REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA

Date: Thursday, November 5, 2026

CLOSED SESSION: 5:00 p.m. ● GENERAL SESSION: 6:00 p.m.

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.		**Call To Order: 5:00 p.m.**	Sandy Martin
II.		**Closed Session *(Board Members Only)***	
	A.	Statement of Closed Session Items	Greg Simonian, Esq.
		1. Executive Director Annual Performance Evaluation, Welfare & Institutions Code Sections 4663 (a)(2)	
III.		**General Session: 6:00 p.m. *(Open to the Public)***	
	A.	Statement of Closed Session Items	Greg Simonian, Esq.
	B.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	Sandy Martin
	C.	Community Forum for Agenda Items Only***	Sandy Martin
	D.	Budget and Finance Committee	Jacqueline Nguyen
	E.	Consent Calendar*	Sandy Martin
		1. Approval of Board of Directors' Minutes for September 3, 2026** 2. Budget and Finance Committee** a. Approval of Monthly POS Expenditure Projection (PEP) Summary, August 2026** b. Approval of Monthly POS Expenditure Projection (PEP) Summary, September 2026** c. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 21, 2026** d. Approval of Budget Amendment	
	F.	Executive Director's Report	Larry Landauer
		1. Recognition of Persons' Served Employment Longevity 2. Approval of Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Deici Gonzalez-Tovar, Service Coordinator** 3. Approval of Amendment to Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of a Specialized Residential Facility for Children (CRDP Project No. 2526-5)(Emerson)** 4. Operations Report – August 2026** 5. Operations Report – September 2026** 6. Fiscal Year 2026-2027 Performance Contract Plan** 7. Strategic Plan Update: Strategic Goal #1 – Employment and Day Services	Jack Stanton Anita Kwon
	G.	Executive Committee	Sandy Martin
	H.	Board Recruitment and Training Committee**	Sandy Martin
	I.	Policies and Outcomes Committee**	Chip Wright
		1. Conflict of Interest Policy** 2. Policy on Resource Development and Procurement** 3. Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**	

	J.	Vendor Advisory Committee**	Rick Perez
	K.	Peer Advisory Committee**	Yvonne Kluttz
	L.	Legislative and Community Awareness Committee**	Bruce Hall
	M.	ARCA Report	Sandy Martin
	N.	Community Forum***	Sandy Martin
	O.	Chairperson's Report	Sandy Martin
IV.		**Adjournment**	Sandy Martin

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*
***Attachments for Board members in Board packet.*
****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

Regional Center of Orange County
Board of Directors' Meeting Minutes
September 3, 2026

Board Members Present:	Sandy Martin, Chairperson
(Members in-person, unless otherwise noted)	Marcell Bassett
	Pankaj Bedekar
	Bruce Hall
	Isabel Ibarra
	Amy Jessee
	Yvonne Kluttz
	Liza Krassner
	Hilda Mendez
	Chinh Nguyen
	Rick Perez
Board Members Absent:	Frances Hernandez
	Lorena Medina
	Jacqueline Nguyen
	Chip Wright
Corporate Counsel Present:	Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:00 p.m.

I. General Session

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance. Mr. Marcell Bassett read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

In Ms. Jacqueline Nguyen's absence, Ms. Martin reported that the Committee approved and recommended for approval all its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for June 4, 2026

2. Approval of Special Board of Directors' Minutes for July 29, 2026
3. Information Regarding the Department of Developmental Services' (DDS') Approval of Conflict of Resolution Plan for Board Member Liza Krassner
4. Budget and Finance Committee
    a. Approval of Monthly Sufficiency of Allocation Report, May 2026
    b. Approval of Monthly Sufficiency of Allocation Report, June 2026
    c. Approval of Monthly POS Expenditure Projection (PEP) Summary Report, July 2026
    d. Approval of Budget Amendment C-2 for Fiscal Year 2026-2027
    e. Approval of Independent Audit Engagement Letter with Windes, Inc. for Fiscal Year Ending June 30, 2026

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

   The Board of Directors honored three persons served who have worked for the same employer for 20 or more years with a Certificate of Recognition.

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *Legislative Tours*. Mr. Landauer reported that on July 17, 2026, RCOC provided a tour for Ms. Karina Hendren, Fiscal and Policy Analyst with the Legislative Analyst's Office (LAO) and Department of Finance (DOF) representatives Mr. Omar Sanchez and Mr. Christopher Odneal. The tour included visits to an Early Start Program, a Community Day Program, Edwards Lifesciences, and Harbor Village Apartments. The group returned to RCOC for a review of data and reports to gain an overview of programs and operations.

- *California Assembly Budget Subcommittee No.2.* Mr. Landauer reported that the Assembly Budget Subcommittee No. 2 on Human Services held a five-hour hearing to review remaining FY 2026-27 budget issues related to DDS, regional centers and individuals served. The discussion focused on equitable access to intake and services, including DDS's concerns regarding limitations of the current Child Development Evaluation Report (CDER) tool.

- *Legislative Analyst Office (LAO).* Mr. Landauer reported that LAO released its FY 2026-27 Budget: Overview of the Spending Plan on August 2026. LAO reports that while California is experiencing strong revenue growth, the state faces long term budget risks. Rising costs, economic uncertainty and reliance on temporary revenues could challenge the sustainability of the Governor's FY 2026-27 budget.

The enacted spending plan for DDS provides $21.6 billion in total funds in FY 2026-27, including $13.5 billion from the General Fund, representing a 16% increase total spending compared to the FY 2025-26 expenditures. To review the report, visit www.lao.ca.gov.

- *Purchase of Service (POS) Expenditures for Fiscal Year 2026-27*. Mr. Landauer reported that there is a projected surplus statewide.

- *Strategic Plan – Self-Determination Program (SDP).* Ms. Crystal Chavez provided an update on SDP activities and progress during the 2025-2026 fiscal year, including program enrollment, community outreach, training and individualized support for families. Ms. Chavez highlighted multilingual informational materials and resources available to assist individuals and families in navigating the program. Ms. Chavez also provided a summary of the program accomplishments, ongoing challenges, upcoming initiatives and actions taken to support continued program improvement.

- *RCOC News*. Mr. Landauer reported that Cultural, Linguistic and Disparity efforts are ongoing. He then presented data by ethnicity for Purchase of Services (POS) day programs, personal assistance, social recreation and independent living services. Mr. Landauer noted that this data helps RCOC better support persons served and their families. Additionally, 71% of RCOC's Service Coordinators are bilingual and receive extensive ongoing training across all service areas.

- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee last met on August 31, 2026.

- *Rate Reform*. Mr. Landauer provided an update on the impact of Rate Reform on Purchase of Service (POS) contract processing and vendor payments. The transition to new subcodes and increased authorization requirements has contributed to processing delays and vendor concerns. RCOC has hired additional staff and implemented processing improvements to address the increased workload. Mr. Landauer stated that while the POS backlog has improved, efforts will continue to reduce delays and ensure timely vendor payments.

- *Board of Directors' Retreat.* Mr. Landauer reported that the Board of Directors held a retreat on July 18, 2026, which included a presentation by Ms. Karina Hendren with the Legislative Analyst's Office (LAO), focusing on state fiscal and policy issues. Additional sessions covered the Carver Model, Linguistic and Cultural Competency, Board Governance, Conflict of Interest and Whistleblower policies. The retreat also included an overview of RCOC's Strategic Plan, systems reforms, regional center contract and operations, along with updates from Intake, Early Start, and the Central and West service areas.

F. Executive Committee

Ms. Martin reported that the Committee met on July 20, 2026, and the next meeting is scheduled for September 21, 2026.

G. Board Recruitment and Training Committee

Ms. Martin reported that the Committee met on July 13, 2026, and reviewed the Board composition and upcoming training topics.

The next Committee meeting is scheduled for September 14, 2026.

H. Policies and Outcomes Committee

In Mr. Chip Wright's absence, Ms. Martin reported that the Committee met on July 20, 2026 and reviewed the Policy on Information Dissemination to Person Served, Families, Authorized Representatives and Other Interested Parties, and the Policy on Insurance Requirements for Providers. No revisions were proposed to either policy.

The next Committee meeting is scheduled for October 19, 2026.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on July 14, 2026 and reelected him to serve as the VAC Chairperson and member of the Board of Directors from July 1, 2026 to June 30, 2027.

The next Committee meeting is scheduled for September 8, 2026.

J. Peer Advisory Committee

Ms. Yvonne Kluttz reported that the Committee met on June 17, 2026.
Mr. Derrick Nguyen, RCOC's Community Navigator, shared RCOC's Summer Recreation Resource Guide.

The next Committee meeting is scheduled for September 16, 2026.

K. Legislative and Community Awareness Report

Mr. Bruce Hall reported that the Committee met on July 14, 2026 and received updates on legislative outreach efforts as well as community events and disparity-focused activities.

The next LCA meeting is scheduled for October 13, 2026.

L. ARCA Report

Ms. Sandy Martin reported that the ARCA Board of Directors met on August 21, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for October 16, 2026 in San Diego.

M. Community Forum

During Community Forum, nine parents provided public comments, requesting that RCOC reinstate the Hispanic Parent meetings with Mr. Landauer and his leadership team. The parents emphasized that these meetings would provide an opportunity for families to share concerns, discuss ongoing challenges and seek resolutions to issue related to services and support.

N. Chairperson's Report

Ms. Martin again acknowledged and congratulated the three persons served recognized tonight who have worked for the same employer for 20 or more years.

Ms. Martin reported that the next Board of Directors meeting is scheduled for November 5, 2026.

O. National Core Indicators (NCI) Annual Presentation

Mr. Landauer presented data from the latest National Core Indicators (NCI) Survey. The presentation is available on RCOC's website in English, Spanish, Vietnamese and Korean. Those interested in submitting input or questions regarding tonight's presentation can send an email to nci.input@rcocdd.com.

II. Adjournment

Ms. Martin adjourned the meeting at 8:04 p.m.

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Yvonne Kluttz, Secretary

Recorder: Sandra Lomelí

Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
September 3, 2026

Committee Members Present:	Bruce Hall Amy Jessee Sandy Martin Marcell Bassett *(arrived at 4:21 p.m.)*
Other Board Members Present:	Pankaj Bedekar Isabel Ibarra Yvonne Klutz Liza Krassner
Committee Members Absent:	Jacqueline Nguyen, Chair John "Chip" Wright
Board Counsel Present:	Greg E. Simonian
RCOC Staff Present:	Larry Landauer, Executive Director Bianca Borja Rios, Accounting Supervisor Arturo Cazares, Associate Executive Director Crystal Chavez, Self-Determination Program Coordinator Ernie Cruz, Director of Community Services Valeria de los Angeles, Accounting Supervisor - Payables Nancy Franco, Accounting Manager – Operations Bonnie Ivers, Clinical Director Christina Petteruto, General Counsel Linda Pham, Accounting Manager - Operations Marta Vasquez, Chief Financial Officer Stacy Wong, HR Director

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), May and June 2026

   Ms. Vasquez reported that due to the continued increase in workload resulting from the implementation of the Rate Models, submission of the Sufficiency of Allocation Report (SOAR), typically due to the Department of Developmental Services (DDS) on December 10, 2025, was postponed until further notice.

   Ms. Vasquez also reported that factors affecting expenditures in fiscal year 2025-26 include:

   - Continuation costs for higher provider rates (prior year's rate increases)
   - Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
   - Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation

- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

M/S/C to approve the monthly SOARs

2. Approval of Monthly POS Expenditure Projection (PEP) Summary, July 2026

Ms. Vasquez shared that the monthly report title was changed, by DDS, from SOAR to PEP. The July 2026 report reflects the new title. Ms. Vasquez reported that RCOC has not received the planning allocation for fiscal year 2026-27. Once the allocation is received, RCOC will be able to determine if there is a projected sufficiency or deficiency in the allocation. The first POS Expenditure Projection (PEP) Summary has historically been due to the Department of Developmental Services (DDS) on December 10th of each year. However, RCOC has not been required to submit a report since fiscal year 2024-25.

Ms. Vasquez also reported that factors affecting expenditures in fiscal year 2026-27 include:

- Continuation costs for higher provider rates (prior year's rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2027
- Impact of the Department of Rehabilitation's (DOR's) Order of Selection wait lists that will require the regional centers to fund for services previously funded by DOR
- Rate increases for Community-Based Adult Services
- Impact of number persons who will graduate from school to regional center-funded adult day programs

M/S/C to approve the monthly PEP

3. Approval of Budget Amendment C-2 for Fiscal Year 2026-27

Ms. Vasquez reported that DDS will be issuing the C-2 allocation. The process to allocate funds is being changed from a Budget Amendment to a Fiscal Allocation Letter (FAL), however, it is unknown at this time if the Chairperson's signature will be required. RCOC must return the signed document, if applicable, and submit its cash advance request to the Department of Developmental Services to prevent any disruption in cash flow.

M/S/C to approve Budget Amendment

4. Approval of Independent Audit Engagement Letter with Windes, Inc. for Fiscal Year Ending June 30, 2026

Ms. Vasquez reported that RCOC has engaged Windes, Inc. to conduct RCOC's independent audit. This is the last year with Windes, Inc. In accordance with Welfare and Institutions Code Section 4639 RCOC will be required to engage a new auditor for Fiscal Year 2026-27.

M/S/C to approve Budget Amendment

The committee discussed the Rate Reform Impact on Regional Centers and Vendor Complaints letter issued by the Executive Director, Larry Landauer, to providers on August 28, 2026.

The meeting adjourned at 4:47 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly POS Expenditure Projection (PEP) Summary, August 2026

BACKGROUND:

Staff presents the monthly POS Expenditure Projection (PEP) Summary, previously the sufficiency of allocation report (SOAR), to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly POS Expenditure Projection (PEP) Summary as presented.

AGENDA ITEM: III.E.2.a

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REGIONAL CENTER OF ORANGE COUNTY

M E M O R A N D U M

Date: November 5, 2026

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – August 2026 POS Expenditure Projection (PEP) Summary

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Purchase of Services (POS)

The Department of Developmental Services (DDS) changed the title for the monthly Sufficiency of Allocation Report (SOAR) to the POS Expenditure Projection (PEP) Summary. RCOC updated the title accordingly.

RCOC received the planning allocation, via a Fiscal Allocation Letter (FAL), that RCOC staff will use to determine the sufficiency or deficiency of the allocation. The PEP has historically been due on December 10th of every year. It is unknown at this time when the first PEP for fiscal year 2026-27 will be due.

RCOC had a deficit in the last two fiscal years, and the full impact of the deficits is still unknown. Various Rate Model adjustments continue to be implemented via DDS Directives that impact expenditures.

Factors that will affect expenditures in this fiscal year are as follows:

- Continuation costs for higher provider rates (prior year's rate increases)
- Caseload growth
- Impact of the 695 persons who will graduate from school to regional center-funded adult day programs
- Impact of Rate Reform
- Impact of the new minimum wage increase effective January 1, 2027
- Impact of the Department of Rehabilitation's Order of Selection (waiting list)

In the first quarter of the fiscal year, RCOC's caseload increased by 555 for an annualized caseload growth of 7.6%; the regional center system increased 10,514 persons for an annualized caseload growth of 8.3%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services. Expenses appear to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

REGIONAL CENTER OF ORANGE COUNTY

Monthly POS Expenditure Projection (PEP) Summary
As of August 31, 2026

		A	B	C	D	E	F	G	H	COLUMN B		
										ACTUAL SPENT YEAR TO DATE for Early Start and the Self-Determination Program (SDP)		
				POS	POS	VARIANCE						
			ACTUAL	EXPENDITURE	EXPENDITURE	(column A-D)/A)	(column A-D)	CHANGE				
		C-2	SPENT	PROJECTION	PROJECTION	%	AMOUNT	FROM PRIOR	SPENT	B1	B2	B3
	PURCHASE OF SERVICE (POS)	ALLOCATION	YEAR TO DATE	AT "RUN RATE"	n/a	YEAR TO DATE		MO. REPORTED	PRIOR YEAR	Early Start	SDP	Balance
(1)	Licensed Residential Care	278,598,963	43,274,460	276,762,600	-	n/a	n/a	n/a	269,025,347	-	12,232	43,262,228
(2)	Day Care	1,797,698	100,800	1,785,734	-	n/a	n/a	n/a	1,240,338	-	-	100,800
(3)	Day Training	107,586,441	16,231,968	106,870,410	-	n/a	n/a	n/a	100,829,556	1,730,774	9,758,136	4,743,058
(4)	Habilitation	10,464,506	1,602,541	10,394,861	-	n/a	n/a	n/a	9,809,871	-	-	1,602,541
(5)	Transportation	29,613,330	3,912,536	29,416,242	-	n/a	n/a	n/a	23,375,565	-	243,281	3,669,255
(6)	Respite	116,000,760	11,071,489	115,228,728	-	n/a	n/a	n/a	97,306,813	3,883	200,751	10,866,855
(7)	Personal Assistance	92,911,715	8,984,792	92,293,350	-	n/a	n/a	n/a	81,311,751	-	-	8,984,792
(8)	Supported Living	111,206,381	18,411,043	110,466,258	-	n/a	n/a	n/a	98,513,320	-	-	18,411,043
(9)	Non-medical	122,575,426	19,581,640	121,759,637	-	n/a	n/a	n/a	104,861,167	2,236,141	3,378,325	13,967,174
(10)	Medical	96,984,999	14,776,469	96,339,524	-	n/a	n/a	n/a	87,619,252	195	304,815	14,471,459
(11)	Other	79,156,624	12,541,154	78,629,805	-	n/a	n/a	n/a	74,069,109	1,475,118	446,395	10,619,641
(12)	Community Placement Plan (CPP)	110,000	-	-	-	n/a	n/a	n/a	100,000	-	-	-
	Purchase of Service Total	1,047,006,843	150,488,892	1,039,947,149	-	0%	0	0	948,062,089	5,446,111	14,343,935	130,698,846
	OPERATIONS (OPS)							$0	If all SPA receivables are paid.			
(13)	Operating Expense (Gross)	14,213,248	4,545,130	27,270,781	14,213,248	0%	0	0	9,418,692			
(14)	Less Interest Income and SPA Fees	-1,000,000	-468,271	-2,809,627	-1,000,000	0%	0	0	-2,068,812			
(15)	Operating Expense (Net)	13,213,248	4,076,859	24,461,153	13,213,248	0%	0	0	7,349,880			
(16)	Personal Services	74,875,071	13,122,633	78,735,801	74,875,071	0%	0	0	67,535,068			
(17)	Family Resource Center/Services	295,515	33,514	201,083	295,515	0%	0	0	209,916			
(18)	Operations Total	88,383,834	17,233,006	103,398,036	88,383,834	0%	0	0	75,094,864			
(19)	Total	1,135,390,677	167,721,898	1,143,345,185	88,383,834	-	-	-	1,023,156,953			

COLUMN H		
SPENT PRIOR YEAR for Early Start and the Self-Determination Program (SDP)		
H1	**H2**	**H3**
Early Start	SDP	Balance
35,221,952	73,649,130	839,191,007

Notes

a State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

b Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

c Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.
Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added.

d Report name changed from Sufficiency of Allocation Report (SOAR) to POS Expenditure Projection (PEP) Summary.

e Early Start and Self-Determination Program funding reported separately.

f Operating Expenses appear to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF AUGUST 31, 2026

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	$300.00	
Checking	154,457,331.21	$21,659.42
Savings	99,911.86	
Money market	0.00	
Payroll	411,959.75	
Donations	250,630.01	
Unemployment	774,172.51	
Certificate of deposit	0.00	
Total current assets	155,994,305.34	21,659.42
RECEIVABLES		
State claim	135,006,723.27	
Client support revenue	1,925.43	1,597.32
Due from State - prior years	63,392,349.35	
Due from ICF - ICF Supplemental Services	4,062,172.34	
Total receivables	202,463,170.39	1,597.32
PREPAID ITEMS		
Deposits	651,818.91	
Prepaid expense	318,561.96	
Total prepaid items	970,380.87	0.00
OTHER ASSETS		
Tenant improvements	81,917.99	
Building acquisition	63,613.98	
Total other assets	145,531.97	0.00
TOTAL ASSETS	$359,573,388.57	$23,256.74
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	$54,519,464.31	$1,925.43
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	304,133,218.10	
Unemployment insurance	670,076.15	
Total liabilities	359,322,758.56	1,925.43
FUND BALANCES		
General	0.00	
Donations	250,630.01	
Custodial	0.00	21,331.31
TOTAL LIABILITIES AND FUND BALANCES	$359,573,388.57	$23,256.74

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
August 31, 2026

Beginning Balance		$248,044.33
Donations:		
American Online Giving Foundation	$2,525.00	
Loan Payments	50.00	
Interest	10.68	
Disbursements	0.00	
Net Increase (Decrease)		2,585.68
Ending Balance		$250,630.01

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly POS Expenditure Projection (PEP) Summary, September 2026

BACKGROUND:

Staff presents the monthly POS Expenditure Projection (PEP) Summary, previously the sufficiency of allocation report (SOAR), to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly POS Expenditure Projection (PEP) Summary as presented.

AGENDA ITEM: III.E.2.b.

REGIONAL CENTER OF ORANGE COUNTY

M E M O R A N D U M

Date: November 5, 2026

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – September 2026 POS Expenditure Projection (PEP) Summary

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Purchase of Services (POS)

The Department of Developmental Services (DDS) changed the title for the monthly Sufficiency of Allocation Report (SOAR) to the POS Expenditure Projection (PEP) Summary. RCOC updated the title accordingly.

RCOC received the planning allocation, via a Fiscal Allocation Letter (FAL), that RCOC staff will use to determine the sufficiency or deficiency of the allocation. The PEP has historically been due on December 10th of every year. It is unknown at this time when the first PEP for fiscal year 2026-27 will be due.

RCOC had a deficit in the last two fiscal years, and the full impact of the deficits is still unknown. Various Rate Model adjustments continue to be implemented via DDS Directives that impact expenditures.

Factors that will affect expenditures in this fiscal year are as follows:

- Continuation costs for higher provider rates (prior year's rate increases)
- Caseload growth
- Impact of the 695 persons who will graduate from school to regional center-funded adult day programs
- Impact of Rate Reform
- Impact of the new minimum wage increase effective January 1, 2027
- Impact of the Department of Rehabilitation's Order of Selection (waiting list)

In the first quarter of the fiscal year, RCOC's caseload increased by 555 for an annualized caseload growth of 7.6%; the regional center system increased 10,514 persons for an annualized caseload growth of 8.3%.

