



Performance Contract Summary

| RCOC 7/01/17 | All | RCOC # | Goal | Percentage | # Attained |
|---|--------|---------|---------|------------|------------|
| Developmental Center (DC) | 20,583 | 73 | 63 | 0.35% | -10 |
| Children in Foster Homes (FH) | 9,931 | 159 | 146 | 1.60% | 13 |
| Children Own Home Parent/Guardian | 9,931 | 9,694 | 9500 | 97.61% | 194 |
| Total # Children (FH,Parent/Guardian) | 9,931 | 9,853 | 9,646 | 99.21% | 207 |
| Adult FHA | 10,579 | 92 | 91 | 0.87% | 1 |
| Independent Living (IL) | 10,579 | 840 | 848 | 7.94% | -8 |
| Adults Residing Own Home - Parent | 10,579 | 6,686 | 6,525 | 63.20% | 161 |
| Supported Living (SL) | 10,579 | 464 | 461 | 4.39% | 3 |
| Total # Adults (FHA, IL,Parent/Guardian, SL) | 10,579 | 8,082 | 7,925 | 76.40% | 157 |
| Children Residing in a CCF (7+ beds) | 9,931 | 1 | 0 | 0.01% | -1 |
| Children Residing in a ICF (7+ beds) | 9,931 | 2 | 0 | 0.02% | -2 |
| Children Residing in a Nursing Facility (7+ beds) | 9,931 | 0 | 0 | 0.00% | 0 |
| Total Children Residing in 7+ bed facilities | 9,931 | 3 | 0 | 0.03% | -3 |
| Adults Residing in a CCF (7+ beds) | 10,579 | 157 | 144 | 1.48% | -13 |
| Adults Residing in a ICF (7+ beds) | 10,579 | 93 | 105 | 0.88% | 12 |
| Adults Residing in a Nursing Facility (7+ beds) | 10,579 | 86 | 85 | 0.81% | -1 |
| Total Adults Residing in 7+ bed facilities | 10,579 | 336 | 334 | 3.18% | -2 |
| Total Individuals Over Age 3 with <=120 days | 254 | 254 | 100.00% | 100.00% | 100.00% |
| Total Individuals Over Age 3 with 121-240 days | 254 | 0 | 0.00% | 0.00% | 0.00% |
| Total Individuals Over Age 3 Over 240 days | 254 | 0 | 0.00% | 0.00% | 0.00% |
| Adults with Paid Work in Community | 10,579 | 18% | 22% | | |
| Adults with Integrated Employment Goal | 10,579 | 30% | 38% | | |
| Adults Who Desire Paid Employment | 10,579 | 46% | 50% | | |
| Number of 16-64 Earned Income | 10,579 | 2,175 | | | |
| Percentage of 16-64 Earned Income | 10,579 | 27.00% | | | |
| Annual Earnings of 16-64 | 10,579 | \$5,681 | | | |
| Total Annual Expenditures Residence/Ethnicity | 20,583 | | | | |
| Number and Percent Race/Ethnicity Satisfied with Services | 20,583 | | | | |
| Number and Percent Families by Race/Ethnicity IPP/IFSP Includes Needed Services | 20,583 | | | | |

Performance Contract 2017 Cover Sheet



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2017

Regional Center Compliance Measures

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

| Compliance Measure | Outcome |
|--|------------------|
| Unqualified Audit with no material findings | Yes |
| Substantial Compliance with DDS fiscal audit | Yes |
| Accuracy percent of POS fiscal projections | Yes |
| Operates within OPS budget | Yes |
| Certified to participate in Waiver | Yes |
| Compliance with Vendor Audit Requirements per contract, Article III, Sec. 10 | Met |
| CDER/ESR Currency | 99.89% |
| Intake/assessment and IFSP (0-2) | |
| IPP Development Biennial | Annually, 99.27% |
| IFSP Development | 69.19% |

Performance Contract 2017

I. Developmental Center

A. Total number and % of regional center caseload in developmental centers

| | Percentage | All consumers | Consumers in DC | | | |
|-------------------------------|-------------------------|---------------|-------------------------|-------|-------|------------|
| Statewide Average | 0.33% | 298,310 | 977 | | | |
| RCOC Public Hearing 8/17/16 | 0.41% | 19,656 | 80 | Goal | % | # Attained |
| RCOC 7/01/17 | 0.35% | 20,583 | 73 | 63 | 0.35% | -10 |
| Analysis as of Public Hearing | RCOC % of DD population | 6.59% | RCOC % of DC population | 8.19% | | |

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a state developmental center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan for FYs 2015-2016 and 2016-2017.

Progress: In FY 2015/2016 RCOC has moved 1 consumer from the Developmental Centers into the community. During Public Meetings in August 2016, RCOC had 80 or 0.41% in Developmental Centers. During this period RCOC's overall caseload grew as a result of families and residents requesting a case transfer to continue to reside in Orange County.

