

REQUEST FOR PROPOSAL

DATE: August 27, 2019

RE: Behavior Management Adult Day Programs (Partial Site-Based)

DUE: Monday September 30, 2019; no later than 5:00 P.M.

TO: All Interested Parties

SUMMARY: Behavior Management Adult Day Programs to implement instructional and environmental modifications to produce socially significant improvements in the behaviors of persons served through skill acquisition and the reduction of socially inappropriate behaviors, while integrating persons served to community-based activities and including vocational training leading to competitive integrated employment. The direct support staff will implement Behavior Intervention Plans, as developed by the supervising Behavior Analyst. The program must be able to support individuals with a need for enhanced staffing ratios of 1:1 (one staff for one person served) and 1:2 (one staff for two persons served), with services based on the needs of individuals served. This program will support individuals with a wide range of behavior support needs in the severe to moderate range of impact and/or who are dually diagnosed. Programs to provide services to persons served by RCOC throughout the RCOC service catchment area. Respondents must also be prepared to provide transportation services for persons served by program. Program must have a site licensed through Community Care Licensing prior to the completion of the vendorization process.

Access California Code of Regulations (CCR), Title 17 via www.dds.ca.gov

The Regional Center of Orange County (RCOC) appreciates your interest in responding to the Request for Proposal (RFP). We are including specifics regarding this proposal in order to help you in the application process. **Please read all material and follow the guidelines presented within this document.** Thank you in advance for your effort in helping support persons with developmental disabilities, alongside the Regional Center of Orange County.

ADULT DAY SERVICE NEED

RCOC is seeking to develop three to five programs, to provide services to individuals residing in the communities of 1) Seal Beach, Los Alamitos, Cypress, La Palma; 2) La Habra, Brea, Fullerton, Placentia, Yorba Linda; 3) Anaheim, Garden Grove, Santa Ana, Orange, Tustin; 4) Newport Beach, Costa Mesa, Irvine; and 5) South County. The adult day programs to be vendored must be prepared to provide services in 1:1 and 1:2 staffing ratios, and may also include a 1:3 staffing ratio component, depending on the needs of the persons served, and meet the qualifications and requirements for Service Codes 063 and 515, as defined by the California Code of Regulations (CCR), Title 17 Section 54342. The program designs for these behavior management adult day programs must detail the program's philosophy and goals, expected outcomes of services to be provided, entrance and exit criteria, curriculum and supports to be provided, evaluation process regarding goals/objectives for the persons served, functional assessment or functional analyses process to address behavior related supports, staffing ratios, staff qualifications and requirements for all positions, training plans for all staff, and other program

components as required pursuant to CCR, Title 17 Section 56712. Staff will be trained in approved behavior management intervention strategies, with on-going training in the areas of positive behavioral support and crisis intervention (e.g. Pro-Act and CPI). The program will utilize only evidence-based training procedures and curriculum, and focus on the acquisition of the functional skills required to participate in community-based activities and address the following areas: self-advocacy, community integration, vocational/employment, and self-care. The program shall include a certified Behavior Analyst (as defined by the California Code of Regulations (CCR), Title 17 Section 54342(a)(11)) to: develop and monitor appropriate Behavior Intervention Plans for the direct support staff to implement with program participants, this also includes completing appropriate progress reports related to target behaviors; direct the provision of behavior related supports delivered to program participants by direct support staff, according to the Behavior Intervention Plans developed for each program participant; assist in monitoring, tracking, and ensuring all requirements for staff are met and maintained; and provide supervision services meanwhile programming is provided to persons served by direct support staff (as needed), within program hours.