Operations

RCOC will be within budget for both Operating Expenses and Personal Services. Expenses appear to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

REGIONAL CENTER OF ORANGE COUNTY

Monthly POS Expenditure Projection (PEP) Summary
As of September 30, 2026

		A	B	C	D	E	F	G	H	COLUMN B		
						VARIANCE				ACTUAL SPENT YEAR TO DATE for Early Start and the Self-Determination Program (SDP)		
	PURCHASE OF SERVICE (POS)	C-2 ALLOCATION	ACTUAL SPENT YEAR TO DATE	POS EXPENDITURE PROJECTION AT "RUN RATE"	POS EXPENDITURE PROJECTION n/a	(column A-D)/A) %	(column A-D) AMOUNT YEAR TO DATE	CHANGE FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR	B1 Early Start	B2 SDP	B3 Balance
(1)	Licensed Residential Care	278,598,963	61,428,899	277,283,131	-	n/a	n/a	n/a	269,025,347	-	12,232	61,416,667
(2)	Day Care	1,797,698	151,200	1,814,400	-	n/a	n/a	n/a	1,240,338	-	-	151,200
(3)	Day Training	107,586,441	21,208,417	106,485,776	-	n/a	n/a	n/a	100,829,556	2,437,075	12,604,029	6,167,313
(4)	Habilitation	10,464,506	1,735,726	10,414,356	-	n/a	n/a	n/a	9,809,871	-	-	1,735,726
(5)	Transportation	29,613,330	4,115,824	29,425,348	-	n/a	n/a	n/a	23,375,565	-	298,475	3,817,349
(6)	Respite	116,000,760	11,151,048	115,239,062	-	n/a	n/a	n/a	97,306,813	3,883	280,310	10,866,855
(7)	Personal Assistance	92,911,715	8,984,792	93,170,660	-	n/a	n/a	n/a	81,311,751	-	-	8,984,792
(8)	Supported Living	111,206,381	27,562,933	110,251,732	-	n/a	n/a	n/a	98,513,320	-	-	27,562,933
(9)	Non-medical	122,575,426	25,328,257	122,082,936	-	n/a	n/a	n/a	104,861,167	2,237,657	4,241,327	18,849,273
(10)	Medical	96,984,999	21,996,713	96,972,570	-	n/a	n/a	n/a	87,619,252	195	349,450	21,647,068
(11)	Other	79,156,624	13,090,340	78,542,040	-	n/a	n/a	n/a	74,069,109	1,475,864	548,506	11,065,970
(12)	Community Placement Plan (CPP)	110,000	-	-	-	n/a	n/a	n/a	100,000	-	-	-
	Purchase of Service Total	1,047,006,843	196,754,149	1,041,682,012	-	0%	0	0	948,062,089	6,154,674	18,334,329	172,265,146
	OPERATIONS (OPS)							$0	If all SPA receivables are paid.			
(13)	Operating Expense (Gross)	14,213,248	5,294,065	21,176,260	14,213,248	0%	0	0	9,418,692			
(14)	Less Interest Income and SPA Fees	-1,000,000	-692,753	-2,771,013	-1,000,000	0%	0	0	-2,068,812			
(15)	Operating Expense (Net)	13,213,248	4,601,312	18,405,247	13,213,248	0%	0	0	7,349,880			
(16)	Personal Services	74,875,071	18,823,260	75,293,042	74,875,071	0%	0	0	67,535,068			
(17)	Family Resource Center/Services	295,515	50,433	201,730	295,515	0%	0	0	209,916			
(18)	Operations Total	88,383,834	23,475,005	93,900,019	88,383,834	0%	0	0	75,094,864			
(19)	Total	1,135,390,677	220,229,154	1,135,582,031	88,383,834	-	-	-	1,023,156,953			

COLUMN H		
SPENT PRIOR YEAR for Early Start and the Self-Determination Program (SDP)		
H1 Early Start	H2 SDP	H3 Balance
35,221,952	73,649,130	839,191,007

Notes
a State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.
b Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.
c Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.
Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added.
d Report name changed from Sufficiency of Allocation Report (SOAR) to POS Expenditure Projection (PEP) Summary.
e Early Start and Self-Determination Program funding reported separately.
f Operating Expenses appear to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF SEPTEMBER 30, 2026

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	$300.00	
Checking	113,704,344.85	$21,665.50
Savings	157,349.90	
Money market	0.00	
Payroll	415,534.18	
Donations	251,690.31	
Unemployment	814,439.40	
Certificate of deposit	0.00	
Total current assets	115,343,658.64	21,665.50
RECEIVABLES		
State claim	165,485,600.08	
Client support revenue	9,975.81	1,597.32
Due from State - prior years	74,175,240.37	
Due from ICF - ICF Supplemental Services	4,475,093.00	
Total receivables	244,145,909.26	1,597.32
PREPAID ITEMS		
Deposits	651,818.91	
Prepaid expense	318,561.96	
Total prepaid items	970,380.87	0.00
OTHER ASSETS		
Tenant improvements	81,917.99	
Building acquisition	63,613.98	
Total other assets	145,531.97	0.00
TOTAL ASSETS	$360,605,480.74	$23,262.82
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	$55,450,336.85	$9,975.81
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	304,133,218.10	
Unemployment insurance	770,235.48	
Total liabilities	360,353,790.43	9,975.81
FUND BALANCES		
General	0.00	
Donations	251,690.31	
Custodial	0.00	13,287.01
TOTAL LIABILITIES AND FUND BALANCES	$360,605,480.74	$23,262.82

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
September 30, 2026

Beginning Balance		$250,630.01
Donations:		
OneWell of California LLC	$1,000.00	
Loan Payments	50.00	
Interest	10.30	
Disbursements	0.00	
Net Increase (Decrease)		1,060.30
Ending Balance		$251,690.31

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective December 21, 2026

BACKGROUND:

Since August 10, 2011, The California Code of Regulations, Title 2, Section 570.5, has required California Public Employees' Retirement System's (CalPERS's) employers to have pay rates approved by the employer's governing body and pay schedules publicly available. Since February 2, 2011, RCOC has posted its pay schedule on its website and the Board last approved the pay schedule at its meeting on November 10, 2025.

REASON FOR CURRENT ITEM:

The attached salary schedule reflects a 2.96% increase in most salary ranges. This increase is the same as the 2.96% increase in California minimum wage from $16.90 to $17.40 per hour effective January 1, 2027, which increases the minimum exempt salary from $70,304 to $72,384. The increase for the Executive Director is 10% for the bottom of the range and 8.93% for the top of the range.

The salary schedule also reflects the changes noted below.

The title of Director of Employment was removed. The title of Safety Net Coordinator was moved from the Lead Service Coordinator range to the BCBA range.

The following titles were changed as a result of change in the Lanterman Act:
Fair Hearings Manager was changed to Appeals Manager, Assistant Manager of Fair Hearings was changed to Assistant Manager of Appeals and Fair Hearing Coordinator was changed to Appeals Coordinator.

The following titles were added: Payroll Coordinator, Support Services Specialist, Human Resources Coordinator, Intake Coordinator, Special Incident Report Supervisor, Language

Access and Cultural Competency Coordinator (LACC) and Employment Coordinator. The Support Services Specialist, Human Resources Coordinator, Intake Coordinator, Special Incident Report Supervisor and Employment Coordinator are promotional opportunities. The LACC Coordinator will be funded from LACC funds.

FISCAL IMPACT:

In fiscal year 2026-27……..……..approximately $914,725 plus benefits

RECOMMENDATION:

That the Board approve the salary schedule for RCOC's employees effective December 21, 2026.

RCOC's Salary Schedule — Effective December 21, 2026

		Minimum	Midpoint	Maximum	Comments	Increase in Range Minimum	Increase in Range Maximum
EXECUTIVE DIRECTOR	HR	**125.18**	**146.03**	**165.24**		10.00%	8.93%
	BW	10,014.40	11,682.00	13,218.93			
	MO	21,697.87	25,311.00	28,641.01			
	YR	260,374.40	303,732.00	343,692.13			
PHYSICIAN	HR	**81.28**	**110.08**	**138.90**		2.96%	2.96%
ASSOCIATE EXECUTIVE DIRECTOR	BW	6,502.13	8,806.79	11,112.27			
	MO	14,087.95	19,081.37	24,076.58			
	YR	169,055.38	228,976.45	288,918.94			
CHIEF FINANCIAL OFFICER	HR	**81.28**	**99.55**	**117.83**		2.96%	2.96%
GENERAL COUNSEL	BW	6,502.13	7,964.16	9,426.19			
CHIEF INFORMATION OFFICER	MO	14,087.95	17,255.68	20,423.42			
	YR	169,055.38	207,068.21	245,081.04			
CONSULTING PHARMACIST	HR	**69.09**	**86.06**	**103.04**		2.96%	2.96%
DIRECTOR: Case Management, Community	BW	5,526.89	6,885.14	8,243.39			
Services, Clinical, Finance, HR,	MO	11,974.93	14,917.81	17,860.68			
Services and Supports	YR	143,699.21	179,013.67	214,328.13			
ASSOCIATE DIRECTOR: Finance, Housing,	HR	**51.80**	**65.45**	**79.09**		2.96%	2.96%
IT, Risk Management, Area,	BW	4,143.93	5,236.13	6,327.51			
Early Start, Intake	MO	8,978.52	11,344.96	13,709.60			
MANAGER: Psychologist	YR	107,742.29	136,139.48	164,515.25			
PSYCHOLOGIST	HR	**48.23**	**59.42**	**70.62**		2.96%	2.96%
MANAGER: Nursing	BW	3,858.12	4,753.46	5,649.62			
	MO	8,359.25	10,299.16	12,240.85			
	YR	100,311.05	123,589.89	146,890.15			
THERAPIST: Occupational, Physical, Speech	HR	**44.06**	**54.72**	**65.38**		2.96%	2.96%
NURSE CONSULTANT, ADMINISTRATION	BW	3,524.53	4,377.86	5,230.37			
MANAGER: Custodian of Records, Employment	MO	7,636.47	9,485.36	11,332.46			
Appeals, HR, Network, Organizational Devt.	YR	91,637.69	113,824.34	135,989.57			
Risk, Safety Net and Resource Development,							
Early Start, Area, Intake, Comm Serv							
CLERK TO THE BOARD, MANAGER:	HR	**43.67**	**52.26**	**60.85**		2.96%	2.96%
Family Support/Comm. Outreach	BW	3,493.23	4,181.00	4,867.95			
COORDINATOR: PCT, Self-Determination	MO	7,568.66	9,058.83	10,547.22			
SPECIALIST: Federal Programs and Benefits	YR	90,823.90	108,705.99	126,566.67			
BCBA Masters	HR	**37.05**	**48.06**	**59.08**		2.96%	2.96%
AREA, QA and SIR SUPERVISOR, RESOURCE	BW	2,963.60	3,844.94	4,726.28			
GROUP LEADER, TRAINING COORDINATOR,	MO	6,421.13	8,330.70	10,240.26			
PROGRAMMER ANALYST, MANAGER	YR	77,053.62	99,968.39	122,883.17			
Accounting and IT, ASSISTANT MANAGER APPEALS,							
SAFETY NET COORDINATOR							
COORDINATOR: Lead Service, Lead QA,	HR	**35.92**	**43.50**	**51.09**		2.96%	2.96%
Federal Programs and Benefits	BW	2,873.82	3,480.05	4,087.10			
Deaf and Hard of Hearing Coordinator	MO	6,226.61	7,540.10	8,855.38			
Foster System Care, Employment	YR	74,719.31	90,481.25	106,264.60			
SERVICE COORDINATOR, HCBS COOR., QA COOR.,	HR	**34.80**	**40.41**	**46.03**	Minimum exempt salary	2.96%	2.96%
ACCOUNTANT, ACCOUNTING SUP.	BW	2,784.04	3,232.94	3,682.67	will increase from $70,304		
CULTURAL SPECIALIST/COMM. OUT.	MO	6,032.08	7,004.71	7,979.13	to $72,384 effective 1/1/27		
EXECUTIVE LIAISON; HR SPECIALIST,	YR	72,385.00	84,056.54	95,749.51			
LACC COOR., PAYROLL COOR.							
ASSOCIATE PROGRAMMER ANALYST	HR	**29.03**	**34.99**	**40.94**		2.96%	2.96%
COORDINATOR: Emergency, IT, Operations,	BW	2,322.78	2,798.86	3,274.95			
Systems, Records	MO	5,032.68	6,064.21	7,095.73			
	YR	60,392.22	72,770.48	85,148.74			
COORDINATOR: Fiscal, SIR, Vendor	HR	**27.78**	**30.72**	**33.67**		2.96%	2.96%
SPECIALIST: HRG, Intake, Risk Mgmt, Supp Serv	BW	2,222.29	2,457.86	2,693.43			
COMMUNITY NAVIGATOR, PEER ADVOCATE	MO	4,814.96	5,325.37	5,835.77			
	YR	57,779.50	63,904.39	70,029.27			
COORDINATOR: Community Resources, HR, Intake	HR	**25.84**	**28.02**	**30.20**		2.96%	2.96%
Appeals, Imaging, Support Services,	BW	2,067.44	2,241.23	2,415.85			
Risk Management, Accounting	MO	4,479.45	4,856.01	5,234.35			
SPECIALIST: IT	YR	53,753.36	58,272.07	62,812.19			
TECHNICIAN: Accounting, Area, IT, Intake	HR	**21.27**	**24.33**	**27.39**		2.96%	2.96%
Scanning, Technical Assistant, Receptionist	BW	1,701.72	1,946.36	2,190.99			
Account Clerk	MO	3,687.07	4,217.10	4,747.14			
	YR	44,244.79	50,605.25	56,965.71			
OFFICE AIDE	HR	**17.40**	**18.02**	**18.65**	Minimum wage will	2.96%	2.96%
	BW	1,392.02	1,441.44	1,491.68	increase from $16.90 to		
	MO	3,016.04	3,123.12	3,231.98	to $17.40 effective 1/1/27		
	YR	36,192.50	37,477.44	38,783.80			

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2025

TO: Board of Directors

FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Deici Gonzalez-Tovar, Service Coordinator

BACKGROUND:

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

> "The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding…."

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 "Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants" and 54527 "Financial Interests in Decisions Creating a Conflict of Interest for Employees, Contractors, Agents or Consultants" which provides in pertinent part:

> "(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.

Section 54533 "Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan" states that:

> (a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board." (emphasis added)

REASON FOR CURRENT ITEM:

Ms. Deici Gonzalez-Tovar is an RCOC Service Coordinator. Ms. Gonzalez-Tovar's sister, Ms. Dulce Gonzalez-Tovar, has a financial interest in Regional Center operations by virtue of her role as a Speech Pathologist with Expressions Speech Language, an RCOC provider. For this reason, Ms. Gonzalez-Tovar appears to have a conflict of interest under the above discussed statute and regulations.

RCOC has developed a Conflict Resolution Plan to address any potential conflict of interest.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Deici Gonzalez-Tovar, Service Coordinator.

DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST,
REQUEST FOR WAIVER AND CONFLICT RESOLUTION PLAN
FOR
DEICI GONZALEZ-TOVAR – SERVICE COORDINATOR
REGIONAL CENTER OF ORANGE COUNTY

I. Law Governing Conflicts of Interest

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

"The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding...."

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 "Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants" and 54527 "Financial Interests in Decisions Creating Conflict of Interest for Employees, Contractors, Agents or Consultants" which provides in pertinent part:

> "(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.
>
> (b) Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:
>
> (1) business entity worth two thousand dollars ($2,000) or more.
>
> (2) real or personal property worth two thousand dollars ($2,000) or more in fair market value.
>
> (3) stocks or bonds worth two thousand dollars ($2,000) or more.
>
> (4) intellectual property rights worth five hundred dollars ($500) or more.
>
> (5) sources of gross income aggregating five hundred dollars ($500) or more within prior 12 months.
>
> (6) future interests for compensation of five hundred dollars ($500) or more.
>
> (7) personal finances of two hundred fifty dollars ($250) or more.

Section 54533 "Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan" states that:

> (a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board." (emphasis added)

II. Potential Conflict of Deici Gonzalez-Tovar

Deici Gonzalez-Tovar is a Service Coordinator for the Regional Center of Orange County (hereinafter "RCOC" or "the Regional Center"). RCOC's Executive Director, Larry Landauer, confirms that Deici Gonzalez-Tovar is a loyal, effective, productive, and greatly valued employee.

Deici Gonzalez-Tovar's sister, Dulce Gonzalez-Tovar, has a financial interest in Regional Center operations by virtue of her role as a Speech Pathologist with Expressions Speech Language, an RCOC provider. For this reason, Deici Gonzalez-Tovar appears to have a conflict of interest under the above discussed statute and regulations.

This document constitutes a Disclosure of Potential Conflict, a Conflict Resolution Plan to eliminate any adverse consequences from this relationship, and a Request for Waiver of the conflict from DDS.

A. Deici Gonzalez-Tovar's Position and Duties

As a Service Coordinator, Deici Gonzalez-Tovar has a Lanterman caseload of 84 persons served and provides services that are funded at least in part by the Regional Center of Orange County. A copy of her job description is attached as **Exhibit A**.

Deici Gonzalez-Tovar has no role or involvement whatsoever with any matter that might conceivably impact Expressions Speech Language which serves children.

Further, if any of Deici Gonzalez-Tovar's persons served require evaluation, planning or recommendations on a matter related to Expressions Speech Language, her Area Supervisor, Teresa de la Paz, will take on such matters. RCOC's Organizational Chart is attached as **Exhibit B**. With the changes to be made in this Conflict Resolution Plan, no change in the chart will be necessary except that Teresa de la Paz, Area Supervisor, will be in the position held by Deici Gonzalez-Tovar with respect to matters involving Expressions Speech Language.

B. Dulce Gonzalez-Tovar's Role at Expressions Speech Language

As stated above, Deici Gonzalez-Tovar's sister, Dulce Gonzalez-Tovar, is a Speech Pathologist at Expressions Speech Language, a vendor of the Regional Center. Expressions Speech Language is an in-clinic speech language pathology group. The purpose of speech therapy is to prevent, assess, diagnose, and treat speech disorders for children 0-3 years of age. Expressions Speech Languages' first service was vendored on July 25, 2026. RCOC has paid $87,208 for Expressions Speech Language's 1 program which served approximately 8 persons served to date in 2026. As a Service Coordinator, Deici Gonzalez-Tovar's duties consist of providing services and case management to individuals on her Lanterman caseload.

III. Conflict Resolution Plan

The Regional Center Executive Director and Board of Directors have concluded that Deici Gonzalez-Tovar provides great value to the persons served of RCOC. After consideration of the totality of the circumstances and a careful review of the facts, the Executive Director and Board of Directors believe it is in the best interests of the Regional Center to create and implement a Conflict Resolution Plan to eliminate any adverse consequences from this relationship and seek a waiver from DDS.

Deici Gonzalez-Tovar has no duties that relate to Expressions Speech Language.

The Regional Center's and Deici Gonzalez-Tovar's suggested Conflict Resolution Plan of this potential conflict of interest is as follows:

Deici Gonzalez-Tovar will continue as a Lanterman Service Coordinator.

1. Deici Gonzalez-Tovar will, in every conceivable manner, cease interacting with Expressions Speech Language or those who do interact with Expressions Speech Language.
2. Deici Gonzalez-Tovar will not participate in the consideration, preparation, review, presentation, formulation or approval of any report, plan, opinion, recommendation, action regarding RCOC vendor Expressions Speech Language.
3. Deici Gonzalez-Tovar will not review or participate in any discussions, recommendations, or decisions about Purchase of Service authorizations for this vendor.
4. Deici Gonzalez-Tovar will not review or in any way participate in the preparation, consideration, or any follow-up related to Special Incident Reports from or about this vendor.
5. Deici Gonzalez-Tovar will not create, review, or in any way participate in, any corrective action plans for this vendor.
6. Deici Gonzalez-Tovar will not participate in any discussions, recommendations, actions, or resolutions of any regarding complaints about this vendor.
7. The Regional Center and Deici Gonzalez-Tovar agree that Deici Gonzalez-Tovar will take no part in vendor appeals or fair hearings involving Expressions Speech Language.
8. The Regional Center and Deici Gonzalez-Tovar will ensure that Deici Gonzalez-Tovar will not access vendor files to Expressions Speech Language either in their electronic or hard copy form.
9. Deici Gonzalez-Tovar will not be involved in the negotiation, discussion, obligation, or commitment of RCOC to a course of action involving RCOC vendor Expressions Speech Language.
10. Further, if Deici Gonzalez-Tovar has matters relating to Expressions Speech Language, Area Supervisor, Teresa de la Paz, will take on such matters.
11. The RCOC management staff will be informed about this Conflict Resolution Plan, and they will be informed of the need to ensure that Deici Gonzalez-Tovar has no involvement whatsoever in any action or business involving or affecting RCOC vendor Expressions Speech Language.

12. RCOC will communicate to the employees Deici Gonzalez-Tovar's Conflict Resolution Plan and the need to ensure that Deici Gonzalez-Tovar plays no role whatsoever in any action involving or affecting RCOC vendor Expressions Speech Language.

13. The RCOC Board of Directors has been informed of, and supports, this Conflict Resolution Plan and Request for Waiver of Potential Conflict of Interest.

IV. Renewed Request for Waiver

For the reasons provided above, and in accordance with the Conflict Resolution Plan set forth above, the Regional Center of Orange County hereby requests that DDS grant a waiver of the conflict in this matter.

Respectfully submitted,

By: _____
Deici Gonzalez-Tovar, Service Coordinator

Date: _____

By: _____
Teresa de la Paz, Area Supervisor

Date: _____

By: _____
Maria Penaloza, Interim Area Manager

Date: _____

By: _____
Carmen Gonzalez, Associate Director, Central Area

Date: _____

By: _____
Jennifer Montanez, Director, Case Management

Date: _____

By: _____
Larry Landauer, Executive Director

Date: _____

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: RCOC Board of Directors

FROM: Larry Landauer, Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Amendment to Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of a Specialized Residential Facility for Children (CRDP Project No. 2526-5)(Emerson)**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC's Community Resource Development Plan (CRDP) for the development of a Specialized Residential Facility for Children (SRF). This facility will serve children who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting. The Board previously approved a property acquisition agreement with non-profit housing corporation Brilliant Corners on March 5, 2026, in the amount of $400,000.

REASON FOR CURRENT ITEM:

RCOC and Brilliant Corners identified a property in Buena Park with a purchase price of $1.1 million, and escrow is scheduled to close on November 21, 2026. When reviewing the property for approval, DDS decided to allocate an additional $220,500 in CRDP start-up funding to go toward the down payment on the property. This additional funding will reduce Brilliant Corners' monthly mortgage payments, and in turn reduce the monthly rent for the service provider who leases the home. This additional funding requires an amendment to the original agreement approved by the Board.

FISCAL IMPACT:

DDS will allocate an additional $220,500 in RCOC's CRDP for acquisition costs.

RECOMMENDATION:

That the Board approve the Amendment to the Property Acquisition Agreement as presented.

AMENDMENT NO. 1 TO AGREEMENT BY AND BETWEEN

REGIONAL CENTER OF ORANGE COUNTY

AND BRILLIANT CORNERS

FOR ACQUISITION OF HOUSING

RCOC CRDP PROJECT NO. 2526-5

This Amendment No. 1 to Agreement (Amendment) is entered into and effective this ___ day of _____, 2026, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as "RCOC," and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as "NPO."

RECITALS

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the acquisition of property to be used for the development of a Specialized Residential Facility for Children (SRF) to serve regional center persons served; and

B. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase in the CRDP funds allocated for acquisition costs from $400,000 to $620,500; and

C. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

"9. **MAXIMUM PAYMENT OBLIGATION**. The "Maximum Payment Obligation" of RCOC to NPO under this Agreement shall be Six Hundred Twenty Thousand Five Hundred Dollars ($620,500) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work will exceed $620,500, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than $620,500, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than $620,500, RCOC shall retain the remaining CRDP Funds to be returned to DDS.

i. Renovation Funding. RCOC and NPO anticipate some renovation to the Property may be necessary in order for the Property to be used for an SRF. Renovation funding, if any, provided by RCOC for renovation costs will be negotiated as part of a separate agreement and is not provided for under this Agreement nor guaranteed. In the event that RCOC and NPO are unable to reach an agreement regarding funding for renovation costs, NPO shall be solely responsible for any and all costs associated with any and all renovations necessary in order for the Property to be used for a SRF.

ii. Financing. Subject to DDS approval, NPO shall be permitted to obtain funding for additional costs associated with acquisition of the Property above the Maximum Payment Obligation through a lender ("Senior Lender").

1. Under no circumstances shall CRDP Funds and the Senior Lender loan be utilized for the same cost or expense.

2. NPO shall obtain and provide to RCOC an executed Agreement to Provide Notice and Cure Rights from the Senior Lender in the form attached hereto as Appendix "G" to Exhibit "B" no later than the close of escrow.

3. Any Senior Lender loan on the Property shall not exceed a 25-year term, and shall not include adjustable rate or balloon payment loan options.

4. NPO shall provide a minimum down payment amount of 20% of the purchase price of the Property."

2. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

REGIONAL CENTER OF ORANGE COUNTY

_____
Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

_____

Name/Title:_____

REGIONAL CENTER OF ORANGE COUNTY

Summary of Information About Persons Served - August 2026

NUMBER OF PERSONS SERVED	30,583	100%
Children - Birth to Age Three Receiving Early Start Services	3,513	11%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	11,785	39%
Adults - Ages 18 and Older Receiving Lanterman Services	14,825	48%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	264

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,522	51%
Epilepsy	2,888	13%
Cerebral Palsy	2,514	11%
Autism	13,807	50%
Fifth Category*	2,292	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION		418
Early Start / Under Age Three / 45 days to complete determination	268	64%
Lanterman / Over Age Three / 120 days to complete determination	137	33%
Provisional / Up to Age Five / 90 days to complete determination	13	3%

NUMBER OF PERSONS DETERMINED ELIGIBLE		182
Children - Birth to Age Three Eligible for Early Start Services		0
Children and Adults - Ages Three and Older Eligible for Lanterman Services		141
• Number of children who received Early Start services	22	
• Number of children who received Early Start services and had a diagnosis of autism	19	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services		0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	105
Children - Age Three No Longer Eligible for Early Start Services	103
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	2

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

AUGUST 2026 ACTIVITY

Rate Reform Transition Notice

Due to ongoing Statewide Rate Reform finalization and implementation, several data elements and historical metrics in this report do not yet fully reflect current service descriptions, rate models, or service authorization structures. Various sections still need to be revised to align with the new Rate Reform framework. Data should be interpreted with this transition in mind.

