



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: **Thursday, September 2, 2021**

Time: **5:30 p.m. – 7:00 p.m.**

Place: via electronic means

I.	Closed Session (Board Members Only)	
	A. W&I Code §4663 and §4664	David M. Lester, Esq.
II.	Recess	
III.	General Session	
	A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B. Budget and Finance Committee	Mine Hagen
	C. Consent Calendar*	John "Chip" Wright
	1. Approval of Board of Directors' Minutes for June 3, 2021** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, May 2021** b. Approval of Monthly Sufficiency of Allocation Report, June 2021** c. Approval of Monthly Sufficiency of Allocation Report, July 2021** d. Approval of Budget Amendment C-1, Fiscal Year 2021-22 e. Approval of Budget Amendment	
	D. Community Forum for Agenda Items Only***	John "Chip" Wright
	E. Executive Director's Report	Larry Landauer
	1. Approval of Renewed Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator** 2. Operations Report – May 2021** 3. Operations Report – June 2021** 4. Operations Report – July 2021** 5. Performance Contract – May 2021** 6. Performance Contract – June 2021** 7. Performance Contract – July 2021** 8. Employment Update 9. Hy-Lond Update 10. Housing Update	Arturo Cazares Keli Radford Jack Stanton
	F. Executive Committee	John "Chip" Wright
	G. Board Recruitment and Training Committee**	John "Chip" Wright
	H. Policies and Outcomes Committee**	Cliff Amsden
	1. Approval of Revisions to the Purchase of Service Policy** 2. Approval of Revisions to the Policy on Board Membership and Application Process** 3. Policy on Resource Development and Procurement**	
	I. Vendor Advisory Committee**	Tiffany Bauer
	1. VAC Member of the Board of Directors**	
	J. Peer Advisory Committee**	Sylvia Delgado
	K. Legislative and Community Awareness Committee**	Liza Krassner
	L. ARCA Report	Liza Krassner

	M.	Chairperson's Report	John "Chip" Wright
	N.	Community Forum***	John "Chip" Wright
IV.		Adjournment	John "Chip" Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for the public to comment on issues of interest. Speakers should complete the "Request to Speak" form located at the entrance to the meeting room and return the form to the Recording Secretary. Each person's presentation is limited to a maximum of five minutes.*

**Regional Center of Orange County
Board of Directors' Meeting
June 3, 2021
Videoconference Minutes**

Board Members Present: Cliff Amsden, Vice Chair
Marcell Bassett
Meena Chockalingam
Sylvia Delgado
Frances Hernandez
Amy Jessee (*joined at 5:48 p.m.*)
Liza Krassner
Sandy Martin
Chinh Nguyen (*joined at 6:26 p.m.*)
Fernando Peña

Board Members Absent: John "Chip" Wright, Chairperson
Tiffany Bauer
Mine Hagen

Corporate Counsel Present: David Lester, Esq.

I. General Session

In Mr. Chip Wright's absence, Mr. Cliff Amsden called the meeting to order at 5:35 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Marcell Bassett led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado read RCOC's Mission and Vision Statement.

B. Budget and Finance Committee

In Ms. Mine Hagen's absence, Mr. Amsden reported that the Committee met and recommends for approval all of its items on the consent calendar.

The next Committee meeting is scheduled for September 2, 2021.

C. Consent Calendar

1. Approval of Board of Directors' Minutes for May 6, 2021
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, April 2021

- b. Approval of Contract and Preliminary Allocation, Fiscal Year 2021-22
- c. Approval of Budget Amendment B-3, Fiscal Year 2020-21
- d. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective June 3, 2021.

M/S/C to approve the consent calendar, as presented

D. Executive Director's Report

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *American Rescue Plan Act of 2021.* Mr. Landauer reported that earlier this month, the Treasury Department began distributing \$350 billion in aid to state and local governments authorized under the American Rescue Plan Act. Aid can be used not only in direct efforts to combat COVID-19, from screening tests and vaccines to prevention and recovery, but also to cover treatment for mental health, substance use disorders and other crises exacerbated by the pandemic.
- *California Budget - May Revise for 2021-22.* Mr. Landauer reported that Ms. Sonja Petek from the Legislative Analyst's Office (LAO), who attended RCOC's Board Retreat in 2020, noted three challenges for the system with regard to the the May Revise: (1) outdated provider rates (2) excess caseloads and (3) lack of good data. Ms. Petek also highlighted the inconsistency of recognizing the caseload problem now but not funding it until next year. She suggested that some funding could be moved forward to let regional centers hire service coordinators this year. Mr. Landauer reported that State Senators, Susan Eggman and Richard Pan, also expressed concerns about waiting another year to reduce caseloads.
- *Legislative Subcommittee Report.* Mr. Landauer reviewed sections of the report and stated that what was presented in budget hearings regarding vendor rates is not what the legislature approved. The LAO, senators and assembly members commented on the absence of information on vendor rates and implementation of the Burns Rate Study.
- *Coronavirus Disease 2019 (COVID-19).* Mr. Landauer reported that the number of positive cases in Orange County has increased. At RCOC, over 4,991 persons served have received their first dose of the vaccine; 4,573 are fully vaccinated; and, over 527 have declined.
- *Developmental Services (DS) Task Force.* Mr. Landauer reported that the DS Task Force workgroups continue to hold monthly meetings, which are designed to explore ways to strengthen community services.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2020-21.* Mr. Landauer reported that there is a projected deficit system-wide.

- *Person Centered Thinking (PCT).* Mr. Landauer reported that RCOC continues to provide PCT trainings.
- *Employment.* Mr. Cazares reported that Disability Rights of California held a three-day inter-agency Employment Summit. The summit focused on employment issues at both the state and national level, business matters and planning for the future.
- *Closure of Hy-Lond.* Ms. Keli Radford, RCOC's Director of Services and Supports, reported on the Hy-Lond residents who are currently residing outside of Orange County until their new ResCare homes are ready for occupancy.
- *Housing.* Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that he is attending the virtual planning commission meetings of various cities in Orange County. These meetings are an opportunity for constituents to address their community needs such as affordable housing with the cities' planning departments. These meetings will assist with the process of obtaining future affordable housing for persons served.

Ms. Christina Petteruto presented three housing agreements for approval:

1. Approval of Start-Up Funding Agreement with Service Providers, The James Homes, for Development of a Specialized Residential Facility (CRDP Project No. 2021-1)

M/S/C to approve the Start-Up Funding Agreement with Service Providers, The James Homes, for Development of a Specialized Residential Facility (CRDP Project No. 2021-1)

2. Approval of Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (CRDP Project No. 2021-3 and 2021-4)

M/S/C to approve the Property Acquisition Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (CRDP Project No. 2021-3 and 2021-4)

3. Approval of Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (CRDP Project No. 2021-3 and 2021-4)

M/S/C to approve the Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (CRDP Project No. 2021-3 and 2021-4)

- *Legislative and Community Awareness.* Mr. Landauer reviewed media highlights and reported that RCOC has continued its virtual meetings with State legislators and their staff.

- *Health and Wellness.* Dr. Ivers reported that the current class of the *Healthy Life, Happy Life* program, will hold a virtual graduation on June 23, 2021. The next class is scheduled to begin in August and end mid-November 2021; it will focus on educating individuals about diabetes, hypertension and high cholesterol health problems.
- *RCOC News.* Mr. Landauer reported that RCOC virtual community meetings are held monthly with translation services available in Spanish and Vietnamese.
- *Cafecito with Padres Mentores.* Mr. Landauer reported that on May 28, 2021, Mr. Landauer and members of his staff attended a *Cafecito* meeting with a parent support group, *Padres Mentores*, regarding services and supports. RCOC had committed to meeting monthly with the parent group to answer questions about RCOC's services and to develop a better relationship. Mr. Landauer reported that he has since received an email from Ms. Evelyn Rodriguez, Director of *Padres Mentores*, stating that the group will not continue to meet with RCOC staff as they felt it was not meeting the needs of its families.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the next SDP Local Advisory Committee will be held virtually on June 14, 2021.
- *Association of Regional Center Agencies (ARCA) Academy.* Mr. Landauer reported that the next ARCA Academy training webinar on *Decoding Regional Center Purchase of Service Data*, is scheduled on July 10, 2021. The trainings are available to all regional center Board members.

E. Community Forum

Mr. David Lester, General Counsel to the Board of Directors, stated that due to the number of requests from the public to speak, Community Forum would be moved to the end of the meeting, in order to ensure that the Board has enough time to address all the action items on the agenda.

F. Executive Committee

Mr. Amsden reported that the Committee met on May 17, 2021, and that the Committee recommends for approval all of the following agenda items:

4. Approval of Renewal of Board Membership for Chinh Nguyen for a Three-Year Term Commencing September 1, 2021 and Ending August 31, 2024

M/S/C to approve the Renewal of Board Membership for Chinh Nguyen for a Three-Year Term Commencing September 1, 2021 and Ending August 31, 2024, as recommended

5. Approval of Renewal of Board Membership for Sandy Martin for a Three-Year Term Commencing September 1, 2021 and Ending August 31, 2024

M/S/C to approve the Renewal of Board Membership for Sandy Martin for a Three-Year Term Commencing September 1, 2021 and Ending August 31, 2024, as recommended

6. Approval of the 2021 Strategic Plan

M/S/C to approve the 2021 Strategic Plan, as recommended

7. Approval of the Board of Directors' Meeting Schedule for Fiscal Year 2021-22

M/S/C to approve the Board of Directors' Meeting Schedule for Fiscal Year 2021-22, as recommended

The next Committee meeting is scheduled for June 21, 2021.

G. Nominating Committee

Mr. Amsden presented the following agenda item for approval:

1. Approval of Proposed Slate of Officers with Terms Commencing July 1, 2021 and Ending December 31, 2022

Chairperson:	John "Chip" Wright
Vice-Chairperson:	Clifford Amsden
Treasurer:	Mine Hagen
Secretary:	Sylvia Delgado

M/S/C to approve Proposed Slate of Officers with Terms Commencing July 1, 2021 and Ending December 31, 2022, as recommended

H. Board Recruitment and Training

Mr. Amsden presented the following agenda item for approval:

1. Approval of Board Membership for Hilda Mendez for a New Three-Year Term Commencing July 1, 2021 and Ending June 30, 2024

M/S/C to approve the Board Membership for Hilda Mendez for a New Three-Year Term Commencing July 1, 2021 and Ending June 30, 2024

I. Policies and Outcomes Committee

Mr. Amsden reported that the Committee met on May 17, 2021, and reviewed the Whistleblower Policy and the Transparency and Public Information Policy. Revisions were proposed only for the Transparency and Public Information Policy.

Mr. Amsden presented revisions to the Transparency and Public Information Policy, as recommended by the Policies and Outcomes Committee.

1. Approval of Revisions to the Transparency and Public Information Policy

M/S/C to approve revisions to the Transparency and Public Information Policy, as recommended

The next Committee meeting is scheduled for July 19, 2021.

J. Vendor Advisory Committee

In Ms. Tiffany Bauer's absence, Mr. Landauer reported that the Committee met on May 12, 2021, and discussed concerns about COVID-19 vaccination policies and the safe re-opening of day programs. Many vendors also addressed the difficulty of hiring given their rates and minimum wage increases.

The next Committee meeting is scheduled for June 8, 2021.

Mr. Landauer also reported that the Committee recommends for approval the following agenda item:

1. Approval of Vendor Advisory Committee Members

Adult Family Home (AFHA) / Foster Family Agency (FFA), Katherine Gurney, Chair and Mark Antenucci, Co-Chair for terms commencing June 14, 2021 and ending May 31, 2023.

M/S/C to approve Vendor Advisory Committee Members

K. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee met on June 16, 2021, and welcomed Ms. Kerri Adamic and Ms. Cheryl Day as new PAC members. The Committee also had a presentation from Ms. Melissa Mungia and Ms. Christina Blanco from the Orange County Transportation Authority (OCTA) on updates to OCTA's services.

L. Legislative and Community Awareness Report

Ms. Liza Krassner reported that the next Committee meeting is scheduled for July 13, 2021.

M. ARCA Report

Ms. Krassner reported that the next ARCA Board Delegates will be meeting on June 16, 2021, and the Board of Directors' meeting is scheduled for June 18, 2021.

N. Chairperson's Report

Mr. Amsden stated that the next Board of Directors' meeting will be held September 2, 2021.

O. Community Forum (*out of agenda item order*)

Families and other community representatives voiced concerns about services provided to the Latino community.

II. Adjournment

Mr. Amsden adjourned the meeting at 7:31 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Videoconference Minutes
June 3, 2021**

Committee Members Present: Cliff Amsden
Marcell Bassett
Amy Jessee
Sandy Martin
Fernando Peña

Committee Members Absent: Mine Hagen, Chair
John “Chip” Wright

Other Board Members Present: Chinh Nguyen

RCOC Staff Present: Bette Baber, Chief Financial Officer
Larry Landauer, Executive Director
Jerrod Bonner, IT Director
Liliana Castillo, Accounting Manager, POS
Arturo Cazares, Associate Director of Employment
Nancy Franco, Accounting Manager, Operations
Bonnie Ivers, Clinical Director
Raudel Perez, Administrator
Christina Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Marta Vasquez, Associate Finance Director
Stacy Wong, Human Resources Director

The meeting was called to order at 4:02 p.m.

1. Approval of Monthly Sufficiency of Allocation Reports, April 2021

Ms. Marta Vasquez reported that RCOC was projecting a surplus of \$11.1 million, a reduction of \$13.3 million from the previous projection. RCOC received \$6.3 million in the B-3 budget amendment and RCOC’s projections declined by \$7.0 million. RCOC’s projected surplus will be \$19.5 million, if all \$8.4 million of the State Plan Amendment receivables are paid.

M/S/C to approve the monthly SOAR.

*RCOC Budget and Finance Committee Minutes
June 3, 2021*

2. Approval of Contract and Preliminary Allocation for Fiscal Year 2021-22

Ms. Bette Baber reported that RCOC had not yet received the contract nor the preliminary allocation for fiscal year 2021-2022; however, she reiterated the importance of returning the signed contract upon receipt in order to prevent any disruption in cashflow as well as the expense of drawing on its the line of credit.

1. Approval of Budget Amendment B-3, Fiscal Year 2020-21

Ms. Baber reported that the Department of Developmental Services will allocate an additional \$7.9 million to RCOC soon but DDS had not yet sent the contract documents for execution.

2. Approval of CalPERS Requirement for a Publicly Available Pay Schedule Effective June 3, 2021

Ms. Baber reported that two new titles were added to the salary schedule: Self-Determination Program Coordinator and Payables Coordinator.

3. Other

The committee discussed caseload growth and the problems that vendors have in hiring and retaining employees.

The meeting adjourned at 4:36 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 2, 2021
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, May 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: August 24, 2021
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – May 2021 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$18 million, an increase of \$6.9 million over the previous projection due to lower than projected expenditures. RCOC will have a projected surplus of \$26.4 million if all \$8.4 million of the State Plan Amendment receivables are paid.

For the first two months of fiscal year 2020-21, DDS authorized regional centers to pay vendors for absences that were the direct result of COVID-19. For the last 10 months of the year, DDS authorized payment for Alternative Services and overall expenditures declined. Alternative Services included:

- a. Supports related to minimizing the exposure to or impact of COVID-19 on the consumer;*
- b. Completion of an individual assessment of skills, preferences, and service needs for the consumer;*
- c. Completion of an individualized service plan to provide needed services for the consumer;*
- d. Alternative services delivered to the consumer via telephone, video or other electronic communication;*
- e. Delivery of supplies or other items to the consumer's home needed to provide services or supports;*
- f. Use of self-guided training and educational materials supplied to the consumer by the provider intended to support the consumer's service;*
- g. Skills training to individuals within the consumer's household who are specifically designated to support the consumer;*
- h. Alternative services provided in-person at the consumer's home, in a community setting, or at the provider's facility, modified to comply with the most protective state or local COVID-19 safety guidelines in effect at the time the service is to be delivered;*
- o Provider staff must be trained on COVID-19 safety precautions prior to the delivery of in-person services.*
- i. Supports for transition to the Self-Determination Program; and*
- j. Other modifications to nonresidential services that are approved by the consumer that further or achieve his or her service needs.*

Year to date, RCOC's caseload has increased by 258 persons for an annualized caseload growth of 1.3%; the regional center system increased by 7,560 persons for an annualized caseload growth of 2.4%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of May 31, 2021**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	B-3 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (8/10/2021)	VARIANCE			SPENT PRIOR YEAR
					(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$152,843,077	\$137,538,441	\$150,041,936	\$152,843,077	0%	\$0	\$0	\$123,187,413
(2) Day Care	3,099,487	2,017,879	2,201,323	3,099,487	0%	0	0	2,991,856
(3) Day Training	64,058,837	52,924,045	57,735,322	58,033,259	9%	6,025,578	1,372,204	64,544,654
(4) Habilitation	8,486,789	7,347,728	8,015,703	8,486,789	0%	0	0	8,588,066
(5) Transportation	16,397,167	5,859,257	9,374,811	9,471,415	42%	6,925,752	4,492,162	16,323,331
(6) Respite	44,142,900	40,570,362	44,258,577	44,142,900	0%	0	0	35,811,872
(7) Supported Living	45,782,867	41,482,199	45,253,308	45,782,867	0%	0	0	42,199,888
(8) Non-medical	16,522,410	13,755,808	15,006,336	16,522,410	0%	0	0	14,330,856
(9) Medical	8,558,053	5,624,135	6,135,420	6,243,485	27%	2,314,568	1,036,653	5,558,951
(10) Other	100,369,096	89,625,492	97,773,264	97,647,012	3%	2,722,084	-1	94,473,554
(11) Early Start (Age 0-3)	23,822,005	21,687,825	23,659,445	23,822,005	0%	0	0	27,620,704
(12) Community Placement Plan	3,790,708	12,729	13,886	3,790,708	0%	0	0	5,446,002
(13) Purchase of Service Total	487,873,396	418,445,900	459,469,331	469,885,414	4%	17,987,982	6,901,018	441,077,147
<u>OPERATIONS</u>								
								\$26,421,572 * If all SPA receivables are paid.
(14) Operating Expense (Gross)	7,600,000	5,600,873	6,110,044	7,600,000	0%	0	0	6,550,972
(15) Less Interest Income and SPA Fees	-300,000	-134,873	-161,375	-300,000	0%	0	0	-363,356
(16) Operating Expense (Net)	7,300,000	5,466,001	5,948,668	7,300,000	0%	0	0	6,187,616
(17) Personal Services	42,169,543	33,115,946	36,126,487	42,169,543	0%	0	0	37,466,345
(18) Family Resource Center/Services	269,299	144,784	157,947	269,299	0%	0	0	190,842
(19) Operations Total	49,738,842	38,726,731	42,233,102	49,738,842	0%	0	0	43,844,802
(20) Total	\$537,612,238	\$457,172,631	\$501,702,433	\$519,624,256	0%	\$17,987,982	\$6,901,018	\$484,921,949

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF MAY 31, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	37,491,872.41	
Savings	27,371.52	\$438,313.37
Money market	0.00	
Payroll	267,168.48	
Donations	179,408.04	
Unemployment	522,776.95	
Certificate of deposit	0.00	
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Total current assets	38,488,897.40	438,313.37
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RECEIVABLES		
State claim	82,239,932.75	
Client support revenue	171,843.40	44,385.02
Due from State - prior years	5,680,300.02	
Due from ICF - ICF Supplemental Services	7,707,226.33	
	-----	-----
Total receivables	95,799,302.50	44,385.02
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	467,122.64	
Building acquisition	63,613.98	
	-----	-----
Total other assets	530,736.62	0.00
	-----	-----
TOTAL ASSETS	\$135,108,519.38	\$482,698.39
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$22,323,734.01	\$171,843.40
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	112,030,077.81	
Unemployment insurance	522,776.95	
	-----	-----
Total liabilities	134,929,111.34	171,843.40
	-----	-----
FUND BALANCES		
General	0.00	
Donations	179,408.04	
Custodial		310,854.99
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$135,108,519.38	\$482,698.39
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
MAY 31, 2021

Beginning Balance		\$179,795.04
Donations	\$0.00	
Loan Payments	113.00	
Interest	0.00	
Disbursements:		
Replace belongings destroyed by fire	<u>-500.00</u>	
Net Increase (Decrease)		<u>-387.00</u>
Ending Balance		<u><u>\$179,408.04</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 2, 2021
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, June 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: August 26, 2021
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – June 2021 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$18 million, an increase of \$6.9 million over the previous projection due to lower than projected expenditures. RCOC will have a projected surplus of \$26.4 million if all \$8.4 million of the State Plan Amendment receivables are paid.

For the first two months of fiscal year 2020-21, DDS authorized regional centers to pay vendors for absences that were the direct result of COVID-19. For the last 10 months of the year, DDS authorized payment for Alternative Services and overall expenditures declined. Alternative Services included:

- a. Supports related to minimizing the exposure to or impact of COVID-19 on the consumer;*
- b. Completion of an individual assessment of skills, preferences, and service needs for the consumer;*
- c. Completion of an individualized service plan to provide needed services for the consumer;*
- d. Alternative services delivered to the consumer via telephone, video or other electronic communication;*
- e. Delivery of supplies or other items to the consumer's home needed to provide services or supports;*
- f. Use of self-guided training and educational materials supplied to the consumer by the provider intended to support the consumer's service;*
- g. Skills training to individuals within the consumer's household who are specifically designated to support the consumer;*
- h. Alternative services provided in-person at the consumer's home, in a community setting, or at the provider's facility, modified to comply with the most protective state or local COVID-19 safety guidelines in effect at the time the service is to be delivered;*
- o Provider staff must be trained on COVID-19 safety precautions prior to the delivery of in-person services.*
- i. Supports for transition to the Self-Determination Program; and*
- j. Other modifications to nonresidential services that are approved by the consumer that further or achieve his or her service needs.*

RCOC's caseload increased by 333 persons for caseload growth of 1.5%; the regional center system increased by 9,338 persons for caseload growth of 2.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of June 30, 2021**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	B-3 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (8/10/2021)	VARIANCE			
					(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
(1) Licensed Residential Care	\$152,843,077	\$149,732,139	\$150,181,791	\$152,843,077	0%	\$0	\$0	\$123,187,413
(2) Day Care	3,099,487	2,081,139	2,170,855	3,099,487	0%	0	0	2,991,856
(3) Day Training	64,058,837	57,877,637	57,877,637	58,033,259	9%	6,025,578	1,372,204	64,544,654
(4) Habilitation	8,486,789	8,003,689	8,003,689	8,486,789	0%	0	0	8,588,066
(5) Transportation	16,397,167	6,226,465	9,339,698	9,471,415	42%	6,925,752	4,492,162	16,323,331
(6) Respite	44,142,900	42,094,897	44,340,472	44,142,900	0%	0	0	35,811,872
(7) Supported Living	45,782,867	45,596,214	45,596,214	45,782,867	0%	0	0	42,199,888
(8) Non-medical	16,522,410	14,915,733	14,915,733	16,522,410	0%	0	0	14,330,856
(9) Medical	8,558,053	6,056,899	6,056,899	6,243,485	27%	2,314,568	1,036,653	5,558,951
(10) Other	100,369,096	96,534,754	98,178,717	97,647,012	3%	2,722,084	-1	94,473,554
(11) Early Start (Age 0-3)	23,822,005	23,960,195	23,960,195	23,822,005	0%	0	0	27,620,704
(12) Community Placement Plan	3,790,708	33,456	33,456	3,790,708	0%	0	0	5,446,002
(13) Purchase of Service Total	487,873,396	453,113,217	460,655,355	469,885,414	4%	17,987,982	6,901,018	441,077,147
<u>OPERATIONS</u>						\$26,421,572	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	7,600,000	5,988,657	5,988,657	7,600,000	0%	0	0	6,550,972
(15) Less Interest Income and SPA Fees	-300,000	-154,602	-154,602	-300,000	0%	0	0	-363,356
(16) Operating Expense (Net)	7,300,000	5,834,055	5,834,055	7,300,000	0%	0	0	6,187,616
(17) Personal Services	42,169,543	37,167,592	37,167,592	42,169,543	0%	0	0	37,466,345
(18) Family Resource Center/Services	269,299	154,739	154,739	269,299	0%	0	0	190,842
(19) Operations Total	49,738,842	43,156,386	43,156,386	49,738,842	0%	0	0	43,844,802
(20) Total	\$537,612,238	\$496,269,603	\$503,811,742	\$519,624,256	0%	\$17,987,982	\$6,901,018	\$484,921,949

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JUNE 30, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	19,740,296.22	
Savings	33,123.38	\$409,557.50
Money market	0.00	
Payroll	268,898.30	
Donations	179,430.60	
Unemployment	522,800.58	
Certificate of deposit	0.00	
	-----	-----
Total current assets	20,744,849.08	409,557.50
	-----	-----
RECEIVABLES		
State claim	80,631,299.53	
Client support revenue	83,202.68	44,385.02
Due from State - prior years	3,447,837.11	
Due from ICF - ICF Supplemental Services	7,230,240.64	
	-----	-----
Total receivables	91,392,579.96	44,385.02
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	283,475.30	
	-----	-----
Total prepaid items	573,058.16	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	467,122.64	
Building acquisition	63,613.98	
	-----	-----
Total other assets	530,736.62	0.00
	-----	-----
TOTAL ASSETS	\$113,241,223.82	\$453,942.52
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$456,392.26	\$83,202.68
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	112,030,077.81	
Unemployment insurance	522,800.58	
	-----	-----
Total liabilities	113,061,793.22	83,202.68
	-----	-----
FUND BALANCES		
General	0.00	
Donations	179,430.60	
Custodial		370,739.84
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$113,241,223.82	\$453,942.52
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JUNE 30, 2021

Beginning Balance		\$179,408.04
Donations	\$0.00	
Loan Payments	0.00	
Interest	22.56	
Disbursements	<u>0.00</u>	.
Net Increase (Decrease)		<u>22.56</u>
Ending Balance		<u><u>\$179,430.60</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 2, 2021
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, July 2021**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: August 26, 2021
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – July 2021 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC has not received the planning allocation, see Agenda Item III.C.-2.d. The Department of Developmental Services (DDS) DDS said that they will send it by the end of August. The planning allocation will be used to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2021-22 is due to DDS on December 10, 2021.

Last year at this time, we were several months into the pandemic. DDS had authorized retainer (absence) payments for non-residential providers from the date that Governor Newsom declared a State of Emergency on March 4, 2020 through August 31, 2020. Effective September 1, 2020, DDS permitted “alternative delivery of non-residential services that meet the individual needs of consumers, sustain the state’s developmental services vendor network, and continue receipt of federal reimbursement for services provided to consumers during the COVID-19 State of Emergency.” Alternative delivery of non-residential services continues.

Some providers are trying to resume traditional services. The recent rise in COVID-19 cases due to the Delta variant has clouded recovery expectations. Other barriers include the challenge of hiring. Vendor rates will not increase until April 1, 2022. Some vendors will receive an increase due to the minimum wage increase effective January 1, 2022.

RCOC’s caseload growth for the past three years is as follows:

- Fiscal year 2018-19 - 3.9%, an increase of 822 persons
- Fiscal year 2019-20 - 1.2%, an increase of 258 persons
- Fiscal year 2020-21 - 1.5%, an increase of 333 persons

The decline in Early Start cases, which began in 2019-20 and continued into 2020-21, has ended. In July 2021, RCOC’s Early Start cases increased by 105; systemwide Early Start cases increased by 1,441. Overall RCOC’s caseload increased by 171 persons for an annualized caseload growth 9.1%; the regional center system increased by 2,595 persons for an annualized caseload growth of 8.6%.

In 2021-22, there is a new category of Provisional Eligibility for children who are three and four years of age who are not Lanterman eligible. To qualify for Provisional Eligibility, the child's disability cannot be solely physical in nature and the child must have significant functional limitations in at least two of the following areas of major life activity:

- Self-care
- Receptive and expressive language
- Learning
- Mobility
- Self-direction

Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

**Monthly Sufficiency of Allocation Report
As of July 31, 2021**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	PRELIMINARY ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR PROJECTED EXPENDITURES (n/a)	VARIANCE			SPENT PRIOR YEAR
					(column A-D)/A % YEAR TO DATE	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$125,987,322	\$9,479,731	\$146,324,376	\$0	n/a	n/a	n/a	\$149,732,139
(2) Day Care	2,554,882	50,400	1,513,668	0	n/a	n/a	n/a	2,081,139
(3) Day Training	52,803,185	4,151,453	56,864,916	0	n/a	n/a	n/a	57,877,637
(4) Habilitation	6,995,592	122,509	7,871,532	0	n/a	n/a	n/a	8,003,689
(5) Transportation	13,516,053	85,702	5,764,968	0	n/a	n/a	n/a	6,226,465
(6) Respite	36,386,638	3,551	42,612,000	0	n/a	n/a	n/a	42,094,897
(7) Supported Living	37,738,450	3,450,895	46,798,380	0	n/a	n/a	n/a	45,596,214
(8) Non-medical	13,619,290	567,435	15,610,824	0	n/a	n/a	n/a	14,915,733
(9) Medical	7,054,334	394,960	5,169,034	0	n/a	n/a	n/a	6,056,899
(10) Other	82,733,440	81,450	97,740,000	0	n/a	n/a	n/a	96,534,754
(11) Early Start (Age 0-3)	19,636,288	1,908,649	28,555,284	0	n/a	n/a	n/a	23,960,195
(12) Community Placement Plan	0	0	0	0	n/a	n/a	n/a	33,456
(13) Purchase of Service Total	<u>399,025,474</u>	<u>20,296,735</u>	<u>454,824,982</u>	<u>0</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>453,113,217</u>
OPERATIONS								
(14) Operating Expense (Gross)	7,600,000	1,443,841	17,326,088	7,600,000	0%	0	0	5,988,657
(15) Less Interest Income and SPA Fees	<u>-300,000</u>	<u>-6,748</u>	<u>-80,972</u>	<u>-300,000</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>-154,602</u>
(16) Operating Expense (Net)	7,300,000	1,437,093	17,245,116	7,300,000	0%	0	0	5,834,055
(17) Personal Services	36,294,859	2,988,363	35,860,359	36,294,859	0%	0	0	37,167,592
(18) Family Resource Center/Services	0	579	6,942	0	0%	0	0	154,739
(19) Operations Total	<u>43,594,859</u>	<u>4,426,035</u>	<u>53,112,417</u>	<u>43,594,859</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>43,156,386</u>
(20) Total	<u>\$442,620,333</u>	<u>\$24,722,770</u>	<u>\$507,937,399</u>	<u>\$43,594,859</u>	<u>0%</u>	<u>\$0</u>	<u>\$0</u>	<u>\$496,269,603</u>

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

*** Operating Expense appears to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JULY 31, 2021

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	47,794,112.10	404,500.48
Savings	42,240.64	
Money market	0.00	
Payroll	269,740.89	
Donations	180,576.60	
Unemployment	522,822.06	
Certificate of deposit	0.00	
	-----	-----
Total current assets	48,809,792.29	404,500.48
	-----	-----
RECEIVABLES		
State claim	24,369,212.87	
Client support revenue	101,313.69	43,038.24
Due from State - prior years	127,906,615.14	
Due from ICF - ICF Supplemental Services	8,198,856.63	
	-----	-----
Total receivables	160,575,998.33	43,038.24
	-----	-----
PREPAID ITEMS		
Deposits	289,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	289,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	376,912.35	
Building acquisition	63,613.98	
	-----	-----
Total other assets	440,526.33	0.00
	-----	-----
TOTAL ASSETS	\$210,115,899.81	\$447,538.72
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$23,647,898.77	\$101,313.69
Due to State - ICF Supplemental Services	52,522.57	
Loans payable	0.00	
Cash advance	185,712,079.81	
Unemployment insurance	522,822.06	
	-----	-----
Total liabilities	209,935,323.21	101,313.69
	-----	-----
FUND BALANCES		
General	0.00	
Donations	180,576.60	
Custodial		346,225.03
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$210,115,899.81	\$447,538.72
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JULY 31, 2021

Beginning Balance		\$179,430.60
Donations	\$0.00	
Loan Payments	1,146.00	
Interest	0.00	
Disbursements	0.00	
Net Increase (Decrease)	<u>\$1,146.00</u>	
Ending Balance		<u><u>\$180,576.60</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 2, 2021

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment C-1, Fiscal Year 2021-22**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has informed regional centers that the C-1 allocation numbers will be sent by the end of August.

FISCAL IMPACT:

Unknown at this time. For reference, the planning allocation for 2020-21 was:

Operations	\$9,300,615
Purchase of Services	\$143,104,694
Total	\$152,405,309

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 2, 2021

TO: Board of Directors

FROM: Larry Landauer
Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Renewed Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator**

BACKGROUND:

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding....”

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526 “Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants” and 54527 “Financial Interests in Decisions Creating a Conflict of Interest for Employees, Contractors, Agents or Consultants” which provides in pertinent part:

“(a) A regional center employee, contractor, agent or consultant shall not make, participate in making or in any way attempt to use his or her position to influence a regional center decision, in which he or she knows or has reason to know that he or she, or his or her family member has a financial interest.

Section 54533 “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan” states that:

(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.” (emphasis added)

REASON FOR CURRENT ITEM:

Magnolia Guardamondo is RCOC's Service Coordinator. Mrs. Guardamondo is requesting that her spouse, Brian Guardamondo, be employed by a RCOC vendor to provide personal assistance to their son, a person served by RCOC. Due to this financial interest, Ms. Guardamondo appears to have a conflict of interest under the above discussed statute and regulations.

RCOC has developed a Conflict Resolution Plan to address any potential conflict of interest.

FISCAL IMPACT:

None

RECOMMENDATION:

That the Board approve the Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Magnolia Guardamondo, Service Coordinator.

**DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST,
CONFLICT RESOLUTION PLAN AND RENEWED REQUEST FOR WAIVER
FOR
MAGNOLIA GUARDAMONDO – SERVICE COORDINATOR
REGIONAL CENTER OF ORANGE COUNTY**

I. Law Governing Conflicts of Interest

The prohibition against Regional Center employee conflicts of interest has its origin in section 4626 of the Welfare & Institutions Code. Subsection (d) of said section 4626 provides:

“The department shall ensure that no regional center employee or board member has a conflict of interest with an entity that receives regional center funding”

That general prohibition is explained in more detail in Title 17 of the California Code of Regulations, sections 54526, “Positions Creating Conflicts of Interest for Employees, Contractors, Agents and Consultants” and 54527, “Financial Interests in Decisions Creating Conflict of Interest for Employees, Contractors, Agents or Consultants.”

Said section 54526 specifically provides, in part:

(a) A conflict of interest exists when a regional center employee with decision or policy making authority, or contractor, agent or consultant with authority to act on behalf of the regional center, or family member of such person, is any of the of following for a business entity, entity, or provider as defined in these regulations:

.....(9) an employee

(emphasis added)

Section 54533, “Present or Potential Conflict of Interest Identified, Proposed Conflict Resolution Plan Content, Timelines for Submission of Proposed Conflict Resolution Plan,” states:

“(a) When a present or potential conflict of interest is identified for a regional center board member, executive director, employee, contractor, agent or consultant, the present or potential conflict shall be either eliminated or mitigated and managed through a Conflict Resolution Plan, or the individual shall resign his or her position with the regional center or regional center governing board.” *(emphasis added)*

II. Potential Conflict of Ms. Magnolia Guardamondo

Magnolia Guardamondo is a Service Coordinator for the Regional Center of Orange County (hereinafter “RCOC” or “the Regional Center”). RCOC’s Executive Director, Larry Landauer, confirms that Ms. Guardamondo is a loyal, effective, productive and valued employee.

Ms. Guardamondo, is also the parent of a person served who is receiving both respite and personal assistance services from RCOC. The family wanted the respite and personal assistance

services be provided by family members.

Alisha Guardamondo, the daughter of Magnolia Guardamondo, provides respite and personal assistance services. A Conflict Resolution Plan was submitted for Alisha and for Ms. Guardamondo's sister, Adria Valencia, to provide personal assistance services. The waiver was granted by the Department in the attached **Exhibit C** dated May 21, 2021. Ms. Guardamondo is now requesting that her spouse, Brian Guardamondo, be approved to provide personal assistance service to their son, the person served.

Ms. Guardamondo, RCOC's employee and parent, is requesting that Brian, her husband, be an employee of Childcare Connection – UCP. UCP/SCF Buena Park ICF/DDH, UCP Community Living Services are also UCP/OC's affiliates (hereinafter "UCP/OC's affiliates"), and RCOC providers.

Accordingly, Ms. Magnolia Guardamondo has a conflict since her husband, Brian, will be, if approved, an employee of a RCOC vendor.

Ms. Guardamondo's spouse, Brian, if approved, will be employed by Childcare Connection – UCP, and will provide personal assistance services to their son. Mr. Guardamondo does not work for any other person served, nor does he perform any other work for Childcare Connection – UCP. We have confirmed with Childcare Connection – UCP that Brian's only duties as an employee are to provide personal assistance to their son.

RCOC does not have a Financial Management Service provider that allows the parent to be the employer of record. In order to qualify for federal financial participation, the employer of record for all respite and personal assistance services must be a RCOC vendor; accordingly, for Brian Guardamondo to provide personal assistance services to their son, he had to become an employee of a vendor. There is no conflict-free option for personal assistance services.

Even though a technical conflict of interest exists, it is a common occurrence in the regional center system that a regional center employee, who is also a parent, has a family member who is the respite or a personal assistance worker for his/her child.

III. Conflict Resolution Plan

This document constitutes a Disclosure of Potential Conflict, a Conflict Resolution Plan to eliminate any adverse consequences from this relationship, and a Request for Waiver of the conflict from DDS.

A. Ms. Guardamondo's Position and Duties

As a Service Coordinator, Ms. Guardamondo is responsible for a caseload of approximately 86 persons served, with services that are funded at least in part by the Regional Center of Orange County. A copy of her job description is attached as **Exhibit A**.

Ms. Guardamondo has a caseload of children and adults.

When other employees had potential conflicts of interest, RCOC was able to change their caseload from adult to children or vice versa in order to eliminate the potential conflicts of

interest. Due to the breadth of services that UCP/OC's affiliates provide, RCOC cannot adjust Magnolia's caseload in a way that would eliminate interactions with all the various services, including respite, residential, supported living and personal assistance.

The regional center can, however, limit any and all involvement that Ms. Guardamondo has with Respite Connection UCPA/OC, Childcare Connection – UCP, UCP/SCF Buena Park ICF/DDH, UCP Community Living Services and UCP/OC's affiliates.

If any of Ms. Guardamondo's persons served require evaluation, planning or recommendations on a matter related to Respite Connection UCPA/OC, Childcare Connection – UCP and UCP/OC affiliates, West Area Supervisor, Jennifer Kennedy, will take on such matters. RCOC's Organization Chart is attached as **Exhibit B**. With the changes to be made in this Conflict Resolution Plan, no change in the chart will be necessary except that Jennifer Kennedy, West Area Supervisor, will be in the position held by Ms. Guardamondo with respect to all matters involving Respite Connection UCPA/OC, Childcare Connection – UCP and UCP/OC's affiliates.

B. Brian Guardamondo's Role at Childcare Connection - UCP

Childcare Connection – UCP provides personal assistance services. UCP/OC's affiliates are UCP/SCF Buena Park ICF/DD-H, an intermediate care facility/developmentally disabled-habilitative, and UCP Community Living Services, which provides supported living services. Respite Connection UCPA/OC was vendored on October 1, 1999, and Childcare Connection – UCP was vendored on June 26, 2006. Last year, RCOC paid \$4,518,679 to UCP/OC's affiliates.

As an employee of Childcare Connection - UCP, Mr. Brian Guardamondo's duties are solely and exclusively limited to providing personal assistance to Magnolia Guardamondo's son.

C. Conflict Resolution Plan

Please note that a waiver was requested in 2021, for Alisha Guardamondo, the daughter of Magnolia Guardamondo, to provide respite and personal assistance services and adding Ms. Guardamondo's sister, Adria Valencia, as a personal assistance provider for her son. The waiver was granted by the Department in the attached **Exhibit C** dated May 21, 2021. This request for waiver is removing Adria Valencia, her sister, and adding her spouse, Brian Guardamondo. RCOC's Executive Director and Board of Directors have concluded that Ms. Guardamondo provides great value to the consumers of RCOC. After consideration of the totality of the circumstances and a careful review of the facts, the Executive Director and Board of Directors believe it is in the best interests of RCOC to create and implement a Conflict Resolution Plan to eliminate any adverse consequences from this relationship and seek a renewed request for waiver from DDS.

RCOC's and Ms. Guardamondo's suggested Conflict Resolution Plan for this potential conflict of interest is as follows:

Ms. Guardamondo will continue with her caseload of children and adults.

Ms. Guardamondo will, in every conceivable manner, not interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.

In addition:

1. Ms. Guardamondo will not participate in the consideration, preparation, review, presentation, formulation or approval of any report, plan, opinion, recommendation or action regarding Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
2. Ms. Guardamondo will not review or participate in any discussions, recommendations or decisions about Purchase of Service authorizations for Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
3. Ms. Guardamondo will not review or in any way participate in the preparation, consideration, or any follow-up related to Special Incident Reports with regard to Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
4. Ms. Guardamondo will not create, review, or in any way participate in, any corrective action plans that deal with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
5. Ms. Guardamondo will not participate in any discussions, recommendations, actions or resolutions regarding complaints about Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
6. The Regional Center and Ms. Guardamondo agree that Ms. Guardamondo will take no part in vendor appeals or fair hearings involving Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.
7. The Regional Center and Ms. Guardamondo will ensure that Ms. Guardamondo will not access vendor files of Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates either in their electronic or hard copy form.
8. Ms. Guardamondo will not be involved in the negotiation, discussion, obligation or commitment of RCOC to a course of action involving Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.

9. Further, if Ms. Guardamondo has matters relating to Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates, West Area Supervisor, Jennifer Kennedy, will take on such matters.

10. The RCOC management staff will be informed about this Conflict Resolution Plan, and they will be informed of the need to ensure that Ms. Guardamondo has no involvement whatsoever in any action or business involving or affecting Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.

11. RCOC will communicate to its employees Ms. Guardamondo's Conflict Resolution Plan and the need to ensure that Ms. Guardamondo plays no role whatsoever in any action involving Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates or those who do interact with Respite Connection UCPA/OC, Childcare Connection - UCP or UCP/OC's affiliates.

12. The RCOC Board of Directors has been informed of, and supports, this Conflict Resolution Plan and Renewed Request for Waiver of Potential Conflict of Interest.

IV. Renewed Request For Waiver

For the reasons provided above, and in accordance with the Conflict Resolution Plan set forth above, the Regional Center of Orange County hereby requests that DDS grant a renewed request for waiver of the conflict in this matter.

Respectfully submitted,

By: _____
Magnolia Guardamondo, West Area Service Coordinator

Date: _____

By: _____
Jennifer Kennedy, West Area Supervisor

Date: _____

By: _____
Carie Otto, West Area Manager

Date: _____

By: _____
Keli Radford, Services and Supports Director

Date: _____

By: _____
Larry Landauer, Executive Director

Date: _____



Summary of Information About Persons Served - May 2021

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,231	16%
Children - Ages Three to 17 Receiving Lanterman Services	7,097	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	499
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,721	61%
Epilepsy	2,884	15%
Cerebral Palsy	2,599	13%
Autism	8,306	42%
Fifth Category*	1,615	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	381
Early Start / Under Age Three / 45 days to complete determination	317
Lanterman / Over Age Three / 120 days to complete determination	64

NUMBER OF PERSONS DETERMINED ELIGIBLE	22
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	18
<ul style="list-style-type: none"> • Number of children who received Early Start services 	28
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	17
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	4

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	167
Children - Age Three No Longer Eligible for Early Start Services	167
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

MAY 2021 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2020-21

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	0	0	1	5	3	5
Unannounced	0	0	8	4	2	4
Total Number of Reviews	0	0	9	9	5	9

Provider Trainings	0	0	0	0	0	0
Technical Support	79	73	36	62	52	65
Corrective Action Plans	2	1	1	4	0	1
Special Incident Investigations*	23	15	24	13	15	18
COVID-19 Checklist	101	85	71	81	62	71

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	1	1	1	1	22		40
Unannounced	6	4	20	8	37		93
Total Number of Reviews	7	5	21	9	59	0	133

Provider Trainings	0	0	0	0	0		0
Technical Support	52	56	74	53	120		722
Corrective Action Plans	0	1	0	0	0		10
Special Incident Investigations*	7	14	17	37	12		195

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

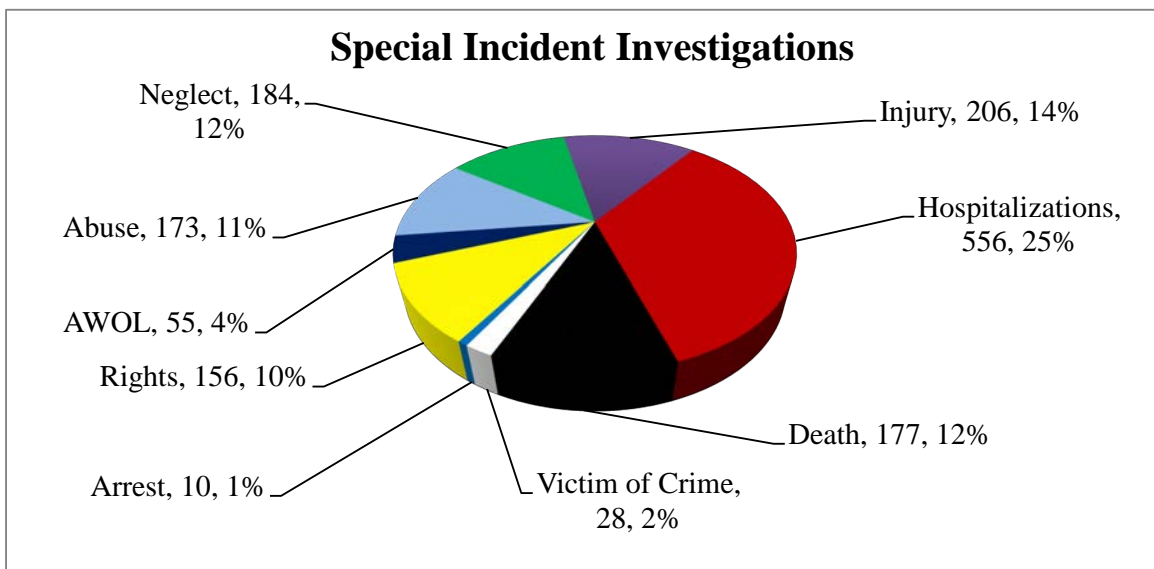
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
 - (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
 - (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
 - (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
- (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2020-21

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	4	0	9	5	7
Abuse	21	29	14	15	13	12
Neglect	23	18	12	17	15	19
Injury	8	20	21	16	18	17
Hospitalizations - Total	54	40	36	37	41	52
<i>Psychiatric</i>	10	8	7	8	6	6
<i>Medical</i>	44	32	29	29	35	46
Death	12	15	6	13	17	35
Victim of crime	2	2	0	5	3	1
Arrest	4	1	1	0	1	0
Rights	11	5	46	14	20	7
Total	140	134	136	126	133	150

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	4	7	6	8	0		55
Abuse	11	5	18	18	17		173
Neglect	15	11	24	14	16		184
Injury	17	18	25	22	24		206
Hospitalizations - Total	70	58	52	45	34		519
<i>Psychiatric</i>	6	2	11	10	5		79
<i>Medical</i>	64	56	41	35	29		440
Death	28	19	15	11	6		177
Victim of Crime	4	0	4	5	2		28
Arrest	0	1	0	0	2		10
Rights	7	4	13	26	3		156
Total	156	156	157	149	104	104	1,508



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2020-21

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	1	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	0	0	1	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	1	0	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$342.48	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	1	3	0	0	1		5
Staffing	0	0	5	0	0		5
Level 4I Consultant	0	0	2	0	0		2
P&I (consumer funds)	0	2	0	0	2		4
Total Number of Audits	1	5	7	0	3	0	16

Number of Appeals / Recoveries

State Appeal	0	0	0	0	0		0
Recovery	1	5	7	0	0		13

Audit Findings (Dollar Amount)

Amount of Recovery	\$197.54	\$2,464.27	\$19,706.03	\$0.00	\$6,256.54		\$28,966.86
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2020-21

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	118	123	134	126	124	116
Diapers - Family Member	1	0	0	0	0	0
Nursing Service - Family Member	64	66	65	63	65	65
Respite Service - Family Member	539	579	584	583	599	591
Transportation - Family Member	144	141	130	123	118	115
<i>Total Number of Voucher Authorizations</i>	<i>866</i>	<i>909</i>	<i>913</i>	<i>895</i>	<i>906</i>	<i>887</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	114	115	117	117	111	
Diapers - Family Member	0	0	0	0	0	
Nursing Service - Family Member	67	67	69	70	68	
Respite Service - Family Member	601	600	625	627	626	
Transportation - Family Member	110	108	106	110	107	
<i>Total Number of Voucher Authorizations</i>	<i>892</i>	<i>890</i>	<i>917</i>	<i>924</i>	<i>912</i>	<i>0</i>

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2020-21

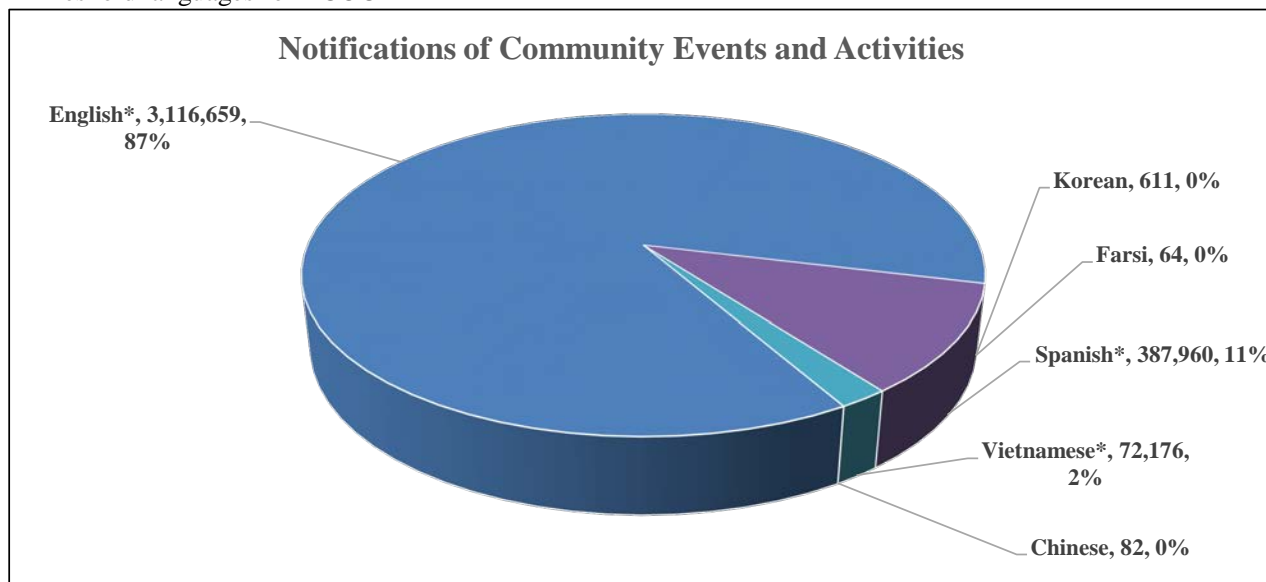
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	366,027	256,675	156,079	319,106	304,436	259,368
Farsi						
Korean						
Spanish*	48,802	25,874	17,168	51,804	28,192	31,505
Vietnamese*	12,469	9,091	2,504	4,596	4,901	5,765
Total Number of Notifications	427,298	291,640	175,751	375,506	337,529	296,638

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	308,530	233,364	326,876	291,437	294,761		3,116,659
Farsi					64 (Arabic)		64
Korean				611			611
Spanish*	24,155	35,909	44,341	39,118	41,092		387,960
Vietnamese*	5,065	7,406	10,451	9,070	858		72,176
Chinese				82 Cambodian	82		82
Total Number of Notifications	337,750	276,679	381,668	340,318	336,857	0	3,577,470

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2020-21

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		3	6	6	5	2
Spanish	1	4	1	1		
Vietnamese	2	2				
Other Languages						
In Print						
English	1		1	1	1	
Spanish					1	
Vietnamese				1	1	
Other Languages						
TV / Radio						
English			1			1
Spanish						
Vietnamese						
Other Languages						
Total Number of Outreach Events	4	9	9	9	8	3

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	2	10	5	7			46
Spanish			2	3	2		14
Vietnamese			3	2			9
Other Languages							0
In Print							
English		2	9	3			18
Spanish			1				2
Vietnamese				1	1		4
Other Languages							0
TV / Radio							
English							2
Spanish							0
Vietnamese					5		5
Other Languages							0
Total Number of Outreach Events	2	12	20	16	13	0	104

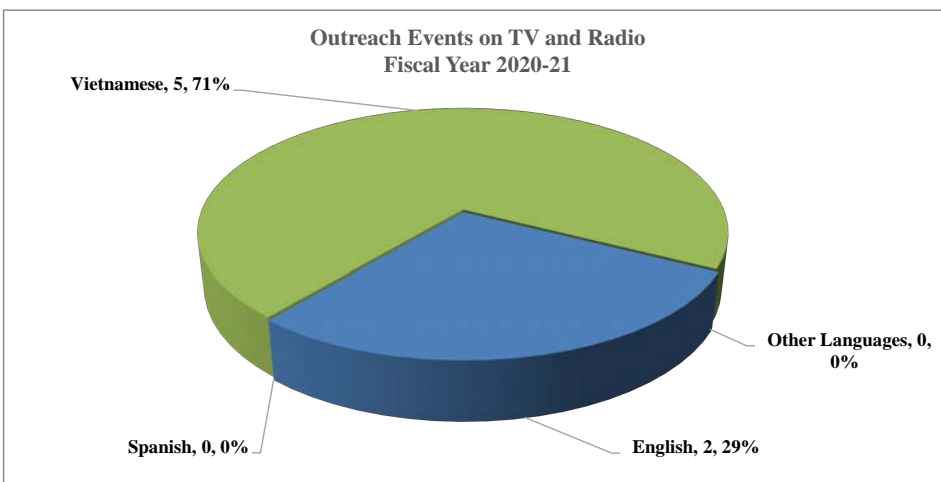
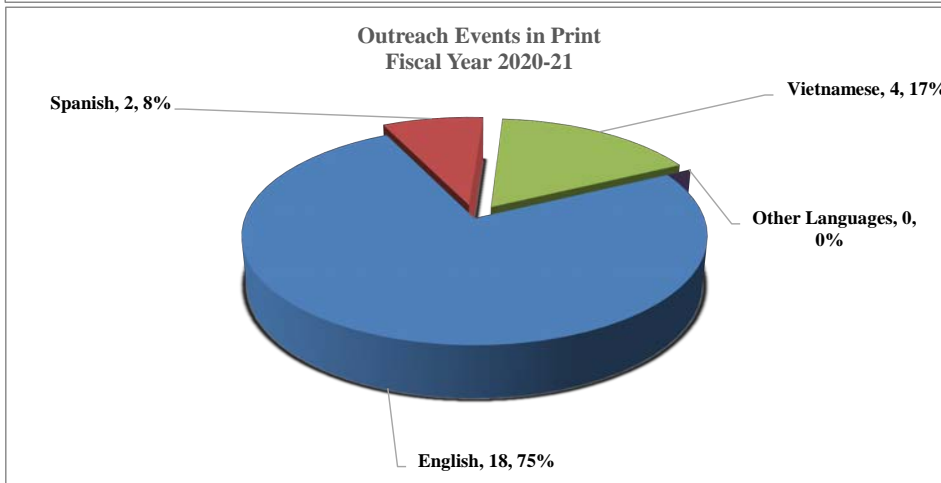
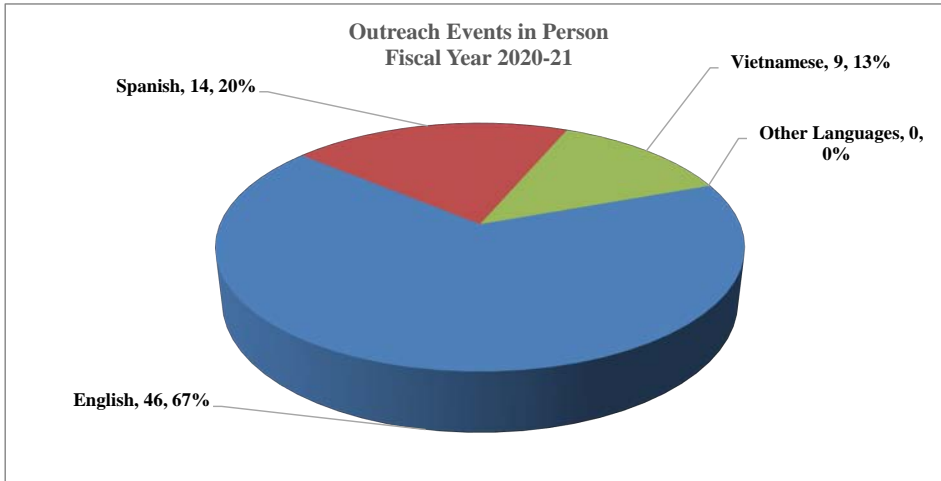
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2020-21



EARLY INTERVENTION / PREVENTION

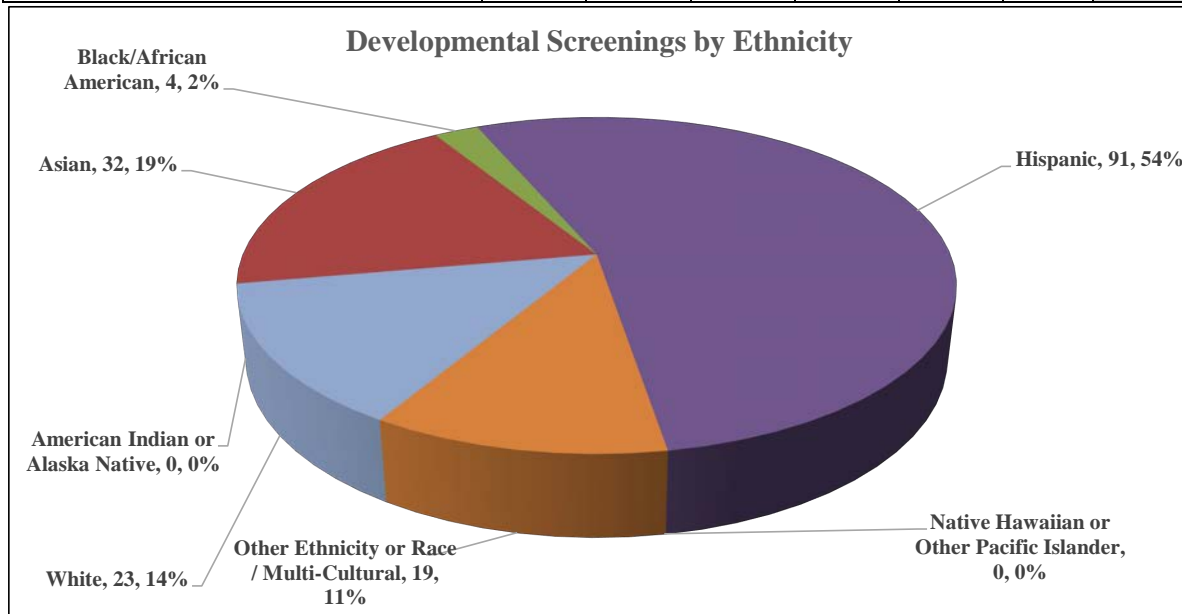
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2020-21

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	1	4	0	1	0	0
Black/African American	0	0	0	3	0	0
Hispanic	5	14	0	21	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	2	2	0	8	0	0
White	1	6	0	3	0	0
Total Number Screened	9	26	0	36	0	0
Total Number Referred to RCOC	6	15	0	14	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0	0		0
Asian	2	3	0	5	16		32
Black/African American	0	0	0	0	1		4
Hispanic	4	13	0	27	7		91
Native Hawaiian or Other Pacific Islander	0	0	0	0	0		0
Other Ethnicity or Race / Multi-Cultural	0	3	0	1	3		19
White	4	0	0	4	5		23
Total Number Screened	10	19	0	37	32	0	169
Total Number Referred to RCOC	0	5	0	6	9		55

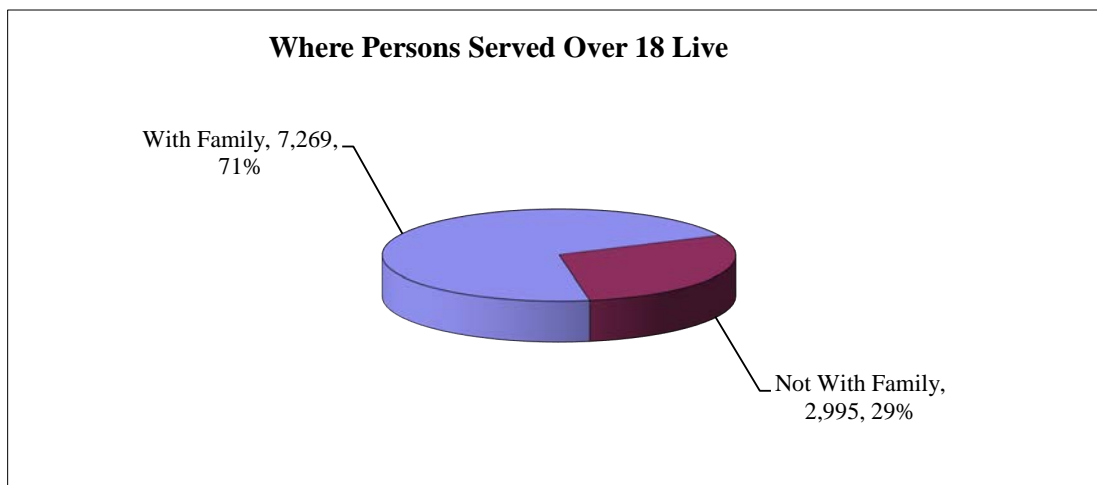
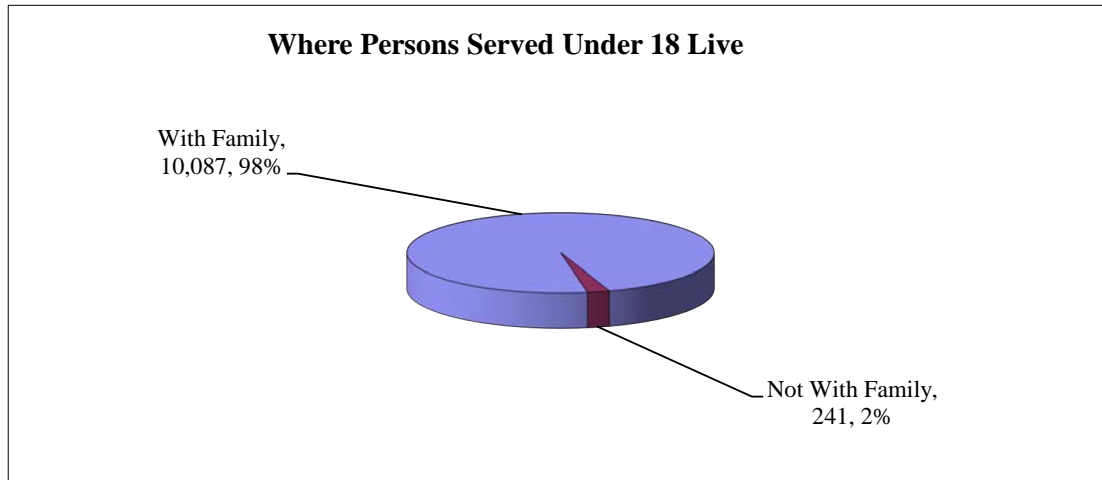


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

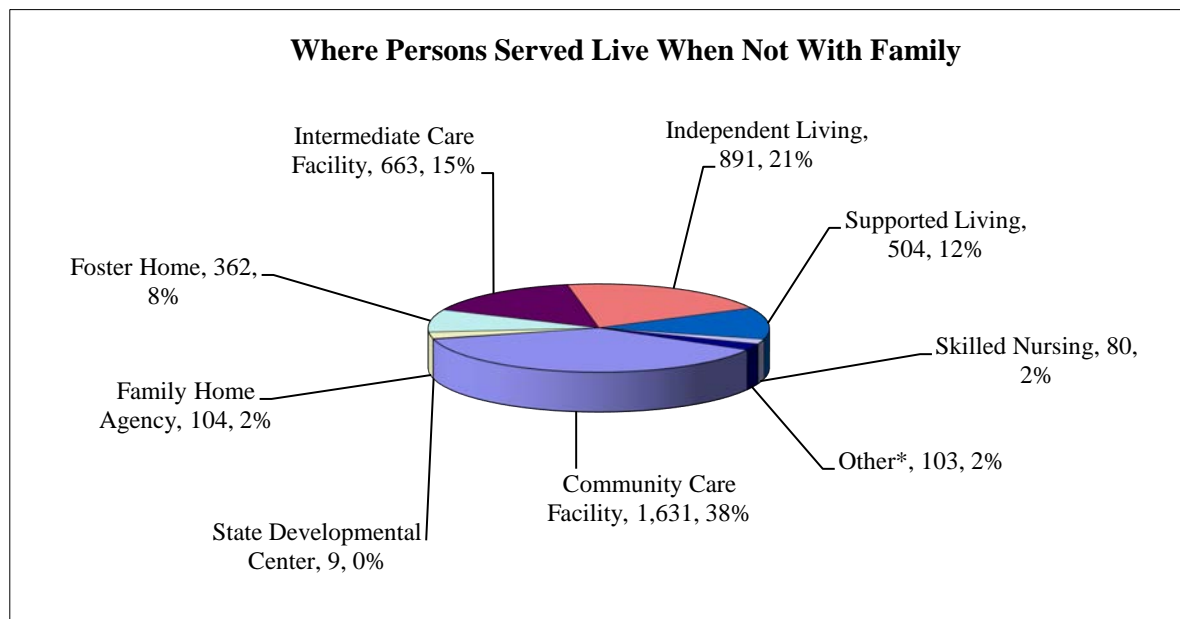
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,463	10,164	8,299
Community Care Facility	1,631	33	1,598
State Developmental Center	9	0	9
Family Home Agency	104	0	104
Foster Home	362	242	7
Intermediate Care Facility	663	4	659
Independent Living	891	0	891
Supported Living	504	0	504
Skilled Nursing	80	0	80
Other*	103	26	77
Total	22,810	10,469	12,228

Other*			
Acute General Hospital	4	0	4
California Youth Authority	0	0	0
Community Treatment	3	1	2
Correctional Institution	0	0	0
County Jail	2	1	1
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	10	2	8
Rehabilitation Center	5	1	4
SDC / State Hospital	0	0	0
Sub-Acute	47	22	25
Transient / Homeless	14	0	14
Total, Other*	88	27	61



