



**REGIONAL CENTER OF ORANGE COUNTY  
BOARD OF DIRECTORS' MEETING  
AGENDA**

**Date: Thursday, September 3, 2026**

**Time: 6:00 p.m.**

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

|             |                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-------------|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>I.</b>   | <b>Closed Session (Board Members Only)</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|             | A.                                         | W&I Code §4663 and §4664<br>Greg Simonian, Esq.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|             |                                            | 1. Pending Litigation<br>Welfare & Institutions Code Sections 4663(a)(5) and 4664(a)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>II.</b>  | <b>Recess</b>                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>III.</b> | <b>General Session</b>                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|             | A.                                         | Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement<br>Sandy Martin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|             | B.                                         | Community Forum for Agenda Items Only***<br>Sandy Martin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|             | C.                                         | Budget and Finance Committee<br>Jacqueline Nguyen                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|             | D.                                         | Consent Calendar*<br>Sandy Martin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|             |                                            | 1. Approval of Board of Directors' Minutes for June 4, 2026**<br>2. Approval of Special Board of Directors' Minutes for July 29, 2026**<br>3. Information Regarding DDS Approval of Conflict Resolution Plan for Board member Liza Krassner**<br>4. Budget and Finance Committee**<br>a. Approval of Monthly Sufficiency of Allocation Report, May 2026**<br>b. Approval of Monthly Sufficiency of Allocation Report, June 2026**<br>c. Approval of Monthly POS Expenditure Projection (PEP) Summary Report, July 2026**<br>d. Approval of Budget Amendment C-2 for Fiscal Year 2026-2027<br>e. Approval of Independent Audit Engagement Letter with Windes, Inc. for Fiscal Year Ending June 30, 2026**<br>f. Approval of Budget Amendment |
|             | E.                                         | Executive Director's Report<br>Larry Landauer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|             |                                            | 1. Recognition of Persons' Served Employment Longevity<br>2. Operations Report – May 2026**<br>3. Operations Report – June 2026**<br>4. Operations Report – July 2026**<br>5. Fiscal Year 2026-2027 Performance Contract Plan**<br>6. Strategic Plan Update: Strategic Goal #4 – Self-Determination Program<br>Crystal Chavez                                                                                                                                                                                                                                                                                                                                                                                                               |
|             | F.                                         | Executive Committee<br>Sandy Martin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|             | G.                                         | Board Recruitment and Training Committee**<br>Sandy Martin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|             | H.                                         | Policies and Outcomes Committee**<br>Chip Wright                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|             |                                            | 1. Policy on Information Dissemination to Persons Served, Families, Authorized Representatives and Other Interested Parties**<br>2. Policy on Insurance Requirements for Providers**                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

|            |    |                                                               |                |
|------------|----|---------------------------------------------------------------|----------------|
|            | I. | Vendor Advisory Committee**                                   | Rick Perez     |
|            |    | 1. Vendor Advisory Committee Member of the Board of Directors |                |
|            | J. | Peer Advisory Committee**                                     | Yvonne Kluttz  |
|            | K. | Legislative and Community Awareness Committee**               | Bruce Hall     |
|            | L. | ARCA Report                                                   | Sandy Martin   |
|            | M. | Community Forum***                                            | Sandy Martin   |
|            | N. | Chairperson's Report                                          | Sandy Martin   |
|            | O. | National Core Indicators (NCI) Annual Presentation            | Larry Landauer |
| <b>IV.</b> |    | <b>Adjournment</b>                                            | Sandy Martin   |

*\*All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

*\*\*Attachments for Board members in Board packet.*

*\*\*\*This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County  
Board of Directors' Meeting Minutes  
June 4, 2026**

**Board Members Present:**

(Members in-person, unless otherwise noted)

Sandy Martin, Chairperson  
Marcell Bassett  
Bruce Hall  
Isabel Ibarra  
Amy Jessee  
Yvonne Kluttz  
Liza Krassner  
Lorena Medina (*joined via Zoom*)  
Hilda Mendez  
Chinh Nguyen  
Chip Wright

**Board Members Absent:**

Pankaj Bedekar  
Frances Hernandez  
Jacqueline Nguyen  
Rick Perez

**Corporate Counsel Present:**

Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:02 p.m.

**I. General Session**

**A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement**

Ms. Amy Jessee led attendees in a recitation of the Pledge of Allegiance.  
Ms. Yvonne Kluttz read RCOC's Mission and Vision Statement.

**B. Community Forum for Agenda Items Only**

There were no speakers for community forum.

**C. Budget and Finance Committee**

In Ms. Jacqueline Nguyen's absence, Ms. Martin reported that the Committee approved and recommended for approval all its items on the consent calendar, with the exception of agenda item (e) Approval of New West Area Office Lease. This item was removed from the consent calendar because the lease agreement has not yet been finalized, as anticipated. Once the lease agreement is finalized, a special Board meeting may be called to consider the approval of the new lease agreement.

**D. Consent Calendar**

1. Approval of Board of Directors' Minutes for May 7, 2026
2. Budget and Finance Committee
  - a. Approval of Monthly Sufficiency of Allocation Report, April 2026
  - b. Approval of Budget Amendment B-5 for Fiscal Year 2025-26
  - c. Approval of Contract and C-1 Allocation for Fiscal Year 2026-27
  - d. Review of the Department of Developmental Services' (DDS') Audit Report for Fiscal Years Ended June 30, 2024 and June 30, 2025

***M/S/C to approve the consent calendar, as presented***

**E. Executive Director's Report**

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored four persons served who have worked for the same employer for 20 or more years with a Certificate of Recognition.

2. Approval of the Performance Contract for Fiscal Year 2026-27

***M/S/C to Approve the Performance Contract for Fiscal Year 2026-27, as presented***

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California's State Budget.* Mr. Landauer reported that the Governor's May Revision includes \$21.6 billion for FY 2026-27, a net increase of \$2.9 billion over the updated FY 2025-26 budget, a 15.5 percent increase for the Department of Developmental Services (DDS).

Mr. Landauer also reviewed DDS' 2026 May Revision Highlights, which in addition to caseload and utilization updates, includes the following:

- *Center-Based Early Intervention Services:* Update of the rate model methodology for certain early intervention services delivered outside the home.
- *Equitable and Consistent Needs Assessment:* Develop a standard intake process and clinical needs assessment tool for use by regional centers statewide, with a focus on promoting consistency and equity regardless of where someone lives.
- *Home and Community-Based Services Federal Access Rule-Resources for Regional Centers:* Address increased workload at regional centers associated with the implementation of the new Federal Access Rule grievance process.

Implementation of the new process is proposed to shift from July 2026 to February 2027.

Mr. Landauer also reviewed the Association of Regional Center Agencies (ARCA) Response to DDS' May Revision Proposed Trailer Bill Language (TBL), noting ARCA's support for the Rate Model Updates to Tailored Day Services (TDS), Family Teaching Model and Community-Based Facility Purchases. ARCA also raised concerns regarding the following TBL provisions:

- *Developmental Services State Operated Transitional and Rehabilitative Services.* ARCA supports the philosophical movement away from long-term support residential placement in restrictive settings for those with IDD. It should be noted, however, that the principal reason some individuals have not been able to transition into community-based settings is because the service models to support them do not yet exist. To achieve the desired outcomes in this proposal, the state will need to invest in both the development of additional resources tailored to meet the complex needs of individuals as well as the regional center staff necessary to support their transition back to the community.
- *Merge Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) to Align with Current Developmental Services Delivery System.* ARCA appreciates the proposal to combine these processes as the developmental services system continues to support a broader range of needs in the community. ARCA notes ahead of implementation of this policy, however, that while the Service Provider Directory is a useful tool to evaluate overall service availability, it is important to also consider population size and utilization trends rather than the raw numbers of service providers when analyzing needed resource development.
- *Equitable and Consistent Needs Assessment.* ARCA continues to analyze the implications of this proposal. While we recognize and appreciate the interest in more consistent regional center eligibility decisions and needs assessment procedures, ARCA suggests discussions on this concept and the associated TBL continue into the summer. This would give the Administration, the Legislature, and various stakeholders the opportunity to fully explore how to balance consistency with person-centeredness in needs determinations as well as the operational implications of this proposal.
- *Legislative Analyst Office (LAO).* Mr. Landauer reported that LAO released its FY 2026-27 Budget: Initial Comments on the Governor's May Revision fiscal perspective on May 18, 2026. LAO reports that while California is experiencing strong revenue growth, the state faces long term budget risks. Rising costs, economic uncertainty and reliance on temporary revenues could challenge the sustainability of the Governor's FY 2026-27 budget. To review the report, visit [www.lao.ca.gov](http://www.lao.ca.gov).

***RCOC Board of Directors' Meeting Minutes***  
***June 4, 2026***

- *Purchase of Service (POS) Expenditures for Fiscal Year 2025-26.* Mr. Landauer reported that there is a projected surplus statewide.
- *Strategic Plan.* Mr. Landauer reported that updates on Employment and Day Services will be provided once related Trailer Bill Language is finalized.

Mr. Landauer stated that he, Ms. Sandy Martin, Ms. Vanessa Pulido and Ms. Kaitlynn Truong attended the OC Children's Therapeutic Arts Center (OCCTAC) Community Partners Celebration on May 21, 2026.

Mr. Landauer also reported that RCOC continues to participate in community resource fairs and outreach events, with a focus on diversity and inclusion.

- *RCOC News.* Mr. Landauer reported that Cultural, Linguistic and Disparity efforts are ongoing. He then presented data by ethnicity for Purchase of Services (POS) day programs, personal assistance, social recreation and independent living services. Mr. Landauer noted that this data helps RCOC better support persons served and their families. Additionally, 72% of RCOC's Service Coordinators are bilingual and receive extensive ongoing training across all service areas.

Mr. Landauer announced that Mr. Ernie Cruz has accepted the position of RCOC's Director of Community Services, effective July 6, 2026.

Mr. Landauer also reported on a very serious incident regarding exposure to hazardous materials. On Thursday, May 21, 2026, the community was notified of a leaking chemical storage tank located at a manufacturing facility in Garden Grove. A mandatory evacuation order issued for the site location and surrounding area soon expanded to about 9 square miles across multiple cities. Of the approximately 50,000 people evacuated, 943 were individuals served by RCOC, including 157 adults living in residential facilities or in their own homes. Staff of the West Area office in Cypress were also ordered to evacuate, service coordinators packed up on a moment's notice and relocated to the Santa Ana office or another safe location to continue calling persons served and their families. By day's end on Friday, May 22<sup>nd</sup>, the vast majority of those 943 evacuees affected by the incident had been contacted by a service coordinator.

- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee last met on April 13, 2026. The next meeting is scheduled for June 22, 2026.

**F. Executive Committee**

Ms. Martin reported that the Committee met on May 18, 2026, and the next meeting is scheduled for June 15, 2026.

**G. Board Recruitment and Training Committee**

Ms. Martin reported that the Committee met on May 11, 2026, and reviewed the Board composition and upcoming training topics.

The next Committee meeting is scheduled for July 13, 2026.

**H. Policies and Outcomes Committee**

Mr. Chip Wright reported that the Committee met on May 18, 2026 and reviewed the Ends Policies and the Whistleblower Policy. Revisions were proposed for the Whistleblower Policy.

1. Approval of the Whistleblower Policy

***M/S/C to Approve the Whistleblower Policy as recommended***

The next Committee meeting is scheduled for July 20, 2026.

**I. Vendor Advisory Committee**

In Mr. Rick Perez's absence, Mr. Landauer reported that the next Committee meeting is scheduled for June 9, 2026.

**J. Peer Advisory Committee**

Ms. Yvonne Kluttz reported that the Committee met on May 20, 2026 and viewed a presentation on Person-Centered Planning and DDS Guides by Ms. Leah Saitz, PCT Coordinator.

The next Committee meeting is scheduled for June 17, 2026.

**K. Legislative and Community Awareness Report**

Mr. Bruce Hall reported that the next Committee meeting is scheduled for July 14, 2026.

**L. ARCA Report**

Ms. Martin reported that the ARCA Board of Directors met on March 20, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for June 26, 2026.

**M. Community Forum**

There were no speakers for community forum.

**N. Chairperson's Report**

Ms. Martin again acknowledged and congratulated the four persons served recognized tonight who have worked for the same employer for 20 or more years.

Ms. Martin also reminded Board members that the Board will meet in-person at RCOC's Santa Ana office for the Board Retreat on Saturday, July 18, 2026.

Ms. Martin reported that the next Board of Directors meeting is scheduled for September 3, 2026 at 6:00 p.m.

**O. Fiscal Year 2024-25 Performance Contract Outcomes Review**

Mr. Jack Stanton and Ms. Anita Kwon presented the Fiscal Year 2024-25 Performance Contract Outcomes Review. The presentation is available on RCOC's website in English, Spanish, Vietnamese and Korean.

**II. Adjournment**

Ms. Martin adjourned the meeting at 7:05 p.m.

---

Yvonne Kluttz, Secretary

*Recorder: Sandra Lomeli*



**Regional Center of Orange County  
Special Board of Directors' Meeting  
July 29, 2026  
Videoconference Minutes**

**Board Members Present:** Sandy Martin, Chairperson  
Bruce Hall  
Yvonne Kluttz  
Liza Krassner  
Lorena Medina  
Hilda Mendez  
Jacqueline Nguyen  
Rick Perez  
John “Chip” Wright

**Board Member Absent:** Marcell Bassett  
Pankaj Bedekar  
Frances Hernandez  
Isabel Ibarra  
Amy Jessee  
Chinh Nguyen

**Corporate Counsel Present:** Greg Simonian, Esq.

**Guests:** Chet Cramin, Musick Peeler Law Firm  
Kyle Sherburne, Society of Industrial & Office Realtors (SIOR)  
Rick Sherburne, Society of Industrial & Office Realtors (SIOR)

**I. General Session**

Ms. Sandy Martin called the meeting to order at 5:01 p.m.

**A. Approval of New West Area Office Lease Agreement**

Ms. Martin reported that the proposed relocation of the West Area Office has been under discussion for the past six months, with the existing lease set to expire in 2027. During this period, Board members have received information regarding the proposed relocation, asked questions and provided feedback for consideration. Mr. Kyle Sherburne and Mr. Rick Sherburne presented the proposed lease terms during the June 2026 Board meeting in Closed Session. However, approval of the new lease agreement was subsequently removed from the agenda because the lease had not yet been finalized. The lease agreement has now been finalized, prompting the need for a special board meeting.

The Board received a summary of the lease terms and the finalized lease agreement between RCOOC and the landlord in the Board packet for consideration and approval. Ms. Martin noted that the new lease agreement will not impact Purchase of Services (POS) funds, as the lease expense will be paid from operation funds.

Following the presentation and with no further discussion, Ms. Martin presented the new West Area Office Lease Agreement for approval.

***M/S/C to Approve the New West Area Office Lease Agreement, as presented***

**B. Community Forum**

Mr. Bruce Wilson stated that his company is seeking to become an approved RCOC vendor to provide wearable safety kits. Mr. Wilson expressed concern that the Abuse and Molestation Insurance requirement for RCOC vendors may not be relevant to the type of services his company proposes to provide. Mr. Wilson stated that obtaining the additional insurance would be costly and requested that the Board consider excluding or waiving this insurance requirement from his application.

**II. Adjournment**

Ms. Martin adjourned the meeting at 5:13 p.m.

---

Yvonne Kluttz, Secretary

*Recorder: Sandra Lomeli*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026

TO: Board of Directors

FROM: Larry Landauer  
Executive Director

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

SUBJECT: Information Regarding DDS Approval of Conflict Resolution Plan for Board member Liza Krassner

BACKGROUND:

Board member Liza Krassner's son is served by RCOC and entered the Self-Determination Program (SDP) in January 2026. He receives services from Dromen Inc., a Financial Management Service (FMS) vendor funded by RCOC. Board member Krassner is employed by Dromen Inc. as of January 2, 2026, exclusively to provide services to her son, including transportation and some support hours. These services are funded by RCOC through Dromen Inc. For this reason, Board member Krassner has a potential conflict of interest (COI) since she is an employee of a vendor and will be receiving payments for providing services to her son. RCOC developed a Conflict Resolution Plan to address any potential conflict of interest, which was approved by the Board on May 7, 2026.

REASON FOR CURRENT ITEM:

Following approval by the Board, the Conflict Resolution Plan was submitted to the State Council on Developmental Disabilities (SCDD) and the Department of Developmental Services (DDS). SCDD approved the Conflict Resolution Plan on June 16, 2026. In a letter dated July 10, 2026, DDS gave final approval of the Conflict Resolution Plan, subject to the following conditions:

1. As a member of RCOC's Board of Directors, Liza Krassner shall have no role or involvement with any matter that might affect Dromen Inc., including, but not limited to:
  - Participation in any discussions, recommendations, or decisions about contracts, service agreements, or any other fiduciary related items that may apply to Dromen Inc.
2. Liza Krassner shall fully disclose the existence and nature of the COI to the RCOC Board of Directors and have it noted in the official board records.
3. Liza Krassner shall abstain from voting on any matter pertaining to Dromen Inc. or any matter which could impact Dromen Inc. services and supports or any competitor in the same service category.

4. Liza Krassner shall not use their position as a board member of RCOC's Board of Directors to exert influence on decision-making regarding Dromen Inc. services and supports or any competitor in the same service category.
5. Given the specific set of circumstances, RCOC's Board Chairperson and other board members are responsible for having Liza Krassner comply with Welfare & Institutions Code Section 4622(k)(2).
6. RCOC's Board Chairperson and members are responsible for applying and monitoring the plan and its safeguards.

As this relates to the conduct of Board meetings, Board member Krassner is required to recuse herself from any matters involving Dromen Inc. and abstain from voting on any fiscal matters affecting the purchase of services from any regional center provider. While DDS made the Board ultimately responsible for implementation of the Conflict Resolution Plan and the additional conditions above, staff and the Board's counsel will provide reminders and support as needed to assist with compliance.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

July 10, 2026

Sandy Martin, Board Chairperson  
Larry Landauer, Executive Director  
Regional Center of Orange County  
P.O. Box 22010  
Santa Ana, CA 92702-2010

Dear Sandy Martin and Larry Landauer:

This letter is in response to Regional Center of Orange County's (RCOC) letter dated May 22, 2026, requesting a conflict of interest (COI) waiver for Liza Krassner, a RCOC board member. The Department of Developmental Services (Department) is in receipt of the COI Reporting Statement and proposed Conflict Resolution Plan (CRP) for Liza Krassner. The Department received written approval of the proposed CRP from the State Council on Developmental Disabilities on July 8, 2026, as required by Title 17 Section [54534\(a\)](#).

A potential conflict exists as Liza Krassner will be an employee with Dromen Inc., a Financial Management Services (FMS), vendored by RCOC. Liza Krassner will receive payment for transportation and support services provided to a family member through the self-determination program.

Based on the information provided, the proposed CRP is approved for Liza Krassner, effective for 12 months from the date of this letter. If, at any time, there is a change of status that creates a new COI, RCOC must have Liza Krassner prepare and file a new COI Reporting Statement, and RCOC must submit a new CRP, as appropriate, pursuant to Title 17 Regional Center COI Standards and Procedures.

This approval is based on the implementation of the safeguards identified in RCOC's May 22, 2026 letter and the RCOC Governing Board's assurance that the following conditions are met:

1. As a member of RCOC's Board of Directors, Liza Krassner shall have no role or involvement with any matter that might affect Dromen Inc., including, but not limited to:
  - Participation in any discussions, recommendations, or decisions about contracts, service agreements, or any other fiduciary related items that may apply to Dromen Inc.

2. Liza Krassner shall fully disclose the existence and nature of the COI to the RCOC Board of Directors and have it noted in the official board records.
3. Liza Krassner shall abstain from voting on any matter pertaining to Dromen Inc. or any matter which could impact Dromen Inc. services and supports or any competitor in the same service category.
4. Liza Krassner shall not use their position as a board member of RCOC's Board of Directors to exert influence on decision-making regarding Dromen Inc. services and supports or any competitor in the same service category.
5. Given the specific set of circumstances, RCOC's Board Chairperson and other board members are responsible for having Liza Krassner comply with Welfare & Institutions Code Section [4622\(k\)\(2\)](#).
6. RCOC's Board Chairperson and members are responsible for applying and monitoring the plan and its safeguards.

If you have any questions regarding this letter, please contact Monserrat Palacios, Primary Regional Center Liaison, Community Operations Branch, at (951) 381-6998 or by email at [Monserrat.Palacios@dds.ca.gov](mailto:Monserrat.Palacios@dds.ca.gov).

Sincerely,

*Original Signed by:*

TIFFANI ANDRADE  
Assistant Deputy Director  
Community Services Division

cc: Sandra Lomeli, Regional Center of Orange County  
Aaron Carruthers, State Council on Developmental Disabilities  
Michael Santiago, State Council on Developmental Disabilities  
Yaritza Sanchez, State Council on Developmental Disabilities  
Jacqueline Gaytan, Department of Developmental Services  
Jamie Van Dusen, Department of Developmental Services  
Monserrat Palacios, Department of Developmental Services

### **Conflict Resolution Plan submitted by Regional Center of Orange County**

This Conflict Resolution Plan is submitted by Regional Center of Orange County pursuant to Title 17, Section 54533 of the Regional Center Conflict of Interest Standards and Procedures. The Plan will describe the method to manage and mitigate the apparent conflict of Board Member Liza Krassner.

#### **I. Precise Nature of Present Conflict of Interest of Board Member Liza Krassner**

Liza Krassner is a Board member serving on the Regional Center of Orange County Board of Directors. Her son Mark Krassner is a person served, and he is receiving services from Dromen, Inc., a Financial Management Service (FMS) vendor funded by the Regional Center of Orange County. Board member Liza Krassner, the parent of Mark Krassner, has officially transitioned into Self-Determination Program (SDP) and is employed by Vendor Dromen, Inc., as of January 2, 2026. She will be providing services exclusively to her son, and this will include transportation services and some support hours. Ms. Krassner will be paid by Dromen, Inc. through the Regional Center of Orange County.

This would appear to be a potential conflict of interest under the Title 17 regulations, since Board member Liza Krassner will be receiving payments from the vendor through which she provides services to her son Mark. Title 17, Section 54520, provides that a conflict exists when "...a regional center governing board member ...is ... "(10) an employee" of a "provider."

In this instance Liza Krassner will be an employee of a vendor and will receive pay for her work with Dromen, Inc. The only person she will serve, with transportation and support hours, is her son, Mark, a person served.

#### **II. Proposed Mitigation and Management of Conflict**

The Title 17 Conflict of Interest Standards and Procedures contain various exceptions for board members or family members who are consumers. When the only persons involved are a parent and a consumer, the risks of a conflict are deemed to be minimal.

For example, section 54520 (b) of the conflict regulations provides that a board member or family member who works for a state or local governmental entity that provides services to consumers and who works in a position having no relation to providing those services to consumers does not have a conflict of interest.

Further, Section 54520 (c) provides that there is no conflict where a consumer receives employment services through a regional center provider and the consumer's family member is a governing board member, or where the consumer's family member holds a position with a provider providing employment services where the services of the provider are available to all eligible consumers.

These provisions demonstrate that certain situations involving consumers do not constitute a conflict. Since the entire mission of a regional center is to serve consumers, this situation seems quite similar. Ms. Krassner works for a provider and provides services only to her son. Her employer, Dromen, Inc., will of course provide services to other person's served.

In short Mr. Krassner's situation seems sufficiently similar to the exceptions in Section 54520 (b) and (c) that this should be considered as a matter where any real risk from a potential conflict is minimal.

### III. Further Mitigation Actions

As a further mitigation matter, RCOC proposes that Ms. Krassner will be required to recuse herself with regard to any matter that comes before the Board involving the vendor that employs her and under which she provides services to her son, a person served. A waiver would also appear to be appropriate, since the compensation Ms. Krassner will receive will generally be quite modest, less than \$500 per month.

### IV. Summary

This is a situation simply where a mother is assisting her son, a person served. This matter involves a person served and is similar to situations where exceptions are provided for consumers in Title 17, Sections Section 54520 (b), and (c). Further, the mitigation will require Board Member Liza Krassner to recuse herself from any matter involving the provider that employs her. For these reasons, RCOC





respectfully requests that DDS approve this Conflict Resolution Plan. RCOC will of course provide any additional facts or background that DDS may desire.

Respectfully submitted,

Date: February 19, 2026

---

Larry Landauer, Executive Director  
Regional Center of Orange County

**Regional Center of Orange County  
Budget & Finance Committee  
Meeting Minutes  
June 4, 2026**

**Committee Members Present:** Bruce Hall  
Amy Jessee  
Liza Krassner  
Sandy Martin  
John “Chip” Wright

**Other Board Members Present:** Isabel Ibarra

**Committee Members Absent:** Marcell Bassett  
Jacqueline Nguyen, Chair

**Board Counsel Present:** Greg E. Simonian

**RCOC Staff Present:** Larry Landauer, Executive Director  
Valeria de los Angeles, Accounting Supervisor  
Nancy Franco, Accounting Manager – Operations  
Linda Pham, Accounting Manager - Operations  
Julie Rodriguez Accounting Supervisor  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, HR Director

The meeting was called to order at 4:01 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), April 2026

Ms. Vasquez again reported that due to the continued increase in workload resulting from the implementation of the Rate Models, submission of the Sufficiency of Allocation Report (SOAR), typically due to the Department of Developmental Services (DDS) on December 10, 2025, was postponed until further notice.

Ms. Vasquez also reported that factors affecting expenditures in fiscal year 2025-26 include:

- Continuation costs for higher provider rates (prior year’s rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

*M/S/C to approve the monthly SOARs*

2. Approval of Budget Amendment B-5 for Fiscal Year 2025-26

Ms. Vasquez reported that DDS will allocate additional funds for Operations and the Community Placement Plan (CPP) and requested Board approval to execute the contract upon receipt.

***M/S/C to approve Budget Amendment***

3. Approval of Budget Amendment C-1 for Fiscal Year 2026-27

Ms. Vasquez reported that DDS will be issuing the C-1 allocation. RCOC must return the signed contract and submit its cash advance request to the Department of Developmental Services to prevent any disruption in cash flow.

***M/S/C to approve Budget Amendment***

4. Review of the Department of Developmental Services' (DDS') Audit Report for the Fiscal Years Ended June 30, 2024 and June 30, 2025

Ms. Vasquez reported that DDS issued the final report and reviewed the findings with the Board.

The meeting adjourned at 4:36 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

**SUBJECT: Approval of Monthly Sufficiency of Allocation Report, May 2026**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

## REGIONAL CENTER OF ORANGE COUNTY

### MEMORANDUM

Date: September 3, 2026

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – May 2026 Sufficiency of Allocation Report (SOAR)

---

#### Purchase of Services (POS)

For Fiscal Year 2025-26, regional centers were not required to submit the Sufficiency of Allocation Report (SOAR) typically due on December 10, 2025. The postponement was a result of the continued increase in workload from the full implementation of the Rate Model increases and the transition to new service codes and subcodes.

Factors that affected expenditures fiscal year 2025-26:

- Continuation costs for higher provider rates (prior years' rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

Year to date, RCOC's caseload increased by 1,492 for an annualized caseload growth of 5.4%; the regional center system increased 33,747 persons for an annualized caseload growth of 7.2%.

#### Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

**Monthly Sufficiency of Allocation Report  
As of May 31, 2026**

|                                        | A                 | B                     | C                             | D                 | E                 | F                                    | G                          | H                   |
|----------------------------------------|-------------------|-----------------------|-------------------------------|-------------------|-------------------|--------------------------------------|----------------------------|---------------------|
|                                        |                   |                       |                               | SOAR<br>PROJECTED |                   | VARIANCE                             |                            |                     |
|                                        |                   | ACTUAL                | PROJECTED                     | EXPENDITURES      | (column A-D)/A    | (column A-D)                         | CHANGE                     |                     |
| PURCHASE OF SERVICE                    | B-5<br>ALLOCATION | SPENT<br>YEAR TO DATE | EXPENDITURES<br>AT "RUN RATE" | n/a               | %<br>YEAR TO DATE | AMOUNT                               | FROM PRIOR<br>MO. REPORTED | SPENT<br>PRIOR YEAR |
| (1) Licensed Residential Care          | 264,895,529       | \$ 246,911,489        | \$ 269,357,988                | \$0               | n/a               | n/a                                  | n/a                        | \$235,482,353       |
| (2) Day Care                           | 1,950,752         | 1,189,938             | 1,994,309                     | 0                 | n/a               | n/a                                  | n/a                        | 1,384,204           |
| (3) Day Training                       | 79,532,460        | 73,366,202            | 80,035,857                    | 0                 | n/a               | n/a                                  | n/a                        | 99,482,232          |
| (4) Habilitation                       | 9,891,592         | 9,025,028             | 9,845,485                     | 0                 | n/a               | n/a                                  | n/a                        | 8,766,557           |
| (5) Transportation                     | 30,743,796        | 21,397,661            | 30,208,463                    | 0                 | n/a               | n/a                                  | n/a                        | 19,400,986          |
| (6) Respite                            | 101,679,592       | 91,821,059            | 108,024,775                   | 0                 | n/a               | n/a                                  | n/a                        | 82,978,677          |
| (7) Personal Assistance                | 87,597,520        | 77,604,032            | 86,226,702                    | 0                 | n/a               | n/a                                  | n/a                        | 71,848,009          |
| (8) Supported Living                   | 94,318,236        | 90,219,847            | 98,421,651                    | 0                 | n/a               | n/a                                  | n/a                        | 78,144,108          |
| (9) Non-medical                        | 93,004,404        | 89,488,678            | 97,624,012                    | 0                 | n/a               | n/a                                  | n/a                        | 41,587,868          |
| (10) Medical                           | 94,369,172        | 79,503,209            | 93,993,942                    | 0                 | n/a               | n/a                                  | n/a                        | 19,646,741          |
| (11) Other                             | 64,952,680        | 61,083,749            | 64,298,683                    | 0                 | n/a               | n/a                                  | n/a                        | 89,116,152          |
| (12) Early Start (Age 0-3)             | 42,163,144        | 32,803,594            | 35,785,739                    | 0                 | n/a               | n/a                                  | n/a                        | 40,117,726          |
| (13) Community Placement Plan          | 2,910,000         | 100,000               | 100,000                       | 0                 | n/a               | n/a                                  | n/a                        | 80,000              |
| (14) Purchase of Service Total         | 968,008,877       | 874,514,486           | 975,917,607                   | 0                 | 0%                | 0                                    | 0                          | 788,035,613         |
| OPERATIONS                             |                   |                       |                               |                   |                   | \$0 If all SPA receivables are paid. |                            |                     |
| (15) Operating Expense (Gross)         | 14,223,396        | 9,128,439             | 9,958,297                     | 14,223,396        | 0%                | 0                                    | 0                          | 8,936,479           |
| (16) Less Interest Income and SPA Fees | -600,000          | -2,066,182            | -2,254,016                    | -600,000          | 0%                | 0                                    | 0                          | -2,841,035          |
| (17) Operating Expense (Net)           | 13,623,396        | 7,062,257             | 7,704,281                     | 13,623,396        | 0%                | 0                                    | 0                          | 6,095,443           |
| (18) Personal Services                 | 71,394,439        | 62,398,027            | 68,070,575                    | 71,394,439        | 0%                | 0                                    | 0                          | 58,081,996          |
| (19) Family Resource Center/Services   | 295,515           | 192,002               | 209,457                       | 295,515           | 0%                | 0                                    | 0                          | 219,900             |
| (20) Operations Total                  | 85,313,350        | 69,652,287            | 75,984,313                    | 85,313,350        | 0%                | 0                                    | 0                          | 64,397,339          |
| (21) Total                             | \$1,053,322,227   | \$944,166,773         | \$1,051,901,920               | \$85,313,350      | 0%                | \$0                                  | \$0                        | \$852,432,952       |

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

\*\*\*Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.

Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added .

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF MAY 31, 2026

| ASSETS                                   | GENERAL FUND     | CUSTODIAL FUND |
|------------------------------------------|------------------|----------------|
| CURRENT ASSETS                           |                  |                |
| Petty cash                               | \$300.00         |                |
| Checking                                 | 109,481,004.67   | \$54,196.29    |
| Savings                                  | 60,659.92        |                |
| Money market                             | -                |                |
| Payroll                                  | 431,663.04       |                |
| Donations                                | 224,773.76       |                |
| Unemployment                             | 774,513.75       |                |
| Certificate of deposit                   | -                |                |
|                                          | -----            | -----          |
| Total current assets                     | 110,972,915.14   | 54,196.29      |
|                                          | -----            | -----          |
| RECEIVABLES                              |                  |                |
| State claim                              | 178,767,440.63   |                |
| Client support revenue                   | 2,566.37         | 291.00         |
| Due from State - prior years             | 6,584,692.10     |                |
| Due from ICF - ICF Supplemental Services | 4,592,881.41     |                |
|                                          | -----            | -----          |
| Total receivables                        | 189,947,580.51   | 291.00         |
|                                          | -----            | -----          |
| PREPAID ITEMS                            |                  |                |
| Deposits                                 | 343,582.86       |                |
| Prepaid expense                          | 0.00             |                |
|                                          | -----            | -----          |
| Total prepaid items                      | 343,582.86       | 0.00           |
|                                          | -----            | -----          |
| OTHER ASSETS                             |                  |                |
| Tenant improvements                      | 81,917.99        |                |
| Building acquisition                     | 63,613.98        |                |
|                                          | -----            | -----          |
| Total other assets                       | 145,531.97       | 0.00           |
|                                          | -----            | -----          |
| TOTAL ASSETS                             | \$301,409,610.48 | \$54,487.29    |
|                                          | =====            | =====          |
| LIABILITIES AND FUND BALANCES            |                  |                |
| LIABILITIES                              |                  |                |
| Accounts payable                         | \$52,529,310.34  | \$2,566.37     |
| Due to State - ICF Supplemental Services | -                |                |
| Loans payable                            | -                |                |
| Cash advance                             | 247,950,657.34   |                |
| Unemployment insurance                   | 704,869.04       |                |
|                                          | -----            | -----          |
| Total liabilities                        | 301,184,836.72   | 2,566.37       |
|                                          | -----            | -----          |
| FUND BALANCES                            |                  |                |
| General                                  | 0.00             |                |
| Donations                                | 224,773.76       |                |
| Custodial                                | 0.00             | 51,920.92      |
|                                          | -----            | -----          |
| TOTAL LIABILITIES AND FUND BALANCES      | \$301,409,610.48 | \$54,487.29    |
|                                          | =====            | =====          |

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
MAY 31, 2026

|                         |             |                            |
|-------------------------|-------------|----------------------------|
| Beginning Balance       |             | \$224,714.22               |
| Donations               | \$0.00      |                            |
| Loan Payments           | 50.00       |                            |
| Interest                | 9.54        |                            |
| Disbursements           | <u>0.00</u> |                            |
| Net Increase (Decrease) |             | <u>59.54</u>               |
| Ending Balance          |             | <u><u>\$224,773.76</u></u> |



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

**SUBJECT: Approval of Monthly Sufficiency of Allocation Report, June 2026**

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

## REGIONAL CENTER OF ORANGE COUNTY

### MEMORANDUM

Date: September 3, 2026

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – June 2026 Sufficiency of Allocation Report (SOAR)

---

#### Purchase of Services (POS)

For Fiscal Year 2025-26, regional centers were not required to submit the Sufficiency of Allocation Report (SOAR) typically due on December 10, 2025. The postponement was a result of the continued increase in workload from the full implementation of the Rate Model increases and the transition to new service codes and subcodes.

Factors that affected expenditures fiscal year 2025-26:

- Continuation costs for higher provider rates (prior years' rate increases)
- Impact of rates for providers transitioning to new service codes/subcodes as a result of the full Rate Model implementation
- Impact of the end of the held harmless period on February 28, 2026, as a result of the full Rate Model implementation
- Impact of the new minimum wage increase effective January 1, 2026
- Impact of the 572 persons who will graduate from school to regional center-funded adult day programs

Year to date, RCOC's caseload increased by 1,492 for an annualized caseload growth of 5.4%; the regional center system increased 33,747 persons for an annualized caseload growth of 7.2%.

#### Operations

RCOC will be within budget for both Operating Expenses and Personal Services.

REGIONAL CENTER OF ORANGE COUNTY

Page 1

Monthly Sufficiency of Allocation Report  
As of June 30, 2026

|                                        | A                 | B                               | C                                          | D                                        | E                   | F                                                  | G                                    | H                   |
|----------------------------------------|-------------------|---------------------------------|--------------------------------------------|------------------------------------------|---------------------|----------------------------------------------------|--------------------------------------|---------------------|
|                                        |                   |                                 |                                            | SOAR<br>PROJECTED<br>EXPENDITURES<br>n/a | (column A-D)/A<br>% | VARIANCE<br>(column A-D)<br>AMOUNT<br>YEAR TO DATE | CHANGE<br>FROM PRIOR<br>MO. REPORTED | SPENT<br>PRIOR YEAR |
| PURCHASE OF SERVICE                    | B-5<br>ALLOCATION | ACTUAL<br>SPENT<br>YEAR TO DATE | PROJECTED<br>EXPENDITURES<br>AT "RUN RATE" |                                          |                     |                                                    |                                      |                     |
| (1) Licensed Residential Care          | 264,895,529       | \$ 269,025,347                  | \$ 269,686,310                             | \$0                                      | n/a                 | n/a                                                | n/a                                  | \$235,482,353       |
| (2) Day Care                           | 1,950,752         | 1,240,338                       | 1,860,507                                  | 0                                        | n/a                 | n/a                                                | n/a                                  | 1,384,204           |
| (3) Day Training                       | 79,532,460        | 80,682,310                      | 80,682,310                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 99,482,232          |
| (4) Habilitation                       | 9,891,592         | 9,809,871                       | 9,895,905                                  | 0                                        | n/a                 | n/a                                                | n/a                                  | 8,766,557           |
| (5) Transportation                     | 30,743,796        | 23,375,565                      | 31,167,420                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 19,400,986          |
| (6) Respite                            | 101,679,592       | 97,212,022                      | 110,051,346                                | 0                                        | n/a                 | n/a                                                | n/a                                  | 82,978,677          |
| (7) Personal Assistance                | 87,597,520        | 81,311,304                      | 85,016,053                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 71,848,009          |
| (8) Supported Living                   | 94,318,236        | 98,513,320                      | 99,342,408                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 78,144,108          |
| (9) Non-medical                        | 93,004,404        | 97,990,989                      | 98,568,574                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 41,587,868          |
| (10) Medical                           | 94,369,172        | 87,619,252                      | 92,230,792                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 19,646,741          |
| (11) Other                             | 64,952,680        | 65,959,819                      | 65,959,819                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 89,116,152          |
| (12) Early Start (Age 0-3)             | 42,163,144        | 35,221,952                      | 36,753,341                                 | 0                                        | n/a                 | n/a                                                | n/a                                  | 40,117,726          |
| (13) Community Placement Plan          | 2,910,000         | 100,000                         | 100,000                                    | 0                                        | n/a                 | n/a                                                | n/a                                  | 80,000              |
| (14) Purchase of Service Total         | 968,008,877       | 948,062,089                     | 981,314,785                                | 0                                        | 0%                  | 0                                                  | 0                                    | 788,035,613         |
| OPERATIONS                             |                   |                                 |                                            |                                          |                     | \$0 If all SPA receivables are paid.               |                                      |                     |
| (15) Operating Expense (Gross)         | 14,223,396        | 9,418,692                       | 9,418,692                                  | 14,223,396                               | 0%                  | 0                                                  | 0                                    | 8,936,479           |
| (16) Less Interest Income and SPA Fees | -600,000          | -2,068,812                      | -2,068,812                                 | -600,000                                 | 0%                  | 0                                                  | 0                                    | -2,841,035          |
| (17) Operating Expense (Net)           | 13,623,396        | 7,349,880                       | 7,349,880                                  | 13,623,396                               | 0%                  | 0                                                  | 0                                    | 6,095,443           |
| (18) Personal Services                 | 71,394,439        | 67,535,068                      | 67,535,068                                 | 71,394,439                               | 0%                  | 0                                                  | 0                                    | 58,081,996          |
| (19) Family Resource Center/Services   | 295,515           | 209,916                         | 209,916                                    | 295,515                                  | 0%                  | 0                                                  | 0                                    | 219,900             |
| (20) Operations Total                  | 85,313,350        | 75,094,864                      | 75,094,864                                 | 85,313,350                               | 0%                  | 0                                                  | 0                                    | 64,397,339          |
| (21) Total                             | \$1,053,322,227   | \$1,023,156,953                 | \$1,056,409,648                            | \$85,313,350                             | 0%                  | \$0                                                | \$0                                  | \$852,432,952       |

\* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

\*\* Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

\*\*\*Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.

Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added .

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF JUNE 30, 2026

| ASSETS                                   | GENERAL FUND     | CUSTODIAL FUND |
|------------------------------------------|------------------|----------------|
| CURRENT ASSETS                           |                  |                |
| Petty cash                               | \$300.00         |                |
| Checking                                 | 111,626,463.70   | \$55,169.60    |
| Savings                                  | 21,789.16        |                |
| Money market                             | -                |                |
| Payroll                                  | 436,125.22       |                |
| Donations                                | 249,973.76       |                |
| Unemployment                             | 774,513.75       |                |
| Certificate of deposit                   | -                |                |
|                                          | -----            | -----          |
| Total current assets                     | 113,109,165.59   | 55,169.60      |
|                                          | -----            | -----          |
| RECEIVABLES                              |                  |                |
| State claim                              | 126,622,785.07   |                |
| Client support revenue                   | 657.50           | 291.00         |
| Due from State - prior years             | 5,397,558.29     |                |
| Due from ICF - ICF Supplemental Services | 3,584,763.01     |                |
|                                          | -----            | -----          |
| Total receivables                        | 135,605,763.87   | 291.00         |
|                                          | -----            | -----          |
| PREPAID ITEMS                            |                  |                |
| Deposits                                 | 343,582.86       |                |
| Prepaid expense                          | 378,631.93       |                |
|                                          | -----            | -----          |
| Total prepaid items                      | 722,214.79       | 0.00           |
|                                          | -----            | -----          |
| OTHER ASSETS                             |                  |                |
| Tenant improvements                      | 81,917.99        |                |
| Building acquisition                     | 63,613.98        |                |
|                                          | -----            | -----          |
| Total other assets                       | 145,531.97       | 0.00           |
|                                          | -----            | -----          |
| TOTAL ASSETS                             | \$249,582,676.22 | \$55,460.60    |
|                                          | =====            | =====          |
| LIABILITIES AND FUND BALANCES            |                  |                |
| LIABILITIES                              |                  |                |
| Accounts payable                         | \$677,176.08     | \$657.50       |
| Due to State - ICF Supplemental Services | -                |                |
| Loans payable                            | -                |                |
| Cash advance                             | 247,950,657.34   |                |
| Unemployment insurance                   | 704,869.04       |                |
|                                          | -----            | -----          |
| Total liabilities                        | 249,332,702.46   | 657.50         |
|                                          | -----            | -----          |
| FUND BALANCES                            |                  |                |
| General                                  | 0.00             |                |
| Donations                                | 249,973.76       |                |
| Custodial                                | 0.00             | 54,803.10      |
|                                          | -----            | -----          |
| TOTAL LIABILITIES AND FUND BALANCES      | \$249,582,676.22 | \$55,460.60    |
|                                          | =====            | =====          |

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
JUNE 30, 2026

|                   |              |
|-------------------|--------------|
| Beginning Balance | \$224,773.76 |
|-------------------|--------------|

Donations:

|                      |              |
|----------------------|--------------|
| Gregg Electric, Inc. | \$ 25,000.00 |
|----------------------|--------------|

|               |        |
|---------------|--------|
| Loan Payments | 200.00 |
|---------------|--------|

|          |      |
|----------|------|
| Interest | 0.00 |
|----------|------|

|               |             |
|---------------|-------------|
| Disbursements | <u>0.00</u> |
|---------------|-------------|

|                         |                  |
|-------------------------|------------------|
| Net Increase (Decrease) | <u>25,200.00</u> |
|-------------------------|------------------|

|                |                            |
|----------------|----------------------------|
| Ending Balance | <u><u>\$249,973.76</u></u> |
|----------------|----------------------------|

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

**SUBJECT: Approval of Monthly POS Expenditure Projection (PEP) Summary,  
July 2026**

BACKGROUND:

Staff presents the monthly POS Expenditure Projection (PEP) Summary, previously the sufficiency of allocation report (SOAR), to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly POS Expenditure Projection (PEP) Summary as presented.

## REGIONAL CENTER OF ORANGE COUNTY

### M E M O R A N D U M

Date: September 3, 2026

To: Board of Directors

From: Budget and Finance Committee

Subject: Highlights – July 2026 POS Expenditure Projection (PEP) Summary

---

#### Purchase of Services (POS)

The Department of Developmental Services (DDS) changed the title for the monthly Sufficiency of Allocation Report (SOAR) to the POS Expenditure Projection (PEP) Summary. RCOC is updating the title accordingly.

RCOC has not received the C-2 allocation, see Agenda Item III.D.2.d. RCOC staff will use the C-2 allocation to determine the sufficiency or deficiency of the allocation. It is unknown at this time when the first PEP for fiscal year 2026-27 will be due. The PEP has historically been due on December 10th of every year. The last, one-time report for fiscal year 2024-25, was due April 10, 2025.

Since April 1, 2022, the implementation of the Rate Models has resulted in rate increases for most providers. The implementation occurred in three phases. In the last phase effective January 1, 2025, eligible providers received the final 40% of difference between the rate calculated by Burns and Associates and the rate as of March 31, 2022 and a 10% quality incentive payment.

In addition, various Rate Model adjustments including minimum wage, sick leave and adjustments based on DDS Directives have resulted in rate increases for some providers. DDS continues to implement Rate Model changes via Directives.

In fiscal Year 2025-26, RCOC's caseload increased by 1,492 persons or 5.4%; the regional center system increased 33,747 or 7.2%. In July 2026, RCOC's caseload increased by 163 for an annualized caseload growth of 6.7%; the regional center system increased 2,996 persons for an annualized caseload growth of 7.1%.

#### Operations

RCOC will be within budget for both Operating Expenses and Personal Services. Expenses appear to exceed the allocation because RCOC makes annual payments for insurance and other one-time expenses.

REGIONAL CENTER OF ORANGE COUNTY

Monthly POS Expenditure Projection (PEP) Summary  
As of July 31, 2026

|                                        |             |              |               |             |                |              |              |               | COLUMN B<br>ACTUAL SPENT YEAR TO DATE<br>for Early Start and<br>the Self-Determination Program (SDP) |            |             |
|----------------------------------------|-------------|--------------|---------------|-------------|----------------|--------------|--------------|---------------|------------------------------------------------------------------------------------------------------|------------|-------------|
|                                        |             |              |               |             |                |              |              |               |                                                                                                      |            |             |
|                                        | A           | B            | C             | D           | E              | F            | G            | H             |                                                                                                      |            |             |
|                                        |             |              | POS           | POS         | VARIANCE       |              |              |               |                                                                                                      |            |             |
|                                        | C-1         | ACTUAL       | EXPENDITURE   | EXPENDITURE | (column A-D)/A | (column A-D) | CHANGE       | SPENT         |                                                                                                      |            |             |
| PURCHASE OF SERVICE (POS)              | ALLOCATION  | YEAR TO DATE | PROJECTION    | PROJECTION  | %              | AMOUNT       | FROM PRIOR   | PRIOR YEAR    | B1                                                                                                   | B2         | B3          |
|                                        |             |              | AT "RUN RATE" | n/a         | YEAR TO DATE   |              | MO. REPORTED |               | Early Start                                                                                          | SDP        | Balance     |
| (1) Licensed Residential Care          | 225,730,163 | 18,299,274   | 274,424,400   | -           | n/a            | n/a          | n/a          | 269,025,347   | -                                                                                                    | -          | 18,299,274  |
| (2) Day Care                           | 1,490,956   | 48,000       | 1,812,492     | -           | n/a            | n/a          | n/a          | 1,240,338     | -                                                                                                    | -          | 48,000      |
| (3) Day Training                       | 88,247,511  | 5,596,967    | 107,278,764   | -           | n/a            | n/a          | n/a          | 100,829,556   | 649,389                                                                                              | 3,243,578  | 1,704,000   |
| (4) Habilitation                       | 8,649,738   | 186,114      | 10,515,120    | -           | n/a            | n/a          | n/a          | 9,809,871     | -                                                                                                    | -          | 186,114     |
| (5) Transportation                     | 24,350,365  | 222,426      | 29,601,708    | -           | n/a            | n/a          | n/a          | 23,375,565    | -                                                                                                    | 71,552     | 150,874     |
| (6) Respite                            | 91,639,246  | 82,272       | 111,401,952   | -           | n/a            | n/a          | n/a          | 97,306,813    | -                                                                                                    | 82,272     | -           |
| (7) Personal Assistance                | 76,576,012  | -            | 93,090,216    | -           | n/a            | n/a          | n/a          | 81,311,751    | -                                                                                                    | -          | -           |
| (8) Supported Living                   | 90,977,885  | 8,656,772    | 110,597,964   | -           | n/a            | n/a          | n/a          | 98,513,320    | -                                                                                                    | -          | 8,656,772   |
| (9) Non-medical                        | 101,342,339 | 5,752,214    | 123,197,592   | -           | n/a            | n/a          | n/a          | 104,861,167   | -                                                                                                    | 959,180    | 4,793,034   |
| (10) Medical                           | 79,681,817  | 6,815,797    | 96,865,812    | -           | n/a            | n/a          | n/a          | 87,619,252    | -                                                                                                    | 46,761     | 6,769,036   |
| (11) Other                             | 61,680,795  | 539,365      | 74,982,732    | -           | n/a            | n/a          | n/a          | 74,069,109    | 2,527                                                                                                | 146,172    | 390,666     |
| (12) Community Placement Plan (CPP)    | -           | -            | -             | -           | n/a            | n/a          | n/a          | 100,000       | -                                                                                                    | -          | -           |
| Purchase of Service Total              | 850,366,827 | 46,199,201   | 1,033,768,752 | -           | 0%             | 0            | 0            | 948,062,089   | 651,916                                                                                              | 4,549,515  | 40,997,770  |
| OPERATIONS (OPS)                       |             |              |               |             |                |              |              |               | \$0 If all SPA receivables are paid.                                                                 |            |             |
| (13) Operating Expense (Gross)         | 11,989,171  | 3,636,992    | 43,643,900    | 11,989,171  | 0%             | 0            | 0            | 9,418,692     | COLUMN H<br>SPENT PRIOR YEAR<br>for Early Start and<br>the Self-Determination Program (SDP)          |            |             |
| (14) Less Interest Income and SPA Fees | -1,000,000  | -203,873     | -2,446,473    | -1,000,000  | 0%             | 0            | 0            | -2,068,812    |                                                                                                      |            |             |
| (15) Operating Expense (Net)           | 10,989,171  | 3,433,119    | 41,197,426    | 10,989,171  | 0%             | 0            | 0            | 7,349,880     | H1                                                                                                   | H2         | H3          |
| (16) Personal Services                 | 63,946,556  | 5,167,137    | 62,005,638    | 63,946,556  | 0%             | 0            | 0            | 67,535,068    | Early Start                                                                                          | SDP        | Balance     |
| (17) Family Resource Center/Services   | 295,515     | 9,234        | 110,805       | 295,515     | 0%             | 0            | 0            | 209,916       | 35,221,952                                                                                           | 73,649,130 | 839,191,007 |
| (18) Operations Total                  | 75,231,242  | 8,609,489    | 103,313,869   | 75,231,242  | 0%             | 0            | 0            | 75,094,864    |                                                                                                      |            |             |
| (19) Total                             | 925,598,069 | 54,808,690   | 1,137,082,621 | 75,231,242  | -              | -            | -            | 1,023,156,953 |                                                                                                      |            |             |

Notes

- State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.
- Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.
- Decrease in Day Training and Other due to Rate Reform. Day program codes 063/505/510/515 were eliminated, new codes issued in new categories.  
Increase in Non-Medical and Medical due to Rate Reform. Day Program codes 531/532/533 were added.
- Report name changed from Sufficiency of Allocation Report (SOAR) to POS Expenditure Projection (PEP) Summary.
- Early Start and Self-Determination Program funding reported separately.
- Operating Expenses appear to exceed the allocation due to annual payments for insurance and other one-time expenses.



STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES  
AS OF JULY 31, 2026

| ASSETS                                   | GENERAL FUND     | CUSTODIAL FUND |
|------------------------------------------|------------------|----------------|
| CURRENT ASSETS                           |                  |                |
| Petty cash                               | \$300.00         |                |
| Checking                                 | 169,070,999.35   | \$53,675.28    |
| Savings                                  | 155,394.40       |                |
| Money market                             | 0.00             |                |
| Payroll                                  | 411,699.33       |                |
| Donations                                | 248,044.33       |                |
| Unemployment                             | 774,283.74       |                |
| Certificate of deposit                   | 0.00             |                |
| Total current assets                     | 170,660,721.15   | 53,675.28      |
| RECEIVABLES                              |                  |                |
| State claim                              | 54,170,551.54    |                |
| Client support revenue                   | 33,941.29        | 473.75         |
| Due from State - prior years             | 49,285,712.30    |                |
| Due from ICF - ICF Supplemental Services | 4,202,827.36     |                |
| Total receivables                        | 107,693,032.49   | 473.75         |
| PREPAID ITEMS                            |                  |                |
| Deposits                                 | 651,818.91       |                |
| Prepaid expense                          | 318,561.96       |                |
| Total prepaid items                      | 970,380.87       | 0.00           |
| OTHER ASSETS                             |                  |                |
| Tenant improvements                      | 81,917.99        |                |
| Building acquisition                     | 63,613.98        |                |
| Total other assets                       | 145,531.97       | 0.00           |
| TOTAL ASSETS                             | \$279,469,666.48 | \$54,149.03    |
| LIABILITIES AND FUND BALANCES            |                  |                |
| LIABILITIES                              |                  |                |
| Accounts payable                         | \$51,485,017.12  | \$33,941.29    |
| Due to State - ICF Supplemental Services | 0.00             |                |
| Loans payable                            | 0.00             |                |
| Cash advance                             | 227,066,693.26   |                |
| Unemployment insurance                   | 669,911.77       |                |
| Total liabilities                        | 279,221,622.15   | 33,941.29      |
| FUND BALANCES                            |                  |                |
| General                                  | 0.00             |                |
| Donations                                | 248,044.33       |                |
| Custodial                                | 0.00             | 20,207.74      |
| TOTAL LIABILITIES AND FUND BALANCES      | \$279,469,666.48 | \$54,149.03    |

REGIONAL CENTER OF ORANGE COUNTY  
BRIAN'S FUND  
July 31, 2026

|                                         |                   |                            |
|-----------------------------------------|-------------------|----------------------------|
| Beginning Balance                       |                   | \$249,973.76               |
| Donations                               | \$0.00            |                            |
| Loan Payments                           | 50.00             |                            |
| Interest                                | 20.57             |                            |
| Disbursements:                          |                   |                            |
| Rent Deposit & Essential Start-Up Costs | <u>(2,000.00)</u> |                            |
| Net Increase (Decrease)                 |                   | <u>(1,929.43)</u>          |
| Ending Balance                          |                   | <u><u>\$248,044.33</u></u> |

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

**SUBJECT: Approval of Budget Amendment C-2 for Fiscal Year 2026-27**

BACKGROUND:

Periodically, budget amendments are required to distribute and reallocate funds among regional centers or to change contract language. These amendments are numbered successively, e.g., C-1, C-2, etc.

REASON FOR CURRENT ITEM:

The Department of Developmental Services is preparing the C-2 allocation numbers.

FISCAL IMPACT:

Unknown at this time.

RECOMMENDATION:

That the Board authorize the Chairperson to execute the contract upon receipt.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Jacqueline Nguyen  
Chair, Budget & Finance Committee

|                |   |
|----------------|---|
| ACTION         | X |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      |   |

**SUBJECT: Approval of Independent Audit Engagement Letter with Windes, Inc. for Fiscal Year Ending June 30, 2026**

BACKGROUND:

The Board is required to annually contract with an independent accounting firm for an audited financial statement pursuant Welfare and Institutions Code Section 4639, and Article III, section 8 of RCOC's contract with the Department of Developmental Services (DDS).

REASON FOR CURRENT ITEM:

Windes, Inc. will be conducting an independent audit of RCOC's financial statements for the fiscal year ending June 30, 2026. The engagement letter was previously signed by Board Chair, Sandy Martin, to allow time for Windes, Inc. to complete the audit within the required timeframe.

FISCAL IMPACT:

The fee for the independent audit is \$63,000.

RECOMMENDATION:

That the Board approve the independent audit engagement letter with Windes, Inc. for the fiscal year ending June 30, 2026.



July 22, 2026

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
c/o Ms. Marta Vasquez, Chief Financial Officer  
1525 North Tustin Ave.  
Santa Ana, California 92702

Dear Board Members and Ms. Vasquez:

We are pleased to confirm our understanding of the services we are to provide for Regional Center of Orange County, Inc. for the year ended June 30, 2026.

### **Audit Scope and Objectives**

We will audit the financial statements of Regional Center of Orange County, Inc. (the Regional Center), which comprise the statement of financial position as of June 30, 2026, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements (collectively, the "financial statements"). Also, the following supplementary information accompanying the financial statements will be subjected to the auditing procedures applied in our audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America (GAAS), and we will provide an opinion on it in relation to the financial statements as a whole in a report combined with our auditor's report on the financial statements.

#### **1) Schedule of expenditures of federal awards.**

The objectives of our audit are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and issue an auditor's report that includes our opinion about whether your financial statements are fairly presented, in all material respects, in conformity with accounting principles generally accepted in the United States of America, and to report on the fairness of the supplementary information referred to in the second paragraph when considered in relation to the financial statements as whole. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS and *Government Auditing Standards* will always detect a material misstatement when it exists. Misstatements, including omissions, can arise from fraud or error and are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment of a reasonable user made based on the financial statements. The objectives also include reporting on:

- Internal control over financial reporting and compliance with provisions of laws, regulations, contracts, and award agreements, noncompliance with which could have a material effect on the financial statements in accordance with *Government Auditing Standards*.
- Internal control over compliance related to major programs and an opinion (or disclaimer of opinion) on compliance with federal statutes, regulations, and the terms and conditions of federal awards that could have a direct and material effect on each major program in accordance with the Single Audit Act Amendments of 1996 and Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance).

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 2

### **Auditor's Responsibilities for the Audit of the Financial Statements and Single Audit**

We will conduct our audit in accordance with GAAS and the standards for financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; the Single Audit Act Amendments of 1996; and the provisions of the Uniform Guidance, and will include tests of accounting records, a determination of major program(s) in accordance with Uniform Guidance, and other procedures we consider necessary to enable us to express such an opinion. As part of an audit in accordance with GAAS and *Government Auditing Standards*, we exercise professional judgment and maintain professional skepticism throughout the audit.

We will evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management. We will also evaluate the overall presentation of the financial statements, including the disclosures, and determine whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation. We will plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether from (1) errors, (2) fraudulent financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the Regional Center or to acts by management or employees acting on behalf of the Regional Center. Because the determination of waste and abuse is subjective, *Government Auditing Standards* do not expect auditors to perform specific procedures to detect waste or abuse in financial audits nor do they expect auditors to provide reasonable assurance of detecting waste or abuse.

Because of the inherent limitations of an audit, combined with the inherent limitations of internal control, and because we will not perform a detailed examination of all transactions, there is an unavoidable risk that some material misstatements or noncompliance may not be detected by us, even though the audit is properly planned and performed in accordance with GAAS and *Government Auditing Standards*. In addition, an audit is not designed to detect immaterial misstatements or violations of laws or governmental regulations that do not have a direct and material effect on the financial statements or on major programs. However, we will inform the appropriate level of management of any material errors, any fraudulent financial reporting, or misappropriation of assets that come to our attention. We will also inform the appropriate level of management of any violations of laws or governmental regulations that come to our attention, unless clearly inconsequential. We will include such matters in the reports required for a Single Audit. Our responsibility as auditors is limited to the period covered by our audit and does not extend to any later periods for which we are not engaged as auditors.

Our communications with governance identifying significant risks of material misstatement as part of our audit planning will be communicated separately from this letter.

We will also conclude, based on the audit evidence obtained, whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Regional Center's ability to continue as a going concern for a reasonable period of time.

Our procedures will include tests of documentary evidence supporting the transactions recorded in the accounts and direct confirmation of receivables and certain assets and liabilities by correspondence with selected individuals, funding sources, creditors, and financial institutions. We will also request written representations from your attorneys as part of the engagement.

Our communications with governance identifying significant risks of material misstatement as part of our audit planning will be communicated separately from this letter.

We may, from time to time and depending on the circumstances, use third-party service providers within or outside of the United States in serving your account. We may share confidential information about you with these service providers but remain committed to maintaining the confidentiality and security of your information. Accordingly, we maintain internal policies, procedures, and safeguards to protect the confidentiality of your personal information. In addition, we will secure confidentiality agreements with all service providers to

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 3

maintain the confidentiality of your information and we will take reasonable precautions to determine that they have appropriate procedures in place to prevent the unauthorized release of your confidential information to others. In the event that we are unable to secure an appropriate confidentiality agreement, you will be asked to provide your consent prior to the sharing of your confidential information with the third-party service provider. Furthermore, we will remain responsible for the work provided by any such third-party service providers.

Our audit of financial statements does not relieve you of your responsibilities.

### **Audit Procedures—Internal Control**

We will obtain an understanding of the Regional Center and its environment, including internal control relevant to the audit, sufficient to identify and assess the risks of material misstatement of the financial statements, whether due to error or fraud, and to design and perform audit procedures responsive to those risks and obtain evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentation, or the override of internal control. Tests of controls may be performed to test the effectiveness of certain controls that we consider relevant to preventing and detecting errors and fraud that are material to the financial statements and to preventing and detecting misstatements resulting from illegal acts and other noncompliance matters that have a direct and material effect on the financial statements. Our tests, if performed, will be less in scope than would be necessary to render an opinion on internal control and, accordingly, no opinion will be expressed in our report on internal control issued pursuant to *Government Auditing Standards*.

As required by the Uniform Guidance, we will perform tests of controls over compliance to evaluate the effectiveness of the design and operation of controls that we consider relevant to preventing or detecting material noncompliance with compliance requirements applicable to each major federal award program. However, our tests will be less in scope than would be necessary to render an opinion on those controls and, accordingly, no opinion will be expressed in our report on internal control issued pursuant to the Uniform Guidance.

An audit is not designed to provide assurance on internal control or to identify significant deficiencies or material weaknesses. Accordingly, we will express no such opinion. However, during the audit, we will communicate to management and those charged with governance internal control related matters that are required to be communicated under AICPA professional standards, *Government Auditing Standards*, and the Uniform Guidance.

### **Audit Procedures—Compliance**

As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we will perform tests of the Regional Center's compliance with provisions of applicable laws, regulations, contracts, and agreements, including grant agreements. However, the objective of those procedures will not be to provide an opinion on overall compliance, and we will not express such an opinion in our report on compliance issued pursuant to *Government Auditing Standards*.

The Uniform Guidance requires that we also plan and perform the audit to obtain reasonable assurance about whether the auditee has complied with federal statutes, regulations, and the terms and conditions of federal awards applicable to major programs. Our procedures will consist of tests of transactions and other applicable procedures described in the *OMB Compliance Supplement* for the types of compliance requirements that could have a direct and material effect on each of the Regional Center's major programs. For federal programs that are included in the Compliance Supplement, our compliance and internal control procedures will relate to the compliance requirements that the Compliance Supplement identifies as being subject to audit. The purpose of these procedures will be to express an opinion on the Regional Center's compliance with requirements applicable to each of its major programs in our report on compliance issued pursuant to the Uniform Guidance.

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 4

### **Responsibilities of Management for the Financial Statements and Single Audit**

Our audit will be conducted on the basis that you acknowledge and understand your responsibility for (1) designing, implementing, establishing, and maintaining effective internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error, including internal controls over federal awards, and for evaluating and monitoring ongoing activities to help ensure that appropriate goals and objectives are met; (2) following laws and regulations; (3) ensuring that there is reasonable assurance that government programs are administered in compliance with compliance requirements; and (4) ensuring that management and financial information is reliable and properly reported. Management is also responsible for implementing systems designed to achieve compliance with applicable laws, regulations, contracts, and grant agreements. You are also responsible for the selection and application of accounting principles; for the preparation and fair presentation of the financial statements, schedule of expenditures of federal awards, and all accompanying information in conformity with accounting principles generally accepted in the United States of America; and for compliance with applicable laws and regulations (including federal statutes), rules, and the provisions of contracts and grant agreements (including award agreements). Your responsibilities also include identifying significant contractor relationships in which the contractor has responsibility for program compliance and for the accuracy and completeness of that information.

You are also responsible for making drafts of financial statements, schedule of expenditures of federal awards, all financial records, and related information available to us and for the accuracy and completeness of that information (including information from outside of the general and subsidiary ledgers). You are also responsible for providing us with (1) access to all information of which you are aware that is relevant to the preparation and fair presentation of the financial statements, such as records, documentation, identification of all related parties and all related-party relationships and transactions, and other matters; (2) access to personnel, accounts, books, records, supporting documentation, and other information as needed to perform an audit under the Uniform Guidance; (3) additional information that we may request for the purpose of the audit; and (4) unrestricted access to persons within the Regional Center from whom we determine it necessary to obtain audit evidence. At the conclusion of our audit, we will require certain written representations from you about the financial statements; schedule of expenditures of federal awards; federal award programs; compliance with laws, regulations, contracts, and grant agreements; and related matters.

Your responsibilities include adjusting the financial statements to correct material misstatements and confirming to us in the management representation letter that the effects of any uncorrected misstatements aggregated by us during the current engagement and pertaining to the latest period presented are immaterial, both individually and in the aggregate, to the financial statements taken as a whole.

You are responsible for the design and implementation of programs and controls to prevent and detect fraud, and for informing us about all known or suspected fraud affecting the Regional Center involving (1) management, (2) employees who have significant roles in internal control, and (3) others where the fraud could have a material effect on the financial statements. Your responsibilities include informing us of your knowledge of any allegations of fraud or suspected fraud affecting the Regional Center received in communications from employees, former employees, grantors, regulators, or others. In addition, you are responsible for identifying and ensuring that the Regional Center complies with applicable laws, regulations, contracts, agreements, and grants. You are also responsible for taking timely and appropriate steps to remedy fraud and noncompliance with provisions of laws, regulations, contracts, and grant agreements that we report. Additionally, as required by the Uniform Guidance, it is management's responsibility to evaluate and monitor noncompliance with federal statutes, regulations, and the terms and conditions of federal awards; take prompt action when instances of noncompliance are identified including noncompliance identified in audit findings; promptly follow up and take corrective action on reported audit findings; and prepare a summary schedule of prior audit findings and a separate corrective action plan. The summary schedule of prior audit findings should be available for our review prior to the start of the audit.



To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 5

You are responsible for identifying all federal awards received and understanding and complying with the compliance requirements and for the preparation of the schedule of expenditures of federal awards (including notes and noncash assistance received, and COVID-19-related concepts, such as lost revenues, if applicable) in conformity with the Uniform Guidance. You agree to include our report on the schedule of expenditures of federal awards in any document that contains, and indicates that we have reported on, the schedule of expenditures of federal awards. You also agree to include the audited financial statements with any presentation of the schedule of expenditures of federal awards that includes our report thereon. Your responsibilities include acknowledging to us in the written representation letter that (1) you are responsible for presentation of the schedule of expenditures of federal awards in accordance with the Uniform Guidance; (2) you believe the schedule of expenditures of federal awards, including its form and content, is stated fairly in accordance with the Uniform Guidance; (3) the methods of measurement or presentation have not changed from those used in the prior period (or, if they have changed, the reasons for such changes); and (4) you have disclosed to us any significant assumptions or interpretations underlying the measurement or presentation of the schedule of expenditures of federal awards.

You are also responsible for the preparation of the other supplementary information, which we have been engaged to report on, in conformity with U.S. generally accepted accounting principles. You agree to include our report on the supplementary information in any document that contains, and indicates that we have reported on, the supplementary information. You also agree to include the audited financial statements with any presentation of the supplementary information that includes our report thereon. Your responsibilities include acknowledging to us in the written representation letter that (1) you are responsible for presentation of the supplementary information in accordance with GAAP; (2) you believe the supplementary information, including its form and content, is fairly presented in accordance with GAAP; (3) the methods of measurement or presentation have not changed from those used in the prior period (or, if they have changed, the reasons for such changes); and (4) you have disclosed to us any significant assumptions or interpretations underlying the measurement or presentation of the supplementary information.

Management is responsible for establishing and maintaining a process for tracking the status of audit findings and recommendations. Management is also responsible for identifying and providing report copies of previous financial audits, attestation engagements, performance audits, or other studies related to the objectives discussed in the Audit Scope and Objectives section of this letter. This responsibility includes relaying to us corrective actions taken to address significant findings and recommendations resulting from those audits, attestation engagements, performance audits, or studies. You are also responsible for providing management's views on our current findings, conclusions, and recommendations, as well as your planned corrective actions for the report, and for the timing and format for providing that information.

You agree to notify us in advance of your intent to include our independent auditors' report and the audited financial statements within a published annual report or any other document. This allows us to perform the required procedures in accordance with professional standards and thus provide consent for the inclusion of our report in such a document. With regard to publishing the financial statements on your website, you understand that websites are a means of distributing information and, therefore, we are not required to read the information contained in those websites or to consider the consistency of other information on the website with the original document.

### **Other Services**

We will prepare the Regional Center's federal and California information returns for the year ended June 30, 2026 based on information provided by you. The tax services will be covered in a separate engagement letter.

We will also assist in preparing the financial statements, schedule of expenditures of federal awards, and related notes of the Regional Center in conformity with accounting principles generally accepted in the United States of America and the Uniform Guidance based on information provided by you. These nonaudit services

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 6

do not constitute an audit under *Government Auditing Standards* and such services will not be conducted in accordance with *Government Auditing Standards*.

We will perform the services in accordance with applicable professional standards, including the Statements on Standards for Tax Services issued by the American Institute of Certified Public Accountants. The other services are limited to the financial statements, schedule of expenditures of federal awards, related notes, and tax services previously defined. We, in our sole professional judgment, reserve the right to refuse to perform any procedure or take any action that could be construed as assuming management responsibilities. We will advise management with regard to tax positions taken in the preparation of the information return, but management must make all decisions with regard to those matters.

You agree to assume all management responsibilities for the tax services, financial statements, schedule of expenditures of federal awards, and related notes, and any other nonaudit services we provide. You will be required to acknowledge in the management representation letter the tax services provided and our assistance with preparation of the financial statements, the schedule of expenditures of federal awards, and related notes and that you have evaluated the adequacy of our services and have reviewed and approved the results of the services, the financial statements, the schedule of expenditures of federal awards, and related notes prior to their issuance and have accepted responsibility for them. Further, you agree to oversee the nonaudit services by designating an individual, preferably from senior management, with suitable skill, knowledge, or experience; evaluate the adequacy and results of those services; and accept responsibility for them.

#### **Engagement Administration, Fees, and Other**

We understand that your employees will prepare all cash, accounts receivable, and other confirmations we request and will locate any documents selected by us for testing. We will schedule the engagement based in part on deadlines, working conditions, and the availability of your key personnel. We will plan the engagement based on the assumption that your personnel will cooperate and provide assistance by performing tasks such as preparing requested schedules, retrieving supporting documents, and preparing confirmations. If, for whatever reason, your personnel are unable to provide the necessary assistance in a timely manner, it may substantially increase the work we have to do to complete the engagement within the established timeline, resulting in an increase in fees over our original fee estimate.

At the conclusion of the engagement, we will complete the appropriate sections of the Data Collection Form that summarizes our audit findings. It is management's responsibility to electronically submit the reporting package (including financial statements, schedule of expenditures of federal awards, summary schedule of prior audit findings, auditor's reports, and corrective action plan) along with the Data Collection Form to the federal audit clearinghouse. We will coordinate with you the electronic submission and certification. The Data Collection Form and the reporting package must be submitted within the earlier of 30 calendar days after receipt of the auditor's reports or nine months after the end of the audit period.

We will provide copies of our reports to the Regional Center; however, management is responsible for distribution of the reports and the financial statements. Unless restricted by law or regulation, or containing privileged and confidential information, copies of our reports are to be made available for public inspection.

The audit documentation for this engagement is the property of Windes, Inc. and constitutes confidential information. However, subject to applicable laws and regulations, audit documentation and appropriate individuals will be made available upon request and in a timely manner to designated cognizant or oversight agencies, a federal agency providing direct or indirect funding, or the U.S. Government Accountability Office for purposes of a quality review of the audit, to resolve audit findings, or to carry out oversight responsibilities. We will notify you of any such request. If requested, access to such audit documentation will be provided under the supervision of Windes, Inc. personnel. Furthermore, upon request, we may provide copies of selected audit documentation to the aforementioned parties. These parties may intend, or decide, to distribute the copies or information contained therein to others, including other governmental agencies.

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 7

The audit documentation for this engagement will be retained for a minimum of five years after the report release date or for any additional period requested by a cognizant agency, oversight agency for audit, or pass-through entity, as applicable. If we are aware that a federal awarding agency, pass-through entity, or auditee is contesting an audit finding, we will contact the party(ies) contesting the audit finding for guidance prior to destroying the audit documentation.

Tom Huey is the engagement partner and is responsible for supervising the engagement and signing the report or authorizing another individual to sign it.

We estimate that our fees for the audit and other services will be \$63,000. Fees for the tax preparation will be covered in a separate engagement letter.

The fee estimate is based on anticipated cooperation from your personnel and the assumption that unexpected circumstances will not be encountered during the engagement. If significant additional time is necessary, we will keep you informed of any problems we encounter and our fees will be adjusted accordingly. Our invoices for these fees will be rendered each month as work progresses and are payable on presentation.

Government Auditing Standards require that we provide you with a copy of our most recent external peer review report and any subsequent reports received during the contract period. Accordingly, our June 30, 2025 peer review report accompanies this letter.

Our invoices for these fees will be rendered each month as work progresses and are payable on presentation.

To ensure that Windes, Inc.'s independence is not impaired under the AICPA Code of Professional Conduct, you agree to inform the engagement partner before entering into any substantive employment discussions with any of our personnel.

It is our policy to keep records related to this engagement for seven years. Windes, Inc. does not keep any original client records, so we will return these to you on or before the completion of the services rendered for the current engagement. When records are returned to you, it is your responsibility to retain and protect your records for possible future use, including potential examination by any government or regulatory agencies.

To learn more about the information we have collected from you, please visit our website at <https://windes.com/privacy-policy> and see our Privacy Policy.

To the extent we are required to respond to a subpoena, court order or other legal process related to legal matters involving the Regional Center and/or pursuant to which records and/or information regarding the Regional Center are sought, the Regional Center hereby agrees to reimburse us for our professional fees and costs incurred as well as those charged and/or incurred by legal counsel and/or third parties on our behalf in responding to the same.

If any dispute arises between Windes and the Regional Center each of us as parties to this engagement agreement hereby agree to attempt, in good faith, to settle disputes by mediation administered by the American Arbitration Association (AAA) under its Professional Accounting and Related Services Dispute Rules. Fees charged by any mediators, arbitrators or the AAA shall be shared equally by both parties.

If a dispute arises between Windes, Inc. and the Regional Center regarding fees charged by Windes, Inc. to the Regional Center, both parties hereby agree that such fee-related disputes will only be submitted to arbitration for resolution in accordance with the Professional Accounting and Related Services Dispute Rules of the AAA. Any hearing shall be before one arbitrator in accordance with the Professional Accounting and Related Services Dispute Rules and such arbitration shall be binding and final. By agreeing to arbitration as provided herein, we both acknowledge that in the event of a dispute over fees charged by Windes, Inc., each of us is giving up the right to have the dispute decided in a court of law before a judge or jury and instead we are accepting the use of arbitration for final resolution.

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 8

## Reporting

We will issue a written reports upon completion of our Single Audit. Our report will be addressed to the Board of Directors of Regional Center of Orange County, Inc. Circumstances may arise in which our report may differ from its expected form and content based on the results of our audit. Depending on the nature of these circumstances, it may be necessary for us to modify our opinion, add a separate section, or add an emphasis-of-matter or other-matter paragraph to our auditor's report, or if necessary, withdraw from this engagement. If our opinion is other than unmodified, we will discuss the reasons with you in advance. If, for any reason, we are unable to complete the audit or are unable to form or have not formed an opinion, we may decline to express an opinion or withdraw from this engagement.

The *Government Auditing Standards* report on internal control over financial reporting and on compliance and other matters will state that (1) the purpose of the report is solely to describe the scope of testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance, and (2) the report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. The Uniform Guidance report on internal control over compliance will state that the purpose of the report on internal control over compliance is solely to describe the scope of testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Both reports will state that the report is not suitable for any other purpose.

We appreciate the opportunity to be of service to you and believe this letter accurately summarizes the significant terms of our engagement. If you have any questions, please let us know. If you agree with the terms of our engagement as described in this letter, please return a signed copy of this letter to us.

Very truly yours,

*Winder, Inc.*

## RESPONSE:

This letter correctly sets forth the understanding of  
Regional Center of Orange County, Inc.

Management Signature: Marta Vasquez

Title: CFO

Date: 7/24/26

Governance Signature: Signed by: Sandy Martin  
755004A1183A404...

Title: Board Chair

Date: 7/28/2026

To the Board of Directors and Management of  
Regional Center of Orange County, Inc.  
July 22, 2026  
Page 9



### Report on the Firm's System of Quality Control

December 19, 2025

To the Shareholders of Windes, Inc.  
and the National Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of Windes, Inc. (the firm) in effect for the year ended June 30, 2025. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants (Standards).