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, ackno perspectives and that respect the inherent risks and valuable learning experiences that come fron*

Provider Monitoring, Technical Support and Special Incident Investigation Activities
Fiscal Year 2026-27

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	15	24				
Unannounced	21	36				
Total Number of Reviews	***36***	***60***	***0***	***0***	***0***	***0***

Provider Trainings	0	0				
Technical Support	79	148				
Corrective Action Plans	2	3				
Special Incident Investigations*	13	46				

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							**39**
Unannounced							**57**
Total Number of Reviews	***0***	***0***	***0***	***0***	***0***	***0***	***96***

Provider Trainings							**0**
Technical Support							**227**
Corrective Action Plans							**5**
Special Incident Investigations*							**59**

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

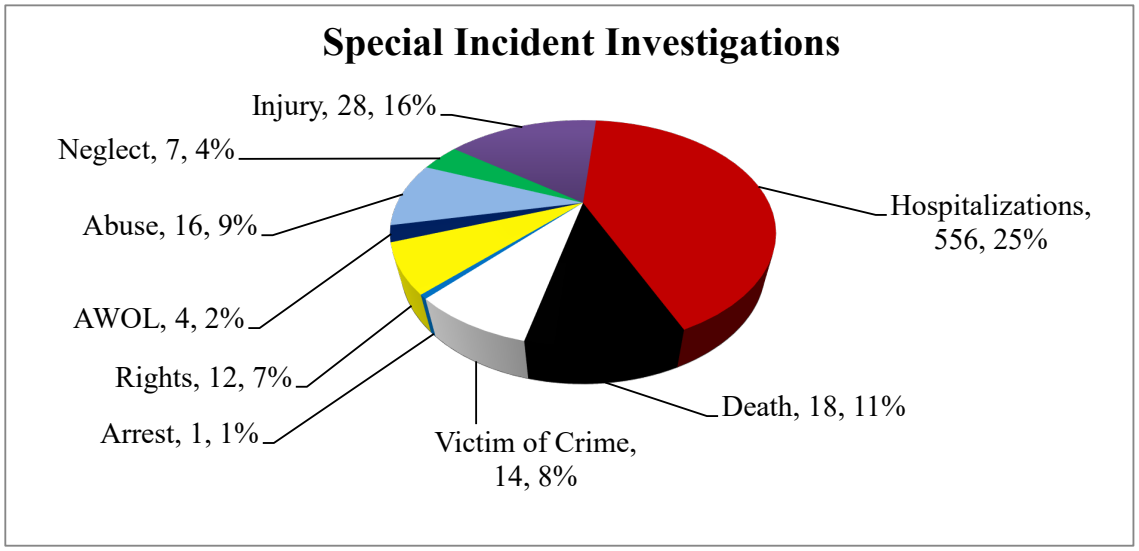
(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
(B) Reasonably suspected abuse/exploitation including:
1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.
(C) Reasonably suspected neglect including failure to:
1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
(D) A serious injury/accident including:
1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.
(E) Any unplanned or unscheduled hospitalization due to the following conditions:
1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubutus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;
(2) The following special incidents regardless of when or where they occurred:
(A) The death of any consumer, regardless of cause;
(B) The consumer is the victim of a crime including the following:
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2026-27

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	2	2				
Abuse	7	9				
Neglect	2	5				
Injury	10	18				
Hospitalizations - Total	30	42				
Psychiatric	*7*	*11*				
Medical	*23*	*31*				
Death	5	13				
Victim of crime	1	13				
Arrest	1					
Rights	11	1				
Total	***69***	***103***	***0***	***0***	***0***	***0***

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							**4**
Abuse							**16**
Neglect							**7**
Injury							**28**
Hospitalizations - Total							**72**
Psychiatric							***18***
Medical							***54***
Death							**18**
Victim of Crime							**14**
Arrest							**1**
Rights							**12**
Total	***0***	***0***	***0***	***0***	***0***	***0***	***172***



Provider Audits
Fiscal Year 2026-27

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing		1				
Staffing		2				
Level 4I Consultant						
P&I (consumer funds)	2					
Total Number of Audits	***2***	***3***				

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal						
Recovery	1	3				

Audit Findings (Dollar Amount)

Amount of Recovery	*$2,059.30*	*$182,296.82*	*$0.00*	*$0.00*	*$0.00*	*$0.00*

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							**1**
Staffing							**0**
Level 4I Consultant							**0**
P&I (consumer funds)							**0**
Total Number of Audits							***5***

Number of Appeals / Recoveries

State Appeal							**0**
Recovery							**4**

Audit Findings (Dollar Amount)

Amount of Recovery	*$0.00*	*$0.00*	*$0.00*	*$0.00*	*$0.00*	*$0.00*	***$184,356.12***

FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2026-27

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	92	82				
Diapers - Family Member	3	3				
Nursing Service - Family Member	No Data Available	No Data Available				
Respite Service - Family Member	707	740				
Transportation - Family Member	488	343				
Total Number of Voucher Authorizations	***1,290***	***1,168***	***0***	***0***	***0***	***0***

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	***0***	***0***	***0***	***0***	***0***	***0***

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*

- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities
Fiscal Year 2026-27

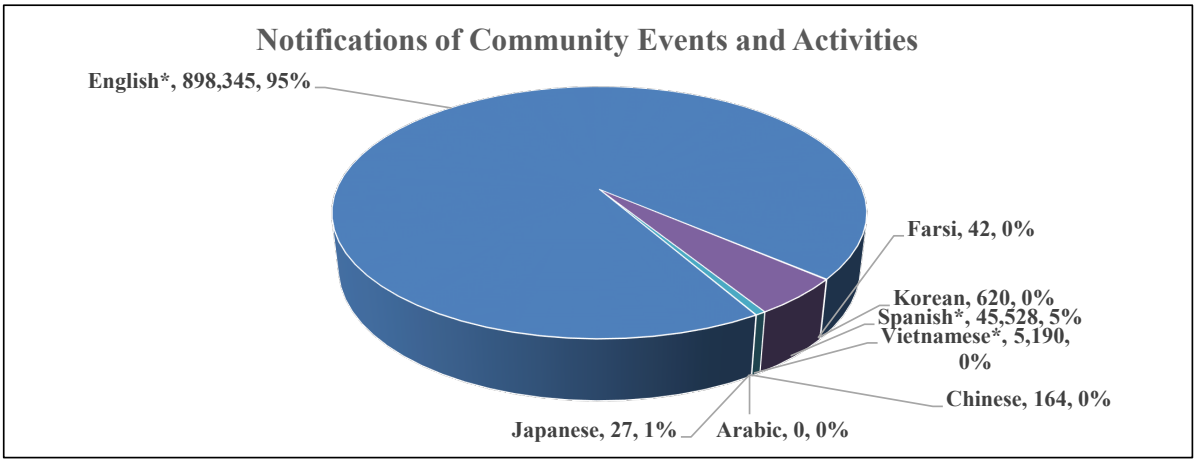
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	486,047	412,298				
Farsi	0	42				
Korean	366	254				
Spanish*	35,925	9,603				
Vietnamese*	2,848	2,342				
Chinese	112	52				
Japanese	0	0				
Arabic	0	0				
Total Number of Notifications	***525,298***	***424,591***	***0***	***0***	***0***	***0***

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							898,345
Farsi							42
Korean							620
Spanish*							45,528
Vietnamese*							5,190
Chinese							164
Japanese							0
Arabic							0
Total Number of Notifications	***0***	***0***	***0***	***0***	***0***	***0***	***949,889***

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach
Fiscal Year 2026-27

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	4	2				
Spanish	4	3				
Vietnamese						
Other Languages**	1					
In Print						
English						
Spanish						
Vietnamese	1					
Other Languages						
TV / Radio						
English	1					
Spanish						
Vietnamese	4	5				
Other Languages						
Total Number of Outreach Events	***15***	***10***	***0***	***0***	***0***	***0***

** Korean

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							**6**
Spanish							**7**
Vietnamese							**0**
Other Languages							**1**
In Print							
English							**0**
Spanish							**0**
Vietnamese							**1**
Other Languages							**0**
TV / Radio							
English							**1**
Spanish							**0**
Vietnamese							**9**
Other Languages							**0**
Total Number of Outreach Events	***0***	***0***	***0***	***0***	***0***	***0***	***25***

* Virtual Meetings

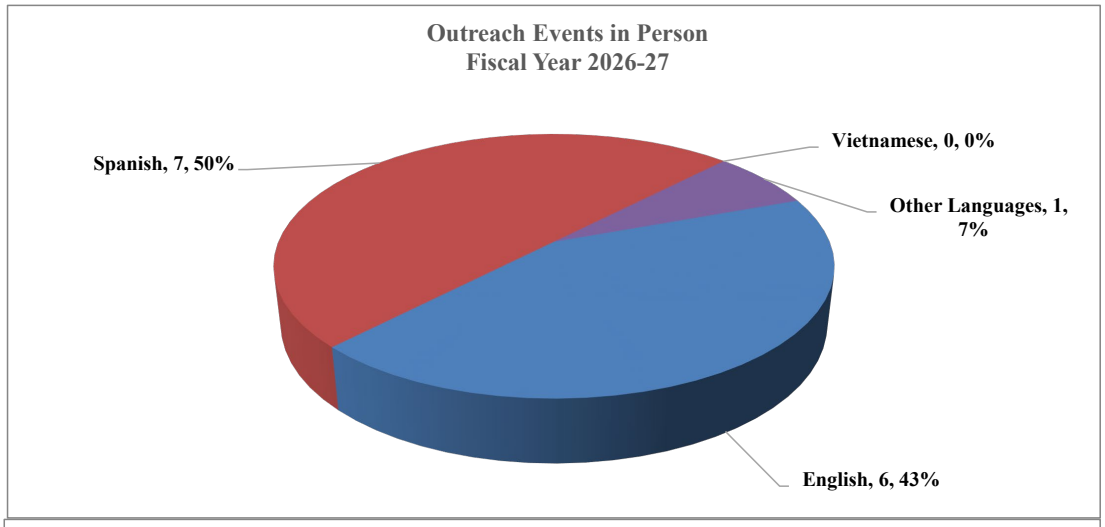
FAMILY SUPPORTS

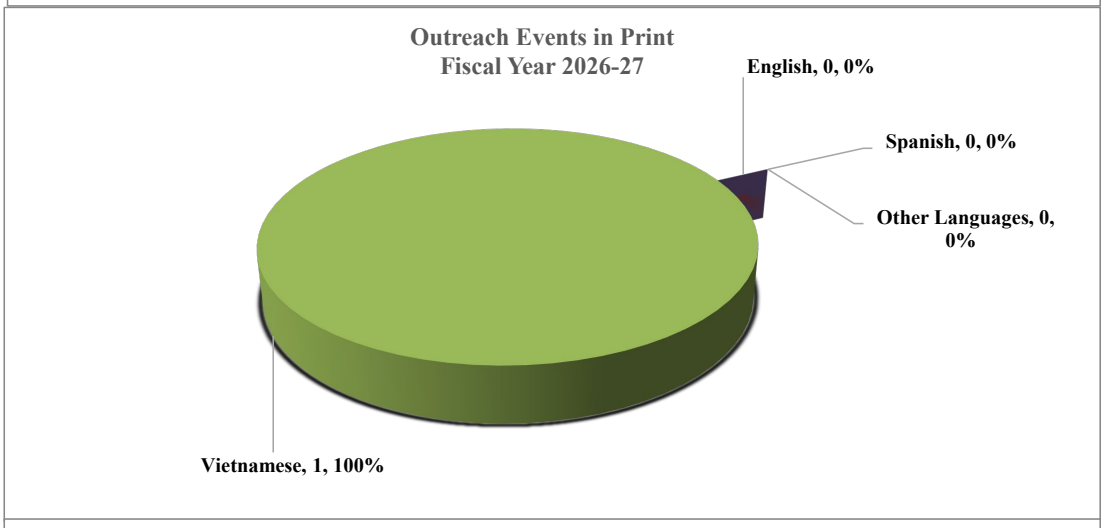
Related Guiding Principles

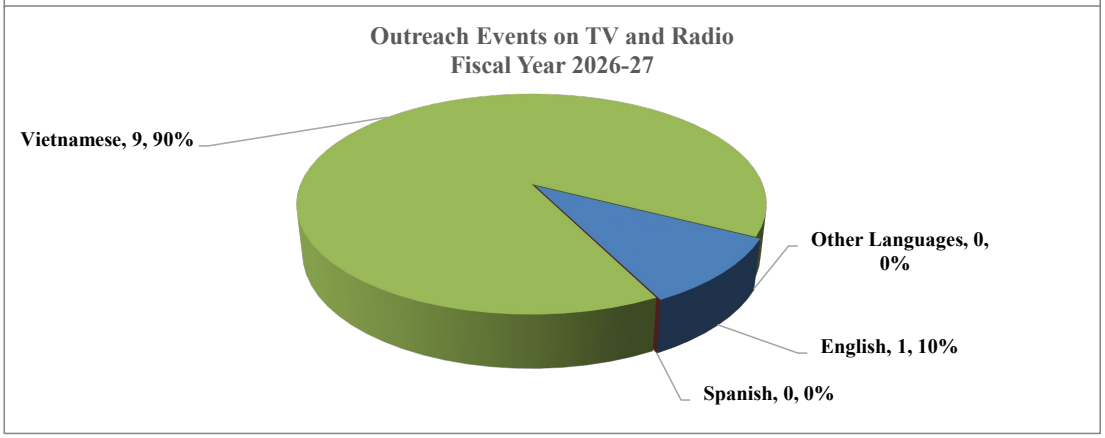
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*

- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio
Fiscal Year 2026-27







EARLY INTERVENTION / PREVENTION

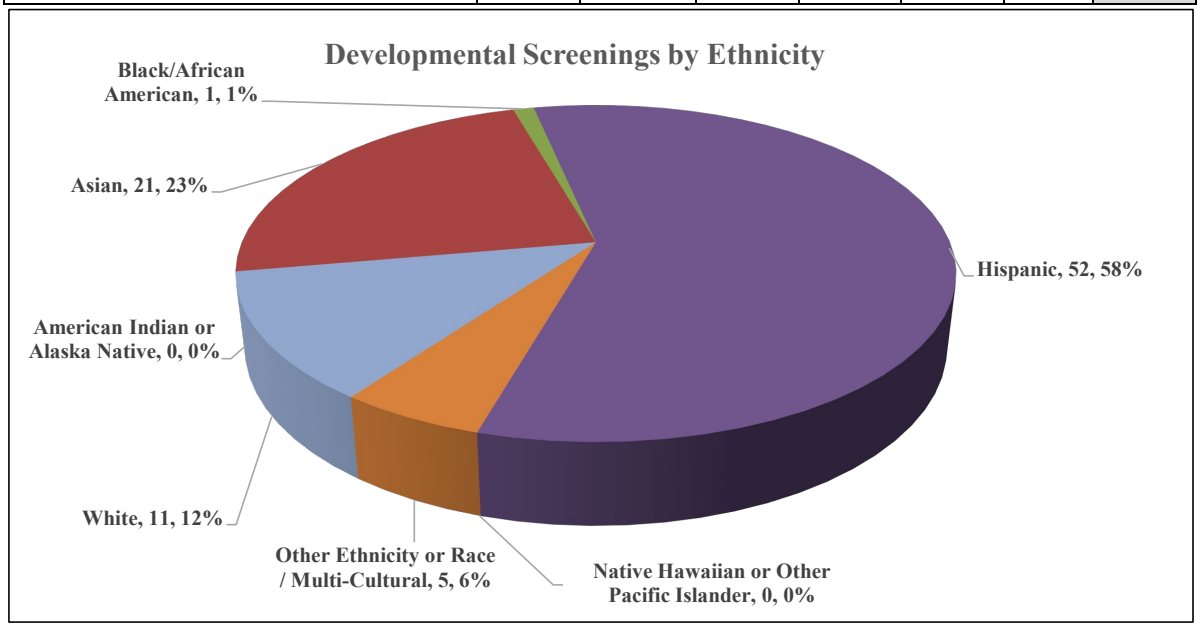
Related Guiding Principles

- *Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.*
- *Persons served are provided with needed services and supports in a family-focused and collaborative fashion.*

Fiscal Year 2026-27

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0				
Asian	7	14				
Black/African American	0	1				
Hispanic	19	33				
Native Hawaiian or Other Pacific Islander	0	0				
Other Ethnicity or Race / Multi-Cultural	2	3				
White	5	6				
Total Number Screened	***33***	***57***				
Total Number Referred to RCOC	***16***	***26***				

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							**0**
Asian							**21**
Black/African American							**1**
Hispanic							**52**
Native Hawaiian or Other Pacific Islander							**0**
Other Ethnicity or Race / Multi-Cultural							**5**
White							**11**
Total Number Screened							**90**
Total Number Referred to RCOC							**42**

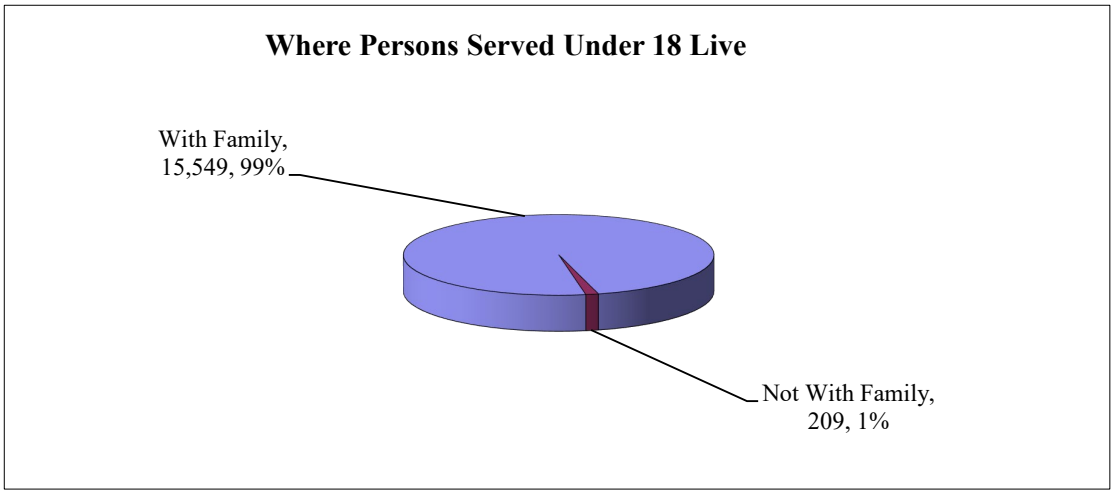


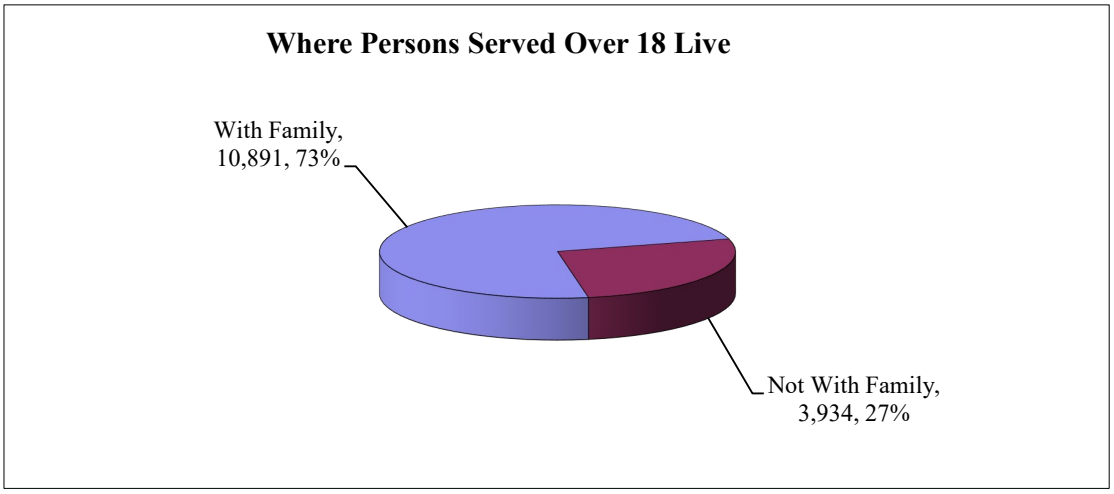
LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices or and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	26,440	15,549	10,891
Not With Family	4,143	209	3,934
Totals	**30,583**	**15,758**	**14,825**

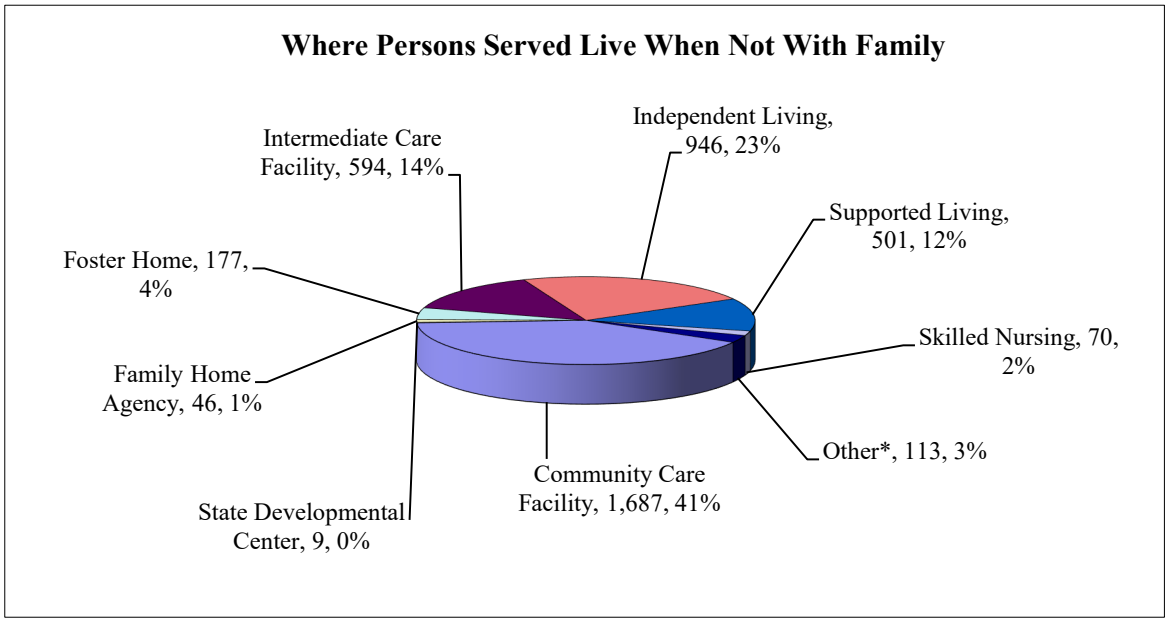




LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	26,440	15,549	10,891
Community Care Facility	1,687	15	1,672
State Developmental Center	9	0	9
Family Home Agency	46	0	46
Foster Home	177	168	9
Intermediate Care Facility	594	4	590
Independent Living	946	0	946
Supported Living	501	0	501
Skilled Nursing	70	0	70
Other*	113	22	91
Total	***30,583***	***15,758***	***14,825***

Other*			
Acute General Hospital	6	0	6
California Youth Authority	1	0	1
Community Treatment	1	1	0
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	1	0	1
Psychiatric Treatment	31	1	30
Rehabilitation Center	7	0	7
SDC / State Hospital	6	0	6
Sub-Acute	42	10	32
Transient / Homeless	18	6	12
Total, Other*	***116***	***18***	***98***



Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

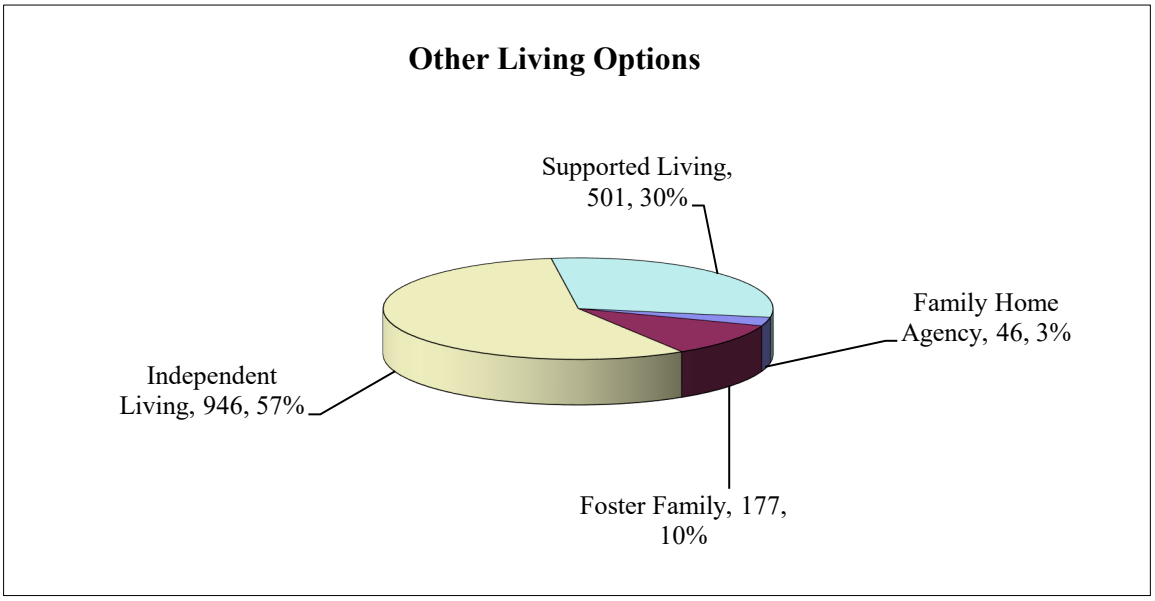
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	46	0	46
Foster Family	177	168	9
Independent Living	946	0	946
Supported Living	501	0	501
Total	***1,670***	***168***	***1,502***



Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.
SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.
SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.
SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

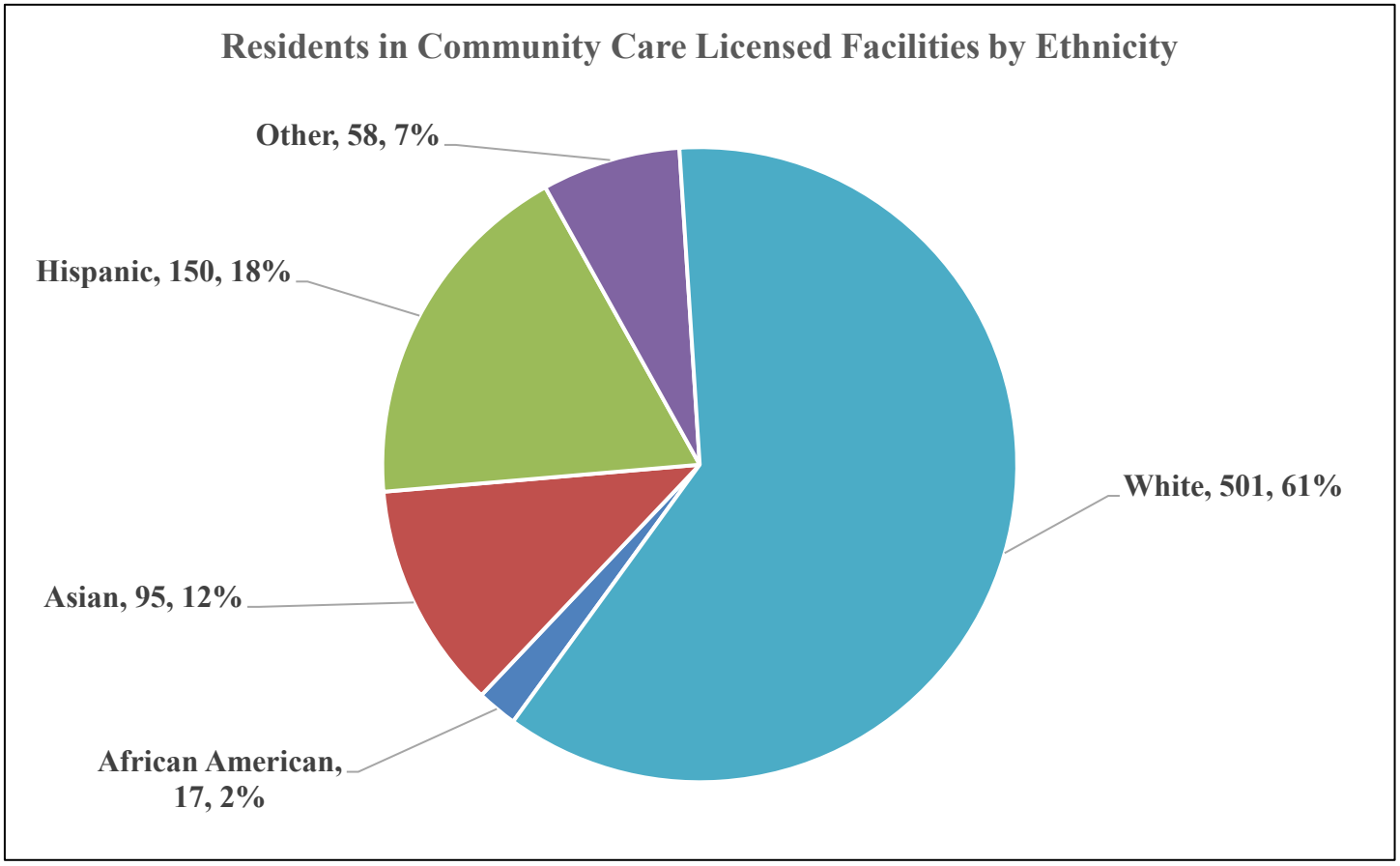
Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2026-27

Licensed Facilities	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4A	0	0	0
Level 4B	0	0	0
Level 4C	0	0	0
Level 4D	0	0	0
Level 4E	0	0	0
Level 4F	0	0	0
Level 4G	0	0	0
Level 4H	0	0	0
Level 4I	0	0	0
Elderly	22	22	0
ICF/DD-H	0	0	0
ICF/DD-N	2	2	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	***24***	***24***	***0***

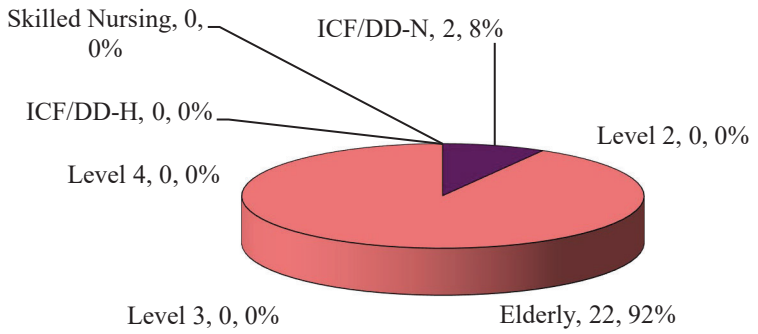
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4	0	0	0
ICF/DD-H	0	0	0
ICF/DD-N	2	2	0
Elderly	22	22	0
Skilled Nursing	0	0	0
Total	***24***	***24***	***0***

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2026-27

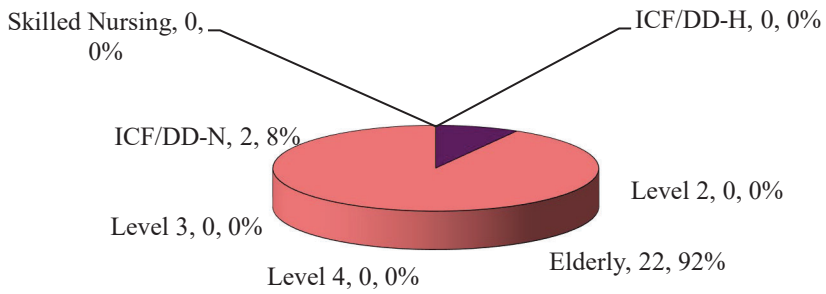
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	5	20	50	15	166	256
Level 3	6	51	75	37	231	400
Level 4A	6	24	25	6	104	165
Level 4B	0	0	0	0	0	0
Level 4C	0	0	0	0	0	0
Level 4D	0	0	0	0	0	0
Level 4E	0	0	0	0	0	0
Level 4F	0	0	0	0	0	0
Level 4G	0	0	0	0	0	0
Level 4H	0	0	0	0	0	0
Level 4I	0	0	0	0	0	0
Total	***17***	***95***	***150***	***58***	***501***	***821***



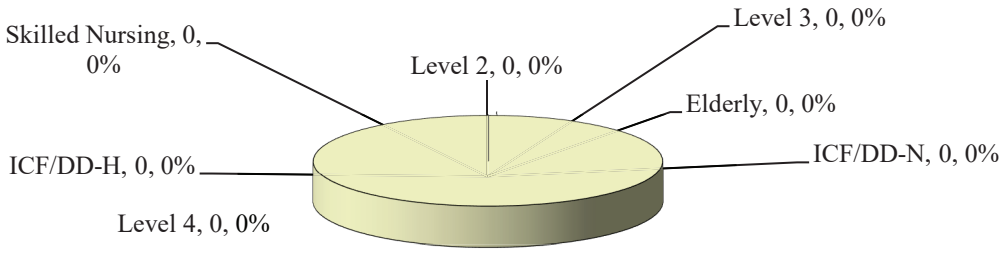
Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC



Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC



Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC

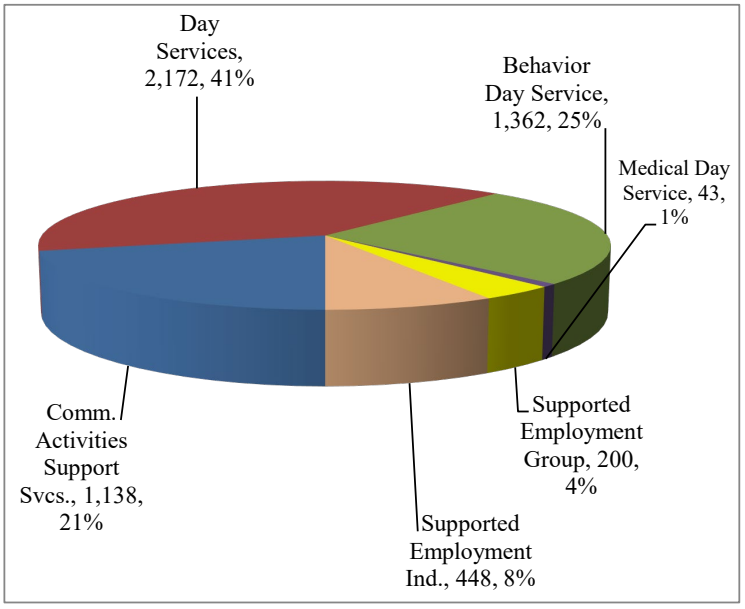


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,138
Day Services	2,172
Behavior Day Service	1,362
Medical Day Service	43
Supported Employment Group	200
Supported Employment Ind.	448
Total	***5,363***



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 due to severe behavioral challenges.

Day Services offer a range of services to help adult individuals develop skills and integrate into the community. Individuals served have either generally acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions or may be working on acquiring self-help skills and need sustained support and direction in developing these skills. Programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Services are provided with staffing ratios ranging from 1:2 to 1:10.

Behavior Day Service means a day program offering a range of services to help individuals develop skills and integrate into the community who require a behavior plan to address behaviors that necessitate additional support. Programs serve adults with severe behavior disorders and/or dual diagnosis who, because of their behavior challenges, are not eligible for any other community-based day program. Services are provided with staffing ratios of 1:2 and 1:3 only.

Medical Day Service means a day program offering a range of services for individuals to develop skills and integrate into the community with support for health conditions including use of inhalation-assistive devices, colostomy/ileostomies, requirements for enemas or suppositories, use of catheters, insulin-dependent diabetes, stage 1 and 2 dermal ulcers, wounds, gastrostomies and tracheostomies or other conditions that require oversight from a licensed medical professional. Services are provided with staffing ratios of 1:2 or 1:3 only.

Supported Employment Program – Group Services means services are provided by a single job coach to a group of individuals engaged in paid work that is integrated in the community.

Supported Employment Program includes job development and job coaching services to a single individual pursuing or engaged in competitive integrated employment. Job development is staff time to assist an individual with activities involved in preparing for and securing competitive integrated employment (CIE). Job coaching is individualized staff time for training and support to an individual, after employment is secured, with maintaining employment and advancing in CIE.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2026-27

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	526.6	522.6				
Number of Case-Carrying SCs	470.6	466.7				
Number of Intake SCs	44.0	44.0				
Number of Active Persons Served	29,590	29,644				
Caseload Ratio, # of Active Persons Served/SCs	62.9	63.5				

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

Fair Hearings

Fiscal Year 2026-27

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	**49**	**58**										
Eligibility - Lanterman	13	20										
Behavioral services	2											
Respite		1										
Day Care												
Self Determination Budget	19	20										
Personal Assistance	3	2										
Other**	12	11										

** Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

*** Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	**18**	**35**										
Eligibility - Lanterman	8	18										
Eligibility - Early Start												
Behavioral services												
Respite		1										
Day Care												
Social/Recreational		1										
Social Skills Training												
SDP	5	8										
Personal Assistance		1										
Other**	5	5										

** Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

*** Other issues include but are not limited to living options.*

Number of All Meetings Held	**18**	**20**										
Number of Informal Meetings Held	11	14										
Number of Mediations Held	4	6										
Number of SLFHs Held	3											
Number of Requests in Scheduling*	**14**	**25**										

** Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	**3**	**0**										

** State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	**14**	**13**										
Withdrawn by Person Served/Family	3	3										
Settled in Informal	8	7										
Settled after further follow-up by RCOC												
Settled in Mediation	1											
SLFH Decision	2	3										

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	1											
RCOC	1	3										
Split												

ADMINISTRATION AND GOVERNANCE

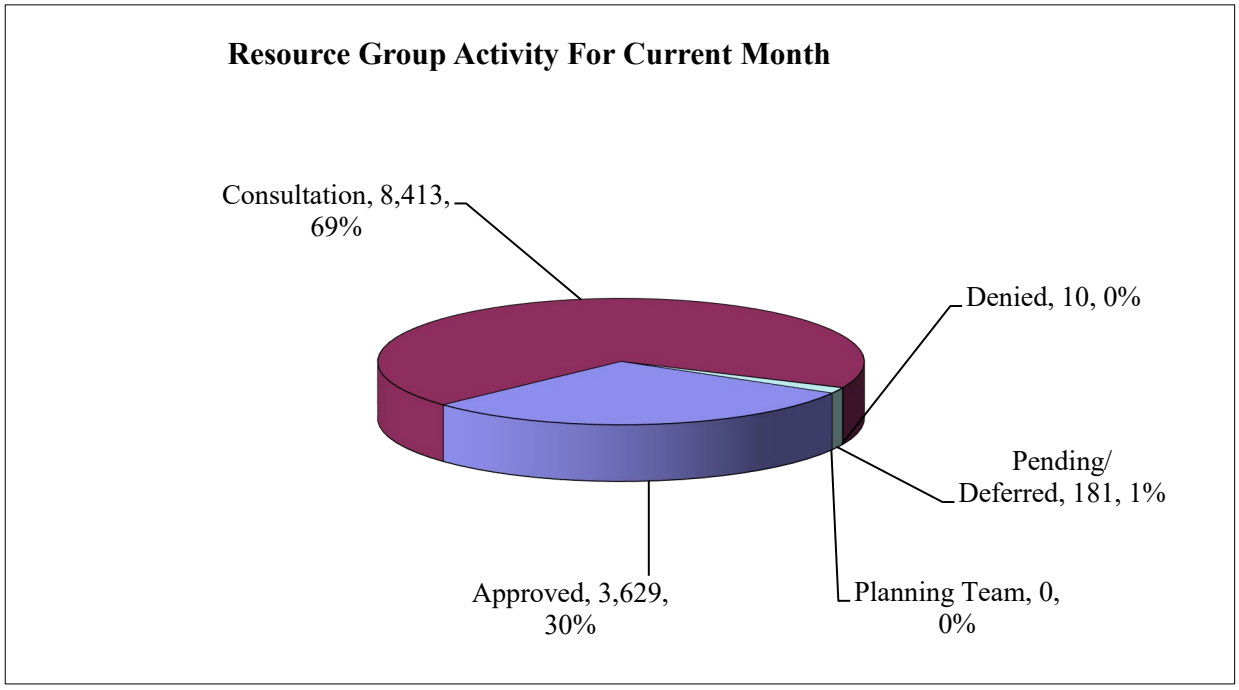
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/ Deferred	Planning Team	Total
Adult Day	921	389	0	98	0	1,408
Behavioral	95	81	0	20	0	196
Education	0	0	0	0	0	0
Eligibility/Health	112	1	0	1	0	114
Early Start	497	93	10	9	0	609
Living Options	245	167	0	15	0	427
Supported/Ind.	326	184	0	20	0	530
All Others	1433	367	0	18	0	1,818
Monthly Total	**3,629**	**8,413**	**10**	**181**	**0**	**12,233**

FY 2026-27 Total to Date	3,659	8,413	10	190	0	**12,272**



Operations Report Summary - August 2026

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,513	9,061	11,405	9	**23,988**	15,758	14,825
Percentage of Total	*15%*	*38%*	*48%*	*0%*	***100%***	*66%*	*62%*

Children served in Prevention Resource and Referral Services	458

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	26,440	15,549	10,891
Community Care Facility	1,687	15	1,672
State Developmental Center	9	0	9
Family Home Agency	46	0	46
Foster Home	177	168	9
Intermediate Care Facility	594	4	590
Independent Living	946	0	946
Supported Living	501	0	501
Skilled Nursing	70	0	70
Other	113	22	91
Total	***30,583***	***15,758***	***14,825***

Special Incident Investigations	Year to Date
AWOL	4
Abuse	16
Neglect	7
Injury	28
Hospitalizations - Total	72
Death	18
Victim of crime	14
Arrest	1
Rights	12
Total	***172***

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	72	0	72
Level 3	87	0	106
Level 4	211	9	52
Total Community Care Facilities	***370***	***9***	***230***

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	65
ICF-DD/Nursing	41
Total ICF Facilities	***106***

Total Licensed Facilities	***476***

Licensed Facility Monitoring	Year to Date
Annual Review	39
Unannounced	57
Total Number of Reviews	96
Provider Trainings	0
Technical Support	227
Corrective Action Plans	5

Number of Audits	***5***
Amount of Recovery from Audits	***$184,356***

Summary of Information About Persons Served - September 2026

NUMBER OF PERSONS SERVED	30,595	100%
Children - Birth to Age Three Receiving Early Start Services	3,483	11%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	11,829	39%
Adults - Ages 18 and Older Receiving Lanterman Services	14,823	48%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	261

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,542	51%
Epilepsy	2,892	13%
Cerebral Palsy	2,518	11%
Autism	13,956	50%
Fifth Category*	2,315	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION		535
Early Start / Under Age Three / 45 days to complete determination	346	65%
Lanterman / Over Age Three / 120 days to complete determination	178	33%
Provisional / Up to Age Five / 90 days to complete determination	11	2%

NUMBER OF PERSONS DETERMINED ELIGIBLE		208
Children - Birth to Age Three Eligible for Early Start Services		0
Children and Adults - Ages Three and Older Eligible for Lanterman Services		142
• Number of children who received Early Start services	35	
• Number of children who received Early Start services and had a diagnosis of autism	25	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services		6

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	139
Children - Age Three No Longer Eligible for Early Start Services	131
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	8

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

SEPTEMBER 2026 ACTIVITY

Rate Reform Transition Notice

Due to ongoing Statewide Rate Reform finalization and implementation, several data elements and historical metrics in this report do not yet fully reflect current service descriptions, rate models, or service authorization structures. Various sections still need to be revised to align with the new Rate Reform framework. Data should be interpreted with this transition in mind.

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, ackno perspectives and that respect the inherent risks and valuable learning experiences that come fron*

Provider Monitoring, Technical Support and Special Incident Investigation Activities
Fiscal Year 2026-27

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	15	24	35			
Unannounced	21	36	49			
Total Number of Reviews	***36***	***60***	***84***	***0***	***0***	***0***

Provider Trainings	0	0	0			
Technical Support	79	148	203			
Corrective Action Plans	2	3	2			
Special Incident Investigations*	13	46	36			

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							**74**
Unannounced							**106**
Total Number of Reviews	***0***	***0***	***0***	***0***	***0***	***0***	***180***

Provider Trainings							**0**
Technical Support							**430**
Corrective Action Plans							**7**
Special Incident Investigations*							**95**

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

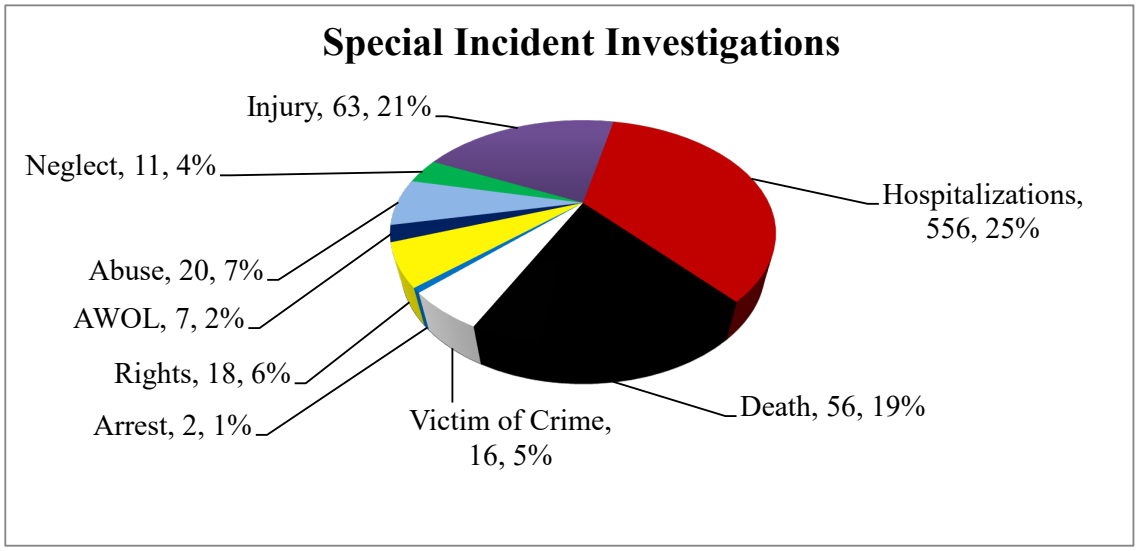
(A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
(B) Reasonably suspected abuse/exploitation including:
1. Physical;
2. Sexual;
3. Fiduciary;
4. Emotional/mental; or
5. Physical and/or chemical restraint.
(C) Reasonably suspected neglect including failure to:
1. Provide medical care for physical and mental health needs;
2. Prevent malnutrition or dehydration;
3. Protect from health and safety hazards;
4. Assist in personal hygiene or the provision of food, clothing or shelter or
5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
(D) A serious injury/accident including:
1. Lacerations requiring sutures or staples;
2. Puncture wounds requiring medical treatment beyond first aid;
3. Fractures;
4. Dislocations;
5. Bites that break the skin and require medical treatment beyond first aid;
6. Internal bleeding requiring medical treatment beyond first aid;
7. Any medication errors;
8. Medication reactions that require medical treatment beyond first aid; or
9. Burns that require medical treatment beyond first aid.
(E) Any unplanned or unscheduled hospitalization due to the following conditions:
1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
2. Seizure-related;
3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
5. Diabetes, including diabetes-related complications;
6. Wound/skin care, including but not limited to, cellulitis and decubutus;
7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
8. Involuntary psychiatric admission;
(2) The following special incidents regardless of when or where they occurred:
(A) The death of any consumer, regardless of cause;
(B) The consumer is the victim of a crime including the following:
1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2026-27

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	2	2	3			
Abuse	7	9	4			
Neglect	2	5	4			
Injury	10	18	35			
Hospitalizations - Total	30	42	33			
Psychiatric	*7*	*11*	*3*			
Medical	*23*	*31*	*30*			
Death	5	13	38			
Victim of crime	1	13	2			
Arrest	1		1			
Rights	11	1	6			
Total	***69***	***103***	***126***	***0***	***0***	***0***

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							**7**
Abuse							**20**
Neglect							**11**
Injury							**63**
Hospitalizations - Total							**105**
Psychiatric							***21***
Medical							***84***
Death							**56**
Victim of Crime							**16**
Arrest							**2**
Rights							**18**
Total	***0***	***0***	***0***	***0***	***0***	***0***	***298***



Provider Audits
Fiscal Year 2026-27

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing		1				
Staffing		2				
Level 4I Consultant						
P&I (consumer funds)	2		1			
Total Number of Audits	***2***	***3***	***1***			

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal						
Recovery	1	3				

Audit Findings (Dollar Amount)

Amount of Recovery	*$2,059.30*	*$182,296.82*	*$0.00*	*$0.00*	*$0.00*	*$0.00*

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							**1**
Staffing							**0**
Level 4I Consultant							**0**
P&I (consumer funds)							**0**
Total Number of Audits							***6***

Number of Appeals / Recoveries

State Appeal							**0**
Recovery							**4**

Audit Findings (Dollar Amount)

Amount of Recovery	*$0.00*	*$0.00*	*$0.00*	*$0.00*	*$0.00*	*$0.00*	***$184,356.12***

FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2026-27

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	92	82	83			
Diapers - Family Member	3	3	3			
Nursing Service - Family Member	No Data Available	No Data Available	No Data Available			
Respite Service - Family Member	707	740	748			
Transportation - Family Member	488	343	358			
Total Number of Voucher Authorizations	***1,290***	***1,168***	***1,192***	***0***	***0***	***0***

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	***0***	***0***	***0***	***0***	***0***	***0***

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*

- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities
Fiscal Year 2026-27

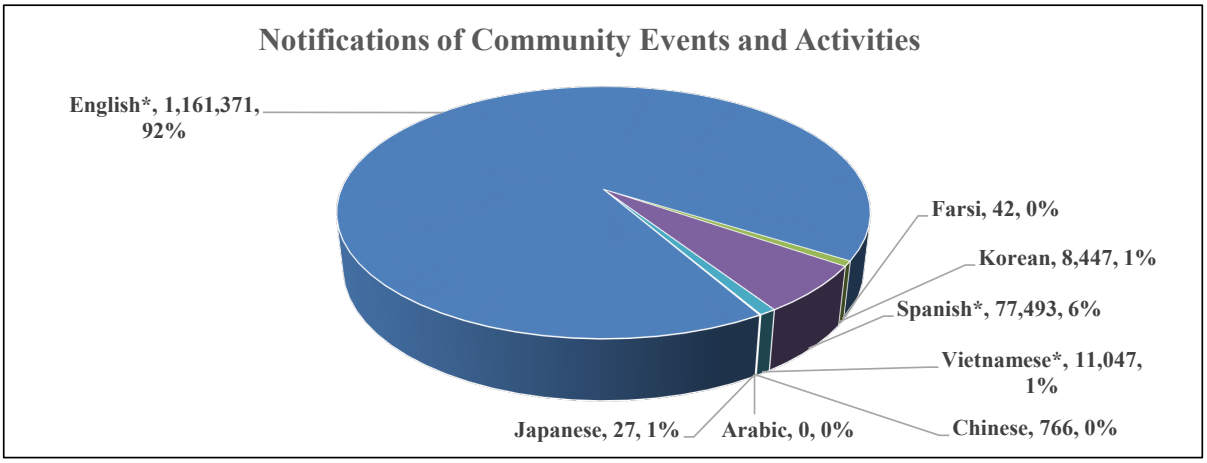
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	486,047	412,298	263,026			
Farsi	0	42	0			
Korean	366	254	7,827			
Spanish*	35,925	9,603	31,965			
Vietnamese*	2,848	2,342	5,857			
Chinese	112	52	602			
Japanese	0	0	0			
Arabic	0	0	0			
Total Number of Notifications	***525,298***	***424,591***	***309,277***	***0***	***0***	***0***

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							1,161,371
Farsi							42
Korean							8,447
Spanish*							77,493
Vietnamese*							11,047
Chinese							766
Japanese							0
Arabic							0
Total Number of Notifications	***0***	***0***	***0***	***0***	***0***	***0***	***1,259,166***

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
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Community Outreach
Fiscal Year 2026-27

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	4	2	3			
Spanish	4	3	4			
Vietnamese			2			
Other Languages**	1					
In Print						
English			3			
Spanish						
Vietnamese	1		1			
Other Languages						
TV / Radio						
English	1		2			
Spanish						
Vietnamese	4	5	5			
Other Languages						
Total Number of Outreach Events	***15***	***10***	***20***	***0***	***0***	***0***

** Korean

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							**9**
Spanish							**11**
Vietnamese							**2**
Other Languages							**1**
In Print							
English							**3**
Spanish							**0**
Vietnamese							**2**
Other Languages							**0**
TV / Radio							
English							**3**
Spanish							**0**
Vietnamese							**14**
Other Languages							**0**
Total Number of Outreach Events	***0***	***0***	***0***	***0***	***0***	***0***	***45***

* Virtual Meetings

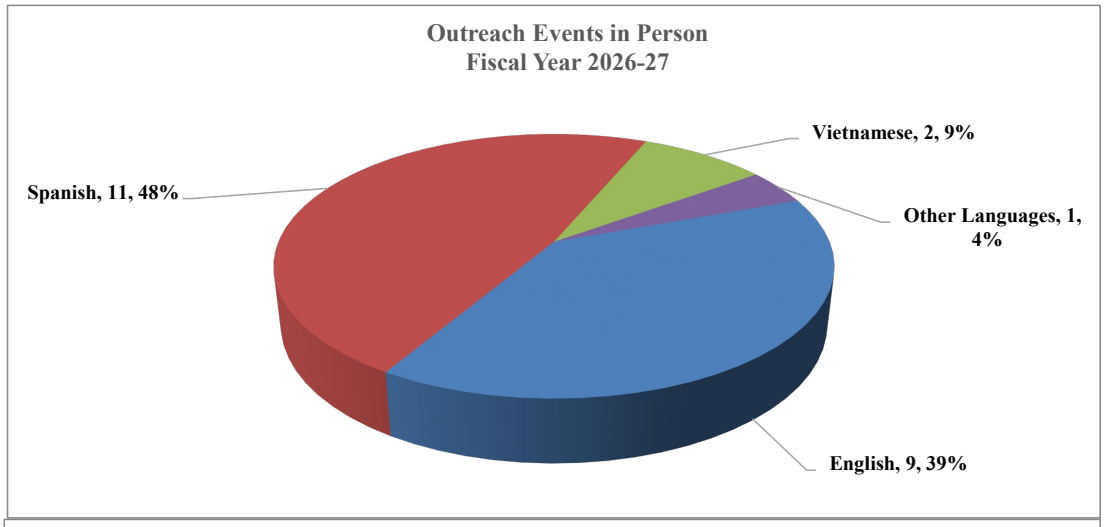
FAMILY SUPPORTS

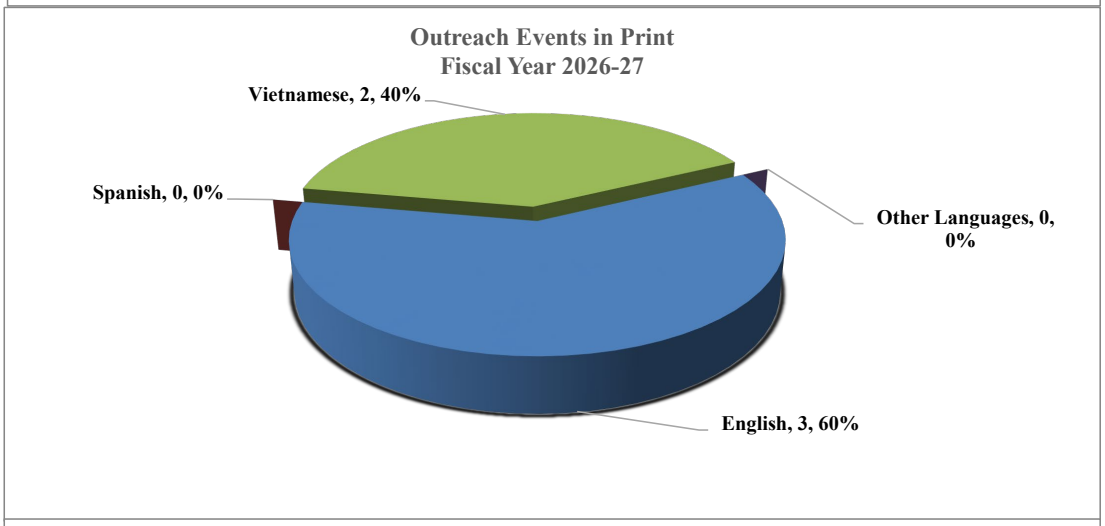
Related Guiding Principles

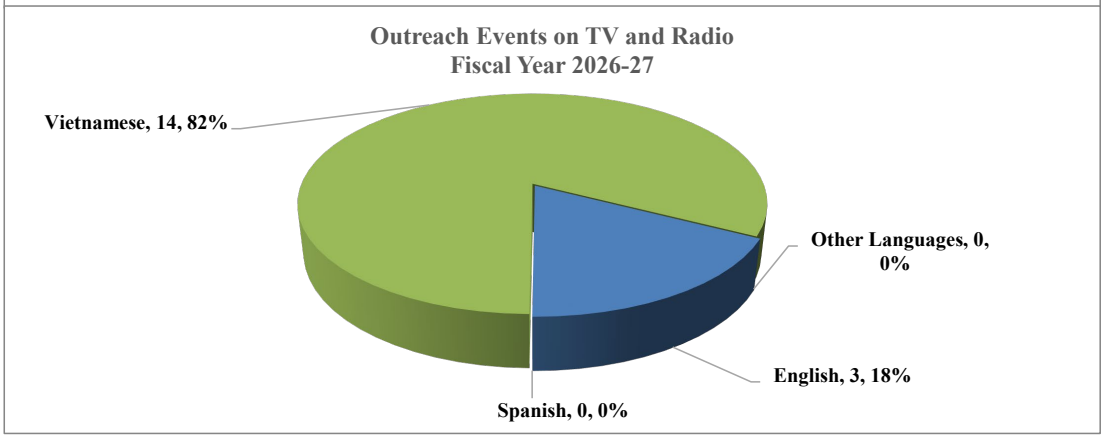
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*

- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio
Fiscal Year 2026-27







EARLY INTERVENTION / PREVENTION

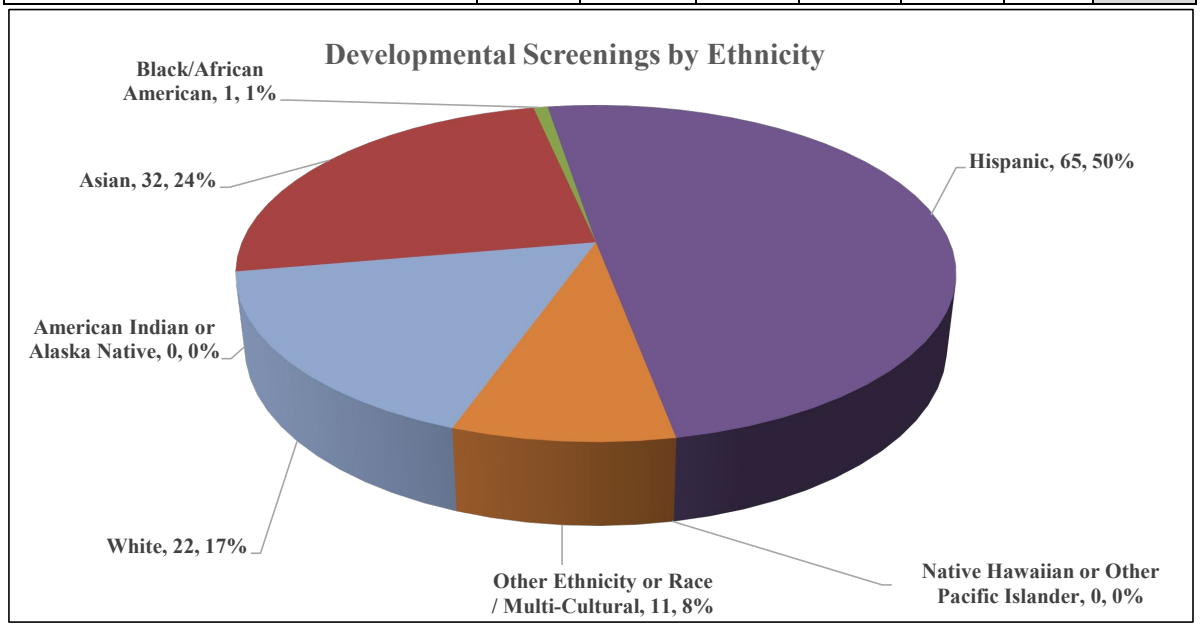
Related Guiding Principles

- *Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.*
- *Persons served are provided with needed services and supports in a family-focused and collaborative fashion.*

Fiscal Year 2026-27

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0			
Asian	7	14	11			
Black/African American	0	1	0			
Hispanic	19	33	13			
Native Hawaiian or Other Pacific Islander	0	0	0			
Other Ethnicity or Race / Multi-Cultural	2	3	6			
White	5	6	11			
Total Number Screened	***33***	***57***	***41***			
Total Number Referred to RCOC	***16***	***26***	***16***			

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							**0**
Asian							**32**
Black/African American							**1**
Hispanic							**65**
Native Hawaiian or Other Pacific Islander							**0**
Other Ethnicity or Race / Multi-Cultural							**11**
White							**22**
Total Number Screened							**131**
Total Number Referred to RCOC							**58**

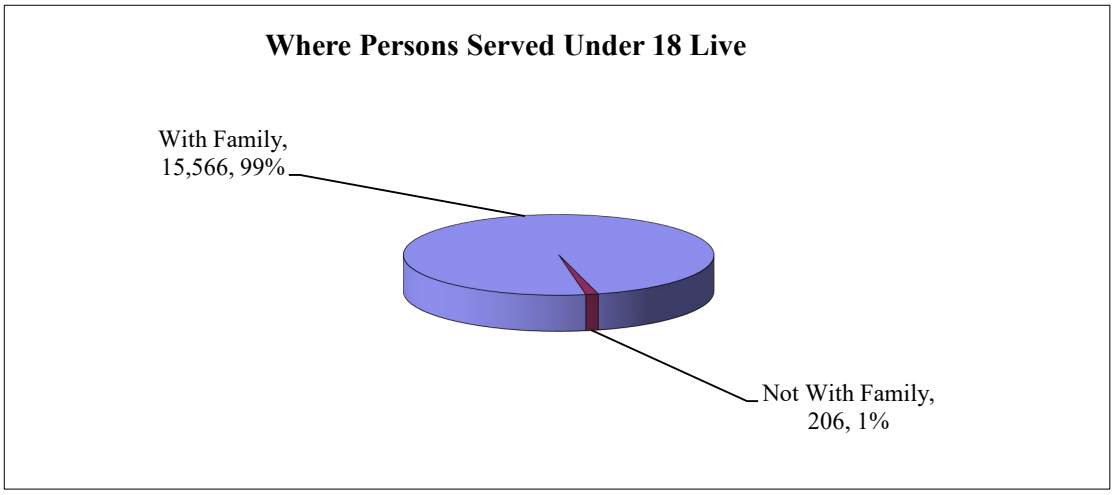


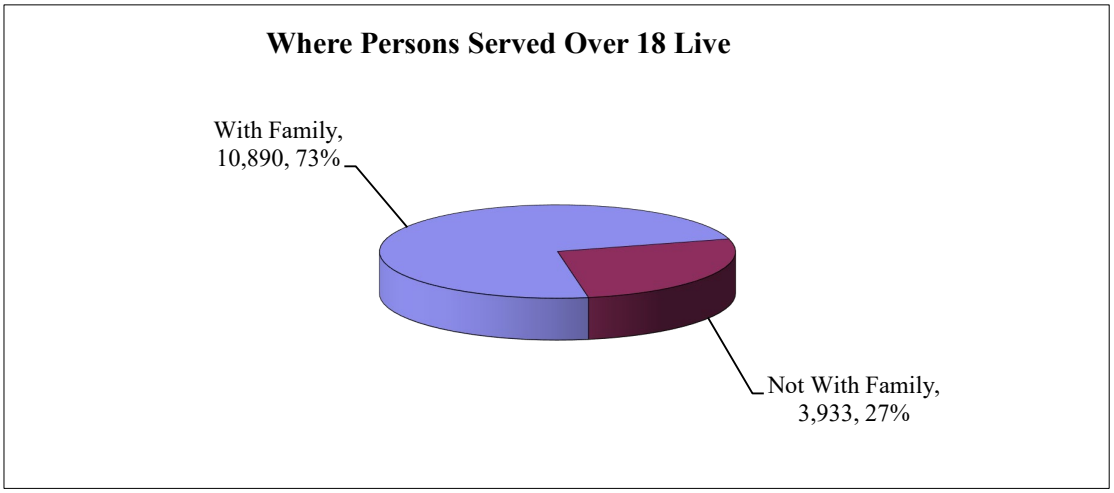
LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices or and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	26,456	15,566	10,890
Not With Family	4,139	206	3,933
Totals	**30,595**	**15,772**	**14,823**

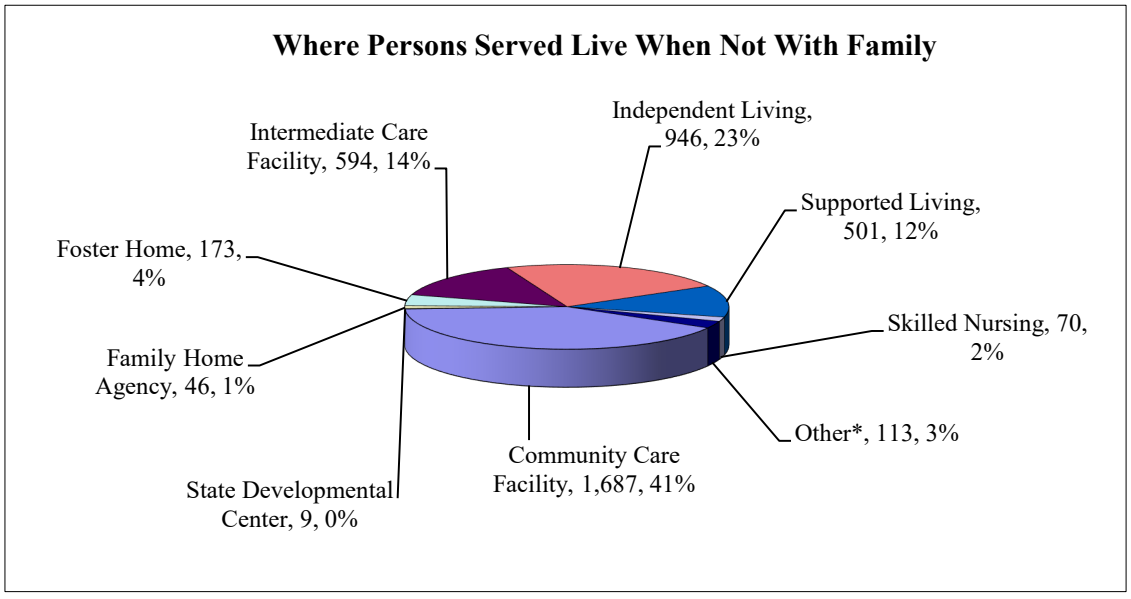




LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	26,456	15,566	10,890
Community Care Facility	1,687	15	1,672
State Developmental Center	9	0	9
Family Home Agency	46	0	46
Foster Home	173	165	8
Intermediate Care Facility	594	4	590
Independent Living	946	0	946
Supported Living	501	0	501
Skilled Nursing	70	0	70
Other*	113	22	91
Total	***30,595***	***15,772***	***14,823***

Other*			
Acute General Hospital	6	0	6
California Youth Authority	1	0	1
Community Treatment	1	1	0
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	1	0	1
Psychiatric Treatment	31	1	30
Rehabilitation Center	7	0	7
SDC / State Hospital	6	0	6
Sub-Acute	39	9	30
Transient / Homeless	18	6	12
Total, Other*	***113***	***17***	***96***



Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

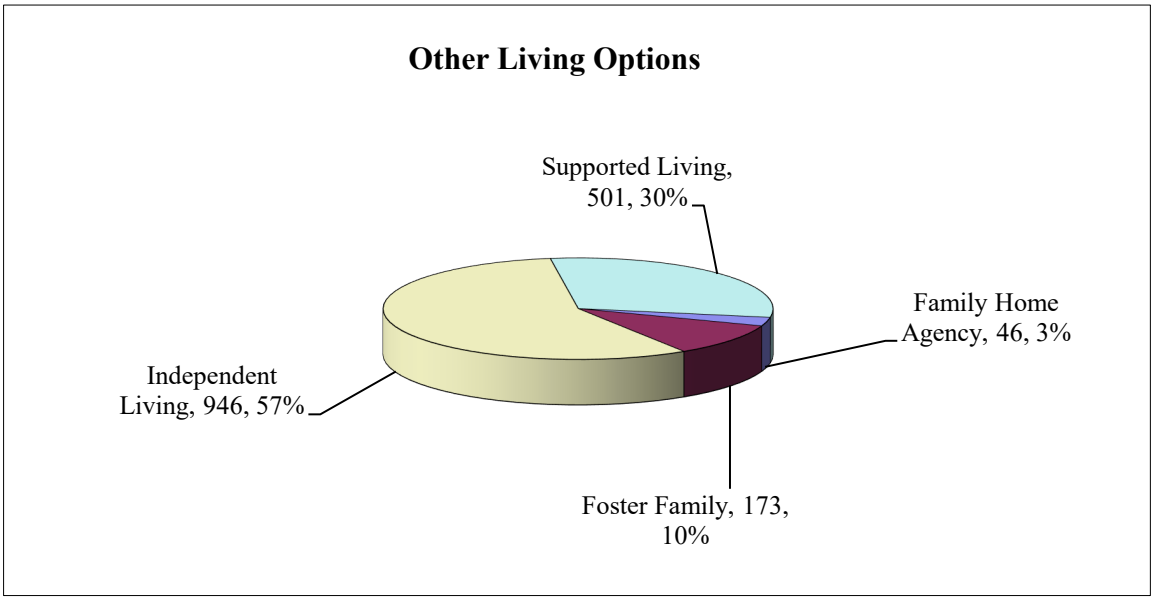
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	46	0	46
Foster Family	173	165	8
Independent Living	946	0	946
Supported Living	501	0	501
Total	***1,666***	***165***	***1,501***



Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.
SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.
SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.
SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