Number of Consumers Residing DCs

| | Total Active Caseload | Goal | DC | % | Number Attained |
|--------|-----------------------|------|----|-------|-----------------|
| Jan-17 | 20,177 | 63 | 73 | 0.36% | -10 |
| Feb-17 | 20,194 | 63 | 71 | 0.35% | -8 |
| Mar-17 | 20,314 | 63 | 71 | 0.35% | -8 |
| Apr-17 | 20,390 | 63 | 71 | 0.35% | -8 |
| May-17 | 20,506 | 63 | 72 | 0.35% | -9 |
| Jun-17 | 20,583 | 63 | 73 | 0.35% | -10 |
| Jul-17 | | 63 | | | |
| Aug-17 | | 63 | | | |
| Sep-17 | | 63 | | | |
| Oct-17 | | 63 | | | |
| Nov-17 | | 63 | | | |
| Dec-17 | | 63 | | | |





Performance Contract 2017

II. Children Residing with Families (Child is defined as under 18 years of age).

Planned Activities

Statement: The Regional Center of Orange County ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

Objectives: Service Coordinators continue to identify, publicize and facilitate access to supports and services for children with developmental disabilities who are considered at risk for out-of-home placement. RCOC will hold an annual presentation on supports for consumers including residential and vocational (day services) and other generic resources.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, training and services that are designed to provide equal access to child care for children with special needs
- Continue to develop innovative resources for children 0-3 years old (i.e., respite placement).
- RCOC will ensure that consumers are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that families receive full information about the developmental needs of the consumer and services available.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.

Progress: A. During public meetings, RCOC had 132, or 1.40%, children caseload in foster homes.

A. Number and % of regional center children caseload in foster homes

| | Percentage | All children consumers | Consumers in FH | | | |
|-------------------------------|------------|-------------------------|-----------------|-------------------------|-------|------------|
| Statewide Average | 2.47% | 143,965 | 3,560 | | | |
| RCOC Public Hearing 8/17/16 | 1.40% | 9,435 | 132 | Goal | % | # Attained |
| RCOC 7/01/17 | 1.60% | 9,931 | 159 | 146 | 1.60% | 13 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.55% | RCOC % of FH population | 3.71% | |



| | Total Children Status 1&2 | Goal | Child Consumers in Foster Homes | % | Number Attained |
|--------|---------------------------|------|---------------------------------|-------|-----------------|
| Jan-17 | 9,682 | 146 | 149 | 1.54% | 3 |
| Feb-17 | 9,670 | 146 | 144 | 1.49% | -2 |
| Mar-17 | 9,748 | 146 | 149 | 1.53% | 3 |
| Apr-17 | 9,782 | 146 | 154 | 1.57% | 8 |
| May-17 | 9,875 | 146 | 160 | 1.62% | 14 |
| Jun-17 | 9,931 | 146 | 159 | 1.60% | 13 |
| Jul-17 | | 146 | | | |
| Aug-17 | | 146 | | | |
| Sep-17 | | 146 | | | |
| Oct-17 | | 146 | | | |
| Nov-17 | | 146 | | | |
| Dec-17 | | 146 | | | |

Progress: B. During public meetings, RCOC had 8,914, or 97.69%, of children caseload in own home-parent/guardian.

Number and % of regional center children caseload in own home-parent/guardian.

| | Percentage | All children consumers | Consumers in own home Parent/Guardian | Goal | % | # Attained |
|-------------------------------|------------|-------------------------|---------------------------------------|-------------------------|--------|------------|
| Statewide Average | 96.75% | 143,965 | 139,282 | | | |
| RCOC Public Hearing 8/17/16 | 97.80% | 9,435 | 9,227 | | | |
| RCOC 7/01/17 | 97.61% | 9,931 | 9,694 | 9,500 | 97.61% | 194 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.55% | RCOC % of FH population | 6.62% | |



| | Total Children Status 1&2 | Goal | Consumers in own home Parent/Guardian | % | Number Attained |
|--------|---------------------------|-------|---------------------------------------|--------|-----------------|
| Jan-17 | 9,682 | 9,500 | 9,451 | 97.61% | -49 |
| Feb-17 | 9,670 | 9,500 | 9,447 | 97.69% | -53 |
| Mar-17 | 9,748 | 9,500 | 9,517 | 97.63% | 17 |
| Apr-17 | 9,782 | 9,500 | 9,548 | 97.61% | 48 |
| May-17 | 9,875 | 9,500 | 9,635 | 97.57% | 135 |
| Jun-17 | 9,931 | 9,500 | 9,694 | 97.61% | 194 |
| Jul-17 | | 9,500 | | | |
| Aug-17 | | 9,500 | | | |
| Sep-17 | | 9,500 | | | |
| Oct-17 | | 9,500 | | | |
| Nov-17 | | 9,500 | | | |
| Dec-17 | | 9,500 | | | |





Progress: C. During public meetings, RCOC had 9,359, or 99.19%, of children caseload in homes.

Total number and % of regional center children caseload in homes (This is a total of sections A and B above).

| | Percentage | All children consumers | Total Number Children Consumers in Homes | Goal | % | # Attained |
|-------------------------------|-------------------------|------------------------|--|-------------------------|--------|------------|
| Statewide Average | 99.22% | 143,965 | 142,842 | | | |
| RCOC Public Hearing 8/17/16 | 99.19% | 9,435 | 9,359 | | | |
| RCOC 7/01/17 | 99.21% | 9,931 | 9,853 | 9,646 | 99.21% | 207 |
| Analysis as of Public Hearing | RCOC % of DD population | | 6.55% | RCOC % of FH population | | 6.55% |

| | Total Children Status 1&2 | Goal | Total Number Children Consumers in Homes | % | Number Attained |
|--------|---------------------------|-------|--|--------|-----------------|
| Jan-17 | 9,682 | 9,646 | 9,600 | 99.15% | -46 |
| Feb-17 | 9,670 | 9,646 | 9,591 | 99.18% | -55 |
| Mar-17 | 9,748 | 9,646 | 9,666 | 99.16% | 20 |
| Apr-17 | 9,782 | 9,646 | 9,702 | 99.18% | 56 |
| May-17 | 9,875 | 9,646 | 9,795 | 99.19% | 149 |
| Jun-17 | 9,931 | 9,646 | 9,853 | 99.21% | 207 |
| Jul-17 | | 9,646 | | | |
| Aug-17 | | 9,646 | | | |
| Sep-17 | | 9,646 | | | |
| Oct-17 | | 9,646 | | | |
| Nov-17 | | 9,646 | | | |
| Dec-17 | | 9,646 | | | |





