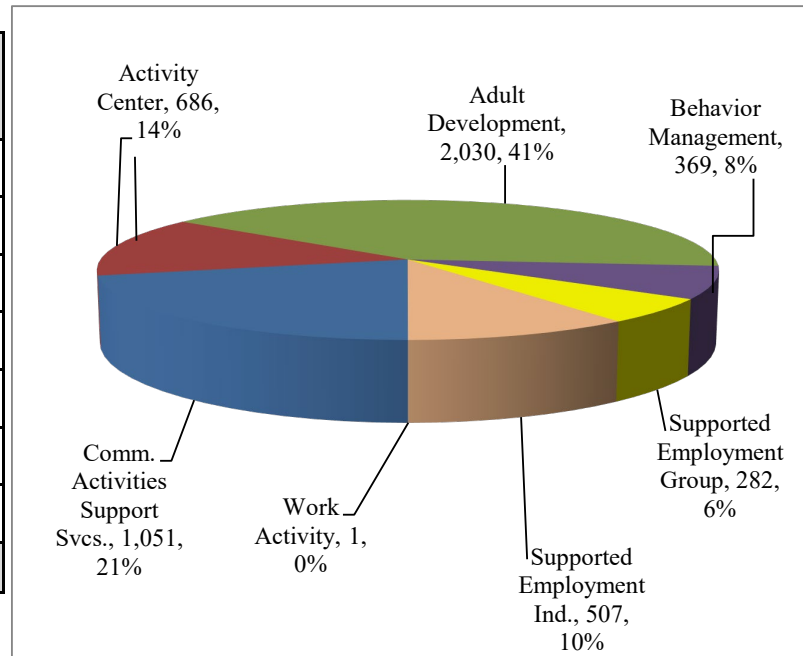


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,051
Activity Center	686
Adult Development	2,030
Behavior Management	369
Supported Employment Group	282
Supported Employment Ind.	507
Work Activity	1
Total	4,926



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4			
Number of Case-Carrying SCs	296.4	294.7	293.9			
Number of Intake SCs	25.7	26.7	26.7			
Number of Active Persons Served	23,980	24,028	24,161			
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2			

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15									
Eligibility - Lanterman	3	4	4									
Behavioral services	1	1	1									
Respite	3	2	1									
Day Care			1									
ILS/SLS												
Personal Assistance	2	1	1									
Other**	10	9	7									

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5	3									
Eligibility - Lanterman	3	2										
Eligibility - Early Start												
Behavioral services												
Respite	1											
Day Care			1									
Social/Recreational												
Personal Assistance		1										
Other**	5	2	2									

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6	6									
Number of Informal Meetings Held	7	2	5									
Number of Mediations Held		4										
Number of SLFHs Held			1									

Number of Requests in Scheduling*	4	9	5									
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0									
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2	4									
Withdrawn by Person Served/Family												
Settled in Informal	4	1	3									
Settled after further follow-up by RCOC												
Settled in Mediation		1										
SLFH Decision			1									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1									
Split												

ADMINISTRATION AND GOVERNANCE

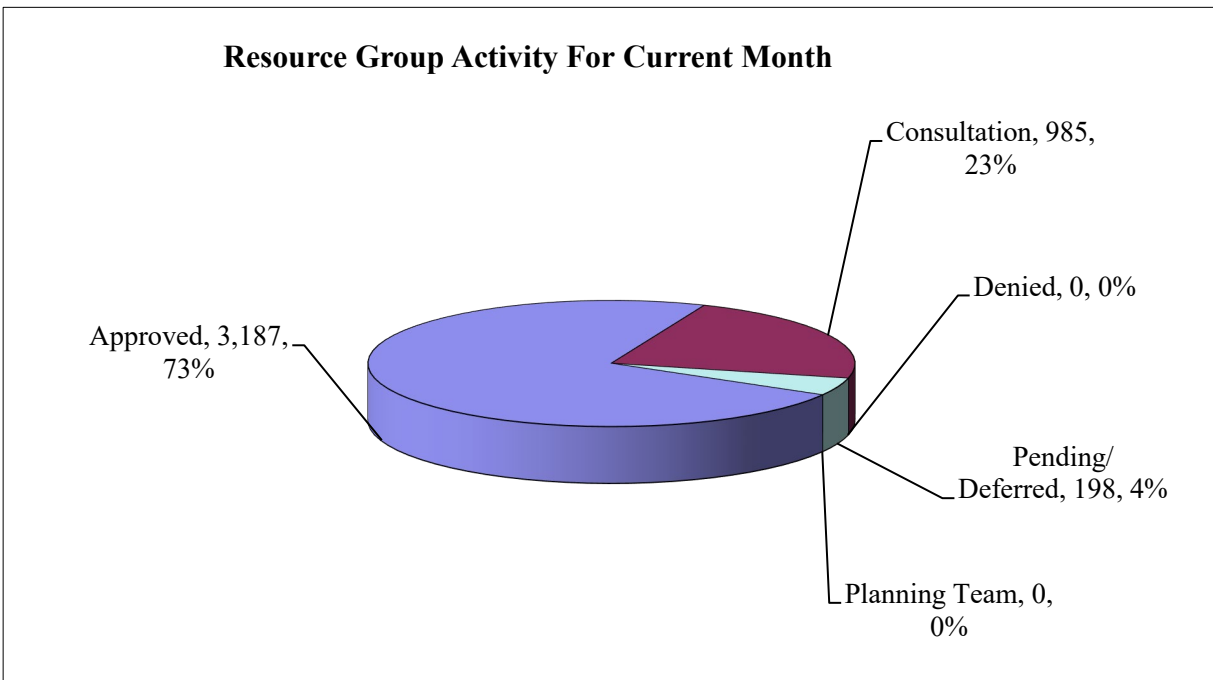
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for September 2022 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	667	356	0	71	0	1,094
Behavioral	88	74	0	9	0	171
Education	0	0	0	0	0	0
Eligibility/Health	59	2	0	2	0	63
Early Start	636	113	0	33	0	782
Living Options	187	157	0	4	0	348
Supported/Ind.	272	125	0	16	0	413
All Others	1278	158	0	63	0	1,499
Monthly Total	3,187	985	0	198	0	4,370

FY 2022-23 Total to Date	9,729	4,083	0	684	0	14,496
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Operations Report Summary - September 2022

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,415	8,566	11,999	8	23,988	11,370	12,830
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>47%</i>	<i>53%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	19,894	11,026	8,868
Community Care Facility	1,640	16	1,624
State Developmental Center	8	0	8
Family Home Agency	95	0	95
Foster Home	304	299	5
Intermediate Care Facility	639	4	635
Independent Living	915	0	915
Supported Living	503	0	503
Skilled Nursing	86	0	86
Other	116	25	91
Total	24,200	11,370	12,830

Special Incident Investigations	Year to Date
AWOL	20
Abuse	35
Neglect	47
Injury	58
Hospitalizations - Total	90
Death	34
Victim of crime	3
Arrest	2
Rights	65
Total	354

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	75	0	75
Level 3	80	0	80
Level 4	189	12	177
Total Community Care Facilities	344	12	332

Licensed Facility Monitoring	Year to Date
Annual Review	117
Unannounced	121
Total Number of Reviews	238
Provider Trainings	0
Technical Support	470
Corrective Action Plans	19

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	76
ICF-DD/Nursing	39
Total ICF Facilities	115

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	459
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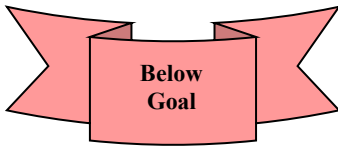
Performance Contract Summary

RCOC as of 9/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,025	8	0	0.03%	-8
Children in Foster Homes (FH)	11,269	288	273	2.56%	15
Children Own Home Parent/Guardian	11,269	10,940	10,850	97.08%	90
Total # Children (FH,Parent/Guardian)	11,269	11,228	11,123	99.64%	105
Adult FHA	12,748	96	112	0.75%	-16
Independent Living (IL)	12,748	907	902	7.11%	5
Adults Residing Own Home - Parent	12,748	8,816	8,575	69.16%	241
Supported Living (SL)	12,748	498	509	3.91%	-11
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,748	10,317	10,098	80.93%	219
Children Residing in a CCF (7+ beds)	11,269	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	11,269	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,269	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,269	0	0	0.00%	0
Adults Residing in a CCF (7+ beds)	12,748	120	115	0.94%	-5
Adults Residing in a ICF (7+ beds)	12,748	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,748	86	75	0.67%	-11
Total Adults Residing in 7+ bed facilities	12,748	220	196	1.73%	-24
Total Individuals Over Age 3 with <=120 days	277	275	100%	100.00%	99.28%
Total Individuals Over Age 3 with 121-240 days	277	2	0%	0.72%	0.72%
Total Individuals Over Age 3 Over 240 days	277	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,748	48%	65%		
Total Number of Incentive Payments Made	12,748	207			
Avg. Wage per Hour After Incentive Payment	12,748	\$14.40			
Number of Persons Served with Earned Income	12,748	1,726			
Percentage of 16-64 Earned Income	12,748	22%			
Annual Earnings of 16-64	12,748	\$7,656			
Number of Adults in CIE After Paid Intern	12,748	0			
Percentage Adults Transitioned Internship to CIE	12,748	0%			
Total Annual Expenditures Race/Ethnicity	24,025				

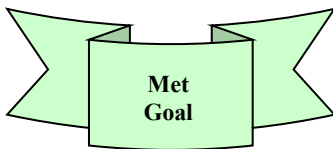
Performance Contract 2022 Cover Sheet



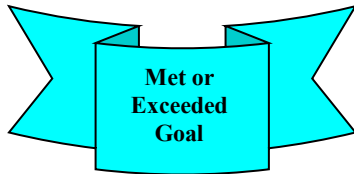
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	98.52
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2022

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 9/01/22	0.03%	24,025	8	0	0.03%	-8
Analysis as of Public Hearing	RCOC % of DD population	6.09%	RCOC % of DC population			3.43%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2020-2021 and 2021-2022.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22	23,765	0	9	0.04%	-9
Jun-22	23,861	0	8	0.03%	-8
Jul-22	23,960	0	8	0.03%	-8
Aug-22	24,025	0	8	0.03%	-8
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Below Goal

Performance Contract 2022

II. Children Residing with Families (Child is defined as under 18 years of age)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284	Goal	%	# Attained
RCOC 9/01/22	2.56%	11,269	288	273	2.56%	15
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		5.40%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22	11,127	273	301	2.71%	28
Jun-22	11,191	273	295	2.64%	22
Jul-22	11,242	273	281	2.50%	8
Aug-22	11,269	273	288	2.56%	15
Sep-22		273			
Oct-22		273			
Nov-22		273			
Dec-22		273			

Exceeded Goal

Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 9/01/22	97.08%	11,269	10,940	10,850	97.08%	90
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		5.44%



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22	10,930	10,850	10,591	96.90%	-259
Feb-22	10,949	10,850	10,604	96.85%	-246
Mar-22	10,994	10,850	10,655	96.92%	-195
Apr-22	11,065	10,850	10,720	96.88%	-130
May-22	11,127	10,850	10,783	96.91%	-67
Jun-22	11,191	10,850	10,853	96.98%	3
Jul-22	11,242	10,850	10,916	97.10%	66
Aug-22	11,269	10,850	10,940	97.08%	90
Sep-22		10,850			
Oct-22		10,850			
Nov-22		10,850			
Dec-22		10,850			

Exceeded Goal

Progress: C. During public meetings, RCOC had 10,709, or 99.460%, of children in homes.

C. Total number and % of regional center children in homes *(This is a total of sections A and B above).*

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.60%	197,711	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	Goal	%	# Attained
RCOC 9/01/22	99.64%	11,269	11,228	11,123	99.64%	105
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		5.44%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22	11,127	11,123	11,084	99.61%	-39
Jun-22	11,191	11,123	11,148	99.62%	25
Jul-22	11,242	11,123	11,197	99.60%	74
Aug-22	11,269	11,123	11,228	99.64%	105
Sep-22		11,123			
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			

Exceeded Goal

Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	Goal	%	# Attained
RCOC 9/01/22	0.75%	12,748	96	112	0.75%	-16
Analysis as of Public Hearing	RCOC % of DD population 6.78%		RCOC % of FH population 6.47%			



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22	12,629	112	99	0.78%	-13
Jun-22	12,662	112	99	0.78%	-13
Jul-22	12,710	112	98	0.77%	-14
Aug-22	12,748	112	96	0.75%	-16
Sep-22		112			
Oct-22		112			
Nov-22		112			
Dec-22		112			

Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	Goal	%	# Attained
RCOC 9/01/22	7.11%	12,748	907	902	7.11%	5
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		5.12%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22	12,629	902	907	7.18%	5
Jun-22	12,662	902	905	7.15%	3
Jul-22	12,710	902	902	7.10%	0
Aug-22	12,748	902	907	7.11%	5
Sep-22		902			
Oct-22		902			
Nov-22		902			
Dec-22		902			

Exceeded Goal

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	Goal	%	# Attained
RCOC 9/01/22	69.16%	12,748	8,816	8,575	69.16%	241
Analysis as of Public Hearing	RCOC % of DD population	6.78%	RCOC % of FH population	6.94%		



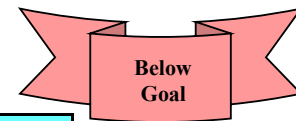
	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22	12,629	8,575	8,698	68.87%	123
Jun-22	12,662	8,575	8,740	69.03%	165
Jul-22	12,710	8,575	8,778	69.06%	203
Aug-22	12,748	8,575	8,816	69.15%	241
Sep-22		8,575			
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			

Exceeded Goal

Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 9/01/22	3.91%	12,748	498	509	3.91%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		5.30%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22	12,629	509	500	3.96%	-9
Jun-22	12,662	509	498	3.93%	-11
Jul-22	12,710	509	498	3.92%	-11
Aug-22	12,748	509	498	3.01%	-11
Sep-22		509			
Oct-22		509			
Nov-22		509			
Dec-22		509			

Progress: E. During public meetings, RCOC had 10,217, or 80.87%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings *(This is a total of sections A, B, C and D above).*

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.87%	12,634	10,217	Goal	%	# Attained
RCOC 9/01/22	80.93%	12,748	10,317	10,098	80.93%	219
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22	12,629	10,098	10,204	80.80%	106
Jun-22	12,662	10,098	10,242	80.89%	144
Jul-22	12,710	10,098	10,276	80.85%	178
Aug-22	12,748	10,098	10,317	80.93%	219
Sep-22		10,098			
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			

Exceeded Goal



Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 9/01/22	0.00%	11,269	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: B. During public meetings, RCOC had 0, or 0.00% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 9/01/22	0.00%	11,269	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 9/01/22	0.00%	11,269	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 9/01/22	0.00%	11,269	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22		0			
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 9/01/22	0.94%	12,748	120	115	0.94%	-5
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		8.32%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22	12,629	115	123	0.98%	-8
Jun-22	12,662	115	121	0.96%	-6
Jul-22	12,710	115	122	0.96%	-7
Aug-22	12,748	115	120	0.94%	-5
Sep-22		115			
Oct-22		115			
Nov-22		115			
Dec-22		115			

Below Goal

Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 9/01/22	0.11%	12,748	14	6	0.11%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		1.59%



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained	Below Goal
Jan-22	12,504	6	12	0.10%	-6	
Feb-22	12,504	6	12	0.10%	-6	
Mar-22	12,557	6	13	0.10%	-7	
Apr-22	12,595	6	13	0.10%	-7	
May-22	12,629	6	13	0.10%	-7	
Jun-22	12,662	6	13	0.10%	-7	
Jul-22	12,710	6	14	0.11%	-8	
Aug-22	12,748	6	14	0.11%	-8	
Sep-22		6				
Oct-22		6				
Nov-22		6				
Dec-22		6				

Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78	Goal	%	# Attained
RCOC 9/01/22	0.67%	12,748	86	75	0.67%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		8.07%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22	12,629	75	81	0.64%	-6
Jun-22	12,662	75	78	0.62%	-3
Jul-22	12,710	75	81	0.64%	-6
Aug-22	12,748	75	86	0.67%	-11
Sep-22		75			
Oct-22		75			
Nov-22		75			
Dec-22		75			

Below Goal

Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 9/01/22	1.73%	12,748	220	196	1.73%	-24
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22	12,629	196	217	1.72%	-21
Jun-22	12,662	196	212	1.67%	-16
Jul-22	12,710	196	217	1.71%	-21
Aug-22	12,748	196	220	1.73%	-24
Sep-22		196			
Oct-22		196			
Nov-22		196			
Dec-22		196			

Below Goal

Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98%	226	222	Goal	% Attained
RCOC 9/01/22	99.28%	277	275	100%	99.28%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22	207	100%	206	99.52%
Jun-22	233	100%	233	100.00%
Jul-22	256	100%	255	99.61%
Aug-22	277	100%	275	99.28%
Sep-22		100%		
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		



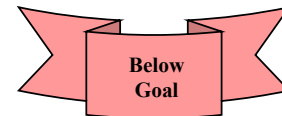
Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2%	226	4	Goal	% Attained
RCOC 9/01/22	0.72%	277	2	0%	0.72%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22	207	0.00%	1	0.48%
Jun-22	233	0.00%	0	0.00%
Jul-22	256	0.00%	1	0.39%
Aug-22	277	0.00%	2	0.72%
Sep-22		0.00%		
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		



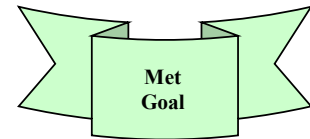
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	1.12%	9,095	102		
RCOC Public Hearing 8/17/22	0%	226	0	Goal	%
RCOC 9/01/22	0.00%	277	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22	201	0%	0	0%
Feb-22	216	0%	0	0%
Mar-22	198	0%	0	0%
Apr-22	207	0%	1	0%
May-22	207	0%	0	0%
Jun-22	233	0%	0	0%
Jul-22	256	0%	0	0%
Aug-22	277	0%	0	0%
Sep-22		0%		
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		



Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2020-2021 47% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2021-22	47%	70%

Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 months, and 12 month, incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90
2020-2021	84	63	60

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06
2020-2021	20	\$14.40



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2020 RCOC had 11 individual within a paid internship that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2107-2018	1
2018-2019	7
2019-2020	11
2020-2021	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-2021, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2018-2019	21%
2019-2020	14%
2020-2021	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2018-2019	18	\$12.34
2019-2020	13	\$13.43
2020-2021	13	\$13.98

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2016	2,085	1201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1341
2020	1,726	1082



Progress: B. Results from the Employment Development Department in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733

Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑

Progress: C. Review of fiscal year 2020-21 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓

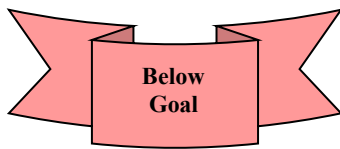
Performance Contract Summary

RCOC as of 10/01/2022	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	24,161	9	0	0.03%	-9
Children in Foster Homes (FH)	11,359	299	273	2.63%	26
Children Own Home Parent/Guardian	11,359	11,019	10,850	97.01%	169
Total # Children (FH,Parent/Guardian)	11,359	11,318	11,123	99.64%	195
Adult FHA	12,793	95	112	0.74%	-17
Independent Living (IL)	12,793	912	902	7.13%	10
Adults Residing Own Home - Parent	12,793	8,861	8,575	69.26%	286
Supported Living (SL)	12,793	498	509	3.89%	-11
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,793	10,366	10,098	81.03%	268
Children Residing in a CCF (7+ beds)	11,359	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	11,359	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,359	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,359	0	0	0.00%	0
Adults Residing in a CCF (7+ beds)	12,793	119	115	0.93%	-4
Adults Residing in a ICF (7+ beds)	12,793	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	12,793	85	75	0.66%	-10
Total Adults Residing in 7+ bed facilities	12,793	218	196	1.70%	-22
Total Individuals Over Age 3 with <=120 days	272	269	100%	100.00%	98.89%
Total Individuals Over Age 3 with 121-240 days	272	2	0%	0.74%	0.72%
Total Individuals Over Age 3 Over 240 days	272	1	0%	0.37%	0.37%
Adults with Integrated Employment Goal	12,748	48%	65%		
Total Number of Incentive Payments Made	12,748	207			
Avg. Wage per Hour After Incentive Payment	12,748	\$14.40			
Number of Persons Served with Earned Income	12,748	1,726			
Percentage of 16-64 Earned Income	12,748	22%			
Annual Earnings of 16-64	12,748	\$7,656			
Number of Adults in CIE After Paid Intern	12,748	0			
Percentage Adults Transitioned Internship to CIE	12,748	0%			
Total Annual Expenditures Race/Ethnicity	24,025				

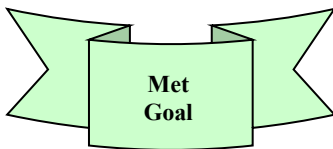
Performance Contract 2022 Cover Sheet



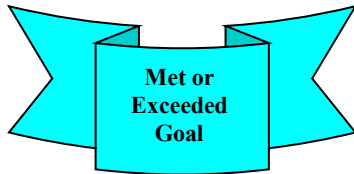
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2022

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	97.65
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2022

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 10/01/22	0.04%	24,161	9	0	0.04%	-9
Analysis as of Public Hearing	RCOC % of DD population	6.09%	RCOC % of DC population	3.43%		

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2020-2021 and 2021-2022.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-22	23,442	0	8	0.03%	-8
Feb-22	23,464	0	9	0.04%	-9
Mar-22	23,560	0	9	0.04%	-9
Apr-22	23,669	0	9	0.04%	-9
May-22	23,765	0	9	0.04%	-9
Jun-22	23,861	0	8	0.03%	-8
Jul-22	23,960	0	8	0.03%	-8
Aug-22	24,025	0	8	0.03%	-8
Sep-22	24,161	0	9	0.04%	-9
Oct-22		0			
Nov-22		0			
Dec-22		0			

Below
Goal

Performance Contract 2022

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH			
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284	Goal	%	# Attained
RCOC 10/01/22	2.63%	11,359	299	273	2.63%	26
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		5.40%

	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-22	10,390	273	289	2.64%	16
Feb-22	10,949	273	292	2.67%	19
Mar-22	10,994	273	291	2.65%	18
Apr-22	11,065	273	298	2.69%	25
May-22	11,127	273	301	2.71%	28
Jun-22	11,191	273	295	2.64%	22
Jul-22	11,242	273	281	2.50%	8
Aug-22	11,269	273	288	2.56%	15
Sep-22	11,359	273	299	2.63%	26
Oct-22		273			
Nov-22		273			
Dec-22		273			

Exceeded Goal

Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 10/01/22	97.01%	11,359	11,019	10,850	97.01%	169
Analysis as of Public Hearing	RCOC % of DD population 5.44%		RCOC % of FH population 5.44%			



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-22	10,930	10,850	10,591	96.90%	-259
Feb-22	10,949	10,850	10,604	96.85%	-246
Mar-22	10,994	10,850	10,655	96.92%	-195
Apr-22	11,065	10,850	10,720	96.88%	-130
May-22	11,127	10,850	10,783	96.91%	-67
Jun-22	11,191	10,850	10,853	96.98%	3
Jul-22	11,242	10,850	10,916	97.10%	66
Aug-22	11,269	10,850	10,940	97.08%	90
Sep-22	11,359	10,850	11,019	97.01%	169
Oct-22		10,850			
Nov-22		10,850			
Dec-22		10,850			

Exceeded Goal

Progress: C. During public meetings, RCOC had 10,709, or 99.460%, of children in homes.

C. Total number and % of regional center children in homes *(This is a total of sections A and B above).*

	Percentage	All Children	Total Number Children in Homes			
Statewide Average	99.60%	197,711	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	Goal	%	# Attained
RCOC 10/01/22	99.64%	11,359	11,318	11,123	99.64%	195
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		5.44%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-22	10,930	11,123	10,880	99.54%	-243
Feb-22	10,949	11,123	10,896	99.52%	-227
Mar-22	10,994	11,123	10,946	99.56%	-177
Apr-22	11,065	11,123	11,018	99.58%	-105
May-22	11,127	11,123	11,084	99.61%	-39
Jun-22	11,191	11,123	11,148	99.62%	25
Jul-22	11,242	11,123	11,197	99.60%	74
Aug-22	11,269	11,123	11,228	99.64%	105
Sep-22	11,359	11,123	11,318	99.64%	195
Oct-22		11,123			
Nov-22		11,123			
Dec-22		11,123			

Exceeded Goal

Performance Contract 2022

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

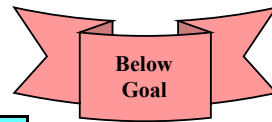
Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successionary maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA			
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	Goal	%	# Attained
RCOC 10/01/22	0.74%	12,793	95	112	0.74%	-17
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-22	12,504	112	101	0.81%	-11
Feb-22	12,506	112	100	0.80%	-12
Mar-22	12,557	112	100	0.80%	-12
Apr-22	12,595	112	99	0.79%	-13
May-22	12,629	112	99	0.78%	-13
Jun-22	12,662	112	99	0.78%	-13
Jul-22	12,710	112	98	0.77%	-14
Aug-22	12,748	112	96	0.75%	-16
Sep-22	12,793	112	95	0.74%	-17
Oct-22		112			
Nov-22		112			
Dec-22		112			

Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	Goal	%	# Attained
RCOC 10/01/22	7.13%	12,793	912	902	7.13%	10
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		5.12%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-22	12,504	902	898	7.18%	-4
Feb-22	12,506	902	901	7.20%	-1
Mar-22	12,557	902	907	7.22%	5
Apr-22	12,595	902	909	7.22%	7
May-22	12,629	902	907	7.18%	5
Jun-22	12,662	902	905	7.15%	3
Jul-22	12,710	902	902	7.10%	0
Aug-22	12,748	902	907	7.11%	5
Sep-22	12,793	902	912	7.13%	10
Oct-22		902			
Nov-22		902			
Dec-22		902			

Exceeded Goal

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	Goal	%	# Attained
RCOC 10/01/22	69.26%	12,793	8,861	8,575	69.26%	286
Analysis as of Public Hearing	RCOC % of DD population	6.78%	RCOC % of FH population	6.94%		



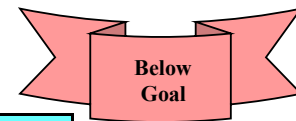
	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-22	12,504	8,575	8,594	68.73%	19
Feb-22	12,506	8,575	8,596	68.74%	21
Mar-22	12,557	8,575	8,641	68.81%	66
Apr-22	12,595	8,575	8,678	68.90%	103
May-22	12,629	8,575	8,698	68.87%	123
Jun-22	12,662	8,575	8,740	69.03%	165
Jul-22	12,710	8,575	8,778	69.06%	203
Aug-22	12,748	8,575	8,816	69.15%	241
Sep-22	12,793	8,575	8,861	69.26%	286
Oct-22		8,575			
Nov-22		8,575			
Dec-22		8,575			

Exceeded Goal

Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 10/01/22	3.89%	12,793	498	509	3.89%	-11
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		5.30%



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-22	12,504	509	502	4.01%	-7
Feb-22	12,506	509	500	4.00%	-9
Mar-22	12,557	509	500	3.98%	-9
Apr-22	12,595	509	497	3.95%	-12
May-22	12,629	509	500	3.96%	-9
Jun-22	12,662	509	498	3.93%	-11
Jul-22	12,710	509	498	3.92%	-11
Aug-22	12,748	509	498	3.91%	-11
Sep-22	12,793	509	498	3.89%	-11
Oct-22		509			
Nov-22		509			
Dec-22		509			

Progress: E. During public meetings, RCOC had 10,217, or 80.87%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings *(This is a total of sections A, B, C and D above).*