A summary of the nature, objectives, scope, limitations of, and the procedures performed in a System Review as described in the Standards may be found at [www.aicpa.org/prsummary](http://www.aicpa.org/prsummary). The summary also includes an explanation of how engagements identified as not performed or reported on in conformity with applicable professional standards, if any, are evaluated by a peer reviewer to determine a peer review rating.

#### Firm's Responsibility

The firm is responsible for designing and complying with a system of quality control to provide the firm with reasonable assurance of performing and reporting in conformity with the requirements of applicable professional standards in all material respects. The firm is also responsible for evaluating actions to promptly remediate engagements deemed as not performed or reported on in conformity with the requirements of applicable professional standards, when appropriate, and for remediating weaknesses in its system of quality control, if any.

#### Peer Reviewer's Responsibility

Our responsibility is to express an opinion on the design of and compliance with the firm's system of quality control based on our review.

#### Required Selections and Considerations

Engagements selected for review included engagements performed under *Government Auditing Standards* including compliance audits under the Single Audit Act; and audits of employee benefit plans.

As a part of our peer review, we considered reviews by regulatory entities as communicated by the firm, if applicable, in determining the nature and extent of our procedures.

#### Opinion

In our opinion, the system of quality control for the accounting and auditing practice of Windes, Inc. in effect for the year ended June 30, 2025, has been suitably designed and complied with to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiency(ies)* or *fail*. Windes, Inc. has received a peer review rating of *pass*.

***Erickson Krentel, LLP***

Certified Public Accountants



## Summary of Information About Persons Served - May 2026

| NUMBER OF PERSONS SERVED                                     | 28,967 | 100% |
|--------------------------------------------------------------|--------|------|
| Children - Birth to Age Three Receiving Early Start Services | 3,392  | 12%  |
| Children - Ages Three to Five Receiving Provisional Services | 460    | 2%   |
| Children - Ages Three to 17 Receiving Lanterman Services     | 10,542 | 36%  |
| Adults - Ages 18 and Older Receiving Lanterman Services      | 14,573 | 50%  |

|                                                                                          |            |
|------------------------------------------------------------------------------------------|------------|
| <b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b> | <b>285</b> |
|------------------------------------------------------------------------------------------|------------|

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

|                         |        |     |
|-------------------------|--------|-----|
| Intellectual Disability | 11,506 | 47% |
| Epilepsy                | 2,885  | 12% |
| Cerebral Palsy          | 2,513  | 10% |
| Autism                  | 13,402 | 54% |
| Fifth Category*         | 2,259  | 9%  |

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

| NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION            | 387 |
|-------------------------------------------------------------------|-----|
| Early Start / Under Age Three / 45 days to complete determination | 278 |
| Lanterman / Over Age Three / 120 days to complete determination   | 129 |
| Provisional / Up to Age Five / 90 days to complete determination  | 9   |

| NUMBER OF PERSONS DETERMINED ELIGIBLE                                                | 99 |
|--------------------------------------------------------------------------------------|----|
| Children - Birth to Age Three Eligible for Early Start Services                      | 0  |
| Children and Adults - Ages Three and Older Eligible for Lanterman Services           | 79 |
| • Number of children who received Early Start services                               | 12 |
| • Number of children who received Early Start services and had a diagnosis of autism | 8  |
| Children - Birth to Age Three Eligible for Prevention Resource and Referral Services | 0  |

| NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES | 124 |
|----------------------------------------------------------------------------------------------------|-----|
| Children - Age Three No Longer Eligible for Early Start Services                                   | 118 |
| Children - Age Three No Longer Eligible for Prevention Resource and Referral Services              | 6   |

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### MAY 2026 ACTIVITY

#### ***Mission Statement***

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2025-26

| Type and Number of Reviews     | Jul.       | Aug.       | Sept.      | Oct.      | Nov.      | Dec.       |
|--------------------------------|------------|------------|------------|-----------|-----------|------------|
| Annual Review                  | 42         | 50         | 40         | 32        | 40        | 63         |
| Unannounced                    | 86         | 92         | 73         | 46        | 50        | 74         |
| <b>Total Number of Reviews</b> | <b>128</b> | <b>142</b> | <b>113</b> | <b>78</b> | <b>90</b> | <b>137</b> |

|                                  |     |     |     |     |     |     |
|----------------------------------|-----|-----|-----|-----|-----|-----|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   | 0   |
| Technical Support                | 304 | 327 | 333 | 264 | 261 | 429 |
| Corrective Action Plans          | 3   | 8   | 5   | 11  | 6   | 2   |
| Special Incident Investigations* | 33  | 75  | 76  | 42  | 41  | 55  |

| Type and Number of Reviews     | Jan.       | Feb.       | Mar.       | Apr.      | May        | June     | Total      |
|--------------------------------|------------|------------|------------|-----------|------------|----------|------------|
| Annual Review                  | 44         | 50         | 46         | 41        | 42         |          | <b>490</b> |
| Unannounced                    | 84         | 75         | 102        | 55        | 87         |          | <b>824</b> |
| <b>Total Number of Reviews</b> | <b>128</b> | <b>125</b> | <b>148</b> | <b>96</b> | <b>129</b> | <b>0</b> | <b>941</b> |

|                                  |     |     |     |     |     |  |              |
|----------------------------------|-----|-----|-----|-----|-----|--|--------------|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   |  | <b>0</b>     |
| Technical Support                | 276 | 344 | 389 | 266 | 291 |  | <b>3,484</b> |
| Corrective Action Plans          | 3   | 3   | 1   | 5   | 1   |  | <b>48</b>    |
| Special Incident Investigations* | 73  | 61  | 55  | 40  | 27  |  | <b>578</b>   |



\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

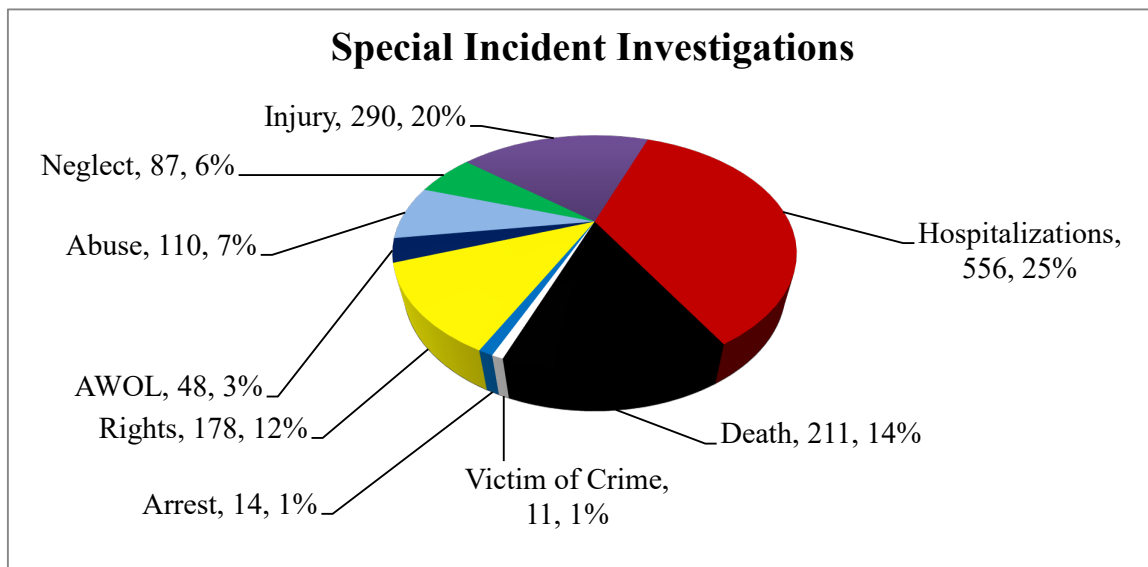
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
  - 1. Physical;
  - 2. Sexual;
  - 3. Fiduciary;
  - 4. Emotional/mental; or
  - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
  - 1. Provide medical care for physical and mental health needs;
  - 2. Prevent malnutrition or dehydration;
  - 3. Protect from health and safety hazards;
  - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
  - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
  - 1. Lacerations requiring sutures or staples;
  - 2. Puncture wounds requiring medical treatment beyond first aid;
  - 3. Fractures;
  - 4. Dislocations;
  - 5. Bites that break the skin and require medical treatment beyond first aid;
  - 6. Internal bleeding requiring medical treatment beyond first aid;
  - 7. Any medication errors;
  - 8. Medication reactions that require medical treatment beyond first aid; or
  - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
  - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
  - 2. Seizure-related;
  - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
  - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
  - 5. Diabetes, including diabetes-related complications;
  - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
  - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
  - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
  - (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2025-26**

| Type of Incident         | Jul.       | Aug.       | Sept.      | Oct.       | Nov.       | Dec.       |
|--------------------------|------------|------------|------------|------------|------------|------------|
| AWOL                     | 4          | 4          | 1          | 5          | 4          | 2          |
| Abuse                    | 3          | 9          | 9          | 10         | 15         | 7          |
| Neglect                  | 9          | 8          | 5          | 11         | 4          | 8          |
| Injury                   | 32         | 36         | 25         | 28         | 23         | 20         |
| Hospitalizations - Total | 50         | 37         | 52         | 52         | 49         | 33         |
| <i>Psychiatric</i>       | 8          | 5          | 7          | 7          | 9          | 2          |
| <i>Medical</i>           | 42         | 32         | 45         | 45         | 40         | 31         |
| Death                    | 10         | 12         | 11         | 17         | 11         | 13         |
| Victim of crime          | 0          | 0          | 1          | 0          | 1          | 1          |
| Arrest                   | 0          | 2          | 0          | 0          | 0          | 1          |
| Rights                   | 24         | 27         | 12         | 12         | 11         | 42         |
| <b>Total</b>             | <b>132</b> | <b>135</b> | <b>116</b> | <b>135</b> | <b>118</b> | <b>127</b> |

| Type of Incident         | Jan.       | Feb.       | Mar.       | Apr.       | May        | June     | Total        |
|--------------------------|------------|------------|------------|------------|------------|----------|--------------|
| AWOL                     | 2          | 5          | 4          | 13         | 4          |          | <b>48</b>    |
| Abuse                    | 8          | 14         | 15         | 10         | 10         |          | <b>110</b>   |
| Neglect                  | 8          | 12         | 11         | 7          | 4          |          | <b>87</b>    |
| Injury                   | 31         | 22         | 21         | 17         | 35         |          | <b>290</b>   |
| Hospitalizations - Total | 60         | 54         | 57         | 46         | 42         |          | <b>532</b>   |
| <i>Psychiatric</i>       | 7          | 8          | 15         | 14         | 6          |          | <b>88</b>    |
| <i>Medical</i>           | 53         | 46         | 42         | 32         | 36         |          | <b>444</b>   |
| Death                    | 44         | 16         | 14         | 14         | 49         |          | <b>211</b>   |
| Victim of Crime          | 2          | 1          | 2          | 1          | 2          |          | <b>11</b>    |
| Arrest                   | 2          | 1          | 2          | 4          | 2          |          | <b>14</b>    |
| Rights                   | 8          | 13         | 13         | 11         | 5          |          | <b>178</b>   |
| <b>Total</b>             | <b>165</b> | <b>138</b> | <b>139</b> | <b>123</b> | <b>153</b> | <b>0</b> | <b>1,481</b> |



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2025-26**

***Number of Audits / Appeals / Recoveries***

| <b>Type of Audit</b>          | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|-------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|
| Service Billing               | 0           | 0           | 0            | 0           | 1           | 1           |
| Staffing                      | 0           | 0           | 0            | 0           | 1           | 0           |
| Level 4I Consultant           | 0           | 0           | 0            | 0           | 0           | 1           |
| P&I (consumer funds)          | 0           | 0           | 0            | 0           | 0           | 0           |
| <b>Total Number of Audits</b> | <b>0</b>    | <b>0</b>    | <b>0</b>     | <b>0</b>    | <b>2</b>    | <b>2</b>    |

***Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)***

|              |   |   |   |   |   |   |
|--------------|---|---|---|---|---|---|
| State Appeal | 0 | 0 | 0 | 0 | 0 | 0 |
| Recovery     | 0 | 0 | 0 | 0 | 2 | 1 |

***Audit Findings (Dollar Amount)***

|                           |        |        |        |        |             |          |
|---------------------------|--------|--------|--------|--------|-------------|----------|
| <i>Amount of Recovery</i> | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$75,083.88 | \$594.40 |
|---------------------------|--------|--------|--------|--------|-------------|----------|

| <b>Type of Audit</b>          | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> | <b>Total</b> |
|-------------------------------|-------------|-------------|-------------|-------------|------------|-------------|--------------|
| Service Billing               | 1           | 0           | 1           | 1           | 1          |             | <b>6</b>     |
| Staffing                      | 1           | 0           | 0           | 3           | 1          |             | <b>0</b>     |
| Level 4I Consultant           |             | 0           | 0           | 0           |            |             | <b>0</b>     |
| P&I (consumer funds)          |             | 0           | 3           | 2           |            |             | <b>0</b>     |
| <b>Total Number of Audits</b> | <b>2</b>    | <b>0</b>    | <b>4</b>    | <b>6</b>    | <b>2</b>   |             | <b>18</b>    |

***Number of Appeals / Recoveries***

|              |   |   |   |   |   |  |           |
|--------------|---|---|---|---|---|--|-----------|
| State Appeal |   | 0 | 0 | 4 |   |  | <b>4</b>  |
| Recovery     | 2 | 0 | 4 | 6 | 2 |  | <b>17</b> |

***Audit Findings (Dollar Amount)***

|                           |             |        |            |              |             |        |                     |
|---------------------------|-------------|--------|------------|--------------|-------------|--------|---------------------|
| <i>Amount of Recovery</i> | \$35,018.86 | \$0.00 | \$5,299.85 | \$235,020.70 | \$45,760.58 | \$0.00 | <b>\$396,778.27</b> |
|---------------------------|-------------|--------|------------|--------------|-------------|--------|---------------------|

## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2025-26*

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jul.         | Aug.         | Sept.        | Oct.         | Nov.         | Dec.              |
|-----------------------------------------------|--------------|--------------|--------------|--------------|--------------|-------------------|
| Day Care - Family Member                      | 93           | 76           | 70           | 86           | 87           | 73                |
| Diapers - Family Member                       | 3            | 2            | 1            | 3            | 3            | 1                 |
| Nursing Service - Family Member               | 55           | 42           | 51           | 50           | 41           | No Data Available |
| Respite Service - Family Member               | 697          | 725          | 729          | 719          | 713          | 737               |
| Transportation - Family Member                | 222          | 312          | 325          | 305          | 304          | 367               |
| <b>Total Number of Voucher Authorizations</b> | <b>1,070</b> | <b>1,157</b> | <b>1,176</b> | <b>1,163</b> | <b>1,148</b> | <b>1,178</b>      |

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jan.              | Feb.              | Mar.              | Apr.              | May               | June     |
|-----------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------|
| Day Care - Family Member                      | 91                | 85                | 74                | 75                | 70                |          |
| Diapers - Family Member                       | 3                 | 4                 | 3                 | 2                 | 2                 |          |
| Nursing Service - Family Member               | No Data Available | No Data Available | No Data Available | No Data Available | No Data Available |          |
| Respite Service - Family Member               | 723               | 723               | 728               | 671               | 680               |          |
| Transportation - Family Member                | 307               | 314               | 322               | 388               | 393               |          |
| <b>Total Number of Voucher Authorizations</b> | <b>1,124</b>      | <b>1,126</b>      | <b>1,127</b>      | <b>1,136</b>      | <b>1,145</b>      | <b>0</b> |

## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Notifications of Community Events and Activities

*Fiscal Year 2025-26*

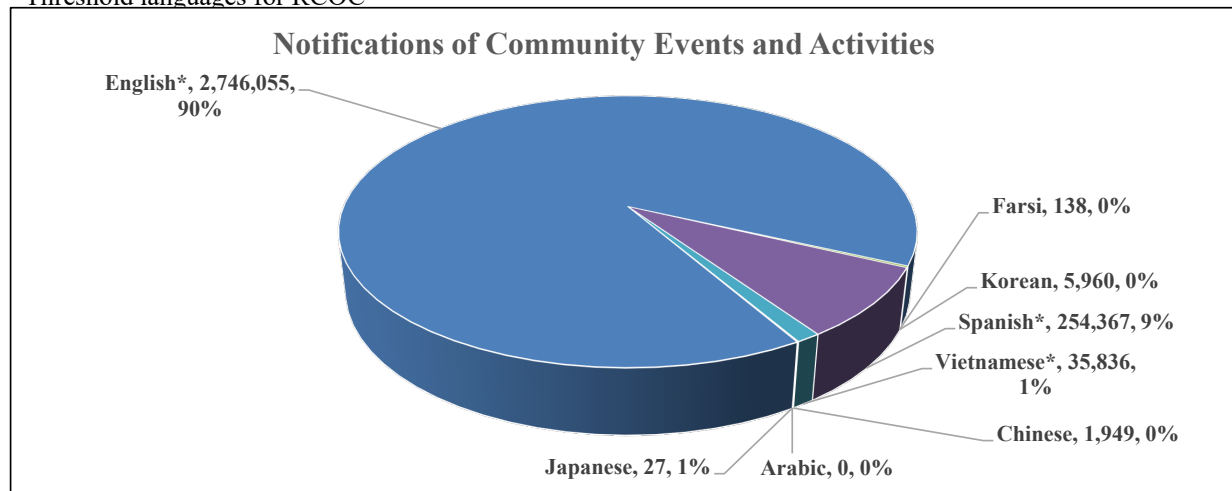
#### Number of Notifications

| Language                             | Jul.           | Aug.           | Sept.          | Oct.           | Nov.           | Dec.           |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| English*                             | 151,271        | 118,786        | 245,656        | 166,331        | 169,186        | 124,961        |
| Farsi                                | 0              | 0              | 0              | 0              | 0              | 0              |
| Korean                               | 778            | 136            | 662            | 157            | 1,102          | 301            |
| Spanish*                             | 16,264         | 19,523         | 35,018         | 28,313         | 14,552         | 7,868          |
| Vietnamese*                          | 2,124          | 538            | 2,518          | 1,270          | 2,006          | 2,295          |
| Chinese                              | 104            | 0              | 0              | 0              | 363            | 0              |
| Japanese                             | 0              | 0              | 0              | 0              | 0              | 0              |
| Arabic                               | 0              | 0              | 0              | 0              | 0              | 0              |
| <b>Total Number of Notifications</b> | <b>170,541</b> | <b>138,983</b> | <b>283,854</b> | <b>196,071</b> | <b>187,209</b> | <b>135,425</b> |

#### Number of Notifications

| Language                             | Jan.           | Feb.           | Mar.           | Apr.           | May            | June     | Total            |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------|------------------|
| English*                             | 276,787        | 253,071        | 285,314        | 415,661        | 539,031        |          | 2,746,055        |
| Farsi                                | 0              | 0              | 138            | 0              | 0              |          | 138              |
| Korean                               | 404            | 29             | 674            | 857            | 860            |          | 5,960            |
| Spanish*                             | 27,367         | 14,794         | 25,587         | 25,240         | 39,841         |          | 254,367          |
| Vietnamese*                          | 2,868          | 1,571          | 8,748          | 5,124          | 6,774          |          | 35,836           |
| Chinese                              | 230            | 0              | 270            | 131            | 851            |          | 1,949            |
| Japanese                             | 0              | 0              | 0              | 0              | 0              |          | 0                |
| Arabic                               | 0              | 0              | 0              | 0              | 0              |          | 0                |
| <b>Total Number of Notifications</b> | <b>307,656</b> | <b>269,465</b> | <b>320,731</b> | <b>447,013</b> | <b>587,357</b> | <b>0</b> | <b>3,044,305</b> |

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2025-26*

#### Number of Outreach Events

| Type of Outreach / Language            | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|----------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>In Person/Zoom</b>                  |           |           |           |           |           |           |
| English                                | 4         | 4         | 8         | 3         | 5         | 2         |
| Spanish                                | 0         | 5         | 3         | 5         | 4         | 1         |
| Vietnamese                             | 1         | 1         | 0         | 3         | 1         | 3         |
| Other Languages**                      | 1         | 1         | 2         | 1         | 2         |           |
| <b>In Print</b>                        |           |           |           |           |           |           |
| English                                | 2         | 1         |           |           |           | 2         |
| Spanish                                |           |           |           |           |           |           |
| Vietnamese                             | 1         |           | 1         |           |           |           |
| Other Languages                        |           |           |           |           |           |           |
| <b>TV / Radio</b>                      |           |           |           |           |           |           |
| English                                |           |           |           |           |           |           |
| Spanish                                |           |           |           |           |           |           |
| Vietnamese                             | 4         | 5         | 4         | 4         | 5         | 4         |
| Other Languages                        |           |           |           |           |           |           |
| <b>Total Number of Outreach Events</b> | <b>13</b> | <b>17</b> | <b>18</b> | <b>16</b> | <b>17</b> | <b>12</b> |

\*\* Korean, Chinese or other Languages

#### Number of Outreach Events

| Language                               | Jan.     | Feb.      | Mar.      | Apr.*     | May*      | June*    | Total      |
|----------------------------------------|----------|-----------|-----------|-----------|-----------|----------|------------|
| <b>In Person</b>                       |          |           |           |           |           |          |            |
| English                                | 2        | 4         | 3         | 3         | 4         |          | 42         |
| Spanish                                | 2        | 2         | 4         | 6         | 3         |          | 35         |
| Vietnamese                             |          | 1         | 1         | 1         | 1         |          | 13         |
| Other Languages**                      |          | 3         | 2         | 2         | 0         |          | 14         |
| <b>In Print</b>                        |          |           |           |           |           |          |            |
| English                                |          | 2         |           | 1         | 1         |          | 9          |
| Spanish                                |          |           |           |           |           |          | 0          |
| Vietnamese                             |          | 2         | 1         |           |           |          | 5          |
| Other Languages                        |          |           |           |           |           |          | 0          |
| <b>TV / Radio</b>                      |          |           |           |           |           |          |            |
| English                                |          |           |           | 2         | 2         |          | 4          |
| Spanish                                |          |           |           |           |           |          | 0          |
| Vietnamese                             | 4        | 4         | 5         | 4         | 4         |          | 47         |
| Other Languages                        |          |           |           |           |           |          | 0          |
| <b>Total Number of Outreach Events</b> | <b>8</b> | <b>18</b> | <b>16</b> | <b>19</b> | <b>15</b> | <b>0</b> | <b>169</b> |

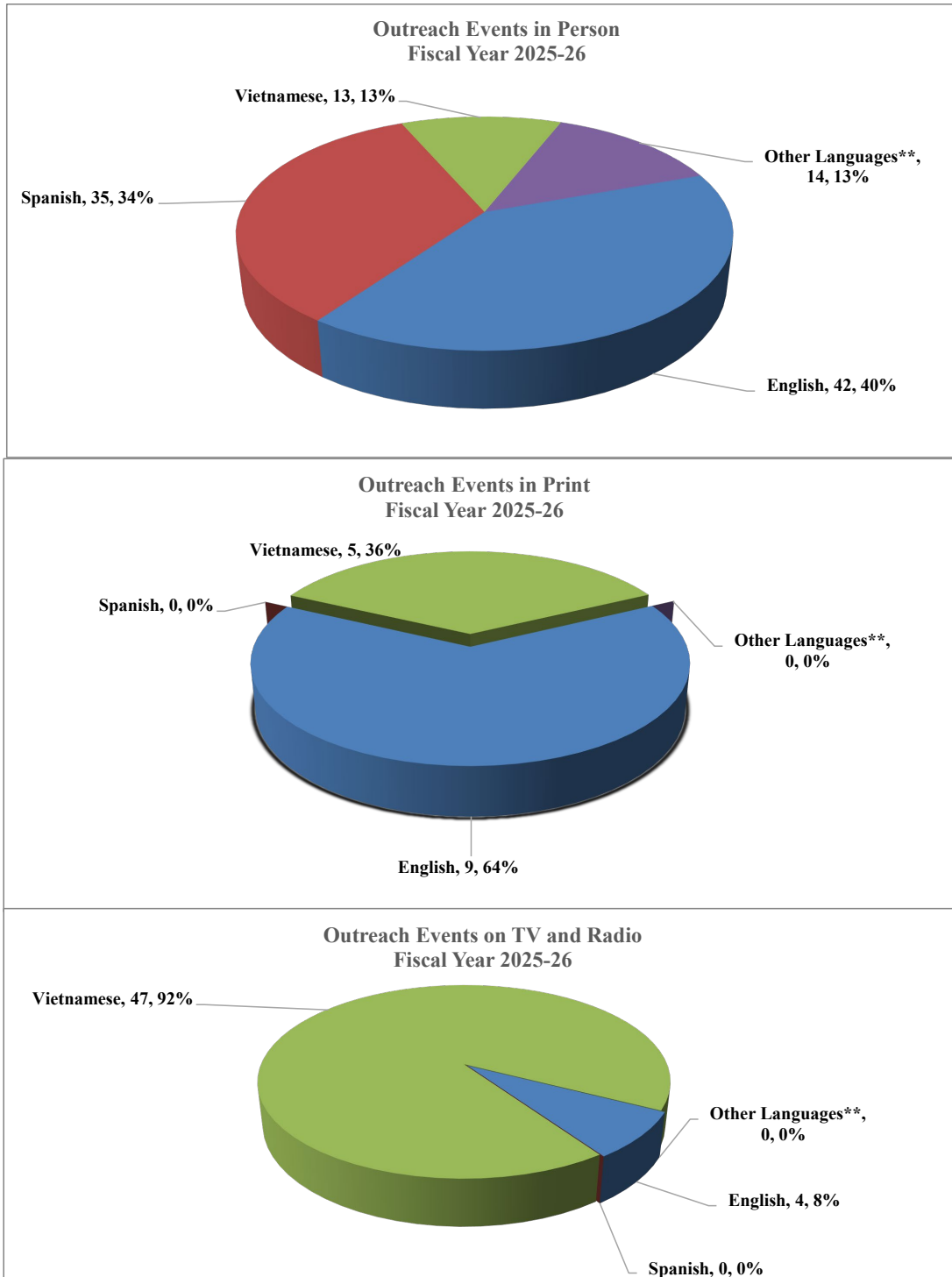
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2025-26



## EARLY INTERVENTION / PREVENTION

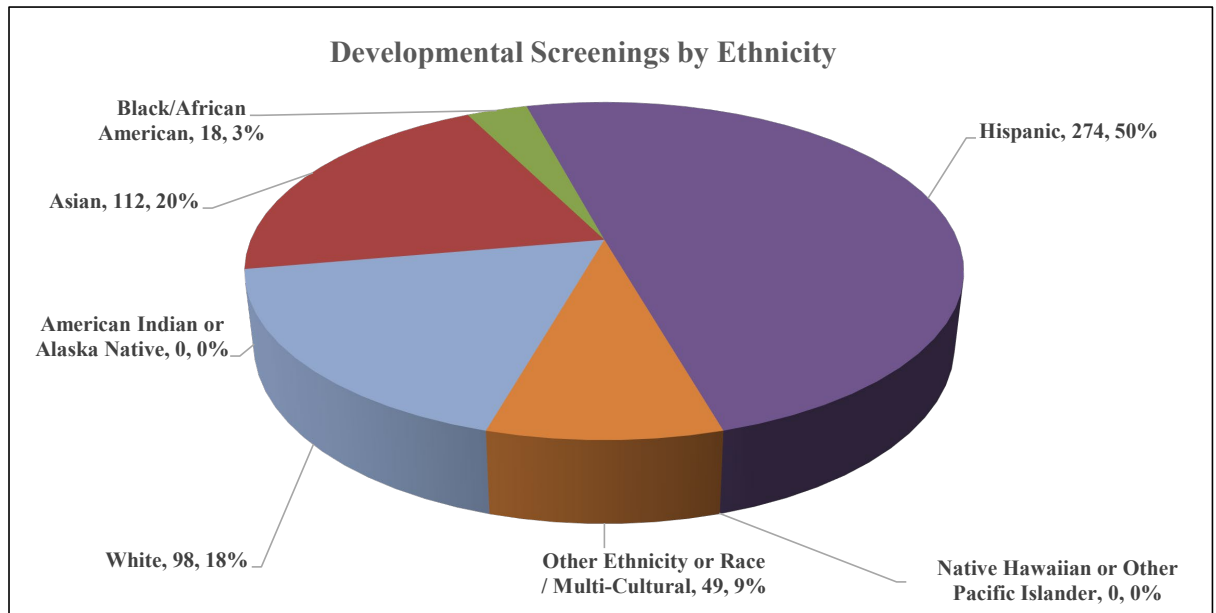
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2025-26

| Developmental Screenings by Ethnicity     | Jul.     | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|-------------------------------------------|----------|-----------|-----------|-----------|-----------|-----------|
| American Indian or Alaska Native          | 0        | 0         | 0         | 0         | 0         | 0         |
| Asian                                     | 0        | 12        | 16        | 24        | 6         | 3         |
| Black/African American                    | 0        | 0         | 4         | 1         | 2         | 1         |
| Hispanic                                  | 0        | 51        | 34        | 48        | 32        | 18        |
| Native Hawaiian or Other Pacific Islander | 0        | 0         | 0         | 0         | 0         | 0         |
| Other Ethnicity or Race / Multi-Cultural  | 0        | 5         | 10        | 4         | 2         | 3         |
| White                                     | 0        | 7         | 9         | 15        | 6         | 3         |
| <b>Total Number Screened</b>              | <b>0</b> | <b>75</b> | <b>73</b> | <b>92</b> | <b>48</b> | <b>28</b> |
| <b>Total Number Referred to RCOC</b>      | <b>0</b> | <b>43</b> | <b>27</b> | <b>35</b> | <b>22</b> | <b>8</b>  |

| Developmental Screenings by Ethnicity     | Jan.      | Feb.      | Mar.      | Apr.      | May       | June | Total      |
|-------------------------------------------|-----------|-----------|-----------|-----------|-----------|------|------------|
| American Indian or Alaska Native          | 0         | 0         | 0         | 0         | 0         |      | <b>0</b>   |
| Asian                                     | 10        | 7         | 20        | 5         | 9         |      | <b>112</b> |
| Black/African American                    | 2         | 0         | 3         | 4         | 1         |      | <b>18</b>  |
| Hispanic                                  | 18        | 31        | 19        | 8         | 15        |      | <b>274</b> |
| Native Hawaiian or Other Pacific Islander | 0         | 0         | 0         | 0         | 0         |      | <b>0</b>   |
| Other Ethnicity or Race / Multi-Cultural  | 1         | 6         | 10        | 3         | 5         |      | <b>49</b>  |
| White                                     | 4         | 25        | 17        | 6         | 6         |      | <b>98</b>  |
| <b>Total Number Screened</b>              | <b>35</b> | <b>69</b> | <b>69</b> | <b>26</b> | <b>36</b> |      | <b>551</b> |
| <b>Total Number Referred to RCOC</b>      | <b>21</b> | <b>37</b> | <b>36</b> | <b>17</b> | <b>12</b> |      | <b>258</b> |





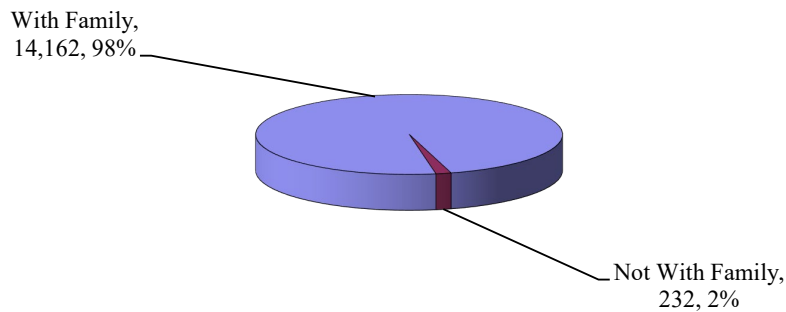
## LIVING OPTIONS

### Related Guiding Principles

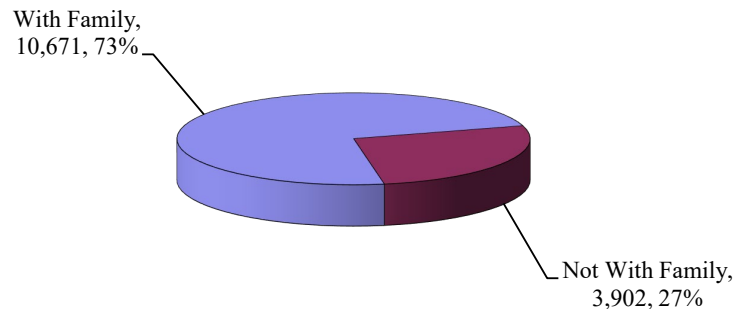
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

| Where Persons Served Live | Persons Served<br>All | Persons Served<br>Under 18 | Persons Served<br>Over 18 |
|---------------------------|-----------------------|----------------------------|---------------------------|
| With Family               | 24,833                | 14,162                     | 10,671                    |
| Not With Family           | 4,134                 | 232                        | 3,902                     |
| <b>Totals</b>             | <b>28,967</b>         | <b>14,394</b>              | <b>14,573</b>             |

**Where Persons Served Under 18 Live**



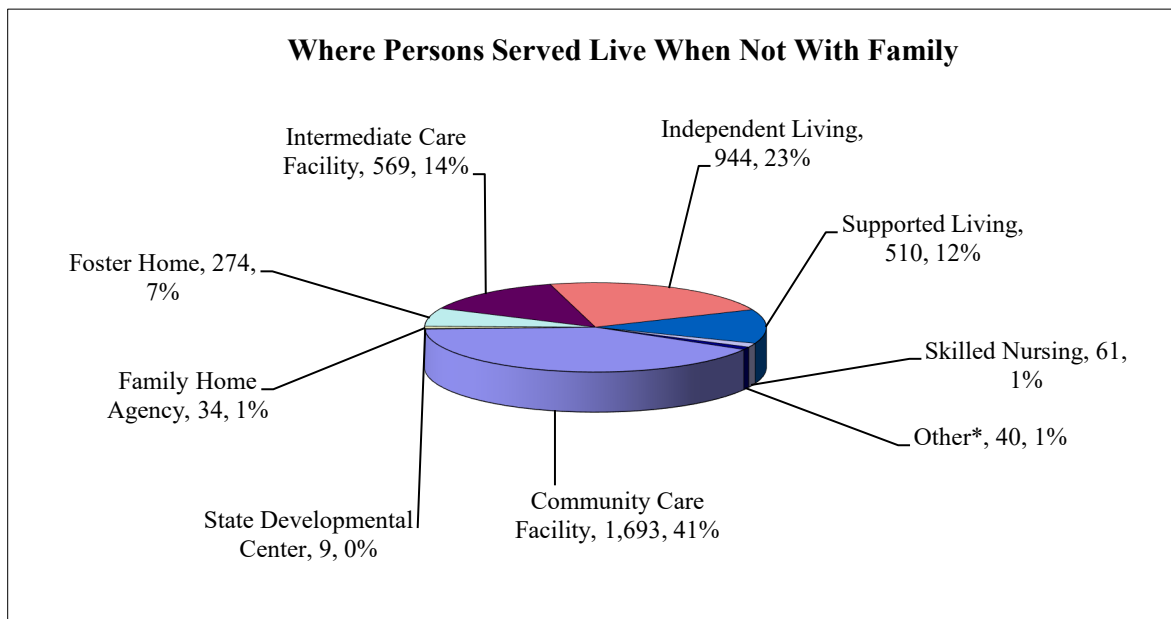
**Where Persons Served Over 18 Live**



## LIVING OPTIONS, continued

| Where Persons Served Live  | All Persons Served | Persons Served Under 18 | Persons Served Over 18 |
|----------------------------|--------------------|-------------------------|------------------------|
| Family Home                | 24,833             | 14,162                  | 10,671                 |
| Community Care Facility    | 1,693              | 16                      | 1,677                  |
| State Developmental Center | 9                  | 0                       | 9                      |
| Family Home Agency         | 34                 | 0                       | 34                     |
| Foster Home                | 274                | 207                     | 67                     |
| Intermediate Care Facility | 569                | 0                       | 569                    |
| Independent Living         | 944                | 0                       | 944                    |
| Supported Living           | 510                | 0                       | 510                    |
| Skilled Nursing            | 61                 | 0                       | 61                     |
| Other*                     | 40                 | 9                       | 31                     |
| <b>Total</b>               | <b>28,967</b>      | <b>14,394</b>           | <b>14,573</b>          |

| <b>Other*</b>              |           |           |           |
|----------------------------|-----------|-----------|-----------|
| Acute General Hospital     | 2         | 0         | 2         |
| California Youth Authority | 0         | 0         | 0         |
| Community Treatment        | 1         | 0         | 1         |
| Correctional Institution   | 0         | 0         | 0         |
| County Jail                | 0         | 0         | 0         |
| Other                      | 0         | 0         | 0         |
| Out of State               | 1         | 1         | 0         |
| Psychiatric Treatment      | 24        | 3         | 21        |
| Rehabilitation Center      | 4         | 0         | 4         |
| SDC / State Hospital       | 10        | 2         | 8         |
| Sub-Acute                  | 39        | 9         | 30        |
| Transient / Homeless       | 9         | 2         | 7         |
| <b>Total, Other*</b>       | <b>90</b> | <b>17</b> | <b>73</b> |



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

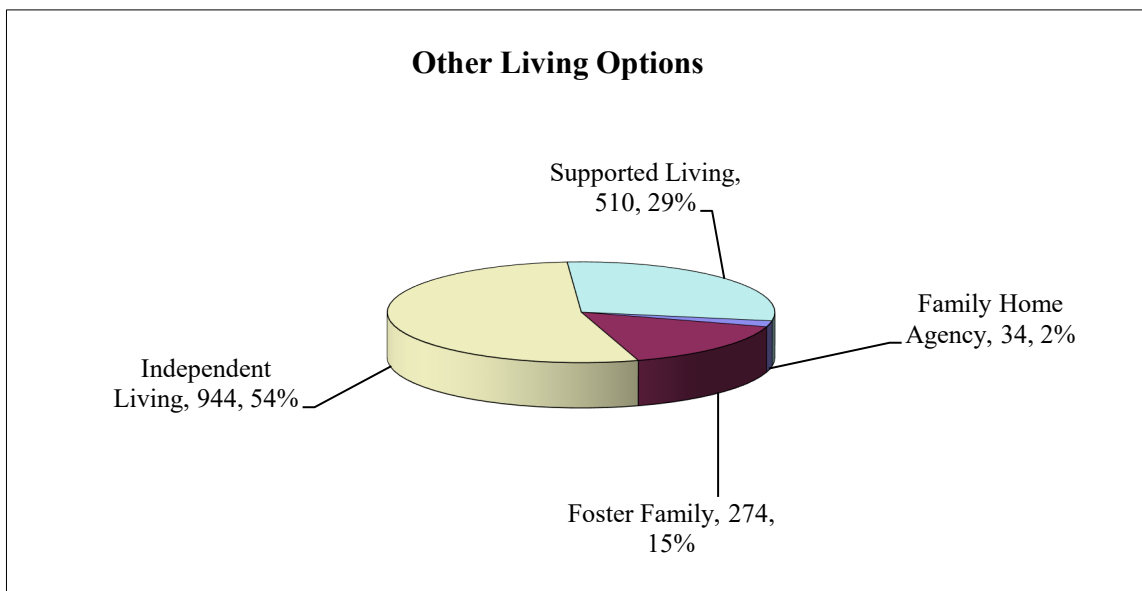
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

| Other Living Options | Total        | Under 18   | Over 18      |
|----------------------|--------------|------------|--------------|
| Family Home Agency   | 34           | 0          | 34           |
| Foster Family        | 274          | 207        | 67           |
| Independent Living   | 944          | 0          | 944          |
| Supported Living     | 510          | 0          | 510          |
| <b>Total</b>         | <b>1,762</b> | <b>207</b> | <b>1,555</b> |