Performance Contract 2017

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with consumers and their caregivers and advocates to empower and enable them to assert the consumer's rights to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the consumer resides.

Objective: Using the Person Centered Individual Program Planning process, Service Coordinators will continue to identify regional center adult consumers who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their Program Design.
- RCOC will ensure that consumers are provided opportunities for safety awareness training on a regular and as needed basis.
- Review and revise services, e.g. respite and family support.
- RCOC will assure that consumers and their caregivers receive complete assessments and have opportunity to ask questions, advocate, and access services. To be evaluated and monitored by a NCI survey of consumers and their caregivers.

Progress: A. During public meetings, RCOC had 80, or 0.79%, of adult caseload residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in Adult FHA.

| | Percentage | Total Adult consumers status 2 | Consumers in Adult FHA | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|------------------------|-------------------------|-------|------------|
| Statewide Average | 0.97% | 153,368 | 1,492 | | | |
| RCOC Public Hearing 8/17/16 | 0.79% | 10,141 | 80 | 91 | | |
| RCOC 7/01/17 | 0.87% | 10,579 | 92 | 91 | 0.87% | 1 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.61% | RCOC % of FH population | 5.36% | |



| | Total Adults Status 2 | Goal | Consumers in Adult FHA | % | Number Attained |
|--------|-----------------------|------|------------------------|-------|-----------------|
| Jan-17 | 10,422 | 91 | 90 | 0.86% | -1 |
| Feb-17 | 10,453 | 91 | 91 | 0.87% | 0 |
| Mar-17 | 10,495 | 91 | 92 | 0.88% | 1 |
| Apr-17 | 10,537 | 91 | 92 | 0.87% | 1 |
| May-17 | 10,559 | 91 | 92 | 0.87% | 1 |
| Jun-17 | 10,579 | 91 | 92 | 0.87% | 1 |
| Jul-17 | | 91 | | | |
| Aug-17 | | 91 | | | |
| Sep-17 | | 91 | | | |
| Oct-17 | | 91 | | | |
| Nov-17 | | 91 | | | |
| Dec-17 | | 91 | | | |

Progress: B. During public meetings, RCOC had 839, or 8.27%, of adult caseload residing in independent living.

Total number and % of regional center adult caseload residing in independent living.

| | Percentage | Total Adult consumers status 2 | Consumers in Independent Living | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|---------------------------------|------|-------------------------------|------------|
| Statewide Average | 11.15% | 153,368 | 17,107 | | | |
| RCOC Public Hearing 8/17/16 | 8.27% | 10,141 | 839 | 848 | 7.94% | -8 |
| RCOC 7/01/17 | 7.94% | 10,579 | 840 | | | |
| Analysis as of Public Hearing | | | RCOC % of DD population 6.61% | | RCOC % of FH population 4.90% | |



| | Total Adults Status 2 | Goal | Consumers in Independent Living | % | Number Attained |
|--------|-----------------------|------|---------------------------------|-------|-----------------|
| Jan-17 | 10,422 | 848 | 849 | 8.15% | 1 |
| Feb-17 | 10,453 | 848 | 841 | 8.05% | -7 |
| Mar-17 | 10,495 | 848 | 853 | 8.13% | 5 |
| Apr-17 | 10,537 | 848 | 847 | 8.04% | -1 |
| May-17 | 10,559 | 848 | 831 | 7.87% | -17 |
| Jun-17 | 10,579 | 848 | 840 | 7.94% | -8 |
| Jul-17 | | 848 | | | |
| Aug-17 | | 848 | | | |
| Sep-17 | | 848 | | | |
| Oct-17 | | 848 | | | |
| Nov-17 | | 848 | | | |
| Dec-17 | | 848 | | | |

Progress: C. During public meetings, RCOC had 6,291, or 62.04%, of adult caseload residing in own home-parent.