Behavior management adult day programs developed must have a site licensed by Community Care Licensing. However, as appropriate, program must provide opportunities for services and supports to be provided in community-based settings, according to the person-centered plans developed for each individual served. Programs will provide all persons served with opportunities for community integration on a daily basis in accordance with the Centers for Medicare and Medicaid Services' (CMS) Final Rule and CCR, Title 17 regulations. Services and supports that focus on vocational training which can lead to competitive integrated employment opportunities are required features of the programs to be developed. Services and supports that focus on reducing identified challenging behaviors and teaching appropriate replacement behaviors are also required features of the programs to be developed. All services and supports provided to persons served must be person-centered, and person-centered thinking and planning practices must be implemented by the programs to be developed. Vended programs must provide transportation services for persons to be served by the program during program hours.

PROFILES FOR PERSONS TO BE SERVED BY PROGRAMS

Applicants responding to this RFP must expect to review referrals for and be prepared to support persons with any combination of the following profile characteristics:

- Persons eligible for RCOC services
- Self-Care Deficits- May require verbal prompting, physical prompting, and/or physical assistance to complete tasks (ie- toileting, eating, hand washing, etc.).
- Challenging Behaviors- May engage in moderate to severe challenging behaviors that require varying types of intervention and prevention techniques. This may include challenging behaviors with the potential of the persons harming themselves or others, which may include but not be limited to:
 - Self-Injurious Behavior
 - Physical Aggression
 - Sexual Behavior
 - Property Destruction
 - PICA
 - Intrusive Behavior
 - Eloping
 - Fecal Smearing

- Special Dietary Requirements not requiring the support of a nurse or other certified medical professional
- Mental Health Diagnosis
- Male and Female
- Ambulatory
- Non-ambulatory requiring assistance with transferring in/out of wheelchair (wheelchair must be able to collapse and fit in the staff's vehicle being used to provide transportation), or use of walker
- Special Health Needs/Restricted Health Conditions not requiring the support of a nurse or other certified medical professional
- Persons served transitioning from school district funded programs
- Culturally and Linguistically Diverse

ELIGIBLE APPLICANTS

Refer to CCR, Title 17 Section 54314 for applicants **not** eligible.

Applicant(s) must:

- Demonstrate the ability to provide cost-effective and quality services and supports as described in CCR, Title 17 Chapter 3, SubChapter 5, and;
- Demonstrate knowledge of and experience in providing training in evidence-based skills teaching and behavior management procedures related to services for individuals with developmental disabilities, and;
- Demonstrate knowledge of and experience in supporting adults with developmental disabilities to develop and maintain self-care related skills, and;
- Demonstrate knowledge of and experience in supporting adults with developmental disabilities with Special Health Needs and Restricted Health Conditions not requiring the support of a nurse or other certified medical professional, and;
- Demonstrate knowledge of and experience in supporting adults with developmental disabilities to develop and maintain vocational related skills, and;
- Demonstrate knowledge of and experience in supporting adults with developmental disabilities with becoming employed in competitive integrated employment settings, and;
- Demonstrate knowledge of and experience in supporting adults with developmental disabilities participate in person-centered community integration activities, and;
- Demonstrate knowledge of and experience in practicing person-centered thinking and planning techniques and strategies, and;
- Demonstrate knowledge of and experience in providing supports to persons who are dually diagnosed with a mental health diagnosis, and;
- If already vendored, be subject to a review of citations from a regional center or licensing within the last two (2) years and/or last two (2) annual evaluations whichever is longer.
- If already vendored, required to provide for review a copy two (2) years of the most recent financial statement.

All applicants are subject to approval by RCOC pursuant to CCR, Title 17 Regulations. Eligibility will also be contingent on evaluations completed by RCOC, and any citations received within the last two (2) years from a regional center or licensing agency based on the nature and severity of the violation(s). Please note that there is no obligation on the part of RCOC that a respondent will be selected for project implementation for each of the programs to be developed.

RATE OF REIMBURSEMENT

The rate of reimbursement for adult day program services is based upon CCR, Title 17 Regulations and the rates established by the Department of Developmental Services. There are no start-up funds associated with this RFP.

