



REGIONAL CENTER OF ORANGE COUNTY
Special Incident Report, Other Events, and Observations

SUBMIT SIRs TO RCOC VIA DocuSign, E-MAIL: SIRemail@rcocdd.com, OR FAX: (714) 796-5800

| REPORT SUBMITTED BY | | | | | | | | | | | | | |
|---|--|--------------------|-----------------|--|--|--|--|--|--|--|--|--|--|
| Name | | Vendor Name | | | | | | | | | | | |
| Title | | Vendor # | | | | | | | | | | | |
| Telephone # | | DHS-L&C Lic. # | | | | | | | | | | | |
| Signature/Date | | DSS-CCL Lic. # | | | | | | | | | | | |
| INDIVIDUAL INVOLVED | | Date Became Aware | | | | | | | | | | | |
| Name | | Date of Report | | | | | | | | | | | |
| UCI Number | | Incident Date/Time | | | | | | | | | | | |
| Date of Birth | | Incident Location | | | | | | | | | | | |
| INCIDENT TYPE(S) – CHECK ALL THAT APPLY | | | | | | | | | | | | | |
| REQUIRED BY TITLE 17, §54327 | OTHER EVENTS/OBSERVATIONS | | | | | | | | | | | | |
| <ul style="list-style-type: none"> <input type="checkbox"/> Death of an individual (regardless of cause or location) <input type="checkbox"/> Reasonably suspected neglect: <ul style="list-style-type: none"> <input type="checkbox"/> Failure to provide medical care <input type="checkbox"/> Failure to prevent malnutrition/dehydration <input type="checkbox"/> Failure to protect from health/safety hazard <input type="checkbox"/> Failure to assist with personal hygiene <input type="checkbox"/> Failure to provide food/clothing/shelter <input type="checkbox"/> Failure to provide care <input type="checkbox"/> Reasonably suspected abuse/exploitation: <ul style="list-style-type: none"> <input type="checkbox"/> Physical <input type="checkbox"/> Psychological <input type="checkbox"/> Sexual <input type="checkbox"/> Fiduciary <input type="checkbox"/> Physical restraint <input type="checkbox"/> Chemical restraint <input type="checkbox"/> Individual is missing and the vendor has filed a Missing Person Report with a law enforcement agency <input type="checkbox"/> Individual was the victim of a crime (regardless of location) <input type="checkbox"/> A serious injury/accident, including: <ul style="list-style-type: none"> <input type="checkbox"/> Dislocation <input type="checkbox"/> Fracture <input type="checkbox"/> Laceration requiring sutures/staples/Dermabond <input type="checkbox"/> Burns, bites, puncture wounds or internal bleeding requiring treatment beyond first aid <input type="checkbox"/> Unplanned or unscheduled hospitalization due to: <ul style="list-style-type: none"> <input type="checkbox"/> Respiratory illness <input type="checkbox"/> Cardiac-related activity <input type="checkbox"/> Diabetes-related activity <input type="checkbox"/> Seizure-related activity <input type="checkbox"/> Wound/skincare <input type="checkbox"/> Internal infection <input type="checkbox"/> Nutritional deficiencies <input type="checkbox"/> Involuntary psychiatric admission | <ul style="list-style-type: none"> <input type="checkbox"/> Alleged violation of individual's rights <input type="checkbox"/> Voluntary psychiatric hospitalization <input type="checkbox"/> Medical emergency <ul style="list-style-type: none"> <input type="checkbox"/> Emergency room visit <input type="checkbox"/> Unauthorized absence <input type="checkbox"/> Injury: <ul style="list-style-type: none"> <input type="checkbox"/> From a seizure <input type="checkbox"/> From a behavior episode <input type="checkbox"/> From a peer <input type="checkbox"/> Accident <input type="checkbox"/> Unknown origin <input type="checkbox"/> Suicide episode: <ul style="list-style-type: none"> <input type="checkbox"/> Threat <input type="checkbox"/> Attempt <input type="checkbox"/> Property damage <input type="checkbox"/> Verbal threats <input type="checkbox"/> Diagnosis of communicable disease/parasite <input type="checkbox"/> Event which may result in criminal charges/legal action <input type="checkbox"/> Law enforcement contact <input type="checkbox"/> Arrest <input type="checkbox"/> Health and safety issue <input type="checkbox"/> Other sexual incident: <ul style="list-style-type: none"> <input type="checkbox"/> Sexual harassment <input type="checkbox"/> Inappropriate contact <input type="checkbox"/> Behavior episode: <ul style="list-style-type: none"> <input type="checkbox"/> Aggressive act to self <input type="checkbox"/> Aggressive act to staff <input type="checkbox"/> Aggressive act to peer <input type="checkbox"/> Aggressive act to family or visitor <input type="checkbox"/> Aggressive act to community member <input type="checkbox"/> Other <input type="checkbox"/> Use of restrictive behavior intervention <input type="checkbox"/> Other | | | | | | | | | | | | |
| Additional Incident Types Required for FHA per Title 17, §56093 | | | | | | | | | | | | | |
| <ul style="list-style-type: none"> <input type="checkbox"/> ANY MEDICATION ERROR <input type="checkbox"/> A serious injury/accident involving a medication reaction requiring treatment beyond first aid | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0e0e0;"> <th style="width: 70%; padding: 5px;">Name of Medication</th> <th style="width: 30%; padding: 5px;">Dosage Schedule</th> </tr> </thead> <tbody> <tr><td style="height: 20px;"></td><td></td></tr> <tr><td style="height: 20px;"></td><td></td></tr> <tr><td style="height: 20px;"></td><td></td></tr> <tr><td style="height: 20px;"></td><td></td></tr> </tbody> </table> | | Name of Medication | Dosage Schedule | | | | | | | | | <ul style="list-style-type: none"> <input type="checkbox"/> Any occurrence/allegation of abuse <input type="checkbox"/> Event which may result in criminal charges or legal action <input type="checkbox"/> Event which may result in denial of individual's right(s) <input type="checkbox"/> Event which appears to have a significant negative effect on individual's health, safety, or well-being <ul style="list-style-type: none"> <input type="checkbox"/> Poisoning <input type="checkbox"/> Catastrophe <input type="checkbox"/> Emergency treatment <input type="checkbox"/> Fire or explosion | |
| Name of Medication | Dosage Schedule | | | | | | | | | | | | |
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OTHER AGENCIES/INDIVIDUALS INVOLVED