Total number and % of regional center adult caseload residing in own home-parent

| | Percentage | Total Adult consumers status 2 | Consumers Residing Own Home - Parent | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|--------------------------------------|-------------------------|--------|------------|
| Statewide Average | 60.83% | 153,368 | 93,291 | | | |
| RCOC Public Hearing 8/17/16 | 62.04% | 10,141 | 6,291 | | | |
| RCOC 7/01/17 | 63.20% | 10,579 | 6,686 | 6,525 | 63.20% | 161 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.61% | RCOC % of FH population | 6.74% | |



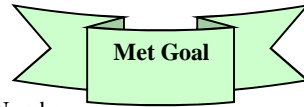
| | Total Adults Status 2 | Goal | Consumers Residing Own Home - Parent | % | Number Attained |
|--------|-----------------------|-------|--------------------------------------|--------|-----------------|
| Jan-17 | 10,422 | 6,525 | 6,537 | 62.72% | 12 |
| Feb-17 | 10,453 | 6,525 | 6,565 | 62.80% | 40 |
| Mar-17 | 10,495 | 6,525 | 6,593 | 62.82% | 68 |
| Apr-17 | 10,537 | 6,525 | 6,633 | 62.95% | 108 |
| May-17 | 10,559 | 6,525 | 6,662 | 63.09% | 137 |
| Jun-17 | 10,579 | 6,525 | 6,686 | 63.20% | 161 |
| Jul-17 | | 6,525 | | | |
| Aug-17 | | 6,525 | | | |
| Sep-17 | | 6,525 | | | |
| Oct-17 | | 6,525 | | | |
| Nov-17 | | 6,525 | | | |
| Dec-17 | | 6,525 | | | |



Progress: D. During public meetings, RCOC had 442, or 4.36%, of adult caseload residing in supported living.

Total number and % of regional center adult caseload residing in supported living

| | Percentage | Total Adult consumers status 2 | Consumers Residing Supported Living | Goal | % | # Attained |
|-------------------------------|-------------------------|--------------------------------|-------------------------------------|-------------------------|-------|------------|
| Statewide Average | 5.52% | 153,368 | 8,462 | | | |
| RCOC Public Hearing 8/17/16 | 4.36% | 10,141 | 442 | | | |
| RCOC 7/01/17 | 4.39% | 10,579 | 464 | 461 | 4.39% | 3 |
| Analysis as of Public Hearing | RCOC % of DD population | | 6.61% | RCOC % of FH population | | 5.22% |



| | Total Adults Status 2 | Goal | Consumers Residing Supported Living | % | Number Attained |
|--------|-----------------------|------|-------------------------------------|-------|-----------------|
| Jan-17 | 10,422 | 461 | 452 | 4.34% | -9 |
| Feb-17 | 10,453 | 461 | 455 | 4.35% | -6 |
| Mar-17 | 10,495 | 461 | 457 | 4.35% | -4 |
| Apr-17 | 10,537 | 461 | 460 | 4.37% | -1 |
| May-17 | 10,559 | 461 | 467 | 4.42% | 6 |
| Jun-17 | 10,579 | 461 | 464 | 4.39% | 3 |
| Jul-17 | | 461 | | | |
| Aug-17 | | 461 | | | |
| Sep-17 | | 461 | | | |
| Oct-17 | | 461 | | | |
| Nov-17 | | 461 | | | |
| Dec-17 | | 461 | | | |

Progress: E. During public meetings, RCOC had 7,652, or 75.46%, of adult caseload residing in home-settings.

Total number and % of regional center adults in home settings
(This is a total of sections A, B, C and D above).

| | Percentage | Total Adult consumers status 2 | Total Number Consumers Home Settings | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|--------------------------------------|-------------------------|--------|------------|
| Statewide Average | 78.36% | 153,368 | 120,172 | | | |
| RCOC Public Hearing 8/17/16 | 75.46% | 10,141 | 7,652 | | | |
| RCOC 7/01/17 | 76.40% | 10,579 | 8,082 | 7,925 | 76.40% | 157 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.61% | RCOC % of FH population | 6.37% | |



| | Total Adults Status 2 | Goal | Total Number Consumers Home Settings | % | Number Attained |
|--------|-----------------------|-------|--------------------------------------|--------|-----------------|
| Jan-17 | 10,422 | 7,925 | 7,928 | 76.07% | 3 |
| Feb-17 | 10,453 | 7,925 | 7,952 | 76.07% | 27 |
| Mar-17 | 10,495 | 7,925 | 7,995 | 76.18% | 70 |
| Apr-17 | 10,537 | 7,925 | 8,032 | 76.23% | 107 |
| May-17 | 10,559 | 7,925 | 8,052 | 76.26% | 127 |
| Jun-17 | 10,579 | 7,925 | 8,082 | 76.40% | 157 |
| Jul-17 | | 7,925 | | | |
| Aug-17 | | 7,925 | | | |
| Sep-17 | | 7,925 | | | |
| Oct-17 | | 7,925 | | | |
| Nov-17 | | 7,925 | | | |
| Dec-17 | | 7,925 | | | |



Performance Contract 2017

IV. Children Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for time limited periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to insure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 4, or 0.04%, of children caseload residing in a CCF (7+ beds). Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children caseload residing in a CCF (7+ beds)

| | Percentage | Total Children consumers status 1 & 2 | Children Residing in a CCF (7+ beds) | Goal | % | # Attained |
|-------------------------------|------------|---------------------------------------|--------------------------------------|------|-------------------------------|------------|
| Statewide Average | 0.03% | 143,965 | 39 | | | |
| RCOC Public Hearing 8/17/16 | 0.02% | 9,435 | 2 | | | |
| RCOC 7/01/17 | 0.01% | 9,931 | 1 | 0 | 0.01% | -1 |
| Analysis as of Public Hearing | | | RCOC % of DD population 6.55% | | RCOC % of FH population 5.13% | |



| | Total Children consumers status 1&2 | Goal | Children Residing in a CCF (7+ beds) | % | Number Attained |
|--------|-------------------------------------|------|--------------------------------------|-------|-----------------|
| Jan-17 | 9,682 | 0 | 2 | 0.02% | -2 |
| Feb-17 | 9,670 | 0 | 3 | 0.03% | -3 |
| Mar-17 | 9,748 | 0 | 3 | 0.03% | -3 |
| Apr-17 | 9,782 | 0 | 3 | 0.03% | -3 |
| May-17 | 9,875 | 0 | 2 | 0.02% | -2 |
| Jun-17 | 9,931 | 0 | 1 | 0.01% | -1 |
| Jul-17 | | 0 | | | |
| Aug-17 | | 0 | | | |
| Sep-17 | | 0 | | | |
| Oct-17 | | 0 | | | |
| Nov-17 | | 0 | | | |
| Dec-17 | | 0 | | | |