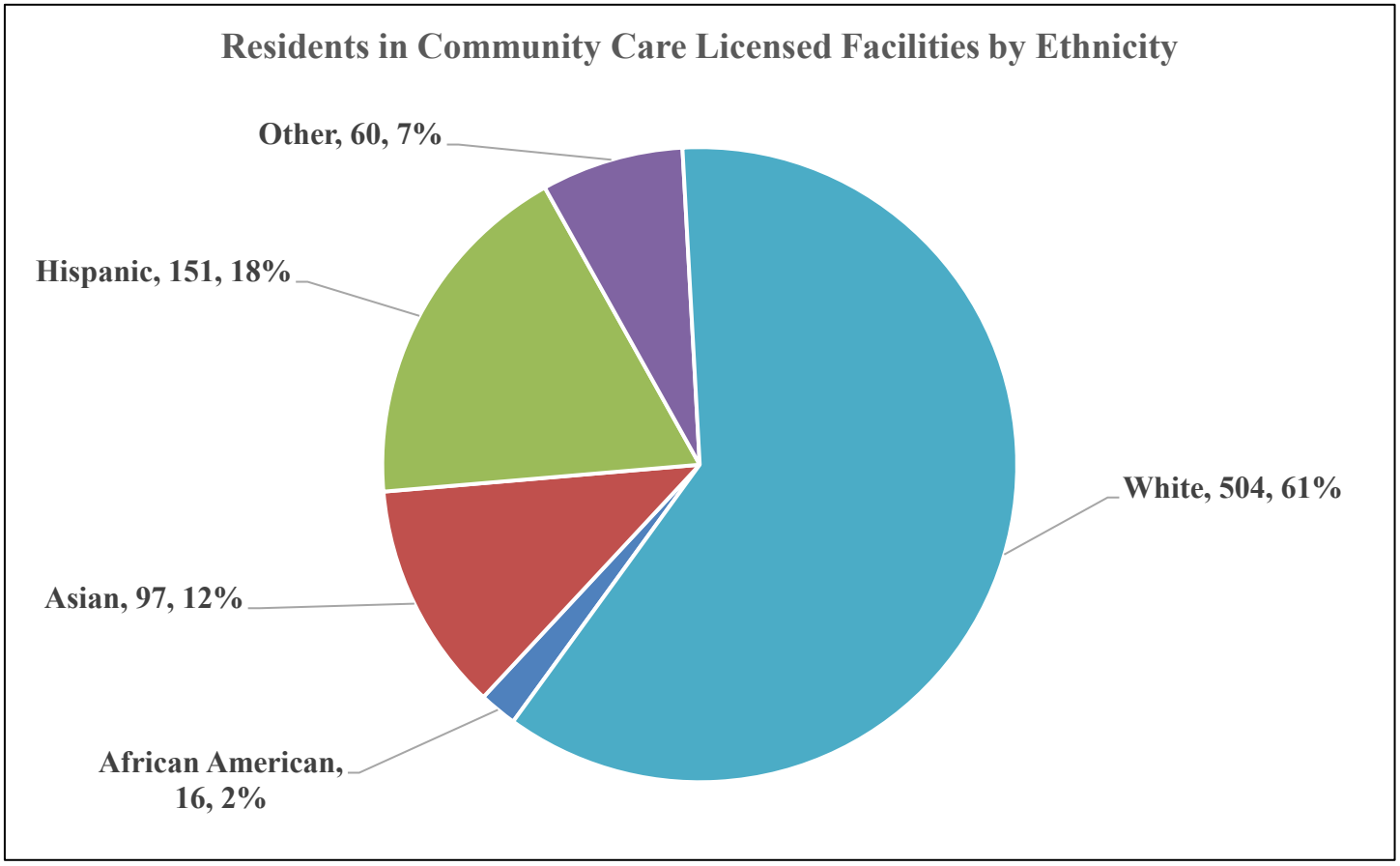
Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2026-27

Licensed Facilities	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4A	0	0	0
Level 4B	0	0	0
Level 4C	0	0	0
Level 4D	0	0	0
Level 4E	0	0	0
Level 4F	0	0	0
Level 4G	0	0	0
Level 4H	0	0	0
Level 4I	0	0	0
Elderly	22	22	0
ICF/DD-H	0	0	0
ICF/DD-N	1	1	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	***23***	***23***	***0***

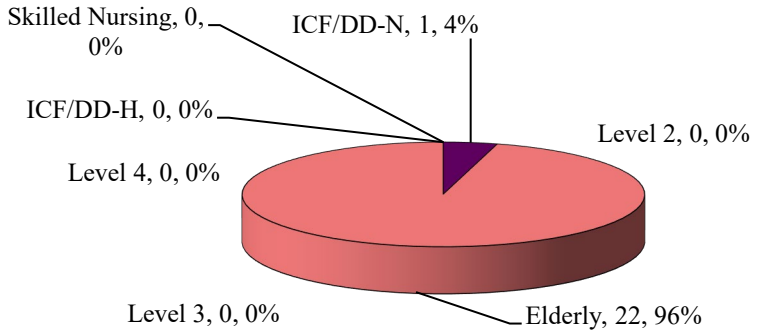
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	0	0	0
Level 3	0	0	0
Level 4	0	0	0
ICF/DD-H	0	0	0
ICF/DD-N	1	1	0
Elderly	22	22	0
Skilled Nursing	0	0	0
Total	***23***	***23***	***0***

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2026-27

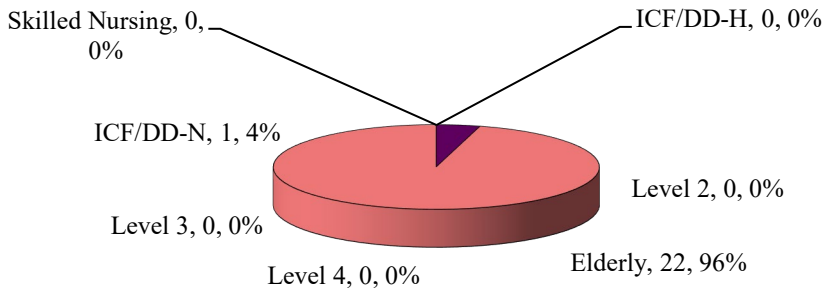
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	5	22	51	15	166	259
Level 3	6	51	75	39	232	403
Level 4A	5	24	25	6	106	166
Level 4B	0	0	0	0	0	0
Level 4C	0	0	0	0	0	0
Level 4D	0	0	0	0	0	0
Level 4E	0	0	0	0	0	0
Level 4F	0	0	0	0	0	0
Level 4G	0	0	0	0	0	0
Level 4H	0	0	0	0	0	0
Level 4I	0	0	0	0	0	0
Total	***16***	***97***	***151***	***60***	***504***	***828***



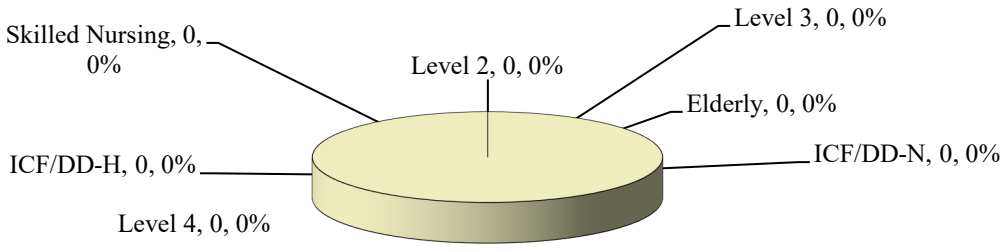
Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC



Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC



Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC

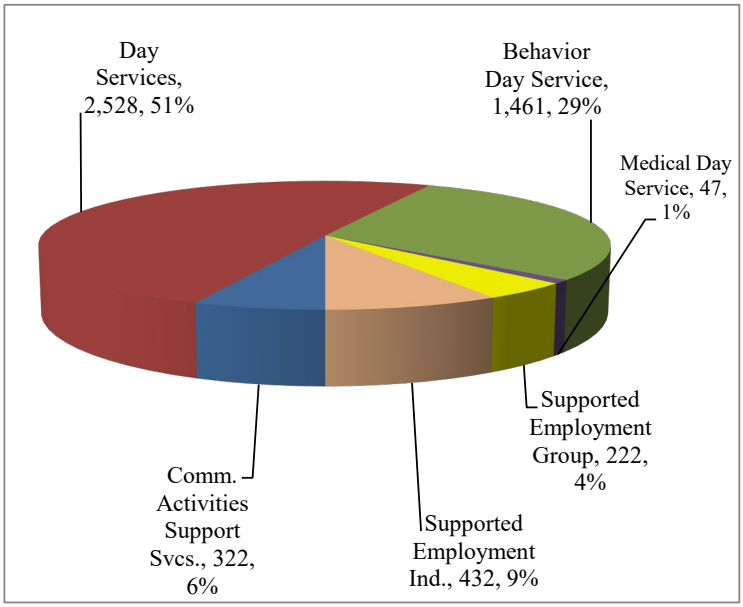


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	322
Day Services	2,528
Behavior Day Service	1,461
Medical Day Service	47
Supported Employment Group	222
Supported Employment Ind.	432
Total	***5,012***



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 due to severe behavioral challenges.

Day Services offer a range of services to help adult individuals develop skills and integrate into the community. Individuals served have either generally acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions or may be working on acquiring self-help skills and need sustained support and direction in developing these skills. Programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Services are provided with staffing ratios ranging from 1:2 to 1:10.

Behavior Day Service means a day program offering a range of services to help individuals develop skills and integrate into the community who require a behavior plan to address behaviors that necessitate additional support. Programs serve adults with severe behavior disorders and/or dual diagnosis who, because of their behavior challenges, are not eligible for any other community-based day program. Services are provided with staffing ratios of 1:2 and 1:3 only.

Medical Day Service means a day program offering a range of services for individuals to develop skills and integrate into the community with support for health conditions including use of inhalation-assistive devices, colostomy/ileostomies, requirements for enemas or suppositories, use of catheters, insulin-dependent diabetes, stage 1 and 2 dermal ulcers, wounds, gastrostomies and tracheostomies or other conditions that require oversight from a licensed medical professional. Services are provided with staffing ratios of 1:2 or 1:3 only.

Supported Employment Program – Group Services means services are provided by a single job coach to a group of individuals engaged in paid work that is integrated in the community.

Supported Employment Program includes job development and job coaching services to a single individual pursuing or engaged in competitive integrated employment. Job development is staff time to assist an individual with activities involved in preparing for and securing competitive integrated employment (CIE). Job coaching is individualized staff time for training and support to an individual, after employment is secured, with maintaining employment and advancing in CIE.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2026-27

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	526.6	522.6	537.0			
Number of Case-Carrying SCs	470.6	466.7	480.1			
Number of Intake SCs	44.0	44.0	45.0			
Number of Active Persons Served	29,590	29,644	29,803			
Caseload Ratio, # of Active Persons Served/SCs	62.9	63.5	62.1			

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

Fair Hearings

Fiscal Year 2026-27

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	**49**	**58**	**62**									
Eligibility - Lanterman	13	20	23									
Behavioral services	2											
Respite		1	1									
Day Care												
Self Determination Budget	19	20	20									
Personal Assistance	3	2	3									
Other**	12	11	7									

** Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

*** Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	**18**	**35**	**18**									
Eligibility - Lanterman	8	18	8									
Eligibility - Early Start												
Behavioral services												
Respite		1										
Day Care												
Social/Recreational		1	4									
Social Skills Training												
SDP	5	8	3									
Personal Assistance		1	1									
Other**	5	5	1									

** Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

*** Other issues include but are not limited to living options.*

Number of All Meetings Held	**18**	**20**	**18**									
Number of Informal Meetings Held	11	14	14									
Number of Mediations Held	4	6	3									
Number of SLFHs Held	3		1									
Number of Requests in Scheduling*	**14**	**25**	**30**									

** Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	**3**	**0**	**0**									

** State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	**14**	**13**	**14**									
Withdrawn by Person Served/Family	3	3	4									
Settled in Informal	8	7	10									
Settled after further follow-up by RCOC												
Settled in Mediation	1											
SLFH Decision	2	3										

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	1											
RCOC	1	3										
Split												

ADMINISTRATION AND GOVERNANCE

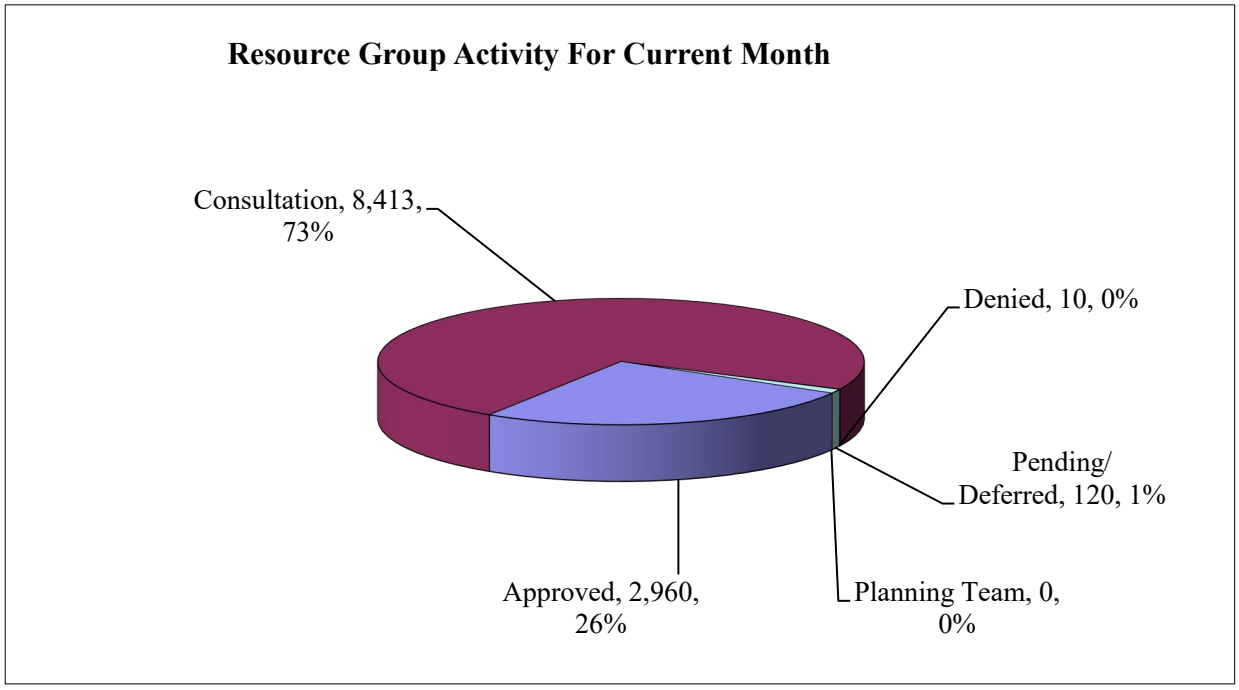
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/ Deferred	Planning Team	Total
Adult Day	320	379	0	35	0	734
Behavioral	94	81	0	20	0	195
Education	0	0	0	0	0	0
Eligibility/Health	112	1	0	1	0	114
Early Start	430	90	10	9	0	539
Living Options	245	167	0	15	0	427
Supported/Ind.	326	180	0	22	0	528
All Others	1433	367	0	18	0	1,818
Monthly Total	**2,960**	**8,413**	**10**	**120**	**0**	**11,503**

FY 2026-27 Total to Date	6,619	8,413	10	190	0	**15,232**



Operations Report Summary - September 2026

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,483	9,125	11,371	9	**23,988**	15,772	14,823
Percentage of Total	*15%*	*38%*	*47%*	*0%*	***100%***	*66%*	*62%*

Children served in Prevention Resource and Referral Services	458

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	26,456	15,566	10,890
Community Care Facility	1,687	15	1,672
State Developmental Center	9	0	9
Family Home Agency	46	0	46
Foster Home	173	165	8
Intermediate Care Facility	594	4	590
Independent Living	946	0	946
Supported Living	501	0	501
Skilled Nursing	70	0	70
Other	113	22	91
Total	***30,595***	***15,772***	***14,823***

Special Incident Investigations	Year to Date
AWOL	7
Abuse	20
Neglect	11
Injury	63
Hospitalizations - Total	105
Death	56
Victim of crime	16
Arrest	2
Rights	18
Total	***298***

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	73	0	73
Level 3	87	0	111
Level 4	211	9	53
Total Community Care Facilities	***371***	***9***	***237***

Licensed Facility Monitoring	Year to Date
Annual Review	74
Unannounced	106
Total Number of Reviews	180
Provider Trainings	0
Technical Support	430
Corrective Action Plans	7

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	65
ICF-DD/Nursing	41
Total ICF Facilities	***106***

Number of Audits	*6*
Amount of Recovery from Audits	*$184,356*

Total Licensed Facilities	*477*

Regional Center of Orange County Fiscal Year 2026-27 Performance Contract Plan

Performance Measure *Community Integration*	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Number and percent of adults living independently with or without supports	New Measure	Baseline Year	Providing training for service coordination to assist individuals select from appropriate options.
Number and percent of adults residing in Family Home Agency homes	New Measure	Baseline Year	Review and revise services on an ongoing basis to ensure needs are met during IPP and planning meetings.
Number and percent of adults residing in family homes (home of parent or guardian): 18 to 35 years; 36 to 50 years; 51+ years	New Measure	Baseline Year	Ensure that individuals have opportunities to provide feedback (IPP, NCI survey, planning meetings).
Number and percent of adults residing in home settings.	84.65%	12,378 Individuals, 82.95%	Continue to develop new resources within the community.
Number and percent of minors living in facilities serving > 6.	0.03%	0 Individuals 0.0%	Adhere to regulations regarding the use of larger residential facilities to ensure the least restrictive environment is supported.
Number and percent of adults living in facilities serving > 6.	1.39%	156 Individuals 1.06%	

Performance Measure *Early Start - Childhood*	Statewide Average	RCOC Outcome	Planned Activities FY 2026-27
Timely Access to Early Start Services - Percent of Individualized Family Service Plans (IFSP) completed within the federally required 45-day timeframe from receipt of referral for all children under the age of three.	New Measure	Baseline Year	Ensure that Early Start service coordinators meet with all families to develop a transition Individualized Family Service Plan (IFSP) prior to 90 days of the child's 3rd birthday
Provisional Eligibility - Number of children who turn age 5 and continue regional center services through provisional eligibility.	New Measure	Baseline Year	RCOC will determine Lanterman eligibility for provisionally eligible children prior to the 5th birthday.
Submission of Completed Early Start Report (ESR): Percentage of completed ESR submitted to DDS for children exiting Early Start, inclusive of all required fields.	Incentive Measure	Baseline Year	Ensure that service coordinators meet with families to develop the initial IFSP within 45 days of the initial referral date.

Planning for Services After Early Start: 1. Percentage of children who receive a timely transition meeting at least 90 days prior to their third birthday. 2. Percentage of children transitioning from Early Start to Lanterman Act Services or Provisional Eligibility, who have a completed Individual Program Plan (IPP) no more than 60 days following their third birthday.	Incentive Measure		Ensure that Early Start service coordinators submit a completed Early Start Report (ESR) for all children exiting Early Start.
Performance Measure ***Employment***	**Statewide Average**	**RCOC Outcome**	**Planned Activities** **FY 2026-27**
Number and percentage of individuals ages 16-64 with earned income.	1,583 15.2%	2,269 15.5%	Implement RCOC's Employment First policy, encouraging Competitive Integrated Employment as the first option to be considered by all adults served.
Average annual wages for individuals ages 16-64.	$14,256	$13,256	Provide training and printed material for persons served, families, and service coordinators about employment services and resources.
Number of adults who entered competitive integrated employment following participation in a Paid Internship Program.	256 total	8	Partner with local businesses, service providers, and education agencies to develop paid internships and employment opportunities.

Percentage of adults who entered competitive integrated employment following participation in a Paid Internship Program.	10%	9%	Develop employment service providers to meet the needs of persons served.
Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year.	14.5 hours $15.96	11.7 hours $15.91	Gather and analyze employment related data for persons served.
Average wages and hours worked for adults engaged in competitive integrated employment on behalf of whom incentive payments have been made.	$16.51 20.6 hours	$16.11 11.6 hours	
Total number of 30-day, 6-month and 12-month incentive payments made for the fiscal year.	72	137	
Percentage of adults having competitive, integrated employment as a goal/outcome in their IPP and have a job with reportable wages	Incentive Measure	Baseline Year	

Data Updates and Reporting: Percentage of individuals ages 16 and older who have updated employment-related information documented in the Client Development Evaluation Report (CDER).	Incentive Measure	Baseline Year	
Devlopment and Outreach: Implementation of the Employment Development and Outreach Plan to increase employment opportunities and outcomes for individuals.	Incentive Measure	Due Date July 31, 2027	

Performance Measure: *Equity and Cultural Competency*	**Statewide Average**	**RCOC Outcome**	**Planned Activities FY 2026-27**
Expenditures: In-Home Purchase of Services (POS) - Comparison of the POS expenditure amounts for individuals living at home to identify any differences across race, ethnicity and/or language when compared to the per capita average.	New Measure	Baseline Year	Continue to expand and simplify translation services and documents into multiple languages to ensure that all families can access information on services and supports.

Expenditures: In-Home Respite POS - Comparison of POS expenditure amounts for all respite services delivered to people living in family homes, across race, ethnicity and language, when compared to the per capita average.	New Measure	Baseline Year	Engage in community outreach to connect with families. Collaborate with Community Based Organizations (CBOs) to provide information on educational events for families and increase awareness of regional center services.
Service Utilization: Early Start - Per capita Early Start Expenditures, separated and compared by race, ethnicity and language preference.	New Measure	Baseline Year	Conduct community surveys to understand family needs and gaps in services.
Linguistic Diversity - Increase number of bilingual staff, including service coordinators, intake staff and first line supervisors, over FY 2025-26 in one of the RC's top 5 languages spoken.	Incentive Measure	Baseline Year	Implementation of the Standardized Respite tool when available.

Performance Measure: *Innovation in Service Accountability, Delivery, and Technology*	**Statewide Average**	**RCOC Outcome**	**Planned Activities FY 2026-27**
Website Accessibility: 1. Regional center website meets 100% of Web Contents Accessibility Guidelines 2.1 (WCAG 2.1). 2. Regional center's website meets WCAG 2.2 Guidelines.	Incentive Measure	Due Date July 31, 2027	Maintain all website requirements by ensuring necessary revisions are completed.

Performance Measure: ***Individual/Family Experience and Satisfaction***	**Statewide Average**	**RCOC Outcome**	**Planned Activities FY 2026-27**
Number and percent of individuals, by race/ethnicity, who are satisfied with the services and supports received by the family and family member.	New Measure	Baseline Year	Provide the Individual Program Plan (IPP) survey QR code at all IPP meetings and encourage families to share feedback on experiences.
Number and percent of individuals, by race/ethnicity, whose IPP/IFSP includes all of the services and supports needed.	New Measure	Baseline Year	Ensure that service coordinators continue to discuss satisfaction with all families during IPP and planning meetings.
Number and percent of individuals who feel that services and supports have made a positive difference in the life of their family member.	New Measure	Baseline Year	Distribute information and encourage families to participate in the National Core Indicators (NCI) survey when circulated within the community.
Individual Program Plan experience: Percent of IPP surveys received by the Department compared to total number of IPP's completed per quarter (locked/ distributed)	New Measure	Baseline Year	

Performance Measure: ***Person Centered Planning***	**Statewide Average**	**RCOC Outcome**	**Planned Activities FY 2026-27**

Person Centered Facilitation Skills - Regional centers have one certified person-centered plan facilitation trainer employed for every 10,000 people enrolled in services.	New Measure	Due Date July 31, 2027	Continue to increase overall number of certified person-centered plan facilitation trainers. Continue to work with person centered thinking training organizations to expand opportunities for staff and community.
Informational Outreach to Individuals and Families - Implementation of the informational outreach to individuals and families about person-centered practices.	New Measure	Due Date July 31, 2027	RCOC will continue to offer community trainings on person centered practices for vendor, persons served, and families.

Performance Measure: *Service Coordination and Regional Center Operations*	**Statewide Average**	**RCOC Outcome**	**Planned Activities FY 2026-27**
The regional center achieves an unqualified independent audit with no material finding(s).	Compliance	Yes	RCOC will contract with an independent firm to conduct audits of records.
The regional center achieves substantial compliance with the Department fiscal audit.	Compliance	Yes	RCOC will monitor staff to ensure that records are maintained in compliance with applicable laws, regulations, and DDS contract.
The regional center operates within operations budget.	Compliance	Yes	RCOC will monitor expenditures to keep costs within allocated operations budget.

Compliance with Vendor Audit Requirements per contract, Article III, Section 9: The number of vendor audits completed compared to the number of vendor audits required per Article III, Section 9 of the Regional Center/Department Contract.	Compliance	Met	RCOC staff will conduct the required number of audits each fiscal year to ensure providers maintain appropriate documentation for services billed to RCOC.
Percentage of status 2 and U clients who have a Client Development Evaluation Report (CDER) updated or reviewed within the past 365 days.	95%	99.50%	RCOC will ensure that all staff completed required training through learning management systems within required timeframes.
Intake/assessment timelines for individuals ages 3 and older : The percentage of Intake/assessments completed on time compared to the total number of intake and assessments completed by the regional center within the reporting period.	61.50%	99.50%	RCOC will work with all vendors to ensure HCBS settings compliance on a yearly basis.
Percentage of Individual Program Plan's for individuals enrolled in a federal waiver that meet requirements outlined in WIC 4646 and 4646.5	New Measure	Baseline Year	RCOC staff will monitor the provider directory on a daily basis to ensure the 45 day vendorization timeline is met.