| | Contact Name | Contact Date | Telephone | Report Number |
|--|--------------|--------------|-----------|---------------|
| <input type="checkbox"/> Community Care Licensing (DSS) | | | | |
| <input type="checkbox"/> Licensing and Certification (DHS) | | | | |
| <input type="checkbox"/> Parent/Guardian/Conservator | | | | |
| <input type="checkbox"/> Physician/Hospital | | | | |
| <input type="checkbox"/> Police/Sheriff | | | | |
| <input type="checkbox"/> County Coroner | | | | |
| <input type="checkbox"/> Other Family Member/Vendor | | | | |

INVESTIGATING AGENCY INVOLVED

| | | | |
|--|---------------------------------------|-----------------------------------|---|
| <input type="checkbox"/> Adult Protective Services | <input type="checkbox"/> Investigated | <input type="checkbox"/> Declined | <input type="checkbox"/> For Information Only |
| <input type="checkbox"/> Child Protective Services | <input type="checkbox"/> Investigated | <input type="checkbox"/> Declined | <input type="checkbox"/> For Information Only |
| <input type="checkbox"/> Long Term Care Ombudsman | <input type="checkbox"/> Investigated | <input type="checkbox"/> Declined | <input type="checkbox"/> For Information Only |

For the following sections, attach separate page(s) for additional information if necessary

DESCRIPTION OF INCIDENT (Title 17 requires a description of the alleged perpetrator, if applicable)

IMMEDIATE ACTION TAKEN BY SERVICE PROVIDER/VENDOR/OTHER

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MEDICAL TREATMENT NECESSARY Yes No **If yes, describe nature of treatment**

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Administered At

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Administered By

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Follow-Up Treatment, If Any

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PLAN TO PREVENT FURTHER OCCURRENCES

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ADDITIONAL COMMENTS (Include the name and address of any witness to the incident)