APPLICATION PROCESS

Step 1: RFP applications submitted to RCOC by due date.

Step 2: Applications will be screened for acceptability based upon minimum RFP requirements.

Step 3: Applications accepted will be reviewed by an RFP Review Committee based upon the criteria specified above and the overall content of the individual submission.

Step 4: Upon review of all applications, all applicants will be notified in writing whether their proposal was selected.

Step 5: Applicant(s) that have been selected for further consideration will be asked to meet with RCOC staff. Upon further consideration, applicant(s) selected will be notified in writing of award notice.

Step 6: If awarded the proposal, the applicant will be required to submit a full program design and vendor application. RCOC will collaborate with the applicant to achieve an acceptable program and projected implementation date to best meet the needs of the persons to be served.

Step 7: Following the submittal of the vendor application and approval of the program design, a vendor number will be assigned.

PROPOSAL REQUIREMENTS

Each proposal must include the following statements in the order listed below. Evaluation of the information will be the determining factor in the selection process.

- Agency/Individual experience and background
- Brief program design statement
- Attachments

PROPOSAL CONTENT REQUIREMENTS

Proposals (Section I and II) exceeding 10 pages will not be reviewed past the 10th page.

Face Sheet (attached):

Name, address, and telephone number of the applicant. If the applicant is a corporation, list the principle members of the corporation and include verification of incorporation in California. Vendor number(s), vendoring regional center(s), and facility license (if applicable). Name the author(s) of the proposal and list any parties who participated in writing all or part of the proposal. Any proposal written

by a consultant or grant writer must demonstrate a commitment by the writer to provide ongoing technical assistance during the implementation stage.

Section I

Agency/Individual experience and background information must be presented in the following manner:

- A. Qualifications of the agency/individual. Provide information about current experience in each of the following areas: experience with behavior management adult day services, experience in providing training in evidence-based skills teaching, experience in supporting individuals with developmental disabilities to develop self-care skills, experience in supporting individuals with developmental disabilities to develop vocational skills that can be transferred to competitive integrated employment opportunities, experience in supporting individuals with developmental disabilities with becoming employed in competitive integrated employment settings, experience in providing community integration opportunities for individuals with developmental disabilities, and experience in implementing person-centered thinking and planning techniques and strategies.
- B. Qualifications of leadership staff which details education, knowledge, and experience providing services to persons with developmental disabilities. Describe how the documented experience, education, and knowledge are seen as a good fit for developing this program.

Section II

The applicant's brief program design statement must address CCR, Title 17 Section 56712. The proposal is to be a summary description of the following key areas:

- A program summary which should include, but not be limited to, the program's philosophy, the program's purpose and goals in supporting persons served by the regional center, the program's expected outcomes for persons receiving supports through this program, and;
- Information regarding the program's entrance and exit criteria, and;
- A description of the evaluation process to be used in determining how the program will support the individuals served in acquiring new skills, as related to goals/objectives identified in each person's Individual Program Plan, and;
- A description of the functional assessment or functional analyses process to be used in addressing the behavioral supports to be provided, as related to the goals/objectives identified in each of the person's Individual Program Plan, and;
- Information regarding initial and ongoing staff training, and;
- Information regarding the plan for supervision required for the direct support staff to ensure the implementation the behavior plans developed by the Behavior Analyst, and;
- Information regarding the anticipated staffing pattern(s) and the duties of staff assigned (which is to be linked to Organizational Chart), and;
- A description of the program's plan to support diverse populations, including but not limited to culturally and linguistically diverse populations, and;
- Information regarding the program's grievance plan/process.

If selected, this summary program plan may serve as the framework for Step 6 in the process which is submittal of the complete program design. This outline may be used as a guide in responding to the

summary description identified above. **Do not submit a complete program design, as a more complete outline will be provided to those providers selected as a result of the evaluation process.**

Attachments (number of pages not counted towards the 10 page limit)

Each of the following items is required to fulfill the proposal response.