	Percentage	Total Adults status 2	Total Number Adults in Home Settings			
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.87%	12,634	10,217	Goal	%	# Attained
RCOC 10/01/22	81.03%	12,793	10,366	10,098	81.03%	268
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-22	12,504	10,098	10,095	80.73%	-3
Feb-22	12,506	10,098	10,097	80.74%	-1
Mar-22	12,557	10,098	10,148	80.82%	50
Apr-22	12,595	10,098	10,183	80.58%	85
May-22	12,629	10,098	10,204	80.80%	106
Jun-22	12,662	10,098	10,242	80.89%	144
Jul-22	12,710	10,098	10,276	80.85%	178
Aug-22	12,748	10,098	10,317	80.93%	219
Sep-22	12,793	10,098	10,366	81.03%	268
Oct-22		10,098			
Nov-22		10,098			
Dec-22		10,098			

Exceeded Goal



Performance Contract 2022

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: B. During public meetings, RCOC had 0, or 0.00% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)			
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	10,930	0	0	0.00%	0
Feb-22	10,949	0	0	0.00%	0
Mar-22	10,994	0	0	0.00%	0
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met
Goal

Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 10/01/22	0.00%	11,359	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population		5.44%	RCOC % of FH population		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-22	10,930	0	1	0.01%	-1
Feb-22	10,949	0	1	0.01%	-1
Mar-22	10,994	0	1	0.01%	-1
Apr-22	11,065	0	0	0.00%	0
May-22	11,084	0	0	0.00%	0
Jun-22	11,191	0	0	0.00%	0
Jul-22	11,242	0	0	0.00%	0
Aug-22	11,269	0	0	0.00%	0
Sep-22	11,359	0	0	0.00%	0
Oct-22		0			
Nov-22		0			
Dec-22		0			

Met Goal

Performance Contract 2022

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 10/01/22	0.93%	12,793	119	115	0.93%	-4
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		8.32%

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-22	12,504	115	123	0.98%	-8
Feb-22	12,506	115	123	0.98%	-8
Mar-22	12,557	115	123	0.98%	-8
Apr-22	12,595	115	122	0.97%	-7
May-22	12,629	115	123	0.98%	-8
Jun-22	12,662	115	121	0.96%	-6
Jul-22	12,710	115	122	0.96%	-7
Aug-22	12,748	115	120	0.94%	-5
Sep-22	12,793	115	119	0.93%	-4
Oct-22		115			
Nov-22		115			
Dec-22		115			

**Below
Goal**

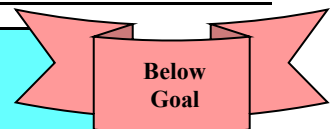
Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 10/01/22	0.11%	12,793	14	6	0.11%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH populatior		1.59%



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-22	12,504	6	12	0.10%	-6
Feb-22	12,504	6	12	0.10%	-6
Mar-22	12,557	6	13	0.10%	-7
Apr-22	12,595	6	13	0.10%	-7
May-22	12,629	6	13	0.10%	-7
Jun-22	12,662	6	13	0.10%	-7
Jul-22	12,710	6	14	0.11%	-8
Aug-22	12,748	6	14	0.11%	-8
Sep-22	12,793	6	14	0.11%	-8
Oct-22		6			
Nov-22		6			
Dec-22		6			



Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78	Goal	%	# Attained
RCOC 10/01/22	0.66%	12,793	85	75	0.66%	-10
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		8.07%

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-22	12,504	75	79	0.63%	-4
Feb-22	12,506	75	78	0.63%	-3
Mar-22	12,557	75	80	0.64%	-5
Apr-22	12,595	75	80	0.64%	-5
May-22	12,629	75	81	0.64%	-6
Jun-22	12,662	75	78	0.62%	-3
Jul-22	12,710	75	81	0.64%	-6
Aug-22	12,748	75	86	0.67%	-11
Sep-22	12,793	75	85	0.66%	-10
Oct-22		75			
Nov-22		75			
Dec-22		75			

Below Goal

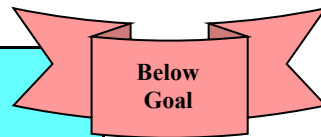
Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 10/01/22	1.70%	12,793	218	196	1.70%	-22
Analysis as of Public Hearing	RCOC % of DD population		6.78%	RCOC % of FH population		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-22	12,504	196	214	1.71%	-18
Feb-22	12,506	196	213	1.70%	-17
Mar-22	12,557	196	216	1.72%	-20
Apr-22	12,595	196	215	1.71%	-19
May-22	12,629	196	217	1.72%	-21
Jun-22	12,662	196	212	1.67%	-16
Jul-22	12,710	196	217	1.71%	-21
Aug-22	12,748	196	220	1.73%	-24
Sep-22	12,793	196	218	1.70%	-22
Oct-22		196			
Nov-22		196			
Dec-22		196			



Performance Contract 2022

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

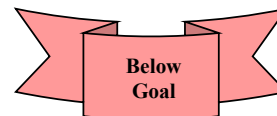
Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days		
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98%	226	222	Goal	% Attained
RCOC 10/01/22	98.90%	272	269	100%	98.90%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-22	201	100%	210	100.00%
Feb-22	216	100%	215	99.54%
Mar-22	198	100%	197	99.49%
Apr-22	207	100%	204	98.55%
May-22	207	100%	206	99.52%
Jun-22	233	100%	233	100.00%
Jul-22	256	100%	255	99.61%
Aug-22	277	100%	275	99.28%
Sep-22	272	100%	269	98.90%
Oct-22		100%		
Nov-22		100%		
Dec-22		100%		



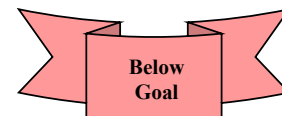
Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2%	226	4	Goal	% Attained
RCOC 10/01/22	0.74%	272	2	0%	0.74%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-22	201	0.00%	0	0.00%
Feb-22	216	0.00%	1	0.46%
Mar-22	198	0.00%	1	0.51%
Apr-22	207	0.00%	2	0.97%
May-22	207	0.00%	1	0.48%
Jun-22	233	0.00%	0	0.00%
Jul-22	256	0.00%	1	0.39%
Aug-22	277	0.00%	2	0.72%
Sep-22	272	0.00%	2	0.74%
Oct-22		0.00%		
Nov-22		0.00%		
Dec-22		0.00%		



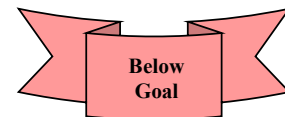
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days		
Statewide Average	1.12%	9,095	102		
RCOC Public Hearing 8/17/22	0%	226	0	Goal	%
RCOC 10/01/22	0.37%	272	1	0%	0.37%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-22	201	0%	0	0%
Feb-22	216	0%	0	0%
Mar-22	198	0%	0	0%
Apr-22	207	0%	1	0.48%
May-22	207	0%	0	0%
Jun-22	233	0%	0	0%
Jul-22	256	0%	0	0%
Aug-22	277	0%	0	0%
Sep-22	272	0%	1	0.37%
Oct-22		0%		
Nov-22		0%		
Dec-22		0%		



Performance Contract 2022

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2020-2021 47% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2021-22	47%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 months, and 12 month, incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90
2020-2021	84	63	60

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06
2020-2021	20	\$14.40



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2020 RCOC had 11 individual within a paid internship that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2107-2018	1
2018-2019	7
2019-2020	11
2020-2021	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-2021, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2018-2019	21%
2019-2020	14%
2020-2021	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2018-2019	18	\$12.34
2019-2020	13	\$13.43
2020-2021	13	\$13.98

Performance Contract 2022

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2016	2,085	1201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1341
2020	1,726	1082



Progress: B. Results from the Employment Development Department in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the Employment Development Department in 2018 indicate that average annual wage for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733

Performance Contract 2022

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑

Progress: C. Review of fiscal year 2020-21 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: Board of Directors

FROM: John “Chip” Wright
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Meena Chockalingam for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Ms. Meena Chockalingam completed a one-year term on December 31, 2019; she will complete her first three-year term on December 31, 2022. The Executive Committee recommends that the Board renew Ms. Chockalingam’s membership for a second three-year term, commencing January 1, 2023 and ending December 31, 2025.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Ms. Meena Chockalingam’s Board membership for a second three-year term, commencing January 1, 2023 and ending December 31, 2025.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: Board of Directors

FROM: John “Chip” Wright
Chair, Executive Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewal of Board Membership for Fernando Peña for a Three-Year Term Commencing January 1, 2023 and Ending December 31, 2025**

BACKGROUND:

The Board’s policy on Board Membership and Application Process states that the composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Welfare and Institutions Code, §4622). In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include, but are not limited to, education, community service and public health.

REASON FOR CURRENT ITEM:

Mr. Fernando Peña completed a one-year term on December 31, 2019; he will complete his first three-year term on December 31, 2022. The Executive Committee recommends that the Board renew Mr. Peña’s membership for a second three-year term, commencing January 1, 2023 and ending December 31, 2025.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve Mr. Fernando Peña’s Board membership for a second three-year term, commencing January 1, 2023 and ending December 31, 2025.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2021

TO: Board of Directors

FROM: John “Chip” Wright
Chair, Nominating Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Proposed Slate of Officers with New Terms Commencing January 1, 2023 and Ending June 30, 2024**

BACKGROUND:

RCOC’s Bylaws describe the offices of the Board and the election process (Sections 3.01 and 3.02). The officers of the Board shall be the Chairperson, Vice-Chairperson, Secretary and Treasurer. The terms of officers shall be for 18 months. The Board shall elect its officers at a regularly scheduled Board meeting held at least one month prior to the end of the current officers’ terms. In addition to the Directors nominated by the Nominating Committee, any Director may nominate a Director for any office. Election of each officer shall require a majority vote of all members of the Board.