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

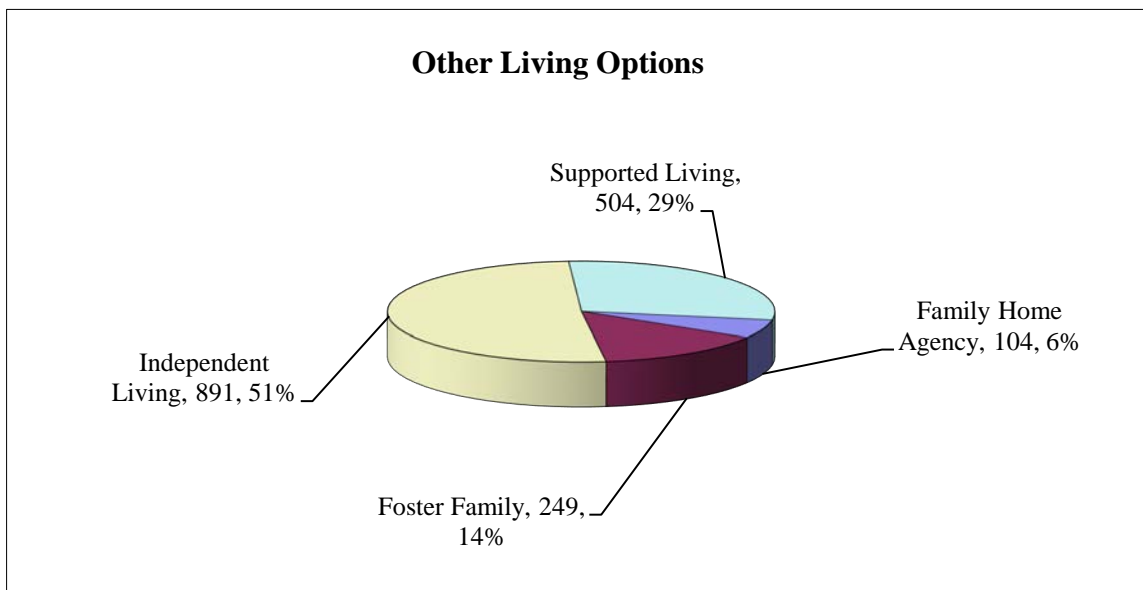
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	104	0	104
Foster Family	249	242	7
Independent Living	891	0	891
Supported Living	504	0	504
Total	1,748	242	1,506



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2020-21

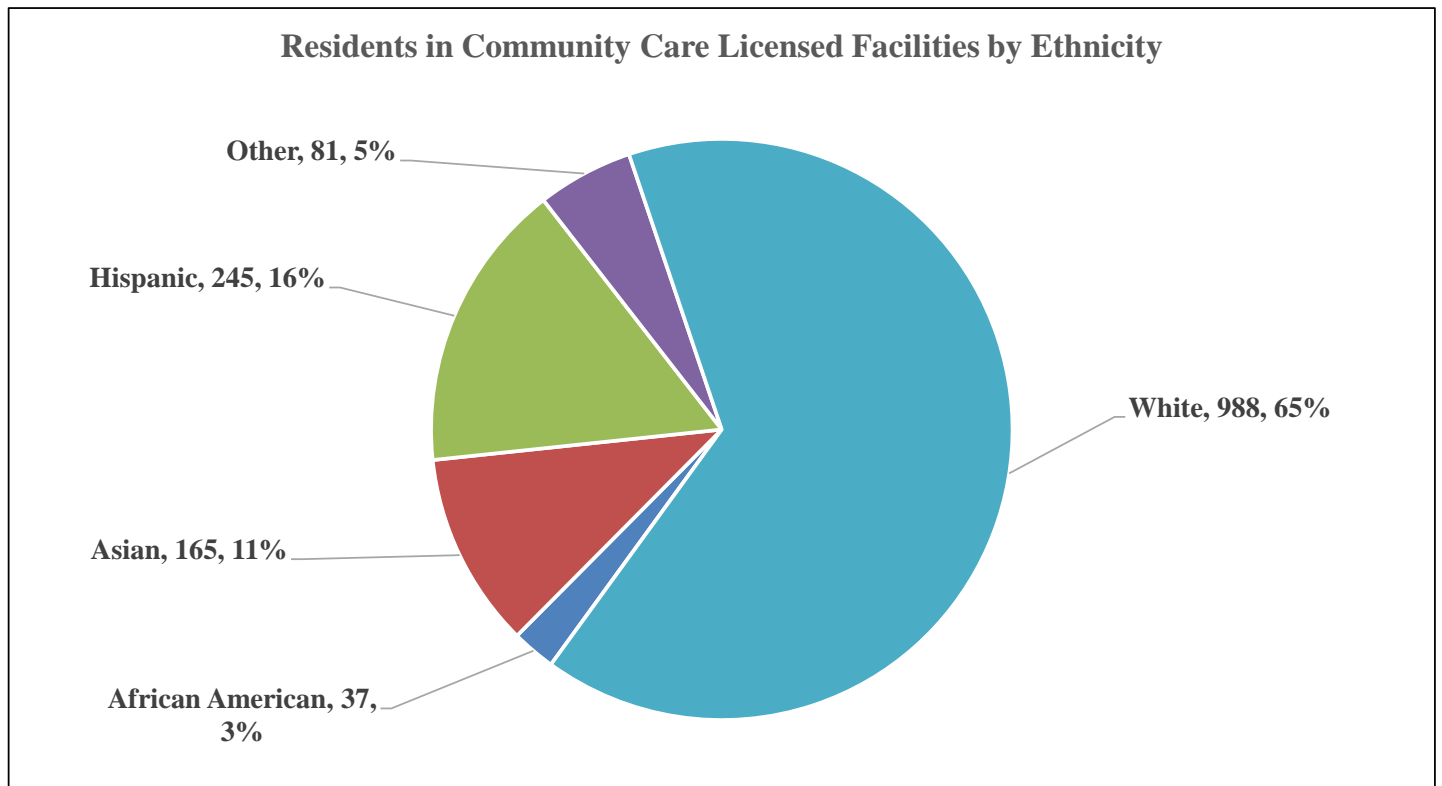
Licensed Facilities	Total	Over 18	Under 18
Level 2	227	227	0
Level 3	297	297	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	50	50	0
Level 4D	37	37	0
Level 4E	23	23	0
Level 4F	66	66	0
Level 4G	33	33	0
Level 4H	4	4	0
Level 4I	338	331	7
Elderly	1	1	0
ICF/DD-H	4	4	0
ICF/DD-N	6	6	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,125	1,118	7

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	227	227	0
Level 3	297	297	0
Level 4	590	583	7
ICF/DD-H	4	4	0
ICF/DD-N	6	6	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,125	1,118	7

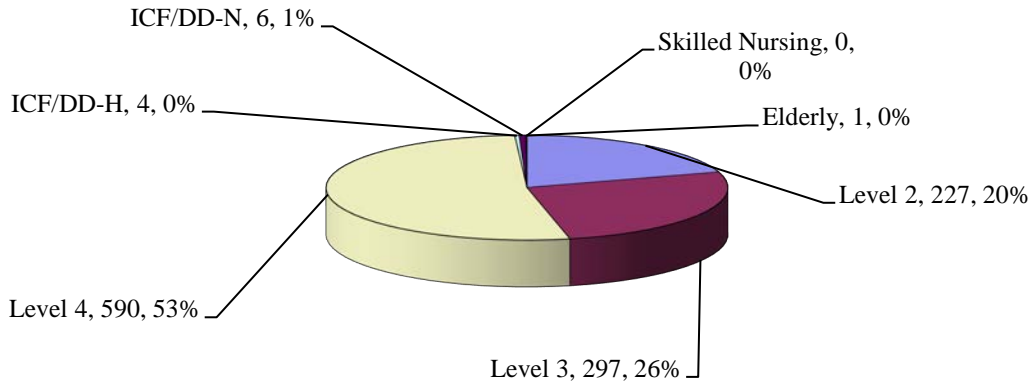
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2020-21

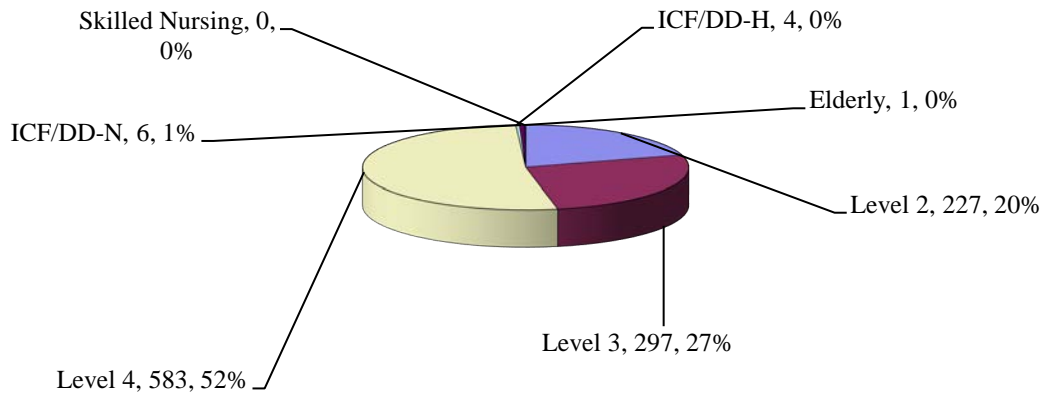
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	28	50	12	241	337
Level 3	13	32	63	19	253	380
Level 4A	0	5	5	2	23	35
Level 4B	0	1	0	0	8	9
Level 4C	1	9	9	2	51	72
Level 4D	0	8	7	2	30	47
Level 4E	0	3	8	3	19	33
Level 4F	3	11	10	1	46	71
Level 4G	0	7	4	2	33	46
Level 4H	0	0	3	1	2	6
Level 4I	14	61	86	37	282	480
Total	37	165	245	81	988	1,516



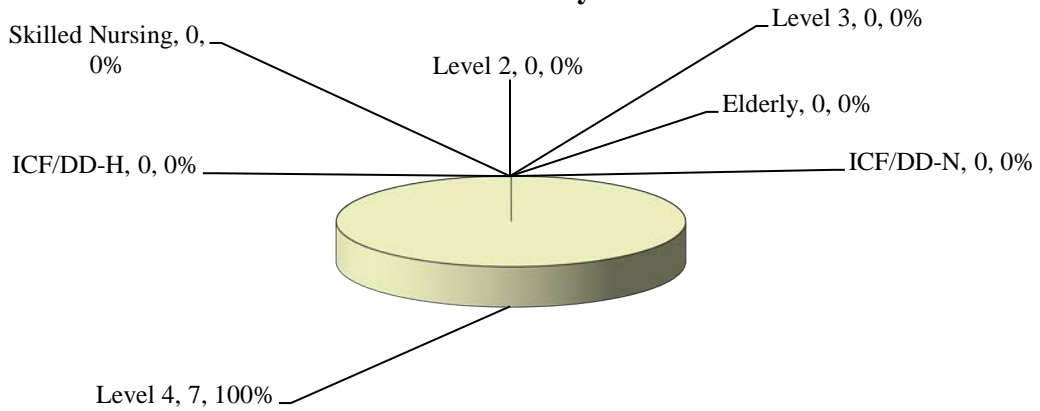
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

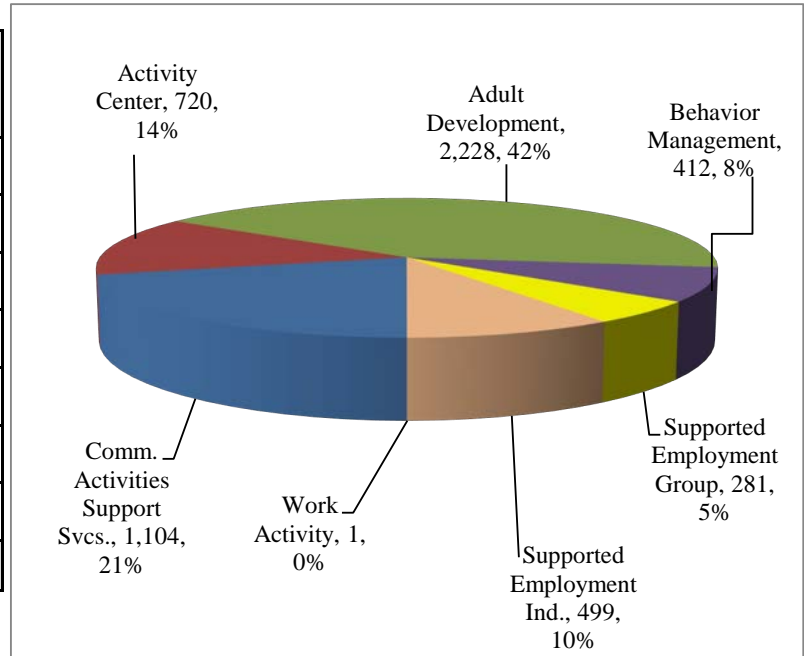


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,104
Activity Center	720
Adult Development	2,228
Behavior Management	412
Supported Employment Group	281
Supported Employment Ind.	499
Work Activity	1
Total	5,245



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2020-21

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	307.0	305.0	304.0	301.0	300.0	299.0
Number of Case-Carrying SCs	277.3	275.3	274.3	271.3	270.3	269.3
Number of Intake SCs	29.7	29.7	29.7	29.7	29.7	29.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,336	22,330	22,335	22,354	22,381	22,355
Caseload Ratio, # of Active Persons Served/SCs	80.5	81.1	81.4	82.4	82.8	83.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	298.9	296.8	293.6	291.9	288.0	
Number of Case-Carrying SCs	270.2	269.2	266.0	265.2	261.4	
Number of Intake SCs	28.7	27.7	27.7	26.7	26.7	
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	
Number of Active Persons Served	22,325	22,304	22,443	22,584	22,660	
Caseload Ratio, # of Active Persons Served/SCs	82.6	82.9	84.4	85.2	86.7	

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2020-21

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	20	27	28	28	25	27	24	26	24	23	
Eligibility - Lanterman	8	7	8	6	6	3	0	1	1	2	1	
Behavioral services	2	1	1	2	3	2	2	6	4	3	5	
Respite	4	6	9	9	7	10	5	4	4	4	3	
Day Care	1	1	1	0	0	0	11	0	0	0	0	
ILS/SLS	0	0	0	0	0	0	0	0	0	0	0	
Personal Assistance	0	1	1	1	2	1	1	3	4	4	3	
Other**	3	4	7	10	10	9	8	10	13	11	11	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	10	6	11	7	4	5	5	8	9	2	3	
Eligibility - Lanterman	4	1	3	0	1	0	0	1	0	1	0	
Eligibility - Early Start	0	0	0	0	0	0	0	0	0	0	0	
Behavioral services	2	0	1	1	1	0	3	0	0	0	2	
Respite	2	1	4	2	1	5	2	0	2	0	0	
Day Care	0	0	1	0	0	0	0	0	0	0	0	
Social/Recreational	0	0	0	0	0	0	0	0	0	0	0	
Personal Assistance	0	1	0	0	1	0	0	0	2	1	0	
Other**	2	3	2	4	0	0	0	7	5	0	1	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	2	8	5	6	7	2	5	5	9	6	7	
Number of Informal Meetings Held	2	4	3	4	2	2	2	2	7	0	1	
Number of Mediations Held	0	2	1	1	4	0	2	2	1	0	1	
Number of SLFHs Held	0	2	1	1	1	0	1	1	1	6	5	

Number of Requests in Scheduling*	3	7	16	18	17	20	13	14	14	12	10	
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	2	0	1	0	0	0	0	2	3	1	
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	3	3	6	3	4	3	9	5	1	3	5	
Withdrawn by Person Served/Family	0	0	0	0	1	0	0	0	0	0	3	
Settled in Informal	2	2	1	0	0	0	0	0	0	0	0	
Settled after further follow-up by RCOC	1	0	2	2	2	3	6	3	0	2	0	
Settled in Mediation	0	1	0	1	0	0	2	2	0	0	0	
SLFH Decision	0	0	3	0	1	0	1	0	1	1	2	

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	1	0	0	0	0	0	0	0	0	
RCOC	0	0	2	0	1	0	0	0	1	1	2	
Split	0	0	0	0	0	0	1	0	0	0	0	

ADMINISTRATION AND GOVERNANCE

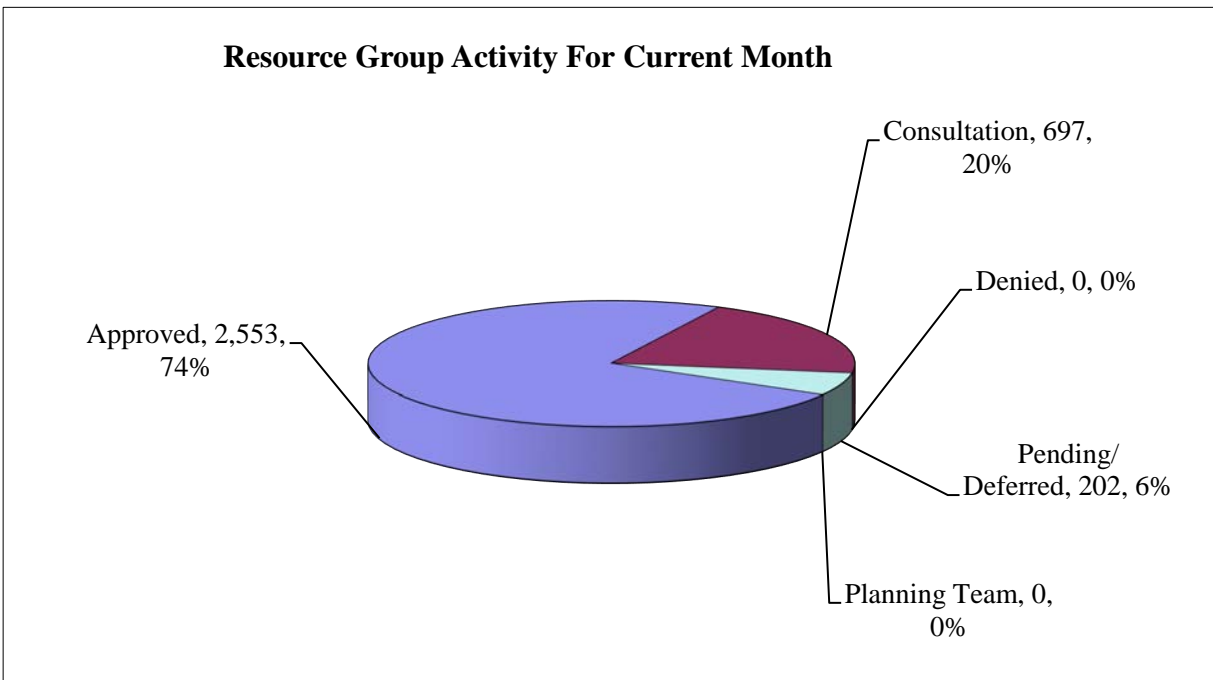
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for May 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	462	214	0	34	0	710
Behavioral	98	45	0	8	0	151
Education	0	2	0	0	0	2
Eligibility/Health	68	0	0	3	0	71
Early Start	674	103	0	70	0	866
Living Options	188	138	0	3	0	329
Supported/Ind.	309	103	0	35	0	447
All Others	754	92	0	49	0	895
Monthly Total	2,553	697	0	202	0	4,798

FY 2020-21 Total to Date	35,478	8,900	0	2,163	0	50,965
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Operations Report Summary - May 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,231	8,405	8,947	9	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,463	10,164	8,299
Community Care Facility	1,631	33	1,598
State Developmental Center	9	0	9
Family Home Agency	104	0	104
Foster Home	249	242	7
Intermediate Care Facility	663	4	659
Independent Living	891	0	891
Supported Living	504	0	504
Skilled Nursing	80	0	80
Other	103	26	77
Total	22,697	10,469	12,228

Special Incident Investigations	Year to Date
AWOL	55
Abuse	173
Neglect	184
Injury	206
Hospitalizations - Total	519
Death	177
Victim of crime	28
Arrest	10
Rights	156
Total	1,508

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	79	0	79
Level 3	80	0	80
Level 4	174	11	163
Total Community Care Facilities	333	11	322

Licensed Facility Monitoring	Year to Date
Annual Review	40
Unannounced	93
Total Number of Reviews	133
Provider Trainings	0
Technical Support	722
Corrective Action Plans	10

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
Total ICF Facilities	123

Number of Audits	16
Amount of Recovery from Audits	\$28,967

Total Licensed Facilities	456
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Summary of Information About Persons Served - June 2021

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,296	16%
Children - Ages Three to 17 Receiving Lanterman Services	7,032	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	497
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,703	60%
Epilepsy	2,891	15%
Cerebral Palsy	2,590	13%
Autism	8,364	43%
Fifth Category*	1,623	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	393	
Early Start / Under Age Three / 45 days to complete determination	341	87%
Lanterman / Over Age Three / 120 days to complete determination	52	13%

NUMBER OF PERSONS DETERMINED ELIGIBLE	26	
Children - Birth to Age Three Eligible for Early Start Services	0	
Children and Adults - Ages Three and Older Eligible for Lanterman Services	24	
• Number of children who received Early Start services	36	
• Number of children who received Early Start services and had a diagnosis of autism	25	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	2	

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	164	
Children - Age Three No Longer Eligible for Early Start Services	164	
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0	

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JUNE 2021 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2020-21

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	0	0	1	5	3	5
Unannounced	0	0	8	4	2	4
Total Number of Reviews	0	0	9	9	5	9

Provider Trainings	0	0	0	0	0	0
Technical Support	79	73	36	62	52	65
Corrective Action Plans	2	1	1	4	0	1
Special Incident Investigations*	23	15	24	13	15	18
COVID-19 Checklist	101	85	71	81	62	71

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	1	1	1	1	22	43	83
Unannounced	6	4	20	8	37	45	138
Total Number of Reviews	7	5	21	9	59	88	221

Provider Trainings	0	0	0	0	0	0	0
Technical Support	52	56	74	53	120	114	836
Corrective Action Plans	0	1	0	0	0	0	10
Special Incident Investigations*	7	14	17	37	12	21	216

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

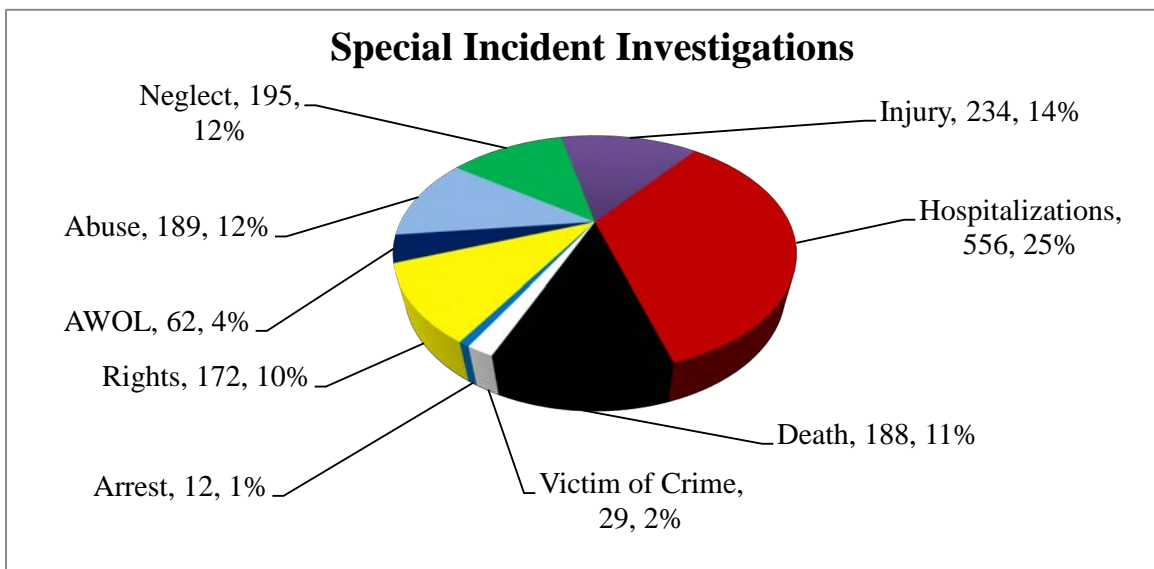
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2020-21

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5	4	0	9	5	7
Abuse	21	29	14	15	13	12
Neglect	23	18	12	17	15	19
Injury	8	20	21	16	18	17
Hospitalizations - Total	54	40	36	37	41	52
<i>Psychiatric</i>	10	8	7	8	6	6
<i>Medical</i>	44	32	29	29	35	46
Death	12	15	6	13	17	35
Victim of crime	2	2	0	5	3	1
Arrest	4	1	1	0	1	0
Rights	11	5	46	14	20	7
Total	140	134	136	126	133	150

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	4	7	6	8	0	7	62
Abuse	11	5	18	18	17	16	189
Neglect	15	11	24	14	16	11	195
Injury	17	18	25	22	24	28	234
Hospitalizations - Total	70	58	52	45	34	49	568
<i>Psychiatric</i>	6	2	11	10	5	10	89
<i>Medical</i>	64	56	41	35	29	39	479
Death	28	19	15	11	6	11	188
Victim of Crime	4	0	4	5	2	1	29
Arrest	0	1	0	0	2	2	12
Rights	7	4	13	26	3	16	172
Total	156	156	157	149	104	134	1,649



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2020-21

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	1	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	0	0	1	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	1	0	0

Audit Findings (Dollar Amount)

Amount of Recovery	\$0.00	\$0.00	\$0.00	\$342.48	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	1	3	0	0	1	0	5
Staffing	0	0	5	0	0	0	5
Level 4I Consultant	0	0	2	0	0	0	2
P&I (consumer funds)	0	2	0	0	2	2	6
Total Number of Audits	1	5	7	0	3	2	18

Number of Appeals / Recoveries

State Appeal	0	0	0	0	0	0	0
Recovery	1	5	7	0	0	1	14

Audit Findings (Dollar Amount)

Amount of Recovery	\$197.54	\$2,464.27	\$19,706.03	\$0.00	\$6,256.54	\$50.00	\$29,016.86
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2020-21

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	118	123	134	126	124	116
Diapers - Family Member	1	0	0	0	0	0
Nursing Service - Family Member	64	66	65	63	65	65
Respite Service - Family Member	539	579	584	583	599	591
Transportation - Family Member	144	141	130	123	118	115
<i>Total Number of Voucher Authorizations</i>	<i>866</i>	<i>909</i>	<i>913</i>	<i>895</i>	<i>906</i>	<i>887</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	114	115	117	117	111	103
Diapers - Family Member	0	0	0	0	0	0
Nursing Service - Family Member	67	67	69	70	68	64
Respite Service - Family Member	601	600	625	627	626	623
Transportation - Family Member	110	108	106	110	107	106
<i>Total Number of Voucher Authorizations</i>	<i>892</i>	<i>890</i>	<i>917</i>	<i>924</i>	<i>912</i>	<i>896</i>

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2020-21

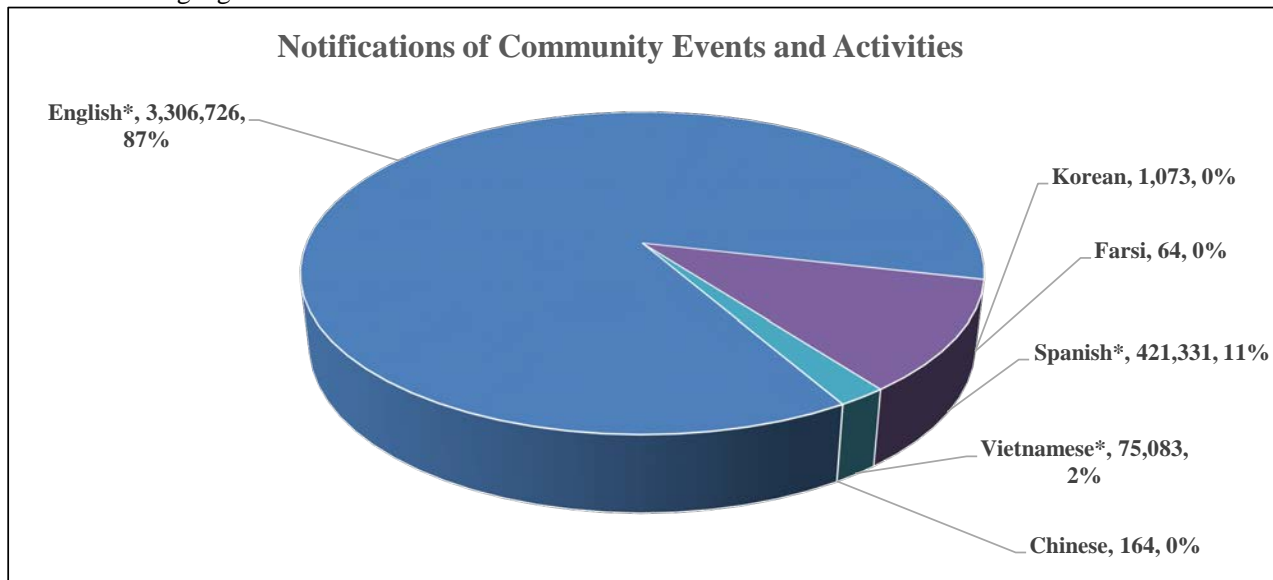
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	366,027	256,675	156,079	319,106	304,436	259,368
Farsi						
Korean						
Spanish*	48,802	25,874	17,168	51,804	28,192	31,505
Vietnamese*	12,469	9,091	2,504	4,596	4,901	5,765
Total Number of Notifications	427,298	291,640	175,751	375,506	337,529	296,638

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	308,530	233,364	326,876	291,437	294,761	190,067	3,306,726
Farsi					64 (Arabic)		64
Korean				611		462	1,073
Spanish*	24,155	35,909	44,341	39,118	41,092	33,371	421,331
Vietnamese*	5,065	7,406	10,451	9,070	858	2,907	75,083
Chinese				82 Cambodian	82		164
Total Number of Notifications	337,750	276,679	381,668	340,318	336,857	226,807	3,804,277

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2020-21

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		3	6	6	5	2
Spanish	1	4	1	1		
Vietnamese	2	2				
Other Languages						
In Print						
English	1		1	1	1	
Spanish					1	
Vietnamese				1	1	
Other Languages						
TV / Radio						
English			1			1
Spanish						
Vietnamese						
Other Languages						
Total Number of Outreach Events	4	9	9	9	8	3

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	2	10	5	7		8	54
Spanish			2	3	2	4	18
Vietnamese			3	2		3	12
Other Languages							0
In Print							
English		2	9	3			18
Spanish			1				2
Vietnamese				1	1		4
Other Languages							0
TV / Radio							
English							2
Spanish							0
Vietnamese					5	4	9
Other Languages							0
Total Number of Outreach Events	2	12	20	16	13	19	119

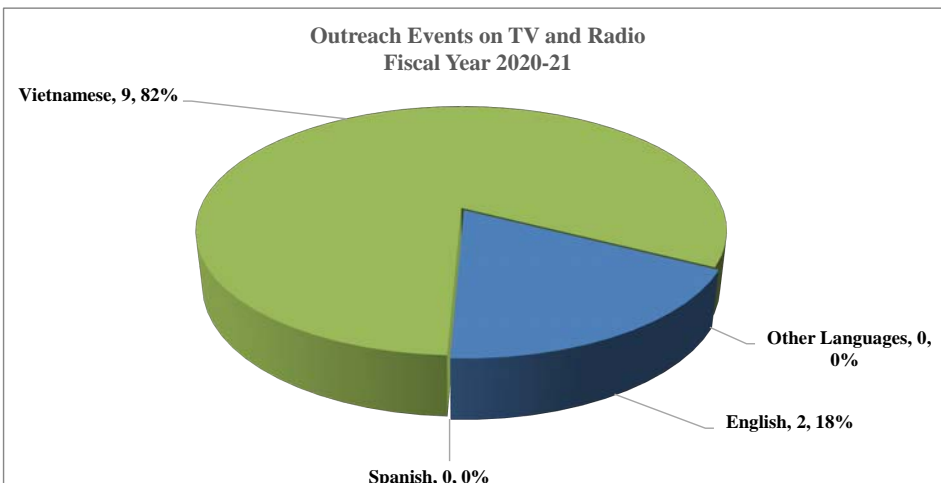
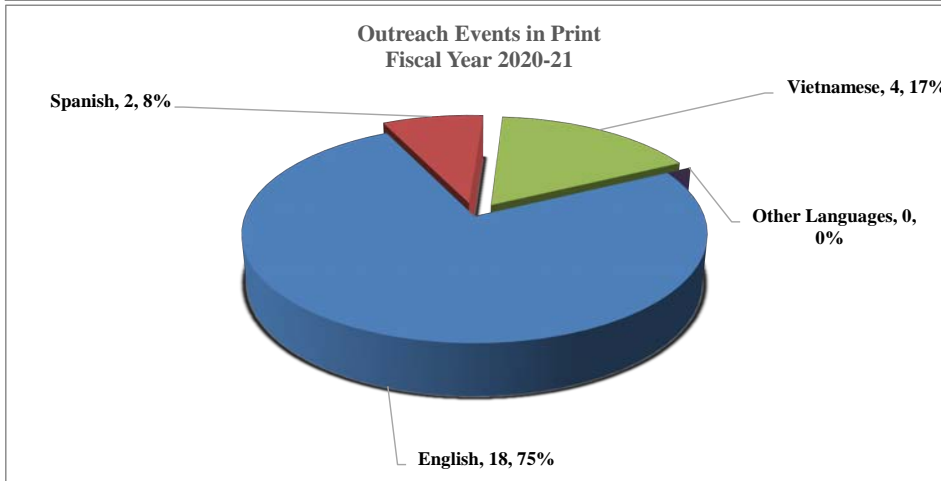
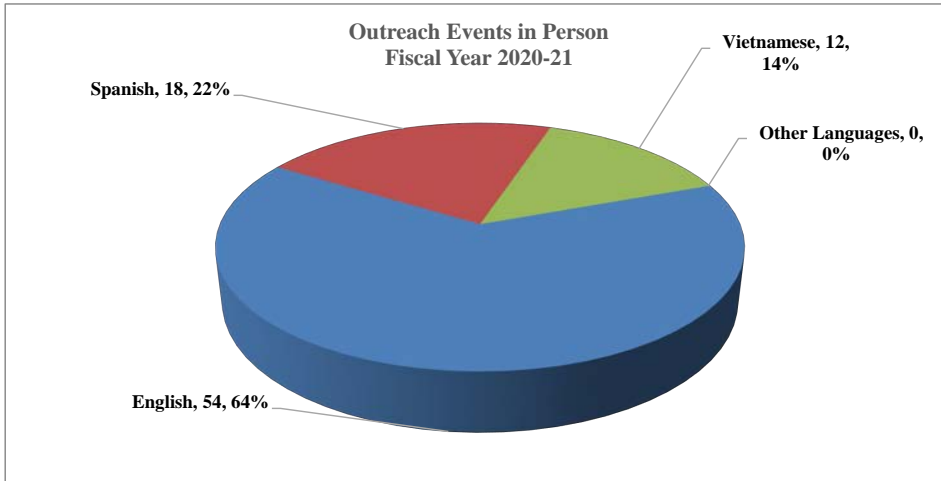
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2020-21



EARLY INTERVENTION / PREVENTION

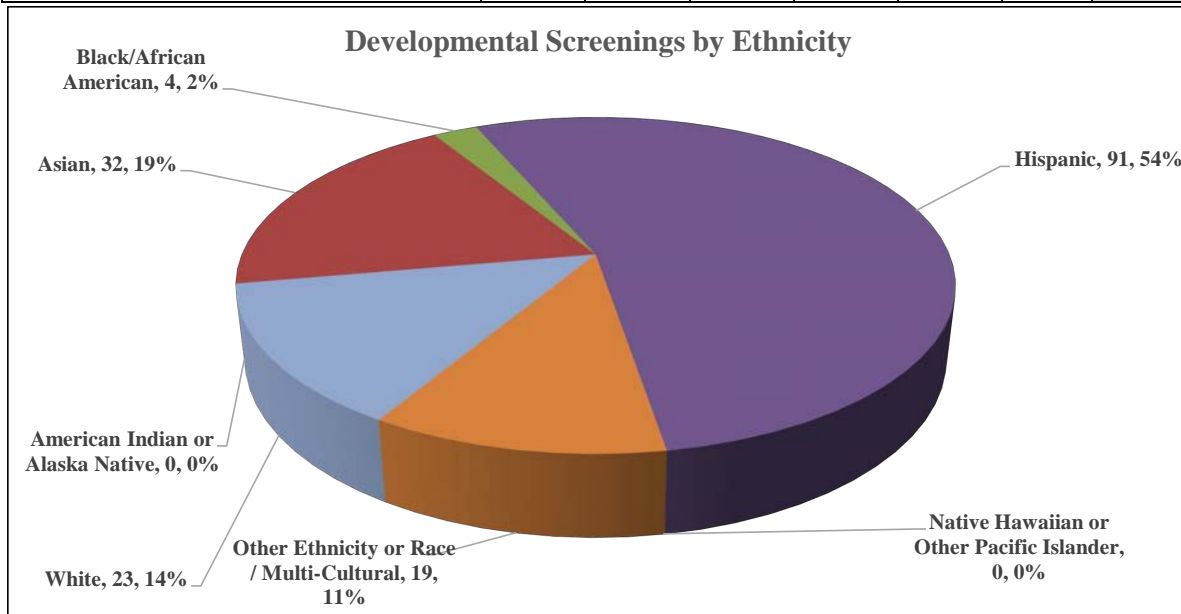
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2020-21

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	1	4	0	1	0	0
Black/African American	0	0	0	3	0	0
Hispanic	5	14	0	21	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	2	2	0	8	0	0
White	1	6	0	3	0	0
Total Number Screened	9	26	0	36	0	0
Total Number Referred to RCOC	6	15	0	14	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0	0	0	0
Asian	2	3	0	5	16	0	32
Black/African American	0	0	0	0	1	0	4
Hispanic	4	13	0	27	7	0	91
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	0	3	0	1	3	0	19
White	4	0	0	4	5	0	23
Total Number Screened	10	19	0	37	32	0	169
Total Number Referred to RCOC	0	5	0	6	9	0	55

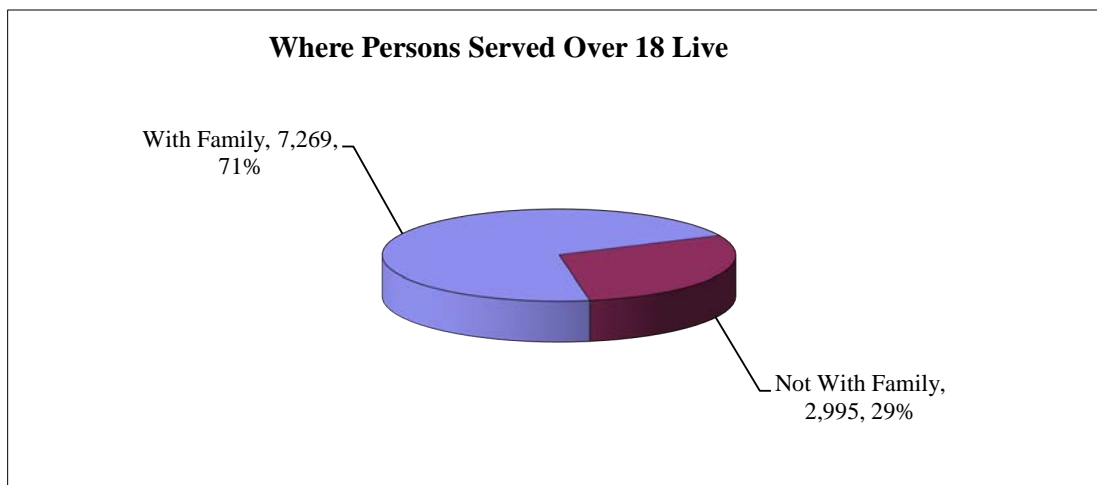
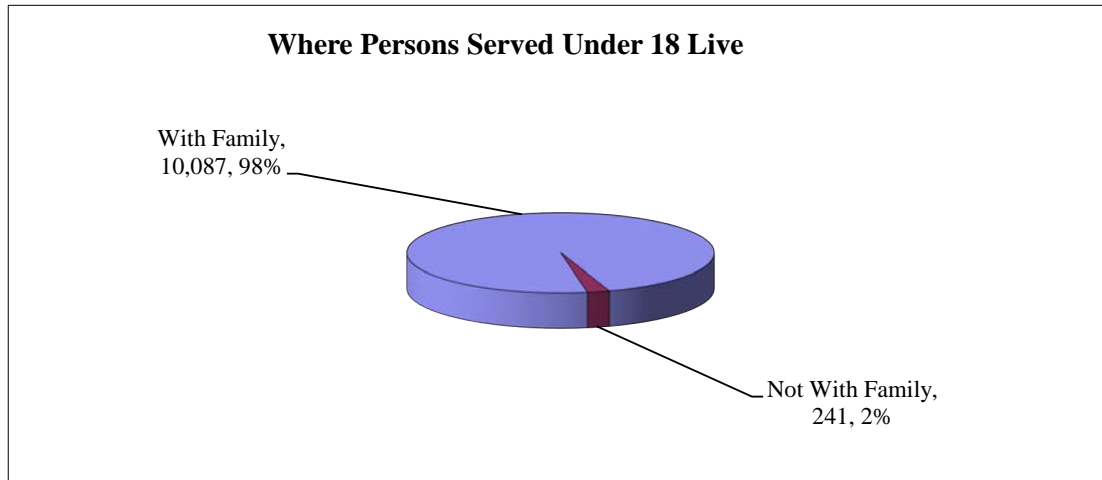


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

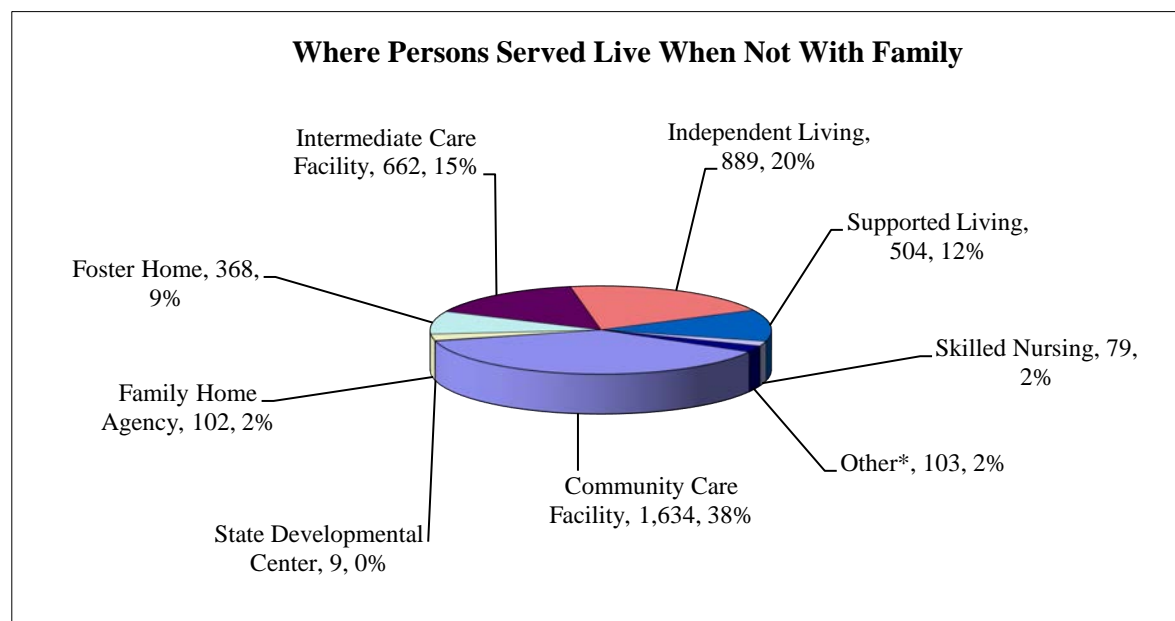
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,573	10,240	8,333
Community Care Facility	1,634	32	1,602
State Developmental Center	9	0	9
Family Home Agency	102	0	102
Foster Home	368	250	7
Intermediate Care Facility	662	4	658
Independent Living	889	0	889
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other*	103	26	77
Total	22,923	10,552	12,260

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	1	1	0
Correctional Institution	0	0	0
County Jail	2	1	2
Other	0	0	0
Out of State	3	0	3
Psychiatric Treatment	11	1	10
Rehabilitation Center	5	1	4
SDC / State Hospital	0	0	0
Sub-Acute	48	21	27
Transient / Homeless	14	0	14
Total, Other*	87	25	63



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

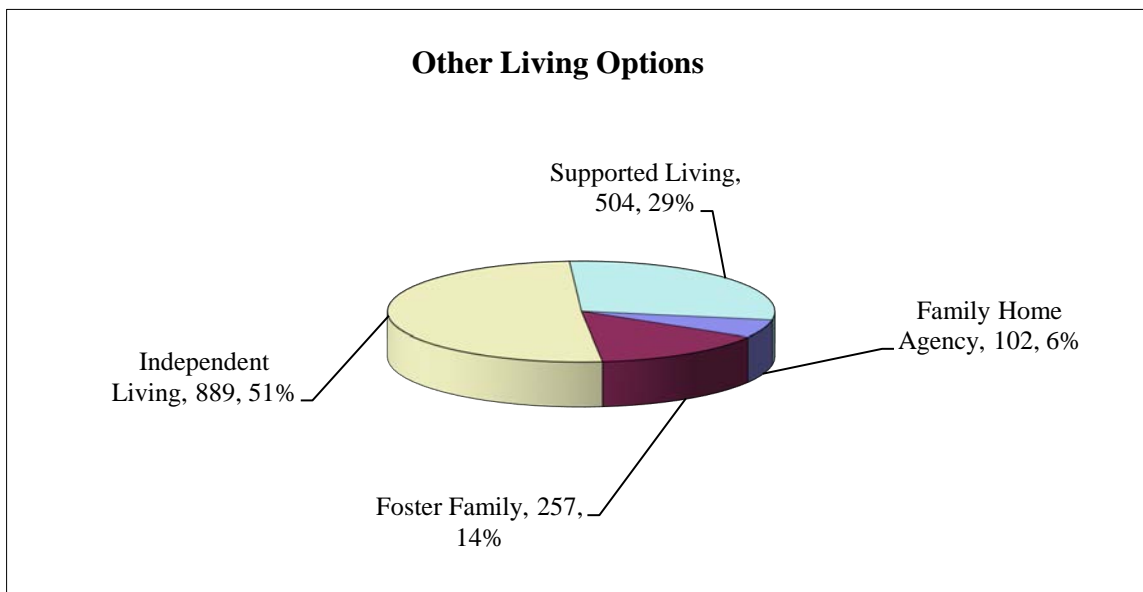
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	102	0	102
Foster Family	257	250	7
Independent Living	889	0	889
Supported Living	504	0	504
Total	1,752	250	1,502



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2020-21

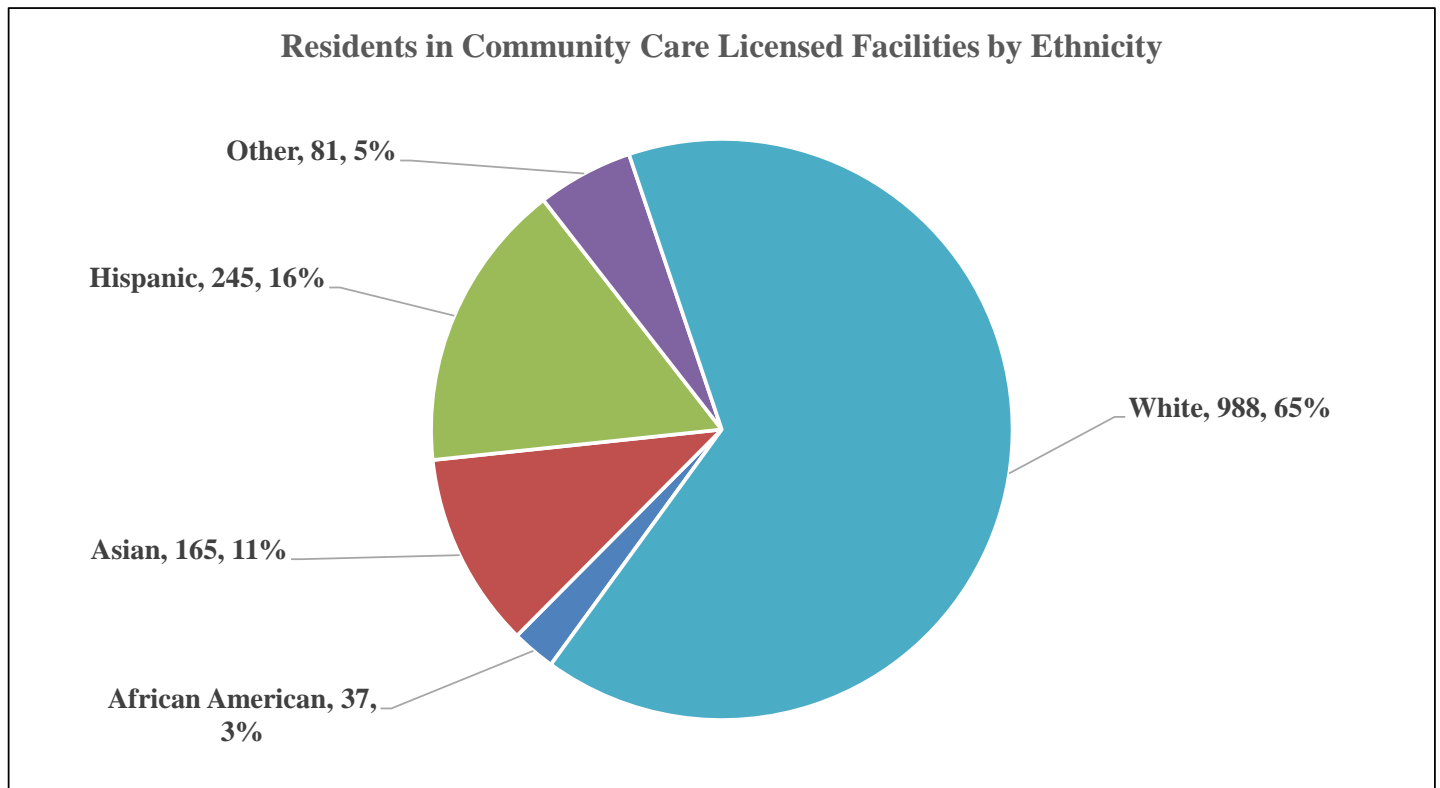
Licensed Facilities	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	293	293	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	49	49	0
Level 4D	37	37	0
Level 4E	23	23	0
Level 4F	65	65	0
Level 4G	32	32	0
Level 4H	4	4	0
Level 4I	338	332	6
Elderly	1	1	0
ICF/DD-H	6	6	0
ICF/DD-N	5	5	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,114	1,108	6

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	293	293	0
Level 4	587	581	6
ICF/DD-H	6	6	0
ICF/DD-N	5	5	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,114	1,108	6

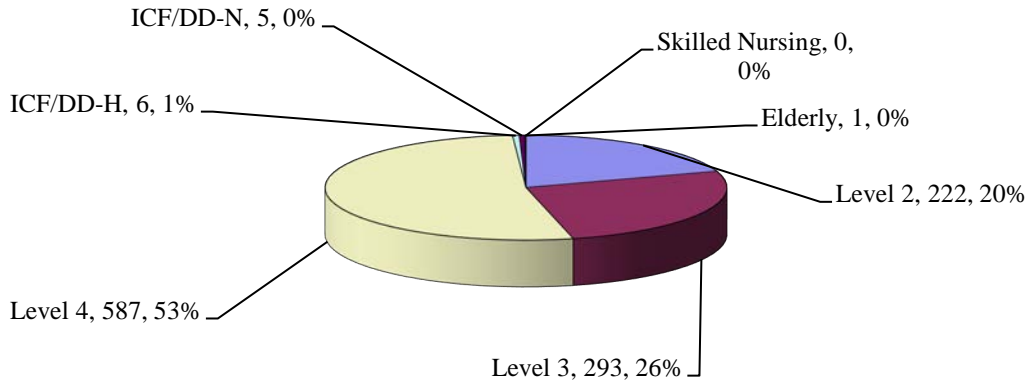
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2020-21

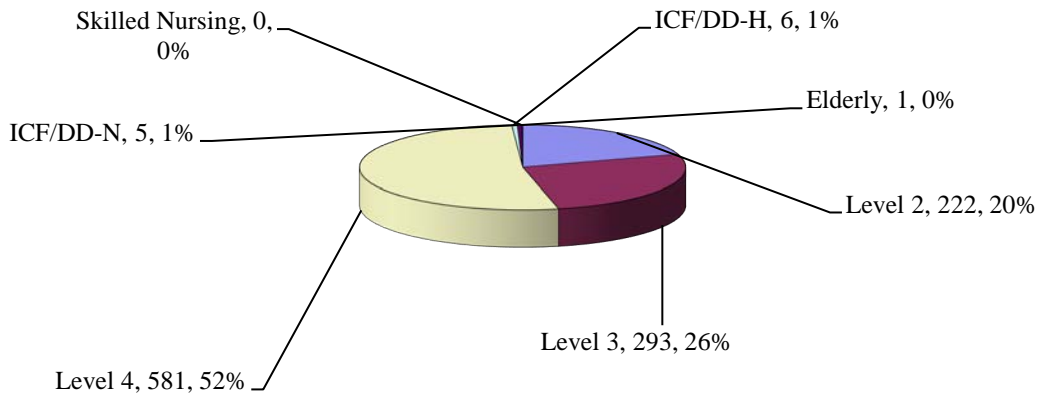
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	28	50	12	241	337
Level 3	13	32	63	19	253	380
Level 4A	0	5	5	2	23	35
Level 4B	0	1	0	0	8	9
Level 4C	1	9	9	2	51	72
Level 4D	0	8	7	2	30	47
Level 4E	0	3	8	3	19	33
Level 4F	3	11	10	1	46	71
Level 4G	0	7	4	2	33	46
Level 4H	0	0	3	1	2	6
Level 4I	14	61	86	37	282	480
Total	37	165	245	81	988	1,516



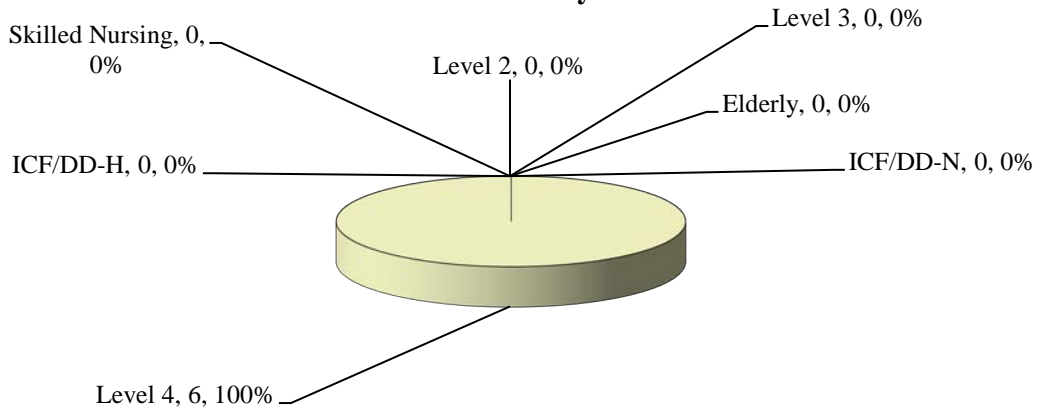
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

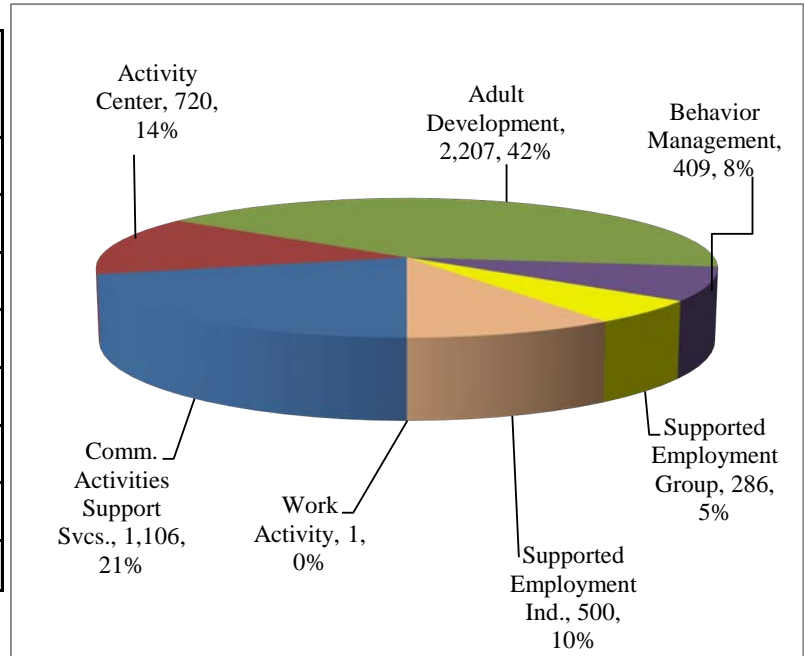


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,106
Activity Center	720
Adult Development	2,207
Behavior Management	409
Supported Employment Group	286
Supported Employment Ind.	500
Work Activity	1
Total	5,229



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2020-21

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	307.0	305.0	304.0	301.0	300.0	299.0
Number of Case-Carrying SCs	277.3	275.3	274.3	271.3	270.3	269.3
Number of Intake SCs	29.7	29.7	29.7	29.7	29.7	29.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,336	22,330	22,335	22,354	22,381	22,355
Caseload Ratio, # of Active Persons Served/SCs	80.5	81.1	81.4	82.4	82.8	83.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	298.9	296.8	293.6	291.9	288.0	306.8
Number of Case-Carrying SCs	270.2	269.2	266.0	265.2	261.4	280.5
Number of Intake SCs	28.7	27.7	27.7	26.7	26.7	25.7
Number of State Developmental Center SCs	0.0	0.0	0.0	0.0	0.0	0.0
Number of Active Persons Served	22,325	22,304	22,443	22,584	22,660	22,770
Caseload Ratio, # of Active Persons Served/SCs	82.6	82.9	84.4	85.2	86.7	81.2

SERVICE PLANNING AND COORDINATION continued

Fair Hearings
Fiscal Year 2020-21

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18	20	27	28	28	25	27	24	26	24	23	17
Eligibility - Lanterman	8	7	8	6	6	3	0	1	1	2	1	2
Behavioral services	2	1	1	2	3	2	2	6	4	3	5	6
Respite	4	6	9	9	7	10	5	4	4	4	3	1
Day Care	1	1	1	0	0	0	11	0	0	0	0	0
ILS/SLS	0	0	0	0	0	0	0	0	0	0	0	0
Personal Assistance	0	1	1	1	2	1	1	3	4	4	3	1
Other**	3	4	7	10	10	9	8	10	13	11	11	7

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	10	6	11	7	4	5	5	8	9	2	3	4
Eligibility - Lanterman	4	1	3	0	1	0	0	1	0	1	0	1
Eligibility - Early Start	0	0	0	0	0	0	0	0	0	0	0	0
Behavioral services	2	0	1	1	1	0	3	0	0	0	2	1
Respite	2	1	4	2	1	5	2	0	2	0	0	0
Day Care	0	0	1	0	0	0	0	0	0	0	0	0
Social/Recreational	0	0	0	0	0	0	0	0	0	0	0	0
Personal Assistance	0	1	0	0	1	0	0	0	2	1	0	0
Other**	2	3	2	4	0	0	0	7	5	0	1	2

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	2	8	5	6	7	2	5	5	9	6	7	6
Number of Informal Meetings Held	2	4	3	4	2	2	2	2	7	0	1	2
Number of Mediations Held	0	2	1	1	4	0	2	2	1	0	1	1
Number of SLFHs Held	0	2	1	1	1	0	1	1	1	6	5	3

Number of Requests in Scheduling*	3	7	16	18	17	20	13	14	14	12	10	6
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	2	0	1	0	0	0	0	2	3	1	2
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	3	3	6	3	4	3	9	5	1	3	5	3
Withdrawn by Person Served/Family	0	0	0	0	1	0	0	0	0	0	3	1
Settled in Informal	2	2	1	0	0	0	0	0	0	0	0	0
Settled after further follow-up by RCOC	1	0	2	2	2	3	6	3	0	2	0	1
Settled in Mediation	0	1	0	1	0	0	2	2	0	0	0	0
SLFH Decision	0	0	3	0	1	0	1	0	1	1	2	1

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0	0	1	0	0	0	0	0	0	0	0	0
RCOC	0	0	2	0	1	0	0	0	1	1	2	1
Split	0	0	0	0	0	0	1	0	0	0	0	0

ADMINISTRATION AND GOVERNANCE

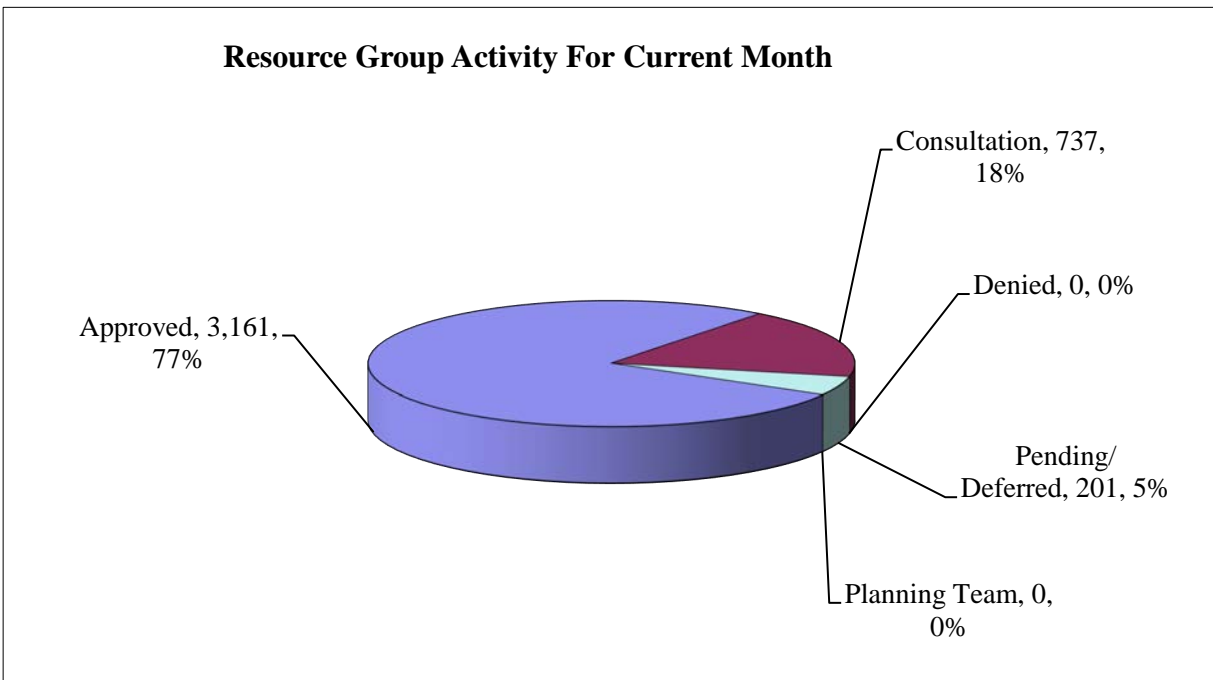
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for June 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	690	241	0	41	0	972
Behavioral	103	50	0	8	0	161
Education	0	0	0	0	0	0
Eligibility/Health	95	0	0	8	0	103
Early Start	723	90	0	56	0	866
Living Options	238	150	0	0	0	388
Supported/Ind.	333	123	0	31	0	487
All Others	979	83	0	57	0	1,119
Monthly Total	3,161	737	0	201	0	4,096

FY 2020-21 Total to Date	39,348	9,872	0	2,379	0	56,426
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Operations Report Summary - June 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,296	4,140	13,147	9	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>20%</i>	<i>64%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,573	10,240	8,333
Community Care Facility	1,634	32	1,602
State Developmental Center	9	0	9
Family Home Agency	102	0	102
Foster Home	257	250	7
Intermediate Care Facility	662	4	658
Independent Living	889	0	889
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other	103	26	77
Total	22,812	10,552	12,260

Special Incident Investigations	Year to Date
AWOL	62
Abuse	189
Neglect	195
Injury	234
Hospitalizations - Total	568
Death	188
Victim of crime	29
Arrest	12
Rights	172
Total	1,649

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	80	0	80
Level 4	177	12	165
Total Community Care Facilities	337	12	325

Licensed Facility Monitoring	Year to Date
Annual Review	83
Unannounced	138
Total Number of Reviews	221
Provider Trainings	0
Technical Support	836
Corrective Action Plans	10

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
Total ICF Facilities	123

Number of Audits	18
Amount of Recovery from Audits	\$29,017

Total Licensed Facilities	460
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Summary of Information About Persons Served - July 2021

NUMBER OF PERSONS SERVED	20,592	100%
Children - Birth to Age Three Receiving Early Start Services	3,384	16%
Children - Ages Three to 17 Receiving Lanterman Services	6,944	34%
Adults - Ages 18 and Older Receiving Lanterman Services	10,264	50%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	498
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,702	60%
Epilepsy	2,888	15%
Cerebral Palsy	2,587	13%
Autism	8,426	43%
Fifth Category*	1,635	8%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	388	
Early Start / Under Age Three / 45 days to complete determination	329	85%
Lanterman / Over Age Three / 120 days to complete determination	59	15%

NUMBER OF PERSONS DETERMINED ELIGIBLE	29	
Children - Birth to Age Three Eligible for Early Start Services	0	
Children and Adults - Ages Three and Older Eligible for Lanterman Services	29	
• Number of children who received Early Start services	37	
• Number of children who received Early Start services and had a diagnosis of autism	24	
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0	

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	148	
Children - Age Three No Longer Eligible for Early Start Services	148	
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0	

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JULY 2021 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2021-22

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	33					
Unannounced	59					
Total Number of Reviews	92	0	0	0	0	0

Provider Trainings	0	0	0	0	0	0
Technical Support	151					
Corrective Action Plans	0					
Special Incident Investigations*	26					
COVID-19 Checklist	0					

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							33
Unannounced							59
Total Number of Reviews	0	0	0	0	0	0	92

Provider Trainings	0	0	0	0			0
Technical Support							151
Corrective Action Plans							0
Special Incident Investigations*							26

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

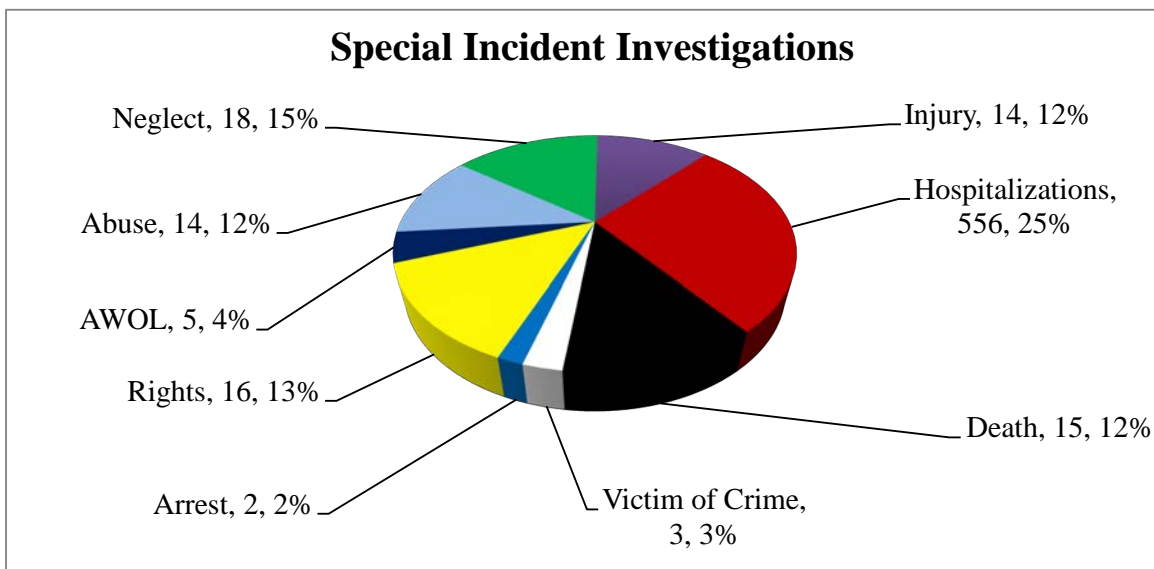
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2021-22

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	5					
Abuse	14					
Neglect	18					
Injury	14					
Hospitalizations - Total	33					
<i>Psychiatric</i>	11					
<i>Medical</i>	22					
Death	15					
Victim of crime	3					
Arrest	2					
Rights	16					
Total	120	0	0	0	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							5
Abuse							14
Neglect							18
Injury							14
Hospitalizations - Total							33
<i>Psychiatric</i>							11
<i>Medical</i>							22
Death							15
Victim of Crime							3
Arrest							2
Rights							16
Total	0	0	0	0	0	0	120



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2021-22

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0					
Staffing	0					
Level 4I Consultant	0					
P&I (consumer funds)	0					
Total Number of Audits	0	0	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0					
Recovery	0					

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
---------------------------	--------	--------	--------	--------	--------	--------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits	0	0	0	0	0	0	0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>							\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2021-22

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81					
Diapers - Family Member	10					
Nursing Service - Family Member	62					
Respite Service - Family Member	603					
Transportation - Family Member	115					
<i>Total Number of Voucher Authorizations</i>	<i>871</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
<i>Total Number of Voucher Authorizations</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2021-22

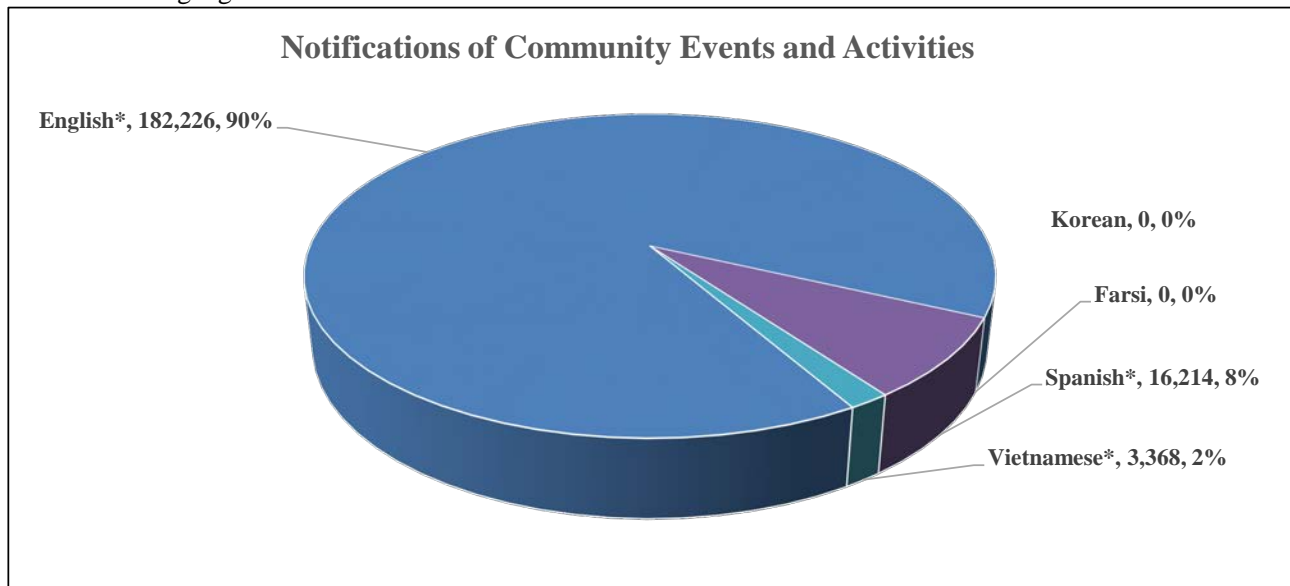
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	182,226					
Farsi						
Korean						
Spanish*	16,214					
Vietnamese*	3,368					
Total Number of Notifications	201,808	0	0	0	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							182,226
Farsi							0
Korean							0
Spanish*							16,214
Vietnamese*							3,368
Chinese							
Total Number of Notifications	0	0	0	0	0	0	201,808

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2021-22

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English	6					
Spanish	3					
Vietnamese	1					
Other Languages						
In Print						
English						
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese	4					
Other Languages						
Total Number of Outreach Events	15	0	0	0	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							6
Spanish							3
Vietnamese							1
Other Languages							0
In Print							
English							0
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English							0
Spanish							0
Vietnamese							4
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	15

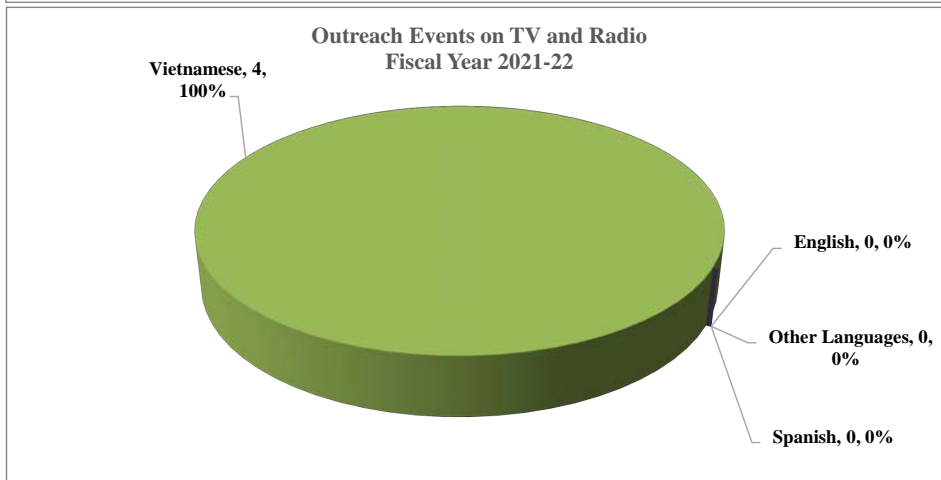
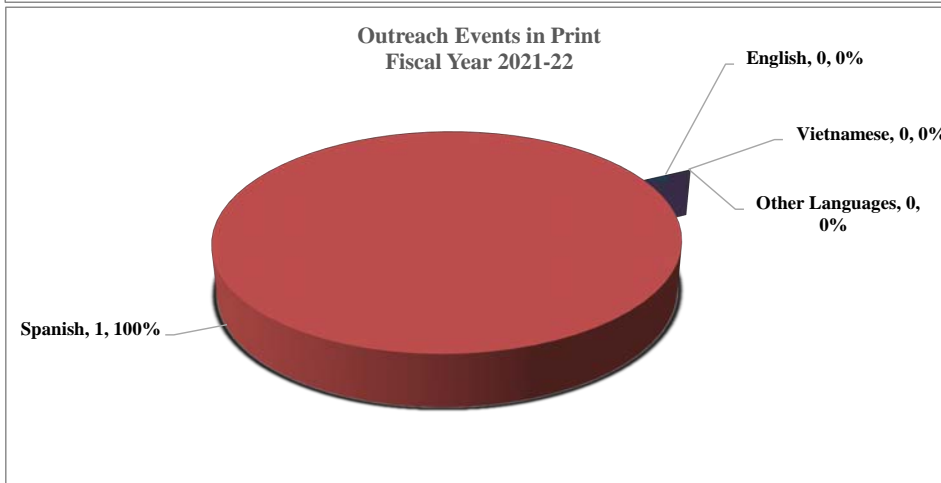
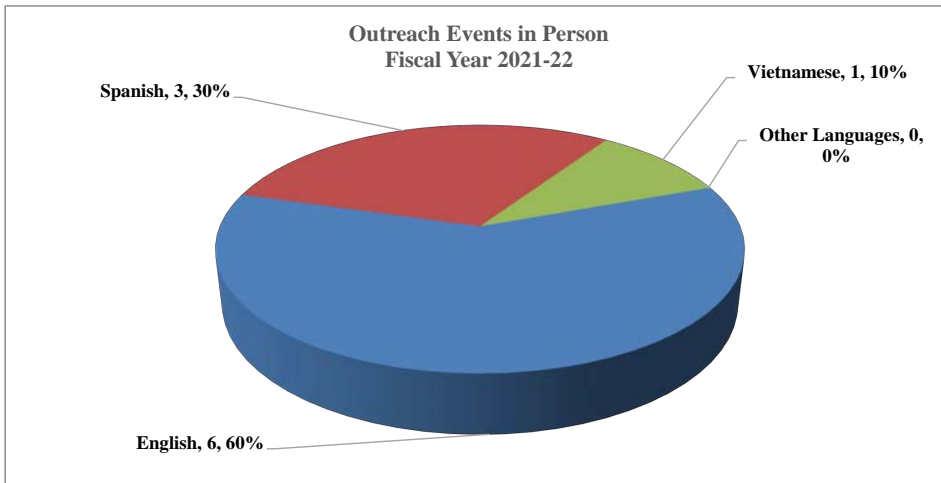
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2021-22



EARLY INTERVENTION / PREVENTION

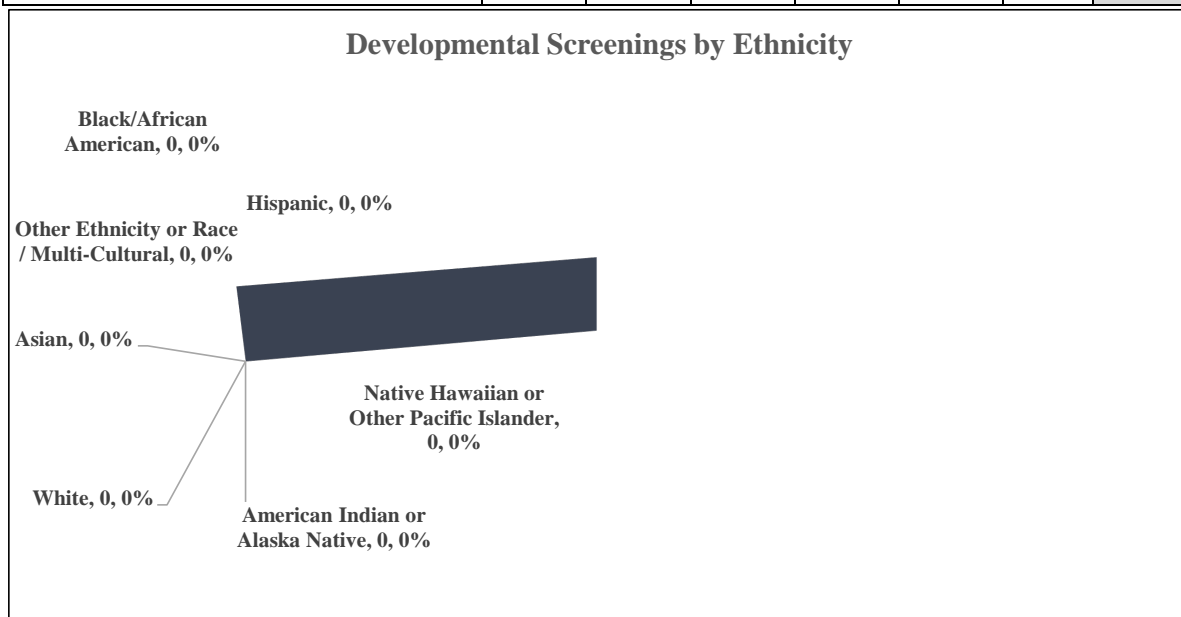
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2021-22

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0					
Asian	0					
Black/African American	0					
Hispanic	0					
Native Hawaiian or Other Pacific Islander	0					
Other Ethnicity or Race / Multi-Cultural	0					
White	0					
Total Number Screened	0	0	0	0	0	0
Total Number Referred to RCOC	0					

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							0
Black/African American							0
Hispanic							0
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							0
White							0
Total Number Screened	0	0	0	0	0	0	0
Total Number Referred to RCOC							0

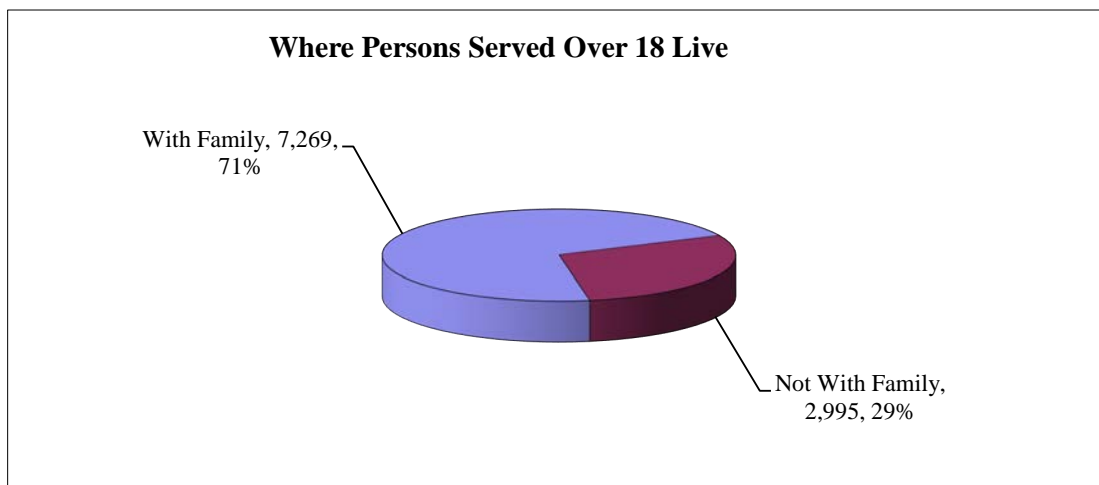
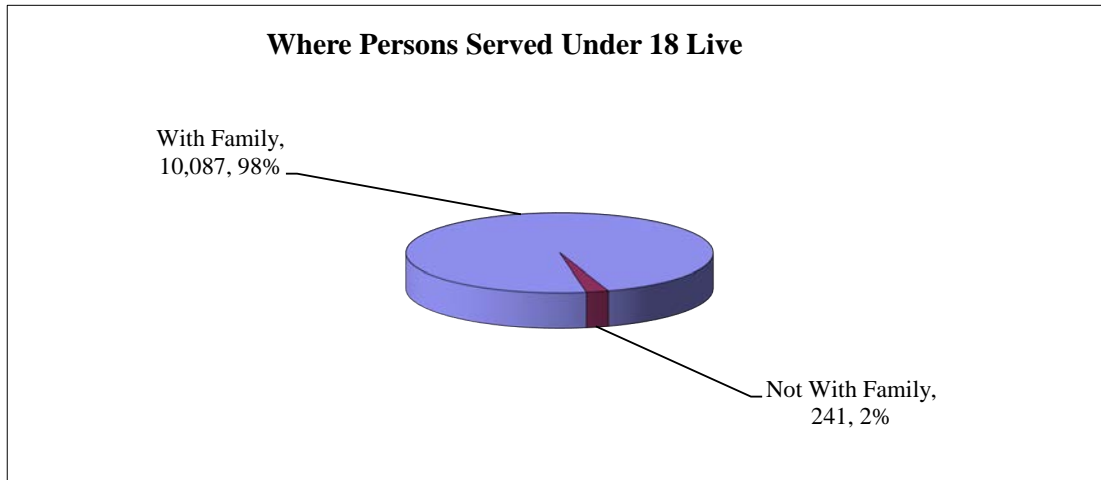


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

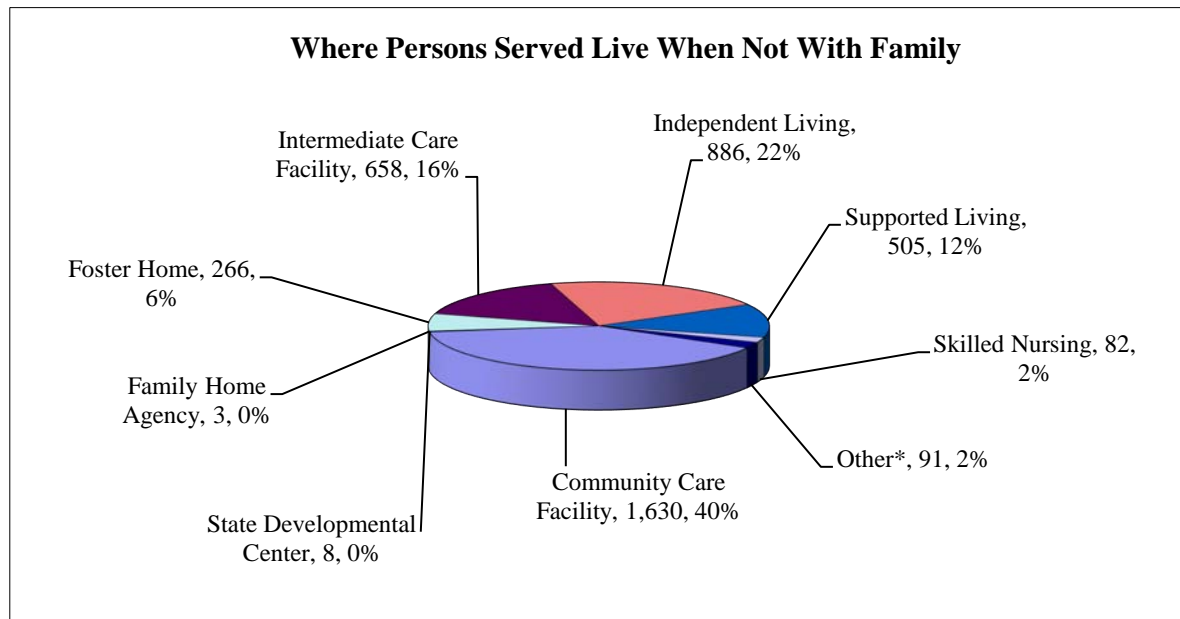
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	17,356	10,087	7,269
Not With Family	3,236	241	2,995
Totals	20,592	10,328	10,264



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	91	24	67
Total	22,863	10,675	12,188

Other*			
Acute General Hospital	3	0	3
California Youth Authority	0	0	0
Community Treatment	2	1	1
Correctional Institution	0	0	0
County Jail	3	1	2
Other	0	0	0
Out of State	4	1	3
Psychiatric Treatment	10	1	9
Rehabilitation Center	6	1	5
SDC / State Hospital	0	0	0
Sub-Acute	50	19	31
Transient / Homeless	13	0	13
Total, Other*	91	24	67



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

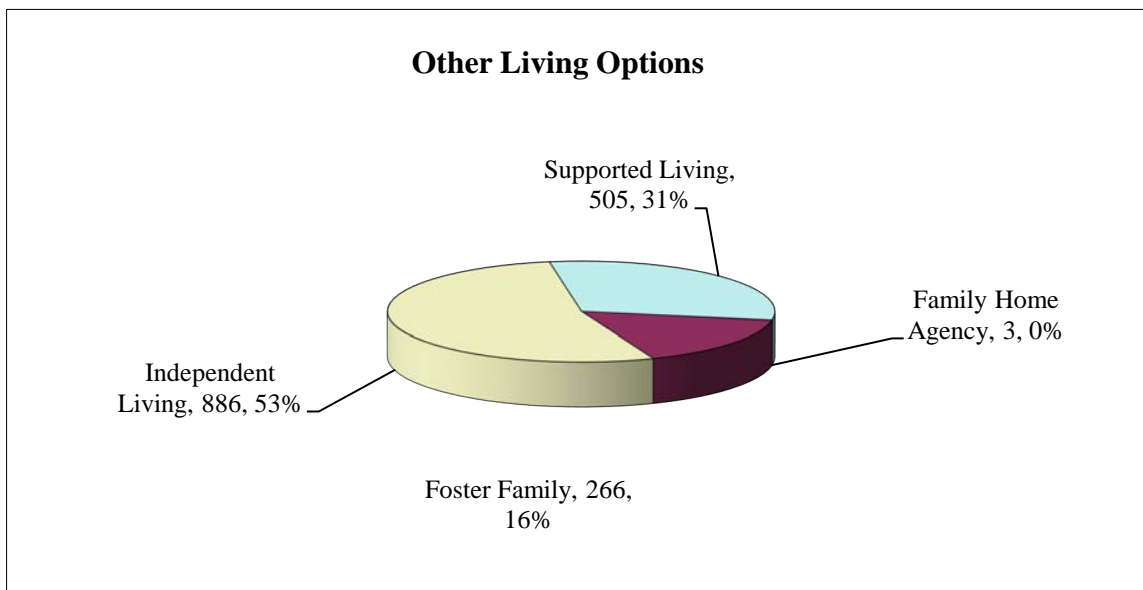
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	3	0	3
Foster Family	266	258	8
Independent Living	886	0	886
Supported Living	505	0	505
Total	1,660	258	1,402



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2021-22

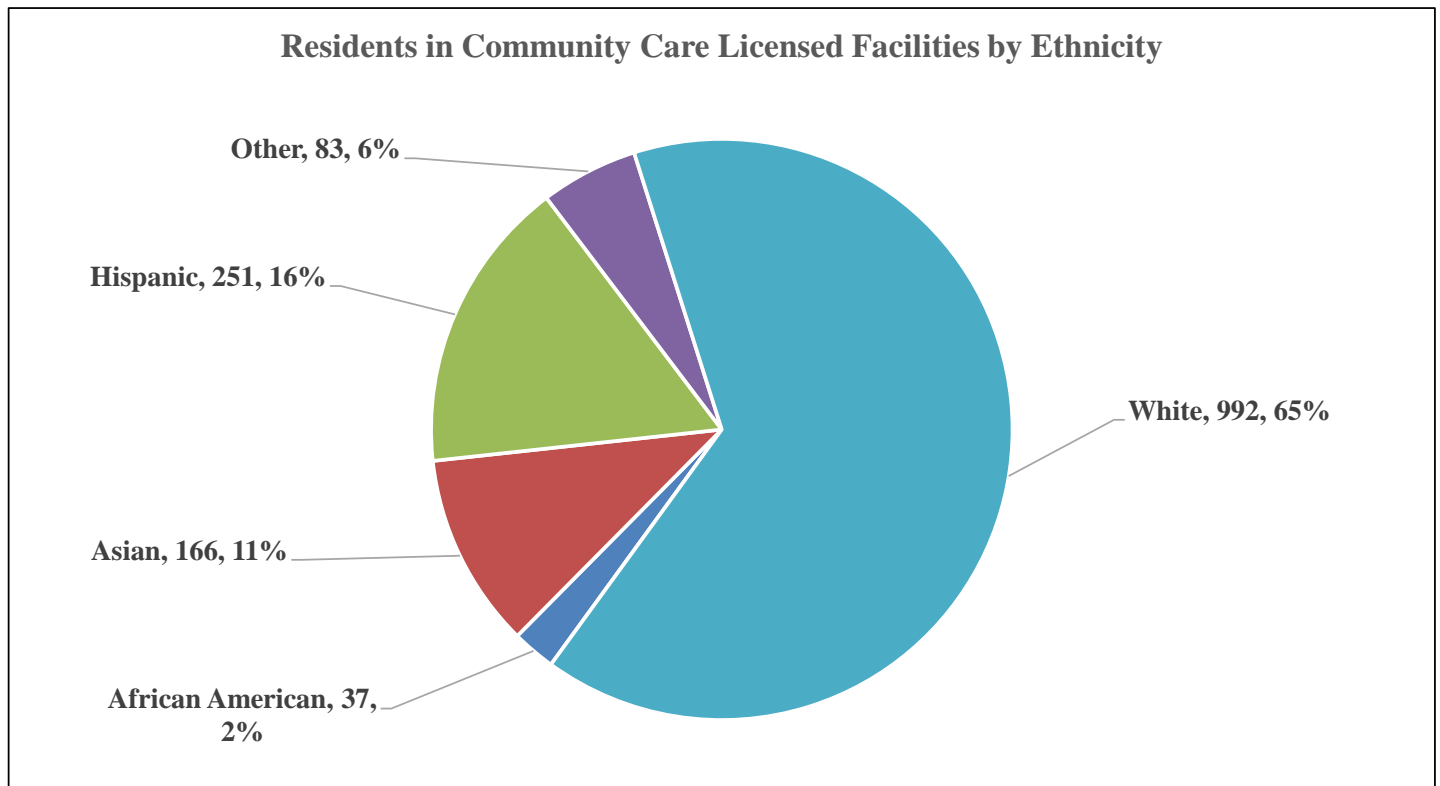
Licensed Facilities	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	291	291	0
Level 4A	34	34	0
Level 4B	5	5	0
Level 4C	51	51	0
Level 4D	37	37	0
Level 4E	25	25	0
Level 4F	65	65	0
Level 4G	31	31	0
Level 4H	4	4	0
Level 4I	335	330	5
Elderly	1	1	0
ICF/DD-H	2	2	0
ICF/DD-N	5	5	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,108	1,103	5

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	222	222	0
Level 3	291	291	0
Level 4	587	582	5
ICF/DD-H	2	2	0
ICF/DD-N	5	5	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,108	1,103	5

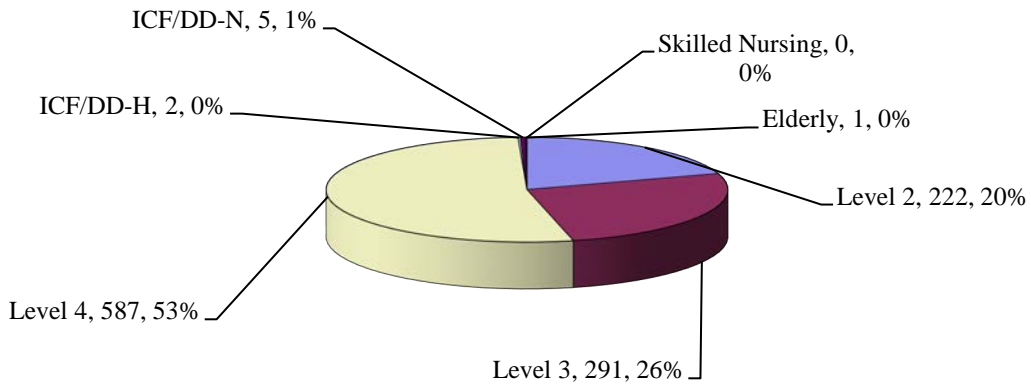
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2021-22

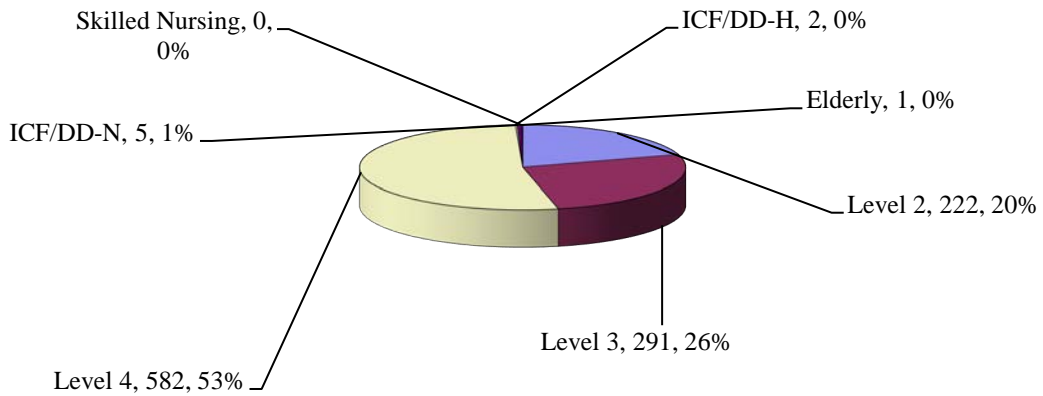
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	28	51	13	239	337
Level 3	13	33	62	20	252	380
Level 4A	0	5	6	2	23	36
Level 4B	0	1	0	0	7	8
Level 4C	1	9	11	2	51	74
Level 4D	0	8	7	2	30	47
Level 4E	0	3	9	3	21	36
Level 4F	3	12	10	1	46	72
Level 4G	0	7	5	2	33	47
Level 4H	0	0	3	1	2	6
Level 4I	14	60	87	37	288	486
Total	37	166	251	83	992	1,529



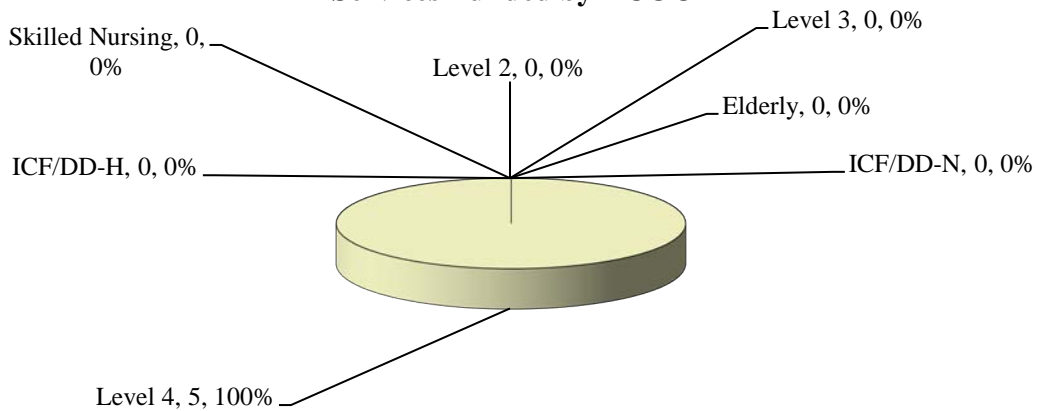
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

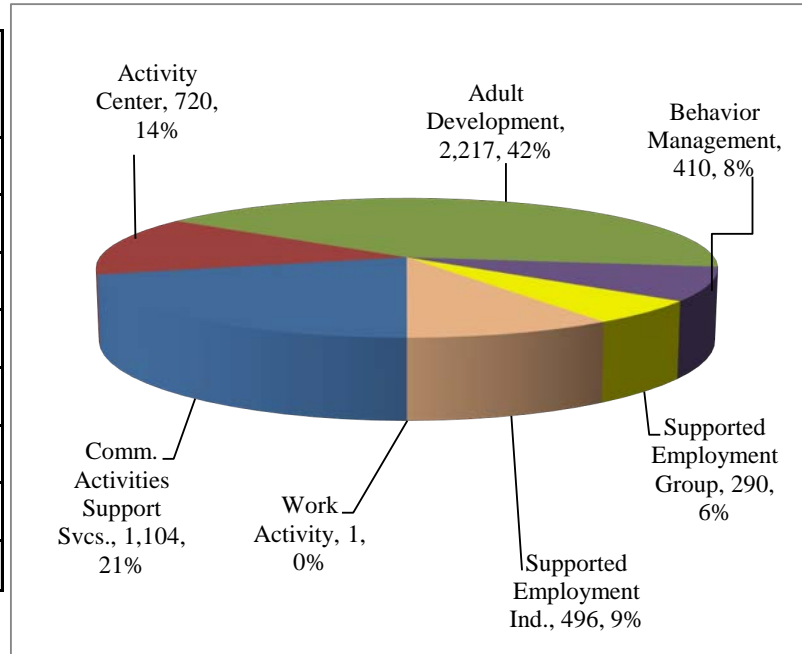


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,104
Activity Center	720
Adult Development	2,217
Behavior Management	410
Supported Employment Group	290
Supported Employment Ind.	496
Work Activity	1
Total	5,238



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2021-22

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	306.5					
Number of Case-Carrying SCs	280.8					
Number of Intake SCs	25.7					
Number of State Developmental Center SCs	0.0					
Number of Active Persons Served	22,943					
Caseload Ratio, # of Active Persons Served/SCs	81.7					

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of State Developmental Center SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2021-22

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	18											
Eligibility - Lanterman	2											
Behavioral services	5											
Respite	2											
Day Care	0											
ILS/SLS	0											
Personal Assistance	2											
Other**	7											

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	3											
Eligibility - Lanterman	1											
Eligibility - Early Start	0											
Behavioral services	0											
Respite	1											
Day Care	0											
Social/Recreational	0											
Personal Assistance	0											
Other**	1											

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	8											
Number of Informal Meetings Held	4											
Number of Mediations Held	1											
Number of SLFHs Held	3											

Number of Requests in Scheduling*	0											
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* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0											
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* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	10											
Withdrawn by Person Served/Family	5											
Settled in Informal	1											
Settled after further follow-up by RCOC	0											
Settled in Mediation	1											
SLFH Decision	3											

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family	0											
RCOC	3											
Split	0											

ADMINISTRATION AND GOVERNANCE

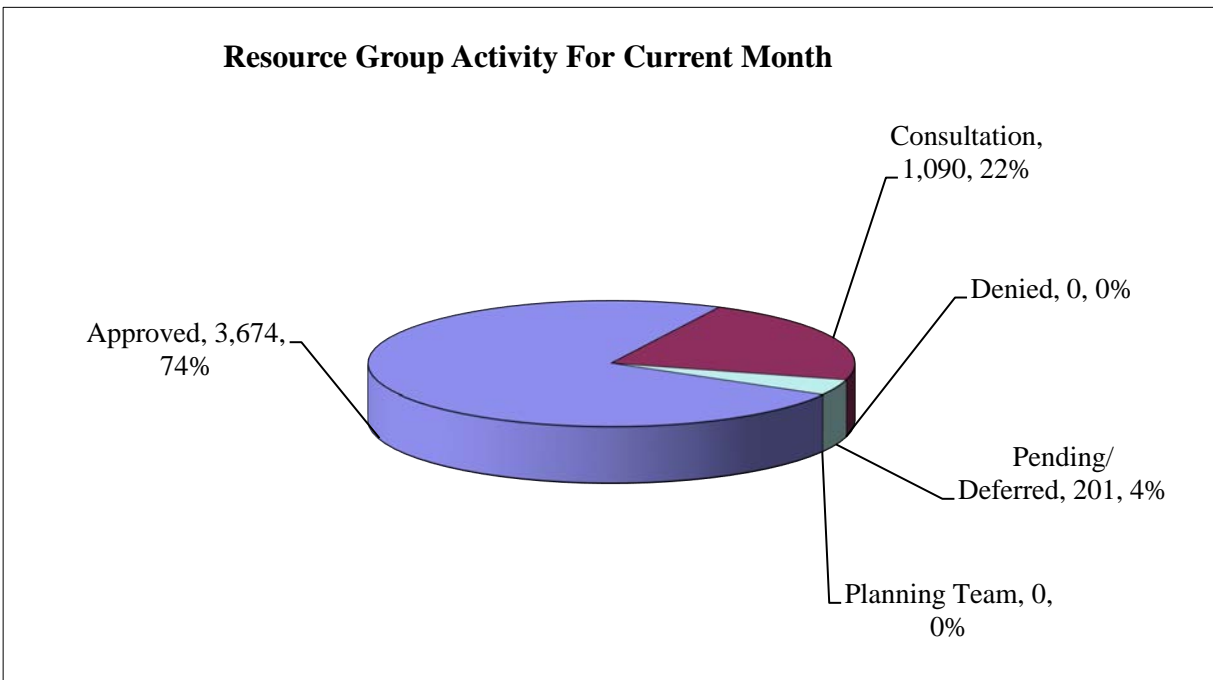
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for July 2021 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	1,046	227	0	67	0	1,340
Behavioral	104	57	0	8	0	169
Education	0	2	0	0	0	2
Eligibility/Health	75	1	0	4	0	80
Early Start	736	106	0	37	0	866
Living Options	176	150	0	1	0	327
Supported/Ind.	273	100	0	44	0	417
All Others	957	123	0	44	0	1,124
Monthly Total	3,674	1,090	0	201	0	5,385

FY 2020-21 Total to Date	3,674	1,090	0	201	0	5,385
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Operations Report Summary - July 2021

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,384	8,426	8,774	8	20,592	10,328	10,264
<i>Percentage of Total</i>	<i>16%</i>	<i>41%</i>	<i>43%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>50%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	18,734	10,357	8,377
Community Care Facility	1,630	32	1,598
State Developmental Center	8	0	8
Family Home Agency	3	0	3
Foster Home	266	258	8
Intermediate Care Facility	658	4	654
Independent Living	886	0	886
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	91	24	67
Total	22,863	10,675	12,188

Special Incident Investigations	Year to Date
AWOL	5
Abuse	14
Neglect	18
Injury	14
Hospitalizations - Total	33
Death	15
Victim of crime	3
Arrest	2
Rights	16
Total	120

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	80	0	80
Level 3	81	0	80
Level 4	172	11	165
Total Community Care Facilities	333	11	325

Licensed Facility Monitoring	Year to Date
Annual Review	33
Unannounced	59
Total Number of Reviews	92
Provider Trainings	0
Technical Support	151
Corrective Action Plans	0

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	83
ICF-DD/Nursing	40
Total ICF Facilities	123

Number of Audits	0
Amount of Recovery from Audits	\$0

Total Licensed Facilities	456
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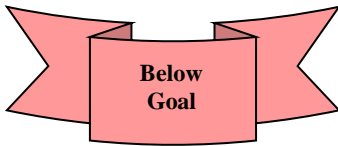
Performance Contract Summary

RCOC as of 6/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,659	8	0	0.04%	-8
Children in Foster Homes (FH)	10,458	243	245	2.32%	-2
Children Own Home Parent/Guardian	10,458	10,152	10,350	97.07%	-198
Total # Children (FH,Parent/Guardian)	10,458	10,395	10,595	99.40%	-200
Adult FHA	12,193	104	109	0.85%	-5
Independent Living (IL)	12,193	888	899	7.28%	-11
Adults Residing Own Home - Parent	12,193	8,297	8,250	68.05%	47
Supported Living (SL)	12,193	501	508	4.11%	-7
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,193	9,790	9,766	80.29%	24
Children Residing in a CCF (7+ beds)	10,458	2	0	0.02%	-2
Children Residing in a ICF (7+ beds)	10,458	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,458	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,458	3	0	0.03%	-3
Adults Residing in a CCF (7+ beds)	12,193	123	120	1.01%	-3
Adults Residing in a ICF (7+ beds)	12,193	11	14	0.09%	3
Adults Residing in a Nursing Facility (7+ beds)	12,193	79	78	0.65%	-1
Total Adults Residing in 7+ bed facilities	12,193	213	212	1.75%	-1
Total Individuals Over Age 3 with <=120 days	204	202	100%	100%	99.02%
Total Individuals Over Age 3 with 121-240 days	204	2	0%	0.98%	0.98%
Total Individuals Over Age 3 Over 240 days	204	0	0%	0%	0%
Adults with Integrated Employment Goal	12,193	57%	65%		
Total Number of Incentive Payments Made	12,193	362			
Avg. Wage per Hour After Incentive Payment	12,193	\$12.06			
Number of Persons Served with Earned Income	12,193	3,336			
Percentage of 16-64 Earned Income	12,193	21%			
Annual Earnings of 16-64	12,193	\$8,806			
Number of Adults in CIE After Paid Intern	12,193	7			
Percentage Adults Transitioned Internship to CIE	12,193	21%			
Total Annual Expenditures Race/Ethnicity	22,659				

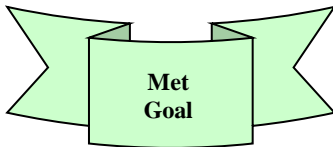
Performance Contract 2021 Cover Sheet



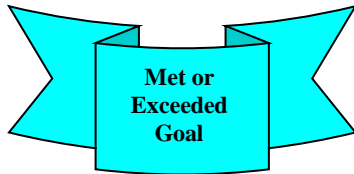
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2021

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.93
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2021

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.08%	353,922	266			
RCOC Public Hearing 8/19/20	0.03%	22,370	7			
RCOC 6/01/21	0.04%	22,659	8	0	0.04%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.32%	RCOC % of DC population		2.63%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2020, RCOC had 7 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21		0			
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Below Goal

Performance Contract 2021

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

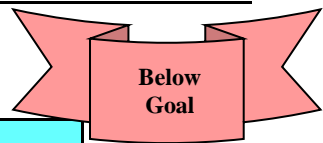
Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 234, or 2.23%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.77%	178,127	4,938			
RCOC Public Hearing 8/19/20	2.23%	10,502	234			
RCOC 6/01/21	2.32%	10,458	243	245	2.32%	-2
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	4.74%		



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21		245			
Jul-21		245			
Aug-21		245			
Sep-21		245			
Oct-21		245			
Nov-21		245			
Dec-21		245			

Progress: B. During public meetings, RCOC had 10,202, or 97.14%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian			
Statewide Average	96.71%	178,127	172,258			
RCOC Public Hearing 8/19/20	97.14%	10,502	10,202	Goal	%	# Attained
RCOC 6/01/21	97.07%	10,458	10,152	10,350	97.07%	-198
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.92%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21		10,500			
Jul-21		10,500			
Aug-21		10,500			
Sep-21		10,500			
Oct-21		10,500			
Nov-21		10,350			
Dec-21		10,350			



Progress: C. During public meetings, RCOC had 10,436, or 99.37%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.48%	178,127	177,196			
RCOC Public Hearing 8/19/20	99.37%	10,502	10,436			
RCOC 6/01/21	99.40%	10,458	10,395	10,595	99.40%	-200
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.89%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21		10,720			
Jul-21		10,720			
Aug-21		10,720			
Sep-21		10,720			
Oct-21		10,720			
Nov-21		10,595			
Dec-21		10,595			



Performance Contract 2021

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 101, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.93%	175,529	1,638			
RCOC Public Hearing 8/19/20	0.85%	11,861	101			
RCOC 6/01/21	0.85%	12,193	104	109	0.85%	-5
Analysis as of Public Hearing		RCOC % of DD population	6.76%		RCOC % of FH population	6.17%

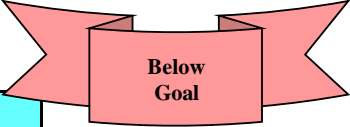


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21		109			
Jul-21		109			
Aug-21		109			
Sep-21		109			
Oct-21		109			
Nov-21		109			
Dec-21		109			

Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.06%	175,529	17,660			
RCOC Public Hearing 8/19/20	7.55%	11,434	863	899	7.28%	-11
RCOC 6/01/21	7.28%	12,193	888	899	7.28%	-11
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	4.89%		



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21		899			
Jul-21		899			
Aug-21		899			
Sep-21		899			
Oct-21		899			
Nov-21		899			
Dec-21		899			

Progress: C. During public meetings, RCOC had 7,477, or 63.04%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	64.98%	175,529	114,052			
RCOC Public Hearing 8/19/20	63.04%	11,861	7,477			
RCOC 6/01/21	68.05%	12,193	8,297	8,250	68.05%	47
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.56%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21		8,250			
Jul-21		8,250			
Aug-21		8,250			
Sep-21		8,250			
Oct-21		8,250			
Nov-21		8,250			
Dec-21		8,250			

Progress: D. During public meetings, RCOC had 488, or 4.27%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.28%	175,529	9,260			
RCOC Public Hearing 8/19/20	4.27%	11,434	488			
RCOC 6/01/21	4.11%	12,193	501	508	4.11%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	5.27%		



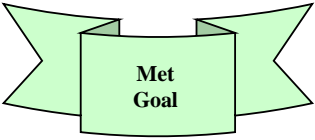
	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21		508			
Jul-21		508			
Aug-21		508			
Sep-21		508			
Oct-21		508			
Nov-21		508			
Dec-21		508			

Progress: E. During public meetings, RCOC had 9,936, or 83.77%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	81.25%	175,529	142,610			
RCOC Public Hearing 8/19/20	83.77%	11,861	9,936			
RCOC 6/01/21	80.29%	12,193	9,790	9,766	80.29%	24
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.97%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21		9,766			
Jul-21		9,766			
Aug-21		9,766			
Sep-21		9,766			
Oct-21		9,766			
Nov-21		9,766			
Dec-21		9,766			





Performance Contract 2021

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

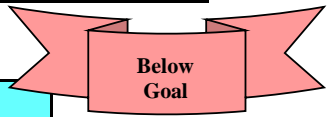
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 2, or 0.02%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	28			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 6/01/21	0.02%	10,458	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	7.14%		



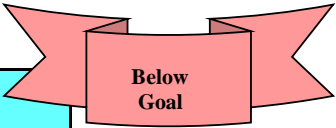
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21		0			
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	35			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 6/01/21	0.01%	10,458	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	5.90%	RCOC % of FH population	5.71%	



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21		0			
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

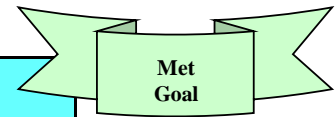
Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)			
Statewide Average	0.00%	178,127	5			
RCOC Public Hearing 8/19/20	0.00%	10,502	0	Goal	%	# Attained
RCOC 6/01/21	0.00%	10,458	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	0.00%		



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21		0			
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			





Progress: D. During public meetings, RCOC had 4, or 0.04%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	178,127	68			
RCOC Public Hearing 8/19/20	0.04%	10,502	4			
RCOC 6/01/21	0.03%	10,458	3	0	0.03%	-3
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.88%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21		0			
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Performance Contract 2021

V. Adults Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

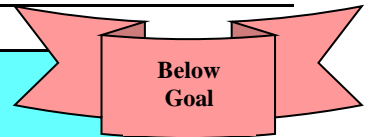
- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 140, or 1.18%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.94%	175,529	1,646			
RCOC Public Hearing 8/19/20	1.18%	11,861	140			
RCOC 6/01/21	1.01%	12,193	123	120	1.01%	-3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.51%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21		120			
Jul-21		120			
Aug-21		120			
Sep-21		120			
Oct-21		120			
Nov-21		120			
Dec-21		120			



Progress: B. During public meetings, RCOC had 21, or 0.12%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.56%	175,529	975			
RCOC Public Hearing 8/19/20	0.18%	11,861	21			
RCOC 6/01/21	0.09%	12,193	11	14	0.09%	3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	2.15%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21		14			
Jul-21		14			
Aug-21		14			
Sep-21		14			
Oct-21		14			
Nov-21		14			
Dec-21		14			



Progress: C. During public meetings, RCOC had 93, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	175,529	1,068			
RCOC Public Hearing 8/19/20	0.78%	11,861	93	78	0.65%	-1
RCOC 6/01/21	0.65%	12,193	79	78	0.65%	-1
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.71%		



	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	12,047	78	78	0.65%	0
Feb-21	12,075	78	79	0.65%	-1
Mar-21	12,099	78	80	0.66%	-2
Apr-21	12,146	78	78	0.64%	0
May-21	12,193	78	79	0.65%	-1
Jun-21		78			
Jul-21		78			
Aug-21		78			
Sep-21		78			
Oct-21		78			
Nov-21		78			
Dec-21		78			

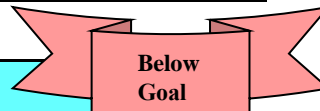
Progress: D. During public meetings, RCOC had 254, or 2.14%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	2.06%	175,529	3,618			
RCOC Public Hearing 8/19/20	2.14%	11,861	254	Goal	%	# Attained
RCOC 6/01/21	1.75%	12,193	213	212	1.75%	-1
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.02%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21		212			
Jul-21		212			
Aug-21		212			
Sep-21		212			
Oct-21		212			
Nov-21		212			
Dec-21		212			



Performance Contract 2021

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

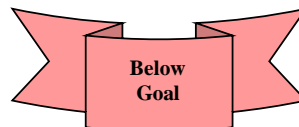
Progress: A. During public meetings, RCOC had 123, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	91.44%	4,648	4,250		
RCOC Public Hearing 8/19/20	100%	123	123	100%	100%
RCOC 6/01/21	99.32%	204	202	100%	99.02%



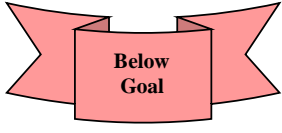
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21		100%		
Jul-21		100%		
Aug-21		100%		
Sep-21		100%		
Oct-21		100%		
Nov-21		100%		
Dec-21		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	7.42%	4,648	345		
RCOC Public Hearing 8/19/20	0%	123	0	Goal	% Attained
RCOC 6/01/21	0.98%	204	2	0%	0.98%

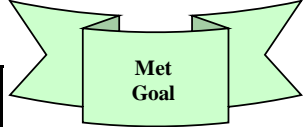


	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21		0.00%		
Jul-21		0.00%		
Aug-21		0.00%		
Sep-21		0.00%		
Oct-21		0.00%		
Nov-21		0.00%		
Dec-21		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	1.14%	4,648	53		
RCOC Public Hearing 8/19/20	0%	123	0		
RCOC 6/01/21	0.00%	204	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21		0%		
Jul-21		0%		
Aug-21		0%		
Sep-21		0%		
Oct-21		0%		
Nov-21		0%		
Dec-21		0%		



Progress: B. RCOOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06



Performance Contract 2021

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2018 RCOC had 1 individual within a paid internship that resulted in employment, and for 2019 that number increased to 7 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2018-2019, 21% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	13%
2018-2019	21%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018	14.25	\$11.25



Progress: B. Results from the Employment Development Department in 2017 indicate that 21% of persons served ages 16-64 reported having earned income. In 2018, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%

Progress: C. Results from the Employment Development Department in 2017 indicate that average annual wage for persons served ages 16-64 was \$7,580. In 2018, the average annual wage for persons served ages 16-64 was \$8,806. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317



Performance Contract 2021

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2018, 3,336 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311



Performance Contract 2021

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	8 ↓	0.3% ↑	\$106,6804 ↑	74.8% ↑
Asian	923 ↓	19.1% ↓	\$7,862,556 ↑	63.5% ↓
Black/African American	72 ↑	1.1% ↑↑	\$463,399 ↑	56.9% ↓
Hispanic	2204 ↑	34.1% ↓	\$14,029,406 ↑	58.5% ↓
Native Hawaiian or Other Pacific Islander	7↑	0.0%	\$13,315 ↓	41.8% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	26.9% ↑	\$11,063,412 ↑	60.6% ↑
White	1,146 ↑	18.4% ↓	\$7,546,391 ↓	60.7% ↓
Totals	5,874 ↑	100.0%	\$41,085,159 ↑	60.5% ↓

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	13 ↓	0.1% ↔	\$119,773 ↓	68.4% ↑
Asian	1,804 ↑	17.5% ↓	\$15,208,333 ↓	66.6% ↓
Black/African American	167 ↓ ↑	1.7% ↓	\$1,476,695 ↓	66.8% ↑
Hispanic	3,796 ↑	28.3% ↓	\$24,672,656 ↓	62.7% ↓
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$78,995 ↑	67.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	18.3% ↓	\$15,925,185 ↓	63% ↓
White	2,304 ↑	34% ↑	\$29,615,939 ↓	71.9% ↓
Totals	9,757 ↑	100.0%	\$87,097,575 ↓	66.7% ↑

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	21 ↑	0.3% ↔	\$1,044,925 ↑	89% ↑
Asian	1,309 ↑	11.1% ↓	\$38,395,565 ↑	83.2% ↓
Black/African American	237 ↑	3% ↑	\$10,535,654 ↑	85.2% ↑
Hispanic	2,455 ↑	18.9% ↑	\$65,155,229 ↑	82.2% ↑
Native Hawaiian or Other Pacific Islander	10 ↑	0.1%	\$249,373 ↑	81.1% ↓
Other Ethnicity or Race / Multi-Cultural	717 ↓	7.1% ↓	\$24,503,779 ↑	83.2% ↓
White	4610 ↑	59.5% ↑	\$205,617,093 ↑	85.6% ↓
Totals	9,359 ↑	100.0%	\$345,501,617 ↑	84.3% ↓



Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	8 ↓	1 ↑	12.5% ↑
Asian	923 ↓	32 ↔	3.5% ↑
Black/African American	72 ↑	2 ↓	2.8% ↓
Hispanic	2,204 ↑	108 ↑	4.9% ↑
Native Hawaiian or Other Pacific Islander	7 ↑	1 ↔	14.3% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	59 ↑	3.9% ↑
White	1,146 ↑	43 ↓	3.8% ↓
Totals	5,874 ↑	246 ↑	4.2% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	13 ↓	2 ↔	15.4% ↑
Asian	1,804 ↑	466 ↑	25.8% ↑
Black/African American	167 ↓	67 ↑	40.1% ↑
Hispanic	3,796 ↑	1,564 ↑	41.2% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	10 ↑	52.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	411 ↓	24.9% ↓
White	2,304 ↑	522 ↓	22.7% ↓
Totals	9,757 ↑	3,042 ↑	31.2% ↓

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	21 ↑	1 ↓	4.8% ↓
Asian	1,309 ↑	258 ↑	19.7% ↔
Black/African American	237 ↑	39 ↔	19.7% ↑
Hispanic	2,455 ↑	526 ↑	21.4% ↓
Native Hawaiian or Other Pacific Islander	10 ↑	3 ↑	30% ↑
Other Ethnicity or Race / Multi-Cultural	717 ↓	125 ↑	17.4% ↓
White	4,610 ↑	503 ↓	10.9% ↓
Totals	9,359 ↑	1,455 ↑	15.5% ↓



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,838 ↑	\$21,155 ↑	79.94% ↑
Spanish	4,527 ↑	\$11,257 ↓	71.78% ↓
Mandarin Chinese	74 ↑	\$11,539 ↓	71.62% ↑
Vietnamese	1,166 ↑	\$12,436 ↓	77.40% ↑
Korean	156 ↑	\$22,686 ↓	82.81% ↑
Tagalog	26 ↓	\$26,022 ↑	81.11% ↑
Arabic	42 ↑	\$11,639 ↓	67.68% ↑



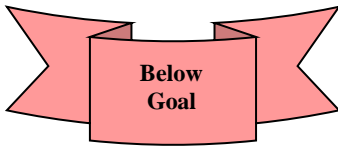
Performance Contract Summary

RCOC as of 7/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,774	8	0	0.04%	-8
Children in Foster Homes (FH)	10,537	248	245	2.35%	3
Children Own Home Parent/Guardian	10,537	10,229	10,350	97.08%	-121
Total # Children (FH,Parent/Guardian)	10,537	10,477	10,595	99.43%	-118
Adult FHA	12,229	102	109	0.83%	-7
Independent Living (IL)	12,229	889	899	7.27%	-10
Adults Residing Own Home - Parent	12,229	8,330	8,250	68.12%	80
Supported Living (SL)	12,229	501	508	4.10%	-7
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,229	9,822	9,766	80.32%	56
Children Residing in a CCF (7+ beds)	10,537	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	10,537	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,537	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,537	2	0	0.02%	-2
Adults Residing in a CCF (7+ beds)	12,229	124	120	1.01%	-4
Adults Residing in a ICF (7+ beds)	12,229	10	14	0.08%	4
Adults Residing in a Nursing Facility (7+ beds)	12,229	78	78	0.64%	0
Total Adults Residing in 7+ bed facilities	12,229	212	212	1.73%	0
Total Individuals Over Age 3 with <=120 days	220	220	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	220	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	220	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,229	57%	65%		
Total Number of Incentive Payments Made	12,229	362			
Avg. Wage per Hour After Incentive Payment	12,229	\$12.06			
Number of Persons Served with Earned Income	12,229	3,336			
Percentage of 16-64 Earned Income	12,229	21%			
Annual Earnings of 16-64	12,229	\$8,806			
Number of Adults in CIE After Paid Intern	12,229	7			
Percentage Adults Transitioned Internship to CIE	12,229	21%			
Total Annual Expenditures Race/Ethnicity	22,774				

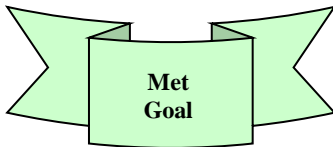
Performance Contract 2021 Cover Sheet



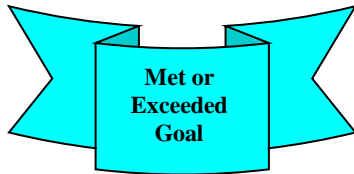
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2021

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.93
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2021

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.08%	353,922	266			
RCOC Public Hearing 8/19/20	0.03%	22,370	7	0	0.04%	-8
RCOC 7/01/21	0.04%	22,774	8			
Analysis as of Public Hearing	RCOC % of DD population		6.32%	RCOC % of DC population		2.63%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2020, RCOC had 7 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Below Goal

Performance Contract 2021

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 234, or 2.23%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.77%	178,127	4,938			
RCOC Public Hearing 8/19/20	2.23%	10,502	234			
RCOC 7/01/21	2.35%	10,537	248	245	2.35%	3
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	4.74%		



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21		245			
Aug-21		245			
Sep-21		245			
Oct-21		245			
Nov-21		245			
Dec-21		245			

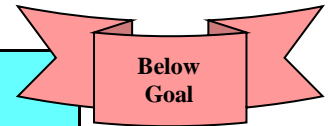
Progress: B. During public meetings, RCOC had 10,202, or 97.14%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.71%	178,127	172,258			
RCOC Public Hearing 8/19/20	97.14%	10,502	10,202			
RCOC 7/01/21	97.08%	10,537	10,229	10,350	97.08%	-121
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.92%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21	10,537	10,500	10,350	97.08%	-121
Jul-21		10,500			
Aug-21		10,500			
Sep-21		10,500			
Oct-21		10,500			
Nov-21		10,350			
Dec-21		10,350			



Progress: C. During public meetings, RCOC had 10,436, or 99.37%, of children in homes.

C. Total number and % of regional center children in homes (*This is a total of sections A and B above*).

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.48%	178,127	177,196			
RCOC Public Hearing 8/19/20	99.37%	10,502	10,436			
RCOC 7/01/21	99.43%	10,537	10,477	10,595	99.43%	-118
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.89%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21		10,720			
Aug-21		10,720			
Sep-21		10,720			
Oct-21		10,720			
Nov-21		10,595			
Dec-21		10,595			



Performance Contract 2021

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 101, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.93%	175,529	1,638			
RCOC Public Hearing 8/19/20	0.85%	11,861	101			
RCOC 7/01/21	0.83%	12,229	102	109	0.83%	-7
Analysis as of Public Hearing		RCOC % of DD population	6.76%		RCOC % of FH population	6.17%

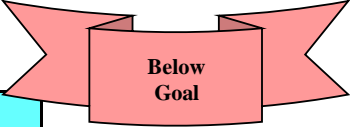


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21		109			
Aug-21		109			
Sep-21		109			
Oct-21		109			
Nov-21		109			
Dec-21		109			

Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.06%	175,529	17,660			
RCOC Public Hearing 8/19/20	7.55%	11,434	863			
RCOC 7/01/21	7.27%	12,229	889	899	7.27%	-10
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	4.89%		



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21		899			
Aug-21		899			
Sep-21		899			
Oct-21		899			
Nov-21		899			
Dec-21		899			

Progress: C. During public meetings, RCOC had 7,477, or 63.04%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent			
Statewide Average	64.98%	175,529	114,052			
RCOC Public Hearing 8/19/20	63.04%	11,861	7,477	Goal	%	# Attained
RCOC 7/01/21	68.12%	12,229	8,330	8,250	68.12%	80
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.56%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21		8,250			
Aug-21		8,250			
Sep-21		8,250			
Oct-21		8,250			
Nov-21		8,250			
Dec-21		8,250			

Progress: D. During public meetings, RCOC had 488, or 4.27%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.28%	175,529	9,260			
RCOC Public Hearing 8/19/20	4.27%	11,434	488			
RCOC 7/01/21	4.10%	12,229	501	508	4.10%	-7
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	5.27%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21		508			
Aug-21		508			
Sep-21		508			
Oct-21		508			
Nov-21		508			
Dec-21		508			

Progress: E. During public meetings, RCOC had 9,936, or 83.77%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	81.25%	175,529	142,610			
RCOC Public Hearing 8/19/20	83.77%	11,861	9,936			
RCOC 7/01/21	80.32%	12,229	9,822	9,766	80.32%	56
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.97%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21		9,766			
Aug-21		9,766			
Sep-21		9,766			
Oct-21		9,766			
Nov-21		9,766			
Dec-21		9,766			





Performance Contract 2021

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

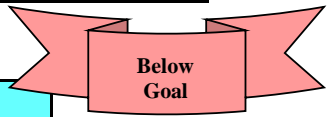
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 2, or 0.02%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	28			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 7/01/21	0.01%	10,537	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	7.14%		



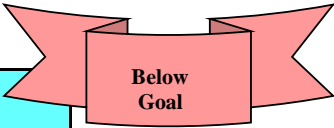
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	35			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 7/01/21	0.01%	10,537	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	5.90%		RCOC % of FH population	5.71%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	178,127	5			
RCOC Public Hearing 8/19/20	0.00%	10,502	0			
RCOC 7/01/21	0.00%	10,537	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	0.00%		



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			





Progress: D. During public meetings, RCOC had 4, or 0.04%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	0.04%	178,127	68			
RCOC Public Hearing 8/19/20	0.04%	10,502	4			
RCOC 7/01/21	0.02%	10,537	2	0	0.02%	-2
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.88%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21		0			
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Performance Contract 2021

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

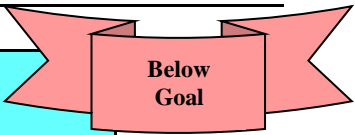


Progress: A. During public meetings, RCOC had 140, or 1.18%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.94%	175,529	1,646			
RCOC Public Hearing 8/19/20	1.18%	11,861	140			
RCOC 7/01/21	1.01%	12,229	124	120	1.01%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.51%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21		120			
Aug-21		120			
Sep-21		120			
Oct-21		120			
Nov-21		120			
Dec-21		120			



Progress: B. During public meetings, RCOC had 21, or 0.12%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.56%	175,529	975			
RCOC Public Hearing 8/19/20	0.18%	11,861	21			
RCOC 7/01/21	0.08%	12,229	10	14	0.08%	4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	2.15%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21		14			
Aug-21		14			
Sep-21		14			
Oct-21		14			
Nov-21		14			
Dec-21		14			



Progress: C. During public meetings, RCOC had 93, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	175,529	1,068			
RCOC Public Hearing 8/19/20	0.78%	11,861	93			
RCOC 7/01/21	0.64%	12,229	78	78	0.64%	0
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.71%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	12,047	78	78	0.65%	0
Feb-21	12,075	78	79	0.65%	-1
Mar-21	12,099	78	80	0.66%	-2
Apr-21	12,146	78	78	0.64%	0
May-21	12,193	78	79	0.65%	-1
Jun-21	12,229	78	78	0.64%	0
Jul-21		78			
Aug-21		78			
Sep-21		78			
Oct-21		78			
Nov-21		78			
Dec-21		78			

Met Goal

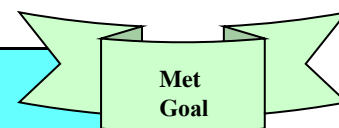
Progress: D. During public meetings, RCOC had 254, or 2.14%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities			
Statewide Average	2.06%	175,529	3,618			
RCOC Public Hearing 8/19/20	2.14%	11,861	254	Goal	%	# Attained
RCOC 7/01/21	1.73%	12,229	212	212	1.73%	0
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.02%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21		212			
Aug-21		212			
Sep-21		212			
Oct-21		212			
Nov-21		212			
Dec-21		212			



Performance Contract 2021

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 123, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	91.44%	4,648	4,250		
RCOC Public Hearing 8/19/20	100%	123	123		
RCOC 7/01/21	100.00%	220	220	100%	100.00%



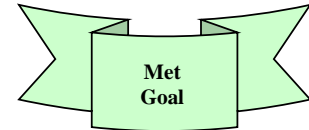
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21		100%		
Aug-21		100%		
Sep-21		100%		
Oct-21		100%		
Nov-21		100%		
Dec-21		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	7.42%	4,648	345		
RCOC Public Hearing 8/19/20	0%	123	0	Goal	% Attained
RCOC 7/01/21	0.00%	220	0	0%	0.00%

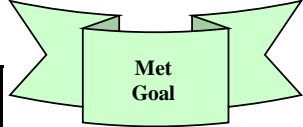


	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21		0.00%		
Aug-21		0.00%		
Sep-21		0.00%		
Oct-21		0.00%		
Nov-21		0.00%		
Dec-21		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	1.14%	4,648	53		
RCOC Public Hearing 8/19/20	0%	123	0		
RCOC 7/01/21	0.00%	220	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21		0%		
Aug-21		0%		
Sep-21		0%		
Oct-21		0%		
Nov-21		0%		
Dec-21		0%		



Progress: B. RCOG will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOG will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOG will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06



Performance Contract 2021

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2018 RCOC had 1 individual within a paid internship that resulted in employment, and for 2019 that number increased to 7 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2018-2019, 21% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2016-2017	NA
2017-2018	13%
2018-2019	21%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2016-2017	18	\$10.13
2017-2018	14.25	\$11.25



Progress: B. Results from the Employment Development Department in 2017 indicate that 21% of persons served ages 16-64 reported having earned income. In 2018, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%

Progress: C. Results from the Employment Development Department in 2017 indicate that average annual wage for persons served ages 16-64 was \$7,580. In 2018, the average annual wage for persons served ages 16-64 was \$8,806. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317



Performance Contract 2021

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2018, 3,336 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311



Performance Contract 2021

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	8 ↓	0.3% ↑	\$106,6804 ↑	74.8% ↑
Asian	923 ↓	19.1% ↓	\$7,862,556 ↑	63.5% ↓
Black/African American	72 ↑	1.1% ↑↑	\$463,399 ↑	56.9% ↓
Hispanic	2204 ↑	34.1% ↓	\$14,029,406 ↑	58.5% ↓
Native Hawaiian or Other Pacific Islander	7↑	0.0%	\$13,315 ↓	41.8% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	26.9% ↑	\$11,063,412 ↑	60.6% ↑
White	1,146 ↑	18.4% ↓	\$7,546,391 ↓	60.7% ↓
Totals	5,874 ↑	100.0%	\$41,085,159 ↑	60.5% ↓

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	13 ↓	0.1% ↔	\$119,773 ↓	68.4% ↑
Asian	1,804 ↑	17.5% ↓	\$15,208,333 ↓	66.6% ↓
Black/African American	167 ↓ ↑	1.7% ↓	\$1,476,695 ↓	66.8% ↑
Hispanic	3,796 ↑	28.3% ↓	\$24,672,656 ↓	62.7% ↓
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$78,995 ↑	67.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	18.3% ↓	\$15,925,185 ↓	63% ↓
White	2,304 ↑	34% ↑	\$29,615,939 ↓	71.9% ↓
Totals	9,757 ↑	100.0%	\$87,097,575 ↓	66.7% ↑

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	21 ↑	0.3% ↔	\$1,044,925 ↑	89% ↑
Asian	1,309 ↑	11.1% ↓	\$38,395,565 ↑	83.2% ↓
Black/African American	237 ↑	3% ↑	\$10,535,654 ↑	85.2% ↑
Hispanic	2,455 ↑	18.9% ↑	\$65,155,229 ↑	82.2% ↑
Native Hawaiian or Other Pacific Islander	10 ↑	0.1%	\$249,373 ↑	81.1% ↓
Other Ethnicity or Race / Multi-Cultural	717 ↓	7.1% ↓	\$24,503,779 ↑	83.2% ↓
White	4610 ↑	59.5% ↑	\$205,617,093 ↑	85.6% ↓
Totals	9,359 ↑	100.0%	\$345,501,617 ↑	84.3% ↓



Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	8 ↓	1 ↑	12.5% ↑
Asian	923 ↓	32 ↔	3.5% ↑
Black/African American	72 ↑	2 ↓	2.8% ↓
Hispanic	2,204 ↑	108 ↑	4.9% ↑
Native Hawaiian or Other Pacific Islander	7 ↑	1 ↔	14.3% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	59 ↑	3.9% ↑
White	1,146 ↑	43 ↓	3.8% ↓
Totals	5,874 ↑	246 ↑	4.2% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	13 ↓	2 ↔	15.4% ↑
Asian	1,804 ↑	466 ↑	25.8% ↑
Black/African American	167 ↓	67 ↑	40.1% ↑
Hispanic	3,796 ↑	1,564 ↑	41.2% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	10 ↑	52.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	411 ↓	24.9% ↓
White	2,304 ↑	522 ↓	22.7% ↓
Totals	9,757 ↑	3,042 ↑	31.2% ↓

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	21 ↑	1 ↓	4.8% ↓
Asian	1,309 ↑	258 ↑	19.7% ↔
Black/African American	237 ↑	39 ↔	19.7% ↑
Hispanic	2,455 ↑	526 ↑	21.4% ↓
Native Hawaiian or Other Pacific Islander	10 ↑	3 ↑	30% ↑
Other Ethnicity or Race / Multi-Cultural	717 ↓	125 ↑	17.4% ↓
White	4,610 ↑	503 ↓	10.9% ↓
Totals	9,359 ↑	1,455 ↑	15.5% ↓



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,838 ↑	\$21,155 ↑	79.94% ↑
Spanish	4,527 ↑	\$11,257 ↓	71.78% ↓
Mandarin Chinese	74 ↑	\$11,539 ↓	71.62% ↑
Vietnamese	1,166 ↑	\$12,436 ↓	77.40% ↑
Korean	156 ↑	\$22,686 ↓	82.81% ↑
Tagalog	26 ↓	\$26,022 ↑	81.11% ↑
Arabic	42 ↑	\$11,639 ↓	67.68% ↑



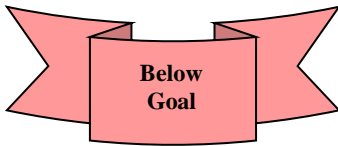
Performance Contract Summary

RCOC as of 8/01/2021	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	22,941	8	0	0.04%	-8
Children in Foster Homes (FH)	10,656	256	245	2.40%	11
Children Own Home Parent/Guardian	10,656	10,341	10,350	97.04%	-9
Total # Children (FH,Parent/Guardian)	10,656	10,597	10,595	99.45%	2
Adult FHA	12,277	103	109	0.84%	-6
Independent Living (IL)	12,277	886	899	7.22%	-13
Adults Residing Own Home - Parent	12,277	8,379	8,250	68.25%	129
Supported Living (SL)	12,277	502	508	4.09%	-6
Total # Adults (FHA, IL,Parent/Guardian, SL)	12,277	9,870	9,766	80.39%	104
Children Residing in a CCF (7+ beds)	10,656	0	0	0.00%	0
Children Residing in a ICF (7+ beds)	10,656	1	0	0.01%	-1
Children Residing in a Nursing Facility (7+ beds)	10,656	0	0	0%	0
Total Children Residing in 7+ bed facilities	10,656	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	12,277	124	120	1.01%	-4
Adults Residing in a ICF (7+ beds)	12,277	11	14	0.09%	3
Adults Residing in a Nursing Facility (7+ beds)	12,277	81	78	0.66%	-3
Total Adults Residing in 7+ bed facilities	12,277	216	212	1.76%	-4
Total Individuals Over Age 3 with <=120 days	222	222	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	222	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	222	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	12,277	57%	65%		
Total Number of Incentive Payments Made	12,277	336			
Avg. Wage per Hour After Incentive Payment	12,277	\$13.06			
Number of Persons Served with Earned Income	12,277	2,335			
Percentage of 16-64 Earned Income	12,277	20%			
Annual Earnings of 16-64	12,277	\$9,578			
Number of Adults in CIE After Paid Intern	12,277	11			
Percentage Adults Transitioned Internship to CIE	12,277	14%			
Total Annual Expenditures Race/Ethnicity	22,941				

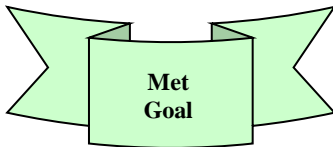
Performance Contract 2021 Cover Sheet



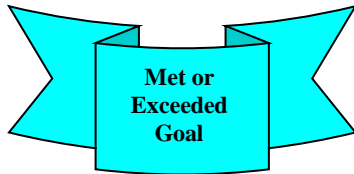
= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2021

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	99.77
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28

Performance Contract 2021

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All consumers	Consumers in DC	Goal	%	# Attained
Statewide Average	0.08%	353,922	266			
RCOC Public Hearing 8/19/20	0.03%	22,370	7			
RCOC 8/01/21	0.03%	22,941	8	0	0.03%	-8
Analysis as of Public Hearing	RCOC % of DD population		6.32%	RCOC % of DC population		2.63%

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2018-2019 and 2019-2020.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2020, RCOC had 7 persons served, or 0.03%, in Developmental Centers.

Number of Persons Served Residing DCs



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-21	22,323	0	9	0.04%	-9
Feb-21	22,307	0	10	0.04%	-10
Mar-21	22,457	0	9	0.04%	-9
Apr-21	22,588	0	9	0.04%	-9
May-21	22,659	0	8	0.04%	-8
Jun-21	22,774	0	8	0.04%	-8
Jul-21	22,941	0	8	0.03%	-8
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Below Goal

Performance Contract 2021

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports and services for persons served including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in effort to support programs, trainings and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 234, or 2.23%, children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.77%	178,127	4,938			
RCOC Public Hearing 8/19/20	2.23%	10,502	234			
RCOC 8/01/21	2.40%	10,656	256	245	2.40%	11
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	4.74%		



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-21	10,267	245	228	2.22%	-17
Feb-21	10,222	245	233	2.28%	-12
Mar-21	10,349	245	241	2.33%	-4
Apr-21	10,433	245	244	2.34%	-1
May-21	10,458	245	243	2.32%	-2
Jun-21	10,537	245	248	2.35%	3
Jul-21	10,656	245	256	2.40%	11
Aug-21		245			
Sep-21		245			
Oct-21		245			
Nov-21		245			
Dec-21		245			

Progress: B. During public meetings, RCOC had 10,202, or 97.14%, of children in own home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	Percentage	All Children	Children in own home Parent/Guardian	Goal	%	# Attained
Statewide Average	96.71%	178,127	172,258			
RCOC Public Hearing 8/19/20	97.14%	10,502	10,202			
RCOC 8/01/21	97.04%	10,656	10,341	10,350	97.04%	-9
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.92%		



	Total Children Status 1&2	Goal	Children in own home Parent/Guardian	%	Number Attained
Jan-21	10,350	10,500	9,980	97.20%	-370
Feb-21	10,222	10,500	9,931	97.15%	-419
Mar-21	10,349	10,500	10,051	97.12%	-299
Apr-21	10,433	10,500	10,131	97.11%	-219
May-21	10,458	10,500	10,152	97.07%	-198
Jun-21	10,537	10,500	10,350	97.08%	-121
Jul-21	10,656	10,500	10,341	97.04%	-9
Aug-21		10,500			
Sep-21		10,500			
Oct-21		10,500			
Nov-21		10,350			
Dec-21		10,350			



Progress: C. During public meetings, RCOC had 10,436, or 99.37%, of children in homes.

C. Total number and % of regional center children in homes *(This is a total of sections A and B above).*

	Percentage	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.48%	178,127	177,196			
RCOC Public Hearing 8/19/20	99.37%	10,502	10,436			
RCOC 8/01/21	99.45%	10,656	10,597	10,595	99.45%	2
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.89%		

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-21	10,267	10,720	10,208	99.43%	-387
Feb-21	10,222	10,720	10,164	99.43%	-431
Mar-21	10,349	10,720	10,292	99.45%	-303
Apr-21	10,433	10,720	10,375	99.44%	-220
May-21	10,458	10,720	10,395	99.40%	-200
Jun-21	10,537	10,720	10,477	99.43%	-118
Jul-21	10,656	10,720	10,597	99.45%	2
Aug-21		10,720			
Sep-21		10,720			
Oct-21		10,720			
Nov-21		10,595			
Dec-21		10,595			



Performance Contract 2021

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served resides.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successory maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 101, or 0.85%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.93%	175,529	1,638			
RCOC Public Hearing 8/19/20	0.85%	11,861	101			
RCOC 8/01/21	0.84%	12,277	103	109	0.84%	-6
Analysis as of Public Hearing		RCOC % of DD population	6.76%	RCOC % of FH population	6.17%	

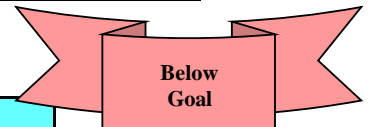


	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-21	12,047	109	103	0.85%	-6
Feb-21	12,705	109	103	0.85%	-6
Mar-21	12,099	109	105	0.87%	-4
Apr-21	12,146	109	104	0.86%	-5
May-21	12,193	109	104	0.85%	-5
Jun-21	12,229	109	102	0.85%	-7
Jul-21	12,277	109	103	0.84%	-6
Aug-21		109			
Sep-21		109			
Oct-21		109			
Nov-21		109			
Dec-21		109			

Progress: B. During public meetings, RCOC had 863, or 7.55%, of adults residing in independent living.

B. Total number and % of regional center adults residing in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	10.06%	175,529	17,660			
RCOC Public Hearing 8/19/20	7.55%	11,434	863			
RCOC 8/01/21	7.22%	12,277	886	899	7.22%	-13
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	4.89%		



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jan-21	12,047	899	886	7.35%	-13
Feb-21	12,075	899	887	7.35%	-12
Mar-21	12,099	899	887	7.33%	-12
Apr-21	12,146	899	899	7.34%	-8
May-21	12,193	899	888	7.28%	-11
Jun-21	12,220	899	889	7.27%	-10
Jul-21	12,277	899	886	7.22%	-13
Aug-21		899			
Sep-21		899			
Oct-21		899			
Nov-21		899			
Dec-21		899			

Progress: C. During public meetings, RCOC had 7,477, or 63.04%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults status 2	Adults Residing Own Home - Parent	Goal	%	# Attained
Statewide Average	64.98%	175,529	114,052			
RCOC Public Hearing 8/19/20	63.04%	11,861	7,477			
RCOC 8/01/21	68.25%	12,277	8,379	8,250	68.25%	129
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.56%		



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jan-21	12,047	8,250	8,141	67.58%	-109
Feb-21	12,075	8,250	8,180	67.74%	-70
Mar-21	12,099	8,250	8,209	67.85%	-41
Apr-21	12,146	8,250	8,255	67.96%	5
May-21	12,193	8,250	8,297	68.05%	47
Jun-21	12,229	8,250	8,330	68.12%	80
Jul-21	12,277	8,250	8,379	68.25%	129
Aug-21		8,250			
Sep-21		8,250			
Oct-21		8,250			
Nov-21		8,250			
Dec-21		8,250			

Progress: D. During public meetings, RCOC had 488, or 4.27%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults status 2	Adults Residing Supported Living	Goal	%	# Attained
Statewide Average	5.28%	175,529	9,260			
RCOC Public Hearing 8/19/20	4.27%	11,434	488			
RCOC 8/01/21	4.09%	12,277	502	508	4.09%	-6
Analysis as of Public Hearing	RCOC % of DD population	6.51%	RCOC % of FH population	5.27%		



	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-21	12,047	508	494	4.10%	-14
Feb-21	12,075	508	497	4.12%	-11
Mar-21	12,099	508	498	4.12%	-10
Apr-21	12,146	508	499	4.11%	-9
May-21	12,193	508	501	4.11%	-7
Jun-21	12,229	508	501	4.10%	-7
Jul-21	12,277	508	502	4.09%	-6
Aug-21		508			
Sep-21		508			
Oct-21		508			
Nov-21		508			
Dec-21		508			

Progress: E. During public meetings, RCOC had 9,936, or 83.77%, of adults residing in home-settings.

E. Total number and % of regional center adults in home settings (*This is a total of sections A, B, C and D above*).

	Percentage	Total Adults status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	81.25%	175,529	142,610			
RCOC Public Hearing 8/19/20	83.77%	11,861	9,936			
RCOC 8/01/21	80.39%	12,277	9,870	9,766	80.39%	104
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	6.97%		

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jan-21	12,047	9,766	9,624	78.79%	-142
Feb-21	12,075	9,766	9,667	80.06%	-99
Mar-21	12,099	9,766	9,699	80.16%	-67
Apr-21	12,146	9,766	9,749	80.27%	-17
May-21	12,193	9,766	9,790	80.29%	24
Jun-21	12,229	9,766	9,822	80.32%	56
Jul-21	12,277	9,766	9,870	0.39%	104
Aug-21		9,766			
Sep-21		9,766			
Oct-21		9,766			
Nov-21		9,766			
Dec-21		9,766			





Performance Contract 2021

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

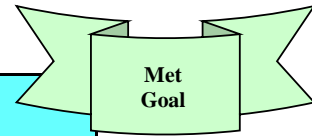
- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 2, or 0.02%, of children residing in a Community Care Facility (CCF)(7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	28			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 8/01/21	0.00%	10,656	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	7.14%		



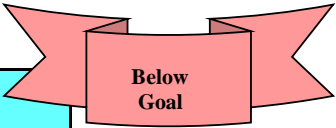
	Total Children status 1&2	Goal	Children Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	10,267	0	1	0.01%	-1
Feb-21	10,222	0	1	0.01%	-1
Mar-21	10,349	0	1	0.01%	-1
Apr-21	10,433	0	1	0.01%	-1
May-21	10,458	0	2	0.02%	-2
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	0	0.00%	0
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



Progress: B. During public meetings, RCOC had 2, or 0.02% of children residing in an Immediate Care Facility (CCF)(7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

B. Total number and % of regional center children residing in an ICF (7+ beds).

	Percentage	Total Children Status 1 & 2	Children Residing in an ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.02%	178,127	35			
RCOC Public Hearing 8/19/20	0.02%	10,502	2			
RCOC 8/01/21	0.01%	10,656	1	0	0.01%	-1
Analysis as of Public Hearing		RCOC % of DD population	5.90%		RCOC % of FH population	5.71%



	Total Children Status 1&2	Goal	Children Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	10,267	0	2	0.02%	-2
Feb-21	10,222	0	2	0.02%	-2
Mar-21	10,349	0	2	0.02%	-2
Apr-21	10,433	0	2	0.02%	-2
May-21	10,458	0	1	0.01%	-1
Jun-21	10,537	0	1	0.01%	-1
Jul-21	10,656	0	1	0.01%	-1
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1 & 2	Children Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.00%	178,127	5			
RCOC Public Hearing 8/19/20	0.00%	10,502	0			
RCOC 8/01/21	0.00%	10,656	0	0	0.00%	0
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	0.00%		



	Total Children status 1&2	Goal	Children Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	10,267	0	0	0.00%	0
Feb-21	10,222	0	0	0.00%	0
Mar-21	10,349	0	0	0.00%	0
Apr-21	10,433	0	0	0.00%	0
May-21	10,458	0	0	0.00%	0
Jun-21	10,537	0	0	0.00%	0
Jul-21	10,656	0	0	0.00%	0
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			





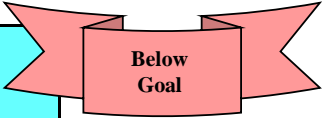
Progress: D. During public meetings, RCOC had 4, or 0.04%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center children residing in a facility with 7+ beds *(This is a total of sections A, B and C above).*

	Percentage	Total Children Status 1 & 2	Total Children Residing in 7+ bed facilities			
Statewide Average	0.04%	178,127	68			
RCOC Public Hearing 8/19/20	0.04%	10,502	4	Goal	%	# Attained
RCOC 8/01/21	0.01%	10,656	1	0	0.01%	-1
Analysis as of Public Hearing	RCOC % of DD population	5.90%	RCOC % of FH population	5.88%		



	Total Children Status 1&2	Goal	Total Children Residing in 7+ bed facilities	%	Number Attained
Jan-21	10,267	0	3	0.03%	-3
Feb-21	10,222	0	3	0.03%	-3
Mar-21	10,349	0	3	0.03%	-3
Apr-21	10,433	0	3	0.03%	-3
May-21	10,458	0	3	0.03%	-3
Jun-21	10,537	0	2	0.02%	-2
Jul-21	10,656	0	1	0.01%	-1
Aug-21		0			
Sep-21		0			
Oct-21		0			
Nov-21		0			
Dec-21		0			



Performance Contract 2021

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.

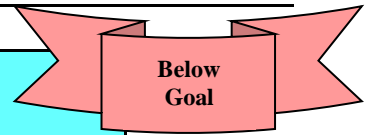


Progress: A. During public meetings, RCOC had 140, or 1.18%, of adults residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a Community Care Facility (CCF)(7+ beds).

	Percentage	Total Adults Status 2	Adults Residing in a CCF (7+ beds)	Goal	%	# Attained
Statewide Average	0.94%	175,529	1,646			
RCOC Public Hearing 8/19/20	1.18%	11,861	140			
RCOC 8/01/21	1.01%	12,277	124	120	1.01%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.51%		

	Total Adults Status 2	Goal	Adults Residing in a CCF (7+ beds)	%	Number Attained
Jan-21	12,047	120	126	1.05%	-6
Feb-21	12,075	120	121	1.00%	-1
Mar-21	12,099	120	121	1.00%	-1
Apr-21	12,146	120	121	1.00%	-1
May-21	12,193	120	123	1.01%	-3
Jun-21	12,229	120	124	1.01%	-4
Jul-21	12,277	120	124	1.01%	-4
Aug-21		120			
Sep-21		120			
Oct-21		120			
Nov-21		120			
Dec-21		120			



Progress: B. During public meetings, RCOC had 21, or 0.12%, of adults residing in an Intermediate Care Facility (ICF)(7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF (7+ beds).

	Percentage	Total Adults status 2	Adults Residing in a ICF (7+ beds)	Goal	%	# Attained
Statewide Average	0.56%	175,529	975			
RCOC Public Hearing 8/19/20	0.18%	11,861	21			
RCOC 8/01/21	0.09%	12,277	11	14	0.09%	3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	2.15%		



	Total Adults Status 2	Goal	Adults Residing in a ICF (7+ beds)	%	Number Attained
Jan-21	12,047	14	12	0.10%	2
Feb-21	12,075	14	12	0.09%	2
Mar-21	12,099	14	12	0.10%	2
Apr-21	12,146	14	12	0.10%	2
May-21	12,193	14	11	0.09%	3
Jun-21	12,229	14	10	0.08%	4
Jul-21	12,277	14	11	0.09%	3
Aug-21		14			
Sep-21		14			
Oct-21		14			
Nov-21		14			
Dec-21		14			



Progress: C. During public meetings, RCOC had 93, or 0.78%, of adults residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center adult caseload residing in a nursing facility.

	Percentage	Total Adults status 2	Adults Residing in a Nursing Facility (7+ beds)	Goal	%	# Attained
Statewide Average	0.61%	175,529	1,068			
RCOC Public Hearing 8/19/20	0.78%	11,861	93	78	0.66%	-3
RCOC 8/01/21	0.66%	12,277	81	78	0.66%	-3
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	8.71%		

	Total Adults Status 2	Goal	Adults Residing in a Nursing Facility (7+ beds)	%	Number Attained
Jan-21	12,047	78	78	0.65%	0
Feb-21	12,075	78	79	0.65%	-1
Mar-21	12,099	78	80	0.66%	-2
Apr-21	12,146	78	78	0.64%	0
May-21	12,193	78	79	0.65%	-1
Jun-21	12,229	78	78	0.64%	0
Jul-21	12,277	78	81	0.66%	-3
Aug-21		78			
Sep-21		78			
Oct-21		78			
Nov-21		78			
Dec-21		78			

Below Goal

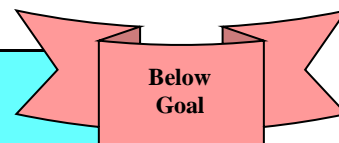
Progress: D. During public meetings, RCOC had 254, or 2.14%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of regional center adults residing in a facility with 7+ beds (*This is a total of sections A, B and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ bed facilities	Goal	%	# Attained
Statewide Average	2.06%	175,529	3,618			
RCOC Public Hearing 8/19/20	2.14%	11,861	254			
RCOC 8/01/21	1.76%	12,277	216	212	1.76%	-4
Analysis as of Public Hearing	RCOC % of DD population	6.76%	RCOC % of FH population	7.02%		



	Total Adults Status 2	Goal	Total Adults Residing in 7+ bed facilities	%	Number Attained
Jan-21	12,047	212	216	1.79%	-4
Feb-21	12,075	212	212	1.76%	0
Mar-21	12,099	212	213	1.76%	-1
Apr-21	12,146	212	211	1.74%	1
May-21	12,193	212	213	1.75%	-1
Jun-21	12,229	212	212	1.73%	0
Jul-21	12,277	212	216	1.76%	-4
Aug-21		212			
Sep-21		212			
Oct-21		212			
Nov-21		212			
Dec-21		212			



Performance Contract 2021

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals ages 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 123, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 days	Goal	% Attained
Statewide Average	91.44%	4,648	4,250		
RCOC Public Hearing 8/19/20	100%	123	123		
RCOC 8/01/21	100.00%	222	222	100%	100.00%



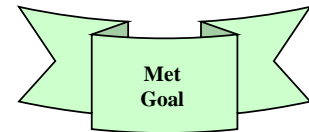
	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 days	% Attained
Jan-21	148	100%	145	97.97%
Feb-21	146	100%	145	99.32%
Mar-21	159	100%	159	100.00%
Apr-21	185	100%	185	100.00%
May-21	204	100%	202	99.02%
Jun-21	220	100%	220	100.00%
Jul-21	222	100%	222	100.00%
Aug-21		100%		
Sep-21		100%		
Oct-21		100%		
Nov-21		100%		
Dec-21		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 with 121-240 days		
Statewide Average	7.42%	4,648	345		
RCOC Public Hearing 8/19/20	0%	123	0	Goal	% Attained
RCOC 8/01/21	0.00%	220	0	0%	0.00%

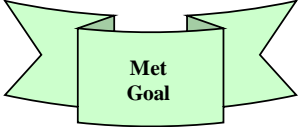


	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with 121-240 days	%
Jan-21	148	0.00%	3	2.03%
Feb-21	146	0.00%	1	0.68%
Mar-21	159	0.00%	0	0.00%
Apr-21	185	0.00%	0	0.00%
May-21	204	0.00%	2	0.98%
Jun-21	220	0.00%	0	0.00%
Jul-21	222	0.00%	0	0.00%
Aug-21		0.00%		
Sep-21		0.00%		
Oct-21		0.00%		
Nov-21		0.00%		
Dec-21		0.00%		

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 days	Goal	%
Statewide Average	1.14%	4,648	53		
RCOC Public Hearing 8/19/20	0%	123	0		
RCOC 8/01/21	0.00%	222	0	0%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 days	% Attained
Jan-21	148	0%	0	0%
Feb-21	146	0%	0	0%
Mar-21	150	0%	0	0%
Apr-21	185	0%	0	0%
May-21	204	0%	0	0%
Jun-21	220	0%	0	0%
Jul-21	222	0%	0	0%
Aug-21		0%		
Sep-21		0%		
Oct-21		0%		
Nov-21		0%		
Dec-21		0%		



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of \$1,000, \$1,250, and \$1,500 incentive payments made within the fiscal year.

Fiscal Year	\$1,000	\$1,250	\$1,500
2016-2017	95	38	0
2017-2018	155	97	78
2018-2019	151	128	83
2019-2020	131	115	90

*NOTE: Incentive payments started in FY 2016-17, partial year data

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in competitive, integrated employment when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2016-2017	17	\$10.77
2017-2018	23.5	\$11.31
2018-2019	21	\$12.06
2019-2020	22	\$13.06



Performance Contract 2021

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain competitive, integrated employment and maintain those positions over time.

Progress: A. Results from the National Core Indicator Surveys conducted in FY 2014-2015, 47% of those interviewed indicating a desire for work in the community. For FY 2018-2019 57% of those interviewed expressed a desire for paid employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPPs.

	Percentage	
RCOC FY 2010-11	40%	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%



Progress: D. In FY 2016-2017, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests, and lead into opportunities for competitive integrated employment. The PIP was initiated in FY 2016-2017. In 2018 RCOC had 1 individual within a paid internship that resulted in employment, and for 2019 that number increased to 7 individuals.

D. Number of adults placed in competitive, integrated employment following participation in a Paid Internship Program.

	Total
2016-2017	0
2017-2018	1
2018-2019	7
2019-2020	11

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2018-2019, 21% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% of Adults
2017-2018	13%
2018-2019	21%
2019-2020	14%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in Paid Internship Program during the previous fiscal year.

	Hours Wk.	Wage
2017-2018	14.24	\$11.25
2018-2019	18	\$12.34
2019-2020	13	\$13.43



Progress: B. Results from the Employment Development Department in 2017 indicate that 21% of persons served ages 16-64 reported having earned income. In 2018, the percentage of persons served ages 16-64 reporting earned income was 21%.

B. Percentage of people ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2013	12.80%	12%
2014	17.90%	13.10%
2015	27%	16%
2016	22%	17%
2017	21%	17%
2018	21%	16%
2019	20%	16%

Progress: C. Results from the Employment Development Department in 2017 indicate that average annual wage for persons served ages 16-64 was \$7,580. In 2018, the average annual wage for persons served ages 16-64 was \$8,806. This measure will also compare average annual wages of all people with disabilities.

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2013	\$6,691	\$6,697
2014	\$6,086	\$7,044
2015	\$5,681	\$7,248
2016	\$6,613	\$8,327
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327



Performance Contract 2021

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for persons served.

Objective: RCOC service coordinators will implement the Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into Competitive Integrated Employment (CIE).

Progress: A. Results from the Employment Development Department (EDD) conducted in 2016 indicate that 2,085 persons served ages 16-64 had earned income. In 2018, 3,336 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.

	RCOC	Statewide Avg.
2015	2,175	962
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1311
2019	2335	1341



Performance Contract 2021

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that support services are flexible and innovative in meeting the family's needs as they evolve over time; are tailored to the preferences of the individual family; and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support group leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.

Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data resource. Fiscal year 2018-19 data reflects either an increase or decrease in services and expenditures related to disparity criteria.



Progress: A. Review of fiscal year 2018-19 purchase of service data and client master file (CMF). Initial data generation will be compared to subsequent FY information.

A. Percent of total annual purchase of service expenditures by individual's ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	8 ↓	0.3% ↑	\$106,6804 ↑	74.8% ↑
Asian	923 ↓	19.1% ↓	\$7,862,556 ↑	63.5% ↓
Black/African American	72 ↑	1.1% ↑↑	\$463,399 ↑	56.9% ↓
Hispanic	2204 ↑	34.1% ↓	\$14,029,406 ↑	58.5% ↓
Native Hawaiian or Other Pacific Islander	7↑	0.0%	\$13,315 ↓	41.8% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	26.9% ↑	\$11,063,412 ↑	60.6% ↑
White	1,146 ↑	18.4% ↓	\$7,546,391 ↓	60.7% ↓
Totals	5,874 ↑	100.0%	\$41,085,159 ↑	60.5% ↓

Age 3 Years to 21 Years

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	13 ↓	0.1% ↔	\$119,773 ↓	68.4% ↑
Asian	1,804 ↑	17.5% ↓	\$15,208,333 ↓	66.6% ↓
Black/African American	167 ↓ ↑	1.7% ↓	\$1,476,695 ↓	66.8% ↑
Hispanic	3,796 ↑	28.3% ↓	\$24,672,656 ↓	62.7% ↓
Native Hawaiian or Other Pacific Islander	19 ↑	0.1% ↑	\$78,995 ↑	67.2% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	18.3% ↓	\$15,925,185 ↓	63% ↓
White	2,304 ↑	34% ↑	\$29,615,939 ↓	71.9% ↓
Totals	9,757 ↑	100.0%	\$87,097,575 ↓	66.7% ↑

Age 22 Years and Older

Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	21 ↑	0.3% ↔	\$1,044,925 ↑	89% ↑
Asian	1,309 ↑	11.1% ↓	\$38,395,565 ↑	83.2% ↓
Black/African American	237 ↑	3% ↑	\$10,535,654 ↑	85.2% ↑
Hispanic	2,455 ↑	18.9% ↑	\$65,155,229 ↑	82.2% ↑
Native Hawaiian or Other Pacific Islander	10 ↑	0.1%	\$249,373 ↑	81.1% ↓
Other Ethnicity or Race / Multi-Cultural	717 ↓	7.1% ↓	\$24,503,779 ↑	83.2% ↓
White	4610 ↑	59.5% ↑	\$205,617,093 ↑	85.6% ↓
Totals	9,359 ↑	100.0%	\$345,501,617 ↑	84.3% ↓



Progress: B. Review of fiscal year 2018-19 purchase of service data and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; 22 and older.

Birth to 2 Years Old

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	8 ↓	1 ↑	12.5% ↑
Asian	923 ↓	32 ↔	3.5% ↑
Black/African American	72 ↑	2 ↓	2.8% ↓
Hispanic	2,204 ↑	108 ↑	4.9% ↑
Native Hawaiian or Other Pacific Islander	7 ↑	1 ↔	14.3% ↓
Other Ethnicity or Race / Multi-Cultural	1,514 ↑	59 ↑	3.9% ↑
White	1,146 ↑	43 ↓	3.8% ↓
Totals	5,874 ↑	246 ↑	4.2% ↑

Age 3 Years to 21 Years

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	13 ↓	2 ↔	15.4% ↑
Asian	1,804 ↑	466 ↑	25.8% ↑
Black/African American	167 ↓	67 ↑	40.1% ↑
Hispanic	3,796 ↑	1,564 ↑	41.2% ↑
Native Hawaiian or Other Pacific Islander	19 ↑	10 ↑	52.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,654 ↓	411 ↓	24.9% ↓
White	2,304 ↑	522 ↓	22.7% ↓
Totals	9,757 ↑	3,042 ↑	31.2% ↓

Age 22 Years and Older

Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	21 ↑	1 ↓	4.8% ↓
Asian	1,309 ↑	258 ↑	19.7% ↔
Black/African American	237 ↑	39 ↔	19.7% ↑
Hispanic	2,455 ↑	526 ↑	21.4% ↓
Native Hawaiian or Other Pacific Islander	10 ↑	3 ↑	30% ↑
Other Ethnicity or Race / Multi-Cultural	717 ↓	125 ↑	17.4% ↓
White	4,610 ↑	503 ↓	10.9% ↓
Totals	9,359 ↑	1,455 ↑	15.5% ↓



Progress: C. Review of fiscal year 2018-19 purchase of service data and Client Master File. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service expenditures by individual's primary language for all ages (30 or more people with language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
English	18,838 ↑	\$21,155 ↑	79.94% ↑
Spanish	4,527 ↑	\$11,257 ↓	71.78% ↓
Mandarin Chinese	74 ↑	\$11,539 ↓	71.62% ↑
Vietnamese	1,166 ↑	\$12,436 ↓	77.40% ↑
Korean	156 ↑	\$22,686 ↓	82.81% ↑
Tagalog	26 ↓	\$26,022 ↑	81.11% ↑
Arabic	42 ↑	\$11,639 ↓	67.68% ↑

**Regional Center of Orange County
Board Recruitment and Training Committee
July 12, 2021
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Hilda Mendez

Committee Members Absent: Frances Hernandez
Sandy Martin
Chinh Nguyen
Fernando Peña

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technologies Director
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel
Keli Radford, Services and Supports Director

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming turnover.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act. Much discussion ensued about composition and how DDS calculates compliance.

C. Board Composition Survey due to DDS by August 15, 2021

Mr. Landauer reported that RCOC has not received the 2021 Board Composition Survey from the Department of Developmental Services (DDS) that must be completed and submitted to DDS by August 15, 2021.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed the educational training topics for the upcoming Board training in October 2021, and agreed that it will be on the Self-Determination Program. Mr. Landauer said that the training on Early Start services will be provided at the Board retreat in February 2022.

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:29 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Policies and Outcomes Committee
July 19, 2021
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Sandy Martin
Chip Wright

Committee Members Absent: Mine Hagen
Liza Krassner

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Arturo Cazares, Associate Director of Employment (*joined at 5:59 p.m.*)
Bonnie Ivers, Clinical Director
Keli Radford, Services and Supports Director
Jack Stanton, Associate Director of Housing
Stacy Wong, Director of Human Resources

Corporate Counsel Absent: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:58 p.m.

I. Governance Policies

A. Review of Purchase of Service Policy

The Committee reviewed and accepted the proposed revisions to the policy.

M/S/C to recommend that the Board approve the Purchase of Service Policy, as proposed.

B. Review of the Policy on Board Membership and Application Process

The Committee reviewed and approved the revisions and then proposed that staff add relevant Guiding Principles so that it would be consistent with the format of other Governance Policies.

M/S/C to recommend that the Board approve the Board Membership and Application Process with the addition of the Guiding Principles, as proposed.

C. Review of the Policy on Resource Development and Procurement

The Committee reviewed and did not propose any revisions to the policy.

D. Follow-up discussion on the Policy on Background Check Requirements for Providers

Ms. Christina Petteruto, RCOC's General Counsel, provided an update to the discussion in April 2021, regarding the possibility of requiring Live Scan as the standard background check for all vendors. Ms. Petteruto reminded the Committee that at its last meeting, Dr. Bonnie Ivers, RCOC's Clinical Director, reported on her discussion with the Abuse Awareness Task Force about the pros and cons of RCOC requiring the use of Live Scan for all vendors. The vendors on the Task Force asked that due to the cost of using Live Scan, would RCOC provide additional funding if it required Live Scan. RCOC would not be able to provide additional funding because there is no mechanism to adjust vendor's rates for this kind of cost. Additionally, the Committee agreed that Mr. Landauer would advocate for the Department of Developmental Disabilities (DDS) to expand the background check process that is in statute for Adult Family Home Agencies (AFHA) and the Self-Determination Program (SDP) to all other services.

Ms. Petteruto stated that there are issues with Mr. Landauer advocating for DDS to expand the background check process to all services:

(1) Would the background checks be processed in a timely manner given that a few thousand persons are served in SDP and AFHA while all services would be in the hundreds of thousands, and

(2) Risk - SDP parents choose their direct service staff for their family members; it is not the traditional vendor/employee relationship. If the Financial Management Service vendor proceeds with a SDP hire because the parents want it and DDS agrees even though it has not processed the background check yet, there is much less risk. Traditional vendors are liable for their hiring decisions and must be able to hire in a timely manner.

Ms. Petteruto added that Live Scan does not include the sex offender registry search, which is a requirement of RCOC's Policy on Background Check Requirements for Providers.

Ms. Petteruto reported that RCOC recommends not requiring vendors to use Live Scan or involve DDS because vendors are independent contractors. Ms. Petteruto said that RCOC recommends providing additional trainings to help vendors identify subtle signs of abuse and develop methods of reducing the risk of sexual abuse. According to RCOC's insurance carrier, 90% of sex offenders have no past criminal conviction. RCOC's Abuse Awareness Task Force will continue to work to identify ways to address abuse. RCOC will continue its collaboration with the Orange County District Attorney's Office and local law enforcement (wellness check event).

II. Outcomes

A. Person Centered Thinking (PCT) Update

Mr. Landauer reported that RCOC continues to hold virtual PCT trainings.

B. Health and Wellness Project Update

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the *Healthy Life, Happy Life* program, with 206 participants, concluded with a graduation ceremony in June 2021. The next class is scheduled to begin in August and end mid-November 2021; it will focus on educating individuals about diabetes, hypertension and high cholesterol health problems.

C. Employment Update

Mr. Arturo Cazares, RCOC's Associate Director of Employment, reported that although employment opportunities have increased statewide there is a shortage in applicants, especially job coaches for the Competitive Integrated Employment (CIE) program.

D. Housing Update

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that the search continues for homes that will be purchased by the non-profit housing Corporation, Brilliant Corners, and renovated as Enhanced Behavioral Supports Homes (EBSHs).

E. National Core Indicators (NCI) Update

Mr. Landauer reported that DDS' new *NCI Comprehensive Dashboards* are now available at www.dds.ca.gov/rc/nci/nci-interactive-dashboard/. DDS updated the dashboards to be more user friendly and show data collected in California over the past several years from four NCI surveys: In-Person, Families with Individuals Living at Home, Families with Individuals Living Away from Home and Families with Pre-Adult Children.

III. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 7:07 p.m.

Recorder: Sandra Lomelí

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 2, 2021
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Purchase of Service Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 19, 2021, the Policies and Outcomes Committee reviewed the Purchase of Service Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Purchase of Service Policy as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Purchase of Service Policy as presented.

VI. PURCHASE OF SERVICE POLICY

It is the intent of the Lanterman Developmental Disabilities Services Act (Welfare & Institutions Code (WIC) § 4500 et seq.) (Lanterman Act) that regional centers assist their persons served with developmental disabilities and their families in securing ~~those~~ specialized services and supports which maximize opportunities and choices in living, working, learning and recreating in the community.

Services and supports for the person served shall be identified through the Multidisciplinary/Planning Team process which shall include at a minimum, the person served, the service coordinator and, when appropriate, the person's parents, legal guardian and/or conservator.

For those persons in the Self-Determination Program (SDP), they will have a specific budget to purchase the services and supports ~~that they need~~ necessary to implement their Individual Program Plan (IPP). Within the parameters set forth in the Lanterman Act, SDP participants may choose their services and pick which providers deliver those services. SDP participants are responsible for staying within their annual budget.

In identifying those services and supports to be included in the IPP, the Multidisciplinary/Planning Team shall be guided by:

- The needs and preferences of the person served and family.
- The promotion of community integration which will assist the person served to lead a more independent, productive, and normal life in a stable, safe and healthy environment.
- The least restrictive environment.
- Utilization of existing natural supports (e.g., family, friends, coworkers), circles of support, generic resources, and private resources when applicable.

In accordance with the Lanterman ~~Developmental Disabilities Services~~ Act and Early Start program, services and supports may be purchased under the following circumstances:

- Services and supports are provided within the framework of the Regional Center of Orange County (RCOC) Guiding Principles.
- The service and/or support has been identified in the Individual Program Plan/Person Centered Plan (IPP/PCP) or the Individualized Family Service Plan (IFSP), by the Multidisciplinary/Planning Team, as necessary to accomplish all or part of the IPP or IFSP.
- The need for the service and/or support is related to the person's developmental disability as per the Lanterman ~~Developmental Disabilities Services~~ Act.

- The need for the services and/or supports is related to the person's developmental delay(s) or established risk conditions as per the California Early Intervention Services Act (California Government Code, Title 14, California Early Intervention Services Act, Chapter 4, Eligibility, Section 95014(a)(1), (2), (3)).
- Authorization for the service and/or support has been authorized by RCOC prior to the provision of services.
- All funding sources, both generic and private, when applicable, have been sought and fully utilized.
- Circles of support and natural supports have been considered and utilized, when appropriate.
- The provider of the service and/or support is vendored with RCOC or another regional center, and funding is not otherwise precluded.
- The cost of providing services and supports of comparable quality by different providers, if available, shall be reviewed, and the least costly available provider of comparable cost, including the cost of transportation, who is able to accomplish all or part of the person's individual program plan, consistent with the particular needs of the person served and family as identified in the individual program plan, shall be selected. (WIC §4648 (a)(6)(D))

Previously authorized services and supports may be renewed or continued if the above criteria continue to be met as well as:

- There is agreement among the members of the Multidisciplinary/Planning Team that reasonable progress toward objectives has been made as a result of the service and/or support, and the need for the service/support continues to exist (WIC § 4648 (a)(7)).

RCOC will not authorize funding for any form of therapy, devices, drugs, or services which are considered by recognized professionals to be experimental or which may be potentially harmful to the person served. (WIC § 4648(a)(16); WIC § 4502 (8); Title 17 50510 (a9)).

In addition to the above, RCOC staff shall refer to RCOC's Purchase of Service Guidelines when authorizing a service request on behalf of a person served. Although specific service and support categories have been identified (e.g., respite, day care and transportation), the individualized service needs of each person served cannot always be anticipated. On a case-by-case basis, exceptions may be made to RCOC's Purchase of Service Guidelines. In these circumstances, the need for the service/support shall be documented and approval for the service/support shall be time limited.

The Multidisciplinary/Planning Team process by which the person's service and support needs are identified is a collaborative process, and every effort will be made by RCOC staff to assist the person served and family to identify alternative resources or other options for

those services and supports which cannot be authorized by RCOC. Every effort will be made to resolve any differences. Should a disagreement exist regarding the provision or funding of a particular service or support based on RCOC's criteria, professional opinion, or best practice, then the person served, family, or authorized representative shall be advised by RCOC's staff of their rights to a local informal meeting, mediation, or due process fair hearing.

GUIDING PRINCIPLES

- Persons served make decisions about all aspects of their lives.
- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- Service coordinators inform families of their rights and the services and supports available to them.
- Person-centered planning is based upon choices and preferences and the identification of generic resources and natural supports.
- Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 2, 2021
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Policy on Board Membership and Application Process**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 19, 2021, the Policies and Outcomes Committee reviewed the Policy on Board Membership and Application Process.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to Policy on Board Membership and Application Process as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy on Board Membership and Application Process as presented.

XII. POLICY ON BOARD MEMBERSHIP AND APPLICATION PROCESS

A. Membership.

The composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (~~and any revisions or amendments thereto~~ Lanterman Act). For example, as cited in Welfare and Institutions Code § 4622:

- a) *The governing board shall be composed of individuals with demonstrated interest in, or knowledge of, developmental disabilities.*
- b) *The membership of the governing board shall include persons with legal, management, public relations, and developmental disability program skills.*
- c) *The membership of the governing board shall include representatives of the various categories of disability to be served by the regional center.*
- d) *The governing board shall reflect the geographic and ethnic characteristics of the area to be served by the regional center.*
- e) *A minimum of 50 percent of the members of the governing board shall be persons with developmental disabilities or their parents or legal guardians. No less than 25 percent of the members of the governing board shall be persons with developmental disabilities.*
- f) *Members of the governing board shall not be permitted to serve more than seven years within each eight-year period.*
- g)
 - (1) *The regional center shall provide necessary training and support to these board members to facilitate their understanding and participation, including issues relating to linguistic and cultural competency.*
 - (2) *As part of its monitoring responsibility, the department shall review and approve the method by which training and support are provided to board members to ensure maximum understanding and participation by board members.*
 - (3) *Each regional center shall post on its Internet Web site information regarding the training and support provided to board members.*
- h) *The governing board may appoint a consumers' advisory committee composed of persons with developmental disabilities representing the various categories of disability served by the regional center.*
- i) *The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated*

functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.

In order to achieve balance and diversity, and comply with the mandates of the Lanterman ~~Developmental Disabilities Services~~ Act (WIC § 4622), the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include financial, education, community service, public health, and others as appropriate to the needs of its constituencies.

By August 15th of each year, Regional Center of Orange County's (RCOC) governing board shall submit to the Department of Developmental Services (DDS) detailed documentation, as determined by the department, demonstrating that the composition of the board is in compliance with Section 4622 (WIC § 4622.5).

B. Application Process for New Member.

1. The Chairperson of the Board shall appoint a Board Recruitment and Training Committee and shall appoint the Chairperson of such committee at the beginning of each fiscal year. The immediate past Chairperson, if still serving, shall serve as a member of the committee, as well as other members of the Board as appointed by the Chairperson.
2. Applications shall be available from the Executive Office and on the RCOC Web site. An application shall be provided to anyone upon request. A copy of this Policy on Board Membership and Application Process shall be given to each person with the application and will be available on the RCOC Web site.
3. Applications shall be submitted to the Executive Office and within five (5) business days of receipt of an application, a written acknowledgement will be mailed to the applicant stating that the application has been received.
4. The Board Recruitment and Training Committee shall meet at least quarterly to review the applications received for Board membership. As appropriate, one or more of the members of the Board Recruitment and Training Committee will schedule an interview with applicants after reviewing their applications. Other members of the Board as well as Executive Management Staff may be invited to participate in the interview process.
5. If, upon consideration of the application, a majority of the Board Recruitment and Training Committee recommends approval of the application, said recommendation shall be forwarded to the Executive Committee for approval. If the Executive Committee recommends approval of the application, it will be sent to the Board for its consideration and action at an upcoming Board meeting. The applicant shall be sent notice in writing by the Executive Office of the Board Recruitment and Training

and Executive Committees' recommendations and invited to attend the next scheduled Board of Directors meeting. If the application is approved by the Board, the applicant's Board term will begin on the date established by the Board when the member is elected.

6. If, upon consideration of the application, a majority of the Board Recruitment and Training Committee does not recommend approval of the application by the Board, the applicant shall be given notice in writing by the Executive Office of said action within five (5) business days of the decision of the Board Recruitment and Training Committee.

C. Exclusions.

1. As Board vacancies occur, the composition specified above shall be achieved by recruitment and/or consideration of new applications of persons who are not Board members.
2. If the composition of the Board is in jeopardy of being out of compliance with the provisions of Lanterman ~~Developmental Disabilities Services~~ Act, if Board membership falls below the minimum of eight (8) members, or if the Board has identified a missing, but highly desirable skill set in its composition, the Board Recruitment and Training Committee shall convene immediately and will consider the current applications to fill any vacant positions.
3. This Policy shall not apply to a Board member whose term of office expires. When a Board member is eligible for and desires to be considered for Board approval for a new term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for a new term of office and will discuss its decision with the Board member prior to expiration of his or her term of office.
4. This Policy shall not apply to the Board member representing the Vendor Advisory Committee. As per the Lanterman Act (WIC § 4622), the Vendor Advisory Committee shall designate one of its members to serve as a member of the regional center board.

GUIDING PRINCIPLES

- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interest of the Orange County community it serves.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 2, 2021
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Review of Policy on Resource Development and Procurement**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 19, 2021, the Policies and Outcomes Committee reviewed the Policy on Resource Development and Procurement.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Resource Development and Procurement.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XVI. POLICY ON RESOURCE DEVELOPMENT AND PROCUREMENT

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes that in order to meet the needs of the individuals it serves, it may issue requests for proposals for services. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

RCOC also recognizes the importance of transparency and accountability to the community it serves. In keeping with the Lanterman Developmental Disabilities Services Act, RCOC is committed to reporting information with accuracy and transparency and maintaining compliance with the laws, rules and regulations that govern RCOC's business.

The vendorization process is governed by the California Code of Regulations, Title 17. Under Title 17, vendor applicants may qualify and be vendored outside a Request for Proposals (RFP) Process. However, RCOC will continue to use the RFP process for the development of services to meet the needs of those individuals it serves.

POLICY

The contract between the Department of Developmental Services (DDS) and RCOC requires RCOC to have a Board policy on resource development that includes all of the following:

- the circumstances under which the regional center will issue requests for proposals (RFPs) to address a service need;
- the applicable dollar thresholds for requiring the utilization of the RFP process;
- the RFP notification process; and
- how submitted proposals will be evaluated and the applicant selected.

REQUEST FOR PROPOSALS PROCESS

Issuance of Requests and Instructions for Submissions

There is no dollar threshold for requiring use of the RFP process. RCOC will issue RFPs at least once a year for new services, if any new services are needed, excluding parent-vendor services and services paid at the Schedule of Maximum Allowances, also known as Medi-Cal rates. For existing services obtained through the RFP process for which rates are negotiated, such as transportation, RCOC will issue a new RFP at least once every five (5) years for the service. In accordance with the Start-Up, Gap and Lag Funding Policy, RCOC will also issue RFPs for the award of start-up funding, unless the

RCOC Board makes a finding that the award is necessary to protect the health and/or safety of a specific individual served by RCOC and votes to approve the award. Although the California Code of Regulations, Title 17, section 54322(a) requires regional centers to approve vendorization within 45 days of receipt of all information which specifies that the applicant is in compliance with section 54320(a), RCOC encourages applicants to follow the RFP process.

RFP information is distributed to interested service providers and the general public via RCOC's website. An Information Conference is held after distribution of RFPs in order to answer questions and further clarify the projects.

Electronic documents are required at all stages in the process of becoming a service provider. The proposal must contain the following:

- A completed RFP Response Form,
- An Executive Summary describing the service, staff qualifications and service implementation as described in the RFP, and
- A statement on equality and diversity as required by Welfare and Institutions Code section 4648.11.

Proposal Review Process

Proposals will be reviewed by an RFP Review Committee, which shall include RCOC management as well as staff members knowledgeable about the specific services being requested. Supplemental information may be requested. Applicants may be asked to participate in an interview. Any proposal may be rejected if it is incomplete or deviates from the specifications in the RFP. RCOC reserves the right to reject any or all proposals and to cancel the RFP process at its discretion. If negotiations fail with the selected applicant, RCOC reserves the right to re-open negotiations with the next qualified applicant or to re-post the RFP.

Criteria for Evaluation of Proposals

Evaluation of the applicant's proposal will consider the following:

- The proposal provides evidence that the applicant understands the needs of individuals to be served and the issues involved in providing quality services.
- Applicant demonstrates an understanding of current "Best Practices" and has incorporated them into the design.
- Proposal outcomes are realistically attainable and can be expected to produce desired outcomes and a higher quality of life for individuals receiving services.

- The applicant's philosophy and values are consistent with RCOC's mission, vision and guiding principles.
- For services with a negotiated rate, whether the applicant is the least costly available provider who is able to meet individuals' needs.

Acceptance and Selection of Proposals

All proposals from applicants that meet the specifications, and if required, provide supplemental information and participate in an interview, will be ranked according to the criteria stated above. If the RFP is for services with negotiated rates, proposals will be opened publicly. Once awarded, all selected applicants will be required to complete and comply with the California Code of Regulations, Title 17, and RCOC vendor requirements. Information regarding requests for proposals and contract awards, including the organization or entity awarded the contract, and the amount and purpose of the award, will be posted on RCOC's website (WIC § 4629.5 and 4929.5(b)(4)).

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.
- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

**Regional Center of Orange County
Vendor Advisory Committee
June 8, 2021
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, present

Adult Day Programs

Chair, Rick Perez, absent
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present
Co-Chair, Mark Antenucci, absent

Behavior Services

Chair, Junie Lazo-Pearson, present
Co-Chair, Cindy Hebert, absent

Community Care Facilities

Chair, Rebekah Hayes, absent
Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present
Co-Chair, Junie Lazo-Pearson, present

Habilitation

Chair, Jodean Hudson, present
Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, David Santana, present
Co-Chair, Andrew Velasco, present

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

Vendor Advisory Committee Minutes
June 8, 2021

Board Members Present: Marcell Bassett
Chinh Nguyen

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Liliana Castillo, Accounting Manager
Arturo Cazares, Associate Director of Employment
Jyusse Corey, Peer Advocate
Bonnie Ivers, Clinical Director
Jack Stanton, Associate Director of Housing
Sean Watson, Risk Management, QA
Marta Vasquez, Associate Finance Director

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:04 p.m.

II. RCOC Update

Mr. Larry Landauer reported that earlier this month, the Treasury Department began distributing \$350 billion in aid to state and local governments authorized under the American Rescue Plan Act. Aid can be used not only in direct efforts to combat COVID-19, from screening tests and vaccines to prevention and recovery, but also to cover treatment for mental health, substance use disorders and other crises exacerbated by the pandemic.

Mr. Landauer reviewed sections of the Legislative Subcommittee Report and stated that what was presented in budget hearings regarding vendor rates is not what the legislature approved. The LAO, senators and assembly members commented on the absence of information on vendor rates and implementation of the Burns Rate Study.

Mr. Landauer stated that COVID-19 cases are down statewide. At RCOC, over 4,280 persons served have received their first dose of the vaccine; 3,807 are fully vaccinated; and, over 442 have declined the vaccine.

Mr. Landauer reported that both Lanterman and Early Start cases are steadily increasing statewide.

Mr. Landauer also reported that RCOC continues to host its community COVID-19 updates.

Mr. Landauer stated that the next Self-Determination Local Advisory Committee meeting is scheduled for June 14, 2021 at 6:30 p.m.

III. VAC Chair and Co-Chair Elections

The Committee re-elected Ms. Tiffany Bauer as VAC Chairperson for a one-year term commencing July 1, 2021 and ending June 30, 2022. No Co-Chair was elected.

IV. Board Report

Mr. Landauer reported that the Board of Directors meeting was held on June 3, 2021.

V. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, reported that the next PAC meeting is scheduled for June 15, 2021. Mr. Corey stated that he continues to provide persons served and Service Coordinators with up-to-date information on CalABLE accounts and COVID-19 resources specifically for persons with developmental disabilities.

VI. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA continues working with Mr. Arturo Cazares, RCOC's Associate Director of Employment, to ensure that transportation services are available when day programs resume traditional services. Ms. Mungia stated that OCTA's ACCESS ridership has increased and facemasks are still required on all public transit.

VII. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (absent)

In the absence of Mr. Navarro and Mr. Perez, Ms. Atrem Behmanesh reported that the two subcommittees met virtually and Dr. Peter Himber, RCOC's Medical Director, gave a presentation on the COVID-19 vaccines. They also discussed the process of submitting Special Incident Reports (SIRs) if group homes do not allow persons served to attend day programs, staffing challenges, and OCTA's ACCESS services.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that the subcommittee met virtually to discuss referrals, how to encourage staff to get the COVID-19 vaccine and that RCOC's in-person visits have resumed

D. Behavior Services – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that their next subcommittee meeting is scheduled for August 2021.

E. Community Care Facilities (CCF) – Rebekah Hayes (absent)

In Ms. Rebekah Hayes absence, Mr. Jack Stanton reported that the subcommittee met and discussed the Legislative Subcommittee Report. The subcommittee also discussed safety measures with the reopening of day programs.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that their subcommittee is scheduled to meet in July 2021.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that their subcommittee is scheduled to meet in July 2021.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee meets twice a month to discuss the difficulty of paying staff competitive wages. They also discussed the increases in the cost of drug screening and background checks.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

Mr. Rich Mraule was absent and no report was provided.

J. Support Services/Allied Health – David Santana (present)

Mr. Andrew Velasco reported that the subcommittee met and discussed requiring staff to wear masks to ensure their safety while in the field, staffing needs and challenges. Mr. Stanton shared the Increased Federal Medical Assistance Percentage (FMAP) for Home- and Community-Based Services (HCBS), Initial HCBS Spending Narrative in the American Rescue Plan.

VIII. Community Forum

Ms. Tolbert reported that the State Council on Developmental Disabilities (SCDD) will host its next monthly California Statewide Self-Advocacy Chat on Safely Re-Engaging with Community on June 16, 2021. SCDD continues to distribute PPE to the community.

Mr. Robert Olea thanked Mr. Landauer and his staff for their great work during the COVID-19 pandemic.

IX. Adjournment

Ms. Bauer adjourned the meeting at 2:56 p.m.

The next VAC meeting is scheduled for July 13, 2021.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
July 13, 2021
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Hector Navarro, absent
Co-Chair, Atrem Behmanesh, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Katherine Gurney, present
Co-Chair, Mark Antenucci, present

Behavior Services

Chair, Junie Lazo-Pearson, present
Co-Chair, Cindy Hebert, present

Community Care Facilities

Chair, Rebekah Hayes, absent
Co-Chair, Member Pending

Early Intervention

Chair, Tiffany Bauer, present
Co-Chair, Junie Lazo-Pearson, present

Habilitation

Chair, Jodean Hudson, present
Co-Chair, Tim Chervenak, present

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, David Santana, present
Co-Chair, Andrew Velasco, present

Liaisons:

CalOptima

Liaison Pending, absent

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, present (*joined at 2:04 p.m.*)

Vendor Advisory Committee Minutes
July 13, 2021

Board Members Present: Marcell Bassett (*joined at 2:03 p.m.*)
Chinh Nguyen

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Liliana Castillo, Accounting Manager (*joined at 2:06 p.m.*)
Arturo Cazares, Associate Director of Employment
Bonnie Ivers, Clinical Director
Christina Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Jack Stanton, Associate Director of Housing
Sean Watson, Risk Management, QA Manager

I. Call to Order

Ms. Tiffany Bauer welcomed all attendees and called the videoconference meeting to order at 2:01 p.m.

II. RCOC Update

Mr. Larry Landauer reviewed the American Rescue Plan Act, Increased Federal Medical Assistance Percentage (FMAP) for Home and Community-Based Services (HCBS), Initial HCBS Spending Narrative as of July 12, 2021. Mr. Landauer stated that the narrative outlines the way that California plans to use the enhanced federal funds available through the American Rescue Plan. The items specific to developmental services are as follows:

- Language Access and Cultural Competency Orientations and Translations (\$45.8M Total Fund, \$10M General Fund ongoing)
- Adult Family Homes for Older Adults (\$9.6M Total Fund, \$2.6M General Fund ongoing)
- Coordinated Family Support Service (\$42M Total Fund, ongoing)
- Enhanced Community Integration for Children and Adolescents (\$12.5M Total Fund)
- Social Recreation and Camp Services for Regional Center Consumers (\$121.1M Total Fund, ongoing)
- Developmental Services Rate Model Implementation (\$965M Total Fund, \$1.2B General Fund ongoing)
- Modernize Developmental Services Information Technology Systems (\$7.5M Total Fund one-time)

Regarding the budget for 2021-22, Mr. Landauer stated that unfortunately there is no immediate relief on vendor rates. The budget includes \$146 million (\$89.9 million General Fund) in 2021-22, growing to \$2.1 billion (\$1.2 billion General Fund) ongoing in 2025-26, for provider rate increases based on the DDS 2019 Rate Study. Annual funding includes resources for development and implementation of a quality incentive payment program focused on improving consumer outcomes and service quality.

Vendor Advisory Committee Minutes
July 13, 2021

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that the Department of Developmental Services' (DDS') directive on health and safety waiver exemptions will expire soon. DDS delegated to regional center Executive Directors the authority to grant rate adjustments for residential services and/or supplemental services in residential settings, consistent with Welfare and Institutions Code sections 4681.6, 4689.8 and 4691.9, to protect a consumer's health or safety because of the outbreak of COVID-19. When the directive ends, only DDS will have authority to approve health and safety rates. As part of the transition from COVID directives to the previous health and safety process, DDS is asking all regional centers to submit a list of those vendors who will need an extension of their health and safety rate; vendors can contact Mr. Stanton.

III. Board Report

Ms. Bauer reported that there was a training for the Board of Directors on the topic of *Home and Community Based Services (HCBS) and the Center for Medicare & Medicaid Services' (CMS) Final Rule* on July 8, 2021.

IV. Peer Advisory Committee (PAC) Report

Mr. Jyusse Corey, RCOC's Peer Advocate, was not present and no report was provided.

V. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that there continues to be a steady increase in OCTA's ACCESS ridership. Overall, OCTA has seen an increase of 70% in ridership since January 2021. Ms. Mungia also reported that the certification process for ADA services is through an application and telephone interview, not an in-person functional assessment.

VI. Member Reports

A. Adult Behavior Management – Hector Navarro (absent)

B. Adult Day Programs – Rick Perez (present)

Mr. Rick Perez said that he is so disappointed with the way rates were addressed in the budget. Staffing is the big issue. Vendor cannot recruit new staff.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Katherine Gurney (present)

Ms. Katherine Gurney reported that the subcommittee met last month to continue their discussion on safety measures for the reopening process as well as providing support to staff and those served during the pandemic. Ms. Gurney also reported that RCOC's Quality Assurance Coordinators have returned to conducting in-person reviews.

D. Behavior Services – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that their subcommittee did not meet.

E. Community Care Facilities (CCF) – Rebekah Hayes (absent)

In Ms. Rebekah Hayes absence, Mr. Stanton reported that the subcommittee did not meet; they meet now on a quarterly basis. Mr. Stanton also reported that Ms. Rebekah Hayes has resigned as Chair of the CCF subcommittee.

F. Early Intervention – Tiffany Bauer (present)

Ms. Bauer reported that their subcommittee will meet on July 15, 2021.

G. Habilitation – Jodean Hudson (present)

Ms. Jodean Hudson reported that the subcommittee met and discussed rate issues, referrals and staffing needs.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that the subcommittee met and discussed staffing challenges due to the minimum wage increases and the pandemic.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – David Santana (present)

Mr. David Santana reported that the subcommittee met and discussed families' concerns about the Delta variant of COVID-19, rates and staffing needs.

VII. Community Forum

Ms. Christine Tolbert from the State Council on Developmental Disabilities (SCDD) stated that the Independent Facilitator self-paced webinar training for the Self-Determination Program is available. For more information, visit their website at www.scdd.ca.gov.

Ms. Tolbert reported that the Thompson Policy Institute at Chapman University will host its 2021 Summit on *DisAbility and Inclusion!* webinar on August 11, 2021.

VIII. Adjournment

Ms. Bauer adjourned the meeting at 2:40 p.m.

The next VAC meeting is scheduled for September 14, 2021.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 2, 2021
TO: Board of Directors
FROM: Tiffany Bauer, Chair
Vendor Advisory Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Vendor Advisory Committee Member of the Board of Directors**

BACKGROUND:

In accordance with the Lanterman Act, Section 4266(i), the Vendor Advisory Committee (VAC) “shall designate one of its members to serve as a member of the regional center board.”

REASON FOR CURRENT ITEM:

At the VAC meeting on June 8, 2021, Ms. Tiffany Bauer was elected to serve as the VAC Chairperson and member of the Board of Directors from July 1, 2021 to June 30, 2022.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This item is presented to the Board of Directors as information.

**Regional Center of Orange County
Peer Advisory Committee
June 16, 2021
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Steven Gersten
Amy Jessee
Fernando Peña

Committee Members Absent: Marcell Bassett
Jyusse Corey, Peer Advocate
Cheryl Day
Yvonne Kluttz
Peter Kuo

Board Member Present: Chinh Nguyen

RCOC Staff Members Present: Larry Landauer, Executive Director
Christina Blanco, OCTA
Melissa Mungia, OCTA

Ms. Sylvia Delgado called the meeting to order at 3:02 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting and asked members and guests to introduce themselves.

II. RCOC's Peer Advocate Report

In Mr. Corey's absence, Ms. Delgado suggested that for up-to-date information on CalABLE, visit the website at www.calable.ca.gov. Ms. Delgado reported that the Self-Determination Program (SDP) will be available to all eligible persons served effective July 1, 2021. If anyone is interested in enrolling in SDP, please contact your Service Coordinator.

III. Update on OCTA/ACCESS Services

Ms. Melissa Mungia, OCTA's Section Manager II, Paratransit Services, and Ms. Christina Blanco, OCTA's Field Administrator, provided information on changes to OCTA's services. They also discussed their safety measures and OCTA's continued commitment to keeping riders safe during the COVID-19 pandemic.

IV. Community Forum

No community members were present at the meeting.

V. Other

Committee members discussed their upcoming activities and travel plans for the summer.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for September 15, 2021.

The meeting was adjourned at 3:33 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Legislative and Community Awareness Committee
July 13, 2021
Videoconference Minutes**

Committee Members Present: Liza Krassner, Chairperson
Tiffany Bauer
Meena Chockalingam
Sandy Martin
Chinh Nguyen

Committee Members Absent: John “Chip” Wright

RCOC Staff Members Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Information Technology Director
Bonnie Ivers, Clinical Director
Kathleen McFarlin, Manager, Family Support and
Community Outreach
Christina Petteruto, General Counsel
Keli Radford, Director of Services and Supports
Kaitlynn Truong, Cultural Specialist, Services and Supports
Stacy Wong, Director of Human Resources

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:02 p.m.

I. Coronavirus Disease 2019 (COVID-19) Update

Mr. Landauer reported that the number of positive cases are increasing in Orange County due to the reopening of businesses and events.

II. Public Relations

A. Dialogue

Ms. Linda Blankenship reported that the Summer issue of the *Dialogue* will focus on housing and independent living. It features the stories of two persons served who reside at the Salerno Apartments in the City of Irvine. Ten of its 80-units are occupied by adults served by RCOC. Also featured is Ms. Sylvia Delgado, RCOC’s Board member, and Mr. Jyusse Corey, RCOC’s Peer Advocate, sharing their personal experiences about independent living.

Ms. Blankenship also reported that the Executive Director’s report is on the opening of the Self-Determination Program to all Lanterman-eligible persons served.

RCOC Legislative and Community Awareness Committee Minutes
July 13, 2021

Ms. Meena Chockalingam recommended that RCOC dedicate a future *Dialogue* article to information on the different housing options for adult persons served. This kind of information could help families plan. Ms. Hilda Mendez commented that Hispanic families do not want their adult children to live separately.

B. News Media Outreach

Ms. Blankenship reported that some of the media outreach opportunities included an updated story about baby stimming with quotes from Dr. Bonnie Ivers, RCOC's Clinical Director. The story was posted on romper.com, a parenting website.

Ms. Blankenship also reported that they consulted with the producers of the U.S. version of the Australian show "Love on the Spectrum" that streams on Netflix. They provided input on California's developmental disabilities services system, offered suggestions to aid them in casting and facilitated sharing the casting flyer with RCOC's community.

Ms. Blankenship mentioned that Mr. Landauer has two interviews scheduled for the month of August 2021 with Little Saigon television and OC Talk Radio.

C. Social Media

Ms. Anh Nguyen reported that RCOC's social media strategy continues to be a very successful tool for reaching out to the community, especially during this time of COVID-19. Ms. Nguyen also shared media highlights from *Facebook*, *Twitter* and *Instagram*, which featured information on the state budget, *Early Start Fun Friday Facts*, emergency preparedness and other regional center services.

III. Legislative Outreach

A. State Budget Update

Mr. Landauer reported that the trailer bill language is still being finalized. Some of the highlights include expansion of the Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHNs) model to children, which would be licensed as Group Homes for Children with Special Health Care Needs (GHCSHNs); the return of camp and social recreation services; and, training on person centered, cultural and linguistic competency for Direct Support Professionals (DSPs) in exchange for pay differentials. Also included is the new Provisional Eligibility for children ages 3-4 who are not otherwise eligible for regional center services because of a developmental disability. Provisional eligibility is for the child who has a disability that is not solely physical in nature and has significant functional limitations in at least two of the following areas of major life activity, as determined by a regional center and as appropriate to the age of the child: (1) Self-care (2) Receptive and expressive language (3) Learning (4) Mobility and (5) Self-direction

Mr. Landauer also reported that vital areas in need of an increase such as service coordination and vendor rates were not included in the budget.

B. ARCA Update

Ms. Krassner reported that the ARCA board delegates met on June 16, 2021, with their newly elected chairperson, Mr. Mark Wolfe. ARCA's Board of Directors' elected Ms. Rita Walker, as their chairperson.

C. Relationship Building with Delegation

Mr. Landauer reported that he and Ms. Nguyen continue to host virtual meetings with state legislators and their staff. The latest meeting was held with Senator Dave Min's office along with Board members Ms. Frances Hernandez and Ms. Amy Jessee on June 8, 2021.

IV. Community Outreach

A. Disparity-Focused Activities and Analytics

Ms. Keli Radford reported that they continue their focus on the Parent Mentor Programs, which help parents navigate the regional center and school systems.

Ms. Radford reported on a new DDS requirement to establish an intensive caseload ratio of 1:40 to improve service delivery to persons in underserved communities. These caseloads would be comprised of families who have low or no purchase of service expenditures.

Ms. Radford also reported that developmental screenings continue in underserved communities, although participation is down due to the pandemic.

B. POS Expenditure Issues

Ms. Radford reported that there are some Hispanic families who are not satisfied with RCOC's services. RCOC is preparing educational presentations for families on Early Start, school services, transition from Early Start to Lanterman eligibility and living options. Ms. Radford stated that RCOC has a Diversity & Inclusion Taskforce to obtain staff input on how to ensure that all communities are aware of the services provided by RCOC.

V. Community Forum

No community members were present at the meeting.

Ms. Krassner adjourned the meeting at 6:02 p.m.

Recorder: Sandra Lomeli