Progress: B. During public meetings, RCOC had no children residing in an ICF (7+ beds). Placements to 7+ bed ICF facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in an ICF (7+ beds)

| | Percentage | Total Children consumers status 1 & 2 | Children Residing in a ICF (7+ beds) | Goal | % | # Attained |
|-------------------------------|-------------------------|---------------------------------------|--------------------------------------|-------------------------|-------|------------|
| Statewide Average | 0.02% | 143,965 | 33 | | | |
| RCOC Public Hearing 8/17/16 | 0.01% | 9,435 | 1 | Goal | | |
| RCOC 7/01/17 | 0.02% | 9,931 | 2 | 0 | 0.02% | -2 |
| Analysis as of Public Hearing | RCOC % of DD population | | 6.55% | RCOC % of FH population | | 3.03% |



| | Total Children consumers status 1&2 | Goal | Children Residing in a ICF (7+ beds) | % | Number Attained |
|--------|-------------------------------------|------|--------------------------------------|-------|-----------------|
| Jan-17 | 9,682 | 0 | 1 | 0.01% | -1 |
| Feb-17 | 9,670 | 0 | 1 | 0.01% | -1 |
| Mar-17 | 9,748 | 0 | 1 | 0.01% | -1 |
| Apr-17 | 9,782 | 0 | 2 | 0.02% | -2 |
| May-17 | 9,875 | 0 | 2 | 0.02% | -2 |
| Jun-17 | 9,931 | 0 | 2 | 0.02% | -2 |
| Jul-17 | | 0 | | | |
| Aug-17 | | 0 | | | |
| Sep-17 | | 0 | | | |
| Oct-17 | | 0 | | | |
| Nov-17 | | 0 | | | |
| Dec-17 | | 0 | | | |

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a nursing facility

| | Percentage | Total Children consumers status 1 & 2 | Children Residing in a Nursing Facility (7+ beds) | Goal | % | # Attained |
|-------------------------------|-------------------------|---------------------------------------|---|-------------------------|-------|------------|
| Statewide Average | 0.00% | 143,965 | 4 | | | |
| RCOC Public Hearing 8/17/16 | 0.00% | 9,435 | 0 | Goal | % | # Attained |
| RCOC 7/01/17 | 0.00% | 9,931 | 0 | 0 | 0.00% | 0 |
| Analysis as of Public Hearing | RCOC % of DD population | | 6.55% | RCOC % of FH population | | 0.00% |



| | Total Children consumers status 1&2 | Goal | Children Residing in a Nursing Facility (7+ beds) | % | Number Attained |
|--------|-------------------------------------|------|---|-------|-----------------|
| Jan-17 | 9,682 | 0 | 0 | 0.00% | 0 |
| Feb-17 | 9,670 | 0 | 0 | 0.00% | 0 |
| Mar-17 | 9,748 | 0 | 0 | 0.00% | 0 |
| Apr-17 | 9,782 | 0 | 0 | 0.00% | 0 |
| May-17 | 9,875 | 0 | 0 | 0.00% | 0 |
| Jun-17 | 9,931 | 0 | 0 | 0.00% | 0 |
| Jul-17 | | 0 | | | |
| Aug-17 | | 0 | | | |
| Sep-17 | | 0 | | | |
| Oct-17 | | 0 | | | |
| Nov-17 | | 0 | | | |
| Dec-17 | | 0 | | | |

Progress: D. During public meetings, RCOC had 3, or 0.03%, of children caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center children caseload residing in a facility with 7+ beds (This is a total of sections A, B and C above).

| | Percentage | Total Children consumers status 1 & 2 | Total Children Residing in 7+ bed facilities | Goal | % | # Attained |
|-------------------------------|------------|---------------------------------------|--|------|-------------------------|------------|
| Statewide Average | 0.05% | 143,965 | 76 | | | |
| RCOC Public Hearing 8/17/16 | 0.03% | 9,435 | 3 | | | |
| RCOC 7/01/17 | 0.03% | 9,931 | 3 | 0 | 0.03% | -3 |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.55% | | RCOC % of FH population | 3.95% |



| | Total Children consumers status 1&2 | Goal | Total Children Residing in 7+ bed facilities | % | Number Attained |
|--------|-------------------------------------|------|--|-------|-----------------|
| Jan-17 | 9,682 | 0 | 3 | 0.03% | -3 |
| Feb-17 | 9,670 | 0 | 4 | 0.04% | -4 |
| Mar-17 | 9,748 | 0 | 4 | 0.04% | -4 |
| Apr-17 | 9,782 | 0 | 5 | 0.05% | -5 |
| May-17 | 9,875 | 0 | 4 | 0.04% | -4 |
| Jun-17 | 9,931 | 0 | 3 | 0.03% | -3 |
| Jul-17 | | 0 | | | |
| Aug-17 | | 0 | | | |
| Sep-17 | | 0 | | | |
| Oct-17 | | 0 | | | |
| Nov-17 | | 0 | | | |
| Dec-17 | | 0 | | | |



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V. Adults Residing in Facilities with Seven or More Beds (Excluding DCs)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disability.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven or greater bed facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these consumers and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these consumers.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to insure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 151, or 1.49%, of adult caseload residing in a CCF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services. RCOC also has several long-term care providers with facilities in this category. RCOC will continue to work with these vendors to implement Trailer Bill Language regarding use of these programs.

A. Total number and % of regional center adult caseload residing in a CCF (7+ beds)

| | Percentage | Total Adult consumers status 2 | Adults Residing in a CCF (7+ beds) | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|------------------------------------|-------------------------|-------|------------|
| Statewide Average | 1.29% | 153,368 | 1,977 | | | |
| RCOC Public Hearing 8/17/16 | 1.49% | 10,141 | 151 | 144 | 1.48% | -13 |
| RCOC 7/01/17 | 1.48% | 10,579 | 157 | | | |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.61% | RCOC % of FH population | 7.64% | |



| | Total Adults Status 2 | Goal | Adults Residing in a CCF (7+ beds) | % | Number Attained |
|--------|-----------------------|------|------------------------------------|-------|-----------------|
| Jan-17 | 10,422 | 144 | 154 | 1.48% | -10 |
| Feb-17 | 10,453 | 144 | 151 | 1.44% | -7 |
| Mar-17 | 10,495 | 144 | 153 | 1.46% | -9 |
| Apr-17 | 10,537 | 144 | 153 | 1.45% | -9 |
| May-17 | 10,559 | 144 | 155 | 1.46% | -11 |
| Jun-17 | 10,579 | 144 | 157 | 1.48% | -13 |
| Jul-17 | | 144 | | | |
| Aug-17 | | 144 | | | |
| Sep-17 | | 144 | | | |
| Oct-17 | | 144 | | | |
| Nov-17 | | 144 | | | |
| Dec-17 | | 144 | | | |

Progress: B. During public meetings, RCOC had 111, or 1.09%, of adult caseload residing in an ICF (7+ beds). Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in an ICF (7+ beds)

| | Percentage | Total Adult consumers status 2 | Adults Residing in a ICF (7+ beds) | Goal | % | # Attained |
|-------------------------------|-------------------------|--------------------------------|------------------------------------|-------------------------|-------|------------|
| Statewide Average | 0.68% | 153,368 | 1,047 | | | |
| RCOC Public Hearing 8/17/16 | 1.09% | 10,141 | 111 | Goal | | |
| RCOC 7/01/17 | 0.88% | 10,579 | 93 | 105 | 0.88% | 12 |
| Analysis as of Public Hearing | RCOC % of DD population | | 6.61% | RCOC % of FH population | | 10.60% |



| | Total Adults Status 2 | Goal | Adults Residing in a ICF (7+ beds) | % | Number Attained |
|--------|-----------------------|------|------------------------------------|--------|-----------------|
| Jan-17 | 10,422 | 105 | 104 | 1.00% | 1 |
| Feb-17 | 10,453 | 105 | 101 | 0.97% | 4 |
| Mar-17 | 10,495 | 105 | 100 | 0.95% | 5 |
| Apr-17 | 10,537 | 105 | 99 | 0.94% | 6 |
| May-17 | 10,559 | 105 | 97 | 0.92% | 8 |
| Jun-17 | 10,579 | 105 | 93 | 88.00% | 12 |
| Jul-17 | | 105 | | | |
| Aug-17 | | 105 | | | |
| Sep-17 | | 105 | | | |
| Oct-17 | | 105 | | | |
| Nov-17 | | 105 | | | |
| Dec-17 | | 105 | | | |



Progress: C. During public meetings, RCOC had 91, or .90%, of adult caseload residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a nursing facility

| | Percentage | Total Adult consumers status 2 | Adults Residing in a Nursing Facility (7+ beds) | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|---|------|-------------------------------|------------|
| Statewide Average | 0.75% | 153,368 | 1,143 | | | |
| RCOC Public Hearing 8/17/16 | 0.90% | 10,141 | 91 | Goal | | |
| RCOC 7/01/17 | 0.81% | 10,579 | 86 | 85 | 0.81% | -1 |
| Analysis as of Public Hearing | | | RCOC % of DD population 6.61% | | RCOC % of FH population 7.96% | |



| | Total Adults Status 2 | Goal | Adults Residing in a Nursing Facility (7+ beds) | % | Number Attained |
|--------|-----------------------|------|---|-------|-----------------|
| Jan-17 | 10,422 | 85 | 91 | 0.87% | -6 |
| Feb-17 | 10,453 | 85 | 91 | 0.87% | -6 |
| Mar-17 | 10,495 | 85 | 94 | 0.90% | -9 |
| Apr-17 | 10,537 | 85 | 88 | 0.84% | -3 |
| May-17 | 10,559 | 85 | 84 | 0.80% | 1 |
| Jun-17 | 10,579 | 85 | 86 | 0.81% | -1 |
| Jul-17 | | 85 | | | |
| Aug-17 | | 85 | | | |
| Sep-17 | | 85 | | | |
| Oct-17 | | 85 | | | |
| Nov-17 | | 85 | | | |
| Dec-17 | | 85 | | | |



Progress: D. During public meetings, RCOC had 353, or 3.48%, of adult caseload residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

Total number and % of regional center adult caseload residing in a facility with 7+ beds (This is a total of sections A, B and C above).

| | Percentage | Total Adult consumers status 2 | Total Adults Residing in 7+ bed facilities | Goal | % | # Attained |
|-------------------------------|------------|--------------------------------|--|-------------------------|-------|------------|
| Statewide Average | 2.72% | 153,368 | 4,167 | | | |
| RCOC Public Hearing 8/17/16 | 3.48% | 10,141 | 353 | 334 | 3.18% | -2 |
| RCOC 7/01/17 | 3.18% | 10,579 | 336 | | | |
| Analysis as of Public Hearing | | RCOC % of DD population | 6.61% | RCOC % of FH population | 8.47% | |



| | Total Adults Status 2 | Goal | Total Adults Residing in 7+ bed facilities | % | Number Attained |
|--------|-----------------------|------|--|-------|-----------------|
| Jan-17 | 10,422 | 334 | 349 | 3.35% | -15 |
| Feb-17 | 10,453 | 334 | 343 | 3.28% | -9 |
| Mar-17 | 10,495 | 334 | 347 | 3.31% | -13 |
| Apr-17 | 10,537 | 334 | 340 | 3.23% | -6 |
| May-17 | 10,559 | 334 | 336 | 3.18% | -2 |
| Jun-17 | 10,579 | 334 | 336 | 3.18% | -2 |
| Jul-17 | | 334 | | | |
| Aug-17 | | 334 | | | |
| Sep-17 | | 334 | | | |
| Oct-17 | | 334 | | | |
| Nov-17 | | 334 | | | |
| Dec-17 | | 334 | | | |

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VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration individuals ages 3 and over are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the intake process is within mandated timeline.

- RCOC will provide consumers and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

Progress: A. During public meetings, RCOC had 219, or 99.10%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <= 120 days

| | Percentage | Total # Age 3 or Over | Total # Over Age 3 with <=120 days | | |
|-----------------------------|------------|-----------------------------|--|---------|------------|
| Statewide Average | 98.36% | 5,182 | 5,097 | | |
| RCOC Public Hearing 8/17/16 | 99.10% | 221 | 219 | Goal | % Attained |
| RCOC 7/01/17 | 100.00% | 254 | 254 | 100.00% | 100.00% |



| | Total Number Individuals Age 3 or Over | Goal | Total Number Individuals Over Age 3 with <=120 days | % |
|--------|--|---------|---|---------|
| Jan-17 | 188 | 100.00% | 188 | 100.00% |
| Feb-17 | 202 | 100.00% | 202 | 100.00% |
| Mar-17 | 189 | 100.00% | 189 | 100.00% |
| Apr-17 | 230 | 100.00% | 230 | 100.00% |
| May-17 | 243 | 100.00% | 243 | 100.00% |
| Jun-17 | 254 | 100.00% | 254 | 100.00% |
| Jul-17 | | 100.00% | | |
| Aug-17 | | 100.00% | | |
| Sep-17 | | 100.00% | | |
| Oct-17 | | 100.00% | | |
| Nov-17 | | 100.00% | | |
| Dec-17 | | 100.00% | | |



Progress: B. During public meetings, RCOC had 2, or 0.90%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days

| | Percentage | Total Number Individuals Age 3 or Over | Total Number Individuals Over Age 3 with 121-240 days | Goal | % Attained |
|-----------------------------|------------|--|---|-------|------------|
| Statewide Average | 1.52% | 5,182 | 79 | | |
| RCOC Public Hearing 8/17/16 | 0.90% | 221 | 2 | | |
| RCOC 7/01/17 | 0.00% | 254 | 0 | 0.00% | 0.00% |



| | Total Number Individuals Age 3 or Over | Goal | Total Number Individuals Over Age 3 with 121-240 days | % |
|--------|--|-------|---|-------|
| Jan-17 | 188 | 0.00% | 0 | 0.00% |
| Feb-17 | 202 | 0.00% | 0 | 0.00% |
| Mar-17 | 189 | 0.00% | 0 | 0.00% |
| Apr-17 | 230 | 0.00% | 0 | 0.00% |
| May-17 | 243 | 0.00% | 0 | 0.00% |
| Jun-17 | 254 | 0.00% | 0 | 0.00% |
| Jul-17 | | 0.00% | | |
| Aug-17 | | 0.00% | | |
| Sep-17 | | 0.00% | | |
| Oct-17 | | 0.00% | | |
| Nov-17 | | 0.00% | | |
| Dec-17 | | 0.00% | | |





Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days

| | Percentage | Total Number Individuals Age 3 or Over | Total Number Individuals Over Age 3 Over 240 days | Goal | % |
|-----------------------------|------------|--|---|-------|-------|
| Statewide Average | 0.12% | 5,182 | 6 | | |
| RCOC Public Hearing 8/17/16 | 0.00% | 221 | 0 | | |
| RCOC 7/01/17 | 0.00% | 254 | 0 | 0.00% | 0.00% |



| | Total Number Individuals Age 3 or Over | Goal | Total Number Individuals Over Age 3 Over 240 days | % Attained |
|--------|--|-------|---|------------|
| Jan-17 | 188 | 0.00% | 0 | 0.00% |
| Feb-17 | 202 | 0.00% | 0 | 0.00% |
| Mar-17 | 189 | 0.00% | 0 | 0.00% |
| Apr-17 | 230 | 0.00% | 0 | 0.00% |
| May-17 | 243 | 0.00% | 0 | 0.00% |
| Jun-17 | 254 | 0.00% | 0 | 0.00% |
| Jul-17 | | 0.00% | | |
| Aug-17 | | 0.00% | | |
| Sep-17 | | 0.00% | | |
| Oct-17 | | 0.00% | | |
| Nov-17 | | 0.00% | | |
| Dec-17 | | 0.00% | | |



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VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making integrated competitive employment the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing consumers and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing.