REASON FOR CURRENT ITEM:

The Nominating Committee met on October 17, 2022, and proposes the following slate of officers for terms commencing January 1, 2023 and ending June 30, 2024.

Chairperson: John “Chip” Wright
Vice-Chairperson: Clifford Amsden
Treasurer: Sandy Martin
Secretary: Sylvia Delgado

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the proposed slate of officers as presented.

**Regional Center of Orange County
Board Recruitment and Training Committee
October 3, 2022
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Frances Hernandez
Hilda Mendez
Chinh Nguyen (*joined at 5:40 p.m.*)
Sandy Martin

Committee Members Absent: Fernando Peña

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technologies Director
Arturo Cazares, Director of Community Services
Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

Mr. Landauer reported that RCOC has not received a response from the Department of Developmental Services (DDS) regarding the 2022 Board Composition Survey submitted on August 15, 2022.

Mr. Wright stated that the Committee would delay reviewing any Board applications until a response is received from DDS.

II. Board Development and Training

***Board Recruitment and Training Committee Minutes
October 3, 2022***

A. Discuss Board Training Schedule and Topics

The Committee reviewed the outline for the upcoming Board of Directors' training titled *Vendor/Service Provider Overview* scheduled for Thursday, October 6, 2022 at 5:30 p.m. Mr. Wright reported that the training will be recorded and made available to Board members unable to attend.

Mr. Wright inquired about the submittal date for RCOC's Board of Director's 2023 Training Plan. Mr. Landauer stated that the Training Plan for calendar year 2022 was submitted, however DDS has not requested the training plan for 2023.

The Committee reviewed and discussed potential training topics and requested staff poll the Board to determine their preference for future training dates.

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:44 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Policies and Outcomes Committee
October 17, 2022
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Liza Krassner
Sandy Martin
Chip Wright

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Corporate Counsel Present: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 6:09 p.m.

I. Governance Policies

A. Review of the Conflict of Interest Policy

The Committee reviewed and did not propose any revisions to the policy.

B. Review of the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve

The Committee reviewed and did not propose any revisions to the policy.

II. Outcomes

A. Person Centered Thinking (PCT) Update

Mr. Landauer reported that RCOC is in the process of scheduling PCT trainings for staff and vendors.

Mr. Landauer also shared that the Department of Developmental Services (DDS) provided Home and Community-Based Services (HCBS) Final Rule compliance funding that RCOC will use to provide Person-Centered Thinking (PCT) training to approximately 250 staff from adult day programs, employment programs, Adult Family Home Agencies, and

RCOC Policies and Outcomes Committee Minutes
October 17, 2022

Community Care Facilities. It will include training for up to 14 vendor staff to become PCT certified trainers.

B. Health and Wellness Project Update

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that Dr. Sam Ho, RCOC's Consulting Pharmacist, will provide an update on *Healthy Life, Happy Life* for 2022 and the new plans for 2023.

Dr. Ivers also reported that her team continues to work on the development of RCOC's website to incorporate healthy tips and resources that persons served and the community can easily access.

C. Employment Update

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that vendors continue to be affected by staffing issues due to low rates.

D. Housing Update

In Mr. Jack Stanton's absence, Mr. Landauer reported that RCOC has secured two new homes and is currently in escrow on a second Enhanced Behavioral Supports Home (EBSH).

E. National Core Indicators (NCI) Update

Mr. Landauer reported no updates.

III. Community Forum

Families and other community representatives voiced concerns and requested that RCOC revise its POS Guidelines for Social and Recreational Services that were approved by DDS on September 1, 2022. Families said the guidelines are too restrictive.

Mr. Amsden adjourned the meeting at 6:22 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

SUBJECT: **Conflict of Interest Policy**

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 17, 2022, the Policies and Outcomes Committee reviewed the Conflict of Interest Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Conflict of Interest Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XIII. CONFLICT OF INTEREST POLICY

Background and Purpose

The purpose of this Conflict of Interest Policy is to protect the interests of Regional Center of Orange County, Inc. (RCOC) and ensure that RCOC complies with all applicable laws prohibiting conflicts of interest.

The Lanterman Act provisions regarding conflicts of interest (COI) in regional centers are set forth in Welfare & Institutions Code (WIC), Sections 4626, 4626.5, and 4627. In addition, COI regulations are found at Title 17 California Code of Regulations, Sections 54500 through 54535.

This policy is intended to supplement but not replace applicable laws and regulations governing COI.

General Scope of Conflict Laws

RCOC shall comply with the regulations (Title 17, Sections 54500-54535) that contain COI rules and obligations that apply to regional centers:

- (1) executive directors,
- (2) governing board members,
- (3) advisory committee board members,
- (4) employees,
- (5) contractors,
- (6) agents, and
- (7) consultants.

Executive Directors

The provisions for handling COI Statements and Conflicts by an Executive Director are also similar to those for Board Members. The precise provisions are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Governing Board Members

The provisions for handling COI Statements and conflicts by Board Members are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Advisory Committee Board Members

The regulations also identify possible conflicts for Advisory Committee Board Members. Title 17, Section 54521 states that there is a COI when a regional center advisory committee board member (appointed pursuant to WIC, Sections 4622(i)) is in any of the following positions for a business entity, entity, or provider from which the regional center purchases, obtains, or secures services for persons served:

(a) a member of the governing board, (b) a board committee member; (c) an owner; (d) a partner; (e) a shareholder; (f) an agent; (g) a manager; (h) an employee; (i) a contractor; or (j) a consultant, and that person does any of the following: (a) serves as an officer of the RC board; (b) votes on any fiscal manner affecting purchase of services from any RC provider; or (c) votes on any matters where the member has a financial interest.

An “advisory committee board member,” as a board member, is required to complete a COI Reporting Statement just like all other board members (Title 17, Sections 54532, 54533, and 54534).

Employees

The provisions prohibiting conflicts of interest for employees are contained at Title 17, Sections 54526, 54527, 54528, 54529, and 54530. The basic concept is that regional center employees cannot place themselves in a position where their private, personal interests may conflict with their official duties (Title 17, Section 54529). Employees must be guided solely by the interests of the regional center and those we serve. They may not place themselves in a situation that creates a dilemma about advancing their personal interests instead of acting in the best interests of the regional center.

The new regulations generally provide that the only employees who will have a conflict are employees with “decision or policy making authority” (Title 17, Section 54526). This is generally defined to include all employees except those with purely secretarial or clerical duties. Service Coordinators are deemed to be employees with “decision or policy making authority.”

Contractors, Agents and Consultants

The provisions for handling COI Statements and Conflicts for Contractors, Agents and Consultants are also similar to those for employees. Title 17, Sections 54526, 54527, 54528, 54529, and 54530 identify what will constitute a conflict.

Finally, Title 17, Section 54533 addresses resolution of such conflicts.

Definitions

Decision or Policy Making Authority

The definition of “Decision or Policy-Making Authority” in Title 17, Section 54505(d) states that employees have such authority if they exercise judgment in making decisions that are not solely secretarial or clerical, including employees who make final decisions, compel decisions, make substantive recommendations, vote on obligations, or vote to approve selection of any director, trustee, agent, employee, contractor, or consultant for his or her entity.

The law deems Service Coordinators to have “decision or policy-making authority.” This is because they generally participate on planning teams that make decisions, they make decisions about which programs and services to recommend to those we serve and finally, Service Coordinators are listed in WIC, Section 4626(e), as persons who must complete the COI Reporting Statements.

Family Members

The regulations prohibit both the employee and a family member from holding certain positions and having certain financial interests, so the definition of a “family member” is critical. Most conflicts under these rules occur with family members. Title 17, Section 54505(f) states that the term “Family Member” includes the individual’s:

“spouse, domestic partner, parents, stepparents, grandparents, siblings, step-siblings, children, stepchildren, grandchildren, and parents-in-law, brothers-in-law, sisters-in-law, sons-in-law, and daughters-in-law.” Cousins, aunts, uncles, nieces and nephews are not considered family members.

RCOC’s employees should avoid conflicts before they occur. The best way to do so is to ensure that a family member does not accept a position that will create a conflict. If a family member does take such a position, it may threaten the position of the employee by creating a conflict.

Business Entity, Entity or Provider

Business Entity, Entity or Provider is defined as:

“...any individual, business venture, or state or local governmental entity from whom or from which the regional center purchases, obtains, or secures goods or services to conduct its operations. These entities or providers include, but are not limited to, residential facilities, intermediate care facilities, skilled nursing facilities, supported and independent living services, hospitals, medical groups, activity centers, housing providers, entities formed in support of the regional center, infant programs, clinics, laboratories, pharmacies, drug stores, ambulance services, furniture stores, equipment and supply stores, physicians, psychologists, nurses, therapists, teachers, social workers, and contract case managers. For purposes of these conflict-of-interest regulations “business entity, entity or provider” does not include a consumer or family member of a consumer who receives vouchers for consumer services.”

Prohibited Conflicts

Conflict by Holding Position with Provider

A conflict exists when:

a Board Member,

an Executive Director,
or a family member of such person (Title 17, Section 54520),
an employee with decision making authority,
a Contractor,
an Agent,
a Consultant,
or family member of such person (Title 17, Section 54526), is any of the
following for a provider:

- (1) a governing board member,
- (2) a board committee member,
- (3) a director,
- (4) an officer,
- (5) an owner,
- (6) a partner,
- (7) a shareholder,
- (8) a trustee,
- (9) an employee,
- (10) an agent,
- (11) a contractor,
- (12) a consultant,
- (13) holds any position of management, or
- (14) has decision or policy making authority.