Attachment #1- References and/or Letters of Recommendation

The proposal must include at least two (2) professional references with addresses and telephone numbers. Applicants should be aware that the selection committee may elect to contact the references provided.

Attachment #2- Organizational Structure

An organizational chart for this project must be included with full names and identification of the governing or advisory board, administrative and supervisory hierarchy, Behavior Analyst, and the anticipated staffing patterns, etc. Identification of the private or corporate ownership must be identified on an attached page. If the company is under corporate ownership, the owners and/or board of directors must also be identified by name, address, and telephone number.

Attachment # 3- Consultants & Qualifications

The applicant is to provide information regarding any consultants (employed and/or contracted) that are anticipated to be used, including their name(s), address(es) and telephone number(s). The qualifications/credentials must be identified with each consultant's function(s), role, and/or purpose articulated in the brief program design. The proposal must include information regarding the instructional strategies that will be used during service hours when the applicant will be supporting persons served.

Attachment #4- Financial Statement

Applicants must demonstrate fiscal responsibility by submitting a current verified financial statement that details all current and fixed assets, and current and long-term liabilities. In addition, the applicant must document available line of credit (by authorizing institution), and provide the necessary contact information for verification by the selection committee.

FORMATTING REQUIREMENTS

Proposals will be disqualified from consideration for failure to: follow all instructions, complete documents, submit all required documents, or meet the deadline. No exceptions will be made.

- Standard size (8 ½ x 11) white paper
- Typed, using a standard 12 point font, single-spaced pages with one (1) inch margins
- Every page must be numbered consecutively
- Do not place in ring binders or folders, but rather use binder clips or compressor clips
- Include a table of contents indicating each of the areas to be evaluated and corresponding page numbers
- Clearly label each Section
- Clearly label each Attachment

A complete RFP response must contain the following:

- Face Sheet
- Proposal (limited to 10 pages)
 - Section I
 - Section II
- Attachments (number of pages not counted towards the 10 page limit):
 - #1 References and/or Letters of Recommendation
 - #2 Organizational Structure
 - #3 Consultants and Qualifications
 - #4 Financial Statement(s)

ADDITIONAL PROVISIONS:

- RCOC reserves the right to retract the RFP at any time throughout the application process. In addition, RCOC reserves the right to not select an applicant for program implementation if, in its opinion, no qualified applicants have responded; or, if the respondents' program proposals do not meet a minimum evaluation standard.
- Individuals presenting proposals in response to this request **MUST** submit an ORIGINAL and THREE (3) copies of all required information and documentation to RCOC.
- Completed proposals for this RFP are due by Monday September 30, 2019, no later than 5 PM. Proposals received after 5 PM on the due date will not be considered for the RFP. Proposals may be sent via U.S. mail to the following address:

Arturo Cazares
Regional Center of Orange County
P.O. Box 22010
Santa Ana, CA 92702-2010

The proposal may also be **hand delivered** to the following address:

Arturo Cazares
Regional Center of Orange County
1525 North Tustin Ave.
Santa Ana, CA 92705

- **Proposals will be stamped with the date and time of receipt.**

Face Sheet
(Must be used as the cover page for the proposal)

RFP#: Behavior Management PSADP	RFP Due Date: 5:00 PM, September 30, 2019
Applicant (Agency) Name (If applicant is a corporation, list the principle members of the corporation.)	
Contact Person:	
Contact Phone Number:	
Mailing Address:	
List name of consultant and/or individuals involved in writing the proposal: Any proposal written by a consultant or grant writer must demonstrate a commitment by the writer to provide ongoing technical assistance during the implementation stage.	
If you are currently a vendor, list any and all vendor number(s) and the vendoring regional center:	
Vendor Number:	Vendor Number:
Regional Center:	Regional Center:
Vendor Number:	Vendor Number:
Regional Center:	Regional Center: