



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

Date: Thursday, September 7, 2023

Time: 6:00 p.m.

Place: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)		
	A.	W&I Code §4663 and §4664	Greg Simonian, Esq.
II.	Recess		
III.	General Session		
	A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	John "Chip" Wright
	B.	Community Forum for Agenda Items Only***	John "Chip" Wright
	C.	Budget and Finance Committee	Sandy Martin
	D.	Consent Calendar*	John "Chip" Wright
		<ol style="list-style-type: none"> 1. Approval of Board of Directors' Minutes for June 1, 2023** 2. Budget and Finance Committee** <ol style="list-style-type: none"> a. Approval of Monthly Sufficiency of Allocation Report, May 2023** b. Approval of Monthly Sufficiency of Allocation Report, June 2023** c. Approval of Monthly Sufficiency of Allocation Report, July 2023** d. Approval of Budget Amendment E-1, Fiscal Year 2023-24 e. Approval of Budget Amendment 	
	E.	Executive Director's Report	Larry Landauer
		<ol style="list-style-type: none"> 1. Recognition of Persons' Served Employment Longevity 2. Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2)** 3. Operations Report – May 2023** 4. Operations Report – June 2023** 5. Operations Report – July 2023** 6. Performance Contract Report – May 2023** 7. Performance Contract Report – June 2023** 8. Performance Contract Report – July 2023** 9. Employment Update 10. Housing Update 11. Health and Wellness Update 	Christy Petteruto Arturo Cazares Jack Stanton Bonnie Ivers
	F.	Executive Committee	John "Chip" Wright
	G.	Board Recruitment and Training Committee**	John "Chip" Wright
	H.	Policies and Outcomes Committee**	Cliff Amsden
		<ol style="list-style-type: none"> 1. Approval of Revisions to the Purchase of Service Policy ** 2. Approval of Revisions to the Policy on Resource Development and Procurement** 3. Approval of Revisions to the Start-Up, Gap and Lag Funding Policy** 4. Policy on Board Membership and Application Process ** 	
	I.	Vendor Advisory Committee**	Rick Perez
		1. Approval of Vendor Advisory Committee Member**	

J.	Peer Advisory Committee**	Yvonne Kluttz
K.	Legislative and Community Awareness Committee**	Liza Krassner
L.	ARCA Report	Liza Krassner
M.	Community Forum***	John “Chip” Wright
N.	Chairperson’s Report	John “Chip” Wright
O.	National Core Indicators (NCI) Presentation	Larry Landauer
IV.	Adjournment	John “Chip” Wright

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting Minutes
June 1, 2023**

Board Members Present:

(Members in-person, unless otherwise noted)

John "Chip" Wright, Chairperson
Cliff Amsden
Meena Chockalingam (*joined virtually*)
Sylvia Delgado
Bruce Hall (*joined virtually*)
Amy Jessee
Liza Krassner
Sandy Martin
Chinh Nguyen (*joined virtually*)
Jacqueline Nguyen
Fernando Peña
Rick Perez

Board Members Absent:

Frances Hernandez
Yvonne Kluttz
Hilda Mendez

Corporate Counsel Present:

David Lester, Esq.

I. General Session

Mr. Chip Wright called the meeting to order at 6:00 p.m.

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Ms. Sylvia Delgado read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Sandy Martin reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for May 4, 2023
2. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, April 2023

- b. Approval of Budget Amendment D-2, Fiscal Year 2022-23
- c. Approval of Contract and Preliminary Allocation, Fiscal Year 2023-24
- d. Approval of Line of Credit for Fiscal Year 2022-23
- e. Approval of Audited Financial Statements for the Year Ended June 30, 2022

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored four persons served who have worked for the same employer for 23 or more years with a Certificate of Recognition.

2. Approval of Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3)

Ms. Christina Petteruto, RCOC's General Counsel, reported that the Department of Developmental Services (DDS) has allocated \$350,000 in Community Resource Development Plan (CRDP) start-up funding for the acquisition of a property to serve as an Enhanced Behavioral Supports Home (EBSH).

M/S/C to approve the Property Acquisition Agreement with Non-Profit Housing Corporation, Brilliant Corners, for Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3), as presented

3. Approval of Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, or Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3)

Ms. Petteruto reported that DDS has allocated \$400,000 in CRDP start-up funding for the renovation of a property to serve as an Enhanced Behavioral Supports Home (EBSH).

M/S/C to approve the Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-3), as presented

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the Governor's Budget May Revise was released and includes a \$14.1 billion for the regional center system.

RCOC Board of Directors' Meeting Minutes
June 1, 2023

- *Assembly Bill 1147.* Mr. Landauer reported that California Assembly Bill (AB) 1147, Disability Equity and Accountability Act of 2023, moved forward and appears it will pass. Most elements of the bill are being added through Trailer Bill Language.
- *Statewide News.* Mr. Landauer reviewed the Legislative Analyst's Office (LAO) 2023-24 Budget: Multiyear Budget Outlook. The report asserts that it is unlikely that the State of California will be able to afford the May Revise spending levels.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2022-23.* Mr. Landauer reported that there is a projected surplus statewide.
- *Person Centered Thinking (PCT).* Mr. Landauer reported that approximately 98% of Individual Program Plans (IPPs) for Lanterman cases are in the PCT format. RCOC is also providing PCT trainings for new staff and refresher courses for previously trained staff.
- *Employment.* Mr. Arturo Cazares, RCOC's Director of Community Services, reported on preliminary employment data collected during IPP meetings. The purpose of the data is to identify persons served who are interested in obtaining employment and assisting them with skill development to improve the likelihood of employment. For those who are currently employed, the data collected is used to determine their job satisfaction.

Mr. Cazares also reported that Children's Hospital of Orange County (CHOC) has resumed its Project SEARCH program. RCOC is also working with Kaiser Permanente in Anaheim to establish an employment program.

- *Housing.* In Mr. Jack Stanton's absence, Ms. Theresa Ta, RCOC's Manager of Safety Net and Resource Development, reported on preliminary housing data collected during IPP meetings. The data is used to determine if persons served are satisfied with their current living situations or not. If needed, RCOC will explore other living options to improve levels of satisfaction. Ms. Ta stated that over time, the data will determine which types and locations of housing are most preferred by persons served.
- *Legislative and Community Awareness (LCA).* Mr. Landauer reviewed media highlights that included an article welcoming two new Board members in the *Dialogue* RCOC's quarterly newsletter, and the release of RCOC's recreational resource guide for families.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported that the current *Healthy Life, Happy Life* class plans to celebrate with a virtual graduation when the program ends on June 25, 2023.
- *RCOC News.* Mr. Landauer reported that vendor staffing shortages have improved but low vendor rates continue to affect hiring.

***RCOC Board of Directors' Meeting Minutes
June 1, 2023***

Mr. Landauer also reported that he and his staff continue to work with the Hispanic families group to address their concerns. Three meetings with the Hispanic families group were held on November 10, 2022, February 22, 2023 and April 25, 2023. A follow-up meeting is scheduled for July 25, 2023.

- *Self-Determination Program (SDP)*. Mr. Landauer reported that the SDP Local Advisory Committee last met on April 3, 2023; the next Committee meeting is scheduled for June 12, 2023.
- *Association of Regional Center Agencies (ARCA) Academy*. Mr. Landauer reported that the ARCA Academy has held two in-person training sessions so far this year. One was held on April 29, 2023 at the Alta California Regional Center in Sacramento and the second session on May 6, 2023 at the San Gabriel/Pomona Regional Center. These trainings are available to all regional center Board members.

F. Executive Committee

Mr. Wright reported that the Committee met on May 15, 2023; the next Committee meeting is scheduled for June 20, 2023.

Mr. Wright presented the following agenda items from the Executive Committee for approval:

1. Approval of Renewal of Board Membership for Yvonne Kluttz for a Three-Year Term Commencing July 1, 2023 and Ending June 30, 2026

M/S/C to approve the Renewal of Board Membership for Yvonne Kluttz for a Three-Year Term Commencing July 1, 2023 and Ending June 30, 2026, as presented

2. Approval of the Board of Directors' Meeting Schedule for Fiscal Year 2023-24

M/S/C to approve the Board of Directors' Meeting Schedule for Fiscal Year 2023-24, as presented

G. Nominating Committee

Mr. Wright reported that the Committee met on May 22, 2023 to discuss the election of a Board Secretary following Ms. Sylvia Delgado's Board term ending July 31, 2023.

1. Approval of Yvonne Kluttz as Secretary for a Term Commencing August 1, 2023 and Ending June 30, 2024

M/S/C to approve Yvonne Kluttz as Secretary for a Term Commencing August 1, 2023 and Ending June 30, 2024

H. Board Recruitment and Training Committee

Mr. Wright reported that the Committee met on May 8, 2023. The Committee reviewed the Board composition, training topics and discussed recruitment efforts.

Mr. Wright presented the following agenda item for approval:

1. Approval of Board Membership for Marcell Bassett for a New One-Year Term Commencing July 1, 2023 and Ending June 30, 2024

M/S/C to approve the Board Membership for Marcell Bassett for a New One-Year Term Commencing July 1, 2023 and Ending June 30, 2024

The next Committee meeting is scheduled for July 10, 2023.

I. Policies and Outcomes Committee

Mr. Cliff Amsden reported that the Committee met on May 15, 2023, and presented revisions to the Transparency and Public Information Policy and the Whistleblower Policy

1. Approval of Revisions to the Transparency and Public Information Policy

M/S/C to approve revisions to the Transparency and Public Information Policy as recommended

2. Approval of Revisions to the Whistleblower Policy

M/S/C to approve revisions to the Whistleblower Policy as recommended

The next Committee meeting is scheduled for July 17, 2023.

J. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on May 9, 2023 and discussed concerns about program delays due to the Orange County Transit Authority (OCTA) OC ACCESS drivers' strike that began on May 3, 2023.

The next Committee meeting is scheduled for June 13, 2023.

K. Peer Advisory Committee

Ms. Sylvia Delgado reported that the Committee met on May 17, 2023. Guest speaker Ms. Melissa Mungia, OCTA's Section Manager II, Paratransit Services, addressed the Committee members' questions and concerns regarding the OC ACCESS drivers' strike.

The next Committee meeting is scheduled for June 21, 2023.

L. Legislative and Community Awareness Report

Ms. Liza Krassner reported that the next Committee meeting is scheduled for July 11, 2023.

M. ARCA Report

Ms. Krassner reported that ARCA's Board of Directors is scheduled to meet on June 16, 2023, and plans to discuss the upcoming ARCA Academy Board trainings.

N. Community Forum

RCOC received several comments and questions during community forum regarding housing; access to personal contact information of each Board member for families; and, complaints about the insufficient amount of vendor services available to families. There was also a request for RCOC to create a Family Advisory Committee for families to interact with staff and make recommendations on how to improve services.

O. Chairperson's Report

Mr. Wright recognized and thanked Ms. Sylvia Delgado for her many years of service to the Board.

Mr. Wright also thanked everyone for joining today's Board of Directors' meeting.

II. Adjournment

Mr. Wright adjourned the meeting at 7:16 p.m.

Sylvia Delgado, Secretary

Recorder: Sandra Lomeli

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
June 1, 2023**

Committee Members Present: Sandy Martin
Cliff Amsden
Amy Jessee
Fernando Peña
John “Chip” Wright

Other Board Members Present: Silvia Delgado
Jacqueline Nguyen (*arrived at 4:03 p.m.*)
Rick Perez

RCOC Staff Present: Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Arturo Cazares, Director of Community Services
Nancy Franco, Accounting Manager – OPS/POS
Christina Petteruto, General Counsel
Marta Vasquez, Finance Director

Guest: Tom Huey, CPA

The meeting was called to order at 4:00 p.m.

1. Approval of Monthly Sufficiency of Allocation Report, April 2023

Ms. Marta Vasquez reported that RCOC is projecting a surplus of \$87.4 million, an increase of \$33.8 million over the prior projection. The surplus will be \$95.1 million if all \$7.7 million of the State Plan Amendment receivables are paid. The increase in surplus is due to an additional allocation of \$33.5 million and a reduction in projected expenditures.

2. Approval of Budget Amendment D-2, Fiscal Year 2022-23

Ms. Vasquez reported that the Department of Developmental Services (DDS) allocated an additional \$36 million for Operations, Purchase of Services and the Community Placement Plan (CPP).

3. Approval of Contract and Preliminary Allocation for Fiscal Year 2023-24

Ms. Vasquez reported that although RCOC had not received the allocation numbers yet, RCOC must return the signed contract and submit its cash advance requests to DDS as soon as the contract is received in June to prevent any disruption in cashflow.

4. Approval of Line of Credit for Fiscal Year 2022-23

Ms. Bette Baber reported that the term for the line of credit was extended an additional month, through September 29, 2023. No other terms of the agreement changed. The Board previously approved the line of credit from June 1, 2023 to August 31, 2023.

5. Approval of Audited Financial Statements for the Year Ended June 30, 2022

Mr. Tom Huey, a partner with Windes, Inc. presented the audited financial statements and Windes' audit approach.

M/S/C to approve the monthly SOAR, Budget Amendment, Contract and Preliminary Allocation, Line of Credit and Audited Financial Statements

The meeting adjourned at 4:55 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 7, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, May 2023**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: September 7, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – May 2023 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$83.8 million, a decrease of \$3.6 million over the prior projection. RCOC's surplus will be \$91.5 million if all \$7.7 million of the State Plan Amendment receivables are paid. The decrease is due to an increase in projected expenditures.

Most vendors received a 25% increase effective January 1, 2023. Some vendors received an increase due to the minimum wage increase effective January 1, 2023. The transition from Alternative Services, which ended on December 31, 2022, to traditional in-person services continues. The number of day program authorizations has not returned to pre-pandemic levels.

Year to date, RCOC's caseload increased by 1,195 persons for an annualized caseload growth of 5.5%; the regional center system increased by 23,675 persons for an annualized caseload growth of 6.7%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of May 31, 2023**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	D-2 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR	VARIANCE			SPENT PRIOR YEAR
				PROJECTED EXPENDITURES 8/10/2023	(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$205,042,450	\$165,313,087	\$181,341,549	\$187,189,382	9%	\$17,853,068	\$0	\$156,318,277
(2) Day Care	2,170,036	1,248,376	1,738,327	1,925,148	11%	\$244,888	\$0	1,476,590
(3) Day Training	99,060,248	55,190,864	61,869,376	74,819,346	24%	\$24,240,902	\$0	57,606,304
(4) Habilitation	11,028,158	7,653,449	8,712,922	9,279,755	16%	\$1,748,403	\$0	8,225,568
(5) Transportation	12,640,564	9,312,153	12,060,180	12,170,581	4%	\$469,983	\$0	7,239,300
(6) Respite	49,772,721	39,882,435	44,750,640	45,847,334	8%	\$3,925,387	\$0	42,195,039
(7) Supported Living	59,153,073	48,222,188	52,606,023	54,141,271	8%	\$5,011,802	\$0	48,180,440
(8) Non-medical	27,084,546	21,148,474	23,571,063	22,619,751	16%	\$4,464,795	\$0	17,799,489
(9) Medical	11,264,620	7,295,425	7,958,645	9,703,646	14%	\$1,560,974	\$0	6,501,276
(10) Other	126,710,528	90,955,817	106,502,560	107,681,496	15%	\$19,029,032	-\$3,650,580	97,085,784
(11) Early Start (Age 0-3)	43,083,317	31,951,508	35,588,014	37,877,529	12%	\$5,205,788	\$0	32,211,177
(12) Community Placement Plan	2,785,749	0		0	n/a	n/a	\$0	
(13) Purchase of Service Total	649,796,010	478,173,776	536,699,298	563,255,239	13%	83,755,022	-3,650,580	474,839,244
OPERATIONS								
						\$91,448,437	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	11,151,447	6,991,080	7,626,633	11,151,447	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-1,024,530	-1,117,669	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	11,001,447	5,966,550	6,508,964	11,001,447	0%	0	0	6,318,894
(17) Personal Services	55,046,962	39,924,401	43,553,892	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	193,317	210,891	269,299	0%	0	0	229,139
(19) Operations Total	66,317,708	46,084,268	50,273,747	66,317,708	0%	0	0	44,581,306
(20) Total	\$716,113,718	\$524,258,044	\$586,973,046	\$629,572,947	0%	\$83,755,022	-\$3,650,580	\$519,420,550

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF MAY 31, 2023

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	64,822,063.05	\$147,915.36
Savings	313,867.30	
Money market	0.00	
Payroll	353,416.78	
Donations	215,170.52	
Unemployment	838,218.58	
Certificate of deposit	0.00	
	-----	-----
Total current assets	66,543,036.23	147,915.36
	-----	-----
RECEIVABLES		
State claim	127,214,981.65	
Client support revenue	32,132.51	4,142.01
Due from State - prior years	4,566,373.63	
Due from ICF - ICF Supplemental Services	5,741,711.15	
	-----	-----
Total receivables	137,555,198.94	4,142.01
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$204,758,595.77	\$152,057.37
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$31,019,356.64	\$32,132.51
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	172,687,623.82	
Unemployment insurance	836,444.79	
	-----	-----
Total liabilities	204,543,425.25	32,132.51
	-----	-----
FUND BALANCES		
General		
Donations	215,170.52	
Custodial		119,924.86
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$204,758,595.77	\$152,057.37
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
MAY 31, 2023

Beginning Balance		\$215,688.37
Donations:	\$0.00	
Loan Payments	0.00	
Interest	82.15	
Disbursements:		
Security Deposit	<u>-600.00</u>	
Net Increase (Decrease)		<u>-517.85</u>
Ending Balance		<u><u>\$215,170.52</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 7, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, June 2023**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: September 7, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – June 2023 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC is projecting a surplus of \$83.8 million, a decrease of \$3.6 million over the prior projection. RCOC's surplus will be \$91.5 million if all \$7.7 million of the State Plan Amendment receivables are paid. The decrease is due to an increase in projected expenditures.

As reported previously, most vendors received a 25% increase effective January 1, 2023. Some vendors received an increase due to the minimum wage increase effective January 1, 2023. The transition from Alternative Services, which ended on December 31, 2022, to traditional in-person services continues.

Year to date, RCOC's caseload increased by 1,329 persons for an annualized caseload growth of 5.6%; the regional center system increased by 27,691 persons for an annualized caseload growth of 7.2%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

**Monthly Sufficiency of Allocation Report
As of June 30, 2023**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	D-2 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR	VARIANCE			SPENT PRIOR YEAR
				PROJECTED EXPENDITURES 8/10/2023	(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$205,042,450	\$181,105,615	\$182,105,615	\$187,189,382	9%	\$17,853,068	\$0	\$156,318,277
(2) Day Care	2,170,036	1,323,899	1,738,668	1,925,148	11%	\$244,888	\$0	1,476,590
(3) Day Training	99,060,248	60,466,640	62,093,394	74,819,346	24%	\$24,240,902	\$0	57,606,304
(4) Habilitation	11,028,158	8,362,969	8,775,456	9,279,755	16%	\$1,748,403	\$0	8,225,568
(5) Transportation	12,640,564	10,340,268	12,337,380	12,170,581	4%	\$469,983	\$0	7,239,300
(6) Respite	49,772,721	41,963,123	44,886,449	45,847,334	8%	\$3,925,387	\$0	42,195,039
(7) Supported Living	59,153,073	52,659,862	52,935,174	54,141,271	8%	\$5,011,802	\$0	48,180,440
(8) Non-medical	27,084,546	23,309,826	23,940,761	22,619,751	16%	\$4,464,795	\$0	17,799,489
(9) Medical	11,264,620	8,075,091	8,075,091	9,703,646	14%	\$1,560,974	\$0	6,501,276
(10) Other	126,710,528	98,865,041	107,295,975	107,681,496	15%	\$19,029,032	-\$3,650,580	97,085,784
(11) Early Start (Age 0-3)	43,083,317	35,005,967	37,295,142	37,877,529	12%	\$5,205,788	\$0	32,211,177
(12) Community Placement Plan	2,785,749	0		0	n/a	n/a	\$0	
(13) Purchase of Service Total	649,796,010	521,478,301	541,479,106	563,255,239	13%	83,755,022	-3,650,580	474,839,244
<u>OPERATIONS</u>								
						\$91,448,437	* If all SPA receivables are paid.	
(14) Operating Expense (Gross)	11,151,447	7,339,202	7,339,202	11,151,447	0%	0	0	6,475,130
(15) Less Interest Income and SPA Fees	-150,000	-1,191,364	-1,191,364	-150,000	0%	0	0	-156,236
(16) Operating Expense (Net)	11,001,447	6,147,838	6,147,838	11,001,447	0%	0	0	6,318,894
(17) Personal Services	55,046,962	43,094,405	43,094,405	55,046,962	0%	0	0	38,033,273
(18) Family Resource Center/Services	269,299	248,124	248,124	269,299	0%	0	0	229,139
(19) Operations Total	66,317,708	49,490,367	49,490,367	66,317,708	0%	0	0	44,581,306
(20) Total	\$716,113,718	\$570,968,668	\$590,969,473	\$629,572,947	0%	\$83,755,022	-\$3,650,580	\$519,420,550

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JUNE 30, 2023

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	42,151,723.02	\$161,893.39
Savings	157,726.28	
Money market	0.00	
Payroll	354,344.49	
Donations	215,819.68	
Unemployment	838,390.83	
Certificate of deposit	0.00	
	-----	-----
Total current assets	43,718,304.30	161,893.39
	-----	-----
RECEIVABLES		
State claim	122,349,264.43	
Client support revenue	21,961.22	4,142.01
Due from State - prior years	2,877,398.83	
Due from ICF - ICF Supplemental Services	4,409,293.99	
	-----	-----
Total receivables	129,657,918.47	4,142.01
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	306,613.86	
	-----	-----
Total prepaid items	600,196.72	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$174,343,197.23	\$166,035.40
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$603,136.69	\$21,961.22
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	172,687,623.82	
Unemployment insurance	836,617.04	
	-----	-----
Total liabilities	174,127,377.55	21,961.22
	-----	-----
FUND BALANCES		
General		
Donations	215,819.68	
Custodial		144,074.18
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$174,343,197.23	\$166,035.40
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JUNE 30, 2023

Beginning Balance		\$215,170.52
Donations:		
Anonymous	\$5.00	
Phan, Julie O	<u>100.00</u>	
Subtotal Donations	\$105.00	
Loan Payments	535.00	
Interest	9.16	
Disbursements:	<u>0.00</u>	
Net Increase (Decrease)		<u>649.16</u>
Ending Balance		<u><u>\$215,819.68</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT
DISCUSSION
INFO ONLY

DATE: September 7, 2023
TO: Board of Directors
FROM: Budget and Finance Committee
SUBJECT: **Approval of Monthly Sufficiency of Allocation Report, July 2023**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: September 7, 2023
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – July 2023 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

RCOC has not received the planning allocation, see Agenda Item III.C.-2.d. The Department of Developmental Services (DDS) said that they will send it in September. RCOC staff will use the planning allocation to determine the sufficiency or deficiency of the allocation. The first Sufficiency of Allocation Report (SOAR) for fiscal year 2023-24 is due to DDS on December 10, 2023.

During fiscal years 2019-20 and 2020-21, DDS authorized retainer (absence) payments for nonresidential providers from the date that Governor Newsom declared a State of Emergency on March 4, 2020 through August 31, 2020. Effective September 1, 2020, DDS permitted “alternative delivery of non-residential services that meet the individual needs of consumers, sustain the state’s developmental services vendor network, and continue receipt of federal reimbursement for services provided to consumers during the COVID-19 State of Emergency.” DDS approved the alternative delivery of non-residential services until December 31, 2022.

Most providers’ rates increased effective January 1, 2023. Some providers will also receive an increase due to the minimum wage increase effective January 1, 2024.

In fiscal year 2022-23, RCOC’s caseload increased by 1,329 persons or 5.6%, the regional center system increased by 27,691 persons or 7.2%. In July 2023, RCOC’s caseload increased by 203 persons for an annualized caseload growth of 9.7%; the regional center system increased 2,509 person for an annualized caseload growth of 7.3%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services. Operating Expense appears to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

**Monthly Sufficiency of Allocation Report
As of July 31, 2023**

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	E Preliminary ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR	VARIANCE			SPENT PRIOR YEAR
				PROJECTED EXPENDITURES n/a	(column A-D)/A %	(column A-D) AMOUNT	CHANGE FROM PRIOR MO. REPORTED	
(1) Licensed Residential Care	\$205,042,450	\$13,476,467	\$189,482,536	\$0	n/a	n/a	n/a	\$181,105,615
(2) Day Care	2,170,036	59,274	1,744,327	0	n/a	n/a	n/a	1,323,899
(3) Day Training	99,060,248	3,895,757	66,439,452	0	n/a	n/a	n/a	60,466,640
(4) Habilitation	11,028,158	160,166	9,514,785	0	n/a	n/a	n/a	8,362,969
(5) Transportation	12,640,564	132,887	12,337,380	0	n/a	n/a	n/a	10,340,268
(6) Respite	49,772,721	15,861	47,450,112	0	n/a	n/a	n/a	41,963,123
(7) Supported Living	59,153,073	4,431,471	56,796,840	0	n/a	n/a	n/a	52,659,862
(8) Non-medical	27,084,546	1,072,781	28,299,620	0	n/a	n/a	n/a	23,309,826
(9) Medical	11,264,620	783,614	9,403,368	0	n/a	n/a	n/a	8,075,091
(10) Other	126,710,528	264,574	107,793,436	0	n/a	n/a	n/a	98,865,041
(11) Early Start (Age 0-3)	43,083,317	2,352,641	39,706,191	0	n/a	n/a	n/a	35,005,967
(12) Community Placement Plan	2,785,749	0		0	n/a	n/a	n/a	0
(13) Purchase of Service Total	649,796,010	26,645,493	568,968,047	0	0%	0	0	521,478,301
OPERATIONS								
(14) Operating Expense (Gross)	11,151,447	1,612,487	19,349,840	11,151,447	0%	0	0	7,339,202
(15) Less Interest Income and SPA Fees	-150,000	-163,992	-1,967,898	-150,000	0%	0	0	-1,191,364
(16) Operating Expense (Net)	11,001,447	1,448,495	17,381,942	11,001,447	0%	0	0	6,147,838
(17) Personal Services	55,046,962	3,289,217	39,470,600	55,046,962	0%	0	0	43,094,405
(18) Family Resource Center/Services	269,299	12,109	145,311	269,299	0%	0	0	248,124
(19) Operations Total	66,317,708	4,749,821	56,997,853	66,317,708	0%	0	0	49,490,367
(20) Total	\$716,113,718	\$31,395,314	\$625,965,900	\$66,317,708	0%	\$0	\$0	\$570,968,668

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

***Operating Expense appears to exceed the allocation due to annual payments for insurance and other one-time expenses.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JULY 31, 2023

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	73,107,727.82	\$128,599.42
Savings	5,548.93	
Money market	0.00	
Payroll	355,560.72	
Donations	215,728.54	
Unemployment	838,534.98	
Certificate of deposit	0.00	
	-----	-----
Total current assets	74,523,400.99	128,599.42
	-----	-----
RECEIVABLES		
State claim	31,213,788.34	
Client support revenue	52,221.76	5,186.41
Due from State - prior years	180,731,432.74	
Due from ICF - ICF Supplemental Services	5,398,288.03	
	-----	-----
Total receivables	217,395,730.87	5,186.41
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$292,579,492.46	\$133,785.83
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$31,280,341.03	\$52,221.76
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	260,246,627.82	
Unemployment insurance	836,795.07	
	-----	-----
Total liabilities	292,363,763.92	52,221.76
	-----	-----
FUND BALANCES		
General		
Donations	215,728.54	
Custodial		81,564.07
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$292,579,492.46	\$133,785.83
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JULY 31, 2023

Beginning Balance		\$215,819.68
Donations:	-\$100.00	
Loan Payments	0.00	
Interest	8.86	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>-91.14</u>
Ending Balance		<u><u>\$ 215,728.54</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

ACTION X
ACTION/CONSENT _____
DISCUSSION _____
INFO ONLY _____

DATE: September 7, 2023

TO: Board of Directors

FROM: Budget and Finance Committee

SUBJECT: **Approval of Budget Amendment E-1, Fiscal Year 2023-24**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., E-1, E-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services has informed regional centers that they will send the E-1 allocation numbers in September.

FISCAL IMPACT:

Unknown at this time. For reference, the planning allocation for 2022-23 was:

Operations	\$19,412,520
Purchase of Services	\$172,693,147
Total	\$192,105,667

RECOMMENDATION:

That the Board authorize the Chairperson to execute the budget amendment upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023
TO: RCOC Board of Directors
FROM: Larry Landauer, Executive Director

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Amendment to Property Renovation Agreement with Non-Profit Housing Corporation, Brilliant Corners, for the Development of an Enhanced Behavioral Supports Home (CRDP Project No. 2223-2)**

BACKGROUND:

The Department of Developmental Services (DDS) made a special allocation to RCOC’s Community Resource Development Plan (CRDP) for the development of a Specialized Residential Facility (SRF). The Board previously approved a property renovation agreement for \$450,000 with non-profit housing corporation Brilliant Corners on September 1, 2022. Brilliant Corners has acquired a property where the facility will be located. Additional funding is needed to complete the extensive renovations the home requires, including installing a new roof and demolishing two unpermitted structures.

REASON FOR CURRENT ITEM:

DDS has approved an additional \$97,008 in CRDP funding for renovation costs. DDS has also asked that the home be developed as an Enhanced Behavioral Supports Home (EBSH) instead of a SRF in order to meet current needs. The EBSH will serve individuals who currently reside in a restrictive setting, such as a psychiatric hospital, crisis facility, or locked facility, or who are at risk of being placed in a restrictive setting.

FISCAL IMPACT:

DDS will allocate an additional \$97,008 in RCOC’s CRDP, for a total of \$547,008 for renovation costs.

RECOMMENDATION:

That the Board approve the Amendment to the Property Renovation Agreement as presented.

AMENDMENT NO. 1 TO AGREEMENT BY AND BETWEEN
REGIONAL CENTER OF ORANGE COUNTY
AND BRILLIANT CORNERS
FOR DEVELOPMENT OF HOUSING
RCOC CRDP PROJECT NO. 2223-2

This Amendment No. 1 to Agreement (Amendment) is entered into and effective this ____ day of September, 2023, by and between the Regional Center of Orange County, a California nonprofit corporation, hereinafter referred to as “RCOC,” and Brilliant Corners, a California nonprofit corporation, hereinafter referred to as “NPO.”

RECITALS

A. WHEREAS, RCOC and NPO previously entered into an agreement (Agreement) for Community Resource Development Plan Start-Up funds (CRDP funds) for the development of property to be used for a Specialized Residential Facility (SRF) to serve regional center persons served; and

B. WHEREAS, the Department of Developmental Services (DDS) subsequently approved an increase in the CRDP funds allocated for renovation costs from \$450,000 to \$547,008, and also approved changing the property from a SRF to an Enhanced Behavioral Supports Home (EBSH); and

C. WHEREAS, Section 3 of the Agreement provides that the Agreement may be amended by written agreement of RCOC and NPO;

NOW, THEREFORE, RCOC and NPO hereby agree as follows:

1. Section 9.a. of the Agreement is hereby amended to read as follows:

“9. **MAXIMUM PAYMENT OBLIGATION.** The “Maximum Payment Obligation” of RCOC to NPO under this Agreement shall be Five Hundred Forty Seven Thousand Eight Dollars (\$547,008) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$547,008, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$547,008, RCOC shall retain the remaining CRDP Funds to be returned to DDS.”

2. All references in the Agreement to Specialized Residential Facility (SRF) shall be replaced with Enhanced Behavioral Supports Home (EBSH).

3. Exhibit "A" to the Agreement shall be replaced with Exhibit "A" attached hereto and incorporated by reference.

4. Except as set forth above, all provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, RCOC and NPO have executed this Amendment as of the date first set forth above.

REGIONAL CENTER OF ORANGE COUNTY

Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

Name/Title: _____

EXHIBIT "A"
SCOPE OF WORK

Brilliant Corners ("NPO") shall identify and acquire through purchase one (1) single family residence ("Property") to be leased to a third-party service provider selected by RCOC ("Service Provider") and used in perpetuity as an EBSH. EBSH shall mean an Enhanced Behavioral Supports Home meeting the requirements of Article 3.6 of Chapter 6 of Division 4.6 of the California Welfare and Institutions Code (§§4684.80 - 4684.86).

The Property shall be located in a residential neighborhood that is deemed to be safe, within close proximity to necessary services and supports, transportation, employment, and recreational activities. NPO shall renovate the home as necessary to meet all statutory or regulatory requirements for the Property to be utilized as an EBSH, as well as to meet the unique needs of the regional center persons served that will reside there. Selection of the Property shall be subject to the approval of RCOC. Upon RCOC approval of the Property, NPO shall complete the steps to purchase the Property as set forth below. Acquisition of the Property shall be completed no later than one hundred twenty (120) days from the Date of Agreement, unless an extension is approved by RCOC in writing, in RCOC's sole discretion.

NPO will research available properties within the target area, using all means available. The Property selected shall be at a minimum four to five bedrooms and/or adequate square footage to renovate the home to accommodate identified residents. In addition, adequate storage space including an enclosed garage area, backyard, and/or frontage area to allow for off-street parking for staff and visitors will be a priority.

NPO shall provide a minimum down payment amount of 20% of the Property purchase price. In addition, NPO shall provide a detailed sources and uses of funds schedule to RCOC. This schedule shall detail the funds necessary for the acquisition of the Property, and shall include but not be limited to, down payment costs, due diligence costs, closing costs, property inspections and replacement reserve analysis, developer fees, legal, accounting, consultant, and project managers fees, lenders title insurance, and/or home warranty contracts. NPO shall also obtain an appraisal of the value of the Property. An appraisal report completed for the Senior Lender shall be sufficient to meet this requirement. Under no circumstances shall the purchase price for the Property exceed the appraised value of the Property.

Following acquisition of the Property, NPO shall lease the Property to a third-party service provider selected by RCOC for the purpose of delivery of services. NPO shall ensure the Property is ready for occupancy, including any and all necessary renovations, no later than six (6) months from the date of close of escrow.

AGREEMENT
BY AND BETWEEN
REGIONAL CENTER OF ORANGE COUNTY
AND
BRILLIANT CORNERS
FOR DEVELOPMENT OF HOUSING
RCOC CRDP PROJECT NO. 2223-2

This **AGREEMENT** (“Agreement”) is entered into and effective this 17th day of March, 2023 (“Date of Agreement”), by and between the **REGIONAL CENTER OF ORANGE COUNTY**, a California non-profit corporation located at 1525 N. Tustin Avenue, Santa Ana, California, hereinafter referred to as "RCOC," and **BRILLIANT CORNERS**, a California non-profit corporation located at 1390 Market Street Suite 405, San Francisco, California, hereinafter referred to as "NPO". This Agreement shall be administered by the Associate Director of Housing for RCOC or his/her authorized designee (hereinafter referred to as the “Project Manager”).

RECITALS

A. WHEREAS, the Department of Developmental Services (“DDS”) has allocated Community Resource Development Plan Start-Up funds (“CRDP Funds”) to RCOC for the purpose of developing permanent housing in the community that will be used exclusively, in perpetuity, by persons served by a regional center (“Persons Served”); and

B. WHEREAS, DDS Guidelines for Purchasing and Developing Permanent Housing through the Regional Center Community Placement Plan for Fiscal Year 2017-2018, as amended, are applicable to the use of CRDP funds and allow regional centers to contract with non-profit organizations to acquire property and/or modify existing housing to meet the unique needs of Persons Served; and

C. WHEREAS, NPO desires to acquire and renovate property to be used for the development of a Specialized Residential Facility (“SRF”) to serve regional center Persons Served; and

D. WHEREAS, RCOC and NPO have entered into a separate property acquisition agreement (“Acquisition Agreement”) under which RCOC will provide NPO with start-up grant funding to acquire property to be leased to a third party service provider to operate a SRF (“Property”); and

E. WHEREAS, DDS has approved the expenditure of additional CRDP Funds for RCOC to contract with NPO to renovate the Property;

NOW, THEREFORE, based on the Recitals, and in consideration of the covenants, conditions and representations contained in this Agreement, RCOC and NPO agree as follows:

1. **SCOPE OF WORK.** NPO shall renovate the Property to be leased to a third-party service provider vendored with RCOC ("Service Provider") and used in perpetuity as a SRF, in accordance with the terms of this Agreement and as set forth in the Scope of Work attached hereto as Exhibit "A" and incorporated herein by reference. For purposes of this Agreement "in perpetuity" shall mean a ninety-nine (99) year term.

2. **HOUSING GUIDELINES.** The provisions of the "Guidelines for Purchasing and Developing Permanent Housing through the Regional Center Community Placement Plan," as amended, including Appendices "A" through "O," issued by DDS for Fiscal Year 2017-2018 and attached hereto as Exhibit "B" and incorporated herein by reference, ("CPP Guidelines") shall apply to this Agreement. In the event of any inconsistencies between the CPP Guidelines and this Agreement, the CPP Guidelines shall control.

3. **ALTERATION OF TERMS.** This Agreement, together with the Scope of Work, Exhibit "A", the CPP Guidelines, Exhibit "B", the Certificate of Acceptance, Exhibit "C", and the Addendum to Lease, Exhibit "D", that are attached to this Agreement and fully incorporated by this reference (together, "Exhibits"), express all understanding of the parties with respect to the subject matter of this Agreement, and shall constitute the total Agreement between the parties, except as otherwise expressly provided in this Section 3. No amendment, addition to, or alteration of, the terms of this Agreement, whether written or oral, shall be valid unless the amendment is made in writing and formally approved and executed by both parties, except as provided in this Section 3 in this Agreement.

a. Project Manager Modification Authority. Notwithstanding anything to the contrary and provided any modifications do not alter the overall goals and basic purpose of the Agreement, and provided these modifications do not increase RCOC's Maximum Payment Obligation during the term of the Agreement, Project Manager has the authority to, with the agreement of NPO, make modification(s) to the activities, tasks, deliverables, and/or performance timeframes specified in this Agreement.

4. **STATUS OF NPO.** NPO will act as an independent contractor and is not an employee, officer, agent, partner, or joint venture of RCOC by virtue of this Agreement. NPO agrees that its workers performing services under this Agreement shall be either (1) employees of NPO, or (2) shall meet all of the following three requirements to qualify as independent contractors: (a) be free from control and direction of NPO; (b) perform work that is outside the usual course of NPO's business; and (c) be customarily engaged in an independently established trade, occupation, or business of the same nature as the work the individual performs for NPO. NPO will defend, indemnify, and hold RCOC harmless from any claims, demand, liabilities, costs, and expenses arising from NPO's misclassification of workers providing services as independent contractors under this Agreement.

5. **DELEGATION AND ASSIGNMENT.** NPO shall not delegate or assign or otherwise transfer its duties, nor assign its rights under this Agreement, either in whole or in part, without

the prior written consent of Project Manager. The request must be in writing with a full explanation for the request. Any consent granted by Project Manager may be conditioned upon and subject to certain actions by NPO as determined by Project Manager. Any attempted assignment or delegation in derogation of this Section 5 shall be deemed void.

6. GENERAL INDEMNIFICATION.

a. NPO Indemnification of RCOC. NPO agrees that, except for the sole negligence of RCOC, NPO shall indemnify, defend, hold harmless RCOC, and its officers, agents, and employees from and against any and all liability, claims, losses and demands, damages to property or injuries to or death of any person or persons, including property of directors, officers, employees, or agents of RCOC, including defense costs (together, "Claims"), whether resulting from court action or otherwise, resulting from, related in any manner to, or arising out of the performance of this Agreement by NPO, its officers, employees, agents, and/or its subcontractors. NPO, at its own expense and risk, shall defend any action, legal proceeding, arbitration, or mediation proceeding that may be brought against the RCOC, its directors, officers, agents and employees on any such claim or demand, and shall pay and satisfy any settlement, or any judgment which may be rendered against RCOC and/or against any of RCOC's directors, officers, agents or employees for any injuries or damages arising therefrom. NPO'S obligation to indemnify, defend, and hold harmless RCOC shall survive the term of this Agreement.

i. Without limiting NPO's indemnification, it is agreed that NPO shall maintain in force at all times during the term of this Agreement, the policy or policies of insurance covering its operations and performance under this Agreement in the form and amounts set forth in Section 7, which insurance obligations shall apply independently of all indemnification provided under this Agreement.

ii. No officer or director, no committee member, no employee, and no agent of RCOC shall be personally liable to NPO, or any successor in interest, (or to any subcontractor) in the event of any default or breach by RCOC or for any amount that may become due to NPO or to its successor (or subcontractor) or for breach of any obligation or the terms of this Agreement.

b. Cooperation with Claims. Each party to this Agreement shall cooperate with another party to this Agreement in the defense of any action brought for conduct resulting under this Agreement and shall make available to said party any and all records in their respective possessions or control reasonably required by a party for use in contesting or defending liability.

7. **INSURANCE.** Without limiting NPO's liability for indemnification of RCOC as set forth in Section 6 above, NPO shall obtain and maintain in effect, during the term of this Agreement, the following insurance coverage and provisions:

a. Evidence of Coverage. Prior to commencement of any Work under this Agreement, NPO shall provide on an insurance industry approved form a Certificate of Insurance certifying that coverage as required in this Paragraph 7 has been obtained and remains in force for the period

required by this Agreement. In addition, NPO shall produce a certified copy of the policy or policies to RCOC upon request. Each policy shall meet the following requirements:

i. Additional Insured Endorsement. Except for Worker's Compensation and Professional Liability insurance, each policy shall include an endorsement evidencing that the policy also applies to RCOC and DDS, their officers, directors, agents, employees and volunteers, as additional insureds against loss or liability caused by or connected with NPO's performance or non-performance under this Agreement.

(1) Primary Insurance Endorsement. Each policy shall include an endorsement evidencing that the policy afforded by the additional insured endorsement shall apply as primary insurance, and other insurance maintained by DDS or RCOC, their officers, directors, agents, and employees shall be excess only and not contributing with insurance provided under this policy.

ii. Notice of Cancellation or Change of Coverage Endorsement. Each policy shall include an endorsement evidencing that the policy shall not be canceled or changed so as to no longer meet the specified RCOC insurance requirements without thirty (30) days prior written notice of the cancellation or change being delivered to Project Manager at the address shown on the Certificate of Insurance.

iii. Separation Clause Endorsement. Each policy shall include an endorsement evidencing that the policy provides coverage separately to each insured who is seeking coverage or against whom a Claim is made or a suit is brought, except with respect to the company's limit of liability.

iv. Termination of Insurance. If insurance is terminated for any reason, NPO agrees to purchase an extended reporting provision of at least two (2) years to report Claims arising from work performed, or any action or any inaction in connection with this Agreement.

v. Qualifying Insurers. All coverages shall be issued by insurance companies that must be:

(1) Rated A-:VII or better according to the current Best's Key Rating Guide/Property-Casualty/United States; or

(2) A company of equal financial stability that is approved by Project Manager or his/her designee; and

(3) Admitted in the State of California.

vi. Deductible Amounts in Standard Policy. Any policy deductible or self-insured retention on any insurance policy (except auto) which exceeds \$10,000 requires prior written approval of Project Manager or his/her designee. Any policy deductible or self-insured retention on automobile liability over \$5,000 requires prior written approval of Project Manager

or his/her designee. No approved deductible shall in any way limit liabilities assumed by NPO under this Agreement.

vii. Subcontractor Insurance Requirements. Should any of the Services under this Agreement be provided by a subcontractor, NPO shall require each subcontractor (of any tier) to provide the coverages specified in this Section 7, or NPO may insure any subcontractor under its own policies.

viii. Occurrence vs. Claims Based Insurance. All policies are required to be written on an occurrence basis.

b. Types of Insurance Policies/Coverage Required. NPO shall provide insurance through a policy or policies with the following types and coverage, subject to the requirements above.

i. Comprehensive General Liability Insurance. Comprehensive General Liability Insurance for bodily injury (including death) and property damage which is consistent with the DDS Deed of Trust, attached hereto as Appendix "D" to Exhibit "B", and which provides not less than One Million Dollars (\$1,000,000) combined single limit (CSL) per occurrence and not less than Two Million Dollars (\$2,000,000) annual aggregate.

(1) The coverage shall include:

(a) Premises and Operations

(b) Contractual Liability expressly including liability assumed under this agreement, excepting the requirement does not apply for service contracts.

(c) Personal Injury Liability.

(d) Property damage.

ii. Comprehensive Automobile Liability Insurance. Comprehensive Automobile Liability Insurance for bodily injury (including death) and property damage which provides total limits of not less than One Million Dollars (\$1,000,000) combined single limit per occurrence applicable to all owned, non-owned and hired vehicles/watercraft, One Million Dollars (\$1,000,000) annual aggregate.

iii. Workers' Compensation Insurance. Workers' Compensation Insurance shall be maintained. Statutory California Workers' Compensation coverage shall include a broad form all-states endorsement and waiver of subrogation.

iv. Employers' Liability Coverage. Employers' Liability Coverage of not less than One Million Dollars (\$1,000,000) per occurrence for all employees engaged in Work or operations under this Agreement.

v. Professional Liability. Professional liability/errors and omissions is required in an amount not less than One Million Dollars (\$1,000,000) per occurrence made and One Million Dollars (\$1,000,000) aggregate.

vi. Sexual Misconduct Liability. Sexual misconduct liability insurance in an amount equal to One Million Dollars (\$1,000,000) per occurrence and One Million Dollars (\$1,000,000) aggregate.

vii. Property Hazard Insurance. Property hazard insurance consistent with the DDS Deed of Trust, attached hereto as Appendix "D" to Exhibit "B", and in an amount equal to the replacement value of the Property. DDS shall be named as loss payee.

viii. Title Insurance. NPO shall procure lender's title insurance for the Property for DDS and owner's title insurance for the Property in accordance with the requirements set forth in Exhibit "B."

c. Duration of Insurance. NPO shall maintain all coverage and insurance for the entire term and for any extended period agreed upon within this Agreement.

d. Maintain Records re Insurance Coverage. NPO shall maintain records regarding all coverage and insurance for the term of this Agreement and for any extended period agreed upon within this Agreement.

e. Withhold Payment for Lack of Required Coverage. RCOC reserves the right to withhold payment of CRDP Funds in the event of material noncompliance with the applicable insurance requirements outlined in this Section 7.

f. Remedies for Failure to Provide or Maintain Required Insurance or Endorsements. In addition to any other remedies RCOC may have if NPO (or any subcontractor) fails to provide or maintain any insurance required by this Section 7 to the extent and within the time required by this Agreement, RCOC may, at its sole option:

i. Obtain the insurance and deduct and retain the amount of the premiums for the insurance from any monies due under this Agreement.

ii. Order NPO (and any subcontractor) to cease performance of the Work and/or withhold funding until NPO (or subcontractor) demonstrates compliance with the insurance requirements of this Agreement.

iii. Immediately and without further cause terminate this Agreement.

Exercise of any of the above remedies are in addition to any other remedies RCOC may have and are not the exclusive remedies for NPO's (or subcontractor's) failure to maintain or secure appropriate policies or endorsements. Nothing in this Agreement shall be construed as limiting in any way the extent to which NPO (or any subcontractor) may be held responsible for payments of

damages to persons or property resulting from NPO's (or any subcontractor's) performance under this Agreement.

g. Modification of Insurance Requirements. RCOC may modify the insurance requirements set forth above if at any time during the term of this Agreement RCOC determines, in its sole discretion, that additional coverage is necessary to protect RCOC's and DDS's interests.

8. **REPRESENTATIONS AND WARRANTIES OF NPO.** CONTRACTOR makes the following representations and warranties to RCOC. These representations and warranties are ongoing and NPO shall advise Project Manager in writing if there is any change pertaining to any matters set forth or referenced in the following subparagraphs.

a. No Conflict. To the best of NPO's knowledge, NPO's negotiation, consideration and action on this Agreement and NPO's execution, delivery and performance of its obligations under this Agreement will not constitute a default or a breach under any contract, agreement or order to which NPO is a party or by which it is bound.

i. NPO agrees that no officer, employee, agent or assignee of RCOC having direct or indirect control of any monies allocated by RCOC, inclusive of the subject funds, shall serve as an officer or director of NPO.

ii. Further, NPO shall complete the Conflict of Interest Statement for CPP Funding, attached hereto as Appendix "J" to Exhibit "B", and submit said Statement to RCOC within five (5) days of the Date of Agreement.

b. No Bankruptcy. NPO is not the subject of any current or threatened bankruptcy.

c. No Pending Legal Proceedings. NPO is not the subject of a current or threatened litigation that would or may materially affect NPO's performance under this Agreement.

d. Licenses and Standards: Compliance with Laws. NPO warrants that it has all necessary licenses and permits required by the laws of the United States, State of California, County of Orange, and any local jurisdiction in which it may do business and/or provide services, and agrees to maintain these licenses and permits in effect for the duration of this Agreement. Further, NPO shall only contract with subcontractors that are duly licensed, insured, and qualified to provide Work under this Agreement, as applicable. Further, NPO warrants that its employees, agents, contractors, and subcontractors shall conduct themselves in compliance with the laws and licensure requirements including, without limitation, compliance with laws applicable to nondiscrimination, sexual harassment, and ethical behavior.

i. Failure to Obtain or Maintain Licenses. NPO shall notify Project Manager immediately and in writing of its inability to obtain or maintain, irrespective of the pendency of any appeal, any required permits, licenses, approvals, certificates, waivers and exemptions. The inability shall be cause for termination of this Agreement by RCOC or Project Manager.

e. NPO Certification. NPO certifies that no person or entity has been employed or retained by it to solicit or secure this Agreement for a commission, percentage, brokerage fee, or contingent fee.

9. **MAXIMUM PAYMENT OBLIGATION.** The "Maximum Payment Obligation" of RCOC to NPO under this Agreement shall be Four Hundred Fifty Thousand Dollars (\$450,000) ("CRDP Funds").

a. The Maximum Payment Obligation shall apply to all Work. It is anticipated that the costs associated with completion of the Work may exceed the Maximum Payment Obligation, and NPO shall be solely responsible for the payment of said additional costs. If it is determined that the costs associated with the Work is less than \$450,000, the Maximum Payment Obligation shall be deemed to be that lower amount. In the event that the Maximum Payment Obligation is less than \$450,000, RCOC shall retain the remaining CRDP Funds to be returned to DDS.

b. Funding Contingent Upon Appropriation. Notwithstanding anything in this Agreement to the contrary, the validity of this Agreement (including RCOC's obligation to remit payments to NPO) is conditioned on RCOC's receipt of adequate funds from DDS to pay for the services described in this Agreement (the "Funding Contingency"). The Funding Contingency is a part of this Agreement because RCOC's annual funding agreement with DDS provides that such funding agreement is subject to the appropriation of funds by the Legislature, and that if such funds are not appropriated for any fiscal year into which such funding agreement extends, the funding agreement is of no force and effect. Further, in the annual funding agreement DDS will specifically allocate a specific amount of funding for the SRF covered by this Agreement. RCOC shall therefore have the right and option to terminate this Agreement without liability, and such termination shall be deemed a failure of the Funding Contingency, if (1) DDS for any reason fails to allocate funds to RCOC for any period covered by this Agreement for a SRF; or (2) DDS allocates funds to RCOC for a period covered by this Agreement which RCOC reasonably determines are inadequate to pay for all of the Contractor services and other expenses which RCOC expects to incur in such fiscal year as to any SRF. In such an event, RCOC has the absolute discretion to elect to fund other services rather than the services identified in this Agreement. When insufficient funds exist, RCOC shall have the right, in its sole and absolute discretion, to fund services other than the services identified in this Agreement, based on which services RCOC believes are in the best interests of its persons served. If there is a failure of the Funding Contingency, then (1) RCOC shall have no liability to pay any funds whatsoever to NPO or to furnish any other considerations under this Agreement and (2) neither party shall be obligated to further perform any provisions of this Agreement. In the event RCOC exercises the Funding Contingency, RCOC agrees to use best efforts to negotiate a workout for the Property.

10. **RENOVATION WORK.**

a. Development/Improvements. NPO may not make any improvements, alterations, additions or changes (collectively, the "Improvements") to the Property without first procuring the prior written consent of RCOC, which consent shall be requested by NPO prior to

the commencement of the Work, and which consent shall not be unreasonably withheld by RCOC; provided, however, it shall be deemed reasonable for RCOC to withhold its consent to any Improvements which adversely affect (i) the structural portions or the systems or equipment of the Property, (ii) the exterior appearance of the Property or (iii) the ability of RCOC's Persons Served to use the Property. Notwithstanding the foregoing, NPO shall be permitted to make Improvements without RCOC's prior consent, to the extent that such Improvements are (a) decorative only, or relate to the normal repair and maintenance of the Property (e.g., the installation of carpeting, the painting of the walls of the Property, or the basic plumbing, mechanical, HVAC and electrical work); or (b) reasonably related to the existence of an emergency to the extent necessary to maintain the value of the Property and/or the ability to safely permit the continued occupancy thereof by RCOC's Persons Served. Except to the extent permitted by DDS' Letter of Conditional Approval (as defined by the CPP Guidelines), RCOC shall have no obligation to pay for the cost of any of the Improvements performed at the Property.

b. Deliverables. RCOC's obligation to disburse CRDP Funds relating to renovation work at the Property shall be conditioned on NPO's delivery to RCOC, and RCOC's approval of, all of the following: (i) overall construction and rehabilitation budget for the Property (including a budget for the Improvements and a budget for the appliances and fixtures NPO intends to purchase for the Property); (ii) construction drawings and specifications for the proposed Improvements; (iii) architect's contract, if applicable; (iv) structural engineer's contract, if applicable; and (v) construction contract between NPO and its general contractor, including contractor's fixed price or time & materials bid to perform the renovation work on the Property. When the renovation work is complete, NPO shall provide evidence that the applicable jurisdiction has issued a final Certificate of Occupancy or its equivalent for the Property.

c. Manner of Construction; Terms of Construction Contract. RCOC may impose, as a condition of its consent to any and all Improvements or repairs of the Property or about the Property, such requirements as RCOC in its reasonable discretion may deem desirable, including, but not limited to, the requirement that NPO utilize for such purposes only contractors, subcontractors, materials, mechanics and materialmen mutually approved by the parties. RCOC shall have the right to review and approve the terms and conditions of the construction contract between NPO and its general contractor for all Improvements. Further, each construction contract must contain the following provisions: (i) the contractor shall only be entitled to payments based upon performance; (ii) the contractor must provide appropriate conditional and unconditional mechanics lien releases, in accordance with standard construction industry practice, as a condition to its receipt of each installment payment and final payment and (iii) NPO will withhold a 10 percent retention, which it shall only disburse to the contractor after the renovation work is completed, a Certificate of Occupancy (or its equivalent) is provided by the local agency (e.g., building inspector), RCOC has completed its final walk through, and NPO and the Service Provider have signed the Certificate of Acceptance attached hereto as Exhibit "C" and incorporated herein by reference. NPO shall also include the following statement in each construction contract between NPO and the general contractor: "*Regional Center of Orange County is a third party beneficiary of all of Brilliant Corners' rights under this Contract.*"

d. Construction Insurance. Prior to the commencement of Improvements at each Property, NPO shall provide RCOC with evidence that adequate liability insurance from the contractor and adequate casualty insurance (such as “Builder’s All Risk” insurance or another form of casualty insurance reasonably acceptable to RCOC) is obtained/maintained in an amount approved by RCOC (which shall in no event be less than the amount actually carried by NPO) covering the construction of such Improvements, it being understood and agreed that all of such Improvements shall be adequately insured by NPO immediately upon completion thereof.

e. Compliance With Laws. NPO shall cause all Improvements and repairs to be performed in a good and workmanlike manner, in conformance with any and all applicable federal, state, county or municipal laws, rules and regulations and pursuant to a valid building permit, issued by the city or county in which the applicable Property is located, all in conformance with any commercially reasonable construction rules and regulations RCOC may promulgate from time to time.

f. Payment and Performance Bond; Alternative Performance Assurances. RCOC’s disbursement of CRDP Funds for the Improvements shall be conditioned on either of the following, at RCOC’s election: (i) NPO’s delivery to RCOC of a Payment and Performance Bond from NPO’s contractor in favor of NPO, in an amount equal to 100% of the expected costs of construction; or (ii) DDS’ approval of, and NPO’s compliance with, the “alternative performance assurances” described in Section IV(N) of the CPP Guidelines.

g. Timely Development. NPO is responsible and accountable for timely developing the Property so that it can be occupied by Persons Served pursuant to the terms of, and by the deadlines contained within, the CPP Guidelines. RCOC shall be responsible for timely identifying and selecting the Service Provider as well as the Persons Served for each Property, so that by the time the renovation work is completed, the Service Provider is prepared to occupy the Property and provide residential care services to Persons Served.

h. Disbursements; Procedures. RCOC shall disburse CRDP Funds for renovation work to NPO for the Property in accordance with the procedures in Section 11 below.

i. Monitoring of Plans and Work. RCOC may, in RCOC's sole and absolute discretion, monitor NPO’ preparation of the plans and the contractor’s performance of the renovation work, and NPO shall promptly respond to all inquiries, and cooperate, coordinate, and otherwise comply with RCOC’s requests.

j. Monthly Updates. On a monthly basis during the installation of the Improvements, and at all times upon RCOC’s request, NPO shall provide RCOC with an update as to the progress of its work.

k. NPO’ Delivery of Proof of Expenditures; NPO’ Return of Unused Funds. Upon NPO’ completion of the Improvements at a Property, NPO shall provide to RCOC invoices and other evidences of NPO’ costs for such work, including evidence of payment to third parties, and NPO hereby authorizes RCOC to audit NPO’ books and records for such purpose. To the

extent NPO receives funds before it incurs renovation costs, it is possible that funds disbursed by RCOC may exceed the total amount of funds necessary to complete the renovation work. If that occurs, NPO shall promptly return the excess funds to RCOC.

l. RCOC's Option to Deliver CRDP Funds to Third Parties. If a third party has recorded a lien or threatened to record a lien against a Property as a result of nonpayment for their services, equipment or materials, or if RCOC otherwise reasonably believes that NPO may be in breach of contract with any third party, then RCOC may elect to disburse certain CRDP Funds directly to such third parties for NPO's benefit, rather than to NPO directly.

m. Purchased Furniture, Fixtures and Equipment. Any item of furniture, trade fixture, equipment or other personal property costing over two thousand dollars (\$2,000) that NPO purchases with funds supplied under this Agreement, and which has a useful life in excess of two years, shall be the property of the State of California. Upon termination of this Agreement for any reason, such items are subject to reclamation by the State.

n. Additional Requirements and Agreements. RCOC reserves the right to require NPO to enter into further agreements with RCOC to address the costs and procedures relating to NPO's renovation of the Property.

11. **DISBURSEMENT OF RENOVATION FUNDS.** RCOC shall disburse renovation funds to NPO for the Property in installments, as milestones are satisfied, as provided below, but never more than the Maximum Payment Obligation. RCOC shall remit each payment to NPO within thirty (30) days after RCOC receives a properly documented invoice from NPO, along with all applicable backup documentation as described below, as determined by RCOC. Any funds disbursed by RCOC in advance shall be subject to true up once NPO has incurred such expenses.

a. First Milestone: NPO's submission of documentation reasonably acceptable to RCOC that NPO has closed escrow on the Property and is the owner of fee title to the Property.

i. Amount of funds Payable to NPO upon its Completion of First Milestone: Thirty Percent (30%) of the Maximum Payment Obligation.

b. Second Milestone: NPO's submittal to RCOC, and RCOC's approval, of all of the following for the Property: (i) overall construction and rehabilitation budget (including a budget for the Improvements and a budget for the appliances and fixtures NPO intends to purchase for the Property); (ii) construction drawings and specifications for the proposed Improvements; (iii) architect's contract, if applicable; (iv) structural engineer's contract, if applicable; and (v) construction contract between NPO and its general contractor, including contractor's fixed price or time & materials bid to perform its work and install the Improvements.

i. Amount of Funds Payable to NPO upon its Completion of Second Milestone: Thirty Percent (30%) of the Maximum Payment Obligation.

c. Third Milestone: NPO's written certification to RCOC that the city or county inspector has approved the rough framing, electrical and plumbing work at the Property, along

with NPO's delivery to RCOC of evidence of such approval (such as a signed inspection card from the inspector) (collectively, the "Inspector's Approval"), or RCOC's receipt and approval of any alternative written certification from NPO that RCOC concludes is functionally equivalent to the Inspector's Approval.

i. Amount of Funds Payable to NPO upon its Completion of Third Milestone: Thirty Percent (30%) of the Maximum Payment Obligation.

d. Fourth Milestone: RCOC's satisfaction that all of the Improvements have been properly completed and that the Property is physically ready for occupancy by Persons Served, after RCOC's completion of a walk-through of the Property. NPO's written certification to RCOC that the city or county inspector has approved the final completion of the Property, along with NPO's delivery to RCOC of evidence of such approval (such as a signed inspection card from the inspector) (collectively, the "Inspector's Approval"), as well as full execution of the Certificate of Acceptance attached hereto as Exhibit "C" shall constitute NPO's satisfaction of this milestone.

i. Amount of Funds Payable to NPO upon its Completion of Fourth Milestone: Ten Percent (10%) of the Maximum Payment Obligation.

e. Deadline to Expend Funds. Notwithstanding anything in this Agreement to the contrary, the deadline for RCOC to disburse renovation funds to NPO is June 30, 2022, and NPO must therefore complete all renovations prior to such date, unless directed otherwise in writing by RCOC. All of RCOC's disbursements are conditioned upon NPO's compliance with the project milestones for the Property by such deadline; provided, however, the deadline for NPO to satisfy the Second Milestone for the Property shall be the earlier of the above deadline date or the 90th day after NPO purchases the Property.

11. **CRDP FUND RECOUPMENT.** As a condition of receiving funding under this Agreement, NPO is required to renovate and lease the Property to a third-party Service Provider to be used in perpetuity as a SRF for regional center Consumers, as set forth in this Agreement. This ninety-nine (99) year term shall run concurrently with the ninety-nine (99) year term required pursuant to Section 10 of the Acquisition Agreement. In addition, NPO is required to complete any and all requirements, improvements, work or activities to ensure that the Property meets all statutory and regulatory requirements to be utilized as a SRF. If for any reason NPO ceases to lease the Property during the 99 year term as required under the terms of this Agreement, or the Property is not eligible for use as a SRF, the funds provided under this Agreement shall immediately become due and payable as provided below. A temporary cessation of use of the Property by regional center Persons Served due to casualty or temporary condemnation not caused by the NPO shall not require the NPO to repay CRDP Funds.

a. If NPO ceases to lease the Property for use as a SRF or if the Property is determined not to meet all statutory and regulatory requirements to be utilized as a SRF in accordance with the terms of this Agreement at any time up to twenty (20) years after the Property is acquired, then

NPO shall immediately repay RCOC one hundred percent (100%) of all CRDP Funds paid by RCOC pursuant to this Agreement.

b. If NPO ceases to lease the Property for use as a SRF or if the Property is determined not to meet all statutory and regulatory requirements to be utilized as a SRF in accordance with the terms of this Agreement at any time which is more than twenty (20) years but less than forty (40) years after the Property is acquired, then NPO shall immediately repay RCOC eighty percent (80%) of all CRDP Funds paid by RCOC pursuant to this Agreement.

c. If NPO ceases to lease the Property for use as a SRF or if the Property is determined not to meet all statutory and regulatory requirements to be utilized as a SRF in accordance with the terms of this Agreement at any time which is more than forty (40) years but less than sixty (60) years after the Property is acquired, then NPO shall immediately repay RCOC sixty percent (60%) of all CRDP Funds paid by RCOC pursuant to this Agreement.

d. If NPO ceases to lease the Property for use as a SRF or if the Property is determined not to meet all statutory and regulatory requirements to be utilized as a SRF in accordance with the terms of this Agreement at any time which is more than sixty (60) years but less than eighty (80) years after the Property is acquired, then NPO shall immediately repay RCOC forty percent (40%) of all CRDP Funds paid by RCOC pursuant to this Agreement.

e. If NPO ceases to lease the Property for use as a SRF or if the Property is determined not to meet all statutory and regulatory requirements to be utilized as a SRF in accordance with the terms of this Agreement at any time which is more than eighty (80) years but less than ninety-nine (99) years after the Property is acquired, then NPO shall immediately repay RCOC twenty percent (20%) of all CRDP Funds paid by RCOC pursuant to this Agreement.

12. **SECURITY.** The following documents shall be recorded on the Property as set forth in the Acquisition Agreement:

- a. DDS Restrictive Covenant, attached hereto as Appendix "A" to Exhibit "B";
- b. DDS Deed of Trust, attached hereto as Appendix "D" to Exhibit "B";
- c. DDS Promissory Note, attached hereto as Appendix "C" to Exhibit "B";
- d. DDS Request for Notice of Default and/or Sale, attached hereto as Appendix "E" to Exhibit "B"; and
- e. Regional Center's Request for Notice of Default and/or Sale, attached hereto as Appendix "F" to Exhibit "B."

The recorded documents set forth above in this Section 12 shall include the total amount of CRDP Funds provided to NPO pursuant to both the Acquisition Agreement and this Agreement. Changes to the documents set forth in subsections (a) through (e) above and any future encumbrances against the Property are prohibited without the prior written consent of DDS. The

documents in subsections (a) through (e) above may be recorded subordinate only to a DDS approved Senior Lender Deed of Trust.

13. **LEASE.** RCOC and NPO agree as follows with respect to leasing the Property:

a. Selection of Tenant. NPO acknowledges that, despite its position as landlord under the Lease, NPO has no right to select the master tenant. Rather, RCOC shall have the sole right to select the initial tenant and all replacement tenants for the Property; provided, however, each master tenant must be a service provider vendored by RCOC. Similarly, RCOC shall have the sole right to cause one master tenant to assign its interest under the Lease to a new master tenant.

b. The Lease Form; the Lease Addendum. NPO may use any form of lease it chooses, provided that RCOC approves such form in writing in advance. The Lease shall include a Lease Addendum that is identical to the Lease Addendum template attached hereto as Exhibit “D”. All references in this Agreement to the “Lease” include the Lease Addendum. RCOC may require changes to the form of the Lease if so requested or required by DDS.

c. Specific Lease Restrictions. NPO shall not do any of the following without first obtaining RCOC’s express written consent, which shall not be unreasonably withheld: (1) lease, sublease or license rights in the Property to any party other than the applicable Service Provider under the Lease; (2) materially modify or amend any Lease (including but not limited to the amount of the rent) or terminate any Lease; or (3) unreasonably hinder a Service Provider in providing services to the Persons Served residing in the Property.

d. Notification of Service Provider Delinquencies. As soon as NPO becomes aware of any delinquent rent payments under the Lease, or any other monetary or non-monetary default by a Service Provider under the Lease, NPO shall promptly notify RCOC of such fact in writing.

e. RCOC’s Reimbursement to Service Provider. Subject to (1) NPO’s compliance with the terms of this Agreement and (2) the Service Provider’s compliance with the terms of its service provider agreement with RCOC for the Property (the “Service Provider Agreement”), RCOC will pay the Service Provider the amounts to which the Service Provider is entitled to receive under its Service Provider Agreement, including amounts sufficient satisfy the Debt Service (defined below).

i. Amount of Debt Service; Determination of Agreed Rent. The term “Debt Service” means NPO’s total monthly repayment obligation for a senior lender loan (“Loan”) encumbering the Property. With respect to the Property that NPO intends to purchase, the parties shall comply with the following procedure: (1) as soon as practicable before NPO purchases the Property, NPO shall inform RCOC of the expected Debt Service for such Property as of the expected commencement date of the Lease for the Property, as well as other continuing costs of Property ownership (including but not limited to property insurance, property management fees, property taxes [if applicable], the Reserve (defined in Section 13(i) below) and the cost of maintenance and repairs); (2) RCOC shall then have the right, in its sole and absolute discretion, to decide whether to approve the Debt Service and such other expected costs of Property

ownership, or any lesser sum; the amount so approved by RCOC is the “Agreed Rent”; (3) NPO shall have the right to approve RCOC’s determination of the Agreed Rent; and (4) if NPO informs RCOC in writing that NPO does not agree with the amount of the Agreed Rent within 10 days after RCOC notifies NPO of the determination of the Agreed Rent, then such Property shall not be subject to this Agreement or entitled to any CRDP Funds.

ii. Payment of Agreed Rent. The Agreed Rent for the Property (1) shall become the monthly rent for such Property; and (2) shall be recognized by RCOC as a valid expense of the Service Provider as part of RCOC’s calculation of the sums which the Service Provider shall be entitled to receive from RCOC under the Service Provider Agreement relating to such Property. Once the Service Provider’s obligation to pay the Agreed Rent commences, the Agreed Rent shall not be abated or adjusted based on the occupancy level of the Residence at any time. The Agreed Rent shall remain a fixed amount even if the Loan has a variable interest rate or other features that cause the Debt Service to fluctuate, unless the parties expressly agree in writing that the Agreed Rent will fluctuate with the Debt Service during the Loan term.

(1) Reduction of Agreed Rent Upon Repayment of a Loan. Notwithstanding the provisions in Section 13(e)(ii) above, at such time(s) as the Loan to NPO has been repaid in full (without refinancing), the Agreed Rent for the applicable Property shall be reduced by an amount equal to the Debt Service previously owed (and, in such event, RCOC’s payment to the Service Provider leasing the applicable Property shall be automatically reduced by the same amount).

(2) Reduction of Agreed Rent Tied to Reduction in Real Property Taxes for Tax Exempt Service Provider. Notwithstanding the provisions in Section 13(e)(ii) above, if the Service Provider or any successor Service Provider is a nonprofit entity under Internal Revenue Code section 501(c)(3), then once NPO receives the tax exemption described in Section 14 below, the Agreed Rent under the Lease shall be reduced by an amount equal to the difference between (i) the amount of real property taxes in effect on the date NPO acquired fee title to the applicable Property and (ii) the amount of the reduction in real property taxes as a result of obtaining the tax exemption (and, in such event, RCOC’s payment to the Service Provider leasing the Property shall be automatically reduced by the same amount). Further, if the tax exemption is retroactive, NPO shall promptly remit to RCOC an amount equal to any tax refund payment NPO receives from the taxing authority. If the tax exemption is revoked, the Agreed Rent under the Lease shall be increased by a like amount (and, in such event, RCOC’s payment to the Service Provider leasing the applicable Property shall be automatically increased by the same amount).

(3) Change of Agreed Rent Due to Changes in Operating, Maintenance and/or Repair Costs. Notwithstanding the provisions in Section 13(e)(ii), at NPO’s request the parties shall meet (but not more often than every 12 months) to discuss whether to increase the Agreed Rent to the extent NPO’s net operating, maintenance and/or repair costs have increased during such previous 12-month period (after taking into account any additional funds NPO has received from RCOC, the Service Provider or any third party during such time period to

compensate NPO for any changes in such costs). As a result of such meeting, the parties may mutually agree to increase the Agreed Rent to a new fixed monthly amount to reasonably account for such net cost increases; *provided, however*, (i) RCOC may consent or withhold its consent to any increase in Agreed Rent at RCOC's sole and absolute discretion; and (ii) RCOC may condition its agreement to increase the Agreed Rent on DDS's approval of such rent increase.

iii. Refinancing. If NPO refinances a Loan, the Agreed Rent for the Property shall remain its original fixed amount even if the amount of the Debt Service for the refinanced Loan is greater than, or less than, the Debt Service for the original Loan. If the refinanced Loan has a variable interest rate or other features that cause the Debt Service under the refinanced Loan to fluctuate, the Agreed Rent shall remain a fixed amount, unless the parties expressly agree a written agreement that the Agreed Rent will fluctuate with the Debt Service during the term of any refinanced Loan. Notwithstanding anything herein to the contrary, at any time the Debt Service for any Loan or refinanced Loan is reduced to below the original Debt Service, RCOC shall have the option to reduce the Agreed Rent to an amount determined by RCOC in its sole and absolute discretion; provided, however, such reduction of Agreed Rent shall not be more than the amount of the reduction between the original Debt Service and the new Debt Service. The parties acknowledge that DDS must consent to the refinancing of the Property.

f. NPO's Lease Obligations. At minimum, NPO shall be responsible for all of the following obligations under the Lease (at no additional cost to the Service Provider or RCOC, as such costs are built into the rent): (1) all expenses, costs and amounts of every kind and nature reasonably necessary or appropriate to manage the Property; (2) maintaining and repairing the residence on the Property ("Residence") (including but not limited to the roof, foundation, floor slab, structural components, landscaping and its mechanical, electrical and plumbing components) in good condition, order and repair; (3) the cost of any insurance which NPO determines to carry; (4) fees, charges and other costs, including consulting fees, legal fees and accounting fees, of all contractors engaged by NPO or otherwise reasonably incurred by NPO in connection with the management, renovation, improvement, maintenance and repair of each Residence; (5) wages, salaries and other compensation and benefits of all persons engaged in the management, maintenance or security of the Property, and employer's Social Security taxes, unemployment taxes or insurance, and any other taxes which may be levied on such wages, salaries, compensation and benefits; (6) real property taxes [if any] and assessments; and (7) to the extent applicable, the cost of annually filing the welfare tax abatement described in Section 14 below so that it does not lapse. Notwithstanding the foregoing, the cost of any repairs or replacements to the Residence resulting from the negligence or intentional misconduct of a Service Provider or any Person Served shall be the responsibility of the Service Provider.

g. Compliance with RCOC's Instructions. NPO agrees to promptly perform all acts and sign all documents relating to the Lease as RCOC may from time to time instruct. Thus, for example, if RCOC decides that a Lease should be amended in a manner that will not materially increase NPO's obligations or materially reduce its rights thereunder, or that a Lease amendment or sublease should be consented to, or that the existing Service Provider tenant should assign its

rights to a new Service Provider tenant, then NPO shall promptly take the necessary action to accomplish the same in accordance with RCOC's instructions.

h. Use by Service Provider. Once the initial Service Provider has leased a Property, RCOC shall be solely responsible for replacing the Service Provider during the Lease term, and RCOC shall pay an amount equal to the Agreed Rent when such Property is not leased during the term of this Agreement.

i. The Reserve Account.

i. From each payment of Agreed Rent with respect to the Property, NPO shall deposit the sum of \$500 per month per home (the "Reserve") into a segregated account in NPO's name (the "Reserve Account"). The purpose of the Reserve is to create a fund for repairs and replacements to the capital improvements at the Properties, including but not limited to the roof, foundation, and structural elements of the Residences thereon. The Parties agree that the proposed Reserve deposit may be adjusted by mutual agreement during the DDS escrow phase proposal or upon completion of renovations.

ii. The funds in the Reserve Account shall be segregated from NPO's operating account and other accounts. However, NPO may hold reserves for other homes in the Reserve Account, as long as (i) such homes are also occupied by RCOC's Persons Served, (ii) such homes are located in RCOC's catchment area (unless otherwise approved by RCOC in writing) and (iii) NPO's books and records at all times reflect the amount of the funds in the Reserve Account applicable to the Property. NPO shall not use the Reserve for any other property without first obtaining RCOC's written consent.

iii. NPO's release and application of funds from the Reserve Account shall be subject to monitoring by RCOC. NPO shall notify RCOC in writing each time NPO withdraws funds from the Reserve Account. Further, NPO shall not expend more than One Thousand Dollars (\$1,000) from the Reserve Account for any one repair at the Property without first obtaining RCOC's written approval, which shall not be unreasonably withheld.

iv. At any time during the term of the Lease, at RCOC's request and for reasonable cause, NPO shall increase or decrease the monthly amount of the Reserve, subject to (i) both parties' review of the Property's operating income and expenses to determine if such change is justified; and (ii) any lender reserve-for-replacement requirements.

v. At least once per year, and more often if requested by RCOC, NPO shall provide a Reserve Report to RCOC. The Report shall (i) estimate the remaining useful life of capital improvements at the Property, both individually and collectively, (ii) estimate the amount of funds reasonably needed to timely replace such capital improvements, (iii) state the total amount in the Reserve Account applicable to the Property and (iv) list all expenditures from the Reserve Account during the prior 12 month period applicable to the Property.

14. **PROPERTY TAX EXEMPTION.** In the event that the third-party service provider selected by RCOC is a 501(c)(3) non-profit organization, NPO shall file a property tax exemption application as early as possible after the NPO purchases the Property and has signed a lease with the third-party service provider, but no later than the first day the first regional center Person Served occupies the Property. If the Property is exempt (or intended to be exempt) from real property taxes, NPO shall (a) insure all assessments are timely paid; and (b) take all necessary actions within its control to insure the Property's tax exempt status is not lost. If the Property is not exempt from real property taxes, NPO shall insure all property taxes and assessments are timely paid.

15. **RIGHT OF ACCESS.** Without limiting any rights of access which RCOC and/or DDS may have irrespective of this Agreement, representatives of RCOC and/or DDS shall have a reasonable right of access to the Property for purposes of inspecting and evaluating the Property and any construction or other work being performed thereon. NPO shall cooperate with providing RCOC access and information as required for RCOC to complete all monitoring and reporting requirements, including the Community Placement Plan Housing Annual Report, attached hereto as Appendix "M" to Exhibit "B."

16. **MANAGEMENT AND MAINTENANCE.** NPO shall, at NPO's sole cost and expense (except as otherwise provided below), keep the Property (including, without limitation, the exterior walls, foundation, roof structure and membrane, and all improvements, fixtures, equipment, appliances, water lines, plumbing, plumbing fixtures, electrical, heating and air conditioning systems and furnishings therein), in good order, repair and condition at all times during the term of this Agreement. NPO shall maintain the Property in an aesthetically pleasing manner, and in a manner consistent with that which could be considered normal and customary for private residences (i.e., at a minimum, in material conformance with the standards of landscaping and appearance observed in the surrounding neighborhood). All such above-referenced items shall function at the Property for its intended purpose. In addition, NPO shall, at NPO's sole cost and expense, promptly and adequately repair all damage to the Property and replace or repair all damaged, broken, or worn fixtures and appurtenances, including any damage caused by ordinary wear and tear; provided however, that, at RCOC's option, or if NPO fails to make such repairs, RCOC may, but need not, make such repairs and replacements, and NPO shall pay RCOC the cost thereof. Each Property shall be subject to periodic inspections by RCOC. Notwithstanding the foregoing, to the extent NPO and RCOC agree that some or all of NPO's obligations hereunder are to be performed by a third-party entity or individual (such as pursuant to a management agreement), NPO shall be responsible for ensuring that any such third-party contractor shall comply with the requirements imposed on NPO pursuant to the terms of this Agreement, and in any event NPO shall continue to remain ultimately responsible to RCOC for the performance of all of the obligations set forth in this Agreement.

17. **LIENS AND STOP NOTICES.** If a claim of a lien or stop notice is given or recorded affecting the Property, NPO shall within thirty (30) days of such recording or service:

- a. Pay or discharge the same; or

b. Affect the release thereof by recording and delivering to RCOC a surety bond in sufficient form and amount, or otherwise; or

c. Provide RCOC with other assurance that it deems, in RCOC's sole discretion, to be satisfactory for the payment of such lien or bonded stop notice and for the full and continuous protection of RCOC and DDS from the effect of such lien or bonded stop notice.

17. **RECORDS.**

a. Maintain Complete Books and Records. In addition to any statutory and regulatory requirements regarding recordkeeping, NPO shall keep books and records as shall be necessary relating to the Work so as to enable RCOC to evaluate performance under this Agreement. Books and records pertaining to costs shall be kept and prepared in accordance with Generally Accepted Accounting Principles (GAAP). Project Manager, RCOC and their staff, legal counsel, and other RCOC consultants (as approved by Project Manager) shall have full and free access to all books and records of NPO (and any subcontractor), pertinent to this Agreement, at all reasonable times, including the right to inspect, copy, audit and make records and transcripts from the records.

i. NPO shall prepare and maintain accurate and complete financial records of its business operations, and in particular records related to the Work, in accordance with all statutory and regulatory requirements.

b. Inspection and Access to Records. Without limiting any rights to access which RCOC may have irrespective of this Agreement, Project Manager and any authorized RCOC representatives shall have access to NPO's records for the purpose of monitoring performance and provision of the Work pursuant to this Agreement. NPO shall make available its records within the borders of Orange County within ten (10) days after receipt of written demand by Project Manager or his/her designee. In the event NPO does not make available its records within the borders of Orange County, NPO agrees to pay all necessary and reasonable direct and indirect expenses incurred by RCOC or RCOC's designee necessary to obtain NPO's records.

c. Reports. Upon request by the Project Manager, NPO shall submit a written progress report addressing the Work performed, progress toward the objective of the Agreement, difficulties encountered during the reporting period, and any remedial/corrective action taken.

18. **ZERO TOLERANCE POLICY.** NPO shall ensure all of its employees are fully informed upon hire, and annually thereafter, about RCOC's Zero Tolerance Policy Regarding Abuse or Neglect of Those We Serve, pursuant to the Elder Abuse and Dependent Adult Civil Protection Act (California WIC section 15600-15675), and Child Abuse and Neglect Reporting Act (California Penal Code sections 11164-11174.3). Such policy is posted on RCOC's website. Any NPO employee or agent who fails to report Person Served abuse or neglect may be subject to penalties defined in law (WIC, section 15630(h)). In addition, upon becoming aware of a reportable incident or allegation of abuse or neglect of a Person Served, NPO shall take immediate action to protect the health and safety of the involved Person Served and all other Persons Served.

NPO shall ensure its staff has knowledge of the signs of abuse and neglect, the process for reporting suspected abuse or neglect, and the consequences of failing to follow the law or adhere to RCOC's Zero Tolerance Policy. NPO's failure to comply with the policy and the abuse/neglect reporting laws is considered a material breach of this Agreement.

19. **NOTICES.**

a. Method and Form of Notice. Unless otherwise specified, all formal notices, claims, correspondence, or reports shall be addressed as follows:

RCOC: Regional Center of Orange County
1525 N. Tustin Avenue
Santa Ana, CA 92705
Attn: Jack Stanton

NPO: Brilliant Corners
527 W. 7th St., Floor 11
Los Angeles, CA 90014

All notices shall be deemed effective when in writing and personally delivered or deposited in the United States mail, express, priority or first class, postage prepaid and addressed as above. Any notices addressed in any other fashion shall be deemed not given. Project Manager and NPO may mutually agree in writing to change the addresses to which notices are sent.

b. Advisory Notices Required. NPO shall immediately notify RCOC, in writing, whenever NPO has knowledge that there is or may be a delay in the timely performance of this Agreement. NPO shall include all relevant information with respect to the actual or potential delay.

20. **TERM AND TERMINATION.**

a. Term of Agreement. The term of this Agreement shall commence on Date of the Agreement provided above and shall terminate two (2) years therefrom, unless earlier terminated pursuant to the provisions of this Section 20 of this Agreement.

b. Grounds for Immediate Termination. RCOC may immediately terminate this Agreement in any of the following circumstances:

i. In the event RCOC has reasonable grounds to believe that NPO has offered or given any gratuity to any officer or employee of RCOC that would tend to influence that person's decision regarding any aspect of this Agreement.

ii. In the event an enforcement action, such as a vendorization termination, is taken against NPO which frustrates the purposes of this Agreement. In the event of such termination, NPO shall repay to RCOC all funds provided pursuant to this Agreement.

c. Termination for Cause Due to Default of NPO. RCOC reserves the express right to terminate this Agreement for cause due to the default (as defined in Section 21) of NPO in its performance of obligations under this Agreement, provided that RCOC shall first provide NPO with written notice of any such default and, if such default is susceptible to cure, provide NPO with thirty (30) calendar days in which to cure such default to RCOC's satisfaction (in which event such notice of termination shall be deemed rescinded, and this Agreement shall remain in full force and effect in accordance with its terms). If NPO fails to cure the default within the thirty (30) day period, RCOC shall be entitled to terminate the Agreement for cause by providing NPO written notice thereof. In the event this Agreement is terminated pursuant to this subsection, NPO shall immediately repay RCOC all funds provided pursuant to this Agreement.

21. **DEFAULT.** Failure by NPO to perform and/or comply with any provision, covenant, or condition of this Agreement shall be a default of this Agreement. In the event of default RCOC may avail itself of any remedies available at law, in equity, or otherwise specified in this Agreement (including immediate termination for cause as set forth in Section 20(c) above).

22. **COUNTERPARTS.** This agreement may be executed in several counterparts, all of which shall constitute but one and the same instrument. Faxed and/or electronically scanned signatures shall have the same force and effect as an original signature.

23. **DISPOSAL POLICY.** NPO shall develop a written policy on the disposal of any existing fixtures and major appliances that are included in the Property's purchase. The disposal policy shall be provided to RCOC prior to the commencement of any work on the Property.

24. **GENERAL TERMS AND CONDITIONS.**

a. Compliance with Laws. NPO shall provide all Work in accordance with all applicable federal and state laws, statutes and regulations and local ordinances and resolutions. NPO shall comply with all laws, rules or regulations applicable to the Scope of Work and provision of Work, as any may now exist or as changed or added after the Date of Agreement.

b. Familiarity with Work. By executing this Agreement and prior to performing or providing any Work under this Agreement, NPO warrants and shall be satisfied that (a) it has thoroughly investigated and considered the Work, (b) it has carefully considered how the Work should be performed, will be implemented, and will be completed, and (c) it fully understands the facilities, difficulties, and restrictions, attending carrying out the performance obligations of this Agreement.

c. Care of Work. NPO shall adopt reasonable methods during the term of this Agreement to furnish continuous protection to the Property, facilities, equipment, and persons providing the Work, and to the work product, records, and other papers to prevent losses or damages. NPO shall be responsible for all losses or damages, to persons or property (including real property, personal property, both tangible and intangible), except the losses or damages as may be caused by RCOC's sole negligence. The performance of Work by NPO shall not relieve

NPO from any obligation to correct any incomplete, inaccurate, or defective work or service at no further cost to RCOC, when the inaccuracies are due to the negligence, action, or inaction of NPO.

d. Severability. If a court of competent jurisdiction declares any provision of this Agreement or its application to any person or circumstances to be invalid or if any provision of this Agreement contravenes any federal, state, or county statute, ordinance, or regulation, the remaining provisions of this Agreement or its application shall remain valid, and the remaining provisions of this Agreement shall remain in full force and effect, and to that extent the provisions of this Agreement are severable.

e. California Law. This Agreement shall be construed and interpreted both as to validity and to performance in accordance with the laws of the State of California. Legal actions concerning any default, dispute, interpretation, declaration of rights, or matter arising out of or in relation to this Agreement shall be instituted in the Superior Court of the County of Orange, State of California, or any other appropriate court in the county, and NPO covenants and agrees to submit to the personal jurisdiction of the court in the event of any action.

f. Waiver. No delay or omission in the exercise of any right or remedy of a non-defaulting party on any default shall impair any right or remedy or be construed as a waiver. One party's consent or approval of any act by the other party requiring the other party's consent or approval shall not be deemed to waive or render unnecessary the party's consent to or approval of any subsequent act of the party. Any waiver by either party of any default must be in writing and shall not be a waiver of any other default concerning the same or any other provision of this Agreement.

g. Rights and Remedies Cumulative. Except with respect to rights and remedies expressly declared to be exclusive in this Agreement, the rights and remedies of the parties are cumulative and the exercise by either party of one or more of the rights or remedies shall not preclude the exercise by it, at the same or different times, of any other rights or remedies for the same default or any other default by the other party.

h. Covenant Against Discrimination. In the performance of this Agreement, NPO shall not engage in, nor permit any employee or agent to engage in discrimination in employment of persons or provision of Services or assistance, nor exclude any person from participation in, nor deny any person the benefits of, nor or subject any person to discrimination under any program or activity funded in whole or in part with RCOC funds on the grounds of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, gender or sexual orientation, except as permitted by applicable provisions of Federal and State law. NPO shall comply with Title II of the Americans with Disabilities Act, (42 U.S.C. §12101, *et. seq.*) as it relates to public accommodations.

i. Legal Action. In addition to any other rights or remedies, either party may take legal action, at law or at equity, to cure, correct or remedy any default, to recover damages for any

default, to compel specific performance of this Agreement, to obtain injunctive relief, or to obtain any other remedy consistent with the purposes of this Agreement.

j. Attorneys' Fees. If either party commences an action against the other party arising out of or in connection with this Agreement, the prevailing party shall be entitled to recover reasonable attorneys' fees and costs of suit from the non-prevailing party.

k. Waiver of Jury Trial. Both RCOC and NPO agree and acknowledge that each is aware of and has had the opportunity to seek advice of counsel of its choice with respect to its rights to trial by jury, and each party, for itself and its successors, creditors, and assigns, expressly and knowingly waives and releases all rights to trial by jury in any action, proceeding or counterclaim brought by any party against the other (and/or against its officers, directors, employees, agents, or subsidiary or affiliated entities) on or with regard to any matters of any kind or type arising out of or in any way connected with this Agreement and/or any other claim of injury or damage.

l. Time of Essence. Time is of the essence in the performance of this Agreement.

m. Confidentiality of Records. The NPO shall maintain confidentiality of records in accordance with the law, including, but not limited to, the provisions of Welfare and Institutions Code §§4514, 5328, and 14100.2. The NPO and all employees shall respect the confidentiality of all person served information they receive.

n. Survival. The rights and obligations set forth in this Agreement shall extend beyond the term or termination of this Agreement only to the extent expressly provided for herein, or to the extent that the survival of such rights or obligations are necessary to permit their complete fulfillment or discharge.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, RCOC and NPO have executed this Agreement as of the date first written above.

REGIONAL CENTER OF ORANGE COUNTY



Larry Landauer, M.S.W., Executive Director

BRILLIANT CORNERS

DocuSigned by:



AA8BBB6740144A9

Name/Title: Serena E. Fields, Director of Housing Development

EXHIBIT "A"
SCOPE OF WORK

Brilliant Corners ("NPO") shall renovate and maintain one (1) single family residence ("Property") acquired pursuant to the Acquisition Agreement to be leased to a third-party service provider selected by RCOC ("Service Provider") and used in perpetuity as an SRF. SRF shall mean a Specialized Residential Facility meeting the requirements of Miscellaneous Service Code 113 assigned by DDS pursuant to Title 17, California Code of Regulations ("Title 17"), section 54356 and described as follows:

"113--DSS Licensed - Specialized Residential Facility (Habilitation)"

SERVICE DESCRIPTION: A regional center shall classify a vendor as a DSS Licensed-Specialized Residential Facility provider if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options available.

Primary services provided by a DSS Licensed-Specialized Residential Facility may include personal care and supervision services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law) and therapeutic social and recreational programming, provided in a home-like environment. Incidental services provided by a DSS Licensed-Specialized Residential Facility may include home health care, physical therapy, occupational therapy, speech therapy, medication administration, intermittent skilled nursing services, and/or transportation, as specified in the IPP. This vendor type provides 24 hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and the provision of supervision and direct care support to ensure the consumers' health, safety and well-being. Other individuals or agencies may also furnish care directly, or under arrangement with the DSS Licensed-Specialized Residential Facility, but the care provided by these other entities must supplement the care provided by the DSS Licensed-Specialized Residential Facility and does not supplant it.

Regional Center monitoring of the DSS Licensed-Specialized Residential Facility shall be in accordance with the applicable state laws and licensing regulations, including Title 17, and the regional center admission agreement. Payment for services in a DSS Licensed-Specialized Residential Facility must be made pursuant to Title 17, Section 56919 (a), after the regional center obtains approval from the Department for payment of the prevailing rate or, pursuant to Welfare & Institutions Code, Section 4648 (a)(4), the regional center may contract for the provision of services and supports for a period of up to three years, subject to the availability of funds."

NPO shall renovate the home as necessary to meet all statutory and regulatory requirements for the Property to be utilized as a SRF, as well as to meet the unique needs of the identified regional center Persons Served that will reside there. NPO shall only be reimbursed for eligible renovation costs, as set forth in Section VII.B. of Exhibit "B", unless otherwise approved in advance by RCOC. NPO shall take all actions reasonably within its control to have the Property ready for occupancy by Persons Served no later than six (6) months from the date of close of escrow.

EXHIBIT "B"
COMMUNITY PLACEMENT PLAN HOUSING GUIDELINES

EXHIBIT C
FORM OF CERTIFICATE OF ACCEPTANCE

CERTIFICATE OF ACCEPTANCE

Reference is made to that certain Lease dated as of _____, 20__ (the "Lease"), by and between Brilliant Corners, as Landlord, and _____, as Tenant, for the property located at _____. Capitalized terms used, but not defined, herein shall have the meaning set forth in the Lease.

In accordance with the Lease, the Parties have inspected the Premises and have determined that all work required to be performed by, or on behalf, of the Landlord necessary to permit the Premises to be utilized by the Tenant for the Permitted Use has been completed. Therefore, the Parties desire to execute this Certificate of Acceptance, and the Parties mutually agree and acknowledge as follows:

The date of inspection of the Premises by the Parties was: _____.

The Commencement Date is: _____.

The Rent Reduction Period commences as of: _____.

The first payment of Base Rent is due no later than: _____ **[INSERT DATE 6 MONTHS AFTER THE COMMENCEMENT DATE]** (provided, however, as set forth in the Lease, the Parties acknowledge that the Rent Reduction Period shall end sooner if full occupancy of the Building occurs prior to the first (1st) day of the seventh (7th) full calendar month after the Commencement Date).

As of the Commencement Date (as set forth above), the Tenant acknowledges that the Landlord has delivered exclusive occupancy of the Premises to the Tenant, that the Tenant accepts the Premises in its current and existing condition, and that the Tenant is obligated to pay Rent to the Landlord in accordance with the terms of the Lease. Nothing in this Certificate of Acceptance shall be deemed to waive any provision of the Lease.

AGREED AND ACCEPTED BY:

LANDLORD:

BRILLIANT CORNERS, a California
nonprofit public benefit corporation

By: _____

Name: _____

Its: _____

TENANT:

_____, a _____

By: _____

Name: _____

Its: _____

EXHIBIT D
ADDENDUM TO LEASE

ADDENDUM TO LEASE

This is an Addendum to the Lease dated _____, 20__ between Brilliant Corners, a California nonprofit corporation ("Landlord") and _____ ("Tenant") for premises commonly known as _____ in _____, California (the "Premises"). If any inconsistency exists between the Lease and the terms of this Addendum, the terms of this Addendum shall control.

The parties further agree as follows:

1. Third Party Beneficiary. Regional Center of Orange County, a California nonprofit corporation ("RCOC"), is a third party beneficiary of both (1) Landlord's rights against Tenant and (2) Tenant's rights against Landlord under this Lease.

2. Use of the Premises; Permitted Uses. The Premises shall be used solely as a long-term residence for persons with developmental disabilities and eligible for services from RCOC (the "Intended Use"). Tenant shall not use the Premises for any other use without (1) Landlord's prior written consent, which shall not be unreasonably withheld, and (2) RCOC's prior written consent. The purpose of this provision is to ensure that the use of the Premises is maintained for the benefit of persons with developmental disabilities, in accordance with the Restrictive Covenant encumbering the Premises.

3. Vendorization Compliance. During the Term of this Lease, Tenant shall at all times: (1) maintain its vendorization with RCOC; (2) comply with all service provider vendorization and other applicable requirements under law (including but not limited to the applicable provisions in Titles 17 and 22 of the California Code of Regulations and Divisions 4.5 and 9 of the California Welfare and Institutions Code); and (3) comply with all requirements of Tenant's Service Provider Agreement with RCOC (hereinafter collectively, the "Vendorization Requirements"). RCOC's delivery of written notice to Landlord that Tenant is in breach of any Vendorization Requirement shall constitute a default and breach under this Lease; provided, however, RCOC will not provide such notice to Landlord until after (1) RCOC has notified Tenant in writing that Tenant is in breach of the Vendorization Requirements and (2) Tenant fails to cure such breach by the deadline set forth in RCOC's notice (based on the notice and cure periods set forth in the Service Provider Agreement between RCOC and Tenant in its capacity as Service Provider). Upon Landlord's receipt of such notice, Landlord shall promptly exercise its rights under the Lease to evict Tenant (or require Tenant to assign its rights under this Lease to a new Service Provider selected by RCOC as provided in Section 5 below); provided, however, (1) all costs of such eviction or lease assignment shall be borne by RCOC and (2) at RCOC's request, Landlord will delegate to RCOC the authority to engage counsel to evict Tenant on behalf of Landlord.

4. Insurance Policy Requirements. All insurance policies that Tenant is obligated to maintain under this Lease shall (a) provide that they will not be cancelled on less than 30 days' prior written notice to Landlord and RCOC and (b) in the case of liability policies, contain a named insured endorsement and name Landlord and RCOC as "additional named insureds". Certificates of insurance shall be provided to Landlord and RCOC upon request during the Term.

5. Default: Special Notice, Cure and Assignment Rights Available to RCOC. Notwithstanding anything in the Lease to the contrary, no Tenant default shall occur until Landlord has also notified RCOC in writing of the breach and has given RCOC the same amount of time to cure the breach as Tenant is entitled under this Lease. If RCOC timely cures the breach, then RCOC may elect to designate a replacement tenant in lieu of Tenant (a "Designee") upon delivery to Landlord, as soon as practicable after such cure, of a written assumption by the Designee of Tenant's obligations under this Lease (and RCOC shall be responsible for paying all rent in the interim). The date of any such delivery of such written assumption of Tenant's obligations by Designee pursuant to the foregoing sentence is hereinafter referred to as the "Default Assignment Date." In the event of any such election, Landlord agrees to recognize the assignment of this Lease to the Designee, in the manner and on terms and conditions more fully described below, and to accept the performance by the Designee as the tenant under this Lease, subject to all of the terms and conditions hereof:

5.1 Upon a Default Assignment Date, Tenant shall be deemed to have made an absolute, irrevocable assignment without any condition precedent, for purposes of vesting in the Designee all of Tenant's right, title and interest in this Lease, and in and to the following intangible property, agreements and deposits which are now or hereafter used in connection with the operation of the Premises (collectively, the "Intangibles"): (1) Tenant's management agreements and service contracts for the benefit of the Premises and which can be terminated without penalty by Tenant within 30 or fewer days' notice; (2) all existing agreements with RCOC's consumers who reside on the Premises (the "Residents"), to the extent assignable by Tenant (excluding the right to any payments for periods prior to the Default Assignment Date); and (3) all deposits of any nature (including but not limited to security deposits, utility deposits and Residents' deposits), prepaid rent and Residents' personal accounts held by Tenant. Designee agrees to assume all of Tenant's obligations under the Intangibles from and after the Default Assignment Date.

5.2 Upon the Default Assignment Date, the Designee shall be responsible for and shall pay all accrued expenses with respect to the Premises accruing on or after the Default Assignment Date and shall be entitled to receive and retain all revenues from the Premises accruing on or after the Default Assignment Date.

5.3 Upon the Default Assignment Date, all necessary arrangements shall be made to provide possession of the Premises to the Designee as soon as is practicable, but in no event later than five (5) days after RCOC notifies Tenant of the name and address of the Designee. At the time Tenant transfers possession of the Premises to the Designee, Tenant shall deliver to RCOC and the Designee (1) all Resident records and other personal information concerning all Residents residing at the Premises as of the Default Assignment Date and (2) all financial statements and other relevant records used or developed in connection with the Premises or business conducted at the Premises. Such transfer and delivery shall be in accordance with all legal requirements concerning the transfer of such records.

5.4 On the Default Assignment Date, Tenant shall provide RCOC with an accounting of all funds belonging to Residents at the Premises that are held by Tenant in a custodial capacity. Such accounting shall set forth the names of the Residents for whom such funds are held and the amounts held on behalf of each such Resident. Additionally, Tenant shall make or assist with all necessary arrangements to transfer such funds to a bank account designated by RCOC,

and RCOC or its Designee shall in writing acknowledge receipt of and expressly assume all Tenant's financial and custodial obligations with respect thereto.

5.5 All cash, checks and cash equivalents at the Premises and deposits in bank accounts (other than any client trust accounts) relating to the Premises on the Default Assignment Date shall remain Tenant's property after the Default Assignment Date. Tenant shall remain responsible for the payment of its liabilities, including accounts payable and payment of rent hereunder. RCOC or its Designee shall assume responsibility for the billing and collection of payments on account of services rendered by Designee on and after the Default Assignment Date. All payments applicable to services rendered after the Default Assignment Date shall be retained by RCOC or its Designee. RCOC or its Designee shall cooperate with Tenant in Tenant's collection of its remaining accounts receivable. Neither RCOC nor its Designee shall have any liability for uncollectible receivables, and neither shall be obligated to bear any expense as a result of such activities on behalf of Tenant.

5.6 RCOC or its Designee shall have an option to purchase any, all, or none of Tenant's furnishings and equipment used in the operation of the Premises for a price equal to its then fair market value (provided, however, to the extent (1) such furnishings and equipment were already located at the Premises at the time Tenant began to lease the Premises or (2) Tenant or its predecessor(s) had purchased such equipment and furnishings with funds provided by RCOC or any of them, Tenant shall transfer ownership of such equipment and furnishings to RCOC or its Designee for no additional consideration). RCOC or its Designee may exercise its option at any time prior to the expiration of 30 days following the Default Assignment Date. If the parties are unable to agree upon the fair market value of any equipment or furnishings which RCOC or its Designee elects to purchase, RCOC shall remit what it reasonably believes to be fair market value, Tenant shall transfer the items to RCOC or its Designee and the parties shall resolve any remaining differences by jointly selecting a third party appraiser to value the items, and such appraiser's decision will be binding on the parties; if the parties are unable to agree on an appraiser, either party may petition to a judge of the Superior Court in the County in which the Premises is located to select an appraiser on behalf of the parties. RCOC or its Designee shall also have the right to purchase all, some, or none of Tenant's supplies on hand upon the Default Assignment Date for a price equal to their cost to Tenant. Such option may be exercised at any time prior to the expiration of 30 days following the Default Assignment Date.

5.7 RCOC or its Designee shall have the right to download, copy, or otherwise use any computer programs used in the operation of the Premises, without charge by Tenant, for a period of six months following the Default Assignment Date. Tenant agrees not to erase or delete any data available upon its computer system that relates to the operation of the Premises.

5.8 The parties acknowledge that in the event RCOC elects to cause this Lease to be assumed by a Designee pursuant to this Section 5, it may take several months for such Designee to obtain all necessary licenses and permits and a new agreement between it and RCOC for the Designee to provide residential care services for people with developmental disabilities ("Provider Agreement"). In light of the foregoing, Tenant agrees that upon the Default Assignment Date, Tenant shall, at RCOC's option but at no cost to Tenant, enter into appropriate interim arrangements with the Designee, effective as of the Default Assignment Date, whereby Tenant shall continue to operate the Premises as licensee for so long as it takes the Designee to obtain the

necessary licenses and permits and new Provider Agreement, provided that Tenant may, in coordination with the California Departments of Health Services and Social Services, (1) delegate to Designee (or RCOC), as manager of the Premises, any and all functions that it is permitted to delegate under California law; and/or (2) allow Designee to use Tenant's Medicare and Medicaid (including Medi-Cal) provider numbers ("Provider Numbers") until it obtains new Provider Numbers. Any Designee that operates the Premises under Tenant's License or Provider Number shall not be the subject of a current license revocation or a current termination of a Medicare or Medicaid provider agreement. The agreement(s) documenting such interim arrangements shall provide that the Designee shall indemnify Tenant for any losses or damages incurred by Tenant as a direct result of the Designee's negligence, including, without limitation, any actions taken by the California Department of Developmental Services or any other governmental agency, in response to acts or omissions of the Designee at the Premises while operating under Tenant's Licenses and Permits that have a quantifiable adverse effect on the ability of Tenant to secure or maintain an intermediate care residence or an adult residential care residence license, where applicable. In order to receive such indemnity, Tenant shall be required to demonstrate that the adverse effect would not have occurred but for the acts or omissions of the Designee.

5.9 Tenant further agrees to take any action RCOC deems reasonably necessary to prevent, and Tenant shall not permit the occurrence of (1) a lapse in or limitation in the licenses and permits required to operate the Premises for its Intended Use (the "Licenses and Permits") (or the eligibility of the Premises for Medicare or Medi-Cal reimbursement, if applicable), (2) transfers of Residents from the Premises and/or (3) appointment of a temporary manager (not approved by RCOC) or receiver.

5.10 Without limiting the foregoing, Tenant agrees, after a breach and upon RCOC's request, to: (1) sublease the Premises to the Designee subject to the provisions of Section 5 hereof; (2) cease providing licensed care or services at the Premises; (3) cease representing itself to the public as having the Licenses and Permits to provide licensed care or services at the Premises; (4) provide for the management of the Premises by the Designee; and/or (5) provide for any other transfers or arrangements that RCOC deems necessary to effectuate the purposes of this provision.

6. Assignment, Subletting and Lease Modifications

6.1 Transfers. Tenant is prohibited from, and shall not assign, sublet, encumber, mortgage, transfer or dispose of (each a "Transfer") all or any part of the Premises, this Lease or Tenant's leasehold estate hereunder, without first obtaining the written consent of RCOC.

6.2 Lease Modifications/Terminations Require Consent of RCOC. Neither Landlord nor Tenant shall amend or modify this Lease without first obtaining the written consent of RCOC. Neither Landlord nor Tenant shall terminate this Lease without first obtaining the written consent of RCOC. Any such purported amendment, modification or termination without RCOC's written consent shall be voidable at RCOC's option. RCOC may condition its consent to the termination of this Lease on its approval of a replacement Lease for the Premises between Landlord and a new tenant (who is approved in accordance with the terms in Paragraph 6.1 above) that contains the same terms and conditions of this Lease, including the same protections for the RCOC as in this Lease.

6.3 Assignee's Assumption of Lease Obligations. No assignment by Tenant of this Lease shall be valid unless and until (1) the requirements of Paragraph 6.2 above have been complied with (or the assignee is a Designee as defined in Paragraph 5 above) and (2) the assignee executes and delivers to Landlord a written assumption of Tenant's obligations under this Lease for the benefit of Landlord.

7. Tenant's Indemnities. Tenant shall indemnify, hold harmless and defend Landlord and RCOC from and against any and all liabilities, claims, damages, costs and expenses, including reasonable attorneys', consultants' and experts' fees and expenses, imposed upon or incurred by Landlord or RCOC to the extent resulting from: (a) any personal injury to RCOC's consumers or other third parties or property damage occurring during the Term on or about the Premises caused by the negligence of Tenant or any employee, agent, contractor, consultant, subtenant or invitee of Tenant working in or from the Premises; (b) any failure on the part of Tenant to perform or comply with any of the terms of this Lease; and (c) any claim for negligence or misconduct committed by any Resident or by Tenant or by any employee, agent, contractor, consultant, subtenant, or invitee of Tenant working in or from the Premises.

8. Landlord's Indemnities. Landlord shall indemnify, hold harmless and defend Tenant and RCOC from and against all liabilities, claims, damages, costs and expenses, including reasonable attorneys', consultants' and experts' fees and expenses, imposed upon or incurred by Tenant or RCOC to the extent resulting from: (a) any accident, injury to or death of third parties or loss of or damage to property occurring prior to the Term on or about the Premises; (b) any failure on the part of Landlord to perform or comply with any of the terms of this Lease; and (c) the negligence of Landlord or Landlord's agents, employees, contractors or invitees.

9. Estoppels for RCOC. Landlord and Tenant shall each provide Estoppel Certificates to RCOC upon request, certifying (a) that this Lease is unmodified and in full force and effect (or if modified setting forth the modifications); (b) the dates to which the rent has been paid; (c) whether, to the responder's knowledge, any party is in default in the performance of any provision contained in this Lease and, if so, specifying such default(s); and (d) responses to such other questions or statements as RCOC shall reasonably request. The responder's failure to timely deliver such statement shall constitute an acknowledgment by the responder that (i) this Lease is unmodified and in full force and effect except as may be represented to the contrary by RCOC; and (ii) the other matters set forth in such request are true and correct.

10. Changes in Rent.

10.1 Reduction of Rent Upon Repayment of a Loan. At such time(s) as each loan secured by a deed of trust encumbering the Premises has been repaid in full (without refinancing), the rent under this Lease shall be reduced by an amount equal to the monthly installment payments previously owed by Landlord to such lender (and Tenant's rate of payment under its Service Provider Agreement with RCOC shall be reduced by the same amount).

10.2 Reduction of Rent Tied to Reduction in Real Property Taxes for Tax Exempt Service Provider. If the Tenant or any successor Tenant is a nonprofit entity under Internal Revenue Code section 501(c)(3), then once Landlord receives a real property tax exemption for the Premises, the rent under this Lease shall be reduced by an amount equal to the difference

between (i) the amount of real property taxes in effect on the date Landlord acquired fee title to the Premises and (ii) the amount of the reduction in real property taxes as a result of obtaining the tax exemption (and Tenant's rate of payment under its Service Provider Agreement with RCOC shall be reduced by the same amount).

10.3 Change of Rent Due to Changes in Operating, Maintenance and/or Repair Costs. At Landlord's request to RCOC, such parties shall meet (but not more often than every five years) to discuss whether to increase the rent to the extent Landlord's net operating, maintenance and/or repair costs have increased during such previous five-year period (after taking into account any additional funds Landlord has received from RCOC, Tenant or any third party during such time period to compensate Landlord for any changes in such costs). As a result of such meeting, the parties may mutually agree to increase the rent to a new fixed monthly amount to reasonably account for such net cost increases (and, in such event, Tenant's rate of payment under its Service Provider Agreement with RCOC shall be automatically increased by the same amount); *provided, however,* (i) RCOC's may consent or withhold its consent to any increase in rent at RCOC's sole and absolute discretion and (ii) RCOC may condition its agreement to increase the rent on the California Department of Developmental Services' approval of such rent increase.

11. Conveyance by Landlord. If Landlord or any successor owner of Landlord's rights in and title to the Premises shall convey the Premises, the transferee(s) shall assume in writing, for the express benefit of RCOC, all obligations and liabilities of Landlord hereunder arising or accruing from and after the date of such conveyance or transfer, including all obligations of Landlord under the Restrictive Covenant encumbering the Premises in favor of RCOC and the California Department of Developmental Services.

12. Notices. All notices to RCOC under this Lease shall be addressed as follows (although RCOC may, by notice to the other parties from time to time, specify a different address for notice purposes):

Regional Center of Orange County
Attention: Executive Director
1525 North Tustin Avenue
Santa Ana, CA 92705
Phone: (714) 796-5100

Executed at _____, California as of the date first written above.

"Landlord"

"Tenant"

BRILLIANT CORNERS,
a California nonprofit corporation

By _____
William F. Pickel, Executive Director

By: _____
Name: _____
Title: _____



Summary of Information About Persons Served - May 2023

NUMBER OF PERSONS SERVED	25,101	100%
Children - Birth to Age Three Receiving Early Start Services	3,630	14%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,926	32%
Adults - Ages 18 and Older Receiving Lanterman Services	13,085	52%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	531
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,532	60%
Epilepsy	2,850	14%
Cerebral Palsy	2,522	13%
Autism	9,507	44%
Fifth Category*	1,865	9%

* condition closely related to intellectual disability and requiring similar treatment
Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	515
Early Start / Under Age Three / 45 days to complete determination	319 / 62%
Lanterman / Over Age Three / 120 days to complete determination	98 / 19%
Provisional / Up to Age Five / 90 days to complete determination	0 / 0%

NUMBER OF PERSONS DETERMINED ELIGIBLE	129
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	65
<ul style="list-style-type: none"> • Number of children who received Early Start services 	39
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	25
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	145
Children - Age Three No Longer Eligible for Early Start Services	145
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

MAY 2023 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33	33	37
Unannounced	42	43	36	44	41	39
Total Number of Reviews	81	85	72	77	74	76

Provider Trainings	0	0	0	0	0	0
Technical Support	161	164	145	159	186	137
Corrective Action Plans	1	5	13	2	3	1
Special Incident Investigations*	9	20	27	39	16	21
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	39	37	40	81	44		461
Unannounced	45	84	83	75	95		627
Total Number of Reviews	84	121	123	156	139	0	1,088

Provider Trainings	0	0	0	0	0		0
Technical Support	131	0	0	0	0		1,083
Corrective Action Plans	2	3	8	11	11		60
Special Incident Investigations*	18	18	31	31	81		311

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

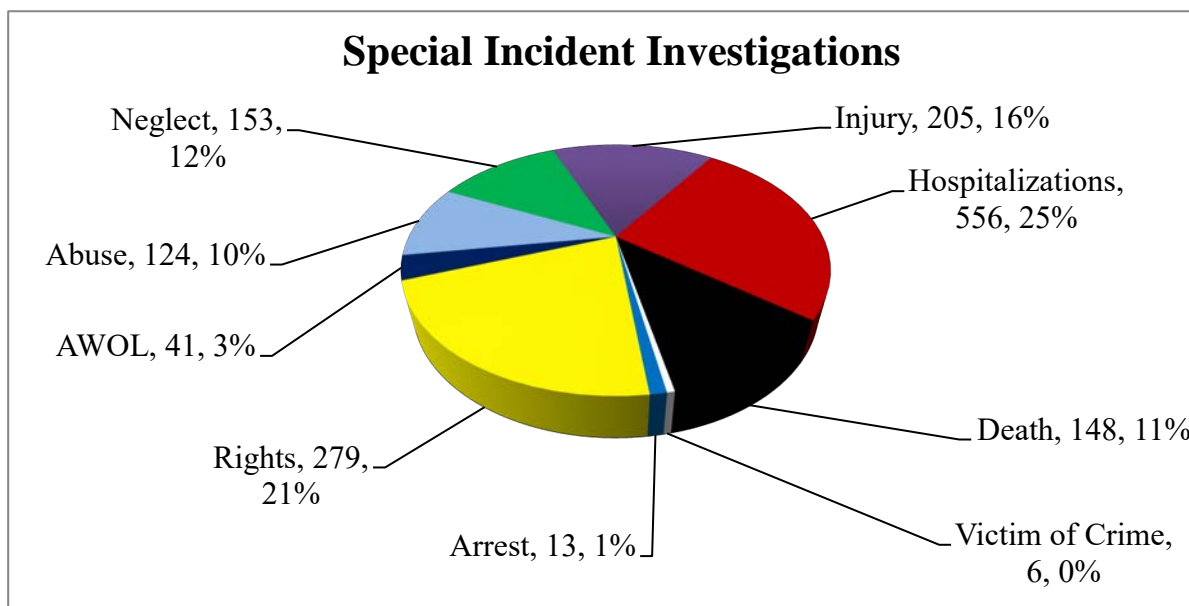
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3	4	2
Abuse	8	16	11	13	22	9
Neglect	14	16	17	26	11	9
Injury	18	25	15	16	17	16
Hospitalizations - Total	34	29	27	32	28	33
<i>Psychiatric</i>	4	3	2	4	2	1
<i>Medical</i>	30	26	25	28	26	32
Death	7	11	16	12	14	13
Victim of crime	2	1	0	1	1	0
Arrest	2	0	0	0	1	2
Rights	27	7	31	29	13	26
Total	121	114	119	132	111	110

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2	7	2	1	0		41
Abuse	9	3	15	7	11		124
Neglect	8	11	18	17	6		153
Injury	16	25	21	24	12		205
Hospitalizations - Total	33	38	50	32	1	0	337
<i>Psychiatric</i>	1	2	2	3	0		24
<i>Medical</i>	30	36	48	29	1		311
Death	13	16	18	12	16		148
Victim of Crime	0	0	1	0	0		6
Arrest	2	0	2	3	1		13
Rights	26	22	39	36	23		279
Total	109	122	166	132	70	70	1,306



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0	1	3	1		6
Staffing	0	0	1	5	0		6
Level 4I Consultant	0	0	0	0	0		0
P&I (consumer funds)	0	0	9	0	0		9
Total Number of Audits	0	0	11	8	1	0	20

Number of Appeals / Recoveries

State Appeal	0	0	0	0	0		0
Recovery	0	0	2	8	1		11

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$28,746.51	\$224,796.66	\$16,088.56	\$0.00	\$269,631.73
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70	77	78
Diapers - Family Member	7	6	6	6	5	5
Nursing Service - Family Member	62	59	61	60	60	61
Respite Service - Family Member	595	616	640	642	656	651
Transportation - Family Member	150	152	160	162	166	165
<i>Total Number of Voucher Authorizations</i>	882	901	940	940	964	960

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	190	76	78	79	76	
Diapers - Family Member	5	5	7	7	7	
Nursing Service - Family Member	62	58	56	55	56	
Respite Service - Family Member	658	663	658	650	655	
Transportation - Family Member	165	179	185	195	192	
<i>Total Number of Voucher Authorizations</i>	1,080	981	984	986	986	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

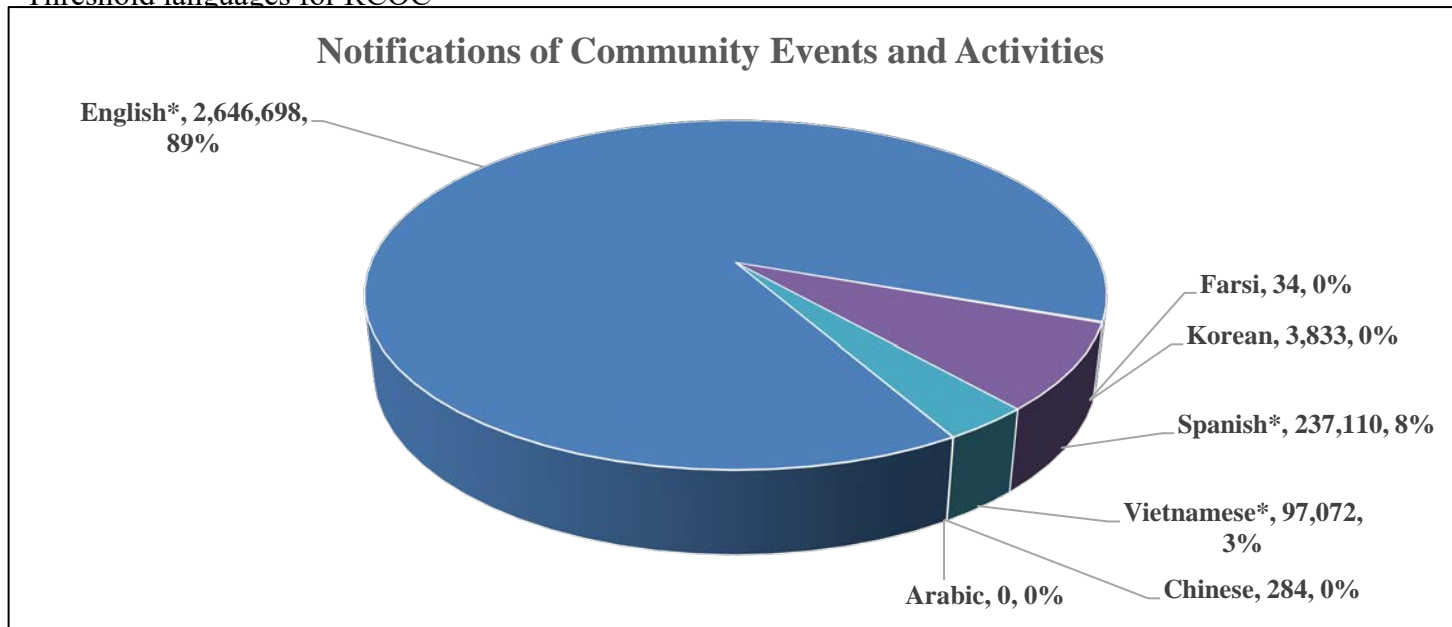
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,681	172,252	144,292
Farsi				34		
Korean		479	203		21	49
Spanish*	10,805	19,473	19,493	28,100	25,986	19,654
Vietnamese*	6,593	2,418	5,849	3,131	3,436	30,701
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	255,946	201,695	194,696

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	233,089	372,242	292,033	190,756	229,616		2,646,698
Farsi							34
Korean	352	1,218	121	648	742		3,833
Spanish*	28,282	20,323	13,864	26,551	24,579		237,110
Vietnamese*	24,354	8,535	1,812	4,008	6,235		97,072
Chinese		132					284
Arabic							0
Total Number of Notifications	286,077	402,450	307,830	221,963	261,172	0	2,985,031

* Threshold languages for RCOC



FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7	8	6
Spanish	1	1	4	1	3	3
Vietnamese		2	3			4
Other Languages		1 (Korean)		1 (Korean)	1 (Korean)	
In Print						
English	3		3		4	3
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		1
Other Languages						
Total Number of Outreach Events	5	16	18	10	16	17

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5	5	10	8	14		82
Spanish	1	2	1		2		19
Vietnamese		3			1		13
Other Languages							3
In Print							
English				6	2		21
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English				2			2
Spanish							0
Vietnamese	5	4	4	6	4		26
Other Languages							0
Total Number of Outreach Events	11	14	15	22	23	0	167

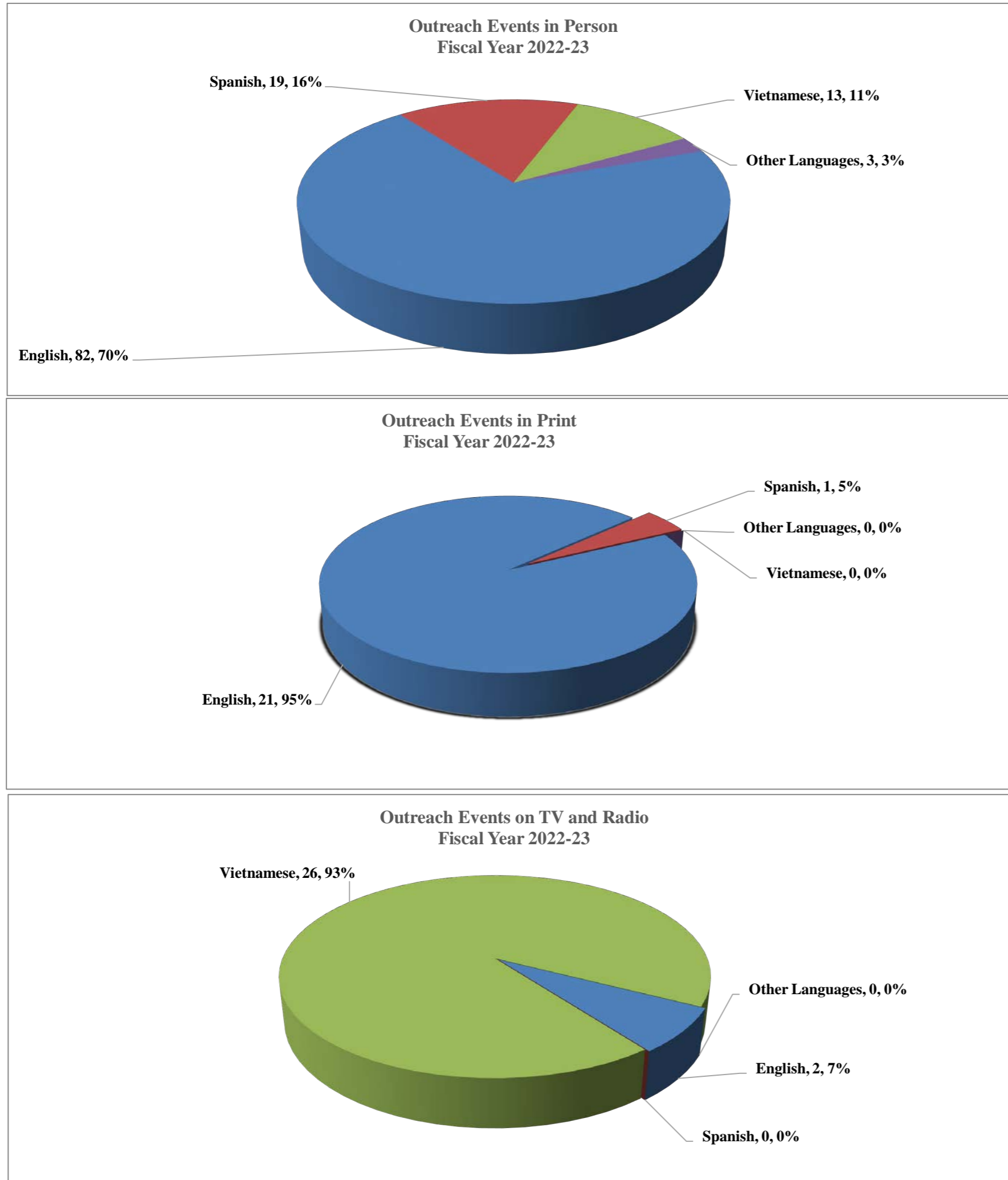
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

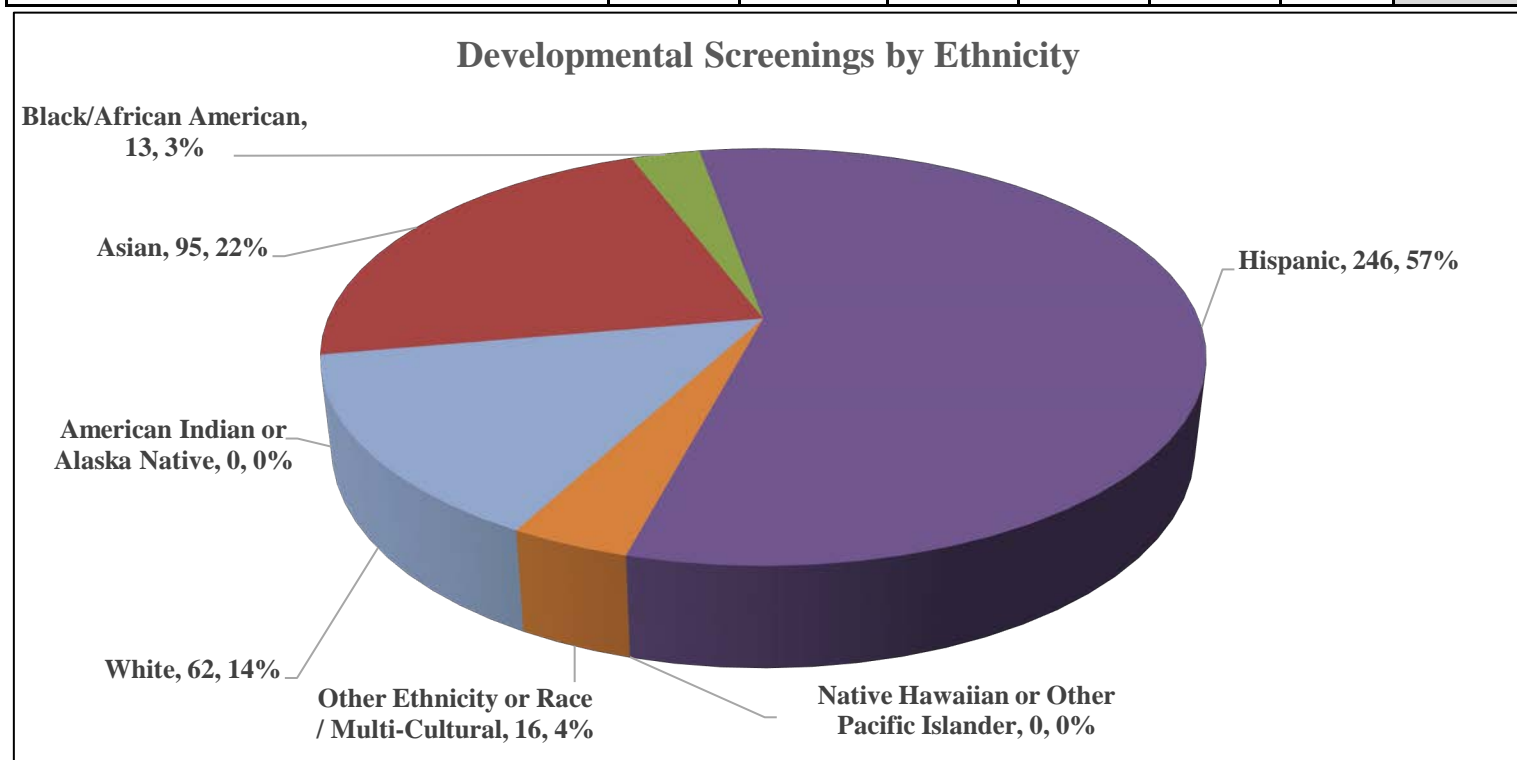
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	19	7	30	7	0	0
Black/African American	3	1	0	1	0	0
Hispanic	19	42	28	32	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	8	1	2	0	0	0
White	5	4	11	17	0	0
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOC	18	25	13	18	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0	0		0
Asian	1	6	14	6	5		95
Black/African American	0	5	0	1	2		13
Hispanic	7	30	11	23	54		246
Native Hawaiian or Other Pacific Islander	0	0	0	0	0		0
Other Ethnicity or Race / Multi-Cultural	1	1	0	2	1		16
White	1	8	3	10	3		62
Total Number Screened	10	50	28	42	65	0	432
Total Number Referred to RCOC	3	14	9	15	30		145

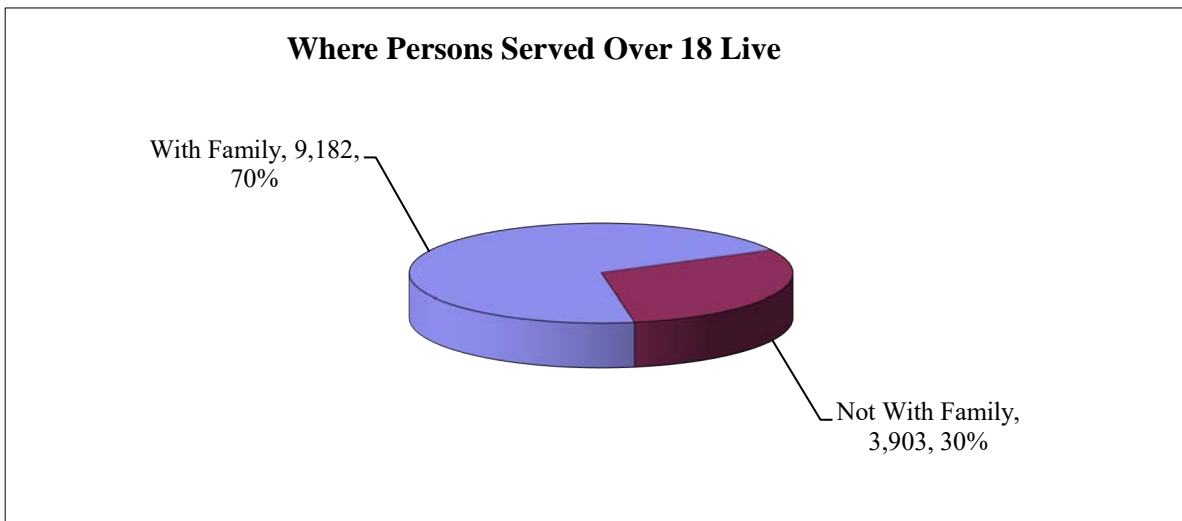
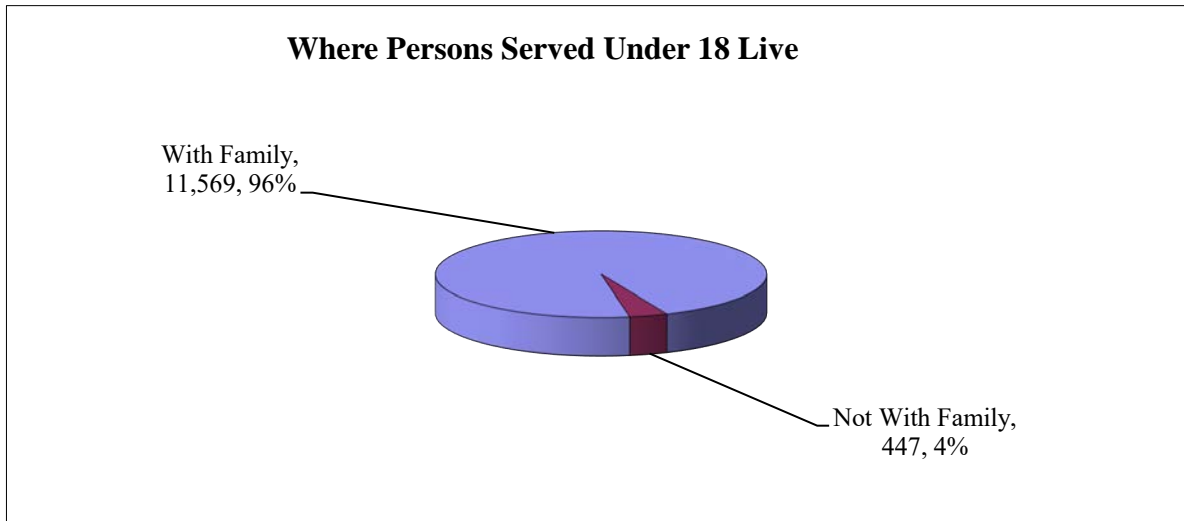


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

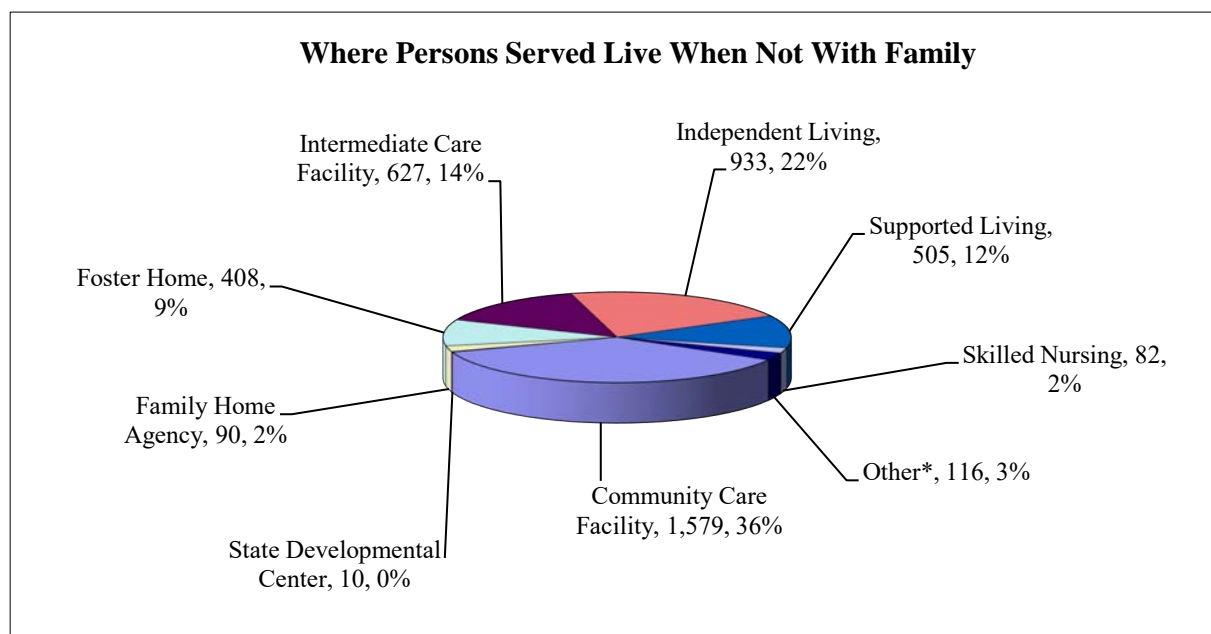
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,751	11,569	9,182
Not With Family	4,350	447	3,903
Totals	25,101	12,016	13,085



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,751	11,569	9,182
Community Care Facility	1,579	17	1,562
State Developmental Center	10	0	10
Family Home Agency	90	0	90
Foster Home	408	402	6
Intermediate Care Facility	627	3	624
Independent Living	933	0	933
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other*	116	25	91
Total	25,101	12,016	13,085

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	1	0
Community Treatment	2	1	1
Correctional Institution	1	0	1
County Jail	3	2	1
Other	0	0	0
Out of State	5	4	1
Psychiatric Treatment	17	2	15
Rehabilitation Center	7	0	7
SDC / State Hospital	8	0	8
Sub-Acute	39	13	26
Transient / Homeless	13	1	12
Total, Other*	101	24	77



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

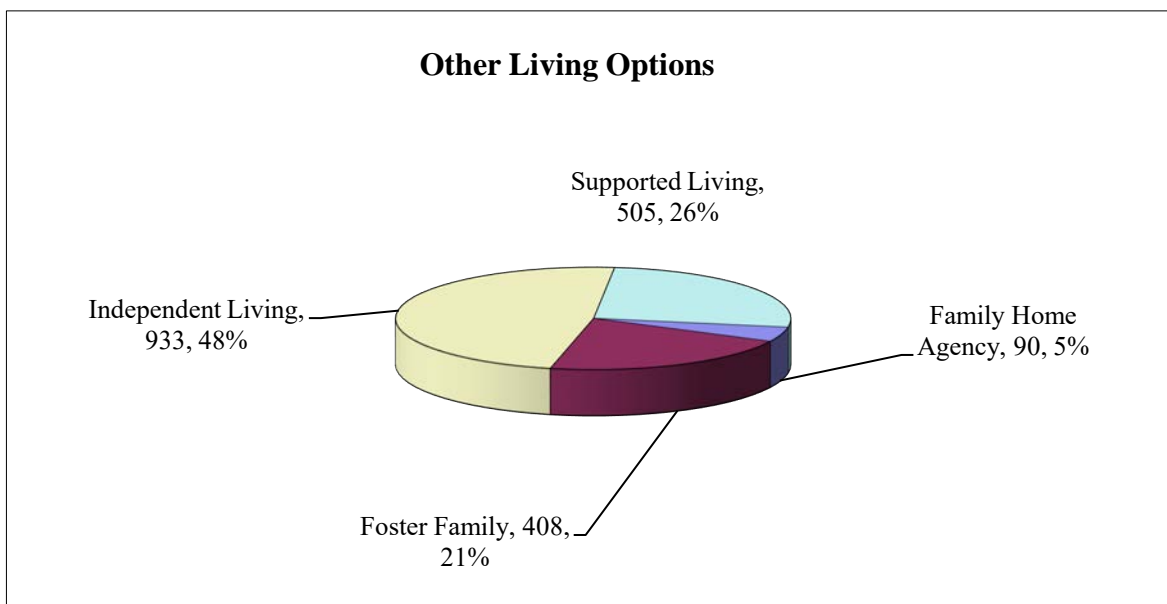
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	90	0	90
Foster Family	408	402	6
Independent Living	933	0	933
Supported Living	505	0	505
Total	1,936	402	1,534



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2022-23

Licensed Facilities	Total	Over 18	Under 18
Level 2	185	185	0
Level 3	261	261	0
Level 4A	32	32	0
Level 4B	5	5	0
Level 4C	50	50	0
Level 4D	37	37	0
Level 4E	19	19	0
Level 4F	61	61	0
Level 4G	30	30	0
Level 4H	1	1	0
Level 4I	306	306	0
Elderly	1	1	0
ICF/DD-H	0	0	0
ICF/DD-N	6	6	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	994	994	0

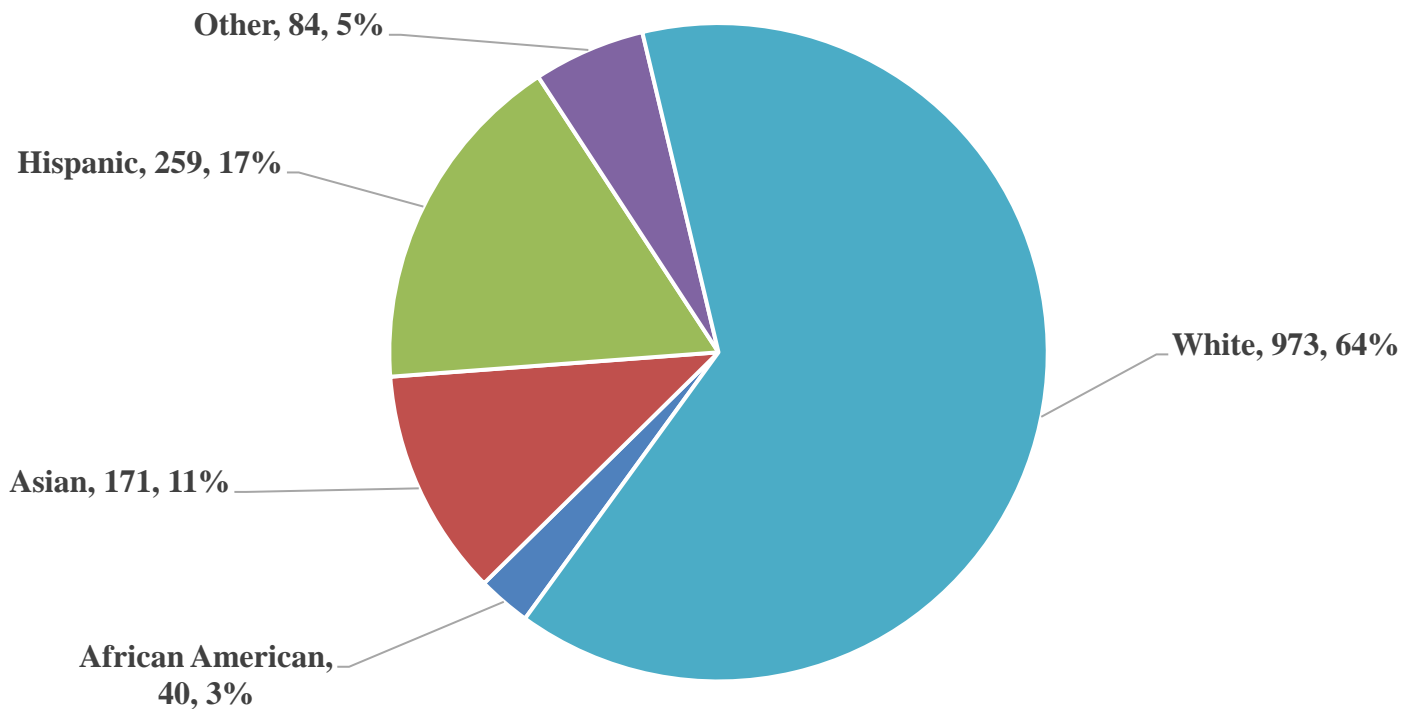
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	185	185	0
Level 3	261	261	0
Level 4	541	541	0
ICF/DD-H	0	0	0
ICF/DD-N	6	6	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	994	994	0

LIVING OPTIONS, continued

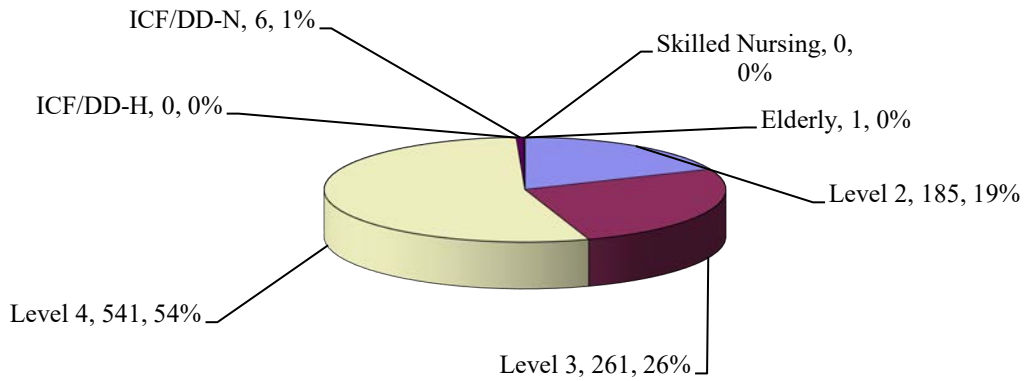
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2022-23

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	9	24	55	13	205	306
Level 3	11	31	65	23	227	357
Level 4A	0	5	6	2	24	37
Level 4B	0	1	0	0	7	8
Level 4C	3	11	10	2	60	86
Level 4D	0	10	6	2	28	46
Level 4E	0	4	11	2	19	36
Level 4F	3	12	8	2	42	67
Level 4G	0	8	3	2	39	52
Level 4H	0	0	2	0	1	3
Level 4I	14	65	93	36	321	529
Total	40	171	259	84	973	1,527

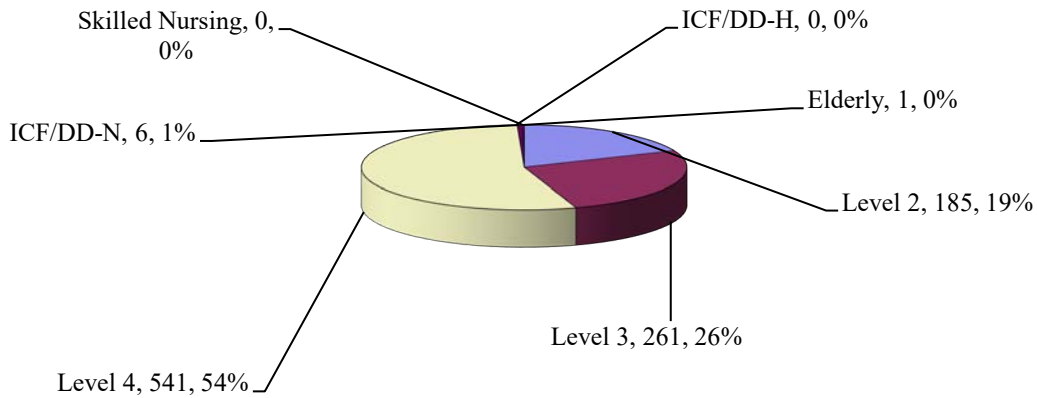
Residents in Community Care Licensed Facilities by Ethnicity



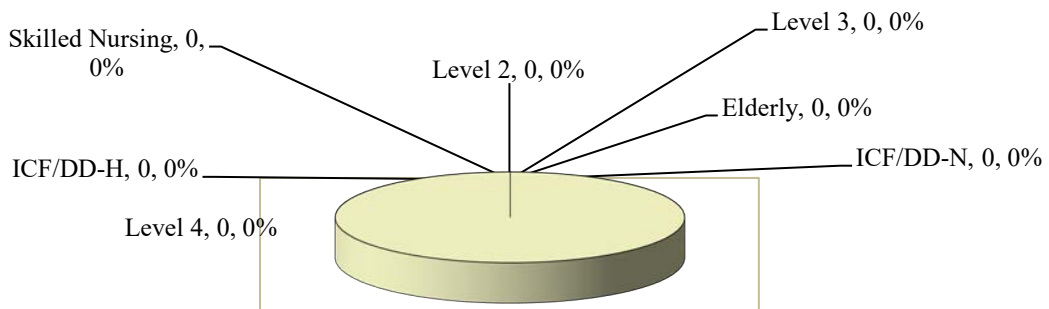
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

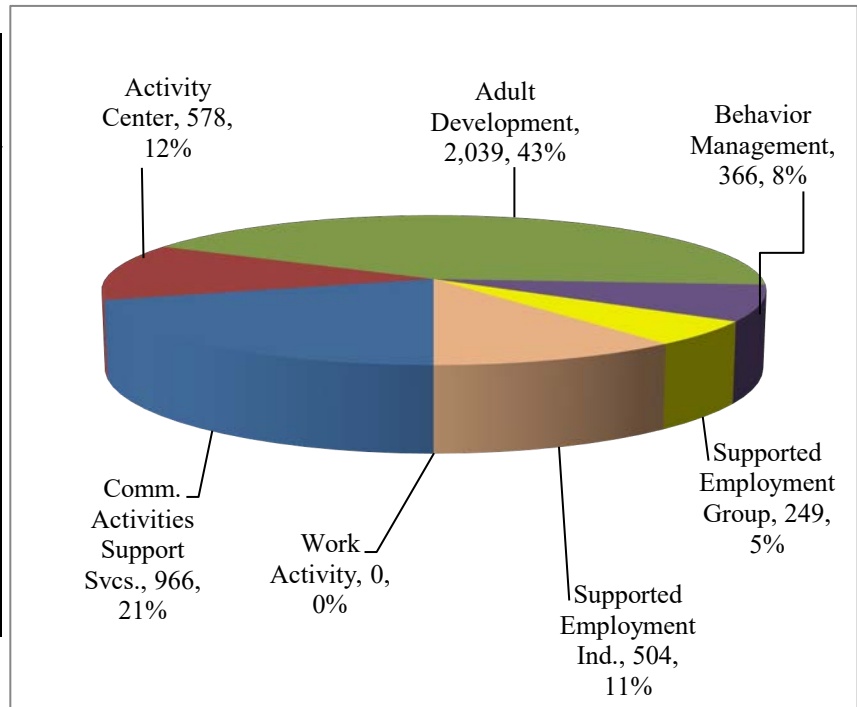


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	966
Activity Center	578
Adult Development	2,039
Behavior Management	366
Supported Employment Group	249
Supported Employment Ind.	504
Work Activity	0
Total	4,702



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges

Work Activity Program includes, but is not limited to, work activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4	341.4	337.4
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9	314.9	310.9
Number of Intake SCs	25.7	26.7	26.7	26.7	26.7	26.7
Number of Active Persons Served	23,980	24,028	24,161	24,276	24,367	24,488
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1	77.4	78.8

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	339.4	344.4	346.4	352.4	358.4	
Number of Case-Carrying SCs	312.9	317.8	319.8	325.9	329.8	
Number of Intake SCs	26.7	26.7	26.7	26.7	28.7	
Number of Active Persons Served	24,550	24,622	24,774	24,912	25,037	
Caseload Ratio, # of Active Persons Served/SCs	78.5	77.5	77.5	76.4	75.9	

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15	20	23	23	24	27	31	28	
Eligibility - Lanterman	3	4	4	2	2	3	3	2	2	7	10	
Behavioral services	1	1	1	2	2	5	7	4	3	3	2	
Respite	3	2	1	2	4	6	6	5	5	3	2	
Day Care			1	1	1	1	1					
ILS/SLS												
Personal Assistance	2	1	1	1		2	5	5	4	3	2	
Other**	10	9	7	7	11	12	12	9	9	10	2	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	9	5	3	8	8	13	10	15	12	11	4	
Eligibility - Lanterman	3	2		1	2	1	1	1	1	6	3	
Eligibility - Early Start												
Behavioral services				2		3	2	1	1			
Respite	1			1	2	3		1	1			
Day Care			1									
Social/Recreational						2	2	3	3	1		
Personal Assistance		1				2	3			1		
Other**	5	2	2	4	4	2	2	7	2	2	1	

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	7	6	6	3	3	9	5	5	11	9	9	
Number of Informal Meetings Held	7	2	5	3	3	7	4	5	8	3	5	
Number of Mediations Held		4				2	1		3	5	2	
Number of SLFHs Held			1							1	2	

Number of Requests in Scheduling*	4	9	5	9	17	8	10	11	11	15	7	
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* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0	0	0	0	0	0	
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* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	4	2	4	3	0	6	8	8	5	7	12	
Withdrawn by Person Served/Family				2		1	0	2		3	1	
Settled in Informal	4	1	3	1		2	5	4	3	2	9	
Settled after further follow-up by RCOC						1	2	2	1			
Settled in Mediation		1				2	1		1	2		
SLFH Decision			1								1	

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			1								1	
Split												

ADMINISTRATION AND GOVERNANCE

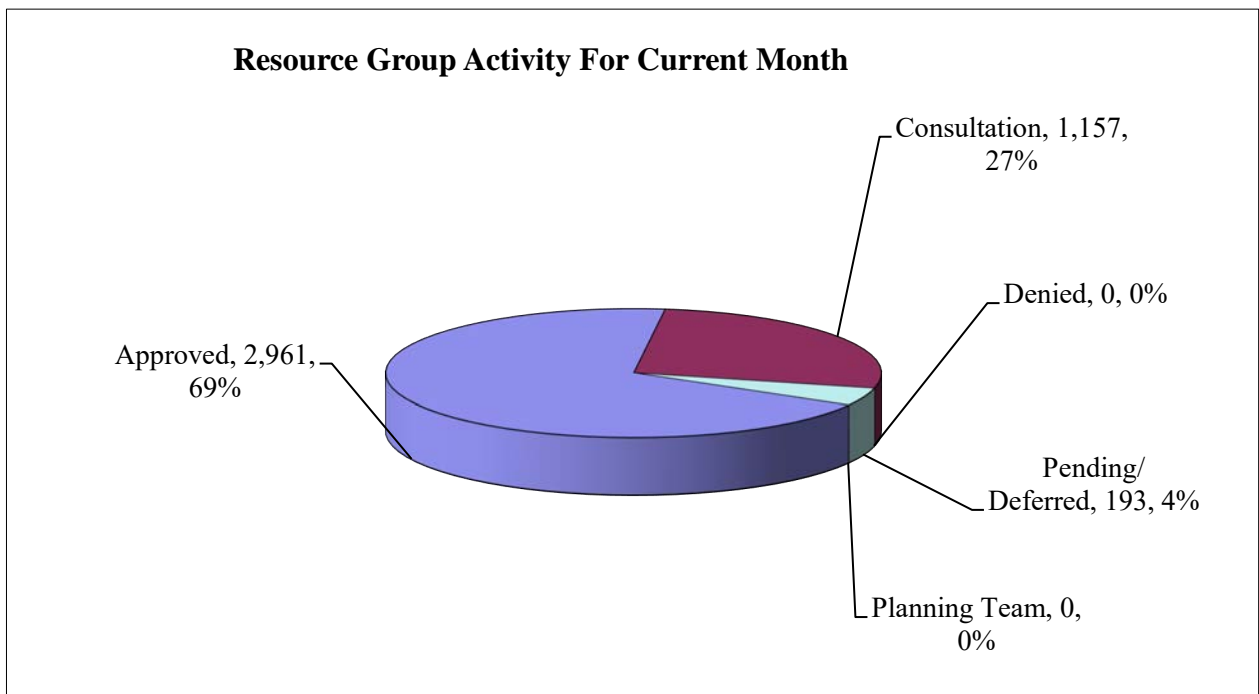
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for May 2023 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	692	374	0	65	0	1,131
Behavioral	96	68	0	18	0	182
Education	0	0	0	0	0	0
Eligibility/Health	74	6	0	6	0	86
Early Start	562	156	0	27	0	745
Living Options	254	180	0	4	0	438
Supported/Ind.	257	163	0	29	0	449
All Others	1026	210	0	44	0	1,280
Monthly Total	2,961	1,157	0	193	0	4,311

FY 2022-23 Total to Date	31,845	13,985	0	2,063	0	47,893
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Operations Report Summary - May 2023

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,630	8,751	11,597	10	23,988	12,016	13,085
<i>Percentage of Total</i>	<i>15%</i>	<i>36%</i>	<i>48%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>55%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,751	11,569	9,182
Community Care Facility	1,579	17	1,562
State Developmental Center	10	0	10
Family Home Agency	90	0	90
Foster Home	408	402	6
Intermediate Care Facility	627	3	624
Independent Living	933	0	933
Supported Living	505	0	505
Skilled Nursing	82	0	82
Other	116	25	91
Total	25,101	12,016	13,085

Special Incident Investigations	Year to Date
AWOL	41
Abuse	124
Neglect	153
Injury	205
Hospitalizations - Total	337
Death	148
Victim of crime	6
Arrest	13
Rights	279
Total	1,306

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	81	0	81
Level 4	202	11	191
Total Community Care Facilities	353	11	342

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	74
ICF-DD/Nursing	40
Total ICF Facilities	114

Total Licensed Facilities	467
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Licensed Facility Monitoring	Year to Date
Annual Review	461
Unannounced	627
Total Number of Reviews	1,088
Provider Trainings	0
Technical Support	1,083
Corrective Action Plans	60

Number of Audits	20
Amount of Recovery from Audits	\$269,632



Summary of Information About Persons Served - June 2023

NUMBER OF PERSONS SERVED	25,291	100%
Children - Birth to Age Three Receiving Early Start Services	3,690	15%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,920	31%
Adults - Ages 18 and Older Receiving Lanterman Services	13,221	52%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	513
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,546	60%
Epilepsy	2,860	14%
Cerebral Palsy	2,523	13%
Autism	9,599	44%
Fifth Category*	1,890	9%

* condition closely related to intellectual disability and requiring similar treatment
Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	515
Early Start / Under Age Three / 45 days to complete determination	309 / 60%
Lanterman / Over Age Three / 120 days to complete determination	70 / 14%
Provisional / Up to Age Five / 90 days to complete determination	4 / 1%

NUMBER OF PERSONS DETERMINED ELIGIBLE	137
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	87
<ul style="list-style-type: none"> • Number of children who received Early Start services 	32
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	18
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	120
Children - Age Three No Longer Eligible for Early Start Services	120
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JUNE 2023 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2022-23

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39	42	36	33	33	37
Unannounced	42	43	36	44	41	39
Total Number of Reviews	81	85	72	77	74	76

Provider Trainings	0	0	0	0	0	0
Technical Support	161	164	145	159	186	137
Corrective Action Plans	1	5	13	2	3	1
Special Incident Investigations*	9	20	27	39	16	21
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	39	37	40	81	44	48	509
Unannounced	45	84	83	75	95	89	716
Total Number of Reviews	84	121	123	156	139	137	1,225

Provider Trainings	0	0	0	0	0	0	0
Technical Support	131	0	0	0	0	0	1,083
Corrective Action Plans	2	3	8	11	11	4	64
Special Incident Investigations*	18	18	31	31	81	34	345

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

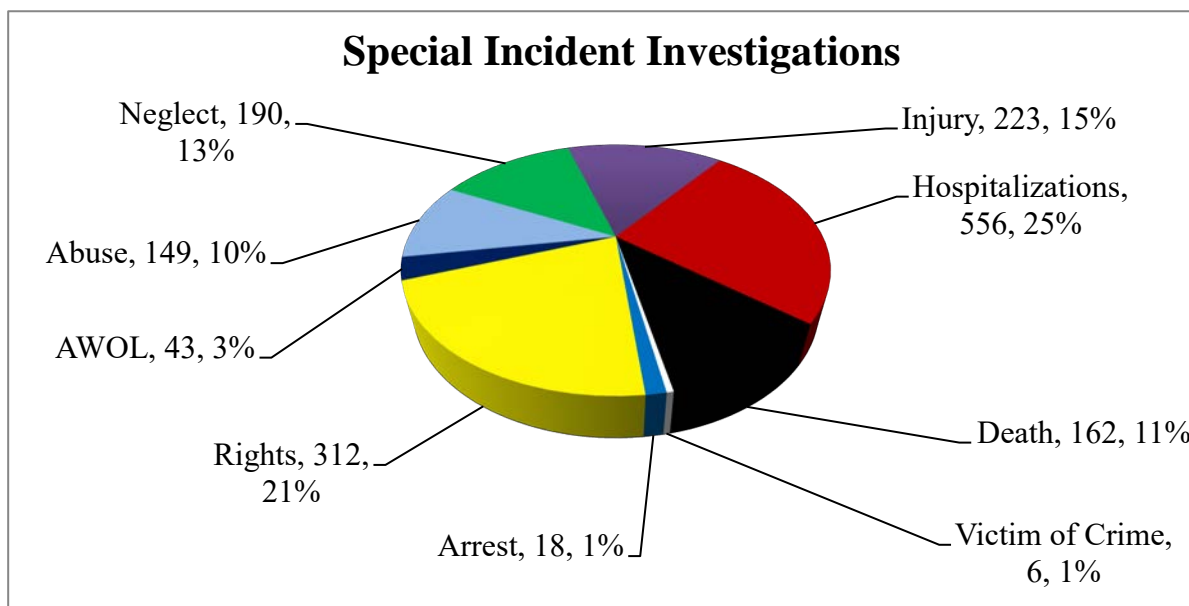
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2022-23

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	9	9	2	3	4	2
Abuse	8	16	11	13	22	9
Neglect	14	16	17	26	11	9
Injury	18	25	15	16	17	16
Hospitalizations - Total	34	29	27	32	28	33
<i>Psychiatric</i>	4	3	2	4	2	1
<i>Medical</i>	30	26	25	28	26	32
Death	7	11	16	12	14	13
Victim of crime	2	1	0	1	1	0
Arrest	2	0	0	0	1	2
Rights	27	7	31	29	13	26
Total	121	114	119	132	111	110

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	2	7	2	1	0	2	43
Abuse	9	3	15	7	11	25	149
Neglect	8	11	18	17	6	37	190
Injury	16	25	21	24	12	18	223
Hospitalizations - Total	33	38	50	32	1	37	374
<i>Psychiatric</i>	1	2	2	3	0	4	28
<i>Medical</i>	30	36	48	29	1	33	344
Death	13	16	18	12	16	14	162
Victim of Crime	0	0	1	0	0	0	6
Arrest	2	0	2	3	1	5	18
Rights	26	22	39	36	23	33	312
Total	109	122	166	132	70	171	1,477



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2022-23

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	1	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	0
Total Number of Audits	0	1	0	0	0	0

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0	0	1	3	1	0	6
Staffing	0	0	1	5	0	0	6
Level 4I Consultant	0	0	0	0	0	0	0
P&I (consumer funds)	0	0	9	0	0	0	9
Total Number of Audits	0	0	11	8	1	0	20

Number of Appeals / Recoveries

State Appeal	0	0	1	1	0	0	2
Recovery	0	0	2	8	1	0	11

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$28,746.51	\$224,796.66	\$16,088.56	\$0.00	\$269,631.73
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2022-23

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	68	68	73	70	77	78
Diapers - Family Member	7	6	6	6	5	5
Nursing Service - Family Member	62	59	61	60	60	61
Respite Service - Family Member	595	616	640	642	656	651
Transportation - Family Member	150	152	160	162	166	165
<i>Total Number of Voucher Authorizations</i>	882	901	940	940	964	960

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	190	76	78	79	76	88
Diapers - Family Member	5	5	7	7	7	7
Nursing Service - Family Member	62	58	56	55	56	51
Respite Service - Family Member	658	663	658	650	655	670
Transportation - Family Member	165	179	185	195	192	200
<i>Total Number of Voucher Authorizations</i>	1,080	981	984	986	986	1,016

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2022-23

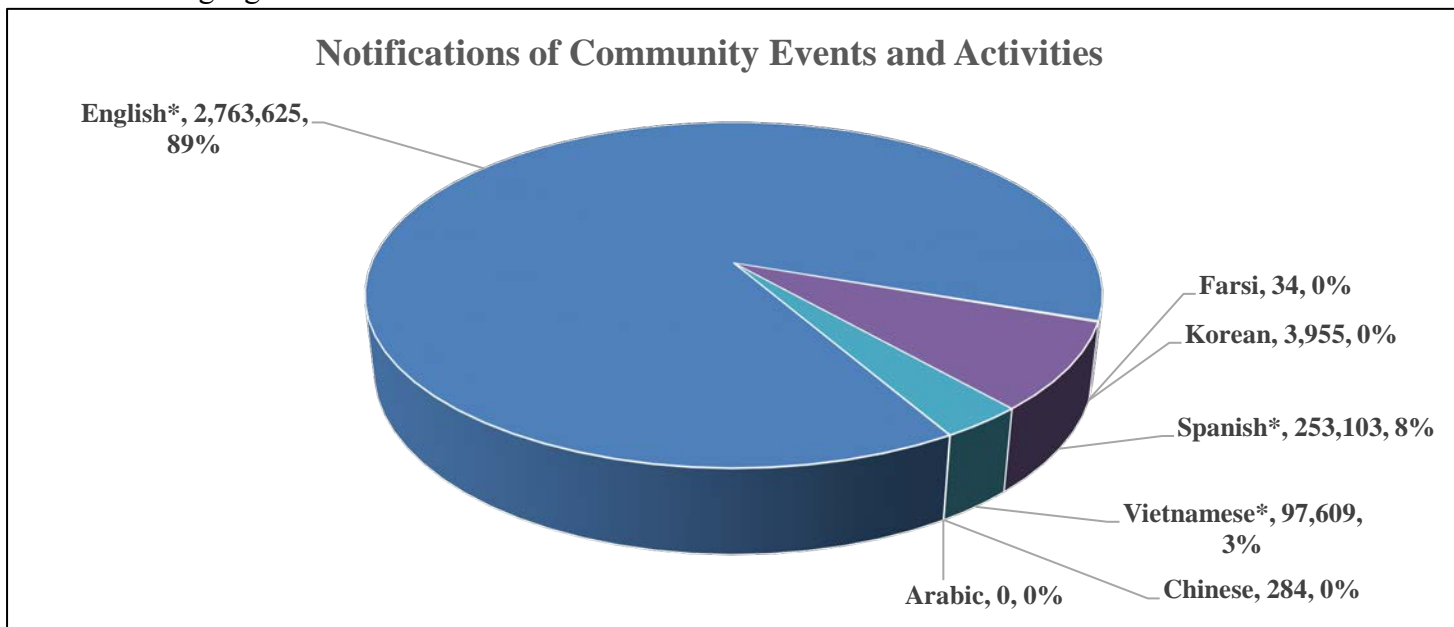
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	257,772	172,167	357,798	224,681	172,252	144,292
Farsi				34		
Korean		479	203		21	49
Spanish*	10,805	19,473	19,493	28,100	25,986	19,654
Vietnamese*	6,593	2,418	5,849	3,131	3,436	30,701
Chinese			152			
Arabic						
Total Number of Notifications	275,170	194,537	383,495	255,946	201,695	194,696

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	233,089	372,242	292,033	190,756	229,616	116,927	2,763,625
Farsi							34
Korean	352	1,218	121	648	742	122	3,955
Spanish*	28,282	20,323	13,864	26,551	24,579	15,993	253,103
Vietnamese*	24,354	8,535	1,812	4,008	6,235	537	97,609
Chinese		132					284
Arabic							0
Total Number of Notifications	286,077	402,450	307,830	221,963	261,172	133,579	3,118,610

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2022-23

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English		12	7	7	8	6
Spanish	1	1	4	1	3	3
Vietnamese		2	3			4
Other Languages		1 (Korean)		1 (Korean)	1 (Korean)	
In Print						
English	3		3		4	3
Spanish	1					
Vietnamese						
Other Languages						
TV / Radio						
English						
Spanish						
Vietnamese			1	1		1
Other Languages						
Total Number of Outreach Events	5	16	18	10	16	17

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5	5	10	8	14	12	94
Spanish	1	2	1		2	1	20
Vietnamese		3			1		13
Other Languages							3
In Print							
English				6	2	1	22
Spanish							1
Vietnamese							0
Other Languages							0
TV / Radio							
English				2			2
Spanish							0
Vietnamese	5	4	4	6	4	4	30
Other Languages							0
Total Number of Outreach Events	11	14	15	22	23	18	185

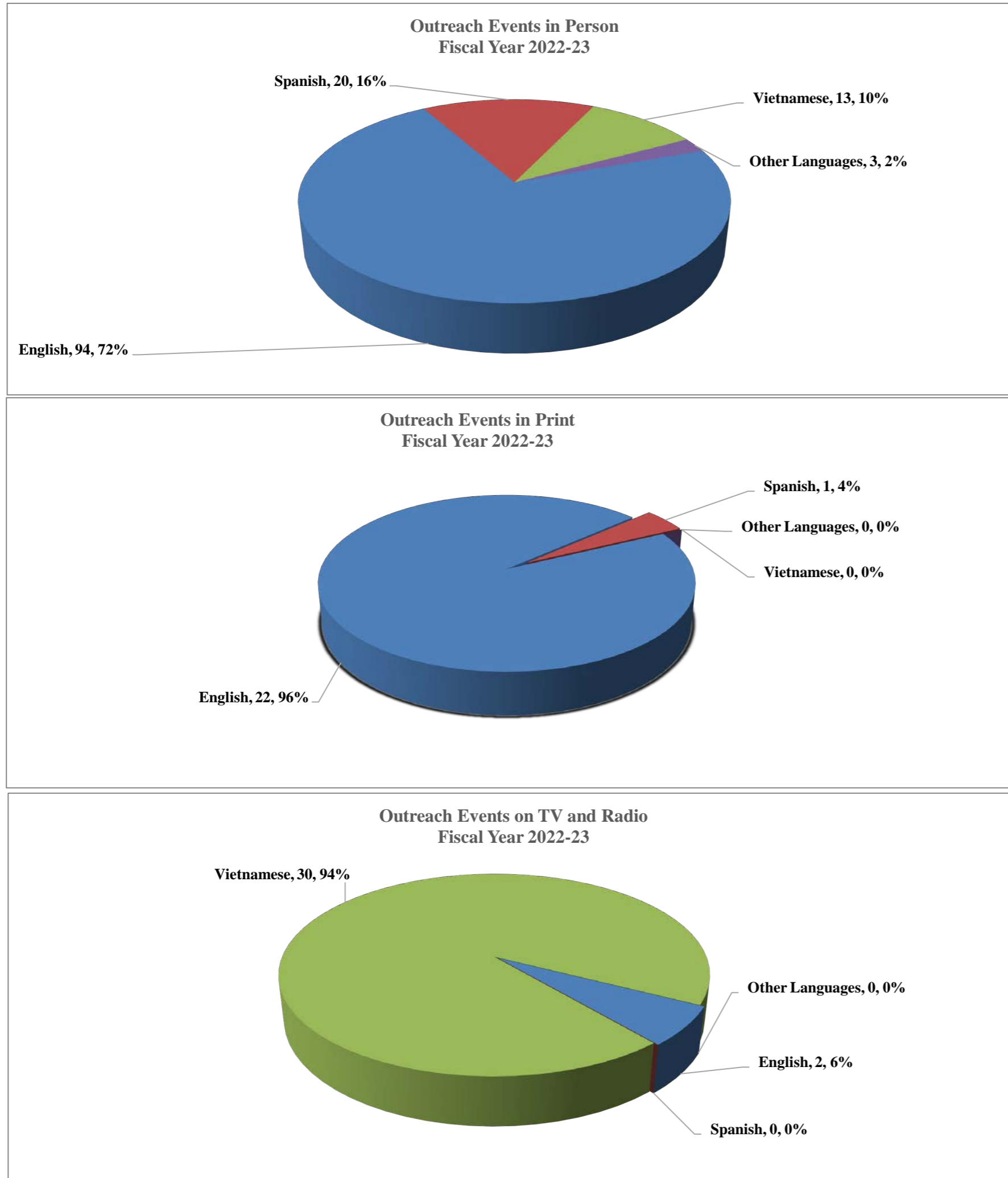
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2022-23



EARLY INTERVENTION / PREVENTION

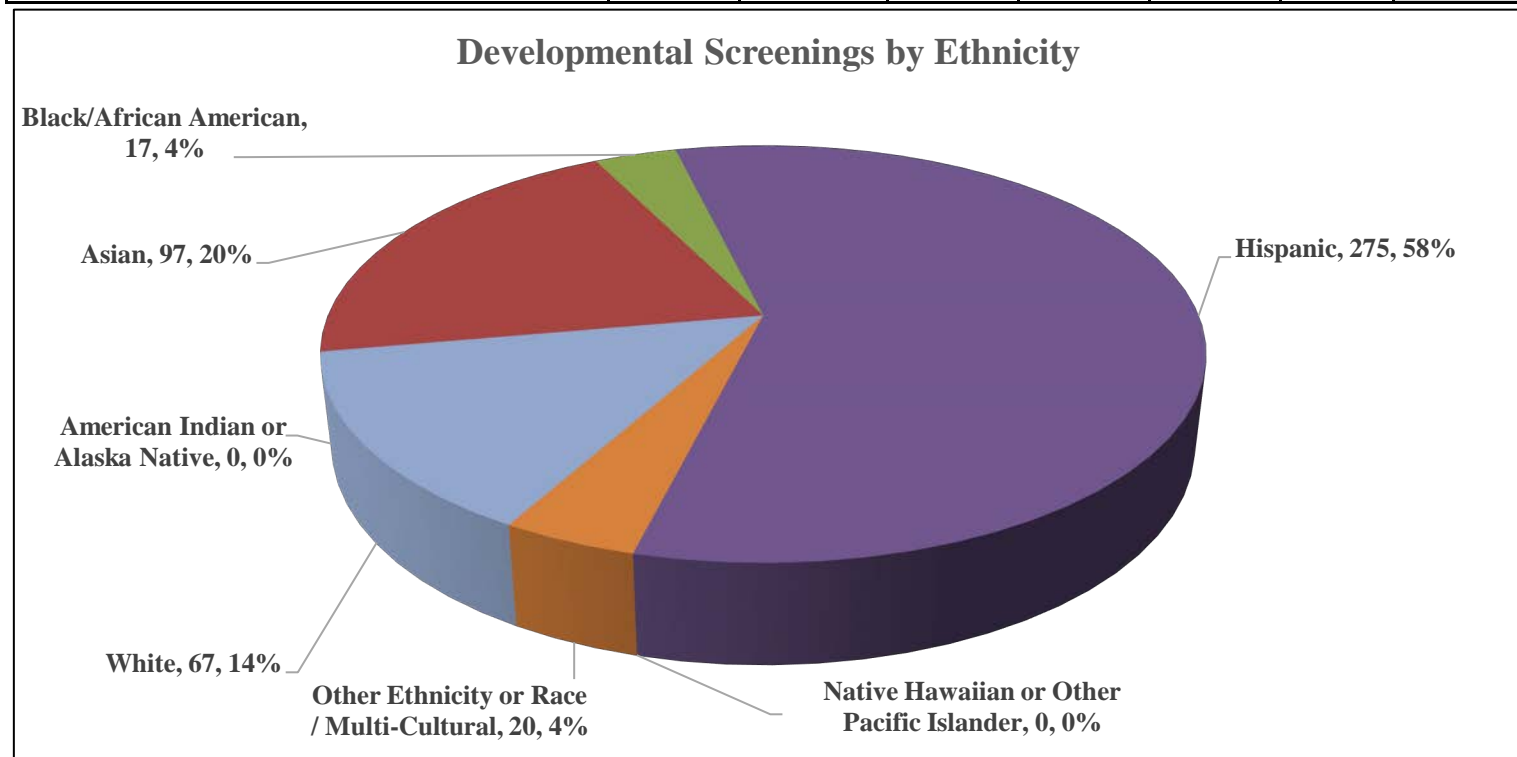
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2022-23

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	0
Asian	19	7	30	7	0	0
Black/African American	3	1	0	1	0	0
Hispanic	19	42	28	32	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	8	1	2	0	0	0
White	5	4	11	17	0	0
Total Number Screened	54	55	71	57	0	0
Total Number Referred to RCOC	18	25	13	18	0	0

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0	0	0	0	0	0	0
Asian	1	6	14	6	5	2	97
Black/African American	0	5	0	1	2	4	17
Hispanic	7	30	11	23	54	29	275
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	0	0
Other Ethnicity or Race / Multi-Cultural	1	1	0	2	1	4	20
White	1	8	3	10	3	5	67
Total Number Screened	10	50	28	42	65	44	476
Total Number Referred to RCOC	3	14	9	15	30	16	161

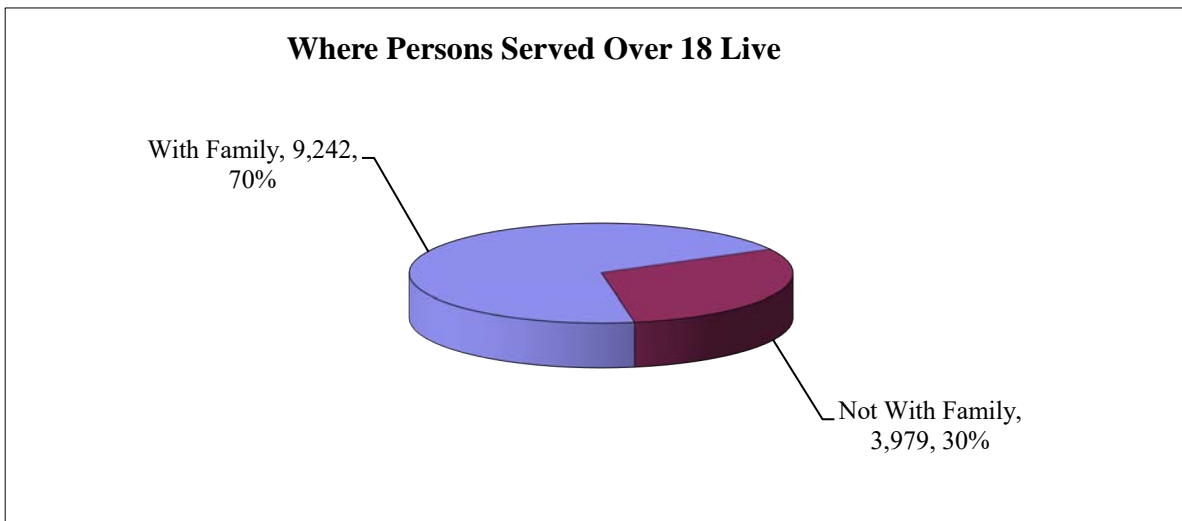
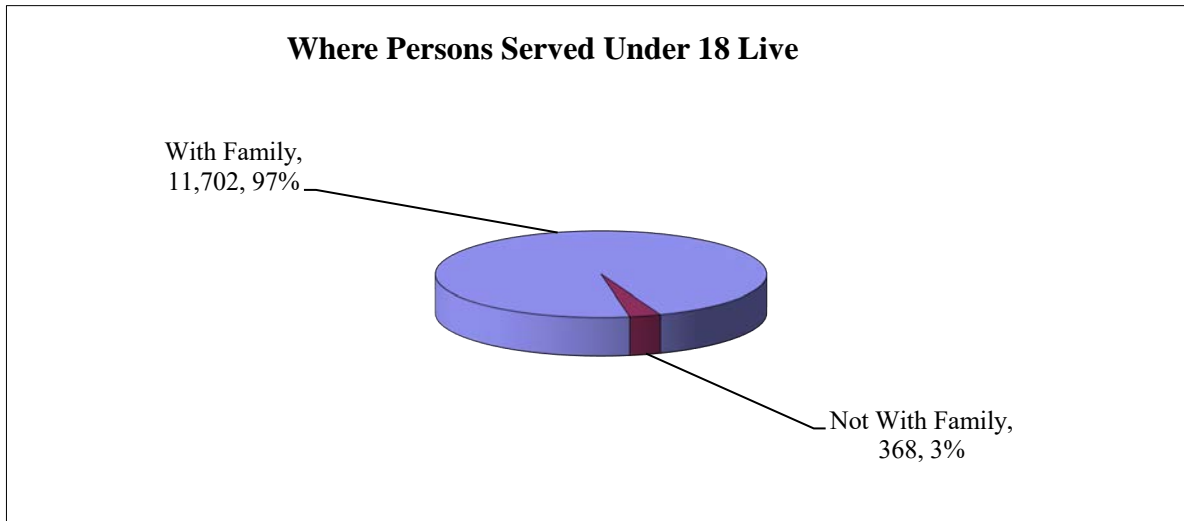


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

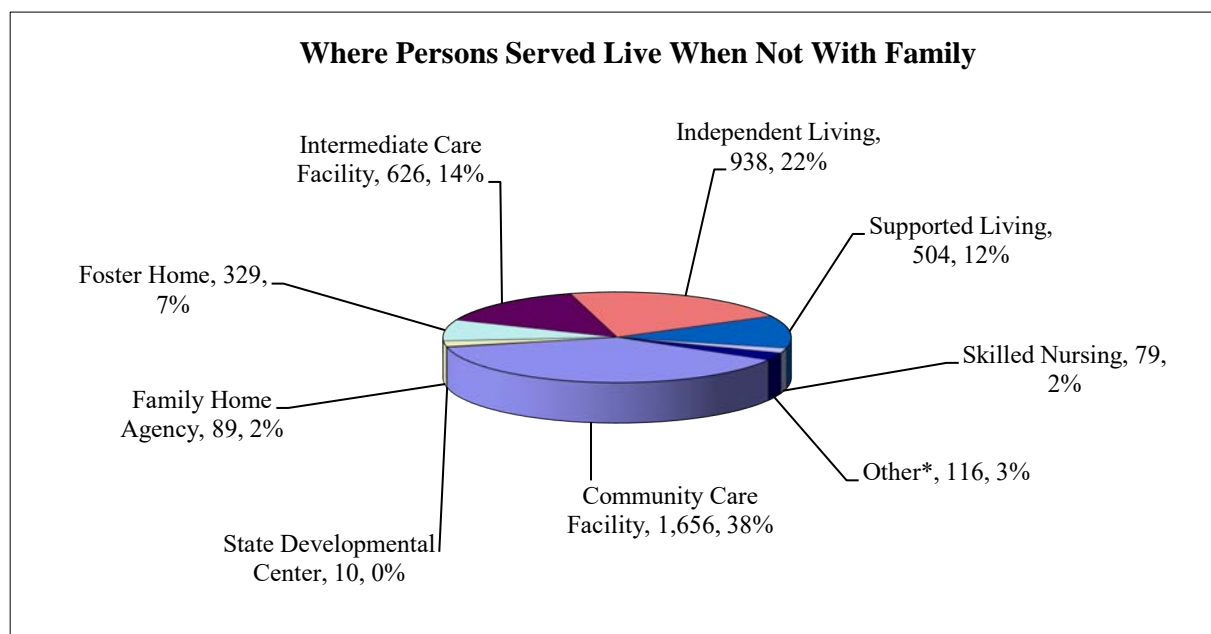
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,944	11,702	9,242
Not With Family	4,347	368	3,979
Totals	25,291	12,070	13,221



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,944	11,702	9,242
Community Care Facility	1,656	18	1,638
State Developmental Center	10	0	10
Family Home Agency	89	0	89
Foster Home	329	322	7
Intermediate Care Facility	626	3	623
Independent Living	938	0	938
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other*	116	25	91
Total	25,291	12,070	13,221

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	1	0
Community Treatment	2	1	1
Correctional Institution	1	0	1
County Jail	3	2	1
Other	0	0	0
Out of State	5	4	1
Psychiatric Treatment	17	2	15
Rehabilitation Center	7	0	7
SDC / State Hospital	8	0	8
Sub-Acute	39	13	26
Transient / Homeless	13	1	12
Total, Other*	101	24	77



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

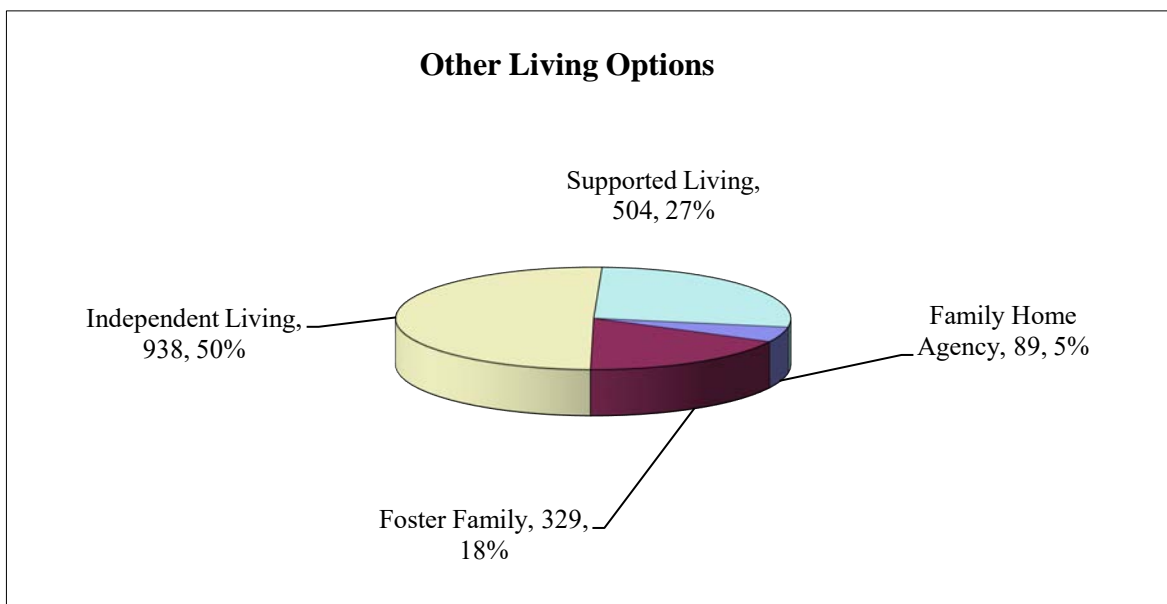
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	89	0	89
Foster Family	329	322	7
Independent Living	938	0	938
Supported Living	504	0	504
Total	1,860	322	1,538



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC Fiscal Year 2022-23

Licensed Facilities	Total	Over 18	Under 18
Level 2	183	183	0
Level 3	257	257	0
Level 4A	32	32	0
Level 4B	4	4	0
Level 4C	50	50	0
Level 4D	37	37	0
Level 4E	19	19	0
Level 4F	59	59	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	319	319	0
Elderly	1	1	0
ICF/DD-H	0	0	0
ICF/DD-N	7	7	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	1,001	1,001	0

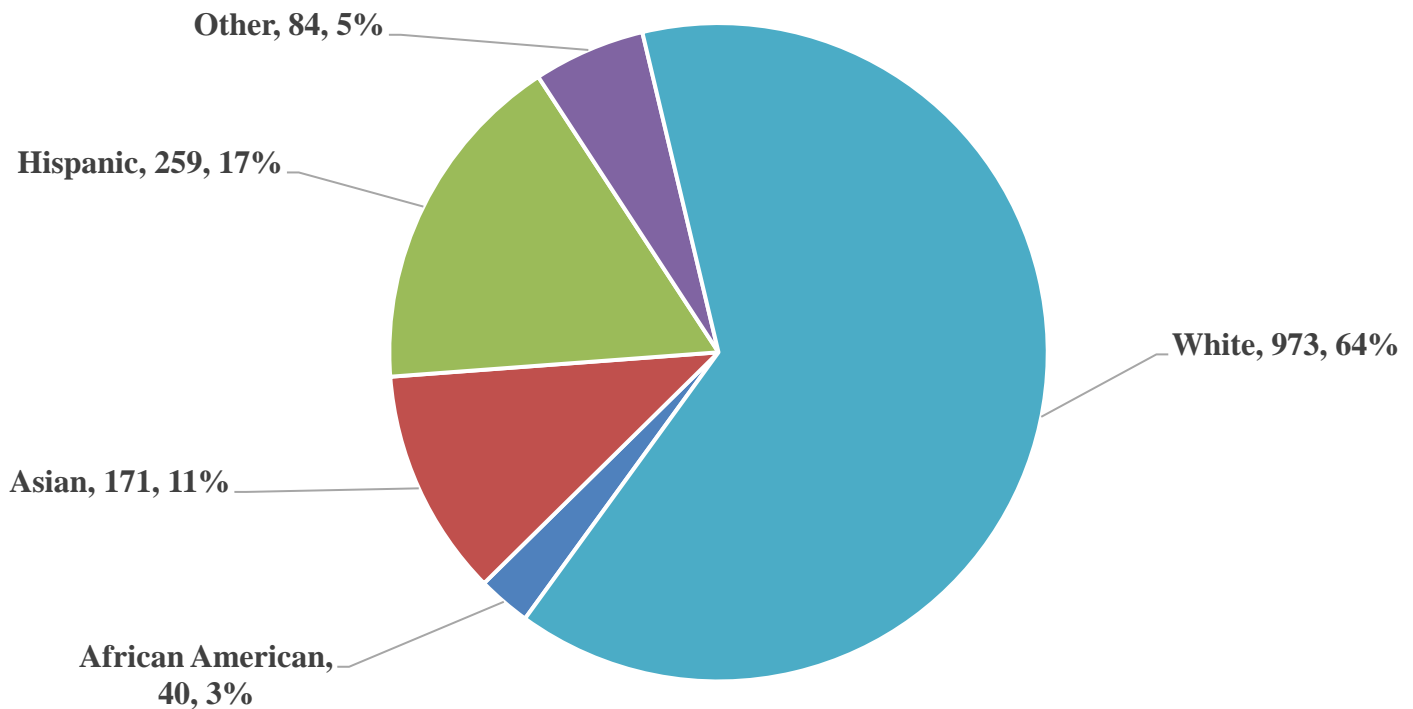
Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	183	183	0
Level 3	257	257	0
Level 4	553	553	0
ICF/DD-H	0	0	0
ICF/DD-N	7	7	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	1,001	1,001	0

LIVING OPTIONS, continued

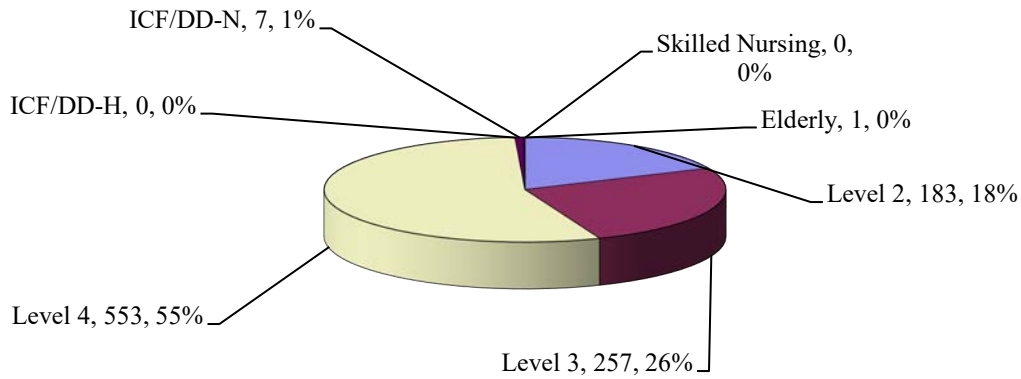
Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2022-23

Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	9	24	55	13	205	306
Level 3	11	31	65	23	227	357
Level 4A	0	5	6	2	24	37
Level 4B	0	1	0	0	7	8
Level 4C	3	11	10	2	60	86
Level 4D	0	10	6	2	28	46
Level 4E	0	4	11	2	19	36
Level 4F	3	12	8	2	42	67
Level 4G	0	8	3	2	39	52
Level 4H	0	0	2	0	1	3
Level 4I	14	65	93	36	321	529
Total	40	171	259	84	973	1,527

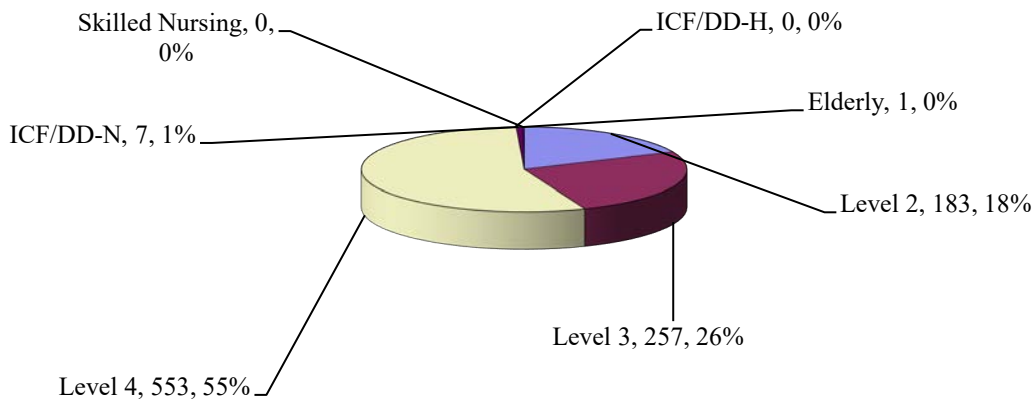
Residents in Community Care Licensed Facilities by Ethnicity



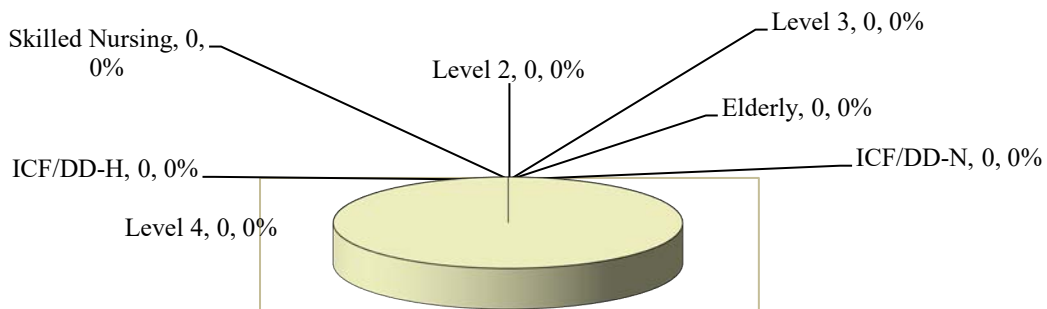
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

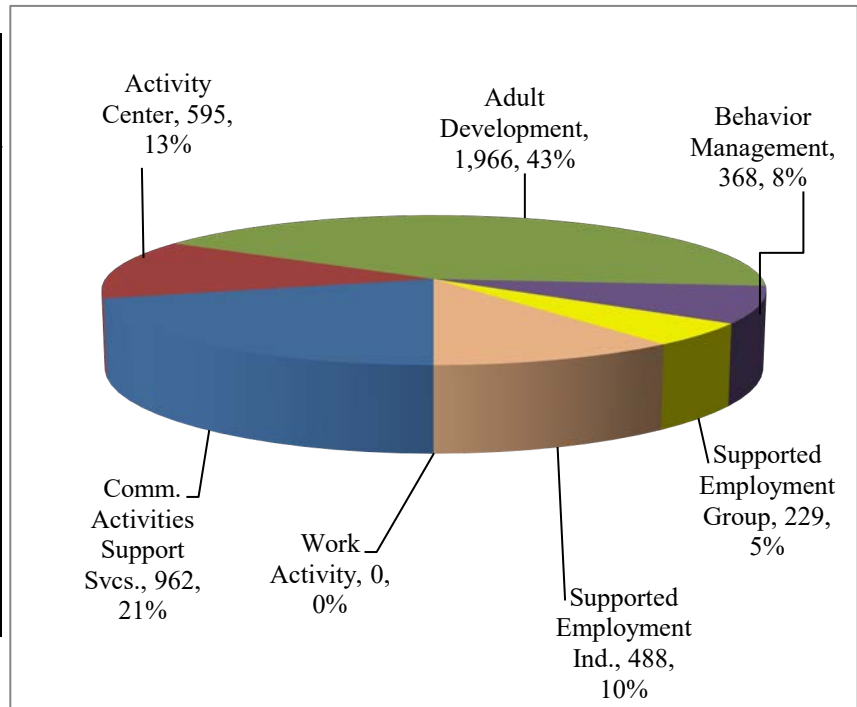


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	962
Activity Center	595
Adult Development	1,966
Behavior Management	368
Supported Employment Group	229
Supported Employment Ind.	488
Work Activity	0
Total	4,608



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges

Work Activity Program includes, but is not limited to, work activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2022-23

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0	321.3	320.4	345.4	341.4	337.4
Number of Case-Carrying SCs	296.4	294.7	293.9	318.9	314.9	310.9
Number of Intake SCs	25.7	26.7	26.7	26.7	26.7	26.7
Number of Active Persons Served	23,980	24,028	24,161	24,276	24,367	24,488
Caseload Ratio, # of Active Persons Served/SCs	80.9	81.5	82.2	76.1	77.4	78.8

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	339.4	344.4	346.4	352.4	358.4	365.7
Number of Case-Carrying SCs	312.9	317.8	319.8	325.9	329.8	337.1
Number of Intake SCs	26.7	26.7	26.7	26.7	28.7	28.7
Number of Active Persons Served	24,550	24,622	24,774	24,912	25,037	25,170
Caseload Ratio, # of Active Persons Served/SCs	78.5	77.5	77.5	76.4	75.9	74.7

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2022-23

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	15	17	15	15	20	23	23	24	27	31	28	27
Eligibility - Lanterman	3	4	4	2	2	3	3	2	2	7	10	10
Behavioral services	1	1	1	2	2	5	7	4	3	3	2	2
Respite	3	2	1	2	4	6	6	5	5	3	2	2
Day Care			1	1	1	1	1					
ILS/SLS												
Personal Assistance	2	1	1	1		2	5	5	4	3	2	
Other**	10	9	7	7	11	12	12	9	9	10	2	5

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	9	5	3	8	8	13	10	15	12	11	4	12
Eligibility - Lanterman	3	2		1	2	1	1	1	1	6	3	4
Eligibility - Early Start												
Behavioral services				2		3	2	1	1			
Respite	1			1	2	3		1	1			
Day Care			1									
Social/Recreational						2	2	3	3	1		1
Personal Assistance		1				2	3			1		
Other**	5	2	2	4	4	2	2	7	2	2	1	3

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	7	6	6	3	3	9	5	5	11	9	9	11
Number of Informal Meetings Held	7	2	5	3	3	7	4	5	8	3	5	6
Number of Mediations Held		4				2	1		3	5	2	4
Number of SLFHs Held			1							1	2	1

Number of Requests in Scheduling*	4	9	5	9	17	8	10	11	11	15	7	4
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* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0	0	0	0	0	0	0	0	0	0	0	0
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* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	4	2	4	3	0	6	8	8	5	7	12	12
Withdrawn by Person Served/Family				2		1	0	2		3	1	
Settled in Informal	4	1	3	1		2	5	4	3	2	9	6
Settled after further follow-up by RCOC						1	2	2	1			2
Settled in Mediation		1				2	1		1	2		4
SLFH Decision			1								1	

State Level Fair Hearing Decisions

Prevailing Party	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Person Served/Family												
RCOC			1								1	
Split												

ADMINISTRATION AND GOVERNANCE

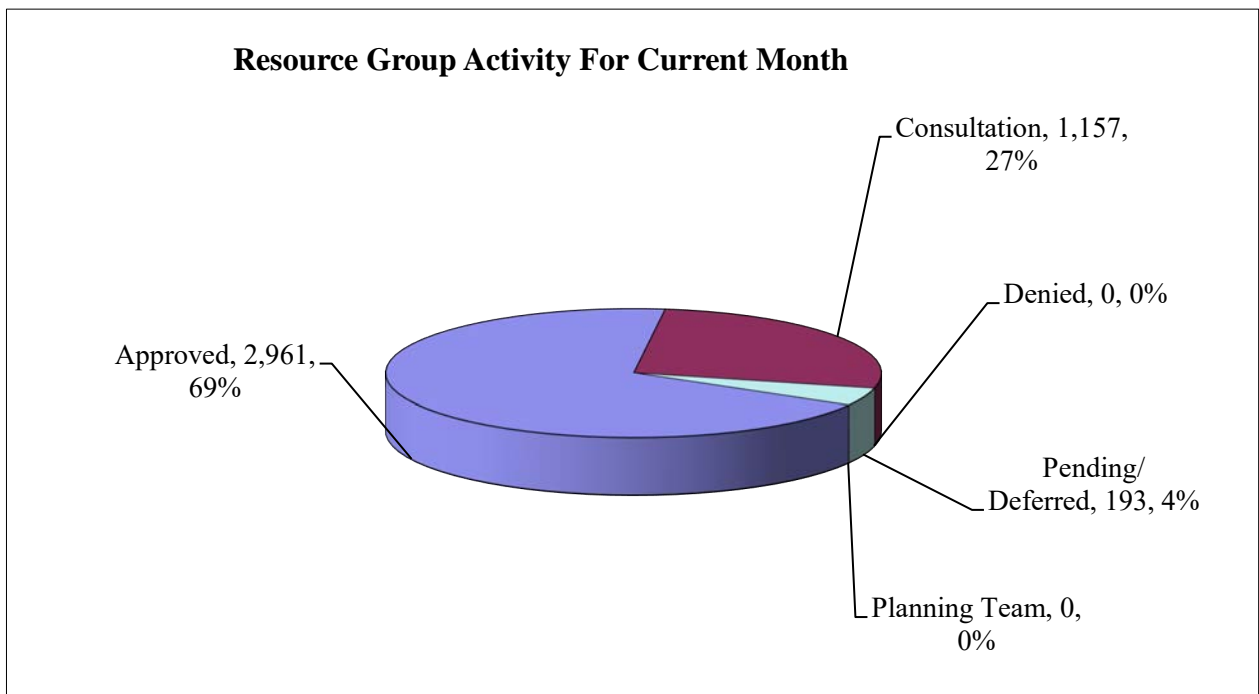
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for June 2023 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	692	374	0	65	0	1,131
Behavioral	96	68	0	18	0	182
Education	0	0	0	0	0	0
Eligibility/Health	74	6	0	6	0	86
Early Start	562	156	0	27	0	745
Living Options	254	180	0	4	0	438
Supported/Ind.	257	163	0	29	0	449
All Others	1026	210	0	44	0	1,280
Monthly Total	2,961	1,157	0	193	0	4,311

FY 2022-23 Total to Date	31,845	13,985	0	2,063	0	47,893
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Operations Report Summary - June 2023

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,690	8,748	11,540	10	23,988	12,070	13,221
<i>Percentage of Total</i>	<i>15%</i>	<i>36%</i>	<i>48%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>55%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,944	11,702	9,242
Community Care Facility	1,656	18	1,638
State Developmental Center	10	0	10
Family Home Agency	89	0	89
Foster Home	329	322	7
Intermediate Care Facility	626	3	623
Independent Living	938	0	938
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other	116	25	91
Total	25,291	12,070	13,221

Special Incident Investigations	Year to Date
AWOL	43
Abuse	149
Neglect	190
Injury	223
Hospitalizations - Total	374
Death	162
Victim of crime	6
Arrest	18
Rights	312
Total	1,477

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	80	0	80
Level 4	202	11	191
Total Community Care Facilities	352	11	341

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	74
ICF-DD/Nursing	40
Total ICF Facilities	114

Total Licensed Facilities	466
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Licensed Facility Monitoring	Year to Date
Annual Review	509
Unannounced	716
Total Number of Reviews	1,225
Provider Trainings	0
Technical Support	1,083
Corrective Action Plans	64

Number of Audits	20
Amount of Recovery from Audits	\$269,632

Summary of Information About Persons Served - July 2023

NUMBER OF PERSONS SERVED	25,291	100%
Children - Birth to Age Three Receiving Early Start Services	3,698	15%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	7,912	31%
Adults - Ages 18 and Older Receiving Lanterman Services	13,221	52%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	525
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Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,553	60%
Epilepsy	2,864	14%
Cerebral Palsy	2,530	13%
Autism	9,661	44%
Fifth Category*	1,901	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	515
Early Start / Under Age Three / 45 days to complete determination	268
Lanterman / Over Age Three / 120 days to complete determination	47
Provisional / Up to Age Five / 90 days to complete determination	0

NUMBER OF PERSONS DETERMINED ELIGIBLE	172
Children - Birth to Age Three Eligible for Early Start Services	0
Children and Adults - Ages Three and Older Eligible for Lanterman Services	87
<ul style="list-style-type: none"> • Number of children who received Early Start services 	47
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	38
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	134
Children - Age Three No Longer Eligible for Early Start Services	134
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JULY 2023 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2023-24

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	39					
Unannounced	42					
Total Number of Reviews	81					

Provider Trainings	0					
Technical Support	161					
Corrective Action Plans	1					
Special Incident Investigations*	9					
COVID-19 Checklist	0					

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							39
Unannounced							42
Total Number of Reviews							81

Provider Trainings							0
Technical Support							161
Corrective Action Plans							1
Special Incident Investigations*							9

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

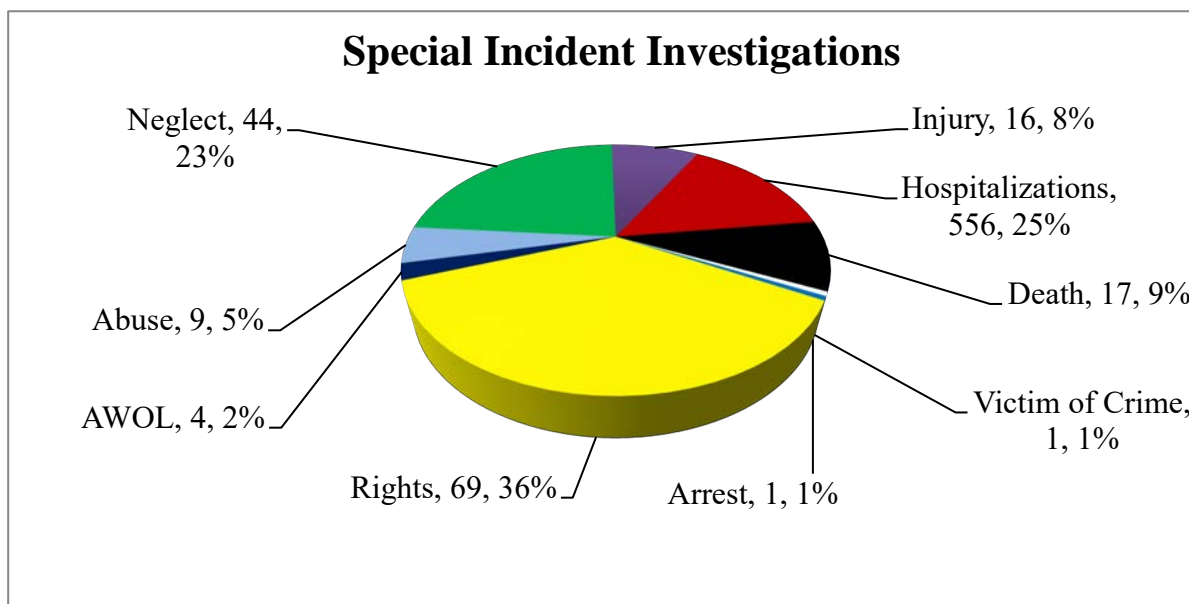
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2023-24

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4					
Abuse	9					
Neglect	44					
Injury	16					
Hospitalizations - Total	28					
<i>Psychiatric</i>	7					
<i>Medical</i>	21					
Death	17					
Victim of crime	1					
Arrest	1					
Rights	69					
Total	189	0	0	0	0	0

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							4
Abuse							9
Neglect							44
Injury							16
Hospitalizations - Total							28
<i>Psychiatric</i>							7
<i>Medical</i>							21
Death							17
Victim of Crime							1
Arrest							1
Rights							69
Total	0	0	0	0	0	0	189



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2023-24

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0					
Staffing	0					
Level 4I Consultant	0					
P&I (consumer funds)	0					
Total Number of Audits	0					

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0					
Recovery	0					

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits							0

Number of Appeals / Recoveries

State Appeal							0
Recovery							0

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2023-24

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	81					
Diapers - Family Member	7					
Nursing Service - Family Member	47					
Respite Service - Family Member	665					
Transportation - Family Member	202					
<i>Total Number of Voucher Authorizations</i>	<i>1,002</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
<i>Total Number of Voucher Authorizations</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Notifications of Community Events and Activities

Fiscal Year 2023-24

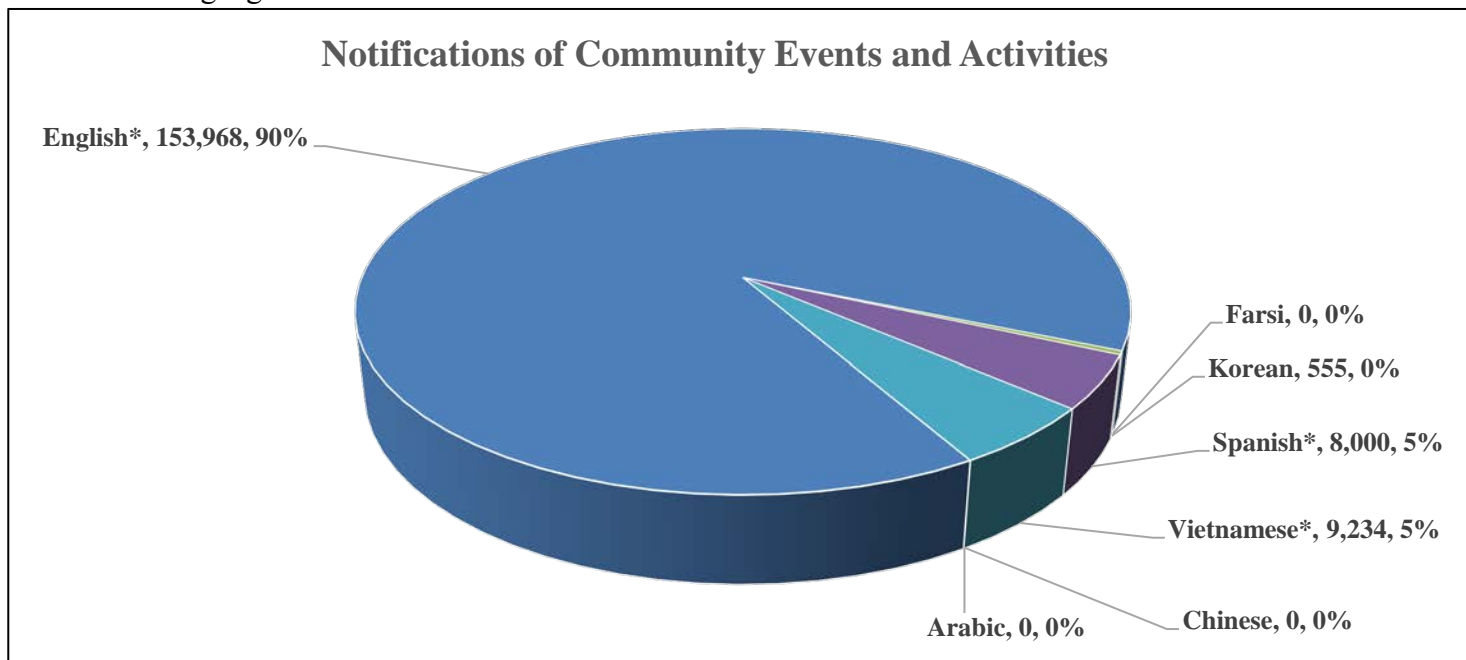
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	153,968					
Farsi						
Korean	555					
Spanish*	8,000					
Vietnamese*	9,234					
Chinese						
Arabic						
Total Number of Notifications	171,757	0	0	0	0	0

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							153,968
Farsi							0
Korean							555
Spanish*							8,000
Vietnamese*							9,234
Chinese							0
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	171,757

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2023-24

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person						
English	13					
Spanish	1					
Vietnamese						
Other Languages						
In Print						
English						
Spanish						
Vietnamese						
Other Languages						
TV / Radio						
English	2					
Spanish						
Vietnamese	5					
Other Languages						
Total Number of Outreach Events	21	0	0	0	0	0

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							13
Spanish							1
Vietnamese							0
Other Languages							0
In Print							
English							0
Spanish							0
Vietnamese							0
Other Languages							0
TV / Radio							
English							2
Spanish							0
Vietnamese							5
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	21

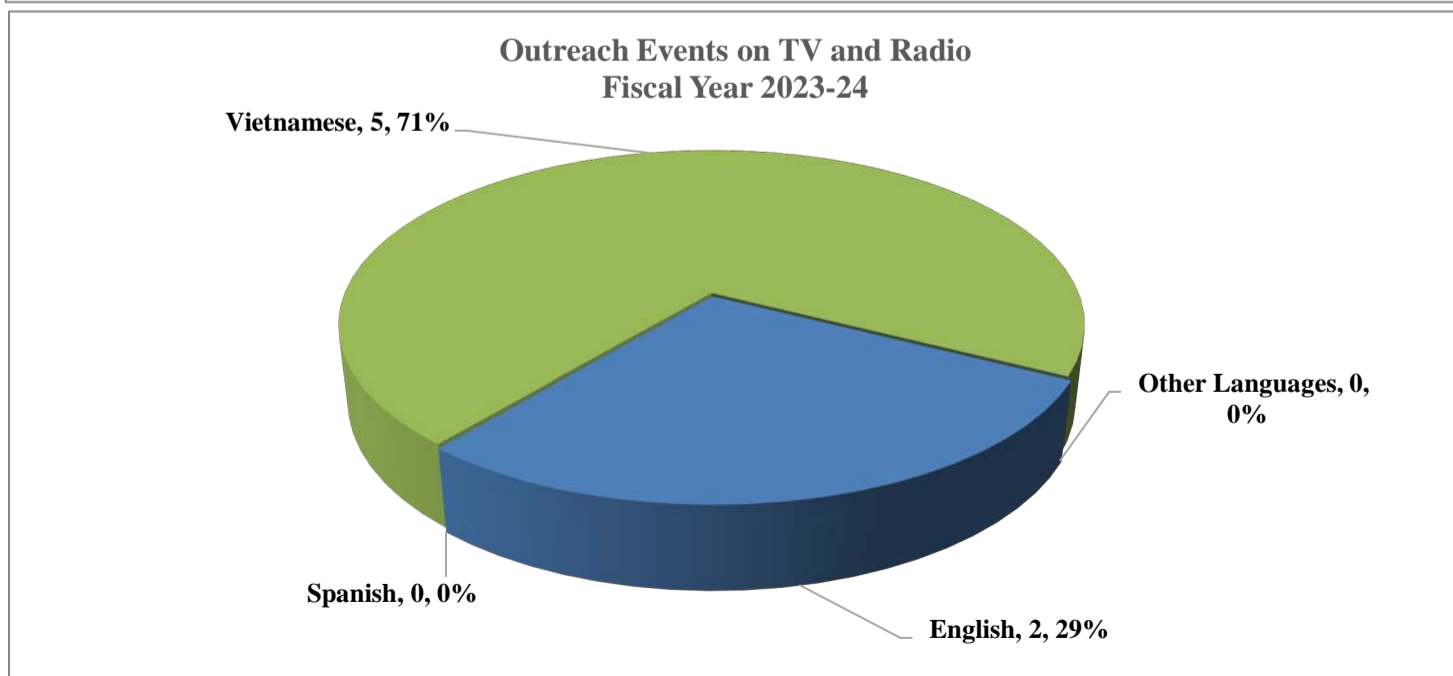
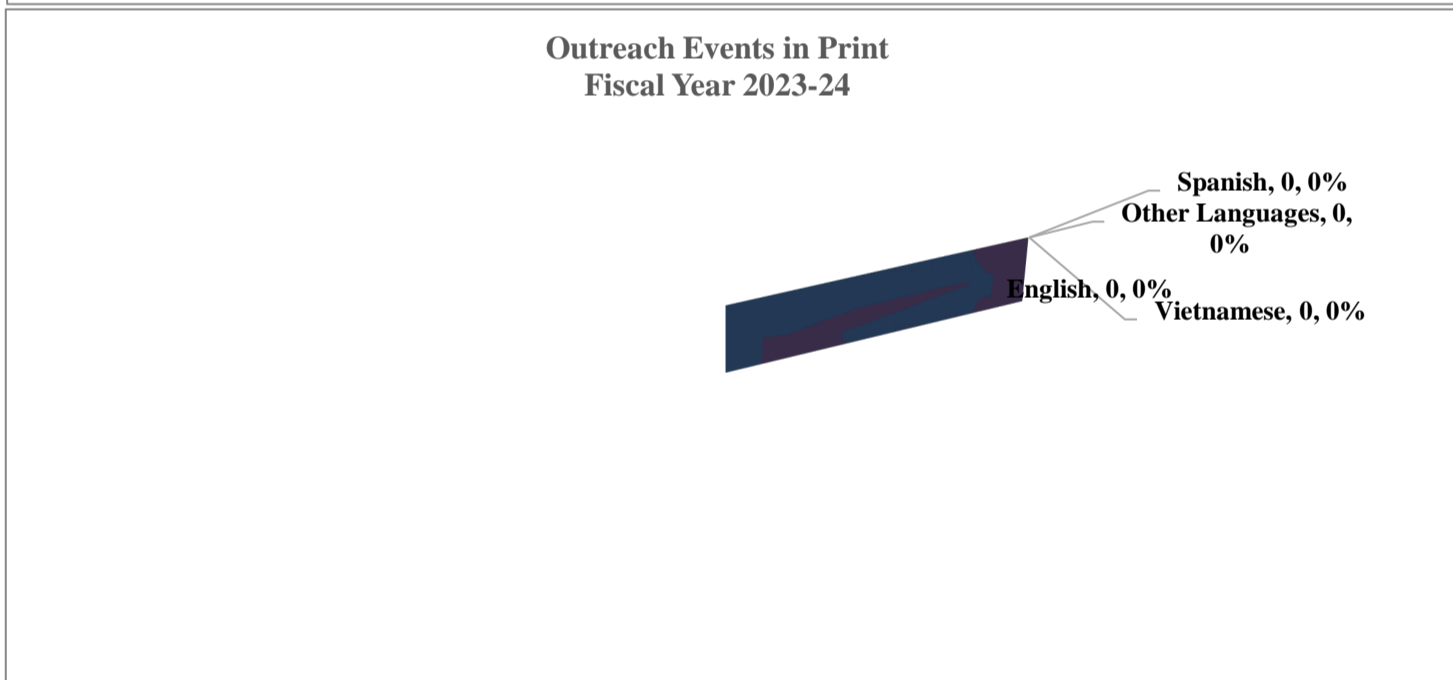
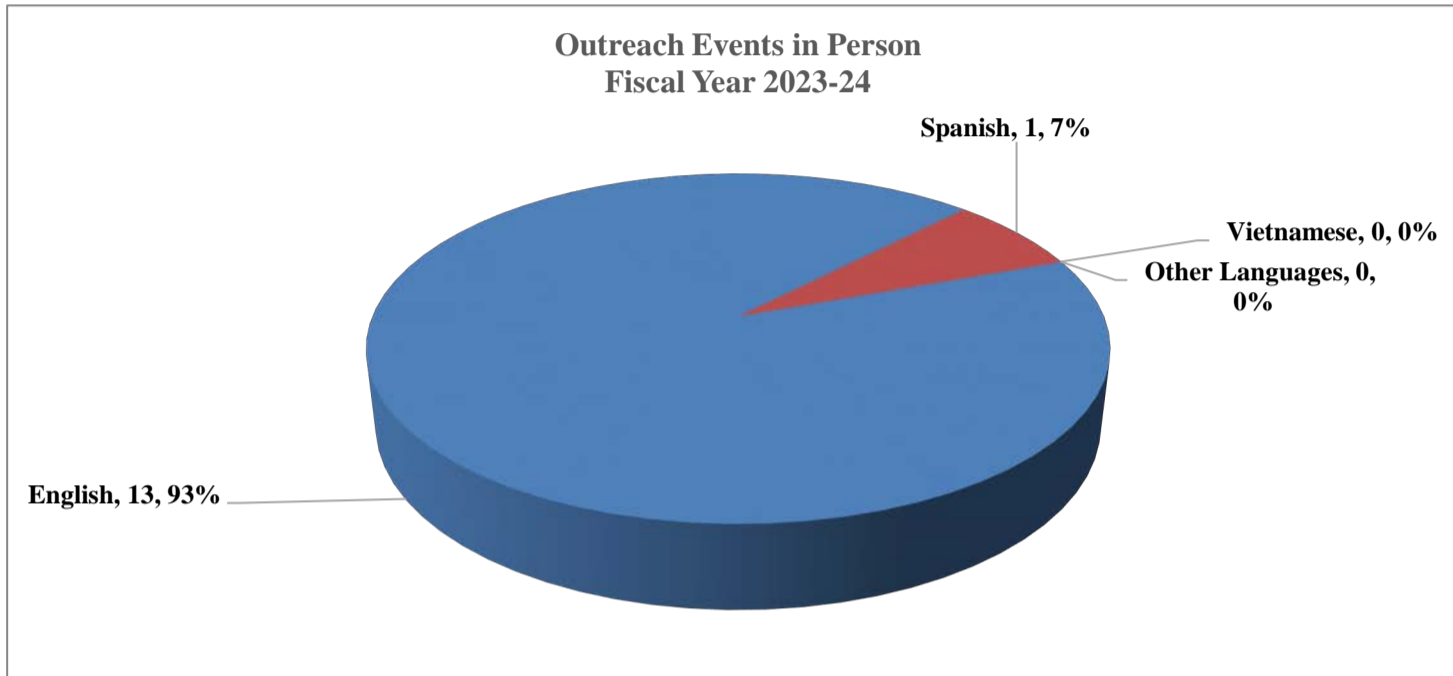
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2023-24



EARLY INTERVENTION / PREVENTION

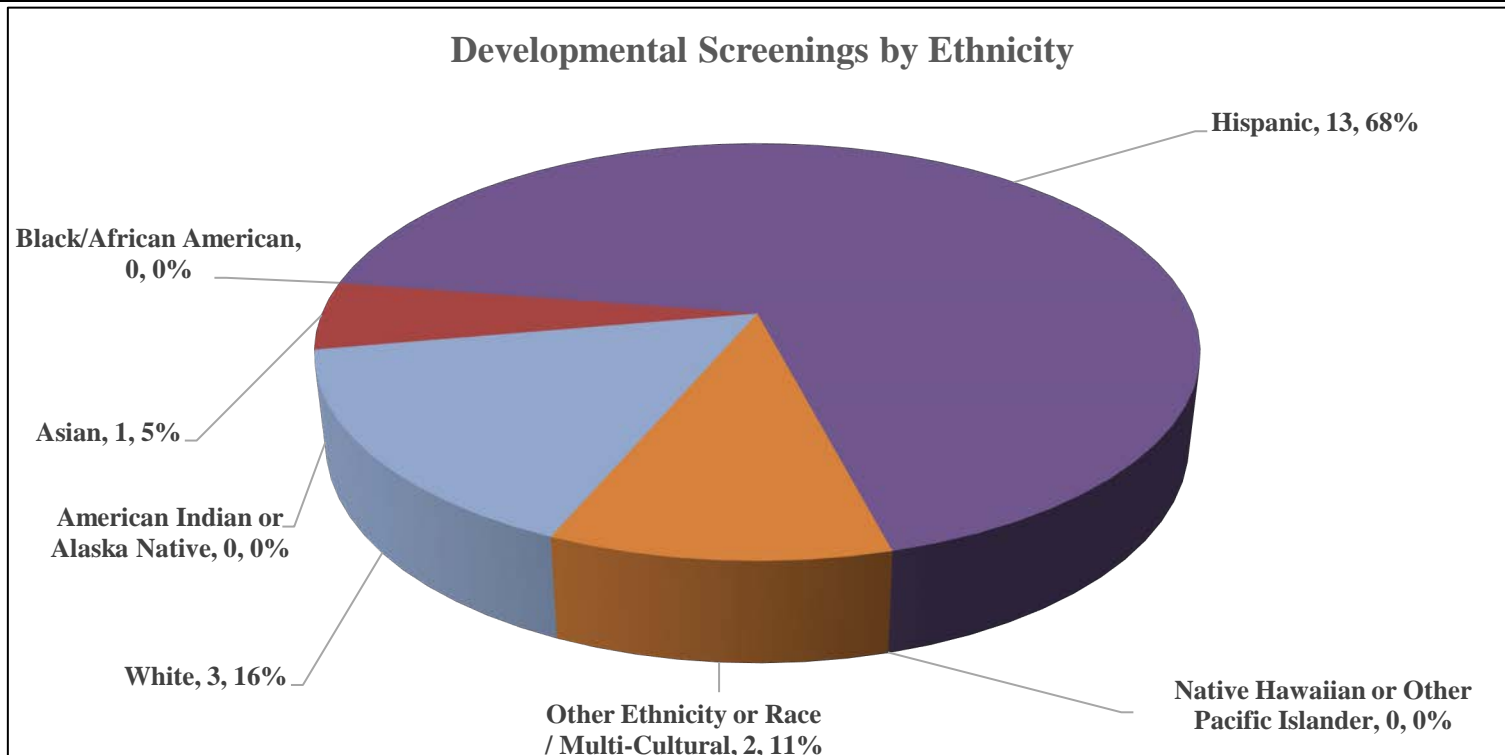
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2023-24

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0					
Asian	1					
Black/African American	0					
Hispanic	13					
Native Hawaiian or Other Pacific Islander	0					
Other Ethnicity or Race / Multi-Cultural	2					
White	3					
Total Number Screened	19					
Total Number Referred to RCOC	7					

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							1
Black/African American							0
Hispanic							13
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							2
White							3
Total Number Screened	0	0	0	0	0	0	19
Total Number Referred to RCOC							7

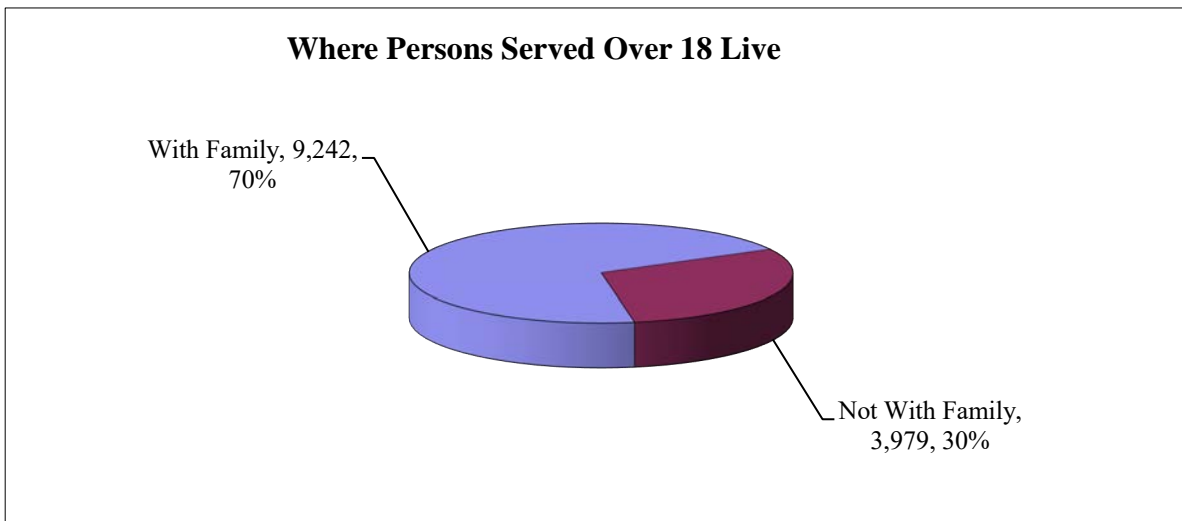
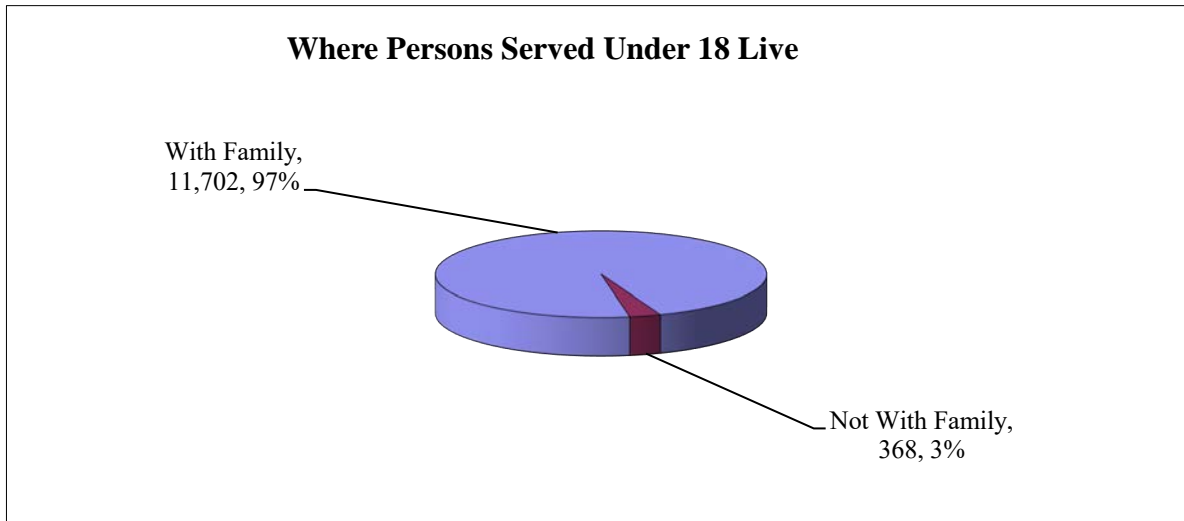


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

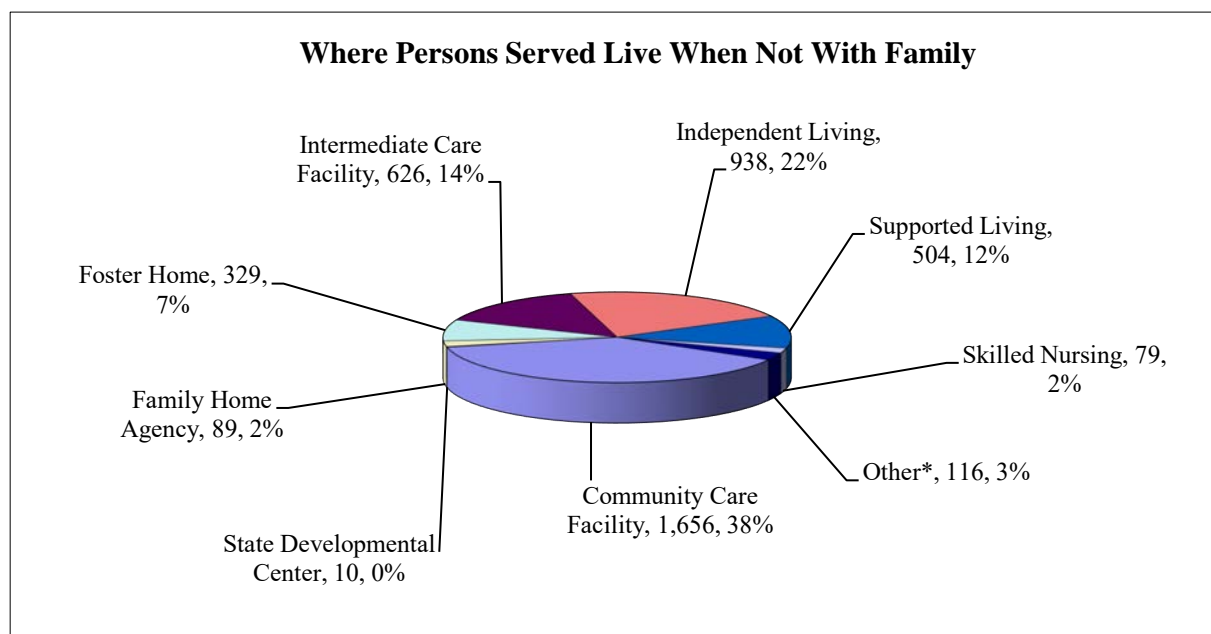
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	20,944	11,702	9,242
Not With Family	4,347	368	3,979
Totals	25,291	12,070	13,221



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	20,944	11,702	9,242
Community Care Facility	1,656	18	1,638
State Developmental Center	10	0	10
Family Home Agency	89	0	89
Foster Home	329	322	7
Intermediate Care Facility	626	3	623
Independent Living	938	0	938
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other*	116	25	91
Total	25,291	12,070	13,221

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	1	0
Community Treatment	2	1	1
Correctional Institution	1	0	1
County Jail	3	2	1
Other	0	0	0
Out of State	5	4	1
Psychiatric Treatment	17	2	15
Rehabilitation Center	7	0	7
SDC / State Hospital	8	0	8
Sub-Acute	39	13	26
Transient / Homeless	13	1	12
Total, Other*	101	24	77



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

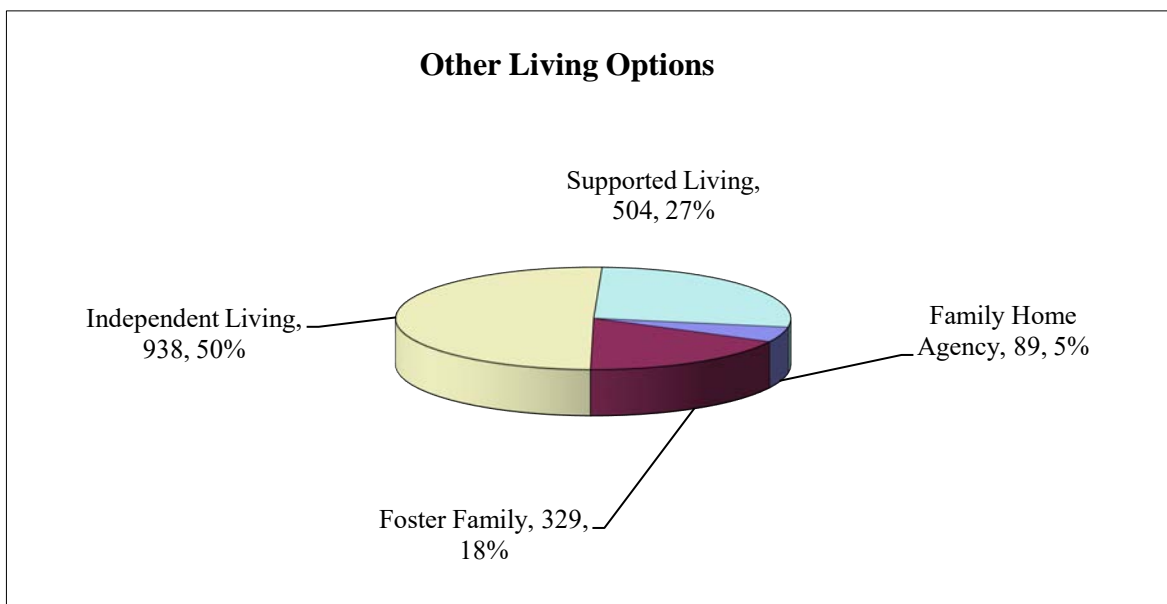
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	89	0	89
Foster Family	329	322	7
Independent Living	938	0	938
Supported Living	504	0	504
Total	1,860	322	1,538



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2023-24

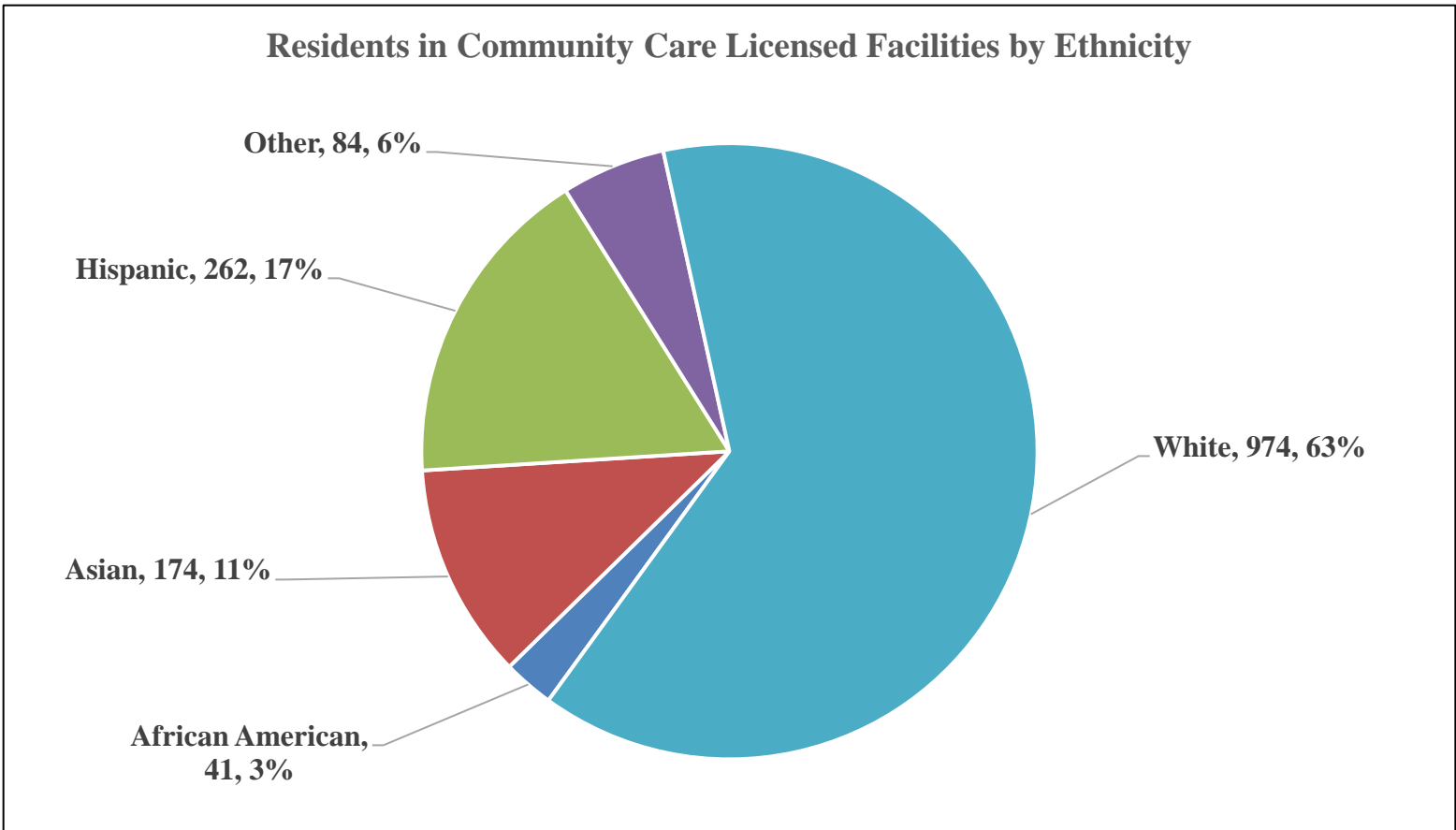
Licensed Facilities	Total	Over 18	Under 18
Level 2	182	182	0
Level 3	256	256	0
Level 4A	32	32	0
Level 4B	4	4	0
Level 4C	50	50	0
Level 4D	37	37	0
Level 4E	18	18	0
Level 4F	57	57	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	319	319	0
Elderly	1	1	0
ICF/DD-H	0	0	0
ICF/DD-N	4	4	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	993	993	0

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	182	182	0
Level 3	256	256	0
Level 4	550	550	0
ICF/DD-H	0	0	0
ICF/DD-N	4	4	0
Elderly	1	1	0
Skilled Nursing	0	0	0
Total	993	993	0

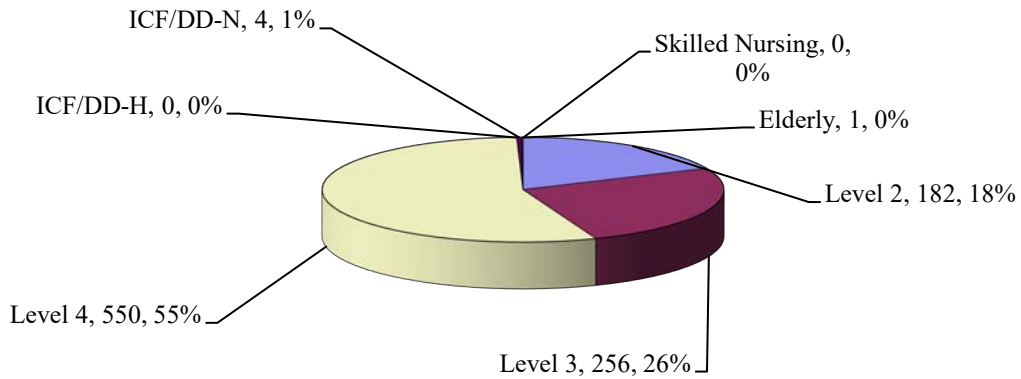
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2023-24

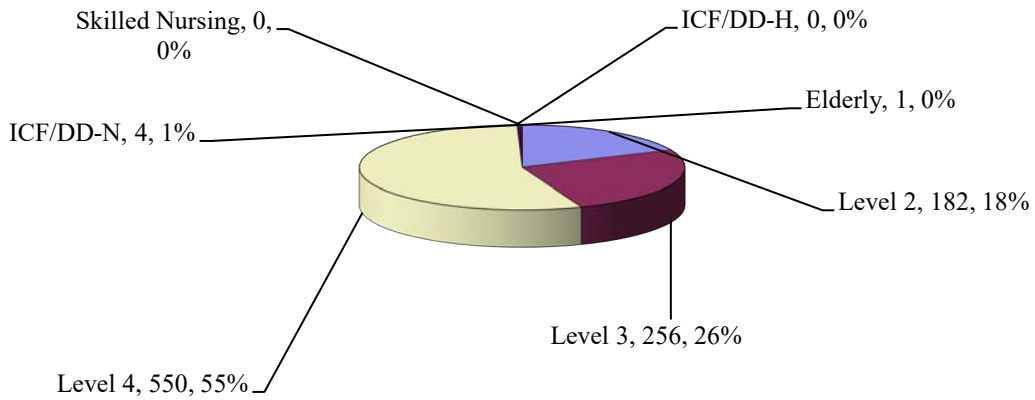
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	10	23	55	12	204	304
Level 3	11	33	65	22	224	355
Level 4A	0	5	6	2	24	37
Level 4B	0	1	0	0	6	7
Level 4C	3	10	11	3	61	88
Level 4D	0	10	6	2	28	46
Level 4E	0	4	10	2	17	33
Level 4F	3	12	7	2	41	65
Level 4G	0	8	3	2	43	56
Level 4H	0	0	2	0	1	3
Level 4I	14	68	97	37	325	541
Total	41	174	262	84	974	1,535



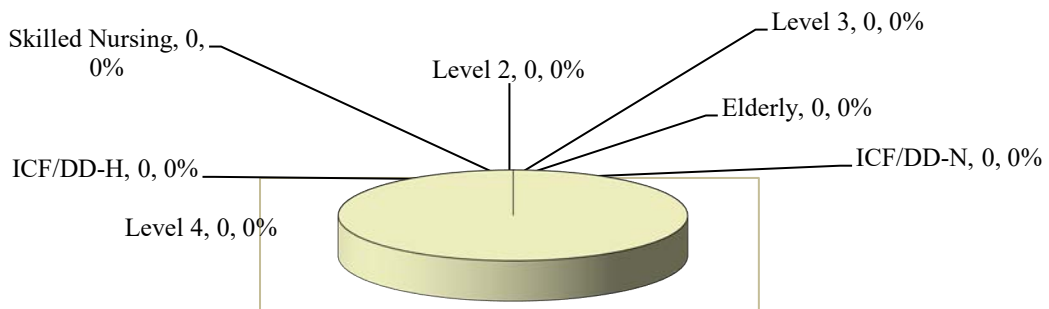
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

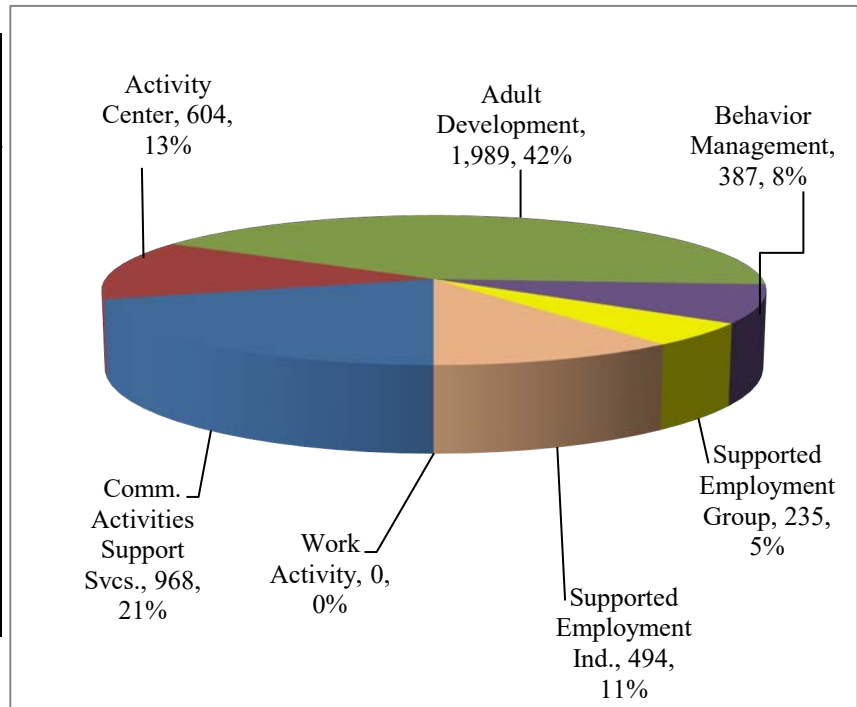


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	968
Activity Center	604
Adult Development	1,989
Behavior Management	387
Supported Employment Group	235
Supported Employment Ind.	494
Work Activity	0
Total	4,677



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges

Work Activity Program includes, but is not limited to, work activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2023-24

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	322.0					
Number of Case-Carrying SCs	296.4					
Number of Intake SCs	25.7					
Number of Active Persons Served	23,980					
Caseload Ratio, # of Active Persons Served/SCs	80.9					

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2023-24

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	26											
Eligibility - Lanterman	10											
Behavioral services	1											
Respite	1											
Day Care												
Self Determination Budget	2											
Personal Assistance												
Other**	6											

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	13											
Eligibility - Lanterman	6											
Eligibility - Early Start												
Behavioral services	1											
Respite												
Day Care												
Social/Recreational												
Social Skills Training												
SDP	2											
Personal Assistance												
Other**	4											

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	4											
Number of Informal Meetings Held	4											
Number of Mediations Held												
Number of SLFHs Held												

Number of Requests in Scheduling*	13											
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* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0											
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* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	9											
Withdrawn by Person Served/Family	1											
Settled in Informal	6											
Settled after further follow-up by RCOC												
Settled in Mediation	1											
SLFH Decision	1											

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC	1											
Split												

ADMINISTRATION AND GOVERNANCE

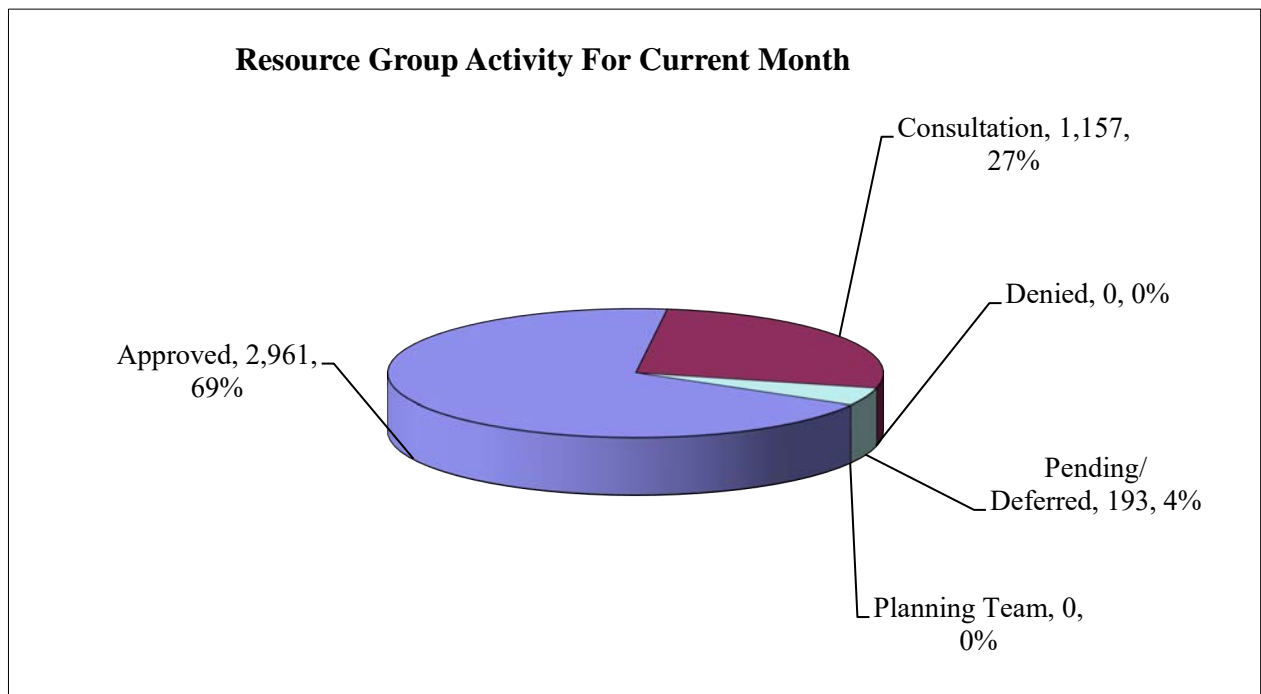
Guiding Principle

- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

Resource Group Activity for July 2023 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	692	374	0	65	0	1,131
Behavioral	96	68	0	18	0	182
Education	0	0	0	0	0	0
Eligibility/Health	74	6	0	6	0	86
Early Start	562	156	0	27	0	745
Living Options	254	180	0	4	0	438
Supported/Ind.	257	163	0	29	0	449
All Others	1026	210	0	44	0	1,280
Monthly Total	2,961	1,157	0	193	0	4,311

FY 2022-23 Total to Date	31,845	13,985	0	2,063	0	47,893
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Operations Report Summary - July 2023

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,698	8,767	11,513	10	23,988	12,070	13,221
<i>Percentage of Total</i>	<i>15%</i>	<i>37%</i>	<i>48%</i>	<i>0%</i>	<i>100%</i>	<i>50%</i>	<i>55%</i>

Children served in Prevention Resource and Referral Services	458
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Persons Served by Residence Status	All	Under 18	Over 18
Family Home	20,944	11,702	9,242
Community Care Facility	1,656	18	1,638
State Developmental Center	10	0	10
Family Home Agency	89	0	89
Foster Home	329	322	7
Intermediate Care Facility	626	3	623
Independent Living	938	0	938
Supported Living	504	0	504
Skilled Nursing	79	0	79
Other	116	25	91
Total	25,291	12,070	13,221

Special Incident Investigations	Year to Date
AWOL	4
Abuse	9
Neglect	44
Injury	16
Hospitalizations - Total	28
Death	17
Victim of crime	1
Arrest	1
Rights	69
Total	189

Number of Licensed Facilities

Community Care Facilities	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	80	0	80
Level 4	202	11	191
Total Community Care Facilities	352	11	341

Licensed Facility Monitoring	Year to Date
Annual Review	39
Unannounced	42
Total Number of Reviews	81
Provider Trainings	0
Technical Support	161
Corrective Action Plans	1

Intermediate Care Facilities (ICF)	
ICF-DD	0
ICF-DD/Habilitation	74
ICF-DD/Nursing	40
Total ICF Facilities	114

Number of Audits	6
Amount of Recovery from Audits	\$3,804

Total Licensed Facilities	466
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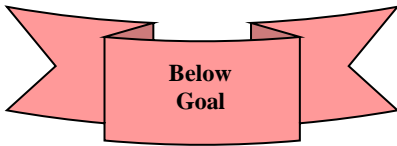
Performance Contract Summary

RCOC as of 06/01/2023	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	25,035	10	0	0.04%	-10
Children in Foster Homes (FH)	11,915	312	315	2.62%	-3
Children Own Home Parent/Guardian	11,915	11,561	11,300	97.03%	261
Total # Children (FH,Parent/Guardian)	11,915	11,873	11,615	99.65%	258
Adult FHA	13,110	90	110	0.69%	-20
Independent Living (IL)	13,110	930	924	7.09%	6
Adults Residing Own Home - Parent	13,110	9,181	9,150	70.03%	31
Supported Living (SL)	13,110	498	512	3.80%	-14
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,110	10,699	10,696	81.61%	3
Children Residing in a CCF (7+ beds)	11,915	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	11,915	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	11,915	0	0	0%	0
Total Children Residing in 7+ bed facilities	11,915	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	13,110	118	114	0.90%	-4
Adults Residing in a ICF (7+ beds)	13,110	14	6	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	13,110	81	74	0.62%	-7
Total Adults Residing in 7+ bed facilities	13,110	213	194	1.62%	-19
Total Individuals Over Age 3 with <=120 days	317	317	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	317	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	317	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	13,110	48%	65%		
Total Number of Incentive Payments Made	13,110	207			
Avg. Wage per Hour After Incentive Payment	13,110	\$14.40			
Number of Persons Served with Earned Income	13,110	1,726			
Percentage of 16-64 Earned Income	13,110	22%			
Annual Earnings of 16-64	13,110	\$7,656			
Number of Adults in CIE After Paid Intern	13,110	0			
Percentage Adults Transitioned Internship to CIE	13,110	0%			
Total Annual Expenditures Race/Ethnicity	25,035				

Performance Contract 2023-2024 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2023-2024

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.73
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2023-2024

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 06/01/23	0.04%	25,035	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-23	24,544	0	10	0.04%	-10
Feb-23	24,616	0	10	0.04%	-10
Mar-23	24,769	0	10	0.04%	-10
Apr-23	24,906	0	9	0.04%	-9
May-23	25,035	0	10	0.04%	-10
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023-2024

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 06/01/23	2.62%	11,915	312	315	2.62%	-3
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-23	11,574	315	316	2.73%	1
Feb-23	11,616	315	313	2.69%	-2
Mar-23	11,706	315	308	2.63%	-7
Apr-23	11,832	315	315	2.66%	0
May-23	Aug-32	315	312	2.62%	-3
Jun-23		315			
Jul-23		315			
Aug-23		315			
Sep-23		315			
Oct-23		315			
Nov-23		315			
Dec-23		315			
Jan-24		315			
Feb-24		315			
Mar-24		315			
Apr-24		315			
May-24		315			
Jun-24		315			

Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 06/01/23	97.03%	11,915	11,561	11,300	97.03%	261
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children	Children in own home Parent/Guardian	Children in Own Home Parent/Guardian	%	Number Attained
Jan-23	11,574	11,300	11,220	96.94%	-80
Feb-23	11,616	11,300	11,264	96.97%	-36
Mar-23	11,706	11,300	11,359	97.04%	59
Apr-23	11,832	11,300	11,475	96.89%	175
May-23	11,915	11,300	11,561	97.03%	261
Jun-23		11,300			
Jul-23		11,300			
Aug-23		11,300			
Sep-23		11,300			
Oct-23		11,300			
Nov-23		11,300			
Dec-23		11,300			
Jan-24		11,300			
Feb-24		11,300			
Mar-24		11,300			
Apr-24		11,300			
May-24		11,300			
Jun-24		11,300			



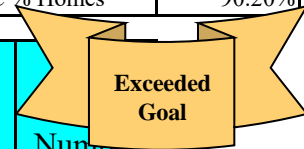


Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	11,615	99.65%	258
RCOC 06/01/23	99.65%	11,915	11,873	11,615	99.65%	258
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		90.20%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-23	11,574	11,615	11,536	99.67%	-79
Feb-23	11,616	11,615	11,577	99.66%	-38
Mar-23	11,706	11,615	11,667	99.67%	52
Apr-23	11,832	11,615	11,790	99.65%	175
May-23	11,915	11,615	11,873	99.65%	258
Jun-23		11,615			
Jul-23		11,615			
Aug-23		11,615			
Sep-23		11,615			
Oct-23		11,615			
Nov-23		11,615			
Dec-23		11,615			
Jan-24		11,615			
Feb-24		11,615			
Mar-24		11,615			
Apr-24		11,615			
May-24		11,615			
Jun-24		11,615			



Performance Contract 2023-2024

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	110	0.69%	-20
RCOC 06/01/23	0.69%	13,110	90			
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-23	12,970	110	91	0.70%	-19
Feb-23	12,990	110	90	0.69%	-20
Mar-23	13,053	110	90	0.69%	-20
Apr-23	13,065	110	90	0.69%	-20
May-23	13,110	110	90	0.69%	-20
Jun-23		110			
Jul-23		110			
Aug-23		110			
Sep-23		110			
Oct-23		110			
Nov-23		110			
Dec-23		110			
Jan-24		110			
Feb-24		110			
Mar-24		110			
Apr-24		110			
May-24		110			
Jun-24		110			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903			
RCOC 06/01/23	7.09%	13,110	930	924	7.09%	6
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%

	Total Adults Status 2	Goal	Adults in Independent Living	%	Attained
Jan-23	12,970	924	907	6.99%	-17
Feb-23	12,990	924	912	7.02%	-12
Mar-23	13,053	924	917	7.03%	-7
Apr-23	13,065	924	924	7.07%	0
May-23	13,110	924	930	7.09%	6
Jun-23		924			
Jul-23		924			
Aug-23		924			
Sep-23		924			
Oct-23		924			
Nov-23		924			
Dec-23		924			
Jan-24		924			
Feb-24		924			
Mar-24		924			
Apr-24		924			
May-24		924			
Jun-24		924			



Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent	Goal	%	# Attained
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719	9,150	70.03%	31
RCOC 06/01/23	70.03%	13,110	9,181	9,150	70.03%	31
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-23	12,970	9,150	9,052	69.79%	-98
Feb-23	12,990	9,150	9,072	69.84%	-78
Mar-23	13,053	9,150	9,120	69.87%	-30
Apr-23	13,065	9,150	9,132	69.90%	-18
May-23	13,110	9,150	9,181	70.03%	31
Jun-23		9,150			
Jul-23		9,150			
Aug-23		9,150			
Sep-23		9,150			
Oct-23		9,150			
Nov-23		9,150			
Dec-23		9,150			
Jan-24		9,150			
Feb-24		9,150			
Mar-24		9,150			
Apr-24		9,150			
May-24		9,150			
Jun-24		9,150			



Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 06/01/23	3.80%	13,110	498	512	3.80%	-14
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-23	12,970	512	492	3.79%	-20
Feb-23	12,990	512	493	3.80%	-19
Mar-23	13,053	512	499	3.82%	-13
Apr-23	13,065	512	498	3.81%	-14
May-23	13,110	512	498	3.80%	-14
Jun-23		512			
Jul-23		512			
Aug-23		512			
Sep-23		512			
Oct-23		512			
Nov-23		512			
Dec-23		512			
Jan-24		512			
Feb-24		512			
Mar-24		512			
Apr-24		512			
May-24		512			
Jun-24		512			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.75%	186,242	154,119			
RCOC Public Heaing 8/17/22	80.97%	12,634	10,217	10,696	81.61%	3
RCOC 06/01/23	81.61%	13,110	10,699	10,696	81.61%	3
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Met Goal Number Attained
Jan-23	12,970	10,696	10,542	81.28%	-154
Feb-23	12,990	10,696	10,567	81.35%	-129
Mar-23	13,053	10,696	10,626	81.41%	-70
Apr-23	13,065	10,696	10,644	81.47%	-52
May-23	13,110	10,696	10,699	81.61%	3
Jun-23		10,696			
Jul-23		10,696			
Aug-23		10,696			
Sep-23		10,696			
Oct-23		10,696			
Nov-23		10,696			
Dec-23		10,696			
Jan-24		10,696			
Feb-24		10,696			
Mar-24		10,696			
Apr-24		10,696			
May-24		10,696			
Jun-24		10,696			

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IV. Children Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 06/01/23	0.01%	11,915	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 06/01/23	0.00%	11,915	0			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 06/01/23	0.00%	11,915	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 06/01/23	0.00%	11,915	1			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23		0			
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



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V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 06/01/23	0.90%	13,110	118	114	0.90%	-4
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-23	12,970	114	120	0.93%	-6
Feb-23	12,990	114	121	0.93%	-7
Mar-23	13,053	114	119	0.91%	-5
Apr-23	13,065	114	118	0.90%	-4
May-23	13,110	114	118	0.90%	-4
Jun-23		114			
Jul-23		114			
Aug-23		114			
Sep-23		114			
Oct-23		114			
Nov-23		114			
Dec-23		114			
Jan-24		114			
Feb-24		114			
Mar-24		114			
Apr-24		114			
May-24		114			
Jun-24		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 06/01/23	0.11%	13,110	14	6	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,970	6	14	0.11%	-8
Feb-23	12,990	6	13	0.10%	-7
Mar-23	13,053	6	13	0.10%	-7
Apr-23	13,065	6	14	0.11%	-8
May-23	13,110	6	14	0.11%	-8
Jun-23		6			
Jul-23		6			
Aug-23		6			
Sep-23		6			
Oct-23		6			
Nov-23		6			
Dec-23		6			
Jan-24		6			
Feb-24		6			
Mar-24		6			
Apr-24		6			
May-24		6			
Jun-24		6			





Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78	74	0.62%	-7
RCOC 06/01/23	0.62%	13,110	81	74	0.62%	-7
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-23	12,970	74	79	0.61%	-5
Feb-23	12,990	74	80	0.62%	-6
Mar-23	13,053	74	91	0.70%	-17
Apr-23	13,065	74	91	0.70%	-17
May-23	13,110	74	81	0.62%	-7
Jun-23		74			
Jul-23		74			
Aug-23		74			
Sep-23		74			
Oct-23		74			
Nov-23		74			
Dec-23		74			
Jan-24		74			
Feb-24		74			
Mar-24		74			
Apr-24		74			
May-24		74			
Jun-24		74			



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 06/01/23	1.62%	13,110	213	194	1.62%	-19
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-23	12,970	194	194	1.64%	-19
Feb-23	12,990	194	214	1.65%	-20
Mar-23	13,053	194	223	1.71%	-29
Apr-23	13,065	194	223	1.71%	-29
May-23	13,110	194	213	1.62%	-19
Jun-23		194			
Jul-23		194			
Aug-23		194			
Sep-23		194			
Oct-23		194			
Nov-23		194			
Dec-23		194			
Jan-24		194			
Feb-24		194			
Mar-24		194			
Apr-24		194			
May-24		194			
Jun-24		194			



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VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

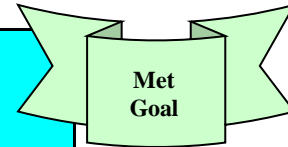
Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 06/01/23	100.00%	317	317	100.00%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-23	242	100%	240	99.17%
Feb-23	262	100%	260	99.24%
Mar-23	237	100%	237	100%
Apr-23	285	100%	285	100%
May-23	317	100%	317	100.00%
Jun-23		100%		
Jul-23		100%		
Aug-23		100%		
Sep-23		100%		
Oct-23		100%		
Nov-23		100%		
Dec-23		100%		
Jan-24		100%		
Feb-24		100%		
Mar-24		100%		
Apr-24		100%		
May-24		100%		
Jun-24		100%		



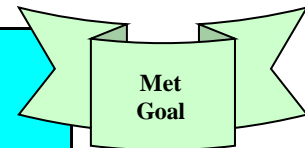
Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individual s Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4		
RCOC 06/01/23	0.00%	317	0	0.00%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 With 121-240 Days	%
Jan-23	242	0.00%	1	0.41%
Feb-23	262	0.00%	1	0.38%
Mar-23	237	0.00%	0	0.00%
Apr-23	285	0.00%	0	0.00%
May-23	317	0.00%	0	0.00%
Jun-23		0.00%		
Jul-23		0.00%		
Aug-23		0.00%		
Sep-23		0.00%		
Oct-23		0.00%		
Nov-23		0.00%		
Dec-23		0.00%		
Jan-24		0.00%		
Feb-24		0.00%		
Mar-24		0.00%		
Apr-24		0.00%		
May-24		0.00%		
Jun-24		0.00%		



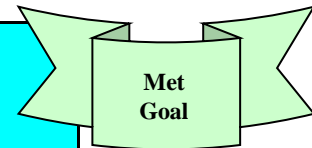
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0		
RCOC 06/01/23	0.00%	317	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-23	242	0%	1	0.41%
Feb-23	262	0%	1	0.38%
Mar-23	237	0%	0	0.00%
Apr-23	285	0%	0	0.00%
May-23	317	0%	0	0.00%
Jun-23		0%		
Jul-23		0%		
Aug-23		0%		
Sep-23		0%		
Oct-23		0%		
Nov-23		0%		
Dec-23		0%		
Jan-24		0%		
Feb-24		0%		
Mar-24		0%		
Apr-24		0%		
May-24		0%		
Jun-24		0%		





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VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

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VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

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IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%



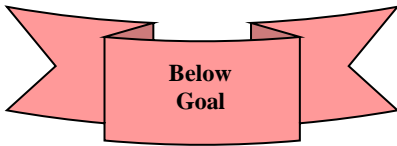
Performance Contract Summary

RCOC as of 07/01/2023	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	25,242	10	0	0.04%	-10
Children in Foster Homes (FH)	12,053	323	315	2.68%	8
Children Own Home Parent/Guardian	12,053	11,688	11,300	96.97%	388
Total # Children (FH,Parent/Guardian)	12,053	12,011	11,615	99.65%	396
Adult FHA	13,179	89	110	0.68%	-21
Independent Living (IL)	13,179	935	924	7.09%	11
Adults Residing Own Home - Parent	13,179	9,241	9,150	70.12%	91
Supported Living (SL)	13,179	500	512	3.79%	-12
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,179	10,765	10,696	81.68%	69
Children Residing in a CCF (7+ beds)	12,053	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	12,053	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	12,053	0	0	0%	0
Total Children Residing in 7+ bed facilities	12,053	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	13,179	117	114	0.89%	-3
Adults Residing in a ICF (7+ beds)	13,179	15	6	0.11%	-9
Adults Residing in a Nursing Facility (7+ beds)	13,179	79	74	0.60%	-5
Total Adults Residing in 7+ bed facilities	13,179	211	194	1.60%	-17
Total Individuals Over Age 3 with <=120 days	290	290	100%	100.00%	100.00%
Total Individuals Over Age 3 with 121-240 days	290	0	0%	0.00%	0.00%
Total Individuals Over Age 3 Over 240 days	290	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	13,179	48%	65%		
Total Number of Incentive Payments Made	13,179	207			
Avg. Wage per Hour After Incentive Payment	13,179	\$14.40			
Number of Persons Served with Earned Income	13,179	1,726			
Percentage of 16-64 Earned Income	13,179	22%			
Annual Earnings of 16-64	13,179	\$7,656			
Number of Adults in CIE After Paid Intern	13,179	0			
Percentage Adults Transitioned Internship to CIE	13,179	0%			
Total Annual Expenditures Race/Ethnicity	25,242				

Performance Contract 2023-2024 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2023-2024

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.73
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2023-2024

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 07/01/23	0.04%	25,232	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-23	24,544	0	10	0.04%	-10
Feb-23	24,616	0	10	0.04%	-10
Mar-23	24,769	0	10	0.04%	-10
Apr-23	24,906	0	9	0.04%	-9
May-23	25,035	0	10	0.04%	-10
Jun-23	25,232	0	10	0.04%	-10
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023-2024

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 07/01/23	2.68%	12,053	323	315	2.68%	8
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-23	11,574	315	316	2.73%	1
Feb-23	11,616	315	313	2.69%	-2
Mar-23	11,706	315	308	2.63%	-7
Apr-23	11,832	315	315	2.66%	0
May-23	11,915	315	312	2.62%	-3
Jun-23	12,053	315	323	2.68%	8
Jul-23		315			
Aug-23		315			
Sep-23		315			
Oct-23		315			
Nov-23		315			
Dec-23		315			
Jan-24		315			
Feb-24		315			
Mar-24		315			
Apr-24		315			
May-24		315			
Jun-24		315			



Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 07/01/23	96.97%	12,053	11,688	11,300	96.97%	388
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children	Children in own home Parent/Guardian	Children in Own Home Parent/Guardian	%	Number Attained
Jan-23	11,574	11,300	11,220	96.94%	-80
Feb-23	11,616	11,300	11,264	96.97%	-36
Mar-23	11,706	11,300	11,359	97.04%	59
Apr-23	11,832	11,300	11,475	96.89%	175
May-23	11,915	11,300	11,561	97.03%	261
Jun-23	12,053	11,300	11,688	96.97%	388
Jul-23		11,300			
Aug-23		11,300			
Sep-23		11,300			
Oct-23		11,300			
Nov-23		11,300			
Dec-23		11,300			
Jan-24		11,300			
Feb-24		11,300			
Mar-24		11,300			
Apr-24		11,300			
May-24		11,300			
Jun-24		11,300			

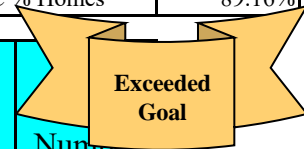


Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	11,615	99.65%	396
RCOC 07/01/23	99.65%	12,053	12,011	11,615	99.65%	396
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		89.16%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-23	11,574	11,615	11,536	99.67%	-79
Feb-23	11,616	11,615	11,577	99.66%	-38
Mar-23	11,706	11,615	11,667	99.67%	52
Apr-23	11,832	11,615	11,790	99.65%	175
May-23	11,915	11,615	11,873	99.65%	258
Jun-23	12,053	11,615	12,011	99.65%	396
Jul-23		11,615			
Aug-23		11,615			
Sep-23		11,615			
Oct-23		11,615			
Nov-23		11,615			
Dec-23		11,615			
Jan-24		11,615			
Feb-24		11,615			
Mar-24		11,615			
Apr-24		11,615			
May-24		11,615			
Jun-24		11,615			



Performance Contract 2023-2024

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	110	0.68%	-21
RCOC 07/01/23	0.68%	13,179	89			
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-23	12,970	110	91	0.70%	-19
Feb-23	12,990	110	90	0.69%	-20
Mar-23	13,053	110	90	0.69%	-20
Apr-23	13,065	110	90	0.69%	-20
May-23	13,110	110	90	0.69%	-20
Jun-23	13,179	110	89	0.68%	-21
Jul-23		110			
Aug-23		110			
Sep-23		110			
Oct-23		110			
Nov-23		110			
Dec-23		110			
Jan-24		110			
Feb-24		110			
Mar-24		110			
Apr-24		110			
May-24		110			
Jun-24		110			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	924	7.09%	11
RCOC 07/01/23	7.09%	13,179	935	924	7.09%	11
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Attained
Jan-23	12,970	924	907	6.99%	-17
Feb-23	12,990	924	912	7.02%	-12
Mar-23	13,053	924	917	7.03%	-7
Apr-23	13,065	924	924	7.07%	0
May-23	13,110	924	930	7.09%	6
Jun-23	13,179	924	935	7.09%	11
Jul-23		924			
Aug-23		924			
Sep-23		924			
Oct-23		924			
Nov-23		924			
Dec-23		924			
Jan-24		924			
Feb-24		924			
Mar-24		924			
Apr-24		924			
May-24		924			
Jun-24		924			

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent	Goal	%	# Attained
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719			
RCOC 07/01/23	70.12%	13,179	9,241	9,150	70.12%	91
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-23	12,970	9,150	9,052	69.79%	-98
Feb-23	12,990	9,150	9,072	69.84%	-78
Mar-23	13,053	9,150	9,120	69.87%	-30
Apr-23	13,065	9,150	9,132	69.90%	-18
May-23	13,110	9,150	9,181	70.03%	31
Jun-23	13,179	9,150	9,241	70.12%	91
Jul-23		9,150			
Aug-23		9,150			
Sep-23		9,150			
Oct-23		9,150			
Nov-23		9,150			
Dec-23		9,150			
Jan-24		9,150			
Feb-24		9,150			
Mar-24		9,150			
Apr-24		9,150			
May-24		9,150			
Jun-24		9,150			

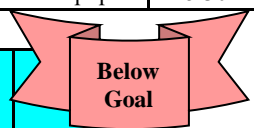


Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 07/01/23	3.79%	13,179	500	512	3.79%	-12
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-23	12,970	512	492	3.79%	-20
Feb-23	12,990	512	493	3.80%	-19
Mar-23	13,053	512	499	3.82%	-13
Apr-23	13,065	512	498	3.81%	-14
May-23	13,110	512	498	3.80%	-14
Jun-23	13,179	512	500	3.79%	-12
Jul-23		512			
Aug-23		512			
Sep-23		512			
Oct-23		512			
Nov-23		512			
Dec-23		512			
Jan-24		512			
Feb-24		512			
Mar-24		512			
Apr-24		512			
May-24		512			
Jun-24		512			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings	Goal	%	# Attained
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.97%	12,634	10,217	10,696	81.68%	69
RCOC 07/01/23	81.68%	13,179	10,765			
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Met Goal Number Attained
Jan-23	12,970	10,696	10,542	81.28%	-154
Feb-23	12,990	10,696	10,567	81.35%	-129
Mar-23	13,053	10,696	10,626	81.41%	-70
Apr-23	13,065	10,696	10,644	81.47%	-52
May-23	13,110	10,696	10,699	81.61%	3
Jun-23	13,179	10,696	10,765	81.68%	69
Jul-23		10,696			
Aug-23		10,696			
Sep-23		10,696			
Oct-23		10,696			
Nov-23		10,696			
Dec-23		10,696			
Jan-24		10,696			
Feb-24		10,696			
Mar-24		10,696			
Apr-24		10,696			
May-24		10,696			
Jun-24		10,696			

Performance Contract 2023-2024

IV. Children Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 07/01/23	0.01%	12,053	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23	12,053	0	1	0.01%	-1
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 07/01/23	0.00%	12,053	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23	12,053	0	0	0.00%	0
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 07/01/23	0.00%	12,053	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23	12,053	0	0	0.00%	0
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 07/01/23	0.00%	12,053	1			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23	12,053	0	1	0.01%	-1
Jul-23		0			
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



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V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 07/01/23	0.89%	13,179	117	114	0.89%	-3
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-23	12,970	114	120	0.93%	-6
Feb-23	12,990	114	121	0.93%	-7
Mar-23	13,053	114	119	0.91%	-5
Apr-23	13,065	114	118	0.90%	-4
May-23	13,110	114	118	0.90%	-4
Jun-23	13,179	114	117	0.89%	-3
Jul-23		114			
Aug-23		114			
Sep-23		114			
Oct-23		114			
Nov-23		114			
Dec-23		114			
Jan-24		114			
Feb-24		114			
Mar-24		114			
Apr-24		114			
May-24		114			
Jun-24		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 07/01/23	0.11%	13,179	15	6	0.11%	-9
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,970	6	14	0.11%	-8
Feb-23	12,990	6	13	0.10%	-7
Mar-23	13,053	6	13	0.10%	-7
Apr-23	13,065	6	14	0.11%	-8
May-23	13,110	6	14	0.11%	-8
Jun-23	13,179	6	15	0.11%	-9
Jul-23		6			
Aug-23		6			
Sep-23		6			
Oct-23		6			
Nov-23		6			
Dec-23		6			
Jan-24		6			
Feb-24		6			
Mar-24		6			
Apr-24		6			
May-24		6			
Jun-24		6			





Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78			
RCOC 07/01/23	0.60%	13,179	79	74	0.60%	-5
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-23	12,970	74	79	0.61%	-5
Feb-23	12,990	74	80	0.62%	-6
Mar-23	13,053	74	91	0.70%	-17
Apr-23	13,065	74	91	0.70%	-17
May-23	13,110	74	81	0.62%	-7
Jun-23	13,179	74	79	0.60%	-5
Jul-23		74			
Aug-23		74			
Sep-23		74			
Oct-23		74			
Nov-23		74			
Dec-23		74			
Jan-24		74			
Feb-24		74			
Mar-24		74			
Apr-24		74			
May-24		74			
Jun-24		74			



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 07/01/23	1.60%	13,179	211	194	1.60%	-17
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-23	12,970	194	194	1.64%	-19
Feb-23	12,990	194	214	1.65%	-20
Mar-23	13,053	194	223	1.71%	-29
Apr-23	13,065	194	223	1.71%	-29
May-23	13,110	194	213	1.62%	-19
Jun-23	13,179	194	211	1.60%	-17
Jul-23		194			
Aug-23		194			
Sep-23		194			
Oct-23		194			
Nov-23		194			
Dec-23		194			
Jan-24		194			
Feb-24		194			
Mar-24		194			
Apr-24		194			
May-24		194			
Jun-24		194			



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VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

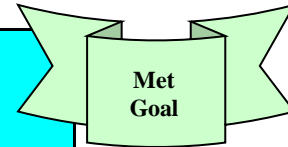
Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 07/01/23	100.00%	290	290	100.00%	100.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-23	242	100%	240	99.17%
Feb-23	262	100%	260	99.24%
Mar-23	237	100%	237	100%
Apr-23	285	100%	285	100%
May-23	317	100%	317	100.00%
Jun-23	290	100%	290	100.00%
Jul-23		100%		
Aug-23		100%		
Sep-23		100%		
Oct-23		100%		
Nov-23		100%		
Dec-23		100%		
Jan-24		100%		
Feb-24		100%		
Mar-24		100%		
Apr-24		100%		
May-24		100%		
Jun-24		100%		



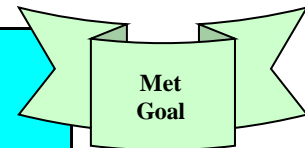
Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number Individual s Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4		
RCOC 07/01/23	0.00%	290	0	0.00%	0.00%



	Total Number of Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 With 121-240 Days	%
Jan-23	242	0.00%	1	0.41%
Feb-23	262	0.00%	1	0.38%
Mar-23	237	0.00%	0	0.00%
Apr-23	285	0.00%	0	0.00%
May-23	317	0.00%	0	0.00%
Jun-23	290	0.00%	0	0.00%
Jul-23		0.00%		
Aug-23		0.00%		
Sep-23		0.00%		
Oct-23		0.00%		
Nov-23		0.00%		
Dec-23		0.00%		
Jan-24		0.00%		
Feb-24		0.00%		
Mar-24		0.00%		
Apr-24		0.00%		
May-24		0.00%		
Jun-24		0.00%		



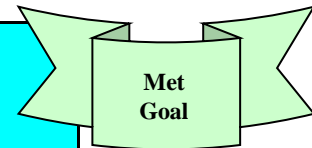
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days	Goal	% Attained
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0		
RCOC 07/01/23	0.00%	290	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-23	242	0%	1	0.41%
Feb-23	262	0%	1	0.38%
Mar-23	237	0%	0	0.00%
Apr-23	285	0%	0	0.00%
May-23	317	0%	0	0.00%
Jun-23	290	0%	0	0.00%
Jul-23		0%		
Aug-23		0%		
Sep-23		0%		
Oct-23		0%		
Nov-23		0%		
Dec-23		0%		
Jan-24		0%		
Feb-24		0%		
Mar-24		0%		
Apr-24		0%		
May-24		0%		
Jun-24		0%		





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VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

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VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

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IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%



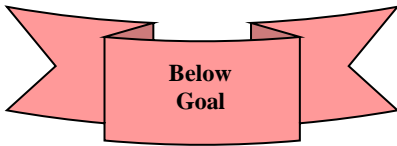
Performance Contract Summary

RCOC as of 08/01/2023	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	25,350	10	0	0.04%	-10
Children in Foster Homes (FH)	12,129	321	315	2.65%	6
Children Own Home Parent/Guardian	12,129	11,765	11,300	97.00%	465
Total # Children (FH,Parent/Guardian)	12,129	12,086	11,615	99.65%	471
Adult FHA	13,211	90	110	0.68%	-20
Independent Living (IL)	13,211	937	924	7.09%	13
Adults Residing Own Home - Parent	13,211	9,263	9,150	70.12%	113
Supported Living (SL)	13,211	499	512	3.78%	-13
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,211	10,789	10,696	81.67%	93
Children Residing in a CCF (7+ beds)	12,129	1	0	0.01%	-1
Children Residing in a ICF (7+ beds)	12,129	0	0	0.00%	0
Children Residing in a Nursing Facility (7+ beds)	12,129	0	0	0%	0
Total Children Residing in 7+ bed facilities	12,129	1	0	0.01%	-1
Adults Residing in a CCF (7+ beds)	13,121	115	114	0.88%	-1
Adults Residing in a ICF (7+ beds)	13,121	15	6	0.11%	-9
Adults Residing in a Nursing Facility (7+ beds)	13,121	75	74	0.57%	-1
Total Adults Residing in 7+ bed facilities	13,121	205	194	1.56%	-11
Total Individuals Over Age 3 with <=120 days	297	295	100%	100.00%	99.33%
Total Individuals Over Age 3 with 121-240 days	297	2	0%	0.67%	0.67%
Total Individuals Over Age 3 Over 240 days	297	0	0%	0.00%	0.00%
Adults with Integrated Employment Goal	13,121	48%	65%		
Total Number of Incentive Payments Made	13,121	207			
Avg. Wage per Hour After Incentive Payment	13,121	\$14.40			
Number of Persons Served with Earned Income	13,121	1,726			
Percentage of 16-64 Earned Income	13,121	22%			
Annual Earnings of 16-64	13,121	\$7,656			
Number of Adults in CIE After Paid Intern	13,121	0			
Percentage Adults Transitioned Internship to CIE	13,121	0%			
Total Annual Expenditures Race/Ethnicity	25,350				

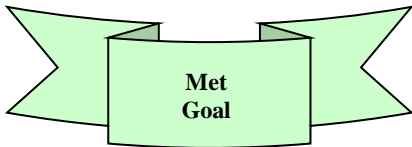
Performance Contract 2023-2024 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2023-2024

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.73
Intake/Assessment and IFSP (0-2)	99.52
IPP development biennial	Annual, 99.46
IFSP development	69.28



Performance Contract 2023-2024

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2021-2022 and 2022-2023.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in August 2022, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.06%	384,188	233			
RCOC Public Hearing 8/17/22	0.03%	23,394	8	Goal	%	# Attained
RCOC 08/01/23	0.04%	25,350	10	0	0.04%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.09%	RCOC % of DC pop		3.43%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jan-23	24,544	0	10	0.04%	-10
Feb-23	24,616	0	10	0.04%	-10
Mar-23	24,769	0	10	0.04%	-10
Apr-23	24,906	0	9	0.04%	-9
May-23	25,035	0	10	0.04%	-10
Jun-23	25,232	0	10	0.04%	-10
Jul-23	25,350	0	10	0.04%	-10
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Performance Contract 2023-2024

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

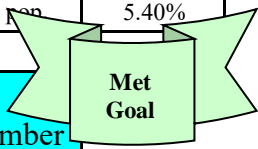
Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 284, or 2.64%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.66%	197,711	5,256			
RCOC Public Hearing 8/17/22	2.64%	10,752	284			
RCOC 07/01/23	2.65%	12,129	321	315	2.65%	6
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of FH pop.		5.40%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jan-23	11,574	315	316	2.73%	1
Feb-23	11,616	315	313	2.69%	-2
Mar-23	11,706	315	308	2.63%	-7
Apr-23	11,832	315	315	2.66%	0
May-23	11,915	315	312	2.62%	-3
Jun-23	12,053	315	323	2.68%	8
Jul-23	12129	315	321	2.65%	6
Aug-23		315			
Sep-23		315			
Oct-23		315			
Nov-23		315			
Dec-23		315			
Jan-24		315			
Feb-24		315			
Mar-24		315			
Apr-24		315			
May-24		315			
Jun-24		315			

Progress: B. During public meetings, RCOC had 10,425, or 96.96%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	96.94%	197,711	191,657			
RCOC Public Hearing 8/17/22	96.96%	10,752	10,425	Goal	%	# Attained
RCOC 07/01/23	97.00%	12,129	11,765	11,300	97.00%	465
Analysis as of Public Hearing	RCOC % of DD pop.		5.44%	RCOC % of Home		96.96%



	Total Children	Children in own home Parent/Guardian	Children in Own Home Parent/Guardian	%	Number Attained
Jan-23	11,574	11,300	11,220	96.94%	-80
Feb-23	11,616	11,300	11,264	96.97%	-36
Mar-23	11,706	11,300	11,359	97.04%	59
Apr-23	11,832	11,300	11,475	96.89%	175
May-23	11,915	11,300	11,561	97.03%	261
Jun-23	12,053	11,300	11,688	96.97%	388
Jul-23	12,129	11,300	11,765	97.00%	465
Aug-23		11,300			
Sep-23		11,300			
Oct-23		11,300			
Nov-23		11,300			
Dec-23		11,300			
Jan-24		11,300			
Feb-24		11,300			
Mar-24		11,300			
Apr-24		11,300			
May-24		11,300			
Jun-24		11,300			



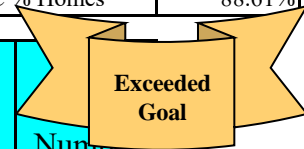


Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes	Goal	%	# Attained
Statewide Average	99.65%	197,611	196,913			
RCOC Public Hearing 8/17/22	99.60%	10,752	10,709	11,615	99.65%	471
RCOC 07/01/23	99.65%	12,129	12,086			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % Homes		88.61%

	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jan-23	11,574	11,615	11,536	99.67%	-79
Feb-23	11,616	11,615	11,577	99.66%	-38
Mar-23	11,706	11,615	11,667	99.67%	52
Apr-23	11,832	11,615	11,790	99.65%	175
May-23	11,915	11,615	11,873	99.65%	258
Jun-23	12,053	11,615	12,011	99.65%	396
Jul-23	12,129	11,615	12,086	99.65%	471
Aug-23		11,615			
Sep-23		11,615			
Oct-23		11,615			
Nov-23		11,615			
Dec-23		11,615			
Jan-24		11,615			
Feb-24		11,615			
Mar-24		11,615			
Apr-24		11,615			
May-24		11,615			
Jun-24		11,615			



Performance Contract 2023-2024

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 99, or 0.78%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.82%	186,242	1,529			
RCOC Public Hearing 8/17/22	0.78%	12,634	99	110	0.68%	-20
RCOC 08/01/23	0.68%	13,211	90			
Analysis as of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of FHA pop		6.47%



	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jan-23	12,970	110	91	0.70%	-19
Feb-23	12,990	110	90	0.69%	-20
Mar-23	13,053	110	90	0.69%	-20
Apr-23	13,065	110	90	0.69%	-20
May-23	13,110	110	90	0.69%	-20
Jun-23	13,179	110	89	0.68%	-21
Jul-23	13,211	110	90	0.68%	-20
Aug-23		110			
Sep-23		110			
Oct-23		110			
Nov-23		110			
Dec-23		110			
Jan-24		110			
Feb-24		110			
Mar-24		110			
Apr-24		110			
May-24		110			
Jun-24		110			



Progress: B. During public meetings, RCOC had 903, or 7.15%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living	Goal	%	# Attained
Statewide Average	9.48%	186,242	17,651			
RCOC Public Hearing 8/17/22	7.15%	12,634	903	924	7.09%	13
RCOC 08/01/23	7.09%	13,211	937			
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of IL pop		5.12%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Attained
Jan-23	12,970	924	907	6.99%	-17
Feb-23	12,990	924	912	7.02%	-12
Mar-23	13,053	924	917	7.03%	-7
Apr-23	13,065	924	924	7.07%	0
May-23	13,110	924	930	7.09%	6
Jun-23	13,179	924	935	7.09%	11
Jul-23	13,211	924	937	7.09%	13
Aug-23		924			
Sep-23		924			
Oct-23		924			
Nov-23		924			
Dec-23		924			
Jan-24		924			
Feb-24		924			
Mar-24		924			
Apr-24		924			
May-24		924			
Jun-24		924			

Progress: C. During public meetings, RCOC had 8,719, or 69.01%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home Parent	Goal	%	# Attained
Statewide Average	67.43%	186,242	125,589			
RCOC Public Hearing 8/17/22	69.01%	12,634	8,719			
RCOC 08/01/23	70.12%	13,211	9,263	9,150	70.12%	113
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of own home		6.94%



	Total Adults Status 2	Goal	Adults Residing Own Home Parent	%	Number Attained
Jan-23	12,970	9,150	9,052	69.79%	-98
Feb-23	12,990	9,150	9,072	69.84%	-78
Mar-23	13,053	9,150	9,120	69.87%	-30
Apr-23	13,065	9,150	9,132	69.90%	-18
May-23	13,110	9,150	9,181	70.03%	31
Jun-23	13,179	9,150	9,241	70.12%	91
Jul-23	13,211	9,150	9,263	70.12%	113
Aug-23		9,150			
Sep-23		9,150			
Oct-23		9,150			
Nov-23		9,150			
Dec-23		9,150			
Jan-24		9,150			
Feb-24		9,150			
Mar-24		9,150			
Apr-24		9,150			
May-24		9,150			
Jun-24		9,150			

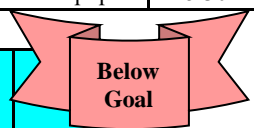


Progress: D. During public meetings, RCOC had 496, or 3.93%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living			
Statewide Average	5.02%	186,242	9,359			
RCOC Public Hearing 8/17/22	3.93%	12,634	496	Goal	%	# Attained
RCOC 08/01/23	3.78%	13,211	499	512	3.78%	-13
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of SL pop		5.30%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jan-23	12,970	512	492	3.79%	-20
Feb-23	12,990	512	493	3.80%	-19
Mar-23	13,053	512	499	3.82%	-13
Apr-23	13,065	512	498	3.81%	-14
May-23	13,110	512	498	3.80%	-14
Jun-23	13,179	512	500	3.79%	-12
Jul-23	13,211	512	499	3.78%	-13
Aug-23		512			
Sep-23		512			
Oct-23		512			
Nov-23		512			
Dec-23		512			
Jan-24		512			
Feb-24		512			
Mar-24		512			
Apr-24		512			
May-24		512			
Jun-24		512			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	82.75%	186,242	154,119			
RCOC Public Hearing 8/17/22	80.97%	12,634	10,217	Goal	%	# Attained
RCOC 08/01/23	81.67%	13,211	10,789	10,696	81.67%	93
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % of Home		6.63%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Met Goal Number Attained
Jan-23	12,970	10,696	10,542	81.28%	-154
Feb-23	12,990	10,696	10,567	81.35%	-129
Mar-23	13,053	10,696	10,626	81.41%	-70
Apr-23	13,065	10,696	10,644	81.47%	-52
May-23	13,110	10,696	10,699	81.61%	3
Jun-23	13,179	10,696	10,765	81.68%	69
Jul-23	13,211	10,696	10,789	81.67%	93
Aug-23		10,696			
Sep-23		10,696			
Oct-23		10,696			
Nov-23		10,696			
Dec-23		10,696			
Jan-24		10,696			
Feb-24		10,696			
Mar-24		10,696			
Apr-24		10,696			
May-24		10,696			
Jun-24		10,696			

Performance Contract 2023-2024

IV. Children Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	197,711	13			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 08/01/23	0.01%	12,129	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23	12,053	0	1	0.01%	-1
Jul-23	12,129	0	1	0.01%	-1
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	197,711	34			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.00%	0
RCOC 08/01/23	0.00%	12,129	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23	12,053	0	0	0.00%	0
Jul-23	12129	0	0	0.00%	0
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility			
Statewide Average	0.00%	197,711	7			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	Goal	%	# Attained
RCOC 08/01/23	0.00%	12,129	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jan-23	12,574	0	0	0.00%	0
Feb-23	11,616	0	0	0.00%	0
Mar-23	11,706	0	0	0.00%	0
Apr-23	11,832	0	0	0.00%	0
May-23	11,915	0	0	0.00%	0
Jun-23	12,053	0	0	0.00%	0
Jul-23	12,129	0	0	0.00%	0
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility	Goal	%	# Attained
Statewide Average	0.03%	197,711	54			
RCOC Public Hearing 8/17/22	0.00%	10,752	0	0	0.01%	-1
RCOC 08/01/23	0.00%	12,129	1			
Analysis of Public Hearing	RCOC % of DD pop		5.44%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jan-23	11,574	0	1	0.01%	-1
Feb-23	11,616	0	1	0.01%	-1
Mar-23	11,706	0	1	0.01%	-1
Apr-23	11,832	0	1	0.01%	-1
May-23	11,915	0	1	0.01%	-1
Jun-23	12,053	0	1	0.01%	-1
Jul-23	12,129	0	1	0.01%	-1
Aug-23		0			
Sep-23		0			
Oct-23		0			
Nov-23		0			
Dec-23		0			
Jan-24		0			
Feb-24		0			
Mar-24		0			
Apr-24		0			
May-24		0			
Jun-24		0			



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V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 122, or 0.97%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds			
Statewide Average	0.79%	186,242	1,466			
RCOC Public Hearing 8/17/22	0.97%	12,634	122	Goal	%	# Attained
RCOC 08/01/23	0.87%	13,211	115	114	0.87%	-1
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % Adult 7+ CCF		8.32%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jan-23	12,970	114	120	0.93%	-6
Feb-23	12,990	114	121	0.93%	-7
Mar-23	13,053	114	119	0.91%	-5
Apr-23	13,065	114	118	0.90%	-4
May-23	13,110	114	118	0.90%	-4
Jun-23	13,179	114	117	0.89%	-3
Jul-23	13,211	114	115	0.87%	-1
Aug-23		114			
Sep-23		114			
Oct-23		114			
Nov-23		114			
Dec-23		114			
Jan-24		114			
Feb-24		114			
Mar-24		114			
Apr-24		114			
May-24		114			
Jun-24		114			



Progress: B. During public meetings, RCOC had 12, or 0.09%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	186,242	755			
RCOC Public Hearing 8/17/22	0.09%	12,634	12			
RCOC 08/01/23	0.11%	13,211	15	6	0.11%	-9
Analysis of Public Hearing	RCOC % of DD pop		6.78%	RCOC % ICF 7+		1.59%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jan-23	12,970	6	14	0.11%	-8
Feb-23	12,990	6	13	0.10%	-7
Mar-23	13,053	6	13	0.10%	-7
Apr-23	13,065	6	14	0.11%	-8
May-23	13,110	6	14	0.11%	-8
Jun-23	13,179	6	15	0.11%	-9
Jul-23	13,211	6	15	0.11%	-9
Aug-23		6			
Sep-23		6			
Oct-23		6			
Nov-23		6			
Dec-23		6			
Jan-24		6			
Feb-24		6			
Mar-24		6			
Apr-24		6			
May-24		6			
Jun-24		6			





Progress: C. During public meetings, RCOC had 78, or 0.62%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	186,242	967			
RCOC Public Hearing 8/17/22	0.62%	12,634	78	74	0.57%	-1
RCOC 08/01/23	0.57%	13,211	75	74	0.57%	-1
Analysis of Public Hearing	RCOC % DD pop		6.78%	RCOC % NF		8.07%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jan-23	12,970	74	79	0.61%	-5
Feb-23	12,990	74	80	0.62%	-6
Mar-23	13,053	74	91	0.70%	-17
Apr-23	13,065	74	91	0.70%	-17
May-23	13,110	74	81	0.62%	-7
Jun-23	13,179	74	79	0.60%	-5
Jul-23	13,211	74	75	0.57%	-1
Aug-23		74			
Sep-23		74			
Oct-23		74			
Nov-23		74			
Dec-23		74			
Jan-24		74			
Feb-24		74			
Mar-24		74			
Apr-24		74			
May-24		74			
Jun-24		74			



Progress: D. During public meetings, RCOC had 212, or 1.68%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	186,242	3,188			
RCOC Public Hearing 8/17/22	1.68%	12,634	212	Goal	%	# Attained
RCOC 08/01/23	1.55%	13,211	205	194	1.55%	-11
Analysis of Public Meeting	RCOC % of DD pop		6.78%	RCOC % 7+ Bed		6.65%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jan-23	12,970	194	194	1.64%	-19
Feb-23	12,990	194	214	1.65%	-20
Mar-23	13,053	194	223	1.71%	-29
Apr-23	13,065	194	223	1.71%	-29
May-23	13,110	194	213	1.62%	-19
Jun-23	13,179	194	211	1.60%	-17
Jul-23	13,211	194	205	1.55%	-11
Aug-23		194			
Sep-23		194			
Oct-23		194			
Nov-23		194			
Dec-23		194			
Jan-24		194			
Feb-24		194			
Mar-24		194			
Apr-24		194			
May-24		194			
Jun-24		194			



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VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 222, or 98.23%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	95.05%	9,095	8,645		
RCOC Public Hearing 8/17/22	98.00%	226	222		
RCOC 08/01/23	99.33%	297	295	100.00%	99.33%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jan-23	242	100%	240	99.17%
Feb-23	262	100%	260	99.24%
Mar-23	237	100%	237	100%
Apr-23	285	100%	285	100%
May-23	317	100%	317	100.00%
Jun-23	290	100%	290	100.00%
Jul-23	297	100%	295	99.33%
Aug-23		100%		
Sep-23		100%		
Oct-23		100%		
Nov-23		100%		
Dec-23		100%		
Jan-24		100%		
Feb-24		100%		
Mar-24		100%		
Apr-24		100%		
May-24		100%		
Jun-24		100%		



Progress: B. During public meetings, RCOC had 4, or 1.77%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	3.83%	9,095	348		
RCOC Public Hearing 8/17/22	2.00%	226	4		
RCOC 08/01/23	0.67%	297	2	0.00%	0.67%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jan-23	242	0.00%	1	0.41%
Feb-23	262	0.00%	1	0.38%
Mar-23	237	0.00%	0	0.00%
Apr-23	285	0.00%	0	0.00%
May-23	317	0.00%	0	0.00%
Jun-23	290	0.00%	0	0.00%
Jul-23	297	0.00%	2	0.67%
Aug-23		0.00%		
Sep-23		0.00%		
Oct-23		0.00%		
Nov-23		0.00%		
Dec-23		0.00%		
Jan-24		0.00%		
Feb-24		0.00%		
Mar-24		0.00%		
Apr-24		0.00%		
May-24		0.00%		
Jun-24		0.00%		



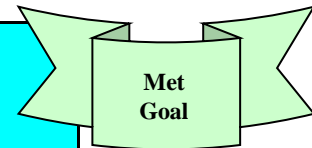
Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individuals Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	1.12%	9,095	102		
RCOC Public Meeting 8/17/22	0.00%	226	0	Goal	% Attained
RCOC 08/01/23	0.00%	297	0	0.00%	0.00%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained
Jan-23	242	0%	1	0.41%
Feb-23	262	0%	1	0.38%
Mar-23	237	0%	0	0.00%
Apr-23	285	0%	0	0.00%
May-23	317	0%	0	0.00%
Jun-23	290	0%	0	0.00%
Jul-23	297	0%	0	0.00%
Aug-23		0%		
Sep-23		0%		
Oct-23		0%		
Nov-23		0%		
Dec-23		0%		
Jan-24		0%		
Feb-24		0%		
Mar-24		0%		
Apr-24		0%		
May-24		0%		
Jun-24		0%		





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VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	70%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	84	63	60
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	20	\$14.40
Goal	24	\$15.50

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VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2019 indicate that 2,335 persons served ages 16-64 had earned income. In 2020, 1,726 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2016	2,085	1,201
2017	2,341	1,294
2018	3,336	1,311
2019	2,335	1,341
2020	1,726	1,082

Progress: B. Results from the EDD in 2019 indicate that 20% of persons served ages 16-64 reported having earned income. In 2020, the percentage of persons served ages 16-64 reporting earned income was 22.22%.

B. Percentage of persons served ages 16-64 reporting earned income.



	RCOC	Statewide Avg.
2017	21%	17%
2018	21%	16%
2019	20%	16%
2020	22%	19%

Progress: C. Results from the EDD in 2018 indicate that average annual wages for persons served ages 16-64 was \$8,806. In 2019, the average annual wage for persons served ages 16-64 was \$9,578. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2017	\$7,580	\$9,033
2018	\$8,806	\$10,317
2019	\$9,578	\$11,327
2020	\$7,656	\$9,733



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

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IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2020-21 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Birth to Age 2				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	7 ↑	0.08% ↑	\$27,709 ↑	46.8% ↓
Asian	888 ↑	19% ↑	\$6,974,303 ↓	62.3% ↓
Black/African American	78 ↑	1.42% ↑	\$521,436 ↑	57.1% ↑
Hispanic	1,808 ↓	32.71% ↓	\$11,986,479 ↓	58.1% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	0.24% ↑	\$89,096 ↑	64.6% ↑
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	27.2% ↑	\$9,968,093 ↓	60.8% ↑
White	1,067 ↑	19.31% ↑	\$7,075,012 ↓	57% ↓
Totals	5,174 ↓	100.0%	\$36,642,130 ↓	

Age 3 to 21 Years				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	15 ↑	0.9% ↑	\$94,851 ↓	49.9% ↓
Asian	1,913 ↑	16.68% ↓	\$17,881,688 ↑	51.6% ↓
Black/African American	199 ↑	2% ↑	\$2,162,089 ↑	55.1% ↓
Hispanic	3,974 ↑	28.43% ↓	\$30,489,363 ↑	56.7% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	0.2% ↑	\$217,214 ↑	57% ↓
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	19.97% ↑	\$21,410,236 ↑	53.5% ↓
White	2,213 ↓	32.62% ↓	\$34,978,597 ↑	63.8% ↓
Totals	10,265 ↑	100.0%	\$107,234,038 ↑	



Age 22 and Over				
Ethnicity	Total Persons	Percentage of Expenditures	Total Authorized	Utilized
American Indian or Alaska Native	22 ↓	0.3% ↔	\$1,474,983 ↑	82.8% ↓
Asian	1,442 ↑	11.3% ↓	\$53,498,601 ↑	74.6% ↓
Black/African American	261 ↑	2.7% ↓	\$12,799,478 ↑	80.7% ↓
Hispanic	2,793 ↑	18.76% ↓	\$88,798,778 ↑	76.7% ↓
Native Hawaiian or Other Pacific Islander	12 ↑	1%	\$349,818 ↑	71.6% ↓
Other Ethnicity or Race / Multi-Cultural	848 ↑	7.5% ↑	\$35,244,572 ↑	78% ↓
White	4,757 ↑	59.39% ↑	\$281,084,614 ↑	79.3% ↓
Totals	10,135 ↑	100.0%	\$473,250,844 ↑	



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Birth to 2 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	7 ↑	0 ↓	0.0% ↓
Asian	888 ↑	77 ↑	8.7% ↑
Black/African American	78 ↑	4 ↑	5.1% ↑
Hispanic	1,808 ↓	181 ↑	10% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	0	0.0%
Other Ethnicity or Race / Multi-Cultural	1,314 ↓	70 ↑	8.6% ↑
White	1,067 ↑	70 ↑	6.6% ↑
Totals	5,174↓	445 ↑	8.6% ↑

Age 3 to 21 Years			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	15	7 ↑	46.7% ↑
Asian	1,913 ↑	779 ↑	40.7% ↑
Black/African American	199 ↑	83 ↑	41.7% ↑
Hispanic	3,974 ↑	1,984 ↑	46.9% ↓
Native Hawaiian or Other Pacific Islander	18 ↓	11	61.1% ↑
Other Ethnicity or Race / Multi-Cultural	1,933 ↑	742 ↑	38.4% ↑
White	2,213 ↑	789 ↑	35.7% ↑
Totals	10,265 ↑	4,395 ↑	42.8% ↑



Age 22 and Older			
Ethnicity	Total Eligible	Case Management	Percent No Services
American Indian or Alaska Native	22 ↓	3 ↓	13.6% ↓
Asian	1,442 ↑	337 ↑	23.4% ↑
Black/African American	261 ↑	58 ↑	22.2% ↑
Hispanic	2,793 ↑	712 ↑	25.5% ↑
Native Hawaiian or Other Pacific Islander	12 ↑	4 ↑	33.3% ↑
Other Ethnicity or Race / Multi-Cultural	848 ↑	192 ↑	22.6% ↑
White	4,757 ↑	716 ↑	15.1% ↑
Totals	10,135 ↑	2,022 ↑	20% ↑



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Primary Language	Total People	POS Authorized Per Capita	Percentage Utilized
ASL (American Sign Language)	30 ↑	\$47,662 ↓	84.1% ↑
English	19,553 ↑	\$20,140 ↓	74.2% ↓
Spanish	4,499 ↓	\$9,146 ↑	68.3% ↓
Mandarin Chinese	67 ↓	\$7,105 ↓	58.7% ↓
Vietnamese	1,084 ↑	\$9,421 ↓	69.3% ↓
Korean	141 ↑	\$23,888 ↑	77.8% ↓
Tagalog	20 ↓	\$29,933 ↑	81.2% ↓
Arabic	47 ↑	\$7,363 ↑	61.4% ↓
Farsi	51	\$9,545	64%

**Regional Center of Orange County
Board Recruitment and Training Committee
July 10, 2023
Videoconference Minutes**

Committee Members Present: John “Chip” Wright, Chair
Sylvia Delgado
Bruce Hall
Frances Hernandez
Sandy Martin
Hilda Mendez (*joined at 5:04 p.m.*)
Chinh Nguyen

Committee Member Absent: Fernando Peña

RCOC Staff Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management

Mr. Chip Wright called the videoconference meeting to order at 5:01 p.m.

I. Board Recruitment

A. Review Board Members’ Terms of Office and Upcoming Turnover

Mr. Wright reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs – Review of Board Applicant Interview

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act. The Committee also discussed Board composition and DDS’ method for calculating compliance.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

Mr. Wright reported that the next Board of Directors’ training will be held in-person on July 13, 2023. The training will consist of annual training topics required by DDS including a Review of Board Governance, Conflict of Interest, Whistleblower Policies and Linguistic and Cultural Competency. Mr. Landauer will also provide an overview of the California Assembly Bill (AB) 1147, Disability Equity and Accountability Act of 2023.

***Board Recruitment and Training Committee Minutes
July 10, 2023***

The Committee also reviewed and discussed potential training topics for calendar year 2024, including Person-Centered Thinking, the Self-Determination Program (SDP), risk management and generic resources.

III. Community Forum

No community members were present at the meeting.

Mr. Wright adjourned the meeting at 5:54 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Policies and Outcomes Committee
July 17, 2023
Videoconference Minutes**

Committee Members Present: Cliff Amsden, Chairperson
Meena Chockalingam
Liza Krassner (*left at 6:10 p.m.*)
Sandy Martin
Jacqueline Nguyen (*joined at 5:56 p.m.*)
Chip Wright

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrold Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Christy Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Marta Vasquez, Director of Finance
Stacy Wong, Director of Human Resources

Corporate Counsel Present: David Lester, Esq.

Mr. Cliff Amsden called the videoconference meeting to order at 5:52 p.m.

I. Governance Policies

A. Review of the Purchase of Service Policy

The Committee reviewed and proposed revisions to the policy.

M/S/C to recommend that the Board approve the Purchase of Service Policy as proposed

B. Review of the Policy on Board Membership and Application Process

The Committee reviewed and did not propose any revisions to the policy.

M/S/C to recommend that the Board approve the Policy on Board Membership and Application Process as proposed

Ms. Christy Petteruto, RCOC's General Counsel reported that RCOC is proposing changes for the Policy on Resource Development and Procurement and the Start-Up, Gap and Lag Funding Policy. The policies currently indicate that RCOC will award start-up funding outside of the Request for Proposal (RFP) process only if it is necessary to protect the health and/or safety of a specific individual served by RCOC and the Board votes to approve the award. The proposed language allows for RCOC to award start-up funds outside of the RFP process if use of the RFP process is not feasible under specific circumstances and the Board votes to approve the award.

C. Review of Policy on Resource Development and Procurement

The Committee reviewed and did not propose any additional revisions to the policy.

D. Review of the Start-Up, Gap and Lag Funding Policy

The Committee reviewed and did not propose any additional revisions to the policy.

M/S/C to recommend that the Board approve the Start-Up, Gap and Lag Funding Policy as proposed

II. Outcomes

A. Person Centered Thinking (PCT) Update

Mr. Landauer reported that there were no updates since the last Board meeting on May 4, 2023.

B. Health and Wellness Project Update

In Dr. Bonnie Ivers' absence, Mr. Landauer reported that there were no updates.

C. Employment Update

Mr. Arturo Cazares, RCOC's Director of Community Services, reported that Children's Hospital of Orange County (CHOC) has resumed its Project SEARCH program. RCOC is also working with Kaiser Permanente in Anaheim to establish a program.

D. Housing Update

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that one of the Enhanced Behavioral Supports Home (EBSH) is currently in escrow and is pending approval from the Department of Developmental Services (DDS).

E. National Core Indicators (NCI) Update

Mr. Landauer reported that there were no updates.

III. Community Forum

No community members were present.

Mr. Amsden adjourned the meeting at 6:13 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Purchase of Service Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 17, 2023, the Policies and Outcomes Committee reviewed the Purchase of Service Policy.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Purchase of Service Policy, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Purchase of Service Policy, as presented.

VI. PURCHASE OF SERVICE POLICY

It is the intent of the Lanterman Developmental Disabilities Services Act (Welfare & Institutions Code (WIC) § 4500 et seq.) (Lanterman Act) that regional centers assist their persons served with developmental disabilities and their families in securing specialized services and supports which maximize opportunities and choices in living, working, learning and recreating in the community.

Services and supports for the person served shall be identified through the Multidisciplinary/Planning Team process which shall include at a minimum, the person served, the service coordinator and, when appropriate, the person's parents, legal guardian and/or conservator.

For those persons in the Self-Determination Program (SDP), they will have a specific budget to purchase the services and supports necessary to implement their Individual Program Plan (IPP). Within the parameters set forth in the Lanterman Act, SDP participants may choose their services and pick which providers deliver those services. SDP participants are responsible for staying within their annual budget.

In identifying those services and supports to be included in the IPP, the Multidisciplinary/Planning Team shall be guided by:

- The needs and preferences of the person served and family.
- The promotion of community integration which will assist the person served to lead a more independent, productive, and normal life in a stable, safe and healthy environment.
- The least restrictive environment.
- Utilization of existing natural supports (e.g., family, friends, coworkers), circles of support, generic resources, and private resources when applicable.

In accordance with the Lanterman Act and Early Start program, services and supports may be purchased under the following circumstances:

- Services and supports are provided within the framework of the Regional Center of Orange County (RCOC) Guiding Principles.
- The service and/or support has been identified in the Individual Program Plan/Person Centered Plan (IPP/PCP) or the Individualized Family Service Plan (IFSP), by the Multidisciplinary/Planning Team, as necessary to accomplish all or part of the IPP or IFSP.
- The need for the service and/or support is related to the person's developmental disability as per the Lanterman Act.

- The need for the services and/or supports is related to the person's developmental delay(s) or established risk conditions as per the California Early Intervention Services Act (California Government Code, Title 14, California Early Intervention Services Act, Chapter 4, Eligibility, Section 95014(a)(1), (2), (3)).
- Authorization for the service and/or support has been authorized by RCOC prior to the provision of services.
- All funding sources, both generic and private, when applicable, have been sought and fully utilized.
- Circles of support and natural supports have been considered and utilized, when appropriate.
- The provider of the service and/or support is vendored with RCOC or another regional center, and funding is not otherwise precluded.
- The cost of providing services and supports of comparable quality by different providers, if available, shall be reviewed, and the least costly available provider of comparable cost, including the cost of transportation, who is able to accomplish all or part of the person's individual program plan, consistent with the particular needs of the person served and family as identified in the individual program plan, shall be selected. (WIC §4648 (a)(6)(D))

Previously authorized services and supports may be renewed or continued if the above criteria continue to be met as well as:

- There is agreement among the members of the Multidisciplinary/Planning Team that reasonable progress toward objectives has been made as a result of the service and/or support, and the need for the service/support continues to exist (WIC §-4648-(a)(7)).

RCOC will not authorize funding for any form of therapy, devices, drugs, or services which are considered by recognized professionals to be experimental or which may be potentially harmful to the person served. (WIC §-4648(a)(16); WIC §-4502(b)-(8); Title 17 §-50510 (a)(9)).

In addition to the above, RCOC staff shall refer to RCOC's Purchase of Service Guidelines when authorizing a service request on behalf of a person served. Although specific service and support categories have been identified (e.g., respite, day care and transportation), the individualized service needs of each person served cannot always be anticipated. On a case-by-case basis, exceptions may be made to RCOC's Purchase of Service Guidelines. In these circumstances, the need for the service/support shall be documented and approval for the service/support shall be time limited.

The Multidisciplinary/Planning Team process by which the person's service and support needs are identified is a collaborative process, and every effort will be made by RCOC staff

to assist the person served and family to identify alternative resources or other options for those services and supports which cannot be authorized by RCOC. Every effort will be made to resolve any differences. Should a disagreement exist regarding the provision or funding of a particular service or support based on RCOC's criteria, professional opinion, or best practice, then the person served, family, or authorized representative shall be advised by RCOC's staff of their rights to a local informal meeting, mediation, or due process/fair hearing.

GUIDING PRINCIPLES

- Persons served make decisions about all aspects of their lives.
- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- Service coordinators inform families of their rights and the services and supports available to them.
- Person-centered planning is based upon choices and preferences and the identification of generic resources and natural supports.
- Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Policy on Resource Development and Procurement**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 17, 2023, the Policies and Outcomes Committee reviewed the Policy on Resource Development and Procurement.

REASON FOR CURRENT ITEM:

The Policy on Resource Development and Procurement as it currently indicates that RCOC will award start-up funding outside of the Request for Proposal (RFP) process only if it is necessary to protect the health and/or safety of a specific individual served by RCOC and the Board votes to approve the award. The proposed language allows for RCOC to award start-up funds outside of the RFP process if use of the RFP process is not feasible under the specific circumstances and the Board votes to approve the award. After review, the Policies and Outcomes Committee recommends revisions to the Policy on Resource Development and Procurement, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Policy on Resource Development and Procurement, as presented.

XVI. POLICY ON RESOURCE DEVELOPMENT AND PROCUREMENT

BACKGROUND

The Regional Center of Orange County (RCOC) recognizes that in order to meet the needs of the individuals it serves, it may issue requests for proposals for services. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

RCOC also recognizes the importance of transparency and accountability to the community it serves. In keeping with the Lanterman Developmental Disabilities Services Act, RCOC is committed to reporting information with accuracy and transparency and maintaining compliance with the laws, rules and regulations that govern RCOC's business.

The vendorization process is governed by the California Code of Regulations, Title 17. Under Title 17, vendor applicants may qualify and be vendored outside a Request for Proposals (RFP) Process. However, RCOC will continue to use the RFP process for the development of services to meet the needs of those individuals it serves.

POLICY

The contract between the Department of Developmental Services (DDS) and RCOC requires RCOC to have a Board policy on resource development that includes all of the following:

- the circumstances under which the regional center will issue requests for proposals (RFPs) to address a service need;
- the applicable dollar thresholds for requiring the utilization of the RFP process;
- the RFP notification process; and
- how submitted proposals will be evaluated and the applicant selected.

REQUEST FOR PROPOSALS PROCESS

Issuance of Requests and Instructions for Submissions

There is no dollar threshold for requiring use of the RFP process. RCOC will issue RFPs at least once a year for new services, if any new services are needed, excluding parent-vendor services and services paid at the Schedule of Maximum Allowances, also known as Medi-Cal rates. For existing services obtained through the RFP process for which rates are negotiated, such as transportation, RCOC will issue a new RFP at least once every five (5) years for the service. In accordance with the Start-Up, Gap and Lag Funding Policy, RCOC will also issue RFPs for the award of start-up funding, unless **the**

RCOC Board makes a finding that the award is necessary to protect the health and/or safety of a specific individual served by RCOC use of the RFP process is not feasible under the specific circumstances and the RCOC Board votes to approve the award.

Although the California Code of Regulations, Title 17, section 54322(a) requires regional centers to approve vendorization within 45 days of receipt of all information which specifies that the applicant is in compliance with section 54320(a), RCOC encourages applicants to follow the RFP process.

RFP information is distributed to interested service providers and the general public via RCOC's website. An Information Conference is held after distribution of RFPs in order to answer questions and further clarify the projects.

Electronic documents are required at all stages in the process of becoming a service provider. The proposal must contain the following:

- A completed RFP Response Form,
- An Executive Summary describing the service, staff qualifications and service implementation as described in the RFP, and
- A statement on equality and diversity as required by Welfare and Institutions Code section 4648.11.

Proposal Review Process

Proposals will be reviewed by an RFP Review Committee, which shall include RCOC management as well as staff members knowledgeable about the specific services being requested. Supplemental information may be requested. Applicants may be asked to participate in an interview. Any proposal may be rejected if it is incomplete or deviates from the specifications in the RFP. RCOC reserves the right to reject any or all proposals and to cancel the RFP process at its discretion. If negotiations fail with the selected applicant, RCOC reserves the right to re-open negotiations with the next qualified applicant or to re-post the RFP.

Criteria for Evaluation of Proposals

Evaluation of the applicant's proposal will consider the following:

- The proposal provides evidence that the applicant understands the needs of individuals to be served and the issues involved in providing quality services.
- Applicant demonstrates an understanding of current "Best Practices" and has incorporated them into the design.
- Proposal outcomes are realistically attainable and can be expected to produce desired outcomes and a higher quality of life for individuals receiving services.

- The applicant's philosophy and values are consistent with RCOC's mission, vision and guiding principles.
- For services with a negotiated rate, whether the applicant is the least costly available provider who is able to meet individuals' needs.

Acceptance and Selection of Proposals

All proposals from applicants that meet the specifications, and if required, provide supplemental information and participate in an interview, will be ranked according to the criteria stated above. If the RFP is for services with negotiated rates, proposals will be opened publicly. Once awarded, all selected applicants will be required to complete and comply with the California Code of Regulations, Title 17, and RCOC vendor requirements. Information regarding requests for proposals and contract awards, including the organization or entity awarded the contract, and the amount and purpose of the award, will be posted on RCOC's website (WIC § 4629.5 and 4929.5(b)(4)).

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.
- Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.
- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023

TO: Board of Directors

FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to the Start-Up, Gap and Lag Funding Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 17, 2023, the Policies and Outcomes Committee reviewed the Start-Up, Gap and Lag Funding Policy.

REASON FOR CURRENT ITEM:

The Start-Up, Gap and Lag Funding Policy currently indicates that RCOC will award start-up funding outside of the Request for Proposal (RFP) process only if it is necessary to protect the health and/or safety of a specific individual served by RCOC and the Board votes to approve the award. The proposed language allows for RCOC to award start-up funds outside of the RFP process if use of the RFP process is not feasible under the specific circumstances and the Board votes to approve the award. After review, the Policies and Outcomes Committee recommends revisions to the Start-Up, Gap and Lag Funding Policy, as indicated in the attachment.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Start-Up, Gap and Lag Funding Policy, as presented.

VIII. START-UP, GAP AND LAG FUNDING POLICY

BACKGROUND

The Regional Center of Orange County (RCOC) is responsible for coordinating services for the individuals we serve. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring that the services and supports provided to those we serve utilize all possible sources of funding, including generic resources.

POLICY

Start-Up

The contract between RCOC and the Department of Developmental Services (DDS) requires regional centers to have a start-up policy approved by the Board of Directors. The RCOC/DDS contract states that the policy must ensure that the use of purchase of service funds is:

1. “Necessary for establishing a new or additional program, project or resource for providing services and support to consumers.
2. Of direct benefit to consumer.
3. Supported by contracts with sufficient detail and measurable performance expectations and results.
4. Not used for routine maintenance of a provider’s plant or facility or for the purchase, lease or maintenance of a provider’s vehicle.
5. Not used for construction, renovation, alteration, improvement, or repair of real property that is not of direct medical or remedial benefit to the consumer.”

RCOC recognizes that, under certain circumstances, it may be in the best interest of individuals served by RCOC to provide start-up funds. RCOC is under no obligation to provide start-up funds and the developers of new or additional programs should include these costs as part of their business plans.

If RCOC provides start-up funds, recipients will be selected through the Request for Proposal (RFP) process, as set forth in the Policy on Resource Development and Procurement. Start-up funds may be awarded outside the RFP process only if use of the RFP process is not feasible under the specific circumstances the RCOC Board makes a finding that the award is necessary to protect the health and/or safety of a specific individual served by RCOC and the RCOC Board votes to approve the award. All start-up funding agreements will include a provision for fair and equitable recoupment of start-up funds should the fund recipient cease to provide services before a specified period of time.

Gap and Lag Funding

RCOC recognizes that, under certain circumstances, it may be in the best interest of individuals served by RCOC for RCOC to fund the period between licensing and Medi-Cal certification, which is known as Gap, for Department of Health licensed facilities, e.g., Intermediate Care Facility – Developmentally Disabled, Habilitative (ICF-DD/H). RCOC is under no obligation to fund Gap and the developer of a Health licensed facility should include these costs as part of its business plan.

RCOC does not fund the period between Medi-Cal certification and receipt of Medi-Cal payments which is known as Lag.

GUIDING PRINCIPLES

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served and their families have knowledge of their healthcare needs, access to qualified medical communities, and support necessary to utilize recommended health services that prevent illness, promote wellness, and are sensitive to their unique needs.
- RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.
- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023
TO: Board of Directors
FROM: Clifford Amsden, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Policy on Board Membership and Application Process**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 17, 2023, the Policies and Outcomes Committee reviewed the Policy on Board Membership and Application Process.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Board Membership and Application Process.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XII. POLICY ON BOARD MEMBERSHIP AND APPLICATION PROCESS

A. Membership.

The composition of the Board shall comply with the provisions of the Lanterman Developmental Disabilities Services Act (Lanterman Act). For example, as cited in Welfare and Institutions Code § 4622:

- a) *The governing board shall be composed of individuals with demonstrated interest in, or knowledge of, developmental disabilities.*
- b) *The membership of the governing board shall include persons with legal, management, public relations, and developmental disability program skills.*
- c) *The membership of the governing board shall include representatives of the various categories of disability to be served by the regional center.*
- d) *The governing board shall reflect the geographic and ethnic characteristics of the area to be served by the regional center.*
- e) *A minimum of 50 percent of the members of the governing board shall be persons with developmental disabilities or their parents or legal guardians. No less than 25 percent of the members of the governing board shall be persons with developmental disabilities.*
- f) *Members of the governing board shall not be permitted to serve more than seven years within each eight-year period.*
- g)
 - (1) *The regional center shall provide necessary training and support to these board members to facilitate their understanding and participation, including issues relating to linguistic and cultural competency.*
 - (2) *As part of its monitoring responsibility, the department shall review and approve the method by which training and support are provided to board members to ensure maximum understanding and participation by board members.*
 - (3) *Each regional center shall post on its Internet Web site information regarding the training and support provided to board members.*
- h) *The governing board may appoint a consumers' advisory committee composed of persons with developmental disabilities representing the various categories of disability served by the regional center.*
- i) *The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated*

functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.

In order to achieve balance and diversity, and comply with the mandates of the Lanterman Act (WIC § 4622), the RCOC Bylaws state that the Board shall consist of no more than nineteen (19) members and it is very desirable that the Board consist of no less than eight (8) members. In addition to the provisions of the Lanterman Act, the Board may also consider for membership persons whose skills include financial, education, community service, public health, and others as appropriate to the needs of its constituencies.

By August 15th of each year, Regional Center of Orange County's (RCOC) governing board shall submit to the Department of Developmental Services (DDS) detailed documentation, as determined by the department, demonstrating that the composition of the board is in compliance with Section 4622 (WIC § 4622.5).

B. Application Process for New Member.

1. The Chairperson of the Board shall appoint a Board Recruitment and Training Committee and shall appoint the Chairperson of such committee at the beginning of each fiscal year. The immediate past Chairperson, if still serving, shall serve as a member of the committee, as well as other members of the Board as appointed by the Chairperson.
2. Applications shall be available from the Executive Office and on the RCOC Web site. An application shall be provided to anyone upon request. A copy of this Policy on Board Membership and Application Process shall be given to each person with the application and will be available on the RCOC Web site.
3. Applications shall be submitted to the Executive Office and within five (5) business days of receipt of an application, a written acknowledgement will be mailed to the applicant stating that the application has been received.
4. The Board Recruitment and Training Committee shall meet at least quarterly to review the applications received for Board membership. As appropriate, one or more of the members of the Board Recruitment and Training Committee will schedule an interview with applicants after reviewing their applications. Other members of the Board as well as Executive Management Staff may be invited to participate in the interview process.
5. If, upon consideration of the application, a majority of the Board Recruitment and Training Committee recommends approval of the application, said recommendation shall be forwarded to the Executive Committee for approval. If the Executive Committee recommends approval of the application, it will be sent to the Board for its consideration and action at an upcoming Board meeting. The applicant shall be sent notice in writing by the Executive Office of the Board Recruitment and Training

and Executive Committees' recommendations and invited to attend the next scheduled Board of Directors meeting. If the application is approved by the Board, the applicant's Board term will begin on the date established by the Board when the member is elected.

6. If, upon consideration of the application, a majority of the Board Recruitment and Training Committee does not recommend approval of the application by the Board, the applicant shall be given notice in writing by the Executive Office of said action within five (5) business days of the decision of the Board Recruitment and Training Committee.

C. Exclusions.

1. As Board vacancies occur, the composition specified above shall be achieved by recruitment and/or consideration of new applications of persons who are not Board members.
2. If the composition of the Board is in jeopardy of being out of compliance with the provisions of Lanterman Act, if Board membership falls below the minimum of eight (8) members, or if the Board has identified a missing, but highly desirable skill set in its composition, the Board Recruitment and Training Committee shall convene immediately and will consider the current applications to fill any vacant positions.
3. This Policy shall not apply to a Board member whose term of office expires. When a Board member is eligible for and desires to be considered for Board approval for a new term of office, the Executive Committee will make a decision regarding whether or not the Board member will be recommended for a new term of office and will discuss its decision with the Board member prior to expiration of his or her term of office.
4. This Policy shall not apply to the Board member representing the Vendor Advisory Committee. As per the Lanterman Act (WIC § 4622), the Vendor Advisory Committee shall designate one of its members to serve as a member of the regional center board.

GUIDING PRINCIPLES

- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interest of the Orange County community it serves.

**Regional Center of Orange County
Vendor Advisory Committee
June 13, 2023
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, present
Co-Chair, Ryan Perez, present

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Crystal Rodriguez, present
Co-Chair, Member Pending

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, absent

Community Care Facilities

Chair, Member Pending
Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, absent
Co-Chair, Pam Alexander, present

Habilitation

Chair, Marina Margaryan, absent
Co-Chair, Jodean Hudson, absent

Independent/Supported Living

Chair, Christine Molina, absent
Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, present (*joined at 2:10 p.m. and left at 2:22 p.m.*)
Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, absent
Co-Chair, Alex Saldana, absent

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, absent
Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Information Technology

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Liliana Castillo, Accounting Manager
Arturo Cazares, Director of Community Services
Bonnie Ivers, Clinical Director (*joined at 2:12 p.m.*)
Jennifer Montanez, Director of Case Management
Christina Petteruto, General Counsel
Jack Stanton, Associate Director of Housing
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:06 p.m.

I. RCOC Update

Mr. Larry Landauer gave his report, which included the following highlights:

- *DSP Workforce Data Collection.* Mr. Larry Landauer reported that the Department of Developmental Services' (DDS') 2022 Direct Support Professional (DSP) Workforce Data Collection survey has been available since May 1, 2023. Mr. Landauer encouraged providers to complete the survey as it is vital information for the system. Providers who complete the survey will receive an incentive payment of \$8,000.
- *California Budget.* Mr. Landauer reported that the May Revision includes \$14.1 billion total funds with \$8.5 billion for the General Fund for fiscal year 2023 -2024; a net increase of \$1.8 billion over the previous year. In addition to caseload, the proposed funding supports the Coordinated Family Support Services, Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training and Lanterman Act Provisional Eligibility ages 0 through 4. Mr. Landauer stated that the Legislative Analyst's Office (LAO) 2023-24 Budget Outlook, reports that it is very unlikely that the State will be able to afford the May Revision spending levels.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2022-23.* Mr. Landauer reported that there is a projected surplus statewide.

II. VAC Chair and Co-Chair Elections

The Committee reelected Mr. Rick Perez as VAC Chairperson and Ms. Junie Lazo-Pearson as Co-Chair for a one-year term commencing July 1, 2023 and ending June 30, 2024.

III. Board Report

Mr. Perez reported that the Board of Directors held a meeting on June 1, 2023.

IV. Peer Advisory Committee (PAC) Report

RCOC's Peer Advocate was not present and no report was provided.

V. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that the First Transit/Transdev OC ACCESS driver's strike ended on May 22, 2023. On time performance for the service has shown measured improvement in June 2023, as there has been an increase in driver applicants due to increases in wages and added recognition of previous driving experience in the recently approved driver contract.

Ms. Mungia also reported that OCTA, in partnership with First Transit/TransDev, will be performing outreach to several facilities throughout the next few months to discuss individual challenges and potential service improvements. If any facilities or programs would like to set up a meeting, please contact Ms. Mungia at mmungia@octa.net.

VI. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (present)

B. Adult Day Programs – Rick Perez (present)

Mr. Ryan Perez, Adult Behavior Management subcommittee Co-Chair, reported that the two subcommittees met today and discussed the referrals process and staffing concerns.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Crystal Rodriguez (present)

Ms. Crystal Rodriguez reported that the subcommittee will be providing an overview on AFHA/FFA services to RCOC's Service Coordinators.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met and discussed rate increases and staffing challenges. The subcommittee also discussed expanding their recruitment efforts to local colleges and universities.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (absent)

In Ms. Junie Lazo-Pearson’s absence, Ms. Pam Alexander reported that the subcommittee met and discussed the impact of late cancelations, changes in the upcoming DDS fiscal year budget and concerns as to why early start was not included to participate in this years’ DSP Workforce Data Collection survey. The subcommittee also discussed cross training with RCOC’s clinical staff to create awareness and ensure accurateness to both processes.

G. Habilitation – Marina Margaryan (absent)

In Ms. Marina Margaryan’s absence, Mr. Arturo Cazares reported that the subcommittee did not meet.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (absent)

In Ms. Christine Molina’s absence, Ms. Ana Sandoval reported that the subcommittee met and elected her to continue as the subcommittee Co-Chair. The subcommittee also discussed the upcoming DSP Workforce Data Collection survey.

I. Intermediate Care Facilities (ICF) – Rich Mraule (present)

Mr. Stanton reported that Mr. Rich Mraule had to leave the meeting early but that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (absent)

In Mr. Andrew Velasco’s absence, Mr. Stanton reported that the subcommittee did not meet.

VII. Community Forum

There were no speakers for community forum.

VIII. Adjournment

Mr. Perez adjourned the meeting at 2:29 p.m.

The next VAC meeting is scheduled for July 11, 2023.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Vendor Advisory Committee
July 11, 2023
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, present
Co-Chair, Ryan Perez, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, Member Pending

Adult Family Home/Foster Family Agency

Chair, Crystal Rodriguez, present
Co-Chair, Member Pending

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, Member Pending
Co-Chair, Member Pending

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, absent

Habilitation

Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, present

Independent/Supported Living

Chair, Christine Molina, absent
Co-Chair, Member Pending

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, Member Pending

Support Services/Allied Health

Chair, Andrew Velasco, present
Co-Chair, Alex Saldana, present (*joined at 2:07 p.m.*)

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

Board Member Present:

Bruce Hall

RCOC Staff Present:

Larry Landauer, Executive Director

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Bette Baber, Chief Financial Officer
Jerrod Bonner, Director of Information Technology
Liliana Castillo, Accounting Manager
Arturo Cazares, Director of Community Services
Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Christina Petteruto, General Counsel
Marta Vasquez, Director of Finance
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:01 p.m.

I. RCOC Update

Mr. Larry Landauer reported that there is a projected surplus statewide.

A. Vendor Update

Ms. Marta Vasquez reported that a meeting was held with the Department of Developmental Disabilities (DDS) regarding the rate models and the new day programs requirements, which should be finalized by end of summer 2023.

Ms. Vasquez also reported that final notices for independent audits or independent reviews including March 2022 were mailed on June 29, 2023. Vendors who have not submitted their reports will be placed on Do Not Refer status until the required independent audit/review is received. Vendors who submit an independent audit/review with no issues, may request a two-year exemption from RCOC.

II. Board Report

Mr. Perez reported that the Board of Directors will hold a training on July 13, 2023.

III. Peer Advisory Committee (PAC) Report

RCOC's Peer Advocate was not present and no report was provided.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that OCTA's *Rider's Guide for OC ACCESS* is now available for distribution to persons served and vendors. An electronic copy of the guide will be sent to all Committee members after the meeting.

V. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (present)

B. Adult Day Programs – Rick Perez (present)

Ms. Atrem Behmanesh reported that the two subcommittees met today and discussed the Rate Model vendor rate changes from daily to hourly and staffing concerns with regard to the Person Centered Training (PCT) for vendors.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Crystal Rodriguez (present)

Ms. Crystal Rodriguez reported that the subcommittee met and will present an overview of AFHA/FFA services to RCOOC's Central office Service Coordinators in August 2023.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee did not meet.

E. Community Care Facilities (CCF) – Member Pending

Mr. Perez reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee is scheduled to meet on July 15, 2023.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee met and discussed staffing challenges. Ms. Margaryan reported that although hiring efforts have improved, it is still difficult to hire qualified staff in south Orange County. The subcommittee also discussed the Quality Incentive Program payment.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (absent)

In Ms. Christine Molina's absence, Ms. Ana Sandoval reported that the subcommittee did not meet.

Vendor Advisory Committee Minutes
July 11, 2023

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule's absence, Mr. Arturo Cazares reported that the subcommittee did not meet.

J. Support Services/Allied Health – Andrew Velasco (absent)

Mr. Andrew Velasco reported that the subcommittee did not meet.

VI. Community Forum

There were no speakers for community forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:14 p.m.

The next VAC meeting is scheduled for September 12, 2023.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY
 BOARD OF DIRECTORS
AGENDA ITEM DETAIL SHEET

DATE: September 7, 2023
 TO: Board of Directors
 FROM: Rick Perez
 Chair, Vendor Advisory Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Vendor Advisory Committee Member**

BACKGROUND:

RCOC’s Board of Directors appoints the members of the Vendor Advisory Committee, pursuant to Welfare and Institutions Code §4622(i), which states:

“The governing board shall appoint an advisory committee composed of a wide variety of persons representing the various categories of providers from which the regional center purchases client services. The advisory committee shall provide advice, guidance, recommendations, and technical assistance to the regional center board in order to assist the regional center in carrying out its mandated functions. The advisory committee shall designate one of its members to serve as a member of the regional center board.”

REASON FOR CURRENT ITEM:

The following subcommittee of RCOC’s Vendor Advisory Committee has met and submitted their nomination for committee membership (see attachment). Terms of membership are for two years as follows:

Membership Category	Term of Membership
Independent/Supported Living (IL/SL) Ana Sandoval, Co-Chair	September 7, 2023 to May 31, 2025

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the Vendor Advisory Committee member as presented.

Attachment for Agenda Item III.I.1.

VENDOR ADVISORY COMMITTEE

NOMINATION FOR MEMBERSHIP

INDEPENDENT / SUPPORTED LIVING (IL/SL)	
Ana Sandoval, Co-Chair Living Independent Fair and Equal (LIFE)	Ms. Sandoval is currently serving as the Accounting Manager at LIFE, and has held many positions within the agency; she started when she was 18 years old. She is also a part of the family legacy of LIFE, and much like her siblings, was raised caring for other family members diagnosed with Intellectual Disabilities. She is motivated on a daily basis by seeing the growth and accomplishments in the individuals they are serving, as well as the dedication of their staff of care providers who work tirelessly to ensure that needs are met and goals are achieved within the community.

**Regional Center of Orange County
Peer Advisory Committee
June 21, 2023
Videoconference Minutes**

Committee Members Present: Sylvia Delgado, Chairperson
Kerri Adamic
Stephen Gersten
Peter Kuo (*joined at 3:08 p.m.*)
Fernando Peña

Committee Members Absent: Marcell Bassett
Cheryl Day
Amy Jessee
Yvonne Klutz

RCOC Staff Members Present: Larry Landauer, Executive Director
Jennifer Montanez, Director of Case Management
Kaitlynn Truong, Family Resources Cultural Specialist

Guests: Michelle Wild, RSCR, California

Ms. Sylvia Delgado called the meeting to order at 3:04 p.m.

I. Welcome and Introductions

Ms. Delgado welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Ms. Delgado reported that the CalABLE card is now available in braille for customers who are blind or visually impaired.

Ms. Delgado also reported that the Community Action Partnership of Orange County may be able to assist low-income households through their water assistance program. Orange County residents may be eligible for one-time assistance of up to \$2,000. For more information, call 714-839-6199 or email at LIHWAP@capoc.org.

III. Comfort Connection Family Resources Center (FRC) Community Summer Events

Ms. Kaitlynn Truong, RCOC's Cultural Specialist, shared RCOC's and FRC's Recreation Resource Guide. The resource guide provides information for persons served and their families to access community social and recreational programs such as YMCA's Inclusion Program, Work Art Explorations, Autism on the Sea and Down for Dance.

IV. Sylvia Delgado's PAC Chair Term Ends July 2023

Ms. Delgado reported that her term as PAC Chair ends July 31, 2023. Ms. Yvonne Kluttz, has been appointed the new PAC Chair effective August 1, 2023. Mr. Landauer thanked Ms. Delgado for her many years of service to RCOC's Board of Directors' and as Chair to this Committee. Ms. Delgado stated that she will continue to attend committee meetings as a PAC member.

V. Community Forum

No community members were present at the meeting.

VI. Next Scheduled Meeting

The next PAC meeting is scheduled for September 20, 2023.

Ms. Delgado adjourned the meeting at 3:30 p.m.

Recorder: Sandra Lomeli

**Regional Center of Orange County
Legislative and Community Awareness Committee
July 11, 2023
Videoconference Minutes**

Committee Members Present: Liza Krassner, Chairperson
Bruce Hall
Sandy Martin (*joined at 5:15 p.m.*)
Chinh Nguyen
Hilda Mendez (*joined at 5:03 p.m.*)
John “Chip” Wright

Committee Member Absent: Meena Chockalingam

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrold Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Kathleen McFarlin, Family Support and Community Outreach Manager
Jennifer Montanez, Director of Case Management
Kaitlynn Truong, Cultural Specialist (*joined at 5:03 p.m.*)
Marta Vasquez, Director of Finance

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Ms. Liza Krassner called the meeting to order at 5:01 p.m. and welcomed Mr. Bruce Hall as the newest member to the Committee.

I. Public Relations

A. News Media Outreach

Ms. Linda Blankenship reported that media coverage included coverage of the Spotlight Awards by KCAL 9/CBS 2 News, the Orange County Register and the Huntington Beach News. Other news releases included a *Dialogue* article welcoming two new Board members and the release of RCOC’s recreational resource guide. The guide was also posted on the Huntington Beach News website and on RCOC’s website.

B. Dialogue

Ms. Blankenship reported that the summer issue of the *Dialogue* will focus on the 2023 Spotlight Award honorees, the 2023-24 state budget, everyday wellness and tips for back-to-school.

C. Website Update

Ms. Anh Nguyen, RCOC's Consultant, reported that the redesign of RCOC's website is in its final stages and should launch in the fall of 2023.

D. Social Media

Ms. Nguyen shared that RCOC's social media platforms continue to feature information on events, public meetings and trainings.

II. Legislative Outreach

A. ARCA Update

Ms. Krassner reported that ARCA's Board of Directors met on June 16, 2023, and discussed the managing of not-for-profit governing boards as it relates to Assembly Bill (AB) 1147, Disability Equity and Accountability Act of 2023. Additionally, ARCA's Board of Directors' held their annual elections.

B. Budget Update and Delegation Relationships

Mr. Landauer reported that California Assembly Bill (AB) 1147, Disability Equity and Accountability Act of 2023, passed and most components of it are being added through Trailer Bill Language. Mr. Landauer reported that this bill does not provide any solution to the issues of workforce shortages and rate inadequacies.

III. Community Outreach

A. Disparity-Focused Activities

Ms. Kathleen McFarlin, RCOC's Family Support and Community Outreach Manager, reported that RCOC used funding allocated for Language Access and Cultural Competency (LACC) to survey Spanish, Vietnamese and Korean-speaking families. The goal is to obtain information from families on whether their experience accessing services were similar to those of native English speakers, and any barriers they may have encountered in obtaining services. Surveys were sent via email and through regular mail services. Survey responses were due June 23, 2023 and were submitted directly to the Thompson Policy Institute (TPI) at Chapman University. The surveys will be analyzed and a detailed report will be provided to RCOC.

Ms. McFarlin also reported that in-person developmental screenings continue in under-served communities.

Dr. Bonnie Ivers, RCOC's Clinical Director, reported that RCOC's internal Diversity and Inclusion Task Force continues to meet monthly. The Task Force is looking at ways to communicate better with families. Some of the ideas include providing families with a service listing and creating informational videos for families to access as a resource on RCOC's website.

B. Backpack Giveaway

Ms. Blankenship reported that RCOC will host its annual back-to-school backpack and school supplies giveaway on July 14, 2023. Donations for the giveaway were provided by Aveanna Healthcare and BPSOS Center for Community advancement.

C. Direct Support Profession (DSP) Recognition Week

Ms. Blankenship reported that there was so much positive feedback from last year's DSP Recognition Week that RCOC plans to recognize direct support professionals again this year. Nominations will take place in August and the seven nominated direct care individuals will be recognized during DSP Recognition week, September 10-16, 2023.

D. MainPlace Mall Outreach/Training

Dr. Ivers reported that a one-hour awareness training on interacting with people with developmental disabilities will be held for staff members at the MainPlace Mall in Santa Ana. A RCOC vendor, Dr. Junie Lazo-Pearson, Chief Clinical Officer, Advanced Behavioral Health, will lead the awareness training.

E. Developmental Services Careers/Vendor Support

Dr. Ivers reported that she and Mr. Arturo Cazares, RCOC's Director of Community Services, will be hosting a vendor focus group on August 16, 2023, to gather ideas from services providers as to how expand outreach efforts.

IV. Community Forum

No community members were present at the meeting.

Ms. Krassner adjourned the meeting at 6:01 p.m.

Recorder: Sandra Lomeli