Conflicts by Financial Interest in Provider

A conflict exists if a Board Member, Executive Director, employee, contractor, agent or consultant attempts to make or influence a decision in which the person, or a family member, has an interest.

A financial interest is defined in Title 17, Sections 54522 and 54527 as follows:

Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result, directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:

- (1) business entity worth two thousand dollars (\$2,000) or more.
- (2) real or personal property worth two thousand dollars (\$2,000) or more in fair market value.
- (3) stocks or bonds worth two thousand dollars (\$2,000) or more.
- (4) intellectual property rights worth five hundred dollars (\$500) or more.

- (5) sources of gross income aggregating five hundred dollars (\$500) or more within the prior 12 months.
- (6) future interest for compensation of five hundred dollars (\$500) or more.
- (7) personal finances of two hundred fifty dollars (\$250) or more.

Conflict Making Contract in which Financially Interested

Under Title 17, Sections 54523 and 54528, Board Members, Executive Directors, and employees, contractors, agents, and consultants, shall not be guided by personal interests and shall not have a financial interest in any contract they make in their official capacity. Such persons shall not make any contract which financially benefits a family member, unless benefits associated with the contract are available to regional center individuals we serve or their families generally. In interpreting and applying this section, the common law doctrine against COI and the authorities interpreting the doctrine shall govern.

General Prohibitions Against Conflicts

Board Members, Executive Directors, employees, contractors, agents and consultants must also avoid the general prohibitions against conflicts in Title 17, Sections 54524 and 54529, which are:

General Integrity – Must discharge their responsibilities with integrity and fidelity, and must not place themselves in a position where their private, personal interests conflict with their official duties.

Perform with Diligence – Must exercise powers conferred on the employee with disinterested skill, zeal and diligence for the benefit of regional center individuals.

Conflicts Not Addressed by Regulations - When a person becomes aware of a present or personal COI regarding a particular transaction that the regulations do not address, action must be taken. In that situation, the person must not participate in any discussion and must refrain from making any recommendation or decision regarding the present or potential COI that has not been specifically addressed in the regulations.

Conflicts Working with Family Members

Under Title 17, Section 54530(a), a COI exists where a regional center employee participates in the evaluation of an application for employment or bid for position or contract at the regional center, submitted by a family member. Under Title 17, Section 54530(b) a potential COI also exists when a regional center employee supervises his or her family member.

Obligations for a Regional Center to Identify Conflicts

COI Reporting Statement (Form DS 6016)

As required by WIC, Section 4626(e), DDS also issued a DDS COI Reporting Statement that RCOC uses.

Persons Who Must Submit Conflict Statements

WIC, Section 4626(e), provides that this statement must be completed annually by;

“...each regional center governing board member and each regional center employee specified in the regulations, including, at a minimum, the executive director, every administrator, every program director, every service coordinator, and every employee who has decisionmaking or policymaking authority or authority to obligate the regional center’s resources.”

Further, Section 54531(b) of Title 17 provides:

“Each regional center employee, contractor, agent, and consultant, who has authority to act on behalf of the regional center, or who has decision or policy-making authority..., shall complete and file an annual COI Reporting Statement with his or her respective regional center, whether or not the individual has identified a present or potential COI, by August 1 of each year.”

Since some of the conflicts identified do not depend on having “decision making” authority, all employees of RCOC must complete the COI Reporting Statement.

Process for Submission of Statements

Annually: Regional Center Board Members, the Executive Director, and employees, contractors, agents and consultants shall complete and file an annual COI Statement by August 1 of each year (Title 17, Sections 54532(a) and 54531(b)). The statute mandates this for every employee referenced in WIC, Section 4626(e) (WIC, Section 4626(g)).

New Board Members, Executive Directors, Employees, Contractors, Agents and Consultants: Such new persons shall file a COI statement within 30 days of assuming the position (Title 17, Sections 54532(c) and 54531(c); WIC, Section 4626(f)).

Change in Status: Board Members, Executive Directors and regional center employees, contractors, agents and consultants shall complete and file a COI Reporting Statement with the regional center within 30 days of any change in status that creates a COI under Title 17, Sections 54532(d) and 54531(d). “Change in status includes, but is not limited to, a change in financial interests, legal commitment, regional center or board position or

duties, or both, or outside position or duties, or both, whether compensated or not” (WIC, Section 4626(h)).

Executive Director Review: The Executive Director shall review each COI Reporting Statement that is submitted and within ten (10) working days of receipt of the statement shall determine whether a COI exists (Title 17, Sections 54531(e); WIC, Section 4626 (k)). Under Title 17, Section 54532, statements submitted by Board Members and the Executive Director must be submitted to DDS within ten (10) working days of receipt.

Obligations When COI Discovered: When a present or potential COI is identified for a Board member, Executive Director, employee, agent or consultant, RCOC must either (1) require the employee or other person to eliminate the conflict, or (2) mitigate and manage the conflict through a Conflict Resolution Plan, or the individual shall resign (Title 17, Section 54533(a)).

RCOC Must Submit Conflict Resolution Plan to DDS: When a present or potential COI has been identified and RCOC decides to mitigate and manage the conflict through a Conflict Resolution Plan, it must submit a copy of the completed COI Reporting Statement and a proposed Conflict Resolution Plan to DDS within 30 calendar days of receipt of the statement (Title 17, Section 54533(a) and (b)).

Submission of COI Policy to DDS and Posting on Website

Each regional center was required to submit a COI policy to DDS by July 1, 2011, and post the policy on its website by August 1, 2011 (WIC, Section 4626.5).

Obligation to Resolve Identified Conflicts

When a conflict is identified either through a COI Reporting Statement or by notification of DDS, the Executive Director then has a specific obligation to resolve the matter, and to take certain action.

Executive Director Reviews Conflict Statements

Under Title 17, Section 54531(e), a regional center Executive Director is required to review the completed COI Reporting Statement of each employee within ten (10) working days of receipt and determine whether a present or potential conflict exists.

Regional Center Must Eliminate Conflict or File Conflict Resolution Plan

Under Title 17, Section 54533(a) a Board member, Executive Director, employee, contractor, agent or consultant with a present or potential COI must eliminate the conflict, or if the regional center permits, manage and mitigate the conflict through a Conflict Resolution Plan, or the individual shall resign. There is no legal obligation to submit a Conflict Resolution Plan, since it is merely an option the regional center

may choose to select. The regional center has every right to simply require that the conflict be eliminated.

Submission of Conflict Resolution Plan

When the Executive Director learns of a conflict either through review of submitted COI Reporting Statements, or from DDS, under Title 17, Section 54533(b) the Executive Director shall either require the conflict to be eliminated, or shall submit an employee's COI Reporting Statement and proposed Conflict Resolution Plan to DDS (1) within 30 days of receipt of the COI Reporting Statement or (2) within 30 days of receipt of DDS' notification of a conflict.

Content of Conflict Resolution Plan

Title 17, Section 54533(g) identifies the requirements of a Conflict Resolution Plan. It must describe the precise nature of the conflict, including the type of conflict, the relationship between the individuals involved (when a family member is involved), and the roles and identity of each person, i.e., the employee and the family member (Title 17, Section 54533(g)(1)).

Under Title 17, Section 54533(g)(2) the Conflict Resolution Plan must then identify the actions the regional center will take to eliminate or mitigate and manage the conflict. This can involve either resignation of the family member creating the conflict, refraining from participation in a certain category of matters that create the conflict, a change of position, duties, or assignment, or a divestiture of financial interests.

Further, the Conflict Resolution Plan must "provide a detailed explanation of how each of the proposed actions will eliminate, mitigate or manage the conflict (Title 17, Section 54533(g)(3)). The plan must provide the name, position, and duties of the individuals who will be responsible for monitoring the plan, (Title 17, Section 54533(g)(4)), and the plan must be signed by the individuals subject to the plan under Title 17, Section 54533(g)(4).

Posting of Conflict on Website

Under Title 17, Section 54533(f), the regional center shall post on its website each COI Reporting Statement that identifies a present/potential COI that cannot be resolved within 30 calendar days. This posting shall continue until the conflict has either been eliminated or the individual has resigned.

Prohibitions While Conflict Still Pending

Under Title 17, Section 54525, when a conflict has been identified for a governing board member or executive director, a regional center may not refer any individual to any business entity involved in the conflict, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Further, no regional center governing board member or executive director shall continue to serve in violation of these provisions, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Role of DDS in Monitoring Conflicts

Review of Conflict Resolution Plan by Department

Under Title 17, Section 54534(f), DDS shall issue a modification, approval or denial of the proposed Conflict Resolution Plan in writing to the regional center governing board or regional center designated party within 30 calendar days of receipt of a proposed Conflict Resolution Plan.

Title 17, Section 54534(g) provides that if DDS denies a Conflict Resolution Plan, the individual shall have 30 calendar days to eliminate the conflict or to resign.

If a Conflict Resolution Plan is approved, the employee shall fully comply with all the elements set forth in the approved Conflict Resolution Plan (Title 17, Section 54534(j)).

In addition, under Section 54534(k), a new Conflict Resolution Plan shall be submitted to DDS on an annual basis, and upon any change of status that creates a COI.

Submission of Conflict Resolution Plan Does Not Authorize Continued Activities that Create Conflict

The submission of a Conflict Resolution Plan does not authorize the employee to engage in the activities that created the conflict. In the words of the regulation, “Individuals shall not engage in activities in which there is a present or potential COI except in accordance with the terms of an approved Conflict Resolution Plan” (Title 17, Section 54534(d)).