## **LIVING OPTIONS, continued**

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### **Health Licensed Facilities**

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### **Community Care Licensed Facilities**

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

**SERVICE LEVEL 1:** Limited care and supervision for persons with self-care skills and no behavior problems.

**SERVICE LEVEL 2:** Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

**SERVICE LEVEL 3:** Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

**SERVICE LEVEL 4:** Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2025-26*

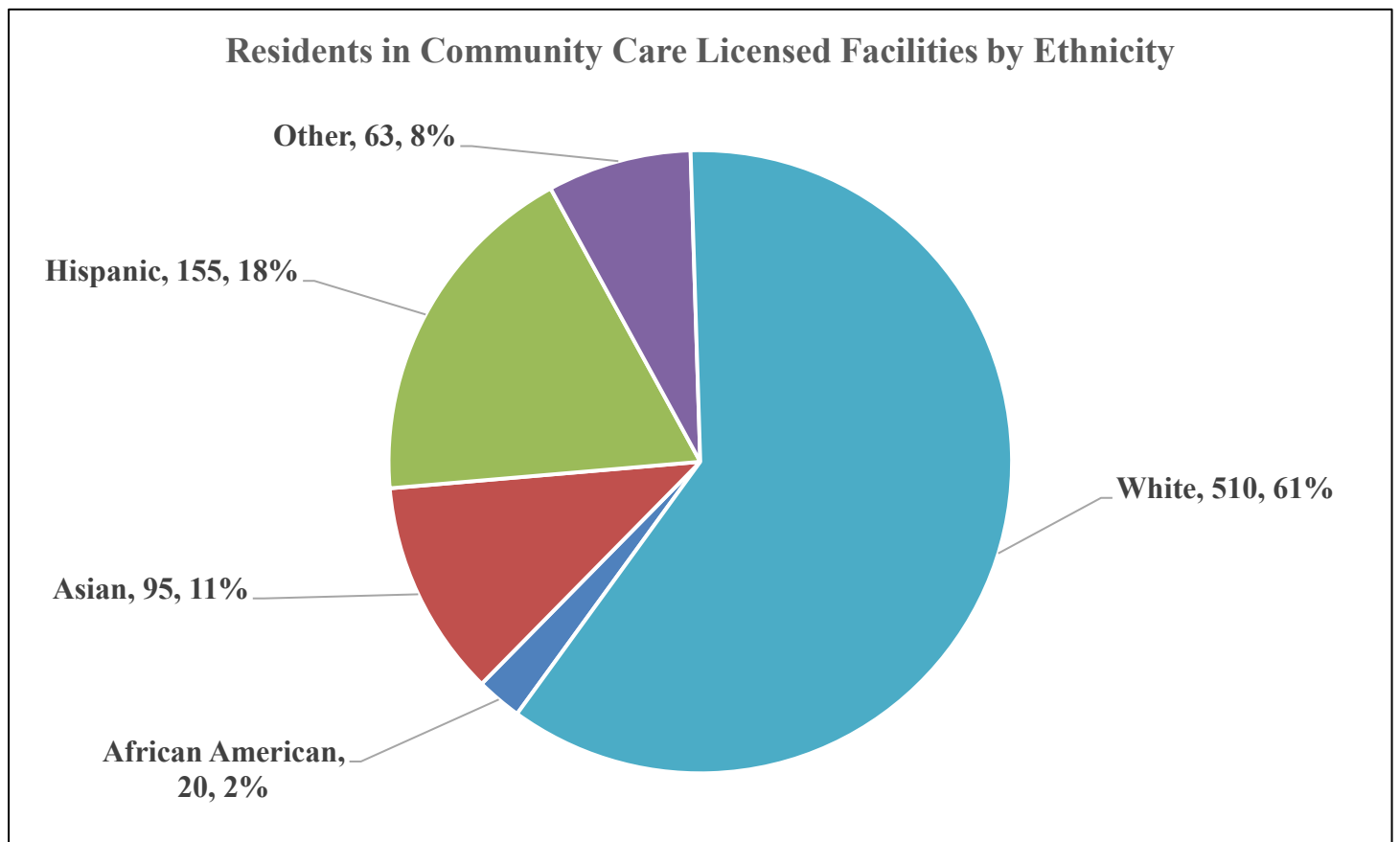
| Licensed Facilities | Total     | Over 18   | Under 18 |
|---------------------|-----------|-----------|----------|
| Level 2             | 0         | 0         | 0        |
| Level 3             | 0         | 0         | 0        |
| Level 4A            | 0         | 0         | 0        |
| Level 4B            | 0         | 0         | 0        |
| Level 4C            | 0         | 0         | 0        |
| Level 4D            | 0         | 0         | 0        |
| Level 4E            | 0         | 0         | 0        |
| Level 4F            | 0         | 0         | 0        |
| Level 4G            | 0         | 0         | 0        |
| Level 4H            | 0         | 0         | 0        |
| Level 4I            | 0         | 0         | 0        |
| Elderly             | 20        | 20        | 0        |
| ICF/DD-H            | 0         | 0         | 0        |
| ICF/DD-N            | 6         | 6         | 0        |
| ICF/DD              | 0         | 0         | 0        |
| Skilled Nursing     | 0         | 0         | 0        |
| <b>Total</b>        | <b>26</b> | <b>26</b> | <b>0</b> |

| Licensed Facilities Summary | Total     | Over 18   | Under 18 |
|-----------------------------|-----------|-----------|----------|
| Level 2                     | 0         | 0         | 0        |
| Level 3                     | 0         | 0         | 0        |
| Level 4                     | 0         | 0         | 0        |
| ICF/DD-H                    | 0         | 1         | 0        |
| ICF/DD-N                    | 6         | 5         | 0        |
| Elderly                     | 20        | 20        | 0        |
| Skilled Nursing             | 0         | 0         | 0        |
| <b>Total</b>                | <b>26</b> | <b>26</b> | <b>0</b> |

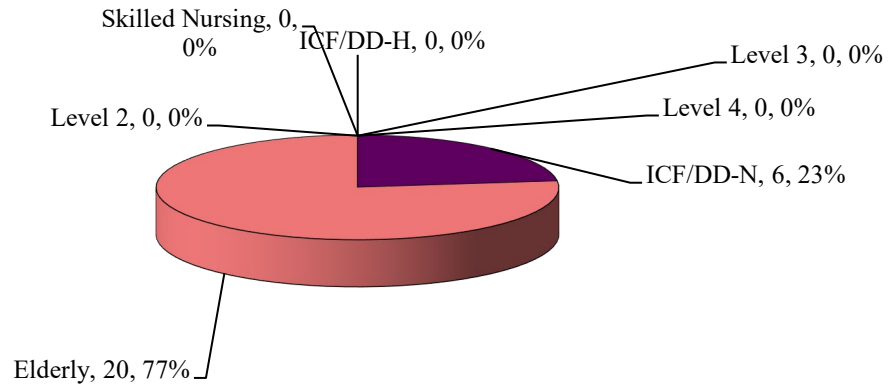
## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2025-26

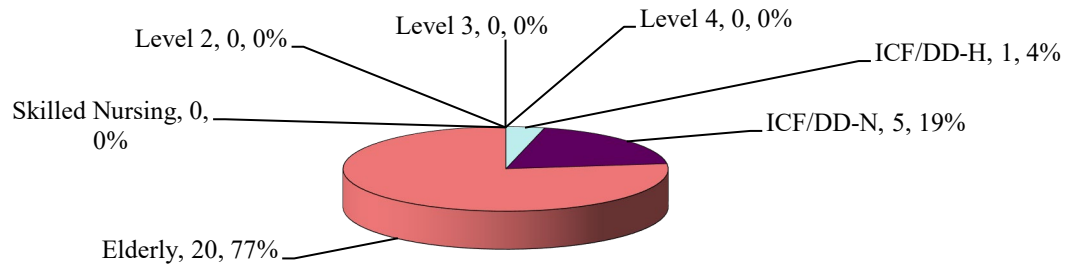
| Licensed Facilities | African American | Asian     | Hispanic   | Other     | White      | Total      |
|---------------------|------------------|-----------|------------|-----------|------------|------------|
| Level 2             | 6                | 22        | 56         | 17        | 173        | 274        |
| Level 3             | 7                | 48        | 74         | 39        | 234        | 402        |
| Level 4A            | 7                | 25        | 25         | 7         | 103        | 167        |
| Level 4B            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4C            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4D            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4E            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4F            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4G            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4H            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4I            | 0                | 0         | 0          | 0         | 0          | 0          |
| <b>Total</b>        | <b>20</b>        | <b>95</b> | <b>155</b> | <b>63</b> | <b>510</b> | <b>843</b> |



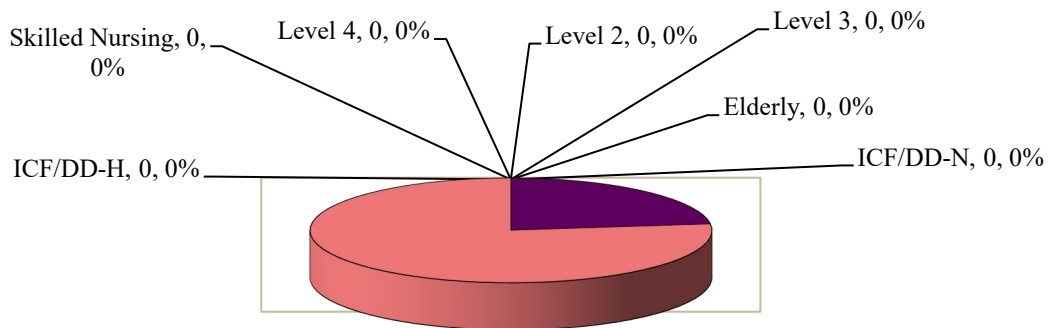
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

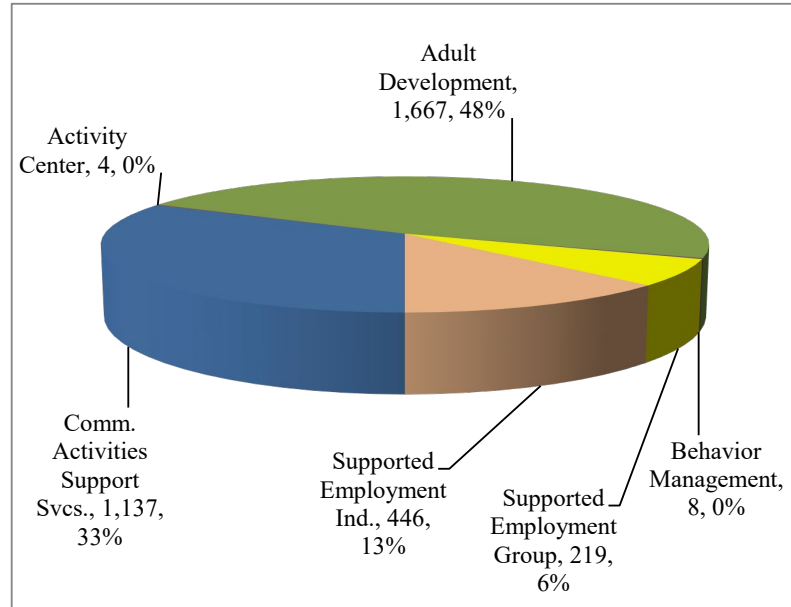


## WORK

### Related Guiding Principle

- Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.

| Adult Day & Employment Services | Persons Served Over 18 |
|---------------------------------|------------------------|
| Comm. Activities Support Svcs.  | 1,137                  |
| Activity Center                 | 4                      |
| Adult Development               | 1,667                  |
| Behavior Management             | 8                      |
| Supported Employment Group      | 219                    |
| Supported Employment Ind.       | 446                    |
| <b>Total</b>                    | <b>3,481</b>           |



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.



## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### Fiscal Year 2025-26

| Service Coordination:                          | Jul.   | Aug.   | Sept.  | Oct.   | Nov.   | Dec.   |
|------------------------------------------------|--------|--------|--------|--------|--------|--------|
| Number of Service Coordinators (SC)            | 469.1  | 481.2  | 479.3  | 504.4  | 502.0  | 499.3  |
| Number of Case-Carrying SCs                    | 416.8  | 429.0  | 429.0  | 452.1  | 446.5  | 442.4  |
| Number of Intake SCs                           | 43.0   | 43.0   | 43.0   | 45.0   | 45.0   | 45.0   |
| Number of Active Persons Served                | 27,926 | 28,006 | 28,174 | 28,291 | 28,458 | 28,611 |
| Caseload Ratio, # of Active Persons Served/SCs | 67.0   | 65.3   | 65.7   | 62.6   | 63.7   | 64.7   |

| Service Coordination:                          | Jan.   | Feb.   | Mar.   | Apr.   | May    | June |
|------------------------------------------------|--------|--------|--------|--------|--------|------|
| Number of Service Coordinators (SC)            | 496.3  | 528.2  | 549.2  | 540.2  | 539.2  |      |
| Number of Case-Carrying SCs                    | 440.3  | 471.3  | 492.3  | 483.9  | 482.9  |      |
| Number of Intake SCs                           | 44.0   | 43.0   | 45.0   | 44.0   | 44.0   |      |
| Number of Active Persons Served                | 28,745 | 28,768 | 28,901 | 28,998 | 29,108 |      |
| Caseload Ratio, # of Active Persons Served/SCs | 65.3   | 61.0   | 58.7   | 59.9   | 60.3   |      |

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**

**Fiscal Year 2025-26**

|                                              | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      | Jan.      | Feb.      | Mar.      | Apr.      | May       | June |
|----------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|
| <b>Number of Unsettled Hearing Requests*</b> | <b>28</b> | <b>27</b> | <b>23</b> | <b>27</b> | <b>24</b> | <b>27</b> | <b>27</b> | <b>32</b> | <b>34</b> | <b>42</b> | <b>51</b> |      |
| Eligibility - Lanterman                      | 9         | 10        | 8         | 9         | 11        | 13        | 10        | 14        | 11        | 11        | 11        |      |
| Behavioral services                          |           |           |           | 2         | 2         | 2         | 2         | 1         | 3         | 1         | 3         |      |
| Respite                                      | 2         | 3         | 3         | 4         | 2         | 1         | 1         |           | 2         |           | 1         |      |
| Day Care                                     |           |           |           |           |           |           |           |           |           |           |           |      |
| Self Determination Budget                    | 10        | 4         | 6         | 6         | 5         | 7         | 8         | 12        | 7         | 12        | 18        |      |
| Personal Assistance                          | 2         | 2         | 2         | 1         |           |           |           | 1         | 4         | 4         | 7         |      |
| Other**                                      | 7         | 9         | 6         | 6         | 5         | 5         | 7         | 5         | 13        |           | 11        |      |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                              |           |          |          |           |          |           |           |           |           |           |           |  |
|----------------------------------------------|-----------|----------|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|--|
| <b>Number of New Hearing Requests Filed*</b> | <b>10</b> | <b>8</b> | <b>7</b> | <b>14</b> | <b>7</b> | <b>14</b> | <b>12</b> | <b>13</b> | <b>15</b> | <b>19</b> | <b>18</b> |  |
| Eligibility - Lanterman                      | 3         | 6        | 5        | 5         | 6        | 7         | 7         | 6         | 7         | 5         | 6         |  |
| Eligibility - Early Start                    |           |          |          |           |          |           |           |           |           |           |           |  |
| Behavioral services                          |           |          |          | 2         |          |           |           |           | 1         |           |           |  |
| Respite                                      | 1         | 1        |          | 1         |          |           |           |           |           |           |           |  |
| Day Care                                     |           |          |          |           |          |           |           |           |           |           |           |  |
| Social/Recreational                          | 1         |          |          |           |          | 1         |           |           | 1         | 1         |           |  |
| Social Skills Training                       |           |          |          |           |          |           |           |           |           |           | 1         |  |
| SDP                                          | 2         |          | 2        | 3         |          | 4         | 5         | 4         |           | 6         | 9         |  |
| Personal Assistance                          | 1         |          |          |           |          |           |           |           | 1         |           | 1         |  |
| Other**                                      | 2         | 1        |          | 1         | 1        | 1         |           |           | 5         | 6         | 1         |  |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                    |           |           |           |           |          |           |          |           |           |           |           |  |
|------------------------------------|-----------|-----------|-----------|-----------|----------|-----------|----------|-----------|-----------|-----------|-----------|--|
| <b>Number of All Meetings Held</b> | <b>14</b> | <b>10</b> | <b>12</b> | <b>10</b> | <b>9</b> | <b>14</b> | <b>8</b> | <b>18</b> | <b>10</b> | <b>16</b> | <b>21</b> |  |
| Number of Informal Meetings Held   | 9         | 8         | 6         | 10        | 7        | 12        | 8        | 12        | 8         | 10        | 9         |  |
| Number of Mediations Held          | 3         |           | 2         |           | 1        | 2         |          | 4         | 2         | 4         | 10        |  |
| Number of SLFHs Held               | 2         | 2         | 2         |           | 1        |           |          | 2         |           | 2         | 2         |  |

|                                          |          |          |          |          |          |          |           |          |           |           |           |  |
|------------------------------------------|----------|----------|----------|----------|----------|----------|-----------|----------|-----------|-----------|-----------|--|
| <b>Number of Requests in Scheduling*</b> | <b>7</b> | <b>7</b> | <b>4</b> | <b>6</b> | <b>4</b> | <b>2</b> | <b>12</b> | <b>0</b> | <b>14</b> | <b>17</b> | <b>16</b> |  |
|------------------------------------------|----------|----------|----------|----------|----------|----------|-----------|----------|-----------|-----------|-----------|--|

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

|                                    |          |          |          |          |          |          |          |          |          |          |          |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|
| <b>Number of Requests Pending*</b> | <b>1</b> | <b>2</b> | <b>0</b> | <b>1</b> | <b>1</b> | <b>0</b> | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>0</b> |  |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|--|

\* State Level Fair Hearing (SLFH) held but awaiting decision.

|                                         |          |          |          |           |           |           |          |           |           |          |           |  |
|-----------------------------------------|----------|----------|----------|-----------|-----------|-----------|----------|-----------|-----------|----------|-----------|--|
| <b>Number of Requests Settled</b>       | <b>6</b> | <b>8</b> | <b>9</b> | <b>10</b> | <b>10</b> | <b>11</b> | <b>7</b> | <b>13</b> | <b>10</b> | <b>9</b> | <b>14</b> |  |
| Withdrawn by Person Served/Family       |          |          | 1        | 2         | 5         | 1         | 1        | 1         | 1         | 6        | 3         |  |
| Settled in Informal                     | 4        | 7        | 5        | 7         | 5         | 9         | 6        | 11        | 6         | 3        | 7         |  |
| Settled after further follow-up by RCOC |          |          |          | 1         |           |           |          |           |           |          |           |  |
| Settled in Mediation                    | 1        |          | 1        |           |           |           |          | 1         | 1         |          | 3         |  |
| SLFH Decision                           | 1        | 1        | 2        |           |           | 1         |          |           | 2         |          | 1         |  |

**State Level Fair Hearing Decisions**

|                         |   |   |   |  |  |   |  |  |   |  |   |  |
|-------------------------|---|---|---|--|--|---|--|--|---|--|---|--|
| <b>Prevailing Party</b> |   |   |   |  |  |   |  |  |   |  |   |  |
| Person Served/Family    |   |   |   |  |  |   |  |  |   |  |   |  |
| RCOC                    | 1 | 1 | 2 |  |  | 1 |  |  | 2 |  | 1 |  |
| Split                   |   |   |   |  |  |   |  |  |   |  |   |  |

## ADMINISTRATION AND GOVERNANCE

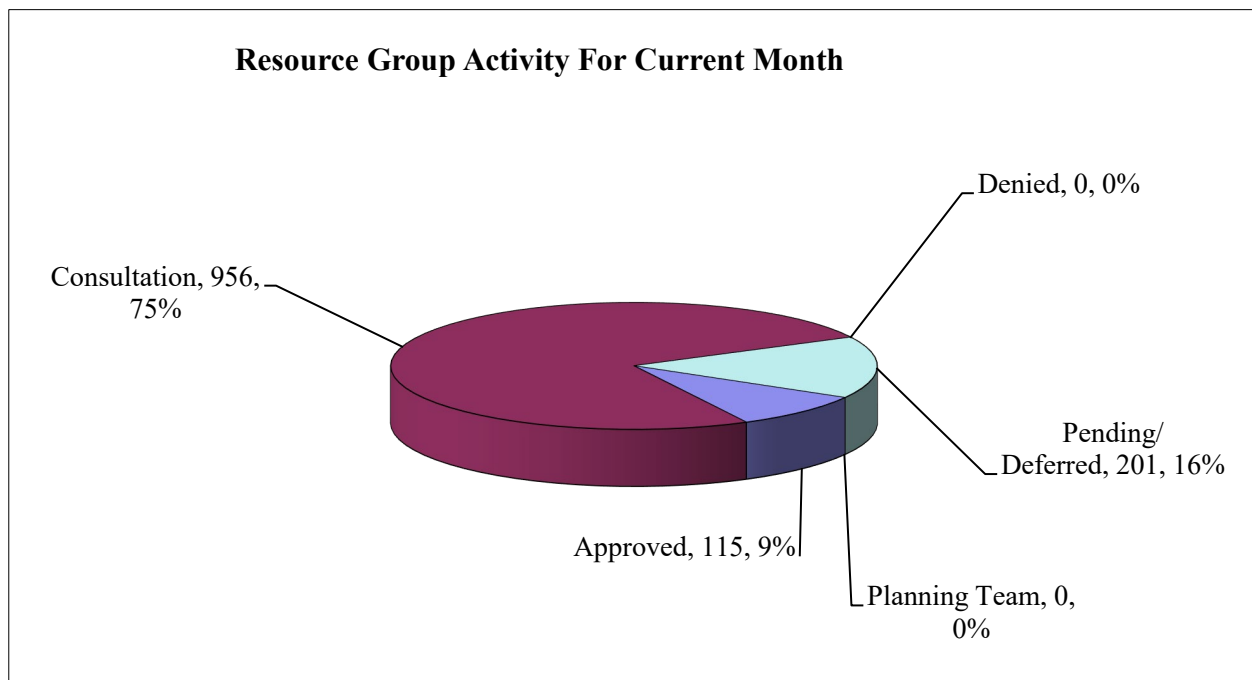
### Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for May 2026 and Fiscal Year to Date

| Disposition          | Approved   | Consultation | Denied   | Pending/Deferred | Planning Team | Total        |
|----------------------|------------|--------------|----------|------------------|---------------|--------------|
| Adult Day            | 8          | 299          | 0        | 70               | 0             | 377          |
| Behavioral           | 26         | 62           | 0        | 12               | 0             | 100          |
| Education            | 0          | 0            | 0        | 0                | 0             | 0            |
| Eligibility/Health   | 1          | 0            | 0        | 3                | 0             | 4            |
| Early Start          | 12         | 112          | 0        | 16               | 0             | 140          |
| Living Options       | 13         | 120          | 0        | 6                | 0             | 139          |
| Supported/Ind.       | 9          | 146          | 0        | 26               | 0             | 181          |
| All Others           | 46         | 217          | 0        | 68               | 0             | 331          |
| <b>Monthly Total</b> | <b>115</b> | <b>956</b>   | <b>0</b> | <b>201</b>       | <b>0</b>      | <b>1,272</b> |

|                                     |       |        |     |       |   |               |
|-------------------------------------|-------|--------|-----|-------|---|---------------|
| <b>FY 2025-26<br/>Total to Date</b> | 1,304 | 11,177 | 193 | 1,808 | 0 | <b>14,482</b> |
|-------------------------------------|-------|--------|-----|-------|---|---------------|



## Operations Report Summary - May 2026

| About Persons Served       | Early Start | Medicaid Waiver | All Other  | SDC       | Total         | Under 18   | Over 18    |
|----------------------------|-------------|-----------------|------------|-----------|---------------|------------|------------|
| Number of Persons Served   | 3,392       | 8,852           | 11,735     | 9         | <b>23,988</b> | 14,394     | 14,573     |
| <i>Percentage of Total</i> | <i>14%</i>  | <i>37%</i>      | <i>49%</i> | <i>0%</i> | <i>100%</i>   | <i>60%</i> | <i>61%</i> |

|                                                                     |            |
|---------------------------------------------------------------------|------------|
| <b>Children served in Prevention Resource and Referral Services</b> | <b>458</b> |
|---------------------------------------------------------------------|------------|

| Persons Served by Residence Status | All           | Under 18      | Over 18       |
|------------------------------------|---------------|---------------|---------------|
| Family Home                        | 24,833        | 14,162        | 10,671        |
| Community Care Facility            | 1,693         | 16            | 1,677         |
| State Developmental Center         | 9             | 0             | 9             |
| Family Home Agency                 | 34            | 0             | 34            |
| Foster Home                        | 274           | 207           | 67            |
| Intermediate Care Facility         | 569           | 0             | 569           |
| Independent Living                 | 944           | 0             | 944           |
| Supported Living                   | 510           | 0             | 510           |
| Skilled Nursing                    | 61            | 0             | 61            |
| Other                              | 40            | 9             | 31            |
| <b>Total</b>                       | <b>28,967</b> | <b>14,394</b> | <b>14,573</b> |

| Special Incident Investigations | Year to Date |
|---------------------------------|--------------|
| AWOL                            | 48           |
| Abuse                           | 110          |
| Neglect                         | 87           |
| Injury                          | 290          |
| Hospitalizations - Total        | 532          |
| Death                           | 211          |
| Victim of crime                 | 11           |
| Arrest                          | 14           |
| Rights                          | 178          |
| <b>Total</b>                    | <b>1,481</b> |

### Number of Licensed Facilities

| Community Care Facilities              | Total      | Under 18 | Over 18    |
|----------------------------------------|------------|----------|------------|
| Level 2                                | 74         | 0        | 74         |
| Level 3                                | 87         | 0        | 106        |
| Level 4                                | 211        | 8        | 211        |
| <b>Total Community Care Facilities</b> | <b>372</b> | <b>8</b> | <b>391</b> |

| Licensed Facility Monitoring | Year to Date |
|------------------------------|--------------|
| Annual Review                | 490          |
| Unannounced                  | 824          |
| Total Number of Reviews      | 941          |
| Provider Trainings           | 0            |
| Technical Support            | 3,484        |
| Corrective Action Plans      | 48           |

| Intermediate Care Facilities (ICF) |            |
|------------------------------------|------------|
| ICF-DD                             | 0          |
| ICF-DD/Habilitation                | 65         |
| ICF-DD/Nursing                     | 41         |
| <b>Total ICF Facilities</b>        | <b>106</b> |

|                                       |                  |
|---------------------------------------|------------------|
| <b>Number of Audits</b>               | <b>18</b>        |
| <b>Amount of Recovery from Audits</b> | <b>\$396,778</b> |

|                                  |            |
|----------------------------------|------------|
| <b>Total Licensed Facilities</b> | <b>478</b> |
|----------------------------------|------------|

## Summary of Information About Persons Served - June 2026

| NUMBER OF PERSONS SERVED                                     | 29,082 | 100% |
|--------------------------------------------------------------|--------|------|
| Children - Birth to Age Three Receiving Early Start Services | 3,439  | 12%  |
| Children - Ages Three to Five Receiving Provisional Services | 460    | 2%   |
| Children - Ages Three to 17 Receiving Lanterman Services     | 10,597 | 36%  |
| Adults - Ages 18 and Older Receiving Lanterman Services      | 14,586 | 50%  |

|                                                                                          |            |
|------------------------------------------------------------------------------------------|------------|
| <b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b> | <b>278</b> |
|------------------------------------------------------------------------------------------|------------|

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

|                         |        |     |
|-------------------------|--------|-----|
| Intellectual Disability | 11,510 | 47% |
| Epilepsy                | 2,889  | 12% |
| Cerebral Palsy          | 2,512  | 10% |
| Autism                  | 13,520 | 54% |
| Fifth Category*         | 2,259  | 9%  |

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

| NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION            | 387 |
|-------------------------------------------------------------------|-----|
| Early Start / Under Age Three / 45 days to complete determination | 331 |
| Lanterman / Over Age Three / 120 days to complete determination   | 161 |
| Provisional / Up to Age Five / 90 days to complete determination  | 7   |

| NUMBER OF PERSONS DETERMINED ELIGIBLE                                                | 147 |
|--------------------------------------------------------------------------------------|-----|
| Children - Birth to Age Three Eligible for Early Start Services                      | 0   |
| Children and Adults - Ages Three and Older Eligible for Lanterman Services           | 105 |
| • Number of children who received Early Start services                               | 21  |
| • Number of children who received Early Start services and had a diagnosis of autism | 15  |
| Children - Birth to Age Three Eligible for Prevention Resource and Referral Services | 6   |

| NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES | 114 |
|----------------------------------------------------------------------------------------------------|-----|
| Children - Age Three No Longer Eligible for Early Start Services                                   | 109 |
| Children - Age Three No Longer Eligible for Prevention Resource and Referral Services              | 5   |

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### JUNE 2026 ACTIVITY

#### ***Mission Statement***

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2025-26

| Type and Number of Reviews     | Jul.       | Aug.       | Sept.      | Oct.      | Nov.      | Dec.       |
|--------------------------------|------------|------------|------------|-----------|-----------|------------|
| Annual Review                  | 42         | 50         | 40         | 32        | 40        | 63         |
| Unannounced                    | 86         | 92         | 73         | 46        | 50        | 74         |
| <b>Total Number of Reviews</b> | <b>128</b> | <b>142</b> | <b>113</b> | <b>78</b> | <b>90</b> | <b>137</b> |

|                                  |     |     |     |     |     |     |
|----------------------------------|-----|-----|-----|-----|-----|-----|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   | 0   |
| Technical Support                | 304 | 327 | 333 | 264 | 261 | 429 |
| Corrective Action Plans          | 3   | 8   | 5   | 11  | 6   | 2   |
| Special Incident Investigations* | 33  | 75  | 76  | 42  | 41  | 55  |

| Type and Number of Reviews     | Jan.       | Feb.       | Mar.       | Apr.      | May        | June      | Total      |
|--------------------------------|------------|------------|------------|-----------|------------|-----------|------------|
| Annual Review                  | 44         | 50         | 46         | 41        | 42         | 35        | <b>525</b> |
| Unannounced                    | 84         | 75         | 102        | 55        | 87         | 52        | <b>876</b> |
| <b>Total Number of Reviews</b> | <b>128</b> | <b>125</b> | <b>148</b> | <b>96</b> | <b>129</b> | <b>87</b> | <b>941</b> |

|                                  |     |     |     |     |     |     |              |
|----------------------------------|-----|-----|-----|-----|-----|-----|--------------|
| Provider Trainings               | 0   | 0   | 0   | 0   | 0   | 0   | <b>0</b>     |
| Technical Support                | 276 | 344 | 389 | 266 | 291 | 270 | <b>3,754</b> |
| Corrective Action Plans          | 3   | 3   | 1   | 5   | 1   | 2   | <b>50</b>    |
| Special Incident Investigations* | 73  | 61  | 55  | 40  | 27  | 53  | <b>631</b>   |

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
  - 1. Physical;
  - 2. Sexual;
  - 3. Fiduciary;
  - 4. Emotional/mental; or
  - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
  - 1. Provide medical care for physical and mental health needs;
  - 2. Prevent malnutrition or dehydration;
  - 3. Protect from health and safety hazards;
  - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
  - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
  - 1. Lacerations requiring sutures or staples;
  - 2. Puncture wounds requiring medical treatment beyond first aid;
  - 3. Fractures;
  - 4. Dislocations;
  - 5. Bites that break the skin and require medical treatment beyond first aid;
  - 6. Internal bleeding requiring medical treatment beyond first aid;
  - 7. Any medication errors;
  - 8. Medication reactions that require medical treatment beyond first aid; or
  - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
  - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
  - 2. Seizure-related;
  - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
  - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
  - 5. Diabetes, including diabetes-related complications;
  - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
  - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
  - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
  - (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

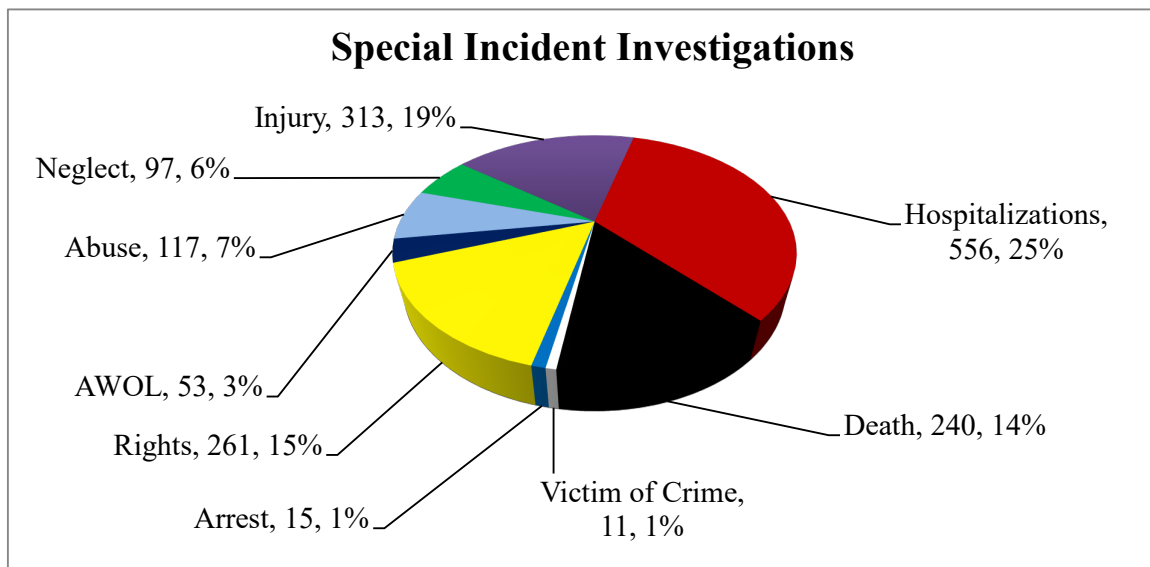
Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.



**Type and Number of Special Incident Investigations**  
**Fiscal Year 2025-26**

| Type of Incident         | Jul.       | Aug.       | Sept.      | Oct.       | Nov.       | Dec.       |
|--------------------------|------------|------------|------------|------------|------------|------------|
| AWOL                     | 4          | 4          | 1          | 5          | 4          | 2          |
| Abuse                    | 3          | 9          | 9          | 10         | 15         | 7          |
| Neglect                  | 9          | 8          | 5          | 11         | 4          | 8          |
| Injury                   | 32         | 36         | 25         | 28         | 23         | 20         |
| Hospitalizations - Total | 50         | 37         | 52         | 52         | 49         | 33         |
| <i>Psychiatric</i>       | 8          | 5          | 7          | 7          | 9          | 2          |
| <i>Medical</i>           | 42         | 32         | 45         | 45         | 40         | 31         |
| Death                    | 10         | 12         | 11         | 17         | 11         | 13         |
| Victim of crime          | 0          | 0          | 1          | 0          | 1          | 1          |
| Arrest                   | 0          | 2          | 0          | 0          | 0          | 1          |
| Rights                   | 24         | 27         | 12         | 12         | 11         | 42         |
| <b>Total</b>             | <b>132</b> | <b>135</b> | <b>116</b> | <b>135</b> | <b>118</b> | <b>127</b> |

| Type of Incident         | Jan.       | Feb.       | Mar.       | Apr.       | May        | June       | Total        |
|--------------------------|------------|------------|------------|------------|------------|------------|--------------|
| AWOL                     | 2          | 5          | 4          | 13         | 4          | 5          | <b>53</b>    |
| Abuse                    | 8          | 14         | 15         | 10         | 10         | 7          | <b>117</b>   |
| Neglect                  | 8          | 12         | 11         | 7          | 4          | 10         | <b>97</b>    |
| Injury                   | 31         | 22         | 21         | 17         | 35         | 23         | <b>313</b>   |
| Hospitalizations - Total | 60         | 54         | 57         | 46         | 42         | 36         | <b>568</b>   |
| <i>Psychiatric</i>       | 7          | 8          | 15         | 14         | 6          | 6          | <b>94</b>    |
| <i>Medical</i>           | 53         | 46         | 42         | 32         | 36         | 30         | <b>474</b>   |
| Death                    | 44         | 16         | 14         | 14         | 49         | 29         | <b>240</b>   |
| Victim of Crime          | 2          | 1          | 2          | 1          | 2          | 0          | <b>11</b>    |
| Arrest                   | 2          | 1          | 2          | 4          | 2          | 1          | <b>15</b>    |
| Rights                   | 8          | 13         | 13         | 11         | 5          | 83         | <b>261</b>   |
| <b>Total</b>             | <b>165</b> | <b>138</b> | <b>139</b> | <b>123</b> | <b>153</b> | <b>194</b> | <b>1,675</b> |



**COMMUNITY LIFE continued**

**Provider Audits**  
**Fiscal Year 2025-26**

***Number of Audits / Appeals / Recoveries***

| <b>Type of Audit</b>          | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|-------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|
| Service Billing               | 0           | 0           | 0            | 0           | 1           | 1           |
| Staffing                      | 0           | 0           | 0            | 0           | 1           | 0           |
| Level 4I Consultant           | 0           | 0           | 0            | 0           | 0           | 1           |
| P&I (consumer funds)          | 0           | 0           | 0            | 0           | 0           | 0           |
| <b>Total Number of Audits</b> | <b>0</b>    | <b>0</b>    | <b>0</b>     | <b>0</b>    | <b>2</b>    | <b>2</b>    |

***Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)***

|              |   |   |   |   |   |   |
|--------------|---|---|---|---|---|---|
| State Appeal | 0 | 0 | 0 | 0 | 0 | 0 |
| Recovery     | 0 | 0 | 0 | 0 | 2 | 1 |

***Audit Findings (Dollar Amount)***

|                           |        |        |        |        |             |          |
|---------------------------|--------|--------|--------|--------|-------------|----------|
| <i>Amount of Recovery</i> | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$75,083.88 | \$594.40 |
|---------------------------|--------|--------|--------|--------|-------------|----------|

| <b>Type of Audit</b>          | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> | <b>Total</b> |
|-------------------------------|-------------|-------------|-------------|-------------|------------|-------------|--------------|
| Service Billing               | 1           | 0           | 1           | 1           | 1          |             | <b>6</b>     |
| Staffing                      | 1           | 0           | 0           | 3           | 1          |             | <b>0</b>     |
| Level 4I Consultant           |             | 0           | 0           | 0           |            |             | <b>0</b>     |
| P&I (consumer funds)          |             | 0           | 3           | 2           |            | 2           | <b>0</b>     |
| <b>Total Number of Audits</b> | <b>2</b>    | <b>0</b>    | <b>4</b>    | <b>6</b>    | <b>2</b>   | <b>2</b>    | <b>20</b>    |

***Number of Appeals / Recoveries***

|              |   |   |   |   |   |   |           |
|--------------|---|---|---|---|---|---|-----------|
| State Appeal |   | 0 | 0 | 4 |   |   | <b>4</b>  |
| Recovery     | 2 | 0 | 4 | 6 | 2 | 1 | <b>18</b> |

***Audit Findings (Dollar Amount)***

|                           |             |        |            |              |             |             |                     |
|---------------------------|-------------|--------|------------|--------------|-------------|-------------|---------------------|
| <i>Amount of Recovery</i> | \$35,018.86 | \$0.00 | \$5,299.85 | \$235,020.70 | \$45,760.58 | \$12,790.73 | <b>\$409,569.00</b> |
|---------------------------|-------------|--------|------------|--------------|-------------|-------------|---------------------|

## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2025-26*

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jul.         | Aug.         | Sept.        | Oct.         | Nov.         | Dec.              |
|-----------------------------------------------|--------------|--------------|--------------|--------------|--------------|-------------------|
| Day Care - Family Member                      | 93           | 76           | 70           | 86           | 87           | 73                |
| Diapers - Family Member                       | 3            | 2            | 1            | 3            | 3            | 1                 |
| Nursing Service - Family Member               | 55           | 42           | 51           | 50           | 41           | No Data Available |
| Respite Service - Family Member               | 697          | 725          | 729          | 719          | 713          | 737               |
| Transportation - Family Member                | 222          | 312          | 325          | 305          | 304          | 367               |
| <b>Total Number of Voucher Authorizations</b> | <b>1,070</b> | <b>1,157</b> | <b>1,176</b> | <b>1,163</b> | <b>1,148</b> | <b>1,178</b>      |

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jan.              | Feb.              | Mar.              | Apr.              | May               | June              |
|-----------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Day Care - Family Member                      | 91                | 85                | 74                | 75                | 70                | 86                |
| Diapers - Family Member                       | 3                 | 4                 | 3                 | 2                 | 2                 | 4                 |
| Nursing Service - Family Member               | No Data Available | No Data Available | No Data Available | No Data Available | No Data Available | No Data Available |
| Respite Service - Family Member               | 723               | 723               | 728               | 671               | 680               | 731               |
| Transportation - Family Member                | 307               | 314               | 322               | 388               | 393               | 317               |
| <b>Total Number of Voucher Authorizations</b> | <b>1,124</b>      | <b>1,126</b>      | <b>1,127</b>      | <b>1,136</b>      | <b>1,145</b>      | <b>1,138</b>      |

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Notifications of Community Events and Activities

*Fiscal Year 2025-26*

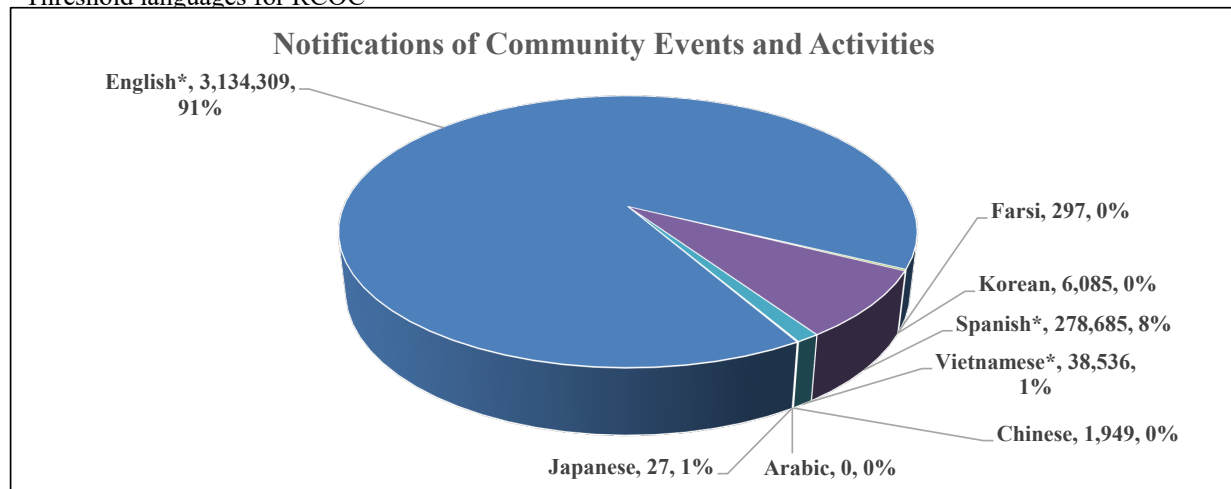
#### Number of Notifications

| Language                             | Jul.           | Aug.           | Sept.          | Oct.           | Nov.           | Dec.           |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| English*                             | 151,271        | 118,786        | 245,656        | 166,331        | 169,186        | 124,961        |
| Farsi                                | 0              | 0              | 0              | 0              | 0              | 0              |
| Korean                               | 778            | 136            | 662            | 157            | 1,102          | 301            |
| Spanish*                             | 16,264         | 19,523         | 35,018         | 28,313         | 14,552         | 7,868          |
| Vietnamese*                          | 2,124          | 538            | 2,518          | 1,270          | 2,006          | 2,295          |
| Chinese                              | 104            | 0              | 0              | 0              | 363            | 0              |
| Japanese                             | 0              | 0              | 0              | 0              | 0              | 0              |
| Arabic                               | 0              | 0              | 0              | 0              | 0              | 0              |
| <b>Total Number of Notifications</b> | <b>170,541</b> | <b>138,983</b> | <b>283,854</b> | <b>196,071</b> | <b>187,209</b> | <b>135,425</b> |

#### Number of Notifications

| Language                             | Jan.           | Feb.           | Mar.           | Apr.           | May            | June           | Total            |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|
| English*                             | 276,787        | 253,071        | 285,314        | 415,661        | 539,031        | 388,254        | 3,134,309        |
| Farsi                                | 0              | 0              | 138            | 0              | 0              | 159            | 297              |
| Korean                               | 404            | 29             | 674            | 857            | 860            | 125            | 6,085            |
| Spanish*                             | 27,367         | 14,794         | 25,587         | 25,240         | 39,841         | 24,318         | 278,685          |
| Vietnamese*                          | 2,868          | 1,571          | 8,748          | 5,124          | 6,774          | 2,700          | 38,536           |
| Chinese                              | 230            | 0              | 270            | 131            | 851            | 0              | 1,949            |
| Japanese                             | 0              | 0              | 0              | 0              | 0              | 0              | 0                |
| Arabic                               | 0              | 0              | 0              | 0              | 0              | 159            | 159              |
| <b>Total Number of Notifications</b> | <b>307,656</b> | <b>269,465</b> | <b>320,731</b> | <b>447,013</b> | <b>587,357</b> | <b>415,715</b> | <b>3,460,020</b> |

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Community Outreach

*Fiscal Year 2025-26*

#### Number of Outreach Events

| Type of Outreach / Language            | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|----------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>In Person/Zoom</b>                  |           |           |           |           |           |           |
| English                                | 4         | 4         | 8         | 3         | 5         | 2         |
| Spanish                                | 0         | 5         | 3         | 5         | 4         | 1         |
| Vietnamese                             | 1         | 1         | 0         | 3         | 1         | 3         |
| Other Languages**                      | 1         | 1         | 2         | 1         | 2         |           |
| <b>In Print</b>                        |           |           |           |           |           |           |
| English                                | 2         | 1         |           |           |           | 2         |
| Spanish                                |           |           |           |           |           |           |
| Vietnamese                             | 1         |           | 1         |           |           |           |
| Other Languages                        |           |           |           |           |           |           |
| <b>TV / Radio</b>                      |           |           |           |           |           |           |
| English                                |           |           |           |           |           |           |
| Spanish                                |           |           |           |           |           |           |
| Vietnamese                             | 4         | 5         | 4         | 4         | 5         | 4         |
| Other Languages                        |           |           |           |           |           |           |
| <b>Total Number of Outreach Events</b> | <b>13</b> | <b>17</b> | <b>18</b> | <b>16</b> | <b>17</b> | <b>12</b> |

\*\* Korean, Chinese or other Languages

#### Number of Outreach Events

| Language                               | Jan.     | Feb.      | Mar.      | Apr.*     | May*      | June*     | Total      |
|----------------------------------------|----------|-----------|-----------|-----------|-----------|-----------|------------|
| <b>In Person</b>                       |          |           |           |           |           |           |            |
| English                                | 2        | 4         | 3         | 3         | 4         | 1         | 43         |
| Spanish                                | 2        | 2         | 4         | 6         | 3         | 5         | 40         |
| Vietnamese                             |          | 1         | 1         | 1         | 1         | 1         | 14         |
| Other Languages**                      |          | 3         | 2         | 2         | 0         | 1         | 15         |
| <b>In Print</b>                        |          |           |           |           |           |           |            |
| English                                |          | 2         |           | 1         | 1         | 1         | 10         |
| Spanish                                |          |           |           |           |           |           | 0          |
| Vietnamese                             |          | 2         | 1         |           |           | 1         | 6          |
| Other Languages                        |          |           |           |           |           |           | 0          |
| <b>TV / Radio</b>                      |          |           |           |           |           |           |            |
| English                                |          |           |           | 2         | 2         |           | 4          |
| Spanish                                |          |           |           |           |           |           | 0          |
| Vietnamese                             | 4        | 4         | 5         | 4         | 4         | 4         | 51         |
| Other Languages                        |          |           |           |           |           |           | 0          |
| <b>Total Number of Outreach Events</b> | <b>8</b> | <b>18</b> | <b>16</b> | <b>19</b> | <b>15</b> | <b>14</b> | <b>183</b> |

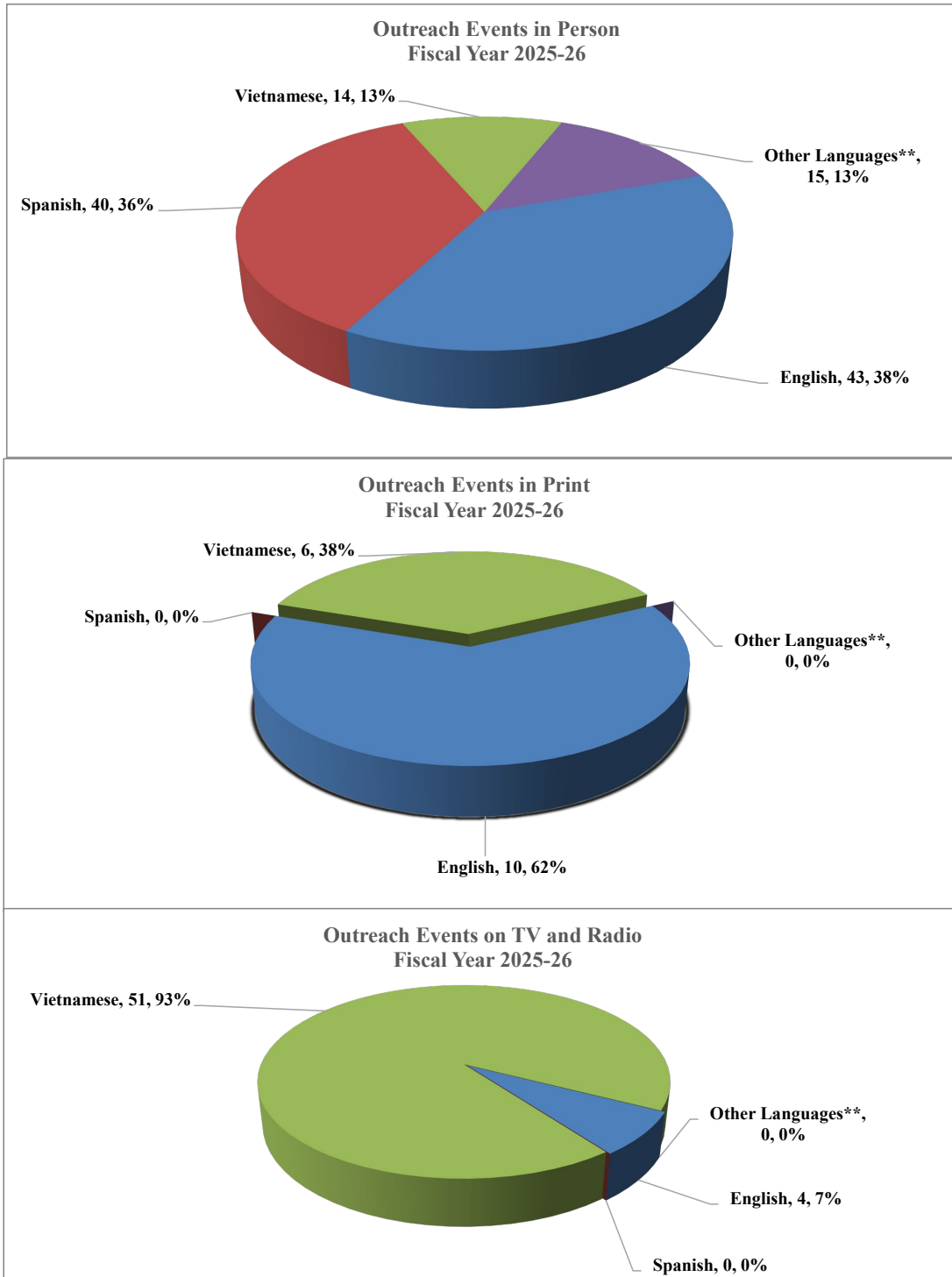
\* Virtual Meetings

## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2025-26



## EARLY INTERVENTION / PREVENTION

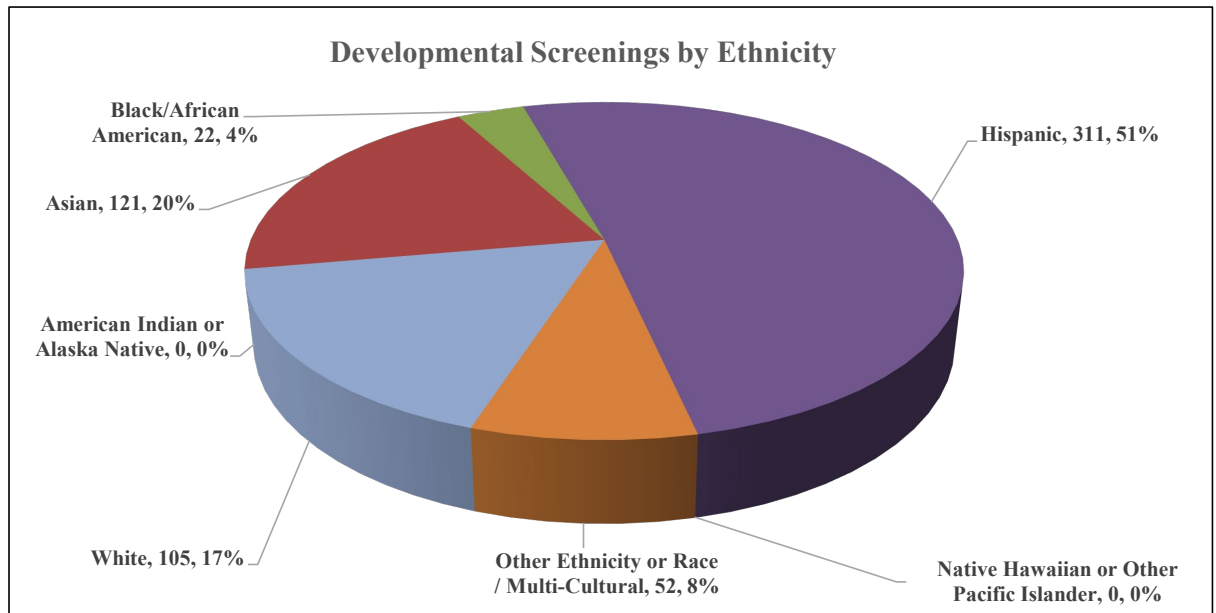
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2025-26

| Developmental Screenings by Ethnicity     | Jul.     | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      |
|-------------------------------------------|----------|-----------|-----------|-----------|-----------|-----------|
| American Indian or Alaska Native          | 0        | 0         | 0         | 0         | 0         | 0         |
| Asian                                     | 0        | 12        | 16        | 24        | 6         | 3         |
| Black/African American                    | 0        | 0         | 4         | 1         | 2         | 1         |
| Hispanic                                  | 0        | 51        | 34        | 48        | 32        | 18        |
| Native Hawaiian or Other Pacific Islander | 0        | 0         | 0         | 0         | 0         | 0         |
| Other Ethnicity or Race / Multi-Cultural  | 0        | 5         | 10        | 4         | 2         | 3         |
| White                                     | 0        | 7         | 9         | 15        | 6         | 3         |
| <b>Total Number Screened</b>              | <b>0</b> | <b>75</b> | <b>73</b> | <b>92</b> | <b>48</b> | <b>28</b> |
| <b>Total Number Referred to RCOC</b>      | <b>0</b> | <b>43</b> | <b>27</b> | <b>35</b> | <b>22</b> | <b>8</b>  |

| Developmental Screenings by Ethnicity     | Jan.      | Feb.      | Mar.      | Apr.      | May       | June      | Total      |
|-------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
| American Indian or Alaska Native          | 0         | 0         | 0         | 0         | 0         | 0         | 0          |
| Asian                                     | 10        | 7         | 20        | 5         | 9         | 9         | 121        |
| Black/African American                    | 2         | 0         | 3         | 4         | 1         | 4         | 22         |
| Hispanic                                  | 18        | 31        | 19        | 8         | 15        | 37        | 311        |
| Native Hawaiian or Other Pacific Islander | 0         | 0         | 0         | 0         | 0         | 0         | 0          |
| Other Ethnicity or Race / Multi-Cultural  | 1         | 6         | 10        | 3         | 5         | 3         | 52         |
| White                                     | 4         | 25        | 17        | 6         | 6         | 7         | 105        |
| <b>Total Number Screened</b>              | <b>35</b> | <b>69</b> | <b>69</b> | <b>26</b> | <b>36</b> | <b>60</b> | <b>611</b> |
| <b>Total Number Referred to RCOC</b>      | <b>21</b> | <b>37</b> | <b>36</b> | <b>17</b> | <b>12</b> | <b>18</b> | <b>276</b> |



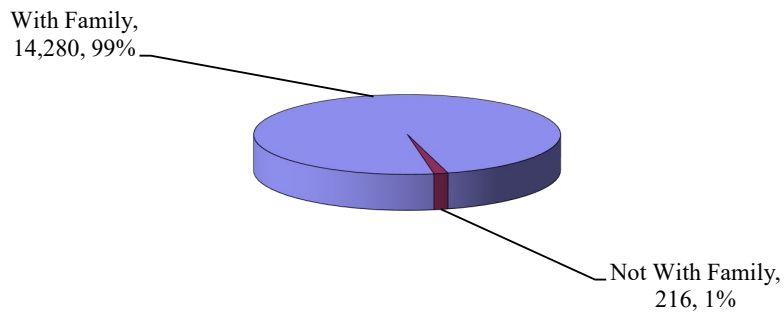
## LIVING OPTIONS

### Related Guiding Principles

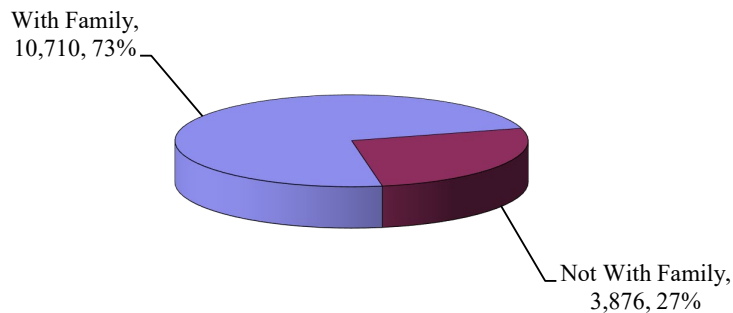
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

| Where Persons Served Live | Persons Served<br>All | Persons Served<br>Under 18 | Persons Served<br>Over 18 |
|---------------------------|-----------------------|----------------------------|---------------------------|
| With Family               | 24,990                | 14,280                     | 10,710                    |
| Not With Family           | 4,092                 | 216                        | 3,876                     |
| <b>Totals</b>             | <b>29,082</b>         | <b>14,496</b>              | <b>14,586</b>             |

**Where Persons Served Under 18 Live**



**Where Persons Served Over 18 Live**

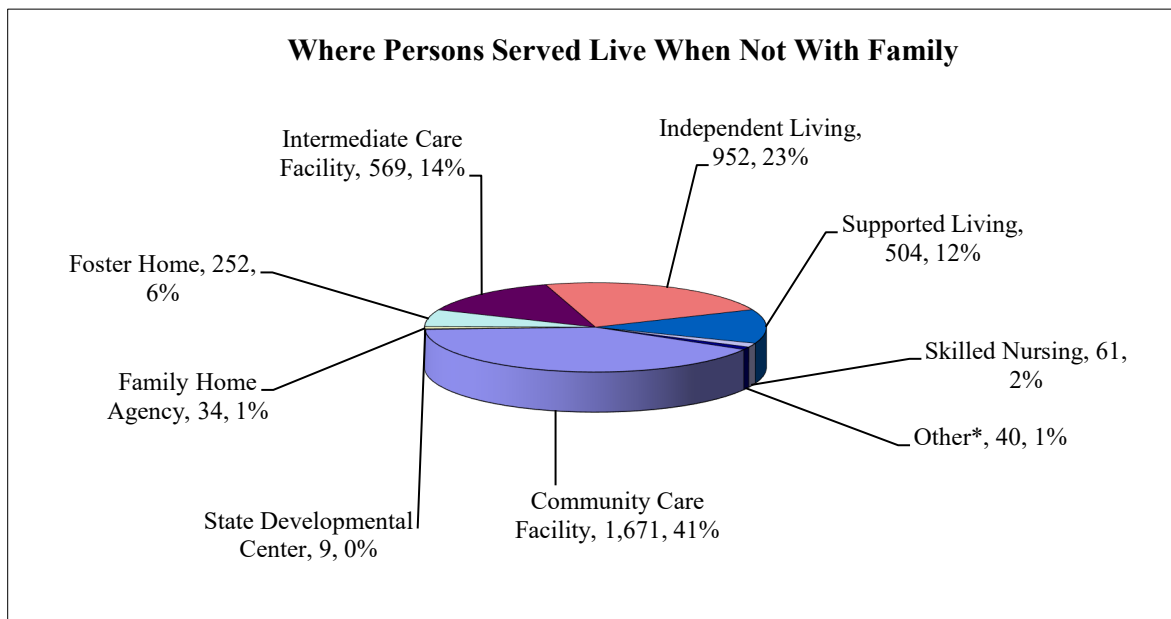




## LIVING OPTIONS, continued

| Where Persons Served Live  | All Persons Served | Persons Served Under 18 | Persons Served Over 18 |
|----------------------------|--------------------|-------------------------|------------------------|
| Family Home                | 24,990             | 14,280                  | 10,710                 |
| Community Care Facility    | 1,671              | 16                      | 1,655                  |
| State Developmental Center | 9                  | 0                       | 9                      |
| Family Home Agency         | 34                 | 0                       | 34                     |
| Foster Home                | 252                | 191                     | 61                     |
| Intermediate Care Facility | 569                | 0                       | 569                    |
| Independent Living         | 952                | 0                       | 952                    |
| Supported Living           | 504                | 0                       | 504                    |
| Skilled Nursing            | 61                 | 0                       | 61                     |
| Other*                     | 40                 | 9                       | 31                     |
| <b>Total</b>               | <b>29,082</b>      | <b>14,496</b>           | <b>14,586</b>          |

| <b>Other*</b>              |           |           |           |
|----------------------------|-----------|-----------|-----------|
| Acute General Hospital     | 2         | 0         | 2         |
| California Youth Authority | 0         | 0         | 0         |
| Community Treatment        | 1         | 0         | 1         |
| Correctional Institution   | 0         | 0         | 0         |
| County Jail                | 0         | 0         | 0         |
| Other                      | 0         | 0         | 0         |
| Out of State               | 1         | 1         | 0         |
| Psychiatric Treatment      | 28        | 3         | 25        |
| Rehabilitation Center      | 5         | 0         | 5         |
| SDC / State Hospital       | 10        | 2         | 8         |
| Sub-Acute                  | 16        | 11        | 5         |
| Transient / Homeless       | 9         | 2         | 7         |
| <b>Total, Other*</b>       | <b>72</b> | <b>19</b> | <b>53</b> |



## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

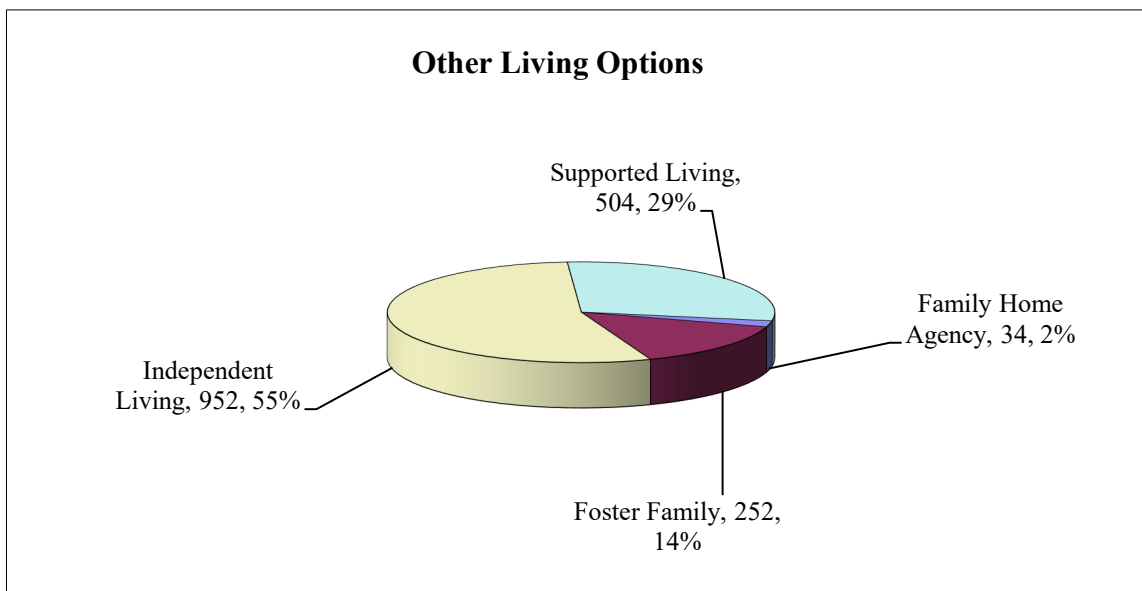
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

| Other Living Options | Total        | Under 18   | Over 18      |
|----------------------|--------------|------------|--------------|
| Family Home Agency   | 34           | 0          | 34           |
| Foster Family        | 252          | 191        | 61           |
| Independent Living   | 952          | 0          | 952          |
| Supported Living     | 504          | 0          | 504          |
| <b>Total</b>         | <b>1,742</b> | <b>191</b> | <b>1,551</b> |



## **LIVING OPTIONS, continued**

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### **Health Licensed Facilities**

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### **Community Care Licensed Facilities**

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2025-26*

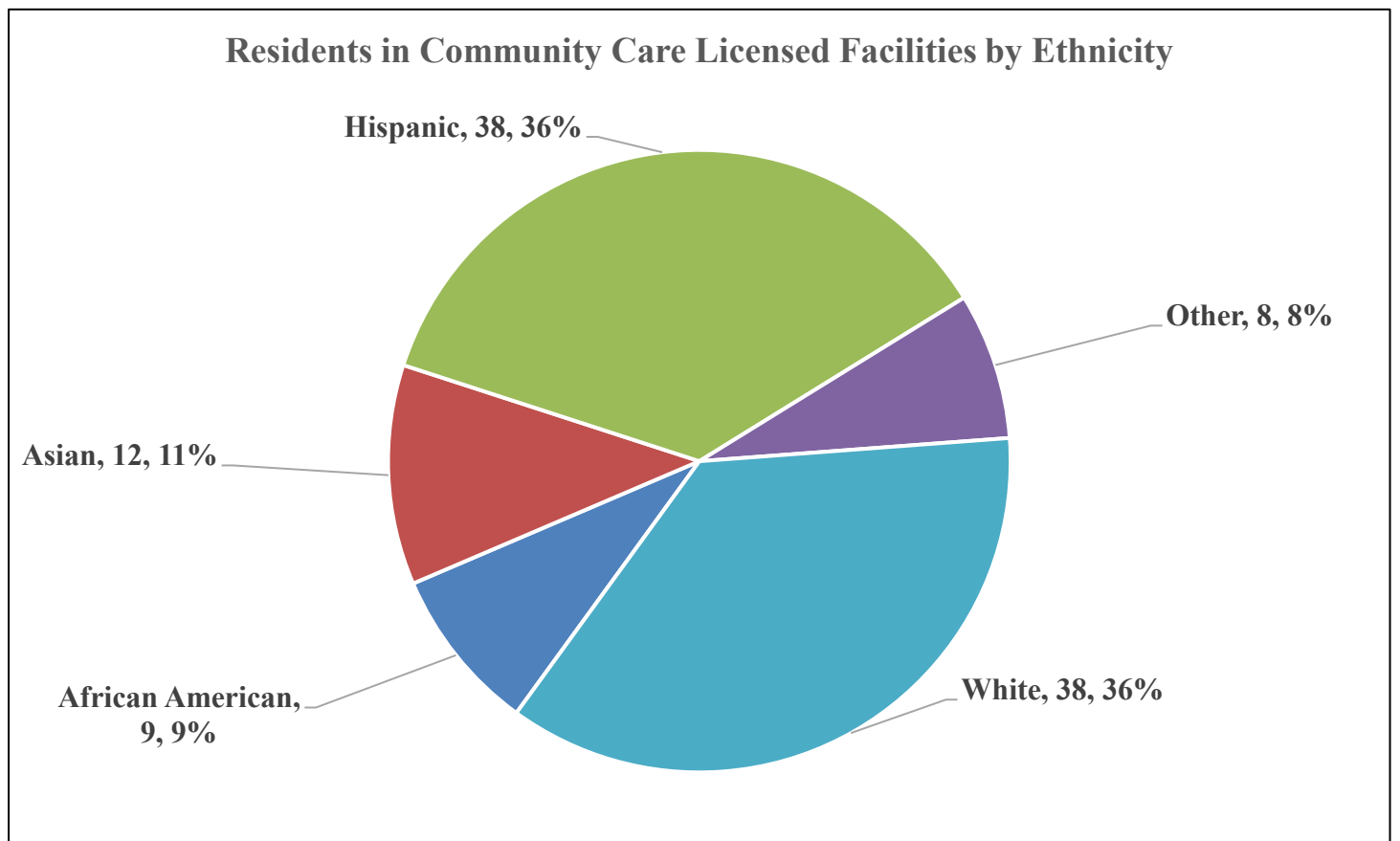
| Licensed Facilities | Total     | Over 18   | Under 18 |
|---------------------|-----------|-----------|----------|
| Level 2             | 0         | 0         | 0        |
| Level 3             | 0         | 0         | 0        |
| Level 4A            | 0         | 0         | 0        |
| Level 4B            | 0         | 0         | 0        |
| Level 4C            | 0         | 0         | 0        |
| Level 4D            | 0         | 0         | 0        |
| Level 4E            | 0         | 0         | 0        |
| Level 4F            | 0         | 0         | 0        |
| Level 4G            | 0         | 0         | 0        |
| Level 4H            | 0         | 0         | 0        |
| Level 4I            | 0         | 0         | 0        |
| Elderly             | 20        | 20        | 0        |
| ICF/DD-H            | 0         | 0         | 0        |
| ICF/DD-N            | 2         | 2         | 0        |
| ICF/DD              | 0         | 0         | 0        |
| Skilled Nursing     | 0         | 0         | 0        |
| <b>Total</b>        | <b>22</b> | <b>22</b> | <b>0</b> |

| Licensed Facilities Summary | Total     | Over 18   | Under 18 |
|-----------------------------|-----------|-----------|----------|
| Level 2                     | 0         | 0         | 0        |
| Level 3                     | 0         | 0         | 0        |
| Level 4                     | 0         | 0         | 0        |
| ICF/DD-H                    | 0         | 0         | 0        |
| ICF/DD-N                    | 2         | 2         | 0        |
| Elderly                     | 20        | 20        | 0        |
| Skilled Nursing             | 0         | 0         | 0        |
| <b>Total</b>                | <b>22</b> | <b>22</b> | <b>0</b> |

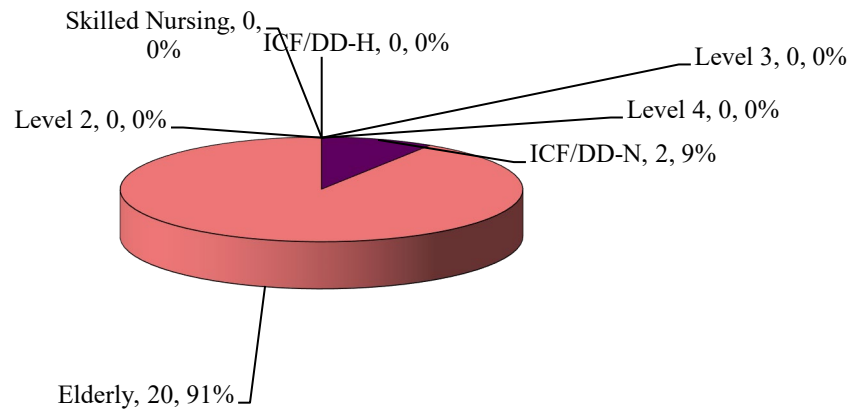
## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2025-26

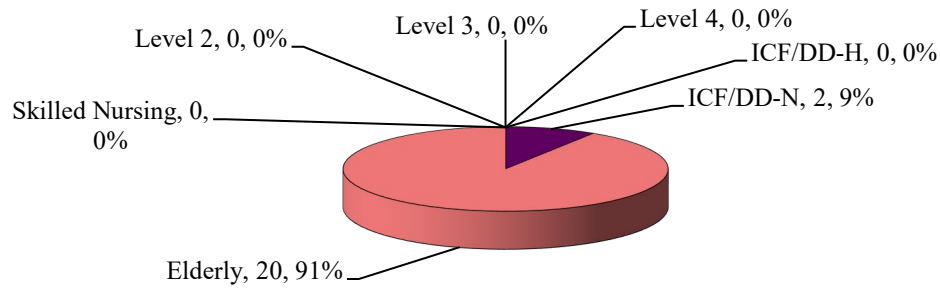
| Licensed Facilities | African American | Asian     | Hispanic  | Other    | White     | Total      |
|---------------------|------------------|-----------|-----------|----------|-----------|------------|
| Level 2             | 1                | 3         | 13        | 3        | 9         | 29         |
| Level 3             | 0                | 6         | 17        | 4        | 17        | 44         |
| Level 4A            | 8                | 3         | 8         | 1        | 12        | 32         |
| Level 4B            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4C            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4D            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4E            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4F            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4G            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4H            | 0                | 0         | 0         | 0        | 0         | 0          |
| Level 4I            | 0                | 0         | 0         | 0        | 0         | 0          |
| <b>Total</b>        | <b>9</b>         | <b>12</b> | <b>38</b> | <b>8</b> | <b>38</b> | <b>105</b> |



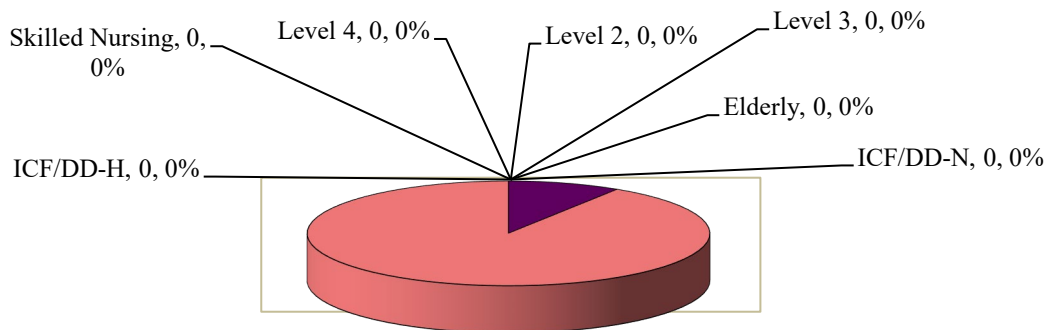
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

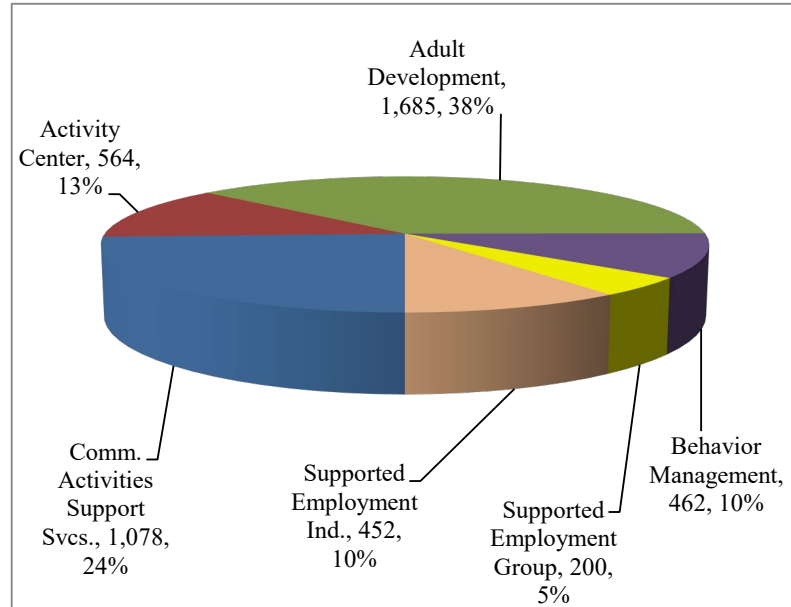


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

| Adult Day & Employment Services | Persons Served Over 18 |
|---------------------------------|------------------------|
| Comm. Activities Support Svcs.  | 1,078                  |
| Activity Center                 | 564                    |
| Adult Development               | 1,685                  |
| Behavior Management             | 462                    |
| Supported Employment Group      | 200                    |
| Supported Employment Ind.       | 452                    |
| <b>Total</b>                    | <b>4,441</b>           |



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

#### *Fiscal Year 2025-26*

| <b>Service Coordination:</b>                   | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|------------------------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|
| Number of Service Coordinators (SC)            | 469.1       | 481.2       | 479.3        | 504.4       | 502.0       | 499.3       |
| Number of Case-Carrying SCs                    | 416.8       | 429.0       | 429.0        | 452.1       | 446.5       | 442.4       |
| Number of Intake SCs                           | 43.0        | 43.0        | 43.0         | 45.0        | 45.0        | 45.0        |
| Number of Active Persons Served                | 27,926      | 28,006      | 28,174       | 28,291      | 28,458      | 28,611      |
| Caseload Ratio, # of Active Persons Served/SCs | 67.0        | 65.3        | 65.7         | 62.6        | 63.7        | 64.7        |

| <b>Service Coordination:</b>                   | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> |
|------------------------------------------------|-------------|-------------|-------------|-------------|------------|-------------|
| Number of Service Coordinators (SC)            | 496.3       | 528.2       | 549.2       | 540.2       | 539.2      | 532.5       |
| Number of Case-Carrying SCs                    | 440.3       | 471.3       | 492.3       | 483.9       | 482.9      | 476.6       |
| Number of Intake SCs                           | 44.0        | 43.0        | 45.0        | 44.0        | 44.0       | 44.0        |
| Number of Active Persons Served                | 28,745      | 28,768      | 28,901      | 28,998      | 29,108     | 29,246      |
| Caseload Ratio, # of Active Persons Served/SCs | 65.3        | 61.0        | 58.7        | 59.9        | 60.3       | 61.4        |



**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**

**Fiscal Year 2025-26**

|                                              | Jul.      | Aug.      | Sept.     | Oct.      | Nov.      | Dec.      | Jan.      | Feb.      | Mar.      | Apr.      | May       | June      |
|----------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>Number of Unsettled Hearing Requests*</b> | <b>28</b> | <b>27</b> | <b>23</b> | <b>27</b> | <b>24</b> | <b>27</b> | <b>27</b> | <b>32</b> | <b>34</b> | <b>42</b> | <b>51</b> | <b>49</b> |
| Eligibility - Lanterman                      | 9         | 10        | 8         | 9         | 11        | 13        | 10        | 14        | 11        | 11        | 11        | 12        |
| Behavioral services                          |           |           |           | 2         | 2         | 2         | 2         | 1         | 3         | 1         | 3         | 1         |
| Respite                                      | 2         | 3         | 3         | 4         | 2         | 1         | 1         |           | 2         |           | 1         |           |
| Day Care                                     |           |           |           |           |           |           |           |           |           |           |           |           |
| Self Determination Budget                    | 10        | 4         | 6         | 6         | 5         | 7         | 8         | 12        | 7         | 12        | 18        | 21        |
| Personal Assistance                          | 2         | 2         | 2         | 1         |           |           |           | 1         | 4         | 4         | 7         | 5         |
| Other**                                      | 7         | 9         | 6         | 6         | 5         | 5         | 7         | 5         | 13        |           | 11        | 8         |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                              |           |          |          |           |          |           |           |           |           |           |           |           |
|----------------------------------------------|-----------|----------|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>Number of New Hearing Requests Filed*</b> | <b>10</b> | <b>8</b> | <b>7</b> | <b>14</b> | <b>7</b> | <b>14</b> | <b>12</b> | <b>13</b> | <b>15</b> | <b>19</b> | <b>18</b> | <b>14</b> |
| Eligibility - Lanterman                      | 3         | 6        | 5        | 5         | 6        | 7         | 7         | 6         | 7         | 5         | 6         | 4         |
| Eligibility - Early Start                    |           |          |          |           |          |           |           |           |           |           |           |           |
| Behavioral services                          |           |          |          | 2         |          |           |           |           | 1         |           |           |           |
| Respite                                      | 1         | 1        |          | 1         |          |           |           |           |           |           |           |           |
| Day Care                                     |           |          |          |           |          |           |           |           |           |           |           |           |
| Social/Recreational                          | 1         |          |          |           |          | 1         |           |           | 1         | 1         |           |           |
| Social Skills Training                       |           |          |          |           |          |           |           |           |           |           | 1         |           |
| SDP                                          | 2         |          | 2        | 3         |          | 4         | 5         | 4         |           | 6         | 9         | 7         |
| Personal Assistance                          | 1         |          |          |           |          |           |           |           | 1         |           | 1         | 1         |
| Other**                                      | 2         | 1        |          | 1         | 1        | 1         |           |           | 5         | 6         | 1         | 2         |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                    |           |           |           |           |          |           |          |           |           |           |           |           |
|------------------------------------|-----------|-----------|-----------|-----------|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|
| <b>Number of All Meetings Held</b> | <b>14</b> | <b>10</b> | <b>12</b> | <b>10</b> | <b>9</b> | <b>14</b> | <b>8</b> | <b>18</b> | <b>10</b> | <b>16</b> | <b>21</b> | <b>20</b> |
| Number of Informal Meetings Held   | 9         | 8         | 6         | 10        | 7        | 12        | 8        | 12        | 8         | 10        | 9         | 11        |
| Number of Mediations Held          | 3         |           | 2         |           | 1        | 2         |          | 4         | 2         | 4         | 10        | 9         |
| Number of SLFHs Held               | 2         | 2         | 2         |           | 1        |           |          | 2         |           | 2         | 2         |           |

|                                          |          |          |          |          |          |          |           |          |           |           |           |           |
|------------------------------------------|----------|----------|----------|----------|----------|----------|-----------|----------|-----------|-----------|-----------|-----------|
| <b>Number of Requests in Scheduling*</b> | <b>7</b> | <b>7</b> | <b>4</b> | <b>6</b> | <b>4</b> | <b>2</b> | <b>12</b> | <b>0</b> | <b>14</b> | <b>17</b> | <b>16</b> | <b>13</b> |
|------------------------------------------|----------|----------|----------|----------|----------|----------|-----------|----------|-----------|-----------|-----------|-----------|

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

|                                    |          |          |          |          |          |          |          |          |          |          |          |          |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| <b>Number of Requests Pending*</b> | <b>1</b> | <b>2</b> | <b>0</b> | <b>1</b> | <b>1</b> | <b>0</b> | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|

\* State Level Fair Hearing (SLFH) held but awaiting decision.

|                                         |          |          |          |           |           |           |          |           |           |          |           |           |
|-----------------------------------------|----------|----------|----------|-----------|-----------|-----------|----------|-----------|-----------|----------|-----------|-----------|
| <b>Number of Requests Settled</b>       | <b>6</b> | <b>8</b> | <b>9</b> | <b>10</b> | <b>10</b> | <b>11</b> | <b>7</b> | <b>13</b> | <b>10</b> | <b>9</b> | <b>14</b> | <b>16</b> |
| Withdrawn by Person Served/Family       |          |          | 1        | 2         | 5         | 1         | 1        | 1         | 1         | 6        | 3         | 5         |
| Settled in Informal                     | 4        | 7        | 5        | 7         | 5         | 9         | 6        | 11        | 6         | 3        | 7         | 5         |
| Settled after further follow-up by RCOC |          |          |          | 1         |           |           |          |           |           |          |           |           |
| Settled in Mediation                    | 1        |          | 1        |           |           |           |          | 1         | 1         |          | 3         | 4         |
| SLFH Decision                           | 1        | 1        | 2        |           |           | 1         |          |           | 2         |          | 1         | 2         |

**State Level Fair Hearing Decisions**

|                         |   |   |   |  |  |   |  |  |   |  |   |   |
|-------------------------|---|---|---|--|--|---|--|--|---|--|---|---|
| <b>Prevailing Party</b> |   |   |   |  |  |   |  |  |   |  |   |   |
| Person Served/Family    |   |   |   |  |  |   |  |  |   |  |   |   |
| RCOC                    | 1 | 1 | 2 |  |  | 1 |  |  | 2 |  | 1 | 2 |
| Split                   |   |   |   |  |  |   |  |  |   |  |   |   |

## ADMINISTRATION AND GOVERNANCE

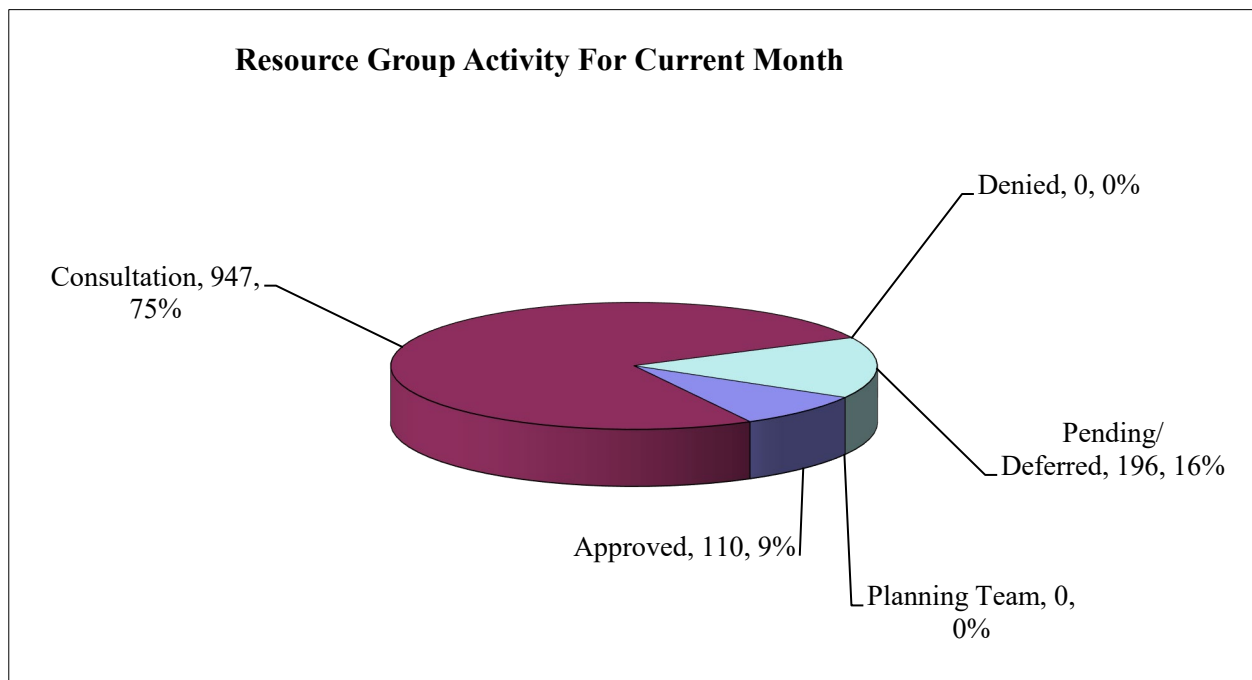
### Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for June 2026 and Fiscal Year to Date

| Disposition          | Approved   | Consultation | Denied   | Pending/Deferred | Planning Team | Total        |
|----------------------|------------|--------------|----------|------------------|---------------|--------------|
| Adult Day            | 9          | 292          | 0        | 69               | 0             | 370          |
| Behavioral           | 20         | 60           | 0        | 12               | 0             | 92           |
| Education            | 0          | 0            | 0        | 0                | 0             | 0            |
| Eligibility/Health   | 1          | 0            | 0        | 3                | 0             | 4            |
| Early Start          | 12         | 113          | 0        | 16               | 0             | 141          |
| Living Options       | 13         | 120          | 0        | 6                | 0             | 139          |
| Supported/Ind.       | 9          | 145          | 0        | 22               | 0             | 176          |
| All Others           | 46         | 217          | 0        | 68               | 0             | 331          |
| <b>Monthly Total</b> | <b>110</b> | <b>947</b>   | <b>0</b> | <b>196</b>       | <b>0</b>      | <b>1,253</b> |

|                                     |       |        |     |       |   |               |
|-------------------------------------|-------|--------|-----|-------|---|---------------|
| <b>FY 2025-26<br/>Total to Date</b> | 1,414 | 12,124 | 193 | 2,004 | 0 | <b>15,735</b> |
|-------------------------------------|-------|--------|-----|-------|---|---------------|



## Operations Report Summary - June 2026

| About Persons Served       | Early Start | Medicaid Waiver | All Other  | SDC       | Total         | Under 18   | Over 18    |
|----------------------------|-------------|-----------------|------------|-----------|---------------|------------|------------|
| Number of Persons Served   | 3,439       | 8,929           | 11,611     | 9         | <b>23,988</b> | 14,496     | 14,586     |
| <i>Percentage of Total</i> | <i>14%</i>  | <i>37%</i>      | <i>48%</i> | <i>0%</i> | <i>100%</i>   | <i>60%</i> | <i>61%</i> |

|                                                                     |            |
|---------------------------------------------------------------------|------------|
| <b>Children served in Prevention Resource and Referral Services</b> | <b>458</b> |
|---------------------------------------------------------------------|------------|

| Persons Served by Residence Status | All           | Under 18      | Over 18       |
|------------------------------------|---------------|---------------|---------------|
| Family Home                        | 24,990        | 14,280        | 10,710        |
| Community Care Facility            | 1,671         | 16            | 1,655         |
| State Developmental Center         | 9             | 0             | 9             |
| Family Home Agency                 | 34            | 0             | 34            |
| Foster Home                        | 252           | 191           | 61            |
| Intermediate Care Facility         | 569           | 0             | 569           |
| Independent Living                 | 952           | 0             | 952           |
| Supported Living                   | 504           | 0             | 504           |
| Skilled Nursing                    | 61            | 0             | 61            |
| Other                              | 40            | 9             | 31            |
| <b>Total</b>                       | <b>29,082</b> | <b>14,496</b> | <b>14,586</b> |

| Special Incident Investigations | Year to Date |
|---------------------------------|--------------|
| AWOL                            | 53           |
| Abuse                           | 117          |
| Neglect                         | 97           |
| Injury                          | 313          |
| Hospitalizations - Total        | 568          |
| Death                           | 240          |
| Victim of crime                 | 11           |
| Arrest                          | 15           |
| Rights                          | 261          |
| <b>Total</b>                    | <b>1,675</b> |

### Number of Licensed Facilities

| Community Care Facilities              | Total      | Under 18 | Over 18    |
|----------------------------------------|------------|----------|------------|
| Level 2                                | 73         | 0        | 73         |
| Level 3                                | 87         | 0        | 106        |
| Level 4                                | 211        | 8        | 52         |
| <b>Total Community Care Facilities</b> | <b>371</b> | <b>8</b> | <b>231</b> |

| Licensed Facility Monitoring | Year to Date |
|------------------------------|--------------|
| Annual Review                | 525          |
| Unannounced                  | 876          |
| Total Number of Reviews      | 941          |
| Provider Trainings           | 0            |
| Technical Support            | 3,754        |
| Corrective Action Plans      | 50           |

| Intermediate Care Facilities (ICF) |            |
|------------------------------------|------------|
| ICF-DD                             | 0          |
| ICF-DD/Habilitation                | 65         |
| ICF-DD/Nursing                     | 41         |
| <b>Total ICF Facilities</b>        | <b>106</b> |

|                                       |                  |
|---------------------------------------|------------------|
| <b>Number of Audits</b>               | <b>20</b>        |
| <b>Amount of Recovery from Audits</b> | <b>\$409,569</b> |

|                                  |            |
|----------------------------------|------------|
| <b>Total Licensed Facilities</b> | <b>477</b> |
|----------------------------------|------------|

## Summary of Information About Persons Served - July 2026

| NUMBER OF PERSONS SERVED                                     | 29,473 | 100% |
|--------------------------------------------------------------|--------|------|
| Children - Birth to Age Three Receiving Early Start Services | 3,501  | 12%  |
| Children - Ages Three to Five Receiving Provisional Services | 460    | 2%   |
| Children - Ages Three to 17 Receiving Lanterman Services     | 10,785 | 37%  |
| Adults - Ages 18 and Older Receiving Lanterman Services      | 14,727 | 50%  |

|                                                                                          |            |
|------------------------------------------------------------------------------------------|------------|
| <b>Children - Birth to Age Three Receiving Prevention Resource and Referral Services</b> | <b>267</b> |
|------------------------------------------------------------------------------------------|------------|

*Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:*

|                         |        |     |
|-------------------------|--------|-----|
| Intellectual Disability | 11,520 | 51% |
| Epilepsy                | 2,888  | 13% |
| Cerebral Palsy          | 2,512  | 11% |
| Autism                  | 13,758 | 50% |
| Fifth Category*         | 2,284  | 9%  |

\* condition closely related to intellectual disability and requiring similar treatment

*Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.*

| NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION            | 201 |
|-------------------------------------------------------------------|-----|
| Early Start / Under Age Three / 45 days to complete determination | 125 |
| Lanterman / Over Age Three / 120 days to complete determination   | 70  |
| Provisional / Up to Age Five / 90 days to complete determination  | 6   |

| NUMBER OF PERSONS DETERMINED ELIGIBLE                                                | 166 |
|--------------------------------------------------------------------------------------|-----|
| Children - Birth to Age Three Eligible for Early Start Services                      | 0   |
| Children and Adults - Ages Three and Older Eligible for Lanterman Services           | 141 |
| • Number of children who received Early Start services                               | 13  |
| • Number of children who received Early Start services and had a diagnosis of autism | 12  |
| Children - Birth to Age Three Eligible for Prevention Resource and Referral Services | 0   |

| NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES | 63 |
|----------------------------------------------------------------------------------------------------|----|
| Children - Age Three No Longer Eligible for Early Start Services                                   | 61 |
| Children - Age Three No Longer Eligible for Prevention Resource and Referral Services              | 2  |

# REGIONAL CENTER OF ORANGE COUNTY



## OPERATIONS REPORT

### JULY 2026 ACTIVITY

#### ***Mission Statement***

*The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.*

## COMMUNITY LIFE

### Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

### Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2026-27

| Type and Number of Reviews     | Jul.      | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|--------------------------------|-----------|----------|----------|----------|----------|----------|
| Annual Review                  | 15        |          |          |          |          |          |
| Unannounced                    | 21        |          |          |          |          |          |
| <b>Total Number of Reviews</b> | <b>36</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|                                  |    |  |  |  |  |  |
|----------------------------------|----|--|--|--|--|--|
| Provider Trainings               | 0  |  |  |  |  |  |
| Technical Support                | 79 |  |  |  |  |  |
| Corrective Action Plans          | 2  |  |  |  |  |  |
| Special Incident Investigations* | 13 |  |  |  |  |  |

| Type and Number of Reviews     | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total     |
|--------------------------------|----------|----------|----------|----------|----------|----------|-----------|
| Annual Review                  |          |          |          |          |          |          | 15        |
| Unannounced                    |          |          |          |          |          |          | 21        |
| <b>Total Number of Reviews</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>36</b> |

|                                  |  |  |  |  |  |  |    |
|----------------------------------|--|--|--|--|--|--|----|
| Provider Trainings               |  |  |  |  |  |  | 0  |
| Technical Support                |  |  |  |  |  |  | 79 |
| Corrective Action Plans          |  |  |  |  |  |  | 2  |
| Special Incident Investigations* |  |  |  |  |  |  | 13 |

\* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

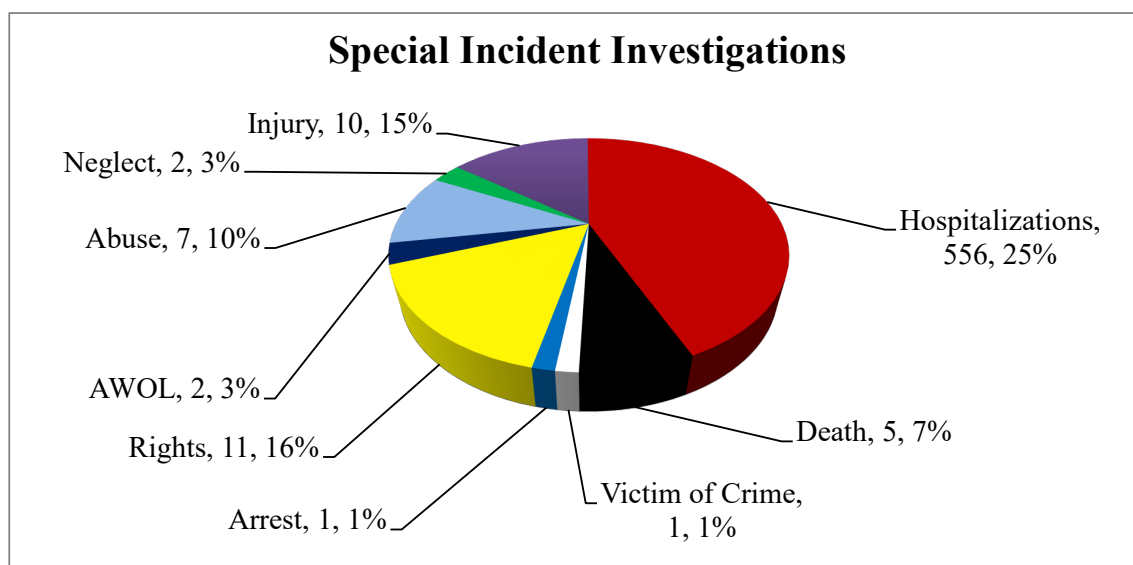
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
  - 1. Physical;
  - 2. Sexual;
  - 3. Fiduciary;
  - 4. Emotional/mental; or
  - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
  - 1. Provide medical care for physical and mental health needs;
  - 2. Prevent malnutrition or dehydration;
  - 3. Protect from health and safety hazards;
  - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
  - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
  - 1. Lacerations requiring sutures or staples;
  - 2. Puncture wounds requiring medical treatment beyond first aid;
  - 3. Fractures;
  - 4. Dislocations;
  - 5. Bites that break the skin and require medical treatment beyond first aid;
  - 6. Internal bleeding requiring medical treatment beyond first aid;
  - 7. Any medication errors;
  - 8. Medication reactions that require medical treatment beyond first aid; or
  - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
  - 1. Respiratory illness, including but not limited to, asthma; tuberculosis; and chronic obstructive pulmonary disease;
  - 2. Seizure-related;
  - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
  - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
  - 5. Diabetes, including diabetes-related complications;
  - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
  - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
  - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
  - (A) The death of any consumer, regardless of cause;
  - (B) The consumer is the victim of a crime including the following:
    - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
    - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
    - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
    - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
    - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOG includes arrest and rights violations as reportable incidents.

**Type and Number of Special Incident Investigations**  
**Fiscal Year 2026-27**

| Type of Incident         | Jul.      | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|--------------------------|-----------|----------|----------|----------|----------|----------|
| AWOL                     | 2         |          |          |          |          |          |
| Abuse                    | 7         |          |          |          |          |          |
| Neglect                  | 2         |          |          |          |          |          |
| Injury                   | 10        |          |          |          |          |          |
| Hospitalizations - Total | 30        |          |          |          |          |          |
| <i>Psychiatric</i>       | 7         |          |          |          |          |          |
| <i>Medical</i>           | 23        |          |          |          |          |          |
| Death                    | 5         |          |          |          |          |          |
| Victim of crime          | 1         |          |          |          |          |          |
| Arrest                   | 1         |          |          |          |          |          |
| Rights                   | 11        |          |          |          |          |          |
| <b>Total</b>             | <b>69</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

| Type of Incident         | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total     |
|--------------------------|----------|----------|----------|----------|----------|----------|-----------|
| AWOL                     |          |          |          |          |          |          | 2         |
| Abuse                    |          |          |          |          |          |          | 7         |
| Neglect                  |          |          |          |          |          |          | 2         |
| Injury                   |          |          |          |          |          |          | 10        |
| Hospitalizations - Total |          |          |          |          |          |          | 30        |
| <i>Psychiatric</i>       |          |          |          |          |          |          | 7         |
| <i>Medical</i>           |          |          |          |          |          |          | 23        |
| Death                    |          |          |          |          |          |          | 5         |
| Victim of Crime          |          |          |          |          |          |          | 1         |
| Arrest                   |          |          |          |          |          |          | 1         |
| Rights                   |          |          |          |          |          |          | 11        |
| <b>Total</b>             | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>69</b> |





**COMMUNITY LIFE continued**

**Provider Audits**

*Fiscal Year 2026-27*

***Number of Audits / Appeals / Recoveries***

| <b>Type of Audit</b>          | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|-------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|
| Service Billing               |             |             |              |             |             |             |
| Staffing                      |             |             |              |             |             |             |
| Level 4I Consultant           |             |             |              |             |             |             |
| P&I (consumer funds)          | 2           |             |              |             |             |             |
| <b>Total Number of Audits</b> | <b>2</b>    |             |              |             |             |             |

***Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)***

|              |   |  |  |  |  |  |
|--------------|---|--|--|--|--|--|
| State Appeal |   |  |  |  |  |  |
| Recovery     | 1 |  |  |  |  |  |

***Audit Findings (Dollar Amount)***

|                           |            |        |        |        |        |        |
|---------------------------|------------|--------|--------|--------|--------|--------|
| <i>Amount of Recovery</i> | \$2,059.30 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
|---------------------------|------------|--------|--------|--------|--------|--------|

| <b>Type of Audit</b>          | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> | <b>Total</b> |
|-------------------------------|-------------|-------------|-------------|-------------|------------|-------------|--------------|
| Service Billing               |             |             |             |             |            |             | <b>0</b>     |
| Staffing                      |             |             |             |             |            |             | <b>0</b>     |
| Level 4I Consultant           |             |             |             |             |            |             | <b>0</b>     |
| P&I (consumer funds)          |             |             |             |             |            |             | <b>0</b>     |
| <b>Total Number of Audits</b> |             |             |             |             |            |             | <b>2</b>     |

***Number of Appeals / Recoveries***

|              |  |  |  |  |  |  |          |
|--------------|--|--|--|--|--|--|----------|
| State Appeal |  |  |  |  |  |  | <b>0</b> |
| Recovery     |  |  |  |  |  |  | <b>1</b> |

***Audit Findings (Dollar Amount)***

|                           |        |        |        |        |        |        |                   |
|---------------------------|--------|--------|--------|--------|--------|--------|-------------------|
| <i>Amount of Recovery</i> | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | <b>\$2,059.30</b> |
|---------------------------|--------|--------|--------|--------|--------|--------|-------------------|

## FAMILY SUPPORTS

### Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### *Fiscal Year 2026-27*

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jul.              | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|-----------------------------------------------|-------------------|----------|----------|----------|----------|----------|
| Day Care - Family Member                      | 92                |          |          |          |          |          |
| Diapers - Family Member                       | 3                 |          |          |          |          |          |
| Nursing Service - Family Member               | No Data Available |          |          |          |          |          |
| Respite Service - Family Member               | 707               |          |          |          |          |          |
| Transportation - Family Member                | 488               |          |          |          |          |          |
| <b>Total Number of Voucher Authorizations</b> | <b>1,290</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Number of Authorizations for Voucher Services

| Type of Service                               | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     |
|-----------------------------------------------|----------|----------|----------|----------|----------|----------|
| Day Care - Family Member                      |          |          |          |          |          |          |
| Diapers - Family Member                       |          |          |          |          |          |          |
| Nursing Service - Family Member               |          |          |          |          |          |          |
| Respite Service - Family Member               |          |          |          |          |          |          |
| Transportation - Family Member                |          |          |          |          |          |          |
| <b>Total Number of Voucher Authorizations</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

## FAMILY SUPPORTS

### Related Guiding Principles

- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

### Notifications of Community Events and Activities

**Fiscal Year 2026-27**

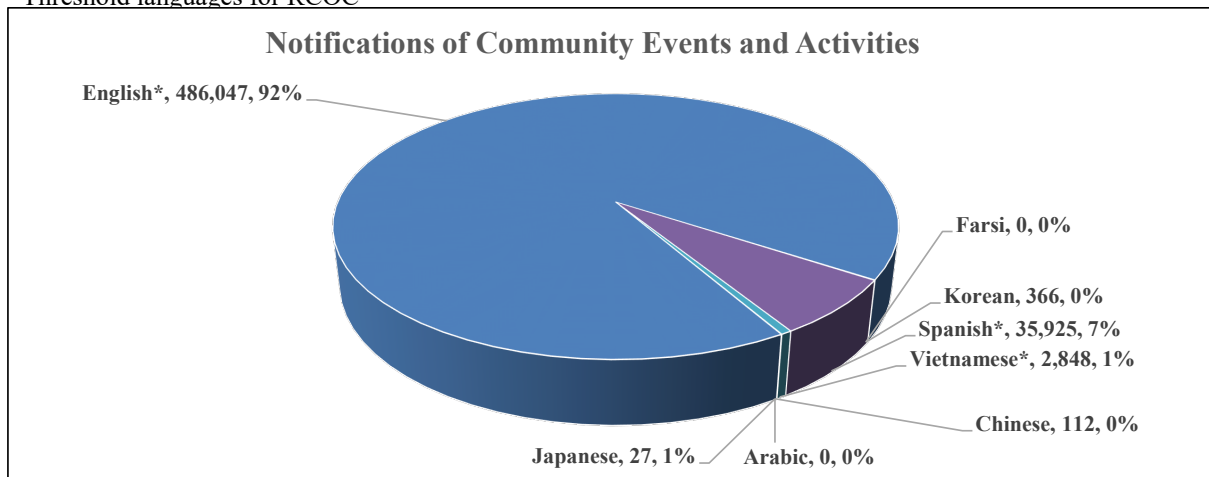
#### Number of Notifications

| Language                             | Jul.           | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|--------------------------------------|----------------|----------|----------|----------|----------|----------|
| English*                             | 486,047        |          |          |          |          |          |
| Farsi                                | 0              |          |          |          |          |          |
| Korean                               | 366            |          |          |          |          |          |
| Spanish*                             | 35,925         |          |          |          |          |          |
| Vietnamese*                          | 2,848          |          |          |          |          |          |
| Chinese                              | 112            |          |          |          |          |          |
| Japanese                             | 0              |          |          |          |          |          |
| Arabic                               | 0              |          |          |          |          |          |
| <b>Total Number of Notifications</b> | <b>525,298</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Number of Notifications

| Language                             | Jan.     | Feb.     | Mar.     | Apr.     | May      | June     | Total          |
|--------------------------------------|----------|----------|----------|----------|----------|----------|----------------|
| English*                             |          |          |          |          |          |          | 486,047        |
| Farsi                                |          |          |          |          |          |          | 0              |
| Korean                               |          |          |          |          |          |          | 366            |
| Spanish*                             |          |          |          |          |          |          | 35,925         |
| Vietnamese*                          |          |          |          |          |          |          | 2,848          |
| Chinese                              |          |          |          |          |          |          | 112            |
| Japanese                             |          |          |          |          |          |          | 0              |
| Arabic                               |          |          |          |          |          |          | 0              |
| <b>Total Number of Notifications</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>525,298</b> |

\* Threshold languages for RCOC



## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach

*Fiscal Year 2026-27*

#### Number of Outreach Events

| Type of Outreach / Language            | Jul.      | Aug.     | Sept.    | Oct.     | Nov.     | Dec.     |
|----------------------------------------|-----------|----------|----------|----------|----------|----------|
| <b>In Person/Zoom</b>                  |           |          |          |          |          |          |
| English                                | 4         |          |          |          |          |          |
| Spanish                                | 4         |          |          |          |          |          |
| Vietnamese                             |           |          |          |          |          |          |
| Other Languages**                      | 1         |          |          |          |          |          |
| <b>In Print</b>                        |           |          |          |          |          |          |
| English                                |           |          |          |          |          |          |
| Spanish                                |           |          |          |          |          |          |
| Vietnamese                             | 1         |          |          |          |          |          |
| Other Languages                        |           |          |          |          |          |          |
| <b>TV / Radio</b>                      |           |          |          |          |          |          |
| English                                | 1         |          |          |          |          |          |
| Spanish                                |           |          |          |          |          |          |
| Vietnamese                             | 4         |          |          |          |          |          |
| Other Languages                        |           |          |          |          |          |          |
| <b>Total Number of Outreach Events</b> | <b>15</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

\*\* Korean

#### Number of Outreach Events

| Language                               | Jan.     | Feb.     | Mar.     | Apr.*    | May*     | June*    | Total     |
|----------------------------------------|----------|----------|----------|----------|----------|----------|-----------|
| <b>In Person</b>                       |          |          |          |          |          |          |           |
| English                                |          |          |          |          |          |          | 4         |
| Spanish                                |          |          |          |          |          |          | 4         |
| Vietnamese                             |          |          |          |          |          |          | 0         |
| Other Languages                        |          |          |          |          |          |          | 1         |
| <b>In Print</b>                        |          |          |          |          |          |          |           |
| English                                |          |          |          |          |          |          | 0         |
| Spanish                                |          |          |          |          |          |          | 0         |
| Vietnamese                             |          |          |          |          |          |          | 1         |
| Other Languages                        |          |          |          |          |          |          | 0         |
| <b>TV / Radio</b>                      |          |          |          |          |          |          |           |
| English                                |          |          |          |          |          |          | 1         |
| Spanish                                |          |          |          |          |          |          | 0         |
| Vietnamese                             |          |          |          |          |          |          | 4         |
| Other Languages                        |          |          |          |          |          |          | 0         |
| <b>Total Number of Outreach Events</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>15</b> |

\* Virtual Meetings

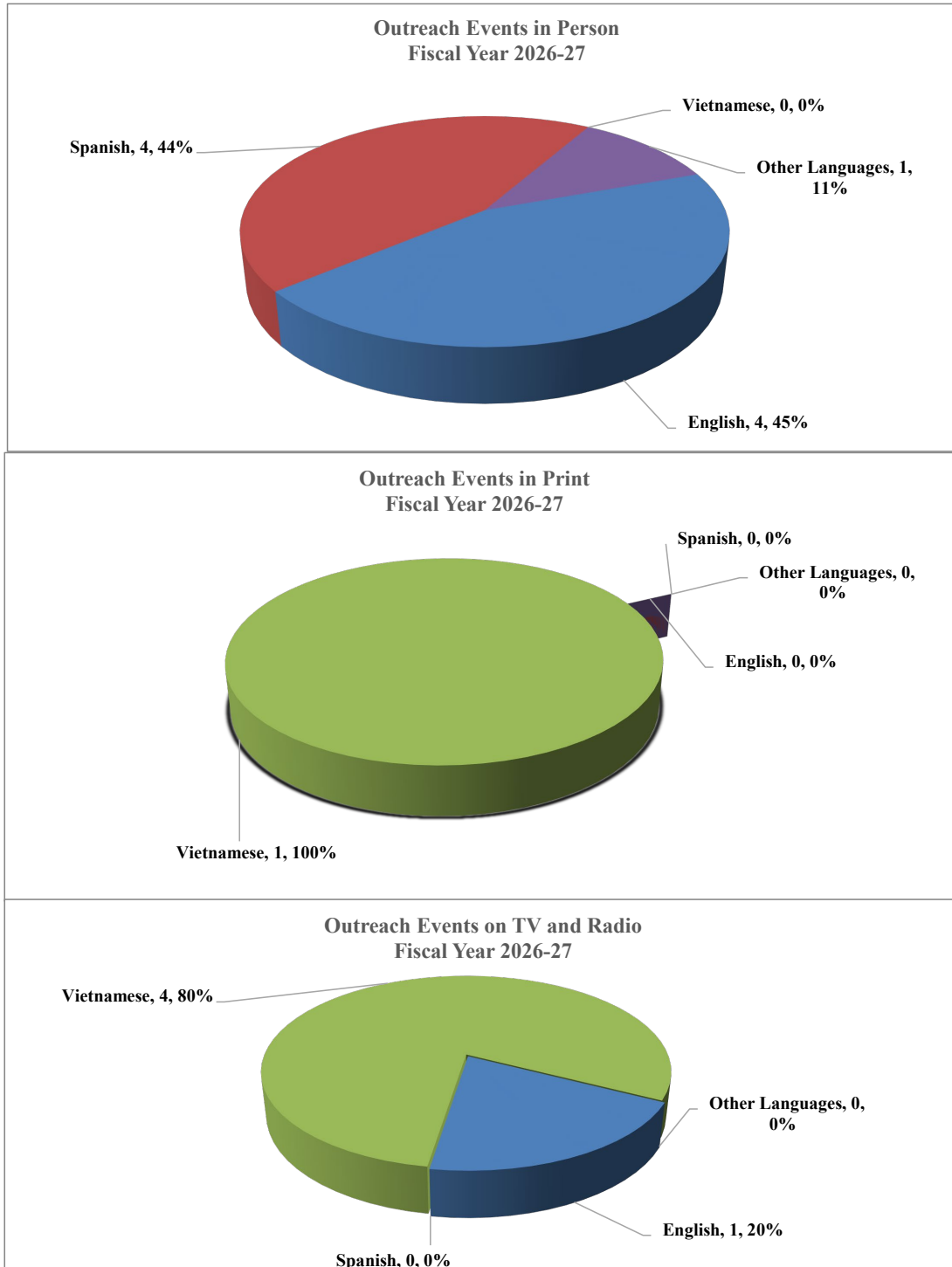
## FAMILY SUPPORTS

### Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

### Community Outreach Events in Person, in Print, on TV and Radio

Fiscal Year 2026-27



## EARLY INTERVENTION / PREVENTION

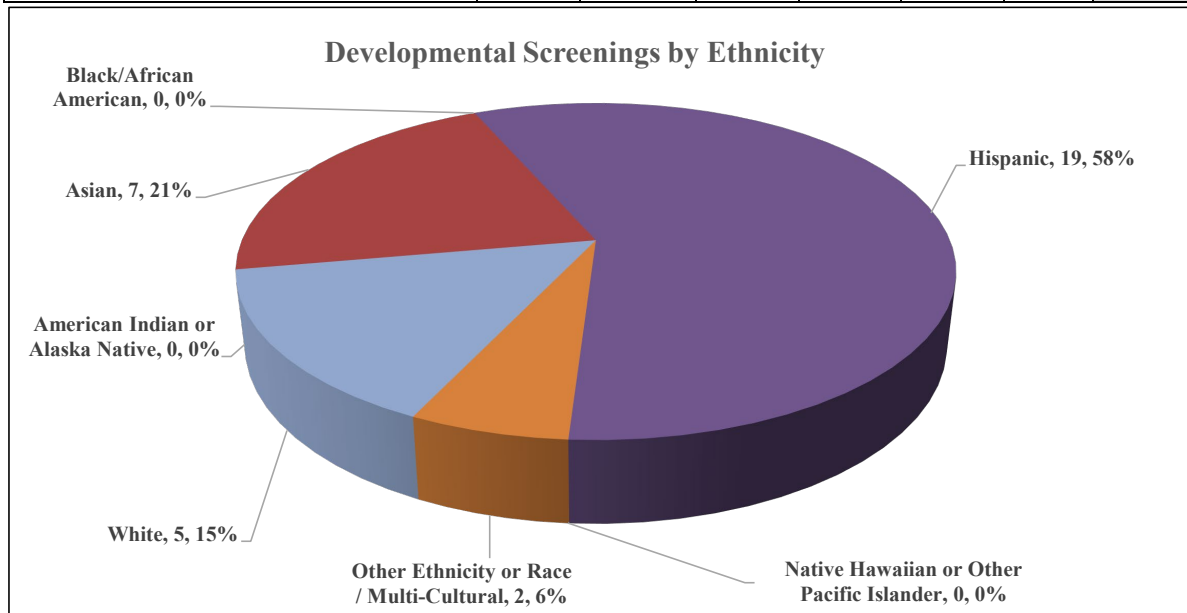
### Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

### Fiscal Year 2026-27

| Developmental Screenings by Ethnicity     | Jul.      | Aug. | Sept. | Oct. | Nov. | Dec. |
|-------------------------------------------|-----------|------|-------|------|------|------|
| American Indian or Alaska Native          | 0         |      |       |      |      |      |
| Asian                                     | 7         |      |       |      |      |      |
| Black/African American                    | 0         |      |       |      |      |      |
| Hispanic                                  | 19        |      |       |      |      |      |
| Native Hawaiian or Other Pacific Islander | 0         |      |       |      |      |      |
| Other Ethnicity or Race / Multi-Cultural  | 2         |      |       |      |      |      |
| White                                     | 5         |      |       |      |      |      |
| <b>Total Number Screened</b>              | <b>33</b> |      |       |      |      |      |
| <b>Total Number Referred to RCOC</b>      | <b>16</b> |      |       |      |      |      |

| Developmental Screenings by Ethnicity     | Jan. | Feb. | Mar. | Apr. | May | June | Total     |
|-------------------------------------------|------|------|------|------|-----|------|-----------|
| American Indian or Alaska Native          |      |      |      |      |     |      | 0         |
| Asian                                     |      |      |      |      |     |      | 7         |
| Black/African American                    |      |      |      |      |     |      | 0         |
| Hispanic                                  |      |      |      |      |     |      | 19        |
| Native Hawaiian or Other Pacific Islander |      |      |      |      |     |      | 0         |
| Other Ethnicity or Race / Multi-Cultural  |      |      |      |      |     |      | 2         |
| White                                     |      |      |      |      |     |      | 5         |
| <b>Total Number Screened</b>              |      |      |      |      |     |      | <b>33</b> |
| <b>Total Number Referred to RCOC</b>      |      |      |      |      |     |      | <b>16</b> |



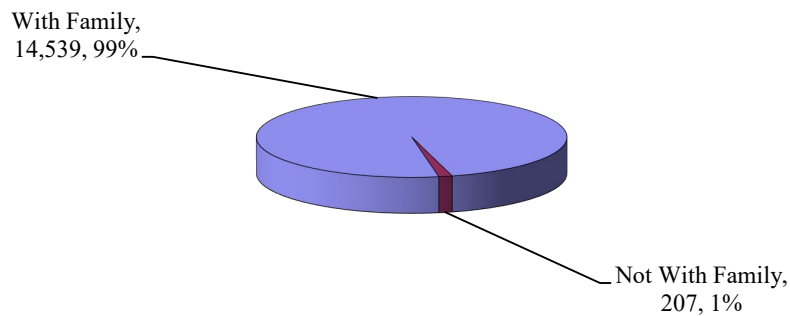
## LIVING OPTIONS

### Related Guiding Principles

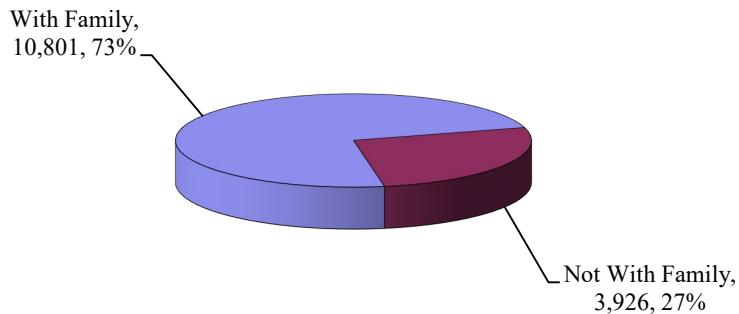
- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

| Where Persons Served Live | Persons Served<br>All | Persons Served<br>Under 18 | Persons Served<br>Over 18 |
|---------------------------|-----------------------|----------------------------|---------------------------|
| With Family               | 25,340                | 14,539                     | 10,801                    |
| Not With Family           | 4,133                 | 207                        | 3,926                     |
| <b>Totals</b>             | <b>29,473</b>         | <b>14,746</b>              | <b>14,727</b>             |

**Where Persons Served Under 18 Live**



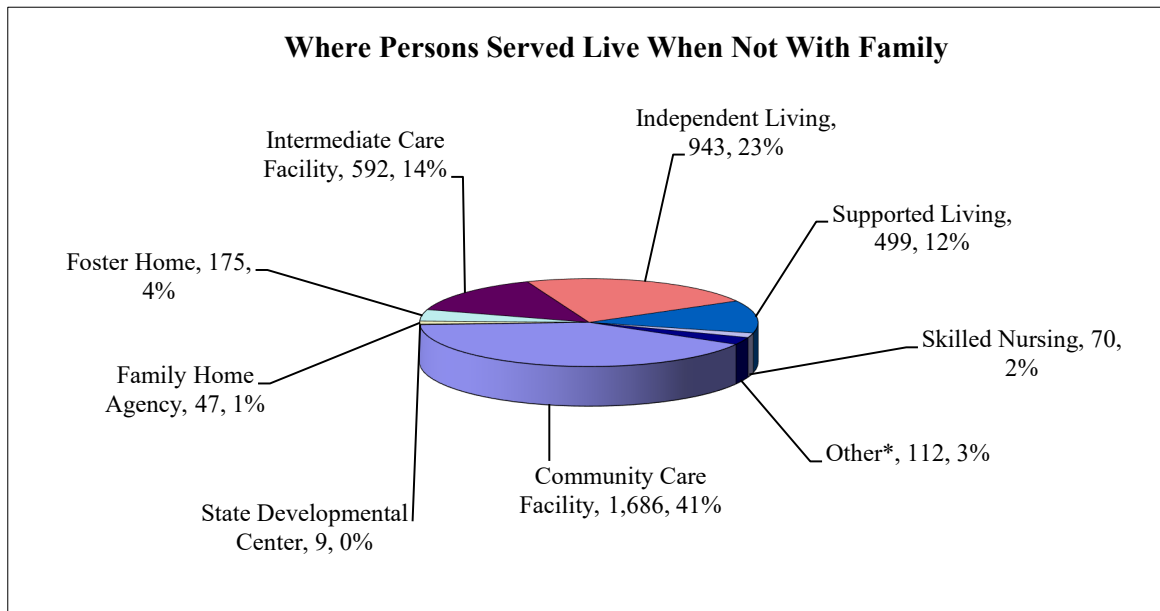
**Where Persons Served Over 18 Live**



### LIVING OPTIONS, continued

| Where Persons Served Live  | All Persons Served | Persons Served Under 18 | Persons Served Over 18 |
|----------------------------|--------------------|-------------------------|------------------------|
| Family Home                | 25,340             | 14,539                  | 10,801                 |
| Community Care Facility    | 1,686              | 16                      | 1,670                  |
| State Developmental Center | 9                  | 0                       | 9                      |
| Family Home Agency         | 47                 | 0                       | 47                     |
| Foster Home                | 175                | 166                     | 9                      |
| Intermediate Care Facility | 592                | 4                       | 588                    |
| Independent Living         | 943                | 0                       | 943                    |
| Supported Living           | 499                | 0                       | 499                    |
| Skilled Nursing            | 70                 | 0                       | 70                     |
| Other*                     | 112                | 21                      | 91                     |
| <b>Total</b>               | <b>29,473</b>      | <b>14,746</b>           | <b>14,727</b>          |

| <b>Other*</b>              |            |           |           |
|----------------------------|------------|-----------|-----------|
| Acute General Hospital     | 5          | 0         | 5         |
| California Youth Authority | 1          | 0         | 1         |
| Community Treatment        | 1          | 1         | 0         |
| Correctional Institution   | 1          | 0         | 1         |
| County Jail                | 2          | 0         | 2         |
| Other                      | 0          | 0         | 0         |
| Out of State               | 1          | 0         | 1         |
| Psychiatric Treatment      | 29         | 1         | 28        |
| Rehabilitation Center      | 5          | 0         | 5         |
| SDC / State Hospital       | 5          | 0         | 5         |
| Sub-Acute                  | 40         | 11        | 29        |
| Transient / Homeless       | 19         | 6         | 13        |
| <b>Total, Other*</b>       | <b>109</b> | <b>19</b> | <b>90</b> |





## LIVING OPTIONS, continued

### Other Living Options

#### Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

#### Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

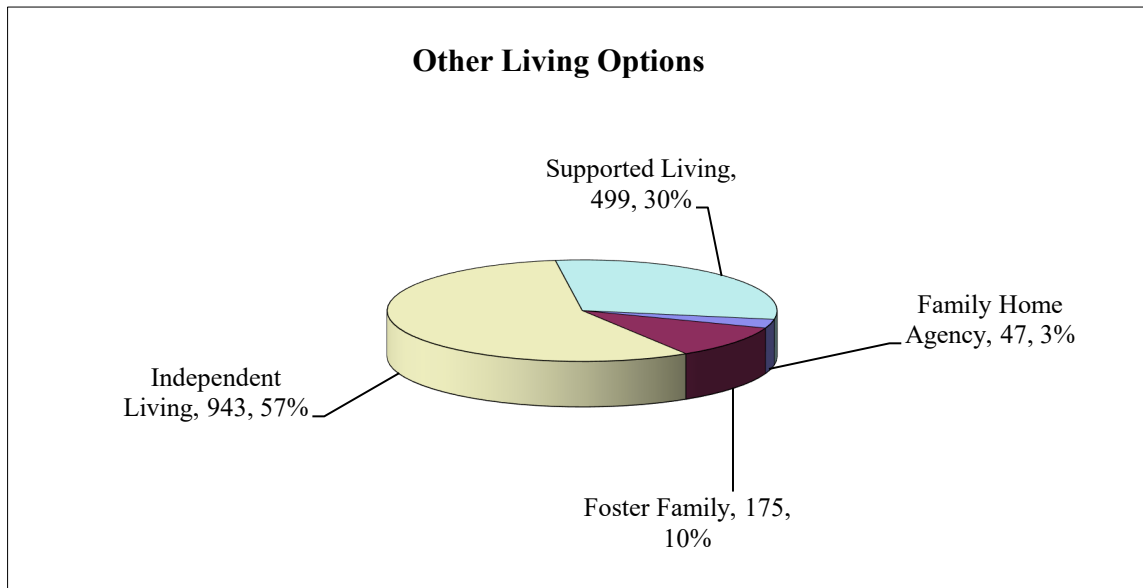
#### Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

#### Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

| Other Living Options | Total        | Under 18   | Over 18      |
|----------------------|--------------|------------|--------------|
| Family Home Agency   | 47           | 0          | 47           |
| Foster Family        | 175          | 166        | 9            |
| Independent Living   | 943          | 0          | 943          |
| Supported Living     | 499          | 0          | 499          |
| <b>Total</b>         | <b>1,664</b> | <b>166</b> | <b>1,498</b> |



## **LIVING OPTIONS, continued**

### **Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services**

#### **Health Licensed Facilities**

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,  
Acute Psychiatric Hospitals,  
Skilled Nursing Facilities,  
Intermediate Care Facilities,  
Intermediate Care Facility – Developmentally Disabled,  
Intermediate Care Facility – Developmentally Disabled, – Habilitative,  
Intermediate Care Facility – Developmentally Disabled, – Nursing,  
Home Health Agencies and  
Congregate Living Health Facilities.

#### **Community Care Licensed Facilities**

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

*SERVICE LEVEL 1:* Limited care and supervision for persons with self-care skills and no behavior problems.

*SERVICE LEVEL 2:* Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

*SERVICE LEVEL 3:* Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

*SERVICE LEVEL 4:* Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC *Fiscal Year 2026-27*

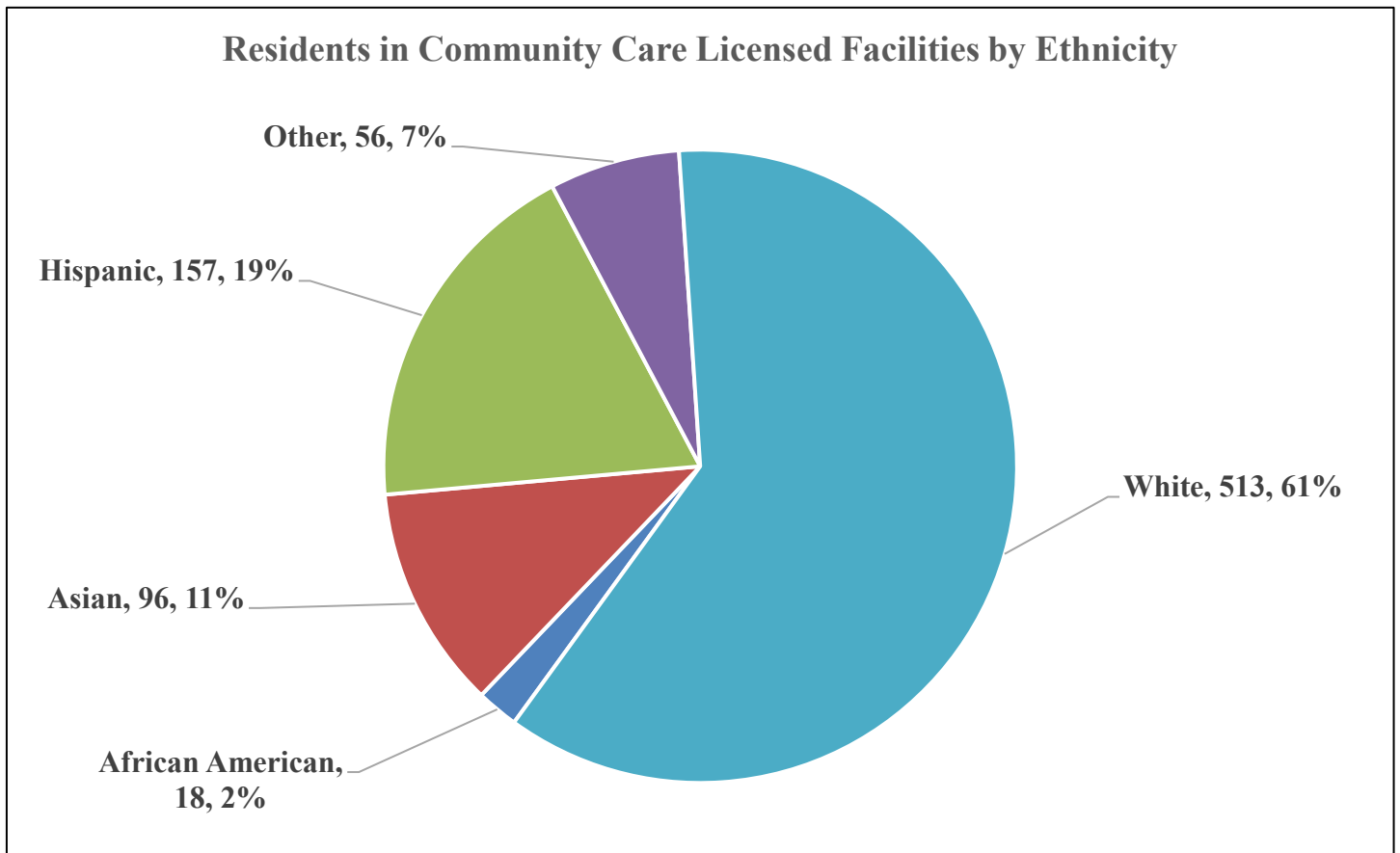
| Licensed Facilities | Total     | Over 18   | Under 18 |
|---------------------|-----------|-----------|----------|
| Level 2             | 0         | 0         | 0        |
| Level 3             | 0         | 0         | 0        |
| Level 4A            | 0         | 0         | 0        |
| Level 4B            | 0         | 0         | 0        |
| Level 4C            | 0         | 0         | 0        |
| Level 4D            | 0         | 0         | 0        |
| Level 4E            | 0         | 0         | 0        |
| Level 4F            | 0         | 0         | 0        |
| Level 4G            | 0         | 0         | 0        |
| Level 4H            | 0         | 0         | 0        |
| Level 4I            | 0         | 0         | 0        |
| Elderly             | 20        | 20        | 0        |
| ICF/DD-H            | 0         | 0         | 0        |
| ICF/DD-N            | 2         | 2         | 0        |
| ICF/DD              | 0         | 0         | 0        |
| Skilled Nursing     | 0         | 0         | 0        |
| <b>Total</b>        | <b>22</b> | <b>22</b> | <b>0</b> |

| Licensed Facilities Summary | Total     | Over 18   | Under 18 |
|-----------------------------|-----------|-----------|----------|
| Level 2                     | 0         | 0         | 0        |
| Level 3                     | 0         | 0         | 0        |
| Level 4                     | 0         | 0         | 0        |
| ICF/DD-H                    | 0         | 0         | 0        |
| ICF/DD-N                    | 2         | 2         | 0        |
| Elderly                     | 20        | 20        | 0        |
| Skilled Nursing             | 0         | 0         | 0        |
| <b>Total</b>                | <b>22</b> | <b>22</b> | <b>0</b> |

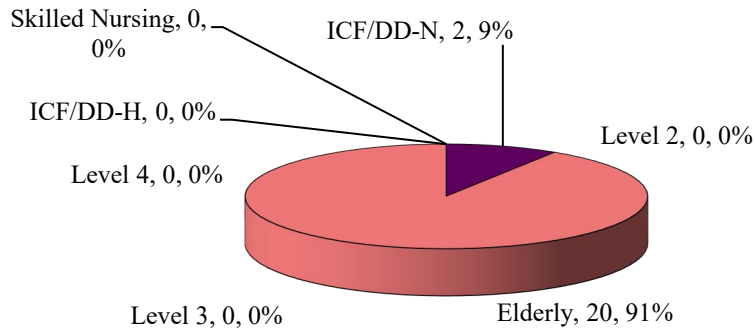
## LIVING OPTIONS, continued

### Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity Fiscal Year 2026-27

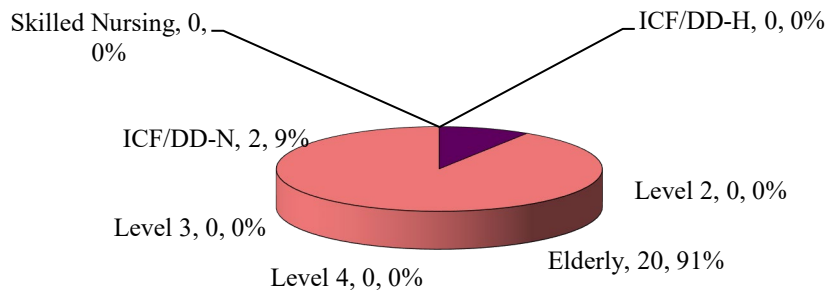
| Licensed Facilities | African American | Asian     | Hispanic   | Other     | White      | Total      |
|---------------------|------------------|-----------|------------|-----------|------------|------------|
| Level 2             | 5                | 20        | 54         | 15        | 171        | 265        |
| Level 3             | 7                | 53        | 76         | 35        | 238        | 409        |
| Level 4A            | 6                | 23        | 27         | 6         | 104        | 166        |
| Level 4B            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4C            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4D            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4E            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4F            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4G            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4H            | 0                | 0         | 0          | 0         | 0          | 0          |
| Level 4I            | 0                | 0         | 0          | 0         | 0          | 0          |
| <b>Total</b>        | <b>18</b>        | <b>96</b> | <b>157</b> | <b>56</b> | <b>513</b> | <b>840</b> |



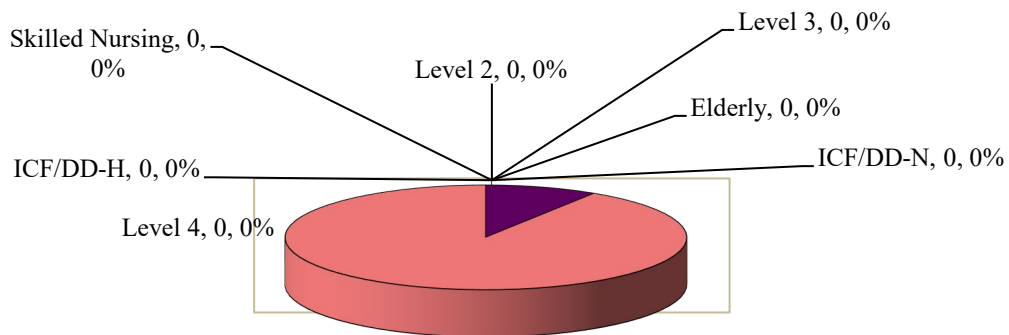
**Persons Served Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities  
Services Funded by RCOC**

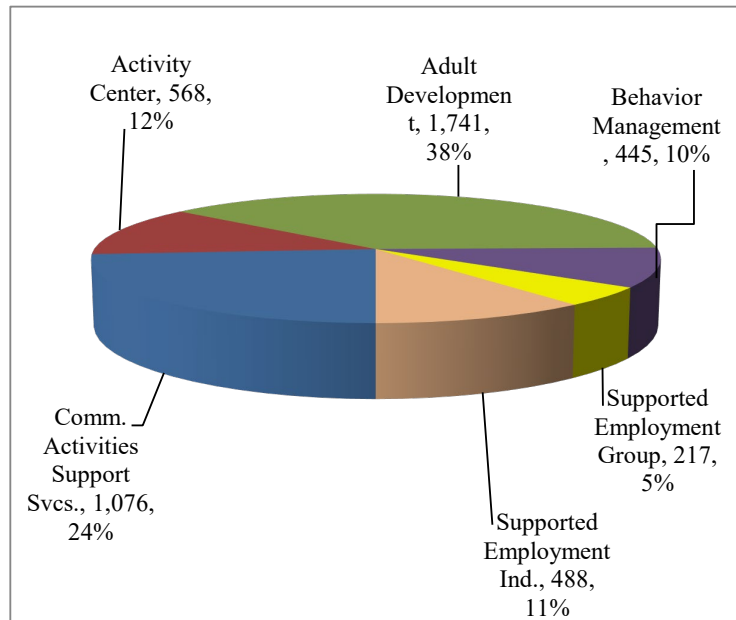


## WORK

### Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

| Adult Day & Employment Services | Persons Served Over 18 |
|---------------------------------|------------------------|
| Comm. Activities Support Svcs.  | 1,076                  |
| Activity Center                 | 568                    |
| Adult Development               | 1,741                  |
| Behavior Management             | 445                    |
| Supported Employment Group      | 217                    |
| Supported Employment Ind.       | 488                    |
| <b>Total</b>                    | <b>4,535</b>           |



### Definitions:

**Community Activities Support Services** similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

**Activity Center** means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

**Adult Development Center** means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

**Behavior Management Program** means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

**Supported Employment Program** means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

**Work Activity Program** includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

## SERVICE PLANNING AND COORDINATION

### Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

### Service Coordination

***Fiscal Year 2026-27***

| <b>Service Coordination:</b>                   | <b>Jul.</b> | <b>Aug.</b> | <b>Sept.</b> | <b>Oct.</b> | <b>Nov.</b> | <b>Dec.</b> |
|------------------------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|
| Number of Service Coordinators (SC)            | 526.6       |             |              |             |             |             |
| Number of Case-Carrying SCs                    | 470.6       |             |              |             |             |             |
| Number of Intake SCs                           | 44.0        |             |              |             |             |             |
| Number of Active Persons Served                | 29,590      |             |              |             |             |             |
| Caseload Ratio, # of Active Persons Served/SCs | 62.9        |             |              |             |             |             |

| <b>Service Coordination:</b>                   | <b>Jan.</b> | <b>Feb.</b> | <b>Mar.</b> | <b>Apr.</b> | <b>May</b> | <b>June</b> |
|------------------------------------------------|-------------|-------------|-------------|-------------|------------|-------------|
| Number of Service Coordinators (SC)            |             |             |             |             |            |             |
| Number of Case-Carrying SCs                    |             |             |             |             |            |             |
| Number of Intake SCs                           |             |             |             |             |            |             |
| Number of Active Persons Served                |             |             |             |             |            |             |
| Caseload Ratio, # of Active Persons Served/SCs |             |             |             |             |            |             |

**SERVICE PLANNING AND COORDINATION continued**

**Fair Hearings**

**Fiscal Year 2026-27**

|                                              | Jul.      | Aug. | Sept. | Oct. | Nov. | Dec. | Jan. | Feb. | Mar. | Apr. | May | June |
|----------------------------------------------|-----------|------|-------|------|------|------|------|------|------|------|-----|------|
| <b>Number of Unsettled Hearing Requests*</b> | <b>49</b> |      |       |      |      |      |      |      |      |      |     |      |
| Eligibility - Lanterman                      | 13        |      |       |      |      |      |      |      |      |      |     |      |
| Behavioral services                          | 2         |      |       |      |      |      |      |      |      |      |     |      |
| Respite                                      |           |      |       |      |      |      |      |      |      |      |     |      |
| Day Care                                     |           |      |       |      |      |      |      |      |      |      |     |      |
| Self Determination Budget                    | 19        |      |       |      |      |      |      |      |      |      |     |      |
| Personal Assistance                          | 3         |      |       |      |      |      |      |      |      |      |     |      |
| Other**                                      | 12        |      |       |      |      |      |      |      |      |      |     |      |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                              |           |  |  |  |  |  |  |  |  |  |  |  |
|----------------------------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
| <b>Number of New Hearing Requests Filed*</b> | <b>18</b> |  |  |  |  |  |  |  |  |  |  |  |
| Eligibility - Lanterman                      | 8         |  |  |  |  |  |  |  |  |  |  |  |
| Eligibility - Early Start                    |           |  |  |  |  |  |  |  |  |  |  |  |
| Behavioral services                          |           |  |  |  |  |  |  |  |  |  |  |  |
| Respite                                      |           |  |  |  |  |  |  |  |  |  |  |  |
| Day Care                                     |           |  |  |  |  |  |  |  |  |  |  |  |
| Social/Recreational                          |           |  |  |  |  |  |  |  |  |  |  |  |
| Social Skills Training                       |           |  |  |  |  |  |  |  |  |  |  |  |
| SDP                                          | 5         |  |  |  |  |  |  |  |  |  |  |  |
| Personal Assistance                          |           |  |  |  |  |  |  |  |  |  |  |  |
| Other**                                      | 5         |  |  |  |  |  |  |  |  |  |  |  |

\* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

\*\* Other issues include but are not limited to living options.

|                                    |           |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
| <b>Number of All Meetings Held</b> | <b>18</b> |  |  |  |  |  |  |  |  |  |  |  |
| Number of Informal Meetings Held   | 11        |  |  |  |  |  |  |  |  |  |  |  |
| Number of Mediations Held          | 4         |  |  |  |  |  |  |  |  |  |  |  |
| Number of SLFHs Held               | 3         |  |  |  |  |  |  |  |  |  |  |  |

|                                          |           |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
| <b>Number of Requests in Scheduling*</b> | <b>14</b> |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|

\* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

|                                    |          |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------|----------|--|--|--|--|--|--|--|--|--|--|--|
| <b>Number of Requests Pending*</b> | <b>3</b> |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------|----------|--|--|--|--|--|--|--|--|--|--|--|

\* State Level Fair Hearing (SLFH) held but awaiting decision.

|                                         |           |  |  |  |  |  |  |  |  |  |  |  |
|-----------------------------------------|-----------|--|--|--|--|--|--|--|--|--|--|--|
| <b>Number of Requests Settled</b>       | <b>14</b> |  |  |  |  |  |  |  |  |  |  |  |
| Withdrawn by Person Served/Family       | 3         |  |  |  |  |  |  |  |  |  |  |  |
| Settled in Informal                     | 8         |  |  |  |  |  |  |  |  |  |  |  |
| Settled after further follow-up by RCOC |           |  |  |  |  |  |  |  |  |  |  |  |
| Settled in Mediation                    | 1         |  |  |  |  |  |  |  |  |  |  |  |
| SLFH Decision                           | 2         |  |  |  |  |  |  |  |  |  |  |  |

**State Level Fair Hearing Decisions**

|                         |   |  |  |  |  |  |  |  |  |  |  |  |
|-------------------------|---|--|--|--|--|--|--|--|--|--|--|--|
| <b>Prevailing Party</b> |   |  |  |  |  |  |  |  |  |  |  |  |
| Person Served/Family    | 1 |  |  |  |  |  |  |  |  |  |  |  |
| RCOC                    | 1 |  |  |  |  |  |  |  |  |  |  |  |
| Split                   |   |  |  |  |  |  |  |  |  |  |  |  |



## ADMINISTRATION AND GOVERNANCE

### Guiding Principle

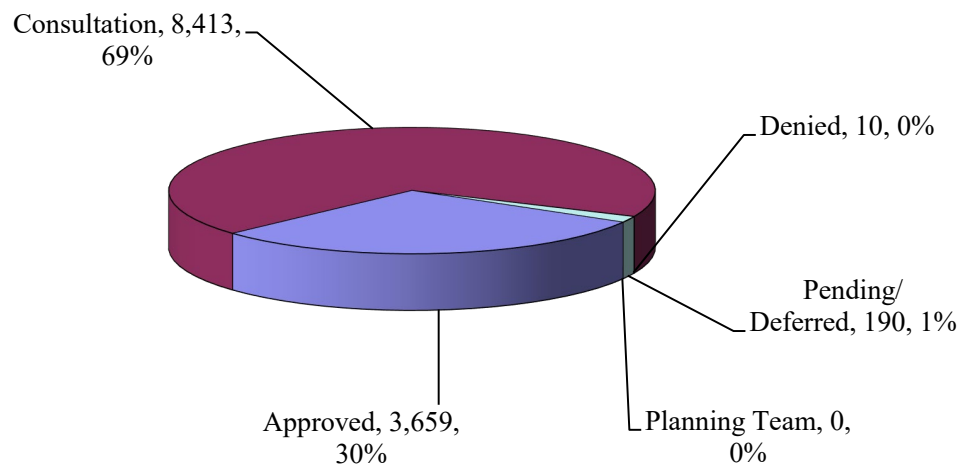
- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

### Resource Group Activity for July 2026 and Fiscal Year to Date

| Disposition          | Approved     | Consultation | Denied    | Pending/Deferred | Planning Team | Total         |
|----------------------|--------------|--------------|-----------|------------------|---------------|---------------|
| Adult Day            | 955          | 396          | 0         | 108              | 0             | 1,459         |
| Behavioral           | 97           | 79           | 0         | 17               | 0             | 193           |
| Education            | 0            | 0            | 0         | 0                | 0             | 0             |
| Eligibility/Health   | 110          | 1            | 0         | 1                | 0             | 112           |
| Early Start          | 497          | 92           | 10        | 9                | 0             | 608           |
| Living Options       | 241          | 160          | 0         | 15               | 0             | 416           |
| Supported/Ind.       | 326          | 184          | 0         | 22               | 0             | 532           |
| All Others           | 1433         | 367          | 0         | 18               | 0             | 1,818         |
| <b>Monthly Total</b> | <b>3,659</b> | <b>8,413</b> | <b>10</b> | <b>190</b>       | <b>0</b>      | <b>12,272</b> |

|                                     |              |              |           |            |          |               |
|-------------------------------------|--------------|--------------|-----------|------------|----------|---------------|
| <b>FY 2025-26<br/>Total to Date</b> | <b>3,659</b> | <b>8,413</b> | <b>10</b> | <b>190</b> | <b>0</b> | <b>12,272</b> |
|-------------------------------------|--------------|--------------|-----------|------------|----------|---------------|

### Resource Group Activity For Current Month



## Operations Report Summary - July 2026

| About Persons Served       | Early Start | Medicaid Waiver | All Other  | SDC       | Total         | Under 18   | Over 18    |
|----------------------------|-------------|-----------------|------------|-----------|---------------|------------|------------|
| Number of Persons Served   | 3,501       | 9,031           | 11,447     | 9         | <b>23,988</b> | 14,746     | 14,727     |
| <i>Percentage of Total</i> | <i>15%</i>  | <i>38%</i>      | <i>48%</i> | <i>0%</i> | <i>100%</i>   | <i>61%</i> | <i>61%</i> |

|                                                                     |            |
|---------------------------------------------------------------------|------------|
| <b>Children served in Prevention Resource and Referral Services</b> | <b>458</b> |
|---------------------------------------------------------------------|------------|

| Persons Served by Residence Status | All           | Under 18      | Over 18       |
|------------------------------------|---------------|---------------|---------------|
| Family Home                        | 25,340        | 14,539        | 10,801        |
| Community Care Facility            | 1,686         | 16            | 1,670         |
| State Developmental Center         | 9             | 0             | 9             |
| Family Home Agency                 | 47            | 0             | 47            |
| Foster Home                        | 175           | 166           | 9             |
| Intermediate Care Facility         | 592           | 4             | 588           |
| Independent Living                 | 943           | 0             | 943           |
| Supported Living                   | 499           | 0             | 499           |
| Skilled Nursing                    | 70            | 0             | 70            |
| Other                              | 112           | 21            | 91            |
| <b>Total</b>                       | <b>29,473</b> | <b>14,746</b> | <b>14,727</b> |

| Special Incident Investigations | Year to Date |
|---------------------------------|--------------|
| AWOL                            | 2            |
| Abuse                           | 7            |
| Neglect                         | 2            |
| Injury                          | 10           |
| Hospitalizations - Total        | 30           |
| Death                           | 5            |
| Victim of crime                 | 1            |
| Arrest                          | 1            |
| Rights                          | 11           |
| <b>Total</b>                    | <b>69</b>    |

### Number of Licensed Facilities

| Community Care Facilities              | Total      | Under 18 | Over 18    |
|----------------------------------------|------------|----------|------------|
| Level 2                                | 72         | 0        | 72         |
| Level 3                                | 87         | 0        | 106        |
| Level 4                                | 211        | 9        | 52         |
| <b>Total Community Care Facilities</b> | <b>370</b> | <b>9</b> | <b>230</b> |

| Intermediate Care Facilities (ICF) |            |
|------------------------------------|------------|
| ICF-DD                             | 0          |
| ICF-DD/Habilitation                | 65         |
| ICF-DD/Nursing                     | 41         |
| <b>Total ICF Facilities</b>        | <b>106</b> |

|                                  |            |
|----------------------------------|------------|
| <b>Total Licensed Facilities</b> | <b>476</b> |
|----------------------------------|------------|

| Licensed Facility Monitoring | Year to Date |
|------------------------------|--------------|
| Annual Review                | 15           |
| Unannounced                  | 21           |
| Total Number of Reviews      | 36           |
| Provider Trainings           | 0            |
| Technical Support            | 79           |
| Corrective Action Plans      | 2            |

|                                       |                |
|---------------------------------------|----------------|
| <b>Number of Audits</b>               | <b>2</b>       |
| <b>Amount of Recovery from Audits</b> | <b>\$2,059</b> |



# Regional Center of Orange County Fiscal Year 2026-27 Performance Contract Plan

| Performance Measure<br><i>Community Integration</i>                                                                                 | Statewide<br>Average | RCOC Outcome                     | Planned Activities<br>FY 2026-27                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Number and percent of adults living independently with or without supports                                                          | New Measure          | Baseline Year                    | Providing training for service coordination to assist individuals select from appropriate options.                                 |
| Number and percent of adults residing in Family Home Agency homes                                                                   | New Measure          | Baseline Year                    | Review and revise services on an ongoing basis to ensure needs are met during IPP and planning meetings.                           |
| Number and percent of adults residing in family homes (home of parent or guardian):<br>18 to 35 years; 36 to 50 years;<br>51+ years | New Measure          | Baseline Year                    | Ensure that individuals have opportunities to provide feedback (IPP, NCI survey, planning meetings).                               |
| Number and percent of adults residing in home settings.                                                                             | 84.65%               | 12,264<br>Individuals,<br>82.89% | Continue to develop new resources within the community.                                                                            |
| Number and percent of minors living in facilities serving > 6.                                                                      | 0.03%                | 0 Individuals<br>0.0%            | Adhere to regulations regarding the use of larger residential facilities to ensure the least restrictive environment is supported. |
| Number and percent of adults living in facilities serving > 6.                                                                      | 1.39%                | 158 Individuals<br>1.05%         |                                                                                                                                    |

| <b>Performance Measure</b><br><b><i>Early Start - Childhood</i></b>                                                                                                                                                                                                                                                                                                                     | <b>Statewide</b><br><b>Average</b> | <b>RCOC Outcome</b> | <b>Planned Activities</b><br><b>FY 2026-27</b>                                                                                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Timely Access to Early Start Services - Percent of Individualized Family Service Plans (IFSP) completed within the federally required 45-day timeframe from receipt of referral for all children under the age of three.                                                                                                                                                                | New Measure                        | Baseline Year       | Ensure that Early Start service coordinators meet with all families to develop a transition Individualized Family Service Plan (IFSP) prior to 90 days of the child's 3rd birthday |
| Provisional Eligibility - Number of children who turn age 5 and continue regional center services through provisional eligibility.                                                                                                                                                                                                                                                      | New Measure                        | Baseline Year       | RCOC will determine Lanterman eligibility for provisionally eligible children prior to the 5th birthday.                                                                           |
| Submission of Completed Early Start Report (ESR): Percentage of completed ESR submitted to DDS for children exiting Early Start, inclusive of all required fields.                                                                                                                                                                                                                      | Incentive Measure                  | Baseline Year       | Ensure that service coordinators meet with families to develop the initial IFSP within 45 days of the initial referral date.                                                       |
| Planning for Services After Early Start:<br>1. Percentage of children who receive a timely transition meeting at least 90 days prior to their third birthday.<br>2. Percentage of children transitioning from Early Start to Lanterman Act Services or Provisional Eligibility, who have a completed Individual Program Plan (IPP) no more than 60 days following their third birthday. | Incentive Measure                  |                     | Ensure that Early Start service coordinators submit a completed Early Start Report (ESR) for all children exiting Early Start.                                                     |

| Performance Measure<br><i>Employment</i>                                                                                                          | Statewide<br>Average  | RCOC Outcome          | Planned Activities<br>FY 2026-27                                                                                                                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| Number and percentage of individuals ages 16-64 with earned income.                                                                               | 1,583<br>15.2%        | 2,269<br>15.5%        | Implement RCOC's Employment First policy, encouraging Competitive Integrated Employment as the first option to be considered by all adults served. |
| Average annual wages for individuals ages 16-64.                                                                                                  | \$14,256              | \$13,256              | Provide training and printed material for persons served, families, and service coordinators about employment services and resources.              |
| Number of adults who entered competitive integrated employment following participation in a Paid Internship Program.                              | 256 total             | 8                     | Partner with local businesses, service providers, and education agencies to develop paid internships and employment opportunities.                 |
| Percentage of adults who entered competitive integrated employment following participation in a Paid Internship Program.                          | 10%                   | 9%                    | Develop employment service providers to meet the needs of persons served.                                                                          |
| Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year. | 14.5 hours<br>\$15.96 | 11.7 hours<br>\$15.91 | Gather and analyze employment related data for persons served.                                                                                     |

|                                                                                                                                                                                           |                       |                           |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------|--|
| Average wages and hours worked for adults engaged in competitive integrated employment on behalf of whom incentive payments have been made.                                               | \$16.51<br>20.6 hours | \$16.11<br>11.6 hours     |  |
| Total number of 30-day, 6-month and 12-month incentive payments made for the fiscal year.                                                                                                 | 72                    | 137                       |  |
| Percentage of adults having competitive, integrated employment as a goal/outcome in their IPP and have a job with reportable wages                                                        | Incentive Measure     | Baseline Year             |  |
| Data Updates and Reporting:<br>Percentage of individuals ages 16 and older who have updated employment-related information documented in the Client Development Evaluation Report (CDER). | Incentive Measure     | Baseline Year             |  |
| Development and Outreach:<br>Implementation of the Employment Development and Outreach Plan to increase employment opportunities and outcomes for individuals.                            | Incentive Measure     | Due Date<br>July 31, 2027 |  |

| <b>Performance Measure:<br/><i>Equity and Cultural Competency</i></b>                                                                                                                                                                   | <b>Statewide<br/>Average</b> | <b>RCOC Outcome</b> | <b>Planned Activities<br/>FY 2026-27</b>                                                                                                                                                                                      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Expenditures: In-Home Purchase of Services (POS) - Comparison of the POS expenditure amounts for individuals living at home to identify any differences across race, ethnicity and/or language when compared to the per capita average. | New Measure                  | Baseline Year       | Continue to expand and simplify translation services and documents into multiple languages to ensure that all families can access information on services and supports.                                                       |
| Expenditures: In-Home Respite POS - Comparison of POS expenditure amounts for all respite services delivered to people living in family homes, across race, ethnicity and language, when compared to the per capita average.            | New Measure                  | Baseline Year       | Engage in community outreach to connect with families.<br>Collaborate with Community Based Organizations (CBOs) to provide information on educational events for families and increase awareness of regional center services. |
| Service Utilization: Early Start - Per capita Early Start Expenditures, separated and compared by race, ethnicity and language preference.                                                                                              | New Measure                  | Baseline Year       | Conduct community surveys to understand family needs and gaps in services.                                                                                                                                                    |
| Linguistic Diversity - Increase number of bilingual staff, including service coordinators, intake staff and first line supervisors, over FY 2025-26 in one of the RC's top 5 languages spoken.                                          | Incentive Measure            | Baseline Year       | Implementation of the Standardized Respite tool when available.                                                                                                                                                               |

| <b>Performance Measure:<br/><i>Innovation in Service<br/>Accountability, Delivery, and<br/>Technology</i></b>                                                                                   | <b>Statewide<br/>Average</b> | <b>RCOC Outcome</b>       | <b>Planned Activities<br/>FY 2026-27</b>                                               |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------------|----------------------------------------------------------------------------------------|
| Website Accessibility: 1.<br>Regional center website<br>meets 100% of Web Contents<br>Accessibility Guidelines 2.1<br>(WCAG 2.1). 2.<br>Regional center's website<br>meets WCAG 2.2 Guidelines. | Incentive<br>Measure         | Due Date July 31,<br>2027 | Maintain all website requirements<br>by ensuring necessary revisions<br>are completed. |

| <b>Performance Measure:<br/><i>Individual/Family<br/>Experience and Satisfaction</i></b>                                                                        | <b>Statewide<br/>Average</b> | <b>RCOC Outcome</b> | <b>Planned Activities<br/>FY 2026-27</b>                                                                                                                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Number and percent of<br>individuals, by race/ethnicity,<br>who are satisfied with the<br>services and supports<br>received by the family and<br>family member. | New Measure                  | Baseline Year       | Provide the Individual Program<br>Plan (IPP) survey QR code at all IPP<br>meetings and encourage families<br>to share feedback on experiences.              |
| Number and percent of<br>individuals, by race/ethnicity,<br>whose IPP/IFSP includes all of<br>the services and supports<br>needed.                              | New Measure                  | Baseline Year       | Ensure that service coordinators<br>continue to discuss satisfaction<br>with all families during IPP and<br>planning meetings.                              |
| Number and percent of<br>individuals who feel that<br>services and supports have<br>made a positive difference in<br>the life of their family<br>member.        | New Measure                  | Baseline Year       | Distribute information and<br>encourage families to participate<br>in the National Core Indicators<br>(NCI) survey when circulated<br>within the community. |



|                                                                                                                                                                     |             |               |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------|--|
| Individual Program Plan experience: Percent of IPP surveys received by the Department compared to total number of IPP's completed per quarter (locked/ distributed) | New Measure | Baseline Year |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------|--|

| <b>Performance Measure:<br/><i>Person Centered Planning</i></b>                                                                                                            | <b>Statewide<br/>Average</b> | <b>RCOC Outcome</b>    | <b>Planned Activities<br/>FY 2026-27</b>                                                                                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Person Centered Facilitation Skills - Regional centers have one certified person-centered plan facilitation trainer employed for every 10,000 people enrolled in services. | New Measure                  | Due Date July 31, 2027 | Continue to increase overall number of certified person-centered plan facilitation trainers. Continue to work with person centered thinking training organizations to expand opportunities for staff and community. |
| Informational Outreach to Individuals and Families - Implementation of the informational outreach to individuals and families about person-centered practices.             | New Measure                  | Due Date July 31, 2027 | RCOC will continue to offer community trainings on person centered practices for vendor, persons served, and families.                                                                                              |

| <b>Performance Measure:<br/><i>Service Coordination and<br/>Regional Center Operations</i></b> | <b>Statewide<br/>Average</b> | <b>RCOC Outcome</b> | <b>Planned Activities<br/>FY 2026-27</b>                                  |
|------------------------------------------------------------------------------------------------|------------------------------|---------------------|---------------------------------------------------------------------------|
| The regional center achieves an unqualified independent audit with no material finding(s).     | Compliance                   | Yes                 | RCOC will contract with an independent firm to conduct audits of records. |

|                                                                                                                                                                                                                                               |            |        |                                                                                                                                                            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The regional center achieves substantial compliance with the Department fiscal audit.                                                                                                                                                         | Compliance | Yes    | RCOC will monitor staff to ensure that records are maintained in compliance with applicable laws, regulations, and DDS contract.                           |
| The regional center operates within operations budget.                                                                                                                                                                                        | Compliance | Yes    | RCOC will monitor expenditures to keep costs within allocated operations budget.                                                                           |
| Compliance with Vendor Audit Requirements per contract, Article III, Section 9: The number of vendor audits completed compared to the number of vendor audits required per Article III, Section 9 of the Regional Center/Department Contract. | Compliance | Met    | RCOC staff will conduct the required number of audits each fiscal year to ensure providers maintain appropriate documentation for services billed to RCOC. |
| Percentage of status 2 and U clients who have a Client Development Evaluation Report (CDER) updated or reviewed within the past 365 days.                                                                                                     | 95%        | 99.50% | RCOC will ensure that all staff completed required training through learning management systems within required timeframes.                                |
| Intake/assessment timelines for individuals ages 3 and older : The percentage of Intake/assessments completed on time compared to the total number of intake and assessments completed by the regional center within the reporting period.    | 61.50%     | 99.50% | RCOC will work with all vendors to ensure HCBS settings compliance on a yearly basis.                                                                      |

|                                                                                                                                                                                                                                               |             |               |                                                                                                                                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------|----------------------------------------------------------------------------------------------------------------------------------------|
| Percentage of Individual Program Plan's for individuals enrolled in a federal waiver that meet requirements outlined in WIC 4646 and 4646.5                                                                                                   | New Measure | Baseline Year | RCOC staff will monitor the provider directory on a daily basis to ensure the 45 day vendorization timeline is met.                    |
| Vendorization: Percentage of vendorizations that met the regulatory 45 day timeline in the Decision Stage.<br>Average number of days from application submissions to final decision on vendorization approval.                                | New Measure | Baseline Year | RCOC will continue to work with the community to develop vendors across all service models throughout the catchment area.              |
| Substantial compliance with HCBS Final Settings Rule: Community Settings requirements: The number of HCBS settings vendor audits completed compared to the number of HCBS vendors required to demonstrate compliance with the settings rules. | New Measure | Baseline Year | RCOC will work with vendors and service agencies to provide training and support to ensure compliance with SIR reporting requirements. |
| Medicaid Waiver Enrollment:<br>Of the total number of regional center individuals who meet 1915c eligibility, the percentage of those who are enrolled in a federal waiver, separated by waiver type.                                         | New Measure | Baseline Year | RCOC will maintain a dedicated SIR email and phone line for submissions of incident reports from the community.                        |

|                                                                                                                                                                                         |                   |               |                                                                                                                                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|---------------|---------------------------------------------------------------------------------------------------------------------------------|
| Special Incident Reports (SIRs) are submitted within the required timeframes: the percentage of SIR reports submitted by the vendor and regional center within the required timeframes. | Compliance        | Baseline Year | RCOC will monitor all individuals served for eligibility under all federal waiver programs, adding and removing as appropriate. |
| Choice of Services within Regional Centers: Number of vendors for each core service type, delivering services within the regional center catchment area, reported by zip code           | Incentive Measure | Baseline Year | RCOC will continue to support service coordinators and individuals served to implement all service requests in a timely manner. |
| Timely Authorizations: Number of days between individual program plan (IPP) review and service authorization, reported as an average and range.                                         | Incentive Measure | Baseline Year |                                                                                                                                 |
| Service Coordinator Competency: Number of new service coordinators who completed all requirements within the training standards and competencies.                                       | Incentive Measure | Baseline Year |                                                                                                                                 |
| Benefits: Medical Insurance Information                                                                                                                                                 | Incentive Measure | Baseline Year |                                                                                                                                 |

**Regional Center of Orange County  
Board Recruitment and Training Committee  
July 13, 2026  
Videoconference Minutes**

**Committee Members Present:** Sandy Martin, Chair  
Bruce Hall  
Jacqueline Nguyen  
Hilda Mendez

**Committee Member Absent:** Frances Hernandez  
Chinh Nguyen  
Chip Wright

**RCOC Staff Present:** Larry Landauer, Executive Director  
Arturo Cazares, Associate Executive Director  
Ernie Cruz, Director of Community Services  
Bonnie Ivers, Director of Clinical Services  
Jennifer Montanez, Director of Case Management  
Marta Vasquez, Chief Financial Officer  
Stacy Wong, Director of Human Resources

Ms. Sandy Martin called the videoconference meeting to order at 5:01 p.m.

**I. Board Recruitment**

**A. Review Board Members' Terms of Office and Upcoming Turnover**

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

**B. Discuss Recruitment Needs**

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

**C. Board Composition Survey Report to DDS**

Mr. Landauer reported that the Board Composition Survey Report is due to the Department of Developmental Services (DDS) on August 15, 2026. A draft of the report was presented to the Committee for review. Mr. Landauer noted that all reporting requirements have been satisfied and that the final report will be submitted to DDS prior to the deadline.

***Board Recruitment and Training Committee Minutes***  
***July 13, 2026***

**II. Board Development and Training**

**A. Discuss Board Training Schedule and Topics**

The Committee reviewed and discussed potential training topics. The Committee requested a survey of the Board to determine its preference for future training dates.

**B. Board Retreat**

The Committee reviewed the final agenda for the upcoming Board of Directors' Retreat scheduled for July 18, 2026.

**III. Community Forum**

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:20 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Policies and Outcomes Committee  
July 20, 2026  
Videoconference Minutes**

**Committee Members Present:** Chip Wright, Chairperson  
Liza Krassner  
Sandy Martin

**Committee Members Absent:** Bruce Hall

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Jerrod Bonner, Chief Information Officer  
Arturo Cazares, Associate Executive Director  
Ernie Cruz, Director of Community Services  
Bonnie Ivers, Director of Clinical Services  
Stacy Wong, Director of Human Resources  
Marta Vasquez, Chief Financial Officer

**Corporate Counsel Present:** Greg Simonian, Esq.

Mr. Chip Wright called the videoconference meeting to order at 5:21 p.m.

**I. Governance Policies**

**A. Review of the Policy on Information Dissemination to Person Served, Families, Authorized Representatives and Other Interested Parties**

The Committee reviewed and did not propose any revisions to the policy.

**B. Review of the Policy on Insurance Requirements for Providers**

The Committee reviewed and did not propose any revisions to the policy.

**II. Outcomes**

**A. Person Centered Thinking (PCT) Update**

Ms. Jennifer Montanez reported that RCOC's PCT trainers attended the 2026 Gathering in Minneapolis, Minnesota from July 15-17. The event is hosted annually by The Learning Community for Person Centered Practices (TLCPCP).

**B. Health and Wellness Project Update**

Dr. Bonnie Ivers reported that there are no updates.

**C. Employment Update**

Mr. Arturo Cazares reported that recent Trailer Bill Language updated Tailored Day Services (TDS) by extending this service to Supported Employment. Persons served will now be able to receive both TDS and Supported Employment individual placement services on the same day, provided the service hours do not overlap. The policy aims to increase access to competitive integrated employment, internships and postsecondary education by making service delivery more flexible.

**D. Housing Update**

Mr. Jack Stanton reported that as part of the Fiscal Year 2025-26 Community Resources Development Plan (CRDP), RCOC and San Diego Regional Center (SDRC) have partnered to develop a new service known as Housing Access Services. The program will assist individuals in the community when navigating the rental process, applications, leases, and deposits. Adjoin is the vendor agency selected to develop the program across both regional centers. Adjoin will also maintain a new online platform called *On My Own (OOMM)*, a statewide mobile platform designed to assist individuals, including those who are Deaf and Hard of Hearing, with compatible roommates. This service is being funded by the Department of Developmental Services (DDS) startup funds and developed across all regional centers, to partner with other regional centers close in proximity to work together in an effort to maximize start up dollars

**E. National Core Indicators (NCI) Update**

Mr. Landauer reported that the next public meeting will be held at the next Board of Directors' meeting on September 3, 2026.

**III. Community Forum**

No community members were present.

Mr. Wright adjourned the meeting at 5:28 p.m.

*Recorder: Sandra Lomeli*



REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Chip Wright  
Chair, Policies and Outcomes Committee

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

**SUBJECT: Policy on Information Dissemination to Persons Served, Families, Authorized Representatives and Other Interested Parties**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 20, 2026, the Policies and Outcomes Committee reviewed the Policy on Information Dissemination to Persons Served, Families, Authorized Representatives and Other Interested Parties.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Information Dissemination to Persons Served, Families, Authorized Representatives and Other Interested Parties.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **X. POLICY ON INFORMATION DISSEMINATION TO PERSONS SERVED, FAMILIES, AUTHORIZED REPRESENTATIVES AND OTHER INTERESTED PARTIES**

The purpose of this policy is to standardize formal communication of information to persons served, families, authorized representatives, and other interested parties. Regional Center of Orange County (RCOC) will disseminate information in accordance with applicable laws and regulations, including but not limited to, Welfare and Institutions Code section 4514.

Information released will redact identifying person served and family information to protect confidentiality. Criteria to disseminate information and communication systems to facilitate information sharing with any interested party are as follows:

| <b>Information</b>                                                                                                                      | <b>Communication System</b>                                                                                                                                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| National Core Indicators                                                                                                                | Link to Department of Developmental Services (DDS) and Human Services Research Institute (HSRI) on the RCOC website and printed copy available upon request. Highlights published in the RCOC <i>Dialogue</i> annually.                                                                   |
| Summary of external reviews of RCOC services, e.g., DDS financial audit, Home-Community Based Services audit including RCOC's response  | RCOC website and printed copy available upon request of summary and audits.                                                                                                                                                                                                               |
| All non-parent vendor information                                                                                                       | RCOC website and, if requested prior to an initial planning team meeting, a list of applicable vendors will be provided. Subsequently, resource information will be made available by the Service Coordinator when a change in services is requested or anticipated, and/or upon request. |
| Vendor accreditation reports, Community Care Licensing reports, Dept. of Social Services reports, and Dept. of Health Services reports. | Links to applicable agency websites (e.g., Community Care Licensing, Department of Social Services) on RCOC website.                                                                                                                                                                      |
| RCOC's annual quality assurance evaluations of residential facilities                                                                   | Printed copy of the complete evaluations available upon request.                                                                                                                                                                                                                          |
| Vendor referral moratoriums                                                                                                             | RCOC website and printed copy available upon request.                                                                                                                                                                                                                                     |

- Persons served and families will be informed of the availability of generic vendor data; this will be confirmed by signature of the person served/family that they have been informed of and/or have received such data at the initial Multidisciplinary/Planning Team meeting and/or the Individual Program Plan/Person Centered Plan (IPP/PCP).
- Persons served and families will be informed of the availability of specific vendor data as outlined above; this will be confirmed by signature of the person served/family that they have been informed of and/or have received such data at the initial Multidisciplinary/Planning Team meeting and/or the IPP/PCP.
- In the area of residential and day services, persons served/families will be provided with information regarding providers for the appropriate level of service, as determined by RCOC (e.g., community care facility, intermediate care facility, persons served to staff ratio).
- Additional information regarding a vendor's service deficiency(ies) and audit(s) will be made available to the person served and family as per RCOC guidelines, "Access to Vendor Records."

## **GUIDING PRINCIPLES**

- Service coordinators inform families of their rights and the services and supports available to them.
- Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Chip Wright  
Chair, Policies and Outcomes Committee

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

**SUBJECT: Policy on Insurance Requirements for Providers**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization's Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on July 20, 2026, the Policies and Outcomes Committee reviewed the Policy on Insurance Requirements for Providers.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Insurance Requirements for Providers.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

## **XI. POLICY ON INSURANCE REQUIREMENTS FOR PROVIDERS**

### **BACKGROUND**

The Regional Center of Orange County (RCOC) desires to protect the interests of the individuals it serves and their families by making sure that providers will have the financial resources to compensate for damages that persons served may incur as a result of negligence or other wrongful acts by service providers. RCOC also desires to protect the interests of RCOC and the State by making sure that their financial resources are not required to compensate third parties who may be damaged as a result of negligent or wrongful acts by service providers. RCOC is committed to maximizing the health and safety of the individuals it serves.

### **POLICY**

All service providers who are serving RCOC persons served shall maintain general, professional and sexual misconduct liability coverage, in amounts and scope of coverage as determined by RCOC, at all times while providing such services. RCOC shall be named as an additional insured on all policies of insurance. Service providers shall deposit with RCOC the Certificates of Insurance necessary to demonstrate compliance with this insurance requirement as a condition to the right to be paid for their services.

### **LIMITED EXEMPTION FOR FMS AS FISCAL AGENT**

RCOC's Executive Director may, in their sole discretion, exempt service providers who provide Financial Management Services (FMS) solely as fiscal agents pursuant to the Bill Payer Model under the Self-Determination Program (Welfare and Institutions Code Section 4685.8), and who are vendored under Service Code 315, from the obligation to provide sexual misconduct liability coverage.

### **GUIDING PRINCIPLES**

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.

**Regional Center of Orange County  
Vendor Advisory Committee  
June 9, 2026  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Ryan Perez, absent  
Co-Chair, Katie Bruellet, present

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, *Member Pending*

**Adult Family Home/Foster Family Agency**

Chair, Janeth McDonough, absent  
Co-Chair, Alexandra Rasey-Smith, present

**Behavior Services**

Chair, Cindy Hebert, present  
Co-Chair, Junie Lazo-Pearson, absent

**Community Care Facilities**

Chair, Jorge Lozano, present  
Co-Chair, Omar Tawfik, absent

**Early Intervention**

Chair, Junie Lazo-Pearson, absent  
Co-Chair, Pam Alexander, present

**Habilitation**

Chair, Marina Margaryan, present  
Co-Chair, Jodean Hudson, absent

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, absent

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, *Member Pending*

**Support Services/Allied Health**

Chair, Kelly Araujo, present  
Co-Chair, Mary McFadden, present

**Liaisons:**

**CalOptima**

*Liaison Pending*

**Orange County Transit Authority**

Ariana Maldonado, absent  
Garrett Rodriguez, present

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Bianca Borja Rios, Accounting Supervisor – Vendorization  
Arturo Cazares, Associate Executive Director

Valeria De Los Angeles, Accounting Supervisor – Payables  
Nancy Franco, Support Services Manager  
Bonnie Ivers, Clinical Director  
Wayed Kabir, Peer Advocate  
Araceli Mendez, Associate Director of Early Start  
Jennifer Montanez, Director of Case Management  
Julie Rodriguez, Accounting Supervisor – Systems  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Chief Financial Officer  
Laurel Warren, Quality Assurance Coordinator  
Sean Watson, Associate Director of Risk Management

## **Call to Order**

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

### **I. RCOC Update**

Mr. Landauer reported that the May Revise on the Governor's Budget includes \$21.6 billion dollars for FY 2026-2027 for the Department of Developmental Services (DDS). A net increase of \$2.9 billion over the updated FY 2025-2026 budget, a 15.5% increase.

Mr. Landauer also reported on a very serious incident regarding exposure to hazardous materials. On Thursday, May 21, 2026, the community was notified of a leaking chemical storage tank located at a manufacturing facility in Garden Grove. A mandatory evacuation order issued for the site location and surrounding area soon expanded to about 9 square miles across multiple cities. Of the approximately 50,000 people evacuated, 943 were individuals served by RCOC, including 157 adults living in residential facilities or in their own homes. Staff of the West Area office in Cypress were also ordered to evacuate, service coordinators packed up on a moment's notice and relocated to the Santa Ana office or another safe location to continue calling persons served and their families. By day's end on Friday, May 22<sup>nd</sup>, the vast majority of those 943 evacuees affected by the incident had been contacted by a service coordinator.

### **A. Vendorization Update**

Ms. Marta Vasquez provided the following updates:

- *Applicant/Vendor Disclosure Statement Form DS1891*. Ms. Vasquez reported that three notices have been mailed out as of March 4, 2026, April 3, 2026 and May 4, 2026, to vendors to finalize the DS1891 form process. Vendors who have not completed the process are considered non-compliant and those with no authorizations will be terminated. Those vendors with authorizations will be placed on a *Do Not Refer* and/or provided with a 30-day notice of termination.

If a vendor has already submitted the DS1891 via DocuSign but has not received a confirmation notice from RCOC, please contact Ms. Norma Lizarraga at [nlizarraga@rcocdd.com](mailto:nlizarraga@rcocdd.com) or at (714) 796-5235.

- *Standardized Vendorization.* Ms. Vasquez reported that DDS has confirmed that vendors are only able to work on one request at a time when submitting documentation.
- *Remote Services Billing.* Ms. Vasquez reminded Committee members that the eBilling process has recently changed for remote services provided. The updated process is effective for services provided beginning June 2026, which will be billed in July 2026 and thereafter.

## **B. Quality Incentive Program (QIP) Update**

Mr. Arturo Cazares reminded service providers that rates for their vendorizations may be adjusted for FY 2026-27, beginning July 1, 2026. Rate adjustments will be based on providers' eligibility for the Quality Incentive Program (QIP) portion of their rate. Providers were reminded that they can check the status of completion of required provider surveys on the QIP webpage on DDS's website (<https://www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/>).

## **II. Board Report**

Mr. Larry Landauer reported that the Board of Directors held a meeting on June 4, 2026.

## **III. VAC Chair and Co-Chair Elections**

The Committee reelected Mr. Rick Perez as VAC Chairperson and Ms. Junie Lazo-Pearson as Co-Chair for a one-year term commencing July 1, 2026 and ending June 30, 2027.

## **IV. Peer Advisory Committee (PAC) Report**

Mr. Wayed Kabir reported that the Committee is dark for the summer and the next meeting is scheduled for September 16, 2026.

## **V. Liaison Reports**

### **A. CalOptima – *Liaison Pending***

No representative from CalOptima was present and no report was provided.

### **B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (absent)**

In Ms. Arianna Maldonado's absence, Mr. Garrett Rodriguez reported that ridership has been consistent and schedule changes for drivers continue to improve performance. Mr. Rodriguez also reported that OCTA will be hosting a Job Fair on June 23, 2026. For more information, visit OCTA's website at [www.octa.net](http://www.octa.net).

## **VI. Member Reports**



**A. Adult Behavior Management – Ryan Perez (absent)**

**B. Adult Day Programs – Rick Perez (present)**

In Mr. Ryan Perez's absence, Ms. Katie Bruellet reported that the two subcommittees met today and discussed the collaboration within Service Code 532 (Behavioral Day Services) programs to meet the new legal and financial eBilling requirements.

**C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (absent)**

In Ms. Janeth McDonough's absence, Ms. Alexandra Rasey-Smith reported that the subcommittee did not meet.

**D. Behavior Services – Cindy Hebert (present)**

Ms. Cindy Hebert reported that the subcommittee met on June 4, 2026, and held a presentation on BCBA contract changes due to rate reform, turnover and upcoming transition to telehealth/remote services.

**E. Community Care Facilities (CCF) – Jorge Lozano (present)**

Mr. Jorge Lozano reported that the subcommittee did not meet.

**F. Early Intervention – Junie Lazo-Pearson (absent)**

In Ms. Lazo-Pearson's absence, Ms. Pam Alexander reported that the subcommittee met on May 20, 2026 and discussed new guidelines due to rate reform and program design requirements. The next subcommittee meeting is scheduled for July 2026.

**G. Habilitation – Marina Margaryan (present)**

Ms. Marina Margaryan reported that the subcommittee did not meet.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee did not meet.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Jack Stanton reported that there were no updates.

**J. Support Services/Allied Health – Kelly Araujo (present)**

Ms. Kelly Araujo reported that the subcommittee met today and discussed the May Revise of the Governor's budget.

**VII. Community Forum**

***Vendor Advisory Committee Minutes***  
***June 9, 2026***

Mr. Marle Chen, State Council on Developmental Disabilities (SCDD), shared information on SCDD's 6<sup>th</sup> Annual *There Should Be a Law* contest. The contest is free and anyone can enter by presenting an idea of a daily problem together with a solution, that benefits people with intellectual and developmental disabilities. Winning ideas will be recommended by SCDD's Legislative and Public Policy Committee; and if adopted, become part of SCDD's top legislative efforts for 2027. All entries must be submitted via <https://bit.ly/BillIdeas2026> by June 30, 2026.

**VIII. Adjournment**

Mr. Perez adjourned the meeting at 2:24 p.m.

The next VAC meeting is scheduled for July 14, 2026.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Vendor Advisory Committee  
July 14, 2026  
Videoconference Minutes**

**Members:**

**Adult Behavior Management Programs**

Chair, Ryan Perez, present  
Co-Chair, Katie Bruellet, present

**Adult Day Programs**

Chair, Rick Perez, present  
Co-Chair, *Member Pending*

**Adult Family Home/Foster Family Agency**

Chair, Janeth McDonough, present  
Co-Chair, Alexandra Rasey-Smith, absent

**Behavior Services**

Chair, Cindy Hebert, present  
Co-Chair, Junie Lazo-Pearson, present

**Community Care Facilities**

Chair, Jorge Lozano, present  
Co-Chair, Omar Tawfik, present

**Early Intervention**

Chair, Junie Lazo-Pearson, present  
Co-Chair, Pam Alexander, present

**Habilitation**

Chair, Marina Margaryan, present  
Co-Chair, Jodean Hudson, absent

**Independent/Supported Living**

Chair, Christine Molina, present  
Co-Chair, Ana Sandoval, present

**Intermediate Care Facilities**

Chair, Rich Mraule, absent  
Co-Chair, *Member Pending*

**Support Services/Allied Health**

Chair, Kelly Araujo, present  
Co-Chair, Mary McFadden, present

**Liaisons:**

**CalOptima**

*Liaison Pending*

**Orange County Transit Authority**

Ariana Maldonado, present

**Board Member Present:**

Pankaj Bedekar

**RCOC Staff Present:**

Larry Landauer, Executive Director  
Bianca Borja Rios, Accounting Supervisor – Vendorization

Arturo Cazares, Associate Executive Director  
Ernie Cruz, Director of Community Services  
Valeria De Los Angeles, Accounting Supervisor – Payables  
Nancy Franco, Support Services Manager  
Bonnie Ivers, Clinical Director  
Wayed Kabir, Peer Advocate  
Araceli Mendez, Associate Director of Early Start  
Jennifer Montanez, Director of Case Management  
Julie Rodriguez, Accounting Supervisor – Systems  
Jack Stanton, Associate Director of Housing  
Marta Vasquez, Chief Financial Officer  
Sean Watson, Associate Director of Risk Management

**Guests:** Gaby Peña, All’s Well National Healthcare Recruitment Center  
Daisy Alvarez, All’s Well National Healthcare Recruitment Center

## **Call to Order**

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

### **I. Liaison Reports**

#### **A. CalOptima – *Liaison Pending***

No representative from CalOptima was present and no report was provided.

#### **B. Orange County Transportation Authority (OCTA) – Arianna Maldonado (present)**

Ms. Arianna Maldonado reported that ridership for the month of June 2026 was down, which is usual during the summer season. Ms. Maldonado also reported that OCTA is implementing a new system software update designed to optimize scheduling and improve overall ridership performance. The updated system is scheduled for August 2026.

### **II. RCOC Update**

Mr. Larry Landauer introduced Mr. Ernie Cruz, RCOC’s new Director of Community Services.

#### **A. Vendorization Update**

Ms. Marta Vasquez provided the following updates:

- *Behavioral Respite*. Ms. Vasquez reported that RCOC is continuing to work on transitioning all behavioral vendors from service code 062-Personal Assistance to 862-In-Home Respite Agency.
- *Quality Incentive Program (QIP)*. Ms. Vasquez reported that RCOC is working on updating vendor rates based on QIP eligibility, effective July 1, 2026, with the

updated rates to be reflected on the July invoices. However, some vendor numbers do not appear on the current eligibility list. RCOC is following up with the Department of Developmental Services (DDS) to confirm how to proceed with these vendors. Vendors whose rates were reduced because of QIP eligibility and who have questions are encouraged to email [vendorization@rcocdd.com](mailto:vendorization@rcocdd.com). A response will be provided within 24 hours. Vendors may also visit the DDS website to verify their QIP eligibility at [www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/](https://www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/).

- *810 Infant Development Specialist (Directive D-2026- Rates-002)*. Ms. Vasquez reported that as a result of Rate Reform, 810 vendors will receive a rate increase and new subcodes. All existing authorizations will be canceled June 30, 2026. Vendorization will distribute the new rate sheets by July 17, 2026. Vendors are required to submit their signed rate sheets by July 24, 2026, to ensure that the updated rates are reflected on July 2026 invoices.
- *Day Programs*. Ms. Vasquez reported that Mr. Arturo Cazares and his team will be reaching out to day program vendors to obtain an updated Program Design Addendum. Based on the hours reported on the addendum, four hours or less, five hours, or six hours, and whether services are billed hourly or daily, the applicable rates and subcodes will be updated accordingly.
- *Remote Services Billing*. Ms. Vasquez reminded Committee members that, as discussed last month, changes to the remote services billing process were scheduled to take effect for services provided beginning June 2026. However, the Remote Services Tracking system did not go live as expected for June 2026 services. Per DDS, there is currently no estimated completion date for implementation. Until further notice, vendors should continue to bill as usual.

## **B. Quality Incentive Program (QIP) Update**

Mr. Arturo Cazares reminded service providers that with the start of the 2026-27 fiscal year, the new QIP measures are in effect and may impact provider rates. Service providers were encouraged to visit the QIP webpage on DDS' website to review the spreadsheet listing QIP eligibility status by vendor number at <https://www.dds.ca.gov/rc/vendor-provider/quality-incentive-program/>.

## **C. DSP Internship Program Presentation**

Ms. Gaby Peña and Ms. Daisy Alvarez from All's Well National Healthcare Recruitment Center, provided a presentation overview for service providers on DDS' Direct Support Provider (DSP) Internship Program. For more information about the program, email at [dspinterns@allswell.com](mailto:dspinterns@allswell.com).

## **III. Board Report**

Mr. Landauer reported that the Board of Directors did not meet in July.

**IV. Peer Advisory Committee (PAC) Report**

Mr. Wayed Kabir reported that the Committee is dark for the summer and the next meeting is scheduled for September 16, 2026.

**V. Member Reports**

**A. Adult Behavior Management – Ryan Perez (present)**

**B. Adult Day Programs – Rick Perez (present)**

Mr. Ryan Perez reported that the two subcommittees met and discussed the new D-2026 Rates and the QIP measures. The next subcommittee meeting is scheduled for August 2026.

**C. Adult Family Home Agency (AFHA)/Foster Family Agency (FFA) – Janeth McDonough (present)**

Ms. Janeth McDonough reported that the subcommittee did not meet.

**D. Behavior Services – Cindy Hebert (present)**

Ms. Cindy Hebert reported that the subcommittee did not meet.

**E. Community Care Facilities (CCF) – Jorge Lozano (present)**

Mr. Jorge Lozano reported that the subcommittee did not meet. The next subcommittee meeting is scheduled for August 22, 2026.

**F. Early Intervention – Junie Lazo-Pearson (present)**

Ms. Lazo-Pearson reported that the subcommittee did not meet.

**G. Habilitation – Marina Margaryan (present)**

Ms. Marina Margaryan reported that the subcommittee met today and discussed new Trailer Bill language and workforce management concerns such as staff recruitment and training.

**H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)**

Ms. Christine Molina reported that the subcommittee met today and addressed eBilling concerns and questions.

**I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)**

In Mr. Rich Mraule's absence, Mr. Jack Stanton reported that there were no updates.

**J. Support Services/Allied Health – Kelly Araujo (present)**

Ms. Kelly Araujo reported that the subcommittee held a short meeting and had no updates.

**VI. Community Forum**

There were no speakers for Community Forum.

**VII. Adjournment**

Mr. Perez adjourned the meeting at 2:36 p.m.

The next VAC meeting is scheduled for September 8, 2026.

*Recorder: Sandra Lomeli*

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: September 3, 2026  
TO: Board of Directors  
FROM: Rick Perez  
Chair, Vendor Advisory Committee

|                |   |
|----------------|---|
| ACTION         |   |
| ACTION/CONSENT |   |
| DISCUSSION     |   |
| INFO ONLY      | X |

**SUBJECT: Vendor Advisory Committee Member of the Board of Directors**

BACKGROUND:

In accordance with the Lanterman Act, Section 4266(i), the Vendor Advisory Committee (VAC) “shall designate one of its members to serve as a member of the regional center board.”

REASON FOR CURRENT ITEM:

At the VAC meeting on June 9, 2026, Mr. Rick Perez was elected to serve as the VAC Chairperson and member of the Board of Directors from July 1, 2026 to June 30, 2027.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.



**Regional Center of Orange County  
Peer Advisory Committee  
June 17, 2026  
Videoconference Minutes**

**Committee Members Present:** Yvonne Kluttz, Chairperson  
Wayed Kabir, RCOC's Peer Advocate  
Sylvia Delgado  
Stephen Gersten  
Peter Kuo  
Fernando Peña

**Committee Members Absent:** Amy Jessee, Co-Chair  
Kerri Adamic  
Marcell Bassett  
Cheryl Day  
Mark Hemry

**Board Member Present:** Chinh Nguyen

**RCOC Staff Members Present:** Jennifer Montanez, Director of Case Management  
Derrick Nguyen, Family Resources, Cultural Specialist

**Guest(s):** Monica T. Dayle

Mr. Wayed Kabir called the meeting to order at 3:02 p.m.

**I. Welcome and Introductions**

Mr. Kabir welcomed everyone to the Peer Advisory Committee (PAC) meeting.

**II. RCOC's Peer Advocate Report**

Mr. Kabir reported that he is working on developing a platform where persons served can write and share stories on any topic they choose. The goal is to provide a space for self-expression and storytelling. More information will be shared as it becomes available.

**III. Person-Centered Planning and DDS Guides**

Mr. Derrick Nguyen, RCOC's Cultural Specialist, shared RCOC's Recreation Resource Guide. The Guide provides information for access to summer camps and community social and recreational programs such as YMCA's Inclusion Program, Work Art Explorations, Autism on the Sea and Down for Dance for persons served and their families. To view the Guide online, visit <https://www.rcocdd.com/community-support>.

**IV. Community Forum**

There were no speakers for community forum.

**V. Next Scheduled Meeting**

The next PAC meeting is scheduled for September 16, 2026.

Mr. Kabir adjourned the meeting at 3:40 p.m.

*Recorder: Sandra Lomeli*

**Regional Center of Orange County  
Legislative and Community Awareness Committee  
July 14, 2026  
Videoconference Minutes**

**Committee Members Present:** Bruce Hall, Chairperson  
Liza Krassner  
Sandy Martin  
Hilda Mendez

**Committee Members Absent:** Chinh Nguyen

**RCOC Staff Members Present:** Larry Landauer, Executive Director  
Arturo Cazares, Associate Executive Director  
Ernie Cruz, Director of Community Services  
Bonnie Ivers, Clinical Director  
Kaitlynn Truong, Family Support and Community Outreach Manager

**Guests:** Linda Blankenship, Consultant  
Anh Nguyen, Consultant *(joined at 5:20 p.m.)*

Mr. Bruce Hall called the meeting to order at 5:00 p.m.

**I. Legislative Outreach**

**A. ARCA Update**

Ms. Sandy Martin reported that the ARCA Board of Directors met on June 25, 2026 and discussed the Governor's Budget along with potential Trailer Bill Language.

The next ARCA Board of Directors meeting is scheduled for August 21, 2026.

**B. Budget & Pending Legislation**

Mr. Larry Landauer reported that the Governor signed the state budget and that the Department of Developmental Services (DDS) system has been fully funded.

**C. Delegation Relationships**

Mr. Landauer reported that RCOC plans to host a program tour for the Legislative Analyst's Office (LAO) along with the Department of Finance (DOF) on July 17, 2026.

## **II. Community Outreach**

### **A. LACC Activities**

Ms. Kaitlynn Troung reported that RCOC and its Comfort Connection Family Resource Center (CCFRC) have finalized and submitted its Language Access and Cultural Competency (LACC) Plan for Fiscal Year 2026-27 to DDS for review and approval.

### **B. Additional Disparity-Focused Activities**

Dr. Bonnie Ivers reported that there are no updates.

## **III. Digital Engagement**

### **A. Social Media and Website Updates**

Ms. Linda Blankenship stated that an RCOC IT team member has been assigned specifically to support and monitor its website for updates, ensure compliance and improve user access in locating key information on the website. This IT team member will also support RCOC's social media platforms, which regularly share information on events, public meetings and training opportunities.

## **IV. Dialogue – Summer Issue**

Ms. Blankenship reported that the summer issue of the *Dialogue* includes highlights of the transition to adult services, with a focus on conservatorship and supported decision-making. The Executive Director's Report addresses RCOC's responsibilities related to conservatorship, school services and the transition from high school to adult services.

## **V. News Media Outreach**

Ms. Blankenship reported that a strategy is in development for leveraging relationships with more local news outlets and social media platforms in effort to reach a larger community audience that will increase awareness of and engagement in services, events and activities offered by RCOC.

## **VI. Community Forum**

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 5:26 p.m.

*Recorder: Sandra Lomeli*