Progress: A. Results from the National Core Indicators Consumer Surveys conducted in FY 2010/2011 indicated that 10% of consumers interviewed reported having a paid job in the community. In FY 2011/2012, 18% of the consumers interviewed reported having a paid job in the community.

A. Percentage of adults who reported having a paid job in a community-based setting (e.g.,

| | Percentage | |
|----------------|-------------------|------|
| RCOC FY 10/11 | 10% | |
| RCOC FY 11/12 | 18% | Goal |
| RCOC FY 14/15* | <i>3 yr cycle</i> | 22% |

*NOTE: Consumer surveys are being conducted September 2017 through June 2018



Progress: B. Results from the National Core Indicators Consumer Surveys conducted in FY 2010/2011 indicated that 22% of the consumers interviewed reported having integrated employment as an goal in their IPP. In FY 2011/2012, 30% of the consumers interviewed reporting having integrated employment as a goal in their IPP.

B. Percentage of adults who reported having integrated employment as a goal in the IPP.

| | Percentage | |
|----------------|-------------------|------|
| RCOC FY 10/11 | 22% | |
| RCOC FY 11/12 | 30% | Goal |
| RCOC FY 14/15* | <i>3 yr cycle</i> | 38% |

*NOTE: Consumer surveys are being conducted from September 2017 through June 2018



Progress: C. Results from the National Core Indicators Consumer Surveys conducted in FY 2010/2011 indicated that 40% of the consumers interviewed who did not have a job in the community, reported wanting one. In FY 2011/2012, 46% of the consumers interviewed who did not have a job in the community, reported wanting one.

C. Percentage of adults without a job in the community who reported wanting one.

| | Percentage | |
|----------------|-------------------|------|
| RCOC FY 10/11 | 40% | |
| RCOC FY 11/12 | 46% | Goal |
| RCOC FY 14/15* | <i>3 yr cycle</i> | 50% |

*NOTE: Consumer surveys are being conducted September 2017 through June 2018



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VII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of integrated competitive employment as the first option for people with intellectual disabilities.

Objective: RCOC service coordinators will implement the Employment First Policy by providing consumers and families information on job preparation and procurement at annual Individual Transition Meetings through the school and Individual Program Planning meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on competitive employment as a primary outcome.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2014 indicate that 1,754 consumers ages 16-64 had earned income. In 2015, 2,175 consumers ages 16-64 had earned income.

A. Number of consumers ages 16-64 with earned income.

| | RCOC | Statewide Avg. |
|------|-------|----------------|
| 2013 | 1,207 | 839 |
| 2014 | 1,754 | 906 |
| 2015 | 2,175 | 962 |



Progress: B. Results from the Employment Development Department in 2014 indicate that 17.9% of consumers ages 16-64 reported having earned income. In 2015 the percentage of consumers ages 16-64 reporting earned income was 27%.

B. Percentage of consumers ages 16-64 reporting earned income.

| | RCOC | Statewide Avg. |
|------|--------|----------------|
| 2013 | 12.80% | 12% |
| 2014 | 17.90% | 13.10% |
| 2015 | 27% | 16% |



Progress: C. Results from the Employment Development Department in 2014 indicate that average annual wages for consumers ages 16-64 were \$6,086. In 2015 the average annual wages for consumers ages 16-64 were \$5,681.

C. Annual earnings of age group 16-64.

| | RCOC | Statewide Avg. |
|------|---------|-------------------|
| 2013 | \$6,691 | \$6,697 |
| 2014 | \$6,086 | \$7,044 |
| 2015 | \$5,681 | \$7,248 |

Performance Contract 2017

IX. Improving equity in purchase of service expenditures.

Statement: RCOC works to insure that individual needs are being met within appropriate community settings and with sensitivity of the diverse cultural, language, and ethnic characteristics of consumers and families.

Objective: RCOC service coordinators will work with consumers and families to develop IPP goals and objectives to address their choice of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of consumers and family members to insure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC will utilize data collected through NCI survey related to consumers' and families' satisfaction with services and supports to guide the development of new programs in the community. Services can be modified to address individual satisfaction as needed. RCOC will continue to develop community programs that allow for a range of options for consumers when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful consumer and family participation. Training includes topics such as Culture and Communication, Dimensions of Culture, and Understanding Culture. RCOC will review IPP goals at least annually to insure that services continue to meet individual needs.

A. Percent of total annual expenditures by individual's residence type and ethnicity.

B. Number and percent of individuals by race/ethnicity who are satisfied with the services and supports received by the family and family member.

C. Number and percent of individuals by race/ethnicity whose IPP/IFSP includes all the services and supports needed.