Sanctions

RCOC will be vigilant to eliminate and resolve conflicts, since if DDS finds a violation of these provisions, it can provide 30 days to eliminate or resolve the violation. If the violation is not so resolved or eliminated, DDS may “take immediate action to commence procedures for termination or nonrenewal of the regional center contract pursuant to W&I Code, Section 4635.”

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 3, 2022

TO: Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 17, 2022, the Policies and Outcomes Committee reviewed the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XIX. ZERO TOLERANCE POLICY REGARDING ABUSE AND NEGLECT OF THOSE WE SERVE

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes the importance of transparency and accountability to the community it serves. RCOC is committed to reporting information with accuracy and transparency and maintaining full compliance with the laws, rules and regulations that govern RCOC's business, as well as RCOC's contract with the California Department of Developmental Services. This includes annually notifying all its employees, its vendors and licensees of long-term health care facilities* that are serving RCOC persons served that RCOC has a Zero Tolerance Policy regarding abuse and neglect of those we serve.

POLICY

Abuse of individuals we serve committed by RCOC employees, employees of RCOC vendors, or employees of licensees who operate long-term health care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any RCOC employee found to have abused a person served will be subject to severe discipline, up to and including discharge, and will be referred to appropriate authorities. All employees of RCOC vendors and of licensees who operate long-term health care facilities found to have abused a person served will be referred to the appropriate authorities, and the vendor may also be subject to sanctions, up to and including, removal from the list of those authorized to provide services for regional center.

All employees of RCOC, employees of RCOC vendors, and employees of licensees who operate long-term health care facilities who are mandated reporters pursuant to the California Penal Code shall strictly comply with the reporting laws at all times, including, but not limited to, Welfare and Institutions Code Section 15630. A mandated reporter must report all abuse of individuals we serve to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that abuse has occurred.

RCOC, all RCOC vendors, and all licensees who operate long-term health care facilities serving RCOC persons served shall ensure their employees are fully informed upon hire and annually thereafter regarding RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve and the mandatory abuse and neglect reporting laws. Each employee must be knowledgeable of their responsibility to protect our population from abuse and neglect, the signs of abuse and neglect, the process for reporting suspected abuse or neglect, and the consequences of failing to follow the law and enforcing this policy.

RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve will be incorporated into any new or revised contract, vendorization or other agreement for services.

If RCOC, a RCOC vendor, or a licensee who operates a long-term health care facility becomes aware of abuse of a person served, it shall take immediate action, to the extent permitted by law, to ensure the health and safety of the affected individual and all other individuals receiving services and supports from RCOC. This obligation is in addition to those obligations required of mandated reporters to report abuse under the reporting laws.

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Service coordinators inform families of their rights and the services and supports available to them.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

* According to the State of California Health and Safety Code Section 1418,

(a) "Long-term health care facility" means any facility licensed pursuant to Chapter 2 (commencing with Section 1250) that is any of the following:

- (1) Skilled nursing facility.
- (2) Intermediate care facility.
- (3) Intermediate care facility/developmentally disabled.
- (4) Intermediate care facility/developmentally disabled habilitative.
- (5) Intermediate care facility/developmentally disabled-nursing.
- (6) Congregate living health facility.
- (7) Nursing facility.
- (8) Intermediate care facility/developmentally disabled-continuous nursing.

(b) "Long-term health care facility" also includes a pediatric day health and respite care facility licensed pursuant to Chapter 8.6 (commencing with Section 1760).

(c) "Long-term health care facility" does not include a general acute care hospital or an acute psychiatric hospital, except for that distinct part of the hospital that provides skilled nursing facility, intermediate care facility, intermediate care facility/developmentally disabled, or pediatric day health and respite care facility services.

(d) "Licensee" means the holder of a license issued under Chapter 2 (commencing with Section 1250) or Chapter 8.6 (commencing with Section 1760) for a long-term health care facility.

**Regional Center of Orange County
Vendor Advisory Committee
September 13, 2022
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent

Co-Chair, Atrem Behmanesh, present

Adult Day Programs

Chair, Rick Perez, present

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present

Co-Chair, Mark Antenucci, absent

Behavior Services

Chair, Cindy Hebert, present

Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Member Pending

Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, present

Co-Chair, Pam Alexander, absent

Habilitation

Chair, Jodean Hudson, present

Co-Chair, Member Pending

Independent/Supported Living

Chair, Christine Molina, present

Co-Chair, Ana Sandoval, absent

Intermediate Care Facilities

Chair, Rich Mraule, absent

Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, absent

Co-Chair, Alex Saldana, absent

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present (*joined at 2:05 p.m.*)

Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director

Vendor Advisory Committee Minutes
September 13, 2022

Bette Baber, Chief Financial Officer
Liliana Castillo, Accounting Manager
Arturo Cazares, Director of Employment
Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Keli Radford, Services and Supports Director
Jack Stanton, Associate Director of Housing
Marta Vasquez, Finance Director

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:03 p.m.

I. RCOC Update

A. Rate Update *(out of agenda order)*

Ms. Marta Vasquez, RCOC's Director of Finance, reported that Alternative Nonresidential Services will end on December 31, 2022. RCOC's accounting department will make changes for groups (10 or more individuals) switching from Alternative to traditional services prior to January 1, 2023. Vendors may submit group lists to accounting@rcocdd.com. Individual requests must be submitted to the designated service coordinator.

Ms. Vasquez reported that many billing issues are the result of changes between Alternative Nonresidential Services and traditional services. She requested that vendors not bill on an incorrect authorization(s) and to contact the service coordinator and/or wait for accounting to issue the correct authorization(s).

Ms. Vasquez also shared the rate for Tailored Day Services, \$42.00 per hour, and reviewed the rate calculation methodology.

Mr. Arturo Cazares, RCOC's Director of Community Services, reported that the Department of Developmental Services (DDS) has not provided guidance regarding Welfare and Institutions Code changes for Tailored Day Services. Upon receipt, RCOC will notify applicants interested in providing Tailored Day Services.

Mr. Larry Landauer reported on the statewide surplus and that we are still awaiting guidance from DDS on many trailer bills.

II. Board Report

Mr. Landauer reported that there was a Board of Director's meeting held on September 1, 2022.

III. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA is working closely with First Transit, OCTA's ACCESS subcontractor, to manage on-time performance. The morning and afternoon peak-travel times are the most difficult to manage.

V. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Perez reported that the subcommittees met and discussed Tailored Day Services and the Paid Internship Program (PIP). They also discussed the closure of a program and how other vendors may serve the persons affected by the closure.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that their subcommittee is scheduled to meet later this month.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met on September 1, 2022, and discussed staffing shortages and how vendors can advocate for a rate increase at the state level. They also discussed working with local colleges and universities to help alleviate the staffing shortages by informing graduating students about employment opportunities within the vendor community.

E. Community Care Facilities (CCF) – Member Pending

Mr. Jack Stanton reported that the subcommittee did not meet; they continue to share information via email.

Vendor Advisory Committee Minutes
September 13, 2022

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee is scheduled to meet in October 2022.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that the subcommittee was scheduled to meet on September 12, 2022; the meeting was then canceled due to poor attendance. Only two members joined the meeting.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met earlier in the day to discuss billing issues.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (absent)

In Mr. Andrew Velasco's absence, Mr. Stanton reported that the subcommittee met earlier in the day to discuss minimum wage and staffing challenges.

VI. Community Forum

Ms. Lazo-Pearson reported that announcements from the State Council on Developmental Disabilities (SCDD) Orange County Regional Office will be emailed to Committee members.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:27 p.m.

The next VAC meeting is scheduled for October 11, 2022.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
October 11, 2022
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent

Co-Chair, Atrem Behmanesh, present *(joined at 2:07 p.m.)*

Adult Day Programs

Chair, Rick Perez, present

Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, absent

Co-Chair, Mark Antenucci, present

Behavior Services

Chair, Cindy Hebert, present

Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Member Pending

Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, present

Co-Chair, Pam Alexander, absent

Habilitation

Chair, Jodean Hudson, present

Co-Chair, Member Pending

Independent/Supported Living

Chair, Christine Molina, present *(joined at 2:02 p.m.)*

Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent

Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, present

Co-Chair, Alex Saldana, present *(joined at 2:04 p.m.)*

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present *(joined at 2:05 p.m.)*

Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director

Bette Baber, Chief Financial Officer

Jerrold Bonner, Information Technology Director

Vendor Advisory Committee Minutes
October 11, 2022

Liliana Castillo, Accounting Manager
Bonnie Ivers, Clinical Director *(joined at 2:03 p.m.)*
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Sean Watson, Associate Director of Risk Management
Marta Vasquez, Finance Director

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:01 p.m.

I. RCOC Update

A. Traditional Rate Increases Update

Ms. Marta Vasquez, RCOC's Director of Finance, reported that RCOC sent notices to vendors for their Independent Audit or Independent Review Report of Financial Statements for Fiscal Year including March 2021. Vendors are required to submit their completed audit or review report to RCOC no later than 30 days from the notice date. Vendors who submit an Independent Audit or Independent Review without issues may apply for a two-year exemption.

Ms. Vasquez also reported that the Department of Developmental Services (DDS) provided regional centers with information regarding the incentive payment of \$8,000 for completing the Direct Support Professional Workforce Data Collection survey. DDS is validating and issuing lists of providers eligible for the incentive payment in phases. The first phase of eligible providers will be posted on RCOCs website. Payment to eligible providers, one per provider, will be issued on October 28, 2022.