Vendorization: Percentage of vendorizations that met the regulatory 45 day timeline in the Decision Stage. Average number of days from application submissions to final decision on vendorization approval.	New Measure	Baseline Year	RCOC will continue to work with the community to develop vendors across all service models throughout the catchment area.
Substantial compliance with HCBS Final Settings Rule: Community Settings requirements: The number of HCBS settings vendor audits completed compared to the number of HCBS vendors required to demonstrate compliance with the settings rules.	New Measure	Baseline Year	RCOC will work with vendors and service agencies to provide training and support to ensure compliance with SIR reporting requirements.
Medicaid Waiver Enrollment: Of the total number of regional center individuals who meet 1915c eligibility, the percentage of those who are enrolled in a federal waiver, separated by waiver type.	New Measure	Baseline Year	RCOC will maintain a dedicated SIR email and phone line for submissions of incident reports from the community.
Special Incident Reports (SIRs) are submitted within the required timeframes: the percentage of SIR reports submitted by the vendor and regional center within the required timeframes.	Compliance	Baseline Year	RCOC will monitor all individuals served for eligibility under all federal waiver programs, adding and removing as appropriate.

Choice of Services within Regional Centers: Number of vendors for each core service type, delivering services within the regional center catchment area, reported by zip code	Incentive Measure	Baseline Year	RCOC will continue to support service coordinators and individuals served to implement all service requests in a timely manner.
Timely Authorizations: Number of days between individual program plan (IPP) review and service authorization, reported as an average and range.	Incentive Measure	Baseline Year	
Service Coordinator Competency: Number of new service coordinators who completed all requirements within the training standards and competencies.	Incentive Measure	Baseline Year	
Benefits: Medical Insurance Information	Incentive Measure	Baseline Year	

Regional Center of Orange County
Board Recruitment and Training Committee
September 14, 2026
Videoconference Minutes

Committee Members Present:	Sandy Martin, Chair Bruce Hall Chinh Nguyen Jacqueline Nguyen Hilda Mendez
Committee Member Absent:	Frances Hernandez Chip Wright
RCOC Staff Present:	Larry Landauer, Executive Director Arturo Cazares, Associate Executive Director Ernie Cruz, Director of Community Services Bonnie Ivers, Director of Clinical Services Jennifer Montanez, Director of Case Management Marta Vasquez, Chief Financial Officer Stacy Wong, Director of Human Resources

Ms. Sandy Martin called the videoconference meeting to order at 5:00 p.m.

I. Board Recruitment

A. Review Board Members' Terms of Office and Upcoming Turnover

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed and discussed the survey results identifying Board members' topic selections and considered potential training topics for the 2027 training plan, which is due to the Department of Developmental Services (DDS) in December 2026.

B. Review of Board Training Outline

The Committee reviewed the outline for the upcoming Board of Directors' training on *Budget & Fundamentals* scheduled for October 1, 2026.

III. Community Forum

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:39 p.m.

Recorder: Sandra Lomelí

Regional Center of Orange County
Policies and Outcomes Committee
October 19, 2026
Videoconference Minutes

Committee Members Present:	Bruce Hall Liza Krassner Sandy Martin
Committee Members Absent:	Chip Wright, Chairperson
RCOC Staff Members Present:	Larry Landauer, Executive Director Jerrod Bonner, Chief Information Officer Arturo Cazares, Associate Executive Director Ernie Cruz, Director of Community Services Bonnie Ivers, Director of Clinical Services Christy Petteruto, General Counsel Stacy Wong, Director of Human Resources Marta Vasquez, Chief Financial Officer
Corporate Counsel Present:	Greg Simonian, Esq.

In Mr. Chip Wright's absence, Ms. Sandy Martin called the videoconference meeting to order at 5:00 p.m.

I. Governance Policies

A. Review of the Conflict of Interest Policy

The Committee reviewed and did not propose any revisions to the policy.

B. Review of the Policy on Resource Development and Procurement

The Committee reviewed and did not propose any revisions to the policy.

C. Review of the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve

The Committee reviewed and did not propose any revisions to the policy.

D. Review of the Contract Policy

Ms. Christy Petteruto presented the proposed policy revision for the Committee's consideration to align with the 2026-2027 Department of Developmental Services' (DDS') Budget Trailer Bill, which amends Welfare and Institutions Code (WIC) Section 4625.5 to increase the regional center Board approval threshold for contracts from

$250,000 to $350,000. Some Committee members addressed concerns and discussed the importance of maintaining transparency and accountability to the Board and the public. Mr. Landauer noted that it has been RCOC's best practice to ensure full transparency by including contracts ranging from $200,000 to less than $250,000 as informational items in Board meeting packets. Mr. Greg Simonian stated that the Committee has discretion to retain the existing policy; however, DDS could issue a directive requiring RCOC to revise its policy to align with the language of the Budget Trailer Bill. Following much discussion, the Committee requested that the proposed policy changes be tabled pending further review and discussion.

II. Outcomes

A. Person Centered Thinking (PCT) Update

In Ms. Jennifer Montanez's absence, Mr. Arturo Cazares reported that RCOC will host the upcoming Person-Centered Thinking (PCT) Conference titled "The Art of Possibilities" for persons served by RCOC, family members, and service providers. Those interested in attending the free, one-day event can choose Friday, November 6th or Saturday, November 7th. The event is scheduled from 9:30 a.m. to 4:00 p.m. at the Tustin Community Center at the Market Place, 2961 El Camino Real, Tustin, CA 92782. For more information, visit RCOC's Events Calendar at https://www.rcocdd.com/event/the-art-of-possibilities/.

B. Health and Wellness Project Update

Dr. Bonnie Ivers reported that there are no updates.

C. Employment Update

Mr. Cazares stated that Ms. Anita Kwon will provide an update on Employment at the upcoming Board meeting scheduled for November 5, 2026.

D. Housing Update

Mr. Jack Stanton reported that RCOC has opened escrow on a property located in the city of Buena Park under its Community Resource Development Plan (CRDP). The property is intended to serve as a Children's Residential Group Home designated as a Level 7-Enhanced Staffing Program for children under the age of 21. If the purchase is approved, the home will be renovated to include four bedrooms and two bathrooms. Mr. Stanton stated that RCOC is working with DDS to obtain approval through a Housing Acquisition Request (HAR). Approval is anticipated based on the property details, location, condition and intended use.

E. National Core Indicators (NCI) Update

Mr. Landauer reported that there are no updates.

III. Community Forum

No community members were present.

Ms. Martin adjourned the meeting at 5:25 p.m.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Chip Wright
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Conflict of Interest Policy

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 19, 2026, the Policies and Outcomes Committee reviewed the Conflict of Interest Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Conflict of Interest Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XIII. CONFLICT OF INTEREST POLICY

Background and Purpose

The purpose of this Conflict of Interest Policy is to protect the interests of Regional Center of Orange County, Inc. (RCOC) and ensure that RCOC complies with all applicable laws prohibiting conflicts of interest.

The Lanterman Act provisions regarding conflicts of interest (COI) in regional centers are set forth in Welfare & Institutions Code (WIC), Sections 4626, 4626.5, and 4627. In addition, COI regulations are found at Title 17 California Code of Regulations, Sections 54500 through 54535.

This policy is intended to supplement but not replace applicable laws and regulations governing COI.

General Scope of Conflict Laws

RCOC shall comply with the regulations (Title 17, Sections 54500-54535) that contain COI rules and obligations that apply to regional centers:

(1) executive directors,
(2) governing board members,
(3) advisory committee board members,
(4) employees,
(5) contractors,
(6) agents, and
(7) consultants.

Executive Directors

The provisions for handling COI Statements and Conflicts by an Executive Director are also similar to those for Board Members. The precise provisions are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Governing Board Members

The provisions for handling COI Statements and conflicts by Board Members are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Advisory Committee Board Members

The regulations also identify possible conflicts for Advisory Committee Board Members. Title 17, Section 54521 states that there is a COI when a regional center advisory committee board member (appointed pursuant to WIC, Sections 4622(i)) is in any of the following positions for a business entity, entity, or provider from which the regional center purchases, obtains, or secures services for persons served:

(a) a member of the governing board, (b) a board committee member; (c) an owner; (d) a partner; (e) a shareholder; (f) an agent; (g) a manager; (h) an employee; (i) a contractor; or (j) a consultant, and that person does any of the following: (a) serves as an officer of the RC board; (b) votes on any fiscal manner affecting purchase of services from any RC provider; or (c) votes on any matters where the member has a financial interest.

An "advisory committee board member," as a board member, is required to complete a COI Reporting Statement just like all other board members (Title 17, Sections 54532, 54533, and 54534).

Employees

The provisions prohibiting conflicts of interest for employees are contained at Title 17, Sections 54526, 54527, 54528, 54529, and 52530. The basic concept is that regional center employees cannot place themselves in a position where their private, personal interests may conflict with their official duties (Title 17, Section 54529). Employees must be guided solely by the interests of the regional center and those we serve. They may not place themselves in a situation that creates a dilemma about advancing their personal interests instead of acting in the best interests of the regional center.

The regulations generally provide that the only employees who will have a conflict are employees with "decision or policy making authority" (Title 17, Section 54526). This is generally defined to include all employees except those with purely secretarial or clerical duties. Service Coordinators are deemed to be employees with "decision or policy making authority."

Contractors, Agents and Consultants

The provisions for handling COI Statements and Conflicts for Contractors, Agents and Consultants are also similar to those for employees. Title 17, Sections 54526, 54527, 54528, 54529, and 54530 identify what will constitute a conflict.

Finally, Title 17, Section 54533 addresses resolution of such conflicts.

Definitions

Decision or Policy Making Authority

The definition of "Decision or Policy-Making Authority" in Title 17, Section 54505(d) states that employees have such authority if they exercise judgment in making decisions that are not solely secretarial or clerical, including employees who make final decisions, compel decisions, make substantive recommendations, vote on obligations, or vote to approve selection of any director, trustee, agent, employee, contractor, or consultant for his or her entity.

The law deems Service Coordinators to have "decision or policy-making authority." This is because they generally participate on planning teams that make decisions, they make decisions about which programs and services to recommend to those we serve and finally, Service Coordinators are listed in WIC, Section 4626(e), as persons who must complete the COI Reporting Statements.

Family Members

The regulations prohibit both the employee and a family member from holding certain positions and having certain financial interests, so the definition of a "family member" is critical. Most conflicts under these rules occur with family members. Title 17, Section 54505(f) states that the term "Family Member" includes the individual's:

> "spouse, domestic partner, parents, stepparents, grandparents, siblings, step-siblings, children, stepchildren, grandchildren, and parents-in-law, brothers-in-law, sisters-in-law, sons-in-law, and daughters-in-law." Cousins, aunts, uncles, nieces and nephews are not considered family members.

This definition includes associations by blood, marriage, and adoption.

RCOC's employees should avoid conflicts before they occur. The best way to do so is to ensure that a family member does not accept a position that will create a conflict. If a family member does take such a position, it may threaten the position of the employee by creating a conflict.

Business Entity, Entity or Provider

Business Entity, Entity or Provider is defined as:

> "…any individual, business venture, or state or local governmental entity from whom or from which the regional center purchases, obtains, or secures goods or services to conduct its operations. These entities or providers include, but are not limited to, residential facilities, intermediate care facilities, skilled nursing facilities, supported and independent living services, hospitals, medical groups, activity centers, housing providers, entities formed in support of the regional center, infant programs, clinics, laboratories, pharmacies, drug stores, ambulance services, furniture stores, equipment and supply stores, physicians, psychologists, nurses, therapists, teachers, social workers, and contract case managers. For purposes of these conflict-of-interest regulations "business entity, entity or provider" does not include a consumer or family member of a consumer who receives vouchers for consumer services."

Prohibited Conflicts

Conflict by Holding Position with Provider

A conflict exists when:

a Board Member,
an Executive Director,
or a family member of such person (Title 17, Section 54520),
an employee with decision making authority,
a Contractor,
an Agent,
a Consultant,
or family member of such person (Title 17, Section 54526), is any of the following for a provider:

(1) a governing board member,
(2) a board committee member,
(3) a director,
(4) an officer,
(5) an owner,
(6) a partner,
(7) a shareholder,
(8) a trustee,
(9) an employee,
(10) an agent,
(11) a contractor,
(12) a consultant,
(13) holds any position of management, or
(14) has decision or policy making authority.

Conflicts by Financial Interest in Provider

A conflict exists if a Board Member, Executive Director, employee, contractor, agent or consultant attempts to make or influence a decision in which the person, or a family member, has an interest.

A financial interest is defined in Title 17, Sections 54522 and 54527 as follows:

Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result, directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:

(1) business entity worth two thousand dollars ($2,000) or more.

(2) real or personal property worth two thousand dollars ($2,000) or more in fair market value.

(3) stocks or bonds worth two thousand dollars ($2,000) or more.

(4) intellectual property rights worth five hundred dollars ($500) or more.

(5) sources of gross income aggregating five hundred dollars ($500) or more within the prior 12 months.

(6) future interest for compensation of five hundred dollars ($500) or more.

(7) personal finances of two hundred fifty dollars ($250) or more.

Conflict Making Contract in which Financially Interested

Under Title 17, Sections 54523 and 54528, Board Members, Executive Directors, and employees, contractors, agents, and consultants, shall not be guided by personal interests and shall not have a financial interest in any contract they make in their official capacity. Such persons shall not make any contract which financially benefits a family member, unless benefits associated with the contract are available to regional center individuals we serve or their families generally. In interpreting and applying this section, the common law doctrine against COI and the authorities interpreting the doctrine shall govern.

General Prohibitions Against Conflicts

Board Members, Executive Directors, employees, contractors, agents and consultants must also avoid the general prohibitions against conflicts in Title 17, Sections 54524 and 54529, which are:

General Integrity – Must discharge their responsibilities with integrity and fidelity, and must not place themselves in a position where their private, personal interests conflict with their official duties.

Perform with Diligence – Must exercise powers conferred on the employee with disinterested skill, zeal and diligence for the benefit of regional center individuals.

Conflicts Not Addressed by Regulations - When a person becomes aware of a present or personal COI regarding a particular transaction that the regulations do not address, action must be taken. In that situation, the person must not participate in any discussion and must refrain from making any recommendation or decision regarding the present or potential COI that has not been specifically addressed in the regulations.

Conflicts Working with Family Members

Under Title 17, Section 54530(a), a COI exists where a regional center employee participates in the evaluation of an application for employment or bid for position or contract at the regional center, submitted by a family member. Under Title 17, Section 54530(b) a potential COI also exists when a regional center employee supervises his or her family member.

Obligations for a Regional Center to Identify Conflicts

COI Reporting Statement (Form DS 6016)

As required by WIC, Section 4626(e), DDS also issued a DDS COI Reporting Statement that RCOC uses.

Persons Who Must Submit Conflict Statements

WIC, Section 4626(e), provides that this statement must be completed annually by;

> "...each regional center governing board member and each regional center employee specified in the regulations, including, at a minimum, the executive director, every administrator, every program director, every service coordinator, and every employee who has decisionmaking or policymaking authority or authority to obligate the regional center's resources."

Further, Section 54531(b) of Title 17 provides:

> "Each regional center employee, contractor, agent, and consultant, who has authority to act on behalf of the regional center, or who has decision or policy-making authority.., shall complete and file an annual COI Reporting Statement with his or her respective regional center, whether or not the individual has identified a present or potential COI, by August 1 of each year."

Since some of the conflicts identified do not depend on having "decision making" authority, all employees of RCOC must complete the COI Reporting Statement.

Process for Submission of Statements

Annually: Regional Center Board Members, the Executive Director, and employees, contractors, agents and consultants shall complete and file an annual COI Statement by August 1 of each year (Title 17, Sections 54532(a) and 54531(b)). The statute mandates this for every employee referenced in WIC, Section 4626(e) (WIC, Section 4626(g)).

New Board Members, Executive Directors, Employees, Contractors, Agents and Consultants: Such new persons shall file a COI statement within 30 days of assuming the position (Title 17, Sections 54532(c) and 54531(c); WIC, Section 4626(f)).

Change in Status: Board Members, Executive Directors and regional center employees, contractors, agents and consultants shall complete and file a COI Reporting Statement with the regional center within 30 days of any change in status that creates a COI under Title 17, Sections 54532(d) and 54531(d). "Change in status includes, but is not limited to, a change in financial interests, legal commitment, regional center or board position or

duties, or both, or outside position or duties, or both, whether compensated or not" (WIC, Section 4626(h)).

Executive Director Review: The Executive Director shall review each COI Reporting Statement that is submitted and within ten (10) working days of receipt of the statement shall determine whether a COI exists (Title 17, Sections 54531(e); WIC, Section 4626 (k)). Under Title 17, Section 54532, statements submitted by Board Members and the Executive Director must be submitted to DDS within ten (10) working days of receipt.

Obligations When COI Discovered: When a present or potential COI is identified for a Board member, Executive Director, employee, agent or consultant, RCOC must either (1) require the employee or other person to eliminate the conflict, or (2) mitigate and manage the conflict through a Conflict Resolution Plan, or the individual shall resign (Title 17, Section 54533(a)).

RCOC Must Submit Conflict Resolution Plan to DDS: When a present or potential COI has been identified and RCOC decides to mitigate and manage the conflict through a Conflict Resolution Plan, it must submit a copy of the completed COI Reporting Statement and a proposed Conflict Resolution Plan to DDS within 30 calendar days of receipt of the statement (Title 17, Section 54533(a) and (b)).

Submission of COI Policy to DDS and Posting on Website

Each regional center was required to submit a COI policy to DDS by July 1, 2011, and post the policy on its website by August 1, 2011 (WIC, Section 4626.5).

Obligation to Resolve Identified Conflicts

When a conflict is identified either through a COI Reporting Statement or by notification of DDS, the Executive Director then has a specific obligation to resolve the matter, and to take certain action.

Executive Director Reviews Conflict Statements

Under Title 17, Section 54531(e), a regional center Executive Director is required to review the completed COI Reporting Statement of each employee within ten (10) working days of receipt and determine whether a present or potential conflict exists.

Regional Center Must Eliminate Conflict or File Conflict Resolution Plan

Under Title 17, Section 54533(a) a Board member, Executive Director, employee, contractor, agent or consultant with a present or potential COI must eliminate the conflict, or if the regional center permits, manage and mitigate the conflict through a Conflict Resolution Plan, or the individual shall resign. There is no legal obligation to submit a Conflict Resolution Plan, since it is merely an option the regional center

may choose to select. The regional center has every right to simply require that the conflict be eliminated.

Submission of Conflict Resolution Plan

When the Executive Director learns of a conflict either through review of submitted COI Reporting Statements, or from DDS, under Title 17, Section 54533(b) the Executive Director shall either require the conflict to be eliminated, or shall submit an employee's COI Reporting Statement and proposed Conflict Resolution Plan to DDS (1) within 30 days of receipt of the COI Reporting Statement or (2) within 30 days of receipt of DDS' notification of a conflict.

Content of Conflict Resolution Plan

Title 17, Section 54533(g) identifies the requirements of a Conflict Resolution Plan. It must describe the precise nature of the conflict, including the type of conflict, the relationship between the individuals involved (when a family member is involved), and the roles and identity of each person, i.e., the employee and the family member (Title 17, Section 54533(g)(1)).

Under Title 17, Section 54533(g)(2) the Conflict Resolution Plan must then identify the actions the regional center will take to eliminate or mitigate and manage the conflict. This can involve either resignation of the family member creating the conflict, refraining from participation in a certain category of matters that create the conflict, a change of position, duties, or assignment, or a divestiture of financial interests.

Further, the Conflict Resolution Plan must "provide a detailed explanation of how each of the proposed actions will eliminate, mitigate or manage the conflict (Title 17, Section 54533(g)(3)). The plan must provide the name, position, and duties of the individuals who will be responsible for monitoring the plan, (Title 17, Section 54533(g)(4)), and the plan must be signed by the individuals subject to the plan under Title 17, Section 54533(g)(4).

Posting of Conflict on Website

Under Title 17, Section 54533(f), the regional center shall post on its website each COI Reporting Statement that identifies a present/potential COI that cannot be resolved within 30 calendar days. This posting shall continue until the conflict has either been eliminated or the individual has resigned.

Prohibitions While Conflict Still Pending

Under Title 17, Section 54525, when a conflict has been identified for a governing board member or executive director, a regional center may not refer any individual to any business entity involved in the conflict, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Further, no regional center governing board member or executive director shall continue to serve in violation of these provisions, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Role of DDS in Monitoring Conflicts

Review of Conflict Resolution Plan by Department

Under Title 17, Section 54534(f), DDS shall issue a modification, approval or denial of the proposed Conflict Resolution Plan in writing to the regional center governing board or regional center designated party within 30 calendar days of receipt of a proposed Conflict Resolution Plan.

Title 17, Section 54534(g) provides that if DDS denies a Conflict Resolution Plan, the individual shall have 30 calendar days to eliminate the conflict or to resign.

If a Conflict Resolution Plan is approved, the employee shall fully comply with all the elements set forth in the approved Conflict Resolution Plan (Title 17, Section 54534(j)).

In addition, under Section 54534(k), a new Conflict Resolution Plan shall be submitted to DDS on an annual basis, and upon any change of status that creates a COI.

Submission of Conflict Resolution Plan Does Not Authorize Continued Activities that Create Conflict

The submission of a Conflict Resolution Plan does not authorize the employee to engage in the activities that created the conflict. In the words of the regulation, "Individuals shall not engage in activities in which there is a present or potential COI except in accordance with the terms of an approved Conflict Resolution Plan" (Title 17, Section 54534(d)).

Sanctions

RCOC will be vigilant to eliminate and resolve conflicts, since if DDS finds a violation of these provisions, it can provide 30 days to eliminate or resolve the violation. If the violation is not so resolved or eliminated, DDS may "take immediate action to commence procedures for termination or nonrenewal of the regional center contract pursuant to W&I Code, Section 4635."

Gift Limit

Pursuant to WIC Section 4626.5(g), RCOC employees are prohibited from accepting any gift or gifts from a service provider, person served by RCOC, or a family member of a person served by RCOC valued over fifteen dollars ($15) per year. "Family

member" shall be defined as set forth in the "Definitions" section above, consistent with Title 17, Section 54505.

Hiring

Pursuant to WIC Section 4626.5(h), RCOC Senior Staff are prohibited from hiring any family member as an employee at RCOC or any ancillary foundation or organization. RCOC Senior Staff includes the Executive Director, Associate Executive Director, and all members of the Executive Management Team. "Family member" shall be defined as set forth in the "Definitions" section above, consistent with Title 17, Section 54505.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Chip Wright
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Policy on Resource Development and Procurement

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 19, 2026, the Policies and Outcomes Committee reviewed the Policy on Resource Development and Procurement.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Resource Development and Procurement.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XVI. POLICY ON RESOURCE DEVELOPMENT AND PROCUREMENT

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes that in order to meet the needs of the individuals it serves, it may issue requests for proposals for services. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

RCOC also recognizes the importance of transparency and accountability to the community it serves. In keeping with the Lanterman Developmental Disabilities Services Act, RCOC is committed to reporting information with accuracy and transparency and maintaining compliance with the laws, rules and regulations that govern RCOC's business.

The vendorization process is governed by the California Code of Regulations, Title 17. Under Title 17, vendor applicants may qualify and be vendored outside a Request for Proposals (RFP) Process. However, RCOC will continue to use the RFP process for the development of services to meet the needs of those individuals it serves.

POLICY

The contract between the Department of Developmental Services (DDS) and RCOC requires RCOC to have a Board policy on resource development that includes all of the following:

- the circumstances under which the regional center will issue requests for proposals (RFPs) to address a service need;
- the applicable dollar thresholds for requiring the utilization of the RFP process;
- the RFP notification process; and
- how submitted proposals will be evaluated and the applicant selected.

REQUEST FOR PROPOSALS PROCESS

Issuance of Requests and Instructions for Submissions

There is no dollar threshold for requiring use of the RFP process. RCOC will issue RFPs at least once a year for new services, if any new services are needed, excluding parent-vendor services and services paid at the Schedule of Maximum Allowances, also known as Medi-Cal rates. For existing services obtained through the RFP process for which rates are negotiated, such as transportation, RCOC will issue a new RFP at least once

every five (5) years for the service. In accordance with the Start-Up, Gap and Lag Funding Policy, RCOC will also issue RFPs for the award of start-up funding, unless use of the RFP process is not feasible under the specific circumstances and the RCOC Board votes to approve the award. Although the California Code of Regulations, Title 17, section 54322(a) requires regional centers to approve vendorization within 45 days of receipt of all information which specifies that the applicant is in compliance with section 54320(a), RCOC encourages applicants to follow the RFP process.

RFP information is distributed to interested service providers and the general public via RCOC's website. An Information Conference is held after distribution of RFPs in order to answer questions and further clarify the projects.

Electronic documents are required at all stages in the process of becoming a service provider. The proposal must contain the following:

- A completed RFP Response Form,
- An Executive Summary describing the service, staff qualifications and service implementation as described in the RFP, and
- A statement on equality and diversity as required by Welfare and Institutions Code section 4648.11.

Proposal Review Process

Proposals will be reviewed by an RFP Review Committee, which shall include RCOC management as well as staff members knowledgeable about the specific services being requested. Supplemental information may be requested. Applicants may be asked to participate in an interview. Any proposal may be rejected if it is incomplete or deviates from the specifications in the RFP. RCOC reserves the right to reject any or all proposals and to cancel the RFP process at its discretion. If negotiations fail with the selected applicant, RCOC reserves the right to re-open negotiations with the next qualified applicant or to re-post the RFP.

Criteria for Evaluation of Proposals

Evaluation of the applicant's proposal will consider the following:

- The proposal provides evidence that the applicant understands the needs of individuals to be served and the issues involved in providing quality services.
- Applicant demonstrates an understanding of current "Best Practices" and has incorporated them into the design.
- Proposal outcomes are realistically attainable and can be expected to produce desired outcomes and a higher quality of life for individuals receiving services.

- The applicant's philosophy and values are consistent with RCOC's mission, vision and guiding principles.

- For services with a negotiated rate, whether the applicant is the least costly available provider who is able to meet individuals' needs.

Acceptance and Selection of Proposals

All proposals from applicants that meet the specifications, and if required, provide supplemental information and participate in an interview, will be ranked according to the criteria stated above. If the RFP is for services with negotiated rates, proposals will be opened publicly. Once awarded, all selected applicants will be required to complete and comply with the California Code of Regulations, Title 17, and RCOC vendor requirements. Information regarding requests for proposals and contract awards, including the organization or entity awarded the contract, and the amount and purpose of the award, will be posted on RCOC's website (WIC § 4629.5 and 4929.5(b)(4)).

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.

- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

- Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.

- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: November 5, 2026

TO: Board of Directors

FROM: Chip Wright
Chair, Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on October 19, 2026, the Policies and Outcomes Committee reviewed the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**.**

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve**.**

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XIX. ZERO TOLERANCE POLICY REGARDING ABUSE AND NEGLECT OF THOSE WE SERVE

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes the importance of transparency and accountability to the community it serves. RCOC is committed to reporting information with accuracy and transparency and maintaining full compliance with the laws, rules and regulations that govern RCOC's business, as well as RCOC's contract with the California Department of Developmental Services. This includes annually notifying all its employees, its vendors and licensees of long-term health care facilities* that are serving RCOC persons served that RCOC has a Zero Tolerance Policy regarding abuse and neglect of those we serve.

POLICY

Abuse of individuals we serve committed by RCOC employees, employees of RCOC vendors, or employees of licensees who operate long-term health care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any RCOC employee found to have abused a person served will be subject to severe discipline, up to and including discharge, and will be referred to appropriate authorities. All employees of RCOC vendors and of licensees who operate long-term health care facilities found to have abused a person served will be referred to the appropriate authorities, and the vendor may also be subject to sanctions, up to and including, removal from the list of those authorized to provide services for regional center.

All employees of RCOC, employees of RCOC vendors, and employees of licensees who operate long-term health care facilities who are mandated reporters pursuant to the California Penal Code shall strictly comply with the reporting laws at all times, including, but not limited to, Welfare and Institutions Code Section 15630. A mandated reporter must report all abuse of individuals we serve to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that abuse has occurred.

RCOC, all RCOC vendors, and all licensees who operate long-term health care facilities serving RCOC persons served shall ensure their employees are fully informed upon hire and annually thereafter regarding RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve and the mandatory abuse and neglect reporting laws. Each employee must be knowledgeable of their responsibility to protect our population from abuse and neglect, the signs of abuse and neglect, the process for reporting suspected abuse or neglect, and the consequences of failing to follow the law and enforcing this policy.

RCOC's Zero Tolerance Policy Regarding Abuse and Neglect of Those We Serve will be incorporated into any new or revised contract, vendorization or other agreement for services.

If RCOC, a RCOC vendor, or a licensee who operates a long-term health care facility becomes aware of abuse of a person served, it shall take immediate action, to the extent permitted by law, to ensure the health and safety of the affected individual and all other individuals receiving services and supports from RCOC. This obligation is in addition to those obligations required of mandated reporters to report abuse under the reporting laws.

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.

- Service coordinators inform families of their rights and the services and supports available to them.

- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

* According to the State of California Health and Safety Code Section 1418,

(a) "Long-term health care facility" means any facility licensed pursuant to Chapter 2 (commencing with Section 1250) that is any of the following:
  (1) Skilled nursing facility.
  (2) Intermediate care facility.
  (3) Intermediate care facility/developmentally disabled.
  (4) Intermediate care facility/developmentally disabled habilitative.
  (5) Intermediate care facility/developmentally disabled-nursing.
  (6) Congregate living health facility.
  (7) Nursing facility.
  (8) Intermediate care facility/developmentally disabled-continuous nursing.

(b) "Long-term health care facility" also includes a pediatric day health and respite care facility licensed pursuant to Chapter 8.6 (commencing with Section 1760).

(c) "Long-term health care facility" does not include a general acute care hospital or an acute psychiatric hospital, except for that distinct part of the hospital that provides skilled nursing facility, intermediate care facility, intermediate care facility/developmentally disabled, or pediatric day health and respite care facility services.

(d) "Licensee" means the holder of a license issued under Chapter 2 (commencing with Section 1250) or Chapter 8.6 (commencing with Section 1760) for a long-term health care facility.

Regional Center of Orange County
Vendor Advisory Committee
September 8, 2026
Videoconference Minutes

Members:

Adult Behavior Management Programs
Chair, Ryan Perez, present
Co-Chair, Katie Bruellet, absent

Adult Day Programs
Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency
Chair, Janeth McDonough, present
Co-Chair, Alexandra Rasey-Smith, absent

Behavior Services
Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities
Chair, Jorge Lozano, present
Co-Chair, Omar Tawfik, absent

Early Intervention
Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, absent

Habilitation
Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, absent

Independent/Supported Living
Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities
Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health
Chair, Kelly Araujo, present
Co-Chair, Mary McFadden, absent

Liaisons:

CalOptima
Liaison Pending

Orange County Transit Authority
Ariana Maldonado, present

RCOC Staff Present:

Larry Landauer, Executive Director
Bianca Borja Rios, Accounting Supervisor – Vendorization
Arturo Cazares, Associate Executive Director
Ernie Cruz, Director of Community Services

Nancy Franco, Support Services Manager
Bonnie Ivers, Clinical Director
Wayed Kabir, Peer Advocate
Araceli Mendez, Associate Director of Early Start
Jennifer Montanez, Director of Case Management
Jack Stanton, Associate Director of Housing
Marta Vasquez, Chief Financial Officer
Laurel Warren, Quality Assurance Coordinator
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:03 p.m.

I. Liaison Reports

A. CalOptima – *Liaison Pending*

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (present)

Ms. Arianna Maldonado reported that the new paratransit software update will be delayed until October, 24, 2026.

II. RCOC Update

Mr. Larry Landauer shared and explained the letter sent to the community title, *"Rate Reform Impact on Regional Centers and Vendor Complaints".*

A. Vendorization Update

Ms. Marta Vasquez provided the following updates:

- *Rate Reform Impact on Regional Centers and Vendor Complaints.* Ms. Vasquez provided additional information regarding the letter Mr. Landauer sent to the community and reiterated that RCOC's goal is to reduce the backlog by October 31, 2026.

- *Remote Services (eBilling).* Ms. Vasquez reported that RCOC had not yet received direction from DDS regarding the implementation of remote services billing.

- *Minimum Wage.* Ms. Vasquez reported that minimum wage will increase from $16.90 to $17.40 per hour effective January 1, 2027. RCOC is awaiting updated rate from DDS.

B. Quality Incentive Program (QIP) Update

Mr. Arturo Cazares reminded service providers who did not receive the 10% Quality Incentive Program (QIP) payment to review the DDS website to verify their eligibility. Mr. Cazares also clarified that vendors who did not complete the survey are not eligible for a make-up opportunity. Providers may visit the DDS QIP webpage to review the spreadsheet listing eligibility status by vendor number at https://www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/.

III. Board Report

Mr. Landauer reported that the State is considering replacing the Client Development Evaluation Report (CDER). Although funding was included in the budget, no changes to the CDER are being implemented at this time. Mr. Landauer also shared that DDS will assume responsibility for developing the Community Placement Plans (CPP) and the Community Resource Development Plans (CRDP), which were previously developed by regional centers. DDS will also be responsible for identifying community needs.

IV. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir reported that Mr. Theo Fujita-Rony and Ms. Dorothy Fujita-Rony will share their experience at ARCA's Grassroots Day 2026 in Sacramento. Mr. Ernie Cruz will also provide information on tailored day services.

V. Member Reports

A. Adult Behavior Management – Ryan Perez (present)
B. Adult Day Programs – Rick Perez (present)

Mr. Ryan Perez reported that the two subcommittees met and discussed an increasing service capacity, QIP deadlines and the transition of day programs to daily rates.

C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (present)

Due to Ms. Janeth McDonough's technical difficulties, Mr. Jack Stanton reported that the subcommittee did not meet.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that Ms. Vasquez provided the subcommittee with an update on payment and additional information regarding Mr. Landauer's letter to the community. Ms. Hebert also shared that vendors were working on program design updates in response to changes in the Rate Reform subcodes.

E. Community Care Facilities (CCF) – Jorge Lozano (present)

Mr. Jorge Lozano reported that the subcommittee discussed the updated admission agreement. Mr. Stanton and Ms. Malia Ploum provided updates on current vacancies, trends and the impact of the new vendorization process on the opening of new homes. Additionally, Mr. Sean Watson provided an update on staffing changes within RCOC's Quality Assurance team.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Lazo-Pearson reported that the subcommittee discussed the impact of multiple vendors serving the same individual and the potential overlap of services provided.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee did not meet.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met today but there were no updates.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Kelly Araujo (present)

Ms. Kelly Araujo reported that the subcommittee met today and discussed appropriate staff dress attire, the upcoming QIP and the Standardized Respite Tool, which is currently on hold.

VI. Community Forum

There were no speakers for Community Forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:31 p.m.

The next VAC meeting is scheduled for October 13, 2026.

Recorder: Marta Vasquez and Cathy Furukawa

Regional Center of Orange County
Vendor Advisory Committee
October 13, 2026
Videoconference Minutes

Members:

Adult Behavior Management Programs
Chair, Ryan Perez, present
Co-Chair, Katie Bruellet, present

Adult Day Programs
Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency
Chair, Janeth McDonough, absent
Co-Chair, Alexandra Rasey-Smith, absent

Behavior Services
Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities
Chair, Jorge Lozano, present
Co-Chair, Omar Tawfik, present

Early Intervention
Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, present

Habilitation
Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, absent

Independent/Supported Living
Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities
Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health
Chair, Kelly Araujo, present
Co-Chair, Mary McFadden, present

Liaisons:

CalOptima
Liaison Pending

Orange County Transit Authority
Arianna Maldonado, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Bianca Borja Rios, Accounting Supervisor – Vendorization
Arturo Cazares, Associate Executive Director
Ernie Cruz, Director of Community Services

Valeria De Los Angeles, Accounting Supervisor – Payables
Nancy Franco, Support Services Manager
Bonnie Ivers, Clinical Director
Wayed Kabir, Peer Advocate
Araceli Mendez, Associate Director of Early Start
Jennifer Montanez, Director of Case Management
Vanessa Pulido, Community Services Manager
Julie Rodriguez, Accounting Supervisor – Systems
Marta Vasquez, Chief Financial Officer
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

I. RCOC Update

Mr. Larry Landauer reported that the Board of Directors held a training on October 1, 2026 on *Budget and Finance Fundamentals*.

A. Vendorization Update

Ms. Marta Vasquez reminded vendors to review and submit completed Certificates of Insurance (COIs). Incomplete COIs will result in vendors being placed on *Do Not Refer* status. COIs must only be submitted by brokers to coi@rcocdd.com.

Ms. Vasquez also reported that the accounting department is progressing toward meeting the three-day Purchase Of Service (POS) processing timeline by October 31, 2026. The accounting department Organizational Chart was also reviewed with vendors.

B. Quality Incentive Program (QIP) Update

Mr. Arturo Cazares reminded service providers to log into DDS' portal to verify that their contact information, including email address, is current and accurate. DDS will soon begin sending survey links associated with next fiscal year's Quality Incentive Program eligibility.

Mr. Cazares also reported that DDS is updating the QIP status list posted on their website monthly. The list was last updated on September 22, 2026.

C. 2027 Spotlight Awards

Mr. Cazares reported that the 2027 Spotlight Awards event is scheduled for April 23, 2027, at the Embassy Suites Hotel in Anaheim. RCOC has posted a Request for Proposal (RFP) on its website seeking a service provider to coordinate and organize the event. For more information on the RFP, visit: https://www.rcocdd.com/vendor-resources/requests-for-proposals/. Nominations for the event are also currently being accepted through RCOC's website at https://www.rcocdd.com/about-rcoc/spotlightawards/.

D. Person-Centered Thinking Conference

Ms. Vanessa Pulido reported that RCOC will host the upcoming Person-Centered Thinking (PCT) Conference titled "The Art of Possibilities" for persons served by RCOC, family members, and service providers. Those interested in attending the free, one-day event can choose Friday, November 6th or Saturday, November 7th. The event is scheduled from 9:30 a.m. to 4:00 p.m. at the Tustin Community Center at the Market Place, 2961 El Camino Real, Tustin, CA 92782. For more information, visit RCOC's Events Calendar at https://www.rcocdd.com/event/the-art-of-possibilities/.

II. Liaison Reports

A. CalOptima – *Liaison Pending*

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (absent)

In Ms. Arianna Maldonado's absence, Mr. Garrett Rodriguez reported that ridership has been consistent and schedule changes for drivers continue to improve performance.

III. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir reported that the Committee met on September 16, 2026 and heard from guest speaker, Mr. Teo Fujita-Rony who shared his experience attending ARCA's Grassroots Day 2026 in Sacramento. The next Committee meeting is scheduled for October 21, 2026.

IV. Member Reports

A. Adult Behavior Management – Ryan Perez (present)
B. Adult Day Programs – Rick Perez (present)

Mr. Ryan Perez reported that the two subcommittees met and discussed rate reform, updates to day program rules and the extension and transition of service lengths to an 8-hour program model.

C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (absent)

In Ms. Janeth McDonough's absence, Mr. Ernie Cruz reported that the subcommittee is scheduled to meet on October 22, 2026.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee did not meet.

E. Community Care Facilities (CCF) – Jorge Lozano (present)

Mr. Jorge Lozano reported that the subcommittee did not meet. The next subcommittee meeting is scheduled for November 2026.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Lazo-Pearson reported that the subcommittee is scheduled to meet on November 18, 2026.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee met today and discussed job development procedures and protocols.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met today and discussed audit readiness, various human resources (HR) matters, referral processes for clients impacted by the abrupt closure of other service providers and QIP updates. The subcommittee also discussed plans to hold some meetings in person in 2027. The next subcommittee meeting is scheduled for November 2026.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Cruz reported that there were no updates.

J. Support Services/Allied Health – Kelly Araujo (present)

Ms. Kelly Araujo reported that the subcommittee met and discussed QIP and the use of artificial intelligence (AI) during meetings, including its role in note-taking. The next subcommittee meeting is scheduled for November 10, 2026.

V. Community Forum

There were no speakers for Community Forum.

VI. Adjournment

Mr. Perez adjourned the meeting at 2:37 p.m.

The next VAC meeting is scheduled for November 10, 2026.

Recorder: Sandra Lomelí

Regional Center of Orange County
Peer Advisory Committee
September 16, 2026
Videoconference Minutes

Committee Members Present:	Yvonne Kluttz, Chairperson Wayed Kabir, RCOC's Peer Advocate Sylvia Delgado Stephen Gersten Mark Hemry Peter Kuo Fernando Peña
Committee Members Absent:	Amy Jessee, Co-Chair Kerri Adamic Marcell Bassett Cheryl Day
Board Member Present:	Chinh Nguyen
RCOC Staff Members Present:	Larry Landauer, Executive Director Arturo Cazares, Associate Executive Director Ernie Cruz, Director of Community Services Jennifer Montanez, Director of Case Management
Guest(s):	Teo Fujita-Rony Dorothy Fujita-Rony Dao Luong

Mr. Wayed Kabir called the meeting to order at 3:02 p.m.

I. Welcome and Introductions

Mr. Kabir welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Kabir reported that RCOC will host the upcoming Person-Centered Thinking (PCT) Conference titled "The Art of Possibilities" for persons served by RCOC, family members, and service providers. Those interested in attending the free, one-day event can choose Friday, November 6th or Saturday, November 7th. The event is scheduled from 9:30 a.m. to 4:00 p.m. at the Tustin Community Center at the Market Place, 2961 El Camino Real, Tustin, CA 92782. For more information, visit RCOC's Events Calendar at https://www.rcocdd.com/event/the-art-of-possibilities/.

III. Alternative Services Delivery (AB 637) Enhanced Tailored Day Services

Mr. Ernie Cruz, Director of Community Services, explained that AB 637 is a California law that allows regional centers to propose alternative methods for providing services to individuals with developmental disabilities when current rules limit the ability to meet people's needs. These proposals must be approved by the Department of Developmental Services (DDS).

RCOC will propose an Enhanced Tailored Day Service (TDS) under AB 637 that would allow individuals served who need additional support to receive more time and individualized assistance to develop job skills and work toward employment goals beyond the current monthly service-hour limit. RCOC will host a public meeting on September 22, 2026 via Zoom to discuss its proposed AB 637 waiver to develop a TDS. For more information, visit https://www.rcocdd.com/event/regional-center-of-orange-county-ab637-public-meeting-2/.

IV. DDS' Grassroots Day 2026 in Sacramento

Mr. Teo Fujita-Rony shared his experience when attending DDS' Grassroots Day at the State Capitol in March 2026. Mr. Fujita-Rony met with state legislators and staff to highlight the important work regional centers do in support persons served and their families.

V. Community Forum

There were no speakers for community forum.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for October 21, 2026.

Mr. Kabir adjourned the meeting at 3:40 p.m.

Recorder: Sandra Lomelí

Regional Center of Orange County
Peer Advisory Committee
October 21, 2026
Videoconference Minutes

Committee Members Present:	Yvonne Kluttz, Chairperson Wayed Kabir, RCOC's Peer Advocate Sylvia Delgado Stephen Gersten Mark Hemry Peter Kuo Fernando Peña
Committee Members Absent:	Amy Jessee, Co-Chair Kerri Adamic Marcell Bassett Cheryl Day
RCOC Staff Members Present:	Larry Landauer, Executive Director Arturo Cazares, Associate Executive Director Lily Cornyn, Employment and Day Services Coordinator Ernie Cruz, Director of Community Services

Mr. Wayed Kabir called the meeting to order at 3:02 p.m.

I. Welcome and Introductions

Mr. Kabir welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Kabir reported that RCOC will host the upcoming Person-Centered Thinking (PCT) Conference titled "The Art of Possibilities" for persons served by RCOC, family members, and service providers. Those interested in attending the free, one-day event can choose Friday, November 6th or Saturday, November 7th. The event is scheduled from 9:30 a.m. to 4:00 p.m. at the Tustin Community Center at the Market Place, 2961 El Camino Real, Tustin, CA 92782. For more information, visit RCOC's Events Calendar at https://www.rcocdd.com/event/the-art-of-possibilities/.

III. Department of Rehabilitation (DOR) Order of Selection (OOS)

Mr. Kabir and Ms. Lily Cornyn provided an update regarding the Department of Rehabilitation (DOR) Order Of Selection (OOS) process. Due to funding and staffing constraints, DOR is placing new applicants for vocational and employment services on a waitlist based on the severity of their disability. Existing clients that are active and signed an Individualized Plan for Employment (IPE) are unaffected as their job preparation and placement services will continue without interruption. Regional center clients placed on the DOR waitlist can access alternative employment and vocational programs to avoid gaps in support. Mr. Kabir and Ms. Cornyn advised that if a person served is waitlisted by DOR, they should contact their Service Coordinator for more information on alternative programs.

IV. Community Forum

There were no speakers for community forum.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for January 20, 2027.

Mr. Kabir adjourned the meeting at 3:47 p.m.

Recorder: Sandra Lomelí

Regional Center of Orange County
Legislative and Community Awareness Committee
October 13, 2026
Videoconference Minutes

Committee Members Present:	Bruce Hall, Chairperson Sandy Martin Hilda Mendez
Committee Members Absent:	Liza Krassner Chinh Nguyen
RCOC Staff Members Present:	Larry Landauer, Executive Director Arturo Cazares, Associate Executive Director Ernie Cruz, Director of Community Services Bonnie Ivers, Clinical Director Kaitlynn Truong, Family Support and Community Outreach Manager Marta Vasquez, Chief Financial Officer Stacy Wong, Director of Human Resources
Guests:	Linda Blankenship, Consultant Anh Nguyen, Consultant

Mr. Bruce Hall called the meeting to order at 5:01 p.m.

I. Legislative Outreach

A. ARCA Update

Ms. Sandy Martin reported that the ARCA Board of Directors met on August 21, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for October 16, 2026 in San Diego.

B. Budget & Pending Legislation

Mr. Larry Landauer reported that the Governor signed the state budget and that the Department of Developmental Services (DDS) system has been fully funded. However, expenditures are being carefully managed amid concerns about potential future budget reductions.

C. Delegation Relationships

Ms. Anh Nguyen reported that as the election season is underway, RCOC remains actively engaged with legislators and maintains a strong relationship to support ongoing communication, collaboration and advocacy efforts.

II. Community Outreach

A. LACC Activities

Ms. Kaitlynn Troung reported that Language Access and Cultural Competency (LACC) funding was used to cohost the Mid-Autumn Festival & Social Recreation Resource Fair on September 19, 2026. The event offered information about community generic resources and social recreation programs. Activities included cultural activities, a lion dance, face painting, games and a magic show for 942 attendees.

B. Additional Disparity-Focused Activities

Mr. Arturo Cazares reported that RCOC's Breakfast of Business Champions will be held on October 22, 2026 at 7:30 a.m. at the Green Parrot Villa in Santa Ana. The event will recognize seven businesses for employing individuals with developmental disabilities served by RCOC.

Ms. Martin inquired whether media outlets will be present at this year's event. Ms. Blankenship clarified that media would not attend the event but that press releases would be distributed to media outlets afterward. Ms. Martin recommends that for future events, inviting media outlets to attend and promote the event through RCOC's social media platforms to highlight the meaningful contributions of employers who hire persons served.

Mr. Cazares also reported that RCOC will issue a Request for Proposal (RFP) to identify a qualified provider to develop and deliver law enforcement training on effectively supporting individuals with intellectual and developmental disabilities (IDD), including those with co-occurring behavioral health needs. All applications and proposals are due by January 13, 2027.

III. Digital Engagement

A. Social Media and Website Updates

Ms. Nguyen shared that RCOC's social media platforms continuously feature information about events, public meetings and trainings.

IV. Dialogue – Fall Issue

Ms. Blankenship reported that the fall issue of the *Dialogue* features a cover story highlighting and promoting the upcoming Empower & Protect training session for parents scheduled for late January 2027. The Executive Director's Report also highlights RCOC's longstanding commitment to safety of persons served and its pioneering partnership with Get Safe.

V. News Media Outreach

Ms. Blankenship reported that the Multicultural Mid-Autum Festival and Vendor Community Resource Fair on September 19, 2026, drew 942 attendees, including elected officials. Fox 11 News attended and aired coverage of the event, as well as on its Facebook and YouTube platforms. Nguoi Viet Daily and Saigon Broadcasting also covered the event. Yahoo! News picked up the Fox 11 segment and Nguoi Viet Daily published an article on the fair. Ms. Blankenship also reported that Exceptional Parent magazine published a bylined article in its October issue on employment opportunities in the sports and fitness sector, coauthored by Dr. Bonnie Ivers and Mr. Arturo Cazares.

VI. Community Forum

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 5:55 p.m.

Recorder: Sandra Lomelí