Mr. Landauer reported on the statewide surplus.

Mr. Landauer shared that DDS provided Home and Community-Based Services Final Rule compliance funding that RCOC will use to provide Person-Centered Thinking (PCT) training to approximately 250 staff from adult day programs, employment programs, Adult Family Home Agencies, and Community Care Facilities. It will include training for up to 14 vendor staff to become PCT certified trainers. RCOC asks that service providers select staff, particularly those in leadership or supervisory roles, who would like to participate in this training opportunity. The training will be provided virtually and it requires the participants' commitment to completing the training. There will be at least one webinar to provide information and details for those interested in participating in this training opportunity.

II. Board Report

Mr. Landauer reported that the Board of Directors' training topic on October 6, 2022, was *Vendor/Service Provider Overview*.

III. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA offers group and individual transit trainings designed to help Orange County residents learn key bus riding skills. Upon completion of a training and a survey, group-training participants receive two one-day bus passes and individual participants receive a 30-day pass. Ms. Mungia reported that a flyer will be forwarded to Committee members for more information.

V. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Perez reported that the subcommittees met and discussed the end of Alternative Nonresidential Services and the return to in-person services. Mr. Perez inquired if authorizations will automatically be changed to traditional services by RCOC or if vendors would be required to submit a request to RCOC. Ms. Vasquez responded that authorizations will be automatically changed for individuals who received traditional services prior to COVID-19. Vendors must submit a request for individuals who have only received Alternative Nonresidential Services and must identify the number of days/hours of service to be provided.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (absent)

In Ms. Katherine Gurney's absence, Mr. Mark Antenucci stated that AFHAs are a cost-effective living option but only serve 80 to 100 individuals. Mr. Antenucci asks DDS and regional centers to look at the rates and levels of care provided by AFHAs and increase the number of persons served.

Vendor Advisory Committee Minutes
October 11, 2022

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee is scheduled to meet in December 2022.

E. Community Care Facilities (CCF) – Member Pending

No representative from the subcommittee was present and no report was provided.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee is scheduled to meet on October 20, 2022.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that the subcommittee is scheduled to meet in November 2022.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met today and discussed staffing shortages, the possibility of a winter surge of COVID-19 cases and hazard pay for staff working with persons served who are positive for COVID-19.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (present)

Mr. Andrew Velasco reported that the subcommittee reviewed and discussed the Quality Incentive Program with Mr. Stanton. Regarding the renewal of authorizations, they asked if RCOC could notify vendors when the service coordinator changes.

VI. Community Forum

There were no speakers for community forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:22 p.m.

The next VAC meeting is scheduled for November 8, 2022.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Peer Advisory Committee
October 19, 2022
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Amy Jessee
Yvonne Kluttz
Fernando Peña

Committee Members Absent: Marcell Bassett
Cheryl Day
Jyusse Corey, Peer Advocate
Steven Gersten
Peter Kuo

Board Member Present: Chinh Nguyen (*joined at 3:12 p.m.*)

RCOC Staff Members Present: Sandra Lomeli, Clerk to the Board of Directors
Jack Stanton, Associate Director of Housing

Ms. Sylvia Delgado called the meeting to order at 3:05 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

Ms. Delgado reported that she attended the 36th Annual Supported Life Conference in Sacramento on October 13th and 14th. The theme this year was *Re-Emergence: Community, Work, Arts and Play*. Ms. Delgado stated that a keynote speaker at the event was Ms. Nancy Bargmann, Director of the Department of Developmental Services (DDS). Mr. Arturo Cazares, RCOC's Director of Community Services, was a speaker at the session on *Building Community Partnerships for Person-Centered Employment Outcomes*. Ms. Delgado attended sessions covering topic areas such as CalABLE, minimum wage and self-advocacy.

III. Housing for People with Developmental Disabilities

Mr. Jack Stanton, RCOC's Associate Director of Housing, gave a presentation on housing for persons served.

IV. Community Forum

No community members were present at the meeting.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for November 16, 2022.

The meeting was adjourned at 3:44 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Legislative and Community Awareness Committee
October 11, 2022
Videoconference Minutes**

Committee Members Present: Liza Krassner, Chairperson
Meena Chockalingam
Sandy Martin
Chinh Nguyen
John “Chip” Wright

Committee Member Absent: Hilda Mendez

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer (*joined at 5:11 p.m.*)
Jerrod Bonner, Information Technology Director
Arturo Cazares, Director of Community Services
Bonnie Ivers, Clinical Director
Kathleen McFarlin, Family Resources Manager
Jennifer Montanez, Director of Case Management
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:01 p.m.

I. Public Relations

A. News Media Outreach

Ms. Blankenship reported that some of the media coverage of RCOC’s Back-to-School Backpack Giveaway in July 2022, included an article in *La Opinión*, the Spanish newspaper, and the Fullerton Observer. The event was also covered by the Huntington Beach News and the Aliso-Laguna News, which are online community news outlets. The Orange County Register and the Los Angeles Times, both had a story about the appointments of Ms. Yvonne Kluttz and Mr. Rick Perez to RCOC’s Board of Directors.

Ms. Blankenship also reported that RCOC is raising awareness about the importance of early intervention and the availability of developmental screenings. Mr. Landauer and Ms. Kaitlynn Truong, RCOC’s Cultural Specialist, were interviewed about RCOC’s early intervention services by *Spectrum News 1* and *Little Saigon TV*. Ms. Truong was also able to share her personal family experience and the importance of early intervention for her son’s diagnosis with both news outlets.

B. Dialogue

Ms. Blankenship reported that the fall issue of the *Dialogue* will focus on Mr. Angel Romero, a person served by RCOC, and his independent living success story. Mr. Landauer's column focuses on how RCOC's long-term planning on employment, housing and health and wellness helps persons served. In addition, there will be a column on the *ABC's of Special Education, How and When to Request an Individual Education Program (IEP) meeting*. The issue also promotes the Irvine Barclay Theatre's sensory-friendly holiday event, RCOC's Wish Tree program and holiday wellness tips on how families can safely gather for the holidays.

C. Direct Support Professional Recognition Week

Ms. Blankenship reported that during Direct Support Professional Recognition Week, September 11-16, 2022, RCOC recognized the direct support professionals who were nominated via email blasts, RCOC's website and its social media accounts. Ms. Blankenship said that there was so much positive feedback that RCOC plans to recognize the direct support professionals who are nominated again next year.

D. Website Update

Ms. Anh Nguyen reported that the visual refresh and redesign of RCOC's website is still in the development stages and should launch in fall 2023.

E. Social Media

Ms. Nguyen reported that she continues to work with RCOC's Diversity and Inclusion Task Force and they have recommended creating and posting short videos on YouTube and our website about RCOC's services. For those with low vision or low literacy, print is not the best way to communicate. Ms. Krassner stated that this would be a great project for the upcoming year.

II. Legislative Outreach

A. ARCA Update

Ms. Krassner reported that ARCA's Board of Directors' met on September 24, 2022 and discussed having their meetings in-person starting in the spring. They also plan to have their meetings in north and south locations with the same content.

B. Budget Update and Delegation Relationships

Mr. Landauer reported that the Little Hoover Commission will be holding its first of two hearings on the developmental disabilities services systems on October 13, 2022. The Commission will allow for public comments along with written testimonies. Mr. Landauer stated that RCOC plans to submit written testimony from the Board of Directors that will be reviewed at the Executive Committee meeting on October 17, 2022.

III. Community Outreach

A. Disparity-Focused Activities and Analytics

In Ms. Keli Radford's absence, Ms. Kathleen McFarlin, RCOC's Family Support and Community Outreach Manager, reported that in-person developmental screenings continue in under-served communities. Ms. McFarlin also reported that RCOC has expanded family support through a number outreach positions that will help families navigate the regional center and school systems. The positions include the Resource Group Leader for Educational Services, Self-Determination Program Resource Group Leader, and Community Outreach & Access Coordinator.

Ms. McFarland also reported that Ms. Kaitlynn Truong, RCOC's Cultural Specialist, has held meetings with community partners to complete mandated surveys in underserved communities. FRC staff also continues to host virtual coffee chats with Spanish and Vietnamese-speaking parents.

B. Holiday Programs: Barclay Performance & Wish Tree

Ms. McFarlin reported that Calvary Gateway is donating a complete turkey meal for five families. RCOC will identify five families in need to receive the meals.

Ms. McFarlin stated that the Irvine Barclay Theatre event flyers are being finalized and translated in various languages and should be sent out by December 9, 2022.

Ms. McFarlin also reported that Ms. Truong has secured over 400 sponsors for the Wish Tree program. FRC staff are working with service coordinators to secure the names of those persons served that will be participating in this year's program.

C. Developmental Services Careers/Vendor Support

Dr. Bonnie Ivers, RCOC's Clinical Director, mentioned that behavior vendors have commented that there are not enough new people going into developmental services careers and wondered how they could promote developmental services as a career choice.

Ms. Krassner stated that career centers at the local universities would be a great way for vendors to access students for these opportunities. Ms. Krassner offered her assistance in connecting vendors with staff at the University of California, Irvine.

IV. Community Forum

No community members were present at the meeting.

V. Other

There was a discussion about how to improve RCOC's community calendar. Something that would be posted on the home page of RCOC's website and include all the different events that families could attend.

***RCOC Legislative and Community Awareness Committee Minutes
October 11, 2022***

Ms. Krassner adjourned the meeting at 5:47 p.m.

Recorder: Sandra Lomeli